

## Phần V

# Quan hệ RELATIONS

## Relations

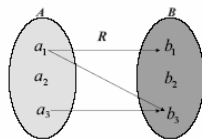
1. Định nghĩa và tính chất
2. Biểu diễn quan hệ
3. Quan hệ tương đương. Đồng dư. Phép toán số học trên  $\mathbb{Z}_n$
4. Quan hệ thứ tự. Hasse Diagram

### 1. Definitions

**Definition.** A quan hệ hai ngôi từ tập  $A$  đến tập  $B$  là tập con của tích Descartes  $R \subseteq A \times B$ .

Chúng ta sẽ viết  $a R b$  thay cho  $(a, b) \in R$

Quan hệ từ  $A$  đến chính nó được gọi là quan hệ trên  $A$

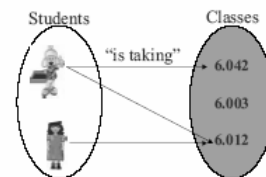


$$R = \{ (a_1, b_1), (a_1, b_3), (a_3, b_3) \}$$

### 1. Definitions

**Example.**  $A = \text{students}$ ;  $B = \text{courses}$ .

$$R = \{ (a, b) \mid \text{student } a \text{ is enrolled in class } b \}$$



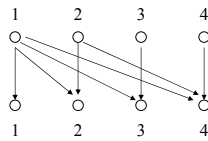
## 1. Definitions

**Example.** Let  $A = \{1, 2, 3, 4\}$ , and

$$R = \{(a, b) \mid a \text{ divides } b\}$$

Then  $R$  consists of the pairs:

$$R = \{(1, 1), (1, 2), (1, 3), (1, 4), (2, 2), (2, 4), (3, 3), (4, 4)\}$$



## 2. Properties of Relations

**Definition.** A relation  $R$  on a set  $A$  is *reflexive*(*phản xạ*) if:

$$(a, a) \in R \text{ for all } a \in A$$

**Example.** On the set  $A = \{1, 2, 3, 4\}$ , the relation:

■  $R_1 = \{(1,1), (1,2), (2,1), (2,2), (3,4), (4,1), (4,4)\}$  is not reflexive since  $(3,3) \notin R_1$

■  $R_2 = \{(1,1), (1,2), (1,4), (2,2), (3,3), (4,1), (4,4)\}$  is reflexive since  $(1,1), (2,2), (3,3), (4,4) \in R_2$

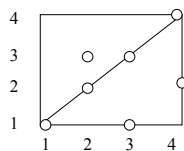
■ The relation  $\leq$  on  $\mathbf{Z}$  is reflexive since  $a \leq a$  for all  $a \in \mathbf{Z}$

■ The relation  $>$  on  $\mathbf{Z}$  is not reflexive since  $1 \not> 1$

■ The relation “ $\mid$ ” (“divides”) on  $\mathbf{Z}^+$  is reflexive since any integer  $a$  divides itself

**Note.** A relation  $R$  on a set  $A$  is reflexive iff it contains the diagonal of  $A \times A$ :

$$\Delta = \{(a, a); a \in A\}$$



## 2. Properties of Relations

**Definition.** A relation  $R$  on a set  $A$  is *symmetric*(*đối xứng*) if:

$$\forall a \in A \forall b \in A (a R b) \rightarrow (b R a)$$

The relation  $R$  is said to be *antisymmetric*(*Phản xứng*) if:

$$\forall a \in A \forall b \in A (a R b) \wedge (b R a) \rightarrow (a = b)$$

**Example.**

■ The relation  $R_1 = \{(1,1), (1,2), (2,1)\}$  on the set  $A = \{1, 2, 3, 4\}$  is symmetric

■ The relation  $\leq$  on  $\mathbf{Z}$  is not symmetric.

■ However it is antisymmetric since

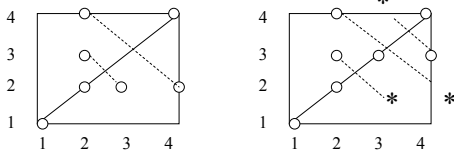
$$(a \leq b) \wedge (b \leq a) \rightarrow (a = b)$$

- The relation “ $\mid$ ” (“divides”) on  $\mathbf{Z}^+$  is not symmetric. However it is antisymmetric since

$$(a \mid b) \wedge (b \mid a) \rightarrow (a = b)$$

**Note.** A relation  $R$  on a set  $A$  is symmetric iff it is self symmetric with respect to the diagonal  $\Delta$  of  $A \times A$ .

The relation  $R$  is antisymmetric iff the only self symmetric parts lie on the diagonal  $\Delta$  of  $A \times A$ .



## 2. Properties of Relations

**Definition.** A relation  $R$  on a set  $A$  is *transitive*(*bắc cầu, truyền*) if:

$$\forall a \in A \forall b \in A \forall c \in A (a R b) \wedge (b R c) \rightarrow (a R c)$$

**Example.**

- The relation  $R = \{(1,1), (1,2), (2,1), (2,2), (1,3), (2,3)\}$  on the set  $A = \{1, 2, 3, 4\}$  is transitive
- The relations  $\leq$  and “ $\mid$ ” on  $\mathbf{Z}$  are transitive

$$(a \leq b) \wedge (b \leq c) \rightarrow (a \leq c)$$

$$(a \mid b) \wedge (b \mid c) \rightarrow (a \mid c)$$

## 3. Representing Relations

Introduction

Matrices

Representing Relations

### Introduction

Let  $R$  be a relation from  $A = \{1,2,3,4\}$  to  $B = \{u,v,w\}$ :

$$R = \{(1,u), (1,v), (2,w), (3,w), (4,u)\}.$$

Then we can represent  $R$  as:

	u	v	w
1	1	1	0
2	0	0	1
3	0	0	1
4	1	0	0

The labels on the outside are for clarity.

It's really the matrix in the middle that's important.

This is a  $4 \times 3$ -matrix whose entries indicate membership in  $R$

## Representing Relations

**Definition.** Let  $R$  be a relation from  $\mathcal{A} = \{a_1, a_2, \dots, a_m\}$  to  $B = \{b_1, b_2, \dots, b_n\}$ , then the **representing matrix** of  $R$  is the  $m \times n$  zero-one matrix  $\mathbf{M}_R = [m_{ij}]$  defined by

$$m_{ij} = \begin{cases} 0 & \text{if } (a_i, b_j) \notin R \\ 1 & \text{if } (a_i, b_j) \in R \end{cases}$$

**Example.** Let  $R$  be the relation from  $\mathcal{A} = \{1, 2, 3\}$  to  $B = \{1, 2\}$  such that  $a R b$  if  $a > b$ .

Then the representing matrix of  $R$  is

	1	2
1	0	0
2	1	0
3	1	1

$$m_{ij} = \begin{cases} 1 & \text{if } (a_i, b_j) \in R \\ 0 & \text{if } (a_i, b_j) \notin R \end{cases}$$

**Example.** Let  $R$  be the relation from  $\mathcal{A} = \{a_1, a_2, a_3\}$  to  $B = \{b_1, b_2, b_3, b_4, b_5\}$  represented by the matrix

	$b_1$	$b_2$	$b_3$	$b_4$	$b_5$
$a_1$					
$a_2$					
$a_3$					

Then  $R$  consists of the pairs:

$\{(a_1, b_2), (a_2, b_1), (a_2, b_3), (a_2, b_4), (a_3, b_1), (a_3, b_3), (a_3, b_5)\}$

## Representing Relations

- Let  $R$  be a relation on a set  $\mathcal{A}$ , then the matrix  $\mathbf{M}_R$  that represents  $R$  is a **square matrix**
- $R$  is **reflexive** if and only if all **diagonal entries** of  $\mathbf{M}_R$  are equal to 1:  $m_{ii} = 1$  for all  $i$

	u	v	w
u	1	1	0
v	0	1	1
w	0	0	1

## Representing Relations

- Let  $R$  be a relation on a set  $\mathcal{A}$ , then the matrix  $\mathbf{M}_R$  that represents  $R$  is a **square matrix**
- $R$  is symmetric if and only if  $\mathbf{M}_R$  is **symmetric**

$$m_{ij} = m_{ji} \quad \text{for all } i, j$$

	u	v	w
u	1	0	1
v	0	1	1
w	1	1	0

## Representing Relations

- Let  $R$  be a relation on a set  $\mathcal{A}$ , then the matrix  $\mathbf{M}_R$  that represents  $R$  is a **square matrix**
- $R$  is **antisymmetric** if and only if  $\mathbf{M}_R$  satisfies:

$$m_{ij} = 0 \text{ or } m_{ji} = 0 \text{ if } i \neq j$$

	u	v	w
u	1	0	1
v	0	0	0
w	0	1	1

## 4. Equivalence Relations

Introduction  
 Equivalence Relations  
 Representation of Integers  
 Equivalence Classes  
 Linear Congruences.

## Introduction

- Example:  
 Let  $S = \{\text{people in this classroom}\}$ , and let  
 $R = \{(a, b) : a\text{'s last name starts with the same letter as } b\text{'s last name}\}$

- Quiz time:

Is  $R$  reflexive?

Yes

Is  $R$  symmetric?

Yes

Is  $R$  transitive?

Yes

Everyone whose last name starts with the same letter as yours belongs to your assignment group.

## Equivalence Relations Quan hệ tương đương

**Definition.** A relation  $R$  on a set  $\mathcal{A}$  is an **equivalence relation** if it is reflexive, symmetric and transitive:

**Example.** Let  $R$  be the relation on the set of strings of English letters such that  $aRb$  if and only if  $a$  and  $b$  have the same length, then  $R$  is an equivalence relation

**Example.** Let  $R$  be the relation on  $\mathbf{R}$  such that  $aRb$  if and only if  $a - b$  is an integer, then  $R$  is an equivalence relation

Recall that if  $a$  and  $b$  are integers, then  $a$  is said to be divisible by  $b$ , or  $a$  is a multiple of  $b$ , or  $b$  is a divisor of  $a$  if there exists an integer  $k$  such that  $a = kb$

**Example.** Let  $m$  be a positive integer and  $R$  the relation on  $\mathbf{Z}$  such that  $aRb$  if and only if  $a - b$  is divisible by  $m$ , then  $R$  is an equivalence relation

■ The relation is clearly reflexive and symmetric.

■ Let  $a, b, c$  be integers such that  $a - b$  and  $b - c$  are both divisible by  $m$ , then  $a - c = a - b + b - c$  is also divisible by  $m$ . Therefore  $R$  is transitive

■ This relation is called the ***congruence modulo  $m$***  and we write

$$a \equiv b \pmod{m}$$

instead of  $aRb$

## Equivalence Classes

### Lớp tương đương

**Definition.** Let  $R$  be an equivalence relation on a set  $A$ , and  $a \in A$ . The ***equivalence class of  $a$***  denoted by  $[a]_R$  or simply  $[a]$  is the subset

$$[a]_R = \{b \in A, b R a\}$$

## Equivalence Classes

**Example.** What are the equivalence classes modulo 8 of 0 and 1?

**Solution.** The equivalence class modulo 8 of 0 contains all integer  $a$  with the same remainder mod 8 as 0, i.e.  $a$  is a multiple of 8. Therefore

$$[0]_8 = \{ \dots, -16, -8, 0, 8, 16, \dots \}$$

Similarly

$$\begin{aligned} [1]_8 &= \{a, a \text{ has remainder } 1 \text{ mod } 8\} \\ &= \{ \dots, -15, -7, 1, 9, 17, \dots \} \end{aligned}$$

**Note.** In the last example, the equivalence classes  $[0]_8$  and  $[1]_8$  are disjoint.

More generally, we have

**Theorem.** Let  $R$  be an equivalence relation on a set  $\mathcal{A}$  and  $a, b \in \mathcal{A}$ , then

(i)  $a R b$  if and only if  $[a]_R = [b]_R$

(ii)  $[a]_R \neq [b]_R$  if and only if  $[a]_R \cap [b]_R = \emptyset$

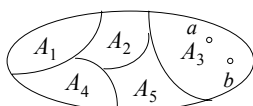
**Note.** The equivalence classes form a partition of the set  $\mathcal{A}$  in the sense that it divides  $\mathcal{A}$  into disjoint subsets.

**Note.** Let  $\{A_1, A_2, \dots\}$  be a partition of  $\mathcal{A}$  into disjoint nonempty subsets then there is a unique equivalence relation  $R$  on  $\mathcal{A}$  such that the given sets  $A_i$  are precisely the equivalence classes.

Let indeed  $a, b \in \mathcal{A}$ , then we define  $a R b$  if and only if there is a subset  $A_i$  such that  $a, b \in A_i$

We can prove that  $R$  is an equivalence relation on  $\mathcal{A}$  and

$$[a]_R = A_i \text{ if and only if } a \in A_i$$



**Example.** Let  $m$  be a positive integer, then there are  $m$  different congruence classes  $[0]_m, [1]_m, \dots, [m-1]_m$ .

They form a partition of  $\mathbf{Z}$  into disjoint subsets.

■ Note that

$$[0]_m = [m]_m = [2m]_m = \dots$$

$$[1]_m = [m+1]_m = [2m+1]_m = \dots$$

$$[2]_m = [m+2]_m = [2m+2]_m = \dots$$

.....

$$[m-1]_m = [2m-1]_m = [3m-1]_m = \dots$$

■ They are called the *integers modulo  $m$*

■ The set of all integers modulo  $m$  is denoted by  $\mathbf{Z}_m$

$$\mathbf{Z}_m = \{[0]_m, [1]_m, \dots, [m-1]_m\}$$

## 5 Linear Congruences

**Example.** Let  $m$  be a positive integer, then we define the two operations “+” and “ $\times$ ” on  $\mathbf{Z}_m$  as follows

$$[a]_m + [b]_m = [a + b]_m$$

$$[a]_m [b]_m = [a b]_m$$

**Theorem.** The foregoing operations are well defined, i.e.

If  $a \equiv c \pmod{m}$  and  $b \equiv d \pmod{m}$ , then

$$a + b \equiv c + d \pmod{m} \quad \text{and} \quad a b \equiv c d \pmod{m}$$

**Example.**  $7 \equiv 2 \pmod{5}$  and  $11 \equiv 1 \pmod{5}$  so that

$$7 + 11 \equiv 2 + 1 = 3 \pmod{5}$$

$$7 \times 11 \equiv 2 \times 1 = 2 \pmod{5}$$

**Note.** The operations “+” and “ $\times$ ” on  $\mathbf{Z}_m$  satisfy the same property as the similar operations on  $\mathbf{Z}$

$$[a]_m + [b]_m = [b]_m + [a]_m$$

$$[a]_m + ([b]_m + [c]_m) = ([a]_m + [b]_m) + [c]_m$$

$$[a]_m + [0]_m = [a]_m$$

$$[a]_m + [m - a]_m = [0]_m,$$

$$\text{we also write} \quad -[a]_m = [m - a]_m$$

$$[a]_m [b]_m = [b]_m [a]_m$$

$$[a]_m ([b]_m [c]_m) = ([a]_m [b]_m) [c]_m$$

$$[a]_m [1]_m = [a]_m$$

$$[a]_m ([b]_m + [c]_m) = [a]_m [b]_m + [a]_m [c]_m$$

**Example.** The “linear equation” on  $\mathbf{Z}_m$

$$[x]_m + [a]_m = [b]_m$$

where  $[a]_m$  and  $[b]_m$  are given, has a unique solution:

$$[x]_m = [b]_m - [a]_m = [b - a]_m$$

Let  $m = 26$  so that the equation  $[x]_{26} + [3]_{26} = [b]_{26}$  has a unique solution for any  $[b]_{26}$  in  $\mathbf{Z}_{26}$ .

It follows that the function  $[x]_{26} \rightarrow [x]_{26} + [3]_{26}$  is a bijection of  $\mathbf{Z}_{26}$  to itself.

We can use this to define the Caesar’s *encryption*: the English letters are represented in a natural way by the elements of  $\mathbf{Z}_{26}$ :  $A \rightarrow [0]_{26}, B \rightarrow [1]_{26}, \dots, Z \rightarrow [25]_{26}$ . For simplicity, we write:  $A \rightarrow 0, B \rightarrow 1, \dots, Z \rightarrow 25$

■ These letters are encrypted so that A is *encrypted* by the letters represented by  $[0]_{26} + [3]_{26} = [3]_{26}$ , i.e. D.

■ Similarly B is encrypted by the letters represented by  $[1]_{26} + [3]_{26} = [4]_{26}$ , i.e. E, ... and finally Z is encrypted by  $[25]_{26} + [3]_{26} = [2]_{26}$ , i.e. C.

■ In this way the message “MEET YOU IN THE PARK” is encrypted as

M E E T	Y O U	I N	T H E	P A R K
12 4 4 19	24 14 20	8 13	19 7 4	15 0 17 10
↓ ↓ ↓ ↓				
15 7 7 22	1 17 23	11 16	22 10 7	18 3 20 13
P H H W	B R X	L Q	W K H	S D U N

■ To *decrypt* a message, we use the inverse function:

$$[x]_{26} \rightarrow [x]_{26} - [3]_{26} = [x - 3]_{26}$$

P H H W is represented by

15	7	7	22
↓	↓	↓	↓

And hence decrypted by

12	4	4	19
----	---	---	----

The corresponding  
decrypted message is

M	E	E	T
---	---	---	---

However this simple encryption method is easily detected.

■ We can improve the encryption using the function

$$f: [x]_{26} \rightarrow [ax + b]_{26}$$

where  $a$  and  $b$  are constants chosen so that this function is a bijection

First we choose an *invertible* element  $a$  in  $\mathbf{Z}_{26}$  i.e. there exists  $a'$  in  $\mathbf{Z}_{26}$  such that

$$[a]_{26} [a']_{26} = [a a']_{26} = [1]_{26}$$

We write  $[a']_{26} = [a]_{26}^{-1}$  if it exists.

The solution of the equation

$$[a]_{26} [x]_{26} = [c]_{26}$$

is  $[x]_{26} = [a]_{26}^{-1} [c]_{26} = [a'c]_{26}$

We also say that the solution of the linear congruence

$$ax \equiv c \pmod{26}$$

is  $x \equiv a'c \pmod{26}$



Now the inverse function of  $f$  is given by

$$[x]_{26} \rightarrow [a'(x - b)]_{26}$$

**Example.** Let  $a = 7$  and  $b = 3$ , then the inverse of  $[7]_{26}$  is  $[15]_{26}$  since  $[7]_{26}[15]_{26} = [105]_{26} = [1]_{26}$

Now the letter M is encrypted as

$$[12]_{26} \rightarrow [7 \cdot 12 + 3]_{26} = [87]_{26} = [9]_{26}$$

which corresponds to I. Conversely I is decrypted as

$$[9]_{26} \rightarrow [15 \cdot (9 - 3)]_{26} = [90]_{26} = [12]_{26}$$

which corresponds to M.

To obtain more secure encryption method, more sophisticated modular functions can be used

## 6. Partial Orderings

Introduction

Lexicographic Order

Hasse Diagrams

Maximal and Minimal Elements

Upper Bounds and Lower Bounds

Topological Sorting

## Introduction

**Example** Let  $R$  be the relation on the real numbers:

$$a R b \text{ if and only if } a \leq b$$

Quiz time:

- Is  $R$  reflexive? ☐ Yes
- Is  $R$  transitive? ☐ Yes
- Is  $R$  symmetric? ☐ No
- Is  $R$  antisymmetric? ☐ Yes

## Introduction

**Definition.** A relation  $R$  on a set  $A$  is a *partial order* (quan hệ thứ tự, thứ tự) if it is *reflexive*, *antisymmetric* and *transitive*.

We often denote a partial order by

The pair  $(A, \leq)$  is called a *partially ordered set* (tập sắp thứ tự) or a *poset*

**Reflexive:**  $a \leq a$

**Antisymmetric:**  $(a \leq b) \wedge (b \leq a) \rightarrow (a = b)$

**Transitive:**  $(a \leq b) \wedge (b \leq c) \rightarrow (a \leq c)$

## Introduction

**Definition.** A relation  $R$  on a set  $A$  is a **partial order** if it is reflexive, antisymmetric and transitive.

**Example.** The divisibility relation “ $|$ ” on the set of positive integers is a partial ordering, i.e.  $(\mathbb{Z}^+, |)$  is a poset

Reflexive? Yes,  $x | x$  since  $x = 1 \cdot x$

Transitive? Yes?

$a | b$  means  $b = ka$ ,  $b | c$  means  $c = jb$ .  
Then  $c = j(ka) = jka$ :  $a | c$

**Example.** The divisibility relation “ $|$ ” on the set of positive integers is a partial ordering, i.e.  $(\mathbb{Z}^+, |)$  is a poset

Antisymmetric?

Yes?

$a | b$  means  $b = ka$ ,  $b | a$  means  $a = jb$ .

Then  $a = jka$

It follows that  $j = k = 1$ , i.e.  $a = b$

**Example.** Is  $(\mathbb{Z}, |)$  a poset?

Not a poset.

Antisymmetric?

No

$3|-3$ , and  $-3|3$ ,  
but  $3 \neq -3$ .

**Ex.** Is  $(2^S, \subseteq)$ , where  $2^S$  the set of all subsets of  $S$ , a poset?

Reflexive?

Yes,  $A$  poset.

Transitive?

Yes,  $A \subseteq A$ ,  $\forall A \in 2^S$

$A \subseteq B$ ,  $B \subseteq C$ . Does that mean  
 $A \subseteq C$ ?

Yes

Antisymmetric?

$A \subseteq B$ ,  $B \subseteq A$ . Does that mean  
 $A = B$ ?

Yes

**Definition.** The elements  $a$  and  $b$  of a poset  $(S, \preceq)$  are **comparable** if either  $a \preceq b$  or  $b \preceq a$ .

Otherwise, they are said to be **incomparable** (không so sánh được)

A poset  $(S, \preceq)$  such that every two elements are comparable is called a **totally ordered set** (tập sắp thứ tự toàn phần)

We also say that  $\preceq$  is a **total order** (thứ tự toàn phần) or a **linear order** (thứ tự tuyến tính) on  $S$

**Example.** The relation “ $\leq$ ” on the set of positive integers is a total order.

**Example.** The divisibility relation “ $|$ ” on the set of positive integers is not a total order, since the elements 5 and 7 are not comparable

## Lexicographic Order

### Thứ tự tự điển

**Ex.** A straight forward partial order on bit strings of length  $n$ , is defined as:

$$a_1a_2\dots a_n \leq b_1b_2\dots b_n$$

if and only if  $a_i \leq b_i, \forall i$ .

With respect to this order, 0110 and 1000 are “incomparable” ...

We can't tell which is “bigger.”

For many applications in computer, it is convenient to have a total order on bit strings, or more generally on strings of characters:

**This is the lexicographic order**

## Lexicographic Order

Let  $(A, \leq)$  and  $(B, \leq')$  be two totally ordered sets. We define a partial order on  $A \times B$  as follows:

$$(a_1, b_1) \leq (a_2, b_2) \text{ if and only if } a_1 < a_2 \text{ or } (a_1 = a_2 \text{ and } b_1 \leq' b_2)$$

Now we can verify that this is a total order on  $A \times B$  called the **lexicographic order**

Note that if  $A$  and  $B$  are well ordered by  $\leq$  and  $\leq'$  respectively, then  $A \times B$  is also well ordered by

Note also that this definition can be extended to the cartesian product of a finite number of totally ordered sets

## Lexicographic Order

Recall that if  $\Sigma$  is a finite set called an alphabet, then the set of strings on  $\Sigma$ , denoted by  $\Sigma^*$  is defined by:

- $\lambda \in \Sigma^*$ , where  $\lambda$  denotes the null or empty string.
- If  $x \in \Sigma$ , and  $w \in \Sigma^*$ , then  $wx \in \Sigma^*$ , where  $wx$  is the concatenation of string  $w$  with symbol  $x$ .

**Example.** Let  $\Sigma = \{a, b, c\}$ . Then

$$\Sigma^* = \{\lambda, a, b, c, aa, ab, ac, ba, bb, bc, ca, cb, cc, aaa, aab, \dots\}$$

## Lexicographic Order

Now assume that  $\leq$  is a total order on  $\Sigma$ , then we can define a total order on  $\Sigma^*$  as follows.

Let  $s = a_1 a_2 \dots a_m$  and  $t = b_1 b_2 \dots b_n$  be two strings in  $\Sigma^*$

Then  $s \leq t$  if and only if

- either  $a_i = b_i$  for  $1 \leq i \leq m$  so that
- or there exists  $k < m$  such that

$$\checkmark a_i = b_i \text{ for } 1 \leq i \leq k \text{ and}$$

$$\checkmark a_{k+1} < b_{k+1} \text{ so that}$$

$$s = a_1 a_2 \dots a_k a_{k+1} a_{k+2} \dots a_m$$

$$t = a_1 a_2 \dots a_k b_{k+1} b_{k+2} \dots b_n$$

■ We can prove again that  $\leq$  is a total order on the set  $\Sigma^*$  called the **lexicographic order** on  $\Sigma^*$

**Example.** If  $\Sigma$  is the English alphabet with the usual order on the characters:  $a < b < \dots < z$ , then the lexicographic order is precisely the order of the words in a dictionary

For example

✓ *discreet*    *discrete*     $\begin{array}{c} d i s c r e e t \\ \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \\ d i s c r e t e \end{array} \quad e \neq t$

✓ *discreet*    *discreetness*     $\begin{array}{c} d i s c r e e t \\ \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \\ d i s c r e e t n e s s \end{array}$

is a total order called the **lexicographic order** on  $\Sigma^*$

**Example.** If  $\Sigma = \{0, 1\}$  with the usual order  $0 < 1$ , then  $\Sigma^*$  is the set of all bit strings.

We have

✓ 0110    10

✓ 0110    01100

## Hasse Diagrams

A poset can be represented visually using a special kind of graphs called the **Hasse diagram**

To define the Hasse diagram we need the concept of direct upper bound.

**Definition.** An element  $b$  in a poset  $(S, \leq)$  is said to be an **upper bound** of an element  $a$  in  $S$  if  $a \leq b$

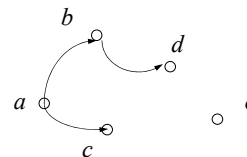
We also say that  $a$  is a **lower bound** of  $b$   
 $b$  is said to be a **direct upper bound** of  $a$  if  $b$  is an upper bound of  $a$ , and there is no upper bound  $c$  such that

## Hasse Diagrams

■ Now the **Hasse diagram** of a finite poset  $(S, \leq)$  is the graph:

✓ whose vertices are points in the plane in one-to-one correspondence with  $S$ ,

✓ two vertices  $a, b$  are joined by an arc directed from  $a$  to  $b$  if  $b$  is a direct upper bound of  $a$



## Hasse Diagrams

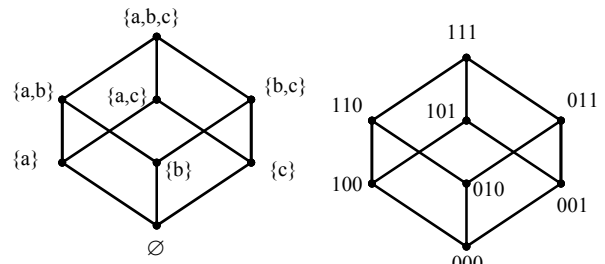
**Ex.** The Hasse diagram of the poset  $(\{1,2,3,4\}, \leq)$  can be drawn as



**Note.** We did not draw up arrows for the arcs by adopting the convention that arcs are always directed upward

**Example.** The Hasse diagram of  $P(\{a,b,c\})$

and the Hasse diagram of the set of bit strings of length 3 with natural bitwise order

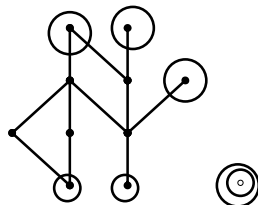


**They look similar !!!**

## Maximal & Minimal Elements

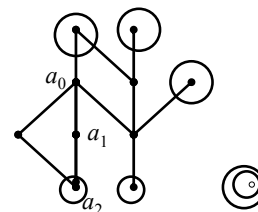
Consider this poset:

- ✓ Each Red is **maximal**: there is no proper upper bound
- ✓ Each Green is **minimal**: there is no proper lower bound
- ✓ There is no arc starting from a maximal element
- ✓ There is no arc ending at a minimal element



**Note.** In a finite poset  $S$ , maximal and minimal elements always exist.

- ✓ In fact, we can start from any element  $a_0 \in S$ .  
If  $a_0$  was not minimal, then there exists  $a_1 < a_0$ , and so on until a minimal element is found.
- ✓ The maximal elements are found in a similar way.

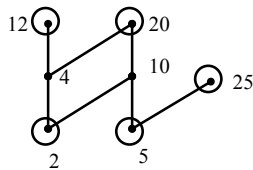


**Example.** What are the maximal and minimal elements of the poset  $(\{2, 4, 5, 10, 12, 20, 25\}, |)$ ?

**Solution.** From the Hasse diagram, we see that 12, 20, 25 are maximal elements

and 2, 5 are minimal elements

Thus the maximal and minimal elements of a poset are not necessarily unique

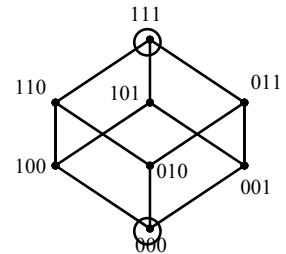


**Example.** What are the maximal and minimal elements of the poset consisting of bit strings of length 3?

**Solution.** From the Hasse diagram, we see that 111 is the unique maximal element and 000 is the unique minimal element

111 is also the **greatest element** and 000 is the **least element** in the sense:

000  $abc$  111  
for all string  $abc$



In fact we have

**Theorem.** In a finite poset, if the maximal element is unique, then it is the greatest element. Similarly for the least element.

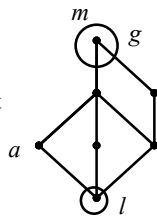
**Proof.** Let  $g$  be the unique maximal element.

Let  $a$  be an arbitrary element, then there is a maximal element  $m$  such that  $a \leq m$

Since  $g$  is unique we must have  $m = g$ , i.e.  $a \leq g$

Therefore  $g$  is the greatest element.

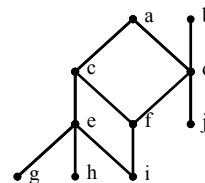
Similar proof for the existence of the least element  $l$



## Upper and Lower Bounds

**Definition.** Let  $(S, \leq)$  be a partial order. If  $A \subseteq S$ , then an **upper bound** for  $A$  is an element  $x \in S$  (perhaps in  $A$  also) such that  $\forall a \in A, a \leq x$ .

A **lower bound** for  $A$  is an  $x \in S$  such that  $\forall a \in A, x \leq a$



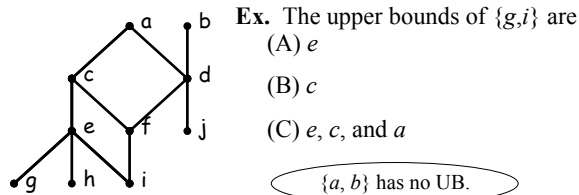
**Ex.** The upper bound of  $\{g, j\}$  is  $a$ .

Why not  $b$ ?

## Upper and Lower Bounds

**Definition.** Let  $(S, \leq)$  be a partial order. If  $A \subseteq S$ , then an **upper bound** for  $A$  is an element  $x \in S$  (perhaps in  $A$  also) such that  $\forall a \in A, a \leq x$ .

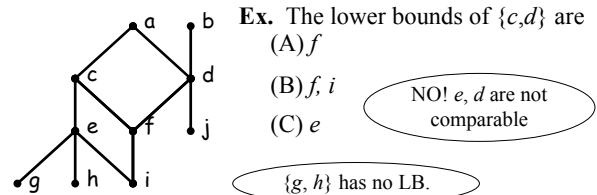
A **lower bound** for  $A$  is an  $x \in S$  such that  $\forall a \in A, x \leq a$ .



## Upper and Lower Bounds

**Definition.** Let  $(S, \leq)$  be a partial order. If  $A \subseteq S$ , then an **upper bound** for  $A$  is an element  $x \in S$  (perhaps in  $A$  also) such that  $\forall a \in A, a \leq x$ .

A **lower bound** for  $A$  is an  $x \in S$  such that  $\forall a \in A, x \leq a$ .

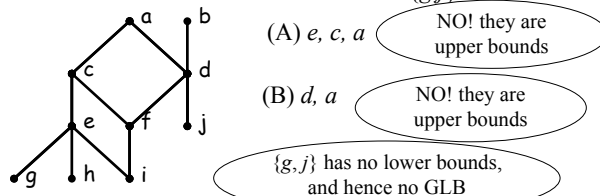


**Definition.** Let  $(S, \leq)$  be a partial order. If  $A \subseteq S$ , then the **least upper bound** for  $A$  is an upper bound  $x$  such that for any upper bound  $y$  of  $A$ ,  $y \leq x$ .

The **greatest lower bound** for  $A$  is a lower bound  $x$  such that for any lower bound  $y$  of  $A$ ,  $y \leq x$ .

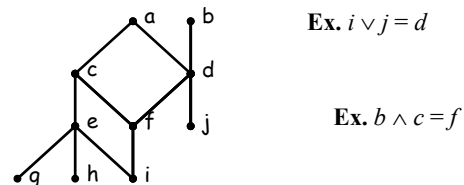
Ex. The LUB of  $\{i, j\}$  is  $d$

Ex. The GLB of  $\{g, j\}$  is



If the least upper bound of  $A = \{a, b\}$  exists, then we denote it by  $a \vee b$ .

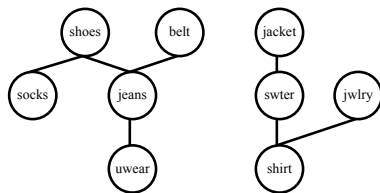
Similarly if the greatest lower bound of  $A = \{a, b\}$  exists, then we denote it by  $a \wedge b$ .



## Topological Sorting

Consider the problem of getting dressed.

Precedence constraints are modeled by a poset in which  $a \prec b$  if and only if you must put on  $a$  before  $b$ .

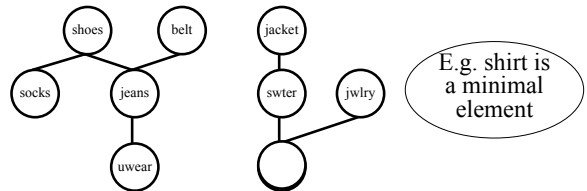


In what order will you get dressed while respecting constraints?

In other words, we will find a new total order so that  $a$  is a lower bound of  $b$  if  $a \prec b$

## Topological Sorting

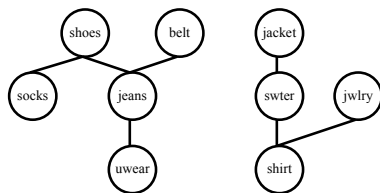
Recall that every finite non-empty poset has at least one minimal element  $a_1$ .



✓ Now the new set after we remove  $a_1$  is still a poset.

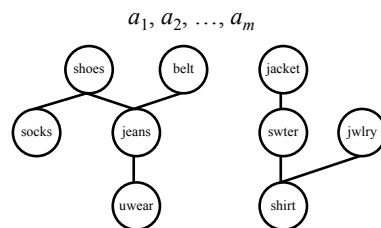
## Topological Sorting

✓ Let  $a_2$  be a minimal of the new poset.



✓ Now every element of this new poset cannot be a proper lower bound of  $a_1$  and  $a_2$  in the original poset

This process continues until all elements are removed  
We obtain a new order of the elements satisfying the given constraints:



The arrangement of the given poset in the new total order  $a_1, a_2, \dots$  compatible with the old order is called the Topological sorting



### Bài tập

6. Khảo sát các tính chất của các quan hệ  $\mathcal{R}$  sau. Xét xem quan hệ  $\mathcal{R}$  nào là quan hệ tương đương. Tìm các lớp tương đương cho các quan hệ tương đương tương ứng.

- a)  $\forall x, y \in \mathbf{R}, x\mathcal{R}y \Leftrightarrow x^2 + 2x = y^2 + 2y$ ;
- b)  $\forall x, y \in \mathbf{R}, x\mathcal{R}y \Leftrightarrow x^2 + 2x \leq y^2 + 2y$ ;
- c)  $\forall x, y \in \mathbf{R}, x\mathcal{R}y \Leftrightarrow x^3 - x^2y - 3x = y^3 - xy^2 - 3y$ ;
- d)  $\forall x, y \in \mathbf{R}^+, x\mathcal{R}y \Leftrightarrow x^3 - x^2y - x = y^3 - xy^2 - y$ .

### Bài tập

7. . Khảo sát tính chất của các quan hệ  $\mathcal{R}$  sau. Xét xem quan hệ  $\mathcal{R}$  nào là quan hệ thứ tự và khảo sát tính toàn phần, tính bộ phận và tìm các phần tử lớn nhất, nhỏ nhất, tối đại, tối tiểu (nếu có) của các quan hệ thứ tự tương ứng.

- a)  $\forall x, y \in \mathbf{Z}, x\mathcal{R}y \Leftrightarrow x|y$ ;
- b)  $\forall x, y \in \mathbf{R}, x\mathcal{R}y \Leftrightarrow x = y$  hay  $x < y + 1$ .
- c)  $\forall x, y \in \mathbf{R}, x\mathcal{R}y \Leftrightarrow x = y$  hay  $x < y - 1$ .
- d)  $\forall (x, y); (z, t) \in \mathbf{Z}^2, (x, y) \leq (z, t) \Leftrightarrow x \leq z$  hay  $(x = z$  và  $y \leq t)$ ;
- e)  $\forall (x, y); (z, t) \in \mathbf{Z}^2, (x, y) \leq (z, t) \Leftrightarrow x < z$  hay  $(x = z$  và  $y \leq t)$ ;

### Bài tập

7. . Khảo sát tính chất của các quan hệ  $\mathcal{R}$  sau. Xét xem quan hệ  $\mathcal{R}$  nào là quan hệ thứ tự và khảo sát tính toàn phần, tính bộ phận và tìm các phần tử lớn nhất, nhỏ nhất, tối đại, tối tiểu (nếu có) của các quan hệ thứ tự tương ứng.

- a)  $\forall x, y \in \mathbf{Z}, x\mathcal{R}y \Leftrightarrow x|y$ ;
- b)  $\forall x, y \in \mathbf{R}, x\mathcal{R}y \Leftrightarrow x = y$  hay  $x < y + 1$ .
- c)  $\forall x, y \in \mathbf{R}, x\mathcal{R}y \Leftrightarrow x = y$  hay  $x < y - 1$ .
- d)  $\forall (x, y); (z, t) \in \mathbf{Z}^2, (x, y) \leq (z, t) \Leftrightarrow x \leq z$  hay  $(x = z$  và  $y \leq t)$ ;
- e)  $\forall (x, y); (z, t) \in \mathbf{Z}^2, (x, y) \leq (z, t) \Leftrightarrow x < z$  hay  $(x = z$  và  $y \leq t)$ ;

### Bài tập

8. . Xét quan hệ  $\mathcal{R}$  trên  $\mathbf{Z}$  định bởi:

$$\forall x, y \in \mathbf{Z}, x\mathcal{R}y \Leftrightarrow \exists n \in \mathbf{Z}, x = y2^n$$

- a) Chứng minh  $\mathcal{R}$  là một quan hệ tương đương.
- b) Trong số các lớp tương đương  $\bar{1}, \bar{2}, \bar{3}, \bar{4}$  có bao nhiêu lớp đôi một phân biệt?
- a) Câu hỏi tương tự như câu b) cho các lớp  $\bar{6}, \bar{7}, \bar{21}, \bar{24}, \bar{25}, \bar{35}, \bar{42}$  và  $\bar{48}$ .

### Bài tập

9. . Xét tập mẫu tự  $A = \{a, b, c\}$  với  
 $a < b < c$  và các chuỗi kí tự:  
 $s_1 = ccbac$   
 $s_2 = abccaa$   
theo thứ tự tự điển.. Hỏi có bao nhiêu  
chuỗi kí tự  $s$  gồm 6 kí tự thỏa  
 $s_2 \leq s \leq s_1$ ?

### Bài tập

10. ĐỀ THI NĂM 20006

- Xét thứ tự “ $\subset$ ” trên tập  $P(S)$  các tập con của tập  $S = \{1,2,3,4,5\}$  trong đó  $A \subset B$  nếu  $A$  là tập con của  $B$ .
- Tìm một thứ tự toàn phần “ $\leq$ ” trên  $P(S)$  sao cho với  $A, B$  trong  $P(S)$ , nếu  $A \subset B$  thì  $A \leq B$ .  
Tổng quát hoá cho trường hợp  $S$  có  $n$  phần tử.

### Bài tập

- 11) Đề 2007. Có bao nhiêu dãy bit có độ dài  $\leq 15$   
sao cho  $00001 \leq s \leq 011$ , trong đó “ $\leq$ ” là thứ tự  
tự điển.