

CS353 Spring 2019
Homework 5 Solutions

Q.1 (20 points) Consider the following relation ABC:

A	B	C	Tuple #
10	b1	c1	#1
10	b2	c2	#2
11	b4	c1	#3
12	b3	c4	#4
13	b1	c1	#5
14	b3	c4	#6

Given the above instance of the relation, which of the following dependencies, *may hold* in the above relation? If the dependency cannot hold, explain why *by specifying the tuples that cause the violation*.

- a. i. $A \rightarrow C$, ii. $B \rightarrow C$, iii. $C \rightarrow B$, iv. $B \rightarrow A$, v. $C \rightarrow A$
- b. Does the above relation have a potential candidate key? If it does, what is it? If not, why not?

Solution:

- a.
 - i. $A \rightarrow C$ cannot hold because Tuples #1 and #2 agree on their A values but they do not agree on their C values.
 - ii. $B \rightarrow C$ may hold because only Tuples #1 and #5 agree on their B values and they also agree on their C values.
 - iii. $C \rightarrow B$ cannot hold because Tuples #1 and #3 agree on their C values and but they do not agree on their B values.
 - iv. $B \rightarrow A$ cannot hold because Tuples #1 and #5 agree on their B values but they do not agree on their A values.
 - v. $C \rightarrow A$ cannot hold because Tuples #1, #3, and #5 agree on their C values but they do not agree on their A values.
- b.
 - A alone cannot be a candidate key because Tuples #1 and #2 have the same A value.
 - B alone cannot be a candidate key because Tuples #1 and #5 have the same B value.
 - C alone cannot be a candidate key because Tuples #1, #3 and #5 have the same C value.
 - (B, C) cannot be a candidate key because Tuples #1 and #5 have the same (B, C) value.
 - (A, B) is a potential candidate key because all tuples in the current instance have unique (A, B) value.
 - (A, C) is another potential candidate key because all tuples in the current instance have unique (A, C) value.

Q.2 (20 points) Consider the attribute set ABCDEFGH and the following set, F , of FDs:

$ABH \rightarrow C$	$F \rightarrow AD$
$A \rightarrow D$	$E \rightarrow F$
$C \rightarrow E$	$BH \rightarrow E$
$BGH \rightarrow F$	

Find the canonical (minimal) cover, G , for the set F .

Solution: (Apply the algorithm the same as covered in the lectures).

In $BGH \rightarrow F$, G is extraneous because $(BH)^+ = ABCDEFH$ contains F . Hence, replace $BGH \rightarrow F$ with $BH \rightarrow F$.

In $ABH \rightarrow C$, A is extraneous because $(BH)^+ = ABCDEFH$ contains A . Hence, replace $ABH \rightarrow C$ with $BH \rightarrow C$.

In $F \rightarrow AD$, D is extraneous because $F^+ = FAD$ contains D (under $(F - \{F \rightarrow AD\}) \cup \{F \rightarrow A\}$; using $F \rightarrow A$ and $A \rightarrow D$). Hence, replace $F \rightarrow AD$ with $F \rightarrow A$.

We combine $BH \rightarrow F$, $BH \rightarrow F$ and $BH \rightarrow E$ to $BH \rightarrow CEF$ to make each left hand side in F_c unique.

Hence, we are left with

$BH \rightarrow CEF$	$F \rightarrow A$
$A \rightarrow D$	$E \rightarrow F$
$C \rightarrow E$	

In $BH \rightarrow CEF$, F is extraneous because $(BH)^+ = ABCDEFH$ contains F (under $(F - \{BH \rightarrow CEF\}) \cup \{BH \rightarrow CE\}$). Hence, replace $BH \rightarrow CEF$ with $BH \rightarrow CE$.

In $BH \rightarrow CE$, E is extraneous because $(BH)^+ = ABCDEFH$ contains F (under $(F - \{BH \rightarrow CE\}) \cup \{BH \rightarrow C\}$). Hence, replace $BH \rightarrow CE$ with $BH \rightarrow C$.

The final canonical cover is

$BH \rightarrow C$	$F \rightarrow A$
$A \rightarrow D$	$E \rightarrow F$
$C \rightarrow E$	

Q.3 (20 points) Consider the following relation $R(A, B, C, D, E)$ with the following dependencies:

$AB \rightarrow C, CD \rightarrow E, DE \rightarrow B$

- a) Is AB a candidate key of this relation? If not, is ABD ? Explain your answer.
- b) Is this relation in BCNF? Explain your answer. If not, decompose it into BCNF relations.
- c) Is this relation in 3NF? Explain your answer. If not, decompose it into 3NF relations.

Solution:

a)

$AB^+ = AB$

$AB^+ = ABC$ because of $AB \rightarrow C$

Because AB doesn't determine all the attributes of the relation, it is not a candidate key. However, ABD is a candidate key since

$ABD^+ = ABD$

$ABD^+ = ABCD$ because of $AB \rightarrow C$

$ABD^+ = ABCDE$ because of $CD \rightarrow E$.

b)

Because the only candidate key is ABD , $DE \rightarrow B$ violates BCNF since the left hand side of the dependency doesn't contain a super key. $AB \rightarrow C$ violates BCNF because the left hand side of the dependency doesn't contain a super key. $CD \rightarrow E$ violates BCNF because the left hand side of the dependency doesn't contain a super key.

The BCNF decomposition using $AB \rightarrow C$

$R(A, B, C, D, E)$: $R_1(A, B, C), R_2(A, B, D, E)$

R_1 is in BCNF because AB is a candidate key ($AB \rightarrow C$). R_2 is in not BCNF since ABD is a candidate key but $DE \rightarrow B$ violates BCNF because DE is not a candidate key.

$R_2(A, B, D, E)$ is decomposed into $R_{21}(A, D, E), R_{22}(D, E, B)$, which are both in BCNF (DE is the candidate key for R_{22} because $DE \rightarrow B$ and ADE is the candidate key for R_{21} because no FDs hold).

c)

$AB \rightarrow C, CD \rightarrow E, DE \rightarrow B$

Because the only candidate key is ABD , $AB \rightarrow C$ violates 3NF since the left hand side of the dependency doesn't contain a super key and the right hand side is not a part of the candidate key. $CD \rightarrow E$ violates 3NF because the left hand side of the dependency doesn't contain a super key and the right hand side is not a part of the candidate key. $DE \rightarrow B$ doesn't violate 3NF because the left hand side of the dependency doesn't contain a super key but the right hand side is a part of the candidate key ABD .

The canonical cover of $F = \{ AB \rightarrow C, CD \rightarrow E, DE \rightarrow B \}$ is the same as the given set of FDs. There are no extraneous attributes.

The result of the for loop in the algorithm is

$R_1: (A, B, C), R_2: (C, D, E), R_3: (D, E, B)$

Because none of the relations contained a candidate key (A, B, D) , we add $R_4: (A, B, D)$.

None of the relations are contained in another relation schema. Hence, the final set of relations is

$R_1: (A, B, C), R_2: (C, D, E), R_3: (D, E, B), R_4: (A, B, D)$.

Q.4 (20 points)

Consider the relation R, that hold schedules of courses and sections at a university;

$R(\text{Course_no}, \text{Sec_no}, \text{Offering_dept}, \text{Credit_hours}, \text{Course_level}, \text{Instructor_ssn}, \text{Semester}, \text{Year}, \text{Days_hours}, \text{Room_no}, \text{No_of_students})$.

Suppose that the following dependencies hold on R:

$\text{Course_no} \rightarrow \{\text{Offering_dept}, \text{Credit_hours}, \text{Course_level}\}$

$\{\text{Course_no}, \text{Sec_no}, \text{Semester}, \text{Year}\} \rightarrow \{\text{Days_hours}, \text{Room_no}, \text{No_of_students}, \text{Instructor_ssn}\}$

$\{\text{Room_no}, \text{Days_hours}, \text{Semester}, \text{Year}\} \rightarrow \{\text{Instructor_ssn}, \text{Course_no}, \text{Sec_no}\}$

Try to determine which sets of attributes form keys of R. How would you normalize this relation?

Solution:

Let's make attribute names shorter.

$R(C, S, O, H, L, I, M, Y, D, R, N)$

$C \rightarrow OHL$

$CSMY \rightarrow DRNI$

$RDMY \rightarrow ICS$

$C+ \rightarrow C$

$C+ \rightarrow COHL$

$CSMY+ = CSMY$

$CSMY+ = CSOHLMY$

$CSMY+ = CSOHLMYDRNI$

CSMY is a candidate key for the relation.

$RDMY+ = RDMY$

$RDMY+ = ICSRDMY$

$RDMY+ = ICSRDMYOHL$

$RDMY+ = ICSRDMYOHLNI$

RDMY is another candidate key for the relation.

$C \rightarrow OHL$ violates BCNF because c is not a candidate key for the relation.

We can decompose it into BCNF relations using $C \rightarrow OHL$ as follows.

$R_1(COHL), R_2(CSIMYDRN)$

R_1 is in BCNF because C is a candidate key ($C \rightarrow OHL$).

R_2 has two candidate keys RDMY and CSMY. R_2 is in BCNF because $CSMY \rightarrow DRNI$ and $RDMY \rightarrow ICS$ do not violate BCNF since the left hand sides are candidate keys.

Q5. (20 points) Consider the following relation for published books:

$\text{BOOK}(\text{Book_title}, \text{Author_name}, \text{Book_type}, \text{List_price}, \text{Author_affil}, \text{Publisher})$

Author_affil refers to the affiliation of the author. Suppose the following dependencies exist:

$\text{Book_title} \rightarrow \text{Publisher}, \text{Book_type}$

$\text{Book_type} \rightarrow \text{List_price}$

$\text{Author_name} \rightarrow \text{Author_affil}$

a) What normal form this relation is in? Explain your answer.

b) Apply normalization until you cannot decompose the relations further. State the reasons behind each decomposition.

Solution:

Book_title+ = Book_title

Book_title+ = Book_title, Publisher, Book_type

Book_title+ = Book_title, Publisher, Book_type, List_price

(Book_title, Author_name) + = Book_title, Publisher, Book_type, List_price, Author_name, Author_affil

The candidate key for the relation is (Book_title, Author_name).

The relation is not in BCNF because the following dependencies violate BCNF since the LHS of dependencies do not contain key.

Book_title \rightarrow Publisher, Book_type

Book_type \rightarrow List_price

Author_name \rightarrow Author_affil

The relation is not in 3NF either because the same dependencies violate 3NF since the LHS of dependencies do not contain key and the RHS of dependencies are not a part of the key.

A possible BCNF decomposition using Book_title \rightarrow Publisher, Book_type is

BOOK1(Book_title, Publisher, Book_type)

BOOK2(Book_title, Author_name, List_price, Author_affil)

BOOK1 is in BCNF because Book_title is the candidate key (Book_title \rightarrow Publisher, Book_type)

BOOK2 is not in BCNF because (Book_title, Author_name) is the candidate key and

Author_name \rightarrow Author_affil violates BCNF since the LHS of the dependency does not contain key and the RHS of the dependency is not a part of the key.

BOOK2 can be further decomposed into

BOOK21(Book_title, Author_name, List_price)

BOOK22(Author_name, Author_affil)

which are both in BCNF.