

# 信息的表示和处理(1)

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# 我们有必要知道的问题

- 信息在机器里怎么表示?
- 当对其进行操作时会发生什么? 会影响哪些属性?
- 计算结果溢出发生的临界条件?
- 哪些是可以操作的? 如何实现这些操作?
- 哪些是不能做的?



# 本讲内容

- Bit和Byte
- 数字的机器表示



## Why Bit?

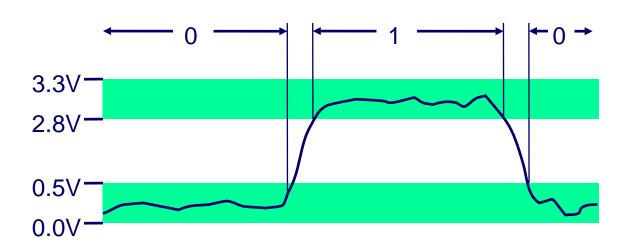
- ·人类更习惯10进制(Decimal)
  - Base-10, 10根手指
  - 已经使用超过1000年
  - 印度->阿拉伯->东西方



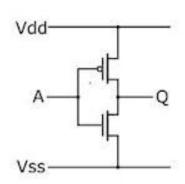


## Why Bit?

- 模拟信号:连续,难存储,抗干扰能力差
- 数字信号: 离散, 易存储, 便于逻辑运算
- 在计算机中表示信息方面,二进制比十进制更优秀
  - 对器件的要求低,
  - 简单、低成本、可靠
  - 提高电路密度









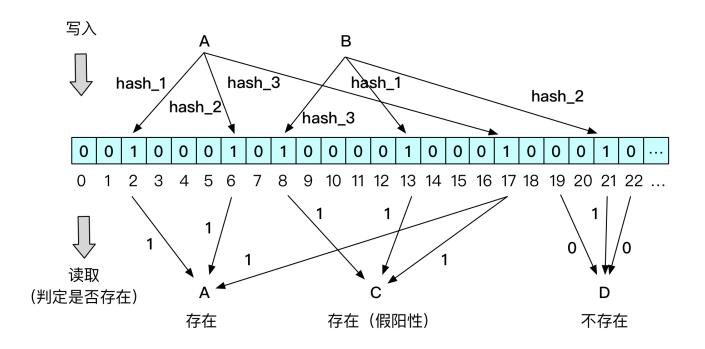
## Why Bit?

- 计算机使用二进制表示信息
  - 二进制数字
  - 二进制值在构建机器时效果更好
  - 存储和处理信息
- 现代计算机存储和处理
  - 表示为双值信号的信息
  - 这些二进制数字是位
- 比特是数字革命的基础



## **Group Bits**

- 单个的bit没太大用(bitmap, bloomfilter)
  - · 因为alphabet太小(只有0和1两个符号)





## **Group Bits**

- 英文还是有大量的词汇(符号组合)
  - · 英文的alphabet包括26个符号,单个符号的表达力更强
- 因此,我们也可以用bits(而不是bit)来表示信息
  - 首先把bits分成组
  - · 然后给可能的bit组合不同的解释,赋予其一定含义
- 8-bit组成a byte
  - Dr. Werner Buchholz in July 1956
  - · 在IBM Stretch计算设计的早期阶段

Why 8 bit?



维纳•布赫霍尔兹



## Group bits as

### **Numbers** — Three encodings

- Unsigned encoding
  - 表示大于等于0的整数
  - 采用传统的二进制数字表达的规则
  - unsigned short, unsigned int, unsigned long
- Two's-complement encoding (二进制补码)
  - 可表示正、负整数(有符号数)
  - 是最常见的一种编码(主流计算机的默认整型编码)
  - short, int, long
- Floating point encoding
  - 近似表示实数,无法表示所有实数(很大/很小都不行)
  - 底为2的科学计数法方式
  - float, double



### Value of Bits

二进制串的值:

Bits

01010

Value

 $0*2^4+1*2^3+0*2^2+1*2^1+0*2^0 = 10$ 

世界上有10种人,懂二进制的,和不懂而二进制的!



# **Example Data Representations**

C Data Type	Typical 32-bit	Typical 64-bit	x86-64
char	1	1	1
short	2	2	2
int	4	4	4
long	4	8	8
float	4	4	4
double	8	8	8
long double	-	-	10/16
pointer	4	8	8



## 'int' is not integer

- Overflow (溢出)
  - 200\*300\*400\*500 = -884,901,888
  - 乘积超过了整数的表示范围
- 满足交换律和结合律
  - (500 \* 400) \* (300 \* 200)
  - ((500 \* 400) \* 300) \* 200
  - ((200 \* 500) \* 300) \* 400
  - 400 \* (200 \* (300 \* 500))



# 'float' is not real number

- Overflow and Underflow
- 结合律可能不成立
  - (3.14+1e20)-1e20 = 0.0
  - 3.14+(1e20-1e20) = 3.14



进制:进位计数制,逢X进一

- 二进制转十进制:
- 二进制数(N)<sub>2</sub>按权展开再相加,可计算得到该数的十进制表示。

$$(1101.0101)_{2} = (1 \cdot 2^{3} + 1 \cdot 2^{2} + 0 \cdot 2^{1} + 1 \cdot 2^{0} + 0 \cdot 2^{-1} + 1 \cdot 2^{-2} + 0 \cdot 2^{-3} + 1 \cdot 2^{-4})_{10}$$

$$= (8 + 4 + 0 + 1 + 0 + 0.25 + 0 + 0.0625)_{10}$$

$$= (13.3125)_{10}$$



#### 十进制转二进制

Value

Bits

102 (1100110)

$$102 = 51*2 + 0 (0)$$

$$51 = 25*2 + 1 (1)$$

$$25 = 12*2 + 1 (1)$$

$$12 = 6*2 + 0 (0)$$

$$6 = 3*2 + 0 (0)$$

$$3 = 1*2 + 1 (1)$$

$$1 = 0*2 + 1 (1)$$



### 十进制数转换成二进制数

- •整数部分和小数部**分别转换**,各自得出结果后再合并。
- •对整数部分,采用除2取余数法。其规则如下:
  - 将十进制数除以2, 所得余数(0或1)即为对应二进制数最低位的值。
  - 然后对上次所得商除以2, 所得余数即为二进制数次低位的值,
  - 如此进行下去,直到商等于0为止,最后得的余数是所求二进制数最高位的值。
- •对小数部分,采用乘2取整数法。其规则如下:
  - 将十进制数乘以2, 所得乘积的整数部分即为对应二进制小数最高位的值,
  - 然后对所余数的小数部分部分乘以2,所得乘积的整数部分为次高位的值,
  - 如此进行下去,直到乘积的小数部分为0,或结果已满足所需精度要求为止。



### 十进制数转换成二进制数

例如:将(57.625)10转换成二进制。

### •整数部分的转换:

18



### •小数部分的转换:

```
0.625
\times 2
         整数1
                      高位
1.250
0.250
\times 2
         整数0
0.500
0.500
\times 2
         整数1
                      低位
1.000
```

所以得出:  $(0.625)_{10} = (0.101)_2$ 

总后得出:  $(57.625)_{10} = (111001.101)_2$ 



# 十六进制Hexadecimal

- 十六进制的Alphabet中包含16个符号: '0' to '9'
  - and 'A' to 'F'
- Write  $FA1D37B_{16}$  in C as
  - 0xFA1D37B or
  - 0xfa1d37b or
  - FA1D37BH or
  - fald37bH
- **Byte** = **8 bits** 
  - Binary  $00000000_2$  to  $11111111_2$
  - Decimal:  $0_{10}$  to  $255_{10}$
  - Hexadecimal  $00_{16}$  to  $FF_{16}$

Hex Decimal

0	0	0000
1	1	0001
2	2	0010
3	3	0011 0100
4	4	0100
5	1 2 3 4 5 6 7 8 9 10 11 12	0101
6	6	0110
7	7	0111
8	8	1000
9	9	1001
A	10	1010
В	11	1011
С	12	1100
D	13	0101 0110 0111 1000 1001 1010 1011 1100 1101 1110
1 2 3 4 5 6 7 8 9 A B C D E	14 15	1110
F	15	1111

20



## Hexadecimal vs. Binary

0x173A4C

Hexadecimal 1 7 3 A 4 C

Binary 0001 0111 0011 1010 0100 1100

1111001010110110110011

Binary 11 1100 1010 1101 1011 0011

Hexadecimal 3 C A D B 3

0x3CADB3



## Hexadecimal vs. Decimal

Hexadecimal 0xA7

Decimal 10\*16+7 = 167

Decimal 314156 = 19634\*16 + 12 (C)

19634 = 1227\*16 + 2 (2)

1227 = 76\*16 + 11 (B)

76 = 4\*16 + 12 (C)

4 = 0\*16 + 4 (4)

Hexadecimal 0x4CB2C



### 十六进制和二进制转换练习:

- ① 0x39A7F8 -> [填空1]
- ② 1100 1001 0111 1011 -> [填空2]
- ③ 0xD5E4C -> [填空3]
- ④ 10 0110 1110 0111 1011 0101 -> [填空4]



Decimal	Binary	Hexadecimal
167		
62		
188		
	0011 0111	
	1000 1000	
	1111 0011	
		0x52
		0xAC
		0xE7



### Octal

### 八进制

•八进制数(N)<sub>8</sub>按权展开再相加,可计算得到该数的十进制表示。

### •例如:

$$(15.24)_8 = (1 \cdot 8^1 + 5 \cdot 8^0 + 2 \cdot 8^{-1} + 4 \cdot 8^{-2})_{10}$$
$$= (8 + 5 + 0.25 + 0.0625)_{10}$$
$$= (13.3125)_{10}$$



### **Octal**

### 二进制数与八进制数之间的转换

- •因为2<sup>3</sup>=8,所以3位二进制数与1位八进制数有直接对应关系,即3位二进制数可以直接写为1位八进制数,1位八进制数也可以直接写为3位二进制数。
- •将二进制数转换为八进制数的方法是:有整数又有小数情况,以小数点为界,整数部分自右至左每3位分一组,最后不足3位时左边用0补足;小数部分自左至右每3位分一组,最后不足3位时右边用0补足。
- •将八进制数转换为二进制数的方法:将八进制数的每一位用等值的3位二进制数代替。

例:  $(1101.0101)_2 = (001\ 101.\ 010\ 100)_2 = (15.\ 24)_8$ 

例: (47.3)<sub>8</sub>=(100111.011)<sub>2</sub>



### 进制转换(二进制小数位最多保留4位)

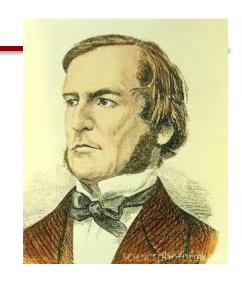


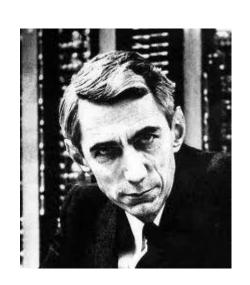
## **Bit-level operations**





- George Boole(1815-1864)发明
  - 逻辑的代数表示:
    - Encode "True" as 1
    - Encode "False" as 0
- Claude Shannon(1916–2001)建立了信息论
  - 建立布尔代数和数字逻辑之间的关联
- 在数字电路设计和分析中起到最重要的作用







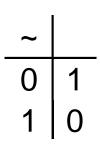
And

A&B = 1 when both A=1 and B=1

&	0	1
0	0	0
1	0	1

Not

 $\sim A = 1$  when A=0



Or

 $A \mid B = 1$  when either A=1 or B=1

	0	1
0	0	1
1	1	1

Exclusive-Or (Xor)

A^B = 1 when either A=1 or B=1, but not both

٨	0	1
0	0	1
1	1	0



- Operate on Bit Vectors
  - Operations applied bitwise

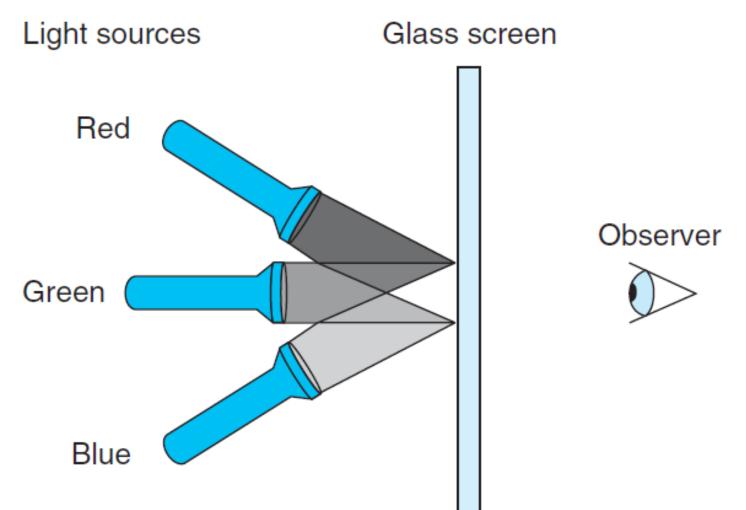


- 集合的形式表达
- 用宽度为w bit的向量表示子集 {0, ..., w-1}

```
a<sub>j</sub> = 1 if j ∈ A
01101001 { 0, 3, 5, 6 }
01010101 { 0, 2, 4, 6 }
& Intersection 01000001 { 0, 6 }
| Union 01111101 { 0, 2, 3, 4, 5, 6 }
~ Complement 10101010 { 1, 3, 5, 7 }
^ Symmetric difference 00111100 { 2, 3, 4, 5 }
```

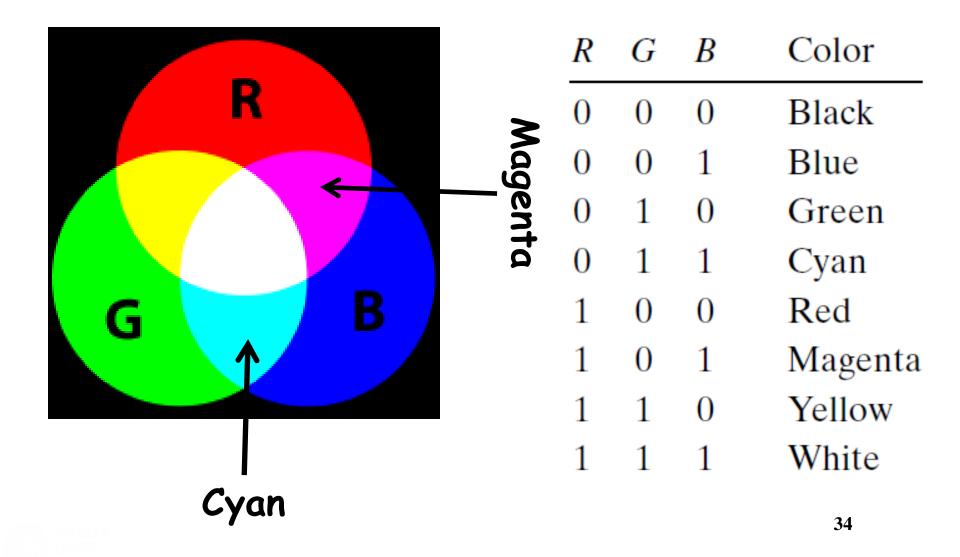


## **RGB Color Model**





### **RGB Color Model**





### **RGB Color Model**

- 上面8种颜色各自的补色是什么?
- 一种颜色的补色是指:
  - 关掉刚才亮的灯
  - 打开刚才灭的灯

R	$\boldsymbol{G}$	В	Color
)	0	0	Black
)	0	1	Blue
)	1	0	Green
)	1	1	Cyan
1	0	0	Red
1	0	1	Magenta
1	1	0	Yellow
1	1	1	White

• 描述在下面颜色上应用以下布尔运算带来的效果:

Blue | Green =
Yellow & Cyan =
Red ^ Magenta =



## **Bit-Level Operations in C**

- Operations &, |, ~, ^ Available in C
  - 可以应用于任何整数数据类型
    - long, int, short, char
  - 将参数视为bit vectors
  - 参数中每一位对应去做位运算(并行)



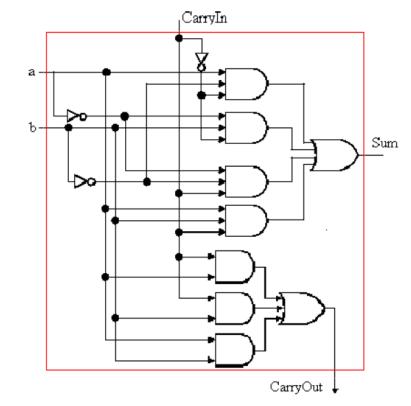
## **Bit-Level Operations in C**

- Examples (Char data type)
  - ~0x41 & 0xBE
    - ~01000001<sub>2</sub> & 101111110<sub>2</sub>
  - ~0x00 & 0xFF
    - ~000000002 & 1111111112
  - 0x69 & 0x55 & 0x41
    - 01101001<sub>2</sub> & 01010101<sub>2</sub> & 01000001<sub>2</sub>
  - 0x69 | 0x55 | 0x7D
    - 01101001<sub>2</sub> | 01010101<sub>2</sub> | 01111101<sub>2</sub>



# **Cool Stuff with Xor**

- Bitwise Xor 可以用来做加法
  - 0+0=0
  - 1+1=0 (有额外进位)
  - 1+0=0+1=1
- 每个bit都是自己的加法逆元
  - 相加本位得0
  - $A \wedge A = 0$



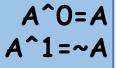
- Xor用于比较两个bit group是否相等
  - 每个bit都相等,则得到0
  - 有一个bit不等,则得到非0



#### **Cool Stuff with Xor**

```
int inplace_swap(int *x, int *y)
{
    *x = *x ^ *y;    /* #1 */
    *y = *x ^ *y;    /* #2 */
    *x = *x ^ *y;    /* #3 */
}
```

Step	*x	*y
Begin	A	В
1	A^B	В
2	A^B	$(A^B)^B = A^B = $
		$A^0 = A$
3	$(A^B)^A = (B^A)^A =$	А
	$B^{A}A) = B^{0} = B$	
End	В	A





#### **Cool Stuff with Xor**

```
void reverse_array(int a[], int cnt) {
    int first, last;
    for (first = 0, last = cnt-1;
         first <= last;
         first++,last--)
       inplace_swap(&a[first], &a[last]);
```



- 掩码操作
- Bit pattern
  - 0xFF
    - Having 1s for the least significant eight bits
    - Indicates the lower-order byte of a word
- Mask Operation
  - X = 0x89ABCDEF
  - X & 0xFF = ?
- 实现??





- Write C expressions that work for any word size  $w \ge 8$
- For x = 0x87654321, with w = 32
- The least significant byte of x, with all other bits set to 0
  - [0x00000021].



- Bit pattern
  - 0xFF
- Mask Operation
  - X = 0x89ABCDEF
  - X | 0xFF =?
- 实现??

•





- Bit pattern
  - 0xFF
- Mask Operation
  - X = 0x89ABCDEF
  - $X \wedge 0xFF = ?$
- 实现??

•

# 取反



- •掩码操作功能总结
  - 与操作
    - •保留某些位,其他位设为0
  - 或操作
    - 某些位强制置1
  - 异或操作
    - 某些位取反 (mask的对应位为1时)



#### 课堂练习: Bis & Bic

- DEC公司的VAX计算机:没有And和Or指令,只有bis和bic指令: Set result z to x and modify it
- z = bis (int x, int m) (bit set)
  - Set result z to 1 at each bit position where m is 1
- z = bic(int x, int m) (bit clear)
  - set result z to 0 at each bit position where m is 1
- Use bis and bic to implement
  - Or(int x, int y)
  - Xor(int x, int y)

```
Void Or (int x, int y) { bis(x, y); }
Bic(x, y)相当于And(x, -y)
A^B=A(~B)+(~A)B
Void Xor (int x, int y) { bis(bic(x,y), bic(y,x)); }
```



# **Logical Operations in C**

- Bit level Operations &, |, ~, ^
  - 置1, 清零0, 取反
- Logical Operators
  - & & , | | , !
    - 将0视为"False"
    - 任何非0值都被视为"True"
    - 总是return 0 or 1
    - 提前终止 (短路表达式)



### Logical Operations in C

- Examples (char data type)
  - !0x41 --> 0x00
  - !0x00 --> 0x01
  - !!0x41 --> 0x01
  - $0x69 \&\& 0x55 \longrightarrow 0x01$
  - $0x69 \mid \mid 0x55 \longrightarrow 0x01$



#### **Short Cut in Logical Operations**

- a && 5/a
  - If a is zero, the evaluation of 5/a is stopped
  - avoid division by zero
- 练习: Using only bit-level and logical operations
  - Implement x == y
  - it returns 1 when x and y are equal, and 0 otherwise

!  $(x^y)$ 



### **Shift Operations in C**

- Left Shift:  $x \ll y$ 
  - 将bit-vector x左移y位
    - 左边多出来的bits丢掉
    - 右边补0

Argument x	01100010				
<< 3	00010 <i>000</i>				

Argument x	10100010				
<< 3	00010 <i>000</i>				



#### **Shift Operations in C**

- Right Shift:  $x \gg y$ 
  - 将bit-vector x向右移动y位
    - 丢掉右边额外的bits
  - 逻辑移位(无符号数)
    - 左边补0
  - 算数移位(有符号数)
    - 左边总是补入最左边的符号位
    - 在补码表示时很有用(移动后保持数字符号不变,正数->正数,负数->负数)
  - 未定义的行为
    - Shift amount < 0 or  $\ge$  word size

Argument x	01100010					
Log. >> 2	00011000					
<b>Arith.</b> >> 2	00011000					

Argument x	10100010					
<b>Log.</b> >> 2	<i>00</i> 101000					
<b>Arith.</b> >> 2	11101000					



#### **Shift Operations in C**

- What happens?
  - int lval = 0xFEDCBA98 << 32;
  - int aval = 0xFEDCBA98 >> 36;
  - unsigned uval = 0xFEDCBA98u >> 40;
- It may be
  - lval 0xFEDCBA98 (0)
  - aval 0xFFEDCBA9 (4)
  - uval 0x00FEDCBA (8)
- Be careful about
  - 1 << 2 + 3 << 4 means 1 << (2 + 3) << 4



# 课堂练习

- 写出代码实现如下函数:
  - /\* Return 1 when x contains an even number of 1s; 0 otherwise.
  - Assume w=32 \*/
  - int even\_ones (unsigned x);
  - 你的代码最多只能包括12个算术运算、位运算和逻辑运算。
  - C语言中的位运算: &, |, ~, ^ (与、或、非、异或); 移位运算<<, >>



# 课堂练习(答案)

```
int even_ones (unsigned x) {
      x=x^{(x)}(x)
      x=x^{(x>>8)};
      x=x^{(x>>4)};
      x=x^{(x>>2)};
      x=x^{(x>>1)};
      return !(x&1);
```



## 课堂练习

写出代码实现以下函数:

/\* \* Generate mask indicating leftmost 1 in x. Assume w=32

\* For example, 0xFF00 -> 0x8000, and 0x6000 -> 0x4000.

\* If x = 0, then return 0 \*/

int leftmost\_one(unsigned x);

可以假设x是32位的int类型,代码最多包含15个算数运算、位运算或逻辑运算。

提示: 现将x转为[0...01...1]的位向量



```
x = x | x >> 1;
```

```
x = x | x >> 2;
```

01111xxxxxxxxxxxxxxxxxxxxxxxxxxx

$$x = x | x >> 4;$$

01111xxxxxxxxxxxxxxxxx

```
x = x | x >> 8;
 011111111xxxxxxxxxxxxxxxx
         0111111111xxxxxxxxxxxxxx
01111111111111111xxxxxxxxxxxxxxxx
x = x \mid x >> 16;
01111111111111111xxxxxxxxxxxxxxxxx
                 011111111111111xxx
01111111111111111111111111111111111
x = x & (\sim x >> 1);
```



```
* Generate mask indicating leftmost 1 in x. Assume w=32
* For example, 0xFF00 -> 0x8000, and 0x6000 -> 0x4000.
* If x = 0, then return 0
*/
int leftmost_one(unsigned x) {
 /*
  * first, generate a mask that all bits after leftmost one are one
  * e.g. 0xFF00 \rightarrow 0xFFFF, and 0x6000 \rightarrow 0x7FFF
  * If x = 0, get 0
  */
 x \mid = x >> 1;
 x = x >> 2;
 x = x >> 4;
 x = x >> 8;
 x = x >> 16;
 /*
  * then, do mask & (~mask >> 1), reserve leftmost bit one
  * that's we want
  */
  return x & (\sim x >> 1);
```



# 课堂练习: bitCount

- Returns number of 1's a in word
- Examples: bitCount(5) = 2, bitCount(7) = 3
- Legal ops: ! ~ & ^ | + << >>
- Max ops: 40



### Sum 8 groups of 4 bits each

```
int bitCount(int x) {
  int m1 = 0x11 \mid (0x11 << 8);
  int mask = m1 \mid (m1 << 16);
  int s = x \& mask;
  s += x >> 1 \& mask;
  s += x >> 2 \& mask;
  s += x >> 3 \& mask:
  s = s + (s >> 16);
  mask = 0xF \mid (0xF << 8);
  s = (s \& mask) + ((s >> 4) \& mask);
  return (s + (s >> 8)) \& 0x3F;
                                                 61
```



int 
$$m1 = 0x11 \mid (0x11 << 8)$$
;  
int  $mask = m1 \mid (m1 << 16)$ ;  
 $s = x \& mask$ ;

#### Mask:

0001000100010001000100010001

```
x & mask;
 110000100101101111110100011111000
& 0001000100010001000100010001
 000000000010001000100000010000
x >> 1& mask;
  110000100101101111110100011111000
& 0001000100010001000100010001
 00000010000001000100000010000
x >> 2\& mask;
   11000010010110111111010001111000
& 0001000100010001000100010001
 000100000010000001000100010000
x >> 3 mask;
     1100001001011011111010001111000
& 0001000100010001000100010001
 0001000000000010001000000000001
```

```
x & mask;
 1100001001011011111010001111000
 00000000010001000100000010000
x >> 1\& mask;
  110000100101101111110100011111000
ઢ
 00000010000001000100000010000
x >> 2\& mask;
    11000010010110111111010001111000
ઢ
 0001000000100000001000100010000
x >> 3 mask;
     1100001001011011111010001111000
&
 0001000000000010001000000000001
```

x & mask;

0000000000100010001000000010000x >>1& mask;

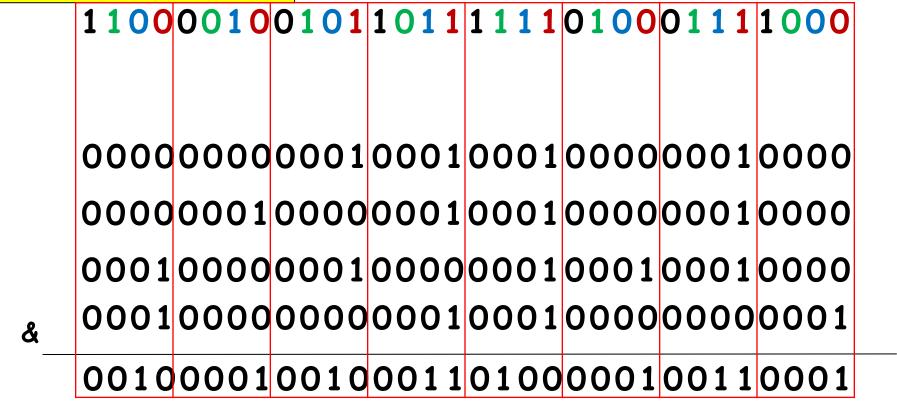
000000100000001000100000010000 x >>2& mask;

000100000010000001000100010000x >>3& mask;

$$S+=x >> 1\& mask;$$

$$S+=x >> 2\& mask;$$

$$S+x >> 3\&$$
 mask;





$$s = s + (s >> 16);$$

+

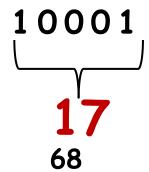
mask = 0xF | (0xF << 8);s = (s & mask) + ((s >> 4) & mask);

0110001001010100

0000100000001001

+

(s + (s >> 8)) & 0x3F





# 方法二

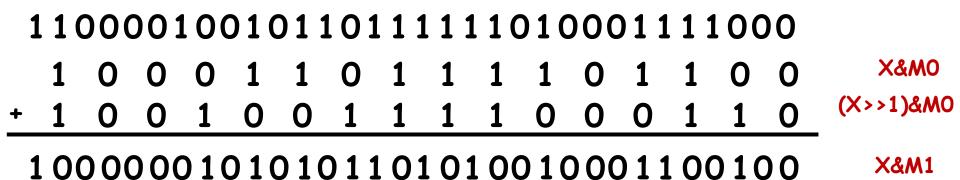
```
// Create masks
M5 = \sim ((-1) \iff 32); // 0^{32}1^{32}
M4 = M5 ^ (M5 << 16); // (0^{16}1^{16})^2
M3 = M4 ^ (M4 << 8); // (0818)^4
M2 = M3 ^ (M3 << 4); // (0<sup>4</sup>1<sup>4</sup>)<sup>8</sup>
M1 = M2 ^ (M2 << 2); // (0<sup>2</sup>1<sup>2</sup>)<sup>16</sup>
MO = M1 ^ (M1 << 1); // (O1)^{32}
// Compute popcount
x = ((x >> 1) & MO) + (x & MO);
x = ((x >> 2) & M1) + (x & M1);
x = ((x >> 4) + x) & M2;
x = ((x >> 8) + x) & M3;
x = ((x >> 16) + x) & M4;
x = ((x >> 32) + x) & M5;
```

#### Notation:

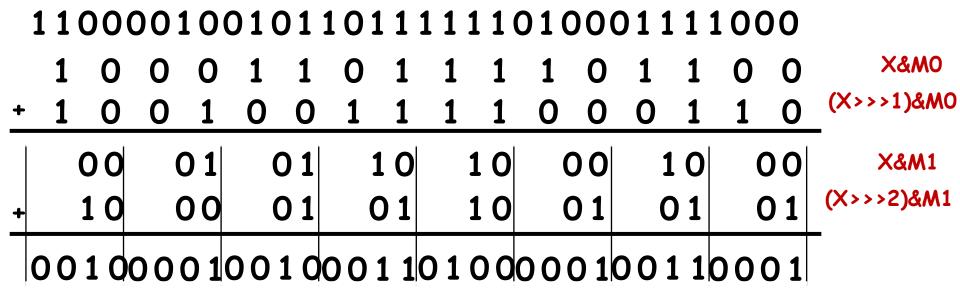
 $X^k = XX \cdots X$ k times

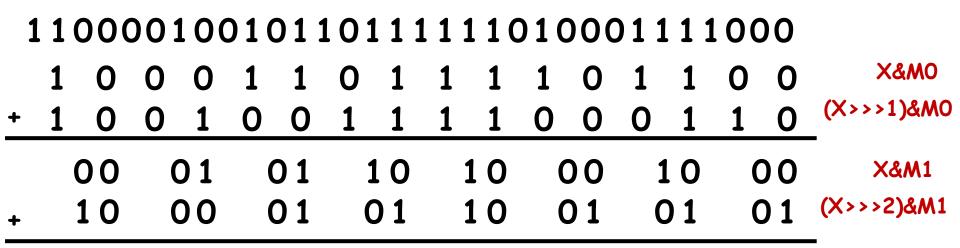
	1	1	00	00	10	01	01	10	1 1	11	11	01	00	01	11	10	00
	1	1	þo	þo	10	01	01	10	1 1	1 1	1 1	01	00	01	1 1	10	00
+																	
	1	0	00	00	01	01	01	01	1 0	1 0	1 0	01	00	01	1 C	01	00

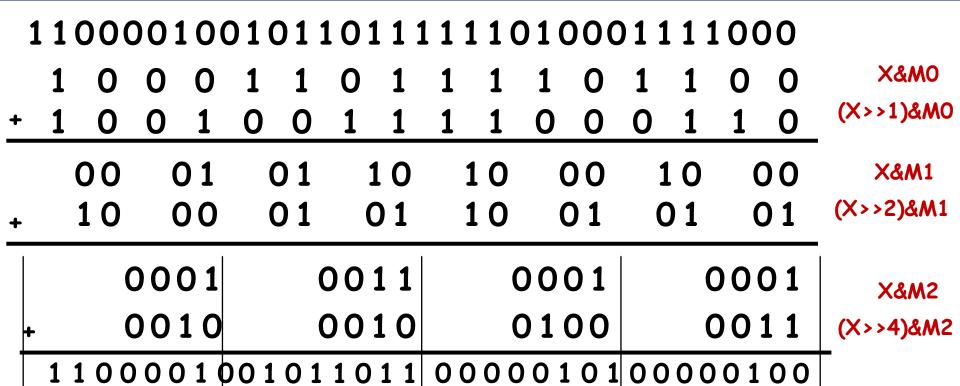
X&M0 (X>>1)&M0

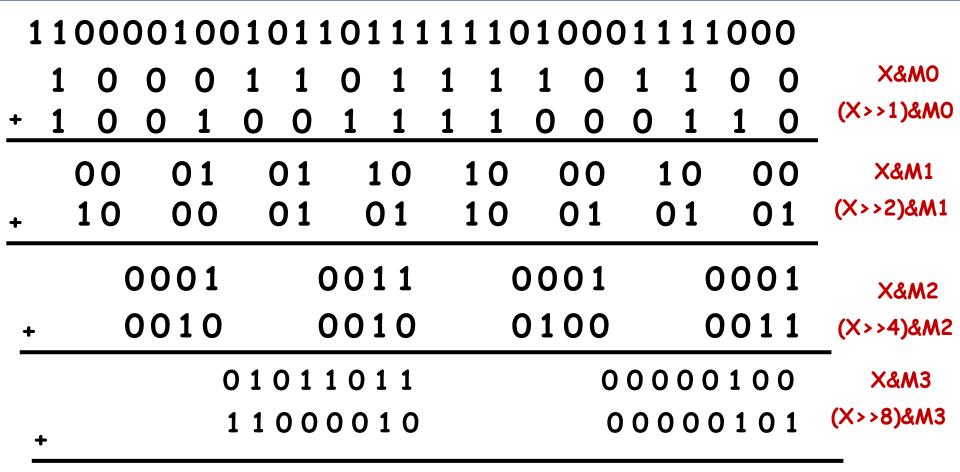


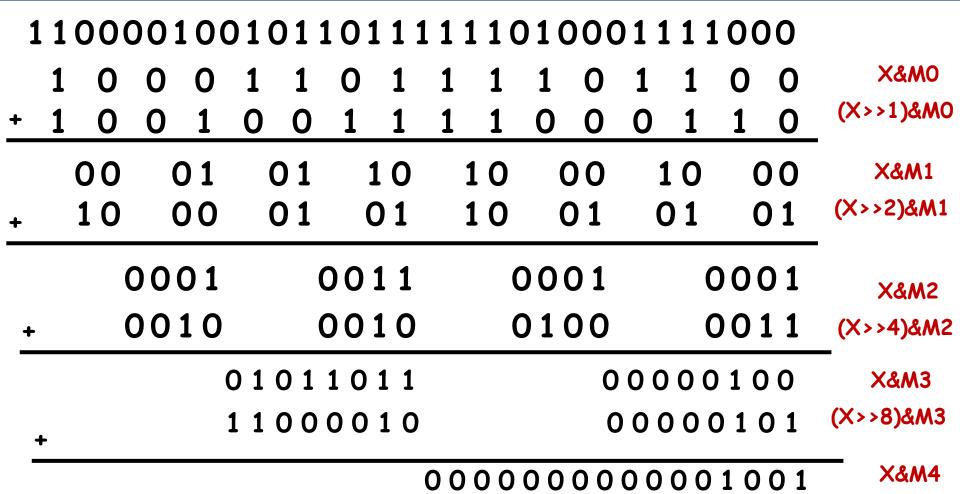
(X>>2)&M1



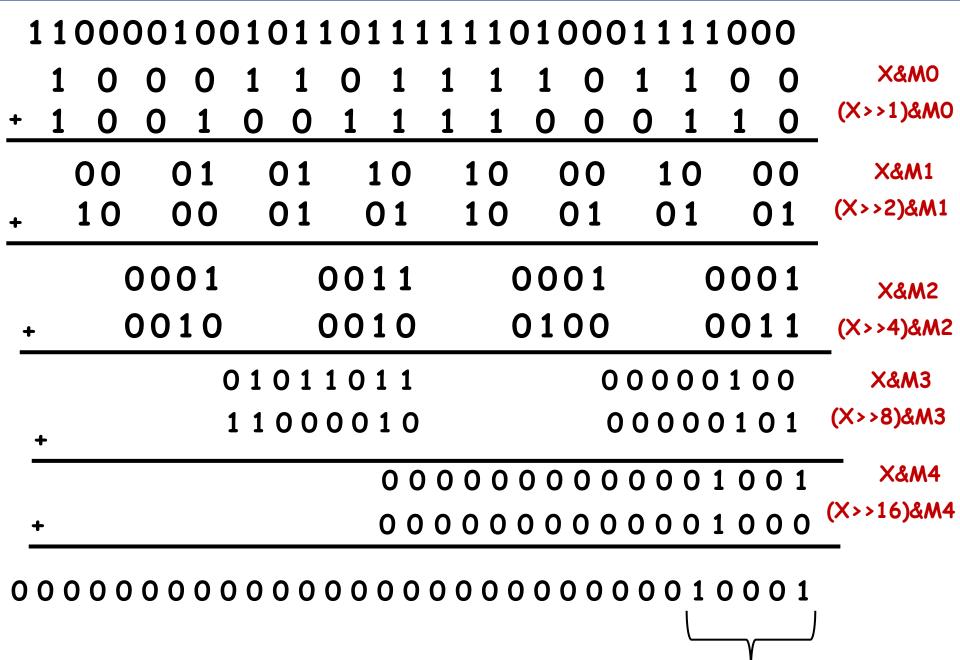








(X>>16)&M4





# 作业及实验

- Homework1提交
  - 时间: 9.27
  - 形式: pdf文件, 上传obe.ruc.edu.cn
- 内容: Lab1 DataLab
  - 用C语言的位运算、移位运算实现一些操作
  - 运算符数量有严格的限制
    - 充分利用bit的"并发性"
  - Rating: 1~4
  - 21道题目
  - 时间: 3周