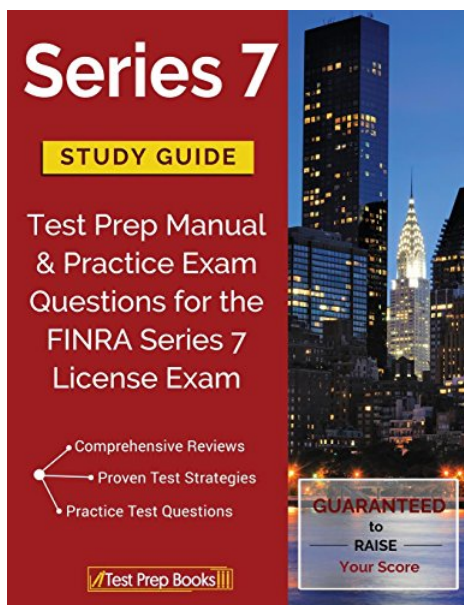


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Series 7 Study Guide: Test Prep Manual & Practice Exam Questions for the FINRA Series 7 Licence Exam Developed for test takers trying to achieve a passing score on the Series 7 exam, this comprehensive study guide includes: Quick Overview Test-Taking Strategies Introduction to the Series 7 Exam Regulatory Requirements Knowledge of Investor Profile Opening and Maintaining Customer Accounts Business Conduct Knowledge & Suitable Recommendations Orders and Transactions in Customer Accounts Professional Conduct and Ethical Considerations Primary Marketplace Secondary Marketplace Principal Factors Affecting Securities, Markets, and Prices Analysis of Securities and Markets Equity Securities Debt Securities Packaged Securities and Managed Investments Options Retirement Plans Custodial, Education, and Health Savings Practice Questions Detailed Answer Explanations Each section of the test has a comprehensive review that goes into detail to cover all of the content likely to appear on the Series 7 exam. The practice test questions are each followed by detailed answer explanations. If you miss a question, it's important that you are able to

understand the nature of your mistake and how to avoid making it again in the future. The answer explanations will help you to learn from your mistakes and overcome them. Understanding the latest test-taking strategies is essential to preparing you for what you will expect on the exam. A test taker has to not only understand the material that is being covered on the test, but also must be familiar with the strategies that are necessary to properly utilize the time provided and get through the test without making any avoidable errors. Anyone planning to take the Series 7 exam should take advantage of the review material, practice test questions, and test-taking strategies contained in this study guide.

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