

“ Progress So Far”

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## 0.1 Preliminaries

This section outlines the definitions and notations that will be used. An example is also given at the end to better clarify the use of the notations.

### 0.1.1 Definitions and Notations

1. RTZ (Return-To-Zero) input :- A RTZ input is a binary input which causes a RSC encoder's final state to be return to zero after it has exited the zero state.
2.  $\tau$  :- cycle length of the RSC encoder. For the 5/7 RSC encoder  $\tau = 3$
3.  $N$  :- Interleaver length.
4.  $\mathcal{N}$ :- Integer set of  $\{0, 1, \dots, N - 1\}$
5.  $\mathbb{N}$ : Indexed set of  $\{0, 1, \dots, N - 1\}$  in the natural order.
6. We assume that  $N/\tau = L$  and  $N/\tau^2 = M$
7.  $\mathcal{C}$  and  $\mathbb{C}$  are defined in a similar manner.
8.  $\mathcal{C}^t := \{c + t\}_{c \in \mathcal{C}}$  and  $\mathbb{C}^t$  is the indexed set with the elements of  $\mathcal{C}^t$  where  $t = (0, 1, \dots, \tau - 1)$ .  $\mathcal{C}^{tt'}$  and  $\mathbb{C}^{tt'}$  are also defined in a similar manner.
9. Permutation matrix

$$\mathbf{\Pi} = \begin{bmatrix} \pi^0 \\ \pi^1 \\ \vdots \\ \pi^{K-1} \end{bmatrix} = [\pi_0, \pi_1, \dots, \pi_{\tau-1}] = \left[ \pi_t^{(i)} \right]_{i=0, t=0}^{K-1, \tau-1}$$

where  $\pi_t^{(i)} \in \{0, 1, \tau - 1\}$ .

10. For the row vector  $\boldsymbol{\pi}^{(i)}$ , let  $\mathcal{S}^e[\boldsymbol{\pi}^{(i)}]$  be the left-hand cycle shift of  $\boldsymbol{\pi}^{(i)}$  and  $\mathcal{S}^e[\boldsymbol{\pi}_t]$  be the up cycle shift of  $\boldsymbol{\pi}_t$
11. We assume that the permutation matrix operation outputs the elements in  $\mathbb{C}^t$  in the order which  $t$  appers in  $\boldsymbol{\pi}^k$ .
12. Our goal is to find the best  $\mathbf{\Pi}$  and  $\mathbb{C}^t$ ,  $t = 0, 1, \dots, \tau - 1$ .

### 0.1.2 Example

Lets assume we have a turbo code using the 5/7 RSC encoder as its component code ( $\tau = 3$ ) and an interleaver length of  $N = 27$ . We have the following values

1.  $C = 9$  and  $M = 3$ . Also  $\mathcal{N} = \{0, 1, \dots, 26\}$
2.  $\mathcal{C}^0 = \{0, \tau, \dots, (L - 1)\tau\} = \{0, 3, \dots, 24\}$ ,  $\mathcal{C}^1 = \mathcal{C}^0 + 1$  and  $\mathcal{C}^2 = \mathcal{C}^0 + 2$
3.  $\mathcal{C}^{00} = \{0, \tau, \dots, (M - 1)\tau\} = \{0, 3, 6\}$ ,  $\mathcal{C}^{01} = \mathcal{C}^{00} + 1$  and  $\mathcal{C}^{02} = \mathcal{C}^{00} + 2$

4. Let  $\mathbb{C}^0 = \{0, 3\tau, 6\tau, 1\tau, 4\tau, 7\tau, 2\tau, 5\tau, 8\tau\} = \{0, 9, 18, 3, 12, 21, 6, 15, 24\}$ ,  $\mathbb{C}^1 = \{4, 13, 22, 7, 16, 25, 1, 10, 19\}$   
 and  $\mathbb{C}^2 = \{23, 8, 17, 26, 2, 11, 20, 5, 14\}$  and  $\mathbf{\Pi} = \begin{bmatrix} 0 & 0 & 0 \\ 2 & 2 & 2 \\ 1 & 1 & 1 \end{bmatrix}$

5.

$$\begin{aligned} \mathbb{N} = & \{c_0^0, c_1^0, c_2^0, c_0^2, c_1^2, c_2^2, c_0^1, c_1^1, c_2^1, \dots, c_6^1, c_7^1, c_8^1\} \\ & = \{0, 9, 18, 23, 8, 17, 4, 13, 22, 3, 12, 21, 26, 2, 11, 7, 16, 25, 6, 15, 24, 20, 5, 14, 1, 10, 19\} \quad (0-1) \end{aligned}$$

$\mathbb{N}$  represents the interleaved sequence. From this example, we can see that the column index of  $i$  in  $\pi^{(i)}$  represents the coset it belongs to before interleaving and the value  $\pi_j^{(i)}$  specifies the coset after interleaving. Also notice that the rows of  $\mathbf{\Pi}$  are taken cyclicly untill all elements of  $\mathbb{C}^t$  are placed in  $\mathbb{N}$ .

## 0.2 RTZ Inputs

in this section we talk a little bit more about the types of RTZ inputs and introduce their polynomial and coset definitions. Finally we talk about how certain RTZ inputs may be dealt with after interleaving.

### 0.2.1 Types of RTZ inputs

Regardless of the component code used in turbo coding, the RTZ inputs can be grouped into two basic forms. These are *base RTZ inputs* and *compound RTZ inputs*. Base RTZ inputs are dependent on the component code and cannot be broken down into 2 or more RTZ inputs. Compound RTZ inputs as the name implies are formed from 2 or more base RTZ inputs and therefore can be broken down into base RTZ input form.

For the 5/7 component code, its base RTZ inputs are weight-2 RTZ inputs (W2RTZs) and weight-3 RTZ inputs (W3RTZs). Every RTZ input with a weight higher than 3 is a compound RTZ input.

The permutation matrix that generates the set  $\mathcal{N}$  is given by

$$\Pi' = \begin{bmatrix} 0 & 1 & 2 \end{bmatrix}$$

where  $\Pi$  was used repeatedly until all elements in  $\mathcal{C}^t$  are picked. From  $\Pi$  we can derive the definitions for W2RTZs and W3RTZs as well as ways to break up such RTZs

### 0.2.2 W2RTZs : Definitions and Breaking them

Given below is the definition of W2RTZs in terms of polynomials and cosets.

- polynomial:  $P(x) = x^{h\tau+t}(1 + x^{\alpha\tau}) = x^t(x^{h\tau} + x^{(h+\alpha)\tau})$
- coset: the  $h$ th and  $(h + \alpha)$ th elements in  $\mathcal{C}^t$

From the coset definition, it is easy to see that W2RTZs can be broken if after interleaving, the  $h$ th and  $(h + \alpha)$ th elements in  $\mathcal{C}^t$  are mapped to different cosets.

### 0.2.3 W3RTZs : Definitions and Breaking them

Given below is the definition of W3RTZs in terms of polynomials and cosets.

- polynomial:  $Q(x) = x^{h\tau+t}(1 + x^{\beta\tau+1} + x^{\gamma\tau+2}) = x^{h\tau+t} + x^{(h+\beta)\tau+t+1} + x^{(h+\gamma)\tau+t+2}$ . Notice that  $h \leq \beta$  is not a necessary condition.
- coset: the  $h$ th element in  $\mathcal{C}^t$ ,  $(h + \beta)$ th element in  $\mathcal{C}^{[t+1]\tau}$ , and  $(h + \gamma)$ th element in  $\mathcal{C}^{[t+2]\tau}$ .

Again, from the coset definition, we see that the easiest way to break up W3RTZs is to make sure that after interleaving, a minimum of 2 elements are mapped into the same coset.

### 0.2.4 W4RTZs : Definitions and Breaking them

Given below is the definition of W4RTZs in terms of polynomials and cosets.

- polynomial:  $P(x) = x^{h\tau+t}(1 + x^{\alpha\tau}) + x^{h'\tau+(t+i)}(1 + x^{\alpha'\tau}) = x^t(x^{h\tau} + x^{(h+\alpha)\tau}) + x^{t+i}(x^{h'\tau} + x^{(h'+\alpha')\tau})$

- coset: the  $h$ th and  $(h + \alpha)$ th elements in  $\mathcal{C}^t$  and the  $h'$ th and  $(h' + \alpha')$ th elements in  $\mathcal{C}^{[t+i]\tau}$

where  $i = 0, 1, 2$

There is not much that can be done to break up W4RTZs using just  $\Pi$ . However careful selection of  $\Pi$  combined with coset design can be used to effectively break up W4RTZs

### 0.3 Permutation Matrix Design

In this section, we define weight-2 RTZ inputs (W2RTZs) and weight-3 RTZ inputs (W3RTZs) in terms of polynomial and coset representation. Then outline the procedure for selecting a good permutation matrix  $\mathbf{\Pi}$  with respect to W2RTZs and W3RTZs.

#### 0.3.1 RTZ Input Definitions

##### 1. a W2RTZ

- polynomial:  $P(x) = x^{h\tau+t}(1 + x^{\alpha\tau}) = x^t(x^{h\tau} + x^{(h+\alpha)\tau})$
- coset: the  $h$ th and  $(h + \alpha)$ th elements in  $\mathbb{C}^t$

##### 2. a W3RTZ

- polynomial:  $Q(x) = x^{h\tau+t}(1 + x^{\beta\tau+1} + x^{\gamma\tau+2}) = x^{h\tau+t} + x^{(h+\beta)\tau+t+1} + x^{(h+\gamma)\tau+t+2}$ . Notice that  $h \leq \beta$  is not a necessary condition.
- coset: the  $h$ th element in  $\mathbb{C}^t$ ,  $(h + \beta)$ th element in  $\mathbb{C}^{[t+1]_\tau}$ , and  $(h + \gamma)$ th element in  $\mathbb{C}^{[t+2]_\tau}$ .

#### 0.3.2 Permutation Matrix selection for W2RTZs

From the definition of Weight-2 RTZ inputs in the previous section, we know that the index of the “1” bits are in the same coset. Our aim is to make sure that the permutation matrix we select enables the interleaver that we design to either break such weight-2 RTZ inputs or convert it into a large separation weight-2 RTZ. The condition to break weight-2 RTZs is given as

$$\pi_j^{(i)} \neq \pi_j^{(i')}, \quad |i - i'| \leq N_c \quad (0-2)$$

Since  $\mathbf{\Pi}$  consisting of  $\tau$  elements, the maximum length of column elements consisting of values different each other is  $\tau$ . Thus, the cut-off interleaver length for which (0-2) is satisfied is  $N_c^2 = \tau^2 = 9$ . For this interleaver length, we investigate 3 different compositions of permutation matrices that can be used to achieve this condition in in 0-2

1. One cycle permutation: Each row is permutation of the sequence  $(0, 1, 2)$ . Setting the element at the first row and first column to 0, there are exactly 4 permutation matrices that exist for cut-off length  $N_c^2$ . Let

$$\boldsymbol{\psi} = \begin{bmatrix} 0 \\ 1 \\ 2 \end{bmatrix}, \quad \boldsymbol{\psi}' = \begin{bmatrix} 0 \\ 2 \\ 1 \end{bmatrix}$$

We then have

$$\begin{aligned}
[\psi, \mathcal{S}^1[\psi], \mathcal{S}^2[\psi]] &= \begin{bmatrix} 0 & 1 & 2 \\ 1 & 2 & 0 \\ 2 & 0 & 1 \end{bmatrix} := \psi(\psi) \\
[\psi', \mathcal{S}^1[\psi'], \mathcal{S}^2[\psi']] &= \begin{bmatrix} 0 & 1 & 2 \\ 2 & 0 & 1 \\ 1 & 2 & 0 \end{bmatrix} := \psi(\psi') \\
[\psi, \mathcal{S}^2[\psi], \mathcal{S}^1[\psi]] &= \begin{bmatrix} 0 & 2 & 1 \\ 2 & 1 & 0 \\ 1 & 0 & 2 \end{bmatrix} := \psi'(\psi) \\
[\psi', \mathcal{S}^2[\psi'], \mathcal{S}^1[\psi']] &= \begin{bmatrix} 0 & 2 & 1 \\ 1 & 0 & 2 \\ 2 & 1 & 0 \end{bmatrix} := \psi'(\psi')
\end{aligned} \tag{0-3}$$

2. Two cycle permutation: Two rows are permutation of the sequence  $(0, 0, 1, 1, 2, 2)$ .

There are no permutation matrices that satisfying cut-off length  $N_c^2$ . This is because the sequence length is not divisible by  $N_c^2$ , there will always be 2 elements of the same value in each row of  $\mathbf{\Pi}$

3. Three cycle permutation: Three rows are permutation of the sequence  $(0, 0, 0, 1, 1, 1, 2, 2, 2)$ .

Example of the permutation matrices satisfying cut-off length  $N_c^2$  are shown in 1

Table 1 shows all unique coset interleaving arrays of length  $N_c$  that convert weight-2 RTZ inputs to non-RTZ inputs. They are labeled from A to X. A coset interleaving array is unique if a shift of the elements in the array does not produce another another coset interleaving array.

|     |   |     |   |     |   |     |   |
|-----|---|-----|---|-----|---|-----|---|
| $A$ | $\begin{bmatrix} 0 & 0 & 0 \\ 1 & 1 & 1 \\ 2 & 2 & 2 \end{bmatrix}$ | $B$ | $\begin{bmatrix} 0 & 0 & 0 \\ 1 & 1 & 2 \\ 2 & 2 & 1 \end{bmatrix}$ | $C$ | $\begin{bmatrix} 0 & 0 & 0 \\ 1 & 2 & 1 \\ 2 & 1 & 2 \end{bmatrix}$ | $D$ | $\begin{bmatrix} 0 & 0 & 0 \\ 1 & 2 & 2 \\ 2 & 1 & 1 \end{bmatrix}$ |
| $E$ | $\begin{bmatrix} 0 & 0 & 0 \\ 2 & 1 & 1 \\ 1 & 2 & 2 \end{bmatrix}$ | $F$ | $\begin{bmatrix} 0 & 0 & 0 \\ 2 & 1 & 2 \\ 1 & 2 & 1 \end{bmatrix}$ | $G$ | $\begin{bmatrix} 0 & 0 & 0 \\ 2 & 2 & 1 \\ 1 & 1 & 2 \end{bmatrix}$ | $H$ | $\begin{bmatrix} 0 & 0 & 0 \\ 2 & 2 & 2 \\ 1 & 1 & 1 \end{bmatrix}$ |
| $I$ | $\begin{bmatrix} 0 & 0 & 1 \\ 1 & 1 & 0 \\ 2 & 2 & 2 \end{bmatrix}$ | $J$ | $\begin{bmatrix} 0 & 0 & 1 \\ 1 & 2 & 0 \\ 2 & 1 & 2 \end{bmatrix}$ | $K$ | $\begin{bmatrix} 0 & 0 & 1 \\ 2 & 1 & 0 \\ 1 & 2 & 2 \end{bmatrix}$ | $L$ | $\begin{bmatrix} 0 & 0 & 1 \\ 2 & 2 & 0 \\ 1 & 1 & 2 \end{bmatrix}$ |
| $M$ | $\begin{bmatrix} 0 & 0 & 2 \\ 1 & 1 & 0 \\ 2 & 2 & 1 \end{bmatrix}$ | $N$ | $\begin{bmatrix} 0 & 0 & 2 \\ 1 & 2 & 0 \\ 2 & 1 & 1 \end{bmatrix}$ | $O$ | $\begin{bmatrix} 0 & 0 & 2 \\ 2 & 1 & 0 \\ 1 & 2 & 1 \end{bmatrix}$ | $P$ | $\begin{bmatrix} 0 & 0 & 2 \\ 2 & 2 & 0 \\ 1 & 1 & 1 \end{bmatrix}$ |
| $Q$ | $\begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 2 & 2 & 2 \end{bmatrix}$ | $R$ | $\begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 2 \\ 2 & 2 & 1 \end{bmatrix}$ | $S$ | $\begin{bmatrix} 0 & 1 & 0 \\ 1 & 2 & 1 \\ 2 & 0 & 2 \end{bmatrix}$ | $T$ | $\begin{bmatrix} 0 & 1 & 0 \\ 2 & 0 & 1 \\ 1 & 2 & 2 \end{bmatrix}$ |
| $U$ | $\begin{bmatrix} 0 & 1 & 0 \\ 2 & 0 & 2 \\ 1 & 2 & 1 \end{bmatrix}$ | $V$ | $\begin{bmatrix} 0 & 1 & 0 \\ 2 & 2 & 1 \\ 1 & 0 & 2 \end{bmatrix}$ | $W$ | $\begin{bmatrix} 0 & 1 & 1 \\ 1 & 2 & 0 \\ 2 & 0 & 2 \end{bmatrix}$ | $X$ | $\begin{bmatrix} 0 & 2 & 0 \\ 2 & 0 & 2 \\ 1 & 1 & 1 \end{bmatrix}$ |

Table 1: All unique coset interleaving arrays of length  $N_c = 9$  for weight-2 RTZ inputs

The interleaver length used in turbo coding are way greater than  $N_c^2$  and it is not possible to transform weight-2 RTZ inputs into non-RTZ inputs for all values of  $i$ . All is not lost however, since not all weight-2 RTZ inputs produce low-weight codewords. The formula for calculating the Hamming weight of the Turbo codeword produced by a weight-2 RTZ input occurring in both component codes ( $w_H^{(2)}$ ) is given by [SunTakeshita]

$$\begin{aligned} w_H^{(2)} &= 2 + \left(2 + \frac{\Delta_c}{\tau}\right)w_0 + \left(2 + \frac{\Delta_{c'}}{\tau}\right)w_0 \\ &= 6 + \left(\frac{\Delta_c + \Delta_{c'}}{\tau}\right)w_0, \quad w_0 = 2 \end{aligned} \quad (0-4)$$

For all the  $\Pi$  in Table 1  $\Delta_c = 9 = 3\tau$  and  $\Delta_{c'} := (c_{(h+\alpha')}^t - c_{(h)}^t)$ .

### 0.3.3 Permutation Matrix selection for W3RTZs

As mentioned earlier, a W3RTZ is formed when the indices of the “1” bits each occur in different cosets. It goes without saying that the simplest way to convert a W3RTZ into a non-W3RTZ is to make sure that at least two of indices of the “1” bits occur within the same coset after interleaving.

The formula for calculating the hamming weight for a turbo code created by a W3RTZ ( $w_H^{(3)}$ )

$$\begin{aligned} w_H^{(3)} &= 3 + (2l + 2) + (2l' + 2) \\ &= 3 + w_p + w'_p, \quad w_p = 2l + 2, \quad w'_p = 2l' + 2 \\ &= 7 + 2(l + l') \end{aligned} \quad (0-5)$$

where  $w_p, w'_p$  refer to the pre-interleaving parity weight and the post-interleaving parity weight respectively.

In reality, W3RTZs are many and it is impossible to completely get rid of all of them, even within  $N_c$ . So in our selection of Permutation Matrices for W3RTZs, we make sure that the remaining W3RTZs have  $w_p > 2$ . Unique permutation matrices which meet this criteria are shown in Table 2 and they are labeled from  $A$  to  $L$

Depending on which permutation matrix is chosen from Table 2, Equation 0-5 can be simplified. The value of  $w_p$  for the pre-interleaving weight-3 is dependent on the elements in  $\mathcal{C}^t$

Let  $(c_{(h)}^t, c_{(h+\beta)}^{t+1}, c_{(h+\gamma)}^{t+2})$  be the vector representing a weight-3 RTZ input

Without loss of generality, we can assume that  $h = t = 0$ . We then have

$$l = \max(\beta, \gamma) \quad (0-6)$$

And

$$w_p = 2(\max(\beta, \gamma)) + 2 \quad (0-7)$$

By deciding on the  $\Pi$  we can easily calculate all values of  $l$  and  $w_p$ .  $w'_p, \beta', \gamma'$  and  $l'$  are similarly defined and are dependent on the elements in  $\mathcal{C}^t$ ,  $t = 0, 1, \dots, \tau - 1$

As an example, Table 3 shows all the weight-3 RTZ inputs and the corresponding equations for calculating  $w_H$

Finally, we need to choose a Permutation Matrix which is able to effectively deal with both W2RTZs and W3RTZs. This part is simple as the only thing that we need to do is to select the permutation matrices that appear in both Table 1 and Table 2. This leaves us with

$$\begin{bmatrix} 0 & 0 & 0 \\ 1 & 1 & 1 \\ 2 & 2 & 2 \end{bmatrix}$$



|     |   |     |   |
|-----|---|-----|---|
| $A$ | $\begin{bmatrix} 0 & 0 & 0 \\ 1 & 1 & 1 \\ 2 & 2 & 2 \end{bmatrix}$ | $B$ | $\begin{bmatrix} 0 & 0 & 0 \\ 1 & 1 & 2 \\ 1 & 2 & 2 \end{bmatrix}$ |
| $C$ | $\begin{bmatrix} 0 & 0 & 0 \\ 1 & 1 & 2 \\ 2 & 1 & 2 \end{bmatrix}$ | $D$ | $\begin{bmatrix} 0 & 0 & 0 \\ 1 & 1 & 2 \\ 2 & 2 & 1 \end{bmatrix}$ |
| $E$ | $\begin{bmatrix} 0 & 0 & 0 \\ 2 & 2 & 1 \\ 1 & 1 & 2 \end{bmatrix}$ | $F$ | $\begin{bmatrix} 0 & 0 & 0 \\ 2 & 2 & 1 \\ 1 & 2 & 1 \end{bmatrix}$ |
| $G$ | $\begin{bmatrix} 0 & 0 & 0 \\ 2 & 2 & 1 \\ 2 & 1 & 1 \end{bmatrix}$ | $H$ | $\begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 1 \\ 2 & 2 & 2 \end{bmatrix}$ |
| $I$ | $\begin{bmatrix} 0 & 0 & 1 \\ 1 & 1 & 2 \\ 2 & 0 & 2 \end{bmatrix}$ | $J$ | $\begin{bmatrix} 0 & 0 & 2 \\ 0 & 2 & 2 \\ 1 & 1 & 1 \end{bmatrix}$ |
| $K$ | $\begin{bmatrix} 0 & 0 & 2 \\ 2 & 2 & 1 \\ 1 & 0 & 1 \end{bmatrix}$ | $L$ | $\begin{bmatrix} 0 & 1 & 0 \\ 1 & 1 & 2 \\ 2 & 0 & 2 \end{bmatrix}$ |

Table 2: All unique permutation matrices of length  $N_c = 9$  for weight-3 RTZ inputs

and

$$\begin{bmatrix} 0 & 0 & 0 \\ 2 & 2 & 2 \\ 1 & 1 & 1 \end{bmatrix}$$

Moving foward we will use the permutation matrix

$$\mathbf{\Pi}^{(0)} = \begin{bmatrix} 0 & 0 & 0 \\ 1 & 1 & 1 \\ 2 & 2 & 2 \end{bmatrix}$$

in all our considerations. It was chosen because in the design process, we only need to focus on only one of the cosets, say  $\mathbb{C}^0$  and replicate the results for the remaining cosets.

### 0.3.4 Higher Weight RTZ inputs

Higher weight RTZ inputs are made up of some combination of W2RTZs and/or W3RTZs. For example a W4RTZ is a combination of 2 W2RTZs, a W5RTZ is composed of a W2RTZ and a W3RTZ, whiles a W6RTZ is made up of either 3W2RTZs or 2W3RTZs. As the weight of the RTZ inputs increase, it becomes difficult to find Permutation Matrices which can effectively get rid of these Higher Weight RTZ inputs. But with the the structure of the Permutation Matrix, we know exactly where these Higher Weight RTZ inputs occur. With that settled, we need to comeup with a formula to find the Hamming weight for these Higher Weight RTZ inputs and we only consider up to W4RTZs. From [SunTakeshita] we deduce that Hamming weight for a Turbo code produced as a result of a W4RTZ is given by

$$w_H^{(4)} = 12 + 2 \left( \frac{\Delta_{c1} + \Delta_{c2} + \Delta_{c'1} + \Delta_{c'2}}{\tau} \right) \quad (0-8)$$

| RTZ index | $l$ | $w_p$ | $w_H$                           |
|-----------|-----|-------|---------------------------------|
| (0 4 8)   | 2   | 6     | $11 + 2(\max(\beta', \gamma'))$ |
| (0 5 7)   | 2   | 6     | $11 + 2(\max(\beta', \gamma'))$ |
| (1 3 8)   | 2   | 6     | $11 + 2(\max(\beta', \gamma'))$ |
| (1 5 6)   | 1   | 4     | $9 + 2(\max(\beta', \gamma'))$  |
| (2 3 7)   | 1   | 4     | $9 + 2(\max(\beta', \gamma'))$  |
| (2 4 6)   | 1   | 4     | $9 + 2(\max(\beta', \gamma'))$  |
| (0 8 13)  | 4   | 10    | $15 + 2(\max(\beta', \gamma'))$ |
| (0 4 17)  | 5   | 12    | $17 + 2(\max(\beta', \gamma'))$ |
| (0 13 17) | 5   | 12    | $17 + 2(\max(\beta', \gamma'))$ |
| (0 7 14)  | 4   | 6     | $15 + 2(\max(\beta', \gamma'))$ |
| (0 5 16)  | 5   | 6     | $17 + 2(\max(\beta', \gamma'))$ |
| (0 14 16) | 5   | 6     | $17 + 2(\max(\beta', \gamma'))$ |
| (1 8 12)  | 3   | 8     | $13 + 2(\max(\beta', \gamma'))$ |
| (1 3 17)  | 5   | 12    | $17 + 2(\max(\beta', \gamma'))$ |
| (1 12 17) | 5   | 12    | $17 + 2(\max(\beta', \gamma'))$ |
| (1 6 14)  | 4   | 10    | $15 + 2(\max(\beta', \gamma'))$ |
| (1 5 15)  | 4   | 10    | $15 + 2(\max(\beta', \gamma'))$ |
| (1 14 15) | 4   | 10    | $15 + 2(\max(\beta', \gamma'))$ |
| (2 7 12)  | 3   | 8     | $13 + 2(\max(\beta', \gamma'))$ |
| (2 3 16)  | 4   | 10    | $15 + 2(\max(\beta', \gamma'))$ |
| (2 12 16) | 4   | 10    | $15 + 2(\max(\beta', \gamma'))$ |
| (2 6 13)  | 3   | 8     | $13 + 2(\max(\beta', \gamma'))$ |
| (2 4 15)  | 4   | 10    | $15 + 2(\max(\beta', \gamma'))$ |
| (2 13 15) | 4   | 10    | $15 + 2(\max(\beta', \gamma'))$ |

Table 3: All unique permutation matrices of length  $N_c = 9$  for weight-3 RTZ inputs

Due to the structure of  $\mathbf{\Pi}^{(0)}$  we can see that anytime  $\Delta_{c1} = \Delta_{c2} = 3$  a W4RTZ is produced and the above equation simplifies to

$$w_H^{(4)} = 12 + 2\left(2 + \frac{\Delta_{c'1} + \Delta_{c'2}}{\tau}\right) \quad (0-9)$$

In our design of  $\mathbb{C}^t$ , we need to ensure that  $\Delta_{c'1} \neq \Delta_{c1}$  and  $\Delta_{c'2} \neq \Delta_{c2}$

## 0.4 Coset Design

Once the permutation matrix is decided upon, we have the necessary constraints which will help us design  $\mathbb{C}^t$  with respect to W2RTZs, W3RTZ and W4RTZs. We will make use of the Almost Linear Interleaver (ALI) is the design of  $\mathbb{C}^0$ . The interleaving equation for the ALI(L,D) Interleaver is given by

$$\pi(h) = D \cdot h + \left\lfloor \frac{h}{A} \right\rfloor \bmod L$$

where  $A = L/C$  and  $C = \gcd(D, L)$  Also,  $D$  is the period of the interleaver and  $h = 0, 1, \dots, L-1$ . The value of a coset element at position  $h$  will be  $3\pi(h) + t$ . The resulting coset interleaver will be referred to by the notation CI(N,D)

### 0.4.1 Coset Design for W2RTZs

$w_H^{(2)}$  is calculated using (0-4) It is convinient to write  $\Delta_{c'}$  in terms of  $D$

$$c_{(h'+\alpha')}^t = 3 * (D(h + \alpha) + \left\lfloor \frac{h + \alpha}{A} \right\rfloor \bmod L)$$

and

$$c_{(h')}^t = 3 * (D(h) + \left\lfloor \frac{h}{A} \right\rfloor \bmod L)$$

where  $A = L/C$ ,  $C = \gcd(L, D)$ .  $A$  can take on 3 different values,  $L$ ,  $L/3$ ,  $3$ . if  $A = L$ ,  $L/3$   $\Delta_{c'}$  simplifies to

$$\Delta_{c'} = 3 * (D(\alpha) \bmod L)$$

else

$$\Delta_{c'} = 3 * (D(\alpha) + 1 \bmod L)$$

Again due to the choice of  $\Pi$ ,  $\alpha = \tau = 3$ , when  $A = L$ ,  $A = L/3$  we have

$$\begin{aligned} w_H^{(2)} &= 6 + 2 \left( 3 + \frac{3 * (D(\alpha) \bmod L)}{3} \right) \\ &= 6 + 2 \left( 3 + (D(\alpha) \bmod L) \right) \end{aligned}$$

else we have

$$\begin{aligned} w_H^{(2)} &= 6 + w_0 \left( 3 + \frac{3 * (D(\alpha) + 1 \bmod L)}{3} \right), \quad w_0 = 2 \\ &= 6 + 2 \left( 3 + (D(\alpha) + 1 \bmod L) \right) \end{aligned}$$

Combining all the equations gives us

$$w_H^{(2)} = \begin{cases} \min \left( 6 + 2 \left( 3 + (D(\alpha) \bmod L) \right), 6 + 2 \left( 3 + (L - (D(\alpha) \bmod L)) \right) \right), & A = L, \text{ or } A = L/3 \\ 6 + 2 \left( 3 + (D(\alpha) + 1 \bmod L) \right), & A = 3 \end{cases} \quad (0-10)$$

### 0.4.2 Coset Design for W3RTZs

For weight 3-RTZ inputs, the various equations are given in Table 3. The positions where a weight-3 inputs occur are known, but they are given with respect to the complete interleaver and need to be scaled down to a single coset. Let  $h$ ,  $h + \beta$ ,  $h + \gamma$  be the inputs representing where the weight-3 RTZ inputs occur due to  $\Pi^{(0)}$ . Then the scaled down versions will be  $h^{(0)}$ ,  $(h + \beta)^{(0)}$ ,  $(h + \gamma)^{(0)}$  and are calculated using the equation

$$f(x) = x \bmod 3 + 3 \left( \left\lfloor \frac{x}{9} \right\rfloor \right) \quad (0-11)$$

We feed  $h^{(0)}$ ,  $(h + \beta)^{(0)}$ ,  $(h + \gamma)^{(0)}$  into the ALI and we get

$$\mathbf{s} = (\pi(h^{(0)}), \pi((h + \beta)^{(0)}), \pi((h + \gamma)^{(0)})) - \min(\pi(h^{(0)}), \pi((h + \beta)^{(0)}), \pi((h + \gamma)^{(0)})) \quad (0-12)$$

and

$$l' = \max(\beta, \gamma) = \mathbf{s}_{\max} - \mathbf{s}_{\min} = \mathbf{s}_{\max} \quad (0-13)$$

Below is a summary of the steps involved in determining the Hamming weight for all weight-3 RTZ associated with  $\Pi^{(0)}$

1. convert  $h$ ,  $h + \beta$ ,  $h + \gamma$  into  $h^{(0)}$ ,  $(h + \beta)^{(0)}$ ,  $(h + \gamma)^{(0)}$  using (0-11)
2. input the indices into the ALI equation to obtain  $\mathbf{s}$  using (0-12)
3. find the value of  $l'$  and Hamming weight using (0-13) and corresponding equation in Table 3
4. repeat above steps for all RTZ-inputs in Table ?? and their shifted versions, where each shift is a multiple of  $\tau^2$
5. Finally, the least Hamming weight value associated with weight-3 RTZ inputs is selected.

### 0.4.3 Coset Design Extension to other Cosets

If we assume that the start position for all the cosets is the same, then the above calculations with respect to the weight-3 RTZ inputs are sufficient, however if we desire to adjust the start position for each coset a slight change in notation will be needed.

First off we adjust the notation for a Coset interleaver from  $\text{CI}(N, D)$  to  $\text{CI}(N, D, s_1, s_2)$  where  $s_1$ ,  $s_2$  indicate the start position for  $\mathbb{C}^1$ ,  $\mathbb{C}^2$  respectively. It is worth noting that the value of  $D$  used in the ALI(L,D) is the same for all the cosets, just that  $\mathbb{C}^1$ ,  $\mathbb{C}^2$  are shifted by  $s_1$ ,  $s_2$  respective positions to the right.

This means that values of  $h^{(0)}$ ,  $(h + \beta)^{(0)}$ ,  $(h + \gamma)^{(0)}$  need to be adjusted by 0,  $s_1$ ,  $s_2$  respectively. This statement is made assuming that  $h^{(0)}$ ,  $(h + \beta)^{(0)}$ ,  $(h + \gamma)^{(0)}$  are in  $\mathbb{C}^0, \mathbb{C}^1, \mathbb{C}^2$  respectively.

Below is a summary of the steps involved in determining the Hamming weight for all weight-3 RTZ associated with  $\Pi^{(0)}$  when  $s_1, s_2 > 0$

1. convert  $h$ ,  $h + \beta$ ,  $h + \gamma$  into  $h^{(0)}$ ,  $(h + \beta)^{(0)}$ ,  $(h + \gamma)^{(0)}$  using (0-11)
2. input the indices  $h^{(0)}$ ,  $(h + \beta)^{(0)} + s_1$ ,  $(h + \gamma)^{(0)} + s_2$  into the ALI equation to obtain  $\mathbf{s}$  using (0-12)

3. find the value of  $l'$  and Hamming weight using (0-13) and corresponding equation in Table 3
4. repeat above steps for all RTZ-inputs in Table 3 and their shifted versions, where each shift is a multiple of  $\tau^2$
5. Finally, the least Hamming weight value associated with weight-3 RTZ inputs is selected.

## 0.5 Simulation Results and Discussion

Simulations for the coset interleaver  $\text{CI}(N, D, s_1, s_2)$  interleaver are done for  $s_1, s_2 = 0$ . The values for  $D = \{28, 29, \dots, 35\}$ ,  $N = 261$  and

$$\Pi = \begin{bmatrix} 0 & 0 & 0 \\ 1 & 1 & 1 \\ 2 & 2 & 2 \end{bmatrix}$$

The minimum weight for each interleaver with respect to W2RTZs, W3RTZs and W4RTZs are shown in Table(??) and the simulation results are shown in Figure() As expected, the interleaver

| D  | $w_H^{(2)}$ | $w_H^{(3)}$ | $w_H^{(4)}$ |
|----|-------------|-------------|-------------|
| 28 | 18          | 115         | 14          |
| 29 | 14          | 123         | 14          |
| 30 | 18          | 121         | 14          |
| 31 | 24          | 103         | 14          |
| 32 | 30          | 89          | 14          |
| 33 | 36          | 75          | 14          |
| 34 | 42          | 61          | 14          |
| 35 | 48          | 49          | 14          |

Table 4: Minimum Hamming weight for weight-2 and weight-3 RTZ using  $\text{CI}(N, D, s_1, s_2)$ , where  $s_1, s_2 = 0$

designed with  $\text{CI}(261, 29)$  performs the worst, but the performance of the other interleavers does not perform as expected of the data from Table 4. Further examination of the simulation results reveals that even though the other interleavers have high Hamming weight related to weight-2 and weight-3 RTZ inputs, the minimum distance of the code seem to be bound at by RTZ inputs with higher weights, specifically those of weight-4.

Since the start index for picking the elements for all the cosets are the same, this causes well separated pre-interleaving inputs to be bunched together post-interleaving. This is shown in the graph of the input output relation of any of the interleavers.

This can be remedied by making sure that the start position for the other cosets are different and this can be accomplished by shifting the elements of the cosets other than  $\mathbb{C}^0$  a certain value to the left. Let  $a, b$  be the factor by which the  $\mathbb{C}^1$  and  $\mathbb{C}^2$  are shifted. We proceed to re-design  $\text{CI}(23, 0, 0)$  by introducing  $s_1 = 30$  and  $s_2 = 7$ . The simulation results for  $\text{CI}(261, 23, 0, 0)$  and  $\text{CI}(261, 23, 30, 7)$  are shown in Figure() as can be seen adjusting the start position of the other cosets, the error-correcting performance is greatly improved but the Hamming distance for the turbo code is again bounded by weight-4 RTZ inputs. A specific example is that the weight-4 input of the form  $(1 + x^\tau) + (x^{155})(1 + x^\tau)$  is transformed into another weight-4 RTZ input of the form  $(1 + x^\tau) + (x^{244})(1 + x^\tau)$ . Then

$$w_H = w_m + w_p + w_{p'} = 4 + 8 + 8 = 20$$

. This means that with this with this design, the condition  $\Delta_{c'1} \neq \Delta_{c1}$  and  $\Delta_{c'2} \neq \Delta_{c2}$  was not met. In the next section, we attempt an an extra step to the coset redesign in order increase the value of  $w_H^{(4)}$

## 0.6 Coset Design for W4RTZs

The coset interleaver with parameters  $D, s_1, s_2$  carefully chosen is able to effectively deal with W2RTZs and W3RTZs. It is however unable to effectively deal with weight-4 RTZ inputs. The composition of all the cosets is the same and this brings about regularity characteristic in the overall interleaver. This regularity while desirable makes it difficult for W4RTZ to be effectively broken by the interleaver. To understand how the current coset design affects W4RTZs, we need to see the inner workings of the coset as it relates to the permutation matrix.

### 0.6.1 Inner workings of coset design

When using  $\Pi^{(0)}$ , there consecutive elements are taken from each coset one coset at a time. We can therefore further divide each coset into 3 inner cosets, placing the consecutive elements in different cosets. These inner cosets when focusing on  $\mathbb{C}^0$  are represented by  $\mathbb{C}^{0t'}$ ,  $t' = \{0, 1, 2\}$ . The elements in  $\mathbb{C}^0$  can be written as  $3(\pi(h)) + 0$ ,  $h = \{0, 1, \dots, L-1\}$ , where  $\pi(h)$  is given by (0-4). To see how the elements are placed in  $\mathbb{C}^{0t'}$ , we rewrite (0-4) as

$$\pi(h) = D(3m + t') + \lfloor \frac{3m + t'}{A} \rfloor \bmod L, \quad m = \{0, 1, \dots, M-1\} \quad (0-14)$$

### 0.6.2 Dealing with weight-4 RTZ inputs

To deal with weight-4 RTZ inputs we need to introduce some kind of controlled irregularity into our design of the coset interleaver. This is achieved by dividing each coset  $\mathbb{C}^t$  further into  $\tau$  cosets and applying a little bit of manipulation as will be explained below. Let's begin by focusing on  $\mathbb{C}^{0t}$ . The following notations will be used.

1.  $D_0$  is the angular shift and is the same for all  $\mathbb{C}^{tt'}$  and is used as a parameter in the ALI
2.  $D_1, D_2$  represent to first element in  $\mathbb{C}^{01}$  and  $\mathbb{C}^{02}$  respectively.

For each element in  $\mathbb{C}^{00}$  is calculated as

$$c_m^{00} = D_0 m + \lfloor \frac{m}{A} \rfloor \bmod M$$

, where

$$A = M/C, \quad C = \gcd(M, D_0)$$

. Elements in  $\mathbb{C}^{01}$  and  $\mathbb{C}^{02}$  are calculated as follows

$$c_m^{01} = D_0 m + \lfloor \frac{m}{A} \rfloor + D_1 \bmod M$$

and

$$c_m^{02} = D_0 m + \lfloor \frac{m}{A} \rfloor + D_2 \bmod M$$

With respect to  $\mathbb{C}^{1t'}$  and  $\mathbb{C}^{2t'}$  we have

$$c_m^{10} = c_m^{01}, \quad c_m^{11} = c_m^{02}, \quad c_m^{12} = c_m^{00}$$

and

$$c_m^{20} = c_m^{02}, \quad c_m^{21} = c_m^{00}, \quad c_m^{22} = c_m^{01}$$



respectively. To obtain the corresponding values for  $\mathbb{C}^t$ , we perform the simple operation shown below

$$c_h^t = 3(3c_m^{tt'} + t') + t \quad (0-15)$$

where

$$m = \left\lfloor \frac{h}{\tau} \right\rfloor, \quad t' = \text{mod}(m, \tau), t = 0, 1, \dots, \tau - 1, \quad h = 0, 1, \dots, C - 1$$

After the index sets  $\mathbb{C}^t$  have been determined,  $s_1, s_2$  may be used to further adjust the start point of  $\mathbb{C}^1$  and  $\mathbb{C}^2$  respectively. The above process introduces some chaos into  $\mathbb{C}^t$  which will be useful in dealing with weight-4 RTZ inputs. The problem now is how to select the parameters  $D_1, D_2, D_3, s_1, s_2$

### 0.6.3 Weight-2 RTZ inputs Hamming Weight

$w_H$  for weight-2 RTZ inputs is easily determined. Using (0-15) we can determine the value of  $\Delta_{c'}$ . Without loss of generality, we set  $h = 0$  and we have

$$\begin{aligned} c_{(h)}^t &= 3(3c_0^{tt'} + t') + t \\ c_{(h+3)}^t &= 3(3c_1^{tt'} + t') + t \\ \Delta_{c'} &= 3(3c_1^{tt'} + t') + t - (3(3c_0^{tt'} + t') + t) \\ &= 9c_1^{tt'} + 3t' + t - (9c_0^{tt'} + 3t' + t) \\ &= 9(c_1^{tt'} - c_0^{tt'}) \\ &= 9D_0 \end{aligned} \quad (0-16)$$

Upon substitution, our Hamming weight equation becomes

$$\begin{aligned} w_H^{(2)} &= 6 + 2\left(3 + \frac{9D_0}{3}\right) \\ &= 6 + 2(3 + 3D_0) \\ &= 6 + 6(1 + D_0) \end{aligned} \quad (0-17)$$

### 0.6.4 Weight-3 RTZ inputs Hamming Weight

The choice of  $\Pi$ , we are able to know exactly which pre-interleaving weight-3 inputs will generate post-interleaved weight-3 RTZ inputs. Let us represent the pre-interleaving weight-3 RTZ inputs by the vector  $\mathbf{x} = (x_0, x_1, x_2)$ . Also  $t, l, m, t'$  in (0-15) are calculated using the following equations

$$\begin{aligned} t_i &= x_i \bmod 3 \\ h_i &= \left\lfloor \frac{x_i}{3} \right\rfloor \\ m_i &= \left\lfloor \frac{h_i}{3} \right\rfloor \\ t'_i &= m_i \bmod 3 \end{aligned} \quad (0-18)$$

In calculating the corresponding hamming weight for the weight-3 RTZ we only need the  $3c_m^{tt'} + t'$  portion of 0-15 and the corresponding vector  $\mathbf{y}$  as a result of feeding  $\mathbf{x}$  into it is given by

$$\mathbf{y} = \left( (3c_{m_0}^{t_0 t'_0} + t'_0, 3c_{m_1}^{t_1 t'_1} + t'_1, 3c_{m_2}^{t_2 t'_2} + t'_2) - \min(3c_{m_0}^{t_0 t'_0} + t'_0, 3c_{m_1}^{t_1 t'_1} + t'_1, 3c_{m_2}^{t_2 t'_2} + t'_2) \right)$$

and  $l' = \max(\beta, \gamma) = y_{\max}$