





# Divide and Conquer\*

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\* Some slides are courtesy of Dr. Mahini.

## Changelog

- □ Rev. 1
  - > Updated the pseudocode to assume the array index is zero based (slide 16).
  - > Clarified a note to define the root for a pair of nodes, where one of them is the parent or ancestor of the other (slide 60).
- □ Rev. 2
  - > Updated the definition of array B to cover a corner case where the first element of array A is part of the solution (slide 33). Thanks to Mr. Sedghian.
- □ Rev. 3
  - > Minor update in slide 33.

#### Overview

- □ Introduction
  - > Computing  $a^n$
- ☐ Master theorem and its proof
- □ Review of sorting algorithm and their design principles
  - > Insertion sort
  - > Selection sort
  - > Merge sort
  - > Quick sort
  - > Finding median
- ☐ Maximum subarray sum
- □ Polynomial multiplication
- □ Closest pair of points
- ☐ MapReduce: A Practical Example
- **□** Sample Problems

# Computing a<sup>n</sup>

□ Problem statement: you are given a positive integer a and a non-negative integer n. Design an algorithm to compute  $a^n$ .

```
power(a, n){
    result = 1
    for i = 1 to n
        result = result * a
    return result
}
```

Running time: O(n)

How to design a recursive algorithm?



□ Problem statement: you are given a positive integer a and a non-negative integer n. Design an algorithm to compute  $a^n$ .

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power(a, n){
    result = 1
    for i = 1 to n
        result = result * a
    return result
}
```

Running time: O(n)

How to design a recursive algorithm?

```
Idea: a^n = a^{n-1} \times a
```

```
power(a, n) {
    if n == 0
        return 1
    return power(a, n-1) * a
}
```

Running time: O(n)

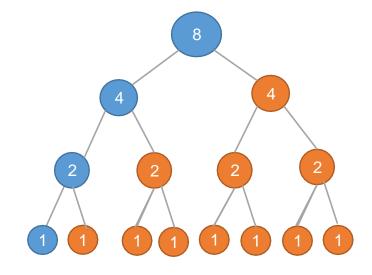
□ Problem statement: you are given a positive integer a and a non-negative integer n. Design an algorithm to compute  $a^n$ .

How to design a recursive algorithm?

```
Idea: a^n = a^{n/2} \times a^{n/2}
Running time: T(n) = 2T\left(\frac{n}{2}\right) + O(1) \approx O(n)
```

```
power(a, n) {
    if n == 0
        return 1
    if n == 1
        return a

    return power(a, [n/2]) * power(a, [n/2])
}
```



What is the problem of the above code?

□ Problem statement: you are given a positive integer a and a non-negative integer n. Design an algorithm to compute  $a^n$ .

How to design a recursive algorithm?

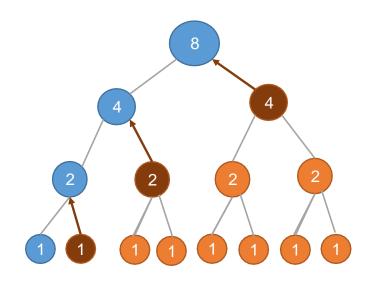
Exponentiation by squaring

```
Idea: a^n = a^{n/2} \times a^{n/2}
```

Running time:  $O(\log n)$ 

```
power(a, n) {
    if n == 0
        return 1
    if A[n] != null
        return A[n]

A[n] = power(a, [n/2]) * power(a, [n/2])
    if n is odd
        A[n] = A[n] * a
    return A[n]
}
```



Memoization: Caching results of expensive function calls

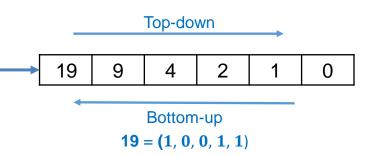
□ Problem statement: you are given a positive integer a and a non-negative integer n. Design an algorithm to compute  $a^n$ .

Top-down vs bottom-up approaches

Exponentiation by squaring

```
Idea: a^n = a^{n/2} \times a^{n/2}
Running time: O(\log n)
```

```
power(a, n) {
    if n == 0
        return 1
    n = (n<sub>k</sub>, n<sub>k-1</sub>,..., n<sub>0</sub>)<sub>2</sub> // binary representation of n
    result = a
    for i = k - 1 to 0
        if n<sub>i</sub> = 0
            result = result * result
        else
            result = result * result * a
```

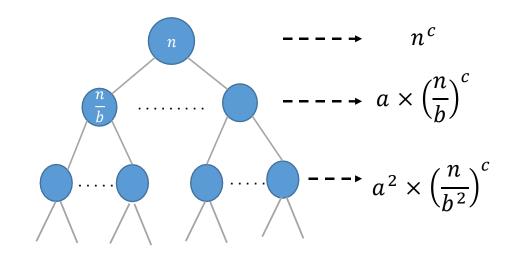


How can you implement the code with explicitly converting *n* to binary?

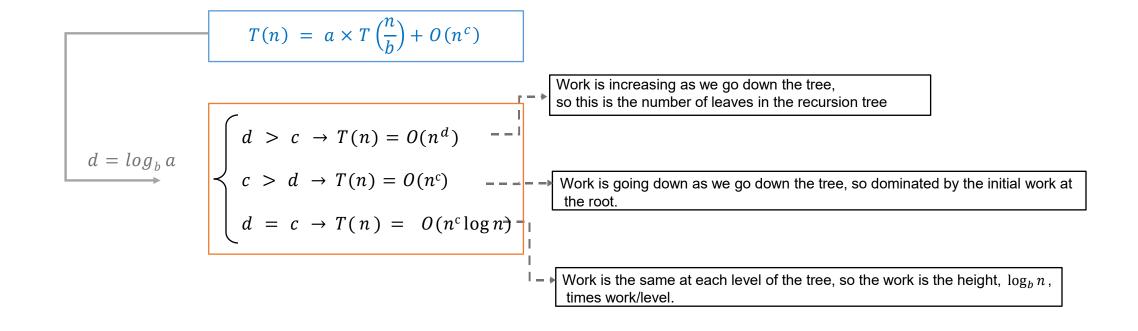
$$T(n) = a \times T\left(\frac{n}{b}\right) + O(n^{c})$$

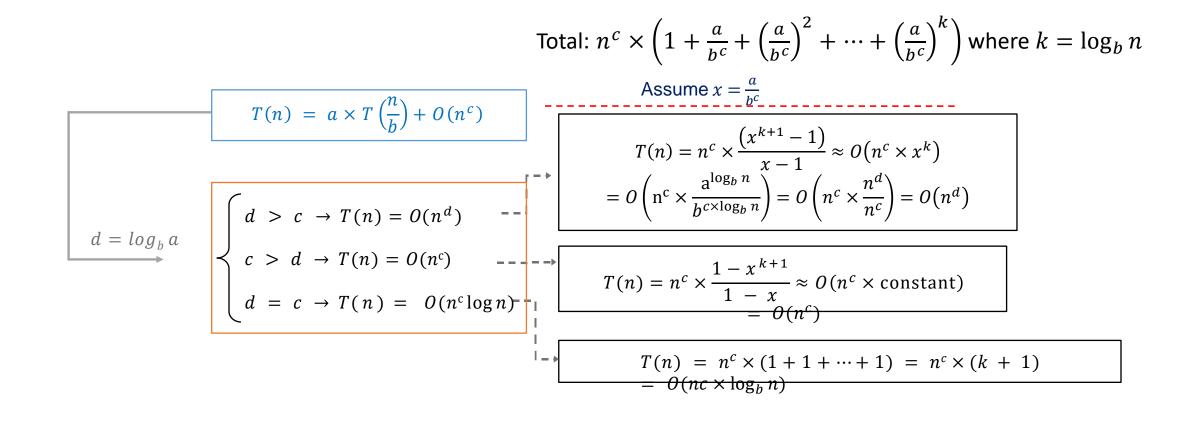
$$d = \log_{b} a$$

$$\begin{cases} d > c \to T(n) = O(n^{d}) \\ c > d \to T(n) = O(n^{c}) \\ d = c \to T(n) = O(n^{c} \log n) \end{cases}$$

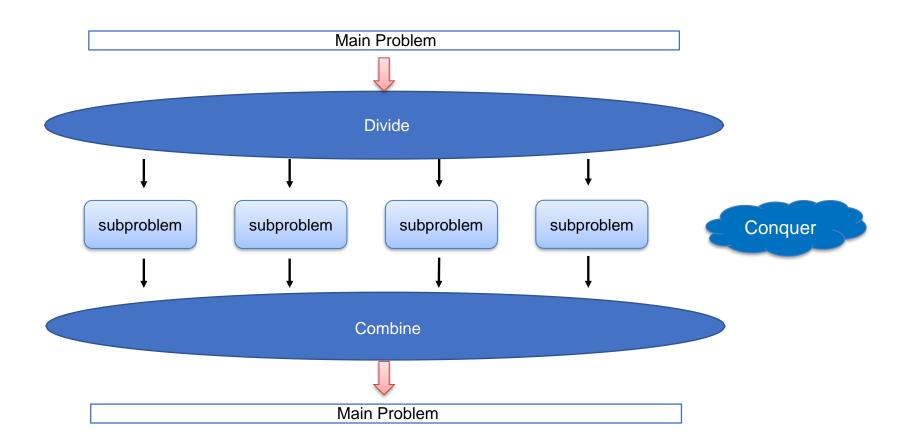


Total: 
$$n^c \times \left(1 + \frac{a}{b^c} + \left(\frac{a}{b^c}\right)^2 + \dots + \left(\frac{a}{b^c}\right)^k\right)$$
 where  $k = \log_b n$ 





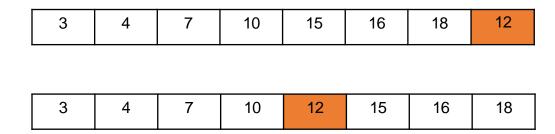
# Sorting Algorithms

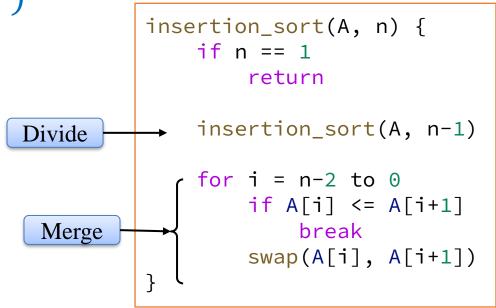


#### **Insertion Sort**

- $\square$  Divide: Sort the first n-1 elements
- □ Merge: Insert the last element into the right position

□ Runtime:  $T(n) = T(n-1) + O(n) = O(n^2)$ 





### Selection Sort

□ Divide: Find the maximum element and put it at the end

9

11

12

15

18

- Merge: Nothing
- selection\_sort(A, n) {  $\square$  Running time:  $T(n) = T(n-1) + O(n) = O(n^2)$ if n == 1 return max = n-1for i = 0 to n - 2Divide if A[i] > A[max] max = i15 5 12 18 11 9 swap(A[max], A[n-1])selection\_sort(A, n-1) 15 5 12 9 11 18

4

5

## Merge Sort

- □ Divide: Divide the array into two parts
- Merge: Merge two sorted arrays

```
Running time: T(n) = 2T\left(\frac{n}{2}\right) + O(?) = O(?)

Divide

| merge_sort(A, first, last) {
| if first == last |
| return |
| mid = (first + last) / 2 |
| merge_sort(first, mid) |
| merge_sort(mid + 1, last) |
| merge(A, first, mid, last) |
```

Main idea is to implement merge in O(n)

merge(A, first, mid, last) {

???????

# Merge Sort

	5	7	10	17									
	8	12	15	16		5							
	U	12	10	10									
	_	_											
	5	7	10	17	Г	5	7						
	8	12	15	16			•						
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	5	7	10	17	_				Г	1			T
	8	12	15	16		5	7	8	10				
	J	12	15	'0									

### Merge Sort

- □ Divide: Divide the array into two parts
- Merge: Merge two sorted arrays.

```
merge_sort(A, first, last) {
   if first == last
        return
   mid = (first + last) / 2
   merge_sort(first, mid)
   merge_sort(mid + 1, last)

  merge(A, first, mid, last)
}
```

```
merge(A, first, mid, last){
    leftpos = first
    rightpos = mid
    for newpos = 0 to last - first {
        if leftpos < mid and (</pre>
          A[leftpos] <= A[rightpos] or rightpos > last) {
            newarray[newpos] = A[leftpos]
            leftpos++
        } else {
            newarray[newpos] = A[rightpos]
            rightpos++
    Copy newarray to A[first to last - 1]
```

Divide: Divide the array into two parts such that all elements in the left subarray are less than or equal to all element in the right subarray

■ Merge: Nothing

At each point if we look at all elements between A[first] and A[j], then

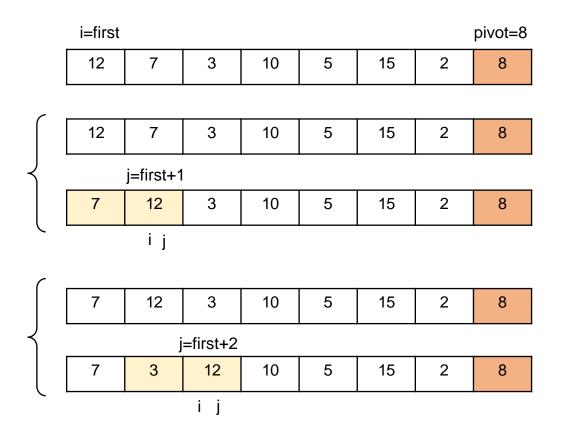
- 1) all elements in A[first] till A[i-1] are less than pivot
- 2) Others are greater than or equal to pivot

```
quick_sort(A, first, last){
   if first >= last
        return

   p = partition(A, first, last)
   quick_sort(A, first, p - 1)
   quick_sort(A, p + 1, last)
}
```

```
partition(A, first, last) {
    pivot = A[last]
    i = first

    for j = first to last-1 {
        if A[j] < pivot {
            swap(A[i], A[j])
            i = i+1
            }
        swap(A[i], A[last])
        return i
}</pre>
```

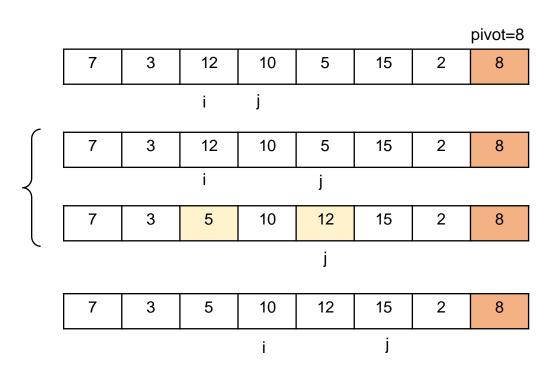


```
quick_sort(A, first, last){
   if first >= last
        return

   p = partition(A, first, last)
   quick_sort(A, first, p - 1)
   quick_sort(A, p + 1, last)
}
```

```
partition(A, first, last) {
    pivot = A[last]
    i = first

    for j = first to last-1 {
        if A[j] < pivot {
            swap(A[i], A[j])
            i = i+1
        }
    }
    swap(A[i], A[last])
    return i
}</pre>
```

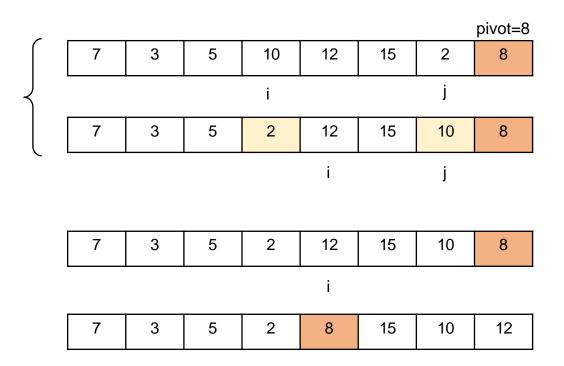


```
quick_sort(A, first, last){
   if first >= last
        return

   p = partition(A, first, last)
   quick_sort(A, first, p - 1)
   quick_sort(A, p + 1, last)
}
```

```
partition(A, first, last) {
    pivot = A[last]
    i = first

    for j = first to last-1 {
        if A[j] < pivot {
            swap(A[i], A[j])
            i = i+1
            }
        swap(A[i], A[last])
        return i
}</pre>
```



```
quick_sort(A, first, last){
   if first >= last
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   quick_sort(A, p + 1, last)
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```
partition(A, first, last) {
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    i = first

    for j = first to last-1 {
        if A[j] < pivot {
            swap(A[i], A[j])
            i = i+1
        }
    }
    swap(A[i], A[last])
    return i
}</pre>
```

### Quick Sort – Running time

```
Running time: T(n) = T(|L|) + T(|R|) + O(n)

Best case: T(n) = 2T\left(\frac{n}{2}\right) + O(n)

Worst case: T(n) = T(n-1) + O(n)
```

Find an example for the worst case.



```
quick_sort(A, first, last){
   if first >= last
        return

   p = partition(A, first, last)
   quick_sort(A, first, p - 1)
   quick_sort(A, p + 1, last)
}
```

```
partition(A, first, last) {
    pivot = A[last]
    i = first

    for j = first to last-1 {
        if A[j] < pivot {
            swap(A[i], A[j])
            i = i+1
        }
    }
    swap(A[i], A[last])
    return i
}</pre>
```

### Randomized Quick Sort

```
Running time: T(n) = T(|L|) + T(|R|) + O(n)

Best case: T(n) = 2T\left(\frac{n}{2}\right) + O(n)

Worst case: T(n) = T(n-1) + O(n)
```

It can be proved that the average running time of randomized quick sort is  $O(n \log n)$ 

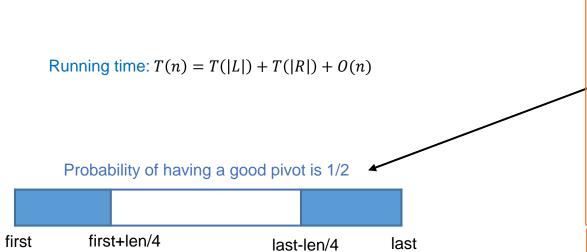
```
quick_sort(A, first, last){
    if first >= last
        return

    p = partition(A, first, last)
    quick_sort(A, first, p - 1)
    quick_sort(A, p + 1, last)
}
```

```
random_partition(A, first, last) {
    k = a random number between first and last
    swap(A[k], A[last])

    pivot = A[last]
    i = first
    for j = first to last-1 {
        if A[j] < pivot {
            swap(A[i], A[j])
            i = i+1
            }
        swap(A[i], A[last])
        return i
}</pre>
```

### A Conservative Implementation of Randomized Quick Sort



Probability of not having good pivot after 1000 iteration is  $\left(\frac{1}{2}\right)^{1000} < \left(\frac{1}{1000}\right)^{100} \approx 0$ 

```
Worst case: T(n) = T\left(\frac{3n}{4}\right) + T\left(\frac{n}{4}\right) + O(n) \approx O(n \log n)
```

```
quick_sort(A, first, last){
    if first >= last
        return
    len = last - first + 1
    p = first - 1
   while p < first + len / 4 or p > last - len / 4
        p = random partition(A, first, last)
    quick_sort(A, first, p - 1)
    quick_sort(A, p + 1, last)
random_partition(A, first, last) {
    k = a random number between first and last
    swap(A[k], A[last])
    pivot = A[last]
    i = first
    for j = first to last-1 {
        if A[i] < pivot {</pre>
            swap(A[i], A[j])
            i = i+1
    swap(A[i], A[last])
    return i
```

## Finding Median

□ Problem: You are given an array of numbers. Find the median of this array.

I can solve it in  $O(n \log n)$ 



Can you design a faster one?



### Finding Median

■ Problem: You are given an array of numbers. Find the median of this array.

Idea: Let's solve a more general problem. Rank Problem: You are given an array of numbers and an integer k. Find the  $k^{\rm th}$  smallest elements.

case 1:  $k^{\text{th}}$  element is exactly pivot

case 2:  $k^{\text{th}}$  element is before case 3:  $k^{\text{th}}$  element is after

pivot

```
rank(A, first, last, k) {
   if first >= last
        return A[first]

   p = partition(A, first, last)
   if k = p - first + 1
        return A[p] // case 1
   else if k
```

```
partition(A, first, last) {
    pivot = A[last]
    i = first
    for j = first to last-1 {
        if A[j] < pivot {
            swap(A[i], A[j])
            i = i+1
            }
        swap(A[i], A[last])
        return i
}</pre>
```

pivot

### Finding Median

□ Problem: You are given an array of numbers. Find the median of this array.

What is the running time of the proposed algorithm?



With an idea like the implementation of randomized quicksort, the worst case is:

$$T(n) = T\left(\frac{3n}{4}\right) + O(n) \approx O(n)$$

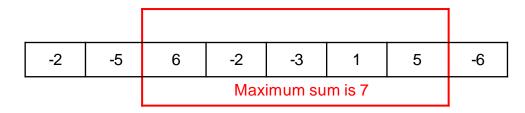
```
rank(A, first, last, k) {
   if first >= last
      return A[first]

   p = partition(A, first, last)
   if k = p - first + 1
      return A[p] // case 1
   else if k
```

```
partition(A, first, last) {
    k = a random number between first and last
    swap(A[k], A[last])

    pivot = A[last]
    i = first
    for j = first to last-1 {
        if A[j] < pivot {
            swap(A[i], A[j])
            i = i+1
        }
    }
    swap(A[i], A[last])
    return i
}</pre>
```

- □ Input: You are given an array of numbers (positive and negative).
- □ Goal: Find the sum of contiguous subarray of numbers which has the largest sum.



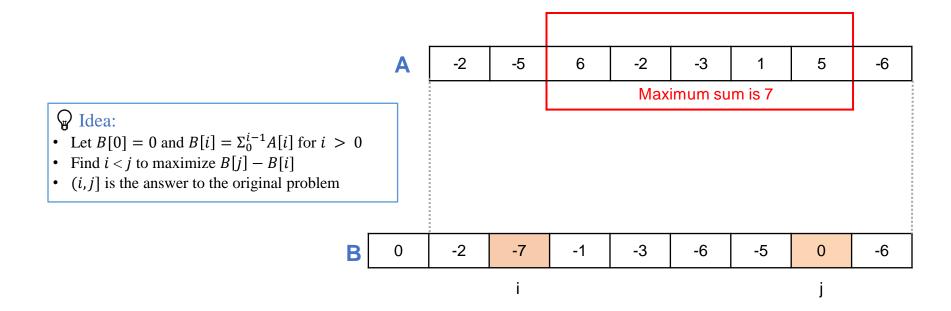
I can solve it in  $O(n^2)$ 



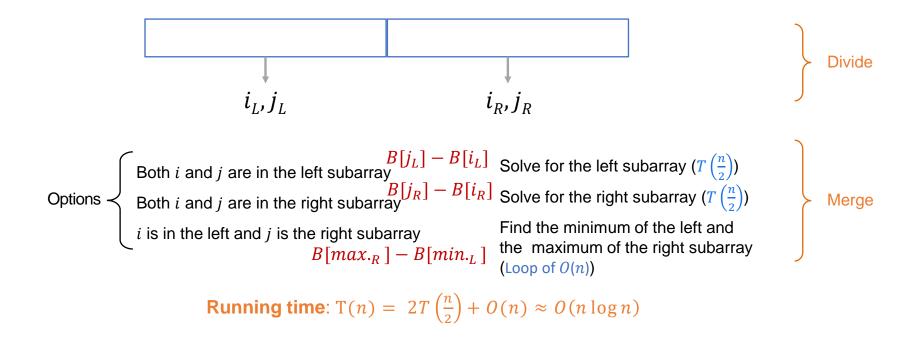
Can you design a faster one?



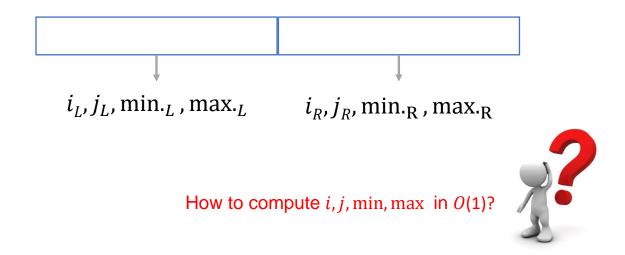
- □ Input: You are given an array of numbers (positive and negative).
- □ Goal: Find the sum of contiguous subarray of numbers which has the largest sum.



- $\square$  Input: You are given array B of numbers (positive and negative).
- $\square$  Goal: Find i < j to maximize B[j] B[i]

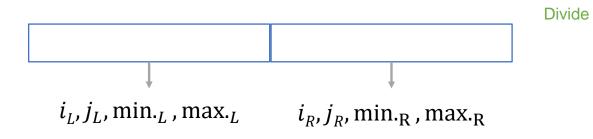


- $\square$  Input: You are given array B of numbers (positive and negative).
- $\square$  Goal: Find i < j to maximize B[j] B[i]



Running time:  $T(n) = 2T\left(\frac{n}{2}\right) + O(1) \approx O(n)$ 

- ☐ Input: You are given array B of numbers (positive and negative).
- $\square$  Goal: Find i < j to maximize B[j] B[i]



```
Running time: T(n) = 2T\left(\frac{n}{2}\right) + O(1) \approx O(n)
```

```
best index(B, first, last){
    if first >= last
        return first, first, first, first
   mid = (first + last)/2
   iL, jL, minL, maxL = best index(B, first, mid)
   iR, jR, minR, maxR = best_index (B, mid+1, last)
    if B[minL] < B[minR] // Finding minimum</pre>
        min = minL
    else
        min = minR
    if B[maxL] > B[maxR] // Finding maximum
        max = maxL
    else
        max= maxR
    if B[jL]-B[iL] > B[jR]-B[iR] and B[jL]-B[iL] > B[maxR]-B[minL]
        i = iL, j = jL
    else if B[jR]-B[iR] > B[maxR] - B[minL] // Finding i and j
        i = iR, i = iR
    else
        i = minL, j = maxR
    return i, j, min, max
```

- □ Input: You are given two polynomial A(x) and B(x) of order n
- $\square$  Goal: Find  $C(x) = A(x) \times B(x)$

Fact: Polynomial  $A(x) = \sum_{i=0}^{n} a_i x^i$  of order n can be represented by an array of size n+1

 $A(x) = 1 + 2x^2 - 4x^3$  can be represented by array (1, 0, 2, -4)

#### Example:

- $A(x) = 1 + 2x^2 4x^3$
- $B(x) = -1 + x x^3$
- $C(x) = A(x) \times B(x) = -1 + x 2x^2 + 5x^3 4x^4 2x^5 + 4x^6$

- □ Input: You are given two polynomial A(x) and B(x) of order n
- $\square$  Goal: Find  $C(x) = A(x) \times B(x)$

I can solve it in  $O(n^2)$ 

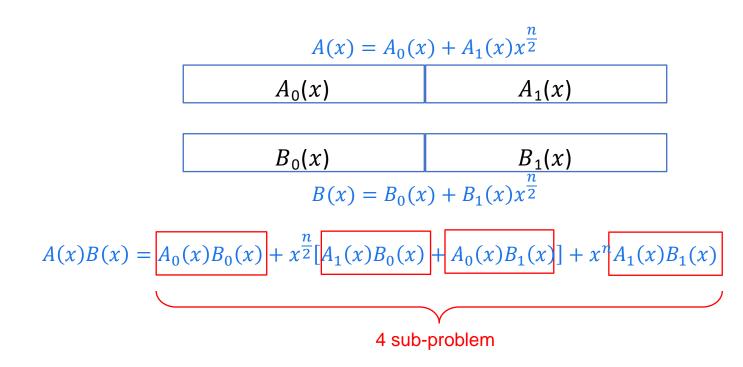


Since  $c_k = \sum_{i=0}^k a_i b_{k-i}$  each  $c_k$  can be computed in O(n)

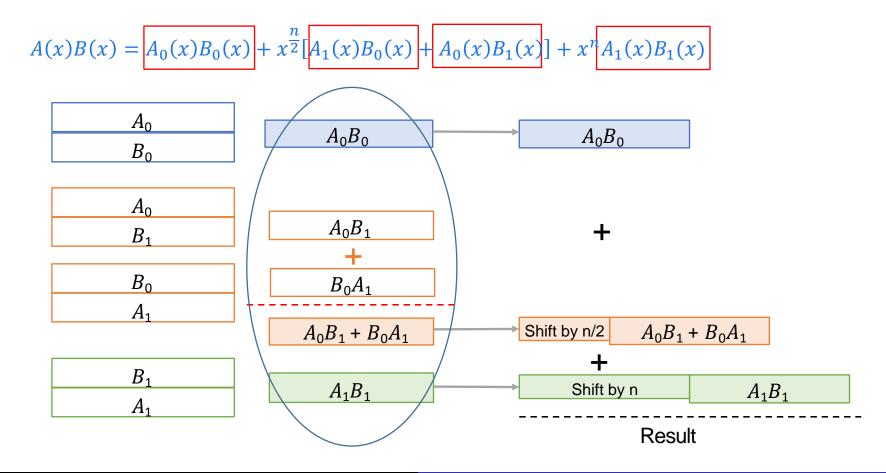
Can you design a faster one?



- □ Input: You are given two polynomial A(x) and B(x) of order n
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- □ Input: You are given two polynomial A(x) and B(x) of order n
- $\square$  Goal: Find  $C(x) = A(x) \times B(x)$

$$A(x)B(x) = A_0(x)B_0(x) + x^{\frac{n}{2}}[A_1(x)B_0(x) + A_0(x)B_1(x)] + x^{n}A_1(x)B_1(x)$$
4 sub-problem

Running time: 
$$T(n) = 4T(\frac{n}{2}) + O(n) \approx O(n^2)$$

Bottleneck is the number of sub-problems. How to reduce them?

- □ Input: You are given two polynomial A(x) and B(x) of order n
- $\square$  Goal: Find  $C(x) = A(x) \times B(x)$

$$A(x)B(x) = A_0(x)B_0(x) + x^{\frac{n}{2}}[A_1(x)B_0(x) + A_0(x)B_1(x)] + x^nA_1(x)B_1(x)$$

Idea: One can write  $A_1B_0 + A_0B_1$  as  $(A_1+A_0)(B_0+B_1) - A_0B_0 - A_1B_1$ . This reduces the number of sub-problems to three:

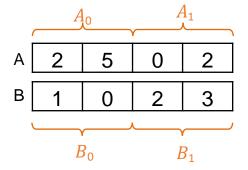
- $A_0B_0$
- $A_1B_1$
- $(A_0 + A_1)(B_0 + B_1)$

Running time: 
$$T(n) = 3T(\frac{n}{2}) + O(n) \approx O(n^{\log_2 3}) \approx O(n^{1.58})$$

## Polynomial Multiplication - Example

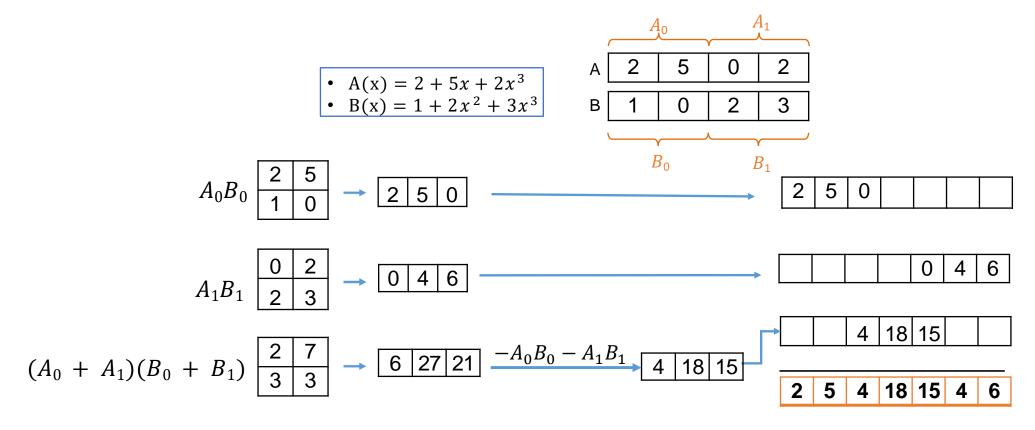
- □ Input: You are given two polynomial A(x) and B(x) of order n
- $\square$  Goal: Find  $C(x) = A(x) \times B(x)$

• 
$$A(x) = 2 + 5x + 2x^3$$
  
•  $B(x) = 1 + 2x^2 + 3x^3$ 

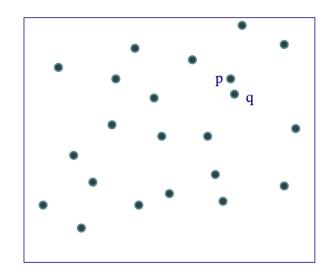


### Polynomial Multiplication - Example

- □ Input: You are given two polynomial A(x) and B(x) of order n
- $\square$  Goal: Find  $C(x) = A(x) \times B(x)$



- $\square$  Input: You are given an array of n points.
- □ Goal: Find the closest pair of points



I can solve it in  $O(n^2)$ 



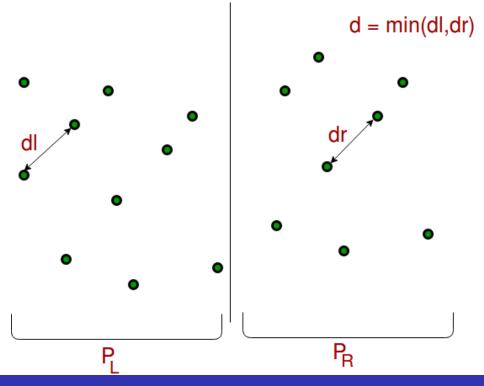
Check all pairs and choose the best one!

Can you design a faster one?

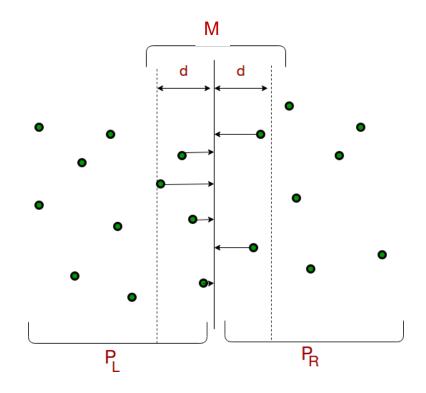


- ☐ Let's try the divide and conquer idea!
  - 1. Assume points are sorted based on their x value
  - 2. Divide points into half (boundary is x=M)
  - 3. dL = best solution for the left
  - 4. dR = best solution for the right
  - 5.  $d = \min(dL, dR)$ 
    - Is *d* the right answer?

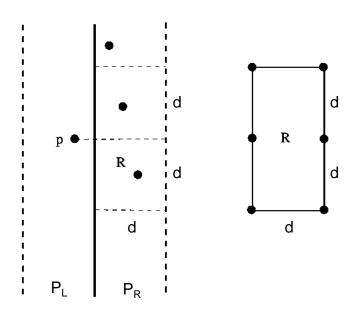
Options  $\begin{cases} & \text{Both points are in the left (recursive)} \\ & \text{Both points are in the right (recursive)} \\ & \text{One point is in the left and the other one in the right (How to find in <math>O(n)$ ?)} \end{cases}



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- 6. Discard any point with x < M d and x > M + d



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- 7. For the remaining points, sort them based on *y*
- 8. We just need to check each point with the next 11 points and update *d* if we find a better pair



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```
// how to implement in O(n)?
```

- // how to implement in O(n)?
- // how to implement in O(n)?

Divide

Merge

- ☐ Main idea: Preprocess
  - > Maintain three arrays:
    - P: original points
    - X: index of points assuming they're sorted based on their x value
    - Y: index of points assuming they're sorted based on their y value
- $\square$  We can build X and Y in  $O(n \log n)$  in the preprocess phase.

How to implement the algorithm based on this above idea?



- 1. Assume points are sorted based on their x value
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Handling corner cases properly

- M = P[X[n/2]].x
- We can easily divide array P, X, and Y with a simple loop. For example for array Y, here is the solution:

```
for i = 1 to n
   if P[Y[i]].x < M
        Add Y[i] to Y_l
   else
        Add Y[i] to Y_r</pre>
```

 Note that we prepare P\_l, X\_l, and Y\_l for the left sub-problem, and P\_r, X\_r, Y\_r for the right sub-problem.

```
for i = 1 to n
    if P[Y[i]].x < M
        Add Y[i] to Y_l
    else if P[Y[i]].x > M
        Add Y[i] to Y_r
    else if P[Y[i]].x == M and Y_l.length < n/2
        Add Y[i] to Y_l
    else
        Add Y[i] to Y_r</pre>
```

- 1. Assume points are sorted based on their x value
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- 4. dR = best solution for the right
- 5.  $d = \min(dL, dR)$
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We can discard points in a loop. For example for array Y:

```
for i = 1 to n
   if P[Y[i]].x > M - d and P[Y[i]].x < M + d
        Add Y[i] to Y_filtered</pre>
```

Already sorted in array Y

- 1. Assume points are sorted based on their x value
- 2. Divide points into half (boundary is x=M)
- 3. dL = best solution for the left
- 4. dR = best solution for the right
- 5.  $d = \min(dL, dR)$
- 6. Discard any point with x < M d and x > M + d
- 7. For the remaining points, sort them based on *y*
- 8. We just need to check each point with the next 11 points and update *d* if we find a better pair

We can find the smallest distance in the middle region:

```
k = size of Y_filtered array
for i = 1 to k
    p1 = P[Y_filtered[i]]
    for j = i + 1 to i + 11
        if j > k
            break
        p2 = P[Y_filtered[j]]
        if distance(p1, p2) < d
            d = distance(p1, p2)</pre>
```

#### Running time:

- *Preprocess:*  $O(n \log n)$
- $T(n) = 2T\left(\frac{n}{2}\right) + O(n) \approx O(n\log n)$

# MapReduce: A Practical Example

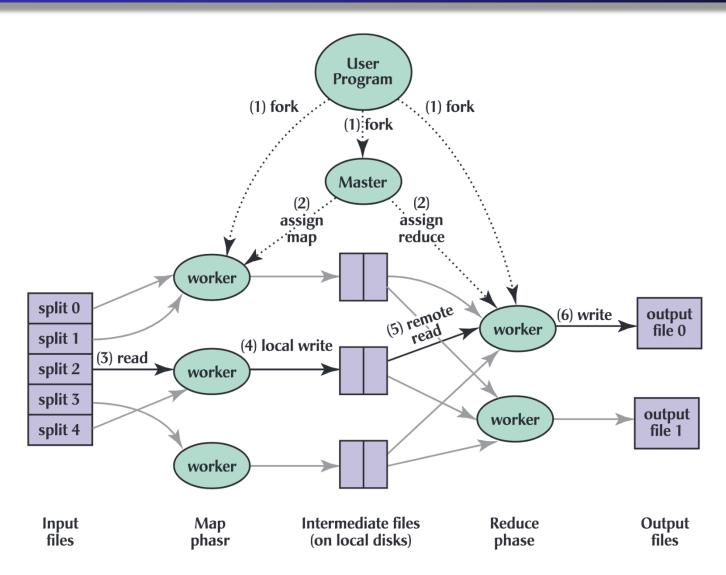
## What is big data?

- $\square$  How much data is actually considered *big*?
  - > 1 GB, 10GB, 100GB, 1TB, ...?
- ☐ Big data means your memory is small!
- ☐ How to handle big data?
  - > Sampling
  - > Streaming
  - > Distributing

# **BIG DATA**



#### MapReduce Architecture

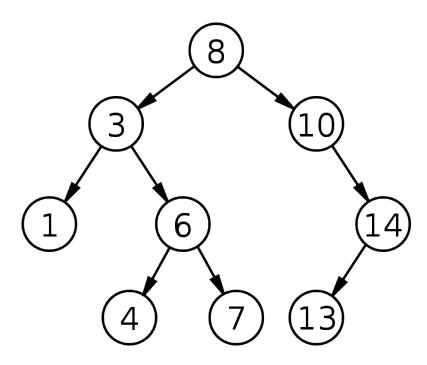


J. Dean and S. Ghemawat. "MapReduce: simplified data processing on large clusters." Communications of the ACM 51.1 (2008): 107-113.

# Sample Problems

## Lowest Common Ancestry in BST

- □ Input: A binary search tree (BST) *T*, its root node *r*, and two random nodes *x* and *y* in the tree.
- □ Goal: Find the lowest common ancestry of two nodes x and y in  $O(\log n)$ , where n is the number of nodes in the tree.
  - > Each node is a descendant of itself, so if v has a direct connection from w, w is the lowest common ancestor of v and w.
  - Note that a parent node p has pointers to its children p.leftChild and p.rightChild, but a child node does not have a pointer to its parent node.
- □ Recall that in a BST, the key in any node is larger than the keys in all nodes in that node's left subtree and smaller than the keys in all nodes in that node's right subtree.



## Largest *m* Integers

- $\square$  Assume that A is a very large unsorted array of integers with length n.
- $\square$  Find m largest integers in A, where  $m \ll n$  in less than  $O(n \log n)$ .
- $\square$  Also, the amount of additional memory that you are given is O(1).

## Find The Largest Ratio

- $\square$  You are given an array of *n* positive numbers A[1], A[2], ..., A[n].
- $\square$  Give a divide and conquer algorithm to find indices i < j such that  $\frac{A[j]}{A[i]}$  is maximized.
- $\square$  Your algorithm should run in O(n) time.