

$$\mathbf{x} + \delta \quad \delta \sim \mathcal{N}(\mathbf{0}, \sigma^2 \mathbf{I})$$

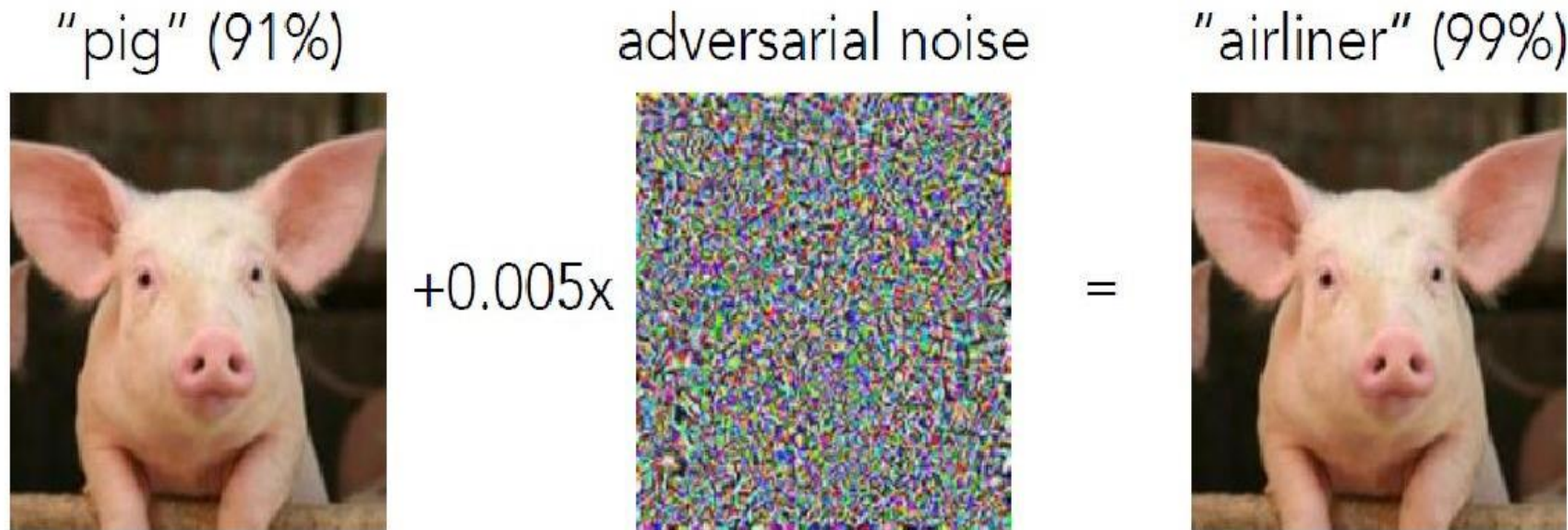
$$\mathbf{x} + \delta_{\text{adv}}$$

# Robustness: Attacks and Defenses

Mostafa Tavassolipour

# Robustness

- Robust training
  - Train a model so that small changes in input do not change the classifier output



# Neighborhood Metric

- $\ell_p$  norms:

$$x \rightarrow x'$$

$$f(x) \neq f(x')$$

$$\|x\|_p = (|x_1|^p + |x_2|^p + \dots + |x_n|^p)^{1/p}$$

$$\ell_0 \quad \|x\|_0 : \text{تعداد درایه‌های غیر صفر}$$

$$\ell_1 \quad \|x\|_1$$

$$\ell_2 \quad \|x\|_2$$

$$\ell_\infty \quad \|x\|_\infty = \max(|x_1|, |x_2|, \dots, |x_n|)$$

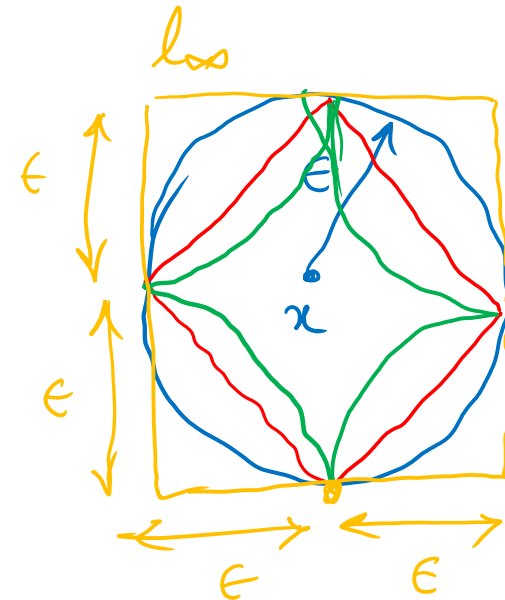
$$\|x - x'\|_p \leq \epsilon$$

$$\|x - x'\|_2 \leq \epsilon$$

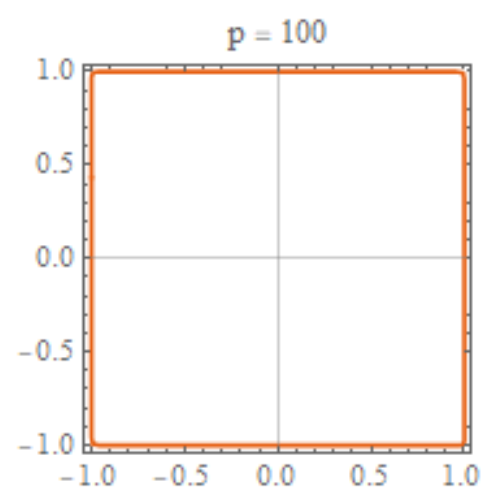
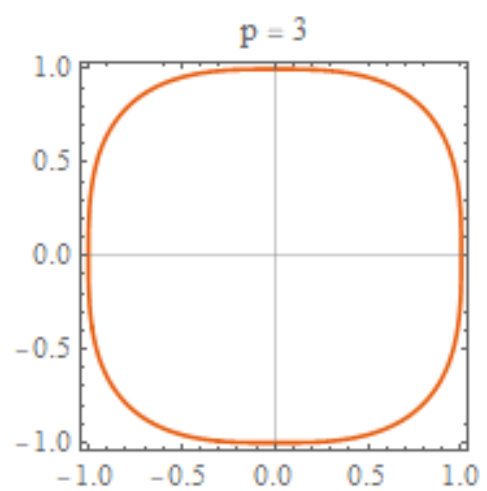
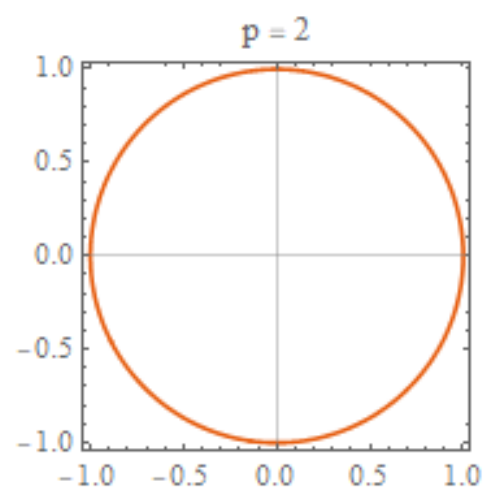
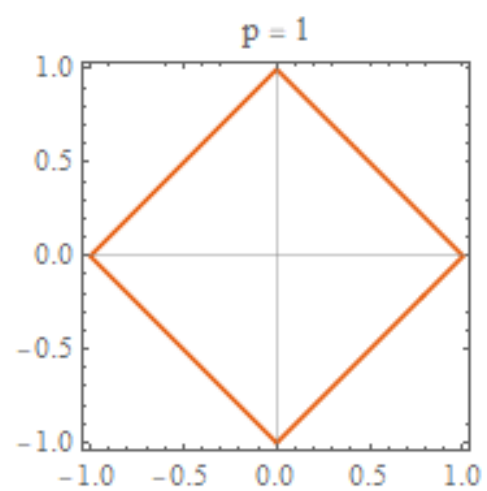
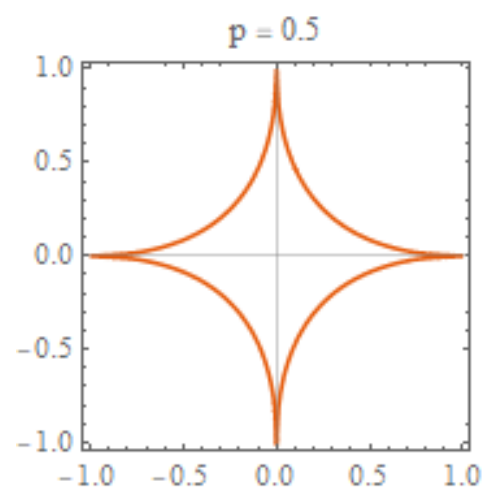
$$\|x - x'\|_1 \leq \epsilon$$

$$\|x - x'\|_p \leq \epsilon$$

•  $1 < p < \infty$

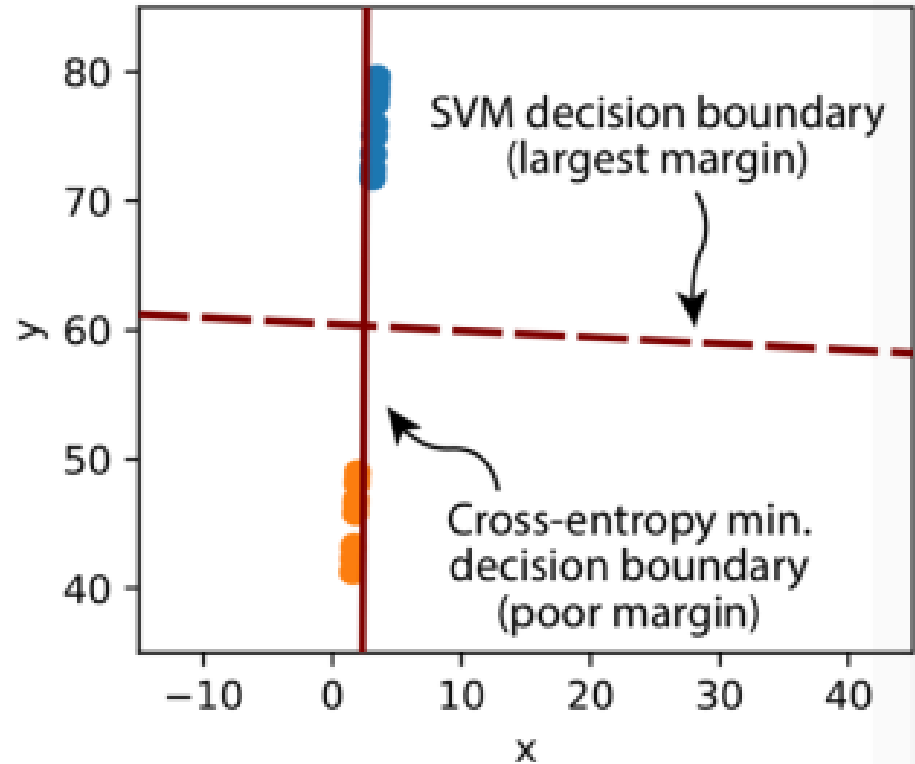


$$\|x - x'\|_\infty \leq \epsilon$$

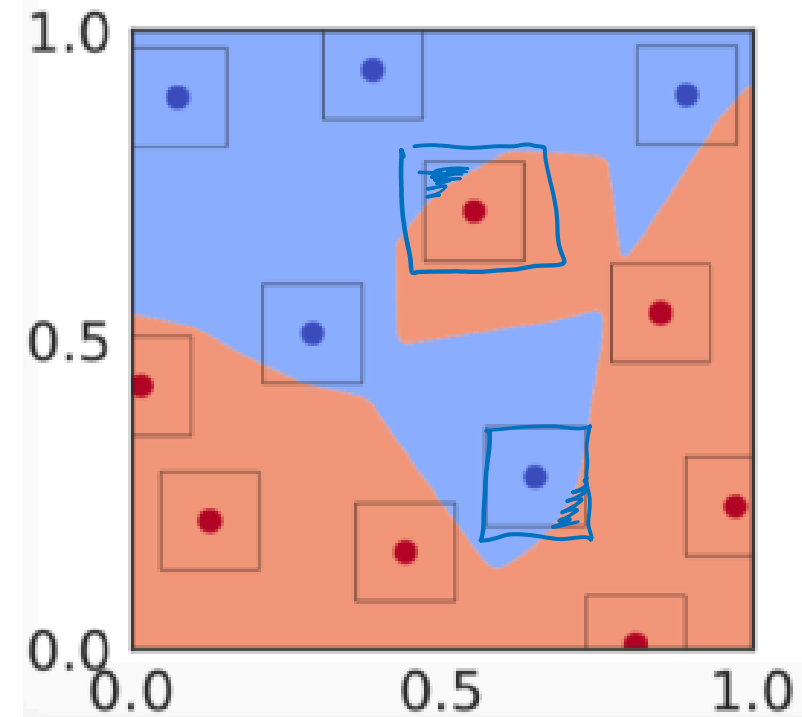


# Why are there adversarial examples?

$h_\infty$



Linear Case



Non-Linear Case

# Robustness and Accuracy tradeoff

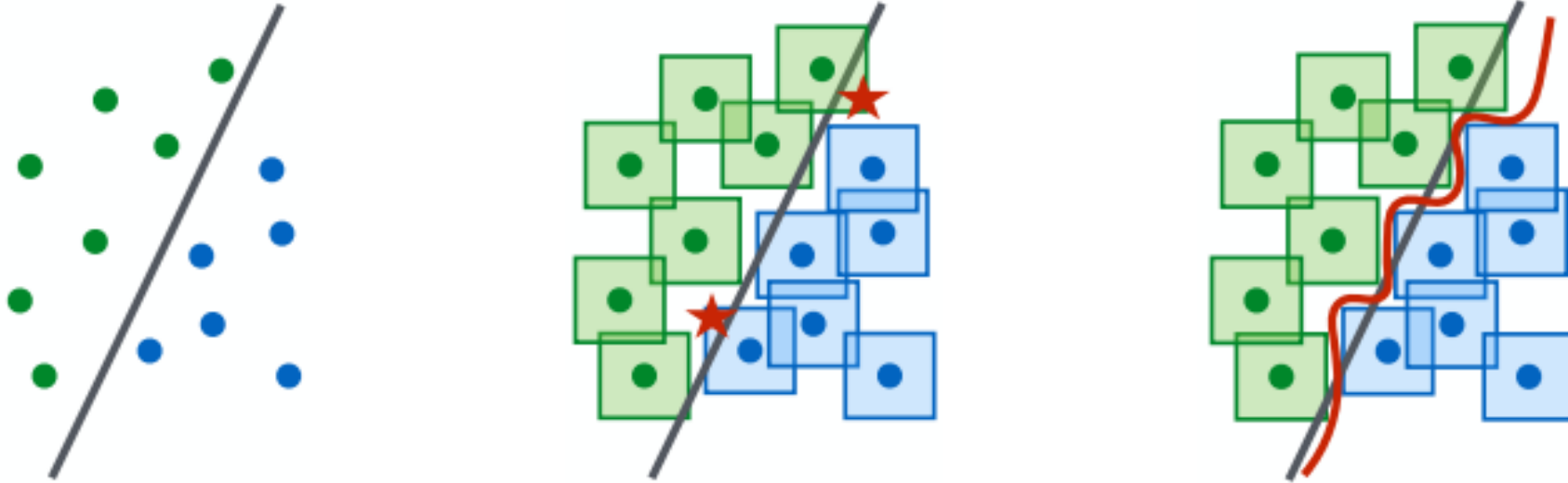


Figure 3: A conceptual illustration of standard vs. adversarial decision boundaries. Left: A set of points that can be easily separated with a simple (in this case, linear) decision boundary. Middle: The simple decision boundary does not separate the  $\ell_\infty$ -balls (here, squares) around the data points. Hence there are adversarial examples (the red stars) that will be misclassified. Right: Separating the  $\ell_\infty$ -balls requires a significantly more complicated decision boundary. The resulting classifier is robust to adversarial examples with bounded  $\ell_\infty$ -norm perturbations.

# Robustness and Accuracy tradeoff

certified

Robustness

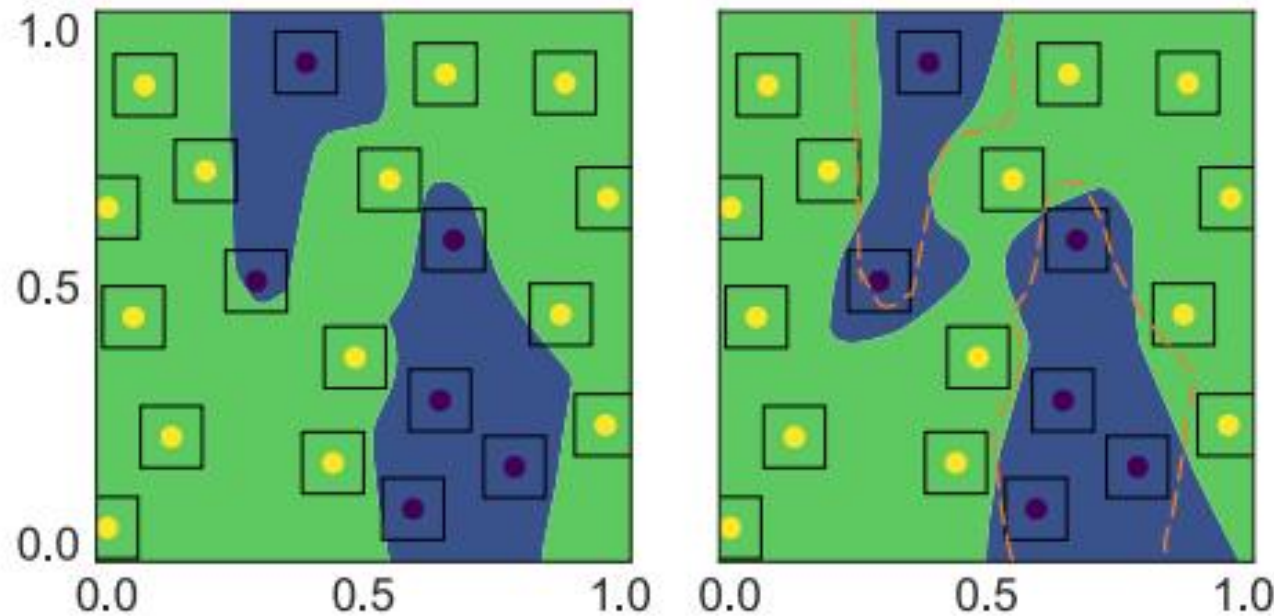


Figure 1: **Left figure:** decision boundary learned by natural training method. **Right figure:** decision boundary learned by our adversarial training method, where the orange dotted line represents the decision boundary in the left figure. It shows that both methods achieve zero natural training error, while our adversarial training method achieves better robust training error than the natural training method.

# Attacks

- Targeted
- Non-targeted

untargeted

$$x \rightarrow y$$
$$x' \rightarrow y'$$

$$x \rightarrow y$$
$$x' \rightarrow \neq y$$

$$y = f(x)$$

↗ classifier

- Knowledge about model:
  - White-box
  - Gray-box
  - Black-box



# Targeted vs. Non-targeted

- Non-targeted attack
  - The goal is to fool the classifier for an adversarial input to output any label other than the ground-truth label
  - E.g., perturb an image of panda, so that the model predicts it is any other class than a panda
- Targeted attack
  - The goal is to fool the classifier to predict a target label for an adversarial input
  - More difficult, in comparison to non-targeted attack
  - E.g., perturb an image of a turtle, so that the model predicts it is a rifle
  - E.g., perturb an image of a STOP sign, so that the model predicts it is a Speed Limit 45 sign

# White-box vs. Black-box Attacks

- • **White-box attack**
  - Attackers have full knowledge about the ML model
  - I.e., they have access to weights, hyper-parameters, gradients, architecture, etc.
- • **Black-box attack**
  - Attackers don't have access to the ML model weights, gradients, architecture
  - Attackers may query the black-box model to obtain knowledge about the model. E.g., submit adversarial examples, and obtain the model's output (class label)
  - A body of work has focused on **query-efficient black-box attacks**, where the goal is to generate adversarial examples using a limited number of queries
  - Black-box attacks are more realistic, because model designers usually do not open source the model parameters
- • **Gray-box attack**
  - Perhaps they have some knowledge about the used ML model
  - E.g., attackers may know that a ResNet50 model is used for classification, but they don't have access to the model weights

Biker



Pedestrian Sign



Persons

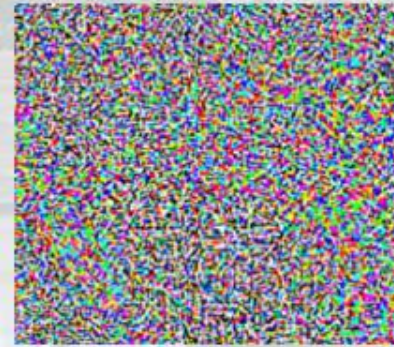




Biker



+ .007



=



Small but carefully-crafted  
adversarial perturbation

Green Traffic  
Light

**Adversarial  
Perturbation Attack**



Pedestrian Sign



(Minimal) Speed  
Limit Sign

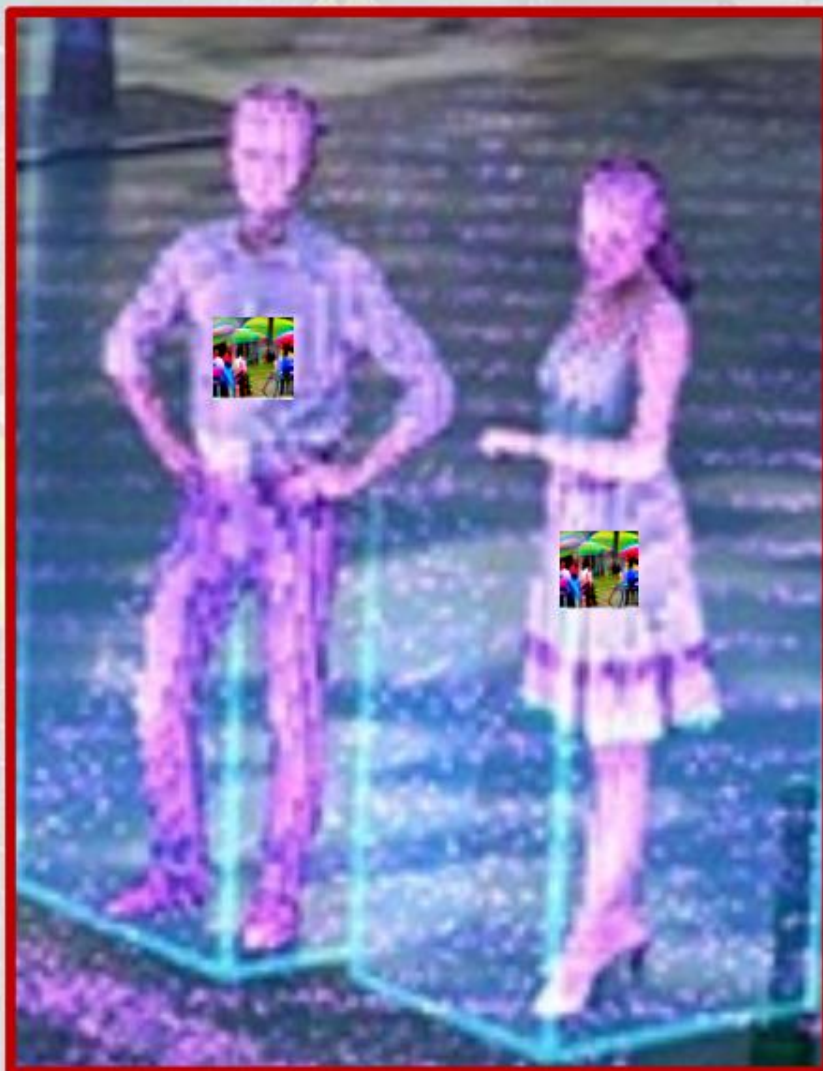
**Adversarial  
Rotation Attack**



No Person

Persons

**Adversarial  
Patch Attack**



# Adversarial Attacks

- L-BFGS algorithm
- Fast gradient sign method (FGSM)
- BIM and PGD
- Carlini and Wagner (CW)
- Adversarial patch
- GAN-based attacks
- ...

# L-BFGS algorithm

optimization

$$\min_{x'} \|x - x'\|_p$$

$$\text{s.t. } \begin{matrix} \rightarrow f(x') = y' \\ y' \neq y \end{matrix}$$

$$\min_{x'} \|x - x'\|_p$$

$$\text{s.t. } f(x') \neq y$$

non-targeted

- Relaxed version:

$$\min_{x'} c \|x - x'\|_p + \underbrace{J(\theta, x', y')}_{\text{Cross-entropy}}$$

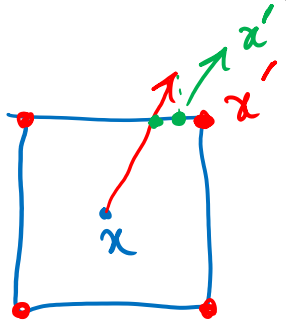
c is a hyperparameter.

$$\min_{x'} c \|x - x'\|_p \ominus J(\theta, x', y)$$



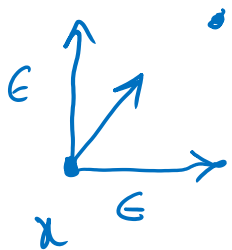
# Fast gradient sign method (FGSM)

- Non-targeted Attack



$$x' = x + \epsilon \operatorname{sign}[\nabla_x J(\theta, x, y)]$$

- Targeted Attack:



$$x' = x \ominus \epsilon \operatorname{sign}[\nabla_x J(\theta, x, y')]$$

$$l_\infty \quad \epsilon$$

$$x = \begin{bmatrix} x_1 \\ \vdots \\ x_d \end{bmatrix}$$

$$x' = \begin{bmatrix} x_1 \pm \epsilon \\ x_2 \pm \epsilon \\ \vdots \\ x_d \pm \epsilon \end{bmatrix}$$

# FGSM example

$$x - x'$$



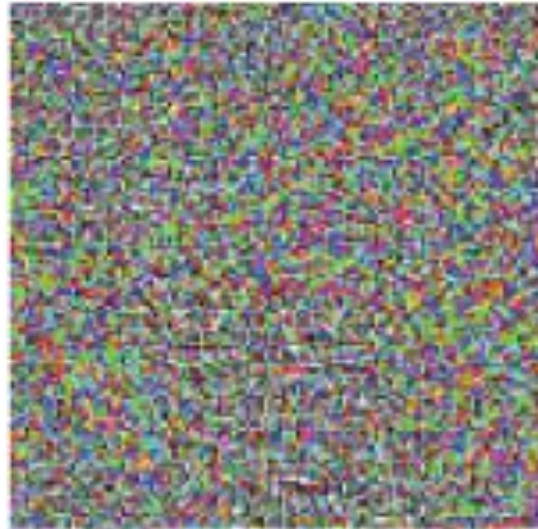
$x$

"Panda"

57.7% confidence

$$+ 0.007 \times$$

$$\underbrace{\quad}_{\epsilon}$$



$$\text{sign}[\nabla_x J(\theta, x, y)]$$

"Nematode"

8.2% confidence

=



$x +$

$$\epsilon \cdot \text{sign}[\nabla_x J(\theta, x, y)]$$

"Gibbon"

99.3% confidence

# Basic Iterative Method (BIM)

- BIM improves the performance of FGSM
- The BIM performs FGSM with a smaller step size and clips the updated adversarial sample into a valid range for T iterations

$$x'_{t+1} = \text{Clip} \{x'_t + \alpha \text{Sign}[\nabla_x J(\theta, x'_t, y)]\}$$

where  $\alpha T = \epsilon$

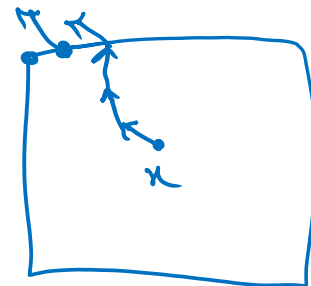
$$\alpha = \frac{\epsilon}{T}$$

$$x'_0 = x$$

$$x'_1$$

$\vdots$

$$x'_T$$

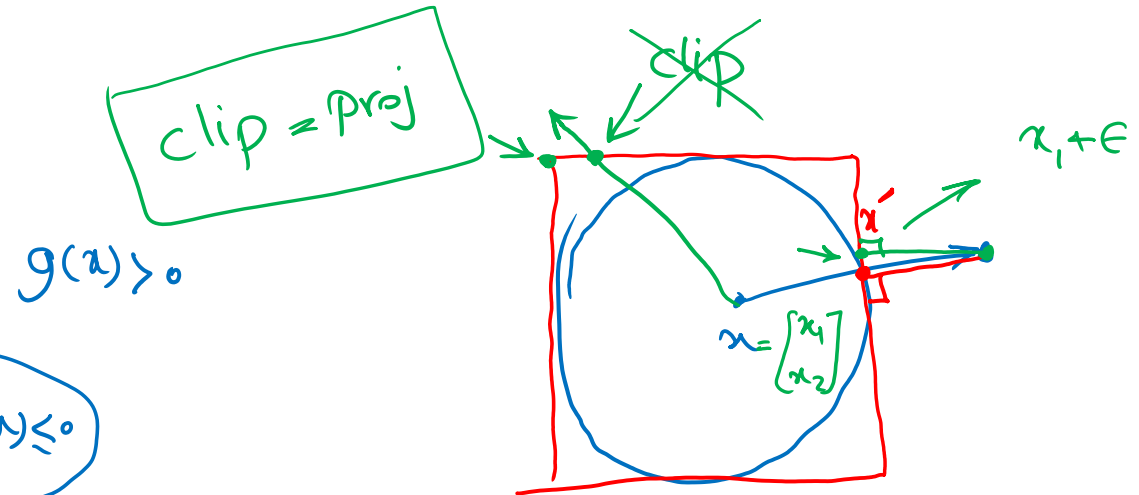
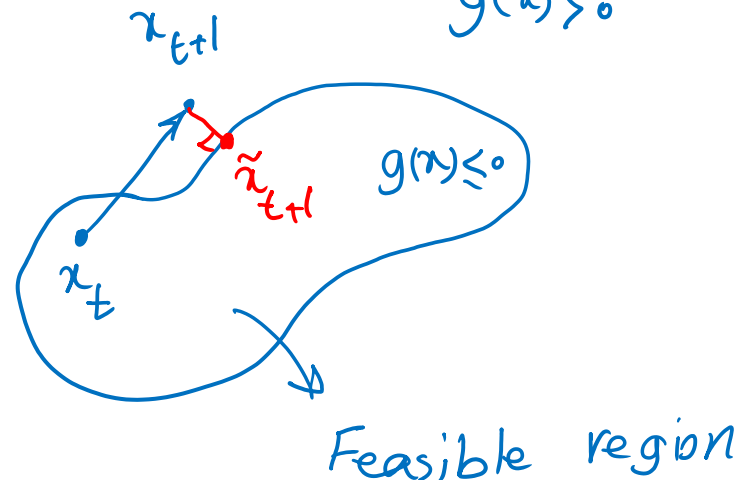


# Projected Gradient Descent (PGD)

- PGD can be considered as a generalized version of BIM without the constraint  $\alpha T = \epsilon$

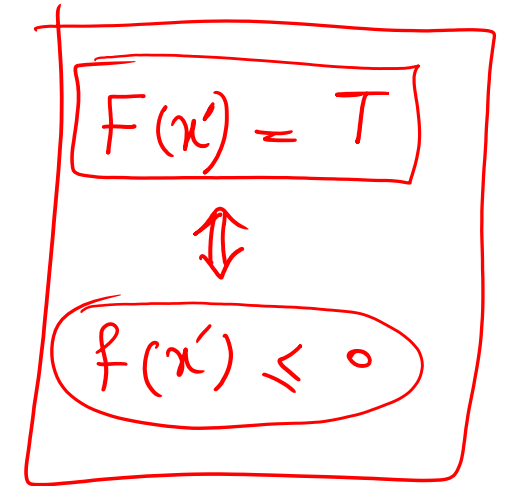
$$x'_{t+1} = \underbrace{\text{Proj}}_{\uparrow} \{x'_t + \alpha \text{Sign}[\nabla_x J(\theta, x'_t, y)]\}$$

$$\begin{array}{ll} \min_x & f(x) \\ \text{s.t.} & g(x) \leq 0 \end{array}$$



# Carlini and Wagner (CW)

$$\begin{aligned} \longrightarrow \min_{\delta} D(x, \overbrace{x + \delta}^{x'}) + c \overset{\downarrow}{f}(x + \delta) \\ \text{s. t. } \underbrace{(x + \delta)}_{\leftarrow} \in [0, 1]^n \end{aligned}$$



$f(x + \delta) \leq 0$  if and only if the DNN's prediction is the attack target.

To ensure the constraint, they proposed:

$$x + \delta = \frac{1}{2} (\tanh(\kappa) + 1) \quad \text{--- } x$$

A graph of the tanh function. The curve is S-shaped, passing through the origin (0,0). Horizontal dashed lines are drawn at y=1 and y=-1. The x-axis is labeled with a blue  $\kappa$ . A blue dot is marked on the curve at the point where it intersects the horizontal axis. To the left of the graph, the equation  $x + \delta = \frac{1}{2} (\tanh(\kappa) + 1)$  is written in blue. A blue arrow points from the  $\kappa$  in the equation to the  $\kappa$  on the x-axis. A blue circle with a diagonal line through it is drawn around the  $-x$  term in the equation.

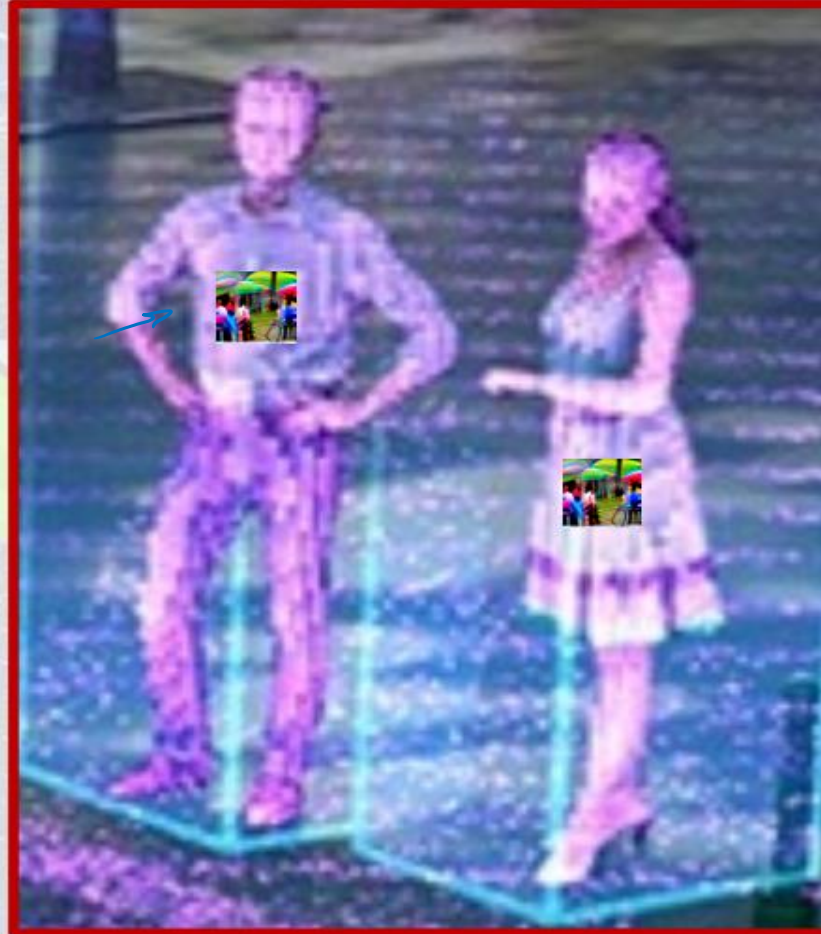


# Adversarial Patch

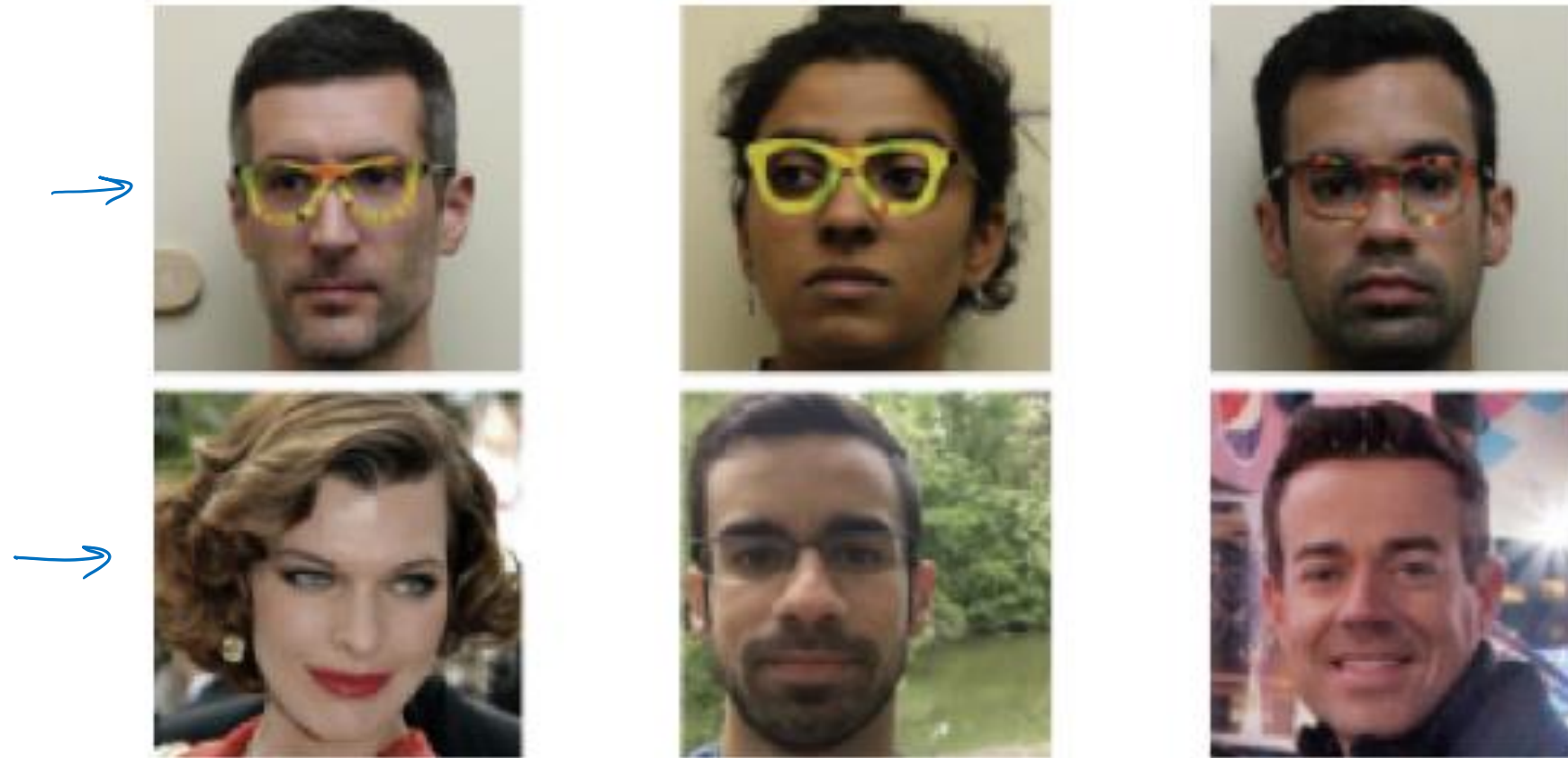
No Person

Persons

**Adversarial  
Patch Attack**



# Adversarial Patch



**Fig. 4.** Eyeglasses with adversarial perturbations deceive a facial recognition system to recognize the faces in the first row as those in the second row [25].

# GAN-based attacks

- A generator is trained to learn the adversarial distribution by maximizing the target adversarial loss  $J(\theta, x', y')$  and the GAN loss.

