

# Second-order generalised algebraic theories

## signatures and first-order semantics

---

Ambrus Kaposi   **Szumi Xie**<sup>1</sup>

Eötvös Loránd University, Budapest

FSCD 2024, Tallinn

<sup>1</sup>This talk is funded by COST Action EuroProofNet, supported by COST (European Cooperation in Science and Technology, [www.cost.eu](http://www.cost.eu))

Examples of SOGATs

SOGAT  $\rightarrow$  GAT translation

The theory of SOGAT signatures

Summary

## Examples of SOGATs

---

# Untyped lambda calculus

$$\frac{x \in \Gamma}{\Gamma \vdash x} \text{ (var)}$$

$$\frac{\Gamma \vdash t \quad \Gamma \vdash u}{\Gamma \vdash t \cdot u} \text{ (app)}$$

$$\frac{\Gamma, x \vdash t}{\Gamma \vdash \text{lam } x. t} \text{ (lam)}$$

$$\frac{\Gamma, x \vdash t \quad \Gamma \vdash u}{\Gamma \vdash (\text{lam } x. t) \cdot u = t[u/x]} \text{ (\beta)}$$

$$\frac{\Gamma \vdash t = t' \quad \Gamma \vdash u = u'}{\Gamma \vdash t \cdot u = t' \cdot u'}$$

$$\frac{\Gamma, x \vdash t = t'}{\Gamma \vdash \text{lam } x. t = \text{lam } x. t'}$$

# Untyped lambda calculus

$$\frac{t \quad u}{t \cdot u} \text{ (app)}$$

$$\frac{x \vdash t}{\text{lam } x. t} \text{ (lam)}$$

$$\frac{x \vdash t \quad u}{(\text{lam } x. t) \cdot u = t[u/x]} \text{ (\beta)}$$

# Untyped lambda calculus

$$\frac{t : Tm \quad u : Tm}{t \cdot u : Tm} \text{ (app)}$$

$$\frac{t : Tm \rightarrow Tm}{\text{lam } t : Tm} \text{ (lam)}$$

$$\frac{t : Tm \rightarrow Tm \quad u : Tm}{(\text{lam } t) \cdot u = t \ u} (\beta)$$

# Untyped lambda calculus

$\text{Tm} \quad : \text{Sort}$

$- \cdot - \quad : \text{Tm} \rightarrow \text{Tm} \rightarrow \text{Tm}$

$\text{lam} \quad : (\text{Tm} \rightarrow \text{Tm}) \rightarrow \text{Tm}$

$\beta \quad : (t : \text{Tm} \rightarrow \text{Tm}) \rightarrow (u : \text{Tm}) \rightarrow (\text{lam } t) \cdot u = t \ u$

$\text{lam } (\lambda f. \text{lam } (\lambda x. f \cdot (f \cdot x))) : \text{Tm}$

# Simply typed lambda calculus

$Ty \quad : \text{Sort}$

$\iota \quad : Ty$

$- \Rightarrow - : Ty \rightarrow Ty \rightarrow Ty$

$Tm \quad : Ty \rightarrow \text{Sort}$

$- \cdot - : Tm (A \Rightarrow B) \rightarrow Tm A \rightarrow Tm B$

$lam \quad : (Tm A \rightarrow Tm B) \rightarrow Tm (A \Rightarrow B)$

$\beta \quad : (t : Tm A \rightarrow Tm B) \rightarrow (u : Tm A) \rightarrow (lam t) \cdot u = t u$

$lam (\lambda f. lam (\lambda x. f \cdot (f \cdot x))) : Tm ((\iota \Rightarrow \iota) \Rightarrow (\iota \Rightarrow \iota))$



# Minimalistic first-order logic

$Tm : Sort$

$For : Sort$

$- \supset - : For \rightarrow For \rightarrow For$

$\forall : (Tm \rightarrow For) \rightarrow For$

$Eq : Tm \rightarrow Tm \rightarrow For$

$Pf : For \rightarrow Sort$

$irrel : (u : Pf A) \rightarrow (v : Pf A) \rightarrow u = v$

$\supset_{intro} : (Pf A \rightarrow Pf B) \leftrightarrow Pf (A \supset B) : \supset_{elim}$

$\forall_{intro} : ((t : Tm) \rightarrow Pf (A t)) \leftrightarrow Pf (\forall A) : \forall_{elim}$

$\forall (\lambda x. \forall (\lambda y. Eq x y \supset Eq y x)) : For$

$Ty : \text{Sort}$

$Tm : Ty \rightarrow \text{Sort}$

$- \Rightarrow - : Ty \rightarrow Ty \rightarrow Ty$

$\text{lam} : (Tm\ A \rightarrow Tm\ B) \cong Tm\ (A \Rightarrow B) : \text{app}$

$\forall : (Ty \rightarrow Ty) \rightarrow Ty$

$\text{Lam} : ((A : Ty) \rightarrow Tm\ (F\ A)) \cong Tm\ (\forall\ F) : \text{App}$

$\text{Lam}\ (\lambda A. \text{lam}\ (\lambda x. x)) : Tm\ (\forall\ (\lambda A. A \Rightarrow A))$

# Calculus of constructions and the lambda cube

$\square$  : Sort

$*$  :  $\square$

$Ty$  :  $\square \rightarrow \text{Sort}$

$Tm$  :  $Ty * \rightarrow \text{Sort}$

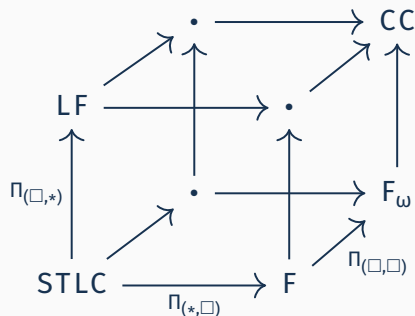
$\Pi_{(*,*)}$  :  $(A : Ty *) \rightarrow (Tm A \rightarrow Ty *) \rightarrow Ty *$

$\Pi_{(\square,*)}$  :  $(K : \square) \rightarrow (Ty K \rightarrow Ty *) \rightarrow Ty *$

$\Pi_{(\square,\square)}$  :  $(K : \square) \rightarrow (Ty K \rightarrow \square) \rightarrow \square$

$\Pi_{(*,\square)}$  :  $(A : Ty *) \rightarrow (Tm A \rightarrow \square) \rightarrow \square$

+ universal properties



# Minimalistic Martin-Löf type theory

$\text{Ty} : \mathbb{N} \rightarrow \text{Sort}$

$\text{Tm} : \text{Ty } i \rightarrow \text{Sort}$

$\text{U} : (i : \mathbb{N}) \rightarrow \text{Ty } (i + 1)$

$\text{c} : \text{Ty } i \cong \text{Tm } (\text{U } i) : \text{El}$

$\Pi : (A : \text{Ty } i) \rightarrow (\text{Tm } A \rightarrow \text{Ty } i) \rightarrow \text{Ty } i$

$\text{lam} : ((a : \text{Tm } A) \rightarrow \text{Tm } (B \ a)) \cong \text{Tm } (\Pi \ A \ B) : \text{app}$

$\text{Lift} : \text{Ty } i \rightarrow \text{Ty } (i + 1)$

$\text{lift} : \text{Tm } A \cong \text{Tm } (\text{Lift } A) : \text{unlift}$

## SOGAT → GAT translation

---

## Second-order models?

Model:

$Tm : \text{Set}$

$\text{app} : Tm \rightarrow Tm \rightarrow Tm$

$\text{lam} : (Tm \rightarrow Tm) \rightarrow Tm$

$\beta : \text{app} (\text{lam } t) u = t u$

## Second-order models?

Model:

$$\text{Tm} : \text{Set}$$
$$\text{app} : \text{Tm} \rightarrow \text{Tm} \rightarrow \text{Tm}$$
$$\text{lam} : (\text{Tm} \rightarrow \text{Tm}) \rightarrow \text{Tm}$$
$$\beta : \text{app} (\text{lam } t) u = t u$$

Homomorphism:

$$f : \text{Tm}_A \rightarrow \text{Tm}_B$$
$$f (\text{app}_A t u) = \text{app}_B (f t) (f u)$$
$$f (\text{lam}_A t) = \text{lam}_B (\lambda x. f (t \text{ ?}))$$
$$t : \text{Tm}_A \rightarrow \text{Tm}_A \quad x : \text{Tm}_B$$

# Untyped lambda calculus – translation

SOGAT

GAT

Con : Sort

Sub : Con  $\rightarrow$  Con  $\rightarrow$  Sort

$\diamond$  : Con

} Category

Terminal object



# Untyped lambda calculus – translation

SOGAT

$Tm : Sort$

GAT

$Con, Sub, \diamond : \text{Category with terminal object}$

$Tm : Con \rightarrow Sort$

$-[-] : Tm \Gamma \rightarrow Sub \Delta \Gamma \rightarrow Tm \Delta \quad (\text{functorial})$

$-\triangleright : Con \rightarrow Con$

$-, - : Sub \Delta \Gamma \times Tm \Delta \cong Sub \Delta (\Gamma \triangleright) : (p, q)$

# Untyped lambda calculus – translation

SOGAT

GAT

Con, Sub,  $\diamond$  : Category with terminal object

$\text{Tm} : \text{Sort}$

$\text{Tm} : \text{Con} \rightarrow \text{Sort}$

$-[-] : \text{Tm } \Gamma \rightarrow \text{Sub } \Delta \Gamma \rightarrow \text{Tm } \Delta$  (functorial)

$-\triangleright : \text{Con} \rightarrow \text{Con}$

$-, - : \text{Sub } \Delta \Gamma \times \text{Tm } \Delta \cong \text{Sub } \Delta (\Gamma \triangleright) : (p, q)$

$\text{app} : \text{Tm} \rightarrow \text{Tm} \rightarrow \text{Tm}$

$\text{app} : \text{Tm } \Gamma \rightarrow \text{Tm } \Gamma \rightarrow \text{Tm } \Gamma$

$\text{app}[] : (\text{app } t \ u)[\sigma] = \text{app } (t[\sigma]) (u[\sigma])$

$\text{lam} : (\text{Tm} \rightarrow \text{Tm}) \rightarrow \text{Tm}$

$\text{lam} : \text{Tm } (\Gamma \triangleright) \rightarrow \text{Tm } \Gamma$

$\text{lam}[] : (\text{lam } t)[\sigma] = \text{lam } (t[\sigma \circ p, q])$

$\beta : \text{app } (\text{lam } t) \ u = t \ u$

$\beta : \text{app } (\text{lam } t) \ u = t [\text{id}, u]$

# Untyped lambda calculus – translation

SOGAT

GAT

Con, Sub,  $\diamond$  : Category with terminal object

$Tm : Sort$

$Tm : Con \rightarrow Sort$

$-[-] : Tm \Gamma \rightarrow Sub \Delta \Gamma \rightarrow Tm \Delta$  (functorial)

$-\triangleright : Con \rightarrow Con$

$-, - : Sub \Delta \Gamma \times Tm \Delta \cong Sub \Delta (\Gamma \triangleright) : (p, q)$

$app : Tm \rightarrow Tm \rightarrow Tm$

$app : Tm \Gamma \rightarrow Tm \Gamma \rightarrow Tm \Gamma$  (+ subst. rule)

$lam : (Tm \rightarrow Tm) \rightarrow Tm$

$lam : Tm (\Gamma \triangleright) \rightarrow Tm \Gamma$  (+ subst. rule)

SOGAT:  $lam (\lambda f. lam (\lambda x. app f (app f x))) : Tm$

GAT:  $lam (lam (app (q [p]) (app (q [p]) q))) : Tm \diamond$

## Untyped lambda calculus – translation

SOGAT

GAT

$Tm : \text{Sort}$

$\mathcal{C} : \text{Category with terminal object}$

$Tm : \text{LocRepPsh}(\mathcal{C})$

$\text{app} : Tm \rightarrow Tm \rightarrow Tm$

$\text{app} : Tm \times Tm \Rightarrow Tm$

$\text{lam} : (Tm \rightarrow Tm) \rightarrow Tm$

$\text{lam} : (Tm \Rightarrow^+ Tm) \Rightarrow Tm$

## System F – translation

SOGAT

$Ty : \text{Sort}$

$Tm : Ty \rightarrow \text{Sort}$

GAT

$\text{Con}, \text{Sub}, \diamond : \text{Category with terminal object}$

$Ty : \text{Con} \rightarrow \text{Sort}$

$- \triangleright_{Ty} : \text{Con} \rightarrow \text{Con}$

$Tm : (\Gamma : \text{Con}) \rightarrow Ty \ \Gamma \rightarrow \text{Sort}$

$- \triangleright_{Tm} - : (\Gamma : \text{Con}) \rightarrow Ty \ \Gamma \rightarrow \text{Con}$

$\diamond \triangleright_{Ty} \triangleright_{Tm} A \triangleright_{Ty} \triangleright_{Tm} B : \text{Con}$

## System F – translation

SOGAT

$Ty : \text{Sort}^+$

$Tm : Ty \rightarrow \text{Sort}^+$

GAT

$\text{Con}, \text{Sub}, \diamond : \text{Category with terminal object}$

$Ty : \text{Con} \rightarrow \text{Sort}$

$- \triangleright_{Ty} : \text{Con} \rightarrow \text{Con}$

$Tm : (\Gamma : \text{Con}) \rightarrow Ty \ \Gamma \rightarrow \text{Sort}$

$- \triangleright_{Tm} - : (\Gamma : \text{Con}) \rightarrow Ty \ \Gamma \rightarrow \text{Con}$

$\diamond \triangleright_{Ty} \triangleright_{Tm} A \triangleright_{Ty} \triangleright_{Tm} B : \text{Con}$

## Dependent type theory – translation

SOGAT

GAT

$Ty : \text{Sort}$

$\text{Con}, \text{Sub}, \diamond : \text{Category with terminal object}$

$Ty : \text{Con} \rightarrow \text{Sort}$

$Tm : Ty \rightarrow \text{Sort}^+$

$Tm : (\Gamma : \text{Con}) \rightarrow Ty \ \Gamma \rightarrow \text{Sort}$

$- \triangleright - : (\Gamma : \text{Con}) \rightarrow Ty \ \Gamma \rightarrow \text{Con}$

## Dependent type theory – translation

SOGAT

GAT

$Ty : \text{Sort}$

$\text{Con}, \text{Sub}, \diamond : \text{Category}$

$Ty, Tm, - \triangleright - : \text{with families}$

$Tm : Ty \rightarrow \text{Sort}^+$



## The theory of SOGAT signatures

---

$Ty : \text{Sort}$

$Tm : Ty \rightarrow \text{Sort}^+$

$\Sigma : (A : Ty) \rightarrow (Tm\ A \rightarrow Ty) \rightarrow Ty \quad (+\beta, \eta)$

$U : Ty$

$El : Tm\ U \rightarrow Ty$

$\Pi : (a : Tm\ U) \rightarrow (Tm\ (El\ a) \rightarrow Ty) \rightarrow Ty \quad (+\beta, \eta)$

$Eq : (A : Ty) \rightarrow Tm\ A \rightarrow Tm\ A \rightarrow Ty$

# The SOGAT of SOGATs

$Ty : \text{Sort}$

$Tm : Ty \rightarrow \text{Sort}^+$

$\Sigma : (A : Ty) \rightarrow (Tm\ A \rightarrow Ty) \rightarrow Ty \quad (+\beta, \eta)$

$U : Ty$

$El : Tm\ U \rightarrow Ty$

$\Pi : (a : Tm\ U) \rightarrow (Tm\ (El\ a) \rightarrow Ty) \rightarrow Ty \quad (+\beta, \eta)$

$Eq : (A : Ty) \rightarrow Tm\ A \rightarrow Tm\ A \rightarrow Ty$

$U^+ : Ty$

$el^+ : Tm\ U^+ \rightarrow Tm\ U$

$\pi^+ : (a : Tm\ U^+) \rightarrow (Tm\ (El\ (el^+\ a)) \rightarrow Tm\ U) \rightarrow Tm\ U \quad (+\beta, \eta)$

# Untyped lambda calculus – signature

$Tm : \text{Sort}^+$

$\text{lam} : (Tm \rightarrow Tm) \rightarrow Tm$

$\text{app} : Tm \rightarrow Tm \rightarrow Tm$

$\Sigma U^+ (\lambda Tm.$

$((\underbrace{Tm}_{U^+} \Rightarrow^+ \underbrace{\text{el}^+ Tm}_U) \Rightarrow \underbrace{\text{El}(\text{el}^+ Tm)}_{Ty})) \times$

$(\underbrace{\text{el}^+ Tm}_U \Rightarrow \underbrace{\text{el}^+ Tm}_U \Rightarrow \underbrace{\text{El}(\text{el}^+ Tm)}_{Ty}))$

## Different ways to define app

$\text{app} : \text{Tm} \rightarrow \text{Tm} \rightarrow \text{Tm}$

$\text{el}^+ \text{Tm} \Rightarrow \text{el}^+ \text{Tm} \Rightarrow \text{El} (\text{el}^+ \text{Tm})$

$\text{el}^+ \text{Tm} \Rightarrow \text{El} (\text{Tm} \Rightarrow^+ (\text{el}^+ \text{Tm}))$

$\text{El} (\text{Tm} \Rightarrow^+ \text{Tm} \Rightarrow^+ (\text{el}^+ \text{Tm}))$

$\text{Tm } \Gamma (A \Rightarrow B) \rightarrow \text{Tm } \Gamma A \rightarrow \text{Tm } \Gamma B$

$\text{Tm } \Gamma (A \Rightarrow B) \rightarrow \text{Tm } (\Gamma \triangleright A) B$

$\text{Tm } (\Gamma \triangleright (A \Rightarrow B)) \triangleright A$

SOGAT signature  $\rightarrow$  GAT signature

Algorithm implemented in Agda

Computes curried signatures without Yoneda overhead

$$\text{app} : \text{Sub } \Gamma \diamond \times \text{Tm } \Gamma \rightarrow$$
$$((\Delta : \text{Con}) \rightarrow \text{Sub } \Delta \Gamma \times \text{Tm } \Delta \rightarrow \text{Tm } \Delta) \times \text{naturalities}$$
$$\text{app} : \mathbb{1} \times \text{Tm } \Gamma \times \text{Tm } \Gamma \rightarrow \text{Tm } \Gamma$$
$$\text{app} : \text{Tm } \Gamma \rightarrow \text{Tm } \Gamma \rightarrow \text{Tm } \Gamma$$

Support for parametrized/open signatures and infinitary operations by adding new type formers:

$$\hat{\Pi} : (A : \text{Set}^\circ) \rightarrow (A \rightarrow \text{Ty}) \rightarrow \text{Ty}$$

$$\tilde{\Pi} : (A : \text{Set}^\circ) \rightarrow (A \rightarrow \text{Tm } U) \rightarrow \text{Tm } U$$

Alternative semantics using single substitution calculus:

$$p, \langle - \rangle, -\uparrow$$

Related to (Ehrhard 1988)

## Summary

---



Structural languages with bindings are SOGATs

Not SOGAT, but GAT:

- linear logic
- modal languages
- no substitution under lambda

Not even GAT: small-step operational semantics

Languages can be specified without separate scoping, typing, conversion relations, and without resorting to De Bruijn indices

- Higher-order abstract syntax (Hofmann 1999)
- Equational logical framework (Harper 2021)
- Representable map category (Uemura 2021)
- Two-level type theory (Annenkov et al. 2023)

SOGATs can be translated to GATs to obtain nice metatheory