

Monte Carlo Tree Search

Noah Syrkis

September 8, 2025

1 | Introduction

2 | Minimax

3 | $\alpha - \beta$ pruning

4 | MCTS

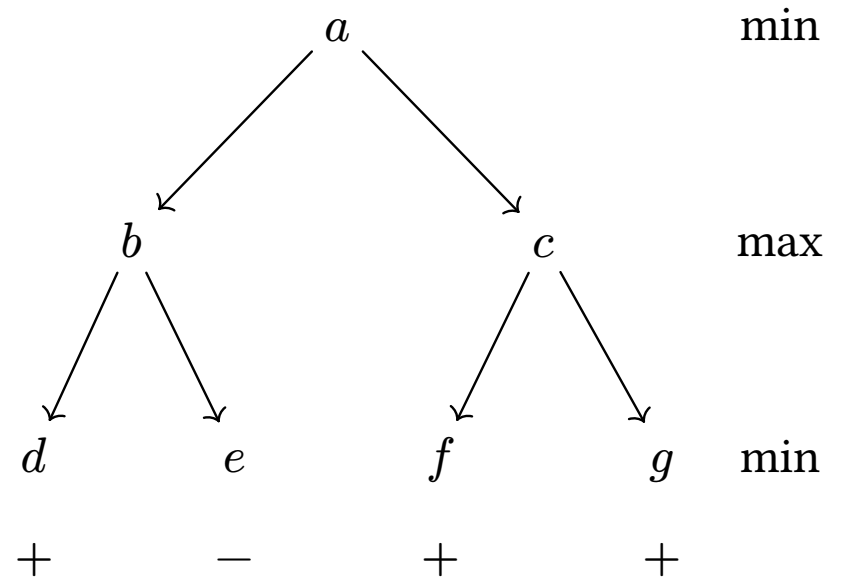
5 | Python

1 | Introduction

The future is a garden of forking paths [1]. Action a at state s_t yields a new state s_{t+1} . A different action a' , however, might have yielded some different state s'_{t+1} .

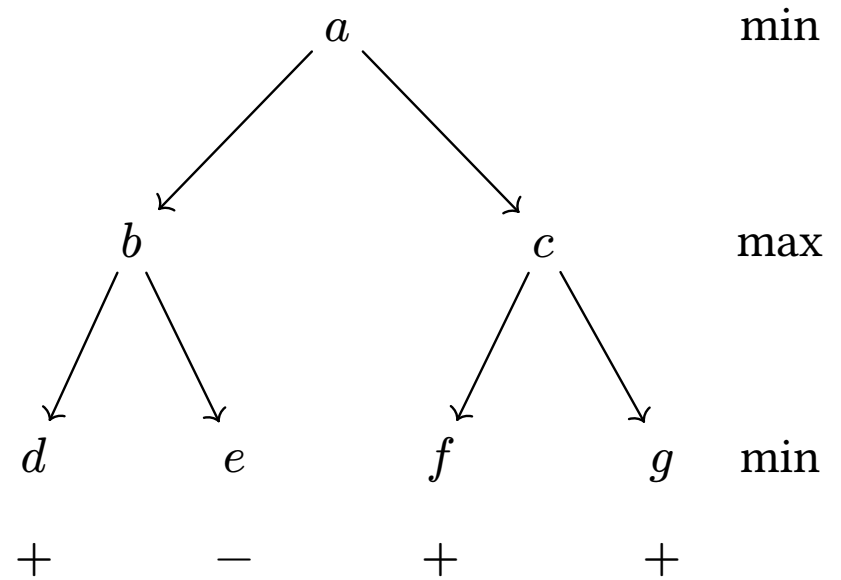
2 | Minimax

- ▶ Suppose we have a function that:
- ▶ given a state and an action returns a new state,
- ▶ and another that given a state returns who won
- ▶ What can we do?



2 | Minimax

- ▶ Suppose we have a function that:
- ▶ given a state and an action returns a new state,
- ▶ and another that given a state returns who won
- ▶ What can we do? Play perfectly and never loose



2 | Minimax

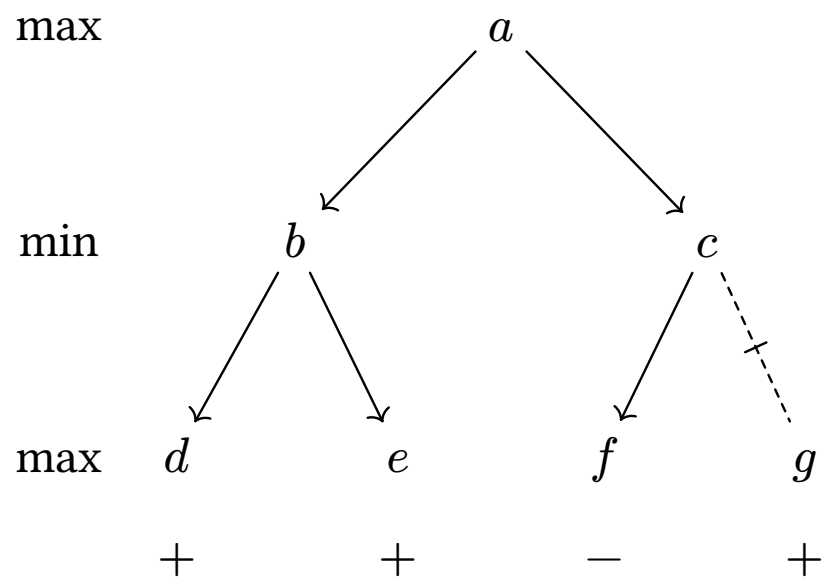
- ▶ We can win (or at least not loose) any game¹ by:
 1. Calling the minimax function for all actions
 2. Storing the values of each action in a list
 3. Taking the action with the highest value
- ▶ How can we do better? What are the issues?

Algorithm 1: minimax(node, maxim)

```
1 if node is terminal
2   return the value of node
3 bestValue =  $-\infty$  if maxim else  $\infty$ 
4 condition = max if maxim else min
5 for each child of node
6   value = minimax(child, not maxim)
7   bestValue = condition(bestValue, value)
8 return bestValue
```

¹that is two player, winnable, deterministic, etc.

3 | $\alpha - \beta$ pruning



- Skip branches worse than current floor
- α and β refer to those precisely floors

3 | $\alpha - \beta$ pruning

- ▶ Algorithm 2 looks daunting but the idea is:
- ▶ Stop exploring paths you already know are bad

Algorithm 2: $\alpha - \beta$ pruning(node, maxim, α , β)

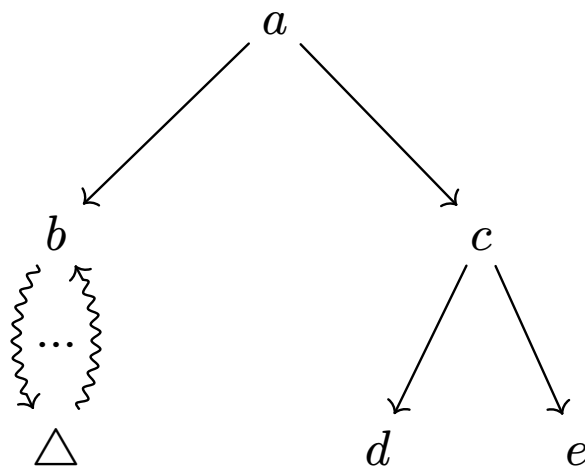
```
1 if node is terminal
2   return the value of node
3 bestValue =  $-\infty$  if maxim else  $\infty$ 
4 condition = max if maxim else min
5 for each child of node
6   value = minimax(child, not maxim,  $\alpha$ ,  $\beta$ )
7   bestValue = condition(bestValue, value)
8    $\alpha$  = (condition( $\alpha$ , value) if maxim else  $\alpha$ )
9    $\beta$  = (condition( $\beta$ , value) if not maxim else  $\beta$ )
10  if  $\alpha \geq \beta$ ; break
11 return bestValue
```

4 | MCTS

- ▶ We haven't actually *looked* at the board
- ▶ Humans don't mentally finish n games

4 | MCTS

- ▶ Monte Carlo (random) tree search [2]
- ▶ Core idea: sample from bottom of each branch
- ▶ How much to sample from each branch?
- ▶ How should we reach the bottom?



4.1 | Explore / exploit

- ▶ When do we exploit the best tool we have?
- ▶ When should we explore for a new tool?
- ▶ There is a good entropy based solution [3]

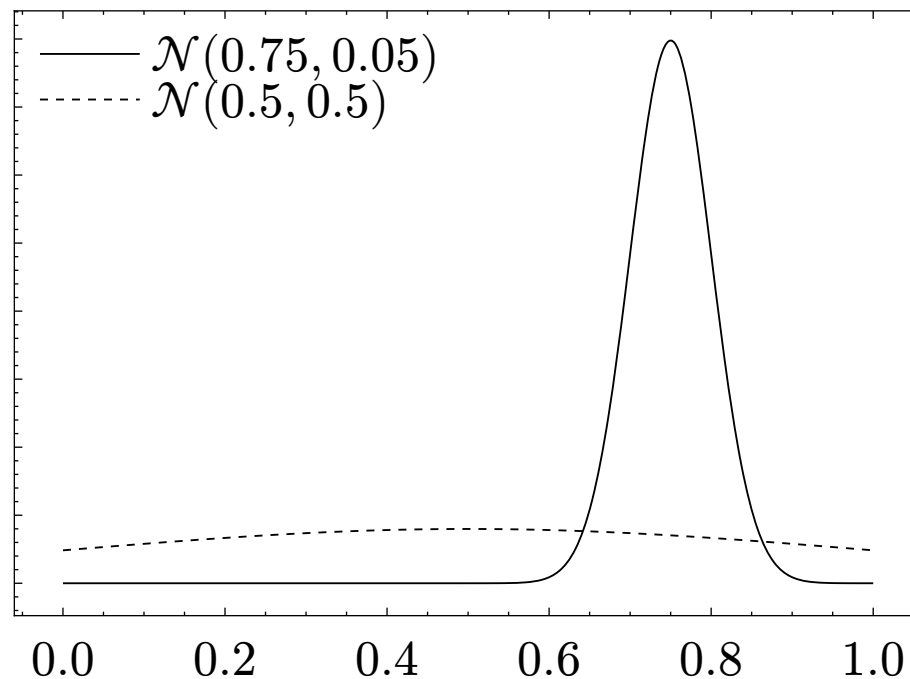


Figure 4: Which distribution would you sample from? Which is more likely to reach 1?

5 | Python

- ▶ You will see code that looks like Script 1
- ▶ In some games $s \neq o$, so we need separate obs
- ▶ Multi player setup will have inner player loop

```
import gymnasium as gym
env = gym.game("tic_tac_toe")
state, done = env.init()
```

```
while not done:
```

```
    action = action_fn(state)
    state, done = env.step(state, action)
```

*Script 1: Playing games in Python usually look
something like this*

5 | Python

- ▶ Some useful packages
- ▶ Understanding gymnasium is a must
- ▶ Get comfy with `.reset` and `.step`
- ▶ Sometimes state has a valid action mask!

aigs	package for our course
gymnasium [4]	Basic env package
petting-zoo [5]	gym for multiplayer
pgx [6]	parallel envs
mlxp [7]	experiment tracking
parabellum [8]	shameless plug

Index of Sources

- [1] J. L. Borges, “The Garden of Forking Paths,” *Ficciones*. Grove Press, New York, 1962.
- [2] C. B. Browne *et al.*, “A Survey of Monte Carlo Tree Search Methods,” *IEEE Transactions on Computational Intelligence and AI in Games*, vol. 4, no. 1, pp. 1–43, Mar. 2012, doi: 10.1109/TCIAIG.2012.2186810.
- [3] H. Robbins, “SOME ASPECTS OF THE SEQUENTIAL DESIGN OF EXPERIMENTS,” 1952.
- [4] M. Towers *et al.*, “Gymnasium: A Standard Interface for Reinforcement Learning Environments.” Mar. 2025.
- [5] J. Terry *et al.*, “Pettingzoo: Gym for Multi-Agent Reinforcement Learning,” *Advances in Neural Information Processing Systems*, vol. 34, pp. 15032–15043, 2021.

Index of Sources

- [6] S. Koyamada *et al.*, “Pgx: Hardware-accelerated Parallel Game Simulators for Reinforcement Learning,” in *Advances in Neural Information Processing Systems*, 2023, pp. 45716–45743.
- [7] M. Arbel and A. Zouaoui, “MLXP: A Framework for Conducting Replicable Experiments in Python,” no. arXiv:2402.13831. arXiv, Jun. 2024. doi: 10.48550/arXiv.2402.13831.
- [8] N. Syrkis, T. Anne, and S. Risi, “Parabellum.” Jun. 2025.