# Social-ecological models with social hierarchy and spatial structure applied to small scale fisheries

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## 1 ABSTRACT

Socio-ecological models combine ecological systems with human social dynamics in order to better understand human interactions with the environment. To model human behavior, replicator dynamics can be used to model how societal influence and financial costs can change opinions about resource extraction. Previous research on replicator dynamics has shown how evolving opinions on conservation can change how humans interact with their environment and therefore change population dynamics of the harvested species. However, social-ecological models often assume that human societies are homogeneous with no social structure. Building on previous work on social-ecological models, we develop a two-patch socio-ecological model with social hierarchy in order to study the interactions between spatial 10 dynamics an social inequity. We found that fish movement between patches is a major driver 11 of model dynamics, especially when the two patches exhibit different social equality and 12 fishing practices. Further, we found that the societal influence between groups of harvesters 13 was essential to ensuring stable fishery dynamics. Next, we developed a case-study of a two independently managed fisheries that were connected by fish movement where one human 15 group fishes sustainably while another was over-harvests, resulting in a fishery collapse of 16 both patches. We also found that because in this model, the influence of one human patch on 17 another only communicates the amount of each catch and not fishing strategies employed, 18 increased social influence decreased the sustainability of the fishery. The findings of this study indicate the importance of including spatial components to socio-ecological models and highlights the importance of understanding species' movements when making conservation 21 decisions. Further, we demonstrate how incorporating fishing methods from outside sources can result in higher stability of the harvested population, demonstrating the need for effective communication across management regimes.

Keywords: two-patch model, replicator dynamics, social hierarchy, socio-ecological model,

26 species movement

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## 2 INTRODUCTION

Social ecological models treat human behavior as a variable as opposed to a set parameter.

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Allowing human behavior to be dynamic allows for the study of how decision making can change in response to environmental factors and, in turn, alter how humans interact with resources and profits (Bauch, 2005; Ostrom, 2009; Innes et al., 2013; Oraby et al., 2014; Bauch et al., 2016; Sigdel et al., 2017; Thampi et al., 2018). As human societies grow increasingly intricate and interconnected, these models can help us to analyze how our social structures can influence the environment around us (Liu et al., 2007). Social ecological modeling provides important insight not only into how human decision making can influence ecological patterns but can also show hidden processes, reveal regime shifts, and identify vulnerabilities of systems that do not exist within the purely social or ecological models (Liu 37 et al., 2007; Young et al., 2007; Ostrom, 2009; Lade et al., 2013). Socio-ecological models can also be used in systems where data are difficult to collect, as parameters can be changed in 39 order to analyze different hypothetical scenarios. As social-ecological models are simulations of human and environmental interactions, they allow flexibility and can be adapted to fit the specific system of study and improve place-based management practices (Young et al., 2007; Liu et al., 2007; Felipe-Lucia et al., 2022) Due to their adaptability, socio-ecological models can use a wide range of strategies to represent human decision making. One such method is replicator dynamics, which model human decision making where an individual makes conservation choices based on weighing the perceived benefits of conservation with the costs, as well as the social pressure to conform to the group's stance on conservation (Bauch, 2005). Individuals will therefore "replicate" the behavior of their peers by changing their harvest practices based on the opinion of the majority (Bauch & Bhattacharyya, 2012). Models that employ replicator dynamics have been used to show how this social learning is a key component to vaccination uptake in 51 public health, and preexisting social norms can actually suppress vaccine uptake despite

frequent disease outbreaks (Bauch & Bhattacharyya, 2012; Oraby et al., 2014). Replicator dynamics can also have conservation applications as pest invasion models have shown ways to simultaneously mitigate pest outbreaks and the cost to address them in the timber industry (Barlow et al., 2014). Further, land use changes have been modeled to have completely different dynamics when human decision making was added to replicator dynamic models 57 (Innes et al., 2013). However, past work on human behavior has generally assumed that human societies are homogeneous, and all people are subject to the same social influence and ecological dynamics. Understanding effective conservation strategies can be especially difficult if the organism be-61 ing protected has a migratory pattern that crosses over multiple management jurisdictions such as country borders (Ogburn et al., 2017; Garrone-Neto et al., 2018; Ramírez-Valdez et al., 2021). Borders can also create challenges when gathering population data that require extensive fieldwork (Cozzi et al., 2020; Hebblewhite & Whittington, 2020). The fragmentation of management can also result in a mismatch of conservation strategies that become ineffective when the distinct management bodies do not coordinate efforts (Siddons et al., 2017). Research on the importance of coordinated research efforts has been conducted on many terrestrial species with large migratory ranges and have consistently shown that cooperation among government bodies is essential to protecting the health of highly migratory species or species whose native ranges expand across multiple countries (Plumptre et al., 2007; Gervasi 71 et al., 2015; Meisingset et al., 2018). Because fish are generally migratory, management cooperation is especially relevant in international waters or waters where different government bodies share jurisdiction (Mchich et al., 2000). Previous research on two-patch fishing models has shown that fish movement rates between patches can affect population stability when there are different fishing pressures in each patch (Mchich et al., 2000; Cai et al., 2008). Economic output can also be maximized in multi-patch fishing models as high dispersal can result in a higher overall yield of the system than the yield of each patch combined (Auger et al., 2022). High dispersal across patches is commonly found to be an essential component to maximizing population health and economic gain from fishing (Freedman & Waltman, 1977; Moeller & Neubert, 2015; Auger et al., 2022). Two-patch models help us to understand the population dynamics of fish species better who face different pressures in each patch and have even resolved conflicts between fishing groups (Mchich et al., 2000).

Homophily is a concept from sociology where humans tend to take information and the opinions from subgroups similar to them before listening to subgroups of different social standing (Brechwald & Prinstein, 2011). Contrary to the assumption made by previous models that human groups are homogeneous, the vast majority of real-world societies exhibit some form of hierarchy or inequality. Societies with different social subgroups can often exhibit an "us vs. them" mentality and compete for resources (Borgatti, 2003). Barnes-Mauthe (2013) showed that fishing communities can exhibit homophily, which is the tendency for people to obtain information and opinions from those who are similar to themselves before seeking views from those who are perceived as different. Therefore, people in different social groups may be receiving different information and opinions about conservation and acting accordingly (McPherson et al., 2001). For example, in Kenya, communication among fishers has been shown to stay within groups using the same gear type which has inhibited successful regulation of the whole fishery (Crona & Bodin, 2006). Further, in the southwest Madagascar octopus fishery, fishing method and location typically falls along gendered lines. When fishing restrictions were imposed on tidal flats, women's access to octopus harvest was restricted, while men, who were generally in charge of fishery management typically fished in deeper waters, were able to maintain their livelihood (Baker-Médard, 2017). In Thailand, 100 ethnicity has been shown to be a source of fishing conflict which has exacerbated resource 101 depletion (Pomeroy et al., 2007). The existence of social structures is extremely prevalent in 102 human societies which can affect how people interact with the environment. However, there 103 is little existing research that uses replicator dynamics study to study how social hierarchies 104 alter harvest practices. People's relationship with the environment has been shown to be 105 influenced by many factors such as social status, wealth, gender, education, and even notions of self-importance (Baker-Médard, Gantt, et al., 2021; Sari et al., 2021). Competition over resources has been shown to be exacerbated by social hierarchies and 'top-down' regulation whereas when social connectivity is considered in management plans, management outcomes are not only improved, but costs are reduced as well (Krackhardt & Stern, 1988; Grafton, 2005; Bodin & Crona, 2009).

Research on small-scale fisheries is a growing and essential field as they are drastically un-112 derstudied yet are relied on by many people around the globe (FAO, 2022; FAO et al., 113 2023). Due to tight social structures, community decision making and strong reliance on the 114 environment, small-scale fisheries are systems that are well represented by socio-ecological 115 models and replicator dynamics (Grafton, 2005; Thampi et al., 2018; Barnes et al., 2019). 116 Successful management of small-scale fisheries has shown to be contingent upon the careful 117 consideration of social dynamics and power structures within the fishery (Alexander et al., 118 2015; Defeo et al., 2016; Nyikahadzoi et al., 2017). Further, the specific dynamics of the 119 fishery in question have been shown to be important components to models, as models with multiple patches can actually mitigate over-fishing if there is high movement of the harvested species between patches (Cressman et al., 2004). No previous research has combined two-patch fishing models with a hierarchical human decision making model in order to study 123 how space and social dynamics affect fishery dynamics. 124

In this study, we couple a human-decision replicator dynamics model with social hierarchies 125 with a two-patch resource model in order to understand how decision making is affected 126 by spatial and hierarchical factors. This model reflects two fisheries are connected by the 127 stray rate of two subpopulations of fish, where each subpopulation is independently man-128 aged. The two management regimes influence one another based on how many people are 129 fishing in the other group. The objectives of this study were: 1) to compare the output of 130 previous replicator dynamics studies with the new two-patch model to understand the affect 131 of fish movement on harvesting decisions, 2) understand the effect of social hierarchy and 132 communication across groups on the dynamics of this model, 3) use a two patch small-scale fishery as a case study to understand how fishery dynamics are driven when one group fishes
sustainably while the other over-harvests. We hypothesized that higher cooperation between
groups would benefit fish stocks overall and that increased fish movement would increase the
health of fish populations.

#### 3 METHODS

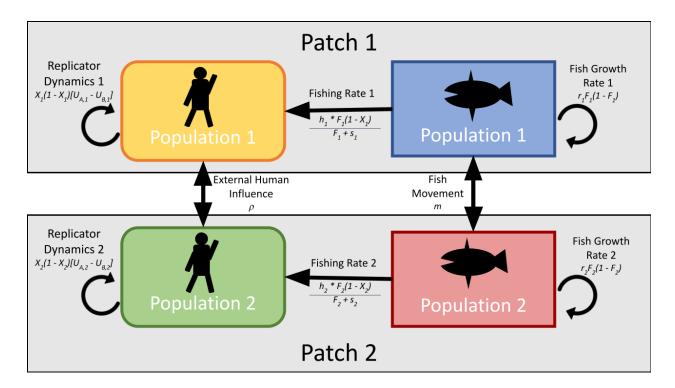


Figure 1: A conceptual representation of our model as a two-patch extension of Bauch et al. (2016). Here, each fish population  $(F_i)$  in each patch i increase through natural growth and movement of fish into the patch. Fish populations are decreased through emigration out of the patch and fishing mortality. The number of fishers  $(X_i)$  in each patch i change in response to fish population levels, the cost of stopping fishing activity, and the opinions of those in the patch and those in the other patch.

#### 3.1 Model Construction

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We build on the work of Bauch et al. (2016) by extending their old-growth forest model to a two-patch model (Figure 1). The resource population models adapted from Bauch et al. (2016) are as follows:

$$\frac{dF_i}{dt} = r_i F_i (1 - F_i) - \frac{h_i * F_i}{F_i + s_i} - m_j F_i + m_i F_j \tag{1}$$

where the change in resource populations  $F_i$  is dependent on  $r_i$ , the net population growth of each patch i, and both populations follow logistic growth. The second term:  $\frac{h_i*F_i}{F_i+s_i}$ , denotes population lost to human activity.  $h_i$  is the harvesting efficiency of the respective human population and  $s_i$  controls the supply and demand of the system. Because we extend 146 this to a two-patch model, the  $m_i$  parameter denotes the stray rate between each of the 147 subpopulations of fish out of patch i and into patch j. In this study, we assume a closed 148 population between the two patches. Therefore, individual fish move directly from patch to 149 patch and do not disperse elsewhere, nor are individual fish immigrating from outside areas. 150 For the model of human activity and opinion, we use replicator dynamics from evolution-151 ary game theory to simulate societal influence on an individual's opinion. Humans in this 152 population can either be harvesters (therefore participating in harvesting activity) or con-153 servationists (who do not partake in resource extraction) in their respective patch, but can 154 change from their current opinion to the other based on the perceived values and costs of 155 each stance. Social dynamics are represented by the proportion of conservationists in a pop-156 ulation (X) and the proportion of harvesters (1-X). These two groups of conservationists and harvesters interact with one another using the term (X)(1-X) which simulates individuals "sampling" the opinions other individuals in the population. If one opinion dominates 159 in the population (i.e. X >> (1 - X) or (1 - X) >> X), the rate of changing opinions will 160 be slow as the power of societal pressure makes it challenging for the other opinion to gain 161 traction. However, if X and (1 - X) are close, the rate of change in opinion will be fast 162 as society has a split opinion on conservation versus harvest, so individuals will be quick to 163 take up the opinions of others. In this model, each person holds an opinion (conservation or 164 harvest) by weighing the benefits of conservation  $(U_A)$  against the benefits of harvest  $(U_B)$ , 165

resulting in the replicator equation:

$$\frac{dX_i}{dt} = k_i X_i (1 - X_i) [U_{A,i} - U_{B,i}]$$
 (2)

$$\frac{dX_i}{dt} = k_i X_i (1 - X_i) [\Delta U_i] \tag{3}$$

where  $k_i$  refers to the rate of interaction within a group. As individuals "sample" the opinions of others in their group, they can switch from A to B if  $U_B > U_A$  and vice versa. In our model, we adapted  $U_A$ , the perceived benefit of conservation, from Bauch et al. (2016) with the added influence of the other population's opinion.  $U_A$  is therefore given by:

$$U_{A,i} = \frac{1}{(F_i + c_i)} + d_i X_i + \rho_i X_j \tag{4}$$

where  $\frac{1}{(F_i+c_i)}$  represents the perceived rarity of the harvested population within a patch. As  $F_i$  and  $c_i$  (the rarity valuation parameter) decrease, perceived rarity will increase, therefore 172 adding to the perceived benefit of protecting resources.  $d_i$  refers to the social influence that 173 each population has on itself, and as an individual encounters a conservationist in their own 174 population  $(X_i)$ , the social benefit of also being a conservationist is shown in  $d_i$ .  $\rho_i$  has 175 this similar effect of social influence, but denotes the social effect of the opposite population 176 on decision making  $(X_i)$ . Individuals in each population i are receiving information about 177 the conservation practices of the other population j, and the influence that this has on each 178 population is encapsulated by  $\rho_i$ . In other words, higher values of  $\rho$  indicate higher homophily 179 between the groups and lower values of  $\rho$  indicate these groups only adopting fishing practices 180 from within their patch. Also, the only information that is being communicated to human 181 patch i is how many people from human patch j are fishing  $(1 - X_j)$ . Human patch i is 182 not receiving any information on fishing practices or changes in the opposite patches fish 183 population. Fishers are only fishing in their respective patches and do not move to the other.

Instead, they influence fishing in the opposite patch through the outside social influence of  $\rho_i$ .

 $U_B$  (the perceived benefits of harvest) is:

$$U_{B,i} = \omega_i + d_i(1 - X_i) + \rho_i(1 - X_j)$$
(5)

where  $\omega_i$  is the cost of conservation (i.e. revenue lost by not harvesting) where now,  $d_i$  is the within-population social benefit of switching to harvesting  $(1 - X_i)$  and  $\rho_i$  is the other population's  $(1 - X_j)$  ability to change the opinion of an individual to be a harvester.

Plugging equations (4) and (5) into equation (2) gives:

$$\frac{dX_1}{dt} = k_1 X_1 (1 - X_1) \left[ \frac{1}{F_1 + c_1} - \omega_1 + d_1 (2X_1 - 1) + \rho_1 (2X_2 - 1) \right]$$
 (6)

$$\frac{dX_2}{dt} = k_2 X_2 (1 - X_2) \left[ \frac{1}{F_2 + c_2} - \omega_2 + d_2 (2X_2 - 1) + \rho_2 (2X_1 - 1) \right]$$
 (7)

where specifics of the derivation are outlined in the supplementary material. Coupling the resource population and human opinion models gives:

$$\frac{dF_1}{dt} = r_1 F_1 (1 - F_1) - \frac{h_1 * F_1 (1 - X_1)}{F_1 + s_1} - m_2 F_1 + m_1 F_2 \tag{8}$$

$$\frac{dF_2}{dt} = r_2 F_2 (1 - F_2) - \frac{h_2 * F_2 (1 - X_2)}{F_2 + S_2} - m_1 F_2 + m_2 F_1 \tag{9}$$

$$\frac{dX_1}{dt} = k_1 X_1 (1 - X_1) \left[ \frac{1}{F_1 + c_1} - \omega_1 + d_1 (2X_1 - 1) + \rho_1 (2X_2 - 1) \right]$$
(10)

$$\frac{dX_2}{dt} = k_2 X_2 (1 - X_2) \left[ \frac{1}{F_2 + c_2} - \omega_2 + d_2 (2X_2 - 1) + \rho_2 (2X_1 - 1) \right]$$
(11)

where the harvesting pressure is now a function of the number of harvesters in a population  $(\frac{h_i F_i(1-X_i)}{F_i+s_i})$ . Further, the opinion of each population will shift based on the perceived 195 population health of their respective patch weighed against the costs and benefits of con-196 servation. As resources decrease, individuals will sway more toward conservation, thereby 197 relieving harvest pressure. However, we now have an external influence in this model: the 198 opinions of people in population j. Therefore, if human population j is continuing to fish, 199 humans in population i will be more influenced to do so as well. The strength of this external 200 influence is  $\rho$ , and in this study, we plan to simulate inequalities in human societies with this 201 parameter.

Table 1: Default parameter values used in this analysis taken from Bauch et al. (2016) where oscillations are observed.

Parameter	Population 1	Population 2	Definition
r	0.16	0.16	Fish net growth
S	0.8	0.8	Supply and demand
h	0.25	0.25	Harvesting efficiency
k	0.17	0.17	Rate of sampling opinions or social interaction
$\omega$	1.44	1.44	Conservation cost
c	0.5	0.5	Rarity valuation
d	0.3	0.3	Strength of social influence (within population)
m	0.01	0.01	Fish movement (from opposite patch)
ρ	0.01	0.01	Strength of social influence (from opposite population)

The default parameters used to analyze the fish movement and human hierarchy parameters
were taken from an analyses done in Bauch et al. (2016) and given in Table 1. Here, Bauch
et al. (2016) found an oscillatory behavior where decreased forest cover resulted in decreased
harvest due to the replicator dynamics of the human system which allowed for forest recovery
and humans to begin high harvest once again.

#### 08 3.2 Parameter Analyses

In order to understand how fish movement  $(m_1 \text{ and } m_2)$  affects dynamics, we first compare 200 how the system will change when both patches are equal (i.e. all of the parameters in each 210 patch is the same) by increasing both  $m_1$  and  $m_2$  incrementally and running the model for 211 1000 years. We then compare this to the asymmetrical case, where we just increase the 212  $m_1$  parameter and see the effect on the model for the next 1000 years. Further, to analyze 213 the human hierarchy parameters  $\rho_1$  and  $\rho_2$ , we constructed this same analyses of increasing 214  $\rho_2$ , or the amount of influence of human population 1  $(X_1)$  has on the dynamics of human 215 population 2  $(X_2)$ . We also compared this to the effect on incrementally increasing  $d_1$ . 216

# 217 3.3 Two-Patch Small Scale Fishery Case Study

For a small scale fishery, we choose to model a two-patch fishery where patch 1 is fishing sustainably while patch 2 is over-harvesting. The harvested fish species has a mid-range growth rate and regularly diffuses across the two patches, such as the parrot fish modeled in Thampi et al. (2018), which uses a fish growth rate of is 0.35 fish per year, but alter patch 1's growth rate to be 0.4 fish per year. For the harvesting efficiency, we choose a maximal fishing rate of 0.5. These parameters were adapted from a coral reef fishing model Thampi et al. (2018) where r = 0.35 and h = 0.5 are the mid-level growth rate and max fishing rates analyzed by this paper. For the fish movement parameters m, we chose 0.2 for each as these are the values used in the two-patch fishing model described in Cai et al. (2008).

We used the s parameter described in the Bauch et al. (2016) model of s = 0.8. For the purposes of our study, we are assuming a constant net growth rate of fish populations and 228 that reproduction happens locally within each patch. The rate at which humans interact 229 with one another is described by the parameter k. In our default model, we use k = 1.014230 as adapted from the Thampi et al. (2018) default model. Thampi et al. (2018) calculated 231 this parameter by fitting conservation opinion data in the United States from 1965 to 1990 232 to coral health data at that time (Thampi et al., 2018). We used the default rarity valuation 233 parameter c from Thampi et al. (2018) where c = 1.68. The cost of conservation default 234 parameter is  $\omega = 0.35$  from Bauch et al. (2016). Further, as our default model has no human 235 social hierarchy, we set  $d = \rho = 0.5$  for our social norm strengths as adapted from Bauch et 236 al. (2016) which models social decision making regarding deforestation. 237

Based off of the default model described above, we then change parameters such that patch 1 is fished sustainably, meaning the fish population in patch 1 is able to persist regardless of the fishing pressure from human population 1. We then set patch 2 to be over-fished, meaning human patch 2 is fishing at too high a rate for the fish population to survive over time (Table 2). Further, we add a socially hierarchical component where patch 2 has a higher social influence on patch 1. To analyze the overfishing scenario, we incrementally increase the parameters m and  $\rho$  and simulated this system for 100 years in order to assess how increasing each new parameter would affect the overall dynamics of the system.

Table 2: Parameter values used to simulate sustainable fishing practices in patch 1 and over-fishing in patch 2.

Parameter	Population 1	Population 2	Definition
r	0.4	0.35	Fish net growth
S	0.8	0.8	Supply and demand
h	0.25	0.5	Harvesting efficiency

Parameter	Population 1	Population 2	Definition
k	1.014	1.014	Rate of sampling opinions or social interaction
$\omega$	0.2	0.35	Conservation cost
c	1.5	1.5	Rarity valuation
d	0.5	0.5	Strength of social influence (within population)
m	0.2	0.2	Fish movement (from opposite patch)
ρ	0.5	0.1	Strength of social influence (from opposite population)

## 4 RESULTS

#### 4.1 Fish Movement Parameter

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To analyze the result of space on socio-ecological models, we observed the effects of increasing both  $m_1$  and  $m_2$  simultaneously (the symmetrical case) and compared this to the effects of 249 only increasing  $m_1$ , or the fish movement from patch 2 to patch 1 (Figure 2). Here, we find 250 that fish movement does not change dynamics in the symmetrical case (Figure 2 a), b), and 251 c)), showing that if all parameters are the same in each patch, the fish movement between 252 them does not change dynamics. However, if there are differences between patches (Figure 253 2 d), e), and f)), fish movement will greatly alter dynamics and if the model is undergoing 254 oscillations, the linear aspects of the fish movement parameters will eventually overcome the 255 non-linear dynamics of oscillations if the fish movement parameter is sufficiently high. 256

# 4.2 Social Hierarchy Parameter

In figure 3, we can see that increases in  $d_1$  result in higher amplitude oscillations, where  $F_1$  will dip to almost 0 for many years then recover back to 1. Increases in  $d_1$  affect the

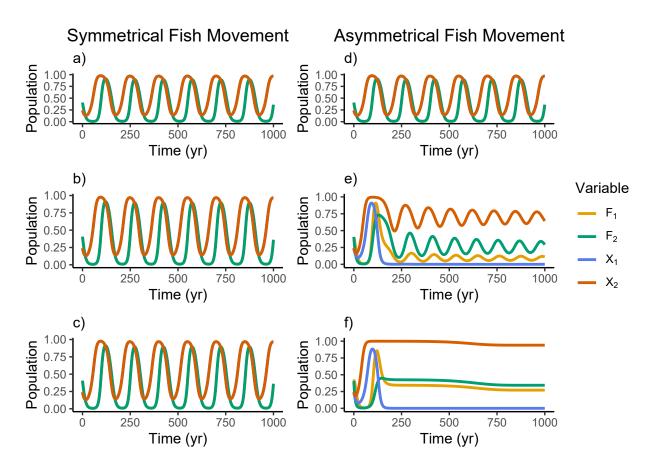


Figure 2: In graphs a), b), and c), both  $m_1$  and  $m_2$  were set to 0.01, 0.05, and 0.1, respectively. The corresponding graphs show the dynamics of these models with the new parameterizations. d), e), and f) show the changes in model dynamics when  $m_2$  is held at 0 and only  $m_1$  (the fish movement from patch 2 to patch 1) is increased by 0.01, 0.05, and 0.1, respectively. All other parameters were held at the values given in table 2

model differently than increases in  $\rho_2$ , the influence of the other human population. Here, the population dynamics of  $F_1$  stay relatively constant around 0.2, and only have very small oscillations around this number, therefore increases in  $d_1$  can result extreme booms and busts of resource populations while increases in  $\rho_2$  results in limited populations, but these but the resulting dynamics oscillate less, which indicates more stable dynamics. Increases in either  $d_1$  or  $\rho_2$  result in less frequent oscillations, meaning humans are slower to change population levels and that plot 1's resource populations spend more time at the peaks of their oscillations before either recovering from 0 or decreasing from 1.

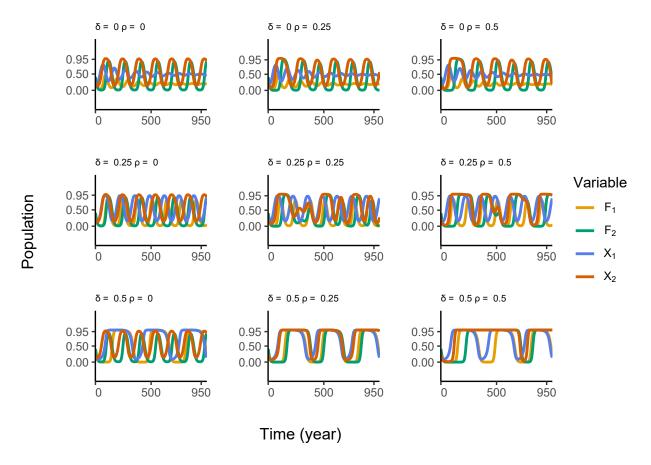


Figure 3: The difference in increasing social pressure within population 1 (the  $d_1$  parameter is increased down the columns of graphs) versus increasing social pressure from population 1 onto population 2 (the  $\rho_2$  parameter is increased across rows of graphs) which models increasing the social pressure of the .

#### 4.3 Scenario Analysis

We then modeled a hypothetical scenario where patch 1 is fished sustainably whereas patch 269 2 is experiencing over-fishing and has a higher social sway than patch 1. We modeled over-270 fishing by altering fish new growth rates (r), harvesting efficiencies (h), costs of conservation 271  $(\omega)$ , and external social norm strengths  $(\rho)$  (Table 2). Here, the unsustainable practices of 272 human population 2 are so exploitative, that both fish populations eventually collapse. We 273 used this overfishing parameterization for the rest of the analysis of a two patch small-scale 274 fishery. 275 Next, we ran our model with the parameterization outlined in table 2 with incrementally 276 higher external social influence values  $(\rho)$  in each population and observed how this affected the final population of each fish patch (Figure 4). We found that higher values of  $\rho_1$  actually 278 resulted in fish stocks collapsing in both patches whereas increases of  $\rho_2$  maintained fish 279 populations. At low values of  $\rho_2$ ,  $X_2$  continued fishing but  $X_1$  stopped fishing all together, 280 resulting in stable fish populations. As  $\rho_2$  increased, fishing eventually stopped in both 281 patches and fish populations remained at 1. 282 We then ran a similar analysis with the fish dispersal parameter, m, by changing  $m_1$  and  $m_2$ 283 individually. Contrary to the effect external social influence  $(\rho)$  had on the model, dispersal 284 had a more direct and continuous effect on the final population of fish in each patch. For 285 example, as fish movement from patch 2 to patch 1 increased (i.e. from the unsustainable 286 patch to the sustainable patch), this actually maintained low fish populations the sustainable 287 patch, but resulted in crashed populations in the unsustainable (Figure 5 a). However, if 288 the fish movement was increased from patch 1 to patch 2 (from the sustainable fishing to unsustainable), both patches eventually collapsed to zero (Figure 5 b). 290

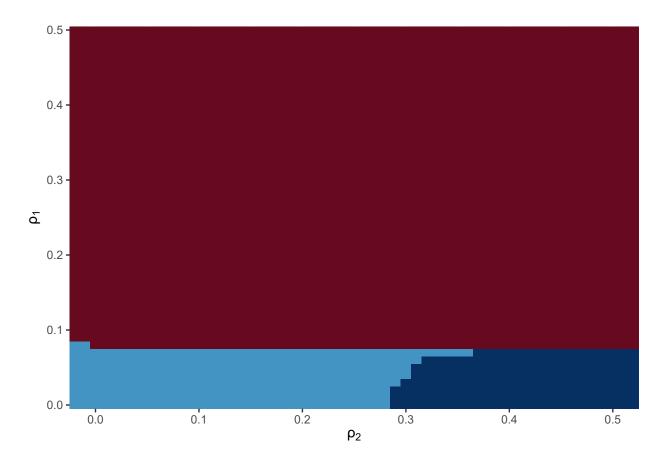


Figure 4:  $\rho_1$  and  $\rho_2$  were incrementally increased and the model was run 200 years. This graph shows the final dynamics of the system after those 200 years where the red area indicates a fishery collapse, where both patches fish at full capacity until stocks go to 0. The light blue area shows where some fish populations stay at a constant level, and only  $X_2$  continues to fish where as  $X_1$  ceases fishing all together. The darker blue patch shows where both patches stop fishing all together and fish stocks in both patches remain at full capacity.

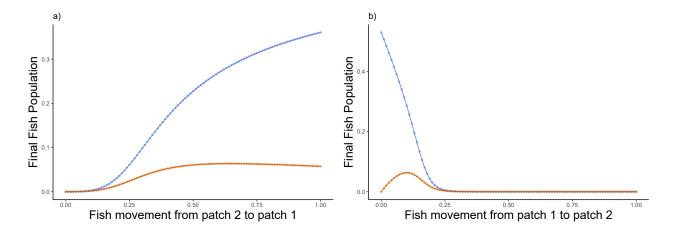


Figure 5: Final fish populations after 100 years in the two-patch fishing model where patch 1  $(F_1)$  is fished sustainably but human population 1 has a lower social influence than patch 2, where  $F_2$  is being fished unsustainably. a) shows how increases in fish movement into patch 1  $(m_1)$  affect final populations and b) shows how increases in fish movement into patch 2  $(m_2)$  affect final populations.

# 5 DISCUSSION

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Instead of just social norms controlling the dynamics of our model, we found that the fish movement between patches (m) was a major driver of population sustainability or collapse 293 (Figure 5). As we increased the movement of fish into the sustainable patch in the fishery 294 scenario (Figure 5 a), populations in that respective patch also increased because humans 295 in population 1 continued to fish sustainably. Further, as those in population 2 decreased 296 fishing rates, this influenced population 1 to also decrease their number of fishers. As a 297 result, population 1 maintained high fish stocks while population 2 had low stocks. On the 298 contrary, as fish moved from the sustainable patch 1 to the unsustainable patch 2 (Figure 5 299 b), both fish populations collapsed as  $m_2$  increased because fish movement away from patch 300 1 eventually grew to be too great for human population 1 to fish sustainably and human 301 population 2 continued to over-fish in their own patch. When both patches are subject to 302 the same conditions (Figure 2 a), b), and c)), fish movement does not affect the dynamics 303 at all. It is only when each patch is subject to different conditions, in the case of figure 2 d), 304 e), and f), where only the fish movement between patches is asymmetrical, does movement 305

of fish become extremely important in dynamics. This finding is especially relevant to fisheries where different areas where a particular species is fished may be subject to different regulation, environmental conditions, or opinions about conservation. High fish migration has been shown to be an essential part of maximizing economic benefit from fishing in multipatch models (Moeller & Neubert, 2015). Because fish are generally migratory and therefore can be difficult to track, constraining fishing to one group of people is more challenging (Grafton, 2005), especially for fish species that exhibit different movement patterns based on life stage, and requires more management coordination (Siddons et al., 2017).

Increases in  $d_1$  and  $\rho_2$  model how dynamics will change if human patch 1 is more influenced 314 by themselves  $(d_1)$  or if they have more influence on the other patch  $(\rho_2)$  (Figure 3). This 315 analysis showed that increasing either parameter maintained the oscillatory behavior of the 316 model, however as either  $d_1$  or  $\rho_2$  increased, the frequency of these oscillations decreased. In 317 other words, as a human population began to incorporate influence more, this resulted in 318 similar dynamics, but over longer timescales. This is an example of how as humans begin to 319 coordinate with the actions of their peers, changes to fishing pressures can also actually be 320 delayed. The dynamics of the model change from individual decisions, which happen almost 321 instantly, to coordinated efforts, which can take longer to implement. 322

Increases in the  $\rho_1$  (the human influence of the sustainable patch on the non-sustainable 323 fishers) parameter in the non-symmetric case, contrary to our hypothesis, actually resulted 324 in a collapses of of both fisheries because the only information being passed on to the other 325 human population is the number of fishers in the sustainable patch as opposed to what 326 sustainable fishing practices were used in order to maintain fishing yields (Figure 4). As a 327 result, when patch 2 is over-fished and the other patch 1 is fished sustainably, the human 328 population 2 will continue to over-fish their own resources because the patch 1 is influencing 329 this group to continue fishing through the high external social influence  $(\rho)$ . Instead of 330 modeling a cohesive system where communication fostered effective conservation, we created 331 a scenario where each community raced to fish each patch as opposed to coming to common

understanding of sustainable fishing practices, further highlighting that the content of the information being disseminated matters in successful conservation (Gray et al., 2012). The 334 importance of information can be reflected in real-world fisheries such as in Lake Kariba 335 between Zambia and Zimbabwe. Here, small scale fishers are reluctant to participate in co-336 management of this shared resource and have been found to resort to illegal fishing practices 337 in order to maximize fish catch (Nyikahadzoi et al., 2017). Clear communication is essential 338 across management regimes. Co-management is an increasingly used strategy in fisheries 339 regulation because it balances power structures with social environmental needs. However, 340 clear and open communication has been shown to be an essential component to successful 341 co-management (Ratner et al., 2012; Alexander et al., 2015; Defeo et al., 2016; Nyikahadzoi 342 et al., 2017; Doria et al., 2020). 343 Further, because of the outside human influence term,  $\rho_i$ , people are not responding directly to their respective fishing patch, but also to the conservation opinion of the other group. The inclusion of the fish movement term from each patch overcame the oscillations from the non-linear components of the model because fish movement is a linear term in this model. Adding a spatial component to socio-ecological models can greatly change their dynamics and therefore how people are expected to act in these models. The dispersion of fish populations 349 must be well understood in order to institute effective conservation practices because any 350 decision made by one group of people to conserve resources may be rendered ineffective if this 351 fished species is highly migratory and the other group of harvesters is using unsustainable 352 conservation practices. Further, because of the outside influences from the other human 353 patch, fishers are no longer responding directly to fish levels in their respective patch, i, but 354 are also influenced by the proportion of fishers in the other patch, j. In a scenario where fish 355 is abundant in one patch, this will also encourage fishing in the other patch because incentive 356

to fish will increase from the outside influence parameter. Past research has exemplified how

multi-patch models and the addition of spatial components change the dynamics of systems,

especially in fisheries (Mchich et al., 2000; Cai et al., 2008; Moeller & Neubert, 2015; Auger

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et al., 2022).

The decision to include the external social influence term in our model within the injunctive 361 social norms X(1-X) implies that external influence can still change an opinion for or 362 against conservation. However, an individual's willingness to take up a new opinion is still 363 dictated by the overall opinion of their own population exemplifies homophily. Social network 364 based conservation, can replace 'top-down' regulation which can exclude stakeholders but 365 has been shown to be susceptible to homophily (Newman & Dale, 2007). Conservation has 366 been shown to be more effective when human populations are more cohesive and that those 367 with subgroups experience more barriers to effective conservation (Bodin & Crona, 2009). 368 Here, we model individual decisions to fish based on the perceived benefits and costs of 369 fishing activity. It does not account for organized decision making on fishing practices or co-370 management, and there is no mechanism for dispute resolution and regulation enforcement. 371 Co-management is the organized collaboration between stakeholders to regulate ecological 372 extraction while resolving societal conflicts, and is one such way management can incorpo-373 rate complex social ecological structures (Armitage et al., 2009). Fisheries are increasingly 374 applying principles of co-management as it creates cooperation and conflict resolution be-375 tween different stakeholders while focusing on ecological sustainability (Butler et al., 2015; 376 Trimble & Berkes, 2015; Murunga et al., 2021). Co-management can also be a mechanism 377 for addressing social inequalities in fisheries (Goetze, 2005; Freitas et al., 2020; Haque et al., 378 2022). However, they can also fail if social structures are ignored when making conservation 379 decisions (Cumming et al., 2017; Baker-Médard, Concannon, et al., 2021). A limitation of 380 this model is that it does not account for this organized decision-making, and only represents 381 fisheries that have not yet instituted these governing mechanisms. 382 This model does not account for the movement of fishers between patches, which could be 383 a possible extension of this model to represent a fishery where there is overlap between the 384

fishing grounds of the two human groups. Further research on the model could also be used

in this study could consider an open system, where fish diffusion does not necessarily have to pass between patches and could diffuse into non-fished areas. Further, extensions of this 387 work could observe model dynamics with fish species with a long lifespan or fast reproduction 388 rates. Also, stronger social ties have been shown to be more adaptable to environmental 389 change (Grafton, 2005), therefore further studies could evaluate the effect of climate change 390 or extreme events on this social system (White & Wulfing, 2024). The specific way we chose 391 to incorporate social hierarchy into the model could be changed. There are many ways to 392 model social systems so another application of this study would be to compare its results to 393 models that incorporate social hierarchy differently. Next, further work on parameterizing 394 our model to a real-world system could help understand if our model is properly capturing 395 the underlying dynamics of two-patch fishing systems with social hierarchy. Our model 396 only incorporates public opinion, fishing rates, and financial gains from fisheries as aspects 397 that could cause fishery failure. In practice, other issues such as non-compliance to fishing 398 regulations, hyper-stability, and regulation lag time could all be additional factors that result 399 in fishery collapse but are not incorporated in this model (Erisman et al., 2011; Pinsky & 400 Fogarty, 2012; Belhabib et al., 2014). Further, this study does not consider Allee effects in the 401 fish populations, which may alter how spatial dynamics interacts with management practices 402 (White et al., 2021). Finally, our model assumed that the uptake of opinions happens solely through social networks and weighing costs of conservation against the benefits. In reality, there may be more factors that influence one's harvesting decisions such as governing bodies 405 or media consumption. 406

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- Data Availability All supplemental material and code for this project are available at https://github.com/swulfing/SocEco.
- 410 Competing interests None.

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