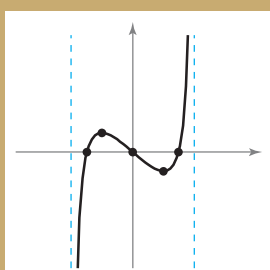
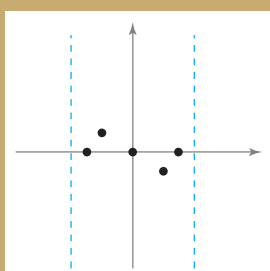
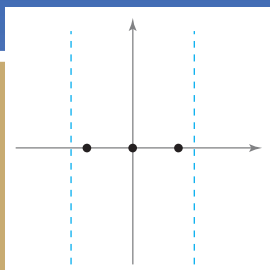


4 Applications of Differentiation



In Chapter 4, you will use calculus to analyze graphs of functions. For example, you can use the derivative of a function to determine the function's maximum and minimum values. You can use limits to identify any asymptotes of the function's graph. In Section 4.6, you will combine these techniques to sketch the graph of a function.

When a glassblower removes a glowing “blob” of molten glass from a kiln, its temperature is about 1700°F . At first, the molten glass cools rapidly. Then, as the temperature of the glass approaches room temperature, it cools more and more slowly. Will the temperature of the glass ever actually reach room temperature? Why?



www.shawnolson.net/a/507

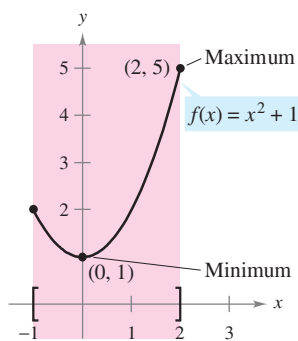
Section 4.1

Extrema on an Interval

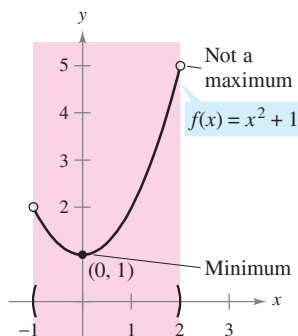
- Understand the definition of extrema of a function on an interval.
- Understand the definition of relative extrema of a function on an open interval.
- Find extrema on a closed interval.

Extrema of a Function

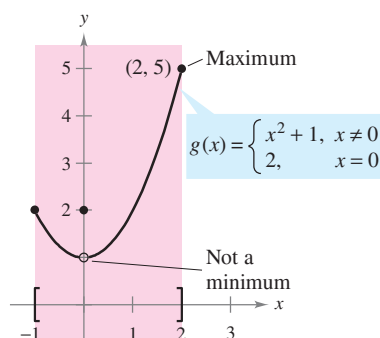
In calculus, much effort is devoted to determining the behavior of a function f on an interval I . Does f have a maximum value on I ? Does it have a minimum value? Where is the function increasing? Where is it decreasing? In this chapter you will learn how derivatives can be used to answer these questions. You will also see why these questions are important in real-life applications.



(a) f is continuous, $[-1, 2]$ is closed.



(b) f is continuous, $(-1, 2)$ is open.



(c) g is not continuous, $[-1, 2]$ is closed. Extrema can occur at interior points or endpoints of an interval. Extrema that occur at the endpoints are called **endpoint extrema**.

Figure 4.1

Definition of Extrema

Let f be defined on an interval I containing c .

1. $f(c)$ is the **minimum of f on I** if $f(c) \leq f(x)$ for all x in I .
2. $f(c)$ is the **maximum of f on I** if $f(c) \geq f(x)$ for all x in I .

The minimum and maximum of a function on an interval are the **extreme values**, or **extrema** (the singular form of extrema is extremum), of the function on the interval. The minimum and maximum of a function on an interval are also called the **absolute minimum** and **absolute maximum** on the interval.

A function need not have a minimum or a maximum on an interval. For instance, in Figure 4.1(a) and (b), you can see that the function $f(x) = x^2 + 1$ has both a minimum and a maximum on the closed interval $[-1, 2]$, but does not have a maximum on the open interval $(-1, 2)$. Moreover, in Figure 4.1(c), you can see that continuity (or the lack of it) can affect the existence of an extremum on the interval. This suggests the following theorem. (Although the Extreme Value Theorem is intuitively plausible, a proof of this theorem is not within the scope of this text.)

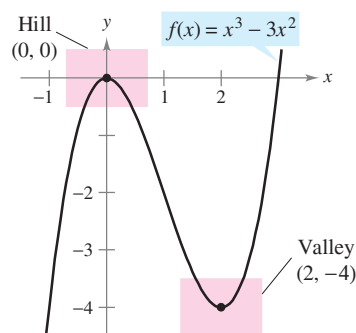
THEOREM 4.1 The Extreme Value Theorem

If f is continuous on a closed interval $[a, b]$, then f has both a minimum and a maximum on the interval.

EXPLORATION

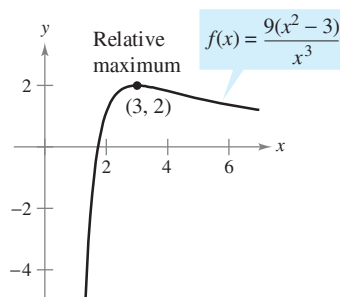
Finding Minimum and Maximum Values The Extreme Value Theorem (like the Intermediate Value Theorem) is an *existence theorem* because it tells of the existence of minimum and maximum values but does not show how to find these values. Use the extreme-value capability of a graphing utility to find the minimum and maximum values of each of the following functions. In each case, do you think the x -values are exact or approximate? Explain your reasoning.

- $f(x) = x^2 - 4x + 5$ on the closed interval $[-1, 3]$
- $f(x) = x^3 - 2x^2 - 3x - 2$ on the closed interval $[-1, 3]$

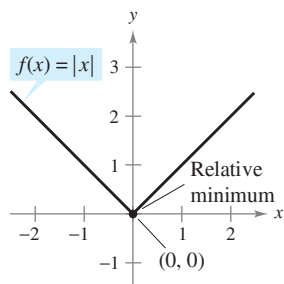


f has a relative maximum at $(0, 0)$ and a relative minimum at $(2, -4)$.

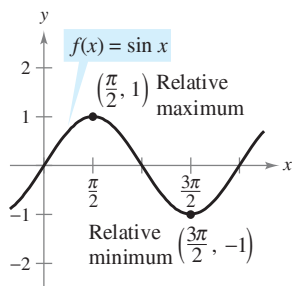
Figure 4.2



(a) $f'(3) = 0$



(b) $f'(0)$ does not exist.



(c) $f'(\pi/2) = 0$; $f'(3\pi/2) = 0$

Figure 4.3

Relative Extrema and Critical Numbers

In Figure 4.2, the graph of $f(x) = x^3 - 3x^2$ has a **relative maximum** at the point $(0, 0)$ and a **relative minimum** at the point $(2, -4)$. Informally, you can think of a relative maximum as occurring on a “hill” on the graph, and a relative minimum as occurring in a “valley” on the graph. Such a hill and valley can occur in two ways. If the hill (or valley) is smooth and rounded, the graph has a horizontal tangent line at the high point (or low point). If the hill (or valley) is sharp and peaked, the graph represents a function that is not differentiable at the high point (or low point).

Definition of Relative Extrema

1. If there is an open interval containing c on which $f(c)$ is a maximum, then $f(c)$ is called a **relative maximum** of f , or you can say that f has a **relative maximum at $(c, f(c))$** .
2. If there is an open interval containing c on which $f(c)$ is a minimum, then $f(c)$ is called a **relative minimum** of f , or you can say that f has a **relative minimum at $(c, f(c))$** .

The plural of relative maximum is relative maxima, and the plural of relative minimum is relative minima.

Example 1 examines the derivatives of functions at *given* relative extrema. (Much more is said about *finding* the relative extrema of a function in Section 4.3.)

EXAMPLE 1 The Value of the Derivative at Relative Extrema

Find the value of the derivative at each of the relative extrema shown in Figure 4.3.

Solution

- a. The derivative of $f(x) = \frac{9(x^2 - 3)}{x^3}$ is

$$\begin{aligned} f'(x) &= \frac{x^3(18x) - (9)(x^2 - 3)(3x^2)}{(x^3)^2} \\ &= \frac{9(9 - x^2)}{x^4}. \end{aligned}$$

Differentiate using Quotient Rule.

Simplify.

At the point $(3, 2)$, the value of the derivative is $f'(3) = 0$ [see Figure 4.3(a)].

- b. At $x = 0$, the derivative of $f(x) = |x|$ *does not exist* because the following one-sided limits differ [see Figure 4.3(b)].

$$\lim_{x \rightarrow 0^-} \frac{f(x) - f(0)}{x - 0} = \lim_{x \rightarrow 0^-} \frac{|x|}{x} = -1$$

Limit from the left

$$\lim_{x \rightarrow 0^+} \frac{f(x) - f(0)}{x - 0} = \lim_{x \rightarrow 0^+} \frac{|x|}{x} = 1$$

Limit from the right

- c. The derivative of $f(x) = \sin x$ is

$$f'(x) = \cos x.$$

At the point $(\pi/2, 1)$, the value of the derivative is $f'(\pi/2) = \cos(\pi/2) = 0$. At the point $(3\pi/2, -1)$, the value of the derivative is $f'(3\pi/2) = \cos(3\pi/2) = 0$ [see Figure 4.3(c)].

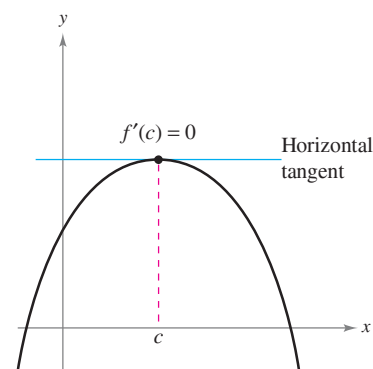
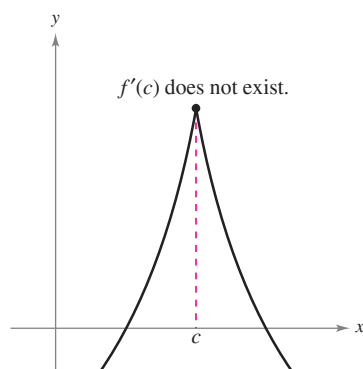
TECHNOLOGY Use a graphing utility to examine the graphs of the following four functions. Only one of the functions has critical numbers. Which is it?

$$\begin{aligned}f(x) &= e^x \\f(x) &= \ln x \\f(x) &= \sin x \\f(x) &= \tan x\end{aligned}$$

Note in Example 1 that at each relative extremum, the derivative is either zero or does not exist. The x -values at these special points are called **critical numbers**. Figure 4.4 illustrates the two types of critical numbers.

Definition of a Critical Number

Let f be defined at c . If $f'(c) = 0$ or if f is not differentiable at c , then c is a **critical number** of f .



c is a critical number of f .

Figure 4.4

THEOREM 4.2 Relative Extrema Occur Only at Critical Numbers

If f has a relative minimum or relative maximum at $x = c$, then c is a critical number of f .

Proof

Case 1: If f is not differentiable at $x = c$, then, by definition, c is a critical number of f and the theorem is valid.

Case 2: If f is differentiable at $x = c$, then $f'(c)$ must be positive, negative, or 0. Suppose $f'(c)$ is positive. Then

$$f'(c) = \lim_{x \rightarrow c} \frac{f(x) - f(c)}{x - c} > 0$$

which implies that there exists an interval (a, b) containing c such that

$$\frac{f(x) - f(c)}{x - c} > 0, \text{ for all } x \neq c \text{ in } (a, b). \quad [\text{See Exercise 74(b), Section 2.2.}]$$

Because this quotient is positive, the signs of the denominator and numerator must agree. This produces the following inequalities for x -values in the interval (a, b) .

Left of c : $x < c$ and $f(x) < f(c)$ \Rightarrow $f(c)$ is not a relative minimum

Right of c : $x > c$ and $f(x) > f(c)$ \Rightarrow $f(c)$ is not a relative maximum

So, the assumption that $f'(c) > 0$ contradicts the hypothesis that $f(c)$ is a relative extremum. Assuming that $f'(c) < 0$ produces a similar contradiction, you are left with only one possibility—namely, $f'(c) = 0$. So, by definition, c is a critical number of f and the theorem is valid.



PIERRE DE FERMAT (1601–1665)

For Fermat, who was trained as a lawyer, mathematics was more of a hobby than a profession. Nevertheless, Fermat made many contributions to analytic geometry, number theory, calculus, and probability. In letters to friends, he wrote of many of the fundamental ideas of calculus, long before Newton or Leibniz. For instance, the theorem at the right is sometimes attributed to Fermat.

Finding Extrema on a Closed Interval

Theorem 4.2 states that the relative extrema of a function can occur *only* at the critical numbers of the function. Knowing this, you can use the following guidelines to find extrema on a closed interval.

Guidelines for Finding Extrema on a Closed Interval

To find the extrema of a continuous function f on a closed interval $[a, b]$, use the following steps.

1. Find the critical numbers of f in (a, b) .
2. Evaluate f at each critical number in (a, b) .
3. Evaluate f at each endpoint of $[a, b]$.
4. The least of these values is the minimum. The greatest is the maximum.

The next three examples show how to apply these guidelines. Be sure you see that finding the critical numbers of the function is only part of the procedure. Evaluating the function at the critical numbers *and* the endpoints is the other part.

EXAMPLE 2 Finding Extrema on a Closed Interval

Find the extrema of $f(x) = 3x^4 - 4x^3$ on the interval $[-1, 2]$.

Solution Begin by differentiating the function.

$$f(x) = 3x^4 - 4x^3 \quad \text{Write original function.}$$

$$f'(x) = 12x^3 - 12x^2 \quad \text{Differentiate.}$$

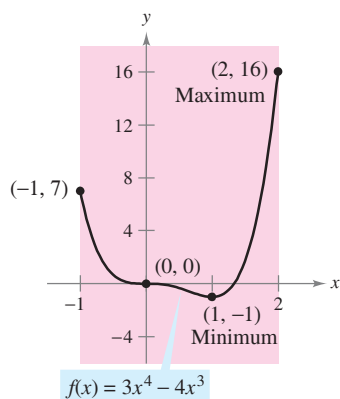
To find the critical numbers of f , you must find all x -values for which $f'(x) = 0$ and all x -values for which $f'(x)$ does not exist.

$$f'(x) = 12x^3 - 12x^2 = 0 \quad \text{Set } f'(x) \text{ equal to 0.}$$

$$12x^2(x - 1) = 0 \quad \text{Factor.}$$

$$x = 0, 1 \quad \text{Critical numbers}$$

Because f' is defined for all x , you can conclude that these are the only critical numbers of f . By evaluating f at these two critical numbers and at the endpoints of $[-1, 2]$, you can determine that the maximum is $f(2) = 16$ and the minimum is $f(1) = -1$, as shown in the table. The graph of f is shown in Figure 4.5.

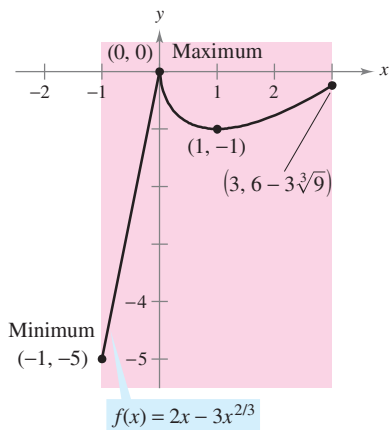


On the closed interval $[-1, 2]$, f has a minimum at $(1, -1)$ and a maximum at $(2, 16)$.

Figure 4.5

Left Endpoint	Critical Number	Critical Number	Right Endpoint
$f(-1) = 7$	$f(0) = 0$	$f(1) = -1$ Minimum	$f(2) = 16$ Maximum

In Figure 4.5, note that the critical number $x = 0$ does not yield a relative minimum or a relative maximum. This tells you that the converse of Theorem 4.2 is not true. In other words, *the critical numbers of a function need not produce relative extrema.*



On the closed interval $[-1, 3]$, f has a minimum at $(-1, -5)$ and a maximum at $(0, 0)$.

Figure 4.6

EXAMPLE 3 Finding Extrema on a Closed Interval

Find the extrema of $f(x) = 2x - 3x^{2/3}$ on the interval $[-1, 3]$.

Solution Begin by differentiating the function.

$$f(x) = 2x - 3x^{2/3} \quad \text{Write original function.}$$

$$f'(x) = 2 - \frac{2}{x^{1/3}} = 2\left(\frac{x^{1/3} - 1}{x^{1/3}}\right) \quad \text{Differentiate.}$$

From this derivative, you can see that the function has two critical numbers in the interval $[-1, 3]$. The number 1 is a critical number because $f'(1) = 0$, and the number 0 is a critical number because $f'(0)$ does not exist. By evaluating f at these two numbers and at the endpoints of the interval, you can conclude that the minimum is $f(-1) = -5$ and the maximum is $f(0) = 0$, as shown in the table. The graph of f is shown in Figure 4.6.

Left Endpoint	Critical Number	Critical Number	Right Endpoint
$f(-1) = -5$ Minimum	$f(0) = 0$ Maximum	$f(1) = -1$	$f(3) = 6 - 3\sqrt[3]{9} \approx -0.24$



EXAMPLE 4 Finding Extrema on a Closed Interval

Find the extrema of $f(x) = 2 \sin x - \cos 2x$ on the interval $[0, 2\pi]$.

Solution This function is differentiable for all real x , so you can find all critical numbers by differentiating the function and setting $f'(x)$ equal to zero, as shown.

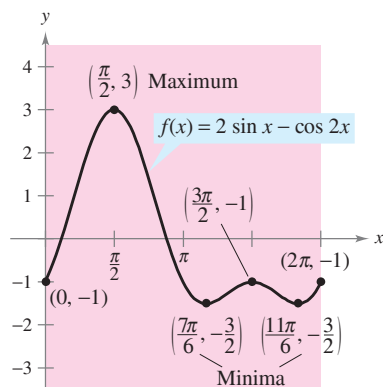
$$f(x) = 2 \sin x - \cos 2x \quad \text{Write original function.}$$

$$f'(x) = 2 \cos x + 2 \sin 2x = 0 \quad \text{Set } f'(x) \text{ equal to 0.}$$

$$2 \cos x + 4 \cos x \sin x = 0 \quad \sin 2x = 2 \cos x \sin x$$

$$2(\cos x)(1 + 2 \sin x) = 0 \quad \text{Factor.}$$

In the interval $[0, 2\pi]$, the factor $\cos x$ is zero when $x = \pi/2$ and when $x = 3\pi/2$. The factor $(1 + 2 \sin x)$ is zero when $x = 7\pi/6$ and when $x = 11\pi/6$. By evaluating f at these four critical numbers and at the endpoints of the interval, you can conclude that the maximum is $f(\pi/2) = 3$ and the minimum occurs at *two* points, $f(7\pi/6) = -3/2$ and $f(11\pi/6) = -3/2$, as shown in the table. The graph is shown in Figure 4.7.



On the closed interval $[0, 2\pi]$, f has minima at $(7\pi/6, -3/2)$ and $(11\pi/6, -3/2)$ and a maximum at $(\pi/2, 3)$.

Figure 4.7

Left Endpoint	Critical Number	Critical Number	Critical Number	Critical Number	Right Endpoint
$f(0) = -1$	$f\left(\frac{\pi}{2}\right) = 3$ Maximum	$f\left(\frac{7\pi}{6}\right) = -\frac{3}{2}$ Minimum	$f\left(\frac{3\pi}{2}\right) = -1$	$f\left(\frac{11\pi}{6}\right) = -\frac{3}{2}$ Minimum	$f(2\pi) = -1$

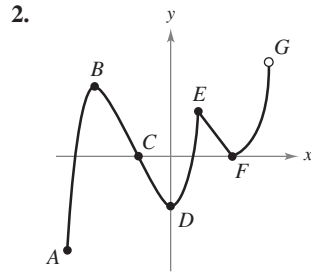
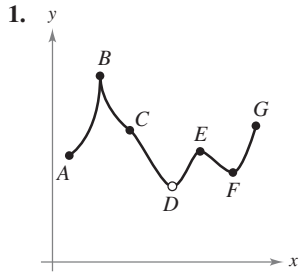


indicates that in the HM mathSpace® CD-ROM and the online Eduspace® system for this text, you will find an Open Exploration, which further explores this example using the computer algebra systems Maple, Mathcad, Mathematica, and Derive.

Exercises for Section 4.1

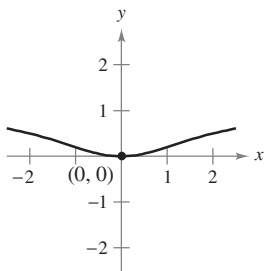
See www.CalcChat.com for worked-out solutions to odd-numbered exercises.

In Exercises 1 and 2, decide whether each labeled point is an absolute maximum, an absolute minimum, a relative maximum, a relative minimum, or none of these.

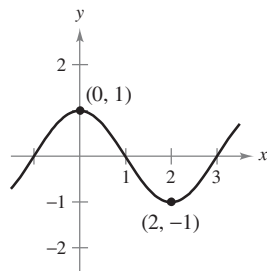


In Exercises 3–8, find the value of the derivative (if it exists) at each indicated extremum.

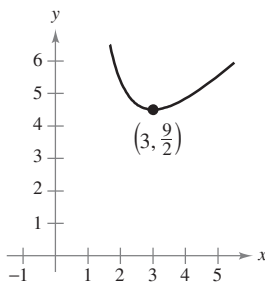
3. $f(x) = \frac{x^2}{x^2 + 4}$



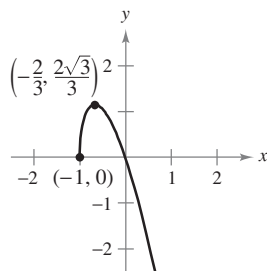
4. $f(x) = \cos \frac{\pi x}{2}$



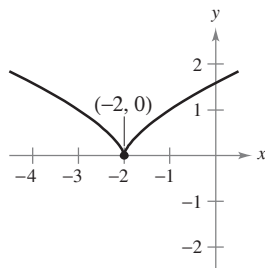
5. $f(x) = x + \frac{27}{2x^2}$



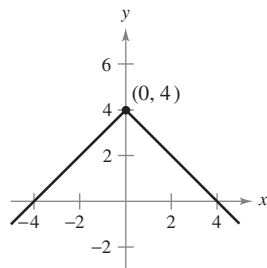
6. $f(x) = -3x\sqrt{x+1}$



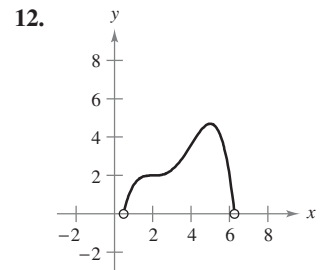
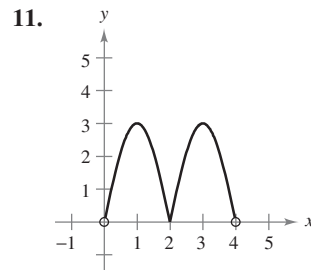
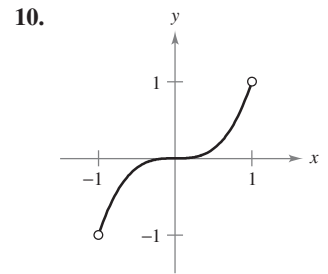
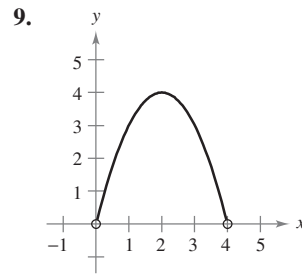
7. $f(x) = (x+2)^{2/3}$



8. $f(x) = 4 - |x|$



In Exercises 9–12, approximate the critical numbers of the function shown in the graph. Determine whether the function has a relative maximum, a relative minimum, an absolute maximum, an absolute minimum, or none of these at each critical number on the interval shown.



In Exercises 13–20, find any critical numbers of the function.

13. $f(x) = x^2(x-3)$

14. $g(x) = x^2(x^2-4)$

15. $g(t) = t\sqrt{4-t}$, $t < 3$

16. $f(x) = \frac{4x}{x^2+1}$

17. $h(x) = \sin^2 x + \cos x$
 $0 < x < 2\pi$

18. $f(\theta) = 2 \sec \theta + \tan \theta$
 $0 < \theta < 2\pi$

19. $f(x) = x^2 \log_2(x^2+1)$

20. $g(x) = 4x^2(3^x)$

In Exercises 21–38, locate the absolute extrema of the function on the closed interval.

21. $f(x) = 2(3-x)$, $[-1, 2]$

22. $f(x) = \frac{2x+5}{3}$, $[0, 5]$

23. $f(x) = -x^2 + 3x$, $[0, 3]$

24. $f(x) = x^2 + 2x - 4$, $[-1, 1]$

25. $f(x) = x^3 - \frac{3}{2}x^2$, $[-1, 2]$

26. $f(x) = x^3 - 12x$, $[0, 4]$

27. $y = 3x^{2/3} - 2x$, $[-1, 1]$

28. $g(x) = \sqrt[3]{x}$, $[-1, 1]$

29. $g(t) = \frac{t^2}{t^2+3}$, $[-1, 1]$

30. $y = 3 - |t-3|$, $[-1, 5]$

31. $h(s) = \frac{1}{s-2}$, $[0, 1]$

32. $h(t) = \frac{t}{t-2}$, $[3, 5]$

33. $y = e^x \sin x$, $[0, \pi]$

34. $y = x \ln(x+3)$, $[0, 3]$

35. $f(x) = \cos \pi x$, $\left[0, \frac{1}{6}\right]$

36. $g(x) = \sec x$, $\left[-\frac{\pi}{6}, \frac{\pi}{3}\right]$

37. $y = \frac{4}{x} + \tan\left(\frac{\pi x}{8}\right)$, $[1, 2]$

38. $y = x^2 - 2 - \cos x$, $[-1, 3]$

In Exercises 39 and 40, locate the absolute extrema of the function (if any exist) over each interval.

39. $f(x) = 2x - 3$ 40. $f(x) = \sqrt{4 - x^2}$
 (a) $[0, 2]$ (b) $[0, 2)$ (a) $[-2, 2]$ (b) $[-2, 0)$
 (c) $(0, 2]$ (d) $(0, 2)$ (c) $(-2, 2)$ (d) $[1, 2)$



In Exercises 41–46, use a graphing utility to graph the function. Locate the absolute extrema of the function on the given interval.

- | Function | Interval |
|---|-------------|
| 41. $f(x) = \begin{cases} 2x + 2, & 0 \leq x \leq 1 \\ 4x^2, & 1 < x \leq 3 \end{cases}$ | $[0, 3]$ |
| 42. $f(x) = \begin{cases} 2 - x^2, & 1 \leq x < 3 \\ 2 - 3x, & 3 \leq x \leq 5 \end{cases}$ | $[1, 5]$ |
| 43. $f(x) = \frac{3}{x - 1}$ | $(1, 4)$ |
| 44. $f(x) = \frac{2}{2 - x}$ | $[0, 2)$ |
| 45. $f(x) = x^4 - 2x^3 + x + 1$ | $[-1, 3]$ |
| 46. $f(x) = \sqrt{x} + \cos \frac{x}{2}$ | $[0, 2\pi]$ |



In Exercises 47–52, (a) use a computer algebra system to graph the function and approximate any absolute extrema on the indicated interval. (b) Use the utility to find any critical numbers, and use them to find any absolute extrema not located at the endpoints. Compare the results with those in part (a).

- | Function | Interval |
|--|-----------|
| 47. $f(x) = 3.2x^5 + 5x^3 - 3.5x$ | $[0, 1]$ |
| 48. $f(x) = \frac{4}{3}x\sqrt{3 - x}$ | $[0, 3]$ |
| 49. $f(x) = (x^2 - 2x)\ln(x + 3)$ | $[0, 3]$ |
| 50. $f(x) = \sqrt{x + 4}e^{x^2/10}$ | $[-2, 2]$ |
| 51. $f(x) = 2x \arctan(x - 1)$ | $[0, 2]$ |
| 52. $f(x) = (x - 4) \arcsin \frac{x}{4}$ | $[-2, 4]$ |



In Exercises 53–56, use a computer algebra system to find the maximum value of $|f''(x)|$ on the closed interval. (This value is used in the error estimate for the Trapezoidal Rule, as discussed in Section 5.6.)

- | Function | Interval | Function | Interval |
|-----------------------------|----------|--------------------------------|-------------------------------|
| 53. $f(x) = \sqrt{1 + x^3}$ | $[0, 2]$ | 54. $f(x) = \frac{1}{x^2 + 1}$ | $\left[\frac{1}{2}, 3\right]$ |
| 55. $f(x) = e^{-x^2/2}$ | $[0, 1]$ | 56. $f(x) = x \ln(x + 1)$ | $[0, 2]$ |



In Exercises 57 and 58, use a computer algebra system to find the maximum value of $|f^4(x)|$ on the closed interval. (This value is used in the error estimate for Simpson's Rule, as discussed in Section 5.6.)

- | Function | Interval | Function | Interval |
|----------------------------|----------|--------------------------------|-----------|
| 57. $f(x) = (x + 1)^{2/3}$ | $[0, 2]$ | 58. $f(x) = \frac{1}{x^2 + 1}$ | $[-1, 1]$ |



59. Explain why the function $f(x) = \tan x$ has a maximum on $[0, \pi/4]$ but not on $[0, \pi]$.

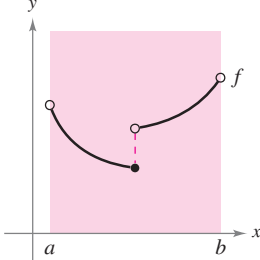
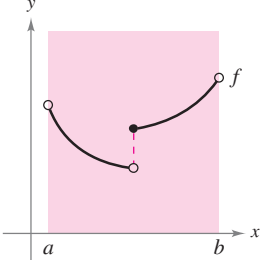
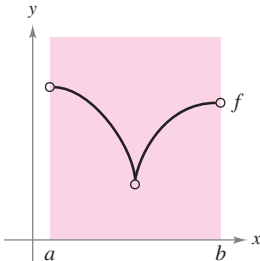
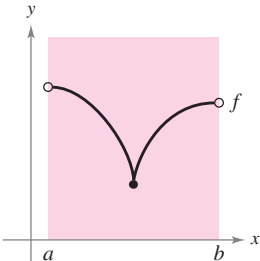
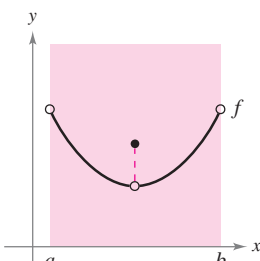
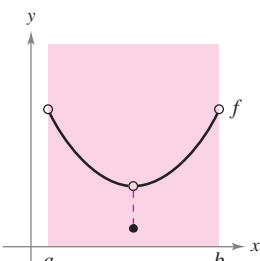
60. **Writing** Write a short paragraph explaining why a continuous function on an open interval may not have a maximum or minimum. Illustrate your explanation with a sketch of the graph of such a function.

Writing About Concepts

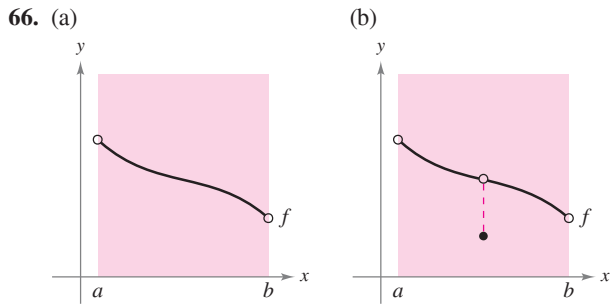
In Exercises 61 and 62, graph a function on the interval $[-2, 5]$ having the given characteristics.

61. Absolute maximum at $x = -2$
 Absolute minimum at $x = 1$
 Relative maximum at $x = 3$
 62. Relative minimum at $x = -1$
 Critical number at $x = 0$, but no extrema
 Absolute maximum at $x = 2$
 Absolute minimum at $x = 5$

In Exercises 63–66, determine from the graph whether f has a minimum in the open interval (a, b) .

63. (a)  (b) 
64. (a)  (b) 
65. (a)  (b) 

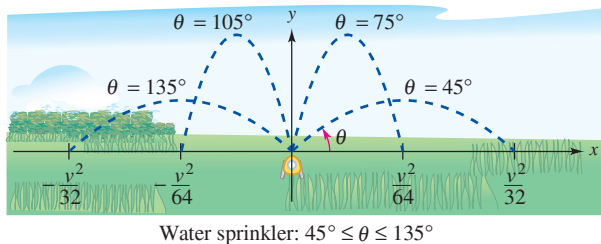
Writing About Concepts (continued)



- 67. Lawn Sprinkler** A lawn sprinkler is constructed in such a way that $d\theta/dt$ is constant, where θ ranges between 45° and 135° (see figure). The distance the water travels horizontally is

$$x = \frac{v^2 \sin 2\theta}{32}, \quad 45^\circ \leq \theta \leq 135^\circ$$

where v is the speed of the water. Find dx/dt and explain why this lawn sprinkler does not water evenly. What part of the lawn receives the most water?

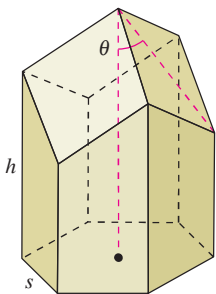


FOR FURTHER INFORMATION For more information on the “calculus of lawn sprinklers,” see the article “Design of an Oscillating Sprinkler” by Bart Braden in *Mathematics Magazine*. To view this article, go to the website www.matharticles.com.

- 68. Honeycomb** The surface area of a cell in a honeycomb is

$$S = 6hs + \frac{3s^2}{2} \left(\frac{\sqrt{3} - \cos \theta}{\sin \theta} \right)$$

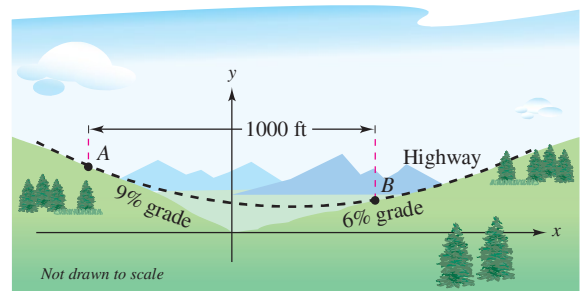
where h and s are positive constants and θ is the angle at which the upper faces meet the altitude of the cell (see figure). Find the angle θ ($\pi/6 \leq \theta \leq \pi/2$) that minimizes the surface area S .



FOR FURTHER INFORMATION For more information on the geometric structure of a honeycomb cell, see the article “The Design of Honeycombs” by Anthony L. Peressini in UMAP Module 502, published by COMAP, Inc., Suite 210, 57 Bedford Street, Lexington, MA.

True or False? In Exercises 69–72, determine whether the statement is true or false. If it is false, explain why or give an example that shows it is false.

69. The maximum of a function that is continuous on a closed interval can occur at two different values in the interval.
70. If a function is continuous on a closed interval, then it must have a minimum on the interval.
71. If $x = c$ is a critical number of the function f , then it is also a critical number of the function $g(x) = f(x) + k$, where k is a constant.
72. If $x = c$ is a critical number of the function f , then it is also a critical number of the function $g(x) = f(x - k)$, where k is a constant.
73. Let the function f be differentiable on an interval I containing c . If f has a maximum value at $x = c$, show that $-f$ has a minimum value at $x = c$.
74. Consider the cubic function $f(x) = ax^3 + bx^2 + cx + d$ where $a \neq 0$. Show that f can have zero, one, or two critical numbers and give an example of each case.
75. **Highway Design** In order to build a highway, it is necessary to fill a section of a valley where the grades (slopes) of the sides are 9% and 6% (see figure). The top of the filled region will have the shape of a parabolic arc that is tangent to the two slopes at the points A and B. The horizontal distance between the points A and B is 1000 feet.



- (a) Find a quadratic function $y = ax^2 + bx + c$, $-500 \leq x \leq 500$, that describes the top of the filled region.
- (b) Construct a table giving the depths d of the fill for $x = -500, -400, -300, -200, -100, 0, 100, 200, 300, 400$, and 500.
- (c) What will be the lowest point on the completed highway? Will it be directly over the point where the two hillsides come together?

Section 4.2

Rolle's Theorem and the Mean Value Theorem

- Understand and use Rolle's Theorem.
- Understand and use the Mean Value Theorem.

Rolle's Theorem

ROLLE'S THEOREM

French mathematician Michel Rolle first published the theorem that bears his name in 1691. Before this time, however, Rolle was one of the most vocal critics of calculus, stating that it gave erroneous results and was based on unsound reasoning. Later in life, Rolle came to see the usefulness of calculus.

The Extreme Value Theorem (Section 4.1) states that a continuous function on a closed interval $[a, b]$ must have both a minimum and a maximum on the interval. Both of these values, however, can occur at the endpoints. **Rolle's Theorem**, named after the French mathematician Michel Rolle (1652–1719), gives conditions that guarantee the existence of an extreme value in the *interior* of a closed interval.

EXPLORATION

Extreme Values in a Closed Interval Sketch a rectangular coordinate plane on a piece of paper. Label the points $(1, 3)$ and $(5, 3)$. Using a pencil or pen, draw the graph of a differentiable function f that starts at $(1, 3)$ and ends at $(5, 3)$. Is there at least one point on the graph for which the derivative is zero? Would it be possible to draw the graph so that there *isn't* a point for which the derivative is zero? Explain your reasoning.

THEOREM 4.3 Rolle's Theorem

Let f be continuous on the closed interval $[a, b]$ and differentiable on the open interval (a, b) . If

$$f(a) = f(b)$$

then there is at least one number c in (a, b) such that $f'(c) = 0$.

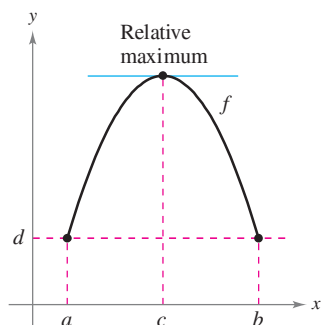
Proof Let $f(a) = d = f(b)$.

Case 1: If $f(x) = d$ for all x in $[a, b]$, then f is constant on the interval and, by Theorem 3.2, $f'(x) = 0$ for all x in (a, b) .

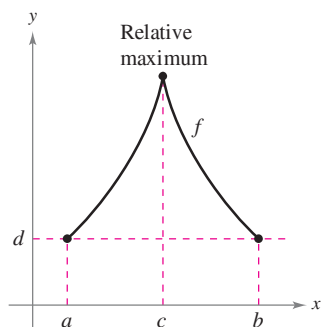
Case 2: Suppose $f(x) > d$ for some x in (a, b) . By the Extreme Value Theorem, you know that f has a maximum at some c in the interval. Moreover, because $f(c) > d$, this maximum does not occur at either endpoint. So, f has a maximum in the *open* interval (a, b) . This implies that $f(c)$ is a *relative* maximum and, by Theorem 4.2, c is a critical number of f . Finally, because f is differentiable at c , you can conclude that $f'(c) = 0$.

Case 3: If $f(x) < d$ for some x in (a, b) , you can use an argument similar to that in Case 2, but involving the minimum instead of the maximum.

From Rolle's Theorem, you can see that if a function f is continuous on $[a, b]$ and differentiable on (a, b) , and if $f(a) = f(b)$, then there must be at least one x -value between a and b at which the graph of f has a horizontal tangent, as shown in Figure 4.8(a). If the differentiability requirement is dropped from Rolle's Theorem, f will still have a critical number in (a, b) , but it may not yield a horizontal tangent. Such a case is shown in Figure 4.8(b).



(a) f is continuous on $[a, b]$ and differentiable on (a, b) .



(b) f is continuous on $[a, b]$.

Figure 4.8

EXAMPLE 1 Illustrating Rolle's Theorem

Find the two x -intercepts of

$$f(x) = x^2 - 3x + 2$$

and show that $f'(x) = 0$ at some point between the two x -intercepts.

Solution Note that f is differentiable on the entire real number line. Setting $f(x)$ equal to 0 produces

$$x^2 - 3x + 2 = 0$$

Set $f(x)$ equal to 0.

$$(x - 1)(x - 2) = 0.$$

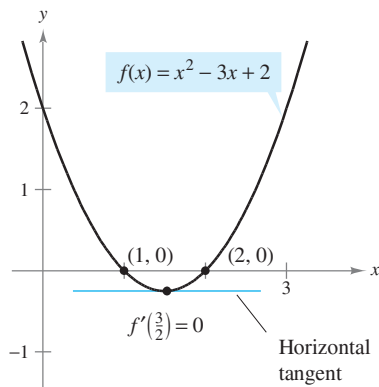
Factor.

So, $f(1) = f(2) = 0$, and from Rolle's Theorem you know that there *exists* at least one c in the interval $(1, 2)$ such that $f'(c) = 0$. To *find* such a c , you can solve the equation

$$f'(x) = 2x - 3 = 0$$

Set $f'(x)$ equal to 0.

and determine that $f'(x) = 0$ when $x = \frac{3}{2}$. Note that the x -value lies in the open interval $(1, 2)$, as shown in Figure 4.9.



The x -value for which $f'(x) = 0$ is between the two x -intercepts.

Figure 4.9

Rolle's Theorem states that if f satisfies the conditions of the theorem, there must be *at least* one point between a and b at which the derivative is 0. There may of course be more than one such point, as shown in the next example.

EXAMPLE 2 Illustrating Rolle's Theorem

Let $f(x) = x^4 - 2x^2$. Find all values of c in the interval $(-2, 2)$ such that $f'(c) = 0$.

Solution To begin, note that the function satisfies the conditions of Rolle's Theorem. That is, f is continuous on the interval $[-2, 2]$ and differentiable on the interval $(-2, 2)$. Moreover, because $f(-2) = f(2) = 8$, you can conclude that there exists at least one c in $(-2, 2)$ such that $f'(c) = 0$. Setting the derivative equal to 0 produces

$$f'(x) = 4x^3 - 4x = 0$$

Set $f'(x)$ equal to 0.

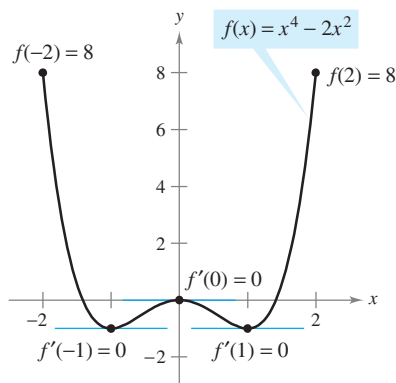
$$4x(x - 1)(x + 1) = 0$$

Factor.

$$x = 0, 1, -1.$$

x -values for which $f'(x) = 0$

So, in the interval $(-2, 2)$, the derivative is zero at three different values of x , as shown in Figure 4.10.



$f'(x) = 0$ for more than one x -value in the interval $(-2, 2)$.

Figure 4.10

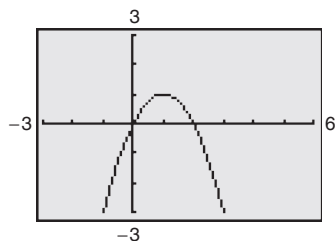


Figure 4.11

TECHNOLOGY PITFALL A graphing utility can be used to indicate whether the points on the graphs in Examples 1 and 2 are relative minima or relative maxima of the functions. When using a graphing utility, however, you should keep in mind that it can give misleading pictures of graphs. For example, use a graphing utility to graph

$$f(x) = 1 - (x - 1)^2 - \frac{1}{1000(x - 1)^{1/7} + 1}.$$

With most viewing windows, it appears that the function has a maximum of 1 when $x = 1$ (see Figure 4.11). By evaluating the function at $x = 1$, however, you can see that $f(1) = 0$. To determine the behavior of this function near $x = 1$, you need to examine the graph analytically to get the complete picture.

The Mean Value Theorem

Rolle's Theorem can be used to prove another theorem—the **Mean Value Theorem**.

THEOREM 4.4 The Mean Value Theorem

If f is continuous on the closed interval $[a, b]$ and differentiable on the open interval (a, b) , then there exists a number c in (a, b) such that

$$f'(c) = \frac{f(b) - f(a)}{b - a}.$$

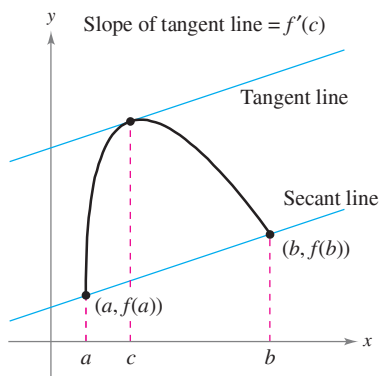


Figure 4.12

Proof Refer to Figure 4.12. The equation of the secant line containing the points $(a, f(a))$ and $(b, f(b))$ is

$$y = \left[\frac{f(b) - f(a)}{b - a} \right] (x - a) + f(a).$$

Let $g(x)$ be the difference between $f(x)$ and y . Then

$$\begin{aligned} g(x) &= f(x) - y \\ &= f(x) - \left[\frac{f(b) - f(a)}{b - a} \right] (x - a) - f(a). \end{aligned}$$

By evaluating g at a and b , you can see that $g(a) = 0 = g(b)$. Because f is continuous on $[a, b]$, it follows that g is also continuous on $[a, b]$. Furthermore, because f is differentiable, g is also differentiable, and you can apply Rolle's Theorem to the function g . So, there exists a number c in (a, b) such that $g'(c) = 0$, which implies that

$$\begin{aligned} 0 &= g'(c) \\ &= f'(c) - \frac{f(b) - f(a)}{b - a}. \end{aligned}$$

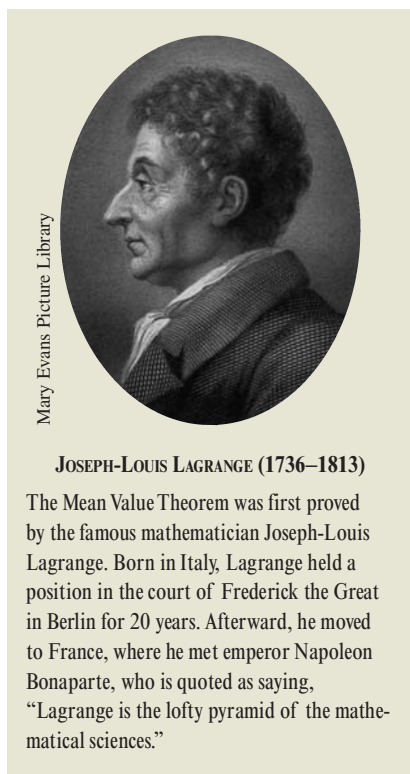
So, there exists a number c in (a, b) such that

$$f'(c) = \frac{f(b) - f(a)}{b - a}.$$

NOTE The “mean” in the Mean Value Theorem refers to the mean (or average) rate of change of f in the interval $[a, b]$.

Although the Mean Value Theorem can be used directly in problem solving, it is used more often to prove other theorems. In fact, some people consider this to be the most important theorem in calculus—it is closely related to the Fundamental Theorem of Calculus discussed in Chapter 5. For now, you can get an idea of the versatility of this theorem by looking at the results stated in Exercises 83–91 in this section.

The Mean Value Theorem has implications for both basic interpretations of the derivative. Geometrically, the theorem guarantees the existence of a tangent line that is parallel to the secant line through the points $(a, f(a))$ and $(b, f(b))$, as shown in Figure 4.12. Example 3 illustrates this geometric interpretation of the Mean Value Theorem. In terms of rates of change, the Mean Value Theorem implies that there must be a point in the open interval (a, b) at which the instantaneous rate of change is equal to the average rate of change over the interval $[a, b]$. This is illustrated in Example 4.



JOSEPH-LOUIS LAGRANGE (1736–1813)

The Mean Value Theorem was first proved by the famous mathematician Joseph-Louis Lagrange. Born in Italy, Lagrange held a position in the court of Frederick the Great in Berlin for 20 years. Afterward, he moved to France, where he met emperor Napoleon Bonaparte, who is quoted as saying, “Lagrange is the lofty pyramid of the mathematical sciences.”

**EXAMPLE 3** Finding a Tangent Line

Given $f(x) = 5 - (4/x)$, find all values of c in the open interval $(1, 4)$ such that

$$f'(c) = \frac{f(4) - f(1)}{4 - 1}.$$

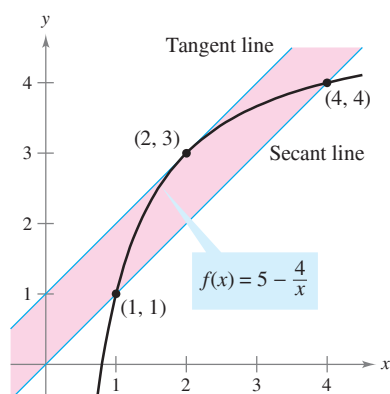
Solution The slope of the secant line through $(1, f(1))$ and $(4, f(4))$ is

$$\frac{f(4) - f(1)}{4 - 1} = \frac{4 - 1}{4 - 1} = 1.$$

Because f satisfies the conditions of the Mean Value Theorem, there exists at least one number c in $(1, 4)$ such that $f'(c) = 1$. Solving the equation $f'(x) = 1$ yields

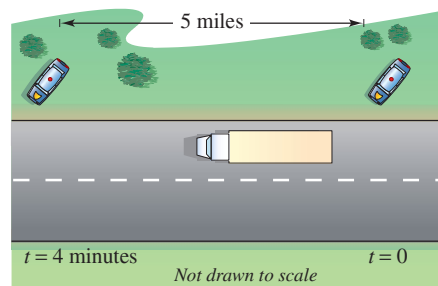
$$f'(x) = \frac{4}{x^2} = 1$$

which implies that $x = \pm 2$. So, in the interval $(1, 4)$, you can conclude that $c = 2$, as shown in Figure 4.13.



The tangent line at $(2, 3)$ is parallel to the secant line through $(1, 1)$ and $(4, 4)$.

Figure 4.13



At some time t , the instantaneous velocity is equal to the average velocity over 4 minutes.

Figure 4.14

EXAMPLE 4 Finding an Instantaneous Rate of Change

Two stationary patrol cars equipped with radar are 5 miles apart on a highway, as shown in Figure 4.14. As a truck passes the first patrol car, its speed is clocked at 55 miles per hour. Four minutes later, when the truck passes the second patrol car, its speed is clocked at 50 miles per hour. Prove that the truck must have exceeded the speed limit (of 55 miles per hour) at some time during the 4 minutes.

Solution Let $t = 0$ be the time (in hours) when the truck passes the first patrol car. The time when the truck passes the second patrol car is

$$t = \frac{4}{60} = \frac{1}{15} \text{ hour.}$$

By letting $s(t)$ represent the distance (in miles) traveled by the truck, you have $s(0) = 0$ and $s(1/15) = 5$. So, the average velocity of the truck over the five-mile stretch of highway is

$$\begin{aligned} \text{Average velocity} &= \frac{s(1/15) - s(0)}{(1/15) - 0} \\ &= \frac{5}{1/15} = 75 \text{ miles per hour.} \end{aligned}$$

Assuming that the position function is differentiable, you can apply the Mean Value Theorem to conclude that the truck must have been traveling at a rate of 75 miles per hour sometime during the 4 minutes.

A useful alternative form of the Mean Value Theorem is as follows: If f is continuous on $[a, b]$ and differentiable on (a, b) , then there exists a number c in (a, b) such that

$$f(b) = f(a) + (b - a)f'(c).$$

Alternative form of Mean Value Theorem

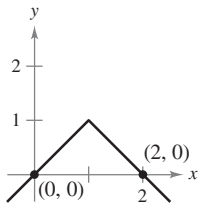
NOTE When doing the exercises for this section, keep in mind that polynomial functions, rational functions, and transcendental functions are differentiable at all points in their domains.

Exercises for Section 4.2

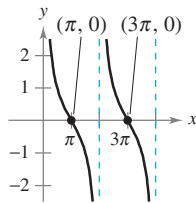
See www.CalcChat.com for worked-out solutions to odd-numbered exercises.

In Exercises 1–4, explain why Rolle's Theorem does not apply to the function even though there exist a and b such that $f(a) = f(b)$.

1. $f(x) = 1 - |x - 1|$



2. $f(x) = \cot \frac{x}{2}$



3. $f(x) = \left| \frac{1}{x} \right|$,
[−1, 1]

4. $f(x) = \sqrt{(2 - x^{2/3})^3}$,
[−1, 1]

In Exercises 5–8, find the two x -intercepts of the function f and show that $f'(x) = 0$ at some point between the two x -intercepts.

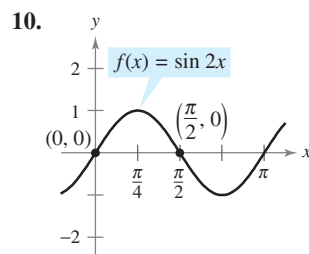
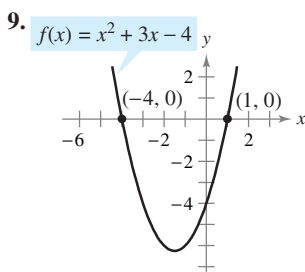
5. $f(x) = x^2 - x - 2$

6. $f(x) = x(x - 3)$

7. $f(x) = x\sqrt{x + 4}$

8. $f(x) = -3x\sqrt{x + 1}$

Rolle's Theorem In Exercises 9 and 10, the graph of f is shown. Apply Rolle's Theorem and find all values of c such that $f'(c) = 0$ at some point between the labeled intercepts.



In Exercises 11–26, determine whether Rolle's Theorem can be applied to f on the closed interval $[a, b]$. If Rolle's Theorem can be applied, find all values of c in the open interval (a, b) such that $f'(c) = 0$.

11. $f(x) = x^2 - 2x$, $[0, 2]$

12. $f(x) = x^2 - 5x + 4$, $[1, 4]$

13. $f(x) = (x - 1)(x - 2)(x - 3)$, $[1, 3]$

14. $f(x) = (x - 3)(x + 1)^2$, $[-1, 3]$

15. $f(x) = x^{2/3} - 1$, $[-8, 8]$

16. $f(x) = 3 - |x - 3|$, $[0, 6]$

17. $f(x) = \frac{x^2 - 2x - 3}{x + 2}$, $[-1, 3]$

18. $f(x) = \frac{x^2 - 1}{x}$, $[-1, 1]$

19. $f(x) = (x^2 - 2x)e^x$, $[0, 2]$

20. $f(x) = x - 2 \ln x$, $[1, 3]$

21. $f(x) = \sin x$, $[0, 2\pi]$

22. $f(x) = \cos x$, $[0, 2\pi]$

23. $f(x) = \frac{6x}{\pi} - 4 \sin^2 x$, $\left[0, \frac{\pi}{6}\right]$

24. $f(x) = \cos 2x$, $\left[-\frac{\pi}{12}, \frac{\pi}{6}\right]$

25. $f(x) = \tan x$, $[0, \pi]$

26. $f(x) = \sec x$, $\left[-\frac{\pi}{4}, \frac{\pi}{4}\right]$



In Exercises 27–32, use a graphing utility to graph the function on the closed interval $[a, b]$. Determine whether Rolle's Theorem can be applied to f on the interval and, if so, find all values of c in the open interval (a, b) such that $f'(c) = 0$.

27. $f(x) = |x| - 1$, $[-1, 1]$

28. $f(x) = x - x^{1/3}$, $[0, 1]$

29. $f(x) = 4x - \tan \pi x$, $\left[-\frac{1}{4}, \frac{1}{4}\right]$

30. $f(x) = \frac{x}{2} - \sin \frac{\pi x}{6}$, $[-1, 0]$

31. $f(x) = 2 + \arcsin(x^2 - 1)$, $[-1, 1]$

32. $f(x) = 2 + (x^2 - 4x)(2^{-x/4})$, $[0, 4]$

33. Vertical Motion The height of a ball t seconds after it is thrown upward from a height of 32 feet and with an initial velocity of 48 feet per second is $f(t) = -16t^2 + 48t + 32$.

(a) Verify that $f(1) = f(2)$.

(b) According to Rolle's Theorem, what must be the velocity at some time in the interval $(1, 2)$? Find that time.

34. Reorder Costs The ordering and transportation cost C of components used in a manufacturing process is approximated by

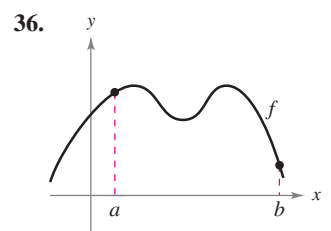
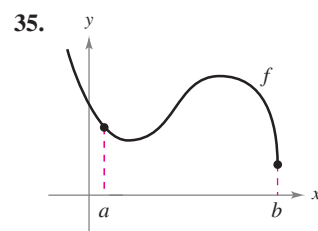
$$C(x) = 10\left(\frac{1}{x} + \frac{x}{x + 3}\right)$$

where C is measured in thousands of dollars and x is the order size in hundreds.

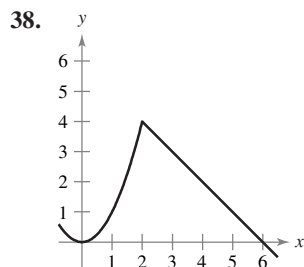
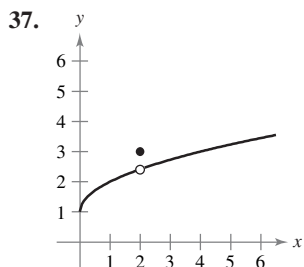
(a) Verify that $C(3) = C(6)$.

(b) According to Rolle's Theorem, the rate of change of cost must be 0 for some order size in the interval $(3, 6)$. Find that order size.

In Exercises 35 and 36, copy the graph and sketch the secant line to the graph through the points $(a, f(a))$ and $(b, f(b))$. Then sketch any tangent lines to the graph for each value of c guaranteed by the Mean Value Theorem. To print an enlarged copy of the graph, go to the website www.mathgraphs.com.



Writing In Exercises 37–40, explain why the Mean Value Theorem does not apply to the function f on the interval $[0, 6]$.



39. $f(x) = \frac{1}{x-3}$

40. $f(x) = |x-3|$

- 41. Mean Value Theorem** Consider the graph of the function $f(x) = x^2 + 1$. (a) Find the equation of the secant line joining the points $(-1, 2)$ and $(2, 5)$. (b) Use the Mean Value Theorem to determine a point c in the interval $(-1, 2)$ such that the tangent line at c is parallel to the secant line. (c) Find the equation of the tangent line through c . (d) Use a graphing utility to graph f , the secant line, and the tangent line.

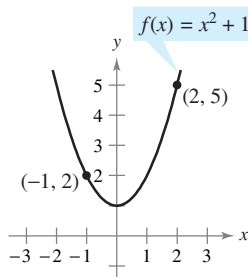


Figure for 41

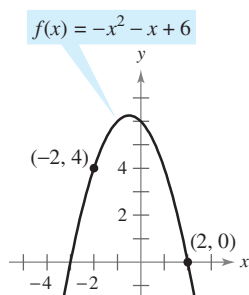


Figure for 42

- 42. Mean Value Theorem** Consider the graph of the function $f(x) = -x^2 - x + 6$. (a) Find the equation of the secant line joining the points $(-2, 4)$ and $(2, 0)$. (b) Use the Mean Value Theorem to determine a point c in the interval $(-2, 2)$ such that the tangent line at c is parallel to the secant line. (c) Find the equation of the tangent line through c . (d) Use a graphing utility to graph f , the secant line, and the tangent line.

In Exercises 43–52, determine whether the Mean Value Theorem can be applied to f on the closed interval $[a, b]$. If the Mean Value Theorem can be applied, find all values of c in the open interval (a, b) such that $f'(c) = \frac{f(b) - f(a)}{b - a}$.

43. $f(x) = x^2, [-2, 1]$

44. $f(x) = x(x^2 - x - 2), [-1, 1]$

45. $f(x) = x^{2/3}, [0, 1]$

46. $f(x) = \frac{x+1}{x}, [\frac{1}{2}, 2]$

47. $f(x) = \sqrt{2-x}, [-7, 2]$

48. $f(x) = x^3, [0, 1]$

49. $f(x) = \sin x, [0, \pi]$

50. $f(x) = 2 \sin x + \sin 2x, [0, \pi]$

51. $f(x) = x \log_2 x, [1, 2]$

52. $f(x) = \arctan(1-x), [0, 1]$

49. Writing In Exercises 53–58, use a graphing utility to (a) graph the function f on the given interval, (b) find and graph the secant line through points on the graph of f at the endpoints of the given interval, and (c) find and graph any tangent lines to the graph of f that are parallel to the secant line.

53. $f(x) = \frac{x}{x+1}, [-\frac{1}{2}, 2]$

54. $f(x) = x - 2 \sin x, [-\pi, \pi]$

55. $f(x) = \sqrt{x}, [1, 9]$

56. $f(x) = -x^4 + 4x^3 + 8x^2 + 5, [0, 5]$

57. $f(x) = 2e^{x/4} \cos \frac{\pi x}{4}, [0, 2]$

58. $f(x) = \ln|\sec \pi x|, [0, \frac{1}{4}]$

Writing About Concepts

59. Let f be continuous on $[a, b]$ and differentiable on (a, b) . If there exists c in (a, b) such that $f'(c) = 0$, does it follow that $f(a) = f(b)$? Explain.

60. Let f be continuous on the closed interval $[a, b]$ and differentiable on the open interval (a, b) . Also, suppose that $f(a) = f(b)$ and that k is a real number in the interval such that $f'(c) = k$. Find an interval for the function g over which Rolle's Theorem can be applied, and find the corresponding critical number of g (k is a constant).

(a) $g(x) = f(x) + k$

(b) $g(x) = f(x - k)$

(c) $g(x) = f(kx)$

61. The function

$$f(x) = \begin{cases} 0, & x = 0 \\ 1 - x, & 0 < x \leq 1 \end{cases}$$

is differentiable on $(0, 1)$ and satisfies $f(0) = f(1)$. However, its derivative is never zero on $(0, 1)$. Does this contradict Rolle's Theorem? Explain.

62. Can you find a function f such that $f(-2) = -2$, $f(2) = 6$, and $f'(x) < 1$ for all x ? Why or why not?

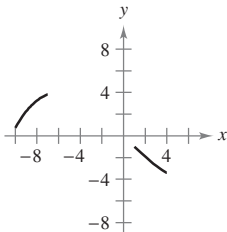
63. Speed A plane begins its takeoff at 2:00 P.M. on a 2500-mile flight. The plane arrives at its destination at 7:30 P.M. Explain why there are at least two times during the flight when the speed of the plane is 400 miles per hour.

64. Temperature When an object is removed from a furnace and placed in an environment with a constant temperature of 90°F , its core temperature is 1500°F . Five hours later the core temperature is 390°F . Explain why there must exist a time in the interval when the temperature is decreasing at a rate of 222°F per hour.

65. Velocity Two bicyclists begin a race at 8:00 A.M. They both finish the race 2 hours and 15 minutes later. Prove that at some time during the race, the bicyclists are traveling at the same velocity.

66. Acceleration At 9:13 A.M., a sports car is traveling 35 miles per hour. Two minutes later, the car is traveling 85 miles per hour. Prove that at some time during this two-minute interval, the car's acceleration is exactly 1500 miles per hour squared.

67. Graphical Reasoning The figure shows two parts of the graph of a continuous differentiable function f on $[-10, 4]$. The derivative f' is also continuous. To print an enlarged copy of the graph, go to the website www.mathgraphs.com.



- Explain why f must have at least one zero in $[-10, 4]$.
 - Explain why f' must also have at least one zero in the interval $[-10, 4]$. What are these zeros called?
 - Make a possible sketch of the function with one zero of f' on the interval $[-10, 4]$.
 - Make a possible sketch of the function with two zeros of f' on the interval $[-10, 4]$.
 - Were the conditions of continuity of f and f' necessary to do parts (a) through (d)? Explain.
- 68.** Consider the function $f(x) = 3 \cos^2\left(\frac{\pi x}{2}\right)$.
- Use a graphing utility to graph f and f' .
 - Is f a continuous function? Is f' a continuous function?
 - Does Rolle's Theorem apply on the interval $[-1, 1]$? Does it apply on the interval $[1, 2]$? Explain.
 - Evaluate, if possible, $\lim_{x \rightarrow 3^-} f'(x)$ and $\lim_{x \rightarrow 3^+} f'(x)$.

Think About It In Exercises 69 and 70, sketch the graph of an arbitrary function f that satisfies the given condition but does not satisfy the conditions of the Mean Value Theorem on the interval $[-5, 5]$.

- 69.** f is continuous on $[-5, 5]$.
70. f is not continuous on $[-5, 5]$.

In Exercises 71 and 72, use the Intermediate Value Theorem and Rolle's Theorem to prove that the equation has exactly one real solution.

71. $x^5 + x^3 + x + 1 = 0$ **72.** $2x - 2 - \cos x = 0$

- 73.** Determine the values of a , b , and c such that the function f satisfies the hypotheses of the Mean Value Theorem on the interval $[0, 3]$.

$$f(x) = \begin{cases} 1, & x = 0 \\ ax + b, & 0 < x \leq 1 \\ x^2 + 4x + c, & 1 < x \leq 3 \end{cases}$$

- 74.** Determine the values of a , b , c , and d such that the function f satisfies the hypotheses of the Mean Value Theorem on the interval $[-1, 2]$.

$$f(x) = \begin{cases} a, & x = -1 \\ 2, & -1 < x \leq 0 \\ bx^2 + c, & 0 < x \leq 1 \\ dx + 4, & 1 < x \leq 2 \end{cases}$$

Differential Equations In Exercises 75–78, find a function f that has the derivative $f'(x)$ and whose graph passes through the given point. Explain your reasoning.

- 75.** $f'(x) = 0$, $(2, 5)$ **76.** $f'(x) = 4$, $(0, 1)$
77. $f'(x) = 2x$, $(1, 0)$ **78.** $f'(x) = 2x + 3$, $(1, 0)$

True or False? In Exercises 79–82, determine whether the statement is true or false. If it is false, explain why or give an example that shows it is false.

- 79.** The Mean Value Theorem can be applied to $f(x) = 1/x$ on the interval $[-1, 1]$.
80. If the graph of a function has three x -intercepts, then it must have at least two points at which its tangent line is horizontal.
81. If the graph of a polynomial function has three x -intercepts, then it must have at least two points at which its tangent line is horizontal.
82. If $f'(x) = 0$ for all x in the domain of f , then f is a constant function.
83. Prove that if $a > 0$ and n is any positive integer, then the polynomial function $p(x) = x^{2n+1} + ax + b$ cannot have two real roots.
84. Prove that if $f'(x) = 0$ for all x in an interval (a, b) , then f is constant on (a, b) .
85. Let $p(x) = Ax^2 + Bx + C$. Prove that for any interval $[a, b]$, the value c guaranteed by the Mean Value Theorem is the midpoint of the interval.
86. (a) Let $f(x) = x^2$ and $g(x) = -x^3 + x^2 + 3x + 2$. Then $f(-1) = g(-1)$ and $f(2) = g(2)$. Show that there is at least one value c in the interval $(-1, 2)$ where the tangent line to f at $(c, f(c))$ is parallel to the tangent line to g at $(c, g(c))$. Identify c .
 (b) Let f and g be differentiable functions on $[a, b]$ where $f(a) = g(a)$ and $f(b) = g(b)$. Show that there is at least one value c in the interval (a, b) where the tangent line to f at $(c, f(c))$ is parallel to the tangent line to g at $(c, g(c))$.
87. Prove that if f is differentiable on $(-\infty, \infty)$ and $f'(x) < 1$ for all real numbers, then f has at most one fixed point. A fixed point of a function f is a real number such that $f(c) = c$.
88. Use the result of Exercise 87 to show that $f(x) = \frac{1}{2} \cos x$ has at most one fixed point.
89. Prove that $|\cos a - \cos b| \leq |a - b|$ for all a and b .
90. Prove that $|\sin a - \sin b| \leq |a - b|$ for all a and b .
91. Let $0 < a < b$. Use the Mean Value Theorem to show that

$$\sqrt{b} - \sqrt{a} < \frac{b-a}{2\sqrt{a}}.$$

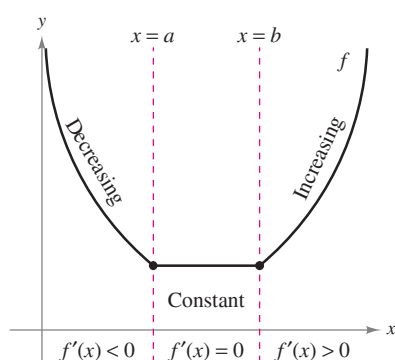
Section 4.3

Increasing and Decreasing Functions and the First Derivative Test

- Determine intervals on which a function is increasing or decreasing.
- Apply the First Derivative Test to find relative extrema of a function.

Increasing and Decreasing Functions

In this section you will learn how derivatives can be used to *classify* relative extrema as either relative minima or relative maxima. First, it is important to define increasing and decreasing functions.



The derivative is related to the slope of a function.

Figure 4.15

Definitions of Increasing and Decreasing Functions

A function f is **increasing** on an interval if for any two numbers x_1 and x_2 in the interval, $x_1 < x_2$ implies $f(x_1) < f(x_2)$.

A function f is **decreasing** on an interval if for any two numbers x_1 and x_2 in the interval, $x_1 < x_2$ implies $f(x_1) > f(x_2)$.

A function is increasing if, as x moves to the right, its graph moves up, and is decreasing if its graph moves down. For example, the function in Figure 4.15 is decreasing on the interval $(-\infty, a)$, is constant on the interval (a, b) , and is increasing on the interval (b, ∞) . As shown in Theorem 4.5 below, a positive derivative implies that the function is increasing; a negative derivative implies that the function is decreasing; and a zero derivative on an entire interval implies that the function is constant on that interval.

THEOREM 4.5 Test for Increasing and Decreasing Functions

Let f be a function that is continuous on the closed interval $[a, b]$ and differentiable on the open interval (a, b) .

1. If $f'(x) > 0$ for all x in (a, b) , then f is increasing on $[a, b]$.
2. If $f'(x) < 0$ for all x in (a, b) , then f is decreasing on $[a, b]$.
3. If $f'(x) = 0$ for all x in (a, b) , then f is constant on $[a, b]$.

Proof To prove the first case, assume that $f'(x) > 0$ for all x in the interval (a, b) and let $x_1 < x_2$ be any two points in the interval. By the Mean Value Theorem, you know that there exists a number c such that $x_1 < c < x_2$, and

$$f'(c) = \frac{f(x_2) - f(x_1)}{x_2 - x_1}.$$

Because $f'(c) > 0$ and $x_2 - x_1 > 0$, you know that

$$f(x_2) - f(x_1) > 0$$

which implies that $f(x_1) < f(x_2)$. So, f is increasing on the interval. The second case has a similar proof (see Exercise 107), and the third case was given as Exercise 84 in Section 4.2.

NOTE The conclusions in the first two cases of Theorem 4.5 are valid even if $f'(x) = 0$ at a finite number of x -values in (a, b) .

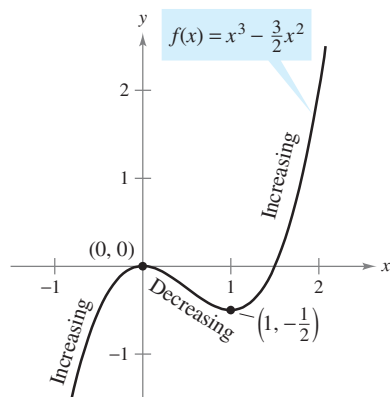
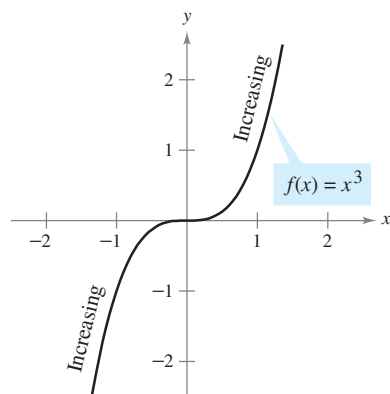
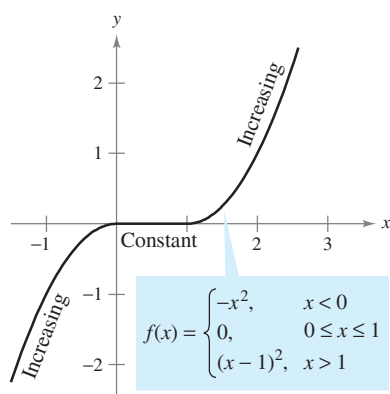


Figure 4.16



(a) Strictly monotonic function

(b) Not strictly monotonic
Figure 4.17**EXAMPLE 1** Intervals on Which f Is Increasing or Decreasing

Find the open intervals on which $f(x) = x^3 - \frac{3}{2}x^2$ is increasing or decreasing.

Solution Note that f is differentiable on the entire real number line. To determine the critical numbers of f , set $f'(x)$ equal to zero.

$$\begin{aligned} f(x) &= x^3 - \frac{3}{2}x^2 && \text{Write original function.} \\ f'(x) &= 3x^2 - 3x = 0 && \text{Differentiate and set } f'(x) \text{ equal to 0.} \\ 3(x)(x - 1) &= 0 && \text{Factor.} \\ x &= 0, 1 && \text{Critical numbers} \end{aligned}$$

Because there are no points for which f' does not exist, you can conclude that $x = 0$ and $x = 1$ are the only critical numbers. The table summarizes the testing of the three intervals determined by these two critical numbers.

Interval	$-\infty < x < 0$	$0 < x < 1$	$1 < x < \infty$
Test Value	$x = -1$	$x = \frac{1}{2}$	$x = 2$
Sign of $f'(x)$	$f'(-1) = 6 > 0$	$f'(\frac{1}{2}) = -\frac{3}{4} < 0$	$f'(2) = 6 > 0$
Conclusion	Increasing	Decreasing	Increasing

So, f is increasing on the intervals $(-\infty, 0)$ and $(1, \infty)$ and decreasing on the interval $(0, 1)$, as shown in Figure 4.16.

Example 1 gives you one example of how to find intervals on which a function is increasing or decreasing. The guidelines below summarize the steps followed in the example.

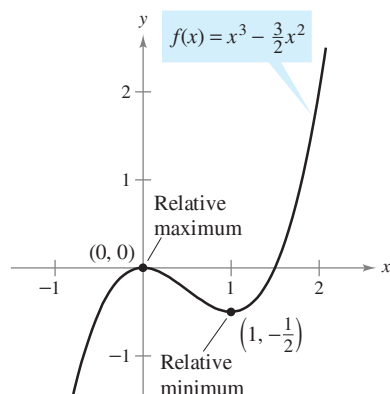
Guidelines for Finding Intervals on Which a Function Is Increasing or Decreasing

Let f be continuous on the interval (a, b) . To find the open intervals on which f is increasing or decreasing, use the following steps.

1. Locate the critical numbers of f in (a, b) , and use these numbers to determine test intervals.
2. Determine the sign of $f'(x)$ at one test value in each of the intervals.
3. Use Theorem 4.5 to determine whether f is increasing or decreasing on each interval.

These guidelines are also valid if the interval (a, b) is replaced by an interval of the form $(-\infty, b)$, (a, ∞) , or $(-\infty, \infty)$.

A function is **strictly monotonic** on an interval if it is either increasing on the entire interval or decreasing on the entire interval. For instance, the function $f(x) = x^3$ is strictly monotonic on the entire real number line because it is increasing on the entire real number line, as shown in Figure 4.17(a). The function shown in Figure 4.17(b) is not strictly monotonic on the entire real number line because it is constant on the interval $[0, 1]$.



Relative extrema of f
Figure 4.18

The First Derivative Test

After you have determined the intervals on which a function is increasing or decreasing, it is not difficult to locate the relative extrema of the function. For instance, in Figure 4.18 (from Example 1), the function

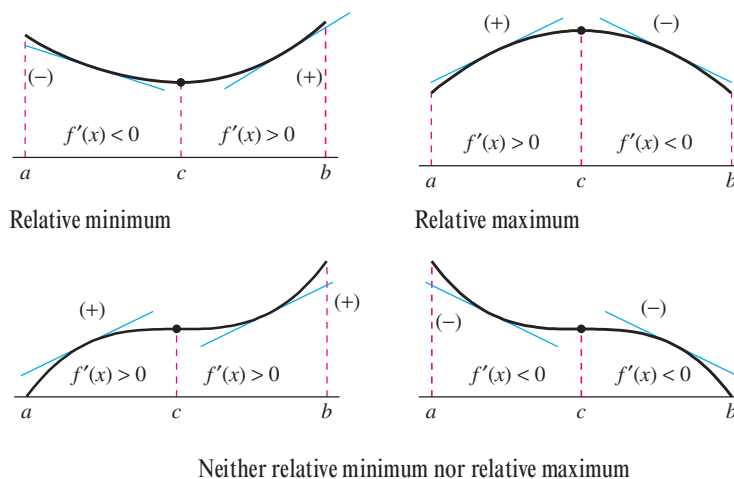
$$f(x) = x^3 - \frac{3}{2}x^2$$

has a relative maximum at the point $(0, 0)$ because f is increasing immediately to the left of $x = 0$ and decreasing immediately to the right of $x = 0$. Similarly, f has a relative minimum at the point $(1, -\frac{1}{2})$ because f is decreasing immediately to the left of $x = 1$ and increasing immediately to the right of $x = 1$. The following theorem, called the First Derivative Test, makes this more explicit.

THEOREM 4.6 The First Derivative Test

Let c be a critical number of a function f that is continuous on an open interval I containing c . If f is differentiable on the interval, except possibly at c , then $f(c)$ can be classified as follows.

1. If $f'(x)$ changes from negative to positive at c , then f has a *relative minimum* at $(c, f(c))$.
2. If $f'(x)$ changes from positive to negative at c , then f has a *relative maximum* at $(c, f(c))$.
3. If $f'(x)$ is positive on both sides of c or negative on both sides of c , then $f(c)$ is neither a relative minimum nor a relative maximum.



Proof Assume that $f'(x)$ changes from negative to positive at c . Then there exist a and b in I such that

$$f'(x) < 0 \text{ for all } x \text{ in } (a, c)$$

and

$$f'(x) > 0 \text{ for all } x \text{ in } (c, b).$$

By Theorem 4.5, f is decreasing on $[a, c]$ and increasing on $[c, b]$. So, $f(c)$ is a minimum of f on the open interval (a, b) and, consequently, a relative minimum of f . This proves the first case of the theorem. The second case can be proved in a similar way (see Exercise 108).

EXAMPLE 2 Applying the First Derivative Test

Find the relative extrema of the function $f(x) = \frac{1}{2}x - \sin x$ in the interval $(0, 2\pi)$.

Solution Note that f is continuous on the interval $(0, 2\pi)$. To determine the critical numbers of f in this interval, set $f'(x)$ equal to 0.

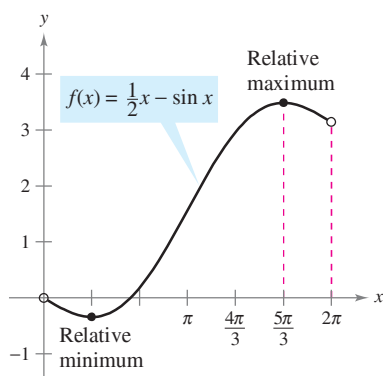
$$f'(x) = \frac{1}{2} - \cos x = 0 \quad \text{Set } f'(x) \text{ equal to 0.}$$

$$\cos x = \frac{1}{2}$$

$$x = \frac{\pi}{3}, \frac{5\pi}{3} \quad \text{Critical numbers}$$

Because there are no points for which f' does not exist, you can conclude that $x = \pi/3$ and $x = 5\pi/3$ are the only critical numbers. The table summarizes the testing of the three intervals determined by these two critical numbers.

Interval	$0 < x < \frac{\pi}{3}$	$\frac{\pi}{3} < x < \frac{5\pi}{3}$	$\frac{5\pi}{3} < x < 2\pi$
Test Value	$x = \frac{\pi}{4}$	$x = \pi$	$x = \frac{7\pi}{4}$
Sign of $f'(x)$	$f'\left(\frac{\pi}{4}\right) < 0$	$f'(\pi) > 0$	$f'\left(\frac{7\pi}{4}\right) < 0$
Conclusion	Decreasing	Increasing	Decreasing



A relative minimum occurs where f changes from decreasing to increasing, and a relative maximum occurs where f changes from increasing to decreasing.

Figure 4.19

By applying the First Derivative Test, you can conclude that f has a relative minimum at the point where

$$x = \frac{\pi}{3} \quad \text{x-value where relative minimum occurs}$$

and a relative maximum at the point where

$$x = \frac{5\pi}{3} \quad \text{x-value where relative maximum occurs}$$

as shown in Figure 4.19.

EXPLORATION

Comparing Graphical and Analytic Approaches From Section 4.2, you know that, *by itself*, a graphing utility can give misleading information about the relative extrema of a graph. *Used in conjunction with an analytic approach*, however, a graphing utility can provide a good way to reinforce your conclusions. Try using a graphing utility to graph the function in Example 2. Then use the *zoom* and *trace* features to estimate the relative extrema. How close are your graphical approximations?

Note that in Examples 1 and 2 the given functions are differentiable on the entire real number line. For such functions, the only critical numbers are those for which $f'(x) = 0$. Example 3 concerns a function that has two types of critical numbers—those for which $f'(x) = 0$ and those for which f is not differentiable.

EXAMPLE 3 Applying the First Derivative Test

Find the relative extrema of

$$f(x) = (x^2 - 4)^{2/3}.$$

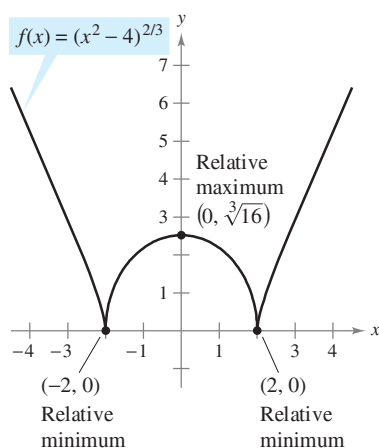
Solution Begin by noting that f is continuous on the entire real number line. The derivative of f

$$f'(x) = \frac{2}{3}(x^2 - 4)^{-1/3}(2x) \quad \text{General Power Rule}$$

$$= \frac{4x}{3(x^2 - 4)^{1/3}} \quad \text{Simplify.}$$

is 0 when $x = 0$ and does not exist when $x = \pm 2$. So, the critical numbers are $x = -2$, $x = 0$, and $x = 2$. The table summarizes the testing of the four intervals determined by these three critical numbers.

Interval	$-\infty < x < -2$	$-2 < x < 0$	$0 < x < 2$	$2 < x < \infty$
Test Value	$x = -3$	$x = -1$	$x = 1$	$x = 3$
Sign of $f'(x)$	$f'(-3) < 0$	$f'(-1) > 0$	$f'(1) < 0$	$f'(3) > 0$
Conclusion	Decreasing	Increasing	Decreasing	Increasing



You can apply the First Derivative Test to find relative extrema.

Figure 4.20

By applying the First Derivative Test, you can conclude that f has a relative minimum at the point $(-2, 0)$, a relative maximum at the point $(0, \sqrt[3]{16})$, and another relative minimum at the point $(2, 0)$, as shown in Figure 4.20.

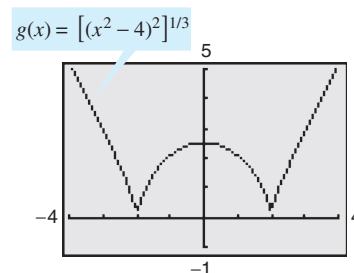
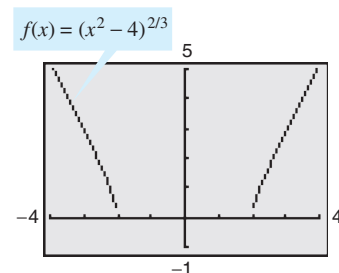
TECHNOLOGY PITFALL When using a graphing utility to graph a function involving radicals or rational exponents, be sure you understand the way the utility evaluates radical expressions. For instance, even though

$$f(x) = (x^2 - 4)^{2/3}$$

and

$$g(x) = [(x^2 - 4)^2]^{1/3}$$

are the same algebraically, some graphing utilities distinguish between these two functions. Which of the graphs shown in Figure 4.21 is incorrect? Why did the graphing utility produce an incorrect graph?



Which graph is incorrect?

Figure 4.21

When using the First Derivative Test, be sure to consider the domain of the function. For instance, in the next example, the function

$$f(x) = \frac{x^4 + 1}{x^2}$$

is not defined when $x = 0$. This x -value must be used with the critical numbers to determine the test intervals.



EXAMPLE 4 Applying the First Derivative Test

Find the relative extrema of $f(x) = \frac{x^4 + 1}{x^2}$.

Solution

$$f(x) = x^2 + x^{-2}$$

Rewrite original function.

$$f'(x) = 2x - 2x^{-3}$$

Differentiate.

$$= 2x - \frac{2}{x^3}$$

Rewrite with positive exponent.

$$= \frac{2(x^4 - 1)}{x^3}$$

Simplify.

$$= \frac{2(x^2 + 1)(x - 1)(x + 1)}{x^3}$$

Factor.

So, $f'(x)$ is zero at $x = \pm 1$. Moreover, because $x = 0$ is not in the domain of f , you should use this x -value along with the critical numbers to determine the test intervals.

$$x = \pm 1$$

Critical numbers, $f'(\pm 1) = 0$

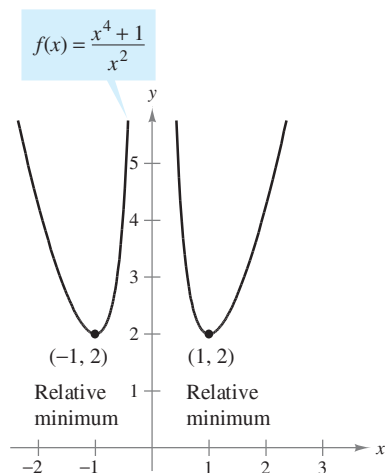
$$x = 0$$

0 is not in the domain of f .

The table summarizes the testing of the four intervals determined by these three x -values.

Interval	$-\infty < x < -1$	$-1 < x < 0$	$0 < x < 1$	$1 < x < \infty$
Test Value	$x = -2$	$x = -\frac{1}{2}$	$x = \frac{1}{2}$	$x = 2$
Sign of $f'(x)$	$f'(-2) < 0$	$f'(-\frac{1}{2}) > 0$	$f'(\frac{1}{2}) < 0$	$f'(2) > 0$
Conclusion	Decreasing	Increasing	Decreasing	Increasing

By applying the First Derivative Test, you can conclude that f has one relative minimum at the point $(-1, 2)$ and another at the point $(1, 2)$, as shown in Figure 4.22.



x -values that are not in the domain of f , as well as critical numbers, determine test intervals for f' .

Figure 4.22

TECHNOLOGY The most difficult step in applying the First Derivative Test is finding the values for which the derivative is equal to 0. For instance, the values of x for which the derivative of

$$f(x) = \frac{x^4 + 1}{x^2 + 1}$$

is equal to zero are $x = 0$ and $x = \pm\sqrt{\sqrt{2} - 1}$. If you have access to technology that can perform symbolic differentiation and solve equations, use it to apply the First Derivative Test to this function.

EXAMPLE 5 The Path of a Projectile

Michael Kevin Daly/Corbis

If a projectile is propelled from ground level and air resistance is neglected, the object will travel farthest with an initial angle of 45° . If, however, the projectile is propelled from a point above ground level, the angle that yields a maximum horizontal distance is not 45° (see Example 5).

Neglecting air resistance, the path of a projectile that is propelled at an angle θ is

$$y = \frac{g \sec^2 \theta}{2v_0^2} x^2 + (\tan \theta)x + h, \quad 0 \leq \theta \leq \frac{\pi}{2}$$

where y is the height, x is the horizontal distance, g is the acceleration due to gravity, v_0 is the initial velocity, and h is the initial height. (This equation is derived in Section 12.3.) Let $g = -32$ feet per second per second, $v_0 = 24$ feet per second, and $h = 9$ feet. What value of θ will produce a maximum horizontal distance?

Solution To find the distance the projectile travels, let $y = 0$ and use the Quadratic Formula to solve for x .

$$\frac{g \sec^2 \theta}{2v_0^2} x^2 + (\tan \theta)x + h = 0$$

$$\frac{-32 \sec^2 \theta}{2(24^2)} x^2 + (\tan \theta)x + 9 = 0$$

$$-\frac{\sec^2 \theta}{36} x^2 + (\tan \theta)x + 9 = 0$$

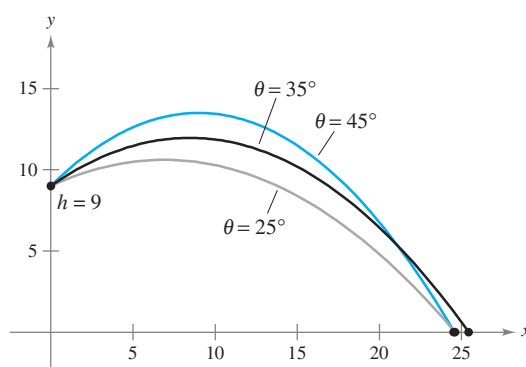
$$x = \frac{-\tan \theta \pm \sqrt{\tan^2 \theta + \sec^2 \theta}}{-\sec^2 \theta / 18}$$

$$x = 18 \cos \theta (\sin \theta + \sqrt{\sin^2 \theta + 1}), \quad x \geq 0$$

At this point, you need to find the value of θ that produces a maximum value of x . Applying the First Derivative Test by hand would be very tedious. Using technology to solve the equation $dx/d\theta = 0$, however, eliminates most of the messy computations. The result is that the maximum value of x occurs when

$$\theta \approx 0.61548 \text{ radian, or } 35.3^\circ.$$

This conclusion is reinforced by sketching the path of the projectile for different values of θ , as shown in Figure 4.23. Of the three paths shown, note that the distance traveled is greatest for $\theta = 35^\circ$.



The path of a projectile with initial angle θ

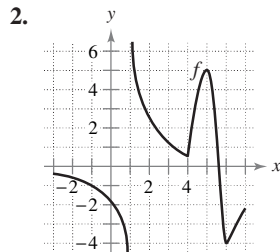
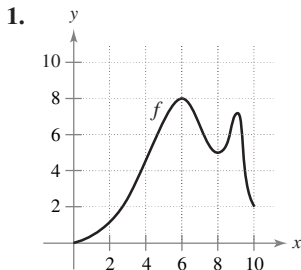
Figure 4.23

NOTE A computer simulation of this example is given in the *HM mathSpace*® CD-ROM and the online *Eduspace*® system for this text. Using that simulation, you can experimentally discover that the maximum value of x occurs when $\theta \approx 35.3^\circ$.

Exercises for Section 4.3

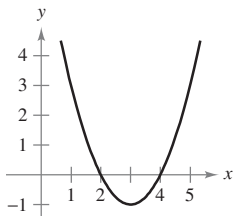
See www.CalcChat.com for worked-out solutions to odd-numbered exercises.

In Exercises 1 and 2, use the graph of f to find (a) the largest open interval on which f is increasing, and (b) the largest open interval on which f is decreasing.

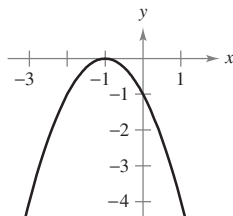


In Exercises 3–16, identify the open intervals on which the function is increasing or decreasing.

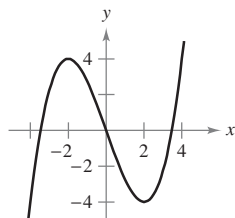
3. $f(x) = x^2 - 6x + 8$



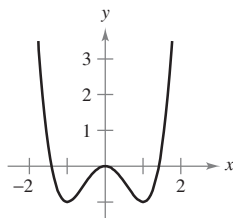
4. $y = -(x + 1)^2$



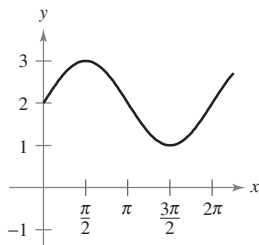
5. $y = \frac{x^3}{4} - 3x$



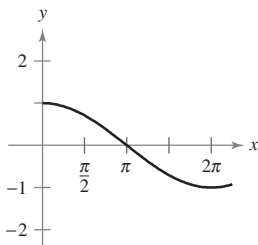
6. $f(x) = x^4 - 2x^2$



7. $f(x) = \sin x + 2, 0 < x < 2\pi$



8. $h(x) = \cos \frac{x}{2}, 0 < x < 2\pi$



9. $f(x) = \frac{1}{x^2}$

10. $y = \frac{x^2}{x + 1}$

11. $g(x) = x^2 - 2x - 8$

12. $h(x) = 27x - x^3$

13. $y = x\sqrt{16 - x^2}$

14. $y = x + \frac{4}{x}$

15. $y = x - 2 \cos x, 0 < x < 2\pi$

16. $f(x) = \cos^2 x - \cos x, 0 < x < 2\pi$

In Exercises 17–46, find the critical numbers of f (if any). Find the open intervals on which the function is increasing or decreasing and locate all relative extrema. Use a graphing utility to confirm your results.

17. $f(x) = x^2 - 6x$

18. $f(x) = x^2 + 8x + 10$

19. $f(x) = -2x^2 + 4x + 3$

20. $f(x) = -(x^2 + 8x + 12)$

21. $f(x) = 2x^3 + 3x^2 - 12x$

22. $f(x) = x^3 - 6x^2 + 15$

23. $f(x) = x^2(3 - x)$

24. $f(x) = (x + 2)^2(x - 1)$

25. $f(x) = \frac{x^5 - 5x}{5}$

26. $f(x) = x^4 - 32x + 4$

27. $f(x) = x^{1/3} + 1$

28. $f(x) = x^{2/3} - 4$

29. $f(x) = (x - 1)^{2/3}$

30. $f(x) = (x - 1)^{1/3}$

31. $f(x) = 5 - |x - 5|$

32. $f(x) = |x + 3| - 1$

33. $f(x) = x + \frac{1}{x}$

34. $f(x) = \frac{x}{x + 1}$

35. $f(x) = \frac{x^2}{x^2 - 9}$

36. $f(x) = \frac{x + 3}{x^2}$

37. $f(x) = \frac{x^2 - 2x + 1}{x + 1}$

38. $f(x) = \frac{x^2 - 3x - 4}{x - 2}$

39. $f(x) = (3 - x)e^{x-3}$

40. $f(x) = (x - 1)e^x$

41. $f(x) = 4(x - \arcsin x)$

42. $f(x) = x \arctan x$

43. $g(x) = (x)3^{-x}$

44. $f(x) = 2^{x^2-3}$

45. $f(x) = x - \log_4 x$

46. $f(x) = \frac{x^3}{3} - \ln x$

In Exercises 47–54, consider the function on the interval $(0, 2\pi)$. For each function, (a) find the open interval(s) on which the function is increasing or decreasing, (b) apply the First Derivative Test to identify all relative extrema, and (c) use a graphing utility to confirm your results.

47. $f(x) = \frac{x}{2} + \cos x$

48. $f(x) = \sin x \cos x$

49. $f(x) = \sin x + \cos x$

50. $f(x) = x + 2 \sin x$

51. $f(x) = \cos^2(2x)$

52. $f(x) = \sqrt{3} \sin x + \cos x$

53. $f(x) = \sin^2 x + \sin x$

54. $f(x) = \frac{\sin x}{1 + \cos^2 x}$



In Exercises 55–60, (a) use a computer algebra system to differentiate the function, (b) sketch the graphs of f and f' on the same set of coordinate axes over the indicated interval, (c) find the critical numbers of f in the open interval, and (d) find the interval(s) on which f' is positive and the interval(s) on which it is negative. Compare the behavior of f and the sign of f' .

55. $f(x) = 2x\sqrt{9 - x^2}, [-3, 3]$

56. $f(x) = 10(5 - \sqrt{x^2 - 3x + 16}), [0, 5]$

57. $f(t) = t^2 \sin t, [0, 2\pi]$

58. $f(x) = \frac{x}{2} + \cos \frac{x}{2}, [0, 4\pi]$

59. $f(x) = \frac{1}{2}(x^2 - \ln x), (0, 3]$

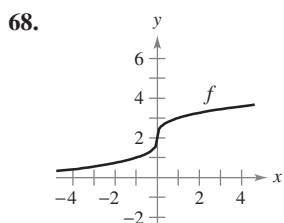
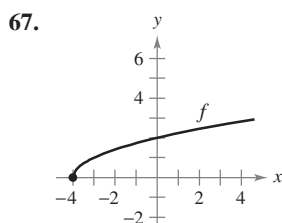
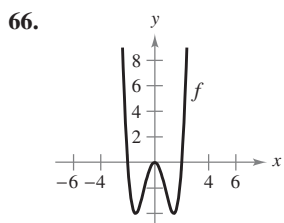
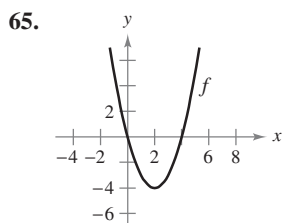
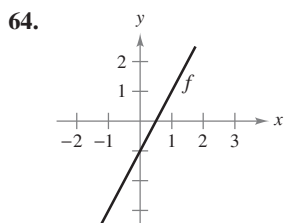
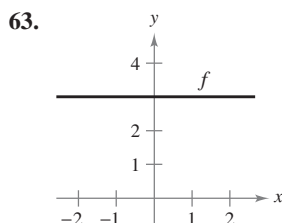
60. $f(x) = (4 - x^2)e^x, [0, 2]$

In Exercises 61 and 62, use symmetry, extrema, and zeros to sketch the graph of f . How do the functions f and g differ? Explain.

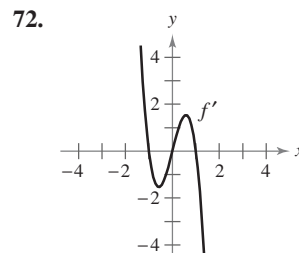
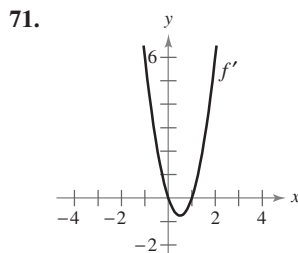
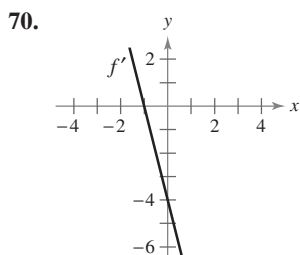
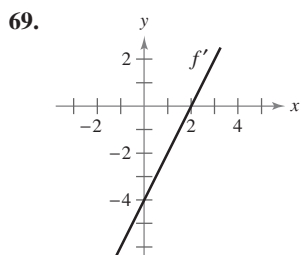
61. $f(x) = \frac{x^5 - 4x^3 + 3x}{x^2 - 1}, g(x) = x(x^2 - 3)$

62. $f(t) = \cos^2 t - \sin^2 t, g(t) = 1 - 2 \sin^2 t, (-2, 2)$

Think About It In Exercises 63–68, the graph of f is shown in the figure. Sketch a graph of the derivative of f . To print an enlarged copy of the graph, go to the website www.mathgraphs.com.



In Exercises 69–72, use the graph of f' to (a) identify the interval(s) on which f is increasing or decreasing, and (b) estimate the values of x at which f has a relative maximum or minimum.



Writing About Concepts

In Exercises 73–78, assume that f is differentiable for all x . The signs of f' are as follows.

$f'(x) > 0$ on $(-\infty, -4)$

$f'(x) < 0$ on $(-4, 6)$

$f'(x) > 0$ on $(6, \infty)$

Supply the appropriate inequality for the indicated value of c .

Function	Sign of $g'(c)$
73. $g(x) = f(x) + 5$	$g'(0)$ <input type="text"/>
74. $g(x) = 3f(x) - 3$	$g'(-5)$ <input type="text"/>
75. $g(x) = -f(x)$	$g'(-6)$ <input type="text"/>
76. $g(x) = -f(x)$	$g'(0)$ <input type="text"/>
77. $g(x) = f(x - 10)$	$g'(0)$ <input type="text"/>
78. $g(x) = f(x - 10)$	$g'(8)$ <input type="text"/>

79. Sketch the graph of the arbitrary function f such that

$$f'(x) \begin{cases} > 0, & x < 4 \\ \text{undefined}, & x = 4. \\ < 0, & x > 4 \end{cases}$$

80. A differentiable function f has one critical number at $x = 5$. Identify the relative extrema of f at the critical number if $f'(4) = -2.5$ and $f'(6) = 3$.

81. **Think About It** The function f is differentiable on the interval $[-1, 1]$. The table shows the values of f' for selected values of x . Sketch the graph of f , approximate the critical numbers, and identify the relative extrema.

x	-1	-0.75	-0.50	-0.25
$f'(x)$	-10	-3.2	-0.5	0.8

x	0	0.25	0.50	0.75	1
$f'(x)$	5.6	3.6	-0.2	-6.7	-20.1

- 82. Think About It** The function f is differentiable on the interval $[0, \pi]$. The table shows the values of f' for selected values of x . Sketch the graph of f , approximate the critical numbers, and identify the relative extrema.

x	0	$\pi/6$	$\pi/4$	$\pi/3$	$\pi/2$
$f'(x)$	3.14	-0.23	-2.45	-3.11	0.69

x	$2\pi/3$	$3\pi/4$	$5\pi/6$	π
$f'(x)$	3.00	1.37	-1.14	-2.84

- 83. Rolling a Ball Bearing** A ball bearing is placed on an inclined plane and begins to roll. The angle of elevation of the plane is θ . The distance (in meters) the ball bearing rolls in t seconds is $s(t) = 4.9(\sin \theta)t^2$.

- (a) Determine the speed of the ball bearing after t seconds.
 (b) Complete the table and use it to determine the value of θ that produces the maximum speed at a particular time.

θ	0	$\pi/4$	$\pi/3$	$\pi/2$	$2\pi/3$	$3\pi/4$	π
$s'(t)$							

- 84. Numerical, Graphical, and Analytic Analysis** The concentration C of a chemical in the bloodstream t hours after injection into muscle tissue is

$$C(t) = \frac{3t}{27 + t^3}, \quad t \geq 0.$$

- (a) Complete the table and use it to approximate the time when the concentration is greatest.

t	0	0.5	1	1.5	2	2.5	3
$C(t)$							

- (b) Use a graphing utility to graph the concentration function and use the graph to approximate the time when the concentration is greatest.
 (c) Use calculus to determine analytically the time when the concentration is greatest.

- 85. Numerical, Graphical, and Analytic Analysis** Consider the functions $f(x) = x$ and $g(x) = \sin x$ on the interval $(0, \pi)$.

- (a) Complete the table and make a conjecture about which is the greater function on the interval $(0, \pi)$.

x	0.5	1	1.5	2	2.5	3
$f(x)$						
$g(x)$						

- (b) Use a graphing utility to graph the functions and use the graphs to make a conjecture about which is the greater function on the interval $(0, \pi)$.

- (c) Prove that $f(x) > g(x)$ on the interval $(0, \pi)$. [Hint: Show that $h'(x) > 0$ where $h = f - g$.]

- 86. Numerical, Graphical, and Analytic Analysis** Consider the functions $f(x) = x$ and $g(x) = \tan x$ on the interval $(0, \pi/2)$.

- (a) Complete the table and make a conjecture about which is the greater function on the interval $(0, \pi/2)$.

x	0.25	0.5	0.75	1	1.25	1.5
$f(x)$						
$g(x)$						

- (b) Use a graphing utility to graph the functions and use the graphs to make a conjecture about which is the greater function on the interval $(0, \pi/2)$.

- (c) Prove that $f(x) < g(x)$ on the interval $(0, \pi/2)$. [Hint: Show that $h'(x) > 0$, where $h = g - f$.]

- 87. Trachea Contraction** Coughing forces the trachea (wind-pipe) to contract, which affects the velocity v of the air passing through the trachea. The velocity of the air during coughing is

$$v = k(R - r)r^2, \quad 0 \leq r < R$$

where k is constant, R is the normal radius of the trachea, and r is the radius during coughing. What radius will produce the maximum air velocity?

- 88. Profit** The profit P (in dollars) made by a fast-food restaurant selling x hamburgers is

$$P = 40,000(e^{-x} - 1) - 3x + 850\sqrt{x}, \quad 0 \leq x \leq 35,000.$$

Find the open intervals on which P is increasing or decreasing.

- 89. Modeling Data** The end-of-year assets for the Medicare Hospital Insurance Trust Fund (in billions of dollars) for the years 1995 through 2001 are shown.

1995: 130.3; 1996: 124.9; 1997: 115.6; 1998: 120.4;

1999: 141.4; 2000: 177.5; 2001: 208.7

(Source: U.S. Centers for Medicare and Medicaid Services)

- (a) Use the regression capabilities of a graphing utility to find a model of the form $M = at^2 + bt + c$ for the data. (Let $t = 5$ represent 1995.)

- (b) Use a graphing utility to plot the data and graph the model.

- (c) Analytically find the minimum of the model and compare the result with the actual data.

- 90. Modeling Data** The number of bankruptcies (in thousands) for the years 1988 through 2001 are shown.

1988: 594.6; 1989: 643.0; 1990: 725.5; 1991: 880.4;

1992: 972.5; 1993: 918.7; 1994: 845.3; 1995: 858.1;

1996: 1042.1; 1997: 1317.0; 1998: 1429.5;

1999: 1392.0; 2000: 1277.0; 2001: 1386.6

(Source: Administrative Office of the U.S. Courts)

- (a) Use the regression capabilities of a graphing utility to find a model of the form $B = at^4 + bt^3 + ct^2 + dt + e$ for the data. (Let $t = 8$ represent 1988.)

- (b) Use a graphing utility to plot the data and graph the model.
 (c) Find the maximum of the model and compare the result with the actual data.

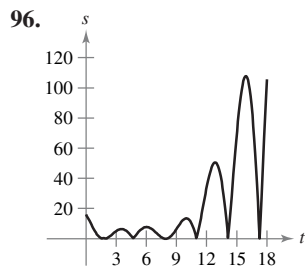
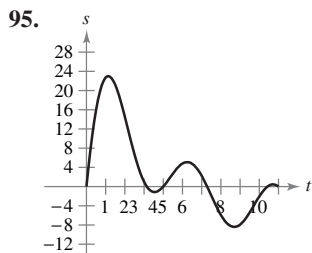
Motion Along a Line In Exercises 91–94, the function $s(t)$ describes the motion of a particle moving along a line. For each function, (a) find the velocity function of the particle at any time $t \geq 0$, (b) identify the time interval(s) when the particle is moving in a positive direction, (c) identify the time interval(s) when the particle is moving in a negative direction, and (d) identify the time(s) when the particle changes its direction.

91. $s(t) = 6t - t^2$ 92. $s(t) = t^2 - 7t + 10$

93. $s(t) = t^3 - 5t^2 + 4t$

94. $s(t) = t^3 - 20t^2 + 128t - 280$

Motion Along a Line In Exercises 95 and 96, the graph shows the position of a particle moving along a line. Describe how the particle's position changes with respect to time.



Creating Polynomial Functions In Exercises 97–100, find a polynomial function

$$f(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_2 x^2 + a_1 x + a_0$$

that has only the specified extrema. (a) Determine the minimum degree of the function and give the criteria you used in determining the degree. (b) Using the fact that the coordinates of the extrema are solution points of the function, and that the x -coordinates are critical numbers, determine a system of linear equations whose solution yields the coefficients of the required function. (c) Use a graphing utility to solve the system of equations and determine the function. (d) Use a graphing utility to confirm your result graphically.

97. Relative minimum: $(0, 0)$; Relative maximum: $(2, 2)$
 98. Relative minimum: $(0, 0)$; Relative maximum: $(4, 1000)$
 99. Relative minima: $(0, 0)$, $(4, 0)$
 Relative maximum: $(2, 4)$
 100. Relative minimum: $(1, 2)$
 Relative maxima: $(-1, 4)$, $(3, 4)$

True or False? In Exercises 101–106, determine whether the statement is true or false. If it is false, explain why or give an example that shows it is false.

101. The sum of two increasing functions is increasing.
 102. The product of two increasing functions is increasing.

103. Every n th-degree polynomial has $(n - 1)$ critical numbers.
 104. An n th-degree polynomial has at most $(n - 1)$ critical numbers.
 105. There is a relative maximum or minimum at each critical number.
 106. The relative maxima of the function f are $f(1) = 4$ and $f(3) = 10$. So, f has at least one minimum for some x in the interval $(1, 3)$.
 107. Prove the second case of Theorem 4.5.
 108. Prove the second case of Theorem 4.6.
 109. Let $x > 0$ and $n > 1$ be real numbers. Prove that $(1 + x)^n > 1 + nx$.
 110. Use the definitions of increasing and decreasing functions to prove that $f(x) = x^3$ is increasing on $(-\infty, \infty)$.
 111. Use the definitions of increasing and decreasing functions to prove that $f(x) = 1/x$ is decreasing on $(0, \infty)$.

Section Project: Rainbows

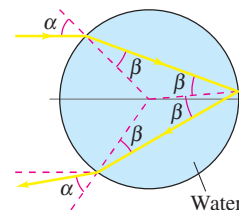
Rainbows are formed when light strikes raindrops and is reflected and refracted, as shown in the figure. (This figure shows a cross section of a spherical raindrop.) The Law of Refraction states that $(\sin \alpha)/(\sin \beta) = k$, where $k \approx 1.33$ (for water). The angle of deflection is given by $D = \pi + 2\alpha - 4\beta$.

- (a) Use a graphing utility to graph $D = \pi + 2\alpha - 4 \sin^{-1}(1/k \sin \alpha)$,

$$0 \leq \alpha \leq \pi/2.$$

- (b) Prove that the minimum angle of deflection occurs when

$$\cos \alpha = \frac{\sqrt{k^2 - 1}}{3}.$$



For water, what is the minimum angle of deflection, D_{\min} ? (The angle $\pi - D_{\min}$ is called the *rainbow angle*.) What value of α produces this minimum angle? (A ray of sunlight that strikes a raindrop at this angle, α , is called a *rainbow ray*.)

FOR FURTHER INFORMATION For more information about the mathematics of rainbows, see the article “Somewhere Within the Rainbow” by Steven Janke in *The UMAP Journal*.

Section 4.4

Concavity and the Second Derivative Test

- Determine intervals on which a function is concave upward or concave downward.
- Find any points of inflection of the graph of a function.
- Apply the Second Derivative Test to find relative extrema of a function.

Concavity

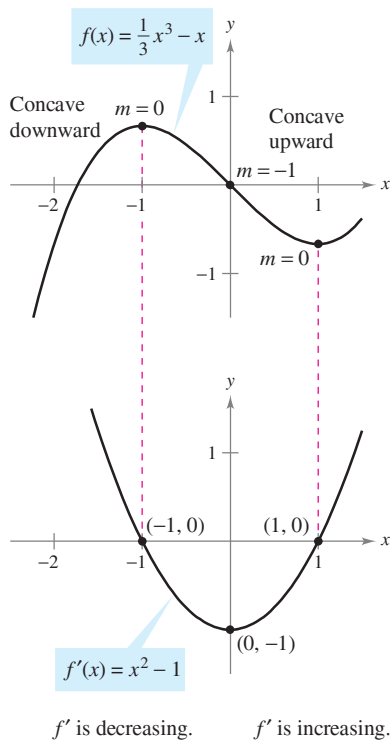
You have already seen that locating the intervals on which a function f increases or decreases helps to describe its graph. In this section, you will see how locating the intervals on which f' increases or decreases can be used to determine where the graph of f is *curving upward* or *curving downward*.

Definition of Concavity

Let f be differentiable on an open interval I . The graph of f is **concave upward** on I if f' is increasing on the interval and **concave downward** on I if f' is decreasing on the interval.

The following graphical interpretation of concavity is useful. (See Appendix A for a proof of these results.)

1. Let f be differentiable on an open interval I . If the graph of f is concave *upward* on I , then the graph of f lies *above* all of its tangent lines on I . [See Figure 4.24(a).]
2. Let f be differentiable on an open interval I . If the graph of f is concave *downward* on I , then the graph of f lies *below* all of its tangent lines on I . [See Figure 4.24(b).]



The concavity of f is related to the slope of its derivative.

Figure 4.25

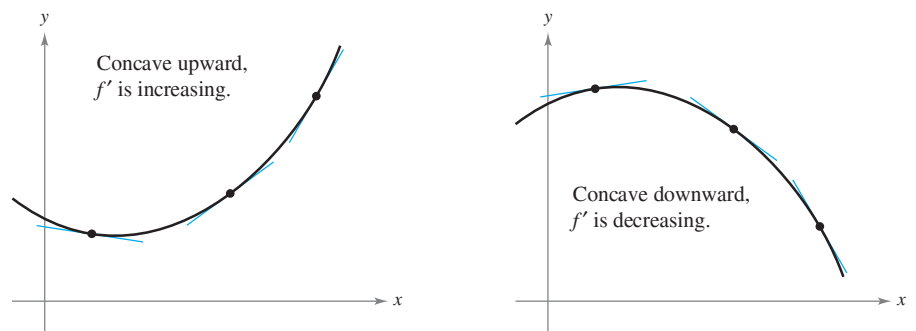
(a) The graph of f lies above its tangent lines.(b) The graph of f lies below its tangent lines.

Figure 4.24

To find the open intervals on which the graph of a function f is concave upward or downward, you need to find the intervals on which f' is increasing or decreasing. For instance, the graph of

$$f(x) = \frac{1}{3}x^3 - x$$

is concave downward on the open interval $(-\infty, 0)$ because $f'(x) = x^2 - 1$ is decreasing there. (See Figure 4.25.) Similarly, the graph of f is concave upward on the interval $(0, \infty)$ because f' is increasing on $(0, \infty)$.

The following theorem shows how to use the *second* derivative of a function f to determine intervals on which the graph of f is concave upward or downward. A proof of this theorem follows directly from Theorem 4.5 and the definition of concavity.

THEOREM 4.7 Test for Concavity

Let f be a function whose second derivative exists on an open interval I .

1. If $f''(x) > 0$ for all x in I , then the graph of f is concave upward in I .
2. If $f''(x) < 0$ for all x in I , then the graph of f is concave downward in I .

Note that a third case of Theorem 4.7 could be that if $f''(x) = 0$ for all x in I , then f is linear. Note, however, that concavity is not defined for a line. In other words, a straight line is neither concave upward nor concave downward.

To apply Theorem 4.7, first locate the x -values at which $f''(x) = 0$ or f'' does not exist. Second, use these x -values to determine test intervals. Finally, test the sign of $f''(x)$ in each of the test intervals.

EXAMPLE 1 Determining Concavity

Determine the open intervals on which the graph of

$$f(x) = e^{-x^2/2}$$

is concave upward or downward.

Solution Begin by observing that f is continuous on the entire real number line. Next, find the second derivative of f .

$$f'(x) = -xe^{-x^2/2}$$

First derivative

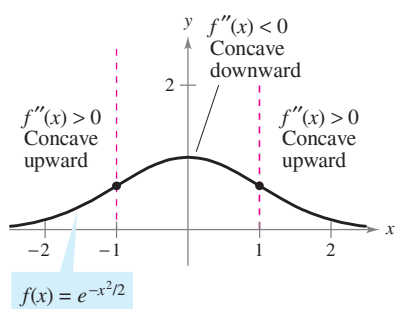
$$\begin{aligned} f''(x) &= (-x)(-x)e^{-x^2/2} + e^{-x^2/2}(-1) \\ &= e^{-x^2/2}(x^2 - 1) \end{aligned}$$

Differentiate.

Second derivative

Because $f''(x) = 0$ when $x = \pm 1$ and f'' is defined on the entire real number line, you should test f'' in the intervals $(-\infty, -1)$, $(-1, 1)$, and $(1, \infty)$. The results are shown in the table and in Figure 4.26.

Interval	$-\infty < x < -1$	$-1 < x < 1$	$1 < x < \infty$
Test Value	$x = -2$	$x = 0$	$x = 2$
Sign of $f''(x)$	$f''(-2) > 0$	$f''(0) < 0$	$f''(2) > 0$
Conclusion	Concave upward	Concave downward	Concave upward



From the sign of f'' you can determine the concavity of the graph of f .

Figure 4.26

NOTE The function in Example 1 is similar to the normal probability density function, whose general form is

$$f(x) = \frac{1}{\sigma\sqrt{2\pi}} e^{-x^2/2\sigma^2}$$

where σ is the standard deviation (σ is the lowercase Greek letter sigma). This “bell-shaped” curve is concave downward on the interval $(-\sigma, \sigma)$.

The function given in Example 1 is continuous on the entire real number line. If there are x -values at which the function is not continuous, these values should be used along with the points at which $f''(x) = 0$ or $f''(x)$ does not exist to form the test intervals.

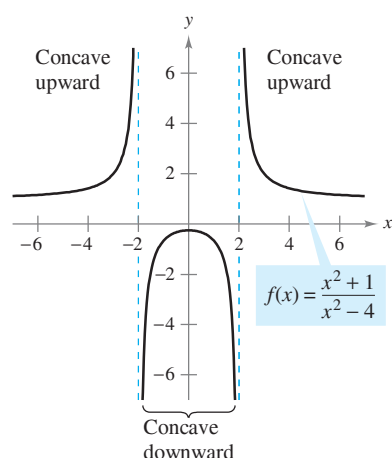


Figure 4.27

EXAMPLE 2 Determining Concavity

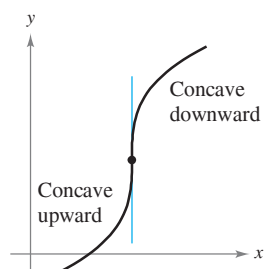
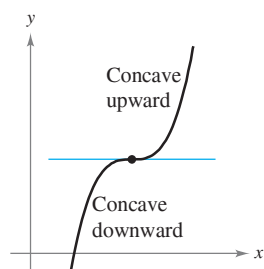
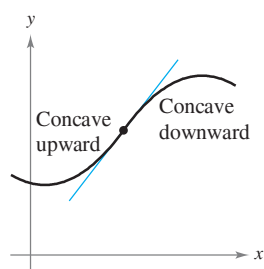
Determine the open intervals on which the graph of $f(x) = \frac{x^2 + 1}{x^2 - 4}$ is concave upward or downward.

Solution Differentiating twice produces the following.

$$\begin{aligned}
 f(x) &= \frac{x^2 + 1}{x^2 - 4} && \text{Write original function.} \\
 f'(x) &= \frac{(x^2 - 4)(2x) - (x^2 + 1)(2x)}{(x^2 - 4)^2} && \text{Differentiate.} \\
 &= \frac{-10x}{(x^2 - 4)^2} && \text{First derivative} \\
 f''(x) &= \frac{(x^2 - 4)^2(-10) - (-10x)(2)(x^2 - 4)(2x)}{(x^2 - 4)^4} && \text{Differentiate.} \\
 &= \frac{10(3x^2 + 4)}{(x^2 - 4)^3} && \text{Second derivative}
 \end{aligned}$$

There are no points at which $f''(x) = 0$, but at $x = \pm 2$ the function f is not continuous, so test for concavity in the intervals $(-\infty, -2)$, $(-2, 2)$, and $(2, \infty)$, as shown in the table. The graph of f is shown in Figure 4.27.

Interval	$-\infty < x < -2$	$-2 < x < 2$	$2 < x < \infty$
Test Value	$x = -3$	$x = 0$	$x = 3$
Sign of $f''(x)$	$f''(-3) > 0$	$f''(0) < 0$	$f''(3) > 0$
Conclusion	Concave upward	Concave downward	Concave upward



The concavity of f changes at a point of inflection. Note that a graph crosses its tangent line at a point of inflection.

Figure 4.28

Points of Inflection

The graph in Figure 4.26 has two points at which the concavity changes. If the tangent line to the graph exists at such a point, that point is a **point of inflection**. Three types of points of inflection are shown in Figure 4.28.

Definition of Point of Inflection

Let f be a function that is continuous on an open interval and let c be a point in the interval. If the graph of f has a tangent line at this point $(c, f(c))$, then this point is a **point of inflection** of the graph of f if the concavity of f changes from upward to downward (or downward to upward) at the point.

NOTE The definition of *point of inflection* given in this book requires that the tangent line exists at the point of inflection. Some books do not require this. For instance, we do not consider the function

$$f(x) = \begin{cases} x^3, & x < 0 \\ x^2 + 2x, & x \geq 0 \end{cases}$$

to have a point of inflection at the origin, even though the concavity of the graph changes from concave downward to concave upward.

To locate *possible* points of inflection, you can determine the values of x for which $f''(x) = 0$ or $f''(x)$ does not exist. This is similar to the procedure for locating relative extrema of f .

THEOREM 4.8 Points of Inflection

If $(c, f(c))$ is a point of inflection of the graph of f , then either $f''(c) = 0$ or f'' does not exist at $x = c$.

EXAMPLE 3 Finding Points of Inflection

Determine the points of inflection and discuss the concavity of the graph of $f(x) = x^4 - 4x^3$.

Solution Differentiating twice produces the following.

$$f(x) = x^4 - 4x^3$$

Write original function.

$$f'(x) = 4x^3 - 12x^2$$

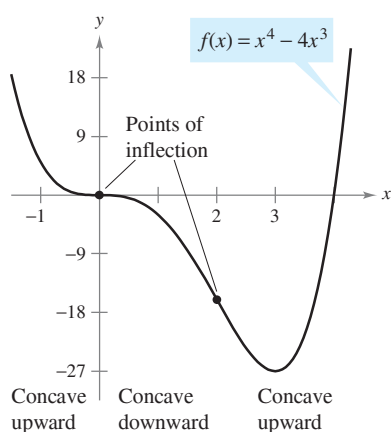
Find first derivative.

$$f''(x) = 12x^2 - 24x = 12x(x - 2)$$

Find second derivative.

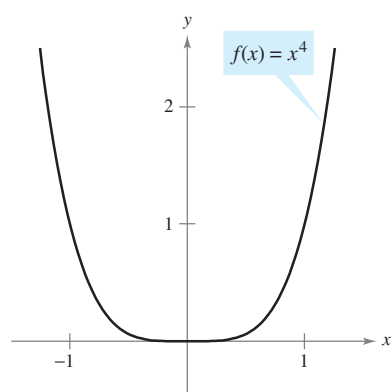
Setting $f''(x) = 0$, you can determine that the possible points of inflection occur at $x = 0$ and $x = 2$. By testing the intervals determined by these x -values, you can conclude that they both yield points of inflection. A summary of this testing is shown in the table, and the graph of f is shown in Figure 4.29.

Interval	$-\infty < x < 0$	$0 < x < 2$	$2 < x < \infty$
Test Value	$x = -1$	$x = 1$	$x = 3$
Sign of $f''(x)$	$f''(-1) > 0$	$f''(1) < 0$	$f''(3) > 0$
Conclusion	Concave upward	Concave downward	Concave upward



Points of inflection can occur where $f''(x) = 0$ or f'' does not exist.

Figure 4.29



$f''(0) = 0$, but $(0, 0)$ is not a point of inflection.

Figure 4.30

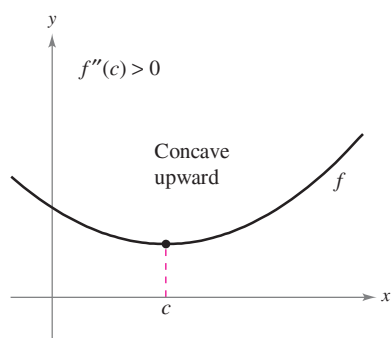
The converse of Theorem 4.8 is not generally true. That is, it is possible for the second derivative to be 0 at a point that is *not* a point of inflection. For instance, the graph of $f(x) = x^4$ is shown in Figure 4.30. The second derivative is 0 when $x = 0$, but the point $(0, 0)$ is not a point of inflection because the graph of f is concave upward on both intervals $-\infty < x < 0$ and $0 < x < \infty$.

EXPLORATION

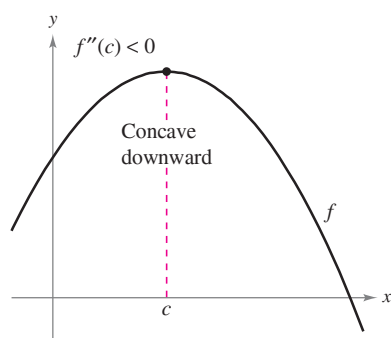
Consider a general cubic function of the form

$$f(x) = ax^3 + bx^2 + cx + d.$$

You know that the value of d has a bearing on the location of the graph but has no bearing on the value of the first derivative at given values of x . Graphically, this is true because changes in the value of d shift the graph up or down but do not change its basic shape. Use a graphing utility to graph several cubics with different values of c . Then give a graphical explanation of why changes in d do not affect the values of the second derivative.



If $f'(c) = 0$ and $f''(c) > 0$, $f(c)$ is a relative minimum.



If $f'(c) = 0$ and $f''(c) < 0$, $f(c)$ is a relative maximum.

Figure 4.31

The Second Derivative Test

In addition to testing for concavity, the second derivative can be used to perform a simple test for relative maxima and minima. The test is based on the fact that if the graph of a function f is concave upward on an open interval containing c , and $f'(c) = 0$, $f(c)$ must be a relative minimum of f . Similarly, if the graph of a function f is concave downward on an open interval containing c , and $f'(c) = 0$, $f(c)$ must be a relative maximum of f (see Figure 4.31).

THEOREM 4.9 Second Derivative Test

Let f be a function such that $f'(c) = 0$ and the second derivative of f exists on an open interval containing c .

1. If $f''(c) > 0$, then $f(c)$ is a relative minimum.
2. If $f''(c) < 0$, then $f(c)$ is a relative maximum.

If $f''(c) = 0$, the test fails. That is, f may have a relative maximum, a relative minimum, or neither. In such cases, you can use the First Derivative Test.

Proof If $f'(c) = 0$ and $f''(c) > 0$, there exists an open interval I containing c for which

$$\frac{f'(x) - f'(c)}{x - c} = \frac{f'(x)}{x - c} > 0$$

for all $x \neq c$ in I . If $x < c$, then $x - c < 0$ and $f'(x) < 0$. Also, if $x > c$, then $x - c > 0$ and $f'(x) > 0$. So, $f'(x)$ changes from negative to positive at c , and the First Derivative Test implies that $f(c)$ is a relative minimum. A proof of the second case is left to you.



EXAMPLE 4 Using the Second Derivative Test

Find the relative extrema for $f(x) = -3x^5 + 5x^3$.

Solution Begin by finding the critical numbers of f .

$$\begin{aligned} f'(x) &= -15x^4 + 15x^2 = 15x^2(1 - x^2) = 0 \\ x &= -1, 0, 1 \end{aligned}$$

Set $f'(x)$ equal to 0.

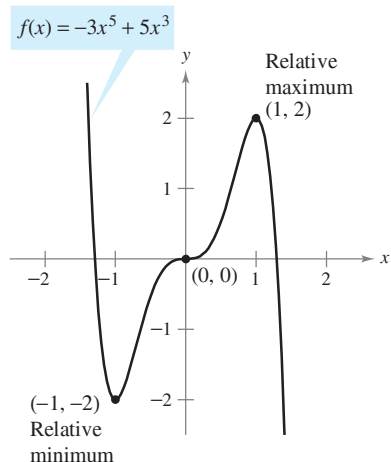
Critical numbers

Using

$$f''(x) = -60x^3 + 30x = 30(-2x^3 + x)$$

you can apply the Second Derivative Test as shown below.

Point	$(-1, -2)$	$(1, 2)$	$(0, 0)$
Sign of $f''(x)$	$f''(-1) > 0$	$f''(1) < 0$	$f''(0) = 0$
Conclusion	Relative minimum	Relative maximum	Test fails



$(0, 0)$ is neither a relative minimum nor a relative maximum.

Figure 4.32

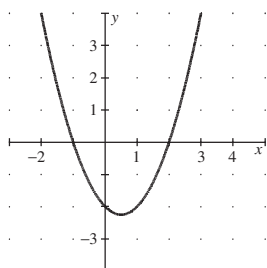
Because the Second Derivative Test fails at $(0, 0)$, you can use the First Derivative Test and observe that f increases to the left and right of $x = 0$. So, $(0, 0)$ is neither a relative minimum nor a relative maximum (even though the graph has a horizontal tangent line at this point). The graph of f is shown in Figure 4.32.

Exercises for Section 4.4

See www.CalcChat.com for worked-out solutions to odd-numbered exercises.

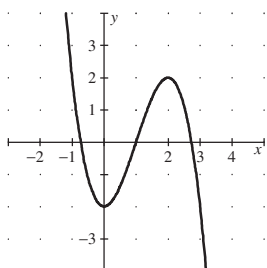
In Exercises 1–10, determine the open intervals on which the graph is concave upward or concave downward.

1. $y = x^2 - x - 2$



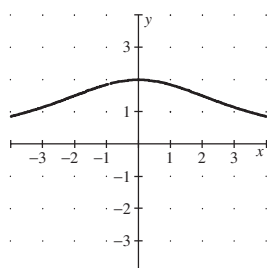
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2. $y = -x^3 + 3x^2 - 2$



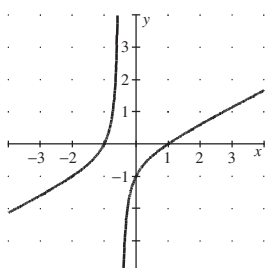
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3. $f(x) = \frac{24}{x^2 + 12}$



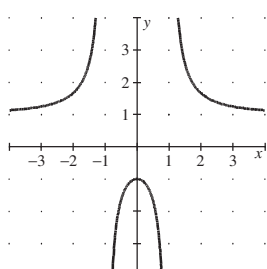
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4. $f(x) = \frac{x^2 - 1}{2x + 1}$



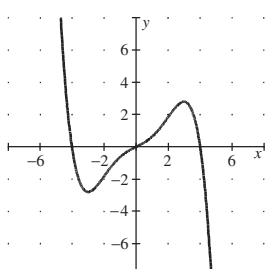
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5. $f(x) = \frac{x^2 + 1}{x^2 - 1}$



Generated by Derive

6. $y = \frac{-3x^5 + 40x^3 + 135x}{270}$



Generated by Derive

7. $g(x) = 3x^2 - x^3$

8. $h(x) = x^5 - 5x + 2$

9. $y = 2x - \tan x, \left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$

10. $y = x + \frac{2}{\sin x}, (-\pi, \pi)$

In Exercises 11–28, find the points of inflection and discuss the concavity of the graph of the function.

11. $f(x) = x^3 - 6x^2 + 12x$

12. $f(x) = 2x^3 - 3x^2 - 12x + 5$

13. $f(x) = \frac{1}{4}x^4 - 2x^2$

15. $f(x) = x(x - 4)^3$

17. $f(x) = x\sqrt{x+3}$

14. $f(x) = 2x^4 - 8x + 3$

16. $f(x) = x^3(x - 2)$

18. $f(x) = x\sqrt{x+1}$

19. $f(x) = \frac{x}{x^2 + 1}$

20. $f(x) = \frac{x+1}{\sqrt{x}}$

21. $f(x) = \sin \frac{x}{2}, [0, 4\pi]$

22. $f(x) = 2 \csc \frac{3x}{2}, (0, 2\pi)$

23. $f(x) = \sec\left(x - \frac{\pi}{2}\right), (0, 4\pi)$

24. $f(x) = \sin x + \cos x, [0, 2\pi]$

25. $f(x) = 2 \sin x + \sin 2x, [0, 2\pi]$

26. $f(x) = x + 2 \cos x, [0, 2\pi]$

27. $y = x - \ln x$

28. $y = \frac{1}{2}(e^x - e^{-x})$

In Exercises 29–54, find all relative extrema. Use the Second Derivative Test where applicable.

29. $f(x) = x^4 - 4x^3 + 2$

30. $f(x) = x^2 + 3x - 8$

31. $f(x) = (x - 5)^2$

32. $f(x) = -(x - 5)^2$

33. $f(x) = x^3 - 3x^2 + 3$

34. $f(x) = x^3 - 9x^2 + 27x$

35. $g(x) = x^2(6 - x)^3$

36. $g(x) = -\frac{1}{8}(x + 2)^2(x - 4)^2$

37. $f(x) = x^{2/3} - 3$

38. $f(x) = \sqrt{x^2 + 1}$

39. $f(x) = x + \frac{4}{x}$

40. $f(x) = \frac{x}{x - 1}$

41. $f(x) = \cos x - x, [0, 4\pi]$

42. $f(x) = 2 \sin x + \cos 2x, [0, 2\pi]$

43. $y = \frac{1}{2}x^2 - \ln x$

44. $y = x \ln x$

45. $y = \frac{x}{\ln x}$

46. $y = x^2 \ln \frac{x}{4}$

47. $f(x) = \frac{e^x + e^{-x}}{2}$

48. $g(x) = \frac{1}{\sqrt{2\pi}} e^{-(x-3)^2/2}$

49. $f(x) = x^2 e^{-x}$

50. $f(x) = x e^{-x}$

51. $f(x) = 8x(4^{-x})$

52. $y = x^2 \log_3 x$

53. $f(x) = \operatorname{arcsec} x - x$

54. $f(x) = \arcsin x - 2x$



In Exercises 55–58, use a computer algebra system to analyze the function over the given interval. (a) Find the first and second derivatives of the function. (b) Find any relative extrema and points of inflection. (c) Graph f , f' , and f'' on the same set of coordinate axes and state the relationship between the behavior of f and the signs of f' and f'' .

55. $f(x) = 0.2x^2(x - 3)^3, [-1, 4]$

56. $f(x) = x^2 \sqrt{6 - x^2}, [-\sqrt{6}, \sqrt{6}]$

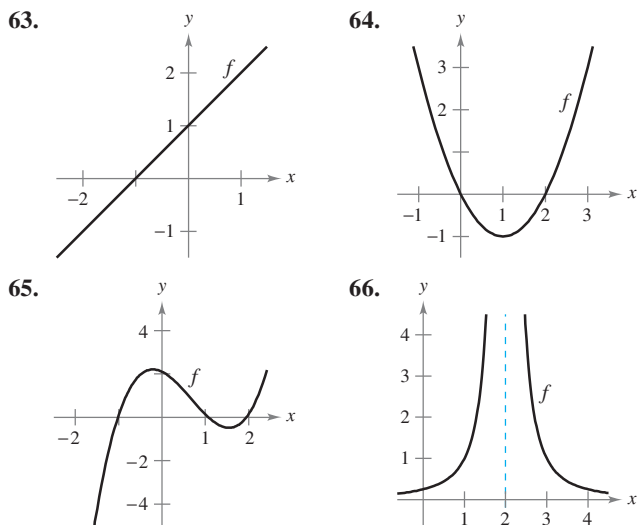
57. $f(x) = \sin x - \frac{1}{3} \sin 3x + \frac{1}{5} \sin 5x, [0, \pi]$

58. $f(x) = \sqrt{2x} \sin x, [0, 2\pi]$

Writing About Concepts

59. Consider a function f such that f' is increasing. Sketch graphs of f for (a) $f' < 0$ and (b) $f' > 0$.
60. Consider a function f such that f' is decreasing. Sketch graphs of f for (a) $f' < 0$ and (b) $f' > 0$.
61. Sketch the graph of a function f that does *not* have a point of inflection at $(c, f(c))$ even though $f''(c) = 0$.
62. S represents weekly sales of a product. What can be said of S' and S'' for each of the following?
- The rate of change of sales is increasing.
 - Sales are increasing at a slower rate.
 - The rate of change of sales is constant.
 - Sales are steady.
 - Sales are declining, but at a slower rate.
 - Sales have bottomed out and have started to rise.


In Exercises 63–66, the graph of f is shown. Graph f , f' , and f'' on the same set of coordinate axes. To print an enlarged copy of the graph, go to the website www.mathgraphs.com.



Think About It In Exercises 67–70, sketch the graph of a function f having the given characteristics.

67. $f(2) = f(4) = 0$
 $f(3)$ is defined.
 $f'(x) < 0$ if $x < 3$
 $f'(3)$ does not exist.
 $f'(x) > 0$ if $x > 3$
 $f''(x) < 0$, $x \neq 3$
68. $f(0) = f(2) = 0$
 $f'(x) > 0$ if $x < 1$
 $f'(1) = 0$
 $f'(x) < 0$ if $x > 1$
 $f''(x) < 0$
69. $f(2) = f(4) = 0$
 $f'(x) > 0$ if $x < 3$
 $f'(3)$ does not exist.
 $f'(x) < 0$ if $x > 3$
 $f''(x) > 0$, $x \neq 3$
70. $f(0) = f(2) = 0$
 $f'(x) < 0$ if $x < 1$
 $f'(1) = 0$
 $f'(x) > 0$ if $x > 1$
 $f''(x) > 0$

71. **Conjecture** Consider the function $f(x) = (x - 2)^n$.

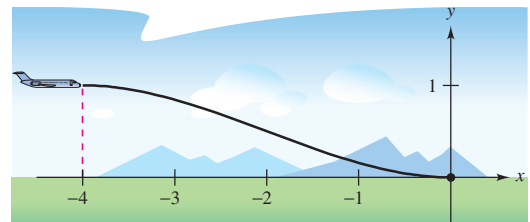
-  (a) Use a graphing utility to graph f for $n = 1, 2, 3$, and 4. Use the graphs to make a conjecture about the relationship between n and any inflection points of the graph of f .
- (b) Verify your conjecture in part (a).
72. (a) Graph $f(x) = \sqrt[3]{x}$ and identify the inflection point.
- (b) Does $f''(x)$ exist at the inflection point? Explain.

In Exercises 73 and 74, find a , b , c , and d such that the cubic $f(x) = ax^3 + bx^2 + cx + d$ satisfies the given conditions.


73. Relative maximum: $(3, 3)$ 74. Relative maximum: $(2, 4)$
 Relative minimum: $(5, 1)$ Relative minimum: $(4, 2)$
 Inflection point: $(4, 2)$ Inflection point: $(3, 3)$

75. **Aircraft Glide Path** A small aircraft starts its descent from an altitude of 1 mile, 4 miles west of the runway (see figure).

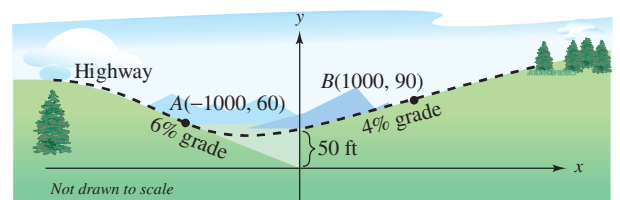
- (a) Find the cubic $f(x) = ax^3 + bx^2 + cx + d$ on the interval $[-4, 0]$ that describes a smooth glide path for the landing.
- (b) The function in part (a) models the glide path of the plane. When would the plane be descending at the most rapid rate?



FOR FURTHER INFORMATION For more information on this type of modeling, see the article “How Not to Land at Lake Tahoe!” by Richard Barshinger in *The American Mathematical Monthly*. To view this article, go to the website www.matharticles.com.

-  76. **Highway Design** A section of highway connecting two hillsides with grades of 6% and 4% is to be built between two points that are separated by a horizontal distance of 2000 feet (see figure). At the point where the two hillsides come together, there is a 50-foot difference in elevation.

- (a) Design a section of highway connecting the hillsides modeled by the function $f(x) = ax^3 + bx^2 + cx + d$ ($-1000 \leq x \leq 1000$). At the points A and B, the slope of the model must match the grade of the hillside.
- (b) Use a graphing utility to graph the model.
- (c) Use a graphing utility to graph the derivative of the model.
- (d) Determine the grade at the steepest part of the transitional section of the highway.




77. Beam Deflection The deflection D of a beam of length L is $D = 2x^4 - 5Lx^3 + 3L^2x^2$, where x is the distance from one end of the beam. Find the value of x that yields the maximum deflection.


78. Specific Gravity A model for the specific gravity of water is

$$S = \frac{5.755}{10^8}T^3 - \frac{8.521}{10^6}T^2 + \frac{6.540}{10^5}T + 0.99987, \quad 0 < T < 25$$

where T is the water temperature in degrees Celsius.

-  (a) Use a computer algebra system to find the coordinates of the maximum value of the function.
- (b) Sketch a graph of the function over the specified domain. (Use a setting in which $0.996 \leq S \leq 1.001$.)
- (c) Estimate the specific gravity of water when $T = 20^\circ$.


79. Average Cost A manufacturer has determined that the total cost C of operating a factory is $C = 0.5x^2 + 15x + 5000$, where x is the number of units produced. At what level of production will the average cost per unit be minimized? (The average cost per unit is C/x .)

 **80. Modeling Data** The average typing speed S (words per minute) of a typing student after t weeks of lessons is shown in the table.

t	5	10	15	20	25	30
S	38	56	79	90	93	94

A model for the data is $S = \frac{100t^2}{65 + t^2}$, $t > 0$.

- (a) Use a graphing utility to plot the data and graph the model.
- (b) Use the second derivative to determine the concavity of S . Compare the result with the graph in part (a).
- (c) What is the sign of the first derivative for $t > 0$? By combining this information with the concavity of the model, what inferences can be made about the typing speed as t increases?

 **Linear and Quadratic Approximations** In Exercises 81–84, use a graphing utility to graph the function. Then graph the linear and quadratic approximations

$$P_1(x) = f(a) + f'(a)(x - a)$$


and

$$P_2(x) = f(a) + f'(a)(x - a) + \frac{1}{2}f''(a)(x - a)^2$$

in the same viewing window. Compare the values of f , P_1 , and P_2 and their first derivatives at $x = a$. How do the approximations change as you move farther away from $x = a$?

Function	Value of a
81. $f(x) = 2(\sin x + \cos x)$	$a = \frac{\pi}{4}$
82. $f(x) = 2(\sin x + \cos x)$	$a = 0$

Function	Value of a
83. $f(x) = \arctan x$	$a = -1$
84. $f(x) = \frac{\sqrt{x}}{x - 1}$	$a = 2$

-  **85.** Use a graphing utility to graph $y = x \sin(1/x)$. Show that the graph is concave downward to the right of $x = 1/\pi$.
- 86.** Show that the point of inflection of $f(x) = x(x - 6)^2$ lies midway between the relative extrema of f .
- 87.** Prove that every cubic function with three distinct real zeros has a point of inflection whose x -coordinate is the average of the three zeros.
- 88.** Show that the cubic polynomial $p(x) = ax^3 + bx^2 + cx + d$ has exactly one point of inflection (x_0, y_0) , where

$$x_0 = \frac{-b}{3a} \quad \text{and} \quad y_0 = \frac{2b^3}{27a^2} - \frac{bc}{3a} + d.$$

Use this formula to find the point of inflection of

$$p(x) = x^3 - 3x^2 + 2.$$

True or False? In Exercises 89–94, determine whether the statement is true or false. If it is false, explain why or give an example that shows it is false.

- 89.** The graph of every cubic polynomial has precisely one point of inflection.
- 90.** The graph of $f(x) = 1/x$ is concave downward for $x < 0$ and concave upward for $x > 0$, and thus it has a point of inflection at $x = 0$.
- 91.** The maximum value of $y = 3\sin x + 2\cos x$ is 5.
- 92.** The maximum slope of the graph of $y = \sin(bx)$ is b .
- 93.** If $f'(c) > 0$, then f is concave upward at $x = c$.
- 94.** If $f''(2) = 0$, then the graph of f must have a point of inflection at $x = 2$.

In Exercises 95 and 96, let f and g represent differentiable functions such that $f'' \neq 0$ and $g'' \neq 0$.

- 95.** Show that if f and g are concave upward on the interval (a, b) , then $f + g$ is also concave upward on (a, b) .
- 96.** Prove that if f and g are positive, increasing, and concave upward on the interval (a, b) , then fg is also concave upward on (a, b) .