# THE ANTI-COUNTERFEIT (RECORDATION) REGULATIONS

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# THE ANTI-COUNTERFEIT (RECORDATION) REGULATIONS

[Legal Notice 118 of 2021]

### 1. Citation

These regulations may be cited as the Anti-Counterfeit (Recordation) Regulations.

### 2. Interpretation

In these Regulations, unless the context otherwise requires—

"Act" means the Anti-Counterfeit Act (Cap. 510);

"agent" means a person duly appointed to act on behalf of an intellectual property right owner, and includes a licensee;

"application" means an application made to the Executive Director under the Act;

"certificate of registration" means a certificate relating to protection of intellectual property rights issued under any written law;

"copies" means copies of any document issued under the Act or these Regulations;

"Executive Director" means the Executive Director of the Authority appointed under section 10 of the Act;

"raw materials" means items used as ingredients in the manufacture of goods; and

"registrant" means the owner of an intellectual property right who has recorded the same with the Authority and includes its authorized agent or licensee or assignee.

## 3. Application for recordation

- (1) Pursuant to section 34B(3) of the Act, an application to record an intellectual property right relating to goods to be imported into Kenya shall be made by the owner of the intellectual property in the Form ACA1B set out in the First Schedule and accompanied by the fee specified in the Second Schedule to these Regulations.
- (2) Within thirty days of receipt of the application under paragraph (1), the Authority shall, in writing and pursuant to section 34B(2) of the Act, notify the applicant of the approval or denial of the application.
- (3) Notwithstanding the provisions of these Regulations, it shall be the responsibility of any person seeking to import goods for commercial purposes to ensure that the intellectual property right relating to the good recorded.

# 4. Submission of particulars of imported goods

A person, other than a registrant, seeking to import any goods shall, in addition to any other requirements under any written law, notify the Authority of such intention in the Form ACA 2B set out in the First Schedule and accompanied by the fee specified in the Second Schedule.

# 5. Change of ownership

- (1) Pursuant to section 34B(8) of the Act, where there is a change in ownership of a recorded intellectual property right and the new owner seeks to continue with the recordation, the new owner shall immediately make an application to continue with the recordation in the Form ACA 3B set out in the First Schedule and accompanied by the fee specified in the Second Schedule.
- (2) Where the new owner of a recorded intellectual property right seeks to discontinue the recordation, the new owner shall notify the Authority within a period of thirty days of the date of the change in ownership in the Form ACA 4B set out in the First Schedule.

# 6. Change of name

Where there is a change in name of the registrant of a recorded intellectual property right and such registrant wishes to continue with the recordation he shall immediately notify to the Authority of the change of name in Form ACA 5B set out in the First Schedule and accompanied by the fee specified in the Second Schedule.

### 7. Renewal of recordation

Pursuant to section 34B(11) of the Act, an application for renewal of a recordation shall be made to the Authority—

- (a) in the Form ACA 6B set out in the First Schedule;
- (b) accompanied by the fee specified in the Second Schedule; and not later than thirty days to the expiration of the current recordation.

#### 8. Cancellation or revocation of recordation

Where a recordation of an intellectual property right is cancelled or revoked, the Authority shall notify the registrant within a period of thirty days from the date of such cancellation or revocation.

# 9. Register

The Authority shall maintain a register of all recorded intellectual property rights.

#### 10. Search

Any person seeking to establish the particulars of any recorded intellectual property right shall make an application for an official search in the Form ACA 7B set out in the First Schedule and accompanied by the fee specified in the Second Schedule.

# 11. Copies of documents

Any person seeking copies of any document submitted under these Regulations shall apply to the Authority in the Form ACA 8B set out in the First Schedule and accompanied by the fee specified in the Second Schedule.

# 12. Appointment of agents

- (1) The owner of an intellectual property right may appoint an agent to act on his behalf in the recordation of an intellectual property rights or any procedure related thereto.
- (2) An intellectual property rights owner who ordinarily resides outside Kenya or has a principal place of business outside Kenya may be represented by an agent in recordation of an intellectual property rights.
- (3) The appointment of an agent in the recordation of an intellectual property shall be conducted in accordance with the provisions relation to appointment of an agent under the Anti-Counterfeit Regulations (sub. leg).

#### 13. False declaration

A person who—

- (a) with intent to deceive or mislead, gives any false information in relation to any application made to the Authority;
- removes, adds to, alters, defaces, or renders illegible any information on any form provided under these Regulations in relation to any goods or tools; or
- without authority or reasonable cause alters any entry made under these Regulations,

commits an offence and shall be liable, on conviction, to a fine not exceeding six thousand shillings or to imprisonment for a term not exceeding six months, or both.

Anti-oddition

[Subsidiary]

#### 14. Forms

- (1) The forms specified in the First Schedule to these Regulations shall, with such modifications, be used for the purpose indicated therein.
- (2) Notwithstanding the provision of these Regulations, a document which deviates from the specified form shall not be void by reason of the deviation which does not affect the substance of the document, unless it is calculated to mislead or deceive in which case regulation 13 shall apply.

FIRST SCHEDULE

**FORMS** 

FORM ACA 1B

(r. 3(1))

THE ANTI-COUNTERFEIT ACT (Cap. 510)

The Anti-Counterfeit Authority

APPLICATION TO RECORD INTELLECTUAL PROPERTY RIGHTS

The Executive Director,

The Anti-Counterfeit Authority

Name of the owner of the intellectual property right
 Telephone number, postal and physical address of owner
 Details of the intellectual property rights owner or partners of the association
 Name of agent or local distributor if any

5. Telephone number, postal and physical

address of agent or local

distributor

6. Sample or photographic representation

of the protected goods

7. Name and address of each foreign

person or business entity

authorized or licensed to use the

intellectual property right

8. The identity of any parent or subsidiary

company or other foreign

company under common ownership or control which uses the intellectual

property right abroad

9. Place of manufacture of goods bearing

the recorded trade mark

10. Remarks

Dated this ...... day of ...... 20 .....

Name of Applicant ...... Signature .....

For official use only

THIS APPLICATION IS APPROVED/NOT APPROVED

.....

**EXECUTIVE DIRECTOR** 

NOTES:

3. If space provided is not sufficient, please use a separate sheet of paper;

4. This application shall be accompanied by a copy of the certificate of registration certified by the registering authority showing title to be presently in the name of the Applicant;5. The application shall also be accompanied by a fee set out in the Second Schedule to the Act.

| FORM ACA 2B<br>THE ANTI-COUNT               | (r. 4)<br>ERFEIT ACT (Cap. 510)   |  |   |
|---|---|--|---|
| The Anti-Counterfei                         | t Authority<br>ROPERTY RIGHT PARTICULAR   | S FOR  | IMPORTED                                      |
| GOODS                                       |   |  | 5111.22                                       |
| The Executive Director The Anti-Counterfei  |   |  |   |
| 1. Name and address of exporter             | 2. Name and address of importe  | r/   | 3. Name and address of agent                  |
| consignor 4. Shipment mode (sea, air, etc.) | 5. Country of Origin  |  | 6. Port of Destination                        |
| DeclaredP                                   | roduct Quantity Unit of Brand<br>escription Measure Name  | Name<br>And<br>Address<br>of<br>Owner<br>of<br>Intelled<br>Proper<br>Right | ACA Remarks Recordation ss Reference Number . |
| 1.  |   | ragin  |   |
| <ul><li>2.</li><li>3.</li></ul>             |   |  |   |
| 4.  |   |  |   |
| <ul><li>5.</li><li>6.</li></ul>             |   |  |   |
| 7.  |   |  |   |
| 8.<br>9.                                    |   |  |   |
| 9.<br>10.                                   |   |  |   |
|   | day of  |  |   |
| NOTES.                                      | 1. If space provided is not suffici sheet of paper.   | ent, plea  | ase use a separate                            |
|   | 2. This Form shall be accompan certificates of registration of the information which furnishes auth | intellect  | ual property rights or                        |
| FORM ACA 3B                                 | (r. 5(1))   |  |   |
| THE ANTI-COUNT The Anti-Counterfei          | ERFEIT ACT (Cap. 510)   |  |   |
|   | CONTINUE RECORDATION ON   | CHAN   | GE OF   |

Anti-Counterfeit [Subsidiary]

The Executive Director,

The Anti-Counterfeit Authority,

1. Name of the new owner of the

intellectual property right.

2. Telephone number, postal and physical

address of the new owner of the intellectual property right.

3. Name, telephone number, postal and

physical address of the

previous owner of the intellectual

property right.

4. Effective date of the change of

ownership.

5. Description of the time limit, if any, of the

rights transferred.

6. Remarks

Dated this ...... day of ...... 20 ......

Name of Applicant...... Signature ......

For official use only:

THIS APPLICATION IS APPROVED/NOT APPROVED

.....

### **EXECUTIVE DIRECTOR**

Notes:

1. If space provided is not sufficient, please use a separate

sheet of paper.

2. This Form shall be completed and filed with the Authority in

quadruplicate.

3. This application shall be accompanied by a certified copy of

the certificate of registration and the prescribed fees.

# **FORM ACA 4B**

(r. 5(2))

THE ANTI-COUNTERFEIT ACT (Cap. 510)

The Anti-Counterfeit Authority

# NOTICE OF DISCONTINUATION OF RECORDATION

The Executive Director.

The Anti-Counterfeit Authority,

**NAIROBI** 

Name of Proprietor of the Intellectual Property Right)

Note:

The form shall be signed by an authorized officer or agent or representative of the registrant.

**FORM ACA 5B** 

(r. 6)

THE ANTI-COUNTERFEIT ACT (Cap. 510)

The Anti-Counterfeit Authority

**NOTICE OF CHANGE OF NAME** 

The Executive Director,

Anti-Counterfeit

| [Subsidiary]                |   |
|-----------------------------|---|
| The Anti-Counterfei NAIROBI | •   |
| recorded intellectua        | that (indicate name of the proprietor of the property rights holder) has with effect from (indicate d year) changed its name to (indicate the new |
| Dated at Nairobi this       | s day of 20   |
|                             | r of the Intellectual Property Right)   |
|                             | 1. This form shall be accompanied by a certified copy of the certificate of registration showing title in the new name and the prescribed fees.   |
|                             | <ol> <li>The form shall be signed by an authorized officer or agent<br/>or representative of the proprietor.</li> </ol>                           |
| FORM ACA 6B                 | (r. 7)  |
|                             | ERFEIT ACT (Cap. 510)   |
|                             | R RENEWAL OF RECORDATION OF INTELLECTUAL  |
| PROPERTY RIGHT              |   |
| The Executive Direct        | ,   |
| The Anti-Counterfei         | · · · · · · · · · · · · · · · · · · ·   |
| 1.<br>2.                    | Current recordation reference number  Name of the owner of the intellectual   |
| ۷.                          | property  |
| 3.                          | Telephone number, postal and physical   |
|                             | address of owner  |
| 4.                          | Name of agent or local distributor if any   |
| 5.                          | Telephone number, postal and physical address of agent  |
| 6.                          | Description of the recorded intellectual  |
| 7                           | property right  |
| 7.                          | Validity and subsistence of the   |
|                             | trademark intellectual  |
| 8.                          | property<br>Remarks   |
| -                           | day of 20   |
|                             | Signature   |
| NOTES:                      | Olgriculo   |
| 1.                          | If space provided is not sufficient, please use a separate  |
|                             | sheet of paper;   |
| 2.                          | This Form shall be accompanied by;  |
|                             | (a) a status copy of the certificate of registration certified by the registering authority showing the title to be in the name                   |
|                             | of the Applicant; (b) a statement describing any change of ownership or in  |
|                             | the name of the owner and any change of addresses of the  |
|                             | owners and places of manufacture;<br>(c) a fee as prescribed in the Second Schedule to the Act.   |
|                             |   |

[Rev. 2022] No. 13 of 2008 Anti-Counterfeit

[Subsidiary]

(r. 10)

**FORM ACA 7B** 

ANTI-COUNTERFEIT ACT (Cap. 510)

Anti-Counterfeit Authority

APPLICATION FOR OFFICIAL SEARCH

**Executive Director** 

Anti-Counterfeit Authority

**NAIROBI** 

Kindly supply the undersigned with details of the following recorded intellectual property right:

Nature of Intellectual Property Right

Name of proprietor

Nationality of Proprietor

Postal Address of Proprietor

Physical Address of the Proprietor

Local Agent of Proprietor, if any

Date of Recordation

.....

**APPLICANT** 

**FORM ACA 8B** (r. 11)

THE ANTI-COUNTERFEIT ACT (Cap. 510)

The Anti-Counterfeit Authority

APPLICATION FOR COPIES OF DOCUMENTS

The Executive Director,

The Anti-Counterfeit Authority,

Name of the applicant 1.

2. Telephone number, postal and physical

address of the applicant

3. **Record Number** 

4. Reason(s) for seeking copies

5. Remarks

Dated this ...... day of ........... 20 .......

Signature .....

OWNER/AGENT

SECOND SCHEDULE

**FEES** 

| FEES |             | (r. 3, r. 4, r. 5, r. 6, r. 7, r. 10, r.11)        |  |  |
|------|-------------|--|--|--|
| No.  | Forms       | Purpose  | Amount<br>(United States<br>Dollars)                 |  |
| 1.   | FORM ACA 1B | Application to Record intellectual property rights | 90 for the first class, 10 for each subsequent class |  |
| 2.   | FORM ACA 2B | Submission of<br>Particulars of<br>Imported Goods  | 20   |  |
| 3.   | FORM ACA 3B | Change of ownership                                | 20   |  |

| No. 13 of 2008 |                  | [Rev. 2022] |
|----------------|------------------|-------------|
|                | Anti-Counterfeit |             |
| FO 1 111 1     |                  |             |

| [Subsidiary] |             |                           |              |
|--------------|-------------|---------------------------|--------------|
| 4.           | FORM ACA 4B | Change of Name            | 20           |
| 5.           | FORM ACA 5B | Renewal of Recordation    | 50           |
| 6.           | FORM ACA 6B | Application for<br>Search | 5            |
| 7.           | FORM ACA 7B | Application for copies    | 0.5 per page |