#### **Neural Networks**

モデル化とシミュレーション特論 2023 年度前期 佐賀大学理工学研究科 只木進一

- 1 Introduction: Neurons and Brains
- Mathematical model of neurons
- Perceptron
- 4 3-layer perceptron

#### Introduction: Neurons and Brains

- Neural networks (神経回路網)
  - Generate collective responses to stimuli (刺激).
- Single cell organisms (単細胞生物)
  - Lacks neurons
  - Respond to external stimuli.
- Plants (植物)
  - Lacks neurons
- Multi-cell animals (多細胞動物)
  - Neurons through cell differentiation (細胞分化)

#### Neural systems in multi-cell animals

- Poriferan (海綿動物) and Placozoa (平板動物)
  - Lacks neurons
  - Minimum cell differentiation
- Animals with scattered neural systems (散在神経系)
  - Neural network on body surface
  - Not posses a central neural system (中枢神経)
  - Coelenterate (腔腸動物): jellyfish (くらげ), coral (さんご) etc.

# Animals with cage-shaped neural system (かご型神経系をもつ動物)

- Ganglion (神経節) as a center of neural system at head
- Cage-shaped neural network on body surface
- Flatworm (扁形動物): Planaria (プラナリア) etc.

# Animals with ladder-shaped neural system (はしご型神経系をもつ動物)

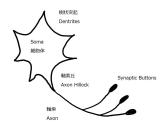
- Brains evolved through ganglion at heads
- Central neural system at abdomens (腹面)
- Ganglions at each somites (体節)
- Arthropod (節足動物): insects (昆虫) etc.
- Annelid (環形動物): earthworm (ミミズ) etc.

# Animals with tubular neural system (管状神経系をもつ動物)

- Brains evolved through ganglion at heads
- Central neural system at body center
- Chordate (脊索動物)
- Vertebrate (脊椎動物)

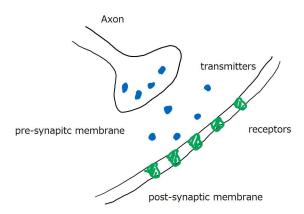
# Neurons (神経細胞)

- Receive pulses from other neurons through synapses
- Electric pulses using ions
- Coding scheme are not clearly understood
- Two states: fire and rest
- Neuron fires if pulses from other neurons exceed some threshold



- Soma (細胞体)
  - Keep living activities such as normal cells
- Dendrite (樹状突起)
  - Receive pulses from other neurons
- Axon (軸索)
  - Send pulses to other neurons
  - Tip divided into 10,000 synaptic buttons for human neurons
- Cell division almost finished during early childhood
- Body cells keep dividing lifelong

# Synapse



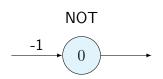
#### McCulloch-Pitts model

ullet Stimuli for neuron j from neurons i

$$x_j = \phi\left(\sum_i w_{ji} x_i - h_j\right) \tag{2.1}$$

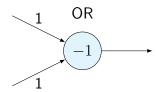
- $x_i$ : output from neuron i
- $w_{ii}$ : synaptic connection
- $h_j$ : threshold
- $\bullet \ \phi$  : response function, usually has a sigmoidal shape

## McCulloch-Pitts neuron as a logical gate



1 AND 1/2

- $\bullet$  Encode  $\{T,F\}$  by  $\{1,-1\}$
- Threshold values are shown as numerical values in nodes
- Assume step response functions.



### logic gates

Step function

$$\theta(x) = \begin{cases} 1 & x \ge 0 \\ -1 & x < 0 \end{cases}$$

NOT

$$\theta(-x - 0) = \begin{cases} -1 & x = 1\\ 1 & x = -1 \end{cases}$$

AND

$$\theta\left(x+y-\frac{1}{2}\right) = \begin{cases} 1 & x=1, \ y=1\\ -1 & \text{otherwise} \end{cases}$$

• OR

$$\theta\left(x+y-1\right) = \begin{cases} -1 & x=0, \ y=0\\ 1 & \text{otherwise} \end{cases}$$

13/37

(2.5)

(2.2)

(2.3)

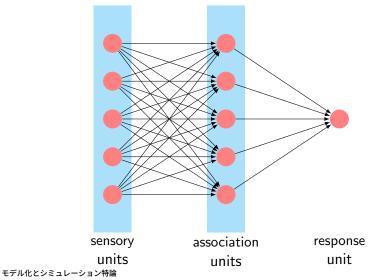
(2.4)

## Classes in model package

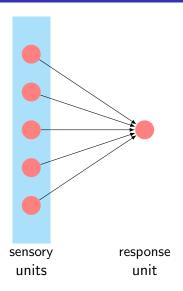
- Neuron Class
  - Constructor with weight and response function
  - response() with input
- McCullochPitts class
  - Show response of AND, OR, and NOT gates.
- CorrectResponse class
- AbstractMultiLayer class

# Perceptron : Rosenblatt (1966)

Learning and recognition by neural networks



# Two-layer perceptron



#### Two-layer perceptron

- Response unit receives  $\{a_i\}$  from sensory units
- ullet Response unit outputs  $\eta$

$$\eta = \theta \left( \sum_{j} \xi_{j} a_{j} \right) \tag{3.1}$$

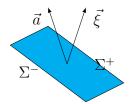
- $\xi_i$ : weight for input
- The threshold is placed as the last element of the weight. And the last element of input is constant.
- $\bullet$   $\theta$  : Step function

$$\theta\left(\vec{\xi}\cdot\vec{a}\right) = \begin{cases} 1 & \vec{\xi}\cdot\vec{a} \ge 0\\ -1 & \vec{\xi}\cdot\vec{a} < 0 \end{cases}$$
(3.2)

#### Linearly Separability

- ullet Consider a input space spanned by  $ec{a}$
- ullet Divide the space by a hyper space normal to the weight vector  $ec{\xi}$

$$\begin{cases} \vec{\xi} \cdot \vec{a} \ge 0 & \vec{a} \in \Sigma^+ \\ \vec{\xi} \cdot \vec{a} < 0 & \vec{a} \in \Sigma^- \end{cases}$$
 (3.3)



#### Learning by error-correction

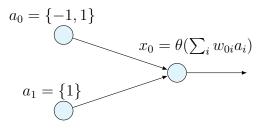
$$\begin{cases} \vec{\xi} \to \vec{\xi} + c\vec{a} & \text{if } \eta = -1 \text{ for } \vec{a} \in \Sigma^+ \\ \vec{\xi} \to \vec{\xi} - c\vec{a} & \text{if } \eta = 1 \text{ for } \vec{a} \in \Sigma^- \end{cases}$$
(3.4)

• Correct reusponce  $\eta_{\rm corect}$ 

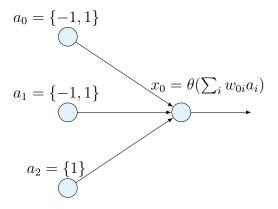
$$\eta_{\text{correct}} = \begin{cases} 1 & \vec{a} \in \Sigma^+ \\ -1 & \vec{a} \in \Sigma^- \end{cases}$$
(3.5)

$$\vec{\xi} \to \vec{\xi} - \frac{c}{2} \left( \eta - \eta_{\text{correct}} \right) \vec{a}$$
(3.6)

## Example: Perceptron learning NOT gate



## Example: Perceptron learning AND and OR gates

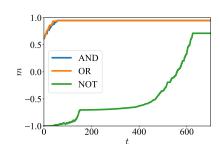


## Classes in twoLayer package

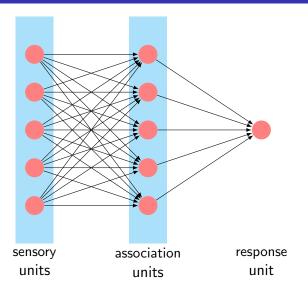
- TwoLayer class: general two layer model
- LearningLogicGate class: general learning model
- LearningAndGate, LearningOrGate, and LearningNotGate

#### **Experiments**

- $\bullet$   $\vec{\xi_0}$  : Correct answers
- $\vec{\xi} : Initial random vectors$
- At each learning step
  - learning
  - o normalize vector
  - **3** evaluation:  $m = \vec{\xi_0} \cdot \vec{\xi}$



### 3-layer perceptron



#### 3-layer perceptron

- Outputs from sensory units:  $\{a_i\}$
- Outputs from association units:  $\{x_i\}$

$$x_i = f\left(\sum_j w_{ij} a_j\right) \tag{4.1}$$

 $w_{ij}$ : weight

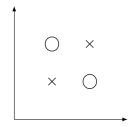
• Output from response unit:  $\eta$ 

$$\eta = g\left(\sum_{k} s_k x_i\right) \tag{4.2}$$

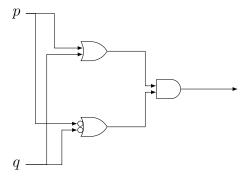
 $s_k$ : weight

# Example XOR Note that XOR is not liniearly separable

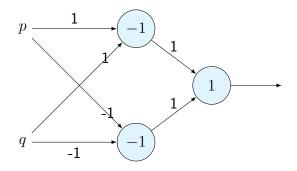
p	q	p  XOR  q
0	0	0
0	1	1
1	0	1
1	1	0



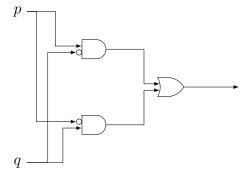
# Example: XOR logical circuit



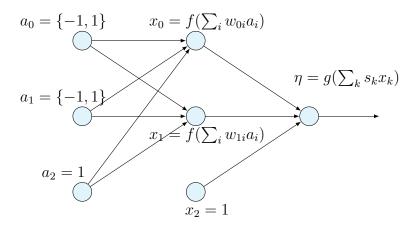
# Implement as 3-layer perceptron of XOR



## Example: XOR logical circuit: another version



#### Real implementation



#### Back propagation method

Minimize square error

$$E = \frac{1}{2} \left( \eta - \eta_{\text{correct}} \right)^2 \tag{4.3}$$

Continuous output from any elements

$$x_i = f\left(\sum_j w_{ij} a_j\right)$$

$$\eta = g\left(\sum_{k} s_k x_k\right)$$

(4.5)

(4.4)

#### Update weights in response unit

$$\frac{\partial E}{\partial s_k} = (\eta - \eta_{\text{correct}}) g' \left( \sum_j s_j x_j \right) x_k$$

$$\equiv r x_k \tag{4.6}$$

$$s_k \to s_k - crx_k \tag{4.7}$$

## Update weights in association unit

$$\frac{\partial E}{\partial w_{ij}} = (\eta - \eta_{\text{correct}}) g' \left( \sum_{k} s_k x_k \right) s_i f' \left( \sum_{\ell} w_{i\ell} a_{\ell} \right) a_j$$

$$\equiv \tilde{r}_i a_j \tag{4.8}$$

$$\tilde{r}_i \equiv r s_i f'\left(\sum_{\ell} w_{i\ell}\right) \tag{4.9}$$

$$w_{ij} \to w_{ij} - c\tilde{r}_i a_j \tag{4.10}$$

- Errors seem to propagate backwardly from the response unit to the association units
- Updates possibly be trapped at local minima

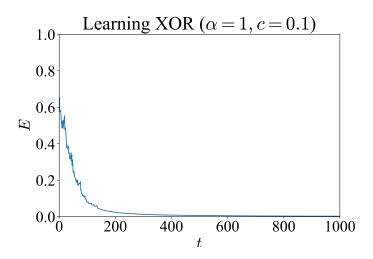
#### Simulation setups

Response function

$$f(x) = g(x) = \tanh(\alpha x) \tag{4.11}$$

- random initial value: $\{w_{ij}\}$ ,  $\{s_k\}$
- Observe error at every step

$$\bar{E} = \frac{1}{4} \sum_{a_0 = \{-1,1\}} \sum_{a_1 = \{-1,1\}} \frac{1}{2} (\eta - \eta_{\text{correct}})^2$$
 (4.12)



#### Responce of output unit

Output unit works like AND gate.

#### Responces of associative units

p	q	$o_1$	$o_2$
-1	-1	-0.92	1
-1	1	0.85	0.84
1	-1	0.86	0.85
1	1	1	-0.92

The 1st unit works like  $p \vee q$ . and 2nd one  $\neg p \vee \neg q$ .