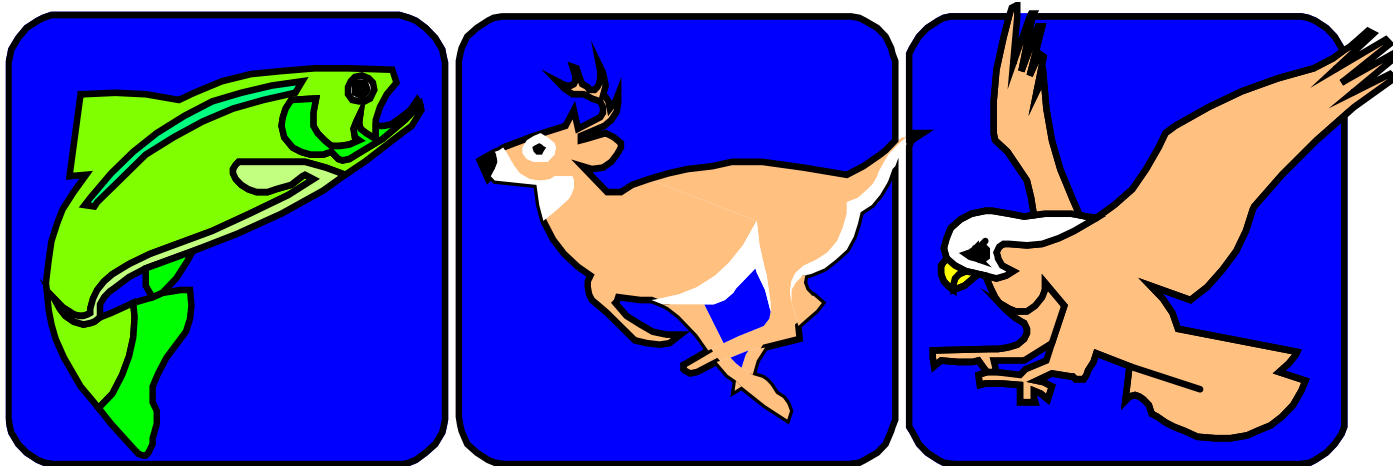


Lecture 10

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A Simple Truth



“The Gene is by far the most sophisticated program around.”

- Bill Gates, *Business Week*, June 27, 1994

Genetic Algorithm

- To understand the **adaptive processes of natural systems**
- To design **artificial systems software** that retains the robustness of natural systems
- Provide **efficient, effective techniques** for optimization and machine learning applications

Main Idea

- Take a population of candidate solutions to a given problem.
- Use operators inspired by the mechanisms of natural genetic variation.
- Apply selective pressure toward certain properties
- Evolve a more fit solution

GA Terminology

- Abstractions imported from biology
 - Chromosomes, Genes, Alleles
 - Fitness, Selection
 - Crossover, Mutation

GA Terminology

- In the **spirit** – but not the letter – of biology
 - GA **chromosomes** are **strings of genes**
 - Each **gene** has a number of **alleles**; i.e., **settings**
 - Each **chromosome is an encoding** of a solution to a problem
 - A population of such chromosomes is operated on by a GA

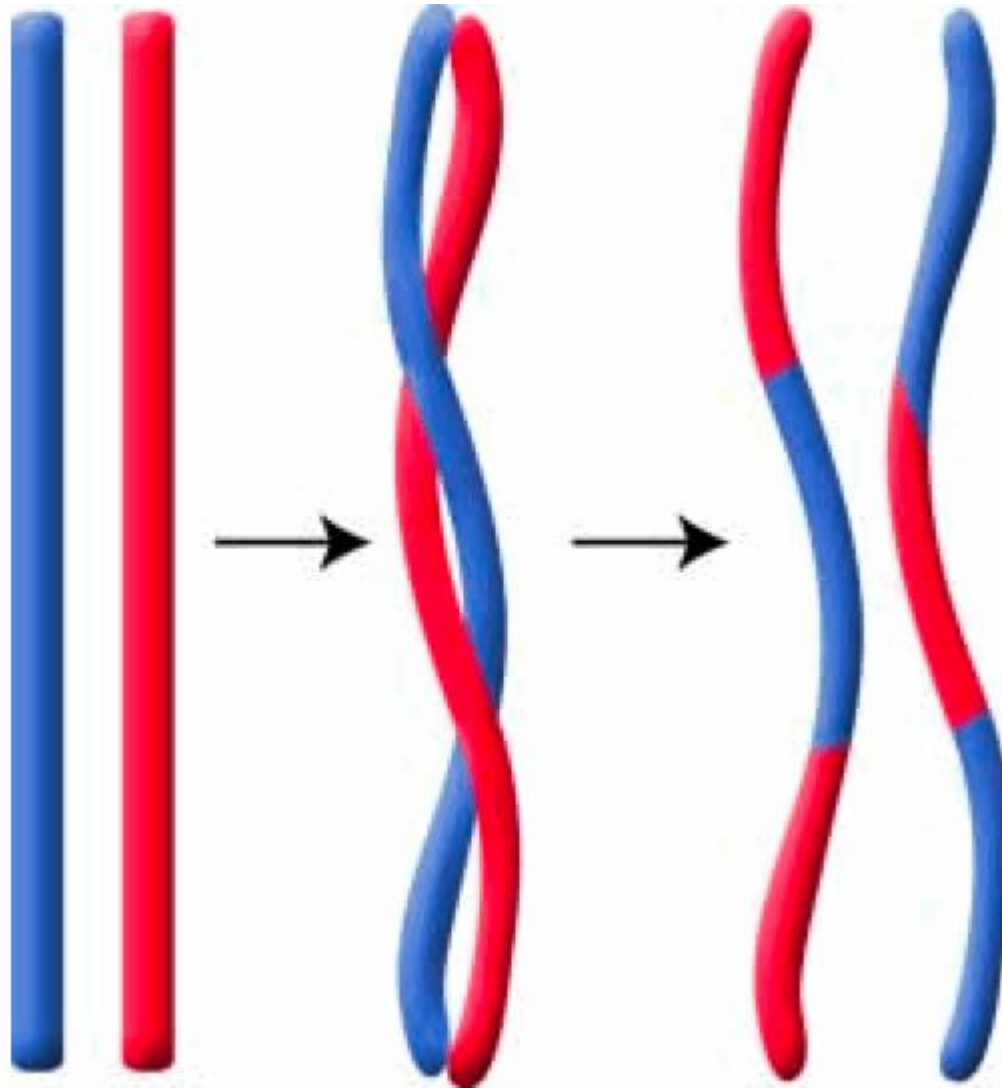
Encoding

- A data structure for representing candidate solutions
 - Often takes the form of a bit string
 - Usually has internal structure; i.e., different parts of the string represent different aspects of the solution.

Crossover

- Mimics biological recombination
 - Some **portion of genetic material** is swapped between chromosomes
 - Typically the **swapping produces an offspring**
- Mechanism for the **dissemination** of “building blocks” (schemas)

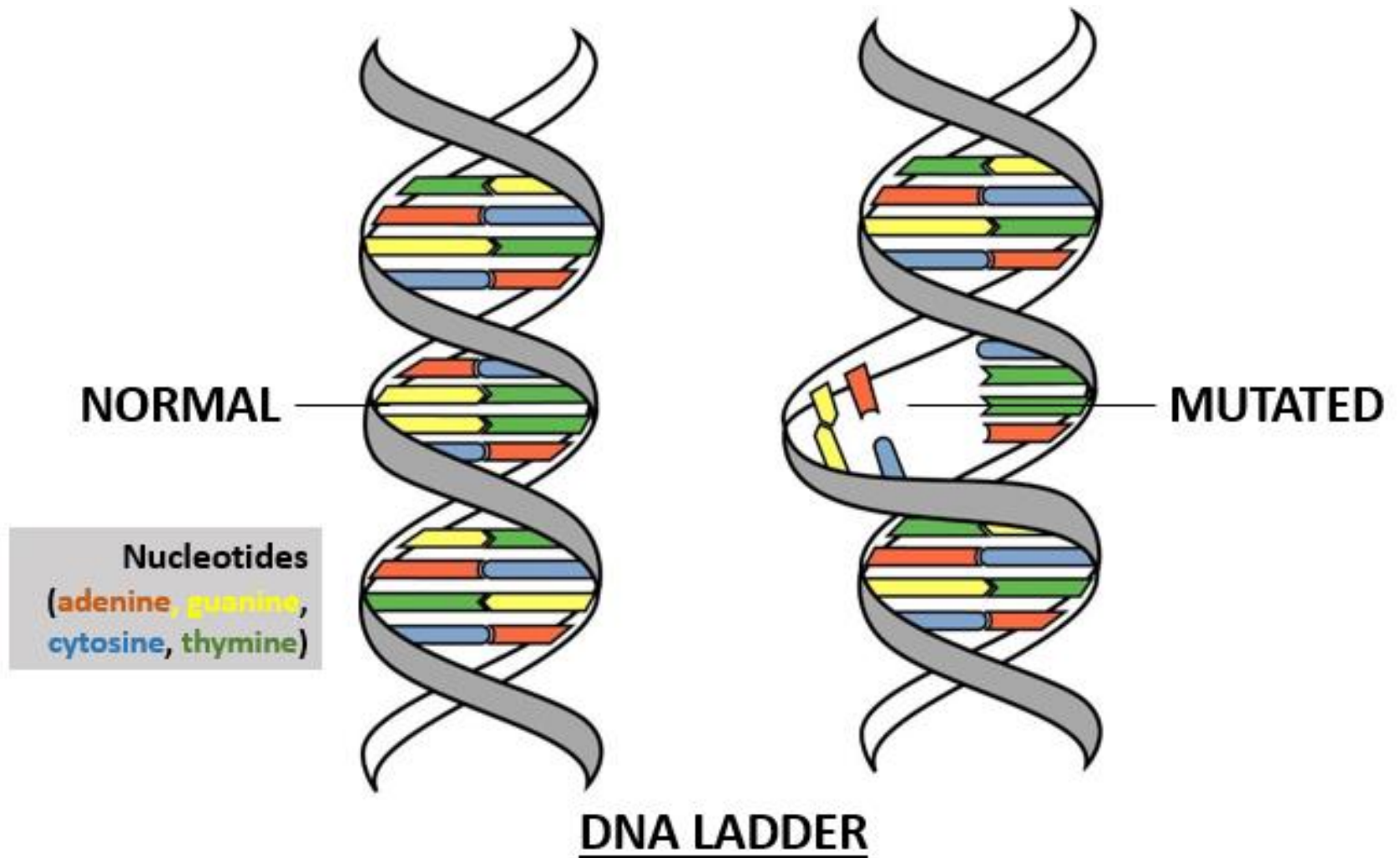
Crossover



Mutation

- Selects **a random locus** – gene location – with some probability and **alters the allele** at that locus
- The **intuitive mechanism for the preservation of variety** in the population

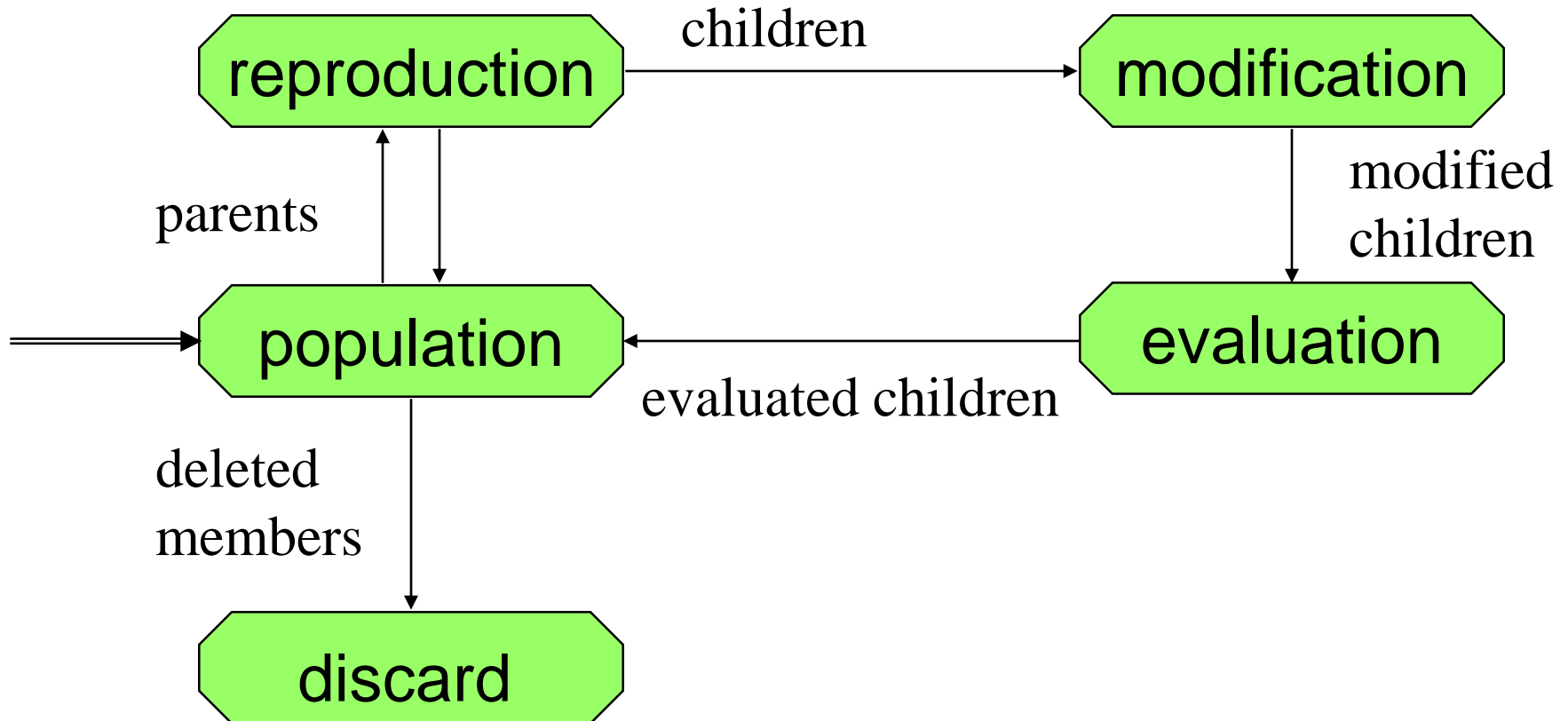
Mutation



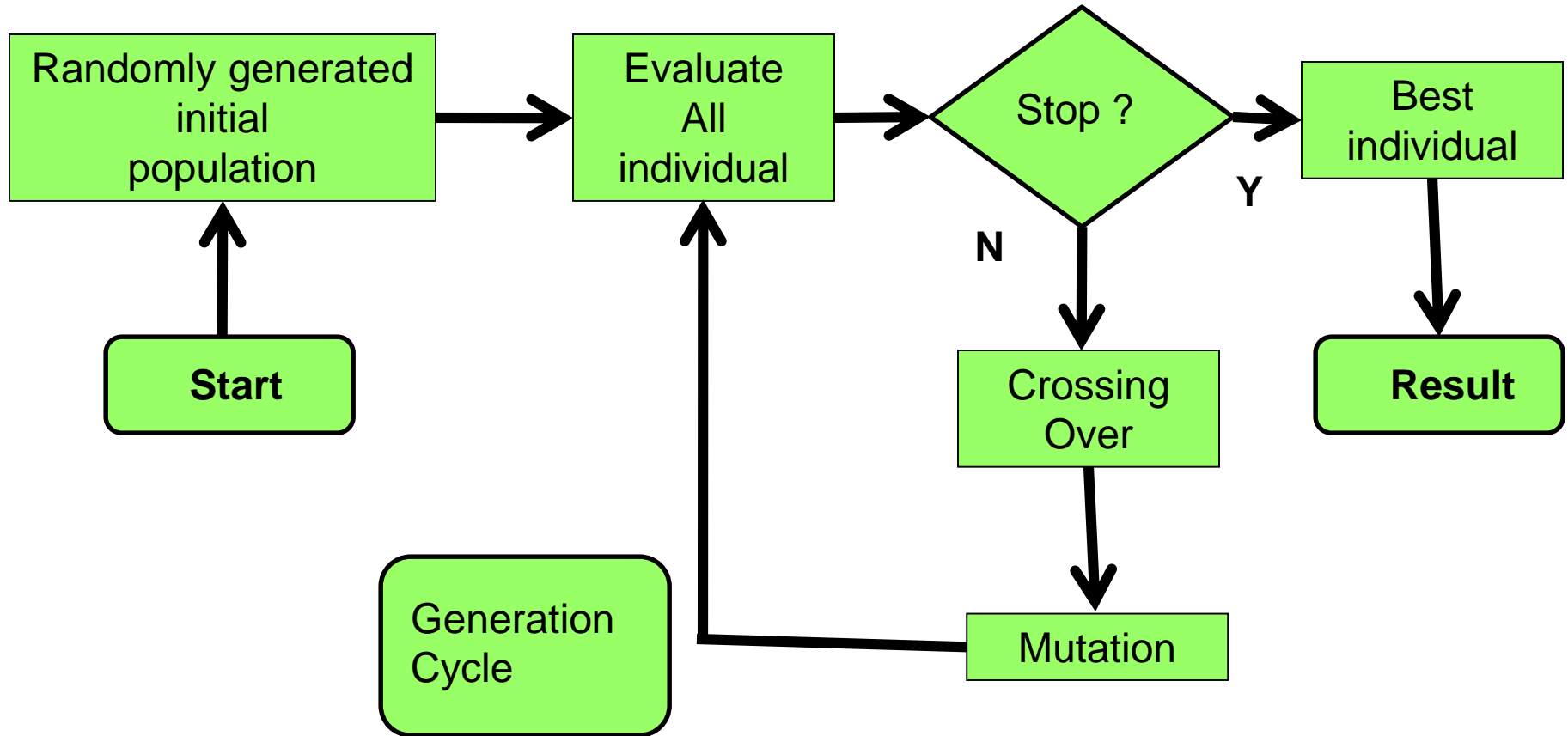
Fitness

- A **measure of the goodness** of the organism
- Expressed as the **probability that the organism will live another cycle** (generation)
- Basis for the **natural selection simulation**
 - Organisms are selected to mate with probabilities proportional to their fitness
- Probabilistically better solutions have a better chance of conferring their building blocks to the next generation (cycle)

The GA cycle



The GA cycle



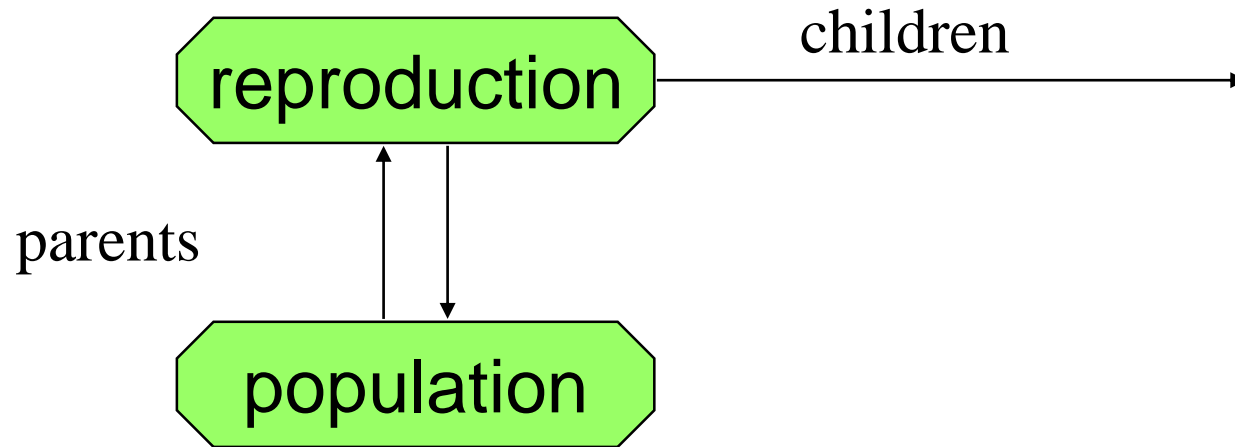
Population



Chromosomes could be:

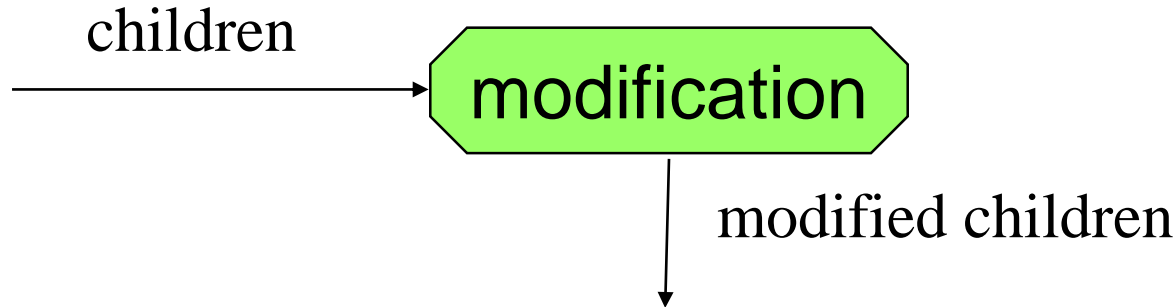
- Bit strings (0101 ... 1100)
- Real numbers (43.2 -33.1 ... 0.0 89.2)
- Permutations of element (E11 E3 E7 ... E1 E15)
- Lists of rules (R1 R2 R3 ... R22 R23)
- Program elements (genetic programming)
- ... any data structure ...

Reproduction



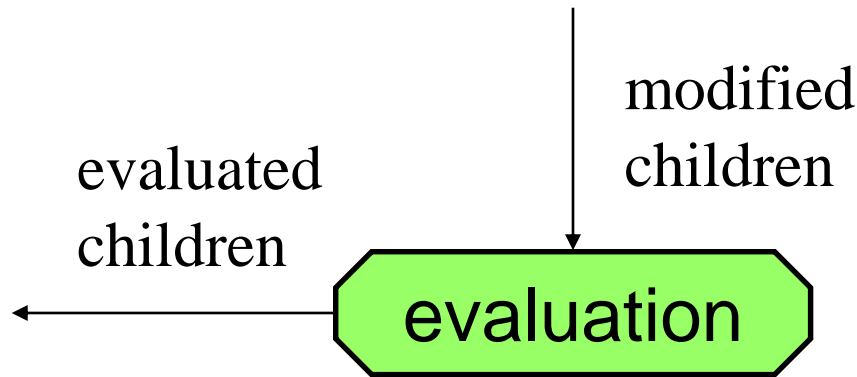
Parents are **selected at random** with selection chances biased in relation to chromosome evaluations.

Modification



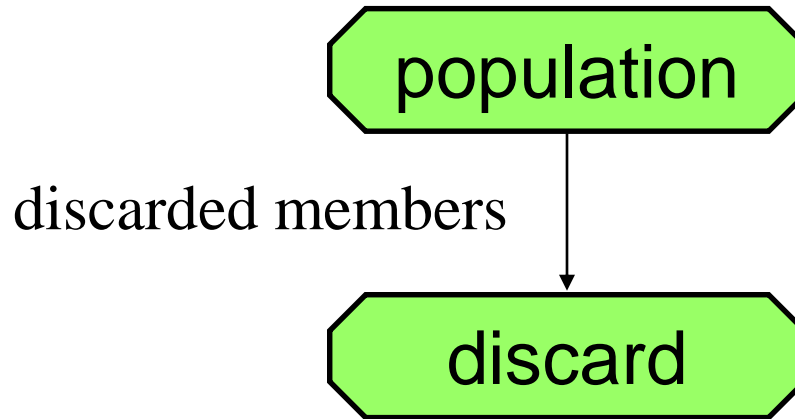
- Modifications are **stochastically triggered**
- Operator types are:
 - **Mutation**
 - **Crossover** (recombination)

Evaluation



- The evaluator **decodes a chromosome** and **assigns it a fitness measure**
- The evaluator is the only link between a classical GA and the problem it is solving

Deletion



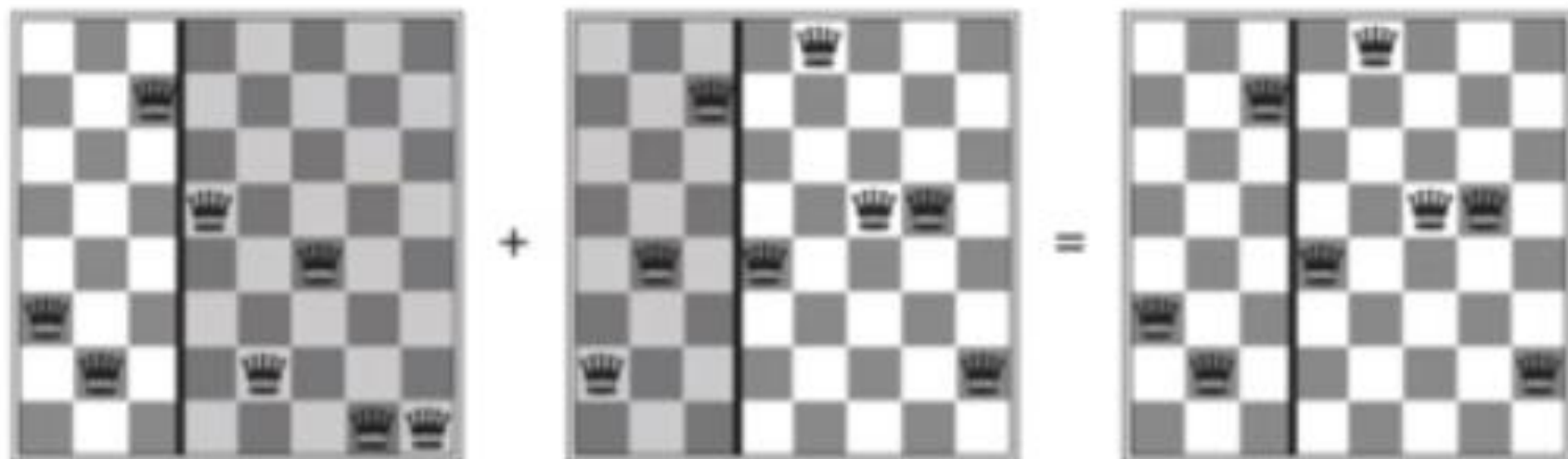
- *Generational* GA:
entire populations replaced with each iteration
- *Steady-state* GA:
a few members replaced at each generation

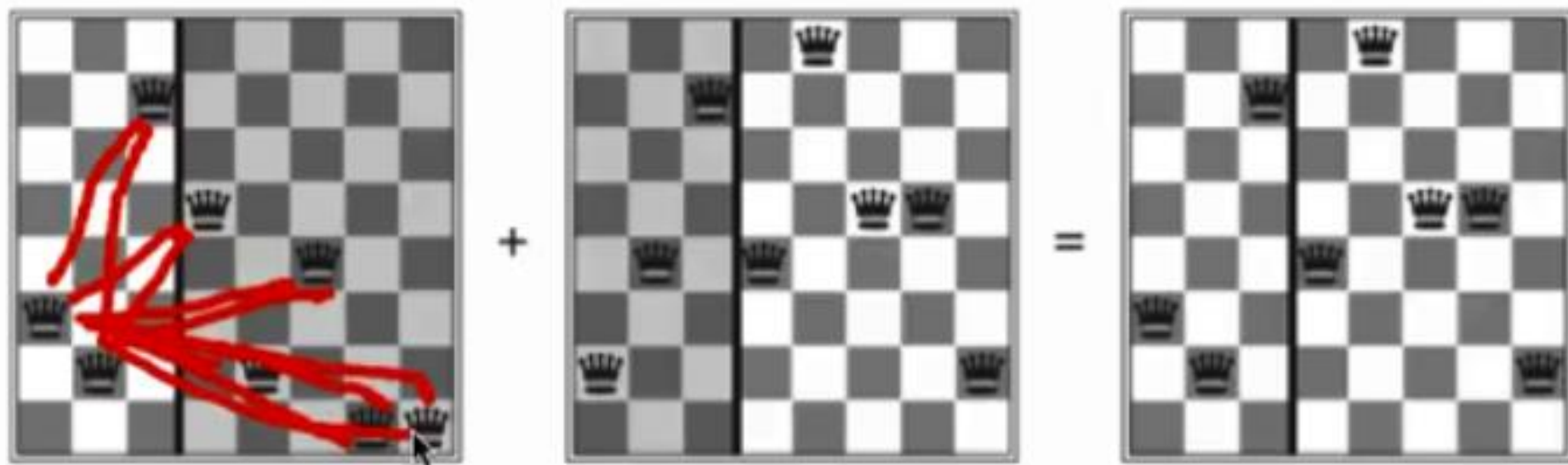
The good genes (features) of the parents are passed onto the children



Fitness Function: Pairs of nonattacking queens

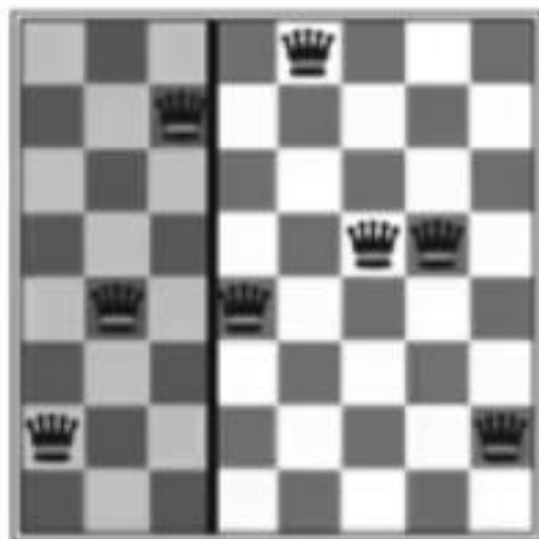
That way, higher scores are better.



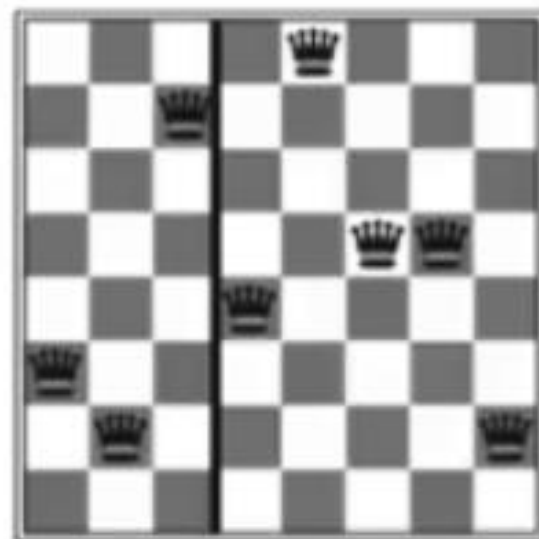




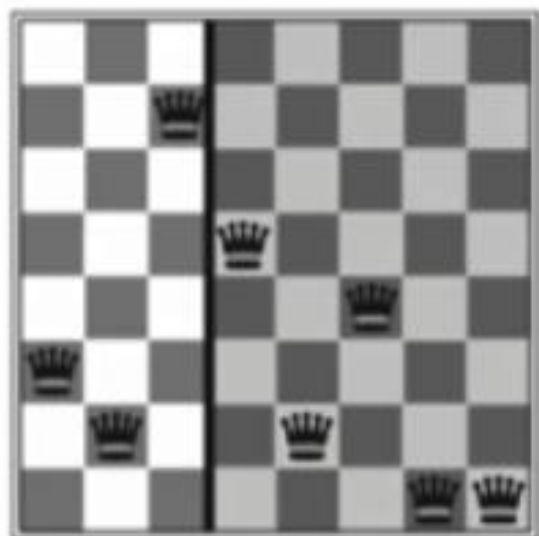
+



=



23



23

+

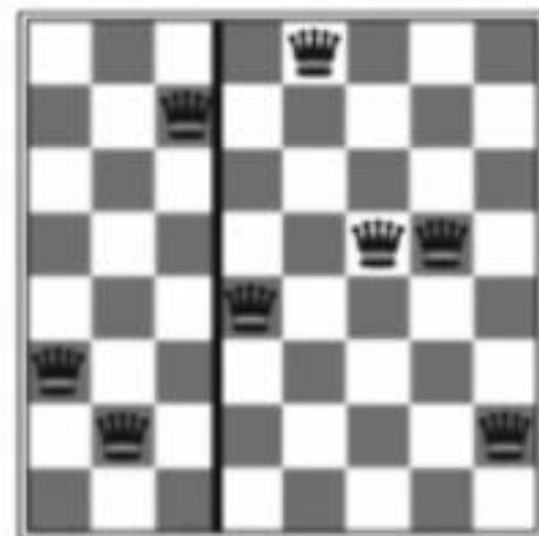
3
2
1



1 2 3 . . .

24748552

=



Represent states and compute fitness function.

24748552	24
32752411	23
24415124	20
32543213	11
	<u>77</u>

(a)

Initial Population

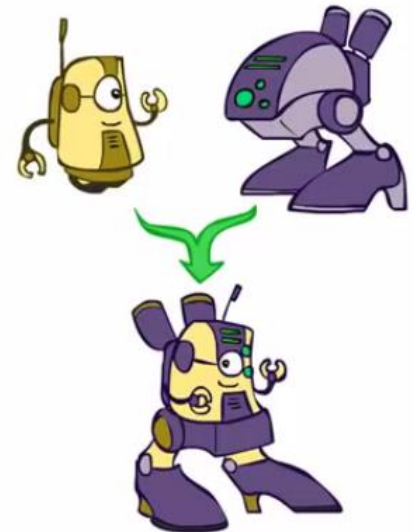
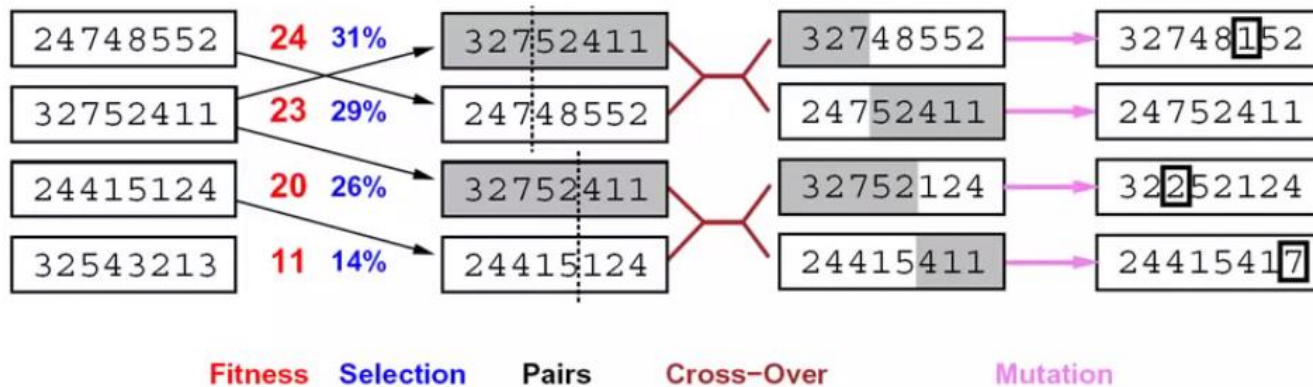
Compute probability of being chosen (from fitness function).

24748552	24	31%
32752411	23	29%
24415124	20	26%
32543213	11	14%

(a)

Initial Population

Genetic Algorithms



- Genetic algorithms use a natural selection metaphor
 - Keep best N hypotheses at each step (selection) based on a fitness function
 - Also have pairwise crossover operators, with optional mutation to give variety
- Possibly the most misunderstood, misapplied (and even maligned) technique around

Example: the MAXONE problem

Suppose we want to maximize the number of ones in a string of / binary digits.

Example (cont)

- An **individual is encoded** (naturally) as a string of l binary digits
- The **fitness f** of a candidate solution to the MAXONE problem is **the number of ones** in its genetic code
- We start with a **population of n random strings**. Suppose that $l = 10$ and $n = 6$

Example (initialization)

We toss a fair coin 60 times and get the following **initial population**:

$$S_1 = 1 \ 1 \ 1 \ 1 \ 0 \ 1 \ 0 \ 1 \ 0 \ 1 \ f(S_1) = 7$$

$$S_2 = 0 \ 1 \ 1 \ 1 \ 0 \ 0 \ 0 \ 1 \ 0 \ 1 \ f(S_2) = 5$$

$$S_3 = 1 \ 1 \ 1 \ 0 \ 1 \ 1 \ 0 \ 1 \ 0 \ 1 \ f(S_3) = 7$$

$$S_4 = 0 \ 1 \ 0 \ 0 \ 0 \ 1 \ 0 \ 0 \ 1 \ 1 \ f(S_4) = 4$$

$$S_5 = 1 \ 1 \ 1 \ 0 \ 1 \ 1 \ 1 \ 1 \ 0 \ 1 \ f(S_5) = 8$$

$$S_6 = 0 \ 1 \ 0 \ 0 \ 1 \ 1 \ 0 \ 0 \ 0 \ 0 \ f(S_6) = 3$$

$$\text{Fitness} = 34$$

Example (selection)

Suppose that, after **performing selection**, we get the following population:

$S_1' = 1 \ 1 \ 1 \ 1 \ 0 \ 1 \ 0 \ 1 \ 0 \ 1 \quad (S_1) = 7$

$$\mathbf{S}_2' = 1 \ 1 \ 1 \ 0 \ 1 \ 1 \ 0 \ 1 \ 0 \ 1 \quad (\mathbf{S}_3) = 7$$

$S_3' = 1 \ 1 \ 1 \ 0 \ 1 \ 1 \ 1 \ 1 \ 0 \ 1 \ (S_5) = 8$

$$\mathbf{S}_4' = \begin{bmatrix} 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 \end{bmatrix} \quad (\mathbf{S}_2) = 5$$

$$\mathbf{S}_5' = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 \end{bmatrix} \quad (S_4) = 4$$

$$\mathbf{S}_6' = \begin{pmatrix} 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \end{pmatrix} \quad (S_6) = 3$$

Fitness = 34

Example (crossover1)

Next we mate strings for **crossover**. For each couple we decide according to crossover probability (for instance 0.6) whether to actually perform crossover or not

Suppose that we decide to actually perform **crossover only for couples** (s_1', s_2') and (s_5', s_6') . For each couple, we randomly extract a crossover point, for instance **2 for the first** and **5 for the second**.

Example (crossover2)

Before crossover

S_1' = 1 1 1 1 0 1 0 1 0 1
 S_2' = 1 1 1 0 1 1 0 1 0 1

S_5' = 0 1 0 0 0 1 0 0 1 1
 S_6' = 0 1 0 0 1 1 0 0 0 0

After crossover

S_1'' = 1 1 1 0 1 1 0 1 0 1
 S_2'' = 1 1 1 1 0 1 0 1 0 1

S_5'' = 0 1 0 0 0 1 0 0 0 0
 S_6'' = 0 1 0 0 1 1 0 0 1 1

Example (mutation1)

The **final step is to apply random mutation**: for each bit that we are to copy to the new population we **allow a small probability** of error (for instance 0.1)

Before applying mutation:

S_1''	=	1	1	1	0	1	1	0	1	0	1
S_2''	=	1	1	1	1	0	1	0	1	0	1
S_3''	=	1	1	1	0	1	1	1	1	0	1
S_4''	=	0	1	1	1	0	0	0	1	0	1
S_5''	=	0	1	0	0	0	1	0	0	0	0
S_6''	=	0	1	0	0	1	1	0	0	1	1

Example (mutation2)

After applying mutation:

S_1'''	=	1	1	1	0	1	1	1	1	0	1	$f(S_1''')$	=	8
S_2'''	=	1	1	1	1	1	0	1	0	0		$f(S_2''')$	=	7
S_3'''	=	1	1	1	0	1	1	1	1	1		$f(S_3''')$	=	9
S_4'''	=	0	1	1	1	0	0	0	1	0	1	$f(S_4''')$	=	5
S_5'''	=	0	1	0	0	0	1	0	0	0	1	$f(S_5''')$	=	3
S_6'''	=	0	1	0	0	1	1	0	1	1	1	$F(S_6''')$	=	5
Fitness													=	37

Example (end)

In one generation, the total population **fitness** changed from **34** to **37**, thus **improved by ~9%**

At this point, we go through the **same process all over again**, until a stopping criterion is met

Thank You