

“ Coset Interleaver Idea Progress March 30th”

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## 0.1 Introduction

Using the 5/7 RSC interleaver as a reference, we present the idea of coset interleaving for breaking up weight-2 and weight-3 return-to-zero (RTZ) input sequences which produce codewords with weights less than  $w_H$ . The notations and the assumptions that will be made throughout this paper are listed below.

### 0.1.1 Notations

1.  $N$  :- Interleaver Length, Interleaver size,  $N = n\tau$ .
2.  $\mathcal{C}^i$  :- Coset  $i$ ,  $i = \{0, 1, \dots, \tau - 1\}$
3.  $L = N/\tau$  :- Coset length, Coset size.
4.  $\tau$  :- Cycle length of RSC encoder ( $\tau = 3$  for 5/7RSC encoder)
5. Separation:- Assume that there is a set  $\mathcal{A} = \{a, b, c, \dots\}$ ,  $b > a, c > b$ , etc. For any 2 elements in set, the separation is defined as the difference between the elements. For example, the separation between  $b$  and  $a$  is  $b - a$
6. Step:- Assume that there is a set  $\mathcal{A} = \{a, b, c, \dots\}$ ,  $b > a, c > b$ , etc. For any 2 elements in set, the step is defined as the difference between the index of two elements. For example, from  $a$  to  $b$  a step of 1 is required and from  $a$  to  $c$  a step of 2 is required.
7.  $D_1$  :-Interleaving step
8.  $s$  :- post-interleaving separation
9.  $t$  :- pre-interleaving separation
10. layer distance ( $l$ ) :- Assume we have a set  $\mathcal{A} = (0, 1, \dots, N - 1)$ . We proceed to form a  $N/\tau \times \tau$  matrix  $\mathbf{A}$  from it. We assign indices to each row and refer to each row of  $\mathbf{A}$  as a layer and the layer distance  $l$  as the difference between two rows indices of  $\mathbf{A}$

## 0.2 Weight-2 RTZ inputs

In this section, we present the idea for coset interleaving focusing solely on weight-2 RTZ inputs. According to [SunTakeshita] weight-2 RTZ inputs have two “1” bits which are separated by  $n\tau - 1$ ,  $n = 1, 2, \dots$  “0” bits. Consequently, the smaller the value of  $n$ , the lower the weight of the codeword which will be produced. Based on this, we decided to break down the interleaver into 3 groups depending on the value of  $L \bmod \tau$ , which for the case of the 5/7 RSC is either 0, 1, or 2. For each interleaver group, we explore all possible permutation matrices and select the ones that meet a certain design criteria.

### 0.2.1 Interleavers with coset length $L$ where $L \bmod \tau = 0$

From the discussion made in the previous section, we can deduce that the weight-2 RTZ which produces the lowest codeword weight will be of the form  $x^t(1 + x^3)$ ,  $t = 0, 1, 2, \dots$ . The best outcome would be to transform  $x^t(1 + x^3)$  into a non-RTZ input. However, as the value of  $N$  increases, this becomes possible for only a specified distance within the interleaver. The next

best thing we can do is to make sure that anytime we have a RTZ input of the form  $x^t(1 + x^3)$ , it is transformed into another RTZ input which produces a codeword with a heavier weight than  $x^t(1 + x^3)$ . For interleavers where  $L \bmod \tau = 0$ ,  $N = n\tau^2 = 9n$ ,  $n = 1, 2, \dots$ . We focus on the case where  $n = 1$  and look for unique  $N \times N$  permutation matrices such that  $x^t(1 + x^3)$  is transformed to a non-RTZ input. A permutation matrix is unique if a shift of the elements in the matrix does not produce another permutation matrix.

|          |   |   |   |   |   |   |   |   |   |
|----------|---|---|---|---|---|---|---|---|---|
| <b>A</b> | 0 | 3 | 6 | 1 | 4 | 7 | 2 | 5 | 8 |
| <b>B</b> | 0 | 3 | 6 | 1 | 4 | 2 | 5 | 8 | 7 |
| <b>C</b> | 0 | 3 | 6 | 1 | 2 | 4 | 5 | 7 | 8 |
| <b>D</b> | 0 | 3 | 6 | 1 | 2 | 5 | 8 | 4 | 7 |
| <b>E</b> | 0 | 3 | 6 | 2 | 1 | 4 | 7 | 5 | 8 |
| <b>F</b> | 0 | 3 | 6 | 2 | 1 | 5 | 4 | 8 | 7 |
| <b>G</b> | 0 | 3 | 6 | 2 | 5 | 1 | 4 | 7 | 8 |
| <b>H</b> | 0 | 3 | 6 | 2 | 5 | 8 | 1 | 4 | 7 |
| <b>I</b> | 0 | 3 | 1 | 4 | 7 | 6 | 2 | 5 | 8 |
| <b>J</b> | 0 | 3 | 1 | 4 | 2 | 6 | 5 | 7 | 8 |
| <b>K</b> | 0 | 3 | 1 | 2 | 4 | 6 | 7 | 5 | 8 |
| <b>L</b> | 0 | 3 | 1 | 2 | 5 | 6 | 4 | 7 | 8 |
| <b>M</b> | 0 | 3 | 2 | 1 | 4 | 6 | 5 | 8 | 7 |
| <b>N</b> | 0 | 3 | 2 | 1 | 5 | 6 | 8 | 4 | 7 |
| <b>O</b> | 0 | 3 | 2 | 5 | 1 | 6 | 4 | 8 | 7 |
| <b>P</b> | 0 | 3 | 2 | 5 | 8 | 6 | 1 | 4 | 7 |
| <b>Q</b> | 0 | 1 | 3 | 4 | 6 | 7 | 2 | 5 | 8 |
| <b>R</b> | 0 | 1 | 3 | 4 | 6 | 2 | 5 | 8 | 7 |
| <b>S</b> | 0 | 1 | 3 | 4 | 2 | 7 | 5 | 6 | 8 |
| <b>T</b> | 0 | 1 | 3 | 2 | 6 | 4 | 7 | 5 | 8 |
| <b>U</b> | 0 | 1 | 3 | 2 | 6 | 5 | 4 | 8 | 7 |
| <b>V</b> | 0 | 1 | 3 | 2 | 5 | 4 | 7 | 6 | 8 |
| <b>W</b> | 0 | 1 | 4 | 7 | 2 | 3 | 5 | 6 | 8 |
| <b>X</b> | 0 | 2 | 3 | 5 | 6 | 8 | 1 | 4 | 7 |

Table 1: All unique permutation matrices for the case when  $L \bmod \tau = 0$

Table 1 shows all unique permutation matrices that meet the above criteria. Each row is a separate permutation matrix and the column index corresponds to the rows in each matrix and the column values correspond to the column where a 1 appears.

For example, the first row in Table 1 corresponds to the permutation matrix below.

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

(0-1)

At the 0th row and the 0th column, 1st row and the 3rd column, 3rd row and the 6th column, .. and so on, a 1 is present.

For interleaver lengths  $N$  where  $n > 1$ , what we can do is to repeat the decided upon interleaving pattern for all the  $n$  blocks of the interleaver. Regardless of which interleaving pattern we choose from Table 1, we are certain that RTZ inputs only occur when any 2 elements have a step of exactly 9 between them.

### 0.2.2 Interleavers with coset length $L$ where $L \bmod \tau = 1$ or $L \bmod \tau = 2$

Interleavers that meet this criteria, can be further grouped into 2 categories. The first category which is easiest to deal with is the case where  $N$  is divisible by 3 and 6. The second category is where  $N$  is divisible by 3 only.

For the case where  $N$  is divisible by 3 and 6, we choose the largest divisor(6) and set  $N = 6n$ ,  $n = 1, 2, \dots$ . Setting  $N = 6$  we then follow the procedure in the previous section, and find all permutation matrices which ensure that the weight-2 RTZ input  $x^t(1 + x^3)$  is transformed into a non-RTZ input. The permutation matrices which meet this criteria are shown in Table 2. For

|          |   |   |   |   |   |   |
|----------|---|---|---|---|---|---|
| <b>A</b> | 0 | 3 | 1 | 4 | 2 | 5 |
| <b>B</b> | 0 | 3 | 1 | 2 | 4 | 5 |
| <b>C</b> | 0 | 3 | 2 | 1 | 5 | 4 |
| <b>D</b> | 0 | 3 | 2 | 5 | 1 | 4 |
| <b>E</b> | 0 | 1 | 3 | 4 | 2 | 5 |
| <b>F</b> | 0 | 1 | 3 | 2 | 5 | 4 |
| <b>G</b> | 0 | 1 | 4 | 2 | 3 | 5 |
| <b>H</b> | 0 | 2 | 3 | 5 | 1 | 4 |

Table 2: All unique permutation matrices for the case when  $L \bmod \tau = 1$  or  $L \bmod \tau = 2$  and  $N = 6n$

interleaver lengths  $N$  where  $n > 1$ , what we can do is to repeat the decided upon interleaving pattern for all the  $n$  blocks of the interleaver. Again, regardless of which interleaving pattern we choose from Table 2, we are certain that RTZ inputs only occur when any 2 are exactly 6 steps away from each other.

For the case where  $N$  is divisible by 3 only, we have  $N = 3n$ ,  $n = 1, 2, \dots$ . Again, we set  $n = 1$  and follow the procedure in the previous section, and find all permutation matrices which ensure that the weight-2 RTZ input  $x^t(1 + x^3)$  is transformed into a non-RTZ input. The permutation matrices which meet this criteria are shown in Table 3.

|          |   |   |   |
|----------|---|---|---|
| <b>A</b> | 0 | 1 | 2 |
| <b>B</b> | 0 | 2 | 1 |

Table 3: All unique permutation matrices for the case when  $L \bmod \tau = 1$  or  $L \bmod \tau = 2$  and  $N = 3n$

Again, for interleaver lengths  $N$  where  $n > 1$ , we repeat the decided upon interleaving pattern for all the  $n$  blocks of the interleaver. This time, that RTZ inputs only occur when any 2 are exactly 3 steps away from each other, which means  $x^t(1 + x^3)$  is always mapped unto itself and this is a situation we want to avoid as much as possible. In light of this, we present an alternate procedure for coset interleaving for when  $L \bmod \tau = 1$  or  $L \bmod \tau = 2$

### 0.2.3 Alternate Method for Interleavers with coset length $L$ where $L \bmod \tau = 1$ or $L \bmod \tau = 2$

For interleavers which meet this criteria, we take the elements in each coset and form a  $3 \times \lceil \frac{L}{3} \rceil$  matrix as shown in Figure 0-1

Figure 0-1: Turbo Encoder

Figure 0-1 (a) represents the case where  $L \bmod \tau = 2$  and Figure 0-1 (b) represents the case where  $L \bmod \tau = 1$ . The task is to generate a permutation matrix which guarantees that the should a weight-2 RTZ  $x^t(1 + x^3)$  occur it will not be mapped to the same RTZ sequence at all points during interleaving.

The  $L \times N$  permutation matrices which meet the criteria are shown in Table 4 for different values of  $L$  and  $N$ .

|                 |   |   |   |    |    |
|-----------------|---|---|---|----|----|
| $L = 4, N = 12$ | 0 | 3 | 6 | 10 |    |
| $L = 5, N = 15$ | 0 | 3 | 6 | 10 | 12 |

Table 4: All unique permutation matrices for different values of  $N$  and  $L$  when  $L \bmod \tau = 1$  or  $L \bmod \tau = 2$

The permutation matrices obtained using this method ensures that weight-2 RTZ  $x^t(1 + x^3)$  is never mapped to itself at any point in the interleaving process.

## 0.3 Weight-3 RTZ inputs

In most interleaver design cases, focusing on weight-2 RTZ inputs would be enough. For the 5/7 RSC interleaver however, this is not the case since the minimum weight codeword is caused by the weight -3 RTZ input of the form  $x^t(1 + x + x^2)$ ,  $t = 1, 2, \dots$ . In general, any time a weight 3 input has  $x \bmod 3 = 1$  zeros and/or  $x \bmod 3 = 1$  between its first 2 “1” bits and and last 2 “1” bits it is a weight 3 RTZ input sequence. This definition is specific to the 5/7 RSC encoder.

Similar to the approach taken for designing interleavers weight-2 RTZ sequences, we group the interleavers into 3 groups, depending on the value of  $L \bmod \tau$  and find all possible permutation matrices which prevent  $x^t(1 + x + x^2)$  from being mapped to itself.

### 0.3.1 Interleavers with coset length $L$ where $L \bmod \tau = 0$

Table 5 list all permutation matrices when which transforms  $x^t(1 + x + x^2)$  into a non RTZ input.

However, there are other weight 3 RTZ inputs which exist within this span, depending on the permutation matrix that is chosen. For example,  $\mathbf{A}$  has  $1 + x^4 + x^8$ ,  $1 + x^5 + x^7$ ,  $x + x^3 + x^8$ ,  $x +$

|          |   |   |   |   |   |   |   |   |   |
|----------|---|---|---|---|---|---|---|---|---|
| <b>A</b> | 0 | 3 | 6 | 1 | 4 | 7 | 2 | 5 | 8 |
| <b>B</b> | 0 | 3 | 6 | 1 | 4 | 2 | 7 | 5 | 8 |
| <b>C</b> | 0 | 3 | 6 | 1 | 4 | 2 | 8 | 7 | 8 |
| <b>D</b> | 0 | 3 | 6 | 1 | 4 | 2 | 5 | 8 | 7 |
| <b>E</b> | 0 | 3 | 6 | 2 | 5 | 1 | 4 | 7 | 8 |
| <b>F</b> | 0 | 3 | 6 | 2 | 5 | 1 | 4 | 8 | 7 |
| <b>G</b> | 0 | 3 | 6 | 2 | 5 | 1 | 8 | 4 | 7 |
| <b>H</b> | 0 | 3 | 1 | 6 | 4 | 7 | 2 | 5 | 8 |
| <b>I</b> | 0 | 3 | 1 | 4 | 7 | 2 | 5 | 6 | 8 |
| <b>J</b> | 0 | 3 | 2 | 6 | 5 | 8 | 1 | 4 | 7 |
| <b>K</b> | 0 | 3 | 2 | 5 | 8 | 1 | 4 | 6 | 7 |
| <b>L</b> | 0 | 1 | 3 | 4 | 7 | 2 | 5 | 6 | 8 |

Table 5: All unique permutation matrices for the case when  $L \bmod \tau = 0$

$x^5 + x^6$ ,  $x^2 + x^4 + x^6$ ,  $x^2 + x^3 + x^7$ , while **B** has  $1 + x^4 + x^8$ ,  $x + x^3 + x^8$ ,  $x + x^3 + x^5$ ,  $x + x^6 + x^8$ ,  $x^2 + x^3 + x^7$ ,  $x^2 + x^6 + x^7$

Regardless of which permutation matrix is picked, we are certain that the weight 3 RTZ  $1 + x + x^2$  will not be mapped to itself within the chosen span.

### 0.3.2 Interleavers with coset length $L$ where $L \bmod \tau = 1$ or $L \bmod \tau = 2$

Similar to weight 2 RTZ, we further divide the above case into 2 categories. The first category is when  $N$  is divisible by 3 and 6. The second category is where  $N$  is divisible by 3 only. Table 6 show all permutation matrices which prevent  $1 + x + x^2$  from being interleaved to itself when  $L \bmod \tau = 1$  or  $L \bmod \tau = 2$  and  $N$  is divisible by 3 and 6.

|          |   |   |   |   |   |   |
|----------|---|---|---|---|---|---|
| <b>A</b> | 0 | 3 | 1 | 4 | 2 | 5 |
| <b>B</b> | 0 | 3 | 2 | 5 | 1 | 4 |

Table 6: All unique permutation matrices for the case when  $L \bmod \tau = 1$

The remaining weight 3 RTZ which need to be dealt with is  $1 + x^2 + x^4$ .

For the second category ( $N$  divisible by 3 only), there are no permutation matrices which meet this criteria.

### 0.3.3 Alternate Method for Interleavers with coset length $L$ where $L \bmod \tau = 1$ or $L \bmod \tau = 2$

For the case where  $L \bmod \tau = 1$ , there is a point where  $1 + x + x^2$  is mapped to itself, depending on  $N$ .

For the case where  $L \bmod \tau = 2$ ,  $1 + x + x^2$  is never mapped unto itself, but the lowest weight 3 RTZ that needs to be dealt with is  $x + x^3 + x^5$

## 0.4 Maximizing Seperation for weight-2 RTZ inputs

### 0.4.1 case $L \bmod 3 = 0$

From Table 1 we select the permutation matrix **A**. For interleaver length  $N > 9$ , we repeat this pattern. With this permutation matrix, we are sure that the weight-2 RTZ  $1 + x^9$  will be mapped to itself. We wish to prevent this by transforming  $1 + x^9$  into a weight -2 RTZ input which has a large seperation the “1” bits. Let  $t$  and  $s$  represent the seperation before and after interleaving. It is worth noting that  $t, s$  are multiples of  $\tau = 3$

The mamimum seperation depends on the value of N. Therefore to achieve the largest seperation after interleaving  $s$  must meet the following condition.

$$s = \max \left\{ a \leq \lfloor \frac{N}{2} \rfloor \right\}$$

### 0.4.2 case $L \bmod 3 = 1$

### 0.4.3 case $L \bmod 3 = 2$

## 0.5 Maximizing seperation for weight-3 RTZ inputs

### 0.5.1 case $L \bmod 3 = 0$

### 0.5.2 case $L \bmod 3 = 1$

### 0.5.3 case $L \bmod 3 = 2$

## 0.6 Maximizing Seperation for weight-2 and weight-3 RTZ inputs

### 0.6.1 Choice of Permutation polynomial

case  $L \bmod 3 = 0$

case  $L \bmod 3 = 1$

case  $L \bmod 3 = 2$

### 0.6.2 Range of Maximum Separation

## 0.7 Interleaver implementation

## 0.8 Simulation Results