The Satisfiability Modulo Theories Library (SMT-LIB)



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SMT-LIB is an international initiative aimed at facilitating research and development in Satisfiability Modulo Theories (SMT). Since its inception in 2003, the initiative has pursued these aims by focusing on the following concrete goals.

- Provide standard rigorous descriptions of background theories used in SMT systems.
- Develop and promote common input and output languages for SMT solvers.
- Connect developers, researchers and users of SMT, and develop a community around it.
- · Establish and make available to the research community a large library of benchmarks for SMT solvers.
- Collect and promote software tools useful to the SMT community.

Motivation

 How to convert the following C code into a logic formula to automatically solve by using a SMT (Satisfiability Modulo Theory) solver?

```
if (x*y + 3.14 * a[i] << 2 > x) {...}
```

- 1. There exist various SMT theories w/ different pros and cons
- There exists tradeoff between expressibility and computational complexity
- 2. Which SMT solver do you use?
- a) LIA (linear integer arithmetic) solver
- b) AUFLIA (Array w/ Uninterpreted Func. and Linear Integer Arithmetic)
- c) AUFLIRA (Array w/ Uninter. Func. and Linear Integer Real Arithmetic)
- d) BV (Bit Vector)

...

2. Based on your choice of SMT solver, how to convert the C code into a logic formula?

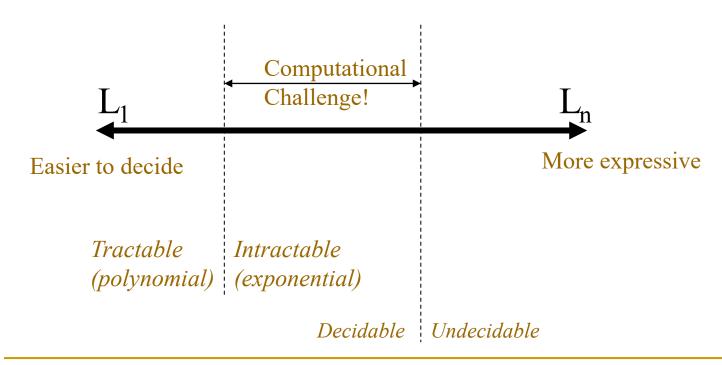
First-Order Theories

		Quantifiers	QFF
	Theory	Decidable	Decidable
$\overline{T_E}$	Equality	_	✓
T_{PA}	Peano Arithmetic	_	_
$\mathcal{T}_{\mathbb{N}}$	Presburger Arithmetic	✓	✓
$T_{\mathbb{Z}}$	Linear Integer Arithmetic	✓	✓
$\mathcal{T}_{\mathbb{R}}$	Real Arithmetic	✓	✓
$\mathcal{T}_{\mathbb{Q}}$	Linear Rationals	✓	\checkmark
$T_{\rm cons}$	Lists	_	✓
$T_{ m cons}^E$	Lists with Equality	_	✓

Slides from the original slides from CS156 by Prof. Z.Manna

Tradeoff: expressiveness/computational hardness.

Assume we are given theories L₁ Á ... Á L_n



Supported Theories (SMT-Lib v2)

ArraysEx

Functional arrays with extensionality.

Fixed Size BitVectors

Bit vectors with arbitrary size.

Core

Core theory, defining the basic Boolean operators

Ints

Integer numbers.

Reals

Real numbers.

Reals Ints

Real and integer numbers.



Supported Sublogics

<u>AUFLIA:</u> Closed formulas over the theory of linear integer arithmetic and arrays extended with free sort and function symbols but restricted to arrays with integer indices and values.

<u>AUFLIRA:</u> Closed linear formulas with free sort and function symbols over one- and two-dimentional arrays of integer index and real value.

<u>AUFNIRA:</u> Closed formulas with free function and predicate symbols over a theory of arrays of arrays of integer index and real value.

LRA: Closed linear formulas in linear real arithmetic.

QF ABV: Closed quantifier-free formulas over the theory of bitvectors and bitvector arrays.

QF_AUFBV: Closed quantifier-free formulas over the theory of bitvectors and bitvector arrays extended with free sort and function symbols.

QF AUFLIA: Closed quantifier-free linear formulas over the theory of integer arrays extended with free sort and function symbols.

QF AX: Closed quantifier-free formulas over the theory of arrays with extensionality.

QF BV: Closed quantifier-free formulas over the theory of fixed-size bitvectors.

QF IDL: Difference Logic over the integers. In essence, Boolean combinations of inequations of the form x - y < b where x and y are integer variables and b is an integer constant.

QF LIA: Unquantified linear integer arithmetic. In essence, Boolean combinations of inequations between linear polynomials over integer variables.

QF LRA: Unquantified linear real arithmetic. In essence, Boolean combinations of inequations between linear polynomials over real variables.

QF NIA: Quantifier-free integer arithmetic.

QF NRA: Quantifier-free real arithmetic.

QF RDL: Difference Logic over the reals. In essence, Boolean combinations of inequations of the form x - y < b where x and y are real variables and b is a rational constant.

QF UF: Unquantified formulas built over a signature of uninterpreted (i.e., free) sort and function symbols.

QF UFBV: Unquantified formulas over bitvectors with uninterpreted sort function and symbols.

QF UFIDL: Difference Logic over the integers (in essence) but with uninterpreted sort and function symbols.

QF UFLIA: Unquantified linear integer arithmetic with uninterpreted sort and function symbols.

QF UFLRA: Unquantified linear real arithmetic with uninterpreted sort and function symbols.

QF UFNRA: Unquantified non-linear real arithmetic with uninterpreted sort and function symbols.

<u>UFLRA:</u> Non-linear real arithmetic with uninterpreted sort and function symbols.

UFNIA: Non-linear integer arithmetic with uninterpreted sort and function symbols.



Theory of Arrays

```
(theory Arrays
                                                                          :notes
:written by {Silvio Ranise and Cesare Tinelli}
                                                                          "It is not difficult to prove that the two
                                       Predefined
:date {08/04/05}
                                                                              axioms above are logically equivalent
                                                                              to the following \"McCarthy axiom\":
                                       data types
:sorts (Index Element Array)
:funs ((select Array Index Element)
                                           Predefined f
                                                                         (forall (?a Array) (?i Index) (?j Index)
      (store Array Index Element Array))
                                           unctions
                                                                                 (?e Element)
                                                                                <u>(</u>= (select (store ?a ?i ?e) ?j)
:definition
                                                              If-then-else
                                                                                 (ite (= ?i ?j) ?e (select ?a ?j))))
"This is a theory of functional arrays without extensionality."
                                                              term construct
It is formally and completely defined by the axioms below."
                                                                          Such an axiom appeared in the following
                                                                              paper:
                                                                          Correctness of a Compiler for Arithmetic
                                          Bounded
:axioms (
                                                                              Expressions,
                                          variables
(forall (?a Array) (?i Index) (?e Element)
                                                                          by John McCarthy and James Painter,
  (= (select (store ?a ?i ?e) ?i) ?e))
                                                                           available at http://www-formal.stanford.
                                                                              edu/jmc/mcpain.html.
(forall (?a Array) (?i Index) (?j Index) (?e Element)
  (or (= ?i ?i)
   ↑ (= (select (store ?a ?i ?e) ?j) (select ?a ?j))))
  Prefix
  operator
```

Theory of Arrays w/ Extensionability

```
(theory ArraysEx
:written by {Silvio Ranise and Cesare Tinelli}
:date {08/04/05}
:updated {28/10/05}
:history {
 Bug fix in the third axiom, pointed out by Robert
 Nieuwenhuis:
 The scope of 'forall (?i Index)' was the whole implication
 instead of just the premise of the implication.
:sorts (Index Element Array)
:funs ((select Array Index Element)
      (store Array Index Element Array))
:definition
"This is a theory of functional arrays with extensionality.
 It is formally and completely defined by the axioms below.
```

```
:axioms
 (forall (?a Array) (?i Index) (?e Element)
  (= (select (store ?a ?i ?e) ?i) ?e))
 (forall (?a Array) (?i Index) (?j Index) (?e Element)
  (or (= ?i ?j)
     (= (select (store ?a ?i ?e) ?j)
       (select ?a ?i))))
 (forall (?a Array) (?b Array)
   (implies (forall (?i Index) (= (select ?a ?i) (select ?b ?i)))
            (= ?a ?b)))
:notes "This theory extends the theory Arrays with
an axiom stating that any two arrays with the same
elements are in fact the same array. ")
```



Theory of Integer

```
(theory Ints
:sorts (Int)
:notes
"The (unsupported) annotations of the function/pre
    dicate symbols have
 the following meaning:
  attribute | possible value | meaning
             //
                     the symbol is associative
 :assoc
                      the symbol is commutative
 :comm
 :unit
         a constant
 :trans
                    the symbol is transitive
           //
                   the symbol is reflexive
 :refl
 :irref
                   the symbol is irreflexive
 :antisym
              //
                      the symbol is antisymmetric
:funs ((0 Int)
    (1 Int)
    (~ Int Int); unary minus
    (- Int Int Int); binary minus
    (+ Int Int Int :assoc :comm :unit {0})
    (* Int Int Int :assoc :comm :unit {1}) )
```

```
:preds ((<= Int Int :refl :trans :antisym)
      (< Int Int :trans :irref)
      (>= Int Int :refl :trans :antisym)
      (> Int Int :trans :irref)
    )
:definition
```

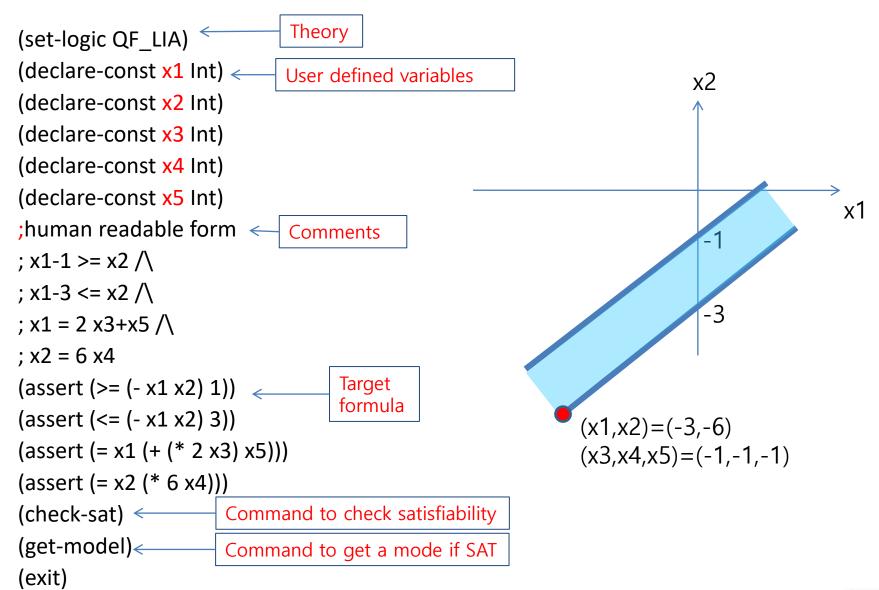
"This is the first-order theory of the integers, that is , the set of all the first-order sentences over the given signature that are true in the structure of the integer numbers interpreting the signature's symbols in the obvious way (with \sim denoting the negation and - the subtraction functions). "

:notes

```
"Note that this theory is not (recursively) axiomatizable in a first-order logic such as SMT-LIB's underlying logic. That is why the theory is defined semantically. "
)
```



Example of QF_LIA Benchmark



Example of QF_UF Benchmark

```
(set-logic QF UF)
(declare-sort A 0)
                           User defined data types
                            (0 is arity of a type)
(declare-sort B 0)
(declare-fun f (A A) B)
                                User defined functions
(declare-fun g (A B) B) ←
(declare-fun h1 (B A) B)
(declare-fun h2 (B B) B)
(declare-const x A)
(declare-const y B)
(declare-const w A)
;human readable form
; g(x,y) = h1(y,x) / 
; f(x,x) = h2(y,y) / 
f(x,x) = f(x,w)
(assert (= (g \times y) (h1 y \times)))
(assert (= (f \times x) (h2 \vee y)))
(assert (not (= (f \times x) (f \times w))))
(check-sat)
(get-model)
(exit)
```

```
A model for the formula
x -> a0
y -> b0
w->a1
f \rightarrow \{(a0,a0) \rightarrow b2,
    (a0,a1)->b3,
    else->b2}
g->{ (a0,b0)->b1,
      else-> b1}
h1->{(b0,a0)->b1,
      else -> b1}
h2 - \{(b0, b0) - > b2,
      else -> b2}
```



Another Example of QF_UF Benchmark

1. Prove that

F: $a=b ^b=c -> g(f(a), b) = g(f(c), a)$ is T_{QFUF} —satisfiable

2. Prove

F: $a=b \wedge b=c \rightarrow g(f(a), b) = g(f(c), a)$ is T_{QF} —valid through proof tree

```
(set-logic QF_UF)
(declare-sort A 0)
(declare-sort B 0)
(declare-sort C 0)
(declare-const a A)
(declare-const b A)
(declare-const c A)
(declare-fun f (A) B)
(declare-fun g (B A) C)
; a=b/b=c-b (g(f(a),b)=g(f(c),a))
(assert (=> (and (= a b) (= b c)) (= (g ( f a) b) (g (f c) a))))
(check-sat)
(get-model)
```

Then, how to check T_{QF_UF}-validity of F by using a SMT solver???

A model for the formula

a->a0

b->a1

c->a2

f->{a0->b0, a2->b1, else->b0}

g->{(b0,a1)->c0,(b1,a0)->c1,else->c0}

Example of QF_AUFLIA

```
(set-logic QF AUFLIA)
(declare-const data 3 (Array Int Int)); initial data[] declaration
; Iteration 1
(declare-const data 3 1 0 (Array Int Int))
(assert (= data 3 1 0 data 3)); data (size) (iteration) (ssa idx)
(declare-const i 1 Int)
(assert (= i 1 0)); i = 0;
(declare-const i 1 Int)
(assert (= i 1 1)); i = 1;
(declare-const tmp 1 Int)
(assert (= tmp 1 (select data 3 1 0 0))); tmp = data[0];
(declare-const data 3 1 1 (Array Int Int))
(assert (= data 3 1 1 (store data 3 1 0 0 (select data 3 1 0 1))));
    data[0] = data[1];
(declare-const data 3 1 2 (Array Int Int))
(assert (= data 3 1 2 (store data 3 1 11 tmp 1))); data[1] = tmp
(declare-const data 3 1 3 (Array Int Int))
; if (data[0] > data[1]) {tmp = data[0]; data[0] = data[1]; data[1] = tmp
(assert (= data_3_1_3 (ite (> (select data_3_1 0 0) (select data_3_1
    0 1)) data 3 1 2 data 3 1 0)))
(assert (not (and (<= (select data_3_3_3_0) (select data_3_3_3_1))
                 (<= (select data 3 3 3 1) (select data_3_3_3 2)))))
```

```
#define N 7
int main() {
  int data[N], i, j, tmp;
  for (i=0; i<N-1; i++)
    for (j=i+1; j<N; j++)
      if (data[i]>data[j]) {
      tmp = data[i];
      data[i] = data[j];
      data[j] = tmp;
    }
  assert(data[0]<=data[1]...);
}</pre>
```



Theory of Fixed_Size_BitVectors[32]

```
:sorts description
 "All sort symbols of the form BitVec[i],
  where i is a numeral between 1 and 32, inclusive."
:funs_description
 "All function symbols with arity of the form
   (concat BitVec[i] BitVec[j] BitVec[m]) where
 - i,i,m are numerals
 -i,i > 0
 -i+j=m <= 32 "
:funs_description
 "All function symbols with arity of the form
   (extract[i:j] BitVec[m] BitVec[n]) where
  - i,j,m,n are numerals
 -32 >= m > i >= j >= 0,
 - n = i-j+1. "
:funs description
 "All function symbols with arity of the form
   (op1 BitVec[m] BitVec[m]) or
   (op2 BitVec[m] BitVec[m] BitVec[m]) where
  - op1 is from {bvnot, bvneg}
 - op2 is from {bvand,bvor,bvxor,bvsub,bvadd,bvmul}
  - m is a numeral
  - 0 < m <= 32 "
:preds description
 "All predicate symbols with arity of the form
   (pred BitVec[m] BitVec[m]) where
  - pred is from {bvult, bvule, bvuge, bvugt,
      bvslt, bvsle, bvsge, bvsgt,}
  - m is a numeral
  - 0 < m <= 32 "
```

```
- Variables
 If v is a variable of sort BitVec[m] with 0 < m <= 32, then
 [[v]] is some element of [\{0,...,m-1\} \rightarrow \{0,1\}], the set of
 total functions from \{0,...,m-1\} to \{0,1\}.
- Constant symbols bv0 and bv1 of sort BitVec[32]
 [[bv0]] := \lambda x : [0...32]. 0
 [[bv1]] := \lambda x : [0...32]. if x = 0 then 1 else 0
 - Function symbols for concatenation
 [[(concat s t)]] := \lambda x : [0...n+m].
               if (x < m) then [[t]](x) else [[s]](x-m) where
 s and t are terms of sort BitVec[n] and BitVec[m],
 respectively, 0 < n <= 32, 0 < m <= 32, and n+m <= 32.
- Function symbols for extraction
 [[(extract[i:j] s)]] := \lambda x : [0...i-j+1). [[s]](j+x)
 where s is of sort BitVec[I], 0 \le j \le i \le l \le 32.
- Function symbols for arithmetic operations
 To define the semantics of the bitvector operators byadd
 , bvsub, bvneg, and bvmul, it is helpful to use these
  ancillary functions:
 o bv2nat which takes a bitvector b: [0...m) --> {0,1}
  with 0 < m <= 32, and returns an integer in the range
  [0...2<sup>n</sup>m), and is defined as follows:
   bv2nat(b) := b(m-1)*2^{m-1} + b(m-2)*2^{m-2} + ... + b(0)*2^0
 o nat2bv[m], with 0 < m <= 32, which takes a non-negative
   integer n and returns the (unique) bitvector b: [0,...,m) -> {0,1}
   such that b(m-1)*2^{m-1} + ... + b(0)*2^0 = n MOD 2^m
  where MOD is usual modulo operation.
```

[[(bvadd s t)]] := nat2bv[m](bv2nat(s) + bv2nat(t))



QF BV Example

```
; Modeling sequential code with bitvectors
; Correct swap with no temp var
; int x, y;
; x = x + y;
; y = x - y;
; x = x - y;
(set-logic QF_BV)
(set-option :produce-models true)
(declare-const x 0 ( BitVec 32))
(declare-const x 1 ( BitVec 32))
(declare-const x_2 (_ BitVec 32))
(declare-const y_0 (_ BitVec 32))
(declare-const y_1 (_ BitVec 32))
(assert (= x_1 (bvadd x_0 y_0)))
(assert (= y_1 (bvsub x_1 y_0)))
(assert (= x_2 (bvsub x_1 y_1)))
(assert (not (and (= x_2 y_0) (= y_1 x_0))))
(check-sat); unsat
(exit)
```

Comparison between SMT-solver vs. SAT-solver

- Pros of a SMT-solver:
 - it can utilize high-level structural information of a target SMT formula, which will be removed in a low-level SAT formula
 - Ex. a * (b * c) == (a * b) *c
- Pros of a SAT-solver:
 - it has been researched for more than 60 years and achieved practical strength and reliability
 - CBMC still uses MiniSAT SAT solver as a default solver.



Performance Comparison of SMT Solvers

						CVC3	I	Boolecto	r	Z3			
	Module	#L	В	#P	Size	Time	Failed	Size	Time	Failed	Size	Time	Failed
1	BubbleSort	43	35	17	9031	28.27	0	3011	1.94	0	6057	2.03	0
		43	140	17	146371	MO	1	48791	182.67	0	97722	163.15	0
2	SelectionSort	34	35	17	6982	8.48	0	1955	0.78	0	5134	0.83	0
		34	140	17	108832	MO	1	29885	74.59	0	79369	74.36	0
3	BellmanFord	49	20	33	1076	0.45	0	326	0.27	0	656	0.3	0
4	Prim	79	8	30	4008	16.88	0	1296	0.5	0	3017	0.48	0
5	StrCmp	14	1000	6	9005	9.88	0	3003	91.145	0	7006	38.75	0
6	SumArray	12	1000	7	3001	1.22	0	1001	0.93	0	2003	4.74	0
7	MinMax	19	1000	9	17989	MO	1	5997	947.58	0	11994	6.22	0
8	InsertionSort	86	35	17	9337	35.57	0	3113	2.37	0	6328	2.51	0
		86	140	17	147622	MO	1	49208	TO	1	98833	143	0
9	Fibonacci	83	15	4	16	15.12	0	16	15.6	0	16	15.2	0
10	bs	95	15	7	17	0.21	0	17	0.02	0	17	0.02	0
11	lms	258	202	23	14810	1011.92	0	5005	138.74	0	10211	138.6	0
12	Cubic	66	5	5	40	0.01	0	20	0.19	0	33	0.2	0
13	BitWise	18	8	1	77	272.38	0	27	7.51	0	53	28.37	0
14	adpcm_encode	149	41	12	6417	211.81	0	2377	738.86	0	4878	5.49	0
15	adpcm_decode	111	41	10	23885	43.77	0	9121	20.16	0	19270	14.31	0

Table 1. Results of the comparison between CVC3, Boolector and Z3. Time-outs are represented with TO in the Time column; Examples that exceed available memory are represented with MO in the Time column.

Quoted from "SMT-Based Bounded Model Checking for Embedded ANSI-C Software" by L.Cordeiro, et al ASE 2009

KAIST

Performance Comparison between CBMC and SMT-CBMC

					CBMC											
					Time #P					Time	#P					
	Module	#L	В	#P	Encoding	Decision Procedure	Total	Passed	Violated	Failed	Encoding	Decision Procedure	Total	Passed	Violated	Failed
1	sensor	603	5	167	2.04	0.002	2.04	167	0	0	1.23	0.02	1.26	167	0	0
2	crc	125	257	18	5.60	0.003	5.60	18	0	0	4.08	0.07	4.16	18	0	0
3	fft1	218	9	72	0.44	0.001	0.44	72	0	0	0.43	0.005	0.43	72	0	0
4	fft1k	155	1025	39	MO	MO	MO	0	0	39	2337.83	0.055	2337.88	39	0	0
5	fibcall	83	30	2	0.19	0	0.19	2	0	0	0.15	0.002	0.15	2	0	0
6	fir	314	34	25	4.88	0.02	4.9	25	0	0	3.36	0.68	4.04	25	0	0
7	insertsort	86	10	17	0.36	0.005	0.37	17	0	0	0.31	0.02	0.32	17	0	0
8	jfdctint	374	65	331	1.22	0.001	1.22	330	1	0	0.45	2.41	2.86	330	1	0
9	lms	258	202	35	MO	MO	МО	0	0	35	132.6	0.24	132.84	35	0	0
10	ludcmp	144	7	88	4.52	TO	TO	87	0	1	0.017	1.44	1.46	88	0	0
11	matmul	81	6	31	1.16	0	1.16	31	0	0	1.06	0.012	1.07	31	0	0
12	qurt	164	20	8	18.83	TO	TO	7	0	1	1.22	7.7	8.92	8	0	0
13	bent	86	17	162	4.42	0.05	4.47	162	0	0	1.24	0.89	2.13	162	0	0
14	blit	95	1	129	0.21	0.001	0.21	128	1	0	0.13	0.28	0.41	128	1	0
15	pocsag	521	42	183	15.32	0.1	15.42	182	1	0	12.33	5.77	18.1	182	1	0
16	adpcm	473	100	553	74.34	3.52	77.86	553	0	0	45.73	9.24	54.97	553	0	0
17	laplace	110	11	76	30.81	TO	TO	0	0	76	12.32	0.29	12.62	76	0	0
18	exStbKey	558	20	18	1.23	0.002	1.23	18	0	0	1.22	0.004	1.23	18	0	0
19	exStbHDMI	1045	15	25	167.91	78.97	246.88	25	0	0	164.43	33.53	197.96	25	0	0
20	exStbLED	430	40	6	195.97	129.8	325.77	6	0	0	165.63	44.53	210.16	6	0	0
21	exStbHwAcc	1432	1000	113	0.67	0.002	0.67	113	0	0	0.72	0.004	0.73	113	0	0
22	exStbResolution	353	200	40	271.8	319.13	590.93	40	0	0	269.31	1161.16	1430.47	40	0	0