

# $\mathbb{A}^1$ -INVARIANCE FOR UNSTABLE $K_2$

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## 1. AIM OF THE PAPER

The aim of this text is to prove that the non-stable  $K_2$ -functors  $K_2^G$ , where  $G$  is a simply connected Chevalley group of suitable type, satisfy  $\mathbb{A}^1$ -invariance on regular rings  $R$  containing a field  $k$ , that is,

$$(1.1) \quad K_2^G(R[t]) = K_2^G(R).$$

As a corollary, we should easily deduce that

$$K_2^G(R) = KV_2^G(R),$$

where  $KV_2^G(R)$  is the Karoubi–Villamayor  $K$ -functor associated to  $G$ . This functor originates from [5]. The above equality by e.g. [2, Corollary 4.3.3] implies that  $\pi_1^{\mathbb{A}^1}(G)(R) = K_2^G(R)$ , i.e. we obtain an explicit presentation for the  $\mathbb{A}^1$ -fundamental group of  $G$  in the sense of Morel–Voevodsky.

”Suitable type” here means that we consider only the cases where we know the centrality of  $K_2$ , or at least the Quillen–Suslin lgp. Some intermediate steps can be proved in larger generality.

Essentially, we need to prove that  $K_2^G(k[x_1, \dots, x_n]) = K_2^G(k)$ . (Then (1.1) follows by standard geometric methods.) There are two models: Tulenbaev’s proof for the  $SL_n$  case [12] and Stavrova’s proof for  $K_1^G$  [9]. Tulenbaev [12] uses stabilization of the  $K_2$ -functor, and the good properties of the limit=algebraic  $K$ -theory. In [9] stabilization is not used. However, the key steps of both proofs are the same: the case of  $R = k$  (hidden somewhere around [12, p. 140], or, respectively, [9, Theorem 3.1]); Quillen–Suslin lgp;  $\mathbb{P}^1$ -gluing (see [12, Theorem 5.1] or [9, Theorem 1.1]).

**1.1. The case  $R = k$ .** We consider the case of  $K_2^G(k[t])$  vs.  $K_2^G(k)$ . (As in the  $\mathbb{A}^1$ -invariance of  $K_1^G$ , this case should be used to deduce that  $K_2^G(k[t_1, \dots, t_n]) = K_2^G(k)$ .)

In Tulenbaev’s framework, it follows from stabilization. However, the equality  $K_2^G(k[t]) = K_2^G(k)$  is sort of known for all groups. Namely, in [16, Theorem 5.1]: let  $k$  be an infinite field and let  $G$  be a connected reductive group over  $k$ . Then the inclusion  $k \hookrightarrow k[t]$  induces an isomorphism

$$H_\bullet(G(k), \mathbb{Z}) \xrightarrow{\cong} H_\bullet(G(k[t]), \mathbb{Z}),$$

if the order of the fundamental group of  $G$  is invertible in  $k$ . Once we know that the homology  $H_2$  coincides with  $K_2^G$  (on both sides), this gives the result. It would be nice to check Wendt’s proof; maybe, discuss it in a seminar?

Is it necessary to know the centrality in order to show that  $K_2^G$  coincides with  $H_2$ ? If yes, then we probably know it for  $K_2^G(k)$  even for isotropic groups [3], but only for the

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good Chevalley groups for  $K_2^G(k[t])$ . We should try to understand what is proved in [14, Proposition 5.3] using only the universality of the Steinberg group. This may be useful. The paper is unpublished, so again everything should be double-checked if you want to refer to it.

## 1.2. Plan of the proof.

plan:3t

- (1) (**done**, see Theorem 2). Let  $R$  be a local ring. Show that

$$\mathrm{St}^G(R[t^{\pm 1}]) = i_+(\mathrm{St}^G(R[t]))i_-(\mathrm{St}^G(R[t^{-1}]))i_+(\mathrm{St}^G(R[t]))$$

Here  $i_{\pm}$  denote the natural homomorphisms into  $\mathrm{St}^G(R[t^{\pm 1}])$ .

plan:k[t]

plan:k-intersect

- (2) (**done**, see Theorem 4). Show that  $K_2^G(k[t]) = K_2^G(k)$ .  
 (3) (**done**). Consequently,  $\mathrm{St}^G(k[t]) \rightarrow \mathrm{St}^G(k[t^{\pm 1}])$  is injective and  $\mathrm{St}^G(k[t]) \cap \mathrm{St}^G(k[t^{-1}]) = \mathrm{St}^G(k)$  inside  $\mathrm{St}^G(k[t^{\pm 1}])$ . Also,  $K_2^G(k) = K_2^G(k[t^{\pm 1}])$ .

The first claim uses (2). The second claim follows from (2) and (1).

plan:QSlgp

plan:Zglu

- (4) (**done** for split ACDE, see Theorem 1.(ii)). Prove Quillen-Suslin lgp for  $K_2^G$ .  
 (5) (Zariski gluing) for any commutative ring  $A$  and any non-nilpotent  $f, g \in A$  such that  $A = fA + gA$ , the sequence of pointed sets

$$1 \longrightarrow K_2^G(A) \xrightarrow{g \mapsto (g, g)} K_2^G(A_f) \times K_2^G(A_g) \xrightarrow{(g_1, g_2) \mapsto g_1 g_2^{-1}} K_2^G(A_{fg})$$

is exact. The proof is usually almost the same as for (4).

(Remark. It seems that we need this property only for  $A = R[t]$  and  $f, g$  non-constant polynomials.)

plan:S-lemma

- (6) ( $S$ -lemma) Let  $A$  be a commutative ring,  $S$  a multiplicative subset of  $A$ . If

$$K_2^G(A[X_1, \dots, X_n]) = K_2^G(A)$$

for some  $n \geq 1$ , then  $K_2^G(A_S[X_1, \dots, X_n]) = K_2^G(A_S)$  as well.

This should be easy; see [1, Lemma 3.6].

plan:Nglu

- (7) (Nisnevich gluing) Assume that  $B$  is a subring of a commutative ring  $A$ , and let  $h \in B$  be a non-nilpotent element. Denote by  $F_h : A \rightarrow A_h$  the localization homomorphism.

(i) If  $Ah + B = A$ , i.e. the natural map  $B \rightarrow A/Ah$  is surjective, then for any  $x \in \mathrm{St}^G(A_h)$  there exist  $y \in \mathrm{St}^G(A)$  and  $z \in \mathrm{St}^G(B_h)$  such that  $x = F_h(y)z$ .

(ii) If moreover  $Ah \cap B = Bh$ , i.e.  $B/Bh \rightarrow A/Ah$  is an isomorphism, and  $h$  is not a zero divisor in  $A$ , then the sequence of pointed sets

$$K_2^G(B) \xrightarrow{g \mapsto (F_h(g), g)} K_2^G(B_h) \times K_2^G(A) \xrightarrow{(g_1, g_2) \mapsto g_1 F_h(g_2)^{-1}} K_2^G(A_h)$$

is exact.

This should use something from the proof of (4) or (5); see [9, Lemma 3.4].

plan:P1

- (8) ( $\mathbb{P}^1$ -gluing) Let  $A$  be any commutative ring. Show that the sequence of pointed sets

$$1 \longrightarrow K_2^G(A) \xrightarrow{g \mapsto (g, g)} K_2^G(A[t]) \times K_2^G(A[t^{-1}]) \xrightarrow{(g_1, g_2) \mapsto g_1 g_2^{-1}} K_2^G(A[t, t^{-1}])$$

is exact.

This should use (1)–(4).

(a) Prove Proposition 5.8. This is hard. Have no idea how this can be proved at the moment.

(b) Prove  $\mathbb{P}^1$ -gluing using all the above facts.

**plan:[]f**

- (9) (Main corollary of  $\mathbb{P}^1$ -gluing) Let  $A$  be any commutative ring, and let  $f \in A[t]$  be a monic polynomial. Show that  $K_2^G(A[t]) \rightarrow K_2^G(A[t]_f)$  is injective.

The proof uses (5) and (8).

**plan:k(t)**

- (10) Prove that  $K_2^G(k(t)) = K_2^G(k)$ . This may be a bit tricky; I will think if we can get rid of it. I don't think Tullenbaev uses it. Unsure whether this is true (?). This is true for  $SK_1$  but in view of Milnor's theorem can not hold for  $K_1$  and  $K_2$ .

**plan:k[tn]**

- (11) Prove that

$$K_2^G(k[t_1, \dots, t_n]) = K_2^G(k).$$

If we strictly follow the pattern of  $K_1^G$ , this uses (9), (2), and (10). There may be other ways.

**plan:final**

- (12) Final result: let  $R$  be a regular ring containing a field  $k$ . Then  $K_2^G(R[t]) = K_2^G(R)$ . This uses (4), (11) and (7).

## 2. STEINBERG GROUPS: PRELIMINARIES

**2.1. Definition and basic properties.** Let  $G$  be a split simple Chevalley groups with a root system  $\Phi$  of rank  $\geq 2$ . Recall that the *Steinberg group*  $\text{St}^G(R)$  (also denoted  $\text{St}(\Phi, R)$ ) is defined by means of generators  $\mathcal{X}_{\Phi, R} = \{x_\alpha(\xi) \mid \xi \in R, \alpha \in \Phi\}$  and the set of relations  $\mathcal{R}_{\Phi, R}$  defined as follows:

**{rel:add}**

$$(2.1) \quad x_\alpha(s)x_\alpha(t) = x_\alpha(s+t),$$

**{rel:CCF}**

$$(2.2) \quad [x_\alpha(s), x_\beta(t)] = \prod x_{i\alpha+j\beta} (N_{\alpha\beta ij} s^i t^j), \quad \alpha \neq -\beta, \quad N_{\alpha\beta ij} \in \mathbb{Z}.$$

The indices  $i, j$  appearing in the right-hand side of the above relation range over all positive natural numbers such that  $i\alpha + j\beta \in \Phi$ . The structure constants  $N_{\alpha\beta ij} = \pm 1, 2, 3$  appearing in (2.2) depend only on  $\Phi$  and can be computed precisely.

Recall that for  $\alpha \in \Phi$ ,  $\varepsilon \in R^*$  the semisimple root elements  $h_\alpha(\varepsilon)$  are defined as  $h_\alpha(\varepsilon) = w_\alpha(\varepsilon)w_\alpha(-1)$ . Denote by  $W(\Phi, R)$  the subgroup of  $\text{St}(\Phi, R)$  generated by all elements  $w_\alpha(\varepsilon)$ ,  $\varepsilon \in R^*$ .

## 2.2. Relative Steinberg groups.

**{eq:suite}**

$$(2.3) \quad 1 \longrightarrow (G_1 \cap G_2)/C \longrightarrow \text{St}^G(R, I) \xrightarrow{\overline{p_2^*}} \text{St}^G(R) \xrightarrow{\pi^*} \text{St}^G(R/I) \longrightarrow 1$$

**Lemma 2.1.** *Assume that  $R$  and  $I$  are such that the canonical projection  $R \rightarrow R/I$  splits. Then the following facts are true.*

- (i) *The map  $\text{St}^G(R, I) \rightarrow \text{St}^G(R)$  is an injection.*
- (ii) *The group  $\text{St}^G(R)$  is isomorphic to  $\text{St}^G(R/I) \ltimes \text{St}^G(R, I)$ .*

*Proof.* For the proof of the first assertion see [8, Lemma 8]. Since the group  $(G_1 \cap G_2)/C$  vanishes, the sequence (2.3) turns into a split short exact sequence which implies the second assertion.  $\square$

**2.3. Tullenbaev's lifting property and its corollaries.** Throughout this section  $I \trianglelefteq A$  is an ideal of arbitrary commutative ring  $A$ . For a nonnilpotent element  $a \in A$  denote by

$\lambda_a: A \rightarrow A_a$  the morphism of principal localization at  $a$ . Consider the following commutative square.

$$\begin{array}{ccc} A & \xrightarrow{\lambda_a} & A_a \\ \downarrow & & \downarrow \\ A/I & \xrightarrow{\overline{\lambda_a}} & A_a/I_a \end{array}$$

Notice that (2.4) is a pull-back square if and only if  $\lambda_a$  induces an isomorphism of  $I$  and  $I_a$ . Such squares are usually called *Milnor squares* in the literature, see [15, Ch. I, § 2].

The following property of linear Steinberg groups was discovered for the first time by Tulenbaev (see [12, Lemmas 2.3, 3.2]) and plays a key role in the sequel.

**Definition 2.2.** We say that the Steinberg group functor  $\text{St}^G$  satisfies *Tulenbaev's lifting property* if for every pull-back square (2.4) the following lifting problem has a solution.

$$\begin{array}{ccc} \text{St}^G(A, I) & \xrightarrow{\mu} & \text{St}^G(A) \\ \downarrow & \nearrow & \downarrow \lambda_a^* \\ \text{St}^G(A_a, I) & \xrightarrow{\mu} & \text{St}^G(A_a) \end{array}$$

**Theorem 1.** Assume that  $G$  satisfies Tulenbaev property (2.2) then the following facts are true for arbitrary commutative ring  $A$ :

(i) A dilation principle holds for  $\text{St}^G(-)$ , i. e. if  $g \in \text{St}^G(A[t], tA[t])$  is such that equality  $\lambda_a^*(h) = 1$  holds in  $\text{St}^G(\Phi, R_a[t])$  then for sufficiently large  $n$  one has

$$\text{ev}_{\left[\frac{R[t] \rightarrow R[t]}{t \mapsto a^{n \cdot t}}\right]}^*(h) = 1.$$

(ii) A local-global principle holds for  $\text{St}^G(-)$ , i. e. an element  $g \in \text{St}^G(A[t], tA[t])$  is trivial if and only if its image in  $\text{St}^G(A_m[t], tA_m[t])$  is trivial for all maximal ideals  $m \subseteq A$ .

(iii)  $K_2^G(A)$  is contained in the centre of  $\text{St}^G(A)$ .

*Proof.* Follows by the same argument as [12, Theorem 2.1] or [8, Theorem 2] □

### 3. DECOMPOSITION THEOREMS FOR $\text{St}^G(A[t^{\pm 1}])$ AND $\text{St}^G(A((t)))$ .

**Lemma 3.1.** Let  $(R, m)$  be a local ring, and let  $G$  be a simply connected simple group over  $R$  of isotropic rank  $\geq 2$ . Let  $i_+ : \text{St}^G(R[t]) \rightarrow \text{St}^G(R[t^{\pm 1}])$  and  $i_- : \text{St}^G(R[t^{-1}]) \rightarrow \text{St}^G(R[t^{\pm 1}])$  be the natural homomorphisms. Then

$$i_+(\text{St}^G(m \cdot R[t])^{\text{St}^G(R[t])})i_-(\text{St}^G(R[t^{-1}])) = i_-(\text{St}^G(R[t^{-1}]))i_+(\text{St}^G(m \cdot R[t])^{\text{St}^G(R[t])})$$

inside  $\text{St}^G(R[t^{\pm 1}])$ .

*Proof.* This is proved exactly as [9, Lemma 5.12]. □

**Theorem 2.** Let  $R$  be a local ring, and let  $G$  be a simply connected simple group over  $R$  of isotropic rank  $\geq 2$ . Let  $i_+ : \text{St}^G(R[t]) \rightarrow \text{St}^G(R[t^{\pm 1}])$  and  $i_- : \text{St}^G(R[t^{-1}]) \rightarrow \text{St}^G(R[t^{\pm 1}])$  be the natural homomorphisms. Then

$$\text{St}^G(R[t^{\pm 1}]) = i_+(\text{St}^G(R[t]))i_-(\text{St}^G(R[t^{-1}]))i_+(\text{St}^G(R[t])).$$

*Proof.* This is proved exactly as [9, Theorem 5.1].  $\square$

#### 4. STEINBERG GROUPS: ANOTHER PRESENTATION

sec:stbA3

**4.1. Presentation of  $\text{St}(4, R)$ .** The main goal of this subsection is to show that Tulenbaev's [12, Lemma 2.3] remains valid for the linear Steinberg group of rank  $\geq 3$ . In order to do this we will need yet another presentation for the relative linear Steinberg group (cf. [8, Definitions 3.3 and 3.7]).

dfn:yap

**Definition 4.1.** The relative Steinberg group  $\text{St}^*(n, R, I)$  is the group defined by the following two families generators and four families of relations.

• Generators:

- (1)  $X^1(u, v)$ , where  $u \in E(n, R) \cdot e_1$ ,  $v \in I^n$  such that  $v^t \cdot u = 0$ ;
- (2)  $X^2(u, v)$ , where  $u \in I^n$ ,  $v \in E(n, R) \cdot e_1$  such that  $v^t \cdot u = 0$ .

Notice that  $\phi$  maps both  $X^1(u, v)$  and  $X^2(u, v)$  to  $T(u, v) = e + u \cdot v^t \in E(n, R, I)$ .

• Relations:

- (1)  $X^1(u, v) \cdot X^1(u, w) = X^1(u, v + w)$ ,  $u \in E(n, R) \cdot e_1$ ,  $v, w \in I^n$ ;
- (2)  $X^2(u, v) \cdot X^2(w, v) = X^2(u + w, v)$ ,  $u, w \in I^n$ ,  $v \in E(n, R) \cdot e_1$ ;
- (3)  $X^\sigma(u^2, v^2) X^\tau(u^1, v^1) = X^\tau(T(u^2, v^2) \cdot u^1, T(v^2, u^2)^{-1} \cdot v^1)$ ,  $\sigma, \tau = 1, 2$ ;
- (4)  $X^1(g \cdot e_1, g^* \cdot be_2) = X^2(g \cdot be_1, g^* \cdot e_2)$  where  $b \in I$  and  $g^* = g^{t^{-1}}$  denotes the contragradient matrix.

**Lemma 4.2.** *The groups  $\text{St}^*(n, R, I)$  and  $\text{St}(n, R, I)$  are isomorphic.*

*Proof.* **TODO:**  $\square$

The next step of the proof is to construct certain elements in  $\text{St}(n, R)$  similar to Tulenbaev's elements  $X_{u,v}(a)$  see [12, § 1].

Let  $v \in R^n$  be a column. Denote by  $O(v)$  the submodule of  $R^n$  consisting of all columns  $w$  such that  $w^t \cdot v = 0$ . A column  $w \in R^n$  is called *v-decomposable* if it can be presented as a finite sum  $w = \sum_{i=1}^p w^i$  such that each  $w^i$  has at least two zero entries and  $v^t \cdot w^i = 0$ . Denote by  $D(v)$  the submodule of  $O(v)$  consisting of all *v-decomposable* columns. For a column  $v \in R^n$  denote by  $I(v)$  the ideal of  $R$  spanned by its entries  $v_1, \dots, v_n$ .

Let  $u, v, w \in R^n$  be columns such that  $w^t v = 0$ . It is easy to check (cf. [6, Lemma 3.2]) that

$$(uv) \cdot w = \sum_{i < j} w_{ij}, \text{ where } w_{ij} = (w_i u_j - w_j u_i)(v_j e_i - v_i e_j) \in A^n.$$

The above decomposition is called the *canonical* decomposition of  $(uv) \cdot w$ . In particular, this shows that the column  $a \cdot w$  is always *v-decomposable* for  $a \in I(v)$ ,  $w \in O(v)$ , i.e.  $I(v) \cdot O(v) \subseteq D(v)$ . It is also straightforward to check that  $D(v) \subseteq D(bv)$ ,  $b \cdot D(v) \subseteq D(v)$  for  $b \in R$ .

Denote by  $B^1$  the subset of  $R^n \times R^n \times R$  consisting of triples  $(u, v, a)$  such that  $v^t \cdot u = 0$ ,  $v \in D(u)$ ,  $a \in I(u)$ . Denote by  $B^2$  the set consisting of triples  $(v, u, a)$  such that  $(u, v, a) \in B^1$ .

lem:Zfacts

**Lemma 4.3.** *Assume that  $n \geq 4$ . One can define two families of elements  $Z^\tau(u, v, a)$ ,  $\tau = 1, 2$  of the group  $\text{St}(n, R)$  parametrized by  $(u, v, a) \in B^\tau$  satisfying the following properties:*

- (1)  $\phi(Z^\tau(u, v, a)) = e + uav^t \in E(n, R)$ ,  $(u, v, a) \in B^\tau$ ;

- (2)  $Z^1(u, v + w, a) = Z^1(u, v, a) \cdot Z^1(u, w, a)$ ;
- (3)  $Z^2(v + w, u, a) = Z^2(v, u, a) \cdot Z^2(w, u, a)$ ;
- (4) for  $\tau = 1, 2$  and  $b \in R$  if  $(u, vb, a), (ub, v, a) \in B^\tau$  then one has

$$Z^\tau(u, vb, a) = Z^\tau(u, v, ab) = Z^\tau(ub, v, a);$$

- (5)  ${}^gZ^\tau(u, v, a) = Z^\tau(\phi(g) \cdot u, \phi(g)^* \cdot v, a)$ ,  $\tau = 1, 2$ ,  $g \in \text{St}^G(n, R)$ .

*Proof.* One constructs the elements  $Z^1(u, v, a)$  in exactly the same way as Tulenbaev constructs his elements  $X_{u,v}(a)$  (see definitions preceding [12, Lemma 1.2]). Indeed, set

$$(4.1) \quad Z^1(v, w, a) = \prod_{k=1}^p X(v, a \cdot w^k), \quad Z^2(w, v, a) = \prod_{k=1}^p X(a \cdot w^k, v).$$

where  $X(u, v)$  denotes the elements defined by Tulenbaev before [12, Lemma 1.1].

The correctness of this definition and all the assertions of the lemma (with the exception of the last one in the case  $n = 4$ ) can be proved by the same token as in [12, Lemma 1.3].  $\square$

For the rest of this section  $a$  denotes a nonnilpotent element of  $R$  and  $\lambda_a: R \rightarrow R_a$  is the morphism of principal localization at  $a$ .

**lem:rk3rels**

**Lemma 4.4.** *For any  $g \in E(n, R_a)$  there exist  $u, v \in R^n$  and sufficiently large natural numbers  $k, m$  such that the following facts hold:*

- (1)  $\lambda_a(u) = g \cdot a^k e_1$ ,  $\lambda_a(v) = g^* \cdot a^k e_2$  and  $u^t \cdot v = 0$ ;
- (2)  $(u, v, a^m) \in B^1 \cap B^2$ ;
- (3) for  $b \in R$  divisible by some sufficiently large power of  $a$  one has

$$Z^1(u, b \cdot v, a^m) = Z^2(b \cdot u, v, a^m).$$

*Proof.* It is straightforward to choose  $u$  and  $v$  satisfying the first requirement of the lemma. We can even choose  $u, v$  in such a way that  $u \in D(v)$  and  $v \in D(u)$ . Indeed, notice that  $I(u) = a^{k_1}$ ,  $I(v) = a^{k_2}$  for some natural  $k_1, k_2$  hence for  $u' = a^{k_2} \cdot u$  and  $v' = a^{k_1} \cdot v$  one has

$$u' \in I(v) \cdot O(v) \subseteq D(v) \subseteq D(v'), \quad v' \in I(u) \cdot O(u) \subseteq D(u) \subseteq D(u'),$$

as required.

In fact, we can also choose two extra columns  $x, y \in R^n$  and a large natural  $p$  in such a way that vectors  $u, v, x, y$  additionally satisfy the following properties

$$\begin{aligned} \lambda_a(x) &= g^* \cdot a^k e_3, \quad \lambda_a(y) = g \cdot a^k e_3, \quad y^t \cdot x = a^p \in R, \\ u^t \cdot x &= 0, \quad u^t \cdot v = 0, \quad y^t \cdot v = 0, \\ (u, x, a^m) &\in B^1, \quad (y, v, a^m) \in B^2. \end{aligned}$$

Now direct computation using Lemma 4.3 shows that

$$\begin{aligned} Z^2(a^{m+p}b \cdot u, v, a^m) &= Z^2(b \cdot (e + a^m \cdot ux^t)y, (e - a^m \cdot xu^t)v, a^m) \cdot Z^2(-by, v, a^m) = \\ &= [Z^1(u, x, a^m), Z^2(b \cdot y, v, a^m)] = \\ &= Z^1(u, x, a^m) \cdot Z^1((e + a^m b \cdot yv^t)u, -(e - a^m b \cdot vy^t)x, a^m) = Z^1(u, a^{m+p}b \cdot v, a^m), \quad \square \end{aligned}$$

hence the third assertion of the lemma follows.

**cor:tlpA3**

**Corollary 4.5.** *For  $G = G(\mathbb{A}_3, -)$  the Steinberg group functor  $\text{St}^G(R)$  satisfies Tulenbaev lifting property 2.2.*

*Proof.* Follows from Lemma 4.4 by the same token as in [12, Lemma 2.3].  $\square$

#### 4.2. Application: centrality of $K_2$ in the simply-laced case.

sec:sigma

**4.3. Application: the automorphisms  $\sigma_i$ .** Our notation and conventions follows [13, § 4]. Let  $\Phi$  be an irreducible root system with some fixed basis of simple roots  $\Pi = \{\alpha_1, \dots, \alpha_\ell\}$ . We denote by  $\Phi^\vee$  the *dual root system* of  $\Phi$  consisting of vectors  $\alpha^\vee = 2\alpha/(\alpha, \alpha)$ ,  $\alpha \in \Phi$ . As usual,  $P(\Phi^\vee)$  denotes the lattice spanned by the *fundamental weights*  $\varpi_i$ . Recall that  $\varpi_i$  are uniquely determined by relations  $\langle \varpi_i, \alpha_j^\vee \rangle = (\varpi_i, \alpha_j) = \delta_{ij}$ .

Notice that for  $\varpi \in P(\Phi^\vee)$  and  $\beta \in \mathbb{Z}\Phi$  one has  $(\varpi, \beta) \in \mathbb{Z}$ . Consequently, for  $\varepsilon \in R^*$  and  $\varpi \in P(\Phi^\vee)$  the identity  $\chi_{\varpi, \varepsilon}(\beta) = \varepsilon^{(\varpi, \beta)}$  gives a well-defined character  $\chi_{\varpi, \varepsilon} \in \text{Hom}(\mathbb{Z}\Phi, R^*)$ .

Consider the action of  $H = \text{Hom}(\mathbb{Z}\Phi, R^*)$  on the set of generators  $\mathcal{X}_{\Phi, R}$  of the Steinberg group  $\text{St}^G(R)$  defined by

$$(4.2) \quad \chi \cdot x_\alpha(\xi) = x_\alpha(\chi(\alpha) \cdot \xi), \quad \chi \in H, \quad \alpha \in \Phi, \quad \xi \in R.$$

Since  $\chi$  is a character, the above action preserves the set of Steinberg relations  $\mathcal{R}_{\Phi, R}$  and, thus, gives a well-defined action of  $H$  on  $\text{St}^G(R)$ .

**Example 4.6.** The principal example which motivates the above construction is as follows. Let  $A$  be a ring, take  $R = A[t, t^{-1}]$  to be the ring of Laurent polynomials over  $A$  and let  $\alpha_i \in \Pi$  be some simple root. Since  $t \in R^*$  we can consider the automorphisms  $\sigma_i^+$  and  $\sigma_i^-$  of  $\text{St}(\Phi, R)$  given by  $\sigma_i^+ = \chi_{\varpi_i, t}$ ,  $\sigma_i^- = \chi_{\varpi_i, t^{-1}}$ . It is easy to see that

$$(4.3) \quad \sigma_i^\pm(x_\alpha(\xi)) = x_\alpha(t^{\pm m_i(\alpha)} \cdot \xi),$$

where  $m_k(\alpha)$  denotes the coefficient in the expansion of  $\alpha$  in  $\Pi$ , i. e.  $\alpha = \sum m_k(\alpha)\alpha_k$ .

One of the key steps of our proof of Suslin lemma for  $K_2$  is to define an analogue of  $\sigma_i$  for the group  $\text{St}^G(A[t])$ . Of course, we cannot expect such map to be automorphism or even be defined on the whole group  $\text{St}^G(A[t])$ . However, it turns out that for certain  $i$  is still possible to define certain subgroups of  $\text{St}^G(A[t])$  and the maps modeling  $\sigma_i$  between them. First, we settle the case  $\Phi = A_3$  invoking the presentation obtained in 4.1. Then we study the general case using the Curtis-Tits presentation.

lem:sigma

**Lemma 4.7.** *Let  $A$  be a local commutative ring,  $G = G(\Phi, -)$  where  $\Phi$  is an irreducible root system. Assume that on the Dynkin diagram of  $\Phi$  one can find an endnode numbered  $i$  such that  $i$  is contained in a subdiagram of type  $A_3$ .*

*Then there exists subgroups  $N_i^+$ ,  $N_i^-$  of  $\text{St}^G(A[t])$  and homomorphisms  $\tilde{\sigma}_i^+ : N_i^+ \rightarrow N_i^-$ ,  $\tilde{\sigma}_i^- : N_i^- \rightarrow N_i^+$  compatible with the action of  $\sigma_i^\pm$  i. e. such that the following diagram commutes.*

$$\begin{array}{ccc} N_i^\pm & \xrightarrow{\lambda_t^*} & \text{St}^G(A[t, t^{-1}]) \\ \downarrow \tilde{\sigma}_i^\pm & & \downarrow \sigma_i^\pm \\ N_i^\mp & \xrightarrow{\lambda_t^*} & \text{St}^G(A[t, t^{-1}]) \end{array}$$

*Proof for  $\Phi = A_\ell$ ,  $\ell \geq 3$  and  $i = 1$ .* For  $\alpha_i \in \Pi$  denote by  $P_i^+$  (resp.  $P_i^-$ ) the subgroup of  $\text{St}^G(A)$  generated by  $x_\alpha(\xi)$  for  $\xi \in A$ ,  $\alpha \in \Sigma_i^+ \cup \Delta_i$  (resp.  $\alpha \in \Sigma_i^- \cup \Delta_i$ ).

First, we define the subgroups  $N_i^\pm$  (this definition also works for  $\Phi$  such that  $m_i(\tilde{\alpha}) = 1$ ). Define  $N_i^+$  (resp.  $N_i^-$ ) to be the subgroup consisting of  $g \in \text{St}^G(A[t])$  such that  $g(0) \in P_i^+$  (resp.  $g(0) \in P_i^-$ ).



Denote by  $j_\ell$  the natural map  $\mathrm{St}(\Delta_1, A) \rightarrow \mathrm{St}(\Phi, A)$ . By the Levi decomposition  $P_1^\pm$  is isomorphic to  $\mathrm{U}(\Sigma_1^\pm, A) \rtimes \mathrm{Im}(j_\ell)$ .

The stable rank of  $A$  equals 1 hence from the injective stability theorem for  $K_2$  (see [11, Theorem 4.1]) it follows that the map  $j_\ell$  is injective for  $\ell \geq 3$  and that  $P_1^\pm = \mathrm{U}(\Sigma_1^\pm, A) \rtimes \mathrm{St}(\Delta_1, A)$ . Clearly, there exists a unique group homomorphism  $\sigma_1^\pm: P_1^\pm \rightarrow \mathrm{St}^G(\Phi, A[t])$  acting identically on  $\mathrm{St}(\Delta_1, A)$  and sending any generator  $x_\alpha(\xi) \in \mathrm{U}(\Sigma_1^\pm, A)$  (i. e.  $\alpha \in \Sigma_1^\pm$ ) to  $x_\alpha(t \cdot \xi)$ .

Set  $H = \mathrm{St}^G(A[t], tA[t])$ . By Lemma 2.1(ii) we have  $\mathrm{St}^G(A[t]) = \mathrm{St}^G(A) \rtimes H$  hence  $N_1^\pm \cong P_1^\pm \rtimes H$ . Define the map  $\tilde{\sigma}_1^\pm: H \rightarrow \mathrm{St}^G(A[t])$  on the generators of  $\mathrm{St}^G(A[t], tA[t])$  as follows. A routine check shows that the defining relations of  $H$  are satisfied and that  $\sigma_1^\pm$  preserves the action of  $P_1^\pm$  on  $H$ . Thus, we obtain a well-defined map  $\sigma_1^\pm: N_i^\pm \rightarrow \mathrm{St}^G(A[t])$  fitting into the above commutative diagram. The fact that the image of  $\sigma_1^\pm$  is contained in  $N_i^\mp$  is obvious.

*Proof in the general case.* □

## 5. $\mathbb{P}^1$ -GLUING

Throughout this section  $G = G(\Phi, -)$  denotes a Chevalley group scheme of type  $\Phi$ .

**def:p1g**

**Definition 5.1.** Let  $F$  be a group-valued functor from **CRings** to **Groups** and let  $A$  be a commutative ring. Consider the following commutative diagram.

$$\begin{array}{ccc} A & \xrightarrow{i_+} & A[t] \\ i_- \downarrow & & \downarrow j_+ \\ A[t^{-1}] & \xrightarrow{j_-} & A[t, t^{-1}] \end{array}$$

We say that  $F$  satisfies the  $\mathbb{P}^1$ -glueing property for  $A$  if the following sequence of pointed sets is exact in the middle term:

$$F(A) \xrightarrow{\Delta_A^F} F(A[t]) \times F(A[t^{-1}]) \xrightarrow{\pm_A^F} F(A[t, t^{-1}]).$$

Here  $\Delta_A^F$  denotes the (split injective) diagonal map and, by definition,  $\pm_A^F$  maps  $(g^+, g^-)$  to  $F(j_+)(g^+) \cdot F(j_-)(g^-)^{-1}$ . Notice that a priori  $\pm_A^F$  is only a morphism of pointed sets but if  $F$  takes values in abelian groups then  $\pm_A^F$  is also a morphism of groups.

An equivalent way to formulate  $\mathbb{P}^1$ -glueing property is as follows:  $F(j_+)$  and  $F(j_-)$  are injective and the intersection of their images coincides with the image of  $F(j_+i_+) = F(j_-i_-)$ .

The main result of this section is the following theorem which generalizes [12, Theorem 5.1] to Chevalley groups. Notice that a  $K_1$ -analogue of the result below has been established in a much greater generality by the second-named author (see [9, Theorem 1.1]).

**thm:p1**

**Theorem 3.** Assume that  $G$  satisfies Tulenbaev lifting property 2.2. Then the Steinberg group functor  $\mathrm{St}^G(-)$  satisfies  $\mathbb{P}^1$ -glueing property for an arbitrary commutative ring  $A$ .

*Proof.* Let  $(g^+, g^-)$  be an element of  $\mathrm{St}^G(A[t]) \times \mathrm{St}^G(A[t^{-1}])$  such that the equality  $g^+ = g^-$  holds in  $\mathrm{St}^G(A[t, t^{-1}])$ .



Let  $m$  be a maximal ideal of  $A$ . By Proposition 5.9 below the functor  $\mathrm{St}^G(-)$  satisfies  $\mathbb{P}^1$ -glueing property for the local ring  $A_m$  hence  $(\lambda_m^*(g_+), \lambda_m^*(g_-)) = \Delta_{A_m}(\lambda_m^*(g^+)(0))$  and in the groups  $\mathrm{St}^G(A_m[t])$  and  $\mathrm{St}^G(A_m[t^{-1}])$  we have the equalities:

$$\lambda_m^*(g^+ \cdot g^+(0)^{-1}) = \lambda_m^*(g^+) \cdot \lambda_m^*(g^+)(0)^{-1} = 1; \quad \lambda_m^*(g^- \cdot g^+(0)^{-1}) = 1.$$

Now, by the local-global principle for  $\mathrm{St}^G(-)$  (see Theorem 1.(ii)) these equalities hold globally and  $(g^+, g^-) = \Delta_A(g^+(0))$ , as claimed.  $\square$

rem:stk2

*Remark 5.2.* It is clear that if the functor  $\mathrm{St}^G$  satisfies  $\mathbb{P}^1$ -glueing property for  $A$  then so does the functor  $K_2^G$ . The converse statement also holds, indeed, if  $(g^+, g^-) \in \mathrm{Ker}(\pm_A^{\mathrm{St}})$  then inside  $E^G(A[t, t^{-1}])$  we have the equality:

$$\varphi(\mathrm{St}^G(j_+)(g^+)) = \varphi(\mathrm{St}^G(j_-)(g^-)) \in E^G(A[t]) \cap E^G(A[t^{-1}]) = E^G(A).$$

Consequently, we can find  $g_0 \in \mathrm{St}^G(A)$  so that  $(g^+ g_0^{-1}, g^- g_0^{-1}) \in \mathrm{Ker}(\pm_A^{K_2})$  and it remains to apply the  $\mathbb{P}^1$ -glueing property for  $K_2^G$ .

**Corollary 5.3.** *Let  $A$  be any commutative ring and  $f \in A[t]$  be a monic polynomial. Then the map  $K_2^G(A[t]) \rightarrow K_2^G(A[t]_f)$  is injective.*

*Proof.*  $\square$

**5.1. The case of a field.** Throughout this section  $k$  denotes arbitrary field  $k$ .

thm:k[t]

**Theorem 4.** *Assume that  $G = G(\Phi, -)$  and  $\Phi$  is irreducible of rank  $\geq 2$ .*

satz1

(i) *The subgroup  $K_2^G(k[t]) \trianglelefteq \mathrm{St}^G(k[t])$  is generated by elements of the form*

$$h_\alpha(uv)h_\alpha(u)^{-1}h_\alpha(v)^{-1}, \quad u, v \in k^*.$$

(ii) *As a consequence, the canonical injection  $K_2^G(k) \hookrightarrow K_2^G(k[t])$  is an isomorphism.*

*Proof.* See [7, Satz 1] and the corollary after it.  $\square$

cor:k[t]inj

**Corollary 5.4.** *Let  $G$  be as in the above theorem. Then the functors  $\mathrm{St}^G, K_2^G$  satisfy  $\mathbb{P}^1$ -glueing property for  $k$ .*

*Proof.* By Remark 5.2 it suffices to prove the assertion only for the functor  $K_2^G$ . By the previous theorem  $K_2^G(i_+)$  and  $K_2^G(i_-)$  are isomorphisms hence the morphisms  $K_2^G(j_+)$  and  $K_2^G(j_-)$  are split injective and  $\mathrm{Im}(j_+ i_+) = \mathrm{Im}(j_- i_-) = \mathrm{Im}(j_+) = \mathrm{Im}(j_-)$ .  $\square$

**Corollary 5.5.** *Let  $G, k$  be as in Theorem 4. Then  $K_2^G(k[t^{\pm 1}]) = K_2^G(k)$ .*

*Proof.* We use Theorem 2. Take  $g \in K_2^G(k[t^{\pm 1}])$ , then  $g = x_1 y x_2$ ,  $x_i \in \mathrm{St}^G(k[t])$ ,  $y \in \mathrm{St}^G(k[t^{-1}])$ . Since  $E(k[t]) \cap E(k[t^{-1}]) = E(k)$ , we have  $y \in \mathrm{St}^G(k) K_2^G(k[t^{-1}]) = \mathrm{St}^G(k)$  and  $x_1 x_2 \in \mathrm{St}^G(k)$ . That is,  $g \in K_2^G(k)$ .  $\square$

**5.2. Suslin lemma for  $K_2$ .** For the rest of this section  $A$  denotes an arbitrary commutative local ring with the maximal ideal  $m$  and the residue field  $k$ . We denote by  $\pi$  the canonical projection  $A \rightarrow k$ . Throughout this section we will employ the following notation:

- $R$  denotes the Laurent polynomial ring  $A[t, t^{-1}]$ ;
- $B$  denotes the subring  $A[t] + m[t^{-1}]$  of  $R$  consisting of Laurent polynomials  $f(t, t^{-1})$  whose coefficients of terms of negative degree belong to  $m$ ;
- $I$  denotes the ideal  $m[t, t^{-1}]$  of  $R$  (which can be also considered as an ideal of  $B$ ).

Our first result is analogous to [12, Lemma 3.1(e)] (cf. also with [4, § 2.3A]).

**cor:bruhat**

**Lemma 5.6.** *The Steinberg group  $\mathrm{St}^G(A)$  admits the following analogue of the Bruhat decomposition:*

$$\mathrm{St}^G(A) = \mathrm{U}(\Phi^+, A) \cdot \mathrm{W}(\Phi, A) \cdot \mathrm{U}(\Phi^+, A) \cdot \mathrm{Im}(\mathrm{St}^G(A, m) \rightarrow \mathrm{St}^G(A)).$$

*Proof.* First of all, notice that  $\mathrm{St}^G(k)$  admits Bruhat decomposition for arbitrary field  $k$ :

$$\mathrm{St}^G(k) = \mathrm{U}(\Phi^+, k) \cdot \mathrm{W}(\Phi, k) \cdot \mathrm{U}(\Phi^+, k).$$

Indeed, this is an immediate consequence of the classical Bruhat decomposition for the linear groups (see e. g. [10, Theorem 4]) and the fact that  $K_2^G(k)$  is central in  $\mathrm{St}^G(k)$  and is generated by symbols  $h_\alpha(u)$  lying in  $\mathrm{W}(\Phi, k)$  (cf. Theorem 4.(i)).

As a consequence, the first three factors in the right hand side of the decomposition are mapped epimorphically onto  $\mathrm{St}^G(k)$  and the last factor coincides with  $\mathrm{Ker}(\pi_*)$  from which the assertion of the lemma follows.  $\square$

**lem:tulinj**

**Lemma 5.7.** *Assume that  $G$  satisfies Tulenbaev lifting property 2.2. Then the map  $i$  in the following commutative diagram of groups is injective.*

**{diag:cs}**

(5.1)

$$\begin{array}{ccccccc} C_B & \hookrightarrow & \mathrm{St}^G(B, I) & \xrightarrow{\mu_B} & \mathrm{St}^G(B) & \xrightarrow{\pi_B} \twoheadrightarrow & \mathrm{St}^G(k[t]) \\ \downarrow k & & \downarrow j & \nearrow \varphi & \downarrow i & & \downarrow \\ C_R & \hookrightarrow & \mathrm{St}^G(R, I) & \xrightarrow{\mu_R} & \mathrm{St}^G(R) & \xrightarrow{\pi_R} \twoheadrightarrow & \mathrm{St}^G(k[t, t^{-1}]) \end{array}$$

*Proof.* First of all, notice that by Corollary 5.4 the vertical map in the right-hand side of the diagram is injective. Invoking Tulenbaev's property 2.2 we also find a lifting map  $\varphi$  in the central square of the diagram.

Let  $g \in \mathrm{St}^G(B)$  be an element of  $\mathrm{Ker}(i)$ . Since  $g$  also lies in  $\mathrm{Ker}(\pi_B)$  it comes from some  $\tilde{g} \in \mathrm{St}^G(B, I)$  via  $\mu_B$ . But  $j(\tilde{g})$  lies in  $C_R$ , hence, by Proposition 5.8 below it comes from some  $\hat{g} \in C_B$  via  $k$ . Finally,  $g = \varphi(j(\tilde{g})) = \varphi(k(\hat{g})) = \mu_B(\hat{g}) = 1$ , as claimed.  $\square$

The following result is analogous to [12, Proposition 4.1].

**prop:kersurj**

**Proposition 5.8.** *Under the assumptions of Lemma 5.7 the map  $k$  in the diagram (5.1) is surjective.*

*Sketch/draft of the proof.* The argument presented below only may work under additional assumption that  $m_i(\tilde{\alpha}) = 1$  ( $i$  is as in the statement of Lemma 4.7).

Consider the following set

$$X = \mathrm{St}^G(B) \times \tilde{B}(R) \times \mathrm{St}^G(R, I) / \simeq .$$

The congruence relation we impose should be similar to that used in Tulenbaev's paper. In particular, there are two maps  $\psi_1: \mathrm{St}^G(B, I) \rightarrow \mathrm{St}^G(R, I)$ ,  $p_{2B}^*: \mathrm{St}^G(B, I) \rightarrow \mathrm{St}^G(B)$  satisfying: ( $p_2^*$  is the canonical projection from  $\mathrm{St}^G(B, I)$  to  $\mathrm{St}^G(B)$  induced by the projection from  $D(B, I)$  on the second coordinate, cf. section 3.1 in [8]),

$$[p_2^*(h), 1, g] \simeq [1, 1, \psi_1(h)g].$$

Notice that instead of  $\mathrm{St}^G(A[t])$  (used by Tulenbayev in the first factor) we use  $\mathrm{St}^G(B)$ .

TODO: We should prove that there is a well-defined action of  $\mathrm{St}^G(R)$  on  $X$ .

We also assume that there is an automorphism  $\sigma_i$  defined on  $\mathrm{St}^G(R, I)$  (this should be simple: first define it on  $\mathrm{St}^G(D(R, I))$ , then show that it is an automorphism of the subgroup  $\ker(p_2^*)$  then show that it is an automorphism of the relative group  $\mathrm{St}^G(R, I)$ ).

Now assume that  $g \in \mathrm{St}^G(R, I)$  is such that its image  $p_{2R}^*(g)$  in  $\mathrm{St}^G(R)$  is trivial

Using [8, Lemma 5] we can rewrite  $g$  as a product of  $Z_\alpha((0, s), (\xi_1, \xi_2))$ ,  $(\xi_1, \xi_2) \in D(R, I)$ ,  $\alpha \in \Sigma_1^+$ ,  $s \in I$  and  $x_\alpha((0, s))$ ,  $s \in I$ ,  $\alpha \in \Phi$ . Clearly, for  $\alpha \in \Sigma_1^+$  we have

$$\sigma^{-N}(Z_\alpha((0, s), (\xi_1, \xi_2))) = Z_\alpha((0, s \cdot t^{-N}), (\xi_1 \cdot t^N, \xi_2 \cdot t^N)).$$

□

By the above formula, for sufficiently large  $N$  we have that  $\sigma^{-N}(g)$  is a product of  $Z_\alpha((0, s), (\xi_1, \xi_2))$  for  $\xi_1, \xi_2 \in A[t] \subseteq B$ . In particular, this shows that  $g \in \mathrm{Im}(\psi_1)$  hence for some  $g' \in \mathrm{St}^G(B, I)$  we have

$$[1, 1, g] = \sigma^N \cdot \sigma^{-N}[1, 1, g] = \sigma^N[1, 1, \psi_1(g')] = \sigma^N[p_{2B}^*(g'), 1, 1] = \sigma^N p_{2B}^*(g') \cdot \sigma^{-N} \cdot [1, 1, 1].$$

At this point we should be able to prove that  $\sigma^N p_{2B}^*(g') \cdot \sigma^{-N} = p_{2R}^*(g) = 1$  (cf. definition of Tullenbaev's action). Finally, we get  $[1, 1, g] = [1, 1, 1]$  from which the assertion of the Lemma should follow.

**5.3. Proof of the main result.** The following result is analogous to [12, Proposition 4.3]. It plays the same role in our proof of  $\mathbb{P}^1$ -glueing for  $K_2$  as generalized Suslin lemma (cf. [1, Theorem 2.16]) does in the corresponding proof for  $K_1$ .

prop:p1g

**Proposition 5.9.** *The functors  $\mathrm{St}^G$ ,  $K_2^G$  satisfy  $\mathbb{P}^1$ -glueing property for arbitrary local ring  $A$ .*

*Proof.*

□

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