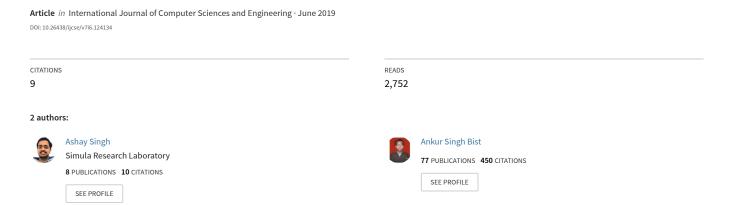
A Wide Scale Survey on Handwritten Character Recognition using Machine Learning



A Wide Scale Survey on Handwritten Character Recognition using Machine Learning

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Abstract—In this paper, a comparative analysis of recent techniques for character recognition is done. Our purpose is to identify the impact of machine learning in the domain of character identification. Character recognition has a lot of applications in the fields of banking, healthcare and other fields for searchability, storability, readability, editability, accessibility, etc. to ease up various processes. Traditional machine learning techniques like a neural network, support vector machine, random forest, etc. have been used as classification techniques. Now with the advancement in the field of computer hardware and efficient research in artificial intelligence field have given emergence to deep learning algorithms. Recent articles are using deep learning for character identification. They also depict how various functions improve the performance in the filed of pattern recognition over time. The primary purpose of this paper is to encourage young researchers towards this domain and thus learn and work towards achieving novelty in the field.

Keywords— Handwritten character recognition, Machine learning, Feature extraction, Deep learning.

I. INTRODUCTION

Handwritten recognition is a typical task because there exists a variety of writing ways. Due to the same situation, the computer program does not find good accuracy for the handwritten character recognition task. Literature focuses on English, Bangla, Marathi, Devanagari, Oriya, Chinese, Latin and Arabic languages.

Machine learning and deep learning algorithms have been widely used in past literature. At the same time, feature extraction is very crucial. Graph-based features, histograms, mathematical transforms, moment-based features are some popular techniques used for this task. Some necessary steps character involved in handwritten recognition preprocessing, representation, segmentation, training, identification, and post-processing. As far as practical applications are concerned, a variety of mobile apps and web applications are providing character recognition features to their customers again end user wants better services that can technically be defined in terms of accuracy. Significance and challenges in character recognition are, and our purpose is to explore the solutions available in the past and explore the new possibilities to find out the resolution of the concerned problem. As discussed in the literature, one of the best ways to find the solution lies in the emerging domain of machine learning and deep learning algorithms. With this motivation,

we are surveying handwritten character recognition using machine learning techniques.

The contribution of this study contains a comparative analysis of various machine learning and deep learning techniques for handwritten character recognition based on various factors like dataset and technique used. The organization of the paper is as follows: Section 2 gives a complete explanation of conventional and recent techniques in machine learning and deep learning field. Section 3 involves a comparative analysis of various techniques for different languages. Section 4 contains conclusion and future work. The section below describes the techniques used for past literature.

II. MACHIENE LEARNING AND DEEP LEARNING TECHNIQUES

Machine learning involves the process of designing a prediction algorithm based on experience. The important part is learning, and it requires data in the concerned domain after that prediction network organizes itself according to error. The current scenario has attained high complexity because the same field has attracted the attention of researchers. Various models are evolving, and some of them are as follows:

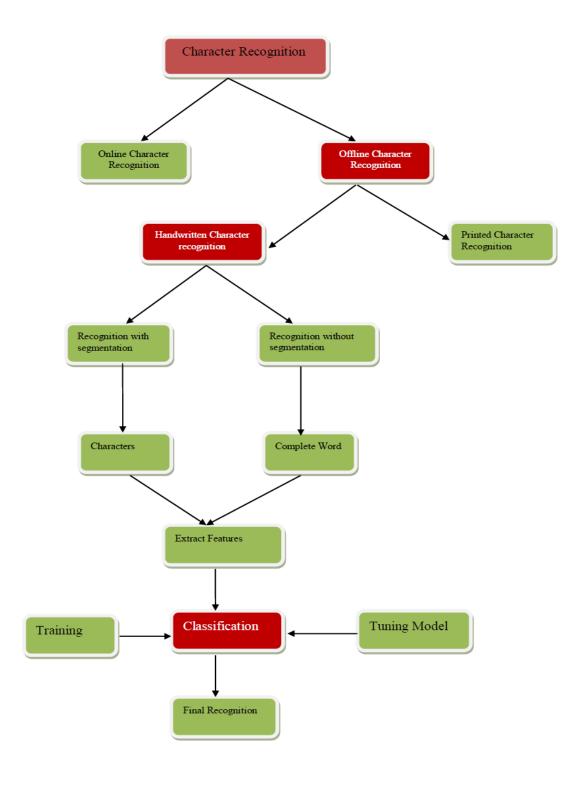


Figure 1: General Steps of Character Recognition

- 1. Decision Trees
- 2. Nearest Neighbour
- 3. Random forest
- 4. Artificial Neural Network
- 5. Logistic regression
- 6. Linear Regression
- 7. Apriori Algorithm
- 8. Support Vector Machine
- 9. K-Means Clustering Algorithm
- 10. Naive Bayes Classifier
- 11. Neural Network

Deep Learning has attained pace due to various advancements of hardware and at the same time, algorithmic research that has been done on deep network information processing. Some of the essential algorithms of deep learning are:

- a. Recurrent Neural Network
- b. Autoencoder
- c. Restricted Boltzmann Machine
- d. Convolutional Neural Network
- e. Deep Belief Network
- f. Deep Neural Network
- g. Deep Extreme Learning Machine
- h. Localized Deep Extreme Learning Machine

III. CHARACTER RECOGNITION SYSYTEM

There is a variety of challenges in the handwritten character recognition system. Process of the handwritten recognition system is shown in Figure 1. There are two categories in character recognition: online and offline character recognition. Online character recognition involves a digital pen and tablet. Offline recognition includes handwritten and printed characters. Handwritten characters have a lot of varieties. Segmentation and without segmentation is involved for written words. Further steps involve feature selection. Optimization can be used to speed up the process of classification. Subsequently, there is a requirement of a classification algorithm for reading features. Finally, a trained model is used for desired tasks.

IV. ANALYSIS OF LITERATURE

It should include important findings discussed briefly. Wherever necessary, elaborate on the tables and figures without repeating their contents. Interpret the findings in view of the results obtained in this and in past studies on this topic. State the conclusions in a few sentences at the end of the paper. However, valid colored photographs can also be published.

Overview of Bangla Script

Central characters, modifiers, diacritic and complex characters are the part of Bangla script. Figure2 represents handwritten Bangla script.

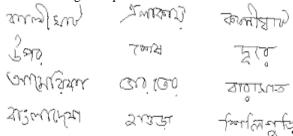


Figure 2: handwritten Bangla Script

The Bengali language contains 50 central characters, 11 vowels, and 39 consonants. The complex character has made the task of pattern recognition complex. Table1 represents a comparative analysis of various techniques developed in past literature.

Table1. Comparative analysis of handwritten character

recognition techniques for Bangla language

S.No.	Year	Title	Technique and Results
1.	2004	Recognition of Bangla handwritten characters using an MLP classifier based on stroke features [1]	Authors used MLP with a variant of the back propagation algorithm and obtained satisfactory results
2.	2009	A hierarchical approach to recognition of handwritten bangle characters [2]	Authors proposed a hierarchical approach and used CDM classifier to obtain a better analysis.
3.	2009	A new benchmark on the recognition of handwritten Bangla and Farsi Numeral character [3]	Authors proposed a technique of image processing and feature extraction on Bangla database, i.e. Bangla numerals and obtained an accuracy of 99.40%.

	1		_
4.	2010	Multi-orient Bangla	Authors used a
		and Devanagari text	convex hull
		recognition [4]	and water
			reservoir
			principle for
			Bangla and
			Devanagari
			script
_			recognition.
5.	2012	A classifier for Bangla	Authors used
		handwritten numeral	kernel based
		recognition [5]	Bayesian
			discriminant
			and obtained
			better results in
			terms of
			accuracy and
	201-		time.
6.	2013	Recognition of Bangla	Authors
		compound characters	proposed new
		using structural	topological
		decomposition [6]	features and
			decomposition
			rules to
			simplify
			complex
			character. The
			proposed
			technique
			produced
			satisfactory
			results.
7.	2015	Handwritten Bangla	Authors used
7.	2013	character recognition	soft computing
		using a soft computing	paradigm. GA
		paradigm embedded in	based local
		two pass approach [7]	region
		two pass approach [7]	selection
			technique is
			used to
			enhance
			accuracy.
8.	2016	A multi-objective	Authors used
0.	2010	approach towards cost-	multi-objective
		effective isolated	region
		handwritten Bangla	sampling,
		character and digit	harmony
		recognition [8]	search
		[J []	algorithm,
			AFS theory
			and attained
			86.6478%
			accuracy for
			handwritten
			Bangla
			character.
9.	2017	Handwritten isolated	Authors used
9.	2017	Handwritten isolated Bangla compound	
9.	2017	Bangla compound	deep
9.	2017		

		using a payal dage	usina
		using a novel deep	using
		learning approach [9]	RMSprop.
			They obtained
			error rate of
			19% to 9.67%
			on
			CMATERdb
			dataset.
10.	2018	Shape decomposition	Authors
		based handwritten	proposed shape
		compound character	decomposition
		recognition for bangle	for Bangla
		OCR [10]	compound
			characters that
			have a
			complex shape
			and obtained
			better
			accuracy.
11.	2018	Ambiguity reduction	Authors used
		through an optimal set	GA and BFO
		of region selection	to find out the
		using GA and BFO for	regions having
		handwritten Bangla	important
		character recognition	information
		[11]	and obtained
			satisfactory
			results.

Overview of Arabic Script

There are 28 basic letters, eight diacritics, and 12 additional special letters. Figure 3 represents a sample of Arabic script.

اولده تنکوي کوکالرني و يوني يراتديء ۽ وير شڪلسز و بوش ايدي و. لجمنينك يوزلاري اوستنده قرانكولف بار ایدی و صولارنینک یوزلاری اوستنده تنڪرينينک روحي قيهلدانور ايدي • ۳ و تنڪري ياريوف بوئسون ايتدي و ياروف بولديء ، و تنكري اول ياروتني كوردي كه يخشى ايدي و تنكري ياروتني ترانڪولندان آيردي * هو تنڪري ياروٽغه ڪون و ترانڪولٽغه ڪيچه آت تويدي و اينڪير و ايرته بولغانچه بړنجي ڪون بولدي ۱۹و تنڪري ايندي صولارئينک اورتاسند، بو رتبع بولسون و صولارني صولاردان آيرسون ∗ v و تنڪري اول رقيعني تیلدی و رثیعنینک آستنده بولغان صولارني رتيعنينك اوستنده بولغان صولازدان آبودي و اللي بولدي ۱۸ و

Figure3: Handwritten Arabic Script

The writing procedure is from right to left. Most of the letters vary the shape, and it depends on their position in a word. Identification of Arabic involves various

attributes like handling ligatures, non-presence of diacritics, and a variety of writing styles and also includes bad writing manners. Table2 represents a comparative analysis of various techniques developed by researchers in the past.

Table2. Comparative analysis of handwritten character recognition techniques for the Arabic language

S.No.	Year	Title	Technique and
1.	1990	Real-time Arabic handwritten character recognition [12]	Results Authors used a division of sets into subsets based on several strokes in character and obtained a 99.6% recognition rate.
2.	2014	Arabic word descriptor for handwritten word indexing and lexicon reduction [13]	Authors used Arabic word descriptor for shape indexing and lexicon reduction using IFN/ENIT and ibn Sina database.
3.	2016	A novel fuzzy approach for handwritten Arabic character recognition [14]	Authors used Fuzzy ARTMAP neural networks on IFN/ENIT database and reported a high recognition rate.
4.	2016	Puzzle based system for improving Arabic handwriting recognition [15]	Authors designed handwritten text as a puzzle. Concepts of feedbacks to avoid cuts and overlap of characters is used. Authors attained satisfactory performance.
5.	2017	Impact of features and classifiers combinations on the performance of Arabic recognition systems [16]	Authors identified the impact of several features and classifier combinations on OCR performance and developed a robust system to attain satisfactory performance.
6.	2017	Investigation on deep learning for off-line handwritten Arabic character recognition using Theano research	Authors used deep Convolutional neural network under Theano framework for Arabic handwritten character

	1	platform [17]	recognition and
		pianomi [17]	found 97.32%
			accuracy.
7.	2017	Automatic	Authors used
/ .	2017	recognition of	synthesis system to
		common Arabic	produce Arabic
		handwritten	handwritten
		words based on	database. N-gram
		OCR and N-	and Levenstein
		GRAMS [18]	distance is used for
			error detection and
			correction.
8.	2017	Recognition of	Authors used
		cursive Arabic	Hidden Markov
		handwritten text	Models on
		using embedded	IFN/ENIT
		training based on	benchmark
		HMMs [19]	database and found
			improved
0	2017	T	recognition.
9.	2017	Investigation on deep learning for	Authors used deep Convolutional
		off-line	neural network on
		handwritten	HACR dataset and
		Arabic character	found good results.
		[20]	Tourid good results.
10.	2017	Efficient multiple	Authors used
		classifier systems	statistical and
		for Arabic	counter features
		handwritten word	with Chebyshev
		Recognition [21]	Moments. Various
			classifiers like
			MLP, SVM, ELM
			are used. Authors
			found competitive results.
11.	2018	An artificial	Authors used the
11.	2010	immune system	artificial immune
		for offline	system on
		isolated	IFN/ENIT
		handwritten	benchmark and
		Arabic character	obtained 93.25%
		recognition [22]	accuracy.
		, , ,	

Overview of Chinese Script

There are around 50,000 characters in Chinese script, but 99.65% only 3775 characters are commonly used. The pattern of writing in this language is just like English from top to bottom and left to right.

Chinese character handwritten

英英英英英英英英英英英英英英英英英英英英英英英英英英英英英英英英

Figure 4. Chinese handwriting

Figure4 represents a sample of Chinese handwriting. Quantity of characters is high in Chinese, and each character contains 500 components also called radicals. They are written in predefined position and order. Various online algorithms can trace stroke order successfully that process becomes complex in case of offline identification. Identification of a large number of character is not easy, and research is going on to identify all characters with high accuracy. Table3 represents a comparative analysis of various techniques developed in past literature.

Table3. Comparative analysis of handwritten character recognition for the Chinese language

S.No.	Year	Title	Technique and
			Results
1.	2000	A novel algorithm for handwritten Chinese character recognition [23]	Authors used a novel block-based ICM algorithm and found it better than 2-D HMM method.
2.	2013	Self-generation voting based method for handwritten Chinese character recognition [24]	Authors used methods like Boosting & Bagging and proposed a self-generation voting method for improving the detection rate.
3.	2014	Adaptive local receptive field Convolutional neural networks for handwritten	Authors improvised the training process of CNN and used the same for

4	2016	Chinese character recognition [25]	handwritten Chinese character recognition. They observed improved performance.
4.	2016	Drop Sample: A new training method to enhance deep Convolutional neural networks for large scale unconstrained handwritten Chinese character recognition [26]	Authors proposed a training method using CNN. They found a new state of the art results on three handwritten Chinese character dataset.
5.	2017	Building fast and compact Convolutional neural networks for off-line handwritten Chinese character recognition [27]	Authors proposed small CNN model with Adaptive Drop-weight (ADW) and global supervised lowrank expansions (GSLRE) and found improved results.
6.	2017	Offline handwritten Chinese character recognition based on new training methodology [28]	Authors proposed a data generation method to enhance the size of the training database. Authors obtained 97.53% accuracy on ICDAR2013 competition database.
7.	2017	Online and offline handwritten Chinese character recognition: A comprehensive study and new benchmark [29]	Authors used a combination of Convent and domain oriented knowledge of direct Map on ICDAR-2013 competition database and found good results.
8.	2018	In air handwritten Chinese character recognition with locality sensitive sparse representation toward optimized prototype classifier [30]	Authors proposed a novel classifier LSROPC on IAHCC-UCAS2016 dataset and suggested to apply proposed algorithms in other domains.
9.	2018	Drawing and recognizing Chinese character using recurrent neural network	Authors used Recurrent neural network on ICDAR-2013 competition

Authors used

Off-line

		[31]	database and obtained excellent results.
10.	2018	Building efficient CNN architecture for offline handwritten Chinese character recognition [32] Compact MQDF	Authors used global weighted pooling technique on ICDAR-2013 dataset and found 97.1% accuracy. Authors proposed
		classifiers using sparse coding for handwritten Chinese character recognition [33]	a modified quadratic discriminant function (MQDF) for handwritten Chinese character recognition and offered a comparison with other techniques for good results.

Overview of Devanagari Script

In India, Devanagari is a very popular script. Figure5 represents handwritten Devanagari script.

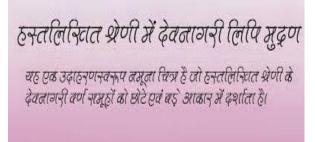


Figure5: Handwritten Devanagari Script

It contains 14 vowels and 37 consonants, generally known as central characters. It is written from left to right and does not have the idea of uppercase and lowercase like the English language. Table4 represents a comparative analysis of various techniques developed in past literature.

Table 4. Comparative analysis of handwritten character recognition techniques of Devanagari language

S.No.	Year	Title	Technique and
1.	2006	Recognition of off-line handwritten Devanagari characters using Quadratic classifier [34]	Results Authors proposed a technique based on quadratic classifier using 64-dimensional features and obtained 80.36% accuracy on Devanagari
			characters.

2.	2007	Off-line handwritten character recognition of Devanagari script [35]	Authors used a Gaussian filter to get 392- dimensional feature vector. The quadratic classifier is used on 36172 handwritten data and obtained 94.24% accuracy.
3.	2013	Identification of Devanagari and Roman scripts from Multi-script handwritten documents [36]	Authors proposed an original feature based technique using Multilayer perceptron (MLP) with 39 distinct features and obtained 99.54% accuracy.
4.	2015	A fuzzy-based classification scheme for unconstrained handwritten Devanagari character recognition [37]	Authors used a multi-stage classification system, including fuzzy inference and structural parameter. Proposed model produced 96.95% accuracy.
5.	2015	Deep learning based large scale handwritten Devanagari character recognition [38]	Authors used 92 thousand images of 46 different classes of Devanagari script. Deep Convolutional neural network is used as a classification algorithm and obtained 98.47% accuracy.
6.	2016	Performance optimization and comparative analysis of neural networks for handwritten Devanagari character recognition [39]	Authors used optimization at the pre-classification stage, feature extraction, and recognition state and found satisfactory results.
7.	2016	Handwritten Devanagari script database development for offline Hindi character with Mantra (Modifiers) [40]	Authors collected database containing 23,000 images from different locations and performed analysis for contributing to Devanagari

			database design.
8.	2016	Performance analysis of handwritten Devanagari and MODI character recognition system [41]	Authors used the neural network, BPN, KNN & SVM techniques and obtained good accuracy.
9.	2016	Accuracy enhancement of Devanagari character recognition by grey level normalization [42]	Authors used gradient local Auto-correlation feature extraction technique on Devanagari database and obtained 95.94% accuracy.
10.	2018	Combined classifier approach for off- line handwritten Devanagari character recognition using multiple features [43]	Authors extracted features based on the gradient of the image. Combination of SVM and quadratic classifier is used and obtained 95.81% accuracy.
11.	2018	Handwritten Devanagari character recognition using layer- wise training of deep Convolutional neural networks and adaptive gradient methods. [44]	Authors used Deep Convolutional neural network on ISIDCHAR and V2DMDCHAR database and obtained comparable results.

V. DATASETS

Proper handwritten character recognition system requires proper database which contains different handwritings. In this, we have considered four languages: Arabic, Devanagari, Chinese and Bangla. In research articles, authors have taken various datasets from online sources as well as self-prepared datasets. IFN/ENIT [45] contains 946 Tunisian villages/towns names & postal codes generated by 411 people. ICDAR 2009 [46] and ICDAR [47] contains 20,575. Arabic words from 165 different writers. Arabic language technology centre [48] generated the big dataset, which contains 1,000 writers, 5,000 pages, 175,000 words, and approx 1 million characters. Arabic database "OHASD" [49] contains 154 paragraphs 194000 characters from 48 writers.

CMATERdb1.22 databases are used for scripts Bangla and Roman. It contains the mixed text of 150 pages. CMATERdb1.5.1 database is used for Devanagari and Roman script. It contains mixed script of 150 pages. CMATERdb2.1.3 is used for Bangla and contains 18931 words. CMATERdb2.2.3 is used for Devanagari and contains 15528 words. CMATERdb2.3.1 is used for Roman and includes 103331 words. ETL1-ETL9 contains 1.2 million handwritten characters, which include Japanese, Chinese, Latin, and numeric characters. ETL9 contains 2956 Chinese, 71 Hiragana samples collected from 4000 people.

Hanja1 database contains 783 classes, and Hanja2 database contains 1309 samples, taken from the real-time scenario. JEITA-HP [50] contains two databases, database A consist of 480 writers and database B consist of 100 writers. The complete database contains 3214-character class of 2965 Kanji, ten numerals, 82 hiragana, 157 characters consisting of English, Katakana, and symbols. HCI2000 consist of 3755 Chinese characters, written by 1000 people. ITRI [51] contains 5401 Chinese character classes, and each class has 1000 samples. 4MSL contains 4060 Chinese characters.

The main conclusions of the study may be presented in a short Conclusion Section. In this section, the author(s) should also briefly discuss the limitations of the research and Future Scope for improvement.

VI. CHALLENGES IN AUTOMATIC HANDWRITTEN DIGIT RECOGNITION

1. Challenges in handwritten character recognition

Solutions of handwritten character recognition have various limitations.

- a.) **Error Rate:** As shown in the literature [8-12], various algorithms have been designed to solve the problem of handwritten character recognition, but accurate detection is still a challenging issue. Figure6 also depicts the same scenario of Bangla character [52].
- b.) **Detection Speed:** Advance algorithms and deep networks take time in training so to process multiple images, detection time automatically increases.
- c.) Scalable Detectors: Development of scalable detection algorithms that can detect the expanding data properly is a burning issue of handwritten character recognition.

Poor Quality, Poor Inking, and Obsolete Fonts: - As written in the heading, these factors determine the rate of detection accuracy. Proper dataset and its preparation is also a crucial issue.

VII. EXPERIMENTAL ANALYSIS

We experimented on various state of the art and other standard methods for Handwritten Digit Recognition. The performance of the methods, namely the **AutoEncoders and DenseNet** models, were recorded on various changing parameters. The best performing activation functions were applied to the network, including Google's new SWISH activation function and ELISH activation function.

Table5: Observation For MNIST Datase.

Activation Function	Accuracy Autoencoder
Relu	0.9953599962234497
Swish	0.9956799955368042
E-swish	0.9956899953842163
Elish	0.9955199964523316
Selu	0.9951099985122681
Activation Function	Accuracy DenseNet
Relu	0.982619
Swish	0980000
E-swish	0.982143
Elish	0.982247
Selu	0.969524

As can be seen from the table that the best performing function on average is the E-Swish Activation Function. The results also describe the accuracy of the techniques in recognizing the Handwritten Characters.

conclusions of the study may be presented in a short Conclusion Section. In this section, the author(s) should also briefly discuss the limitations of the research and Future Scope for improvement.

VIII. CONCLUSION

Handwritten character recognition is a complex problem because of a variety of character in different languages. The complex architecture of characters is another major reason that makes the handwritten character recognition task stuff. Research in this direction focuses on segmentation procedures, feature extraction procedure, and classification algorithms.

Various machine learning techniques have been used for solving the same problem. Now with the advancements in hardware and the efficient algorithm has given birth to deep learning, and it is widely used for solving handwritten character recognition. In this paper, we presented a survey on handwritten character recognition. Initially, we presented a procedure of handwritten character recognition. Four languages, Devanagari, Bangla, Chinese, and Arabic, are taken for analysis. We presented a study in tabular form that reflects the various techniques.

Used & accuracy attained in the handwritten character recognition task. Challenges in the concerned domain are also discussed. The wide use of handwritten character recognition for commercial products like mobile phones, PC, etc. attracts the attention of the research community towards this problem. As stated above that deep learning is catching attention the modified version of deep learning algorithms like Discriminative Restricted Boltzmann Machines (DRBM) [53], Conditional restricted Boltzmann machines (CRBM) [54], CBIR (Content-based image retrieval) [55], CDBNs (Convolutional deep belief network) [56], Separable deep encoder [57], Recursive Convolutional network (RCN) [58], Convolutional restricted Boltzmann machine (CRBM) [59], Dense convolutional neural network [60] etc. have been developed in past literature. Analysis and exploration of these algorithms, along with advance feature extraction algorithms [61-65] will be used in the future. I hope that this intuition will be helpful for those who are working in this direction.

CONFLICT OF INTEREST

The author declares that there is no conflict of interest regarding the publication of this paper.

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