# $\mathbb{A}^1$ -INVARIANCE FOR UNSTABLE $K_2$

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# 1. AIM OF THE PAPER

The aim of this text is to prove that the non-stable  $K_2$ -functors  $K_2^G$ , where G is a simply connected Chevalley group of suitable type, satisfy  $\mathbb{A}^1$ -invariance on regular rings R containing a field k, that is,

{eq:A1-main}

(1.1) 
$$K_2^G(R[t]) = K_2^G(R).$$

As a corollary, we should easily deduce that

$$K_2^G(R) = KV_2^G(R),$$

where  $KV_2^G(R)$  is the Karoubi–Villamayor K-functor associated to G. This functor originates from [5]. The above equality by e.g. [2, Corollary 4.3.3] implies that  $\pi_1^{\mathbb{A}^1}(G)(R) = K_2^G(R)$ , i.e. we obtain an explicit presentation for the  $\mathbb{A}^1$ -fundamental group of G in the sense of Morel–Voevodsky.

"Suitable type" here means that we consider only the cases where we know the centrality of  $K_2$ , or at least the Quillen-Suslin lgp. Some intermediate steps can be proved in larger generality.

Essentially, we need to prove that  $K_2^G(k[x_1,\ldots,x_n])=K_2^G(k)$ . (Then (1.1) follows by standard geometric methods.) There are two models: Tulenbaev's proof for the  $\mathrm{SL}_n$  case [12] and Stavrova's proof for  $K_1^G$  [9]. Tulenbaev [12] uses stabilization of the  $K_2$ -functor, and the good properties of the limit=algebraic K-theory. In [9] stabilization is not used. However, the key steps of both proofs are the same: the case of R=k (hidden somewhere around [12, p. 140], or, respectively, [9, Theorem 3.1]); Quillen-Suslin lgp;  $\mathbb{P}^1$ -gluing (see [12, Theorem 5.1] or [9, Theorem 1.1]).

1.1. The case R=k. We consider the case of  $K_2^G(k[t])$  vs.  $K_2^G(k)$ . (As in the  $\mathbb{A}^1$ -invariance of  $K_1^G$ , this case should be used to deduce that  $K_2^G(k[t_1,\ldots,t_n])=K_2^G(k)$ .)

In Tulenbaev's framework, it follows from stabilization. However, the equality  $K_2^G(k[t]) = K_2^G(k)$  is sort of known for all groups. Namely, in [16, Theorem 5.1]: let k be an infinite field and let G be a connected reductive group over k. Then the inclusion  $k \hookrightarrow k[t]$  induces an isomorphism

$$H_{\bullet}(G(k), \mathbb{Z}) \xrightarrow{\cong} H_{\bullet}(G(k[t]), \mathbb{Z}),$$

if the order of the fundamental group of G is invertible in k. Once we know that the homology  $H_2$  coincides with  $K_2^G$  (on both sides), this gives the result. It would be nice to check Wendt's proof; maybe, discuss it in a seminar?

Is it necessary to know the centrality in order to show that  $K_2^G$  coincides with  $H_2$ ? If yes, then we probably know it for  $K_2^G(k)$  even for isotropic groups [3], but only for the

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good Chevalley groups for  $K_2^G(k[t])$ . We should try to understand what is proved in [14, Proposition 5.3] using only the universality of the Steinberg group. This may be useful. The paper is unpublished, so again everything should be double-checked if you want to refer to it.

# 1.2. Plan of the proof.

plan:3t

(1) (**done**, see Theorem 2). Let R be a local ring. Show that

$$\operatorname{St}^{\operatorname{G}}(R[t^{\pm 1}]) = i_{+}(\operatorname{St}^{\operatorname{G}}(R[t]))i_{-}(\operatorname{St}^{\operatorname{G}}(R[t^{-1}]))i_{+}(\operatorname{St}^{\operatorname{G}}(R[t]))$$

Here  $i_{\pm}$  denote the natural homomorphisms into  $\mathrm{St}^{\mathrm{G}}(R[t^{\pm 1}])$ .

(2) (done, see Theorem 4). Show that  $K_2^G(k[t]) = K_2^G(k)$ .

(3) (done). Consequently,  $\operatorname{St}^{G}(k[t]) \to \operatorname{St}^{G}(k[t^{\pm 1}])$  is injective and  $\operatorname{St}^{G}(k[t]) \cap \operatorname{St}^{G}(k[t^{-1}]) = \operatorname{St}^{G}(k)$  inside  $\operatorname{St}^{G}(k[t^{\pm 1}])$ . Also,  $K_{2}^{G}(k) = K_{2}^{G}(k[t^{\pm 1}])$ .

The first claim uses (2). The second claim follows from (2) and (1).

(4) (**done** for split ACDE, see Theorem 1.(ii)). Prove Quillen-Suslin lgp for  $K_2^G$ .

(5) (Zariski gluing) for any commutative ring A and any non-nilpotent  $f, g \in A$  such that A = fA + gA, the sequence of pointed sets

$$1 \longrightarrow K_2^G(A) \xrightarrow{g \mapsto (g,g)} K_2^G(A_f) \times K_2^G(A_g) \xrightarrow{(g_1,g_2) \mapsto g_1g_2^{-1}} K_2^G(A_{fg})$$

is exact. The proof is usually almost the same as for (4).

(Remark. It seems that we need this property only for A = R[t] and f, g non-constant polynomials.)

(6) (S-lemma) Let A be a commutative ring, S a multiplicative subset of A. If

$$K_2^G(A[X_1, \dots, X_n]) = K_2^G(A)$$

for some  $n \geq 1$ , then  $K_2^G(A_S[X_1, \dots, X_n]) = K_2^G(A_S)$  as well.

This should be easy; see [1, Lemma 3.6].

(7) (Nisnevich gluing) Assume that B is a subring of a commutative ring A, and let  $h \in B$  be a non-nilpotent element. Denote by  $F_h: A \to A_h$  the localization homomorphism.

(i) If Ah + B = A, i.e. the natural map  $B \to A/Ah$  is surjective, then for any  $x \in St^{G}(A_h)$  there exist  $y \in St^{G}(A)$  and  $z \in St^{G}(B_h)$  such that  $x = F_h(y)z$ .

(ii) If moreover  $Ah \cap B = Bh$ , i.e.  $B/Bh \to A/Ah$  is an isomorphism, and h is not a zero divisor in A, then the sequence of pointed sets

$$K_2^G(B) \xrightarrow{g \mapsto (F_h(g),g)} K_2^G(B_h) \times K_2^G(A) \xrightarrow{(g_1,g_2) \mapsto g_1 F_h(g_2)^{-1}} K_2^G(A_h)$$

is exact.

This should use something from the proof of (4) or (5); see [9, Lemma 3.4].

(8) ( $\mathbb{P}^1$ -gluing) Let A be any commutative ring. Show that the sequence of pointed sets

$$1 \longrightarrow K_2^G(A) \xrightarrow{g \mapsto (g,g)} K_2^G(A[t]) \times K_2^G(A[t^{-1}]) \xrightarrow{(g_1,g_2) \mapsto g_1g_2^{-1}} K_2^G(A[t,t^{-1}])$$

is exact.

This should use (1)–(4).

- (a) Prove Proposition 5.8. This is hard. Have no idea how this can be proved at the moment.
- (b) Prove  $\mathbb{P}^1$ -glueing using all the above facts.

n:k-intersect

plan:k[t]

plan:QSlgp plan:Zglu

plan:S-lemma

plan:Nglu

plan:P1

plan:[]f

(9) (Main corollary of  $\mathbb{P}^1$ -gluing) Let A be any commutative ring, and let  $f \in A[t]$  be a monic polynomial. Show that  $K_2^G(A[t]) \to K_2^G(A[t]_f)$  is injective. The proof uses (5) and (8).

plan:k(t)

(10) Prove that  $K_2^G(k(t)) = K_2^G(k)$ . This may be a bit tricky; I will think if we can get rid of it. I don't think Tulenbaev uses it. Unsure whether this is true (?). This is true for  $SK_1$  but in view of Milnor's theorem can not hold for  $K_1$  and  $K_2$ .

plan:k[tn]

(11) Prove that

$$K_2^G(k[t_1,\ldots,t_n]) = K_2^G(k).$$

If we strictly follow the pattern of  $K_1^G$ , this uses (9), (2), and (10). There may be other ways.

plan:final

(12) Final result: let R be a regular ring containing a field k. Then  $K_2^G(R[t]) = K_2^G(R)$ . This uses (4), (11) and (7).

#### 2. Steinberg groups: preliminaries

2.1. **Definition and basic properties.** Let G be a split simple Chevalley groups with a root system  $\Phi$  of rank  $\geq 2$ . Recall that the *Steinberg group*  $\operatorname{St}^{G}(R)$  (also denoted  $\operatorname{St}(\Phi, R)$ ) is defined by means of generators  $\mathcal{X}_{\Phi,R} = \{x_{\alpha}(\xi) \mid \xi \in R, \alpha \in \Phi\}$  and the set of relations  $\mathcal{R}_{\Phi,R}$  defined as follows:

{rel:add}

 $(2.1) x_{\alpha}(s)x_{\alpha}(t) = x_{\alpha}(s+t),$ 

{rel:CCF}

 $[x_{\alpha}(s), x_{\beta}(t)] = \prod x_{i\alpha+j\beta} \left( N_{\alpha\beta ij} \, s^{i} t^{j} \right), \quad \alpha \neq -\beta, \quad N_{\alpha\beta ij} \in \mathbb{Z}.$ 

The indices i, j appearing in the right-hand side of the above relation range over all positive natural numbers such that  $i\alpha + j\beta \in \Phi$ . The structure constants  $N_{\alpha\beta ij} = \pm 1, 2, 3$  appearing in (2.2) depend only on  $\Phi$  and can be computed precisely.

Recall that for  $\alpha \in \Phi$ ,  $\varepsilon \in R^*$  the semisimple root elements  $h_{\alpha}(\varepsilon)$  are defined as  $h_{\alpha}(\varepsilon) = w_{\alpha}(\varepsilon)w_{\alpha}(-1)$ . Denote by W( $\Phi$ , R) the subgroup of St( $\Phi$ , R) generated by all elements  $w_{\alpha}(\varepsilon)$ ,  $\varepsilon \in R^*$ .

2.2. Relative Steinberg groups.

{eq:suite}

$$(2.3) 1 \longrightarrow (G_1 \cap G_2)/C \longrightarrow \operatorname{St}^{\operatorname{G}}(R,I) \stackrel{\overline{p_2^*}}{\longrightarrow} \operatorname{St}^{\operatorname{G}}(R) \stackrel{\pi^*}{\longrightarrow} \operatorname{St}^{\operatorname{G}}(R/I) \longrightarrow 1$$

**Lemma 2.1.** Assume that R and I are such that the canonical projection  $R \to R/I$  splits. Then the following facts are true.

item:st-inj item:st-semi

- (i) The map  $\mathrm{St}^{\mathrm{G}}(R,I) \to \mathrm{St}^{\mathrm{G}}(R)$  is an injection.
- (ii) The group  $\operatorname{St}^{\operatorname{G}}(R)$  is isomorphic to  $\operatorname{St}^{\operatorname{G}}(R/I) \ltimes \operatorname{St}^{\operatorname{G}}(R,I)$ .

*Proof.* For the proof of the first assertion see [8, Lemma 8]. Since the group  $(G_1 \cap G_2)/C$  vanishes, the sequence (2.3) turns into a split short exact sequence which implies the second assertion.

2.3. Tulenbaev's lifting property and its corollaries. Throughout this section  $I \subseteq A$  is an ideal of arbitrary commutative ring A. For a nonnilpotent element  $a \in A$  denote by

 $\lambda_a : A \to A_a$  the morphism of principal localization at a. Consider the following commutative square.

 $A \xrightarrow{\lambda_a} A_a$   $\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$   $A/I \xrightarrow{\overline{\lambda_a}} A_a/I_a$ {msq} (2.4)

> Notice that (2.4) is a pull-back square if and only if  $\lambda_a$  induces an isomorphism of I and  $I_a$ . Such squares are usually called *Milnor squares* in the literature, see [15, Ch. I, § 2].

> The following property of linear Steinberg groups was discovered for the first time by Tulenbaev (see [12, Lemmas 2.3, 3.2]) and plays a key role in the sequel.

**Definition 2.2.** We say that the Steinberg group functor St<sup>G</sup> satisfies Tulenbaev's lifting def:tlp property if for every pull-back square (2.4) the following lifting problem has a solution.

$$\operatorname{St}^{G}(A, I) \xrightarrow{\mu} \operatorname{St}^{G}(A)$$

$$\downarrow \qquad \qquad \downarrow^{\lambda_{a}^{*}}$$

$$\operatorname{St}^{G}(A_{a}, I) \xrightarrow{\mu} \operatorname{St}^{G}(A_{a})$$

**Theorem 1.** Assume that G satisfies Tulenbaev property (2.2) then the following facts are true for arbitrary commutative ring A:

(i) A dilation principle holds for  $St^G(-)$ , i. e. if  $g \in St^G(A[t], tA[t])$  is such that equality thm:dp  $\lambda_a^*(h) = 1$  holds in  $St^G(\Phi, R_a[t])$  then for sufficiently large n one has

$$ev_{\left[\frac{R[t]\to R[t]}{t\mapsto a^n,t}\right]}^*(h)=1.$$

thm:lg-k2

(ii) A local-global principle holds for  $St^{G}(-)$ , i. e. an element  $g \in St^{G}(A[t], tA[t])$  is trivial if and only if its image in  $St^G(A_m[t], tA_m[t])$  is trivial for all maximal ideals  $m \leq A$ . (iii)  $K_2^G(A)$  is contained in the centre of  $St^G(A)$ .

*Proof.* Follows by the same argument as [12, Theorem 2.1] or [8, Theorem 2] 

3. Decomposition theorems for  $\mathrm{St}^{\mathrm{G}}(A[t^{\pm 1}])$  and  $\mathrm{St}^{\mathrm{G}}(A((t)))$ .

**Lemma 3.1.** Let (R, m) be a local ring, and let G be a simply connected simple group over  $R \text{ of isotropic } rank \geq 2. \text{ Let } i_+ : \operatorname{St}^{\operatorname{G}}(R[t]) \to \operatorname{St}^{\operatorname{G}}(R[t^{\pm 1}]) \text{ and } i_- : \operatorname{St}^{\operatorname{G}}(R[t^{-1}]) \to \operatorname{St}^{\operatorname{G}}(R[t^{\pm 1}])$ be the natural homomorphisms. Then

$$i_{+}(\operatorname{St}^{G}(m \cdot R[t])^{\operatorname{St}^{G}(R[t])})i_{-}(\operatorname{St}^{G}(R[t^{-1}]) = i_{-}(\operatorname{St}^{G}(R[t^{-1}])i_{+}(\operatorname{St}^{G}(m \cdot R[t])^{\operatorname{St}^{G}(R[t])})$$
  
inside  $\operatorname{St}^{G}(R[t^{\pm 1}])$ .

*Proof.* This is proved exactly as [9, Lemma 5.12].

**Theorem 2.** Let R be a local ring, and let G be a simply connected simple group over R of thm:3t isotropic rank  $\geq 2$ . Let  $i_+: \operatorname{St}^{\operatorname{G}}(R[t]) \to \operatorname{St}^{\operatorname{G}}(R[t^{\pm 1}])$  and  $i_-: \operatorname{St}^{\operatorname{G}}(R[t^{-1}]) \to \operatorname{St}^{\operatorname{G}}(R[t^{\pm 1}])$  be the natural homomorphisms. Then

$$\operatorname{St}^{\operatorname{G}}(R[t^{\pm 1}]) = i_{+}(\operatorname{St}^{\operatorname{G}}(R[t]))i_{-}(\operatorname{St}^{\operatorname{G}}(R[t^{-1}]))i_{+}(\operatorname{St}^{\operatorname{G}}(R[t])).$$

thm:centr

*Proof.* This is proved exactly as [9, Theorem 5.1].

sec:stbA3

## 4. Steinberg groups: another presentation

4.1. **Presentation of** St(4, R). The main goal of this subsection is to show that Tulenbaev's [12, Lemma 2.3] remains valid for the linear Steinberg group of rank  $\geq 3$ . In order to do this we will need yet another presentation for the relative linear Steinberg group (cf. [8, Definitions 3.3 and 3.7]).

dfn:yap

**Definition 4.1.** The relative Steinberg group  $St^*(n, R, I)$  is the group defined by the following two families generators and four families of relations.

- Generators:
  - (1)  $X^1(u,v)$ , where  $u \in E(n,R) \cdot e_1$ ,  $v \in I^n$  such that  $v^t \cdot u = 0$ ;
  - (2)  $X^2(u, v)$ , where  $u \in I^n$ ,  $v \in E(n, R) \cdot e_1$  such that  $v^t \cdot u = 0$ .

Notice that  $\phi$  maps both  $X^1(u,v)$  and  $X^2(u,v)$  to  $T(u,v)=e+u\cdot v^t\in E(n,R,I)$ .

- Relations:
  - (1)  $X^1(u,v) \cdot X^1(u,w) = X^1(u,v+w), u \in E(n,R) \cdot e_1, v,w \in I^n;$
  - (2)  $X^{2}(u,v) \cdot X^{2}(w,v) = X^{2}(u+w,v), u,w \in I^{n}, v \in E(n,R) \cdot e_{1};$
  - (3)  $X^{\sigma(u^2,v^2)}X^{\tau}(u^1,v^1) = X^{\tau}(T(u^2,v^2)\cdot u^1, T(v^2,u^2)^{-1}\cdot v^1), \ \sigma,\tau=1,2;$
  - (4)  $X^1(g \cdot e_1, g^* \cdot be_2) = X^2(g \cdot be_1, g^* \cdot e_2)$  where  $b \in I$  and  $g^* = g^{t-1}$  denotes the contragradient matrix.

**Lemma 4.2.** The groups  $St^*(n, R, I)$  and St(n, R, I) are isomorphic.

The next step of the proof is to is construct certain elements in St(n, R) similar to Tulenbaev's elements  $X_{u,v}(a)$  see [12, § 1].

Let  $v \in R^n$  be a column. Denote by O(v) the submodule of  $R^n$  consisting of all columns w such that  $w^t \cdot v = 0$ . A column  $w \in R^n$  is called v-decomposable if it can be presented as a finite sum  $w = \sum_{i=1}^p w^i$  such that each  $w^i$  has at least two zero entries and  $v^t \cdot w^i = 0$ . Denote by D(v) the submodule of O(v) consisting of all v-decomposable columns. For a column  $v \in R^n$  denote by I(v) the ideal of R spanned by its entries  $v_1, \ldots, v_n$ .

Let  $u, v, w \in \mathbb{R}^n$  be columns such that  $w^t v = 0$ . It is easy to check (cf. [6, Lemma 3.2]) that

$$(uv) \cdot w = \sum_{i < j} w_{ij}$$
, where  $w_{ij} = (w_i u_j - w_j u_i)(v_j e_i - v_i e_j) \in A^n$ .

The above decomposition is called the *canonical* decomposition of  $(uv) \cdot w$ . In particular, this shows that the column  $a \cdot w$  is always v-decomposable for  $a \in I(v)$ ,  $w \in O(v)$ , i.e.  $I(v) \cdot O(v) \subseteq D(v)$ . It is also straightforward to check that  $D(v) \subseteq D(bv)$ ,  $b \cdot D(v) \subseteq D(v)$  for  $b \in R$ .

Denote by  $B^1$  the subset of  $R^n \times R^n \times R$  consisting of triples (u, v, a) such that  $v^t \cdot u = 0$ ,  $v \in D(u)$ ,  $a \in I(u)$ . Denote by  $B^2$  the set consisting of triples (v, u, a) such that  $(u, v, a) \in B^1$ .

lem:Zfacts

**Lemma 4.3.** Assume that  $n \ge 4$ . One can define two families of elements  $Z^{\tau}(u, v, a)$ ,  $\tau = 1, 2$  of the group St(n, R) parametrized by  $(u, v, a) \in B^{\tau}$  satisfying the following properties:

(1) 
$$\phi(Z^{\tau}(u, v, a)) = e + uav^{t} \in E(n, R), (u, v, a) \in B^{\tau};$$

- (2)  $Z^1(u, v + w, a) = Z^1(u, v, a) \cdot Z^1(u, w, a);$
- (3)  $Z^{2}(v+w,u,a) = Z^{2}(v,u,a) \cdot Z^{2}(w,u,a);$
- (4) for  $\tau = 1, 2$  and  $b \in R$  if  $(u, vb, a), (ub, v, a) \in B^{\tau}$  then one has

$$Z^{\tau}(u, vb, a) = Z^{\tau}(u, v, ab) = Z^{\tau}(ub, v, a);$$

(5) 
$${}^{g}Z^{\tau}(u, v, a) = Z^{\tau}(\phi(g) \cdot u, \phi(g)^* \cdot v, a), \ \tau = 1, 2, \ g \in St^{G}(n, R).$$

*Proof.* One constructs the elements  $Z^1(u, v, a)$  in exactly the same way as Tulenbaev constructs his elements  $X_{u,v}(a)$  (see definitions preceding [12, Lemma 1.2]). Indeed, set

(4.1) 
$$Z^{1}(v, w, a) = \prod_{k=1}^{p} X(v, a \cdot w^{k}), \quad Z^{2}(w, v, a) = \prod_{k=1}^{p} X(a \cdot w^{k}, v).$$

where X(u, v) denotes the elements defined by Tulenbaev before [12, Lemma 1.1].

The correctness of this definition and all the assertions of the lemma (with the exception of the last one in the case n=4) can be proved by the same token as in [12, Lemma 1.3].  $\square$ 

For the rest of this section a denotes a nonnilpotent element of R and  $\lambda_a \colon R \to R_a$  is the morphism of principal localization at a.

lem:rk3rels

**Lemma 4.4.** For any  $g \in E(n, R_a)$  there exist  $u, v \in R^n$  and sufficiently large natural numbers k, m such that the following facts hold:

- (1)  $\lambda_a(u) = g \cdot a^k e_1$ ,  $\lambda_a(v) = g^* \cdot a^k e_2$  and  $u^t \cdot v = 0$ ;
- (2)  $(u, v, a^m) \in B^1 \cap B^2$ ;
- (3) for  $b \in R$  divisible by some sufficiently large power of a one has

$$Z^{1}(u, b \cdot v, a^{m}) = Z^{2}(b \cdot u, v, a^{m}).$$

*Proof.* It is straightforward to choose u and v satisfying the first requirement of the lemma. We can even choose u, v in such a way that  $u \in D(v)$  and  $v \in D(u)$ . Indeed, notice that  $I(u) = a^{k_1}$ ,  $I(v) = a^{k_2}$  for some natural  $k_1$ ,  $k_2$  hence for  $u' = a^{k_2} \cdot u$  and  $v' = a^{k_1} \cdot v$  one has

$$u' \in I(v) \cdot O(v) \subset D(v) \subset D(v'), \quad v' \in I(u) \cdot O(u) \subset D(u) \subset D(u'),$$

as required.

In fact, we can also choose two extra columns  $x, y \in \mathbb{R}^n$  and a large natural p in such a way that vectors u, v, x, y additionally satisfy the following properties

$$\lambda_a(x) = g^* \cdot a^k e_3, \ \lambda_a(y) = g \cdot a^k e_3, \ y^t \cdot x = a^p \in R,$$
$$u^t \cdot x = 0, \ u^t \cdot v = 0, \ y^t \cdot v = 0,$$
$$(u, x, a^m) \in B^1, \ (y, v, a^m) \in B^2.$$

Now direct computation using Lemma 4.3 shows that

$$\begin{split} Z^2(a^{m+p}b \cdot u, v, a^m) &= Z^2(b \cdot (e + a^m \cdot ux^t)y, (e - a^m \cdot xu^t)v, a^m) \cdot Z^2(-by, v, a^m) = \\ &= [Z^1(u, x, a^m), Z^2(b \cdot y, v, a^m)] = \\ &= Z^1(u, x, a^m) \cdot Z^1((e + a^mb \cdot yv^t)u, -(e - a^mb \cdot vy^t)x, a^m) = Z^1(u, a^{m+p}b \cdot v, a^m), \quad \Box (e - a^mb \cdot vy^t)x, a^m) = Z^1(u, a^{m+p}b \cdot v, a^m), \quad \Box (e - a^mb \cdot vy^t)x, a^m) = Z^1(u, a^{m+p}b \cdot v, a^m), \quad \Box (e - a^mb \cdot vy^t)x, a^m) = Z^1(u, a^{m+p}b \cdot v, a^m), \quad \Box (e - a^mb \cdot vy^t)x, a^m) = Z^1(u, a^{m+p}b \cdot v, a^m), \quad \Box (e - a^mb \cdot vy^t)x, a^m) = Z^1(u, a^{m+p}b \cdot v, a^m), \quad \Box (e - a^mb \cdot vy^t)x, a^m) = Z^1(u, a^{m+p}b \cdot v, a^m), \quad \Box (e - a^mb \cdot vy^t)x, a^m) = Z^1(u, a^{m+p}b \cdot v, a^m), \quad \Box (e - a^mb \cdot vy^t)x, a^m) = Z^1(u, a^{m+p}b \cdot v, a^m), \quad \Box (e - a^mb \cdot vy^t)x, a^m) = Z^1(u, a^{m+p}b \cdot v, a^m), \quad \Box (e - a^mb \cdot vy^t)x, a^m) = Z^1(u, a^{m+p}b \cdot v, a^m), \quad \Box (e - a^mb \cdot vy^t)x, a^m) = Z^1(u, a^{m+p}b \cdot v, a^m), \quad \Box (e - a^mb \cdot vy^t)x, a^m) = Z^1(u, a^{m+p}b \cdot v, a^m), \quad \Box (e - a^mb \cdot vy^t)x, a^m) = Z^1(u, a^{m+p}b \cdot v, a^m), \quad \Box (e - a^mb \cdot vy^t)x, a^m) = Z^1(u, a^{m+p}b \cdot v, a^m), \quad \Box (e - a^mb \cdot vy^t)x, a^m) = Z^1(u, a^m)x, a^m) = Z^1(u, a^m)x, a^m)x, a^m = Z^1(u, a^m)x, a^m = Z^1(u$$

hence the third assertion of the lemma follows.

cor:tlpA3

Corollary 4.5. For  $G = G(A_3, -)$  the Steinberg group functor  $St^G(R)$  satisfies Tulenbaev lifting property 2.2.

*Proof.* Follows from Lemma 4.4 by the same token as in [12, Lemma 2.3].

sec:sigma

# 4.2. Application: centrality of $K_2$ in the simply-laced case.

4.3. **Application:** the automorphisms  $\sigma_i$ . Our notation and conventions follows [13, § 4]. Let  $\Phi$  be an irreducible root system with some fixed basis of simple roots  $\Pi = \{\alpha_1, \ldots, \alpha_\ell\}$ . We denote by  $\Phi^{\vee}$  the dual root system of  $\Phi$  consisting of vectors  $\alpha^{\vee} = 2\alpha/(\alpha, \alpha)$ ,  $\alpha \in \Phi$ . As usual,  $P(\Phi^{\vee})$  denotes the lattice spanned by the fundamental weights  $\varpi_i$ . Recall that  $\varpi_i$  are uniquely determined by relations  $\langle \varpi_i, \alpha_i^{\vee} \rangle = (\varpi_i, \alpha_j) = \delta_{ij}$ .

Notice that for  $\varpi \in P(\Phi^{\vee})$  and  $\beta \in \mathbb{Z}\Phi$  one has  $(\varpi, \beta) \in \mathbb{Z}$ . Consequently, for  $\varepsilon \in R^*$  and  $\varpi \in P(\Phi^{\vee})$  the identity  $\chi_{\varpi,\varepsilon}(\beta) = \varepsilon^{(\varpi,\beta)}$  gives a well-defined character  $\chi_{\varpi,\varepsilon} \in \text{Hom}(\mathbb{Z}\Phi, R^*)$ .

Consider the action of  $H = \text{Hom}(\mathbb{Z}\Phi, \mathbb{R}^*)$  on the set of generators  $\mathcal{X}_{\Phi,R}$  of the Steinberg group  $\text{St}^G(\mathbb{R})$  defined by

(4.2) 
$$\chi \cdot x_{\alpha}(\xi) = x_{\alpha}(\chi(\alpha) \cdot \xi), \ \chi \in H, \ \alpha \in \Phi, \ \xi \in R.$$

Since  $\chi$  is a character, the above action preserves the set of Steinberg relations  $\mathcal{R}_{\Phi,R}$  and, thus, gives a well-defined action of H on  $\mathrm{St}^{\mathrm{G}}(R)$ .

**Example 4.6.** The principal example which motivates the above construction is as follows. Let A be a ring, take  $R = A[t, t^{-1}]$  to be the ring of Laurent polynomials over A and let  $\alpha_i \in \Pi$  be some simple root. Since  $t \in R^*$  we can consider the automorphisms  $\sigma_i^+$  and  $\sigma_i^-$  of  $\operatorname{St}(\Phi, R)$  given by  $\sigma_i^+ = \chi_{\varpi_i, t}, \ \sigma_i^- = \chi_{\varpi_i, t^{-1}}$ . It is easy to see that

(4.3) 
$$\sigma_i^{\pm}(x_{\alpha}(\xi)) = x_{\alpha}(t^{\pm m_i(\alpha)} \cdot \xi),$$

where  $m_k(\alpha)$  denotes the coefficient in the expansion of  $\alpha$  in  $\Pi$ , i.e.  $\alpha = \sum m_k(\alpha)\alpha_k$ .

One of the key steps of our proof of Suslin lemma for  $K_2$  is to define an analogue of  $\sigma_i$  for the group  $\mathrm{St}^{\mathrm{G}}(A[t])$ . Of course, we cannot expect such map to be automorphism or even be defined on the whole group  $\mathrm{St}^{\mathrm{G}}(A[t])$ . However, it turns out that for certain i is still possible to define certain subgroups of  $\mathrm{St}^{\mathrm{G}}(A[t])$  and the maps modeling  $\sigma_i$  between them. First, we settle the case  $\Phi = \mathsf{A}_3$  invoking the presentation obtained in 4.1. Then we study the general case using the Curtis-Tits presentation.

lem:sigma

**Lemma 4.7.** Let A be a local commutative ring,  $G = G(\Phi, -)$  where  $\Phi$  is an irreducible root system. Assume that on the Dynkin diagram of  $\Phi$  one can find an endnode numbered i such that i is contained in a subdiagram of type  $A_3$ .

Then there exists subgroups  $N_i^+$ ,  $N_i^-$  of  $\operatorname{St}^{\mathsf{G}}(A[t])$  and homomorphisms  $\widetilde{\sigma}_i^+: N_i^+ \to N_i^-$ ,  $\widetilde{\sigma}_i^-: N_i^- \to N_i^+$  compatible with the action of  $\sigma_i^\pm$  i. e. such that the following diagram commutes.

$$N_{i}^{\pm} \xrightarrow{\lambda_{t}^{*}} \operatorname{St^{G}}(A[t, t^{-1}])$$

$$\downarrow \widetilde{\sigma}_{i}^{\pm} \qquad \qquad \downarrow \sigma_{i}^{\pm}$$

$$N_{i}^{\mp} \xrightarrow{\lambda_{t}^{*}} \operatorname{St^{G}}(A[t, t^{-1}])$$

Proof for  $\Phi = A_{\ell}$ ,  $\ell \geq 3$  and i = 1. For  $\alpha_i \in \Pi$  denote by  $P_i^+$  (resp.  $P_i^-$ ) the subgroup of  $\operatorname{St}^{G}(A)$  generated by  $x_{\alpha}(\xi)$  for  $\xi \in A$ ,  $\alpha \in \Sigma_i^+ \cup \Delta_i$  (resp.  $\alpha \in \Sigma_i^- \cup \Delta_i$ ).

First, we define the subgroups  $N_i^{\pm}$  (this definition also works for  $\Phi$  such that  $m_i(\widetilde{\alpha}) = 1$ ). Define  $N_i^+$  (resp.  $N_i^-$ ) to be the subgroup consisting of  $g \in \text{St}^G(A[t])$  such that  $g(0) \in P_i^+$  (resp.  $g(0) \in P_i^-$ ).

Denote by  $j_{\ell}$  the natural map  $\operatorname{St}(\Delta_1, A) \to \operatorname{St}(\Phi, A)$ . By the Levi decomposition  $P_1^{\pm}$  is isomorphic to  $\operatorname{U}(\Sigma_1^{\pm}, A) \rtimes \operatorname{Im}(j_{\ell})$ .

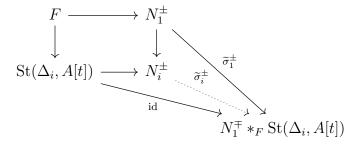
The stable rank of A equals 1 hence from the injective stability theorem for  $K_2$  (see [11, Theorem 4.1]) it follows that the map  $j_{\ell}$  is injective for  $\ell \geq 3$  and that  $P_1^{\pm} = \mathrm{U}(\Sigma_1^{\pm}, A) \rtimes \mathrm{St}(\Delta_1, A)$ . Clearly, there exists a unique group homomorphism  $\sigma_1^{\pm} \colon P_1^{\pm} \to \mathrm{St}^{\mathrm{G}}(\Phi, A[t])$  acting identically on  $\mathrm{St}(\Delta_1, A)$  and sending any generator  $x_{\alpha}(\xi) \in \mathrm{U}(\Sigma_1^{\pm}, A)$  (i. e.  $\alpha \in \Sigma_1^{\pm}$ ) to  $x_{\alpha}(t \cdot \xi)$ .

Set  $H = \operatorname{St}^{\operatorname{G}}(A[t], tA[t])$ . By Lemma 2.1.(ii) we have  $\operatorname{St}^{\operatorname{G}}(A[t]) = \operatorname{St}^{\operatorname{G}}(A) \ltimes H$  hence  $N_1^{\pm} \cong P_1^{\pm} \ltimes H$ . Define the map  $\widetilde{\sigma}_1^{\pm} \colon H \to \operatorname{St}^{\operatorname{G}}(A[t])$  on the generators of  $\operatorname{St}^{\operatorname{G}}(A[t], tA[t])$  as follows ?????. A routine check shows that the defining relations ?????? of H are satisfied and that  $\sigma_1^{\pm}$  preserves the action of  $P_1^{\pm}$  on H. Thus, we obtain a well-defined map  $\sigma_1^{\pm} \colon N_i^{\pm} \to \operatorname{St}^{\operatorname{G}}(A[t])$  fitting into the above commutative diagram. The fact that the image of  $\sigma_1^{\pm}$  is contained in  $N_i^{\mp}$  is obvious.

Proof in the general case. Denote by  $\Psi$  the root subsystem corresponding to the subdiagram of type  $A_3$  from the condition of the lemma. Clearly,  $D(\Phi) = D(\Delta_i) \cup D(\Psi)$  and  $D(\Delta_i) \cap D(\Psi)$  is a single simple bond.

Denote by F the free product of Steinberg groups of rank 1 corresponding to the vertices of  $D(\Delta_i) \cap D(\Psi)$ . There are obvious maps  $F \to \operatorname{St}(\Delta_i, A[t])$ ,  $F \to \operatorname{St}(\Psi, A[t])$  (notice that the image of the latter map is contained in  $\operatorname{St}(\Psi \cap \Delta_1, A[t])$ ). By the Curtis—Tits presentation,  $\operatorname{St}(\Phi, A[t]) \cong \operatorname{St}(\Psi, A[t]) *_F \operatorname{St}(\Delta_i, A[t])$ .

Define  $N_i^{\pm}$  as  $N_1^{\pm} *_F \operatorname{St}(\Delta_i, A[t])$   $(N_1^{\pm})$  is the subgroup constructed in the first part of the proof). Now, we can recover  $\widetilde{\sigma}_i^{\pm}$  from the universal property of amalgamated products as follows:



It is easy to see that this definition is correct and satisfies the requirements of the lemma.  $\Box$ 

## 5. $\mathbb{P}^1$ -GLUING

Throughout this section  $G = G(\Phi, -)$  denotes a Chevalley group scheme of type  $\Phi$ .

**Definition 5.1.** Let F be a group-valued functor from **CRings** to **Groups** and let A be a commutative ring. Consider the following commutative diagram.

$$\begin{array}{ccc} A & \xrightarrow{i_{+}} & A[t] \\ \downarrow i_{-} & & \downarrow j_{+} \\ A[t^{-1}] & \xrightarrow{j_{-}} & A[t, t^{-1}] \end{array}$$

We say that F satisfies the  $\mathbb{P}^1$ -glueing property for A if the following sequence of pointed sets is exact in the middle term:

$$F(A) \xrightarrow{\Delta_A^F} F(A[t]) \times F(A[t^{-1}]) \xrightarrow{\pm_A^F} F(A[t,t^{-1}]).$$

Here  $\Delta_A^F$  denotes the (split injective) diagonal map and, by definition,  $\pm_A^F$  maps  $(g^+, g^-)$  to  $F(j_+)(g^+) \cdot F(j_-)(g^-)^{-1}$ . Notice that a priori  $\pm_A^F$  is only a morphism of pointed sets but if F takes values in abelian groups then  $\pm_A^F$  is also a morphism of groups.

An equivalent way to formulate  $\mathbb{P}^1$ -glueing property is as follows:  $F(j_+)$  and  $F(j_-)$  are injective and the intersection of their images coincides with the image of  $F(j_+i_+) = F(j_-i_-)$ .

The main result of this section is the following theorem which generalizes [12, Theorem 5.1] to Chevalley groups. Notice that a  $K_1$ -analogue of the result below has been established in a much greater generality by the second-named author (see [9, Theorem 1.1]).

thm:p1

**Theorem 3.** Assume that G satisfies Tulenbaev lifting property 2.2. Then the Steinberg group functor  $St^{G}(-)$  satisfies  $\mathbb{P}^{1}$ -glueing property for an arbitrary commutative ring A.

*Proof.* Let  $(g^+, g^-)$  be an element of  $St^G(A[t]) \times St^G(A[t^{-1}])$  such that the equality  $g^+ = g^-$  holds in  $St^G(A[t, t^{-1}])$ .

Let m be a maximal ideal of A. By Proposition 5.9 below the functor  $St^G(-)$  satisfies  $\mathbb{P}^1$ -glueing property for the local ring  $A_m$  hence  $(\lambda_m^*(g_+), \lambda_m^*(g_-)) = \Delta_{A_m}(\lambda_m^*(g^+)(0))$  and in the groups  $St^G(A_m[t])$  and  $St^G(A_m[t^{-1}])$  we have the equalities:

$$\lambda_m^*(g^+ \cdot g^+(0)^{-1}) = \lambda_m^*(g^+) \cdot \lambda_m^*(g^+)(0)^{-1} = 1; \qquad \lambda_m^*(g^- \cdot g^+(0)^{-1}) = 1.$$

Now, by the local-global principle for  $\mathrm{St}^{\mathrm{G}}(-)$  (see Theorem 1.(ii)) these equalities hold globally and  $(g^+,g^-)=\Delta_A(g^+(0))$ , as claimed.

rem:stk2

Remark 5.2. It is clear that if the functor  $\operatorname{St}^G$  satisfies  $\mathbb{P}^1$ -glueing property for A then so does the functor  $K_2^G$ . The converse statement also holds, indeed, if  $(g^+, g^-) \in \operatorname{Ker}(\pm_A^{\operatorname{St}})$  then inside  $E^G(A[t, t^{-1}])$  we have the equality:

$$\varphi(\operatorname{St}^{G}(j_{+})(g^{+})) = \varphi(\operatorname{St}^{G}(j_{-})(g^{-})) \in E^{G}(A[t]) \cap E^{G}(A[t^{-1}]) = E^{G}(A).$$

Consequently, we can find  $g_0 \in \text{St}^G(A)$  so that  $(g^+g_0^{-1}, g^-g_0^{-1}) \in \text{Ker}(\pm_A^{K_2})$  and it remains to apply the  $\mathbb{P}^1$ -glueing property for  $K_2^G$ .

**Corollary 5.3.** Let A be any commutative ring and  $f \in A[t]$  be a monic polynomial. Then the map  $K_2^G(A[t]) \to K_2^G(A[t]_f)$  is injective.

5.1. The case of a field. Throughout this section k denotes arbitrary field k.

thm:k[t]

**Theorem 4.** Assume that  $G = G(\Phi, -)$  and  $\Phi$  is irreducible of rank  $\geq 2$ .

satz1

(i) The subgroup  $K_2^G(k[t]) \leq \operatorname{St}^G(k[t])$  is generated by elements of the form

$$h_{\alpha}(uv)h_{\alpha}(u)^{-1}h_{\alpha}(v)^{-1}, \ u, v \in k^*.$$

(ii) As a consequence, the canonical injection  $K_2^G(k) \hookrightarrow K_2^G(k[t])$  is an isomorphism.

*Proof.* See [7, Satz 1] and the corollary after it.

cor:k[t]inj

Corollary 5.4. Let G be as in the above theorem. Then the functors  $St^G$ ,  $K_2^G$  satisfy  $\mathbb{P}^1$ -glueing property for k.

*Proof.* By Remark 5.2 it suffices to prove the assertion only for the functor  $K_2^G$ . By the previous theorem  $K_2^G(i_+)$  and  $K_2^G(i_-)$  are isomorphisms hence the morphisms  $K_2^G(j_+)$  and  $K_2^G(j_-)$  are split injective and  $\operatorname{Im}(j_+i_+) = \operatorname{Im}(j_-i_-) = \operatorname{Im}(j_+) = \operatorname{Im}(j_-)$ .

Corollary 5.5. Let G, k be as in Theorem 4. Then  $K_2^G(k[t^{\pm 1}]) = K_2^G(k)$ .

*Proof.* We use Theorem 2. Take  $g \in K_2^G(k[t^{\pm 1}])$ , then  $g = x_1yx_2, x_i \in St^G(k[t])$ ,  $y \in St^G(k[t^{-1}])$ . Since  $E(k[t]) \cap E(k[t^{-1}]) = E(k)$ , we have  $y \in St^G(k)K_2^G(k[t^{-1}]) = St^G(k)$  and  $x_1x_2 \in St^G(k)$ . That is,  $g \in K_2^G(k)$ . □

- 5.2. Suslin lemma for  $K_2$ . For the rest of this section A denotes an arbitrary commutative local ring with the maximal ideal m and the residue field k. We denote by  $\pi$  the canonical projection  $A \to k$ . Throughout this section we will employ the following notation:
  - R denotes the Laurent polynomial ring  $A[t, t^{-1}]$ ;
  - B denotes the subring  $A[t] + m[t^{-1}]$  of R consisting of Laurent polynomials  $f(t, t^{-1})$  whose coefficients of terms of negative degree belong to m;
  - I denotes the ideal  $m[t, t^{-1}]$  of R (which can be also considered as an ideal of B).

Our first result is analogous to [12, Lemma 3.1(e)] (cf. also with [4, § 2.3A]).

cor:bruhat

**Lemma 5.6.** The Steinberg group  $St^G(A)$  admits the following analogue of the Bruhat decomposition:

$$\operatorname{St}^{\operatorname{G}}(A) = \operatorname{U}(\Phi^+, A) \cdot \operatorname{W}(\Phi, A) \cdot \operatorname{U}(\Phi^+, A) \cdot \operatorname{Im}\left(\operatorname{St}^{\operatorname{G}}(A, m) \to \operatorname{St}^{\operatorname{G}}(A)\right)$$

*Proof.* First of all, notice that  $St^{G}(k)$  admits Bruhat decomposition for arbitrary field k:

$$\operatorname{St}^{G}(k) = \operatorname{U}(\Phi^{+}, k) \cdot \operatorname{W}(\Phi, k) \cdot \operatorname{U}(\Phi^{+}, k).$$

Indeed, this is an immediate consequence of the classical Bruhat decomposition for the linear groups (see e. g. [10, Theorem 4]) and the fact that  $K_2^G(k)$  is central in  $\operatorname{St}^G(k)$  and is generated by symbols  $h_{\alpha}(u)$  lying in W( $\Phi$ , k) (cf. Theorem 4.(i)).

As a consequence, the first three factors in the right hand side of the decomposition are mapped epimorphically onto  $\operatorname{St}^{G}(k)$  and the last factor coincides with  $\operatorname{Ker}(\pi_{*})$  from which the assertion of the lemma follows.

lem:tulinj

**Lemma 5.7.** Assume that G satisfies Tulenbaev lifting property 2.2. Then the map i in the following commutative diagram of groups is injective.

$$C_{B} \hookrightarrow \operatorname{St}^{G}(B, I) \xrightarrow{\mu_{B}} \operatorname{St}^{G}(B) \xrightarrow{\pi_{B}} \operatorname{St}^{G}(k[t])$$

$$\downarrow^{k} \qquad \downarrow^{j} \qquad \downarrow^{i} \qquad \downarrow^{j} \qquad \downarrow^{i}$$

$$C_{R} \hookrightarrow \operatorname{St}^{G}(R, I) \xrightarrow{\mu_{R}} \operatorname{St}^{G}(R) \xrightarrow{\pi_{R}} \operatorname{St}^{G}(k[t, t^{-1}])$$

*Proof.* First of all, notice that by Corollary 5.4 the vertical map in the right-hand side of the diagram is injective. Invoking Tulenbaev's property 2.2 we also find a lifting map  $\varphi$  in the central square of the diagram.

Let  $g \in \operatorname{St}^{\operatorname{G}}(B)$  be an element of Ker (i). Since g also lies in Ker  $(\pi_B)$  it comes from some  $\widetilde{g} \in \operatorname{St}^{\operatorname{G}}(B,I)$  via  $\mu_B$ . But  $j(\widetilde{g})$  lies in  $C_R$ , hence, by Proposition 5.8 below it comes from some  $\widehat{g} \in C_B$  via k. Finally,  $g = \varphi(j(\widetilde{g})) = \varphi(k(\widehat{g})) = \mu_B(\widehat{g}) = 1$ , as claimed.

The following result is analogous to [12, Proposition 4.1]. It plays the same role in our proof of  $\mathbb{P}^1$ -glueing for  $K_2$  as generalized Suslin lemma (cf. [1, Theorem 2.16]) does in the corresponding proof for  $K_1$ .

prop:kersurj

**Proposition 5.8.** Under the assumptions of Lemma 5.7 the map k in the diagram (5.1) is surjective.

Proof.  $\Box$ 

5.3. **Proof of the main result.** The following result is analogous to [12, Proposition 4.3].

Proposition 5.9. The functors  $St^G$ ,  $K_2^G$  satisfy  $\mathbb{P}^1$ -glueing property for arbitrary local ring A.

Proof.

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