The discourse structure helps readers to navigate and comprehend the content effectively.

Discourse coherence and structure can also involve explicit linguistic devices that establish connections between sentences.

Example:

Text: "John loves hiking. As a result, he spends most of his weekends exploring different trails."

In this example, the use of the discourse marker "As a result" establishes a causal relationship between the two sentences. It indicates that John's love for hiking leads to him spending

most of his weekends exploring trails.

Discourse coherence and structure are important for understanding and generating coherent and meaningful text.

They contribute to the overall readability, comprehension, and effectiveness of a written or spoken discourse, allowing for smooth information flow and logical connections between ideas.

Language Modeling

- Introduction
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- Parameter Estimation
- Language Model Adaptation

- Types of Language Models
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Introduction

• Statistical Language Model is a model that specifies the a priori probability of a particular word sequence in the language of interest.

Given an alphabet or inventory of units Σ and a sequence W= w1w2....wt $\in \Sigma^*$ a language model can be used to compute the probability of W based on parameters previously estimated from a training set

- The inventory \sum is the list of unique words encountered in the training data.
- Selecting the units over which a language model should be defined is a difficult problem particularly in languages other than English.

Introduction

- A language model is combined with other model or models that hypothesize possible word sequences.
- In speech recognition a speech recognizer combines acoustic model scores with language model scores to decode spoken word sequences from an acoustic signal.
- Language models have also become a standard tool in information retrieval, authorship identification, and document classification.

n-Gram Models

- Finding the probability of a word sequence of arbitrary length is not possible in natural language because natural language permits infinite number of word sequences of variable length.
- The probability P(W) can be decomposed into a product of component probabilities according to the chain rule of probability:

$$P(W) = P(w_1 \dots w_t) = P(w_1) \prod_{i=1} P(w_i | w_{i-1} w_{i-2} \dots w_2 w_1)$$

• Since the individual terms in the above product are difficult to compute directly n-gram approximation was introduced.

n-Gram Models

- The assumption is that all the preceding words except the n-1 words directly preceding the current word are irrelevant for predicting the current word.
- Hence P(W) is approximated to:

$$P(W) \approx \prod_{i=1} P(w_i|w_{i-1}, \dots w_{i-n+1})$$

• This model is also called as (n-1)-th order Markov model because of the assumption of the independence of the current word given all the words except for the n-1 preceding words.

- Language Model Evaluation
- model.

$$PPL(p, q) = 2^{H(p,q)} = 2^{-\sum_{i=1}^{t} p(w_i)log_2q(w_i)}$$

- The question is how can we tell whether the language model is successful at estimating the word sequence probabilities?
- Two criteria are used:
- Coverage rate and perplexity on a held out test set that does not form part of the training data.
- The coverage rate measures the percentage of n-grams in the test set that are represented in the language model.

$$2^{-\frac{1}{t}\sum_{i=1}^{t}log_2q(w_i)}$$

- A special case is the out-of-vocabulary rate (OOV) which is the percentage of unique word types not covered by the language model.
- The second criterion perplexity is an information theoretic measure.
- Given a model p of a discrete probability distribution, perplexity can be defined as 2 raised to the entropy of p:

$$PPL(p) = 2^{H(p)} = 2^{-\sum_{x} p(x)log_2p(x)}$$

- In language modeling we are more interested in the performance of a language model q on a test set of a fixed size, say t words (w1w2wt).
- The language model perplexity can be computed as:
- q(wi) computes the probability of the ith word.
- If q(wi) is an n-gram probability, the equation becomes

$$2^{-\frac{1}{i}\sum_{i=1}^{t}log_2q(w_i)}$$

$$2^{-\frac{1}{t}\sum_{i=1}^{t} log_2 p(w_i|w_{i-1},...,w_{i-n+1})}$$

- When comparing different language models, their perplexities must be normalized with respect to the same number of units in order to obtain a meaningful comparison.
- Perplexity is the average number of equally likely successor words when transitioning from one position in the word string to the next.
- If the model has no predictive power, perplexity is equal to the vocabulary size.
- A model achieving perfect prediction has a perplexity of one.

- The goal in language model development is to minimize the perplexity on a held-out data set representative of the domain of interest.
- Sometimes the goal of language modeling might be to distinguish between "good" and "bad" word sequences.

Optimization in such cases may not be minimizing the perplexity

Parameter Estimation

- Maximum-Likelihood Estimation and Smoothing
- Bayesian Parameter Estimation
- Large-Scale Language Models

Maximum-Likelihood Estimation and Smoothing

• The standard procedure in training n-gram models is to estimate ngram probabilities using the maximum-likelihood criterion in combination with parameter smoothing.

The maximum-likelihood estimate is obtained by simply computing

$$P(w_i|w_{i-1}, w_{i-2}) = \frac{c(w_i, w_{i-1}, w_{i-2})}{c(w_{i-1}, w_{i-2})}$$

- relative frequencies:
- Where c(wi,wi-1,wi-2) is the count of the trigram wi-2wi-1wi in the training data.

Smoothing

- This method fails to assign nonzero probabilities to word sequences that have not been observed in the training data.
- The probability of sequences that were observed might also be overestimated.

The process of redistributing probability mass such that peaks in the n-gram probability distribution are flattened and zero estimates are floored to some small nonzero value is called smoothing

- The most common smoothing technique is **backoff**.
- Backoff involves splitting n-grams into those whose counts in the training data fall below a predetermined threshold T and those whose counts exceed the threshold.
- In the former case the maximum-likelihood estimate of the n-gram probability is replaced with an estimate derived from the probability of the lower-order (n-1)-gram and a backoff weight.

In the later case, n-grams retain their maximum-likelihood estimates, discounted by a factor that redistributes probability mass to the lower-order distribution

$$\alpha(w_{i-1}, w_{i-2}) = \frac{1 - \sum_{w_i: c(w_i, w_{i-1}, w_{i-2}) > \tau} d_c P(w_i | w_{i-1}, w_{i-2})}{\sum_{w_i: c(w_i, w_{i-1}, w_{i-2}) < \tau} P_{BO}(w_i | w_{i-1})}$$

• The back-off probability P_{BO} for wi given wi-1,wi-2 is computed as follows:

$$P_{BO}(w_i|w_{i-1},w_{i-2}) = \begin{cases} d_c P(w_i|w_{i-1},w_{i-2}) \text{ if } c > \tau \\ \alpha(w_{i-1},w_{i-2}) P_{BO}(w_i|w_{i-1}) \text{ otherwise} \end{cases}$$

• Where c is the count of (wi,wi-1,wi-2), and dc is a discounting factor that is applied to the higher order distribution.

- The normalization factor $\alpha(\text{wi-1,wi-2})$ ensures that the entire distribution sums to one and is computed as:
 - The way in which the discounting factor is computed determines the precise smoothing technique.
 - Well-known techniques include:
 - Good-Turing
 - Written-Bell
 - Kneser-Ney
 - In Kneser-Ney smoothing a fixed discounting parameter D is applied to the raw n-gram counts before computing the probability estimates:

In modified Kneser-Ney smoothing, which is one of the most widely

$$P_{KN}(w_i|w_{i-1},w_{i-2}) = \begin{cases} \frac{\max\{c(w_i,w_{i-1},w_{i-2})-D,0\}}{\sum_{w_i}c(w_i,w_{i-1},w_{i-2})} \text{ if } c > \tau \\ \alpha(w_{i-1},w_{i-2})P_{KN}(w_i|w_{i-1}) \text{ otherwise} \end{cases}$$

used techniques, different discounting factors D1,D2,D3+ are used for n-grams with exactly one, two, or three or more counts

$$D_1 = 1 - 2Y \frac{n_2}{n_1}$$

 $D_2 = 2 - 3Y \frac{n_3}{n_2}$
 $D_{3+} = 3 - 4Y \frac{n_4}{n_3}$

- Where n1,n2,..... are the counts of n-grams with one, two, ..., counts.
- Another common way of smoothing language model estimates is linear model interpolation.
- In linear interpolation, M models are combined by

$$P(w_i|w_{i-1}, w_{i-2}) = \sum_{m=1}^{M} \lambda_m P(w_i|h_m)$$

- Where λ is a model-specific weight.
- The following constraints hold for the model weights: $0 \le \lambda \le 1$ and $\sum m \lambda m = 1$.

Weights are estimated by maximizing the log-likelihood on a held-out data set that is different from the training set for the component models This is done using the expectation-maximization (EM) procedure.

• Bayesian Parameter Estimation

- This is an alternative parameter estimation method where the set of parameters are viewed as a random variable governed by a prior statistical distribution.
- Given a training sample S and a set of parameters □, □(□) denotes a prior distribution over different possible values of □, and P(□/S) is the posterior distribution and is expressed using Baye's rule as:

$$P(\theta|S) = \frac{P(S|\theta)P(\theta)}{P(S)}$$

- In language modeling, $\square = < \square \square 1$, ..., $\square (\square \square) >$ (where K is the vocabulary size) for a unigram model.
- For an n-gram model $\square = \langle P(W1/h1), ..., P(Wk/hk) \rangle$ with K n-grams and history h of a specified length.
- The training sample S is a sequence of words, W1.....Wt.
- We require a point estimate of \square given the constraints expressed by the prior distribution and the training sample.
- A maximum a posterior (MAP) can be used to do this.

The Bayesian criterion finds the expected value of $oldsymbol{ heta}$ given the sample S

$$\begin{split} \theta^B &= E[\theta|S] = \int_{\Theta} \theta P(\theta|S) d\theta \\ &= \frac{\int_{\Theta} \theta P(S|\theta) P(\theta) d\theta}{\int_{\Theta} P(S|\theta) P(\theta) d\theta} \end{split}$$

- Assuming that the prior distribution is a uniform distribution, the MAP is equivalent to the maximum-likelihood estimate.
- Bayesian estimate is equivalent to the maximum-likelihood estimate with Laplace smoothing:

$$\theta^{MAP} = \underset{\theta \in \Theta}{\operatorname{argmax}} P(\theta|S) = \underset{\theta \in \Theta}{\operatorname{argmax}} P(S|\theta)P(\theta)$$

$$\theta_w^B = \frac{c(w) + 1}{\sum_w c(w) + K}$$

- Different choices for the prior distribution lead to different estimation functions.
- The most commonly used prior distribution in language model is the Dirichlet distribution.

The Dirichlet distribution is the conjugate prior to the multinomial distribution. It is defined as

$$p(\theta) = D(\alpha_1, \dots, \alpha_K) = \frac{\Gamma(\sum_{k=1}^K \alpha_k)}{\prod_{k=1}^K \Gamma(\alpha_k)} \prod_{k=1}^K \theta_k^{\alpha_k - 1}$$

- Where Γ is the gamma function and $\alpha 1, \ldots$ Ak are the parameters of the Dirichlet distribution.
- It can also be thought of as counts derived from an a priori training sample.
- The MAP estimate under the Dirichlet prior is:

$$\theta^{MAP} = \underset{\theta \in \Theta}{\operatorname{argmax}} \frac{\Gamma(\sum_{k=1}^{K} \alpha_k)}{\prod_{k=1}^{K} \Gamma(\alpha_k)} \prod_{k=1}^{K} \theta_k^{n_k + \alpha_k - 1}$$

- Where nk is the number of times word k occurs in the training sample.
- The result is another Dirichlet distribution parameterized by $nk+\alpha$
- The MAP estimate of $P(\theta/W,\alpha)$ thus is equivalent to the maximum-likelihood estimate with add-m smoothing.
- mk= α k-1 that is pseudocounts of size α k-1 are added to each word count.

Large-Scale Language Models

- As the amount of available monolingual data increases daily models can be built from sets as large as several billions or trillions of words.
- Scaling language models to data sets of this size requires modifications to the ways in which language models are trained.
- There are several approaches to large-scale language modeling.
- The entire language model training data is subdivided into several partitions, and counts or probabilities derived from each partition are stored in separate physical locations.
- Distributed language modeling scales to vary large amounts of data and large vocabulary sizes and allows new data to be added dynamically without having to recompute static model parameters.
- The drawback of distributed approaches is the slow speed of networked queries.

One technique uses raw relative frequency estimate instead of a discounted probability if the n-gram count exceeds the minimum threshold (in this case 0):

$$S(w_i|w_{i-1}, w_{i-2}) = \begin{cases} P(w_i|w_{i-1}, w_{i-2}) & \text{if } c > 0 \\ \alpha S(w_i|w_{i-1}) & \text{otherwise} \end{cases}$$

- The α parameter is fixed for all contexts rather than being dependent on the lower-order n-gram.
- An alternative possibility is to use large-scale distributed language models at a second pass rescoring stage only, after first-pass hypotheses have been generated using a smaller language model.

The overall trend in large-scale language modeling is to abandon exact parameter estimation of the type described in favor of approximate techniques.

Language Model Adaptation

• Language model adaptation is about designing and tuning model such that it performs well on a new test set for which little equivalent training data is available.

- The most commonly used adaptation method is that of mixture language models or model interpolation.
- One popular method is topic-dependent language model adaptation.
- The documents are first clustered into a large number of different topics and individual language models can be built for each topic cluster.
- The desired final model is then fine-tuned by choosing and interpolating a smaller number of topic-specific language models.
- A form of dynamic self-adaptation of a language model is provided by trigger models.
- The idea is that in accordance with the underlying topic of the text, certain word combinations are more likely than other to co-occur.

Some words are said to trigger others for example the words stock and market in a financial news text