CS 4700 / CS 5700 Network Fundamentals

Lecture 11: Transport (UDP, but mostly TCP)

Transport Layer

Application Presentation Session **Transport** Network Data Link Physical

- Function:
 - Demultiplexing of data streams
- Optional functions:
 - Creating long lived connections
 - Reliable, in-order packet delivery
 - Error detection
 - Flow and congestion control
- Key challenges:
 - Detecting and responding to congestion
 - Balancing fairness against high utilization

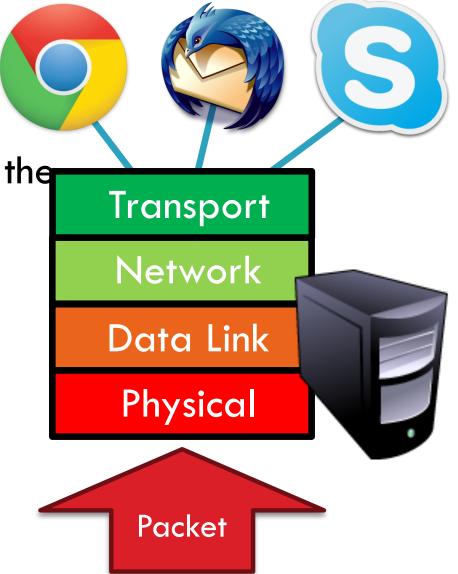
- UDP
- TCP
- Congestion Control
- Evolution of TCP
- Problems with TCP

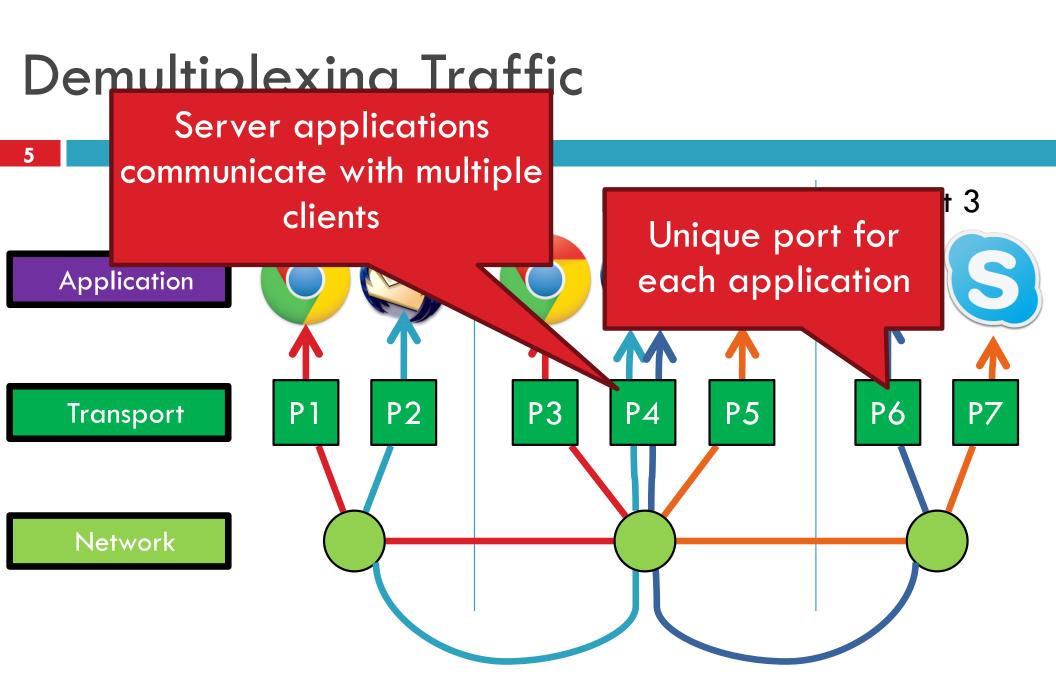
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- Datagram network
 - No circuits
 - No connections

Clients run many applications at the same time

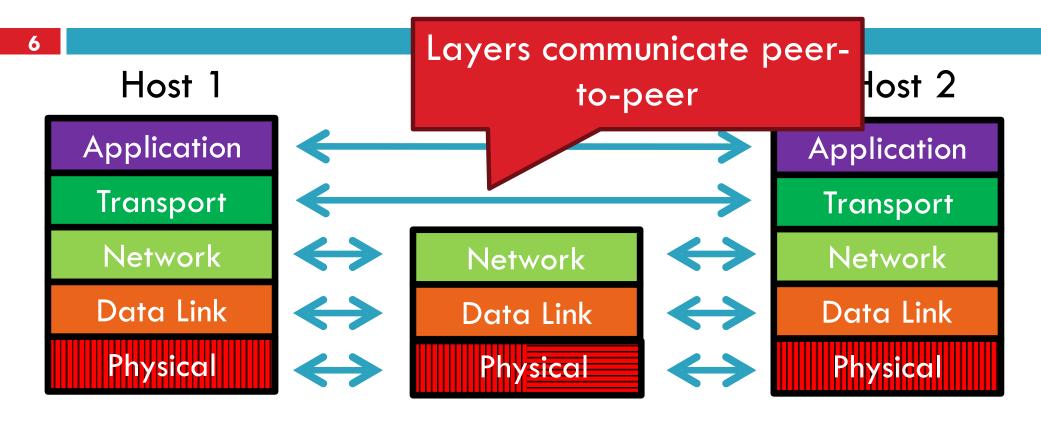
- Who to deliver packets to?
- Using IP header "protocol" field?
 - \blacksquare 8 bits = 256 concurrent streams
- Insert Transport Layer to handle demultiplexing





Endpoints identified by <src_ip, src_port, dest_ip, dest_port>

Layering, Revisited



- Lowest level end-to-end protocol (in theory)
 - Transport header only read by source and destination
 - Routers view transport header as payload

User Datagram Protocol (UDP)

O 16 31
Source Port Destination Port
Payload Length Checksum

- Simple, connectionless datagram
 - C sockets: SOCK_DGRAM
- Port numbers enable demultiplexing
 - \square 16 bits = 65535 possible ports
 - Port 0 is invalid
- Checksum for error detection
 - Detects (some) corrupt packets
 - Does not detect dropped, duplicated, or reordered packets

- Invented after TCP
 - Why?
- Not all applications can tolerate TCP
- Custom protocols can be built on top of UDP
 - Reliability? Strict ordering?
 - Flow control? Congestion control?
- Examples
 - RTMP, real-time media streaming (e.g. voice, video)
 - Facebook datacenter protocol
 - Why?

- UDP
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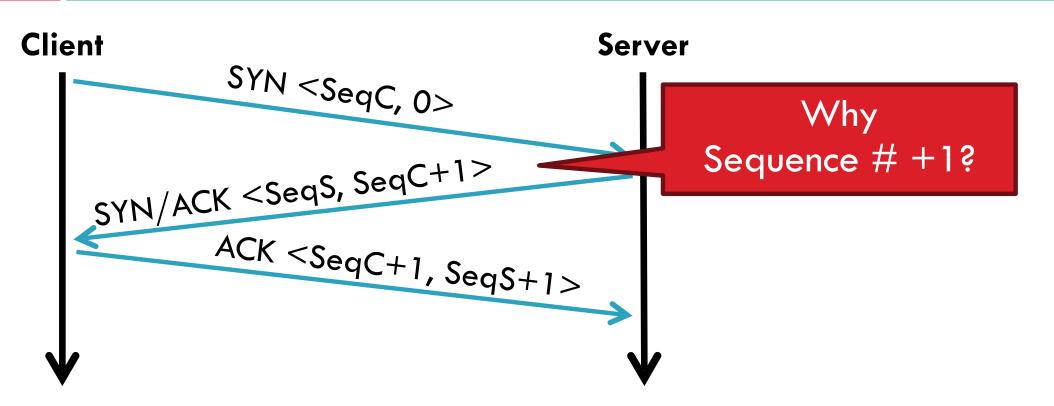
- Reliable, in-order, bi-directional byte streams
 - Port numbers for demultiplexing
 - Virtual circuits (connections)
 - Flow control
 - Congestion control, approximate fairness

0 4	1	6 3
Source Port		Destination Port
Sequence Number		
Acknowledgement Number		
HLen	Flags	Advertised Window
Checksum		Urgent Pointer
Options		

Connection Setup

- Why do we need connection setup?
 - To establish state on both hosts
 - Most important state: sequence numbers
 - Count the number of bytes that have been sent
 - Initial value chosen at random
 - Why?
- Important TCP flags (1 bit each)
 - SYN synchronization, used for connection setup
 - ACK acknowledge received data
 - □ FIN finish, used to tear down connection

Three Way Handshake

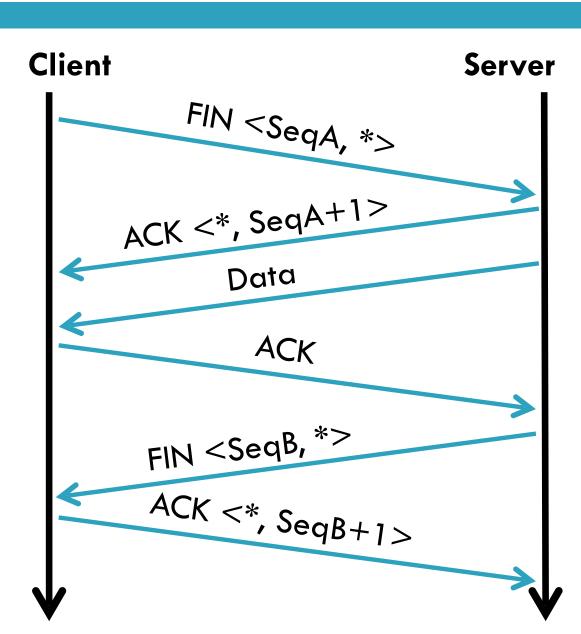


- Each side:
 - Notifies the other of starting sequence number
 - ACKs the other side's starting sequence number

Connection Setup Issues

- Connection confusion
 - How to disambiguate connections from the same host?
 - Random sequence numbers
- Source spoofing
 - Need good random number generators!
- Connection state management
 - Each SYN allocates state on the server
 - SYN flood = denial of service attack
 - Solution: SYN cookies

- Either side can initiate tear down
- Other side may continue sending data
 - □ Half open connection
- Acknowledge the last FIN
 - Sequence number + 1

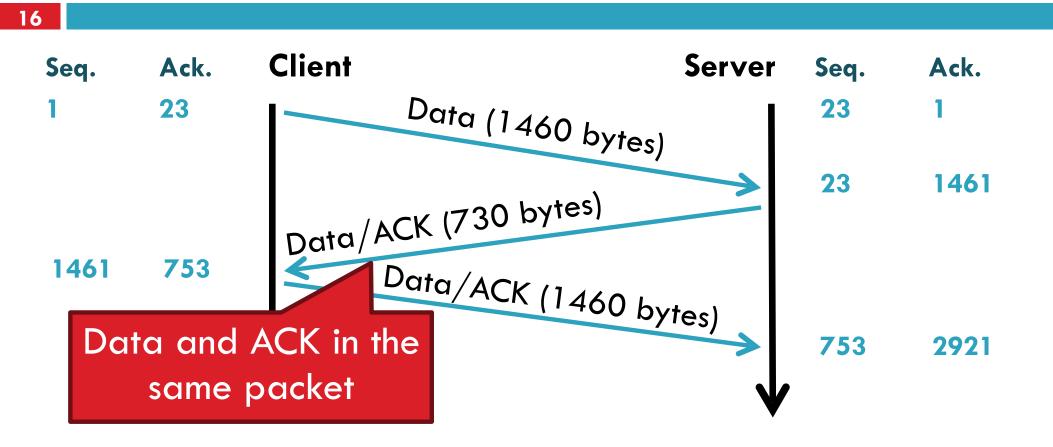


- TCP uses a byte stream abstraction
 - Each byte in each stream is numbered
 - 32-bit value, wraps around
 - Initial, random values selected during setup
- Byte stream broken down into segments (packets)
 - Size limited by the Maximum Segment Size (MSS)
 - Set to limit fragmentation
- Each segment has a sequence number

13450 14950 16050 17550

Segment 8 Segment 9 Segment 10

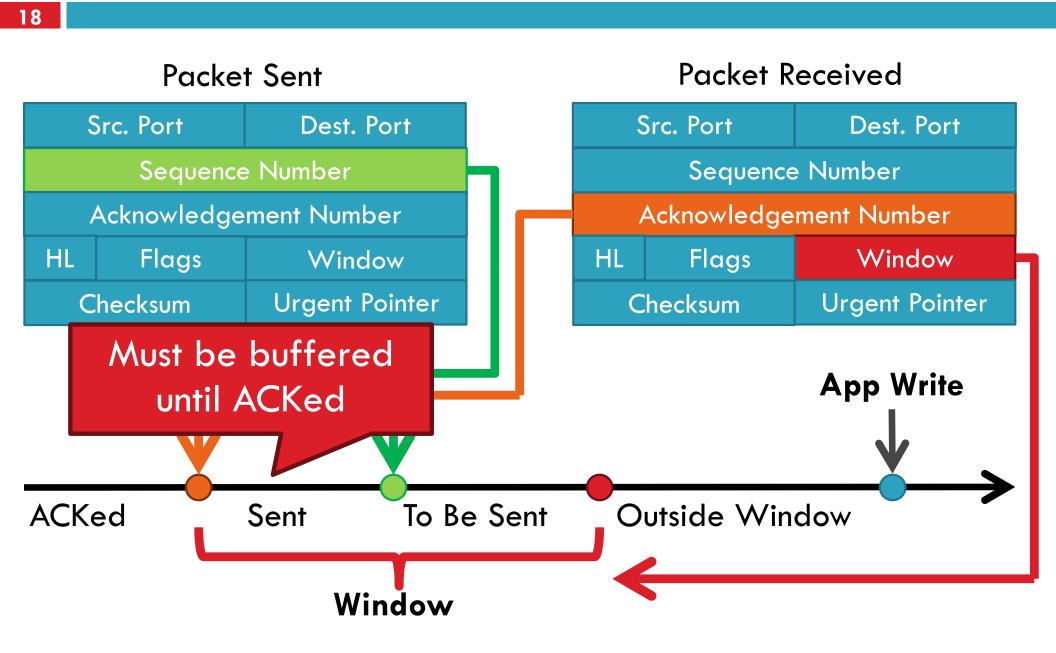
Bidirectional Communication



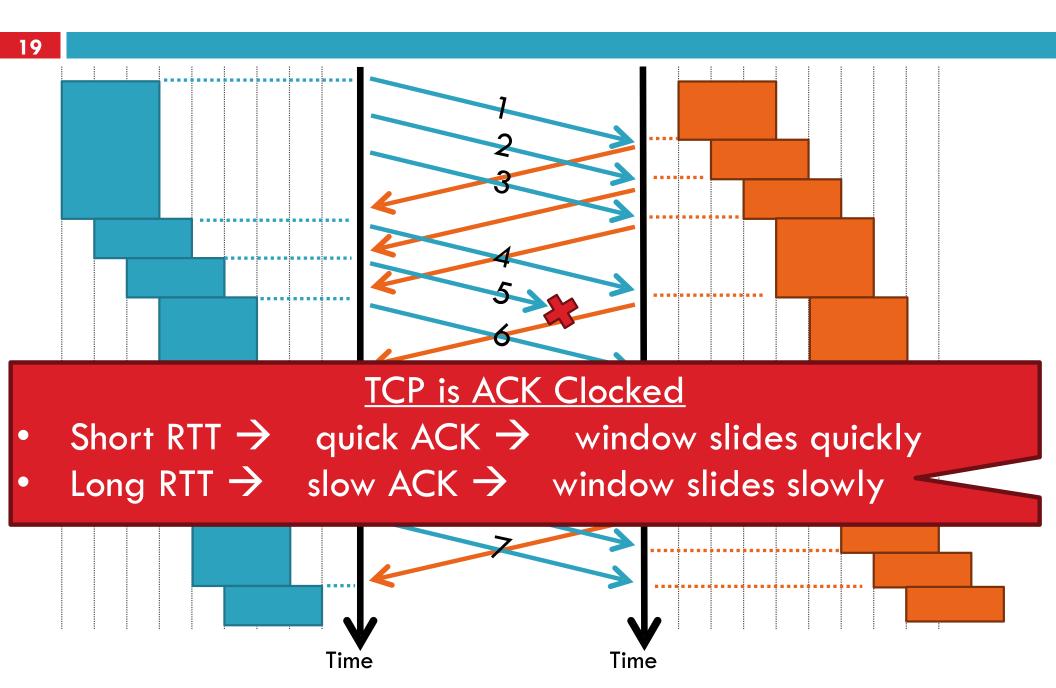
- Each side of the connection can send and receive
 - Different sequence numbers for each direction

- Problem: how many packets should a sender transmit?
 - Too many packets may overwhelm the receiver
 - Size of the receivers buffers may change over time
- Solution: sliding window
 - Receiver tells the sender how big their buffer is
 - Called the advertised window
 - □ For window size n, sender may transmit n bytes without receiving an ACK
 - After each ACK, the window slides forward
- Window may go to zero!

Flow Control: Sender Side



Sliding Window Example



What Should the Receiver ACK?

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- ACK every packet
- 2. Use cumulative ACK, where an ACK for sequence n implies ACKS for all k < n
- 3. Use *negative ACKs* (NACKs), indicating which packet did not arrive
- 4. Use selective ACKs (SACKs), indicating those that did arrive, even if not in order
 - SACK is an actual TCP extension

Sequence Numbers, Revisited

- 32 bits, unsigned
 - Why so big?
- For the sliding window you need...
 - | Sequence # Space | > 2 * | Sending Window Size |
 - 232 > 2 * 216
- Guard against stray packets
 - IP packets have a maximum segment lifetime (MSL) of 120 seconds
 - i.e. a packet can linger in the network for 2 minutes
 - Sequence number would wrap around

Silly Window Syndrome

- Problem: what if the window size is very small?
 - Multiple, small packets, headers dominate data



- Equivalent problem: sender transmits packets one byte at a time
 - 1. for (int x = 0; x < strlen(data); ++x)
 - write(socket, data + x, 1);

- If the window \geq MSS and available data \geq MSS: Send a full
 - Send the data
- Elif there is unACKed data: packet Enqueue data in a buffer (send atter a timeout)
- Else: send the data

Send a non-full packet if nothing else is happening

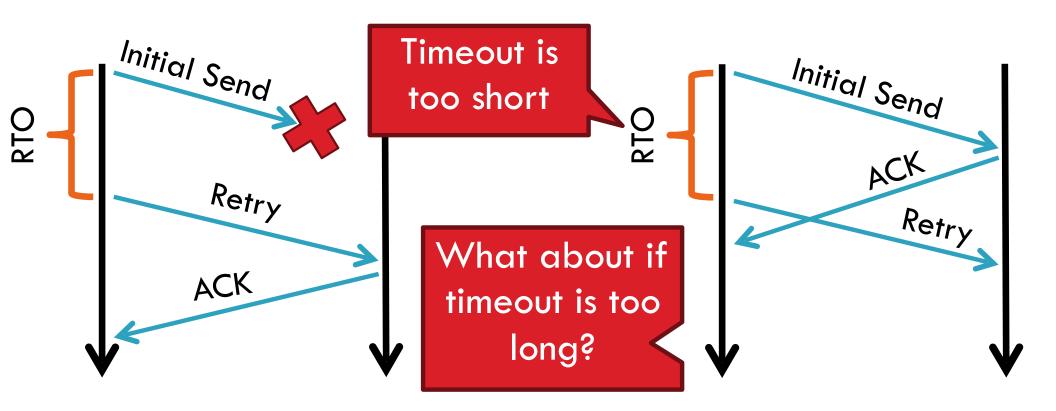
(char

- Problem: Nagle's Algorithm delays transmissions
 - What if you need to send a packet immediately?
 - int flag = 1;
 - setsockopt(sock, IPPROTO_TCP, TCP_NODELAY, *) &flag, sizeof(int));

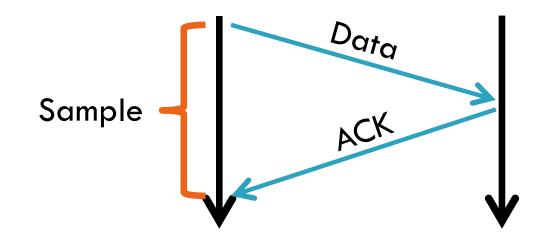
- Checksum detects (some) packet corruption
 - Computed over IP header, TCP header, and data
- Sequence numbers catch sequence problems
 - Duplicates are ignored
 - Out-of-order packets are reordered or dropped
 - Missing sequence numbers indicate lost packets
- Lost segments detected by sender
 - Use timeout to detect missing ACKs
 - Need to estimate RTT to calibrate the timeout
 - Sender must keep copies of all data until ACK

Retransmission Time Outs (RTO)

Problem: time-out is linked to round trip time

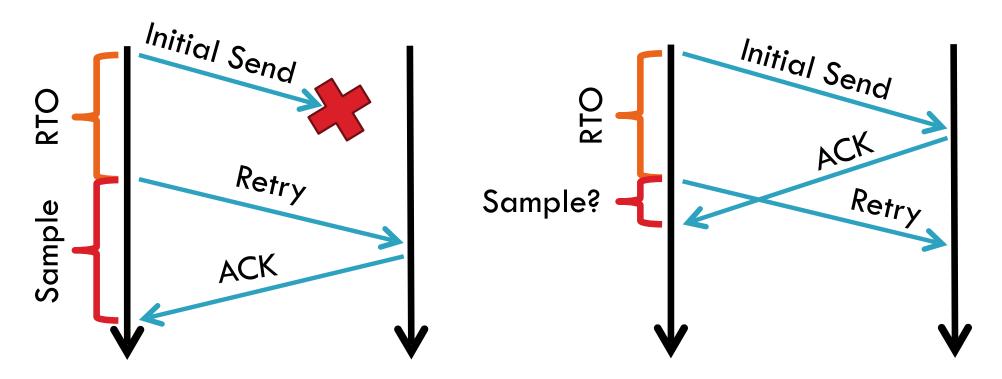


Round Trip Time Estimation



- Original TCP round-trip estimator
 - RTT estimated as a moving average
 - \square new_rtt = α (old_rtt) + (1 α)(new_sample)
 - Recommended α: 0.8-0.9 (0.875 for most TCPs)
- RTO = 2 * new_rtt (i.e. TCP is conservative)

RTT Sample Ambiguity



Karn's algorithm: ignore samples for retransmitted segments

Outline

- UDP
- TCP
- Congestion Control
- Evolution of TCP
- Problems with TCP

What is Congestion?

- Load on the network is higher than capacity
 - Capacity is not uniform across networks
 - Modem vs. Cellular vs. Cable vs. Fiber Optics
 - There are multiple flows competing for bandwidth
 - Residential cable modem vs. corporate datacenter
 - Load is not uniform over time
 - 10pm, Sunday night = Bittorrent Game of Thrones

Why is Congestion Bad?

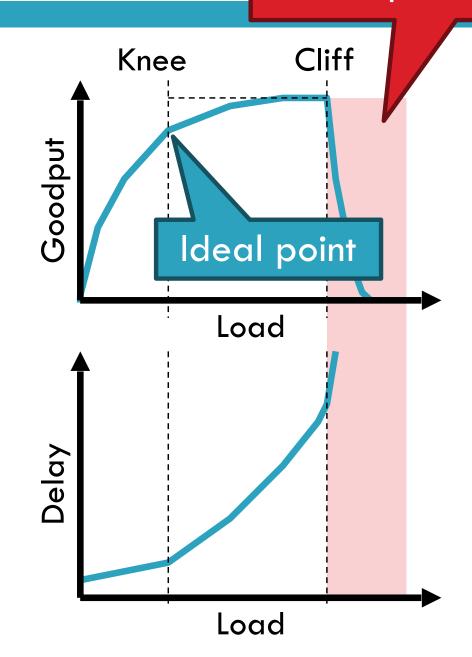
- Results in packet loss
 - Routers have finite buffers
 - Internet traffic is self similar, no buffer can prevent all drops
 - When routers get overloaded, packets will be dropped
- Practical consequences
 - Router queues build up, delay increases
 - Wasted bandwidth from retransmissions
 - Low network goodput

The Danger of Increasing Loc

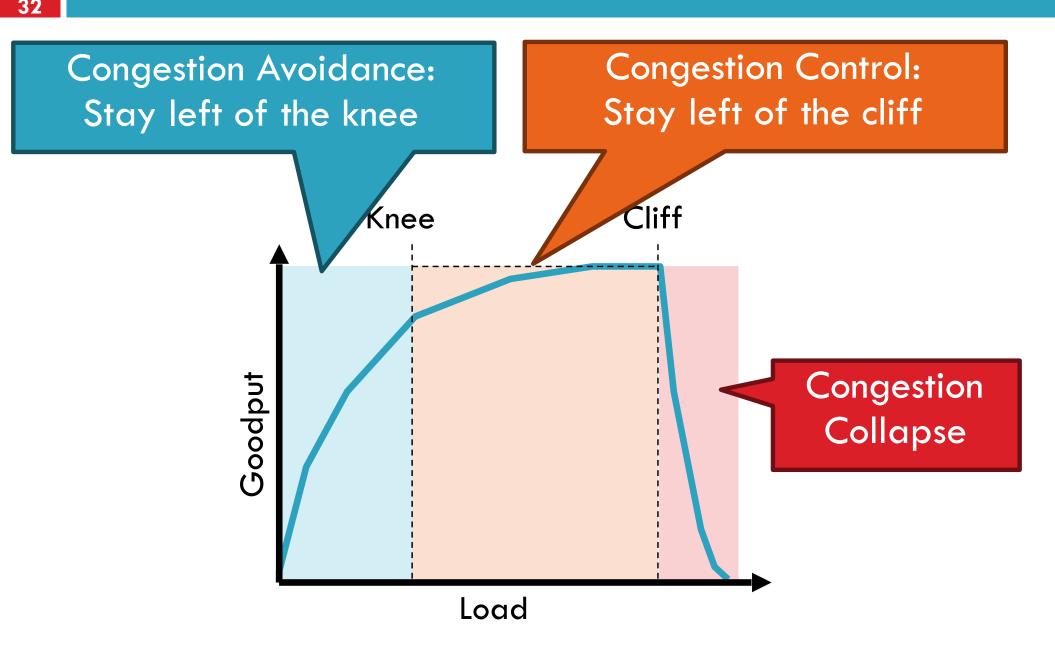
Congestion Collapse

Knee – point after which

- Throughput increases very slow
- Delay increases fast
- □ In an M/M/1 queue
 - □ Delay = 1/(1 utilization)
- Cliff point after which
 - □ Throughput → 0
 - □ Delay \rightarrow ∞



Cong. Control vs. Cong. Avoidance



Advertised Window, Revisited

Does TCP's advertised window solve congestion?NO

- The advertised window only protects the receiver
- A sufficiently fast receiver can max the window
 - What if the network is slower than the receiver?
 - What if there are other concurrent flows?
- Key points
 - Window size determines send rate
 - Window must be adjusted to prevent congestion collapse

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- 1. Adjusting to the bottleneck bandwidth
- 2. Adjusting to variations in bandwidth
- 3. Sharing bandwidth between flows
- 4. Maximizing throughput

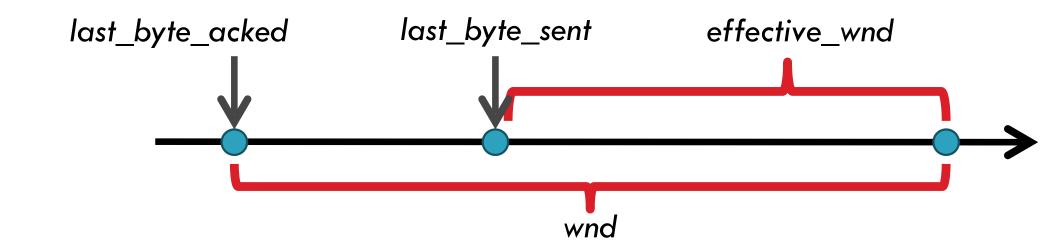
- Do nothing, send packets indiscriminately
 - Many packets will drop, totally unpredictable performance
 - May lead to congestion collapse
- Reservations
 - Pre-arrange bandwidth allocations for flows
 - Requires negotiation before sending packets
 - Must be supported by the network
- Dynamic adjustment
 - Use probes to estimate level of congestion
 - Speed up when congestion is low
 - Slow down when congestion increases
 - Messy dynamics, requires distributed coordination

TCP Congestion Control

- Each TCP connection has a window
 - Controls the number of unACKed packets
- Sending rate is ~ window/RTT
- Idea: vary the window size to control the send rate
- Introduce a congestion window at the sender
 - Congestion control is sender-side problem

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- Limits how much data is in transit
- Denominated in bytes
- $_{1}$. wnd = min(cwnd, adv_wnd);



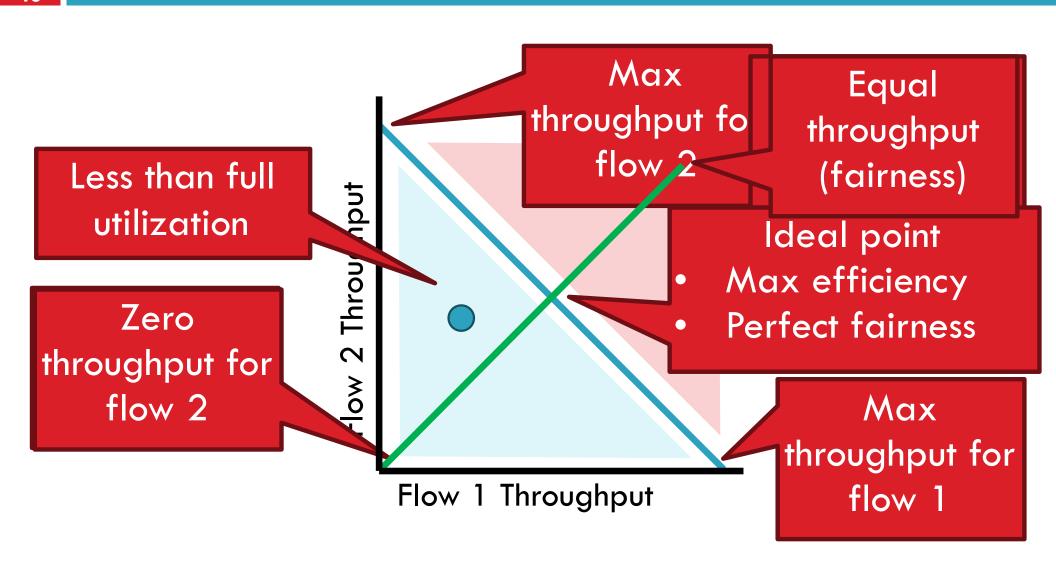
- Detect congestion
 - Packet dropping is most reliably signal
 - Delay-based methods are hard and risky
 - How do you detect packet drops? ACKs
 - Timeout after not receiving an ACK
 - Several duplicate ACKs in a row (ignore for now)
- Rate adjustment algorithm
 - Modify cwnd
 - Probe for bandwidth
 - Responding to congestion

Except on wireless networks

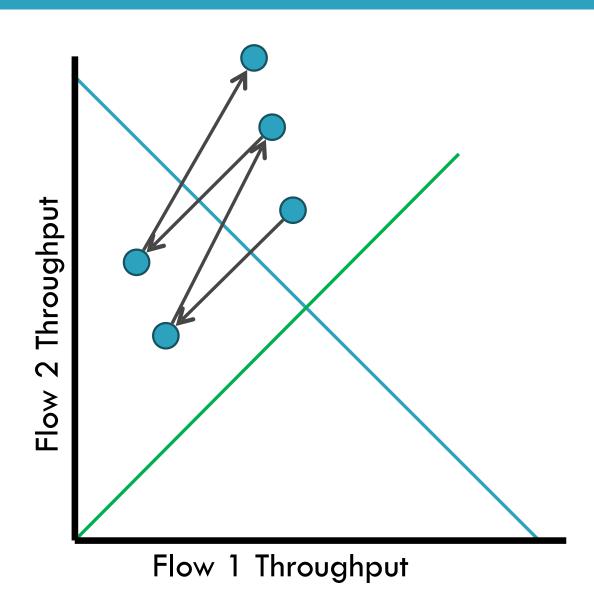
Rate Adjustment

- Recall: TCP is ACK clocked
 - Congestion = delay = long wait between ACKs
 - No congestion = low delay = ACKs arrive quickly
- Basic algorithm
 - Upon receipt of ACK: increase cwnd
 - Data was delivered, perhaps we can send faster
 - cwnd growth is proportional to RTT
 - On loss: decrease cwnd
 - Data is being lost, there must be congestion
- Question: increase/decrease functions to use?

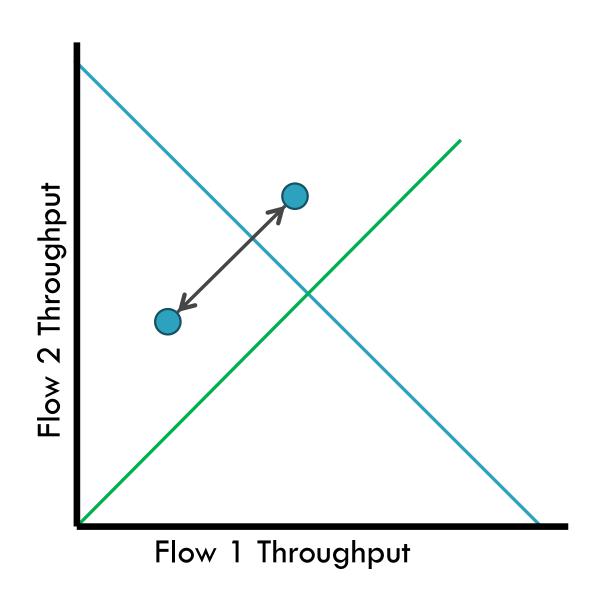
Utilization and Fairness



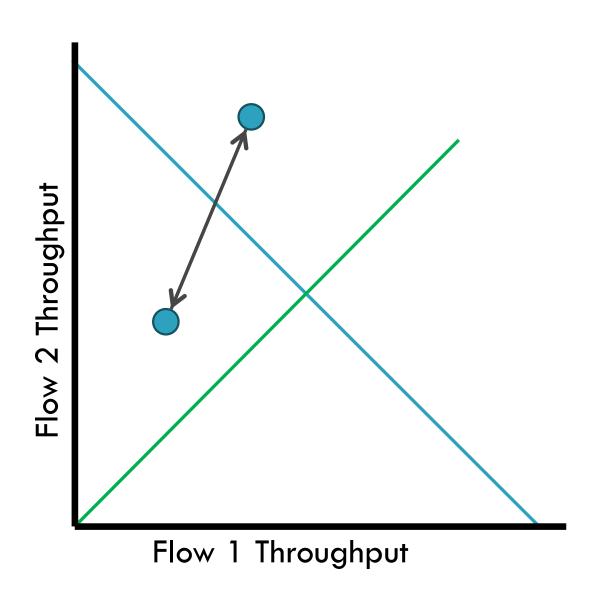
- Not stable!
- Veers away from fairness



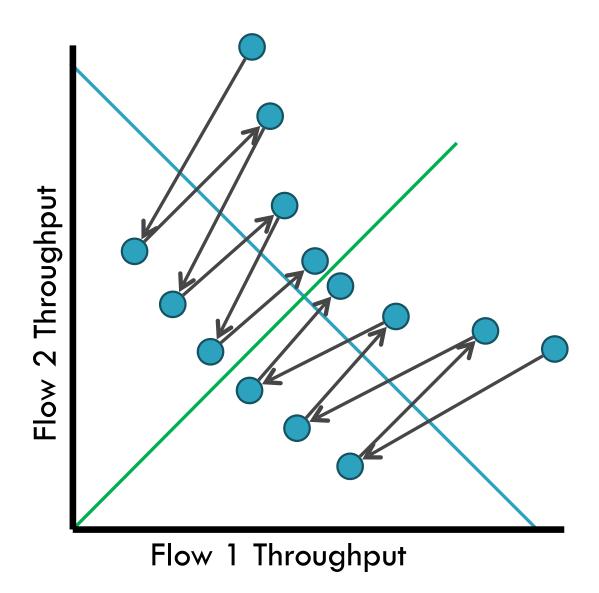
- Stable
- But does not converge to fairness



- Stable
- But does not converge to fairness



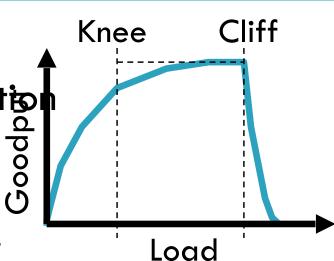
- Converges to stable and fair cycle
- Symmetric around y=x



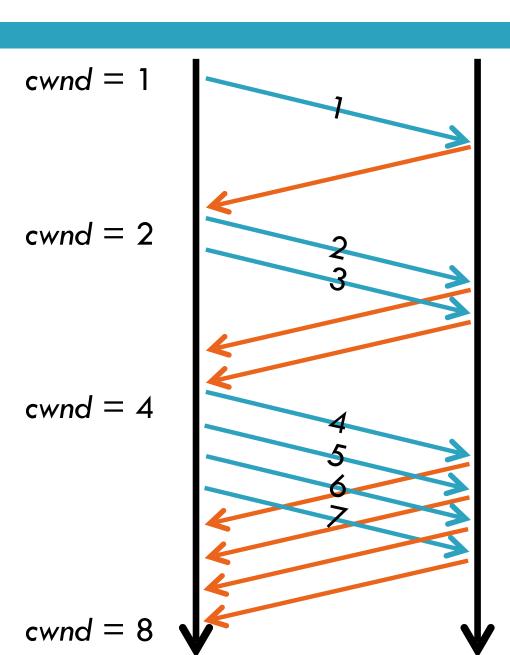
Implementing Congestion Control

- Maintains three variables:
 - cwnd: congestion window
 - adv_wnd: receiver advertised window
 - ssthresh: threshold size (used to update cwnd)
- For sending, use: wnd = min(cwnd, adv_wnd)
- Two phases of congestion control
 - Slow start (cwnd < ssthresh)
 - Probe for bottleneck bandwidth
 - Congestion avoidance (cwnd >= ssthresh)
 - AIMD

- Goal: reach knee quickly
- Upon starting (or restarting) a connection
 - \square cwnd = 1
 - ssthresh = adv_wnd
 - Each time a segment is ACKed, cwnd++
- Continues until...
 - ssthresh is reached
 - Or a packet is lost
- Slow Start is not actually slow
 - cwnd increases exponentially



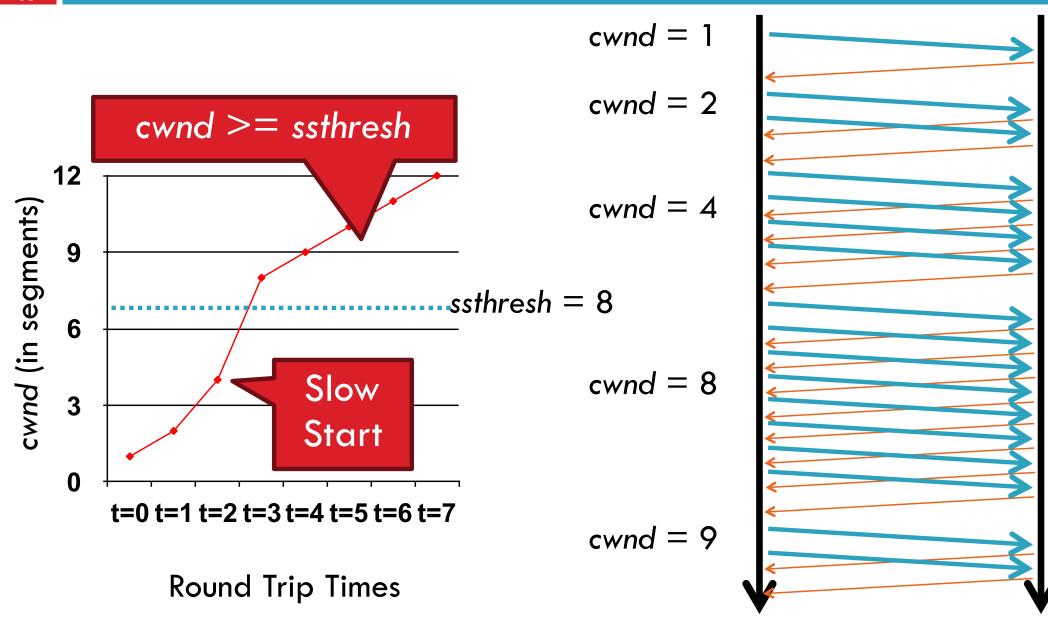
- cwnd grows rapidly
- Slows down when...
 - cwnd >= ssthresh
 - Or a packet drops



Congestion Avoidance

- AIMD mode
- ssthresh is lower-bound guess about location of the knee
- If cwnd >= ssthresh then each time a segment is ACKed increment cwnd by 1/cwnd (cwnd += 1/cwnd).
- So cwnd is increased by one only if all segments have been acknowledged

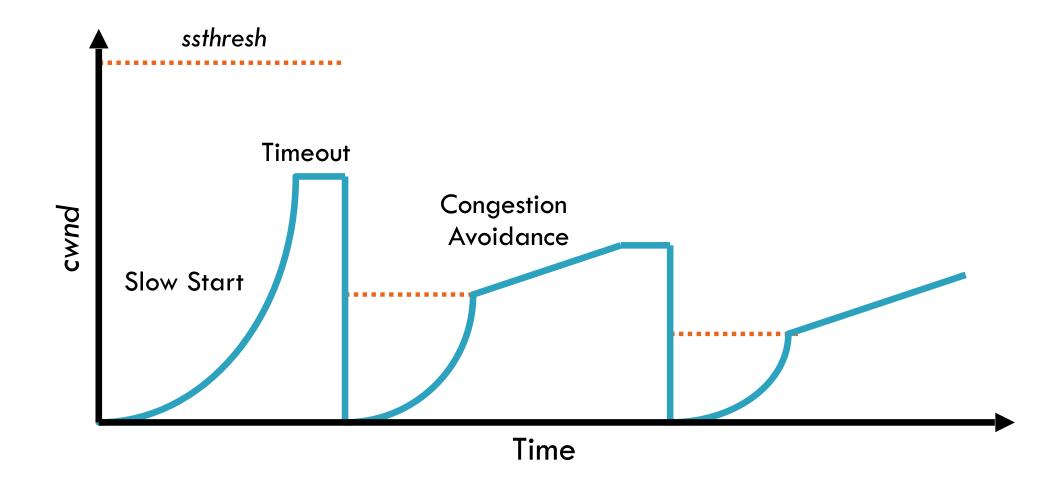
Congestion Avoidance Example



TCP Pseudocode

```
Initially:
       cwnd = 1;
       ssthresh = adv wnd;
New ack received:
       if (cwnd < ssthresh)
           /* Slow Start*/
          cwnd = cwnd + 1;
       else
          /* Congestion Avoidance */
          cwnd = cwnd + 1/cwnd;
Timeout:
       /* Multiplicative decrease */
       ssthresh = cwnd/2;
       cwnd = 1;
```

The Big Picture



Outline

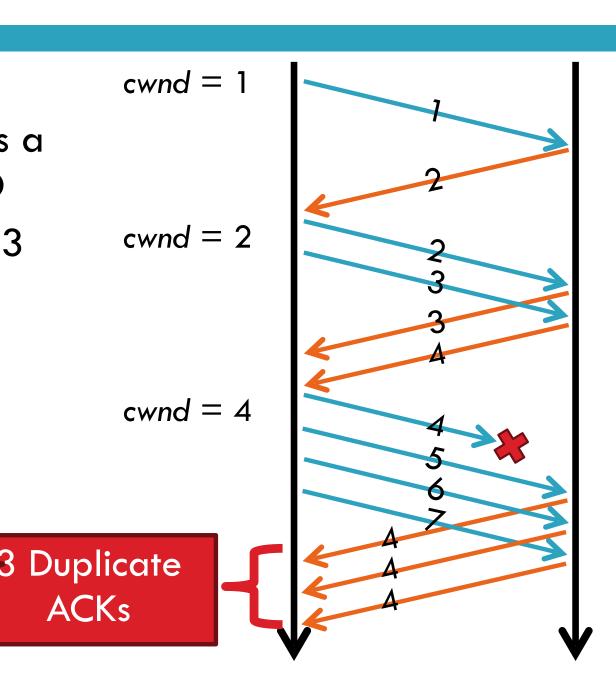
- UDP
- TCP
- Congestion Control
- Evolution of TCP
- Problems with TCP

- Thus far, we have discussed TCP Tahoe
 - Original version of TCP
- However, TCP was invented in 1974!
 - Today, there are many variants of TCP
- Early, popular variant: TCP Reno
 - Tahoe features, plus...
 - Fast retransmit
 - Fast recovery

TCP Reno: Fast Retransmit

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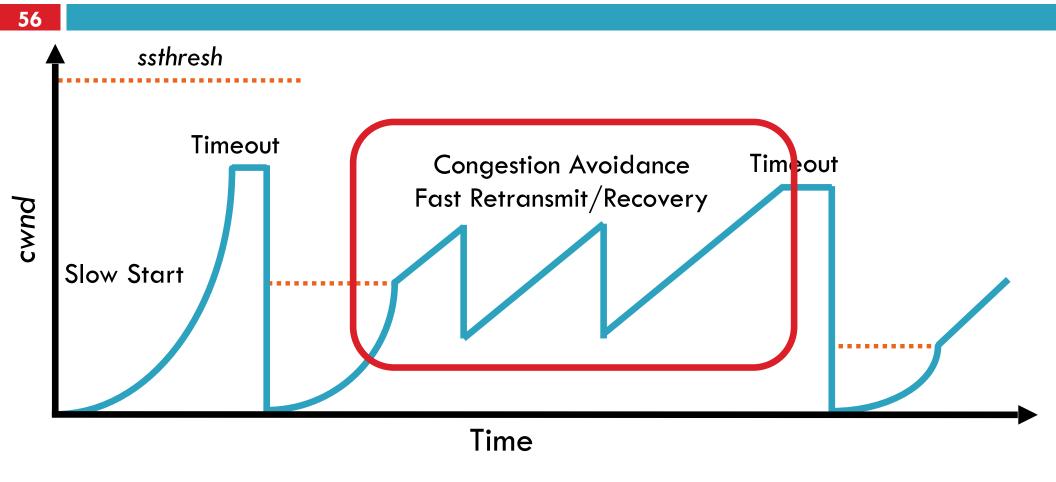
- Problem: in Tahoe, if segment is lost, there is a long wait until the RTO
- Reno: retransmit after 3 duplicate ACKs



TCP Reno: Fast Recovery

- After a fast-retransmit set cwnd to ssthresh/2
 - i.e. don't reset cwnd to 1
 - Avoid unnecessary return to slow start
 - Prevents expensive timeouts
- But when RTO expires still do cwnd = 1
 - Return to slow start, same as Tahoe
 - Indicates packets aren't being delivered at all
 - i.e. congestion must be really bad

Fast Retransmit and Fast Recovery



- At steady state, cwnd oscillates around the optimal window size
- TCP always forces packet drops

Many TCP Variants...

- Tahoe: the original
 - Slow start with AIMD
 - Dynamic RTO based on RTT estimate
- Reno: fast retransmit and fast recovery
- NewReno: improved fast retransmit
 - Each duplicate ACK triggers a retransmission
 - Problem: >3 out-of-order packets causes pathological retransmissions
- Vegas: delay-based congestion avoidance
- And many, many, many more...

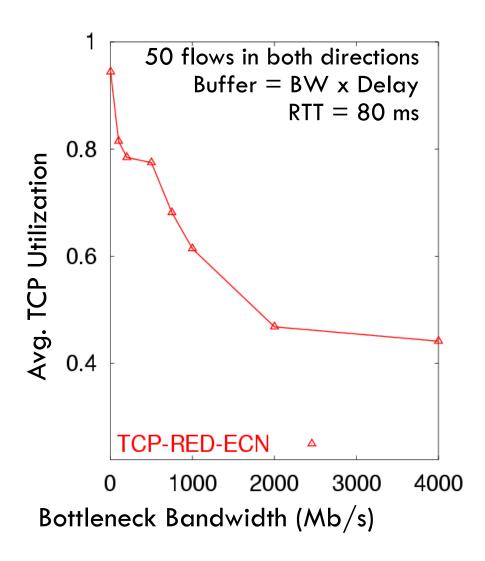
TCP in the Real World

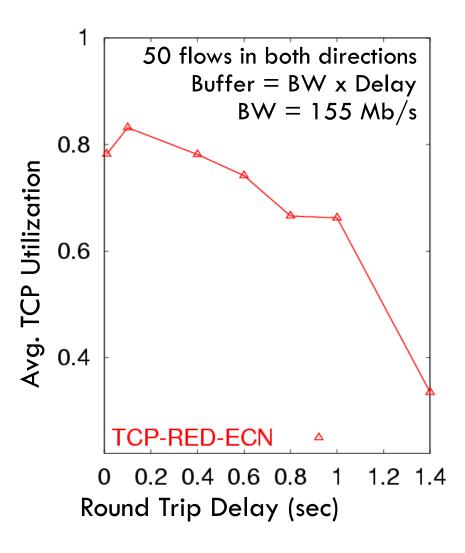
- What are the most popular variants today?
 - Key problem: TCP performs poorly on high bandwidth-delay product networks (like the modern Internet)
 - Compound TCP (Windows)
 - Based on Reno
 - Uses two congestion windows: delay based and loss based
 - Thus, it uses a compound congestion controller
 - TCP CUBIC (Linux)
 - Enhancement of BIC (Binary Increase Congestion Control)
 - Window size controlled by cubic function
 - Parameterized by the time T since the last dropped packet

High Bandwidth-Delay Product

- Key Problem: TCP performs poorly when
 - The capacity of the network (bandwidth) is large
 - The delay (RTT) of the network is large
 - Or, when bandwidth * delay is large
 - b * d = maximum amount of in-flight data in the network
 - a.k.a. the bandwidth-delay product
- Why does TCP perform poorly?
 - Slow start and additive increase are slow to converge
 - TCP is ACK clocked
 - i.e. TCP can only react as quickly as ACKs are received
 - Large RTT → ACKs are delayed → TCP is slow to react

Poor Performance of TCP Reno CC



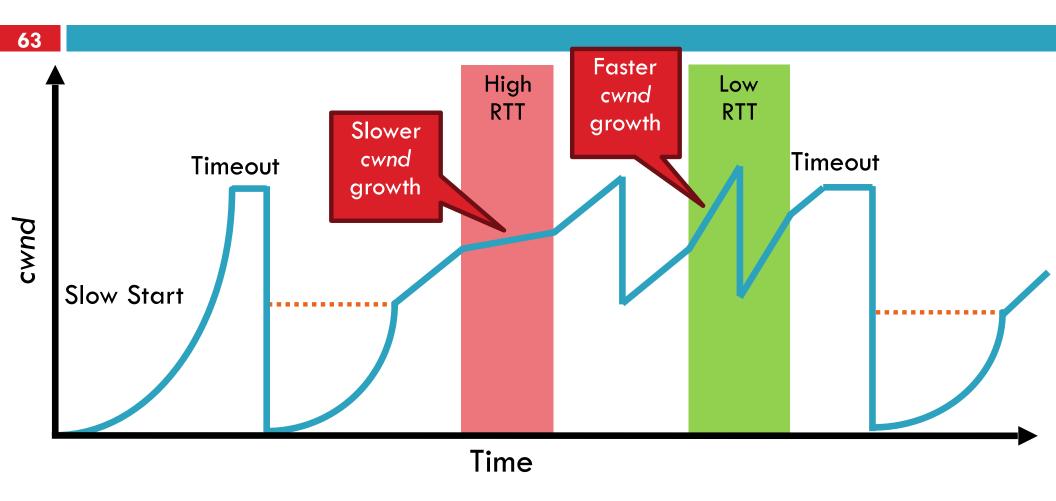


- Fast window growth
 - Slow start and additive increase are too slow when bandwidth is large
 - Want to converge more quickly
- Maintain fairness with other TCP varients
 - Window growth cannot be too aggressive
- Improve RTT fairness
 - TCP Tahoe/Reno flows are not fair when RTTs vary widely
- Simple implementation

Compound TCP Implementation

- Default TCP implementation in Windows
- Key idea: split cwnd into two separate windows
 - Traditional, loss-based window
 - New, delay-based window
- wnd = min(cwnd + dwnd, adv_wnd)
 - cwnd is controlled by AIMD
 - dwnd is the delay window
 - Rules for adjusting dwnd:
 - \blacksquare If RTT is increasing, decrease dwnd (dwnd ≥ 0)
 - If RTT is decreasing, increase dwnd
 - Increase/decrease are proportional to the rate of change

Compound TCP Example



- Aggressiveness corresponds to changes in RTT
- Advantages: fast ramp up, more fair to flows with different RTTs
- Disadvantage: must estimate RTT, which is very challenging

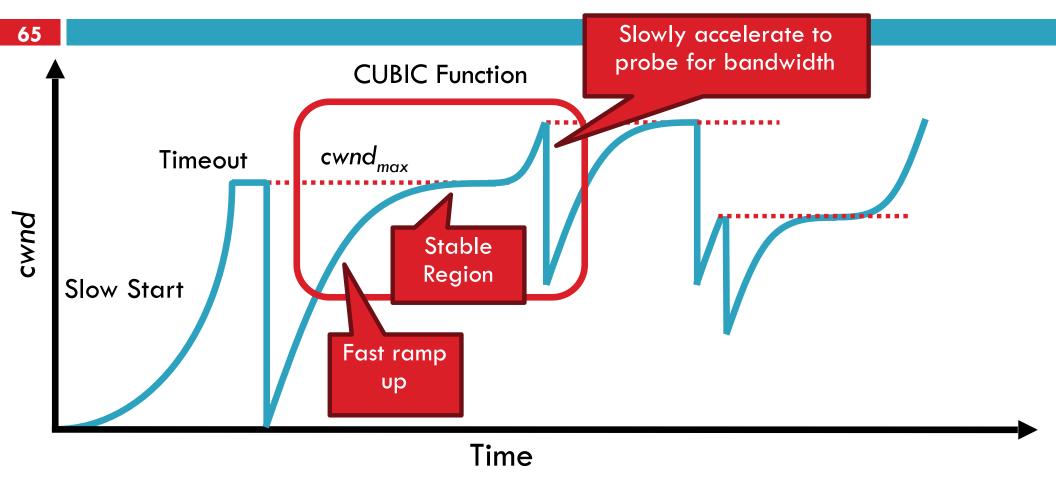
- Default TCP implementation in Linux
- Replace AIMD with cubic function

$$W_{cubic} = C(T - K)^3 + W_{max}$$
(1)

C is a scaling constant, and
$$K = \sqrt[3]{\frac{W_{max}\beta}{C}}$$

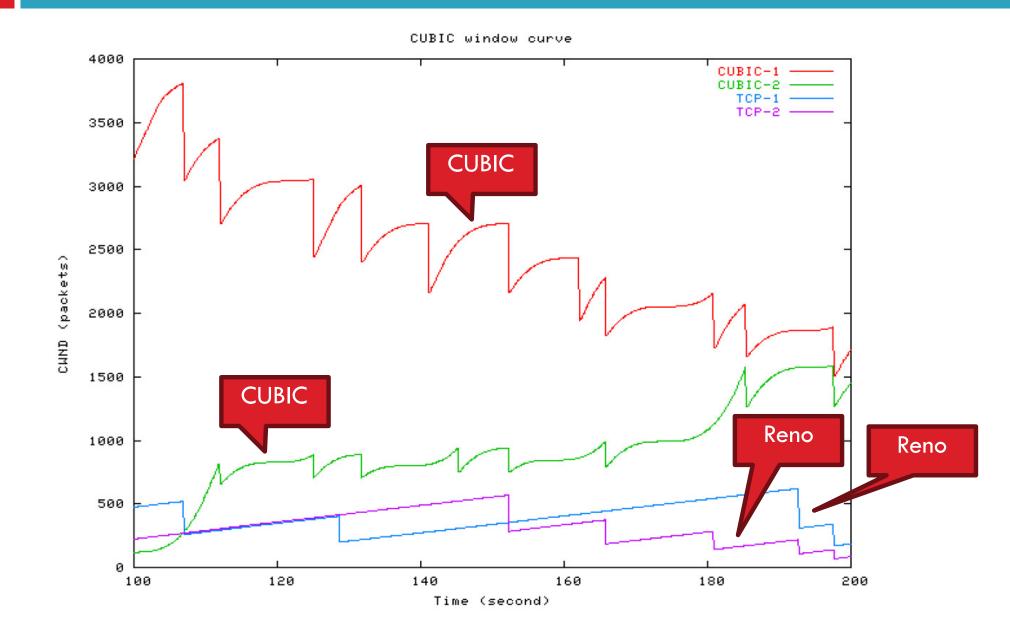
- \square B \rightarrow a constant fraction for multiplicative increase
- □ T → time since last packet drop
- W_max → cwnd when last packet dropped

TCP CUBIC Example



- Less wasted bandwidth due to fast ramp up
- Stable region and slow acceleration help maintain fairness
 - Fast ramp up is more aggressive than additive increase
 - To be fair to Tahoe/Reno, CUBIC needs to be less aggressive

Simulations of CUBIC Flows



Deploying TCP Variants

- TCP assumes all flows employ TCP-like congestion control
 - TCP-friendly or TCP-compatible
 - Violated by UDP :(
- If new congestion control algorithms are developed, they must be TCP-friendly
- Be wary of unforeseen interactions
 - Variants work well with others like themselves
 - Different variants competing for resources may trigger unfair, pathological behavior

TCP Perspectives

- Cerf/Kahn
 - Provide flow control
 - Congestion handled by retransmission
- Jacobson / Karels
 - Need to avoid congestion
 - RTT estimates critical
 - Queuing theory can help
- Winstein/Balakrishnan
 - TCP is maximizing an objective function
 - Fairness/efficiency
 - Throughput/delay
 - Let a machine pick the best fit for your environment

Outline

- UDP
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Common TCP Options

Source Port

Sequence Number

Acknowledgement Number

HLen

Flags

Advertised Window

Checksum

Urgent Pointer

Options

- Window scaling
- SACK: selective acknowledgement
- Maximum segment size (MSS)
- Timestamp

Window Scaling

- Problem: the advertised window is only 16-bits
 - □ Effectively caps the window at 65536B, 64KB
 - Example: 1.5Mbps link, 513ms RTT

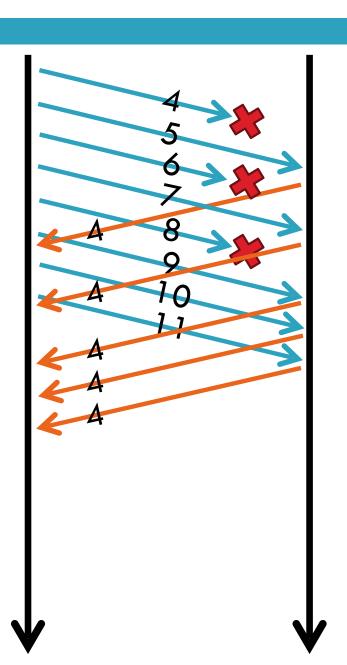
$$(1.5 \text{Mbps} * 0.513s) = 94 \text{KB}$$

64KB / 94KB = 68% of maximum possible speed

- Solution: introduce a window scaling value
 - wnd = adv_wnd << wnd_scale;</p>
 - Maximum shift is 14 bits, 1GB maximum window

SACK: Selective Acknowledgment

- Problem: duplicate ACKs only tell us about 1 missing packet
 - Multiple rounds of dup ACKs needed to fill all holes
- Solution: selective ACK
 - Include received, out-of-order sequence numbers in TCP header
 - Explicitly tells the sender about holes in the sequence



Other Common Options

- Maximum segment size (MSS)
 - Essentially, what is the hosts MTU
 - Saves on path discovery overhead
- Timestamp
 - When was the packet sent (approximately)?
 - Used to prevent sequence number wraparound
 - PAWS algorithm

Issues with TCP

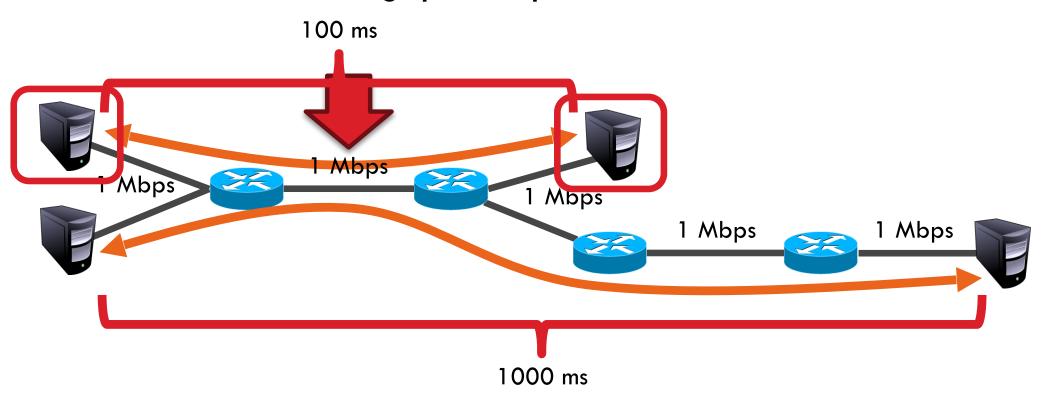
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- The vast majority of Internet traffic is TCP
- However, many issues with the protocol
 - Lack of fairness
 - Synchronization of flows
 - Poor performance with small flows
 - Really poor performance on wireless networks
 - Susceptibility to denial of service

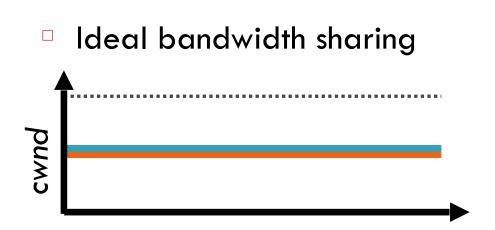
Fairness

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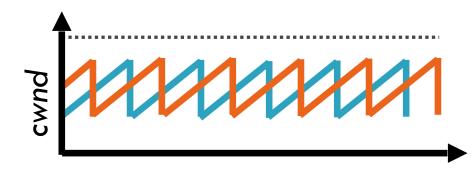
Problem: TCP throughput depends on RTT



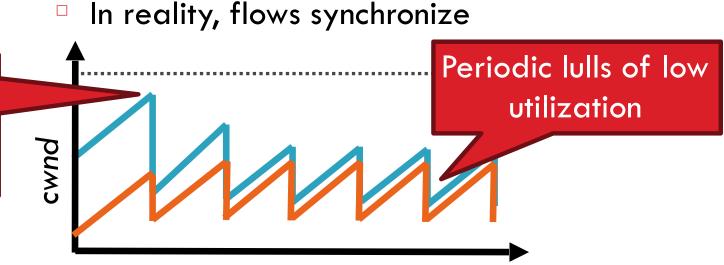
- ACK clocking makes TCP inherently unfair
- Possible solution: maintain a separate delay window
 - Implemented by Microsoft's Compound TCP



Oscillating, but high overall utilization



One flow causes all flows to drop packets



- Problem: TCP is biased against short flows
 - 1 RTT wasted for connection setup (SYN, SYN/ACK)
 - cwnd always starts at 1
- Vast majority of Internet traffic is short flows
 - Mostly HTTP transfers, <100KB</p>
 - Most TCP flows never leave slow start!
- Proposed solutions (driven by Google):
 - Increase initial cwnd to 10
 - TCP Fast Open: use cryptographic hashes to identify receivers, eliminate the need for three-way handshake

- Problem: Tahoe and Reno assume loss = congestion
 - True on the WAN, bit errors are very rare
 - False on wireless, interference is very common
- TCP throughput ~ 1/sqrt(drop rate)
 - Even a few interference drops can kill performance
- Possible solutions:
 - Break layering, push data link info up to TCP
 - Use delay-based congestion detection (TCP Vegas)
 - Explicit congestion notification (ECN)

Denial of Service

- Problem: TCP connections require state
 - Initial SYN allocates resources on the server
 - State must persist for several minutes (RTO)
- SYN flood: send enough SYNs to a server to allocate all memory/meltdown the kernel
- Solution: SYN cookies
 - Idea: don't store initial state on the server
 - Securely insert state into the SYN/ACK packet
 - Client will reflect the state back to the server

SYN Cookies

0 5 8 31
Timestamp MSS Crypto Hash of Client IP & Port

- Did the client really send me a SYN recently?
 - □ Timestamp: freshness check
 - Cryptographic hash: prevents spoofed packets
- Maximum segment size (MSS)
 - Usually stated by the client during initial SYN
 - Server should store this value...
 - Reflect the clients value back through them

- Advantages
 - Effective at mitigating SYN floods
 - Compatible with all TCP versions
 - Only need to modify the server
 - No need for client support
- Disadvantages
 - MSS limited to 3 bits, may be smaller than clients actual MSS
 - Server forgets all other TCP options included with the client's SYN
 - SACK support, window scaling, etc.

Extra credit opportunity

- Meddle allows you to see/modify the Internet traffic from your mobile device
- Extra credit opportunities:
 - Run Meddle for at least one week (1 point)
 - Use tcpdump to characterize your traffic, identifying PII (username, password, phone number, IMEI, e-mail address) being sent in the clear, if any (1 point)
 - Other opportunities to follow