

# Lazy evaluation illustrated

## for Haskellers

*exploring some mental models and implementations*

Takenobu T.

Lazy,... zzz

..., It's fun!

## NOTE

- Meaning of terms are different by communities.
- There are a lot of good documents. Please see also references.
- This is written for GHC's Haskell.

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# 1. Introduction

# 1. Introduction

Basic mental models

# How to evaluate program in your brain ?

program code



プログラムは、どの順で評価される？

どういうステップ、どういう順で evaluation (execution, reduction) される？

What are these mental models?

What "mental model" do you have?

# One of the mental models for C program

## 文の並び

```
main (...) {  
  code..  
  code..  
  code..  
  code..  
}
```

A red curly brace groups the four `code..` lines, with a red question mark to its right.

## 入れ子の構造

```
x = func1( func2( a ) );
```

A red question mark is positioned below the underlined `func2( a )`.

## 引数の並び

```
y = func1( a(x), b(x), c(x) );
```

A red question mark is positioned below the underlined arguments `a(x)`, `b(x)`, and `c(x)`.

## 関数と引数

```
z = func1( m + n );
```

A red question mark is positioned below the underlined expression `m + n`.

どのように評価される？

あなたの頭の中の、評価メンタルモデルは？



# One of the mental models for C program

プログラムは、statement の集まり

```
main(...) {  
  code..  
  code..  
  code..  
  code..  
}
```

(1) 文は基本的に、  
上から下へ評価  
downward

statement order

```
x = func1( func2( a ) );
```

(2) 内側の関数評価が先  
(内から外へ。)

```
y = func1( a(x), b(x), c(x) );
```

(3) 同階層では、左側の  
(左から右へ。)

```
z = func1( m + n );
```

(4) 引数評価が先  
(引数評価から関数評価へ。)

Each programmers have some mental models in their brain.

# One of the mental models for C program

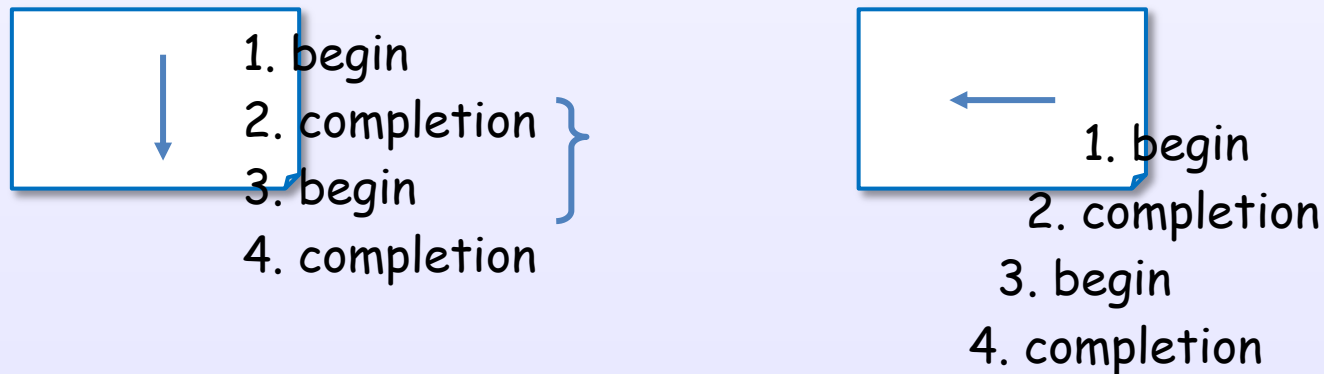
Maybe, You have some implicit mental model in your brain for C program.

(1) a program is a collection of statements

(2) an order between evaluations of elements



(3) an order between completion and begin of evaluations



This is an example of an implicit sequential order model for programming languages.

# One of the mental models for Haskell program

```
main = exp11 (exp12 exp13 exp14 )
```

```
exp13 = exp131 exp132
```

```
exp14 = exp141 exp142 exp143
```

```
:
```

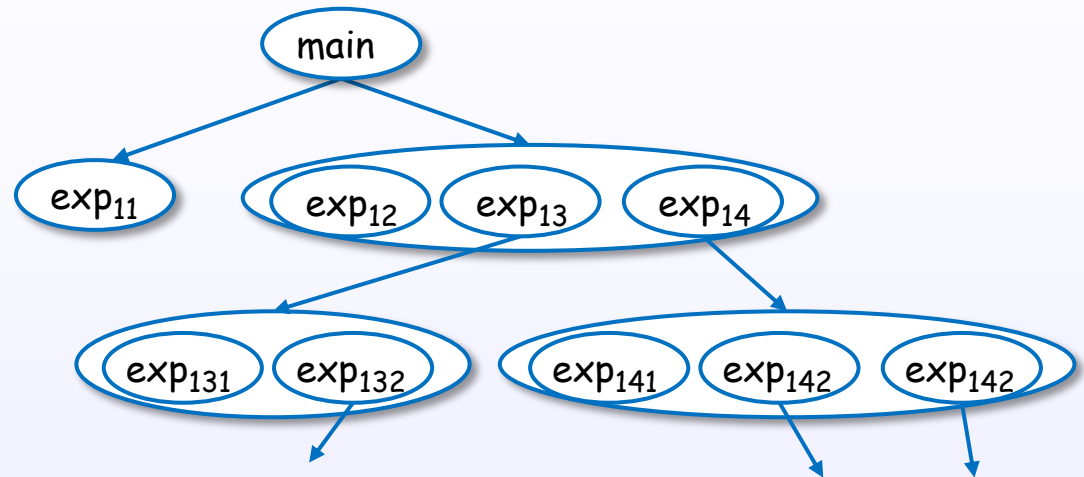
どのように評価される？

あなたの頭の中の、評価メンタルモデルは？

# One of the mental models for Haskell program

プログラムは、式の集まり

```
main = exp11 (exp12 exp13 exp14)  
exp13 = exp131 exp132  
exp14 = exp141 exp142 exp143  
:
```



$\text{main} = \text{exp}_{11} (\text{exp}_{12} (\text{exp}_{131} \text{exp}_{132}) (\text{exp}_{141} \text{exp}_{142} \text{exp}_{143}))$

(1) プログラム全体を1つの式と見立てて

(2) 部分式をある順で評価(簡約)していく

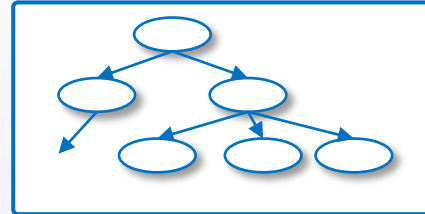
(3) 評価は置換により行う

# One of the mental models for Haskell program

(1) a program is a collection of expressions

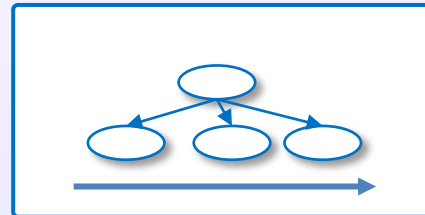
(2) プログラム全体が階層をもった1つの式

```
main = e (e (e (e e) e (e e e) ) )
```

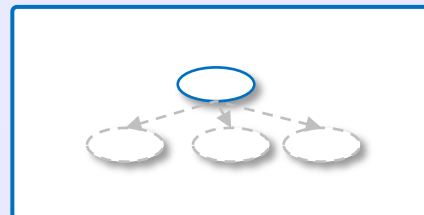
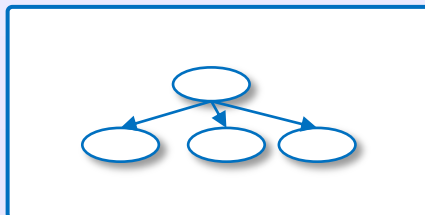


(3) 部分式を、ある順序で評価していく

```
f = e (e (e (e e) e (e e e) ) )
```



(4) 評価は置換により行われる

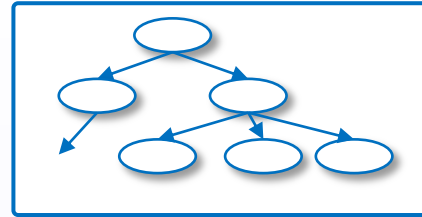


# 1. Introduction

Lazy evaluation

# How is the expression evaluated?

$f = e (e (e (e e) e (e e e) ) )$



Haskellは purely functional language

↓ no side effect [slpj-book-1987], p.193

order free (so, potentially hi-level optimization and parallelism)

↓ call-by-need 可能

GHC chosen lazy evaluation to implement non-strict semantics.

[slpj-book-1987], p.33

[CIS194]

# GHC chosen lazy evaluation

必要な時に、必要な箇所のみを評価する

(STG p.11)



- ・引数評価を先送る (case式が来るまで評価しない) call-by-need
- ・部分式を完全評価しない (caseのパターンマッチで参照するところのみを評価する) WHNF

これは、計算量を最小化する戦略(メモリ量でなく)



# GHC chosen lazy evaluation

必要になるまで計算しない



無駄な計算をしないように

to avoid unnecessary computation

(performance)



無限構造を扱えるように

to manipulate infinite and huge  
data structure  
naturally

(abstraction)

非同期事象も

# GHC chosen lazy evaluation

計算を後回しにして、「性能」と「表現力」を高めるアプローチ



無駄な計算をしないように



大きなものを自然に扱える

# Haskell(GHC) 's lazy evaluation

特徴 of Haskell's "lazy evaluation"

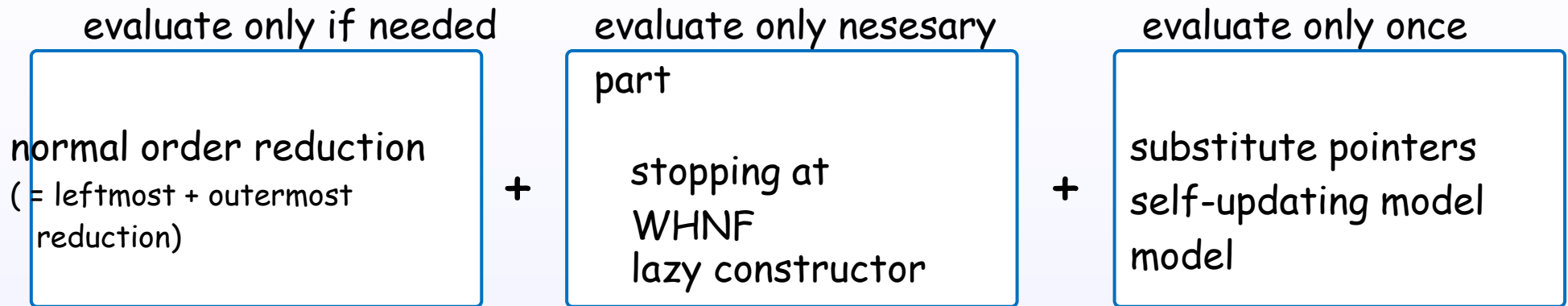
evaluate only if needed +

evaluate only nesenary  
part +

evaluate at most once

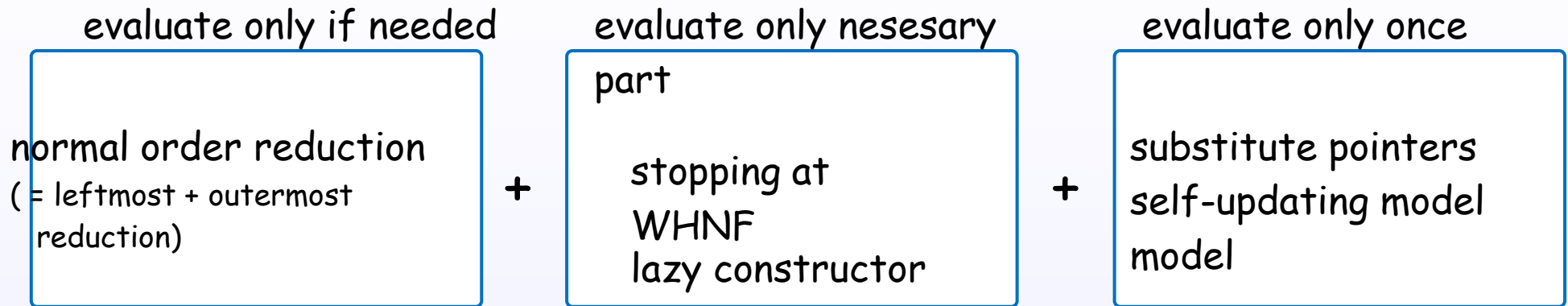
# Haskell(GHC) 's lazy evaluation

ingredient of Haskell's "lazy evaluation"



# Haskell(GHC) 's lazy evaluation

ingredient of Haskell's "lazy evaluation"



This strategy is implemented by lazy graph reduction

# Haskell(GHC)'s lazy evaluation

ingredient of Haskell's "lazy evaluation"

when needed

evaluate only if needed  
postpone the evaluation  
until it is needed

+

only to WHNF

必要な部分のみ

+

only once

evaluate only once  
only be evaluated once



normal order reduction  
(= leftmost + outermost  
reduction)  
call-by-need

[slpj-book-1987], 194



lazy constructor  
stop at WHNF

[slpj-book-1987], 197



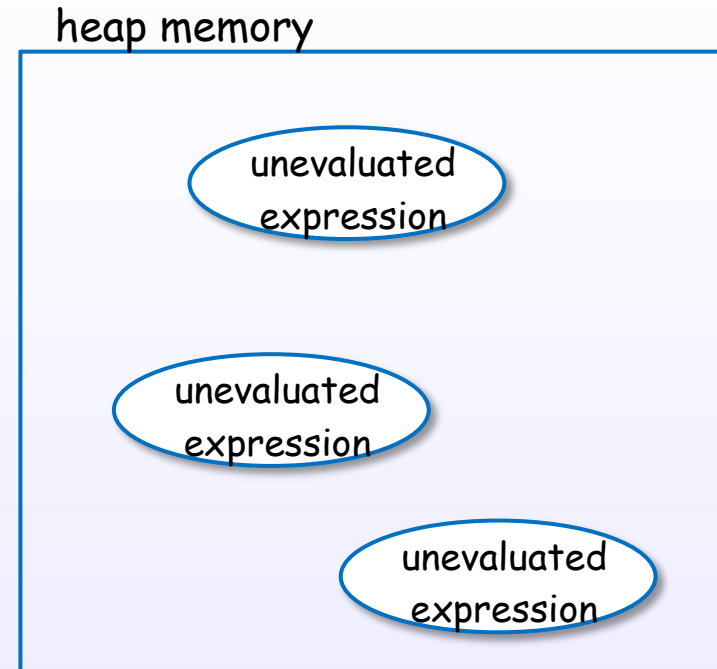
substitute pointers  
update redex root with  
result

call-by-need (sharing)  
[slpj-book-1987], p.198, 23, 194  
graph reduction  
[Bird, Chen 71]  
self updating model

call-by-needは、狭義のlazy eval

# Where is the unevaluated expression until needed?

postpone →



stackでなく、heap。  
なので、sequential アクセスでなくて良い。

heapに置いておく

# When is the unevaluated expression needed?

必要になるのはいつか？



要素が取り出されるとき  
(case, built-in)

"give me your components"



forcing要求のとき  
明示的に指示があったとき

"I need you"



# When is the unevaluated expression needed?

case式か、関数定義のパターンマッチで、取りだされるときが、必要なとき

```
f = case (g x) of  
  [] -> a  
  _  -> b
```

```
g (x:xs) = ...  
g []    = ...
```

pattern match via  
case expression and function definition  
will {cause, trigger} the evaluation

HERE!

# Which parts are needed?

パターンマッチで明示された部分

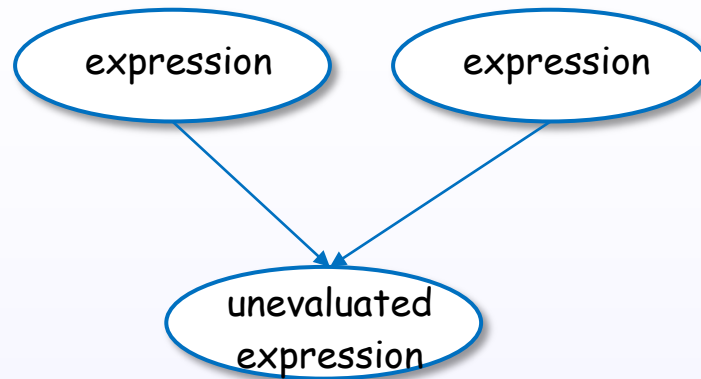
```
f = case (g a) of
  x : y : _ -> k x y
  []       -> False
```

```
f = case (g a) of
  Just _    -> True
  Nothing   -> False
```

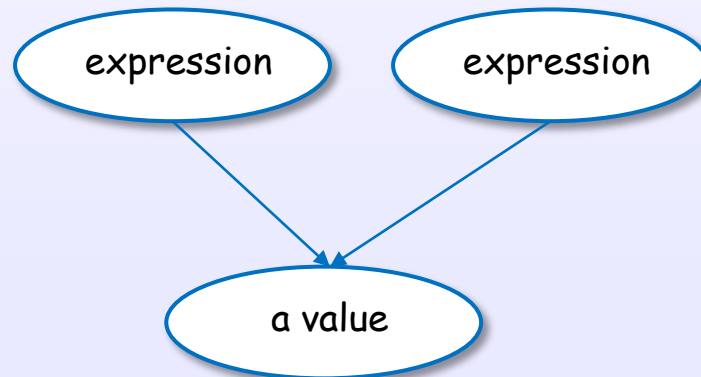
there are components which you need.

HERE!

# How to evaluate at most once?



self updating



shared term

repeat call

# Why lazy evaluation?

(1) normal order reduction guarantees to find a normal form (if one exists)

[slpj-book-1987], p.25

pursue normal order reduction, but stop at WHNF.

This is an essential ingredient of lazy evaluation

(2) lazy evaluation implements non-strict semantics

infinite data structure and stream

[slpj-book-1987], p.194

(3) 不要な評価を避ける

# Attention points of lazy evaluation

(1) realtime タイミングが分かりにくい(計算量でなく)  
code と 実行が同期していない

(2) 後回しにするための性能コスト。  
性能が良くなるのは、「後回しコスト < 抑制効果」のとき

(3) 後回しにするためのメモリコスト (ヒープに隠れスペースリーク)

-> lazy と eager をうまくバランスとれば、good

# Attention points of lazy evaluation 1

実行タイミングがずれる

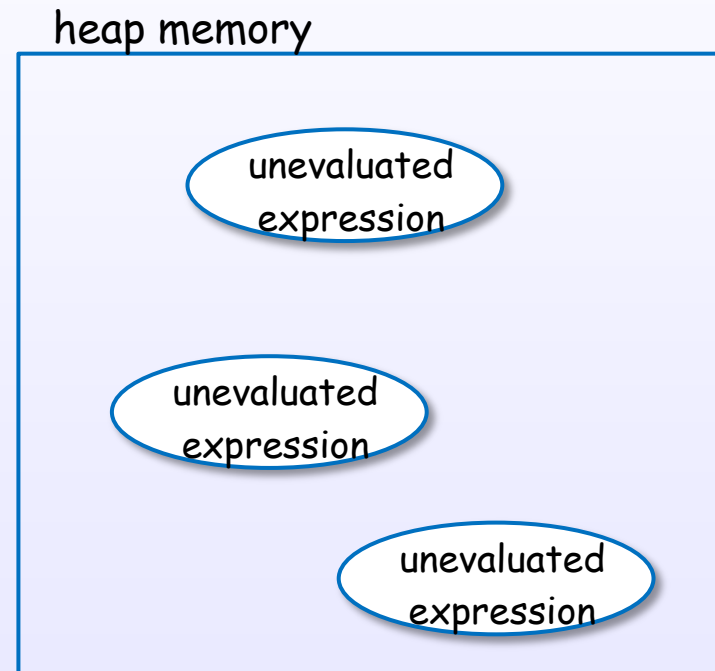
code と 実行が同期していない

# Attention points of lazy evaluation 2

ヒープの使用

ヒープにたまっていく

[slpj-book-1987], p.194



call-by-needは、スタックベースでは実装が難しい。

[hack.hands]

コントロールが必要

space leak

[CIS194]

## 2. Expressions

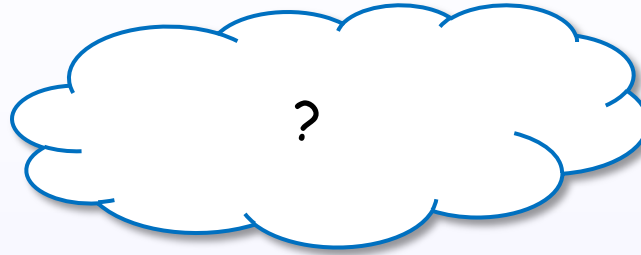


## 2. Expressions

Expression and value

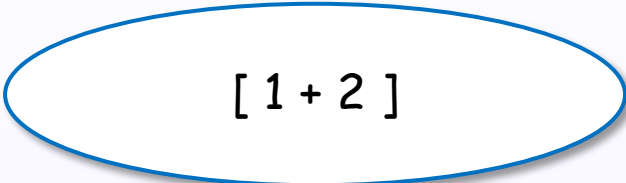
# What is an expression?

An expression



# An expression denotes a value

An expression



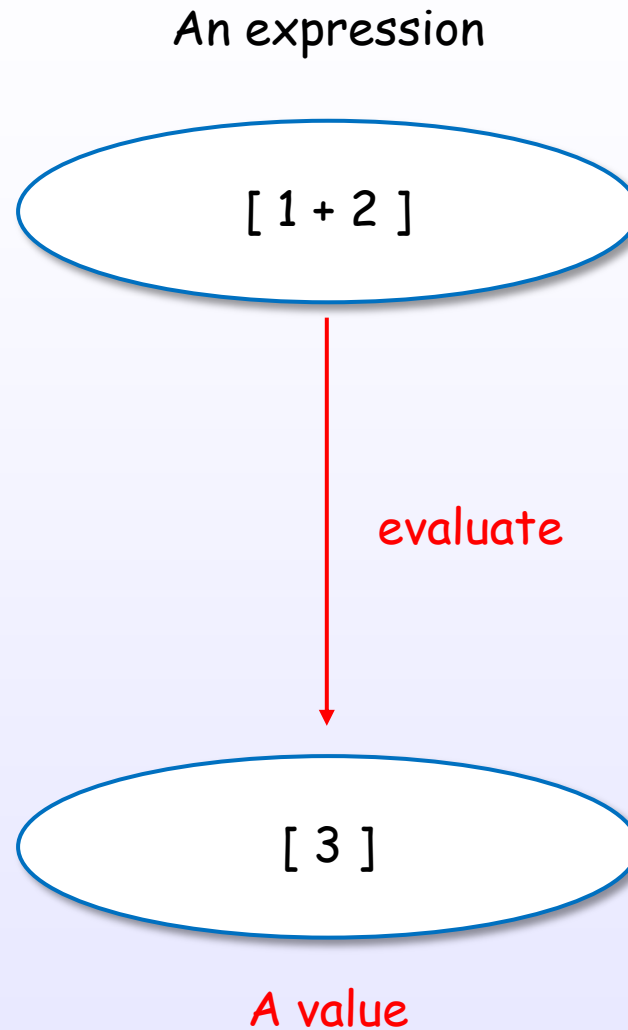
[ 1 + 2 ]

[HR2010]

[Bird, Chapter 2]

References : [1]

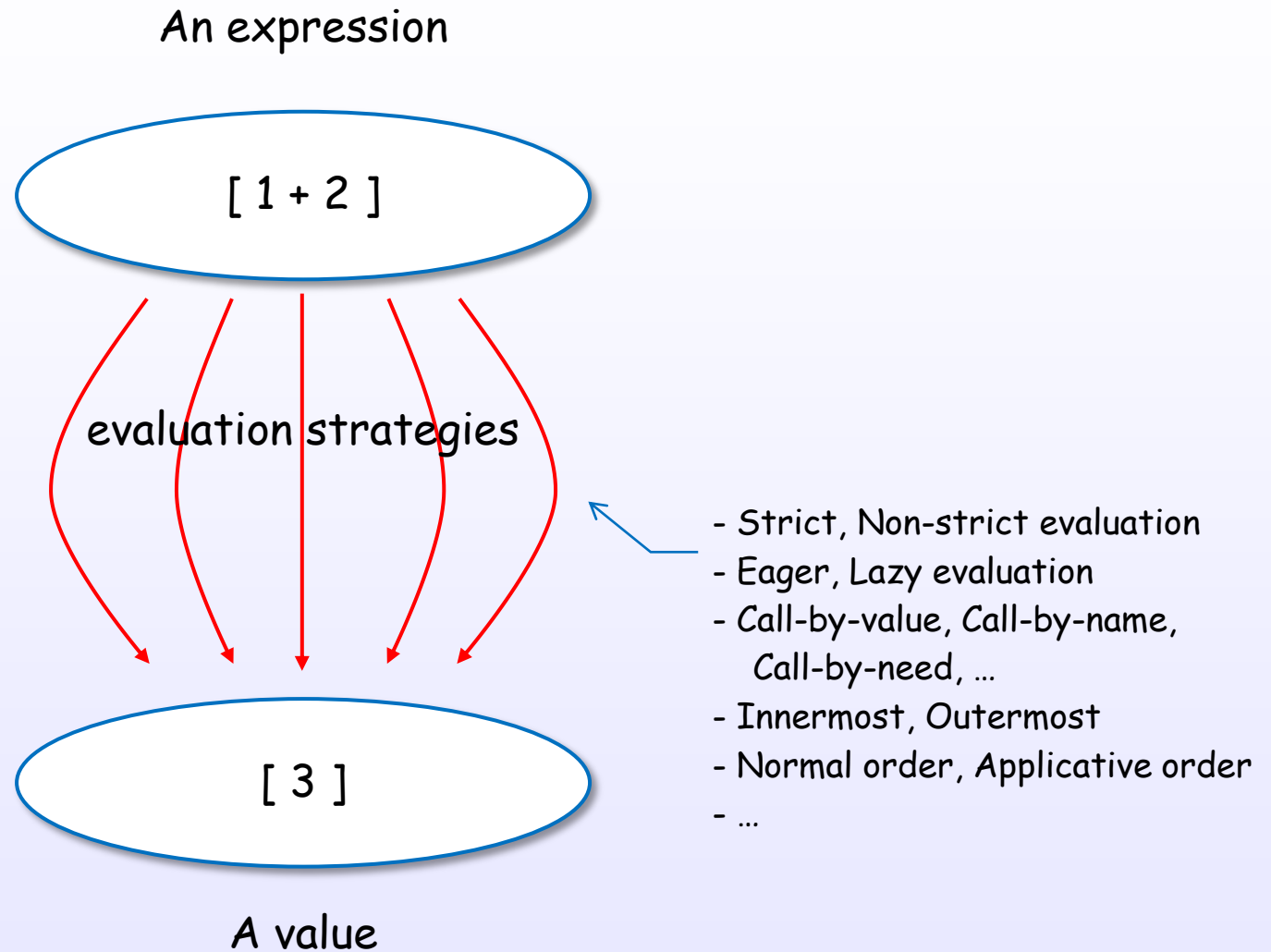
# An expression evaluates to a value



[HR2010]

[Bird, Chapter 2]

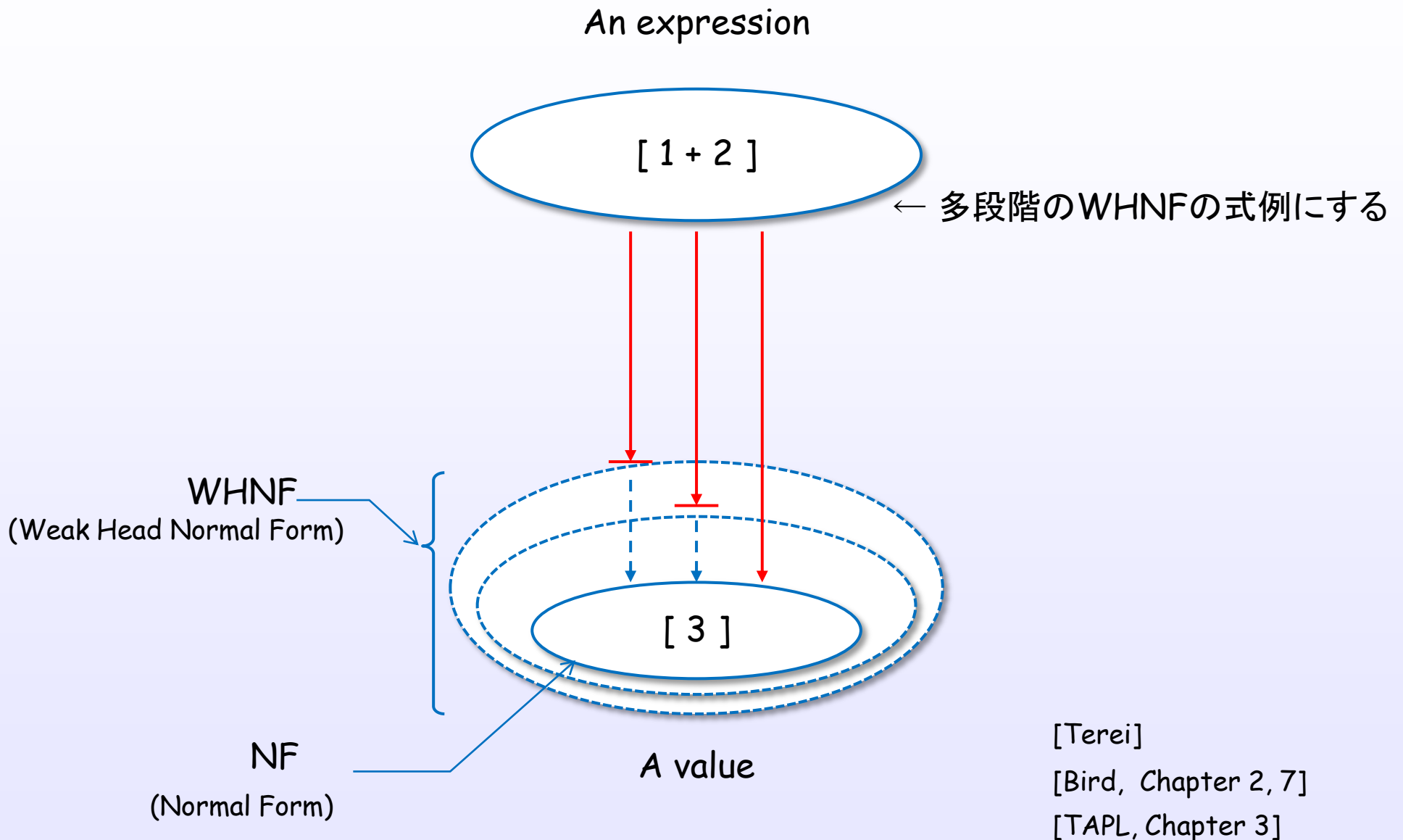
# There are many evaluation approaches



[Bird, Chapter 2, 7]

[TAPL, Chapter 3]

# There are some evaluation levels



[Terei]

[Bird, Chapter 2, 7]

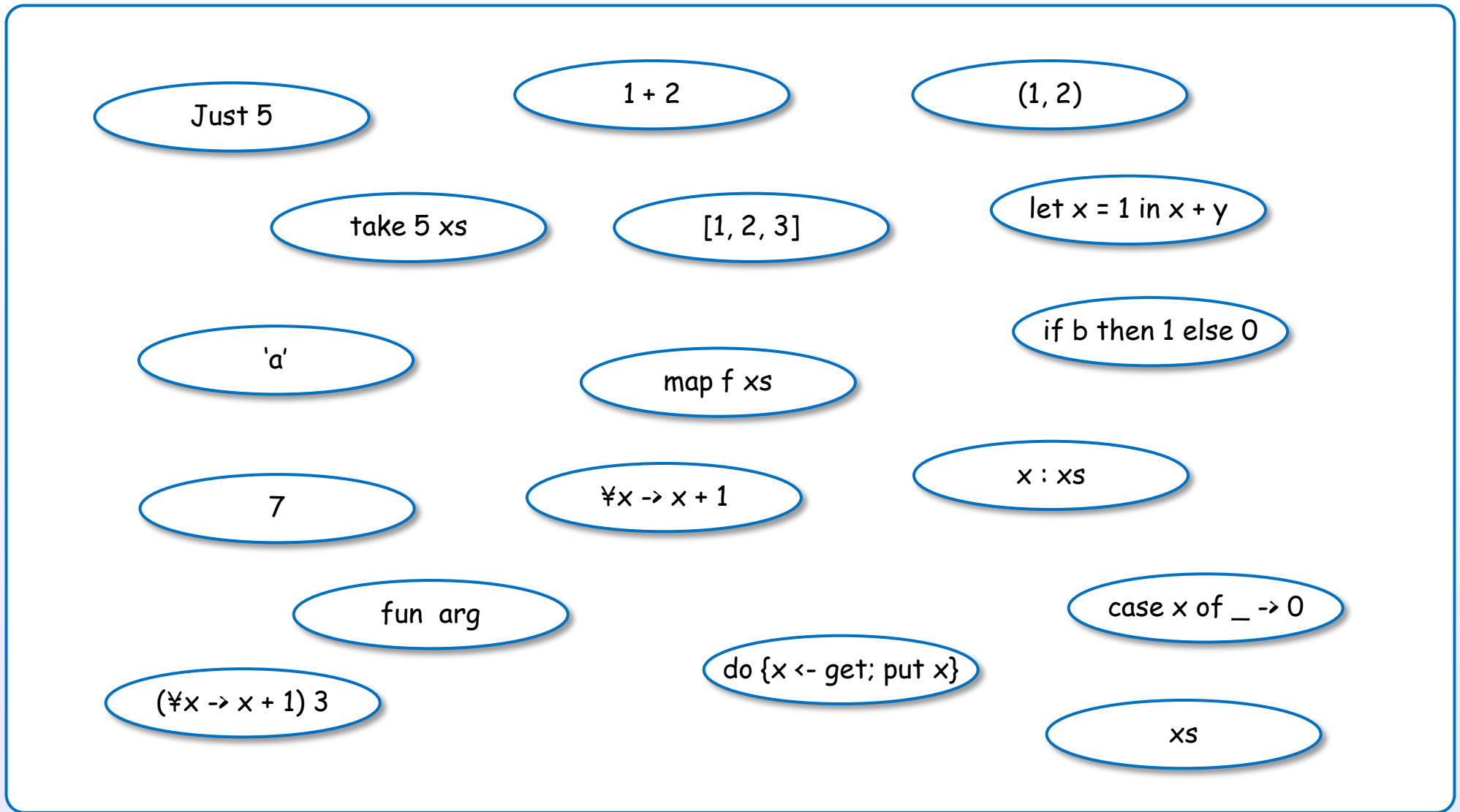
[TAPL, Chapter 3]

## 2. Expressions

### Expressions in Haskell

# There are many expressions in Haskell

## Expressions



categorizing

[HR2010]

[Bird, Chapter 2]

References : [1]



# Expression categories in Haskell

WHNF(a value)、  
unevaluated expression  
との関連づけを  
PAPもWHNFなので注意

## lambda abstraction

$\forall x \rightarrow x + 1$

## let expression

let  $x = 1$  in  $x + y$

## variable

$xs$

## conditional

if  $b$  then 1 else 0

## case expression

case  $x$  of  $\_ \rightarrow 0$

## do expression

do { $x \leftarrow \text{get}$ ; put  $x$ }

## general constructor, literal and some forms

7

[1, 2, 3]

(1, 2)

'a'

$x : xs$

Just 5

## function application

take 5  $xs$

$(\forall x \rightarrow x + 1)$  3

1 + 2

map  $f$   $xs$

fun arg

[HR2010]  
[Bird, Chapter 2]

# Specification is defined in Haskell 2010 Language Report

## Haskell 2010 Language Report, Chapter 3 Expressions [1]

<i>exp</i>	→	<i>infixexp</i> :: [context =>] type   <i>infixexp</i>	(expression type signature)
<i>infixexp</i>	→	<i>lexp</i> <i>qop</i> <i>infixexp</i>   - <i>infixexp</i>   <i>lexp</i>	(infix operator application) (prefix negation)
<i>lexp</i>	→	\ <i>apat</i> <sub>1</sub> ... <i>apat</i> <sub><i>n</i></sub> -> <i>exp</i>   let <i>decls</i> in <i>exp</i>   if <i>exp</i> [ <i>i</i> ] then <i>exp</i> [ <i>i</i> ] else <i>exp</i>   case <i>exp</i> of { <i>alts</i> }   do { <i>stmts</i> }   <i>fexp</i>	(lambda abstraction, <i>n</i> ≥ 1) (let expression) (conditional) (case expression) (do expression)
<i>fexp</i>	→	[ <i>fexp</i> ] <i>aexp</i>	(function application)
<i>aexp</i>	→	<i>qvar</i>   <i>gcon</i>   <i>literal</i>   ( <i>exp</i> )   ( <i>exp</i> <sub>1</sub> , ... , <i>exp</i> <sub><i>k</i></sub> )   [ <i>exp</i> <sub>1</sub> , ... , <i>exp</i> <sub><i>k</i></sub> ]   [ <i>exp</i> <sub>1</sub> [, <i>exp</i> <sub>2</sub> ] .. [ <i>exp</i> <sub>3</sub> ] ]   [ <i>exp</i>   <i>qual</i> <sub>1</sub> , ... , <i>qual</i> <sub><i>n</i></sub> ]   ( <i>infixexp</i> <i>qop</i> )   ( <i>qop</i> { - } <i>infixexp</i> )   <i>qcon</i> { <i>fbind</i> <sub>1</sub> , ... , <i>fbind</i> <sub><i>n</i></sub> }   <i>aexp</i> <sub>{<i>qcon</i>}</sub> { <i>fbind</i> <sub>1</sub> , ... , <i>fbind</i> <sub><i>n</i></sub> }	(variable) (general constructor)  (parenthesized expression) (tuple, <i>k</i> ≥ 2) (list, <i>k</i> ≥ 1) (arithmetic sequence) (list comprehension, <i>n</i> ≥ 1) (left section) (right section)  (labeled construction, <i>n</i> ≥ 0) (labeled update, <i>n</i> ≥ 1)

## 2. Expressions

Classification by value

# A value or an unevaluated expression

## Expressions

unevaluated expressions

unevaluated expressions

values

data values

function values

値か否か。値は2種。

[STG]

# 実例との対応付け

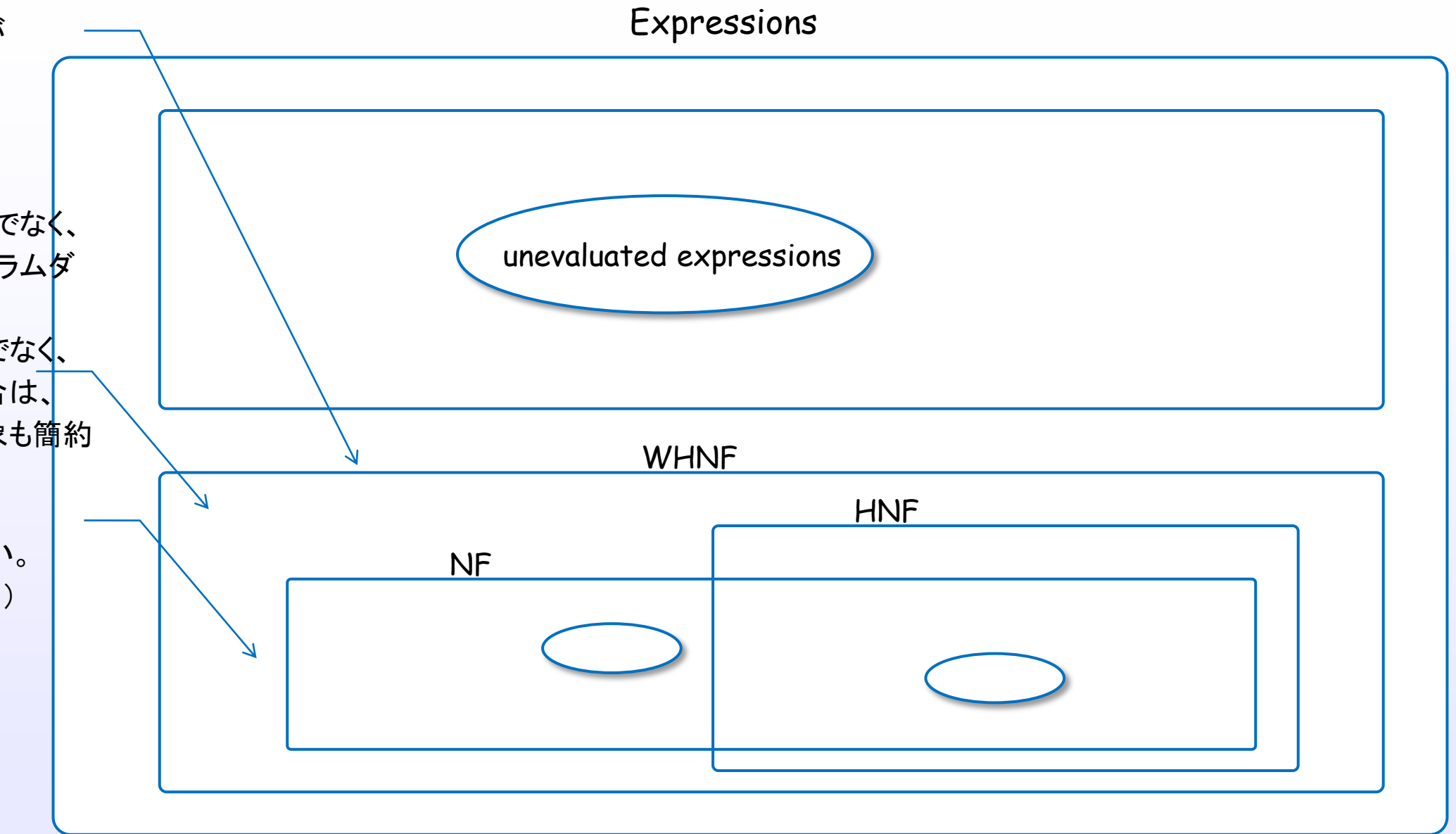
[STG]

References : [1]

## 2. Expressions

Classification by form

# A value has various form level (evaluation level)



値には、評価レベルがある。

[STG]

# 実例との対応付け

[STG]

References : [1]



## 2. Expressions

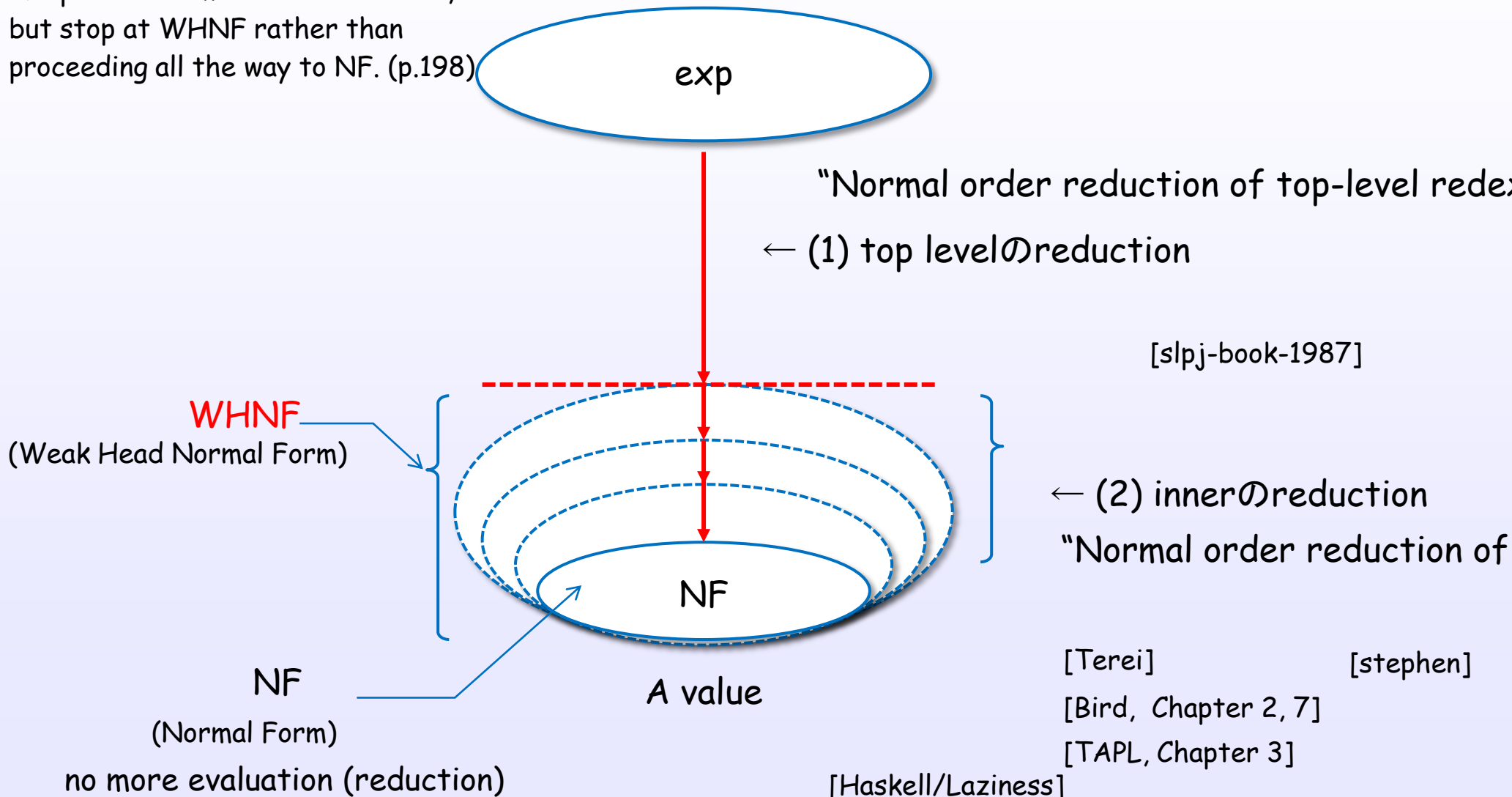
WHNF

# Evaluation step and WHNF

Our reduction order is therefore to reduce the top-level redex until weak head normal form is reached. (p.198)

An expression

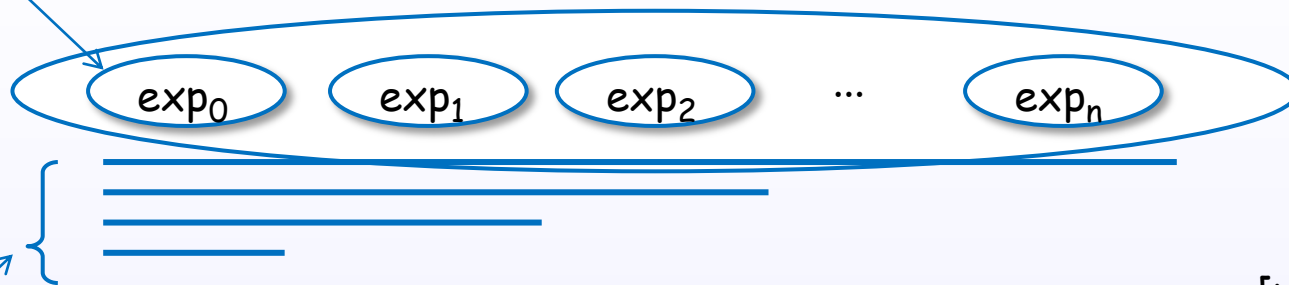
We pursue normal order reduction, but stop at WHNF rather than proceeding all the way to NF. (p.198)



# WHNF

データ抽象、ビルトイン

an expression



[parconc, Ch.2]

more

An expression has no top level redex, if it is in WHNF.

[slpj-book-1987]

These are in weak head normal form,  
but not in normal form, since they contain inner redex. (p.198)

[stephen]

[hack.hands]

[Terei]

[Bird, Chapter 2, 7]

[TAPL, Chapter 3]

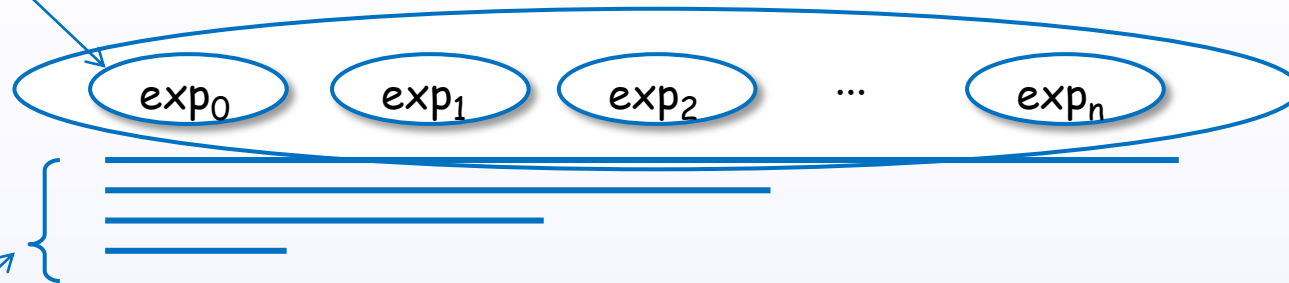
[Terei]

References : [1]

# Examples of WHNF

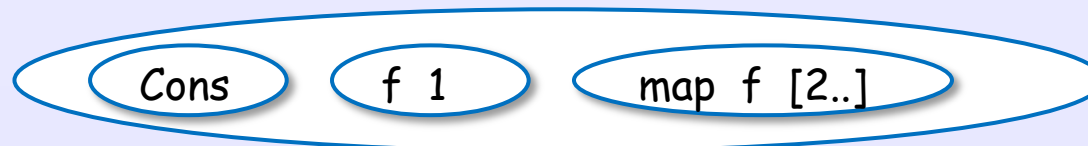
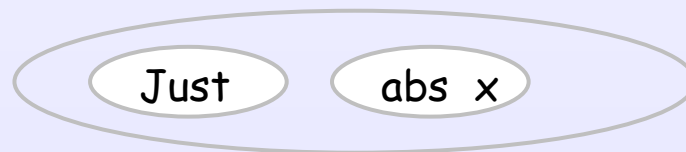
データ抽象、ビルトイン

an expression



Just (abs x)

Cons (f 1) (map f [2..])



[slpj-book-1987]

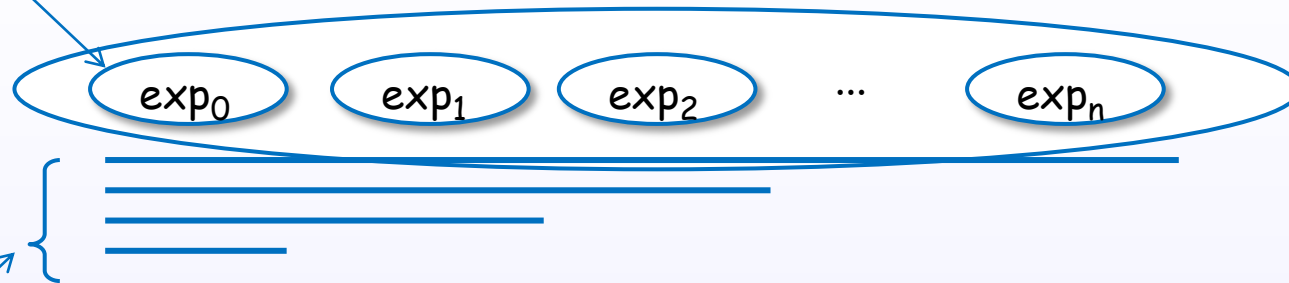
# HNF

データ抽象、ビルトイン

内側(body)が、簡

more

an expression



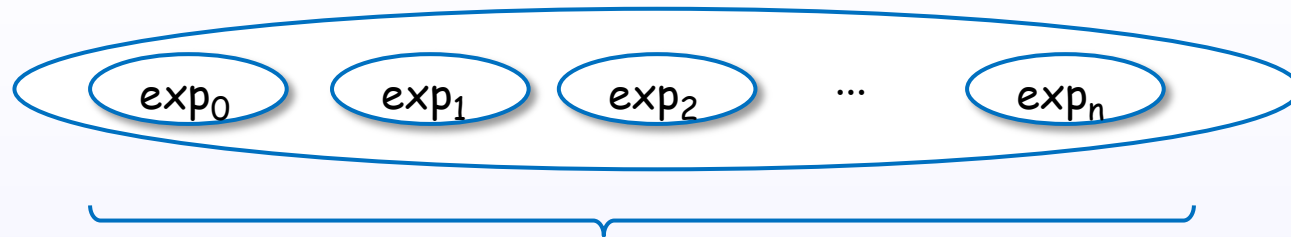
[slpj-book-1987]

[Terei]

References : [1]

# NF

an expression



redexが内部に無い

[slpj-book-1987]

[Terei]

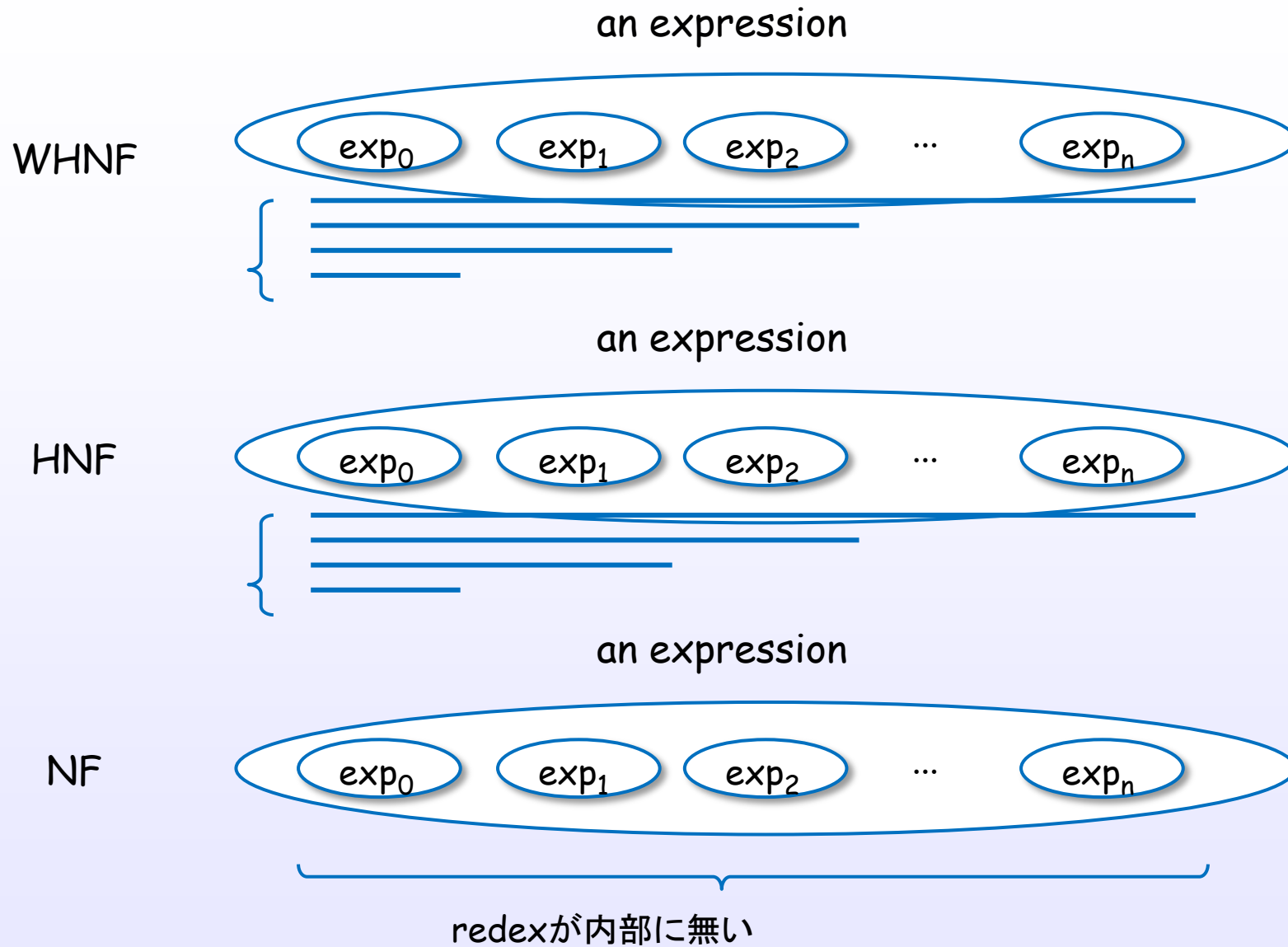
[Bird, Chapter 2, 7]

[TAPL, Chapter 3]

[Terei]

References : [1]

# WHNF, HNF, NF



[slpj-book-1987]

References : [1]

## Definition of WHNF and HNF

## The implementation of functional programming languages [19]

### 11.3.1 Weak Head Normal Form

To express this idea precisely we need to introduce a new definition:

### DEFINITION

A lambda expression is in *weak head normal form* (WHNF) if and only if it is of the form

$$F \ E_1 \ E_2 \ \dots \ E_n$$

where  $n \geq 0$ ;

and either F is a variable or data object  
or F is a lambda abstraction or built-in function  
and  $(F \ E_1 \ E_2 \ \dots \ E_m)$  is not a redex for any  $m \leq n$ .

An expression has no *top-level redex* if and only if it is in weak head normal form.

### 11.3.3 Head Normal Form

Head normal form is often confusing and requires some discussion. The content of the `head` field is since for most purposes head normal form is the same as normal form. Nevertheless, we will stick to the normal form.

### DEFINITION

A lambda expression is in *head normal form* (HNF) if and only if it is of the form

$$\lambda x_1. \lambda x_2. \dots \lambda x_n. (v \ M_1 \ M_2 \ \dots \ M_m)$$

where  $n, m \geq 0$ ;

**v** is a variable ( $x_i$ ), a data object, or a built-in function;

and  $(v \ M_1 \ M_2 \ \dots \ M_p)$  is not a redex for any  $p \leq m$ .

[slpj-book-1987]



### 3. Internal representation of expressions

### 3. Internal representation of expressions

Constructor

# A value or an unevaluated expression

## Expressions

unevaluated expressions

unevaluated expressions

values

data values

function values

値か否か。値は2種。

[STG]

# Constructor

Constructor is one of the key elements to understand WHNF and lazy evaluation in Haskell.

# Algebraic data type and value

data文で宣言する代数的データ型とその値

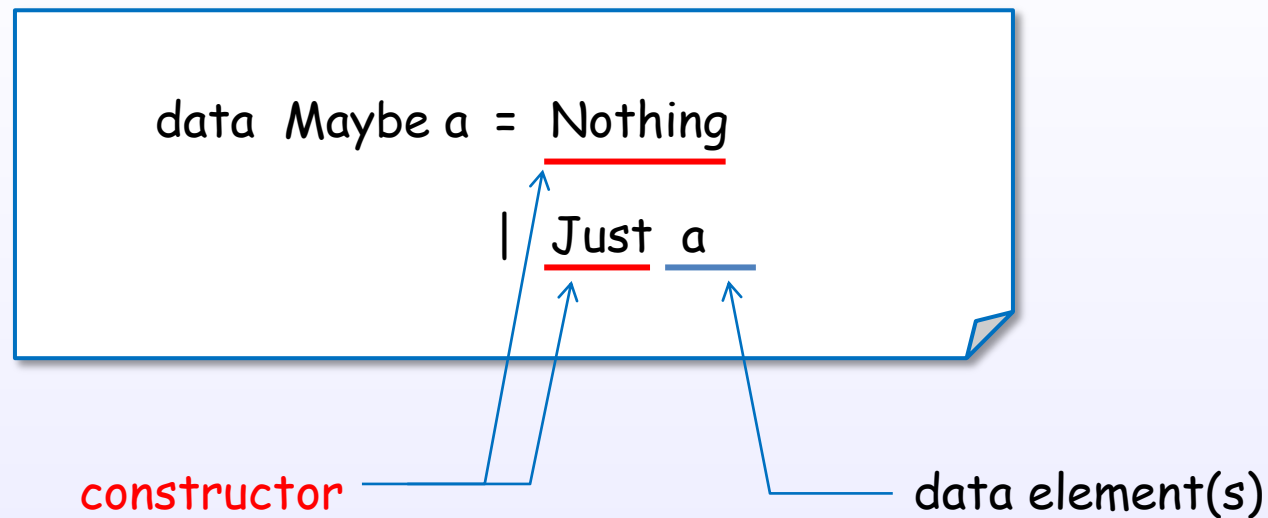
```
data Maybe a = Nothing  
              | Just a
```

Algebraic Data Type

Data **Values**

# Constructors are defined by data declaration

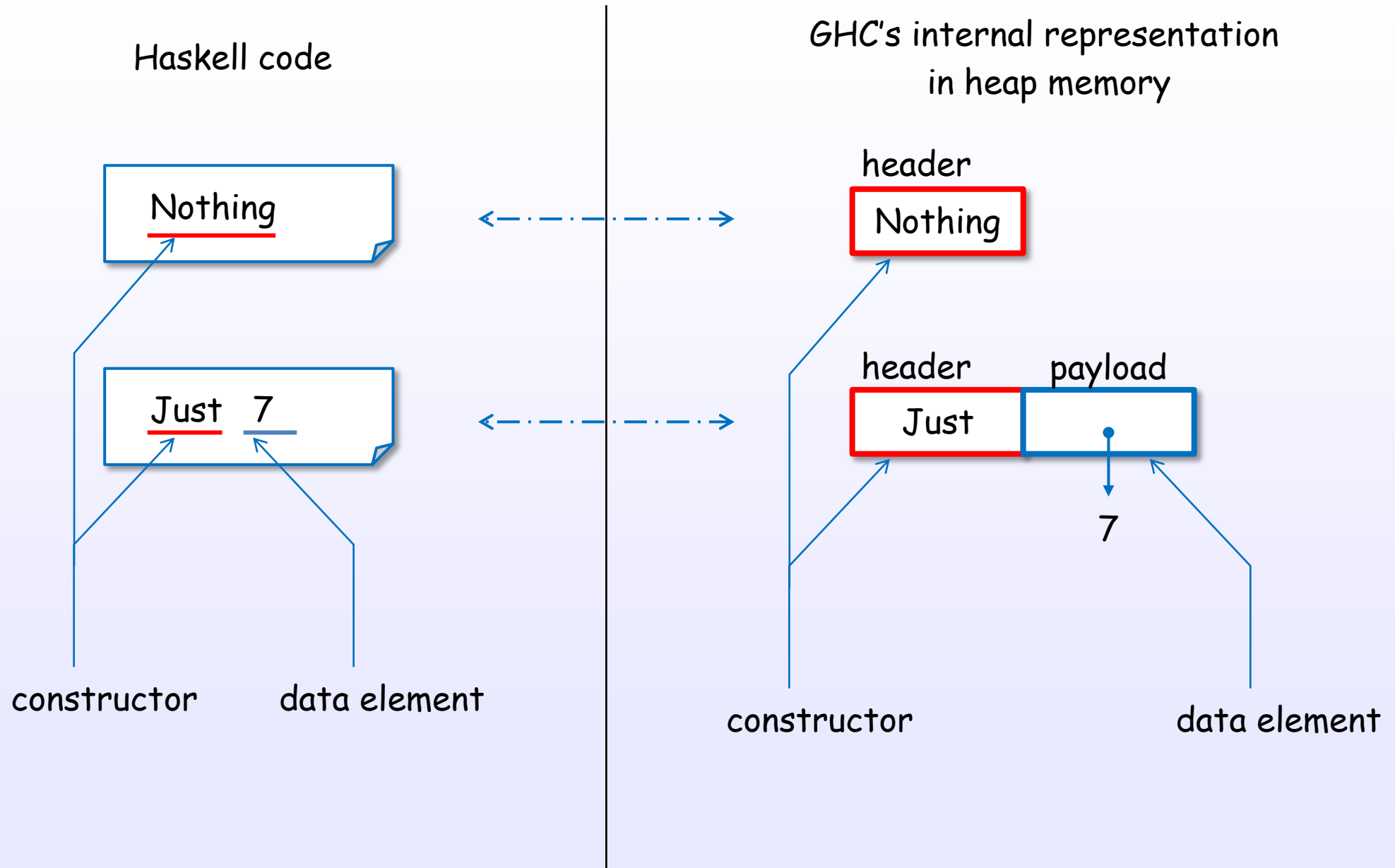
Constructorはdata文で宣言する代数的データ値



A constructor function builds a structured data value.

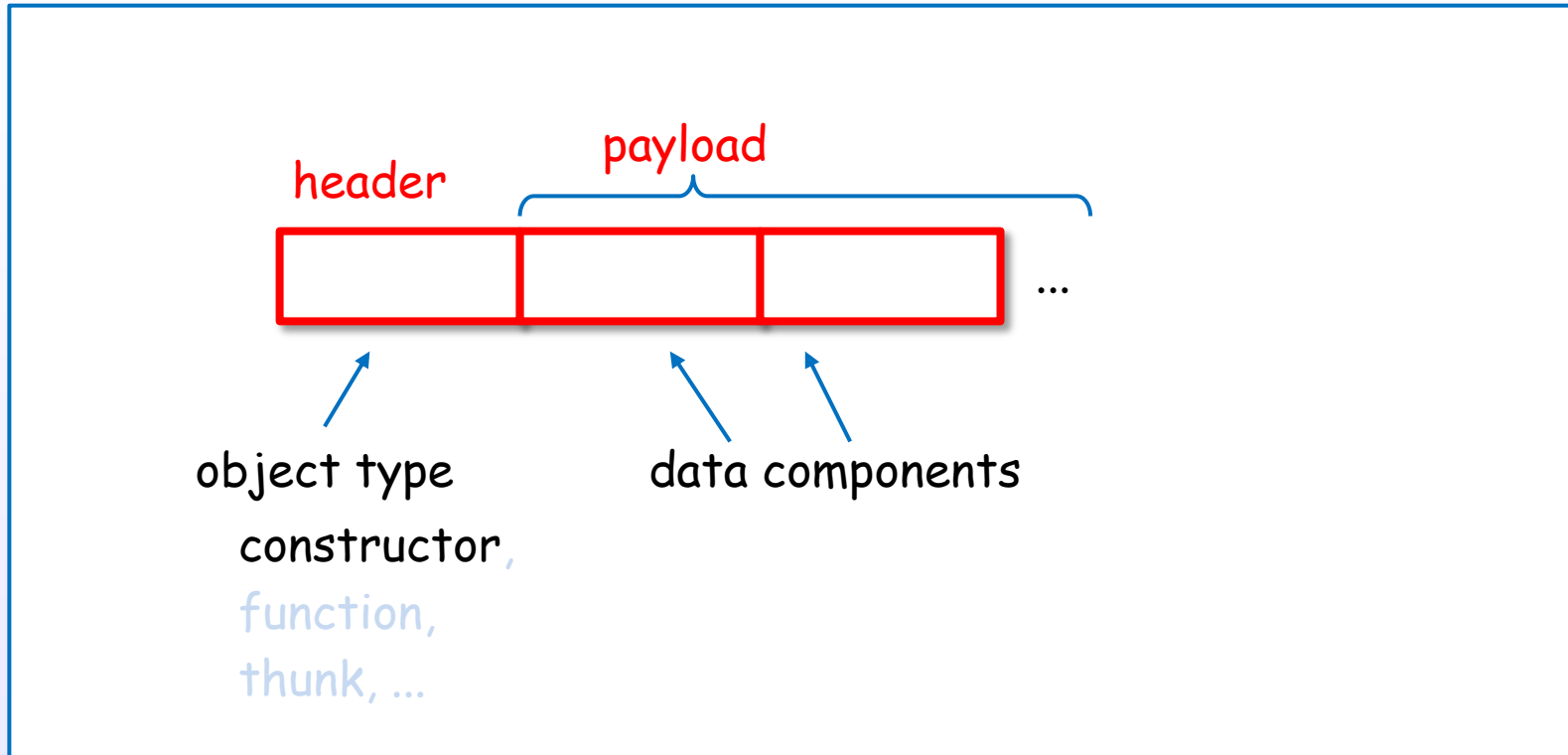
[slpj-book-1987] Ch.10

# Internal representation of Constructors for data values



# Constructors are represented uniformly

GHC's internal representation



in heap memory, stack, registers or static memory



# Representation of various constructors

Haskell code

```
data Bool = False
```

```
         | True
```

```
data Maybe a = Nothing
```

```
           | Just a
```

```
data Either a b = Left a
```

```
               | Right b
```

GHC's internal representation

False

True

Nothing

Just

a

Left

a

Right

b

# Primitive data types are also represented with constructor

Haskell code

```
data Int = I# 0#  
        | I# 1#  
        | :  
        | :
```

```
data Char = C# 'a'#  
          | C# 'b'#  
          | :  
          | :
```

GHC's internal representation

I#	0#
I#	1#
:	

C#	'a'#
C#	'b'#
:	

[Terei]

# List is also represented with constructor

List

```
[ 1, 2, 3 ]
```

syntactic desugar

```
1 : ( 2 : ( 3 : [] ) )
```

prefix notation by section

```
(:) 1 ( (:) 2 ( (:) 3 [] ) )
```

equivalent data constructor

```
Cons 1 ( Cons 2 ( Cons 3 Nil ) )
```

constructor

# List is also represented with constructor

List

```
[ 1, 2, 3 ]
```

syntactic desugar

```
1 : ( 2 : ( 3 : [] ) )
```

prefix notation by section

```
(:) 1 ( (:) 2 ( (:) 3 [] ) )
```

equivalent data constructor

```
Cons 1 ( Cons 2 ( Cons 3 Nil ) )
```

type declaration

*\* pseudo code*

```
data List a = []  
             | : a (List a)
```

```
data List a = Nil  
             | Cons a (List a)
```

# List is also represented with constructor

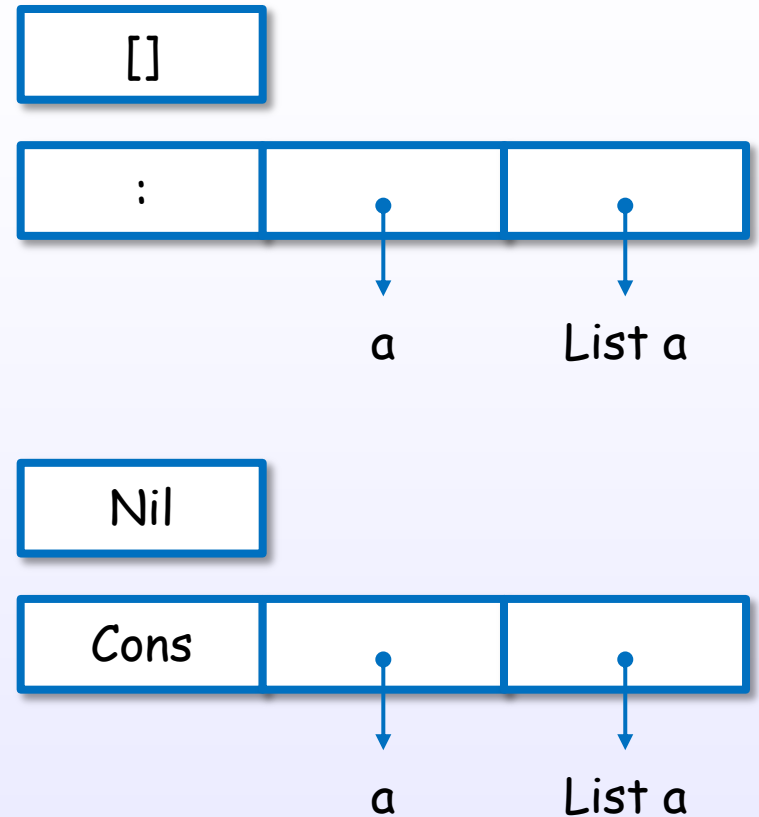
Haskell code

```
data List a = []  
           | : a (List a)
```

equivalent data constructor

```
data List a = Nil  
           | Cons a (List a)
```

GHC's internal representation



# List is also represented with constructor

Haskell code

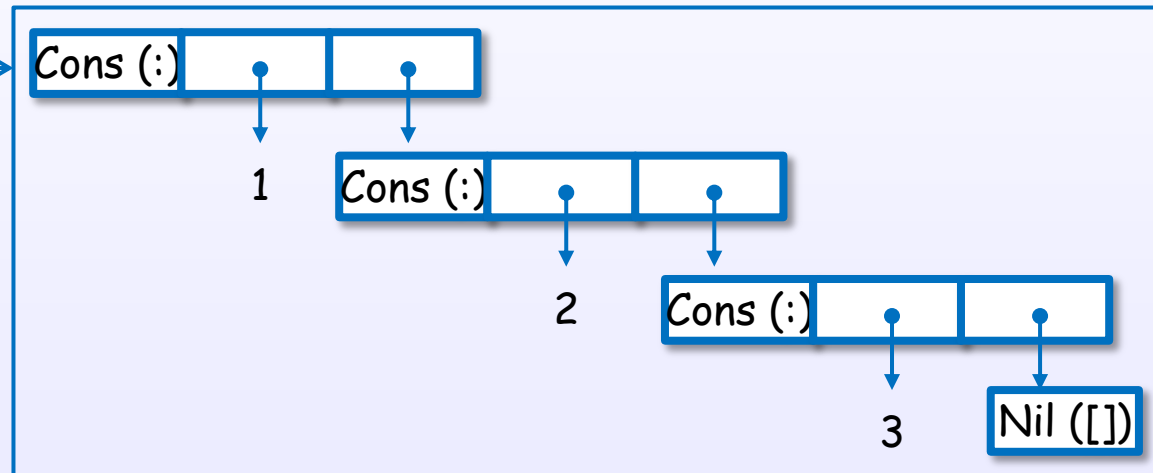
```
[ 1, 2, 3 ]
```

```
1 : ( 2 : ( 3 : [] ) )
```

```
(:) 1 ( (:) 2 ( (:) 3 [] ) )
```

```
Cons 1 ( Cons 2 ( Cons 3 Nil ) )
```

GHC's internal representation



# Tuple is also represented with constructor

Tuple (Pair)

( 7 , 8 )

prefix notation by section

(,) 7 8

equivalent data constructor

Pair 7 8

constructor

type declaration

*\*pseudo code*

data Pair a = (,) a a

data Pair a = Pair a a

# Tuple is also represented with constructor

Haskell code

```
(7, 8)
```

```
(,) 7 8
```

```
Pair 7 8
```

GHC's internal representation

```
Pair (,)
```

7

8



### 3. Internal representation of expressions

Thunk

# A value or an unevaluated expression

## Expressions

unevaluated expressions

unevaluated expressions

values

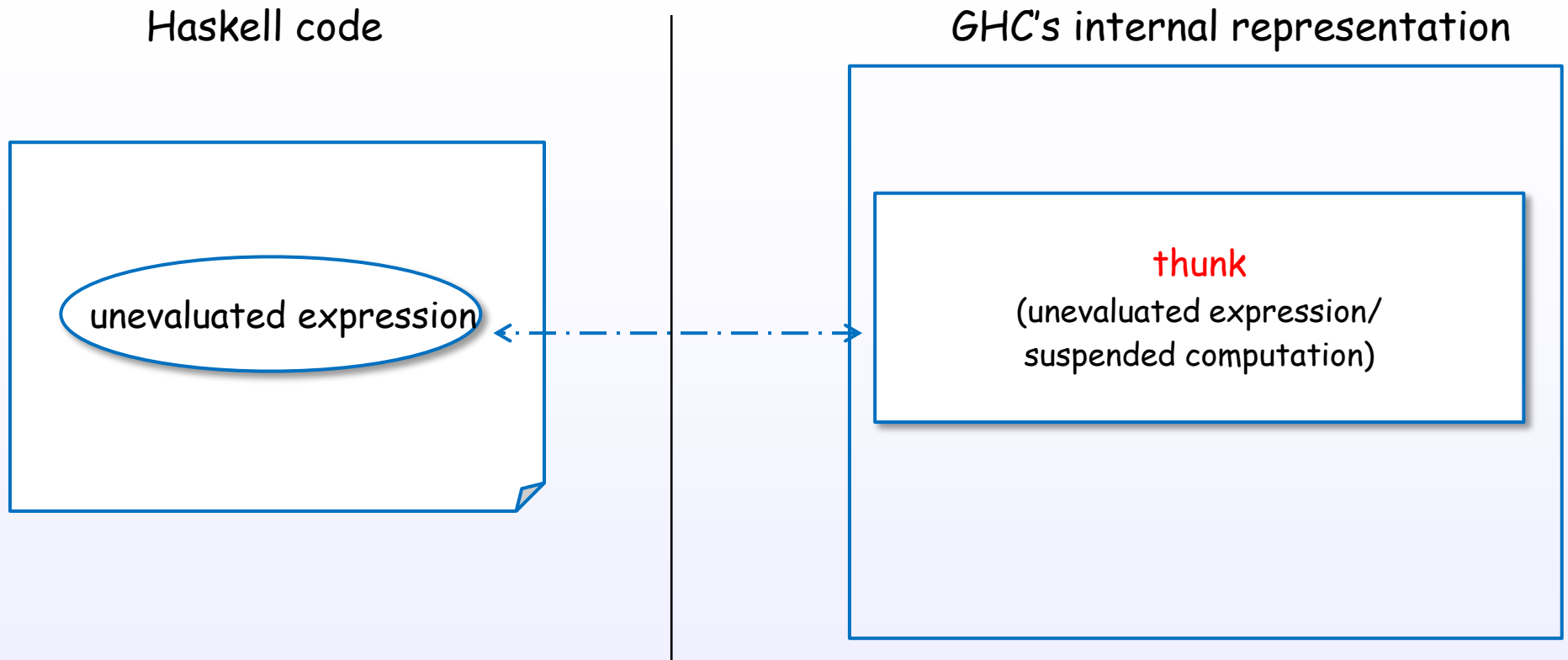
data values

function values

値か否か。値は2種。

[STG]

# Thunk



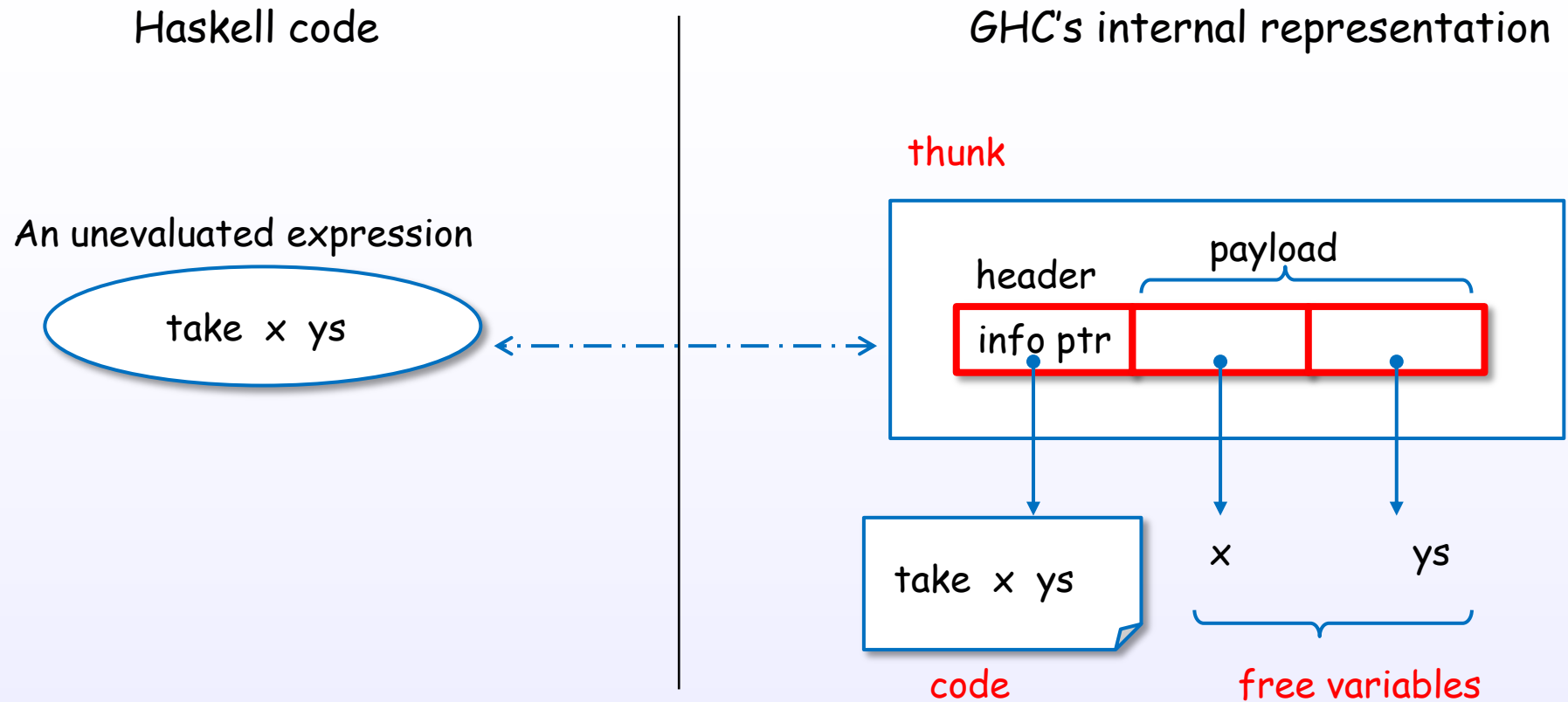
A thunk is an **unevaluated** expression in heap memory.  
A thunk is built to **postpone** the evaluation.

[parconc, Ch.2]

[hack.hands]

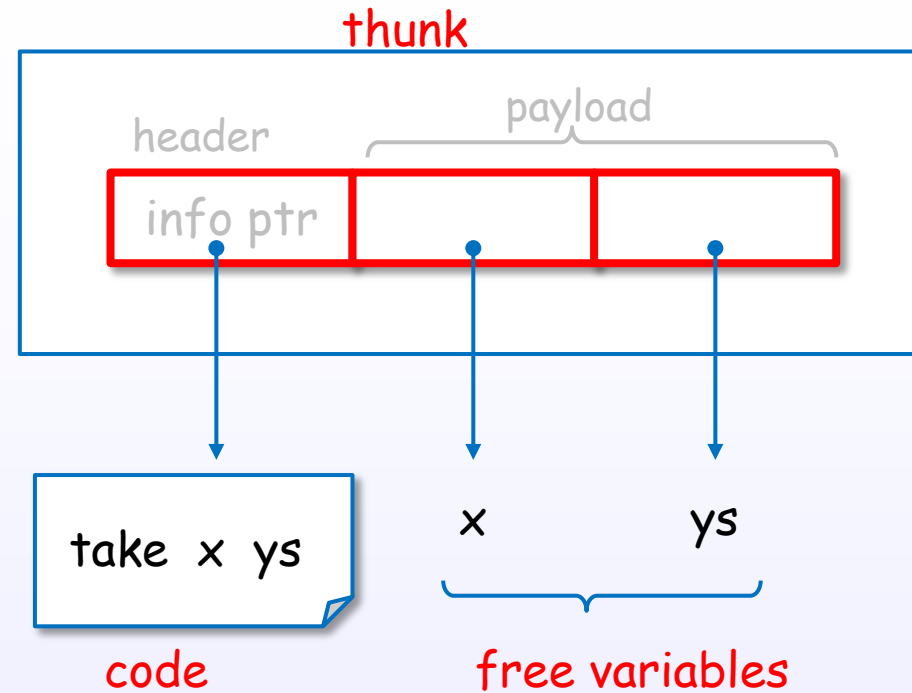
[Haskell/Laziness]

# Internal representation of thunk



A thunk is represented with header(code) + payload(free variables).

# A thunk is a package of code and free variables



A thunk is a package of code + free variables.

[CIS194]

# A thunk is evaluated by forcing request

Haskell code

An unevaluated expression

take x ys



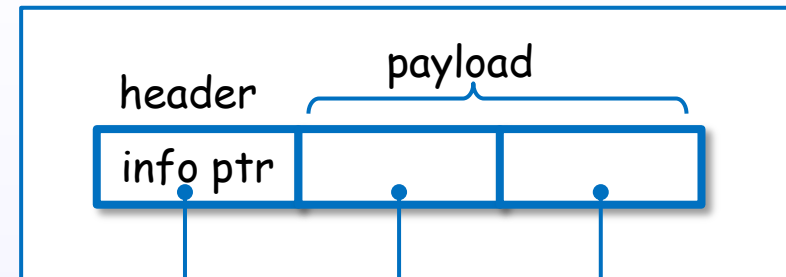
forcing

[ 3 ]

An evaluated expression

GHC's internal representation

thunk



take x ys

code

free variables



forcing



3

Nil ([ ])

### 3. Internal representation of expressions

Uniform representation

# A value or an unevaluated expression

## Expressions

unevaluated expressions

unevaluated expressions

values

data values

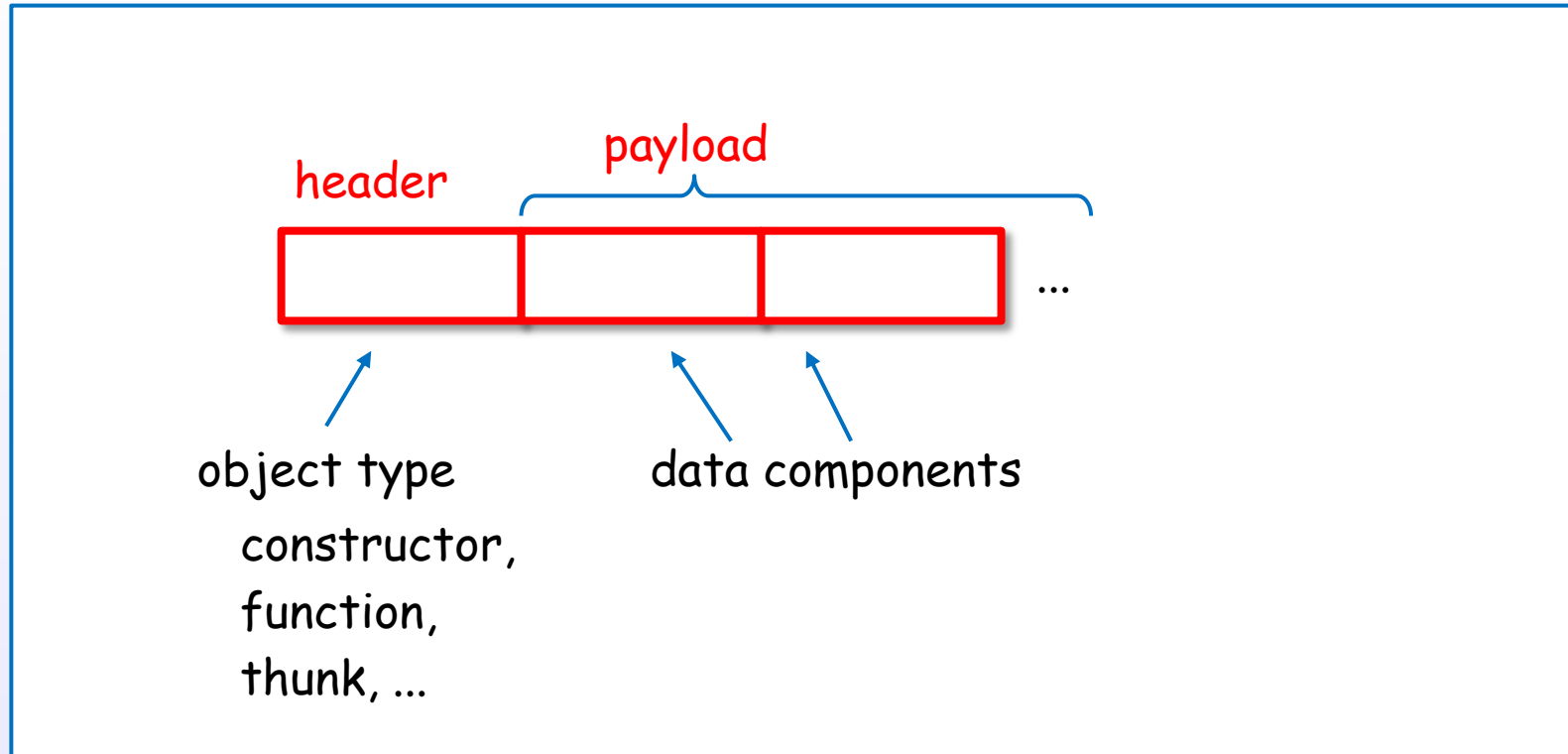
function values

値か否か。値は2種。

[STG]



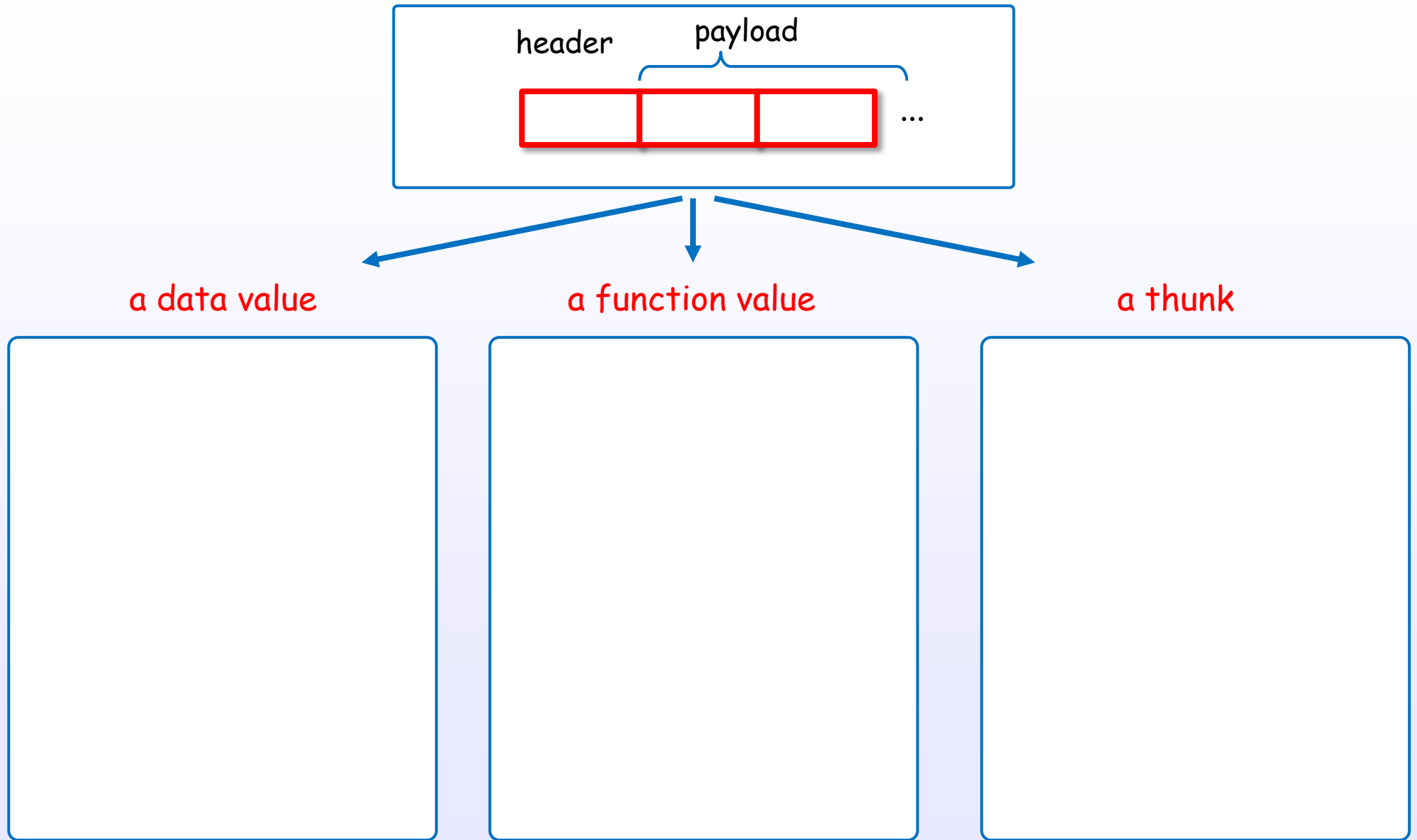
# Every object is represented uniformly in memory



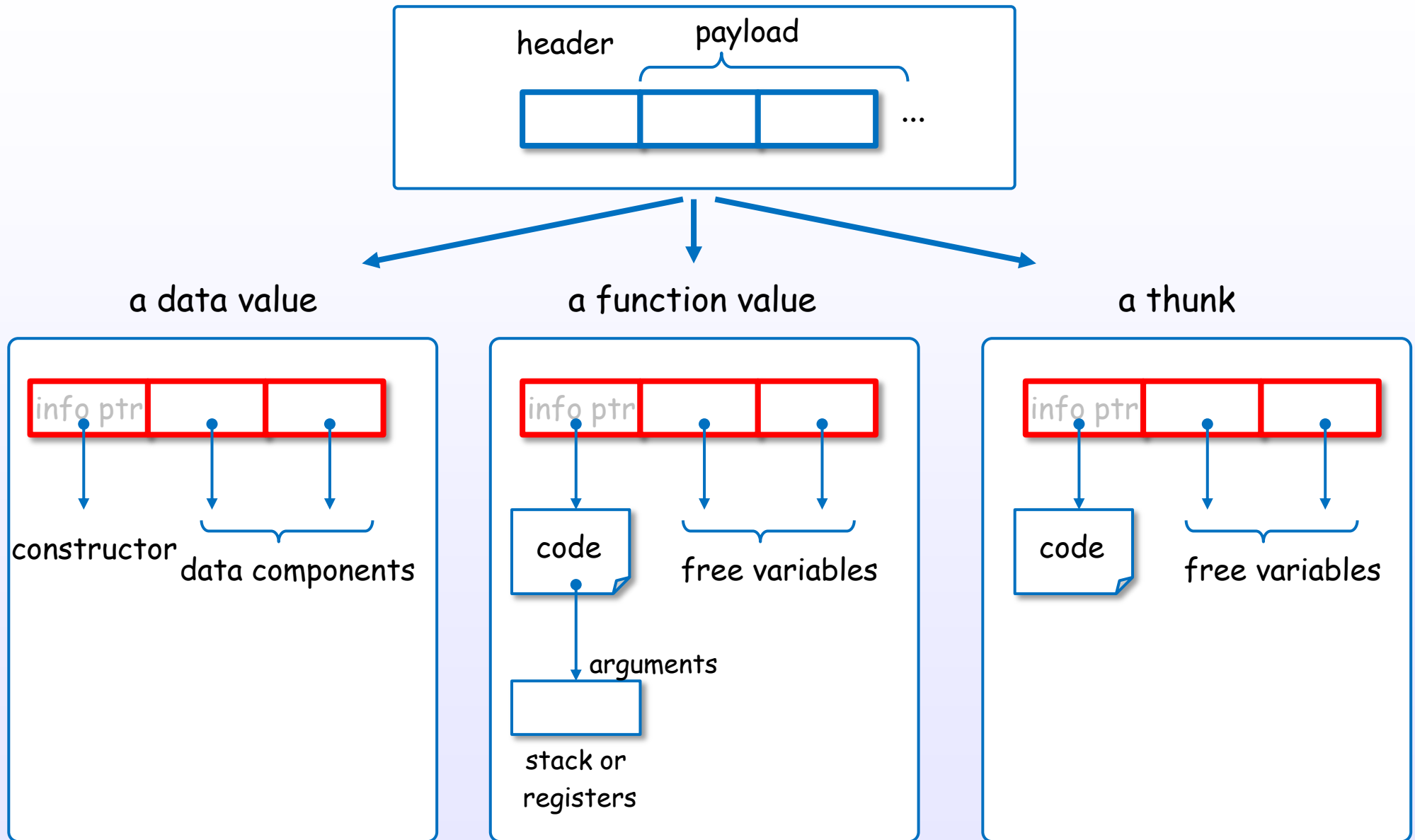
in heap memory, stack, registers or static memory

[STG]

# Every object is represented uniformly



# Every object is represented uniformly



いずれも、広義の、“closure” (= code + environment(free variables))

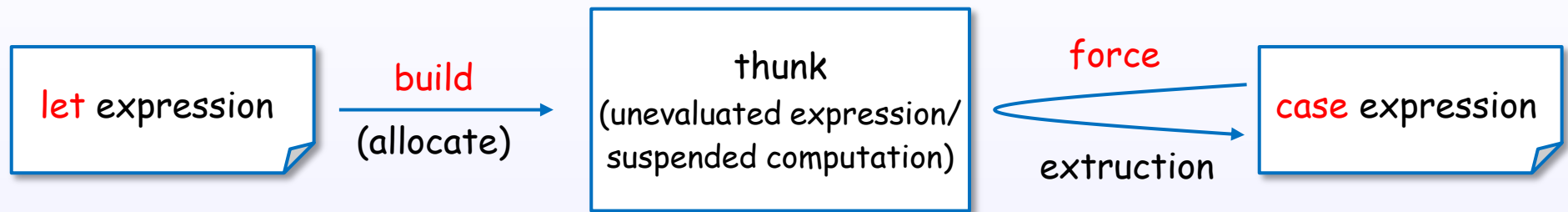
### 3. Internal representation of expressions

let, case expression

# let, case expression

let and case expressions are special role for evaluation

# let/case expressions and thunk



A let expression may build a thunk.

A case expression forces and deconstructs the thunk.

# A let expression builds a thunk

let expression

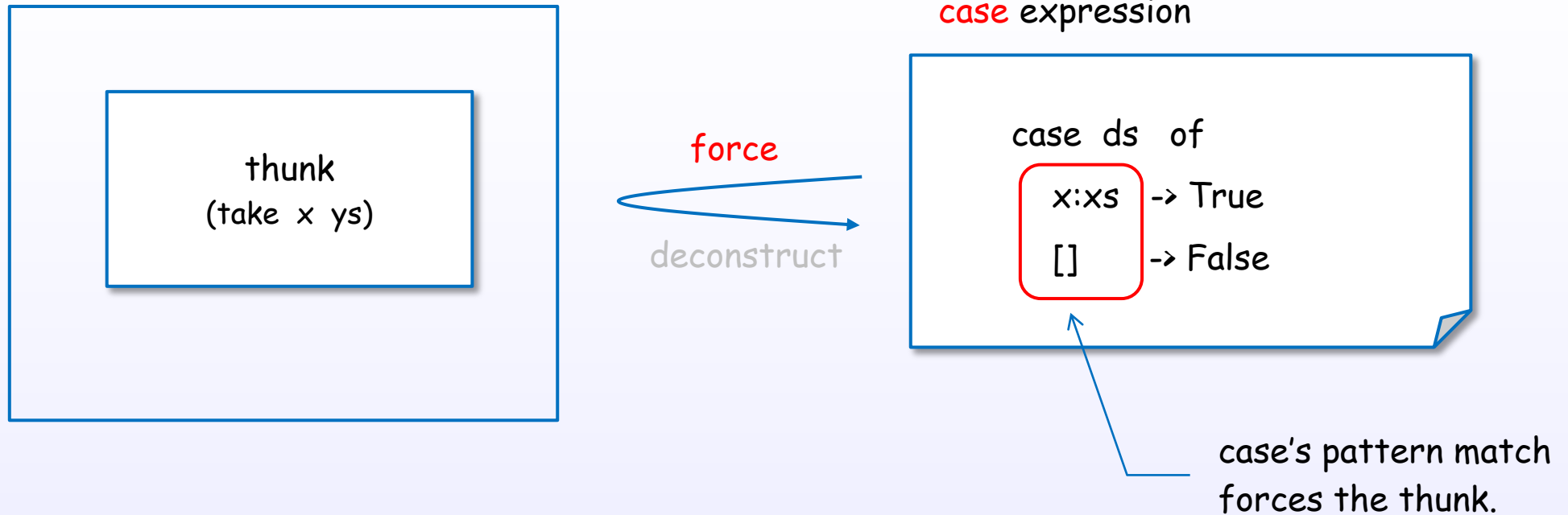
let ds = take x ys

build  
(allocate)

thunk  
(take x ys)

heap memory

# A case expression forces a thunk



[Terei]

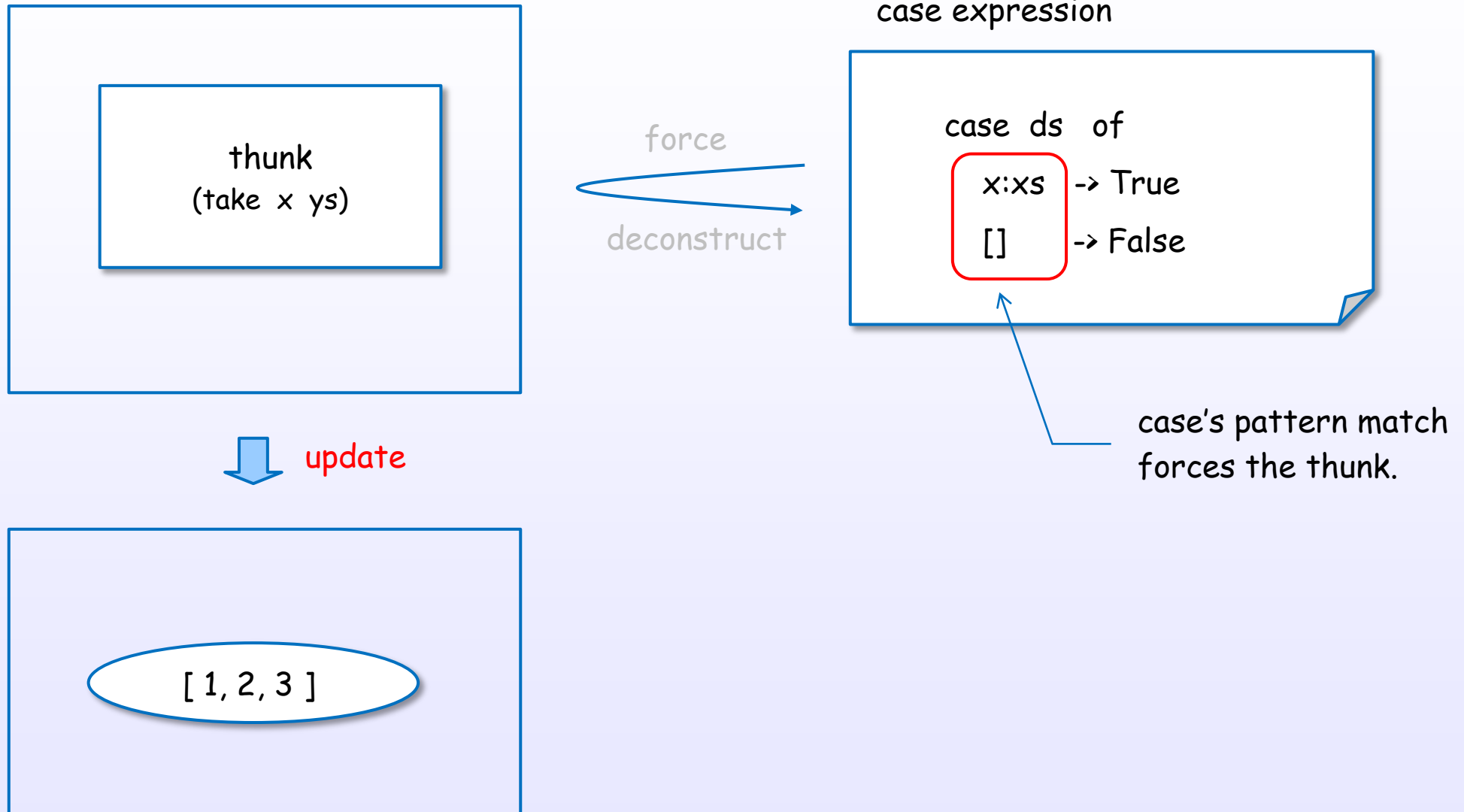
[CIS194]

[STG]

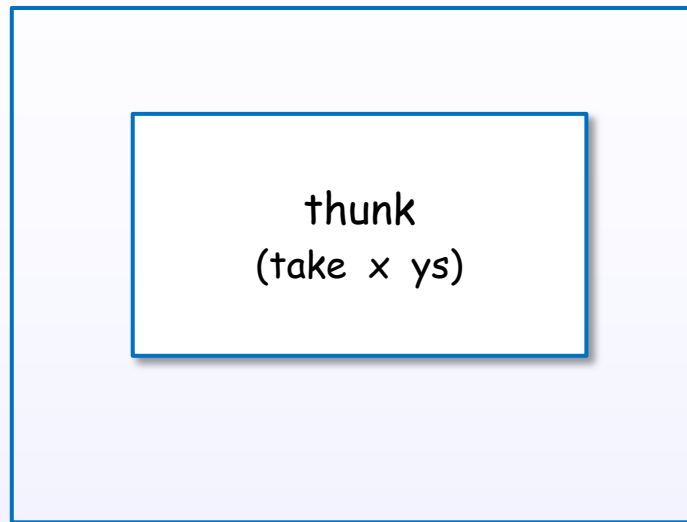
References : [1]



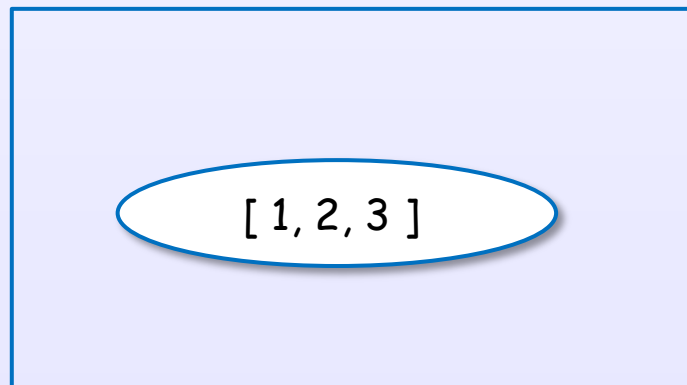
# A case expression forces a thunk



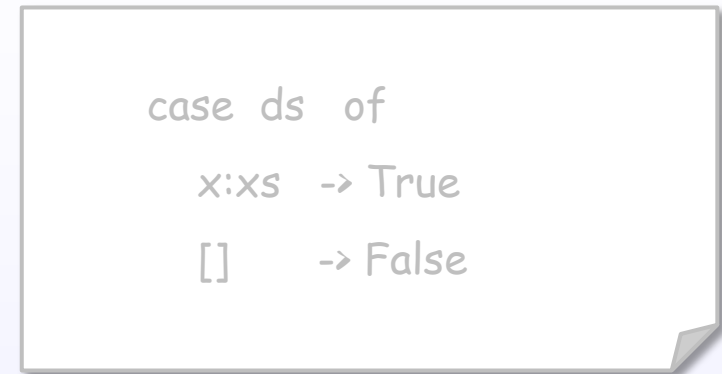
# A case expression forces a thunk



↓ update

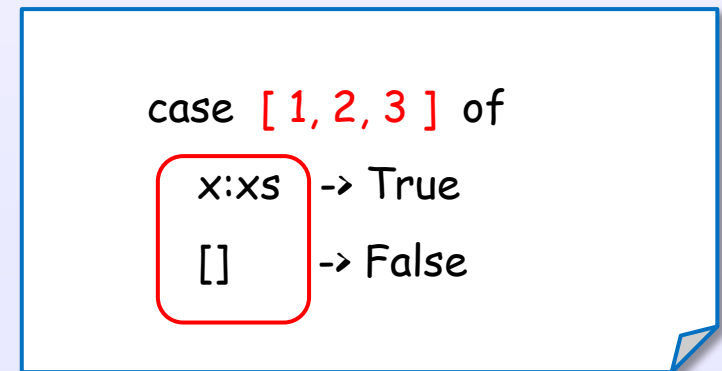


case expression



force  
→  
deconstruct

case expression



force  
→  
deconstruct

# Forcing and update

Haskell code

```
let x = expn
```

Expression graph



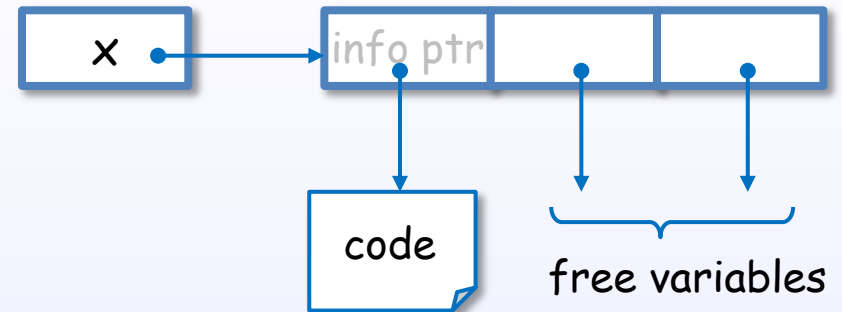
required



update



GHC internal representation



a value



when a variable is bound

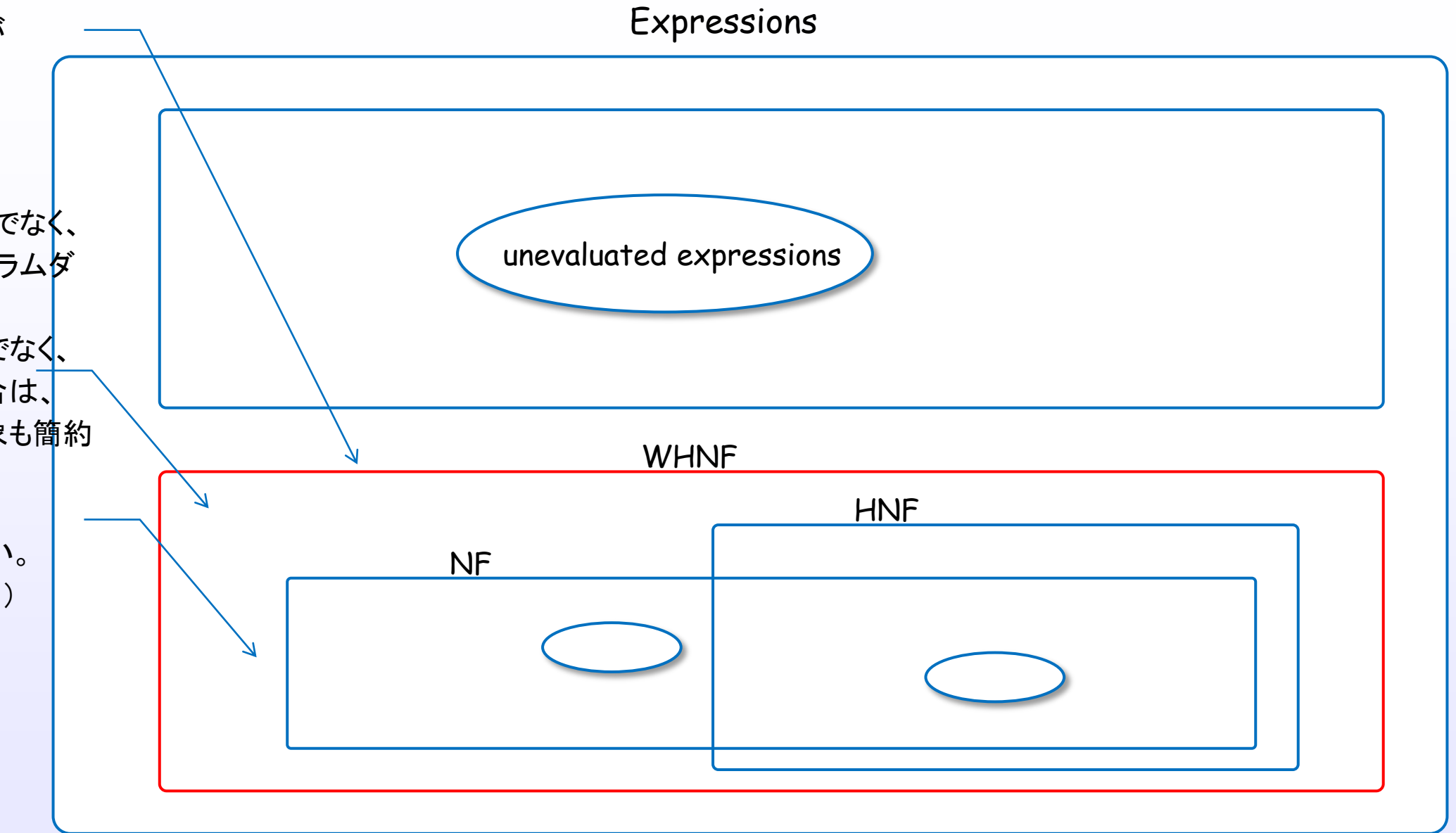
it is generally bound to an unevaluated closure allocated in the heap

このイメージを伝える

### 3. Internal representation of expressions

WHNF

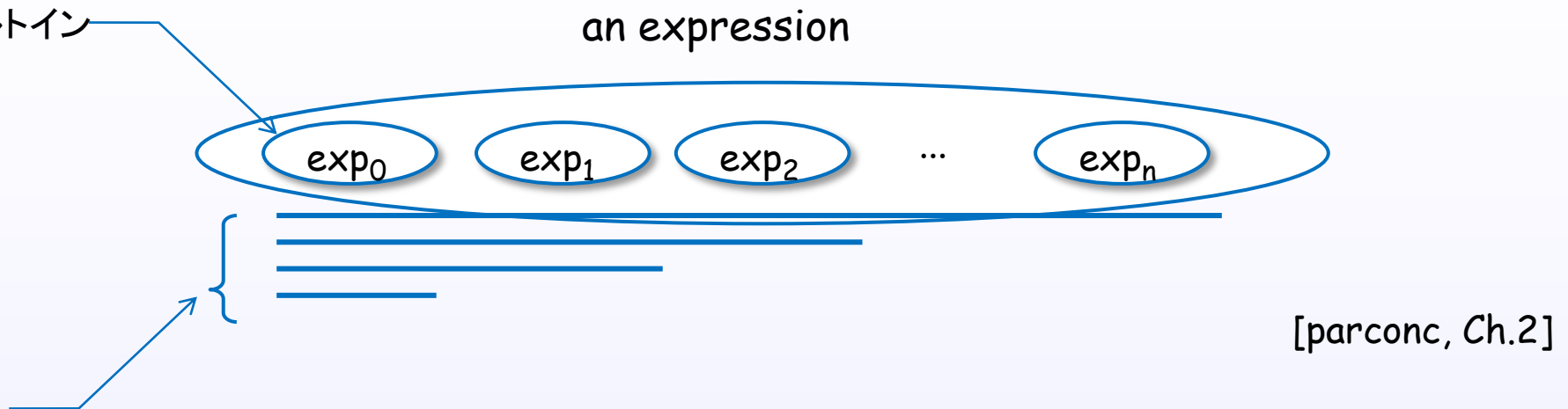
# A value has various form level (evaluation level)



値には、評価レベルがある。

[STG]

データ抽象、ビルトイン



more

An expression has no top level redex, if it is in WHNF.

[slpj-book-1987]

These are in weak head normal form,  
but not in normal form, since they contain inner redex. (p.198)

[stephen]

[hack.hands]

[Terei]

[Bird, Chapter 2, 7]

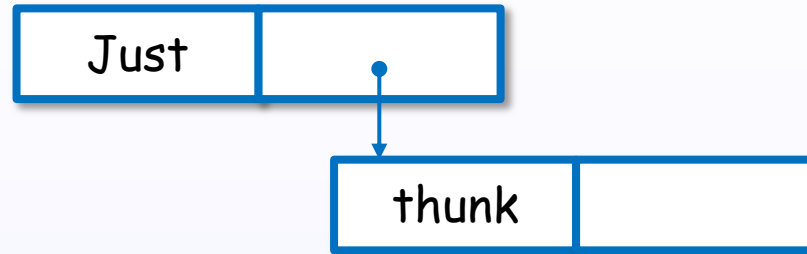
[TAPL, Chapter 3]

[Terei]

References : [1]

# Internal representation of WHNF

Just (thunk)



Just 7



constructor can contain unevaluated expression

Lazy constructor

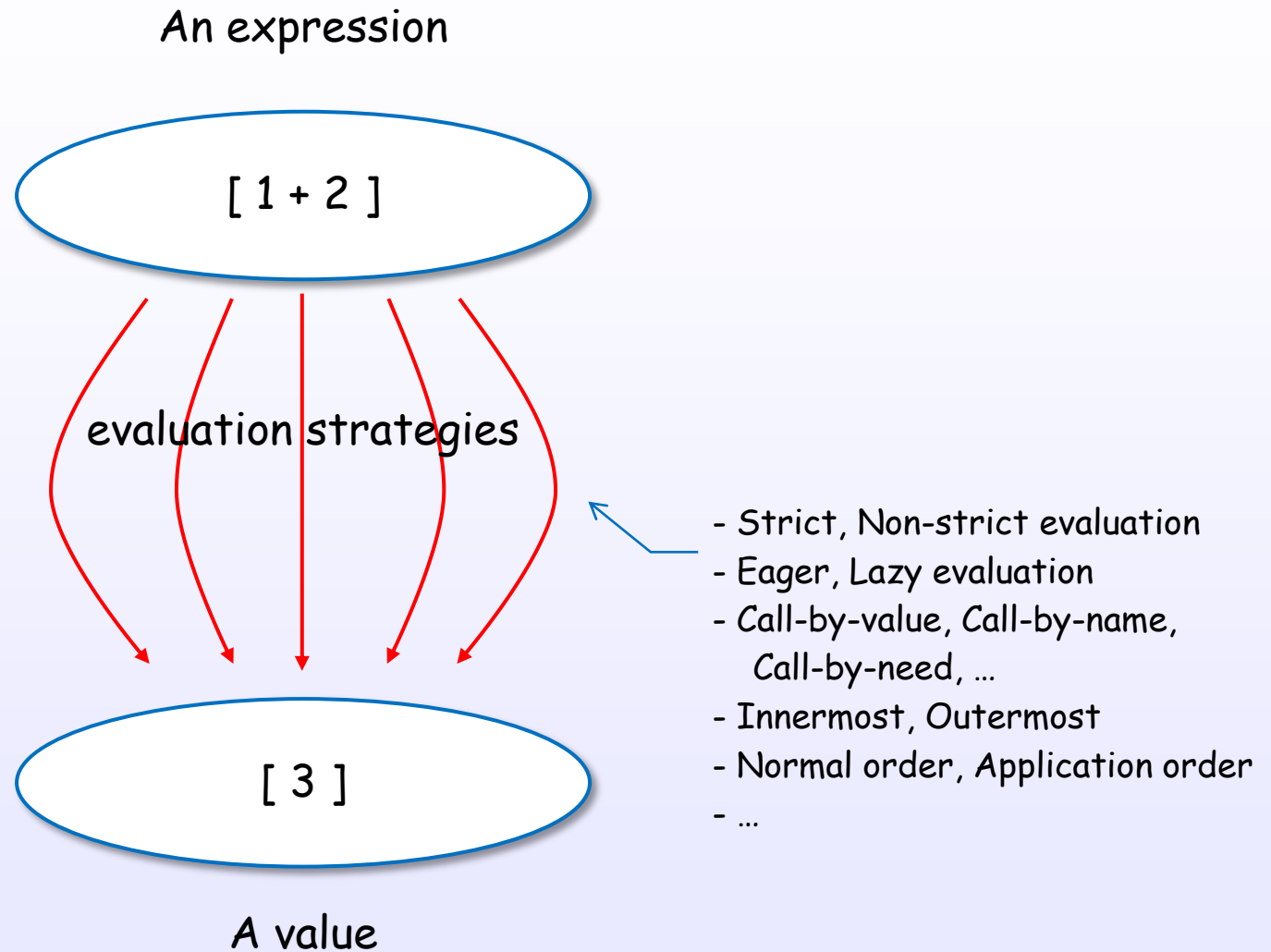
## 4. Evaluation



## 4. Evaluation

Evaluation strategies

# There are many evaluation approaches



[Bird, Chapter 2, 7]

[TAPL, Chapter 3]

# Evaluation concept layer

Denotational semantics

Operational semantics  
(**Evaluation strategies** / Reduction strategies)

Implementation techniques

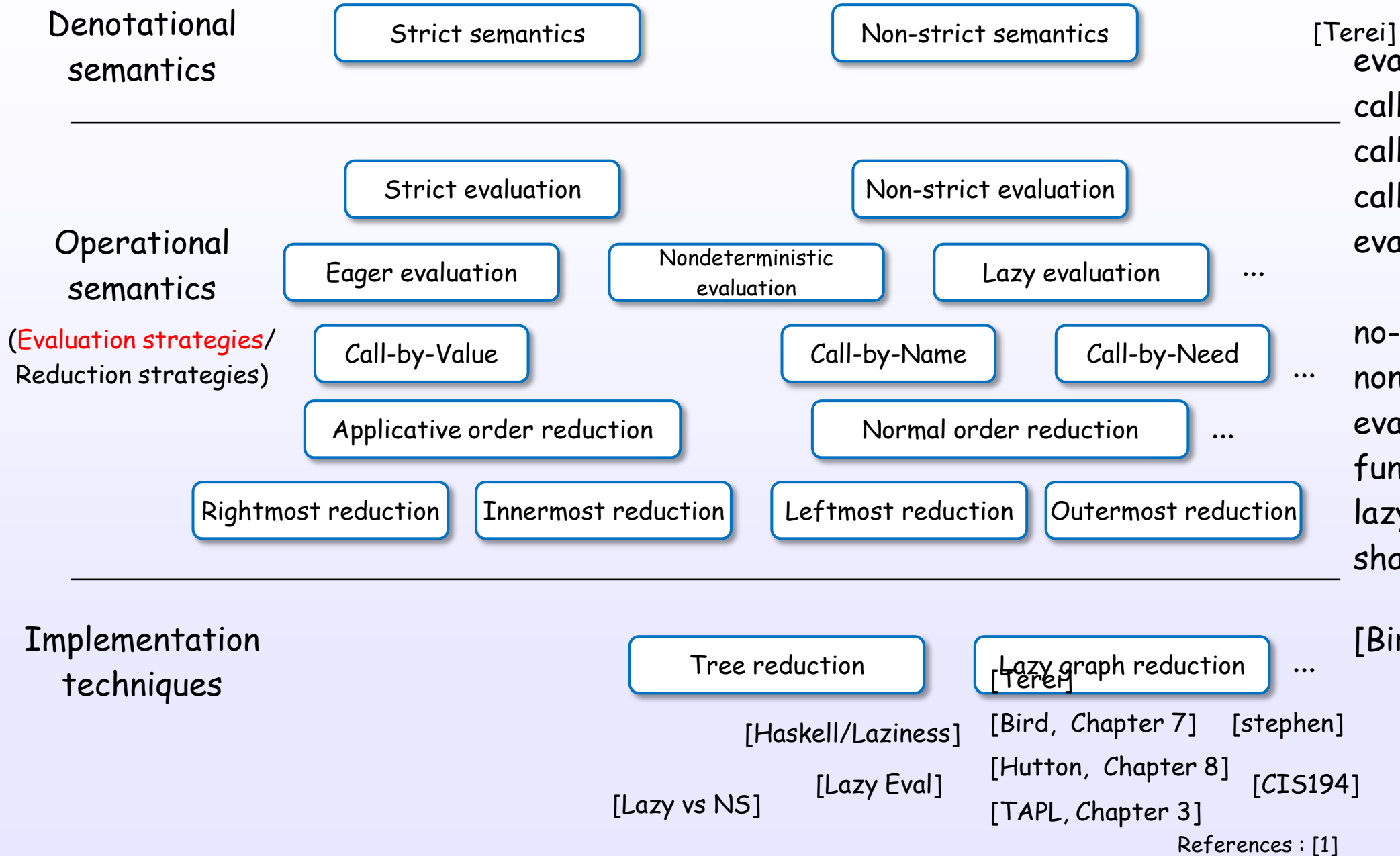
[Bird, Chapter 7]

[Hutton, Chapter 8]

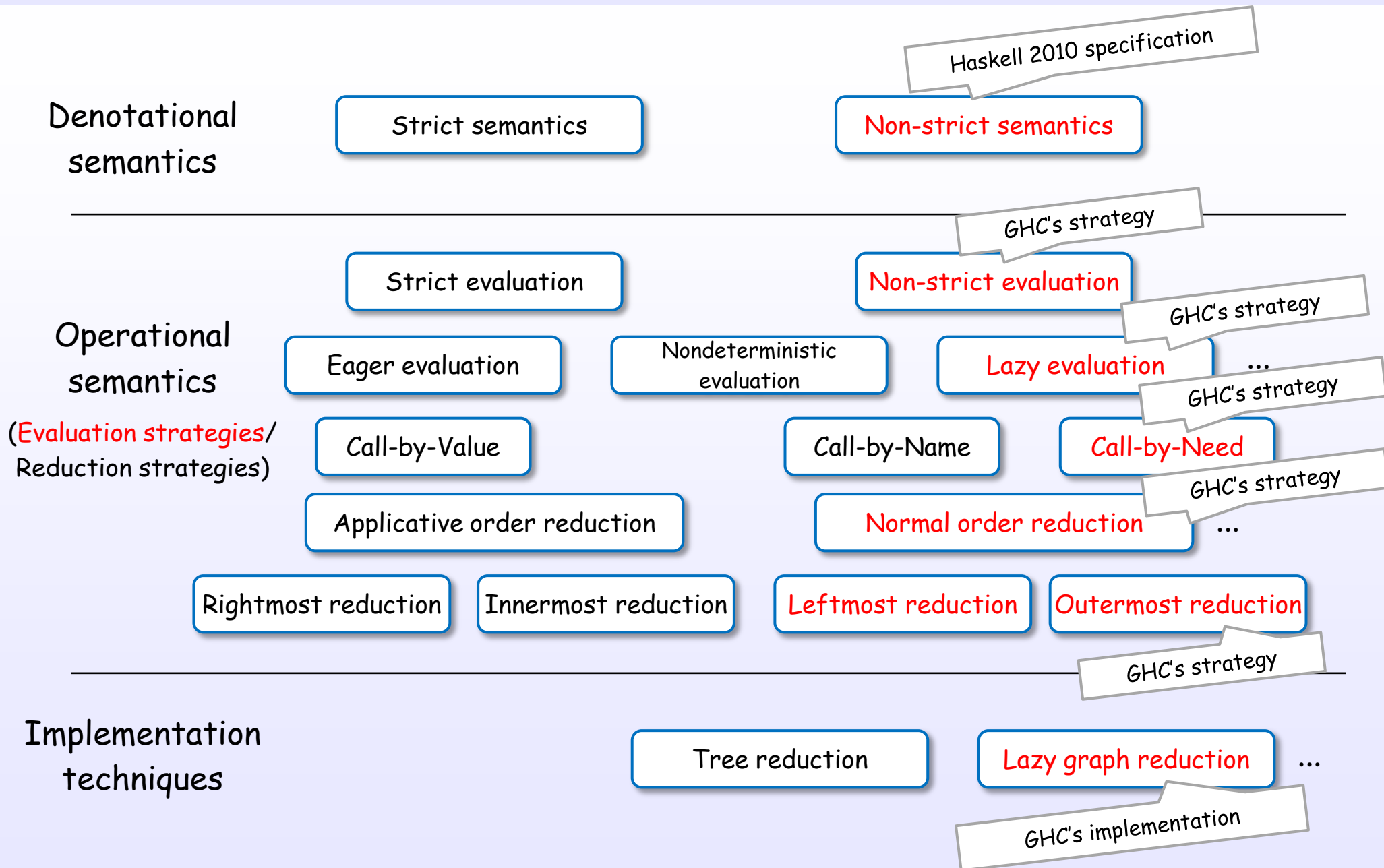
[TAPL, Chapter 3]

References : [1]

# Evaluation layer for GHC's Haskell



# Evaluation layer for GHC's Haskell



# Evaluation strategies and order

$a(b\ c) + d(e\ (f\ g))$

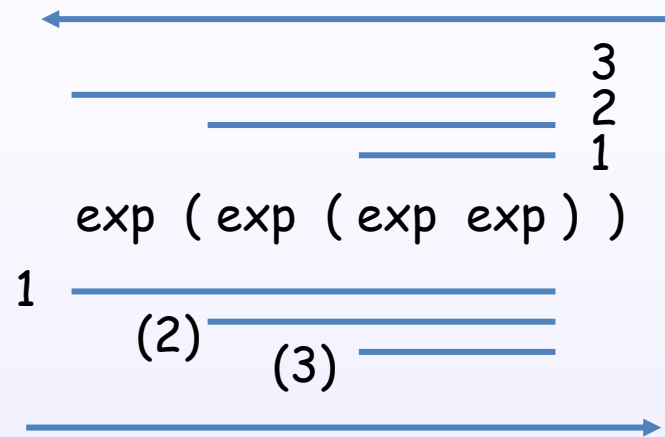
order

[Bird]  
[Hutton]

References : [1]

# Evaluation strategies and order

eager evaluation, call-by-value, innermost reduction, applicative order reduction

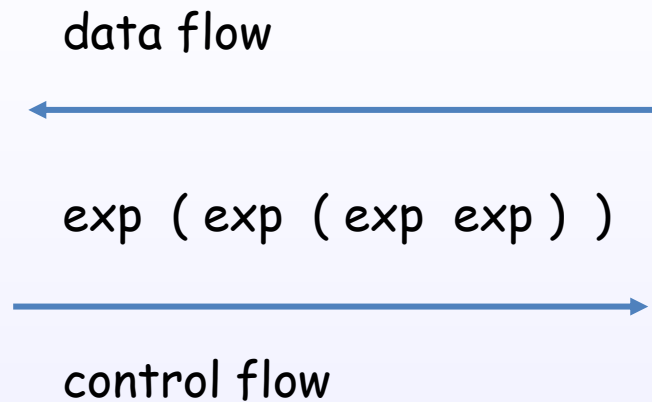


lazy evaluation, call-by-name, call-by-need, outermost reduction, normal order reduction

[Bird]  
[Hutton]

References : [1]

# Evaluation strategies and order



lazy evaluation, call-by-name, call-by-need, outermost reduction, normal order reduction



# Simple example of typical evaluations

## Eager evaluation (Strict evaluation)

default  
C, Java, JavaScript,  
Python, OCaml, Scheme, ...

square ( 1 + 2 )



argument  
evaluation  
first

square ( 3 )



3 \* 3



9

## Lazy evaluation (Non-strict evaluation)

default  
Haskell (GHC), ...

square ( 1 + 2 )



apply  
first

( 1 + 2 ) \* ( 1 + 2 )



( 3 ) \* ( 3 )



9

[Bird]  
[Hutton]

# Simple example of typical evaluations

Eager evaluation  
(Strict evaluation)

square ( 1 + 2 )



square ( 3 )



3 \* 3



9

argument  
evaluated

Lazy evaluation  
(Non-strict evaluation)

square ( 1 + 2 )



( 1 + 2 ) \* ( 1 + 2 )



( 3 ) \* ( 3 )



9

argument  
evaluation  
**delayed !**

[Bird]  
[Hutton]

## 4. Evaluation

Evaluation in Haskell (GHC)

# Haskell(GHC) 's lazy evaluation

ingredient of Haskell's "lazy evaluation"

evaluate only if needed

normal order reduction  
(= leftmost + outermost  
reduction)

+

evaluate only nesenary  
part

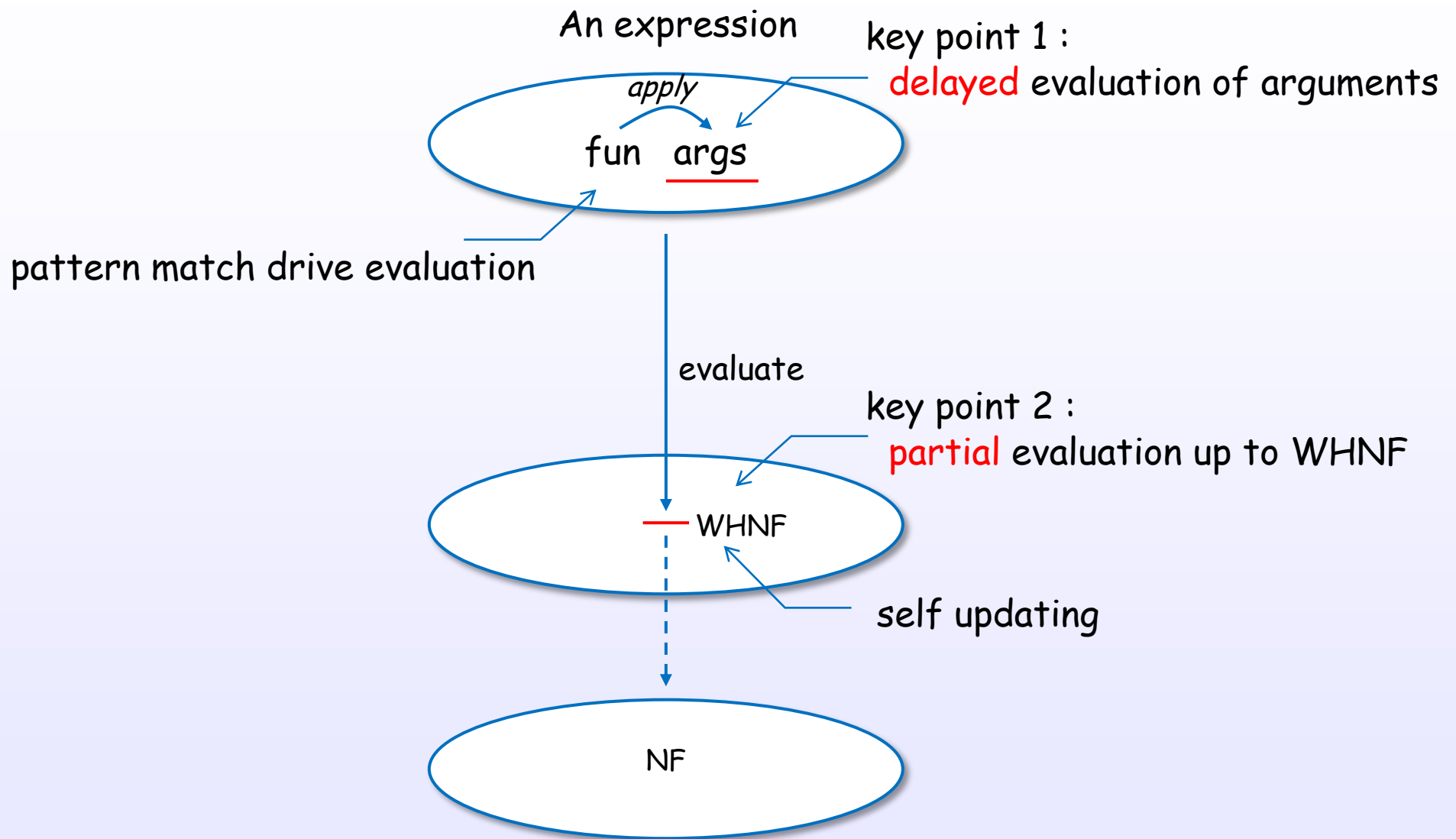
stopping at  
WHNF  
lazy constructor

+

evaluate only once

substitute pointers  
self-updating model  
model

# Key concept of Haskell's lazy evaluation



# 1. Example of GHC's evaluation

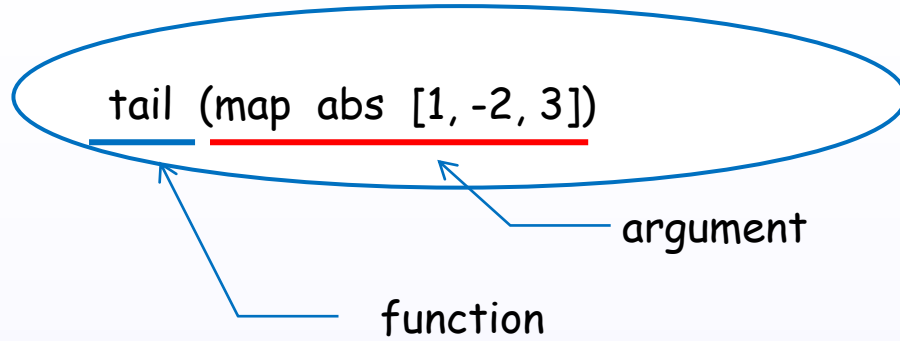


`tail (map abs [1, -2, 3])`

Are you ready for evaluation?

It's time to magic!

## 2. How to postpone the evaluation of arguments?



### 3. GHC transforms internaly the expression

tail (map abs [1, -2, 3])

syntactic desugar

let thunk0 = map abs [1, -2, 3]  
in tail thunk0



## 4. a let expression builds a thunk

tail (map abs [1, -2, 3])

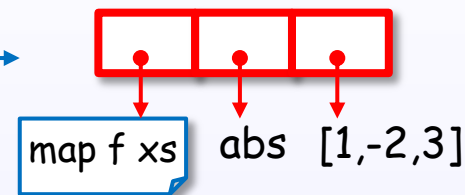
syntactic desugar

let **thunk0 = map abs [1, -2, 3]**  
in tail thunk0

build

heap memory

thunk



## 5. function apply to argument

tail (map abs [1, -2, 3])

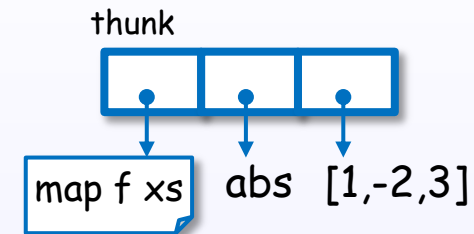
syntactic desugar

let thunk0 = map abs [1, -2, 3]

in tail thunk0

*apply*

heap memory



## 6. tail is defined here

`tail (map abs [1, -2, 3])`

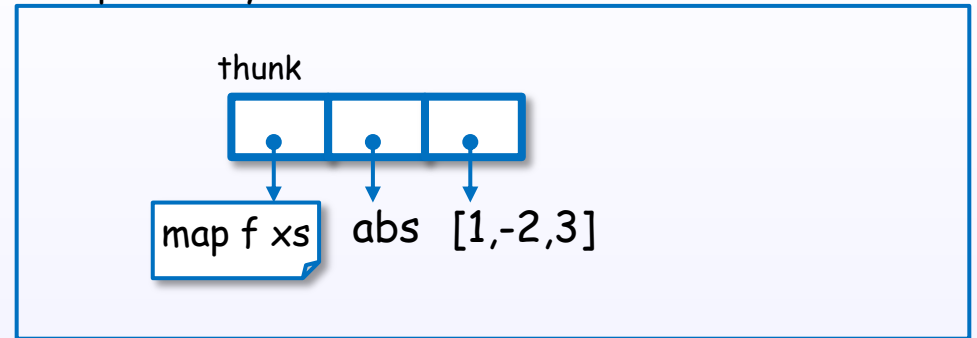
syntactic desugar

`let thunk0 = map abs [1, -2, 3]`

`in tail thunk0`

`tail (_:xs) = xs`

heap memory



## 7. function is syntactic sugar

`tail (map abs [1, -2, 3])`

syntactic desugar

`let thunk0 = map abs [1, -2, 3]`

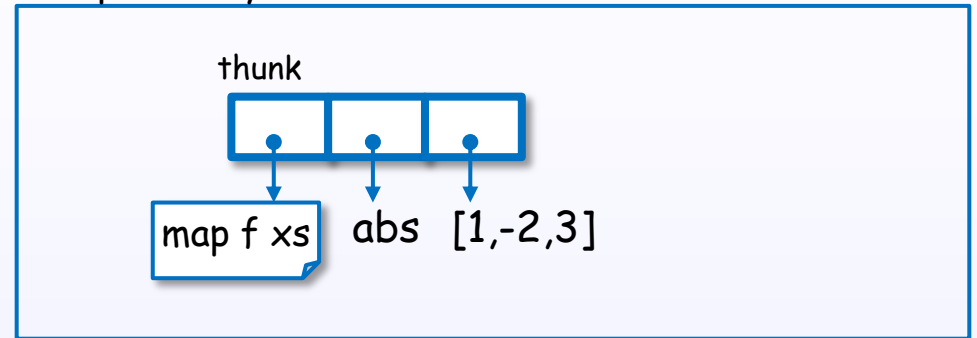
`in tail thunk0`

`tail (_:xs) = xs`

syntactic  
desugar

`tail y = case y of  
 (_:xs) -> xs`

heap memory



## 8. substitute function body (beta reduction)

tail (map abs [1, -2, 3])

syntactic desugar

let thunk0 = map abs [1, -2, 3]  
in tail thunk0

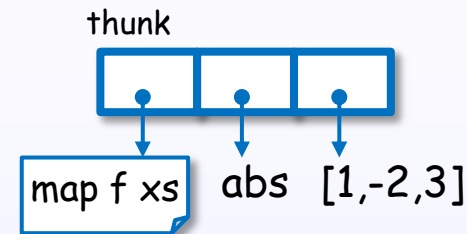
tail ( \_:xs ) = xs

tail y = case y of  
  ( \_:xs ) -> xs

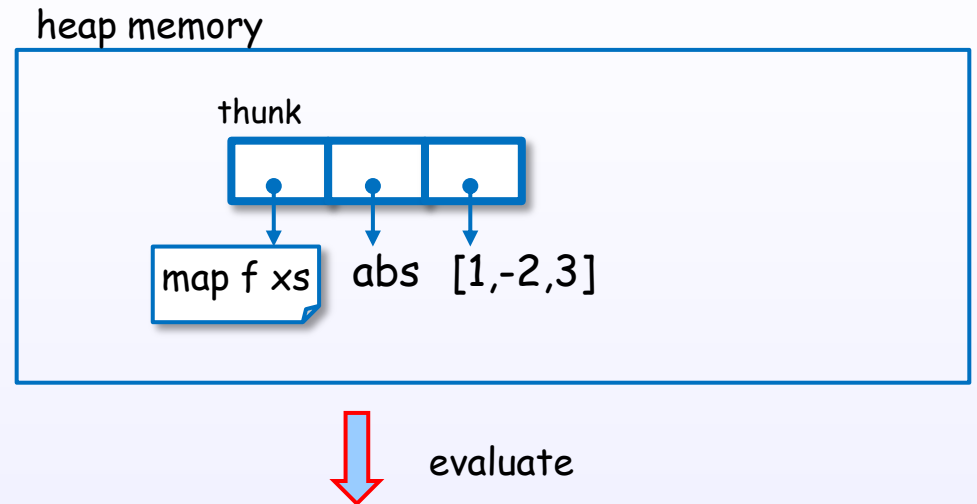
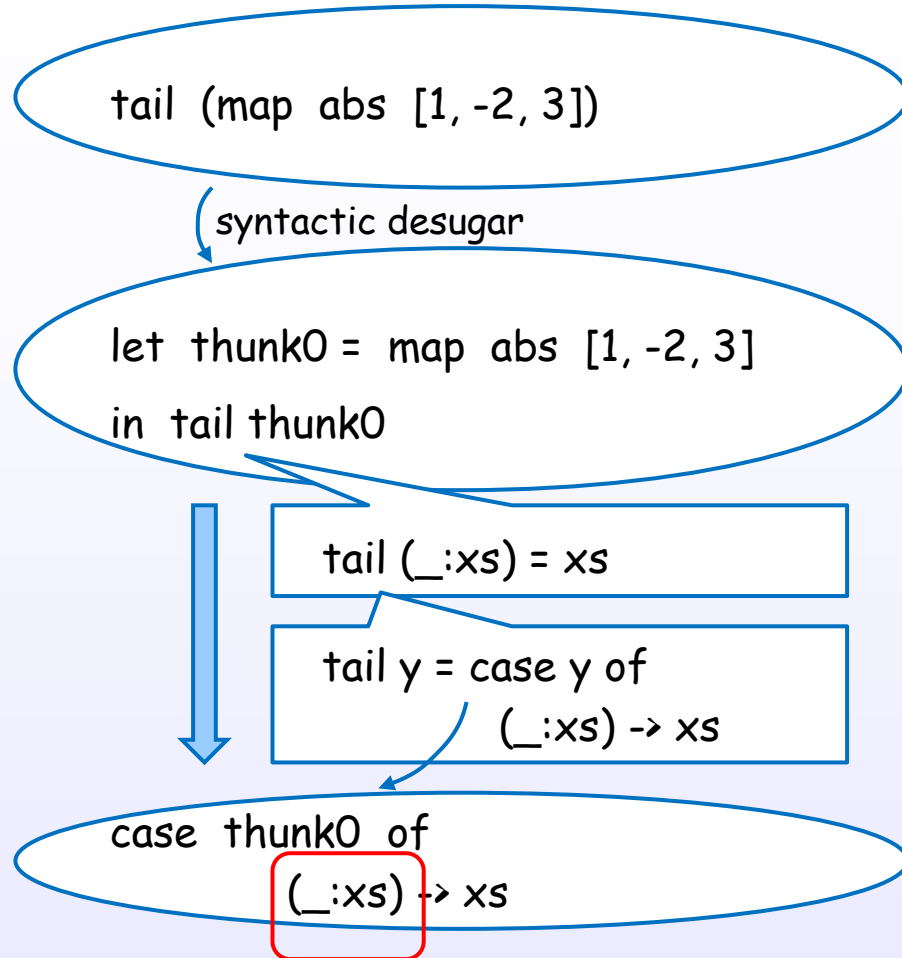
reduction

case thunk0 of  
  ( \_:xs ) -> xs

heap memory



## 9. case pattern match drive evaluation



## 10. but, stop at WHNF

tail (map abs [1, -2, 3])

(syntactic desugar

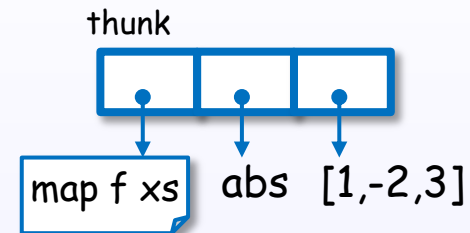
let thunk0 = map abs [1, -2, 3]  
in tail thunk0

tail (\_:xs) = xs

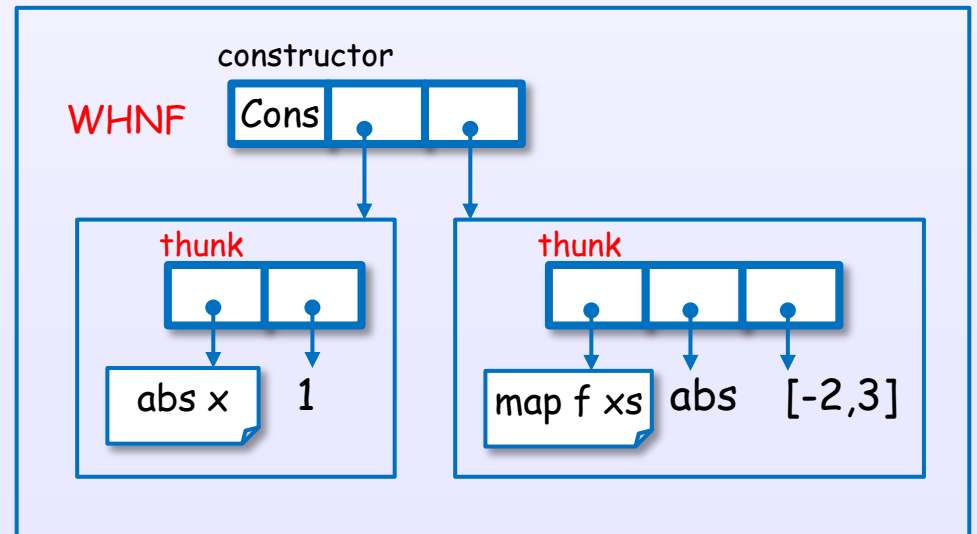
tail y = case y of  
(\_:xs) -> xs

case thunk0 of  
(\_:xs) -> xs

heap memory



evaluate



# 11. bind variables to result

tail (map abs [1, -2, 3])

syntactic desugar

let thunk0 = map abs [1, -2, 3]  
in tail thunk0

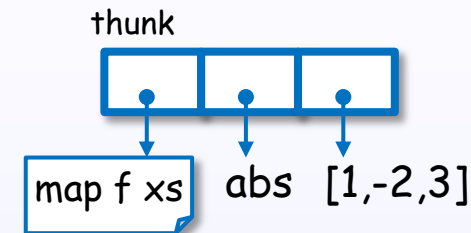
tail (\_:xs) = xs

tail y = case y of  
(\_:xs) -> xs

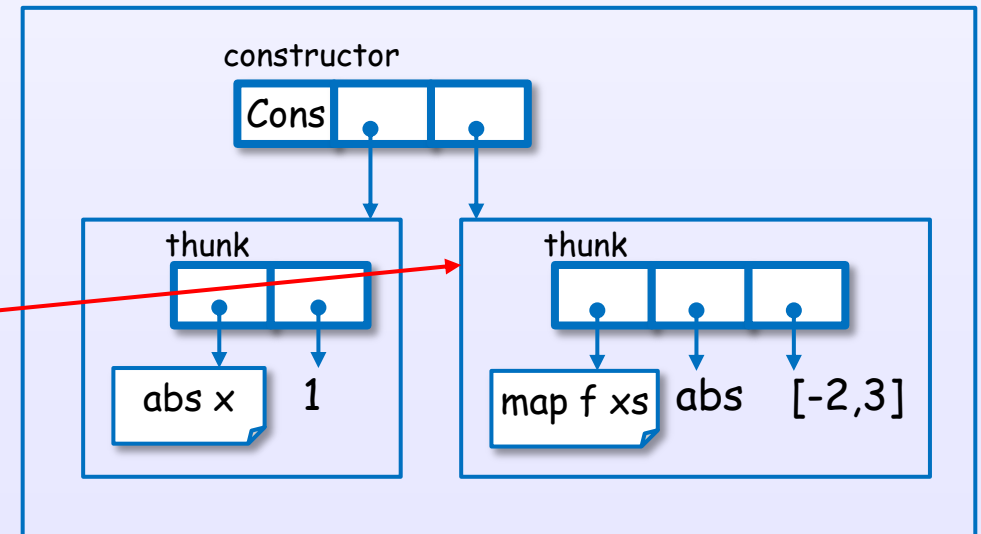
case thunk0 of  
(\_:xs) -> xs

case (abs 1) : (map abs [-2, 3]) of  
(\_:xs) -> xs

heap memory

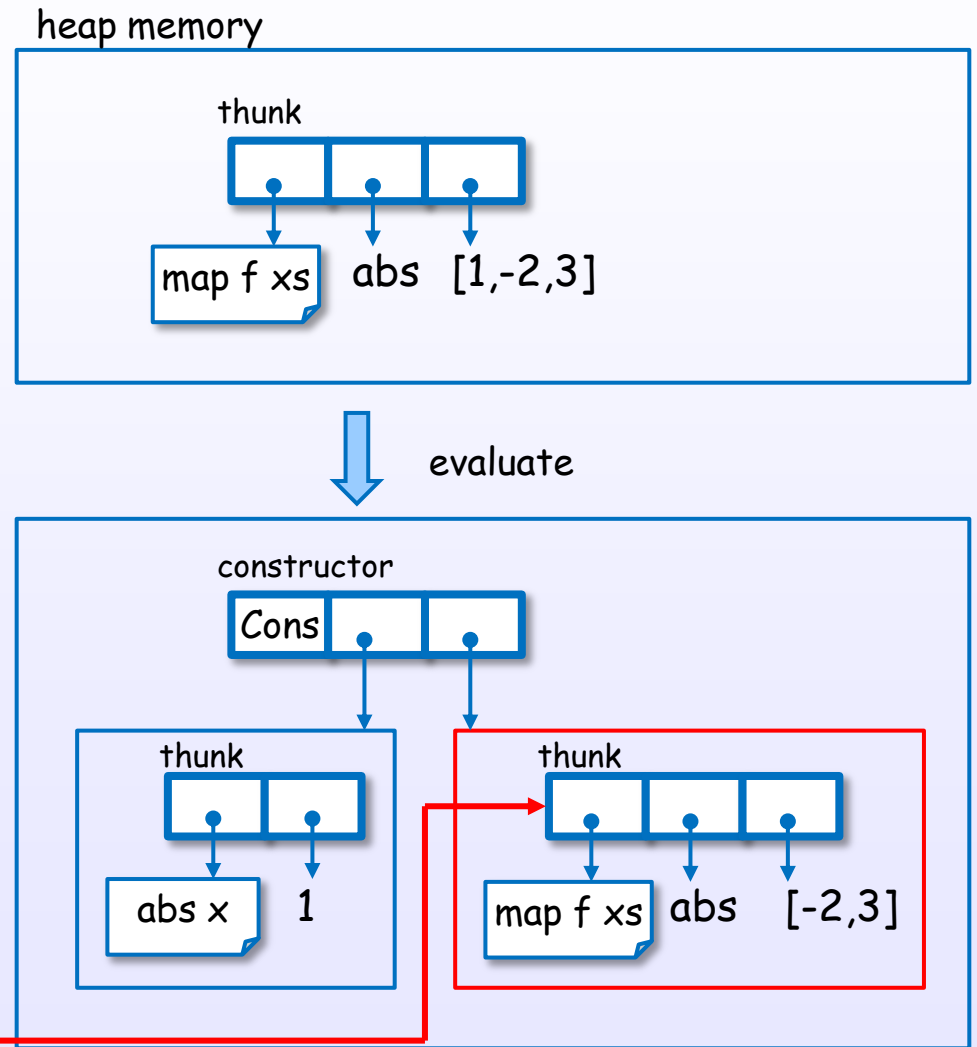
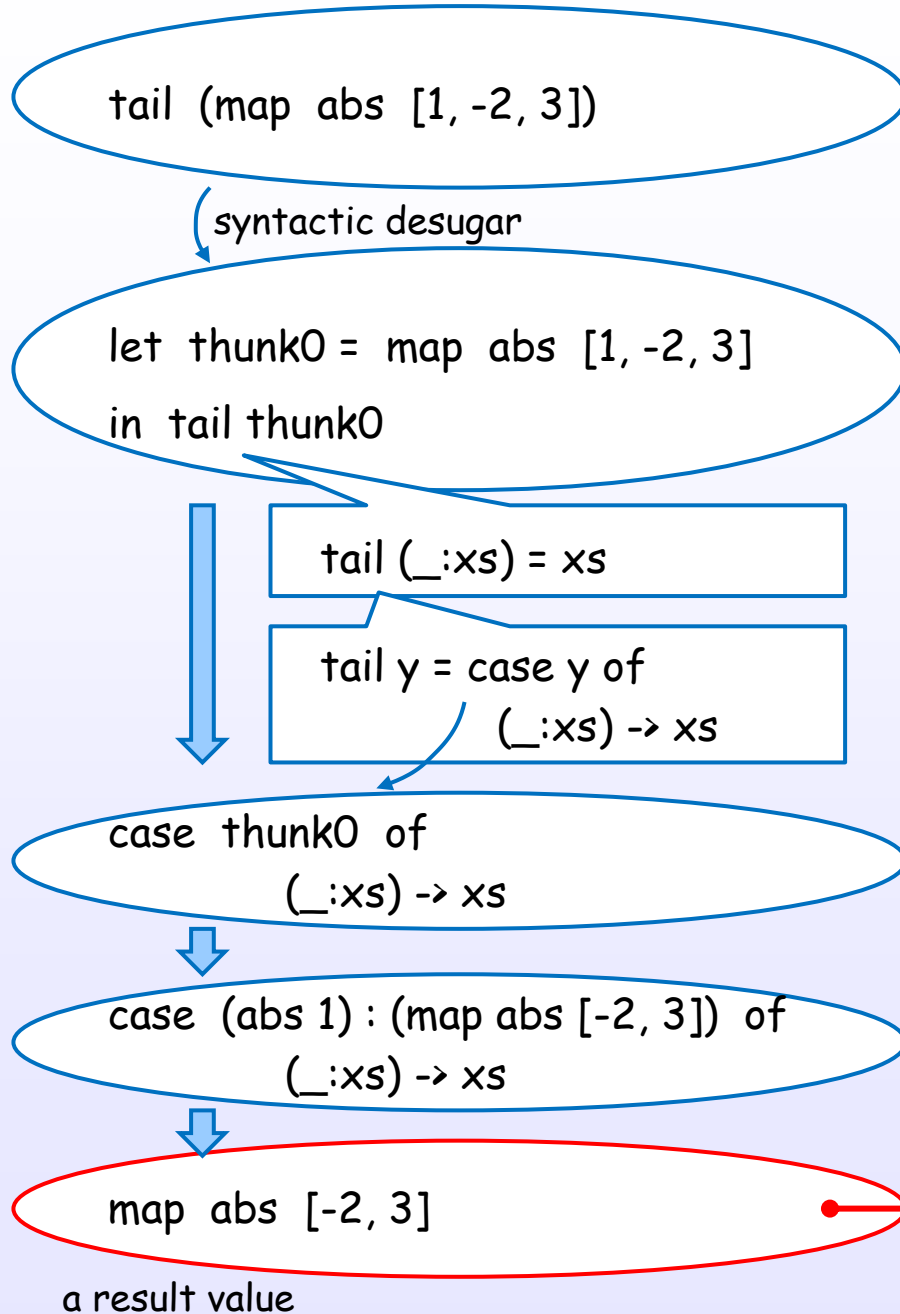


evaluate

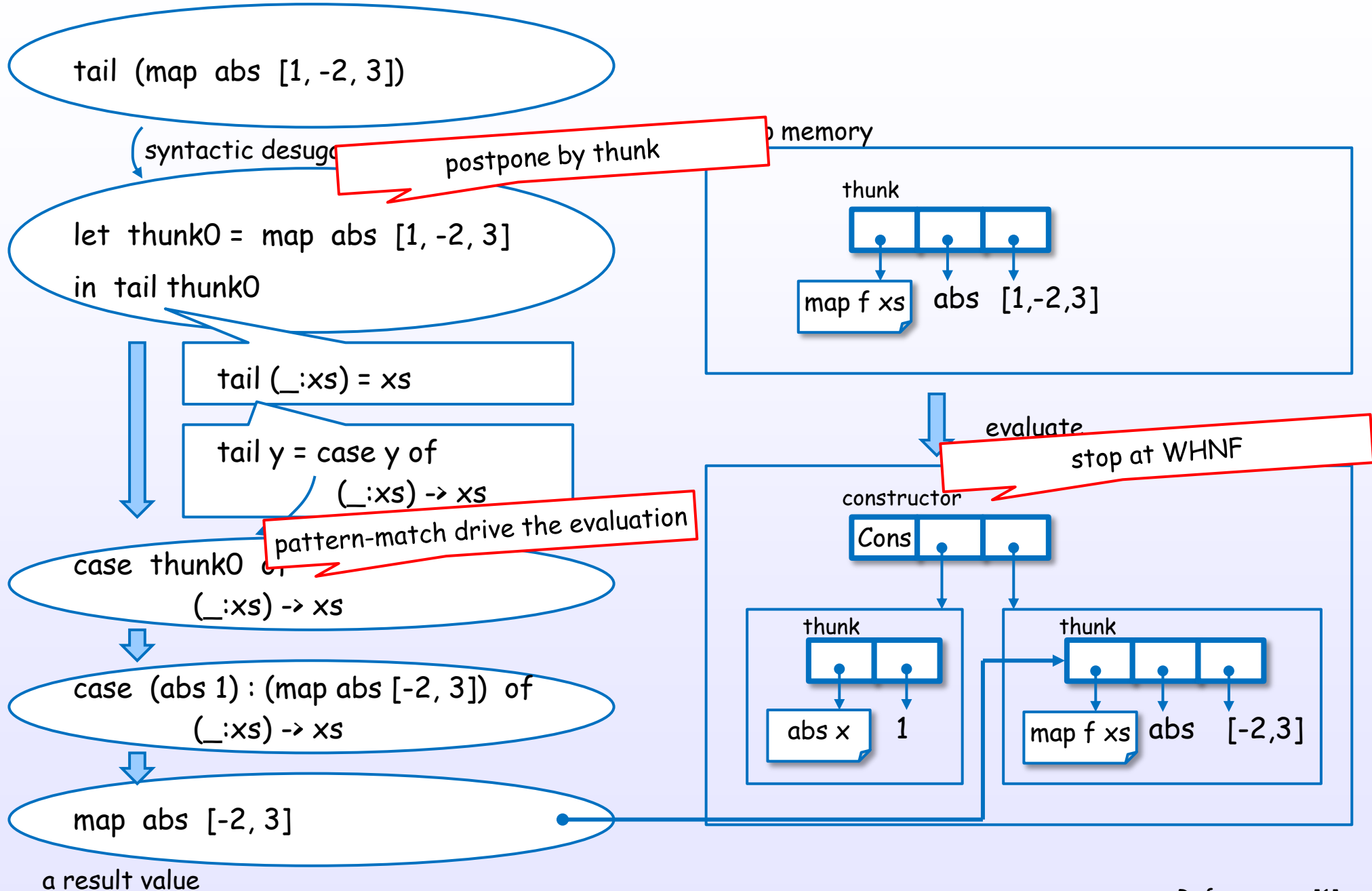




## 12. return the value



# key points



# Pattern match

[CIS194]

# Pattern match

strict pattern

lazy pattern

case expression

function definition

let bounding pattern

Irrefutable Patterns

[stephen]

## 4. Evaluation

Examples of evaluation steps

# Example of repeat

repeat 1



1 : repeat 1



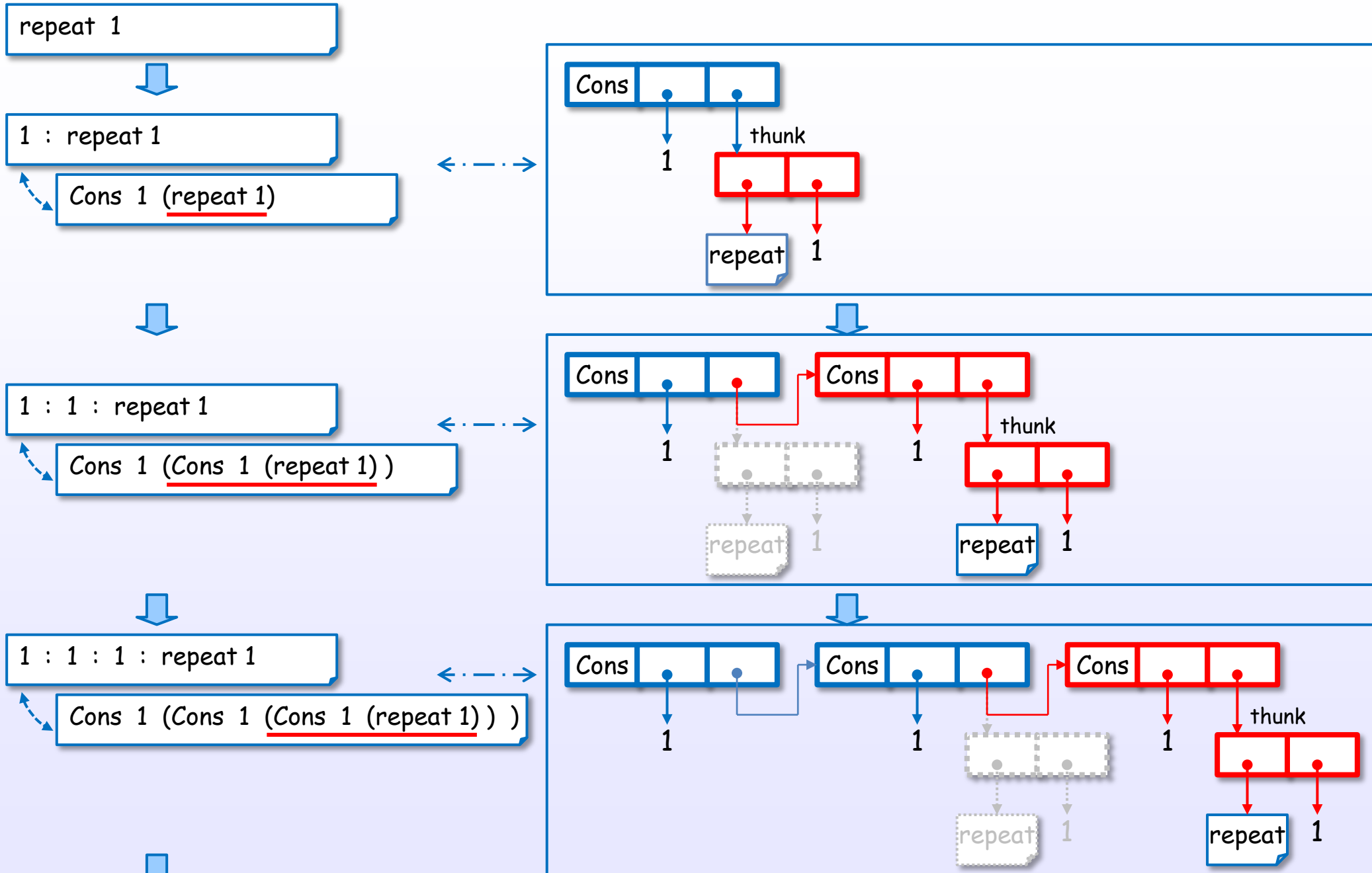
1 : 1 : repeat 1



1 : 1 : 1 : repeat 1



# Example of repeat



# Example of map

```
map f [1, 2, 3]
```



```
f 1 : map f [2, 3]
```



```
f 1 : f 2 : map f [3]
```



```
f 1 : f 2 : f 3
```



...



# Example of map

map f [1, 2, 3]



f 1 : map f [2, 3]



Cons (f 1) (map f [2, 3])



f 1 : f 2 : map f [3]



Cons (f 1) (Cons (f 2) (map f [3]))



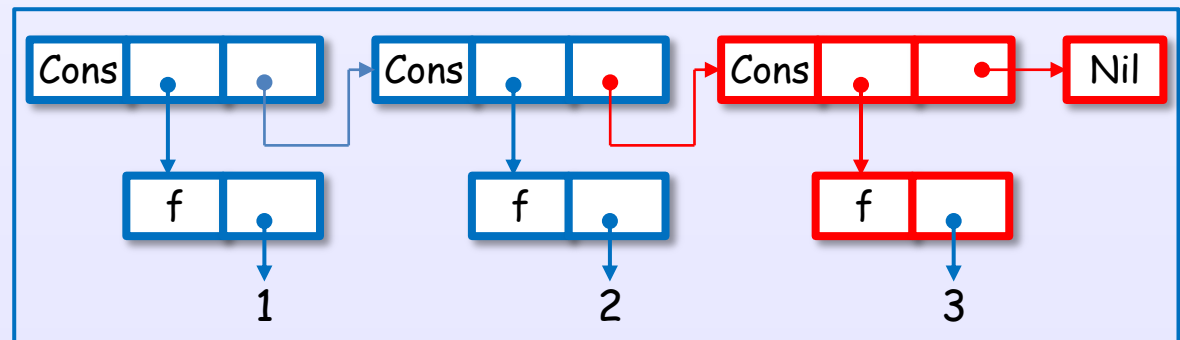
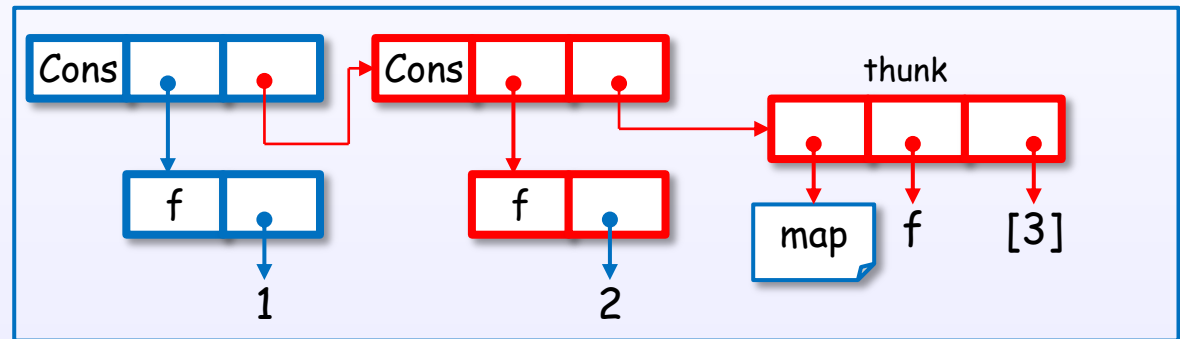
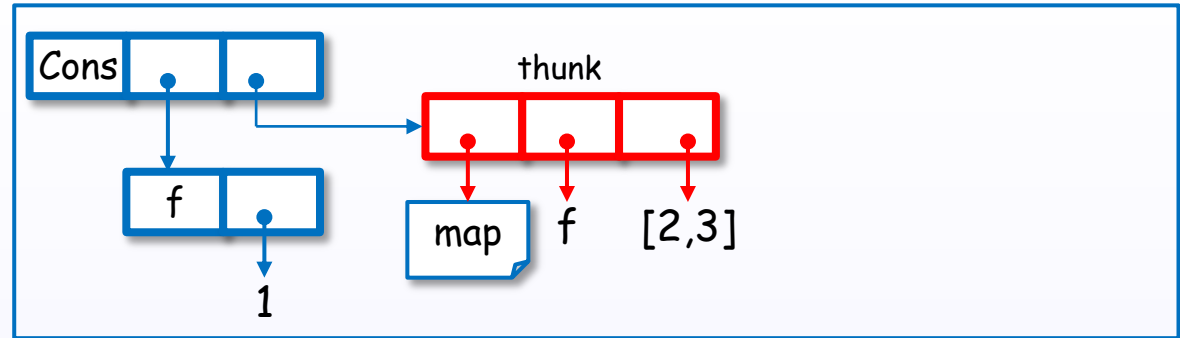
f 1 : f 2 : f 3



Cons (f 1) (Cons (f 2) (Cons (f 3) Nil))



...



...

# Example of foldl (non-strict)

`foldl (+) 0 [1 .. 100]`



`foldl (+) (0 + 1) [2 .. 100]`



`foldl (+) ((0 + 1) + 2) [3 .. 100]`



`foldl (+) ((((0 + 1) + 2) + 3) [4 .. 100]`



...

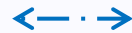
# Example of foldl (non-strict)

```
foldl (+) 0 [1 .. 100]
```



```
foldl (+) (0 + 1) [2 .. 100]
```

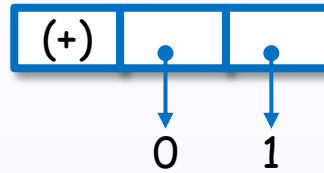
```
let thunk1 = (0 + 1)  
in foldl (+) thunk1 [2 .. 100]
```



heap memory

\*show only accumulation value

thunk1

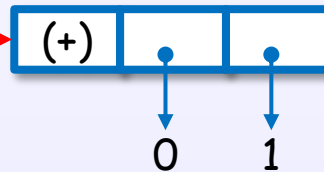


```
foldl (+) ((0 + 1) + 2) [3 .. 100]
```

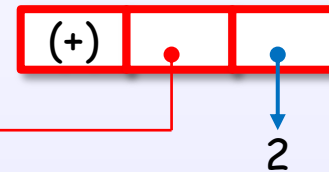
```
let thunk2 = (thunk1 + 2)  
in foldl (+) thunk2 [3 .. 100]
```



thunk1



thunk2

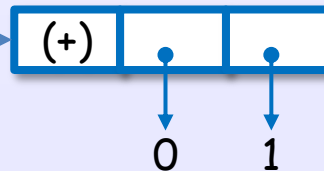


```
foldl (+) (((0 + 1) + 2) + 3) [4 .. 100]
```

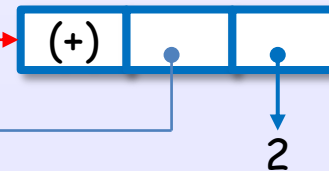
```
let thunk3 = (thunk2 + 3)  
in foldl (+) thunk3 [4 .. 100]
```



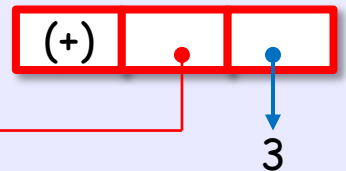
thunk1



thunk2



thunk3



increasing heap ...



...

## Example of foldl' (strict)

`foldl' (+) 0 [1 .. 100]`



`foldl' (+) (0 + 1) [2 .. 100]`



`foldl' (+) (1 + 2) [3 .. 100]`



`foldl' (+) (3 + 3) [4 .. 100]`



...

# Example of foldl' (strict)

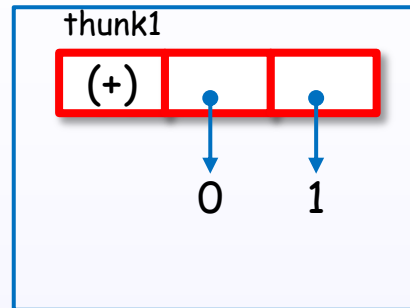
foldl' (+) 0 [1 .. 100]



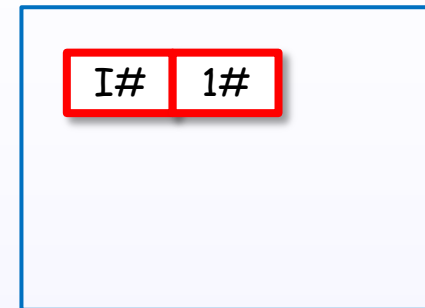
foldl' (+) (0 + 1) [2 .. 100]

let thunk1 = (0 + 1)  
in thunk1 `pseq`  
foldl' (+) thunk1 [2 .. 100]

heap memory

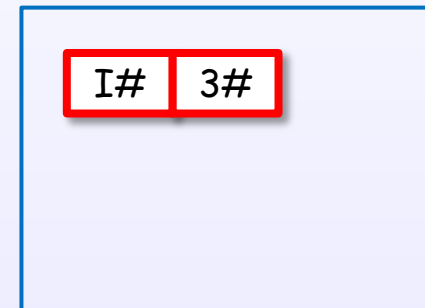
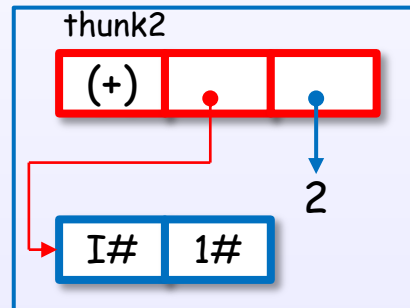


update  
by pseq



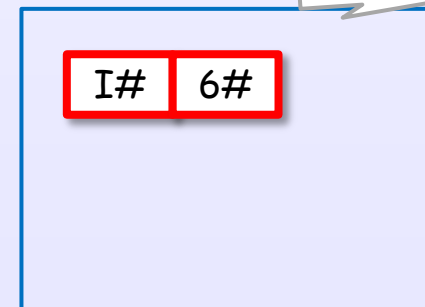
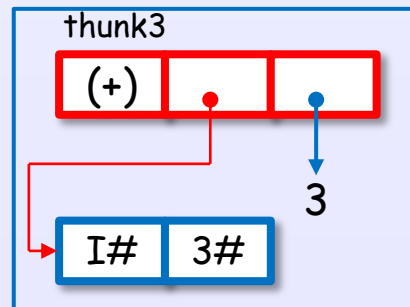
foldl' (+) (1 + 2) [3 .. 100]

let thunk2 = (1 + 2)  
in thunk2 `pseq`  
foldl' (+) thunk2 [3 .. 100]



foldl' (+) (3 + 3) [4 .. 100]

let thunk3 = (3 + 3)  
in thunk3 `pseq`  
foldl' (+) thunk3 [4 .. 100]



fixed heap size



...

References : [1]

# Example of foldl (non-strict) and foldl' (strict)

foldl (+) (0 + 1) [2 .. 100]



foldl (+) ((0 + 1) + 2) [3 .. 100]



foldl (+) (((0 + 1) + 2) + 3) [4 .. 100]



foldl' (+) (0 + 1) [2 .. 100]



foldl' (+) (1 + 2) [3 .. 100]



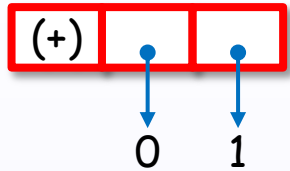
foldl' (+) (3 + 3) [4 .. 100]



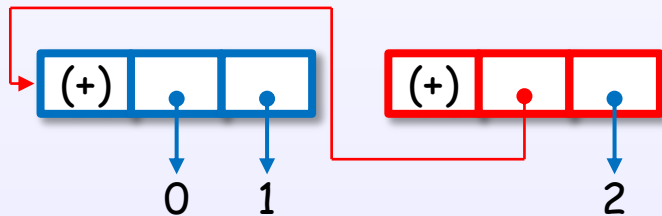
# Example of foldl (non-strict) and foldl' (strict)

foldl (+) (0 + 1) [2 .. 100]

heap memory

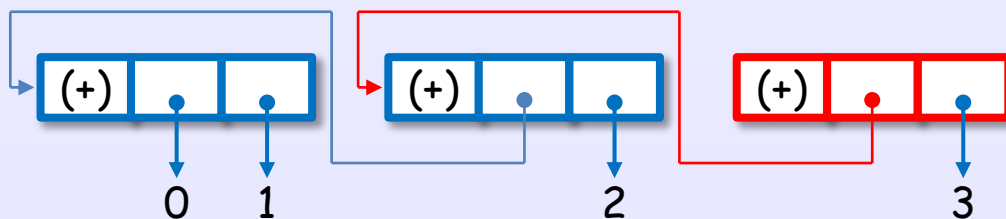


foldl (+) ((0 + 1) + 2) [3 .. 100]

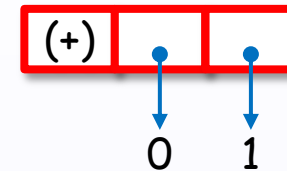


foldl (+) (((0 + 1) + 2) + 3) [4 .. 100]

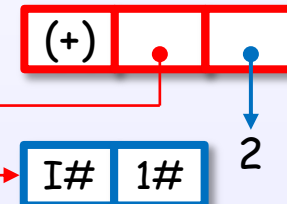
increasing heap ...



foldl' (+) (0 + 1) [2 .. 100]

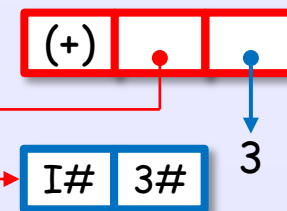


foldl' (+) (1 + 2) [3 .. 100]



foldl' (+) (3 + 3) [4 .. 100]

fixed heap size



# Example of nest-function

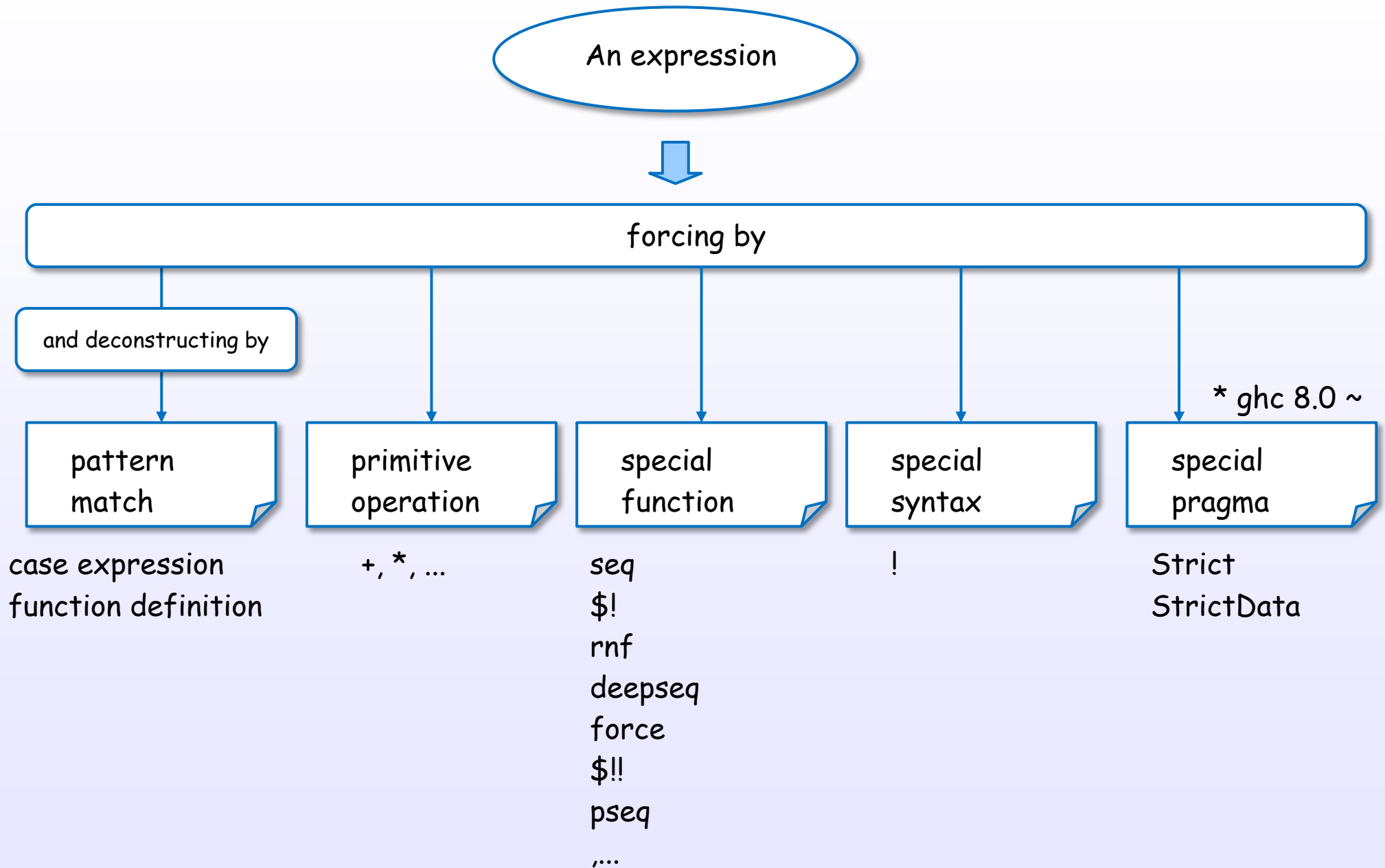
```
take 5 ( map f xs )
```



## 4. Evaluation

Controlling the evaluation

# How to drive evaluation



# Example of the evaluation by pattern match

case expression

```
case ds of
  x:xs -> f x xs
  []   -> False
```

case expression  
function definition

# Example of the evaluation by primitive operation

primitive operation

$$f \ x \ y = x \text{ + } y$$

$+, *, \dots$

# Example of the evaluation by special function

special function

$f \times y = \text{seq} \times y$

seq

\$!

rnf

deepseq

force

\$!!

pseq

,...

to WHNF

to NF

[parconc, Ch.2]

[RWH, Ch.24-25]

[stephen]

[hack.hands]

Please refer the document more detail. [xx]

hoogle or hackage

[Bird, Chapter 7]

[CIS194]

References : [1]

# Example of the evaluation by special function

seq のObject図イメージ

force

rnf

rwhnf

deepseq のObject図イメージ

# Example of the evaluation by special function

表で整理

to WHNF

seq

rwhnf

pseq

\$!

to NF

force

rnf

deepseq

\$!!

# Example of the evaluation by special syntax

special syntax

```
{-# LANGUAGE BangPatterns #-}
```

```
f !xs = g xs
```

BangPattern

```
{-# LANGUAGE BangPatterns #-}
```

```
data ...
```

[RWH, Ch.25]

[stephen]

Please refer the document more detail. [xx]

[user guide, 7.19]



# Example of the evaluation by special pragma

special pragma

```
{-# LANGUAGE Strict #-}
```

```
f xs = g xs
```

\* ghc 8.0 ~

```
{-# LANGUAGE StrictData #-}
```

```
f xs = g xs
```

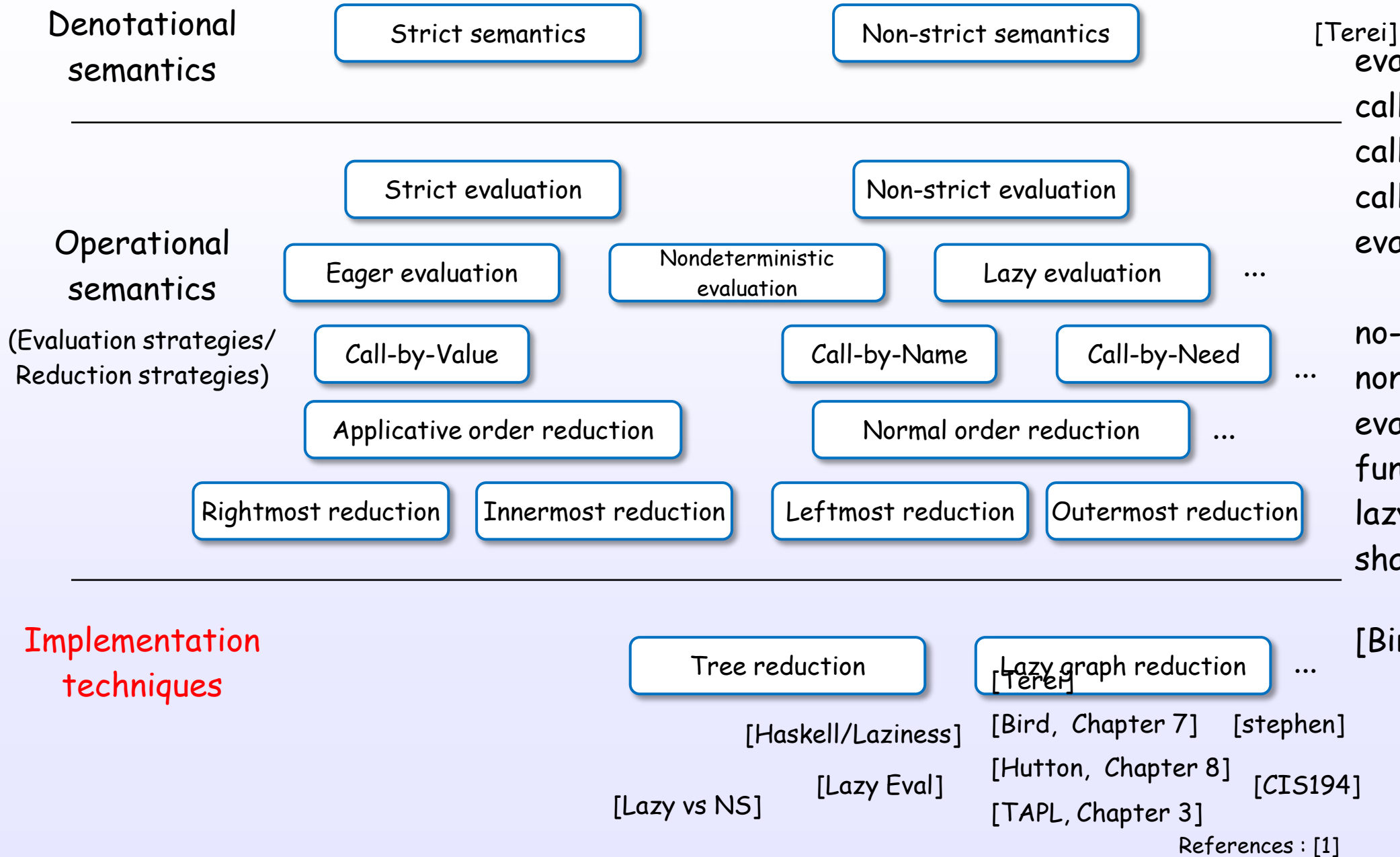
Strict  
StrictData

Please refer the document more detail. [xx]

[wiki]

## 5. Implementation of evaluator

# Evaluation layer for GHC's Haskell

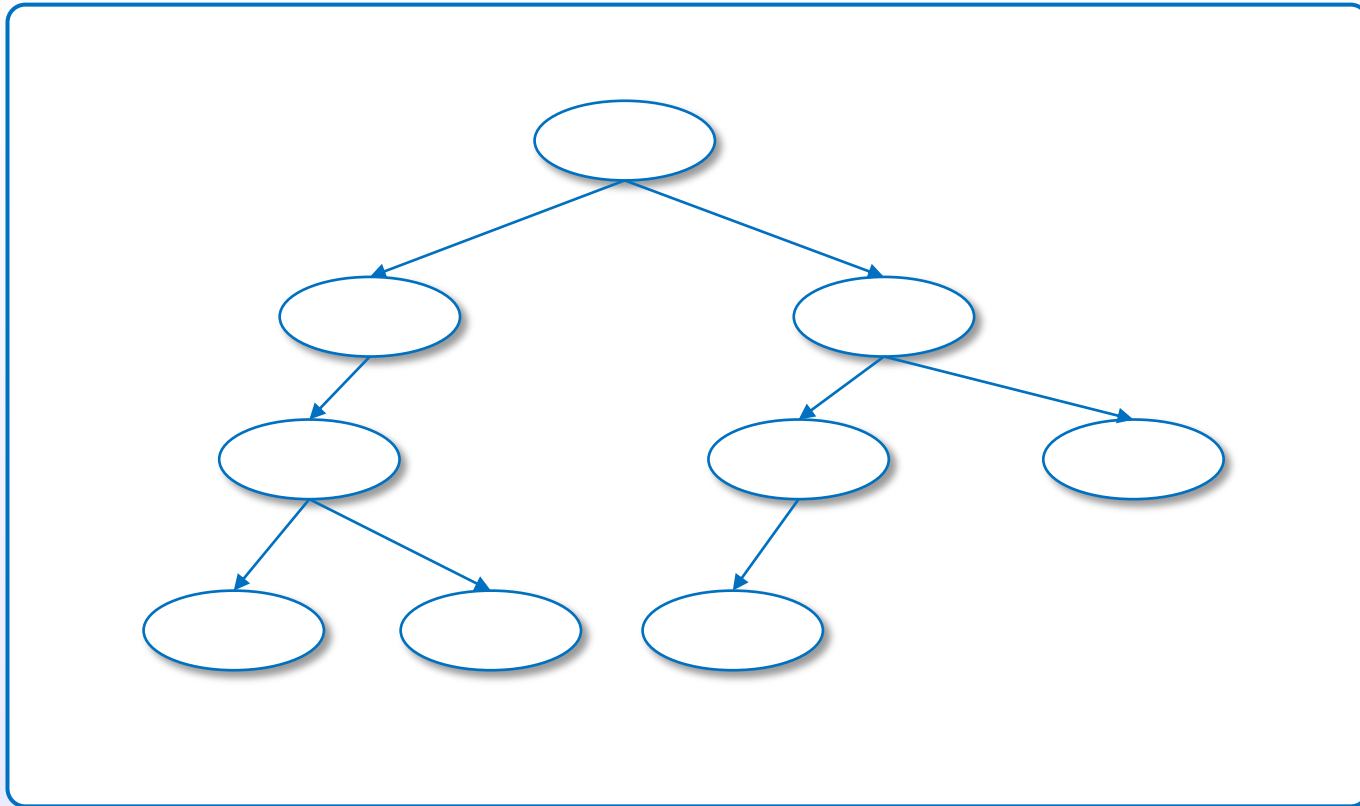


## 5. Implementation of evaluator

Lazy graph reduction

# Tree

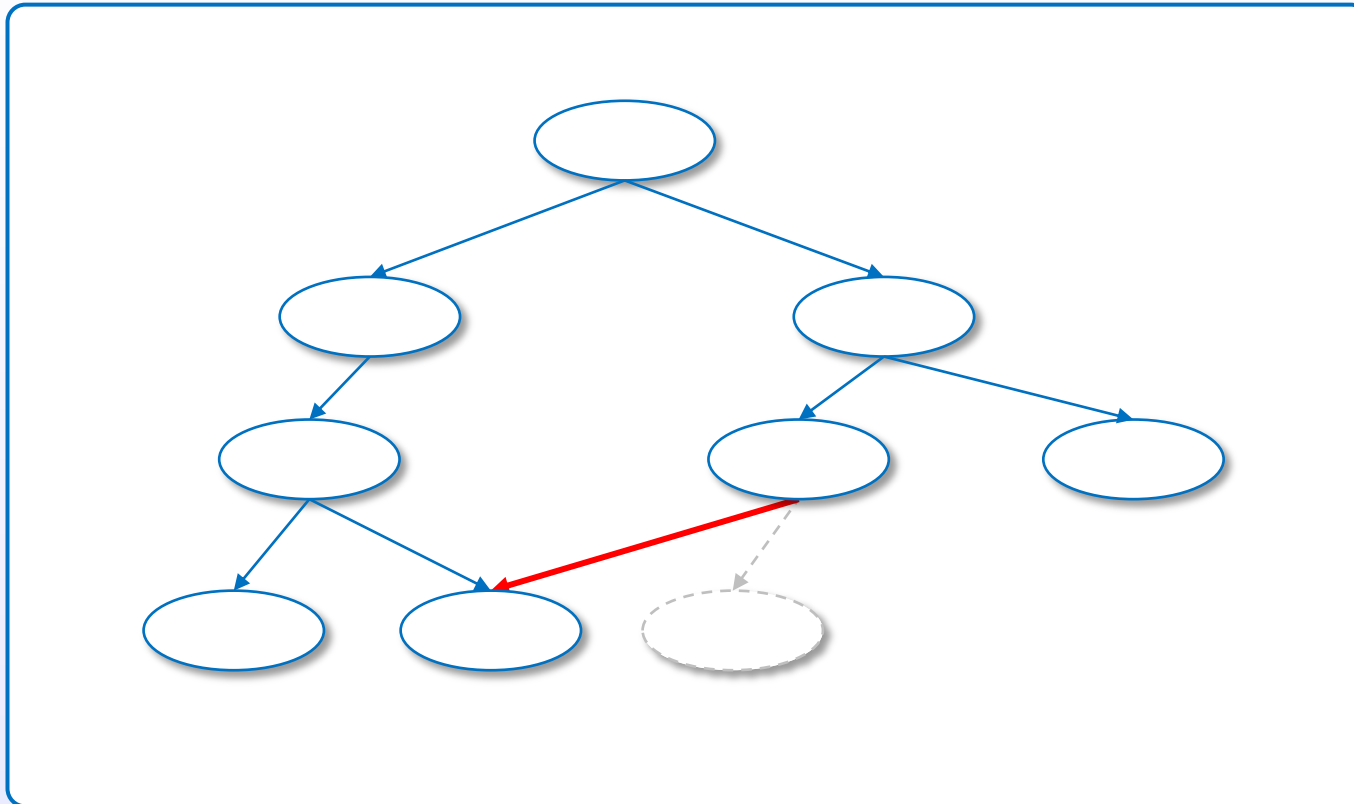
AST represents an expression



Stack base

# Graph

Share the term, looped  
not Tree, but Graph



Heap base

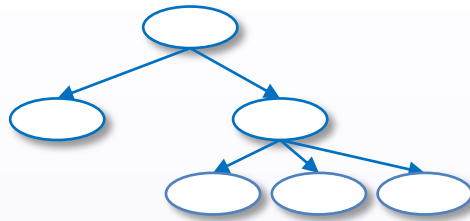
[Terei]

[hack.hands]

[CIS194]

References : [1]

# Tree and graph reduction



Tree reduction



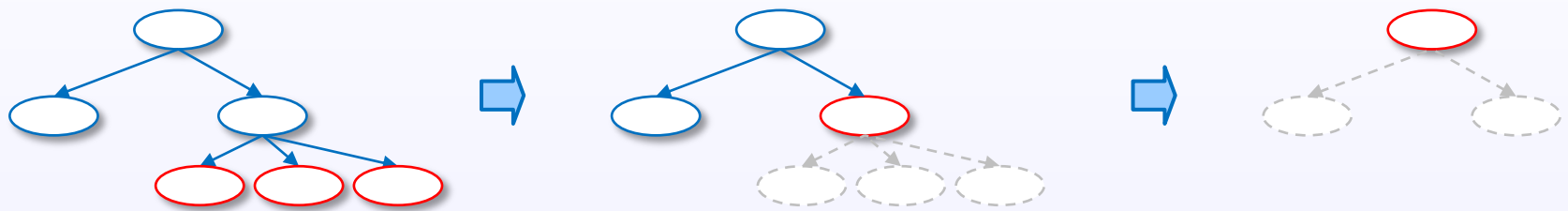
Graph reduction



copy arguments

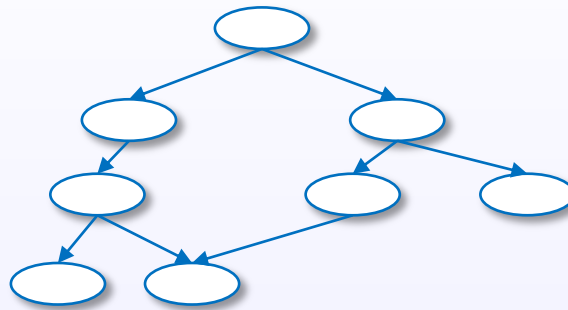
share arguments by pointers

# Graph reduction





# Graph reduction and lazy



## 5. Implementation of evaluator

STG-machine

# Abstract machine

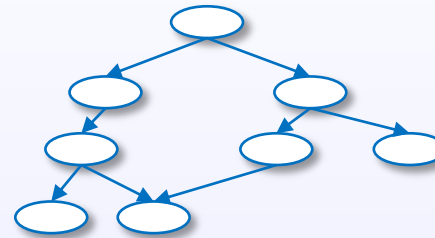
# Layer

Haskell code

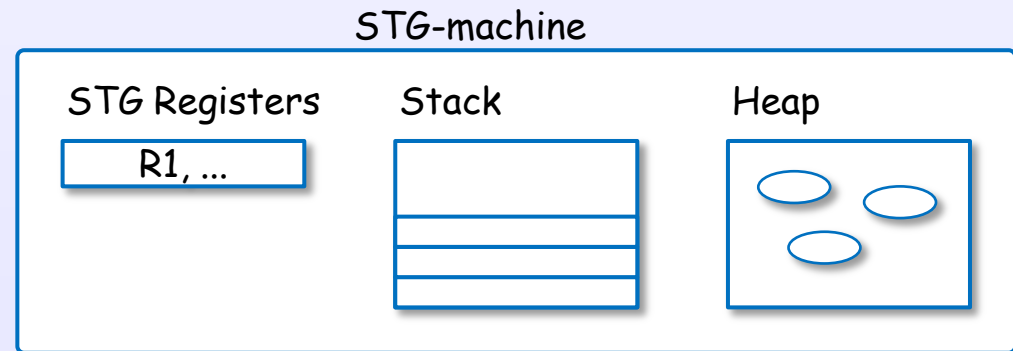
take 5 [1..10]

---

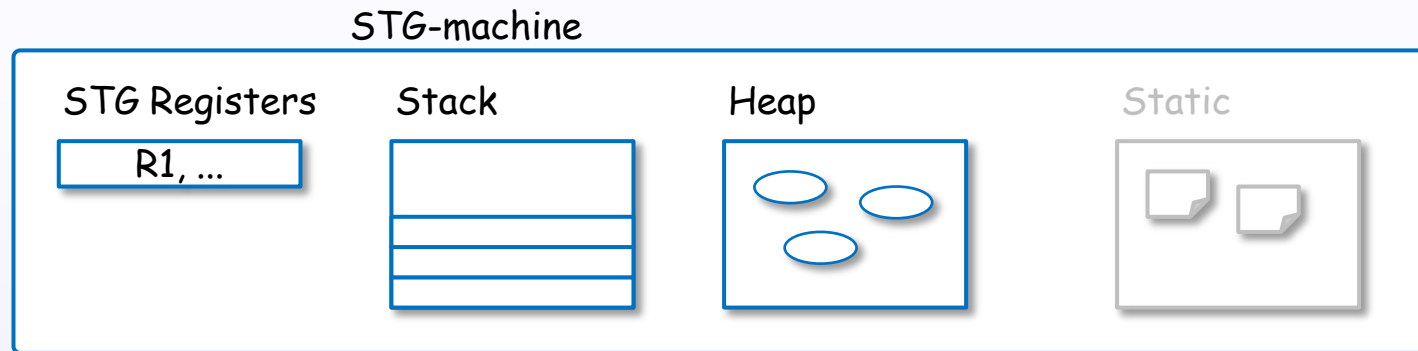
Internal representation  
by graph



Evaluation (execution, reduction)  
by STG-machine



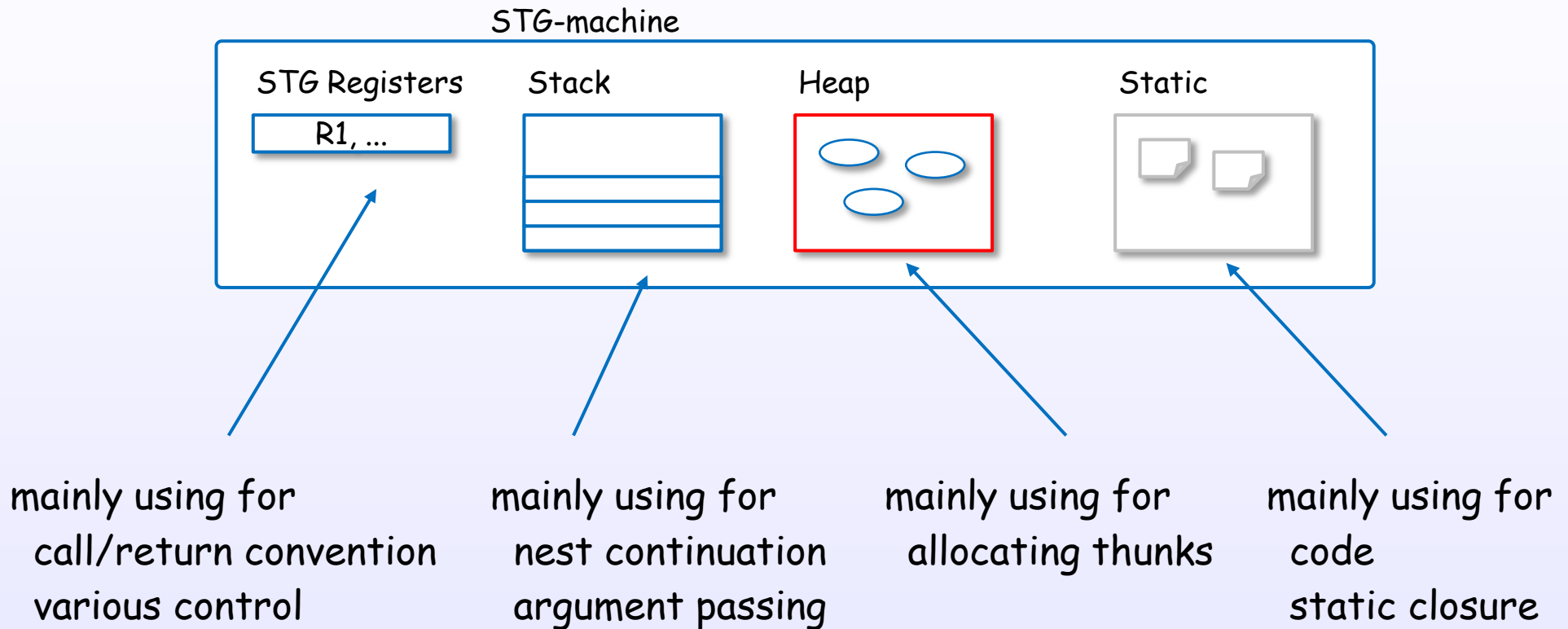
# STG-machine



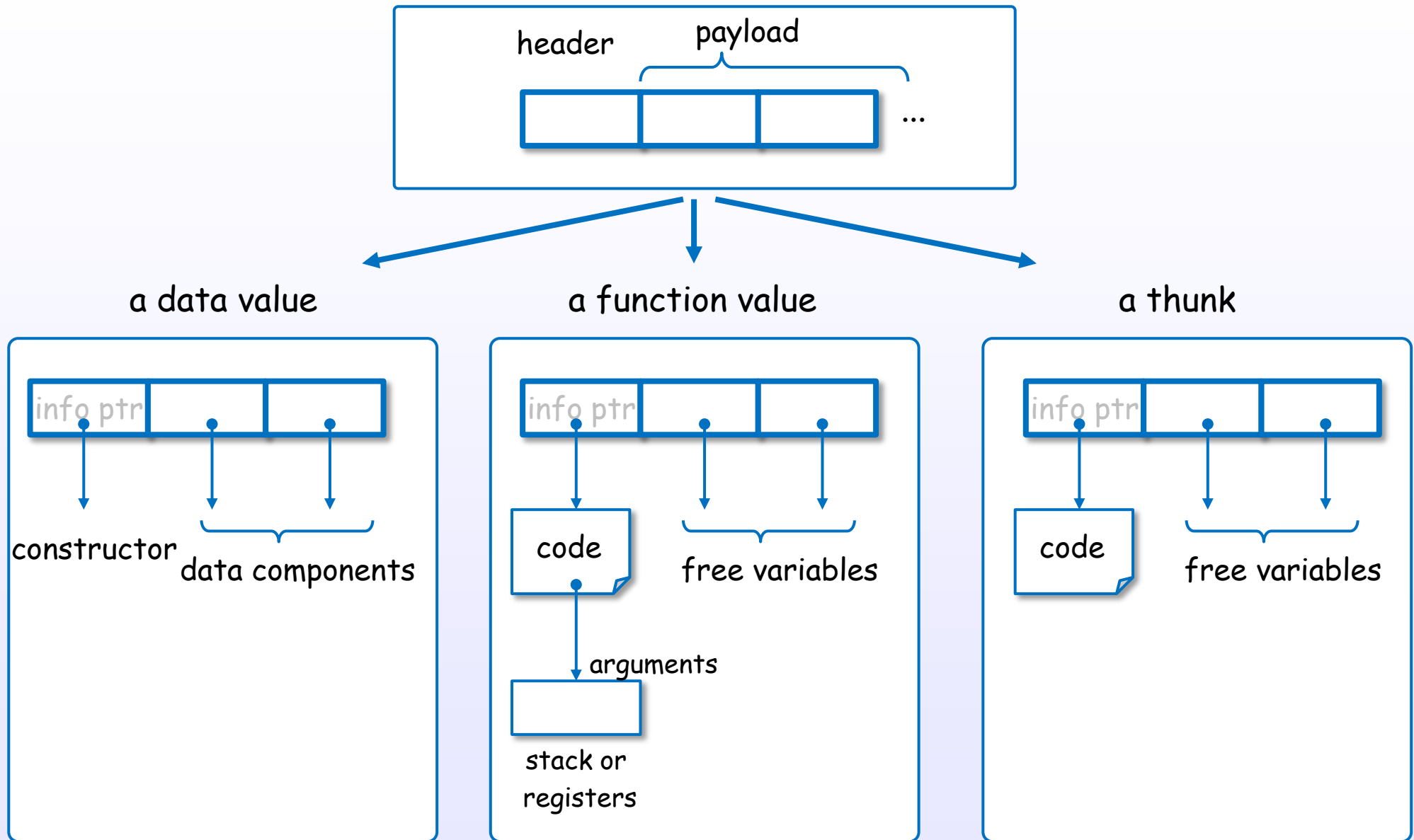
STG-machine is abstraction machine  
which is defined by operational semantics.

STG-machine efficiently performs lazy graph reduction.

# STG-machine



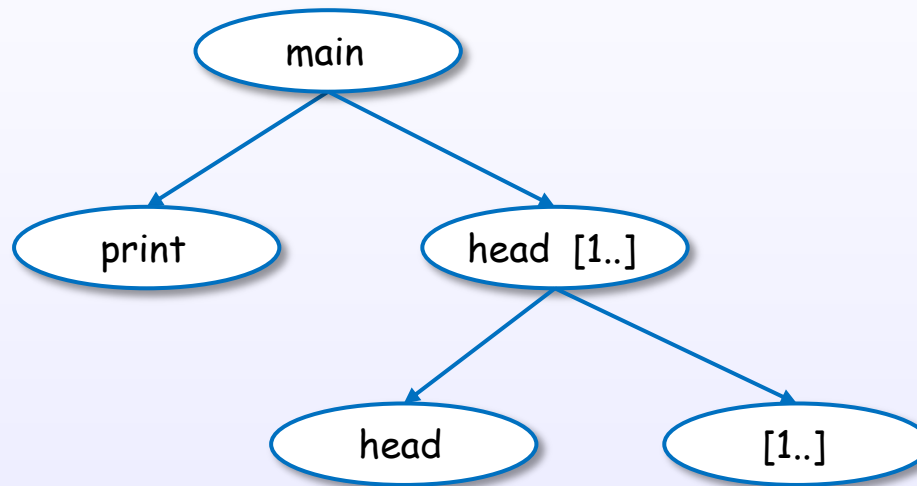
# An unified representation in {heap, stack, static} memory



いずれも、広義の、“closure” (= code + environment(free variables))

# Mapping the graph to the code

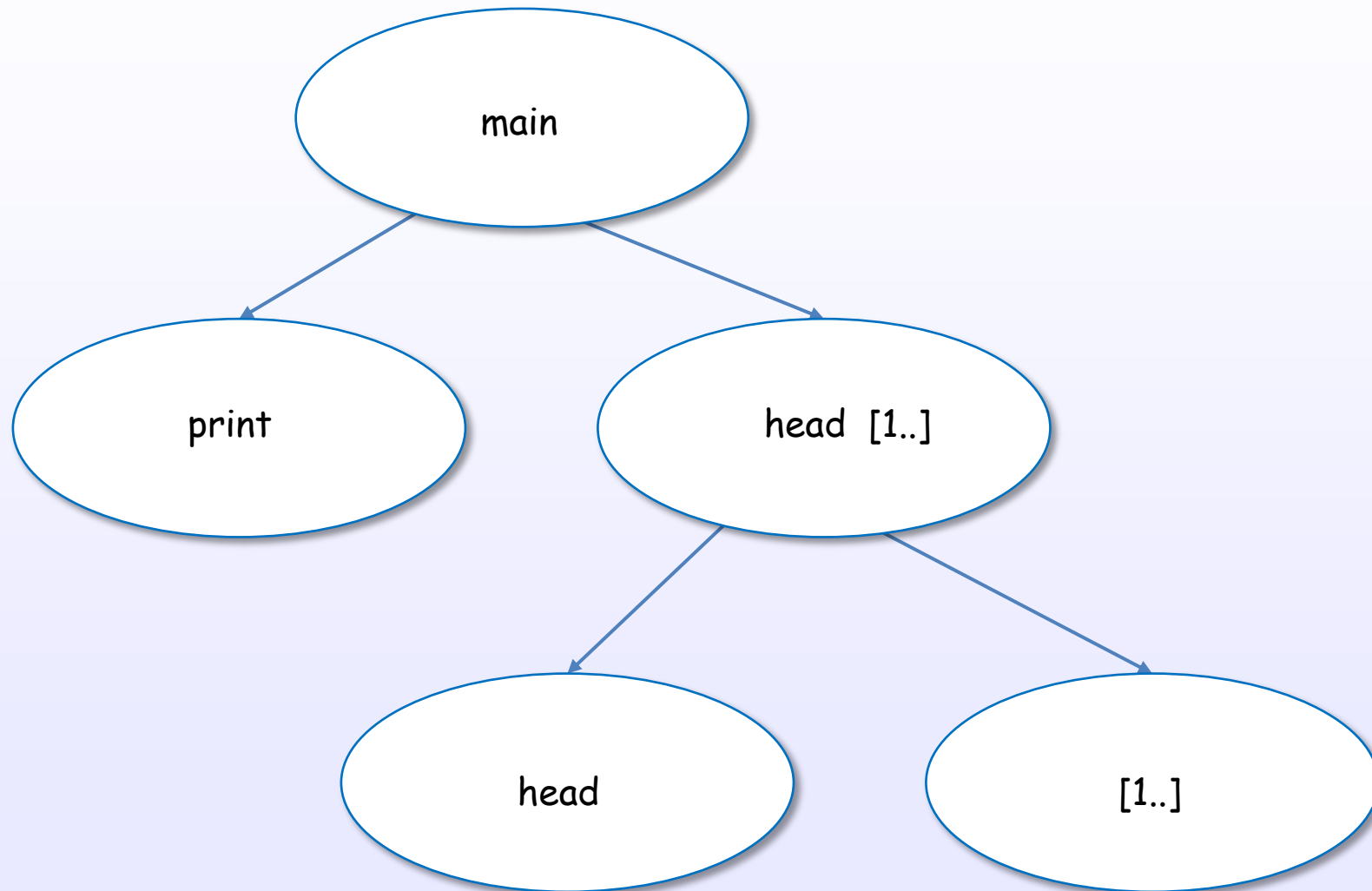
```
main = print (head [1..])
```





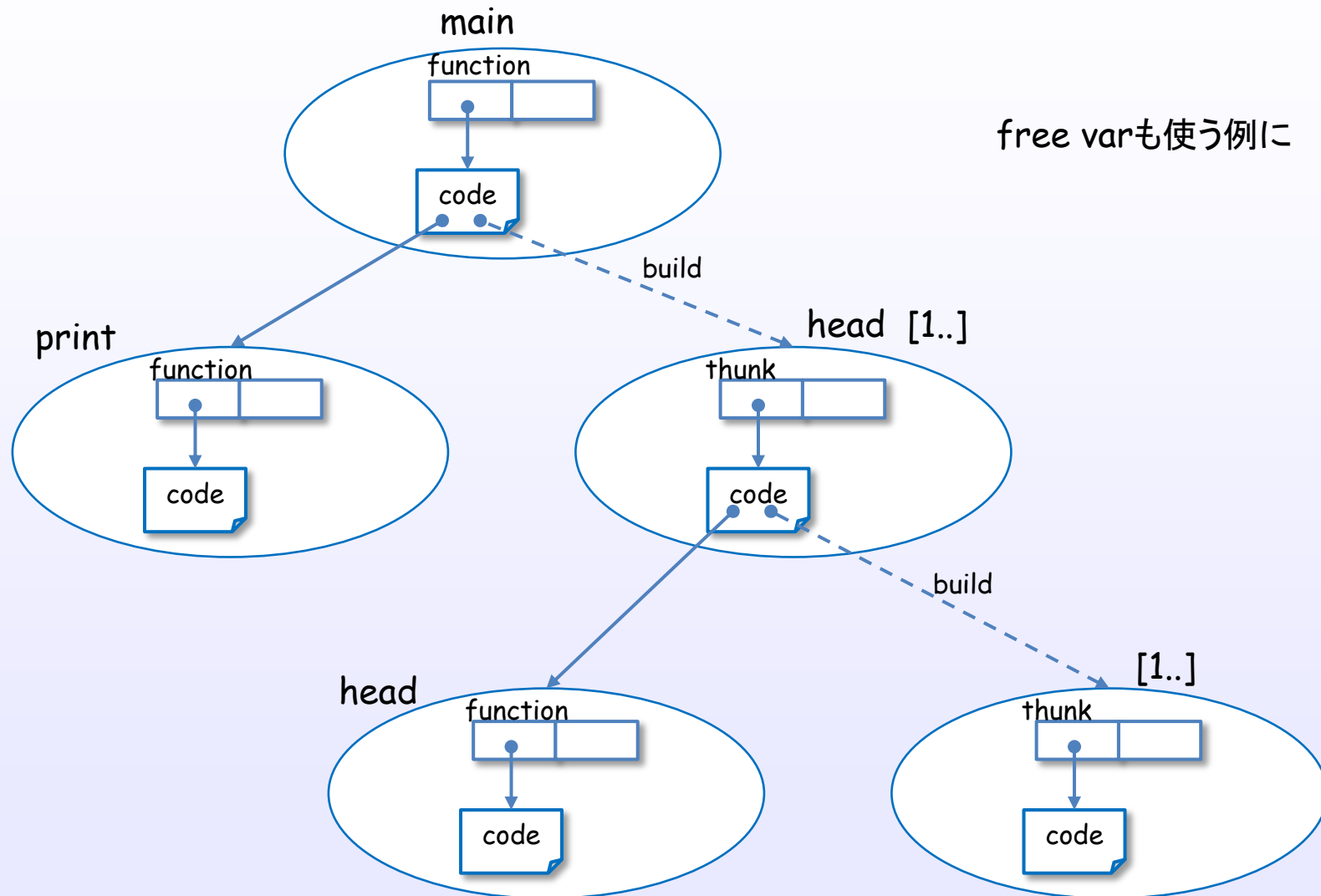
# Mapping the graph to the code

```
main = print (head [1..])
```



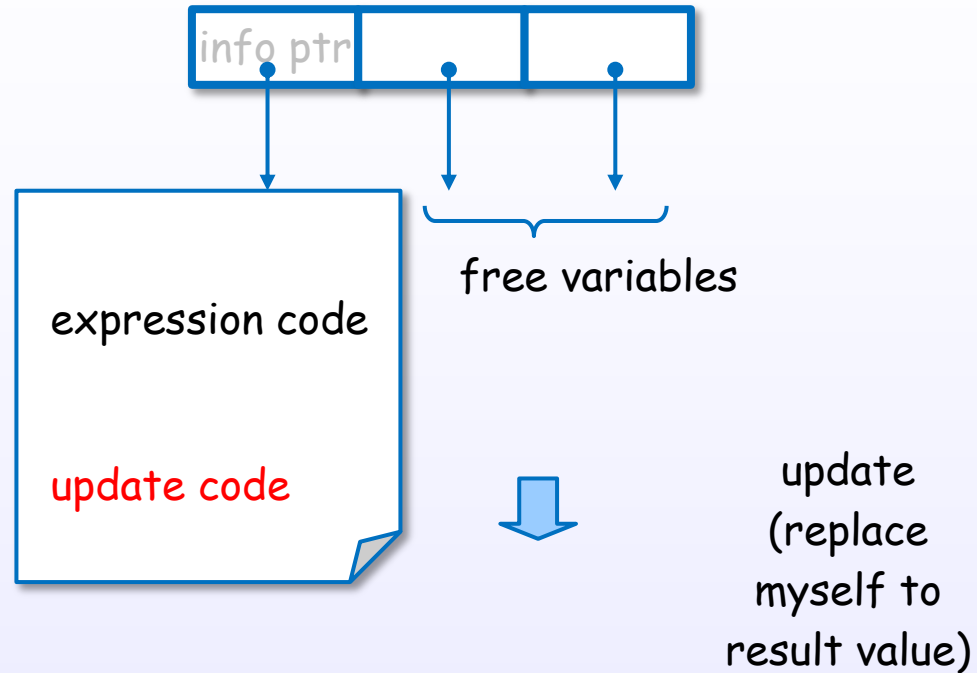
# Mapping the graph to the code

main = print (head [1..])

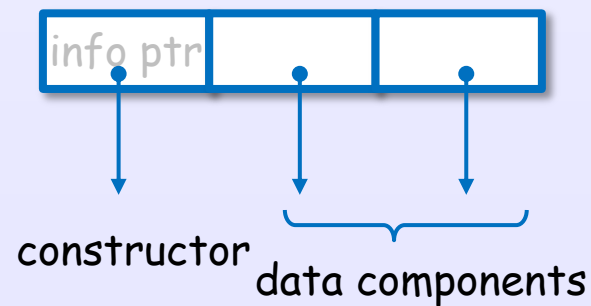


# Self-updating model

a thunk



a data value



## 6. Semantics

# Evaluation layer for GHC's Haskell

Denotational  
semantics

Strict semantics

Non-strict semantics

[Terei]

eva

call

call

call

eva

Operational  
semantics

Strict evaluation

Non-strict evaluation

Eager evaluation

Nondeterministic  
evaluation

Lazy evaluation

...

(Evaluation strategies/  
Reduction strategies)

Call-by-Value

Call-by-Name

Call-by-Need

...

Applicative order reduction

Normal order reduction

...

Rightmost reduction

Innermost reduction

Leftmost reduction

Outermost reduction

no-

non

eva

fun

lazy

sha

Implementation  
techniques

Tree reduction

Lazy graph reduction

...

[Bir

[Haskell/Laziness]

[Bird, Chapter 7] [stephen]

[Hutton, Chapter 8]

[CIS194]

[TAPL, Chapter 3]

[Lazy vs NS]

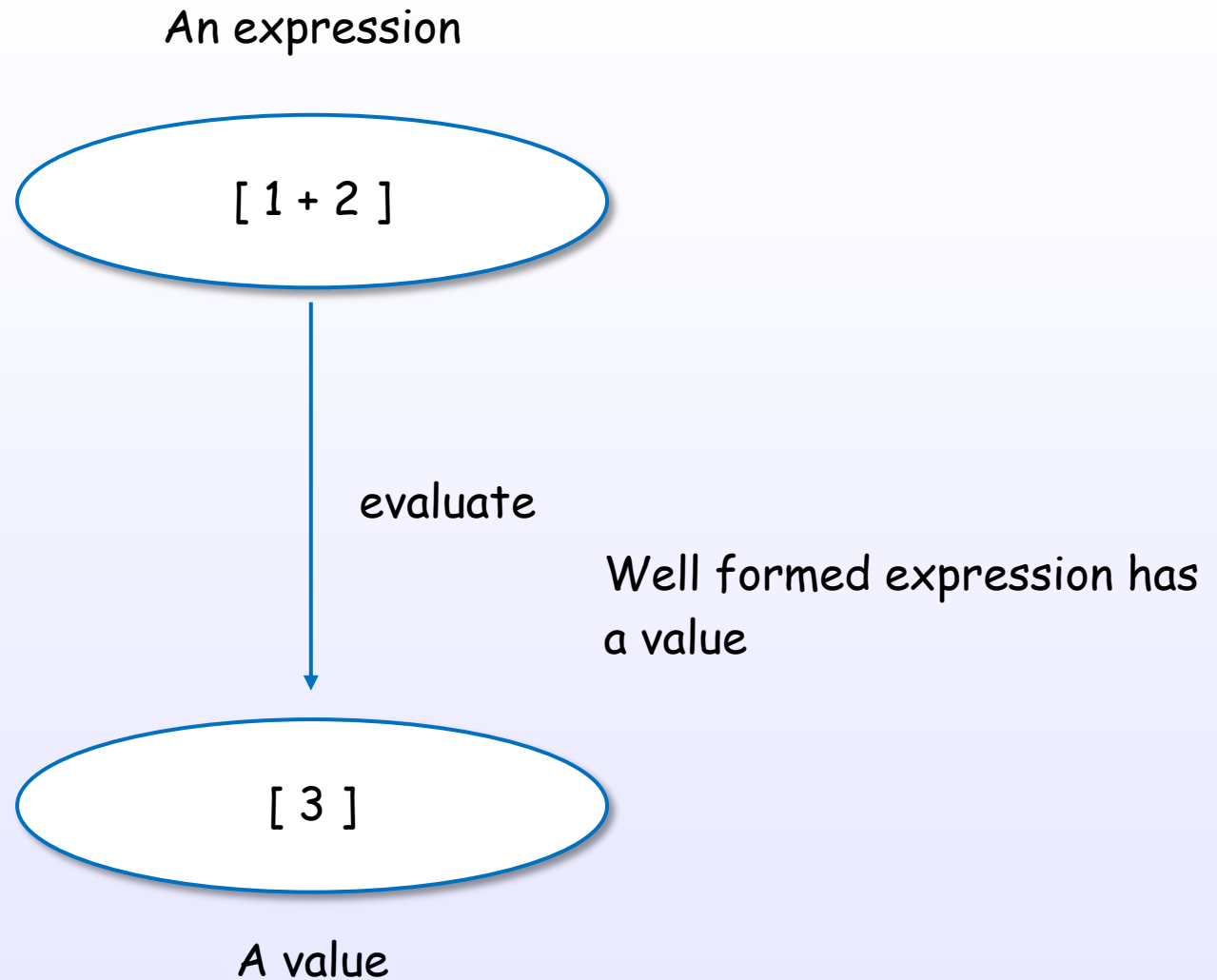
[Lazy Eval]

References : [1]

## 6. Semantics

Bottom

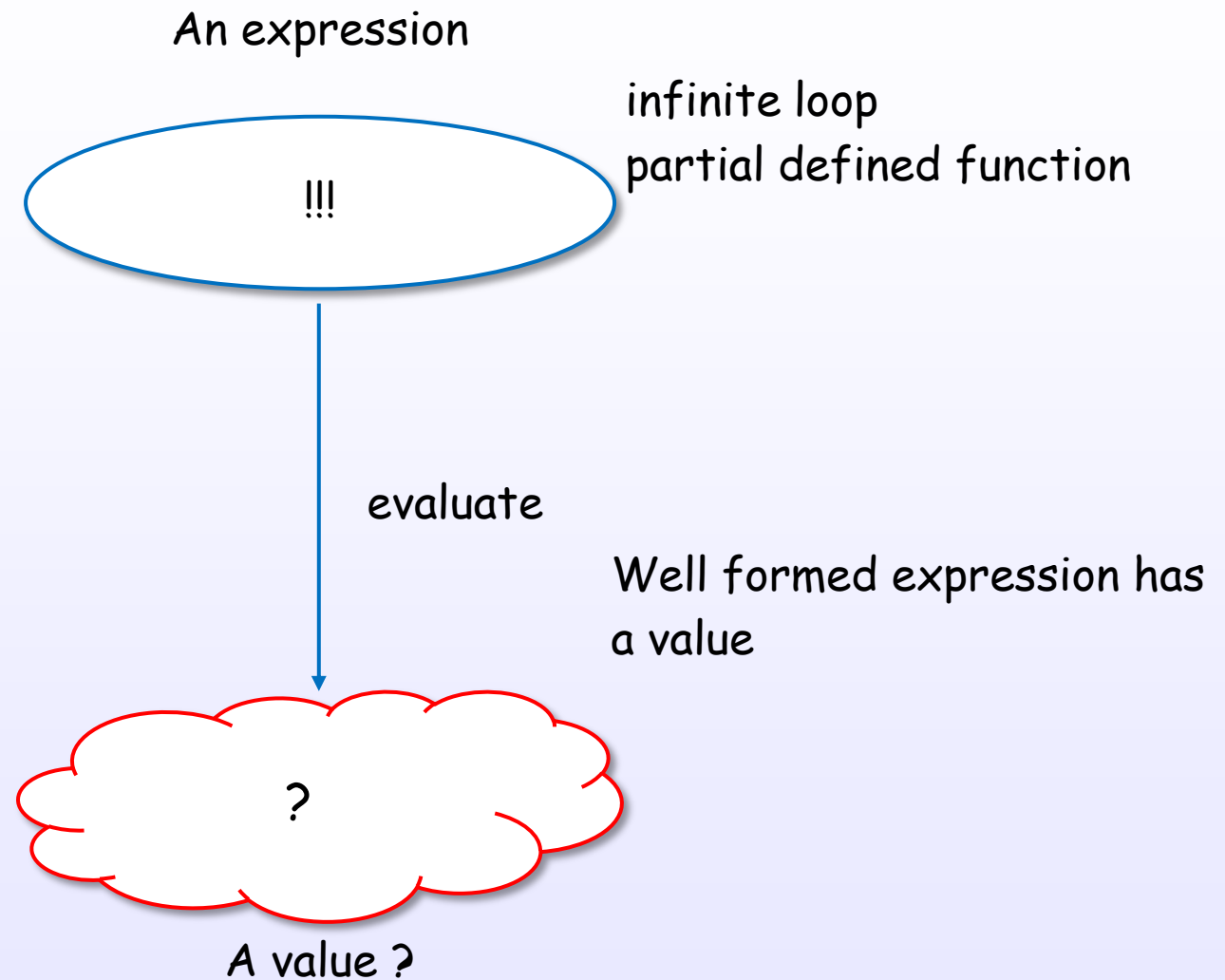
# Well formed expression has a value



[Bird, Chapter 2]

References : [1]

# Well formed expression has a value

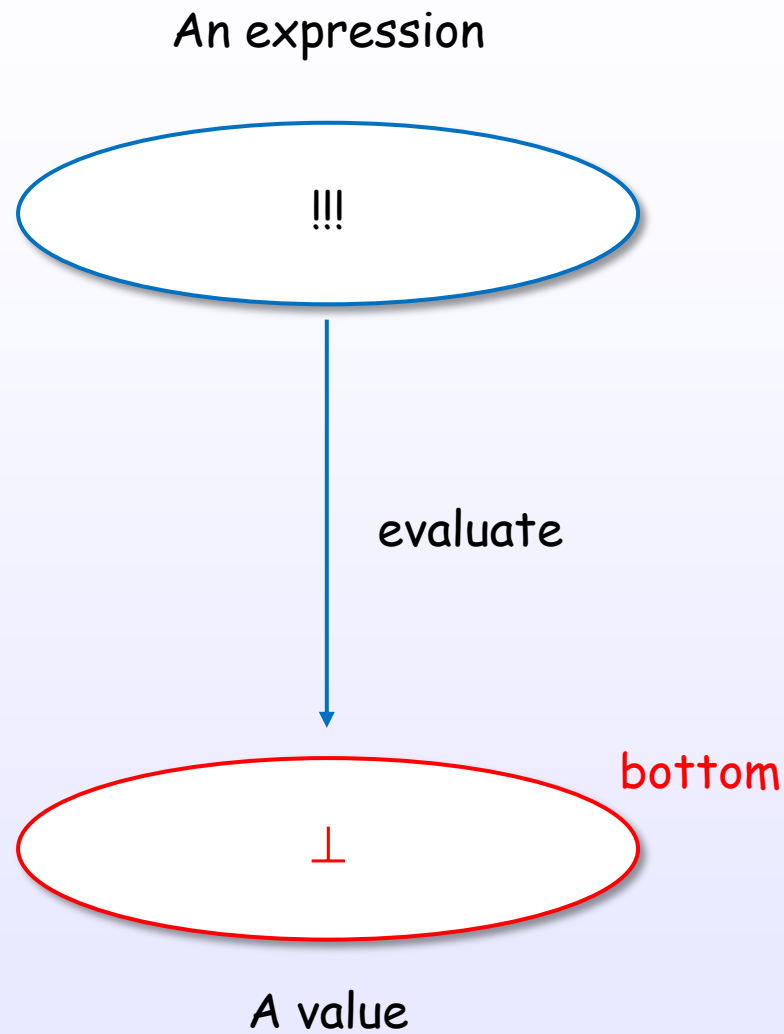


[Bird, Chapter 2]

References : [1]



# Well formed expression has a value



[Bird, Chapter 2]

References : [1]

# Bottom

[Bird, Chapter 2]

References : [1]

## 6. Semantics

### Non-strict Semantics

# Strictness

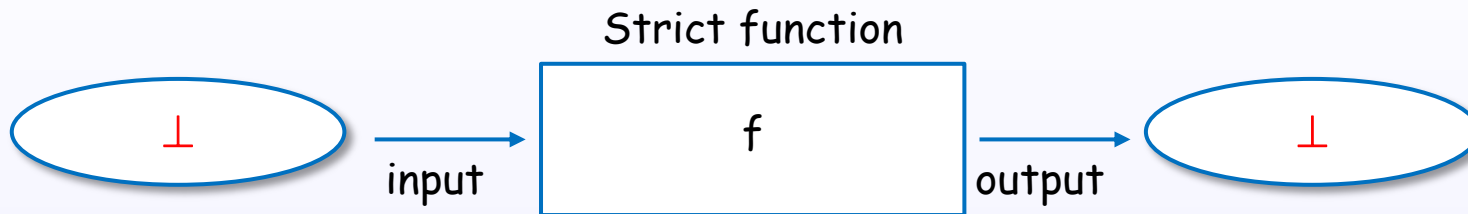
$$f \perp = \perp$$

Strictness is attribution of the function.

[Bird, Chapter 2]

# Strictness

$$f \perp = \perp$$



Strictness is attribution of the function.

[Bird, Chapter 2]

# Strictness and Non-strictness

Strict

$$f \perp = \perp$$

---

Non-strict

$$f \perp \neq \perp$$

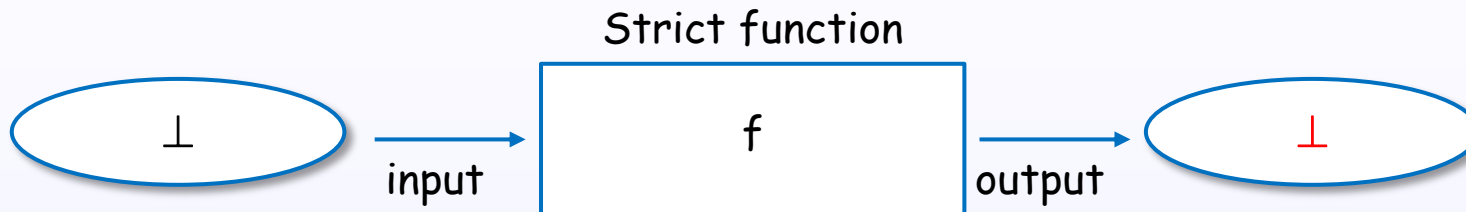
[Bird, Chapter 2]

References : [1]

# Strictness and Non-strictness

Strict

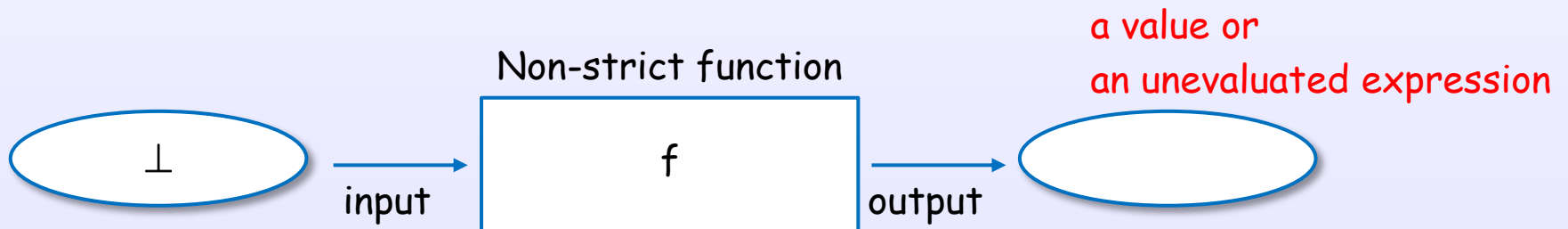
$$f \perp = \perp$$



---

Non-strict

$$f \perp \neq \perp$$



[Bird, Chapter 2]

# Layer

Non-strictness

$$f \perp = \perp$$

Lazy evaluation

*GHC* chosen lazy evaluation to implement non-strict semantics.

Graph reduction

*GHC* chosen graph reduction to implement lazy evaluation.

STG-machine

*GHC* implements graph reduction by STG-machine.



# seq and pseq

$\text{seq } a \ b = \perp, \quad \text{if } a = \perp$   
 $= b, \quad \text{otherwise}$

$\text{pseq } a \ b = \perp, \quad \text{if } a = \perp$   
 $= b, \quad \text{otherwise}$

$\text{seq } a \ \perp = \perp$   
 $\text{seq } \perp \ b = \perp$

$a$  is strict  
 $b$  is strict

$\text{pseq } a \ \perp = \perp$   
 $\text{pseq } \perp \ b \neq \perp$

$a$  is strict  
 $b$  is non-strict

[Runtime Support for Multicore Haskell]

[Snoyman]

## 6. Semantics

Strict analysis

# Strict analysis

## 7. Appendix

## 7. Appendix

### References

# References

- [H1] Haskell 2010 Language Report  
<https://www.haskell.org/definition/haskell2010.pdf>
- [H2] The Glorious Glasgow Haskell Compilation System (GHC user's guide)  
[https://downloads.haskell.org/~ghc/latest/docs/users\\_guide.pdf](https://downloads.haskell.org/~ghc/latest/docs/users_guide.pdf)
- [H3] A History of Haskell: Being Lazy With Class  
<http://haskell.cs.yale.edu/wp-content/uploads/2011/02/history.pdf>
- [H4] The implementation of functional programming languages  
<http://research.microsoft.com/en-us/um/people/simonpj/papers/slpj-book-1987/slpj-book-1987.pdf>
- [H5] Implementing lazy functional languages on stock hardware: the Spineless Tagless G-machine Version 2.5  
<http://research.microsoft.com/en-us/um/people/simonpj/Papers/spineless-tagless-gmachine.ps.gz>
- [H6] Making a Fast Curry Push/Enter vs Eval/Apply for Higher-order Languages  
<http://research.microsoft.com/en-us/um/people/simonpj/papers/eval-apply>
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<http://community.haskell.org/~simonmar/papers/multicore-ghc.pdf>
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<https://ghc.haskell.org/trac/ghc/wiki/Commentary/Compiler/GeneratedCode>
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- [H10] GHC Commentary: Strict & StrictData  
<https://ghc.haskell.org/trac/ghc/wiki/StrictPragma>

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<http://www.pearsonhighered.com/educator/product/Introduction-Functional-Programming/9780134843469.page>
- [B2] Thinking Functionally with Haskell (IFPH 3rd edition)  
<http://www.cs.ox.ac.uk/publications/books/functional/>
- [B3] Programming in Haskell  
<https://www.cs.nott.ac.uk/~gmh/book.html>
- [B4] Real World Haskell  
<http://book.realworldhaskell.org/>
- [B5] Parallel and Concurrent Programming in Haskell  
<http://chimera.labs.oreilly.com/books/12300000000929>
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- [B7] Purely Functional Data Structures  
<http://www.cambridge.org/us/academic/subjects/computer-science/programming-languages-and-applied-logic/purely-functional-data-structures>
- [B8] Algorithms: A Functional Programming Approach  
<http://catalogue.pearsoned.co.uk/catalog/academic/product/0,1144,0201596040,00.html>

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<http://dev.stephendiehl.com/hask/#laziness>
- [D2] Being Lazy with Class  
<http://www.seas.upenn.edu/~cis194/lectures/06-laziness.html>
- [D3] A Haskell Compiler  
<http://www.scs.stanford.edu/14sp-cs240h/slides/ghc-compiler-slides.html>  
<http://www.scs.stanford.edu/11au-cs240h/notes/ghc-slides.html>
- [D4] Evaluation  
[http://dev.stephendiehl.com/fun/005\\_evaluation.html](http://dev.stephendiehl.com/fun/005_evaluation.html)
- [D5] Incomplete Guide to e Lazy Evaluation (in Haskell)  
<https://hackhands.com/guide-lazy-evaluation-haskell>
- [D6] Evaluation on the Haskell Heap  
<http://blog.ezyang.com/2011/04/evaluation-on-the-haskell-heap>
- [D7] Fixing foldl  
<http://www.well-typed.com/blog/2014/04/fixing-foldl>
- [D8] How to force a list  
<https://ro-che.info/articles/2015-05-28-force-list>
- [D9] Evaluation order and state tokens  
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- [D10] GHC illustrated  
[http://takenobu-hs.github.io/downloads/haskell\\_ghc\\_illustrated.pdf](http://takenobu-hs.github.io/downloads/haskell_ghc_illustrated.pdf)



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- [W2] Lazy evaluation  
[https://wiki.haskell.org/Lazy\\_evaluation](https://wiki.haskell.org/Lazy_evaluation)
  
- [W3] Lazy vs. non-strict  
[https://wiki.haskell.org/Lazy\\_vs.\\_non-strict](https://wiki.haskell.org/Lazy_vs._non-strict)
  
- [W4] Haskell/Denotational semantics  
[https://en.wikibooks.org/wiki/Haskell/Denotational\\_semantics](https://en.wikibooks.org/wiki/Haskell/Denotational_semantics)
  
- [W5] Haskell/Graph reduction  
[https://en.wikibooks.org/wiki/Haskell/Graph\\_reduction](https://en.wikibooks.org/wiki/Haskell/Graph_reduction)

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- [S1] Hackage  
<https://hackage.haskell.org>
- [S2] Hoogle  
<https://www.haskell.org/hoogle>

Lazy,... <sup>!!!</sup>