Tutorial solutions

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1 Tutorial-7

15.9(ii):

Assume for contradiction there exists a FO sentence F without = such that F doesn't satisfy any model with > 2 elements.

Let m be a model over $\{a,b\}$ such that $m \models F$. (with any signature)

Construct a model $m^{'}$ (over the same signature) such that $D_{m^{'}}=\{a,b,c\}$ and $m^{'}(x)=m(x)$

 $(\forall x \in FVars)$

And m'(c) = a, m' is identity over $\{a, b\}$.

If $f \in R$, $f_{m'}(l_1, ..., l_n)$ is true if $f_m(m'(l_1), ..., m'(l_n))$ holds. $(l_i \in \{a, b, c\})$

For
$$g \in F$$
, $g_{m'}(l_1, \dots, l_n) = g_m(m'(l_1), \dots, m'(l_n))$ $(l_i \in \{a, b, c\})$

(Basically replace all occurences of c with a)

Observe that, since we don't have =, $m' \models F$.

Contradiction. (since $|D_{m'}|=3$)

16.6

This is a tricky problem. It is quite tempting to try \forall -elim n times and substitute the appropriate terms (wrt σ)

However, this approach fails as the following example shows:

Consider the formula $\forall x_1, x_2, x_3 \ x_1 = x_3$ and let $\sigma = [x_1 \to x_2, x_2 \to x_1]$

If we try to apply \forall -elim starting from x_1 and substituting each term (according to σ), we get the formula $x_1 = x_3$.

However, $F\sigma = (x_2 = x_3)$ (As x_2 doesn't occur in F)

A possible solution to this issue is the following:

We use extra variables $y_1, y_2 \dots y_n$ (y_i are fresh variables not in F and distinct from x_i)

If σ maps x_i to t_i , we replace all occurrences of x_i in t_i with y_i to create a new term t_i'

We apply \forall -elim on the x_i 's and replace with the corresponding terms t_i'

We obtain a formula F' which has some y_i 's occurring in it (we want to replace these y_i 's with x_i 's to obtain $F\sigma$)

Now apply \forall -intro for y_i 's in order, starting from y_1 (Why can we do this?)

Now, apply \forall -elim again (on the universally quantified y_i 's) and replace each term with the corresponding x_i to get $F\sigma$ (Check that \forall -elim can be applied in this context)

With this, we get a proof of atmost 2n steps

16.8:

We will simulate \forall -elim using \exists -def and other proof rules t refers to any arbitrary term

- 1. $\Sigma \vdash \forall x F(x)$ (Premise)
- 2. $\Sigma \vdash \neg \exists x (\neg F(x))$ (\exists -def-1)
- 3. $\Sigma \cup \{\neg F(t)\} \vdash \neg F(t)$ (Associativity)
- 4. $\Sigma \cup \{\neg F(t)\} \vdash \exists x(\neg F(x))$ (∃-intro-3)
- 5. $\Sigma \cup \{\neg F(t)\} \vdash \neg \exists x (\neg F(x))$ (Monotonicity-2)
- 6. $\Sigma \vdash \neg \neg F(t)$ (By-Contra-4-5)
- 7. $\Sigma \vdash F(t)$ (Double-neg-elim-6)

18.10:

We are trying to prenex a formula F such that the sum of function parameters after skolemization is minimum.

First, we remove all occurrences of \implies from F. This can be done in linear time.

We end up a with a formula F' which has only \vee and \wedge as its binary connectives.

Now, the main idea is to divide $F^{'}$ into 'chunks' i.e divide $F^{'}$ into separetely quantified blocks, where we call each block a 'chunk'

For example, if $F' = (\forall x, y \exists w (R(x, y, z))) \lor (\exists a, b \forall c (E(a, b, c)))$, then F' has two chunks. These are $\forall x, y \exists w (R(x, y, z))$ and $\exists a, b \forall c (E(a, b, c))$.

We associate a sequence to each chunk in F'. This is done by breaking each chunk into 'pieces'. For each chunk, go through its quantifiers such that whenever a \exists is encountered (or the end is reached), break that part and call it a 'piece'

In the example above, in the first chunk of F' we have only one piece i.e $\forall x, y \exists w$ while in the second chunk, we have three pieces $\exists a, \exists b \text{ and } \forall c$.

Note that if there are no \exists occurring in the chunk, then the \forall s form one single piece.

For each piece in a given chunk, the value of that piece is the number of \forall 's occurring in it. The set of values of the pieces naturally generate a sequence for each chunk of the formula. In the example, the sequences are 2 and 0 0 1.

The algorithm goes as follows:

- 1. Store the sequences in separate linked lists with the pointer to the head of each list stored in another list (call it L, L is doubly linked to make deletions easier).
- Compare the values at the head of each linked list (while going through L) and prenex the chunk with the minimum value and move the head of that list (with the minimum) to the list→ next (if list → next ≠ NULL).

If list \rightarrow next = NULL, delete the pointer to the head of that list from L

3. Stop iterating when L is empty

We obtain a prenexed formula G which we can guarantee will produce minimal number of parameters after skolemization.

Part-II

2 Tutorial-1

1:

Given
$$\phi = \forall x \exists y \ R(x,y) \land \exists y \forall x \neg R(x,y)$$
.

Take the model to be the set of naturals \mathbb{N} with < relation.

Then,
$$m \models \phi$$
 (Why?)

(**Hint**: N is an well-ordered set (i.e has a minimum) and isn't bounded above)

2:

$$\varphi_B(x,y) = \exists z (P(z,x) \land P(z,y)) \land \neg F(x)$$

$$\varphi_A(x,y) = \exists z (P(z,y) \land \varphi_S(x,z)) \qquad (\varphi_S(x,y) = \exists z (P(z,x) \land P(z,y)) \land F(x), x \text{ is sister of } y)$$

$$\varphi_C(x,y) = \exists z (\varphi_A(z,x) \land P(z,y))$$

$$\varphi_O(x) = \forall z, y (P(z,y) \land P(z,x) \Rightarrow (x=y))$$

The spousal relationship cannot be defined (Why?)

3:

$$Zero(x) = +(x,x) = x$$

$$One(x) = \forall y (\times (x, y) = y)$$

$$Two(x) = \exists z, w((+(z, w) = x) \land (One(z) \land One(w)))$$

$$Even(x) = \exists z, y((\times(z, y) = x) \land Two(y))$$

$$Odd(x) = \neg Even(x)$$

$$Prime(x) = \neg \exists w, y ((\times (w, y) = x) \land (\neg One(w) \land \neg One(y)))$$

Goldbach conjecture in FO:

$$\forall x (\neg One(x) \land \neg Two(x) \land Even(x) \Rightarrow \exists z, w (Prime(z) \land Prime(w) \land +(z, w) = x))$$

4:

Encoding associativity of +: $\forall x, y, z(+(x, +(y, z)) = +(+(x, y), z))$

Encoding the right identity as 0: $\forall x(+(x,0) = x)$

Encoding right inverse: $\forall x \exists y (+(x,y) = 0)$

Encoding A(4): $\forall x, y, z(+(x, z) = +(y, z) \Rightarrow x = y)$

Here we have used the signature $\tau = (0, +)$.

5:

- (i) Consider the set of integers \mathbb{Z} with the induced relation $+_Z$ referring to the usual addition in \mathbb{Z} . The constant 0_Z refers to 0 in \mathbb{Z} . Observe that addition is associative and admits both left and right inverses. Also 0 is a identity for addition. We can conclude the τ -structure \mathbb{Z} satisfies ψ .
- (ii) Consider the set \mathbb{N}_0 of whole numbers and the corresponding induced relation being addition and the constant being 0 (in \mathbb{N}_0). This τ -structure doesn't satisfy ψ as φ_3 fails to be true (non-zero elements in \mathbb{N}_0 don't have inverses).
- (iii) Consider the set of all $n \times n$ invertible matrices with complex values, $GL_n(\mathbb{C})$. Let the induced binary operation be matrix multiplication and let the constant 0 map to the identity $n \times n$ matrix.

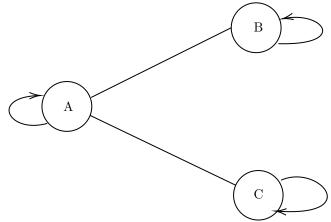
It's clear that the τ -structure $GL_n(\mathbb{C})$ satisfies ψ , however, it doesn't satisfy $\forall x, y(+(x,y) = +(y,x))$ (Why?).

(iv) As before, consider the set \mathbb{N}_0 of whole numbers with the usual addition. This satisfies $\varphi_1 \wedge \varphi_2$ but doesn't satisfy φ_3 .

Consider the set of non-negative reals $\mathbb{R}_{\geq 0}$, with the binary operation defined as +(a,b)=|a-b| and the constant mapping to 0. Show that this structure satisfies $\varphi_2 \wedge \varphi_3$ but fails to satisfy φ_1 . Consider \mathbb{Z} with the usual addition and the constant 0 mapping to 1 (in \mathbb{Z}). This satisfies $\varphi_1 \wedge \varphi_3$ but fails to satisfy φ_2 .

We can conclude that ψ isn't equivalent to any of $\varphi_1 \wedge \varphi_2$, $\varphi_2 \wedge \varphi_3$ or $\varphi_1 \wedge \varphi_3$.

7:



Consider the undirected graph \mathcal{G} above (with loops). This (with its natural edge relation) satisfies the second formula but not the first.

8:

 $\exists^{\geq n} x(x=x) \land \neg \exists^{\geq n+1} x(x=x)$ is true for all models whose universe has exactly n elements.

Let
$$\varphi = \exists x_1, x_2 \dots x_n (\land_{i \neq j} (x_i \neq x_j)).$$

$$\varphi \equiv \exists^{\geq n} x(x=x) \text{ (Why?)}$$

9:

Using counting quantifiers, we can write,

$$\varphi = \exists^{\geq n} x (x = x) \ \land \ \neg \exists^{\geq m+1} x (x = x)$$

 φ evaluates to true only over models with at least n and at most m elements.