Interests: Reinforcement Learning, Trustworthy AI Agent, Probability, Optimization

### **Education**

**▼** The Chinese University of Hong Kong (CUHK), Shenzhen

**Ph.D.** in Computer Science and Information Engineering.

March 2025 (expected)

**Supervisor**: Zhi-Quan (Tom) Luo https://en.wikipedia.org/wiki/Zhi-Quan\_Tom\_Luo **Committee**: Hongyuan Zha, Xinyun Chen, Baoxiang Wang, John C.S. Lui, Benjamin Van Roy (Stanford

& Google DeepMind)

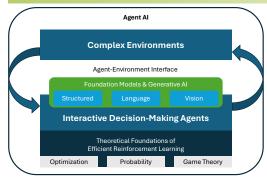
**■ Huazhong University of Science and Technology (HUST)**, Wuhan

2020

 $\textbf{\textit{M.S.}} \ in \ \textit{Computer Science.} \ 1st/134 \ overall, 1st/26 \ in \ Computer \ Theory \ and \ Software \ specialization.$ 

**B.E.** in Computer Science with Honor. Outstanding Graduate.

## **Research Vision**



Theoretically Flegant, Practically Useful

My research focuses on developing **trustworthy AI agents** that operate reliably in complex, uncertain, and dynamic environments involving human interaction. By advancing methods in **uncertainty** representation, **RL** and **LLM reasoning & agent**, I bridge foundational theory, scalable algorithms, modern computation tools and real-world applications. For more details, please visit my research highlights on my webpage.

# **Representative Publications**

### **Conference Proceedings**

- Yingru Li and Zhi-Quan Luo. "Prior-dependent analysis of posterior sampling reinforcement learning with function approximation". In: *AISTATS*. 2024. arXiv: 2403.11175 [stat.ML].
- Yingru Li, Jiawei Xu, Lei Han, and Zhi-Quan Luo. "Q-Star Meets Scalable Posterior Sampling: Bridging Theory and Practice via HyperAgent". In: *ICML*. 2024. arXiv: 2402.10228 [cs.LG].
- Ziniu Li, **Yingru Li**, Yushun Zhang, Tong Zhang, and Zhi-Quan Luo. "HyperDQN: A Randomized Exploration Method for Deep Reinforcement Learning". In: *ICLR*. Correponding author. 2022.
- 4 Qing Wang, **Yingru Li**, Jiechao Xiong, and Tong Zhang. "Divergence-Augmented Policy Optimization". In: *NeurIPS*. Vol. 32. Co-first author. 2019.

# **Journal Articles**

Kun He, **Yingru Li**, Sucheta Soundarajan, and John E. Hopcroft. "Hidden community detection in social networks". In: *Information Sciences* 425 (2018). Correponding author, pp. 92–106. ISSN: 0020-0255. %DOI: https://doi.org/10.1016/j.ins.2017.10.019.

### **Preprints**

**Yingru Li**. Simple, Unified Analysis of Johnson-Lindenstrauss with Applications. Preprint. Presentation at ICML 2024 Workshop "High-dimensional Learning Dynamics 2024: The Emergence of Structure and Reasoning". arXiv: 2402.10232 [stat.ML].

- Yingru Li, Liangqi Liu, Hao Liang, Wenqiang Pu, and Zhi-Quan Luo. Optimistic Thompson Sampling for No-Regret Learning in Unknown Games. Preprint. Presentation at ICML 2023 Workshop "The Many Facets of Preference-Based Learning". arXiv: 2402.09456 [cs.LG].
- Yingru Li, Jiawei Xu, Baoxiang Wang, and Zhi-Quan Luo. Scalable Exploration via Ensemble++.

  Preprint. An early version "Adaptive Foundation Models for Online Decisions: HyperAgent with Fast Incremental Uncertainty Estimation" presented at ICML 2024 Workshops: (1) "Aligning Reinforcement Learning Experimentalists and Theorists"; (2) "Automated Reinforcement Learning: Exploring Meta-Learning, AutoML, and LLMs". arXiv: 2407.13195 [cs.LG].
- Yingru Li. Probability Tools for Sequential Random Projection. Preprint. Presentation at ICML 2024 Workshop "High-dimensional Learning Dynamics 2024: The Emergence of Structure and Reasoning". 2024. arXiv: 2402.14026 [math.ST].

### **Under Preparation**

- Yingru Li, Xiaoxiao Liu, Benyou Wang, and Zhi-Quan Luo. "Multi-turn Actor-critic Language Agents for Hospital Outpatient Referral". 2024.
- Fei Yu, **Yingru Li**, Benyou Wang, and Zhi-Quan Luo. "Uncertainty-guided Search for Multi-step Reasoning in LLMs". Co-first author. 2024.

# **Professional Experience**

Shenzhen Research Institute of Big Data, Shenzhen, China

2023 - present

- Research Assistant
- Innovated game-theoretic algorithms for signal sensing, earning Best Student Paper Award.
- Contributed to HuatuoGPT agent for multi-turn outpatient referral, now operational in 16 hospitals.
- **▼ Tencent AI & Robotics X**, Shenzhen, China

2019 - 2022

- Research Intern, Agent Center. Topic: Data-efficient Reinforcement Learning (RL)
- High-throughput distributed actor-learner system. Stable off-policy policy optimization (NeurIPS).
- Develop HyperAgent on scalable exploration & uncertainty estimation for Deep RL, achieving 7x data efficiency and 20x computation reduction. (Published in ICML; **Best Paper** in 2024 Daoyuan forum).
- SenseTime Research, Peking, China

2018

- Computer Vision Trainee Researcher
- Continual learning system for multi-label image classification adapting to new labels in data streams.
- Department of Computer Science, Cornell University, Ithaca, NY Undergraduate Research Assistant

2017

- Spearheaded research in hidden community detection, a novel graph-theoretic concept, with Turing award winner John E. Hopcroft. (Published in Information Sciences)
- Microsoft Research Lab, Asia

2016

Research Intern in Theory Center

Influence maximization and learning in social networks. Received Award of Excellence in Internship.

## **Awards**

- **Best Paper Award**, in the 3rd doctoral and postdoctoral Daoyuan academic forum, 2024.
- **Best Student Paper**, in IEEE Sensor Array and Multichannel Signal Processing Workshop, 2024.
- SRIBD Ph.D. Fellowship (Gold Class), by Shenzhen Research Institute of Big Data (SRIBD), 2023.
- **▼ Presidential Ph.D. Fellowship**, by The Chinese University of Hong Kong, Shenzhen, 2019–2023.
- **▼ Tencent AI Ph.D. Fellowship**, by Tencent & The Chinese University of Hong Kong, Shenzhen, 2018.
- National Scholarship, by Huazhong University of Science and Technology, 2018.

# **Awards (continued)**

- Qiming Star Award (Selected as one of 5 recipients out of 7,112 undergraduates.), by Huazhong University of Science and Technology, 2016. Reports: [1] Newspaper. [2] HUST Online.
- First Prize, in Parallel computation and Application Contest (PAC) held by Intel and CCF, 2015.
- First Prize, in China National Mathematics Olympiad (Province-level Math League), 2012.

### **Selected Oral Presentations**

**Exploartion at Scale: Theory, Algorithms & Applications** 

a.k.a. "Scalable Exploration Algorithms and LLM Reasoning"

a.k.a. "HyperAgent: Advancing Scalable Exploration through Fast Uncertainty Estimation in RL"

a.k.a. "Q\* meets Thompson Sampling: Scaling up Exploration via HyperAgent"

Invited talk in 2024 INFORMS Annual Meeting, Seattle, Oct. 20, 2024.

Invited talk at MIT, Jul. 30, 2024.

*Invited talk* in International Symposium on Mathematical Programming (ISMP), Montréal, Jul. 25, 2024. *Invited talk* at RLChina.org, Jun. 25, 2024.

Invited talk at Princeton University, May 2, 2024.

*Invited talk* in INFORMS Optimization Society (IOS) Conference, Rice University, Mar. 23, 2024. *Contributed talk*, in the third doctoral and postdoctoral Daoyuan academic forum, Jan. 13, 2024.

■ No-Regret Learning in Unknown Game with Applications

*Invited talk* in RL Theory Student Workshop at Nanjing University, Aug. 23, 2022. *Contributed Talk* in the second doctoral and postdoctoral Daoyuan academic forum, Aug. 20, 2022.

■ HyperDQN: A Randomized Exploration Method for Deep Reinforcement Learning

Contributed Talk in NeurIPS Workshop Ecological Theory of Reinforcement Learning, Dec. 14, 2021

# **Academic Service**

- Reviewer for Conference on Neural Information Processing Systems (NeurIPS) [12 papers], International Conference on Learning Representations (ICLR) [5 papers]; ICLR Workshop "Bridging the Gap Between Practice and Theory in Deep Learning" [2 papers], ICML Workshop "Aligning Reinforcement Learning Experimentalists and Theorists" [2 papers]. NeurIPS Workshop BDU Reviewers [2 papers]. AISTATS 2025 Conference Reviewers
- Chair for RL Seminar in The Chinese University of Hong Kong, Shenzhen, China (Spring 2019, Summer 2020, Fall 2020, Spring 2021, Summer 2021, Fall 2021, Spring 2022, Fall 2022.); for 2 sessions in INFORMS Annual Meeting 2024 on "Integrating Generative AI with Sequential Decision-making".

# **Teaching**

■ Stochastic Processes (STA/DDA4001)	Fall 2018
Optimization II (MAT3220)	Spring 2019
■ Distributed and Parallel Computing (CSC4005)	Fall 2019
Reinforcement Learning (DDA6105/CIE6023)	Fall 2020
Matrix Analysis (CIE6002)	Spring 2021
■ Deep Learning and Their Applications (MDS6224)	Spring 2022

My teaching duties include delivering weekly tutorials, correcting assignments, and running laboratory sessions when required, **all in English**.

# **Beyond Academia**

I enjoy photography. I often play tennis and swim, and occasionally play golf. These activities allow me to live in the moment and help me find physical and spiritual freedom.