

# **Balanced Affinity Loss for Highly Imbalanced Baggage Threat Contour-Driven Instance Segmentation**

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## **Supplementary Material**

### **1. Proposed Network Architecture:**

This section discusses the architectural details of the proposed instance segmentation framework driven via SegNet [1]. As reported in Table 1, we can observe that the network has one input layer, 48 convolution layers, 47 batch normalization layers, 41 ReLU activations, one max pooling, 5 zero padding, 13 additions, 1 reshape, 3 upsampling, and one clustering layer having different kernel sizes. Moreover, the network has 14.86M parameters in which 14.83M parameters are trainable, and 32K are non-trainable. Apart from this, the size of the latent space representation within the proposed framework is 1x884736. The detailed model summary is also provided in the source code repository<sup>1</sup>.

### **2. Additional Ablation Experiments:**

#### **2.1. Determining the optimal $\beta$ value:**

$\beta \in [0, 1]$  is a hyperparameter within the proposed balanced affinity loss function that controls how fast the effective number grows as the number of samples grows, as evident from Eq. 2 of the main manuscript. In this ablation study, we determined the optimal value of  $\beta$  that allows the proposed framework to produce best threat detection performance under extreme class imbalance. For this purpose, we plugged different values of  $\beta$  within the proposed loss function, measured the performance of the proposed framework, in terms of mean intersection-over-union ( $\mu\text{IoU}$ ), mean dice coefficient ( $\mu\text{DC}$ ), and true negative rate (TNR), at the inference stage across COMPASS-XP [2], OPIXray [3] and SIXray [4] datasets. From Fig. 1 (a), we can observe that for COMPASS-XP, the value of the proposed framework, in terms of  $\mu\text{DC}$ ,  $\mu\text{IoU}$ , and TNR has reached up to 0.9805, 0.9617, and 0.9967, respectively, when  $\beta = 0.999$ . Moreover, on the OPIXray dataset, the best detection performance of the proposed framework is achieved when  $\beta = 0.990$ , i.e., the  $\mu\text{DC}$ ,  $\mu\text{IoU}$ , and TNR scores of

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<sup>1</sup> Source code repository: [https://github.com/taimurhassan/balanced\\_affinity](https://github.com/taimurhassan/balanced_affinity)

0.9884, 0.9772, and 0.9977, respectively (see Fig. 1-b). Similarly, the optimal value of  $\beta$  on SIXray is 0.990, yielding the score of 0.9887, 0.9775, and 0.9984 in terms of  $\mu$ DC,  $\mu$ IoU, and TNR, respectively (see Fig. 1-c). Therefore, in the rest of the experimentation, we used  $\beta = 0.999$  for COMPASS-XP, and  $\beta = 0.990$  for OPIXray and SIXray datasets (along with SIXray subsets) towards detecting imbalanced baggage threats.

Table 1: Proposed Network Architecture

| Layers                          | Number of Layers  | Parameters | Kernel Size   |
|---------------------------------|-------------------|------------|---------------|
| Convolution                     | 48                | 14,799,302 | 1x1, 3x3, 7x7 |
| Batch Normalization             | 47                | 65,024     | -             |
| ReLU                            | 41                | 0          | -             |
| Max Pooling                     | 1                 | 0          | 3x3           |
| Zero Padding                    | 5                 | 0          | 1x1, 3x3      |
| Input                           | 1                 | 0          | -             |
| Addition                        | 13                | 0          | -             |
| Reshape                         | 1                 | 0          | -             |
| UpSampling                      | 3                 | 0          | 2x2           |
| Clustering                      | 1                 | 0          | -             |
| <b>Total Parameters:</b>        | <b>14,864,326</b> |            |               |
| <b>Trainable Parameters:</b>    | <b>14,831,814</b> |            |               |
| <b>Non-trainable Parameters</b> | <b>32,512</b>     |            |               |

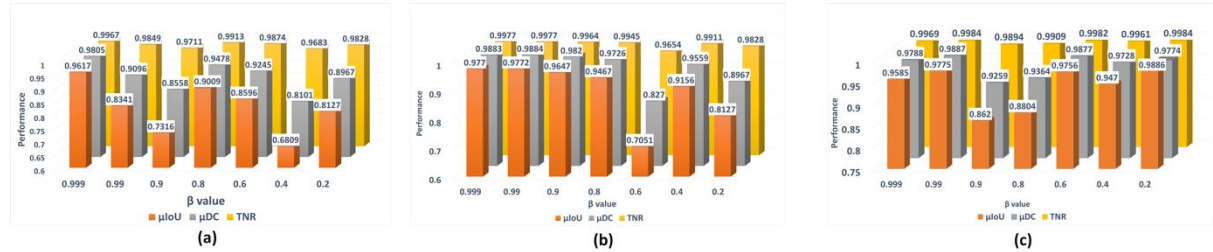


Fig. 1 Performance comparison of balanced affinity loss function on the (a) COMPASS-XP; (b) OPIXray; and (c) SIXray datasets with different  $\beta$  values.

## 2.2. Determining the optimal backbone:

The next ablation experiment is about determining the optimal backbone model within the proposed framework that gives the best threat detection performance on the three datasets. For this purpose, we employed different encoder-decoder, scene parsing and fully convolutional networks, such as SegNet [1], UNet [5], PSPNet [6], and FCN-8 [7], within the proposed framework and measured the detection performance in terms of  $\mu$ IoU and  $\mu$ DC scores. The results are reported in Table 2 in which we can observe that across all the datasets the SegNet gives the best threat detection performance when constrained using the proposed balanced affinity loss function. For example: it achieved 4.64%, 5.63%, 7.25%, 3.67%, and

1.02% improvement in terms of  $\mu\text{IoU}$  over second-best UNet across SIXray10, SIXray100, SIXray1000, OPIXray, and COMPASS-XP datasets, respectively. Similarly, in terms of  $\mu\text{DC}$ , the proposed framework (backboned through SegNet) achieved 2.35%, 2.89%, 4.36%, 1.85%, and 0.52% improvements over other variants across SIXray10, SIXray100, SIXray1000, OPIXray, and COMPASS-XP datasets, respectively. Therefore, in the rest of experimentation, we chose SegNet as a candidate backbone model towards detecting imbalanced baggage threats.

Table 2: Performance evaluation of proposed framework with different backbone models.

To ensure fairness, all models are driven through ResNet-50 [8] and constrained using proposed balanced affinity loss function. Moreover, bold indicates the best performance and the second-best scores are underlined.

| Metric          | Dataset    | SegNet        | PSPNet | UNet          | FCN-8  |
|-----------------|------------|---------------|--------|---------------|--------|
| $\mu\text{IoU}$ | SIXray10   | <b>0.9775</b> | 0.9051 | <u>0.9341</u> | 0.8761 |
|                 | SIXray100  | <b>0.9498</b> | 0.8673 | <u>0.8991</u> | 0.8257 |
|                 | SIXray1000 | <b>0.6611</b> | 0.5738 | <u>0.6164</u> | 0.5248 |
|                 | OPIXray    | <b>0.9772</b> | 0.9239 | <u>0.9426</u> | 0.8863 |
|                 | COMPASS-XP | <b>0.9617</b> | 0.9406 | <u>0.9519</u> | 0.9012 |
| $\mu\text{DC}$  | SIXray10   | <b>0.9886</b> | 0.9502 | <u>0.9659</u> | 0.9340 |
|                 | SIXray100  | <b>0.9743</b> | 0.9289 | <u>0.9469</u> | 0.9045 |
|                 | SIXray1000 | <b>0.7960</b> | 0.7292 | <u>0.7627</u> | 0.6884 |
|                 | OPIXray    | <b>0.9885</b> | 0.9604 | <u>0.9705</u> | 0.9397 |
|                 | COMPASS-XP | <b>0.9805</b> | 0.9694 | <u>0.9754</u> | 0.9480 |



Fig. 2: Failure cases of the proposed framework. (A) and (C) shows the original scan from SIXray and OPIXray datasets, whereas (B) and (D) shows the results of the proposed framework on (A) and (C).

### 3. Failure Cases:

This section discusses the limitation of the proposed framework towards recognizing the imbalanced baggage threats. From Fig. 2, we can see that although the proposed framework can recognize the challenging instances of the contraband data depicted within the complex scans of SIXray (see Fig. 2-A, B) and OPIXray datasets (see Fig. 2-C, D). However, the masks of the items are not very accurate. For example: see the mask of *gun* in (B), and the *scissor* in (D), pointed out by red arrow. Although seen rarely on some of the complex X-ray scans, this limitation of the proposed framework emanates from the fact that it is trained on an extremely imbalanced datasets (e.g., SIXray1000), where the balanced affinity loss function could not constrain the proposed framework enough to accurately segment the extremely cluttered objects with the imbalanced training. However, this limitation can be easily

addressed by employing morphological operations as a postprocessing step. Also, considering the fact that the proposed framework, when constrained with balanced affinity loss function, can accurately recognize the threatening objects from such complex X-ray scans with imbalanced training, the performance of the proposed framework is appreciable.

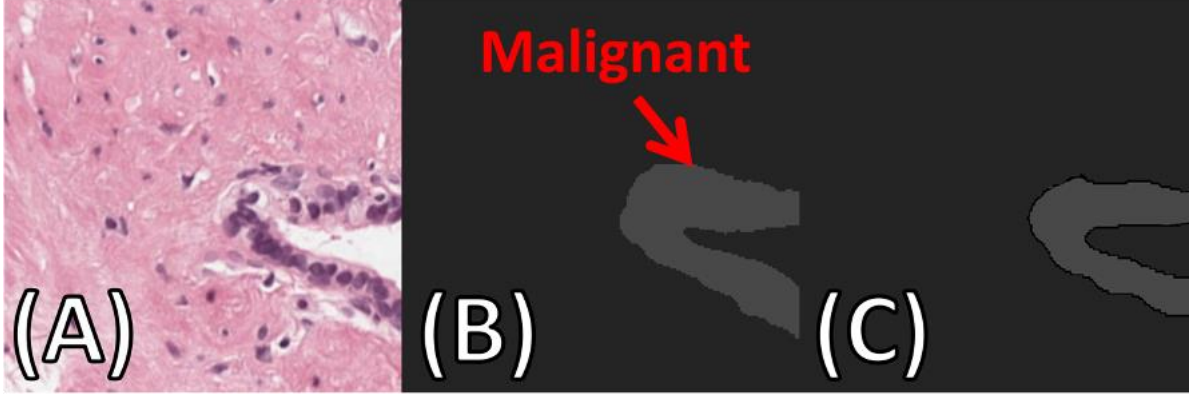


Fig. 3: (A) Prostate WSI patch with majority benign and minority malignant tissues, (B) the ground truth where malignant region is highlighted with red arrow and the remaining region is benign, (C) regions extracted by the proposed framework.

#### 4. Validation of the Proposed $L_{cba}$ Function in Other Domains:

Apart from validating the applicability of the proposed balanced affinity loss function ( $L_{cba}$ ) for learning the highly imbalanced baggage threat detection tasks, we also used it in another domain to extract benign and malignant tissues from the prostate whole slide images (WSIs). The dataset which we used for this purpose is called the PANDA dataset [9] and is publicly available online. Moreover, PANDA dataset contains around 11,000 WSIs [9], which are decomposed into 79.4M non-overlapping WSI patches of 350x350x3. Moreover, these patches are marked with the labels of background, healthy stroma, benign tissues, and Gleason Patterns (GP-3, 4, and 5), as per the clinical standards. However, since the purpose of these experiments is to verify the imbalanced learning of benign and malignant tissues using  $L_{cba}$ , where the benign and malignant tissues are extremely imbalanced in nature [10-11], i.e., we have majority of pixel-level regions for benign tissues and minority of pixel-level regions for malignant tissues (see Fig. 3), we combined the GP-3, 4, and 5 categories as malignant, and healthy stroma and benign categories as benign, whereas the background class remains the same. The results are reported in Table 3 in which we can observe that when the state-of-the-art DRN [10] model is trained using the proposed balanced affinity loss function ( $L_{cba}$ ) on the PANDA dataset, it achieved 3.78% and 2.64% improvements in terms of  $\mu\text{IoU}$  and  $\mu\text{DC}$  scores, respectively, for extracting the benign tissues, and 6.64% and 6.94% improvements in terms of  $\mu\text{IoU}$  and  $\mu\text{DC}$  scores, respectively, for extracting the malignant tissues, as compared to the original DRN model [10]. Moreover, we also trained here the TST [12] using the  $L_{ba}$  loss function to extract benign and malignant prostate tissues. However, since TST leverages contour information to segment the region-of-interest [12], it does not perform well on the WSIs as compared to the state-of-the-art. Apart from this, these experiments also evidence the superiority of the proposed  $L_{cba}$  function towards accurately extracting the imbalanced region-of-interest across different applications.

Table 3: Performance evaluation of the proposed framework on the PANDA dataset towards extracting the imbalanced benign and malignant prostate tissues.  $DRN_{L_{cba}}$  denotes the DRN framework trained using proposed balanced affinity loss function ( $L_{cba}$ ),  $TST_{L_{cba}}$  denotes the TST framework trained using proposed  $L_{cba}$  function. Moreover, bold indicates the best score while the second-best score is underlined.

| Metric    | Categories | $DRN_{L_{cba}}$ | DRN [10] | $TST_{L_{cba}}$ |
|-----------|------------|-----------------|----------|-----------------|
| $\mu IoU$ | Benign     | 0.7126          | 0.6748   | 0.6104          |
|           | Malignant  | 0.4173          | 0.3509   | 0.2462          |
| $\mu DC$  | Benign     | 0.8322          | 0.8058   | 0.7581          |
|           | Malignant  | 0.5889          | 0.5195   | 0.3951          |

## References:

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