

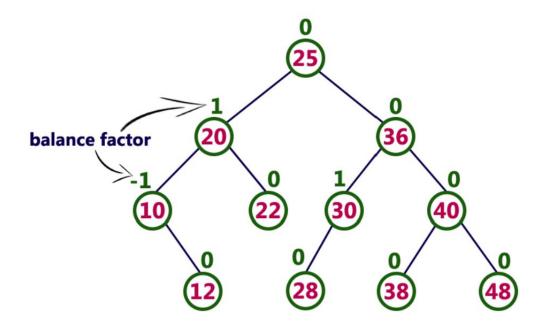
AVL tree

DATA STRUCTURES & ALGORITHMS



AVL tree

An AVL tree is a binary search tree that is height balanced: for each node x, the heights of the left and right subtrees of x differ by at most 1.





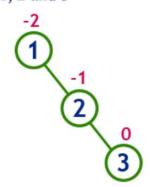
Insert

- standard BST insert for x
- Starting from **x**, travel up and find the first unbalanced node. Let **z** be the first unbalanced node, **y** be the child of **z** that comes on the path from **w** to **z** and **t** be the grandchild of **z** that comes on the path from **x** to **z**.
- 4 possible cases:
 - y is the left child of z and t is the left child of y (Left Left Case)
 - y is the left child of z and t is the right child of y (Left Right Case)
 - y is the right child of z and t is the right child of y (Right Right Case)
 - y is the right child of z and t is the left child of y (Right Left Case)

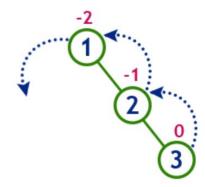


Left left case

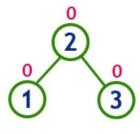
insert 1, 2 and 3



Tree is imbalanced



To make balanced we use LL Rotation which moves nodes one position to left

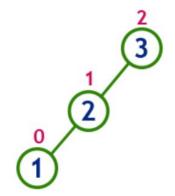


After LL Rotation Tree is Balanced



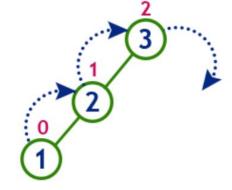
Right right case

insert 3, 2 and 1

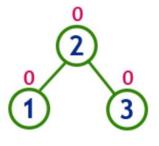


Tree is imbalanced

because node 3 has balance factor 2



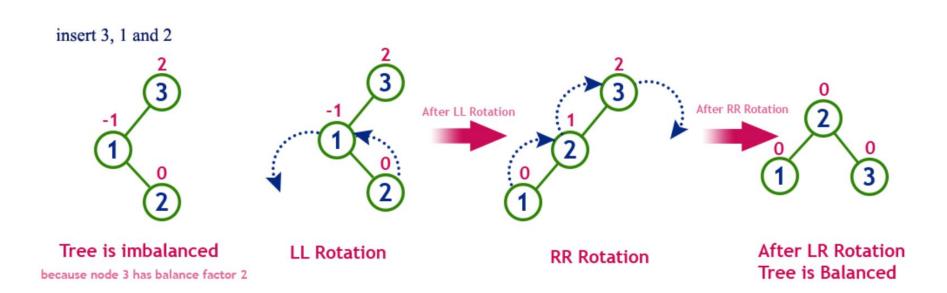
To make balanced we use RR Rotation which moves nodes one position to right



After RR Rotation Tree is Balanced



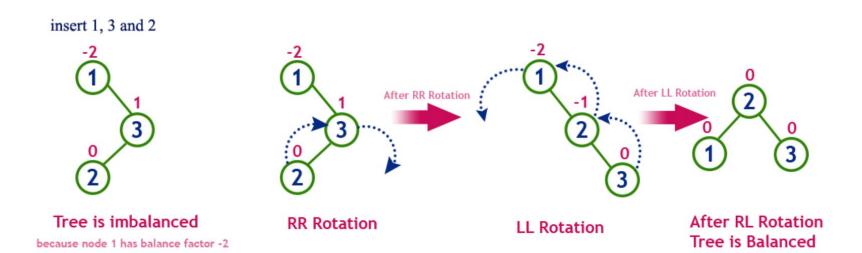
Left right case





Right left case







AVL tree height

- N(h-1), the minimum number of nodes in the left subtree of r
- N(h-2), the minimum number of nodes in the right subtree of r.

$$N(h) = 1 + N(h-1) + N(h-2)$$

We assumed that N(h-1) > N(h-2), so we can say that

$$N(h) > 1 + N(h-2) + N(h-2) = 1 + 2 \cdot N(h-2) > 2 \cdot N(h-2)$$

So we have:

$$N(h) > 2 \cdot N(h-2)$$

We can try to solve this as a recurrence (note that N(0) = 1):

$$N(h) > 2 \cdot N(h-2) > 2 \cdot 2 \cdot N(h-4) > 2 \cdot 2 \cdot 2 \cdot N(h-6) > \dots > 2^{h/2}$$

You can see it's $2^{h/2}$ by checking for a particular h = 6:

$$N(6) > 2 \cdot N(6-2) > 2 \cdot 2 \cdot N(4-2) > 2 \cdot 2 \cdot 2 \cdot N(2-2) > 2^3$$

Now, we can try and bound h:

$$N(h) > 2^{h/2} \stackrel{Take \log}{\longleftrightarrow} \log N(h) > \log 2^{h/2} \Leftrightarrow h < 2 \log N_h$$