

# Discrete Structures

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# Text book

Discrete Mathematics and Its Application, 7<sup>th</sup> Edition  
Kenneth H. Rosen

# References

## Chapter 2

1. Discrete Mathematics and Its Application, 7<sup>th</sup> Edition  
by Kenneth H. Rose

2. Discrete Mathematics with Applications  
by Thomas Koshy

These slides contain material from the above resources.

# Sequences

**Sequence** is a **discrete structure** used to represent an **ordered list**.

For example, **1, 2, 3, 5, 8** is a sequence with five terms and **1, 3, 9, 27, 81, . . . , 3n, . . .** is an **infinite sequence**.

**Definition:** A **sequence is a function** from a subset of the set of integers (usually either the set  $\{0, 1, 2, \dots\}$  or the set  $\{1, 2, 3, \dots\}$ ) to a set  $S$ . We use the **notation  $a_n$**  to denote the image of the integer  $n$ . We call  $a_n$  a term of the sequence.

**Example 1** Consider the **sequence  $\{a_n\}$** , where

$$a_n = \frac{1}{n}$$

The list of the terms of this sequence, beginning with  $a_1$ , namely,  $a_1, a_2, a_3, a_4, \dots$ , starts with

$$1, \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \dots$$

# Geometric progression

**Definition** A geometric progression is a sequence of the form  $a, ar, ar^2, \dots, ar^n, \dots$ , where the initial term  $a$  and the common ratio  $r$  are real numbers.

**Example** The sequences  $\{b_n\}$  with  $b_n = (-1)^n$

$\{c_n\}$  with  $c_n = 2 \times 5^n$

$\{d_n\}$  with  $d_n = 6 \times (1/3)^n$

are geometric progressions with initial term and common ratio equal to **1** and **-1**; **2** and **5**; and **6** and **1/3**, respectively.

## Solution:

$$b_n = (-1)^n$$

The list of terms  $b_0, b_1, b_2, b_3, b_4, \dots$  begins with  
 $1, -1, 1, -1, 1, \dots$ ;

$$c_n = 2 \times 5^n$$

the list of terms  $c_0, c_1, c_2, c_3, c_4, \dots$  begins with  
 $2, 10, 50, 250, 1250, \dots$ ;

$$d_n = 6 \times (1/3)^n$$

and the list of terms  $d_0, d_1, d_2, d_3, d_4, \dots$  begins with  
 $6, 2, \frac{2}{3}, \frac{2}{9}, \frac{2}{27}, \dots$



# Arithmetic progression

**Definition** An arithmetic progression is a sequence of the form  $a, a + d, a + 2d, \dots, a + nd, \dots$  where the initial term **a** and the **common difference d** are real numbers.

**Example** The sequences  $\{s_n\}$  with  $s_n = -1 + 4n$  and  $\{t_n\}$  with  $t_n = 7 - 3n$  are both arithmetic progressions with  $a = -1$  and  $d = 4$ , and  $a = 7$  and  $d = -3$ , respectively,

$$s_n = -1 + 4n$$

if we start at  $n = 0$ . The list of terms  $s_0, s_1, s_2, s_3, \dots$  begins with  $-1, 3, 7, 11, \dots$ ,

$$t_n = 7 - 3n$$

and the list of terms  $t_0, t_1, t_2, t_3, \dots$  begins with  $7, 4, 1, -2,$

# Strings

**Sequences of the form**  $a_1, a_2, \dots, a_n$  are often used in computer science. These finite sequences are also called **strings**. This string is also denoted by  $a_1a_2 \dots a_n$ .

The **length** of a string is the **number of terms in this string**. The **empty string**, denoted by  $\lambda$ , is the string that has no terms. The empty string has length zero.

# Recurrence Relations

A **recurrence relation** for the sequence  $\{a_n\}$  is an equation that expresses  $a_n$  in terms **of one or more of the previous terms** of the sequence, namely,  $a_0, a_1, \dots, a_{n-1}$ , for all integers  $n$  with  $n \geq n_0$  where  $n_0$  is a nonnegative integer.

A **sequence** is called a **solution of a recurrence relation** if its terms **satisfy the recurrence relation**.

**Example** Let  $\{a_n\}$  be a sequence that satisfies the recurrence relation

$$a_n = a_{n-1} + 3 \quad \text{for } n = 1, 2, 3, \dots,$$

and suppose that  $a_0 = 2$ . What are  $a_1$ ,  $a_2$ , and  $a_3$ ?

## Solution

$$a_n = a_{n-1} + 3 \quad \text{for } n = 1, 2, 3, \dots,$$

$$a_1 = a_0 + 3$$

$$a_1 = 2 + 3 = 5$$

$$a_2 = a_1 + 3 = 5 + 3 = 8$$

$$a_3 = a_2 + 3 = 8 + 3 = 11$$

**Example** Let  $\{a_n\}$  be a sequence that satisfies the recurrence relation  $a_n = a_{n-1} - a_{n-2}$  for  $n = 2, 3, 4, \dots$ , and suppose that  $a_0 = 3$  and  $a_1 = 5$ . What are  $a_2$  and  $a_3$ ?

$$a_n = a_{n-1} - a_{n-2} \quad \text{for } n = 2, 3, 4, \dots$$

$$a_2 = a_1 - a_0$$

$$a_2 = 5 - 3 = 2$$

$$a_n = a_{n-1} - a_{n-2} \quad \text{for } n = 2, 3, 4, \dots$$

$$a_3 = a_2 - a_1$$

$$a_3 = 2 - 5$$

$$a_3 = -3$$

# Recurrence relation of Fibonacci sequence

The **Fibonacci sequence**,  $f_0, f_1, f_2, \dots$ , is defined by the initial conditions

$f_0 = 0, f_1 = 1$ , and the recurrence relation

$$f_n = f_{n-1} + f_{n-2}, \quad \text{for } n = 2, 3, 4, \dots$$



# Recurrence relation of Fibonacci sequence

**Example** Find the Fibonacci numbers  $f_2$ ,  $f_3$ ,  $f_4$ ,  $f_5$ , and  $f_6$ .

$$f_n = f_{n-1} + f_{n-2}$$

$$f_0 = 0, f_1 = 1$$

$$f_2 = f_1 + f_0 = 1 + 0 = 1,$$

$$f_3 = f_2 + f_1 = 1 + 1 = 2,$$

$$f_4 = f_3 + f_2 = 2 + 1 = 3,$$

$$f_5 = f_4 + f_3 = 3 + 2 = 5,$$

$$f_6 = f_5 + f_4 = 5 + 3 = 8.$$

# Recurrence relation of factorial sequence

**Example** Suppose that  $\{a_n\}$  is the sequence of integers defined by  $a_n = n!$ , the value of the factorial function at the integer  $n$ , where  $n = 1, 2, 3, \dots$

$$a_n = n!$$

$$n! = n((n-1)(n-2) \dots 2 \cdot 1)$$

$$= n(n-1)!$$

$$= na_{n-1}$$

$$\therefore a_n = n!$$

$\Rightarrow a_n = na_{n-1}$  is the recurrence relation of factorials with the initial condition

$$a_1 = 1$$

**TABLE 1** Some Useful Sequences.

<i>n</i> th Term	First 10 Terms
$n^2$	1, 4, 9, 16, 25, 36, 49, 64, 81, 100, ...
$n^3$	1, 8, 27, 64, 125, 216, 343, 512, 729, 1000, ...
$n^4$	1, 16, 81, 256, 625, 1296, 2401, 4096, 6561, 10000, ...
$2^n$	2, 4, 8, 16, 32, 64, 128, 256, 512, 1024, ...
$3^n$	3, 9, 27, 81, 243, 729, 2187, 6561, 19683, 59049, ...
$n!$	1, 2, 6, 24, 120, 720, 5040, 40320, 362880, 3628800, ...
$f_n$	1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, ...

# Summations

We use the notation

$$\sum_{j=m}^n a_j, \sum_{j=m}^n a_j, \text{ or } \sum_{m \leq j \leq n} a_j$$

(read as the sum from  $j = m$  to  $j = n$  of  $a_j$ ) to represent

$$a_m + a_{m+1} + \cdots + a_n.$$

Here, the variable  $j$  is called the **index of summation**, and the choice of the letter  **$j$  as the variable is arbitrary**; that is, we could have used any other letter, such as  $i$  or  $k$ . Or, in notation,

$$\sum_{j=m}^n a_j = \sum_{i=m}^n a_i = \sum_{k=m}^n a_k$$

The **usual laws for arithmetic apply to summations**. For example

$$\sum_{j=1}^n (ax_j + by_j) = \sum_{j=1}^n ax_j + b \sum_{j=1}^n y_j$$

Example Use summation notation to express the **sum of the first 100 terms of the sequence**  $\{a_j\}$ , where  **$a_j = 1/n$**  for  $j = 1, 2, 3, \dots$

$$\sum_{j=1}^{100} \frac{1}{j}$$

How do you know this is true?

$$\sum_{i=1}^k (ca_i + b_i) = c \sum_{i=1}^k a_i + \sum_{i=1}^k b_i$$

1. Use associative law to separate the bs from the as.
2. Use distributive law to factor the cs.

**Example** What is the value of  $\sum_{j=1}^5 j^2$  ?

$$\sum_{j=1}^5 j^2 = 1^2 + 2^2 + 3^2 + 4^2 + 5^2$$

$$= 1 + 4 + 9 + 16 + 25$$

$$= 55$$

**Example** What is the value of  $\sum_{k=4}^8 (-1)^k$  ?

$$\sum_{k=4}^8 (-1)^k = (-1)^4 + (-1)^5 + (-1)^6 + (-1)^7 + (-1)^8$$

$$= 1 + (-1) + 1 + (-1) + 1$$

$$= 1$$



**Example** Suppose we have the sum  $\sum_{j=1}^5 j^2$  but want the index of summation to run **between 0 and 4** rather than **from 1 to 5**

Let  **$j = k + 1$**

When  **$j = 1$** , then  $1 = k + 1 \Rightarrow \mathbf{k = 0}$

When  **$j = 5$** , then  $5 = k + 1 \Rightarrow k = 5 - 1 \Rightarrow \mathbf{k = 4}$

$$\sum_{j=1}^5 j^2 = \sum_{k=0}^4 (k + 1)^2$$

It is easily checked that both sums are  $1 + 4 + 9 + 16 + 25 = 55$

What is  **$S = 1 + 2 + 3 + \dots + n$** ?

$$S = 1 + 2 + \dots + n$$

Write the sum.

$$S = n + n-1 + \dots + 1$$

Write it again in reverse order.

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$$2s = n+1 + n+1 + \dots + n+1$$

Add together.

$$2s = n(n+1)$$

$$s = n(n+1)/2$$

$$\sum_{k=1}^n k = \frac{n(n+1)}{2}$$

# Some important summations

What is  $S = 1 + r + r^2 + \dots + r^n$

$$\sum_{k=0}^n r^k = 1 + r + \dots + r^n$$

Geometric Series

$$r \sum_{k=0}^n r^k = r + r^2 + \dots + r^{n+1}$$

Multiply by  $r$

$$\sum_{k=0}^n r^k - r \sum_{k=0}^n r^k = 1 - r^{n+1}$$

Subtract 2<sup>nd</sup> from 1<sup>st</sup>

$$(1 - r) \sum_{k=0}^n r^k = 1 - r^{n+1}$$

Factor

$$\sum_{k=0}^n r^k = \frac{1 - r^{n+1}}{(1 - r)}$$

Divide

# Some important summations

What is  $S = 1 + 3 + 5 + \dots + (2n - 1)$ ?

Sum of first  $n$  odds

$$\begin{aligned}\sum_{k=1}^n (2k - 1) &= 2 \sum_{k=1}^n k - \sum_{k=1}^n 1 \\ &= 2 \left( \frac{n(n+1)}{2} \right) - n \\ &= n^2\end{aligned}$$

# Some important summations

What is  $S = 1 + 3 + 5 + \dots + (2n - 1)$ ?

$$= n^2$$

Sum of first  $n$  odds

**Double summations** arise in many contexts (as in the analysis of nested loops in computer programs).

$$\begin{aligned}\sum_{i=1}^4 \sum_{j=1}^3 ij &= \sum_{i=1}^4 i(1 + 2 + 3) \\ &= \sum_{i=1}^4 (i + 2i + 3i) \\ &= \sum_{i=1}^4 6i \\ &= 6 + 12 + 18 + 24 = 60.\end{aligned}$$

We can also use summation notation to **add all values of a function**, or **terms of an indexed set**, where the index of summation runs over all values in a set. We write,

$$\sum_{s \in S} f(s)$$

to represent the sum of the values  $f(s)$ , for all  $s$  of  $S$ .

What is the value of  $\sum_{s \in \{0, 2, 4\}} s$ ?

$$\sum_{s \in \{0, 2, 4\}} s = 0 + 2 + 4 = 6.$$

**TABLE 2** Some Useful Summation Formulae.

<i>Sum</i>	<i>Closed Form</i>
$\sum_{k=0}^n ar^k \ (r \neq 0)$	$\frac{ar^{n+1} - a}{r - 1}, r \neq 1$
$\sum_{k=1}^n k$	$\frac{n(n+1)}{2}$
$\sum_{k=1}^n k^2$	$\frac{n(n+1)(2n+1)}{6}$
$\sum_{k=1}^n k^3$	$\frac{n^2(n+1)^2}{4}$
$\sum_{k=0}^{\infty} x^k,  x  < 1$	$\frac{1}{1-x}$
$\sum_{k=1}^{\infty} kx^{k-1},  x  < 1$	$\frac{1}{(1-x)^2}$



$$\sum_{k=50}^{100} k^2 = ?$$

$$\sum_{k=50}^{100} k^2 = \sum_{k=1}^{100} k^2 - \sum_{k=1}^{49} k^2$$

$$\because \sum_{k=1}^n k^2 = n(n+1)(2n+1)/6$$

$$\sum_{k=50}^{100} k^2 = \frac{100 \times 101 \times 201}{6} - \frac{49 \times 50 \times 99}{6}$$

$$= 33850 - 40425$$

$$= 297925$$

# Cardinality

**Definition:** The sets  $A$  and  $B$  have the same cardinality if and only if there is a **one-to-one correspondence** from  $A$  to  $B$ . When  $A$  and  $B$  have the **same cardinality**, we write  $|A| = |B|$

**Definition:** If there is a one-to-one function from  $A$  to  $B$ , the cardinality of  $A$  is less than or the same as the cardinality of  $B$  and we write  $|A| \leq |B|$ . Moreover, when  $|A| \leq |B|$  and  $A$  and  $B$  have different cardinality, we say that the cardinality of  $A$  is less than the cardinality of  $B$  and we write  $|A| < |B|$ .

# Countable Sets

We will now split **infinite sets** into two groups, those with the **same cardinality** as the set of natural numbers and those with a different cardinality.

**Definition:** A set that is either **finite** or has the **same cardinality** as the set of **positive integers** is called **countable**. A set that is not countable is called **uncountable**. When an **infinite set  $S$**  is **countable**, we denote the cardinality of  $S$  by  $\aleph_0$  (where  $\aleph$  is aleph, the first letter of the Hebrew alphabet). We write  $|S| = \aleph_0$  and say that  $S$  has cardinality “aleph null.”

# Countable Sets

**Example** Show that the set of odd positive integers is a countable set. To show that the set of odd positive integers is countable, we will exhibit **a one-to-one correspondence between this set and the set of positive integers.**



**FIGURE 1** A One-to-One Correspondence Between  $\mathbb{Z}^+$  and the Set of Odd Positive Integers.

# Suggested Readings

## Chapter 2

### 2.4 Sequences and Summations

### 2.5 Cardinality of Sets