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# Optimising AI/ML: Beyond Gradients

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# About Me

Dr Takfarinas Saber

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BSc, MSc and PhD Computer Science

Research Areas:

Resource Optimisation in Cloud

Engineering, Testing and Optimisation of Software

Applications



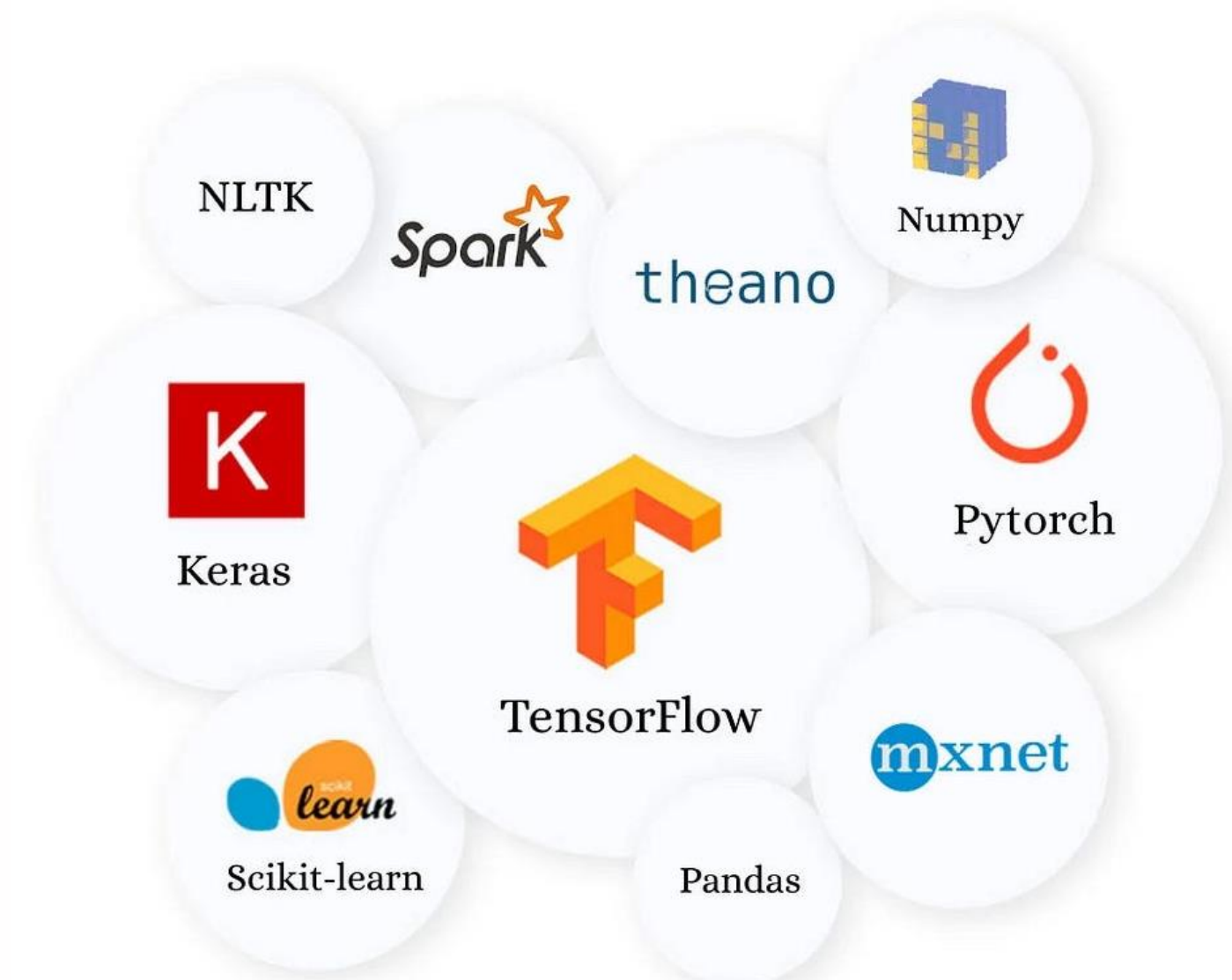
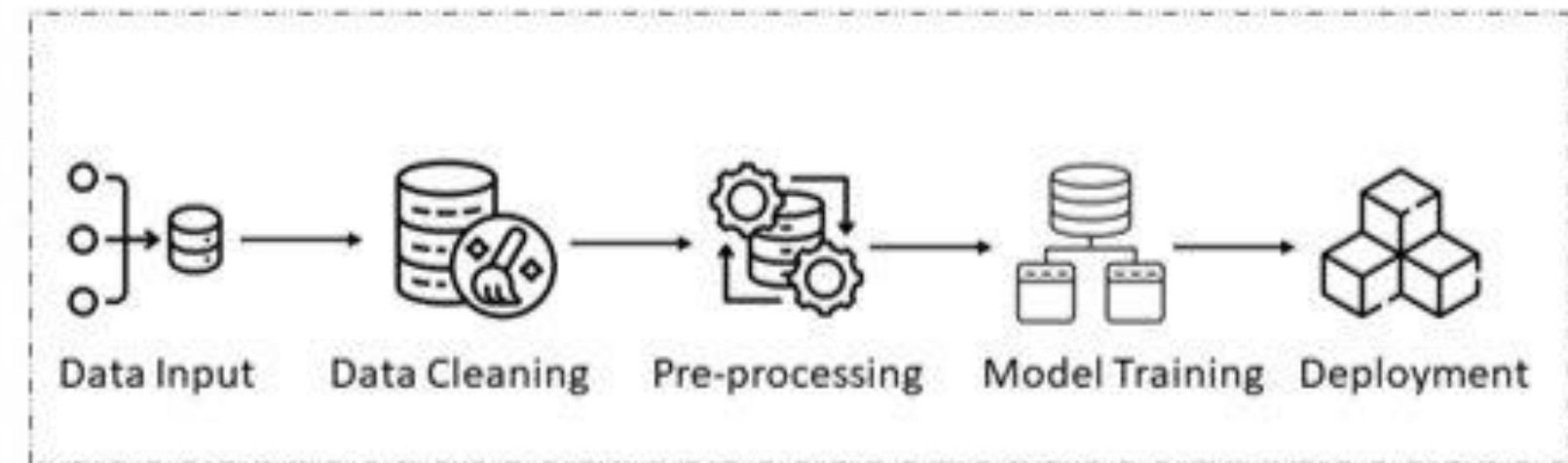
# ML Pipeline



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There are several ML Frameworks.

Why does your ML pipeline cost so much and run so slow?





# The Optimization Maturity Ladder

- Level 0: Using default parameters (most software engineers using ML are here)
- Level 1: Manual tuning (most ML teams are)
- Level 2: Single-component optimization
- Level 3: Pipeline-wide optimization
- Level 4: Self-optimizing autonomous MLOps

At what level are your projects?



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# Phase 2 (★☆☆☆☆): Single component optimization

Hyperparameter tuning (Covered)

Resource allocation

Data sampling

# Hyperparameter Optimization (HPO)



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## Olson Experiment on Parameter Tuning

Used 165 classification data sets from a variety of sources and 13 different classification algorithms from scikit-learn.

Compared classification accuracy using default parameters for each algorithm to a tuned version of those algorithms.

On average, got 5–10% improvement in classification accuracy from tuning algorithms from default parameters.

However, there is no parameter combination that works best for all problems.

**Tuning is mandatory to see improvement in ML algorithms**

# GridSearchCV



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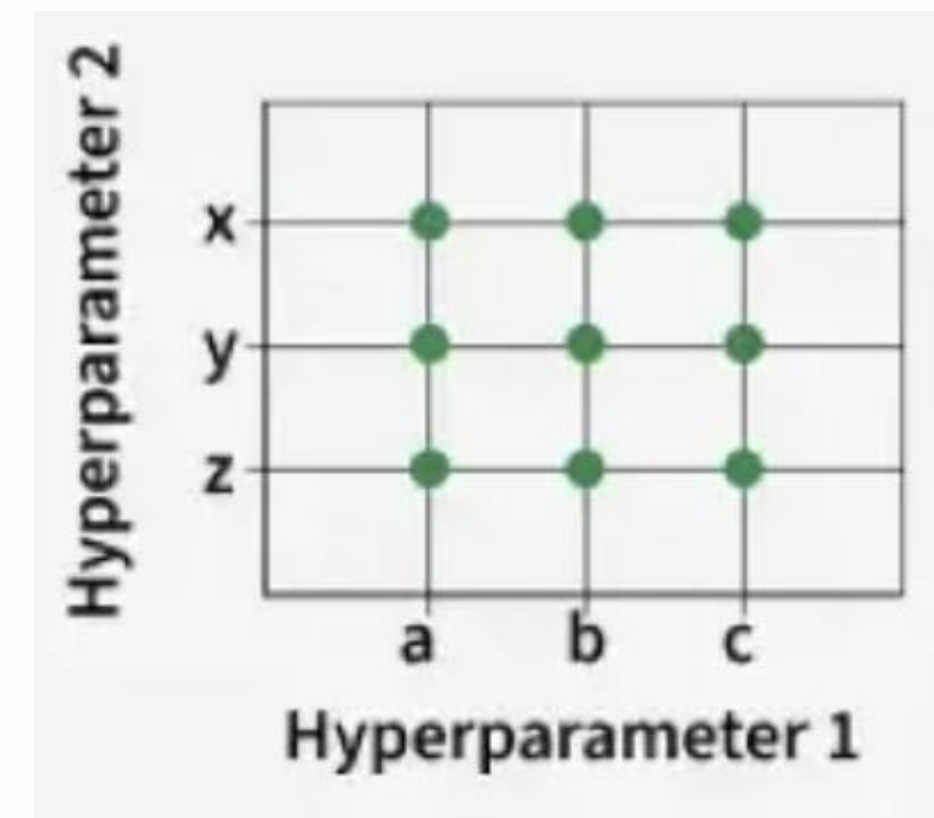
Brute-force technique for hyperparameter tuning.

It trains the model using all possible combinations of specified hyperparameter values.

It works using below steps:

- Create a grid of potential values for each hyperparameter.
- Train the model for every combination in the grid.
- Evaluate each model using cross-validation.
- Select the combination that gives the highest score.

It is slow and uses a lot of computer power which makes it hard to use with big datasets or many settings.



# RandomizedSearchCV



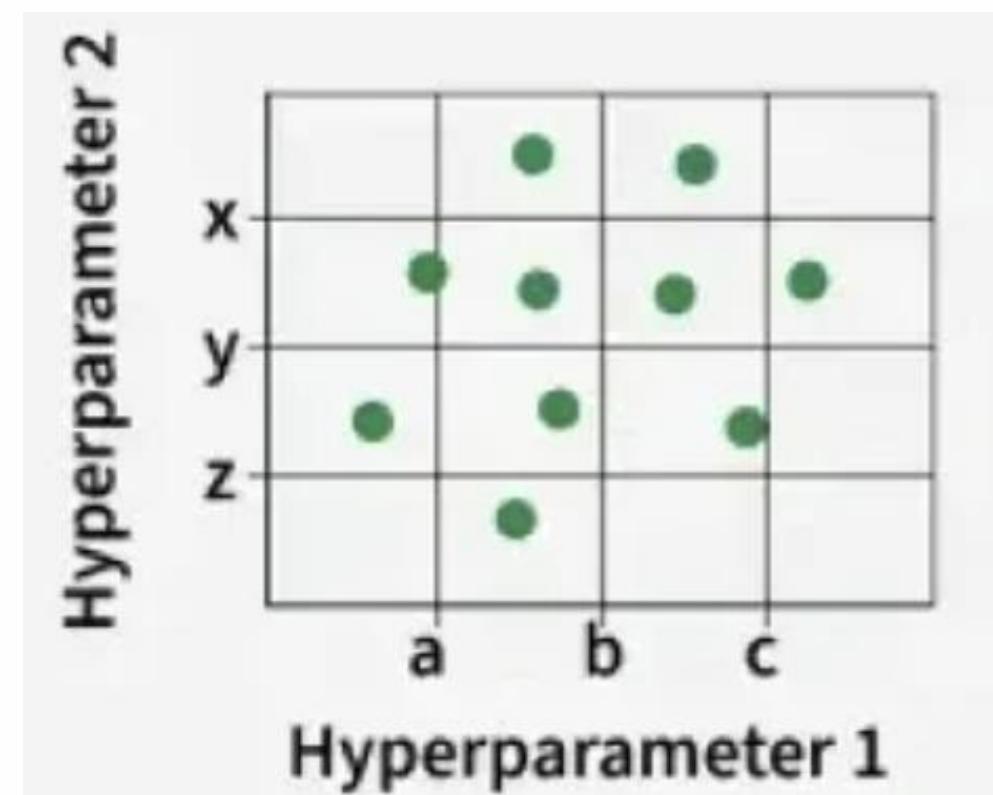
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Picks random combinations of hyperparameters from the given ranges.

In each iteration:

- It tries a new random combination of hyperparameter values.
- It records the model's performance for each combination.

After several attempts it selects the best-performing set.



# Bayesian Optimization

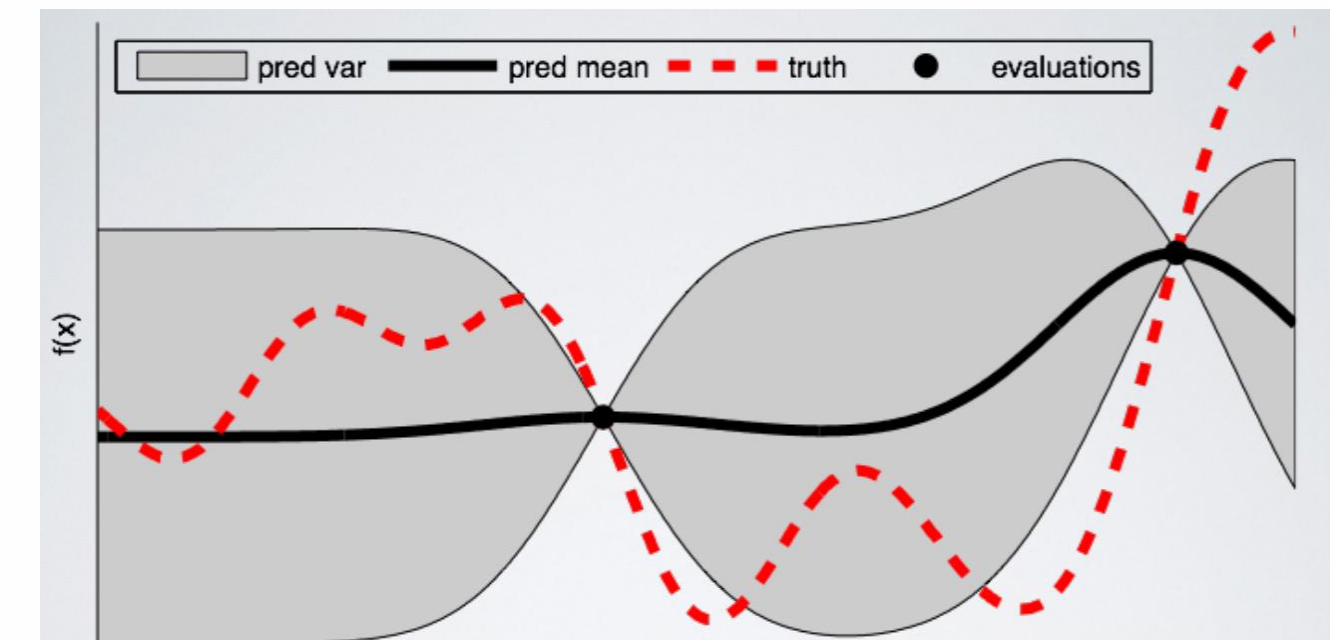


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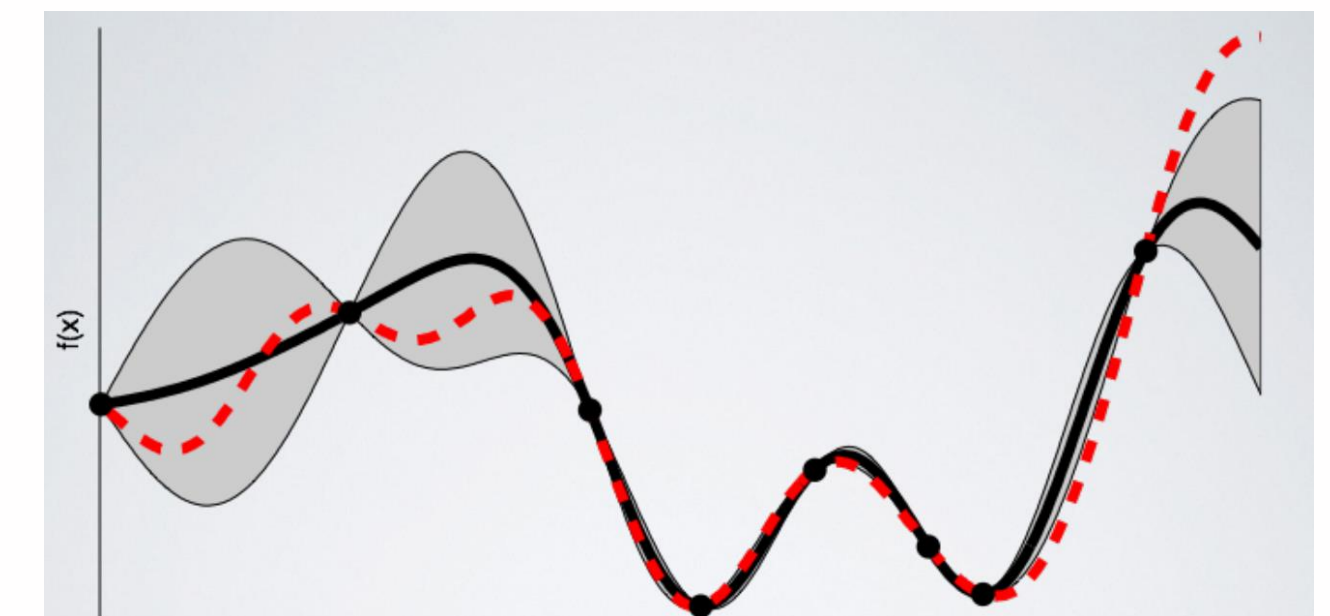
Grid Search and Random Search can be inefficient because they blindly try many hyperparameter combinations, even if some are clearly not useful.

Bayesian Optimization treats hyperparameter tuning like a mathematical optimization problem and learns from past results to decide what to try next:

1. Build **surrogate model** using initial evaluations of true objective function
2. Find the **hyperparameters** that perform best on the surrogate model
3. Apply these hyperparameters to the true objective function
4. Update the surrogate model incorporating the new results
5. Repeat steps 2–4 until max iterations or time is reached



After 6 iterations



# Bayesian Optimization vs Random Search



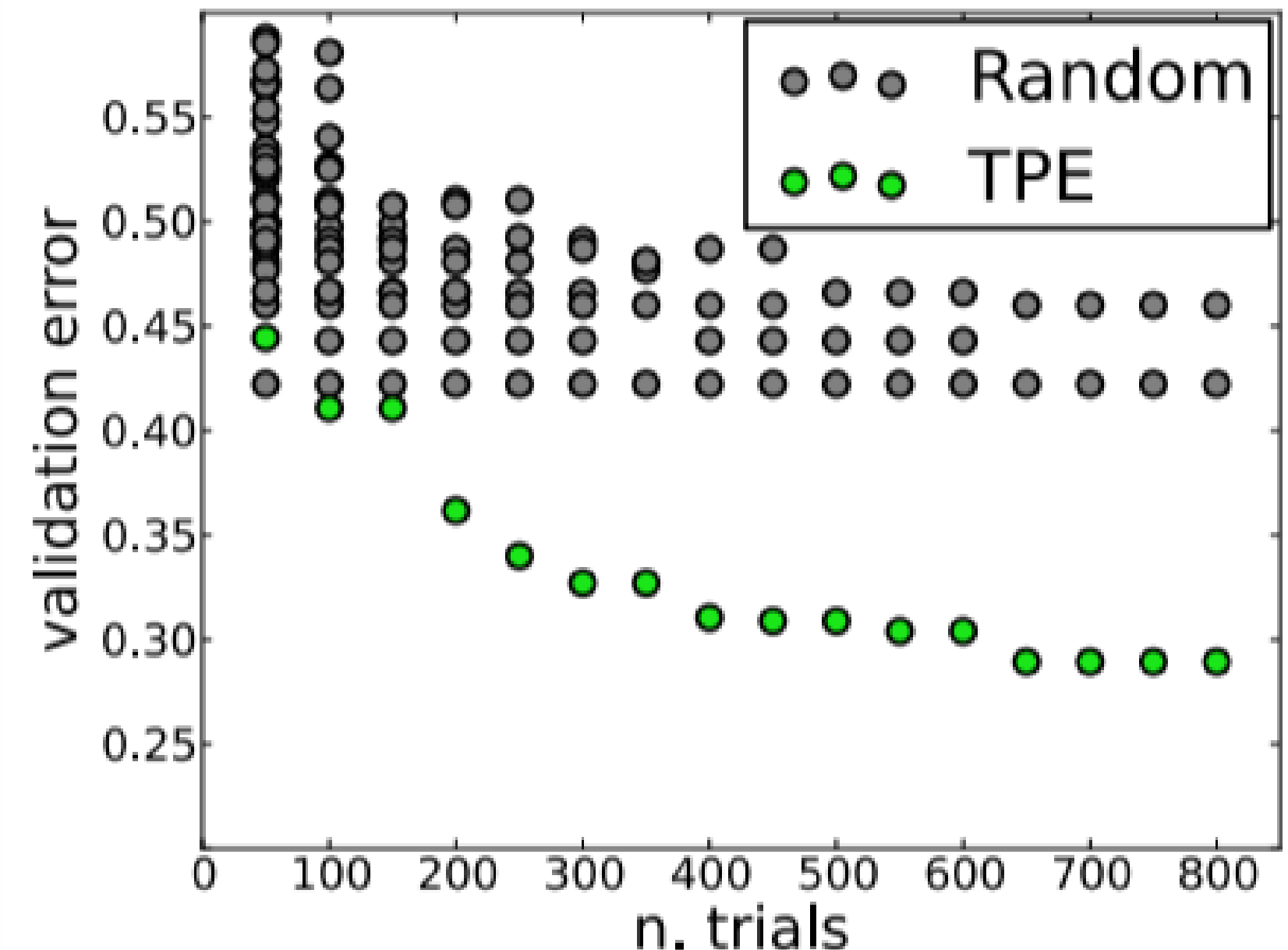
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Common surrogate models used in Bayesian optimization include:

- Gaussian Processes
- Random Forest Regression
- Tree-structured Parzen Estimators (TPE)

Validation error for hyperparameter optimization of an image classification neural network [1] with:

- Random search in grey
- Bayesian Optimization (using the Tree Parzen Estimator or TPE) in green.



[1] Bergstra, J., Yamins, D. and Cox, D., 2013, February. Making a science of model search: Hyperparameter optimization in hundreds of dimensions for vision architectures. In *International conference on machine learning* (pp. 115-123). PMLR.

# Advantages of Hyperparameter tuning



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- **Improved Model Performance:**
  - Finding the optimal combination of hyperparameters can significantly boost model accuracy and robustness.
- **Reduced Overfitting and Underfitting:**
  - Tuning helps to prevent both overfitting and underfitting resulting in a well-balanced model.
- **Enhanced Model Generalizability:**
  - By selecting hyperparameters that optimize performance on validation data the model is more likely to generalize well to unseen data.
- **Optimized Resource Utilization:**
  - With careful tuning resources (e.g., computation time and memory) can be used more efficiently avoiding unnecessary work.
- **Improved Model Interpretability:**
  - Properly tuned hyperparameters can make the model simpler and easier to interpret.

# Challenges in Hyperparameter Tuning



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- **Dealing with High-Dimensional Hyperparameter Spaces:**
  - The larger the hyperparameter space the more combinations need to be explored. This makes the search process computationally expensive and time-consuming especially for complex models with many hyperparameters.
- **Handling Expensive Function Evaluations:**
  - Evaluating a model's performance can be computationally expensive, particularly for models that require a lot of data or iterations.
- **Auxiliary problems:**
  - Resource Allocation for Single Training Job
  - Optimal subset from large dataset
  - Maximize model performance with minimum data

All maturity levels above inherit from these challenges and problems (at a larger scale)



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# Phase 3 (★★★★☆): Pipeline-level optimization

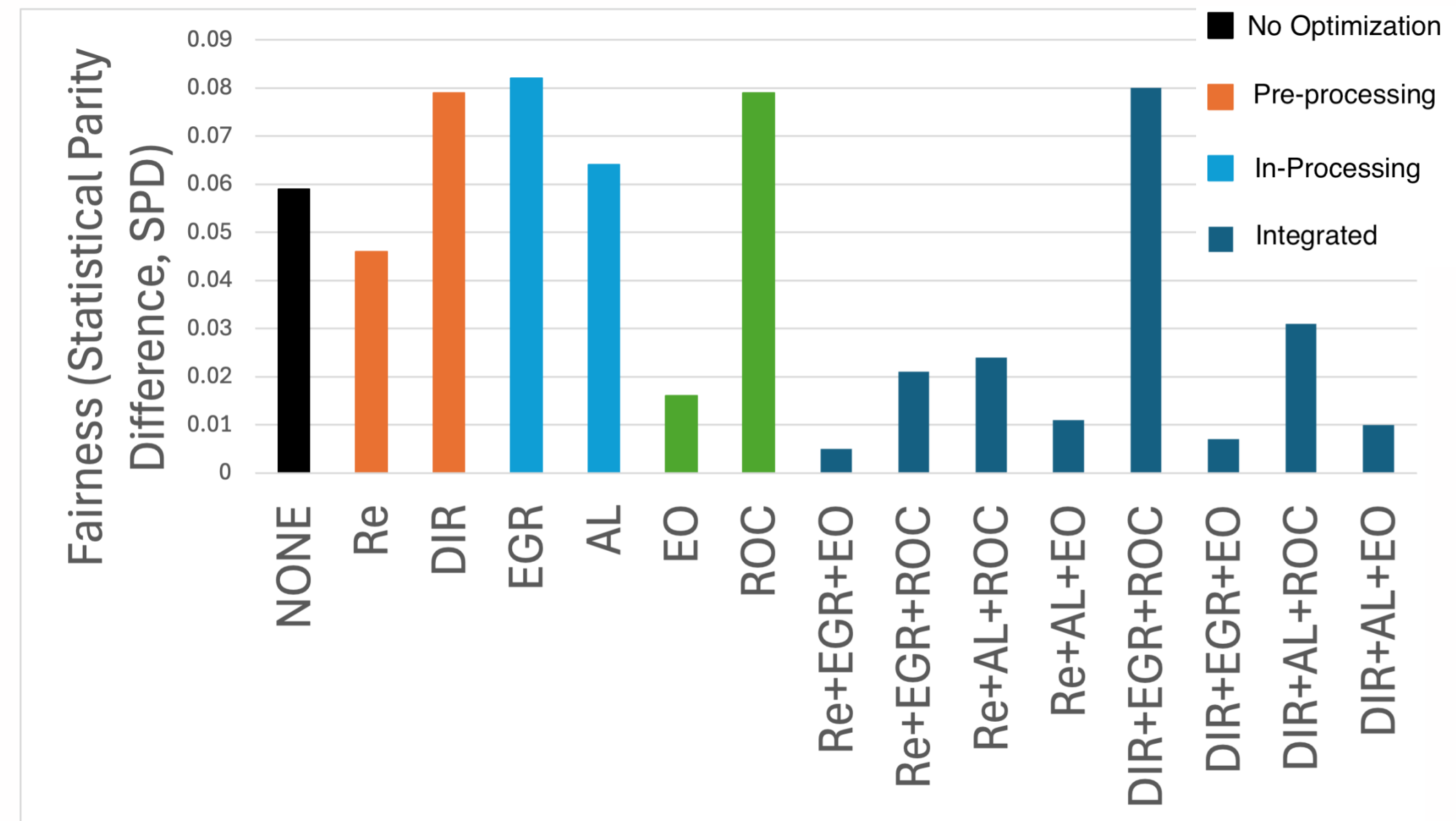
AutoML pipeline construction (Covered)  
DAG scheduling  
Distributed training

# Optimizing ML Components Separately Often Fails



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- Optimizing each component individually ignores interactions between stages.
- Locally optimal choices may lead to globally suboptimal pipelines.
  - E.g., Selecting the best data processing approaches for Fairness Optimisation [1].



**The whole pipeline is more than the sum of its parts!**

# AutoML Pipeline Construction



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## Approach 1: Combined Algorithm Selection and Hyperparameter optimization (CASH)

Focuses on, searching a vast space to find the optimal "single model" and "its hyperparameters."

E.g., Generalizing the Bayesian Algorithm.

The search space is defined in two levels as follows:

- Choices of classifier / regressor and preprocessing methods are top level categorical hyperparameters
- Hyperparameters of selected methods become active when they are chosen.

The combined space can then be searched with Bayesian Optimization methods.

- But need to be able to handle high-dimensional hierarchical spaces



Issues various **common issues**, especially related to dependencies, system setup, and resource limits.

# AutoML Pipeline Construction (Cont'd)

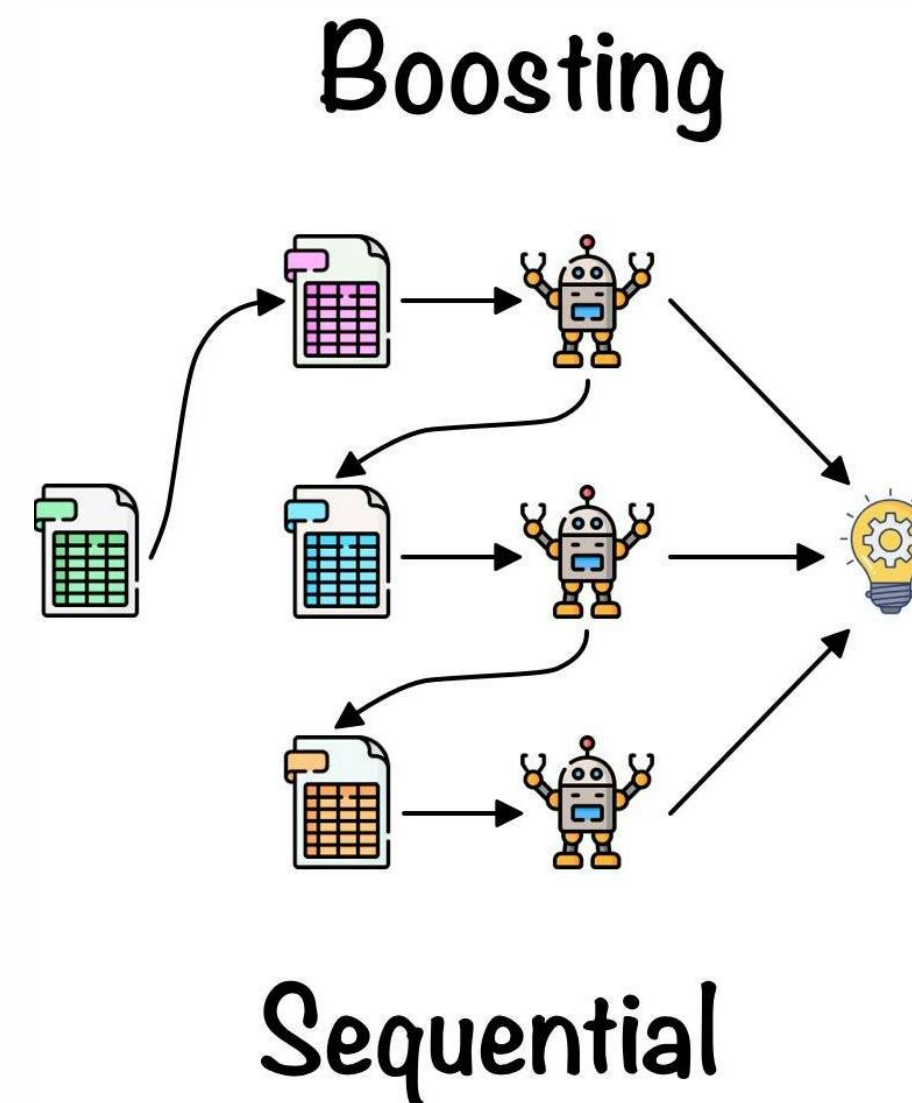
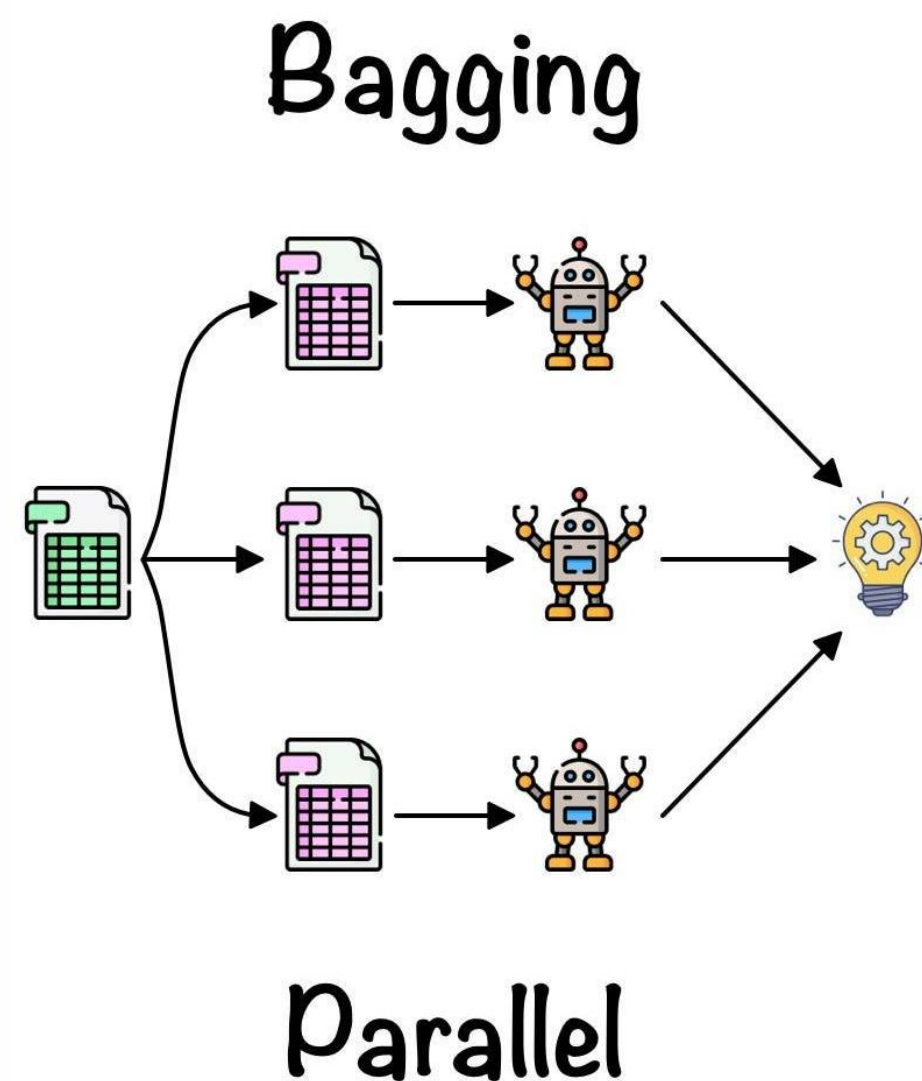


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## Approach 2: Ensembling

Achieves success by **ensembling** multiple models and stacking them in **multiple layers**.

The belief is that wisely combining many "good models" yields better results within a given time limit than searching for a "single perfect model."



# AutoML Pipeline Construction (Cont'd)



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## Approach 2: Ensembling

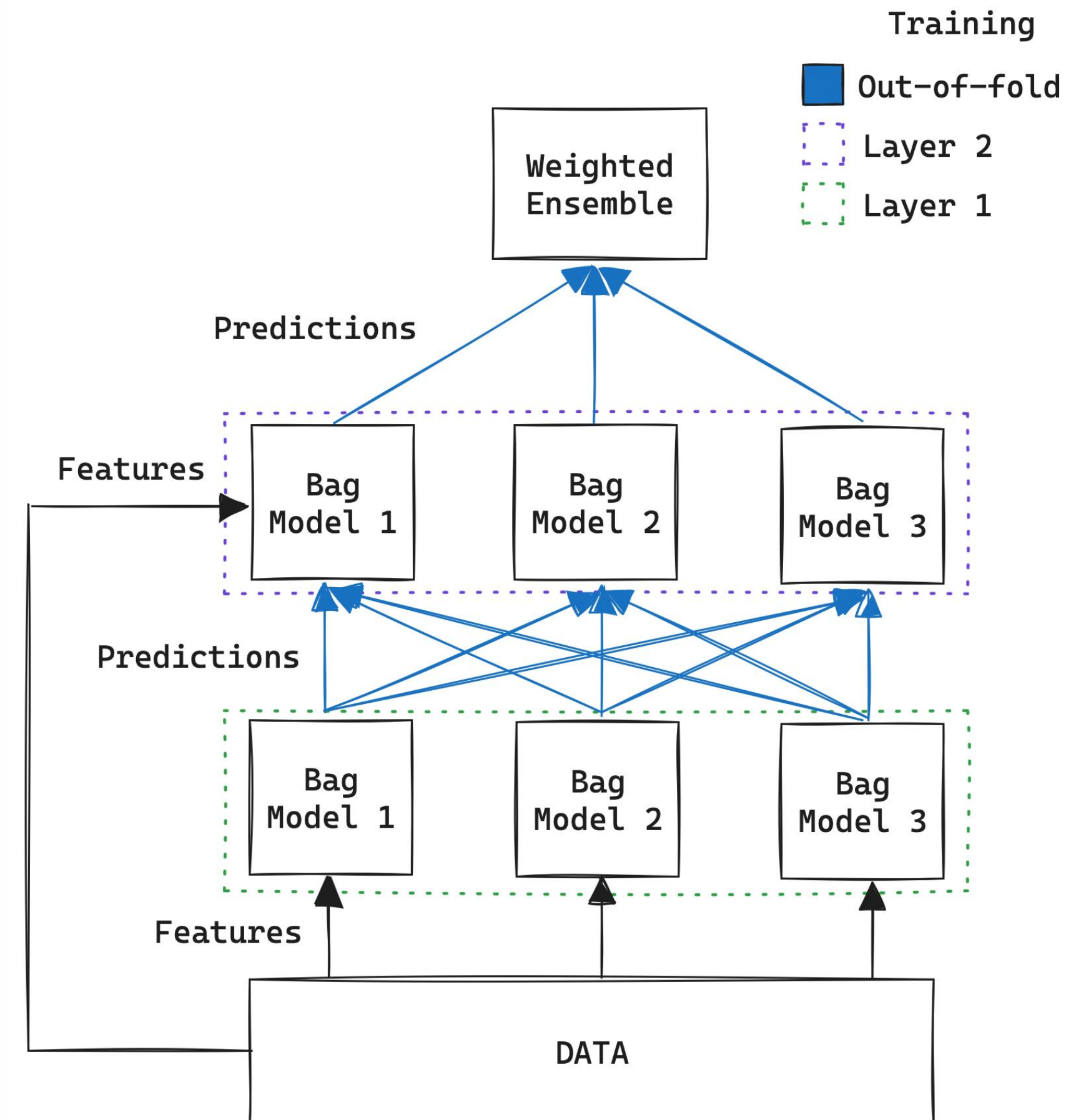


- Layer(s) of Models
- Weighted Ensemble
- Residual Connections

What Models To Use: pre-defined selection.

- Based on the comparison of 1,310 models on 200 distinct datasets with various configs/hyperparameters

<https://huggingface.co/spaces/TabArena/leaderboard>



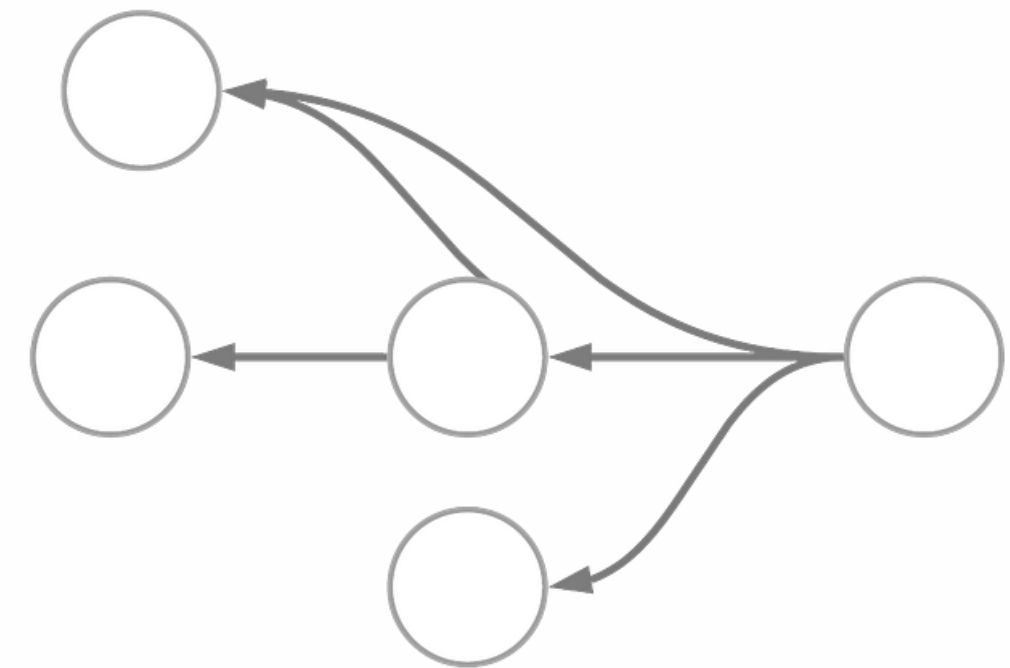
# AutoML Pipeline Construction (Cont'd)



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## Approach 3: Directed Acyclic Graph (DAG)-Based Optimization

- Define the ML pipeline as a Directed Acyclic Graph (DAG) search space
- Search for the best DAG

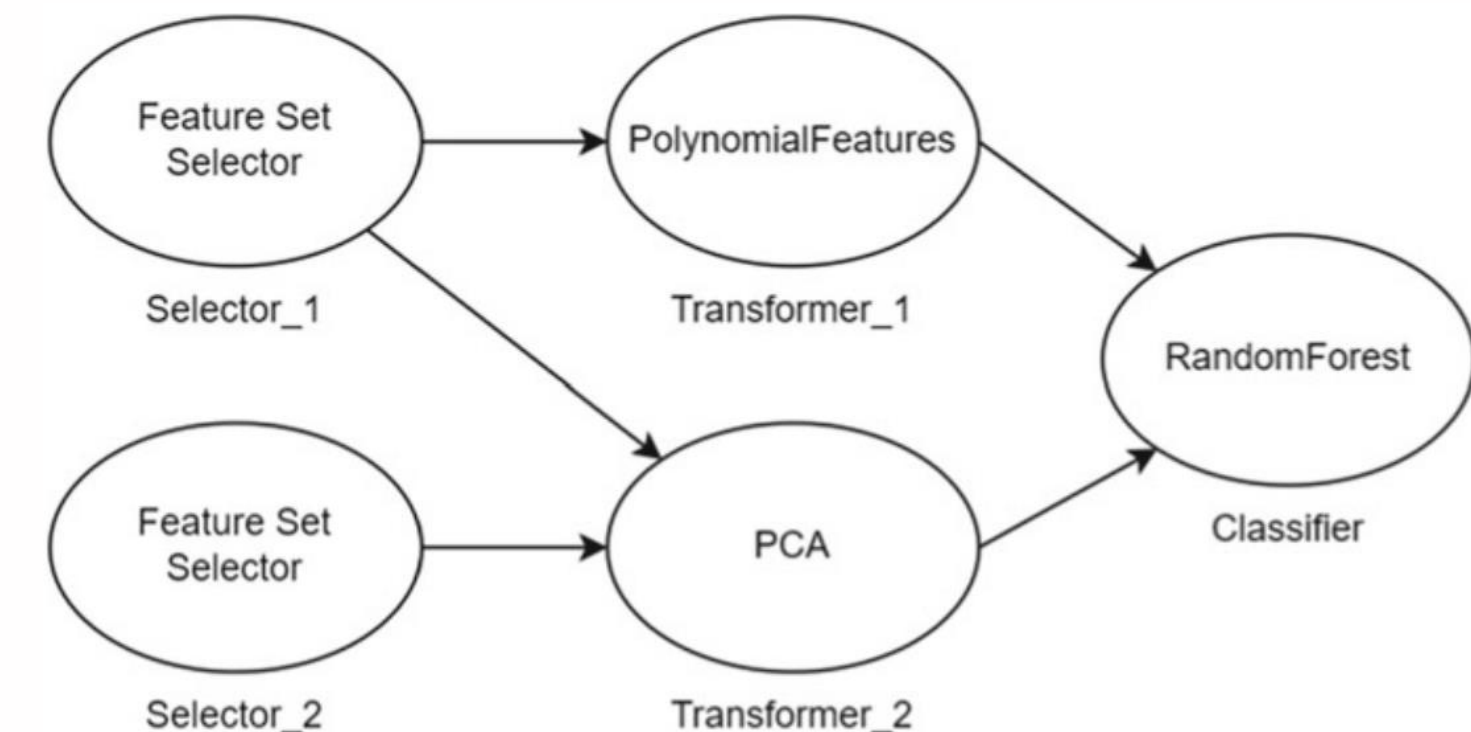
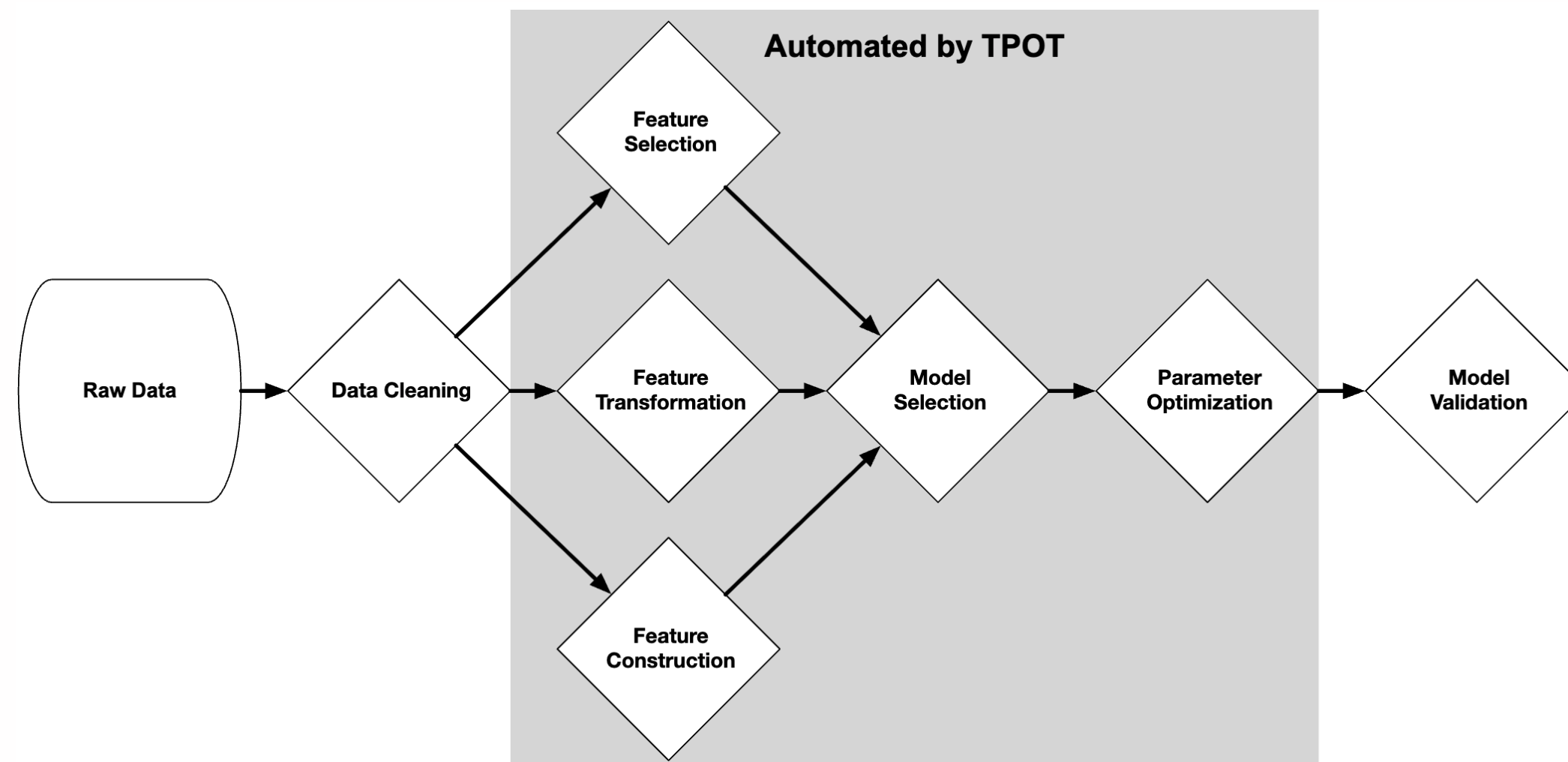


# AutoML Pipeline Construction (Cont'd)



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## Approach 3: Directed Acyclic Graph (DAG)-Based Optimization



Example of TPOT Pipeline

# AutoML Pipeline Construction (Cont'd)



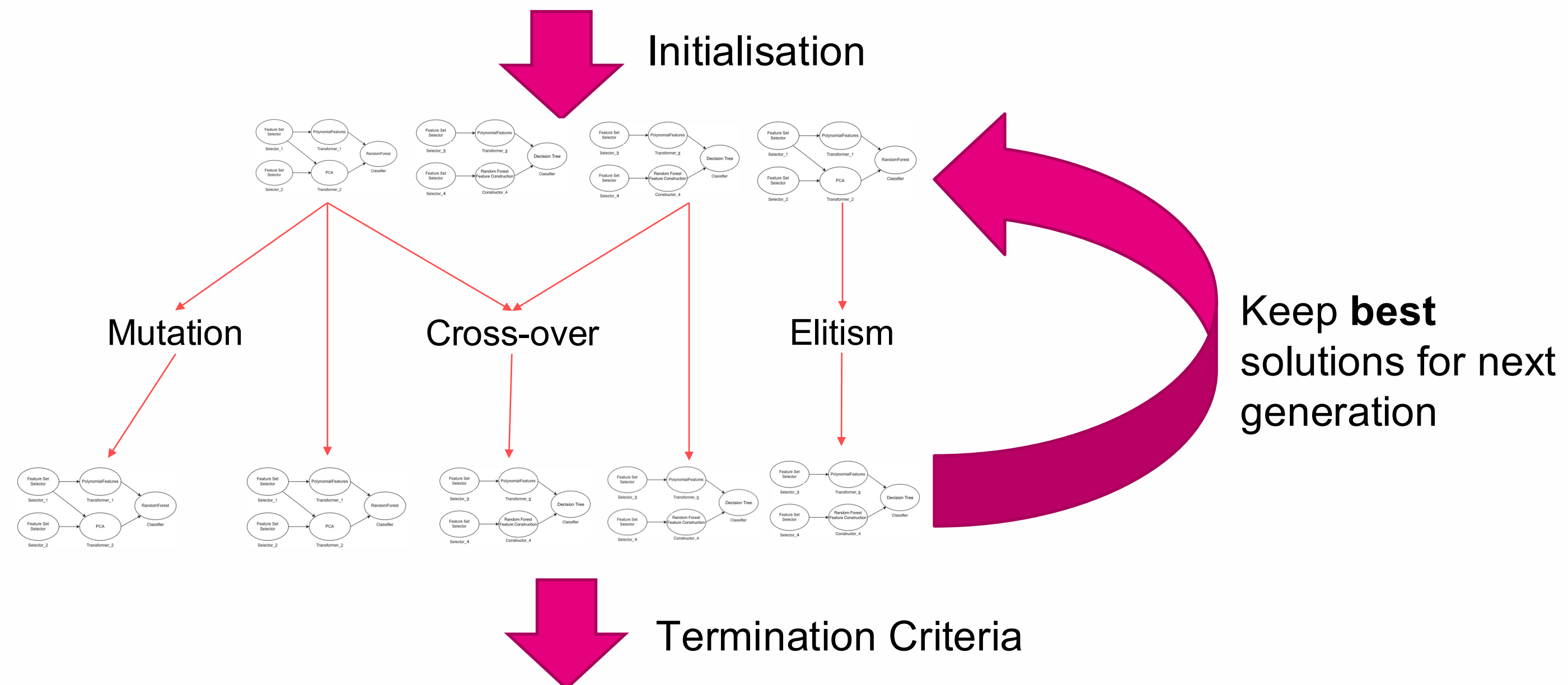
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## Approach 3: Directed Acyclic Graph (DAG)-Based Optimization



Using Genetic Programming

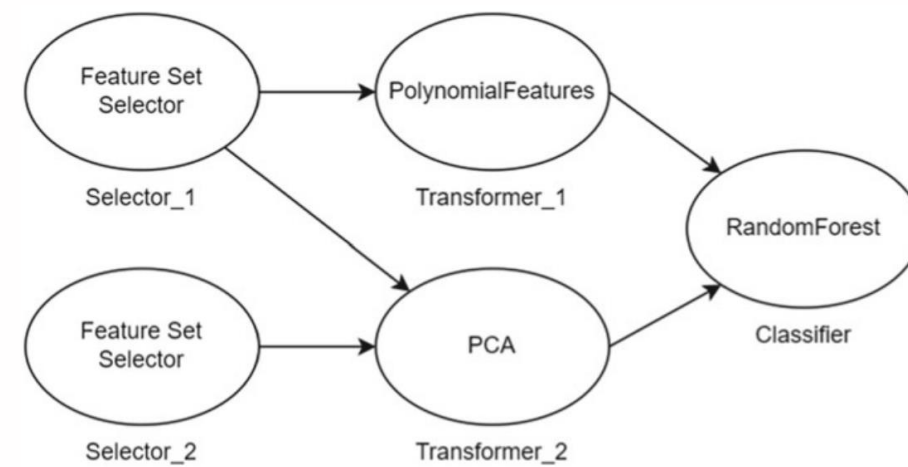
Returns the code of the pipeline



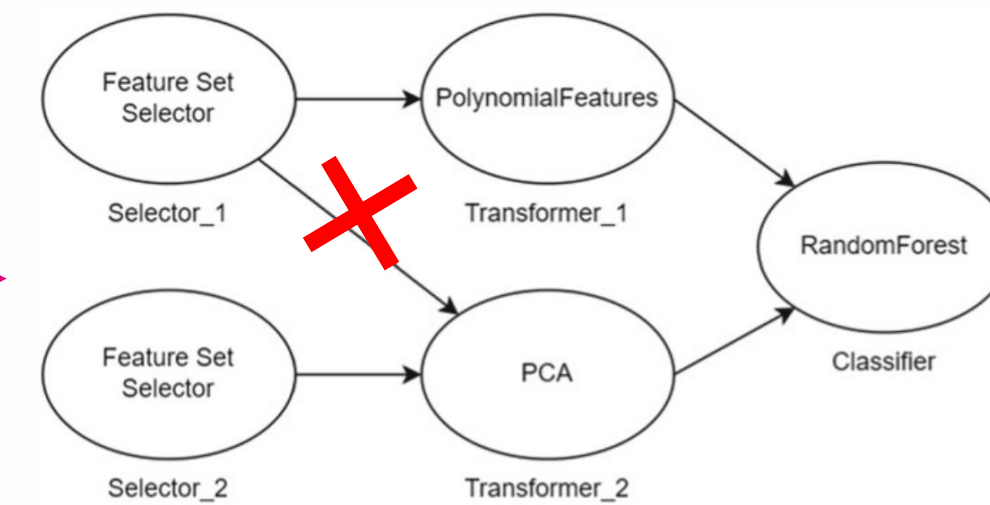
# TPOT2 Evolutionary Operators



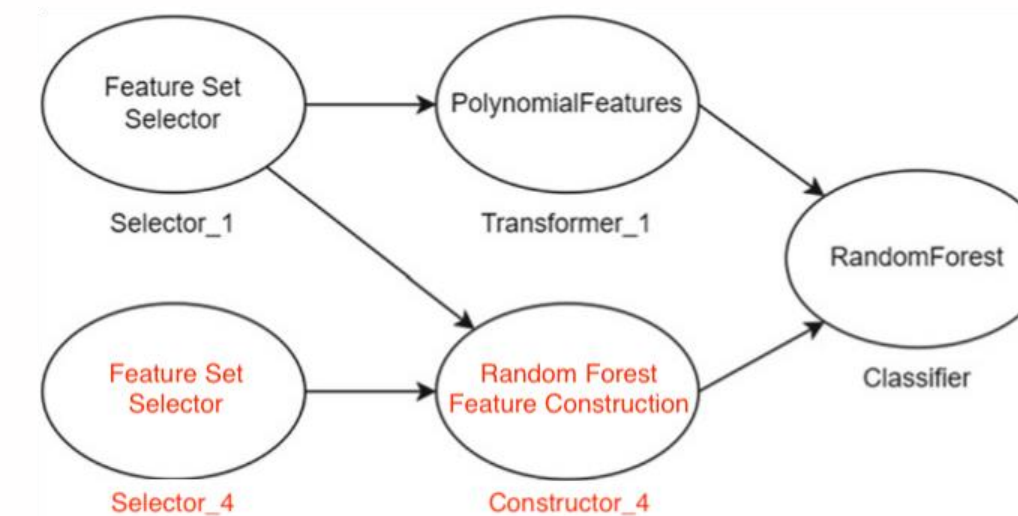
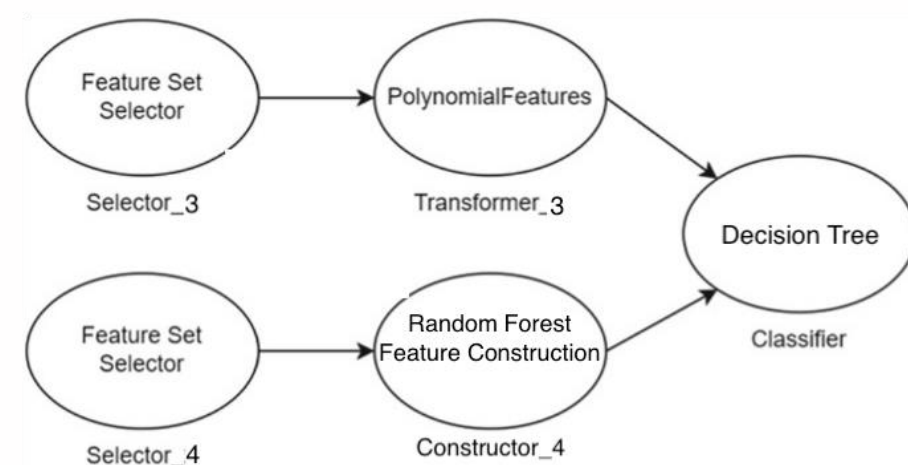
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Mutation



Crossover



# Comparison of AutoML frameworks



Library	Strengths	Weaknesses
AutoGluon	High performance, multi-data support, ensembling	Resource-heavy, MXNet backend
H2O AutoML	Robust, scalable, many model types	Steep learning curve, high memory use
TPOT	Customizable pipelines, exportable code	Slow, not ideal for large datasets
Auto-sklearn	Strong ML foundation, reproducible	Less deep learning support, tricky setup
MLJAR	User-friendly, explainable reports	Slightly lower performance, smaller community
PyCaret	Quick prototyping, low-code	Less control, may not reach top performance



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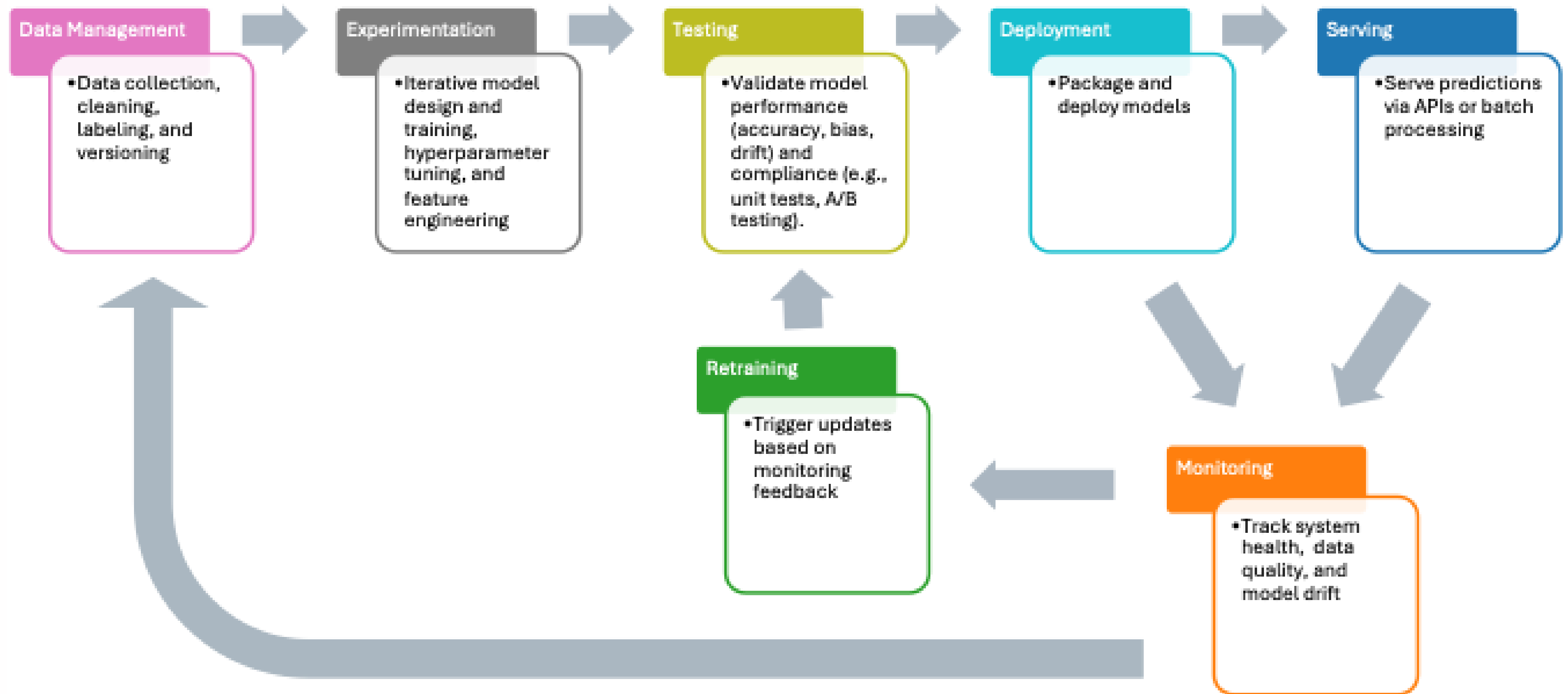
# Phase 4 (★★★★☆): System-wide multi-objective optimization

Balancing competing objectives across the entire MLOps lifecycle

# MLOps



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# Multi-Objective Problem Formulation



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Competing Objectives:

- **Minimize:** Training cost, inference latency, model size, carbon footprint
- **Maximize:** Model accuracy, throughput, model freshness, system reliability

Challenge: No single optimal solution, only trade-offs

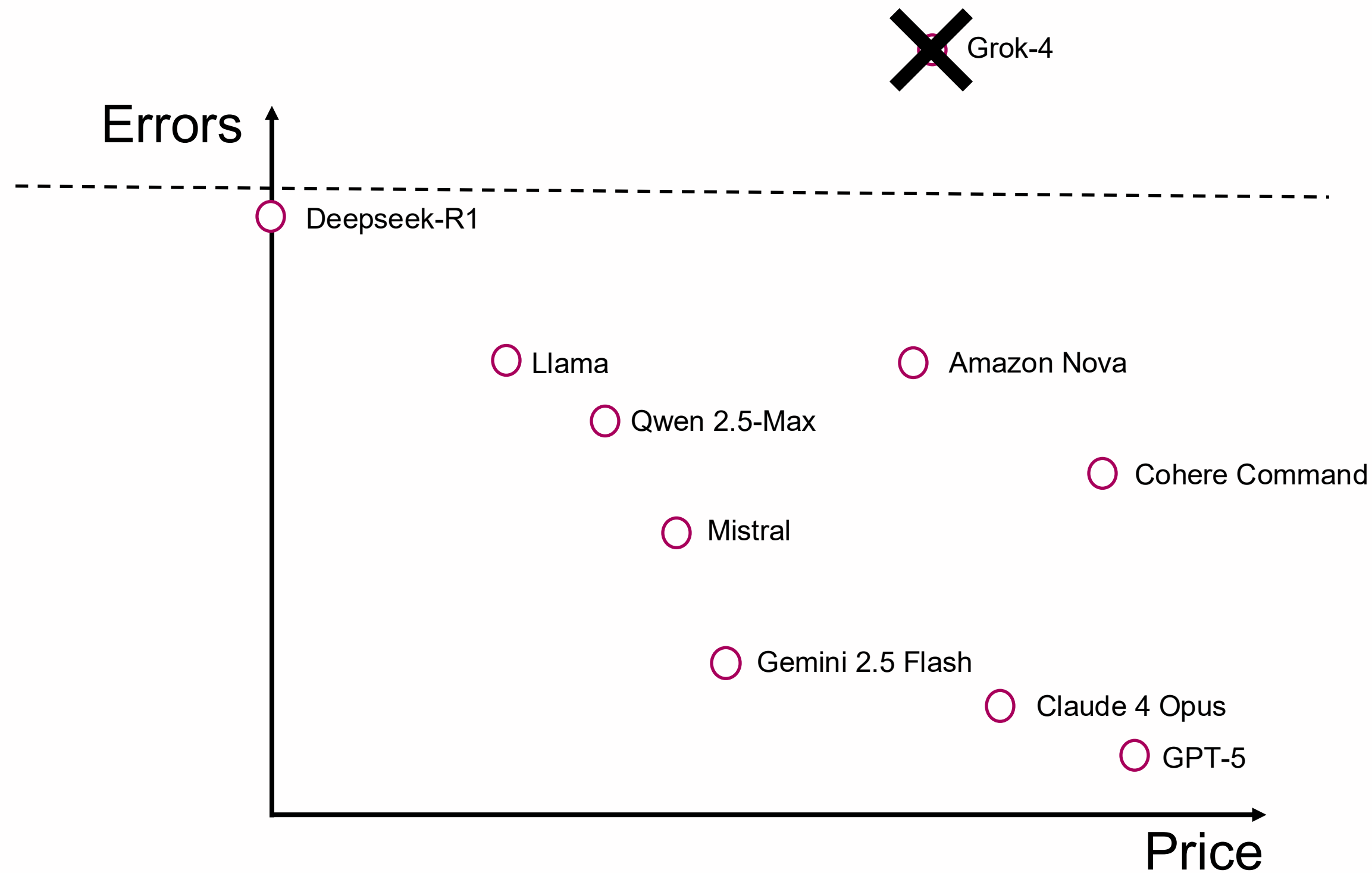


# Two Objectives:

## Cheap and Environmentally Friendly



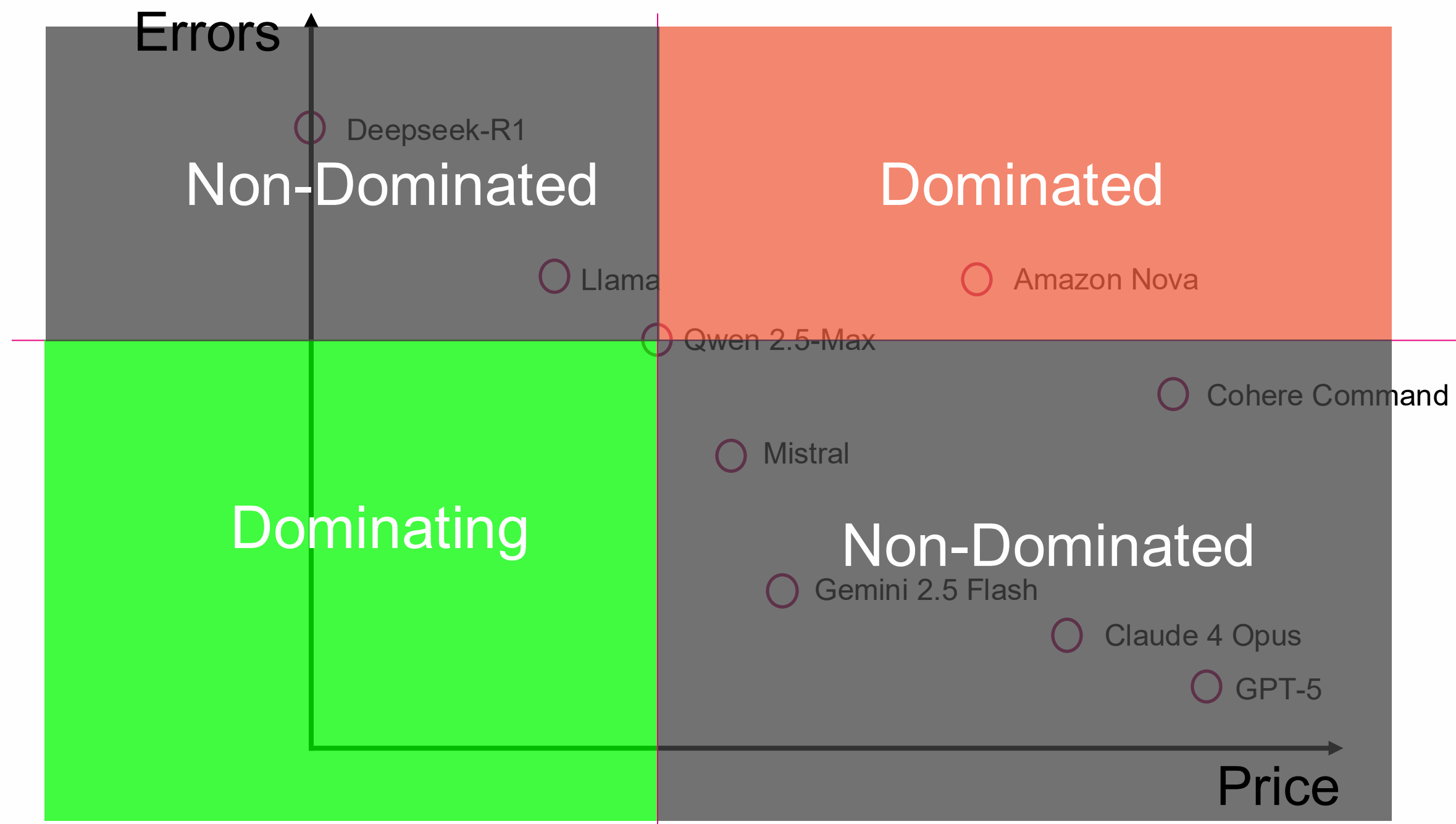
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# Dominance



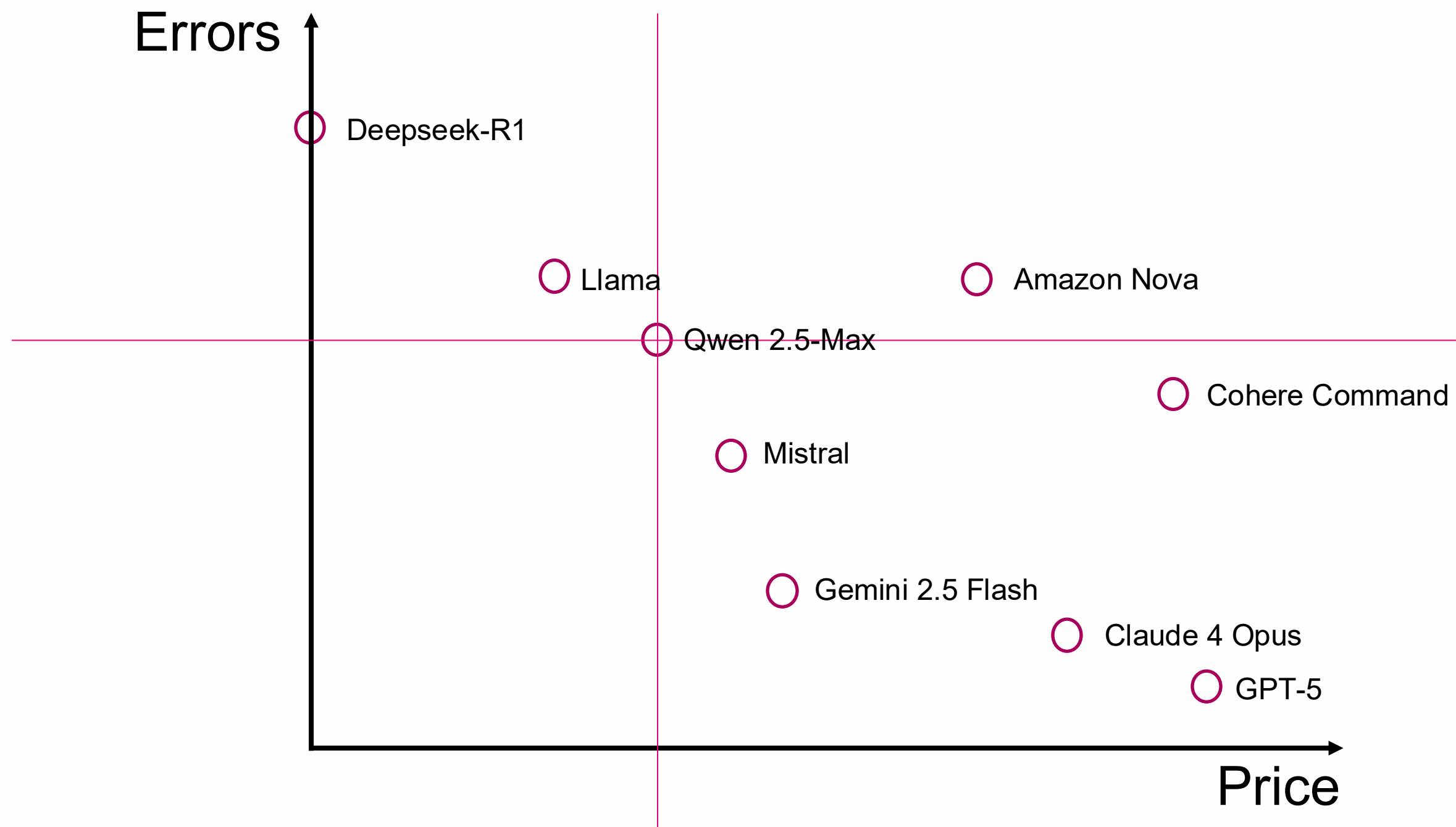
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# Dominance



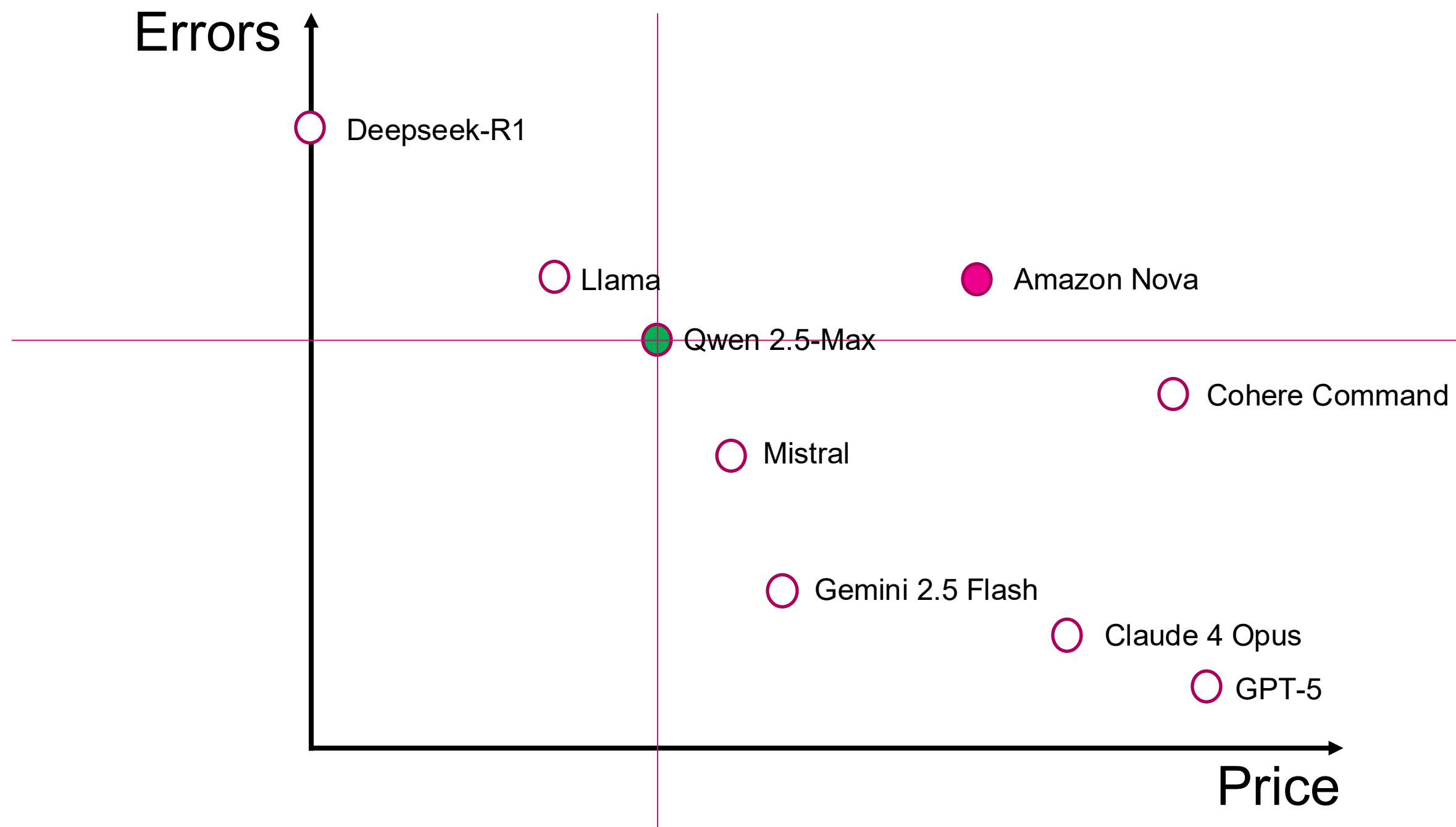
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# Dominance



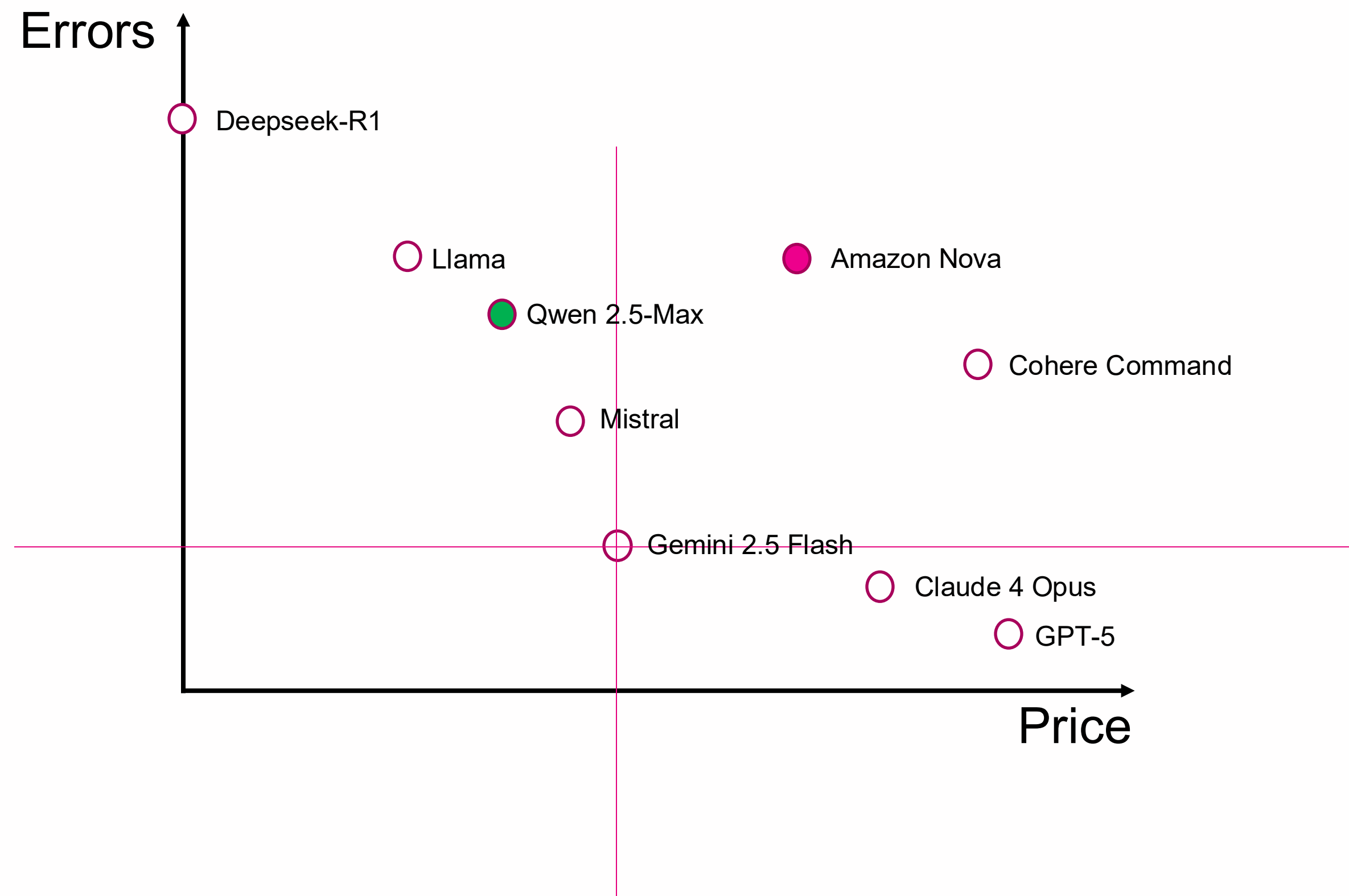
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# Dominance



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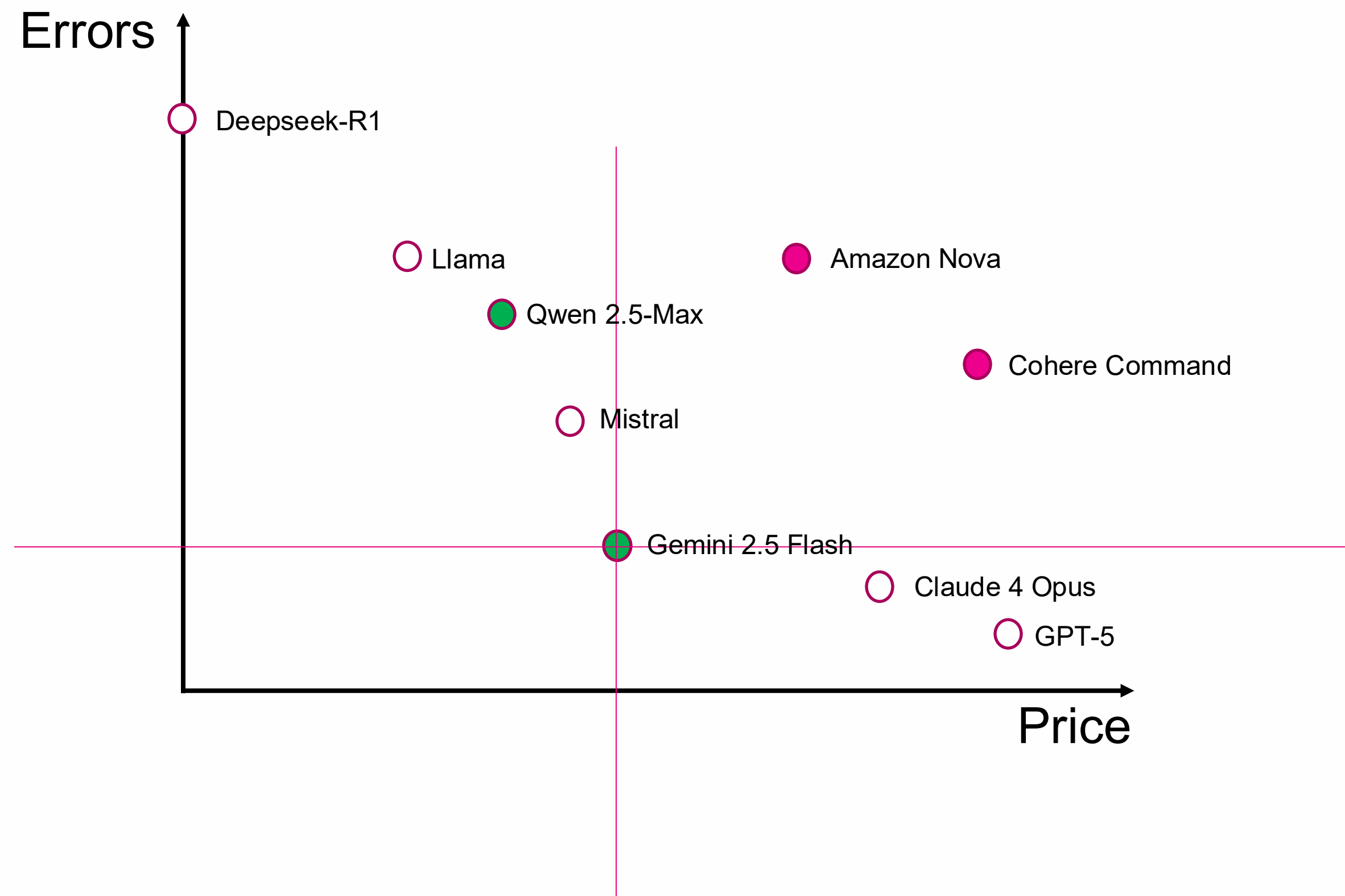
# Dominance



# Dominance



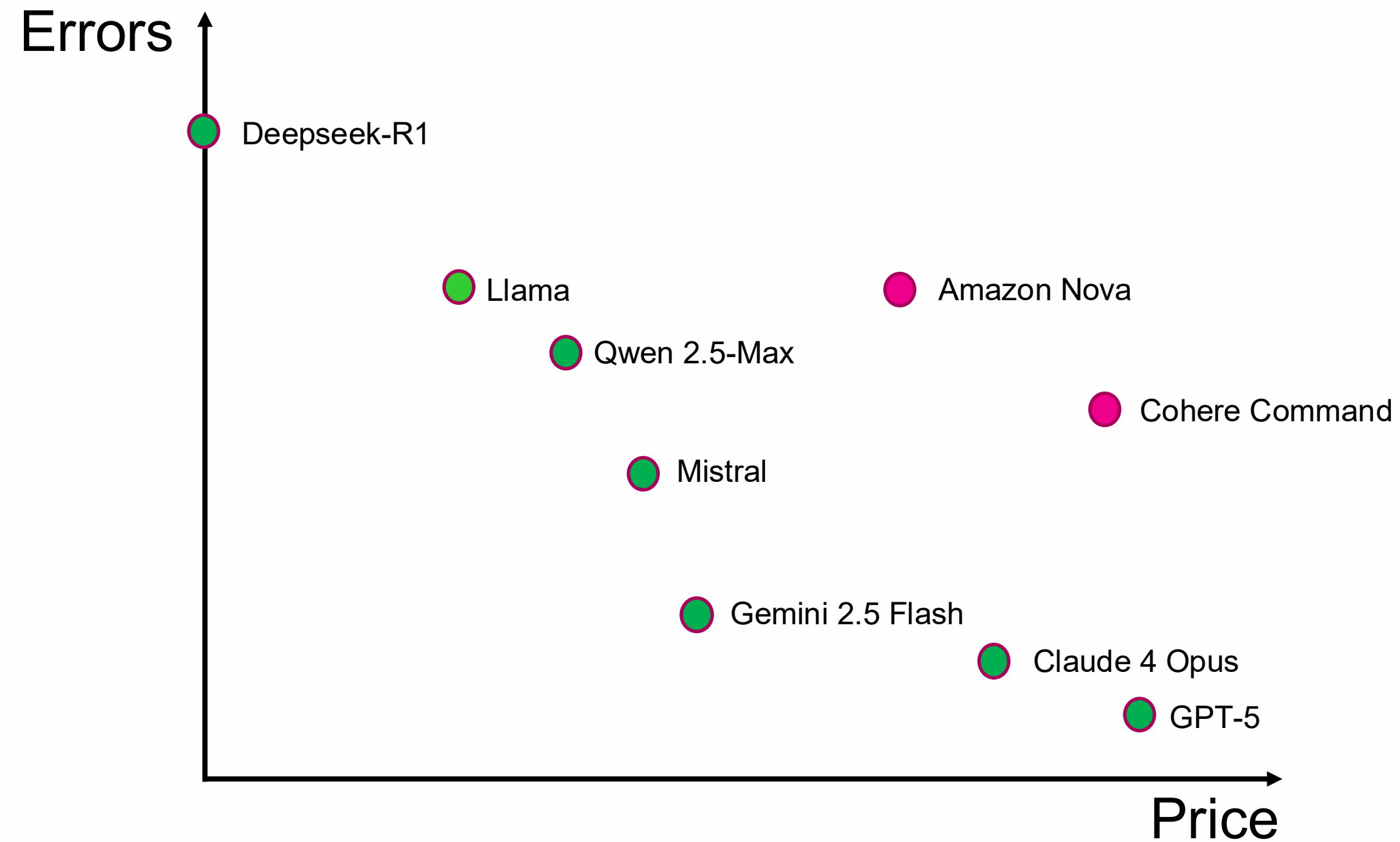
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# Non-Dominated Solutions (a.k.a., Pareto Front)



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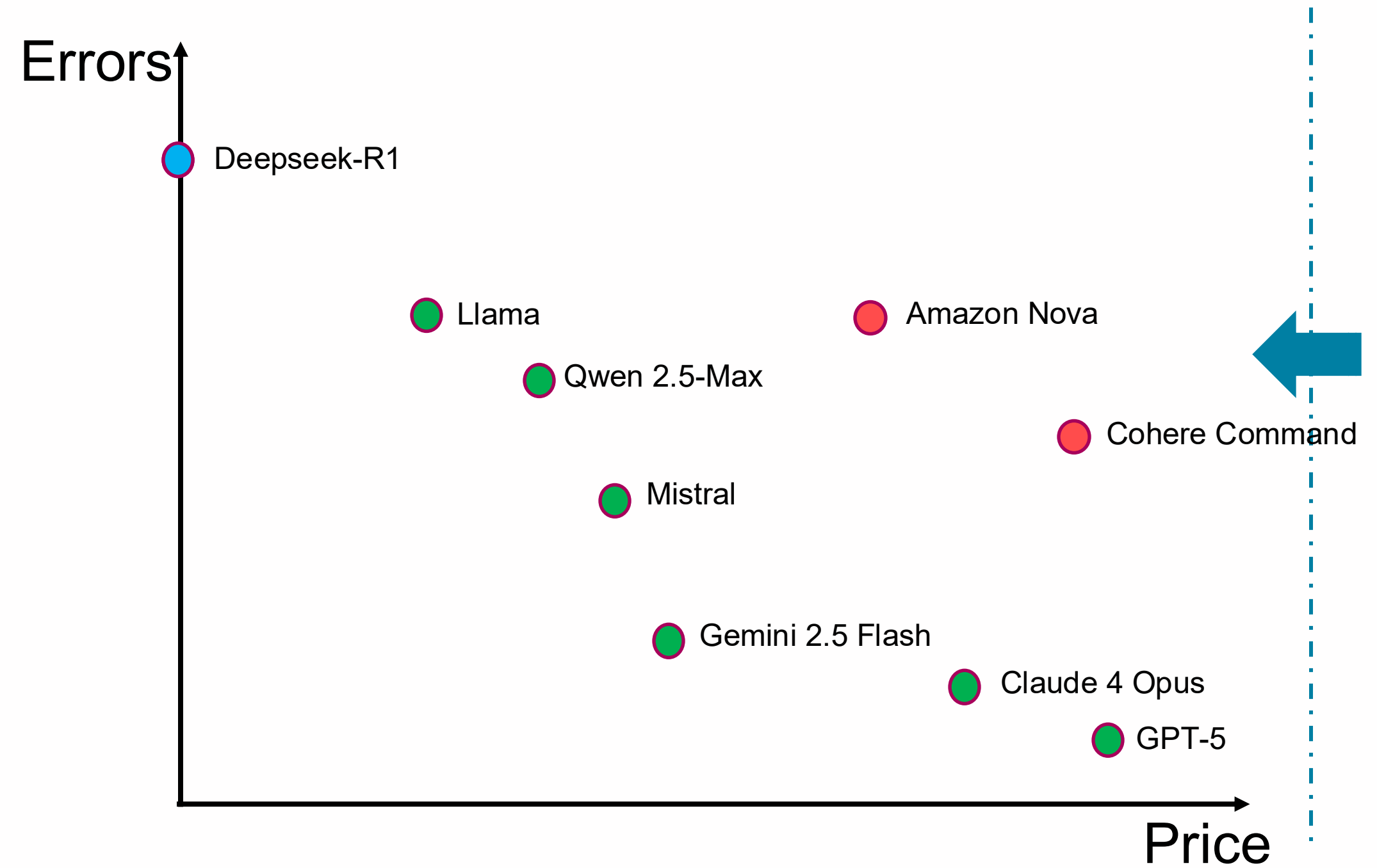


# Pick One Objective



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Minimize  $f = \text{Price}$

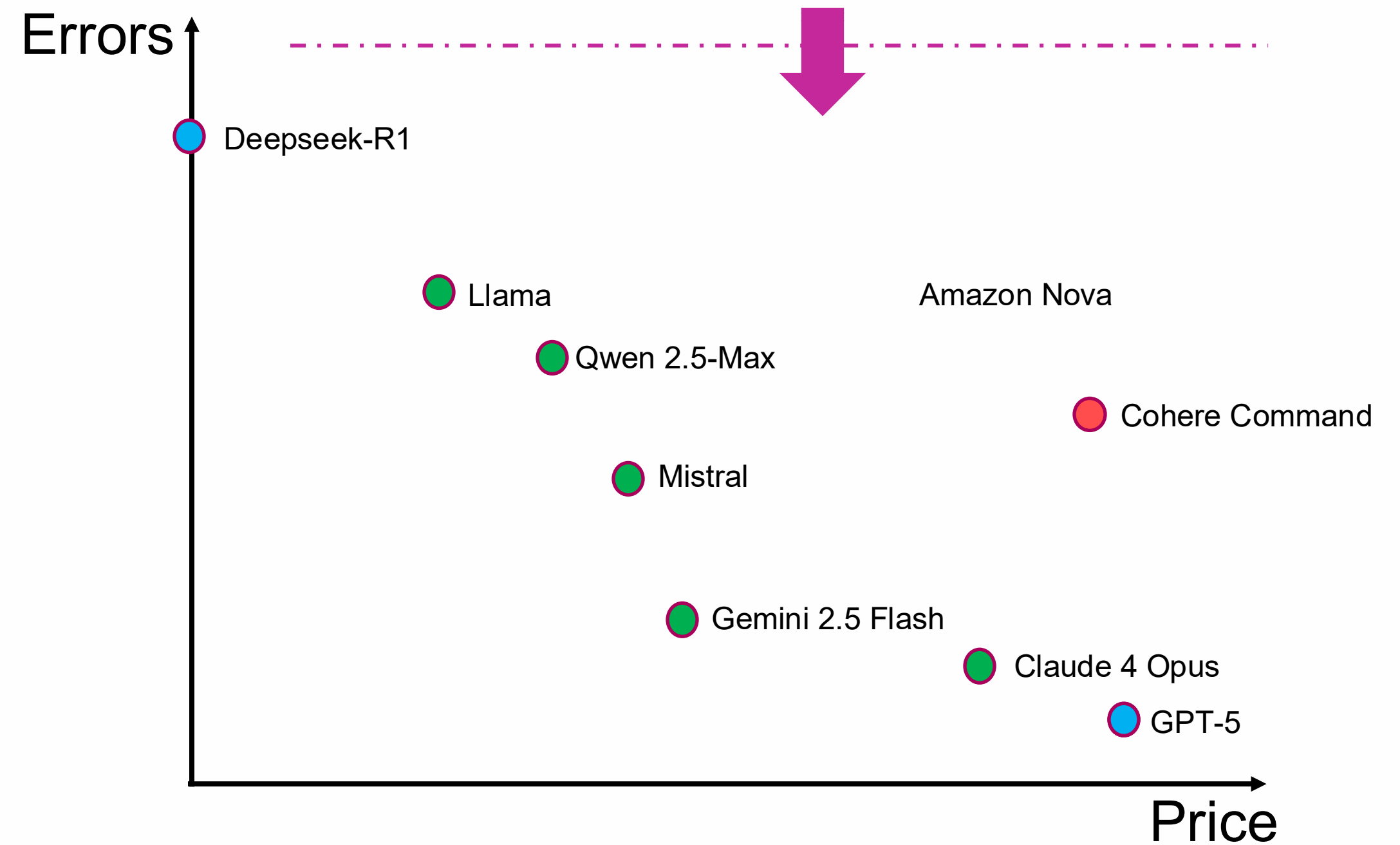


# Pick One Objective



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Minimize  $f = \text{Errors}$



# Looking for a Trade-Off



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Using a Weighted-Sum!

Minimize:

$$\sum_{i=0}^{\#Objectives} W_i \cdot Objective_i$$

But, what weights to select?

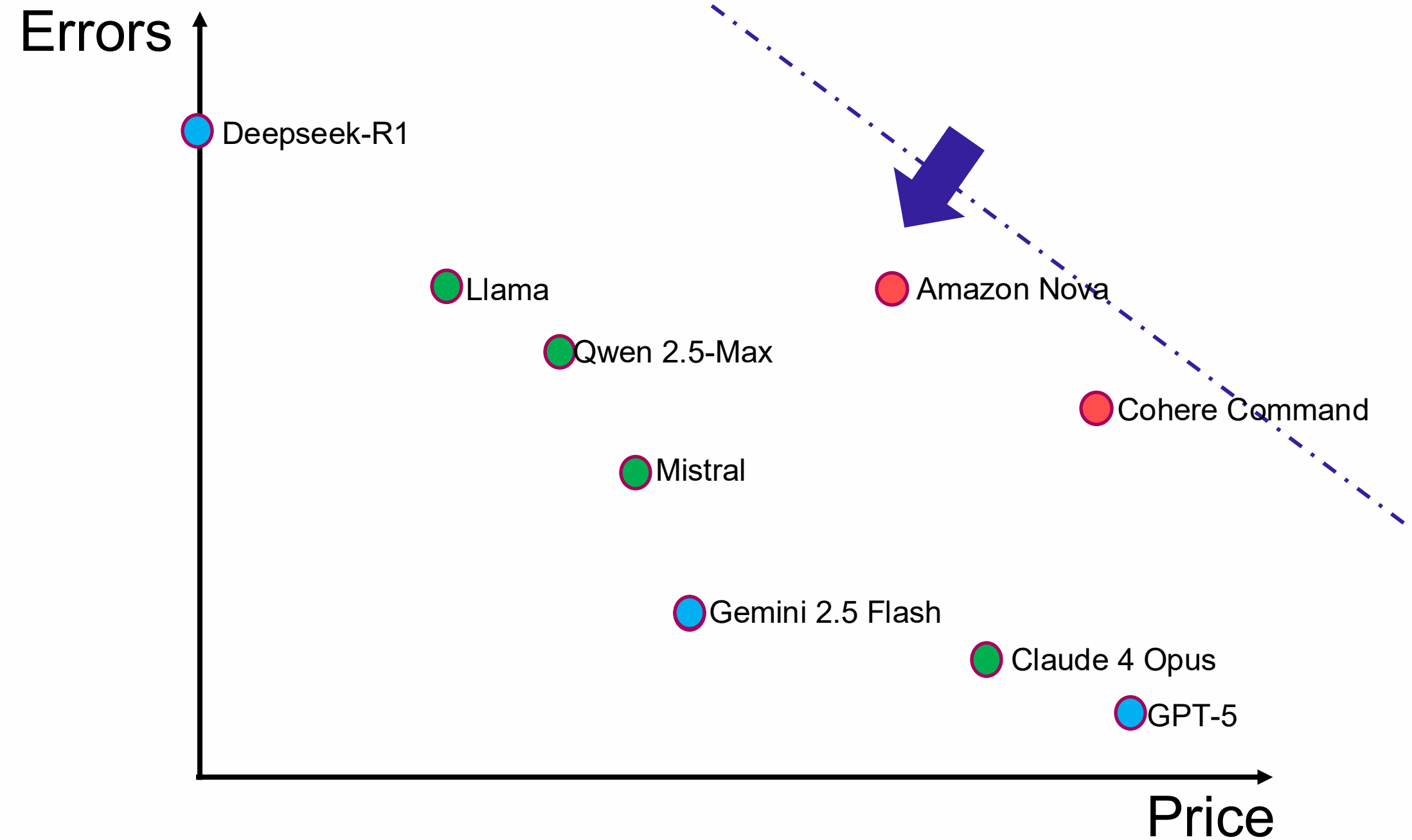


# Visual Optimization of Weighted-Sum



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Minimize  $f = 50\%$  Errors +  $50\%$  Price

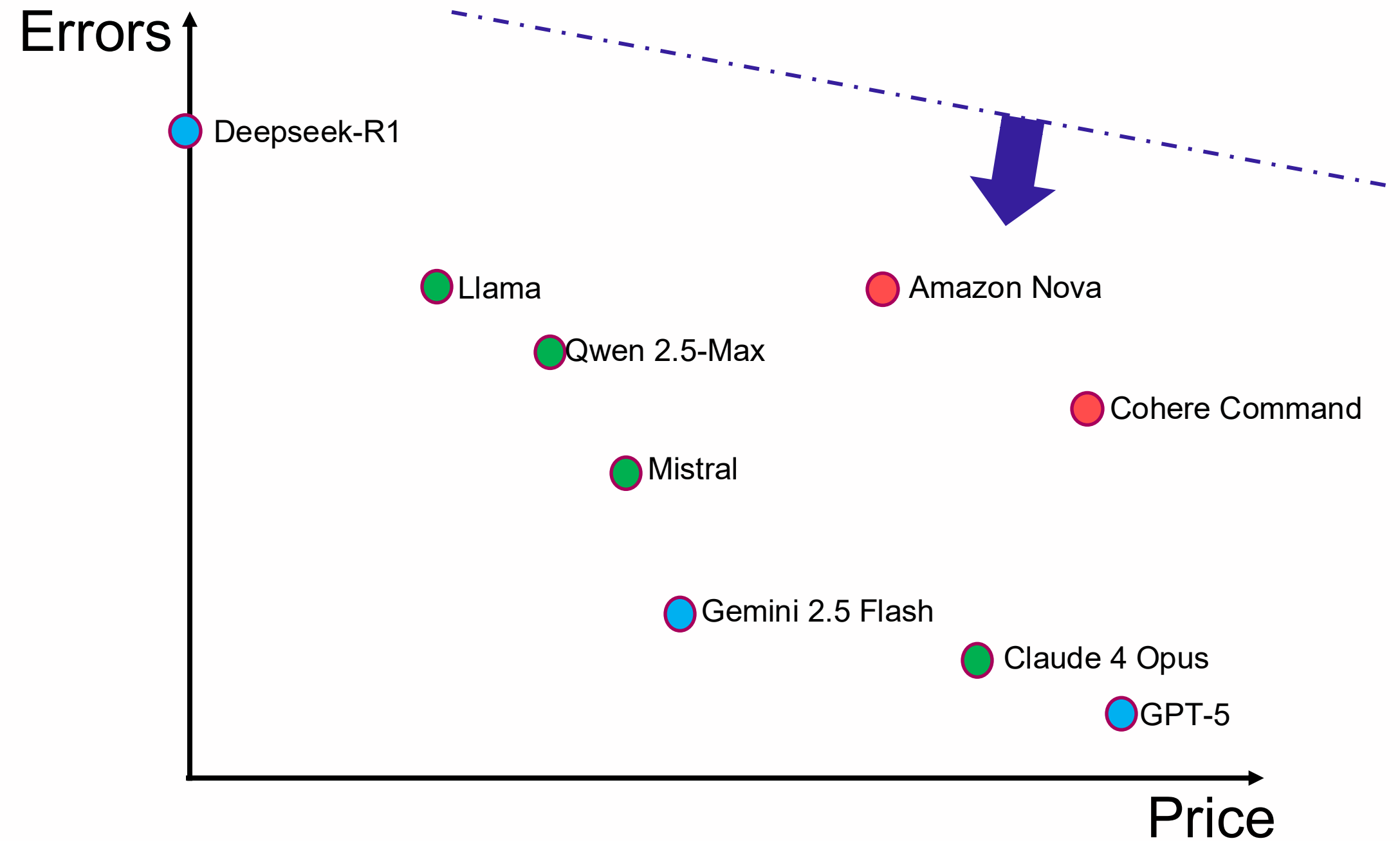


# Visual Optimization of Weighted-Sum



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Minimize  $f = 25\% \text{ Errors} + 75\% \text{ Price}$

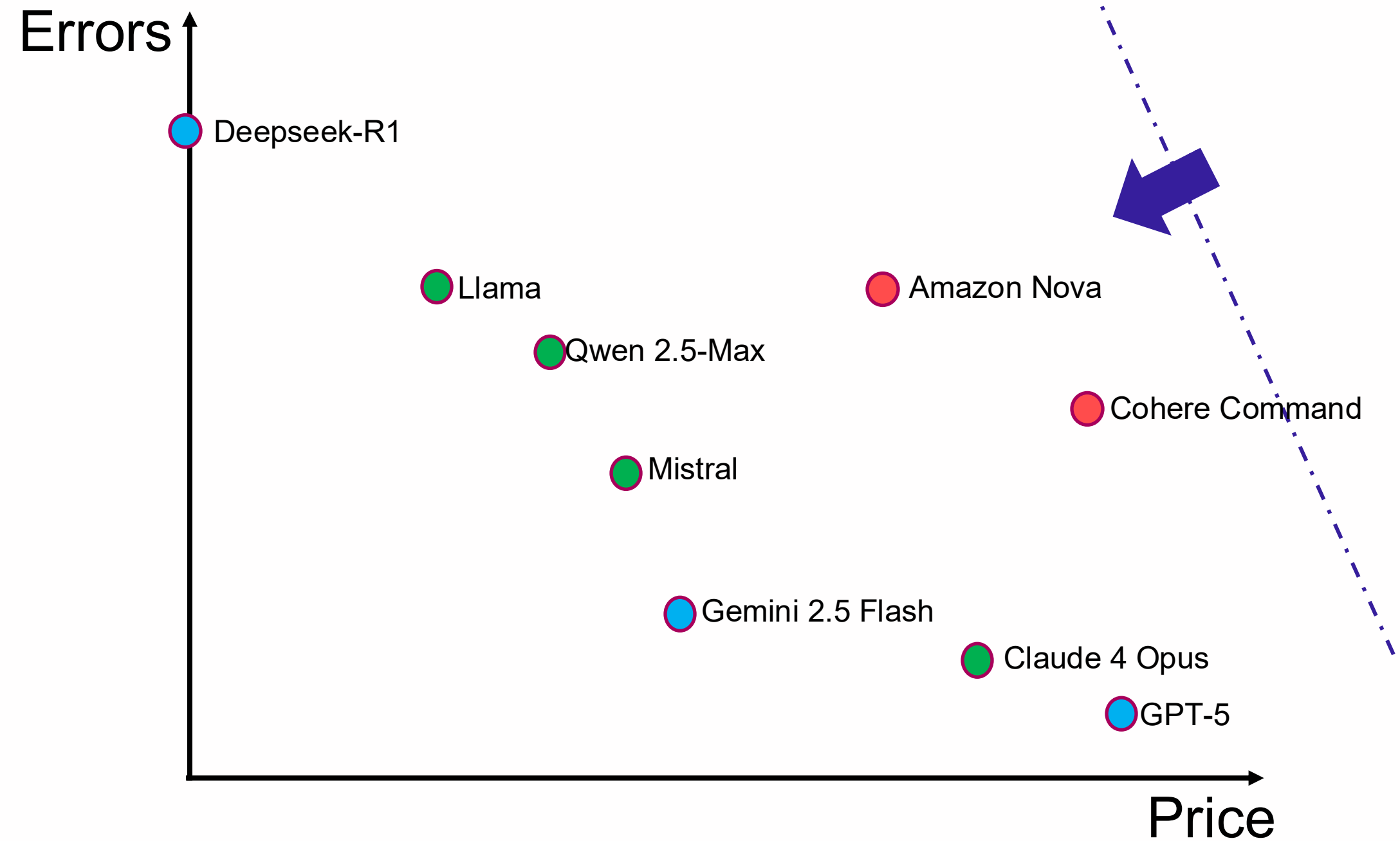


# Visual Optimization of Weighted-Sum



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Minimize  $f = 75\% \text{ Errors} + 25\% \text{ Price}$



# Visual Optimization of Weighted-Sum



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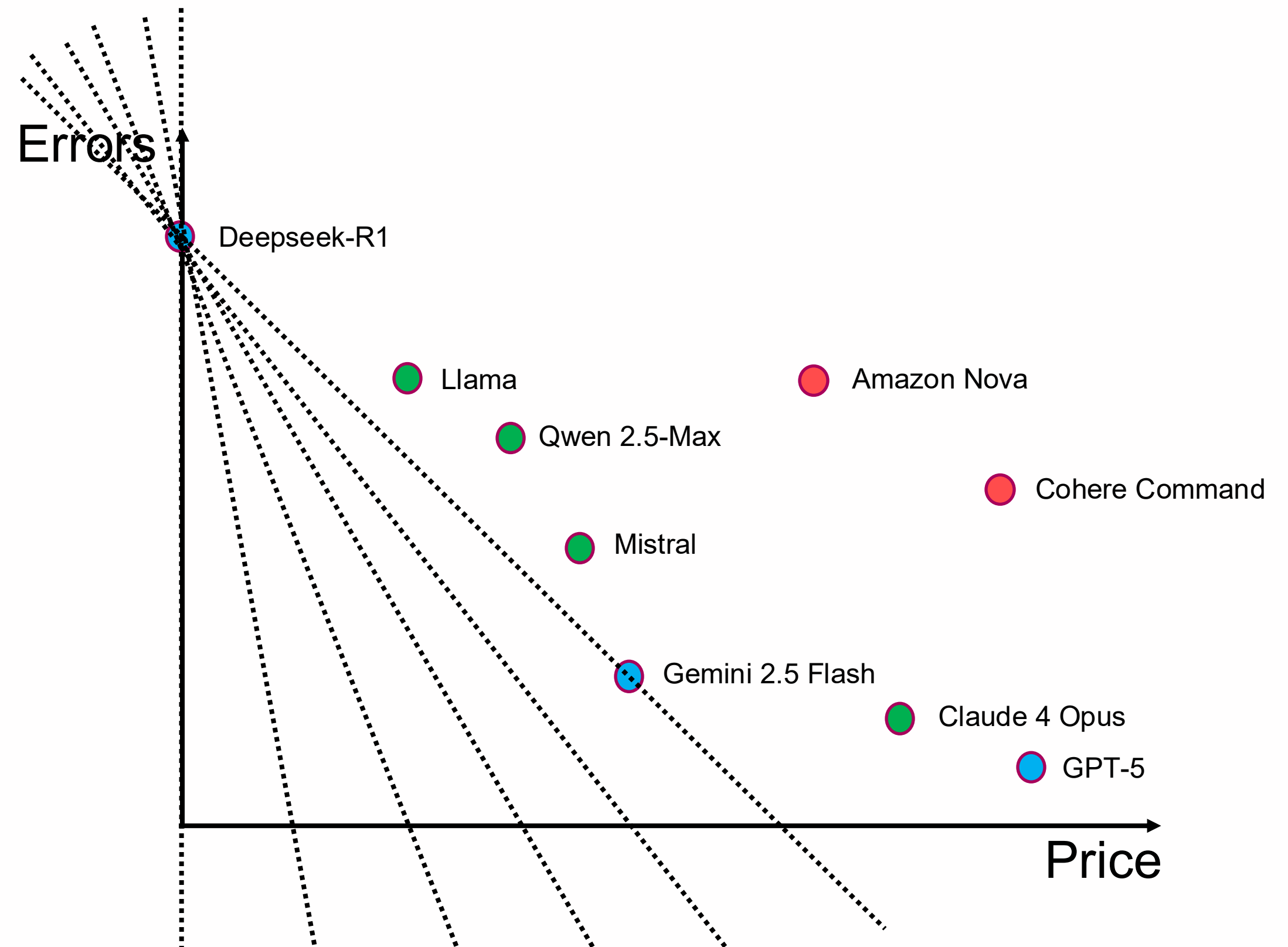
Minimize  $f = 0\%$  Errors +  $100\%$  Price

Minimize  $f = 25\%$  Errors +  $75\%$  Price

Minimize  $f = 50\%$  Errors +  $50\%$  Price

...

Minimize  $f = 100\%$  Errors +  $0\%$  Price



# Visual Optimization of Weighted-Sum



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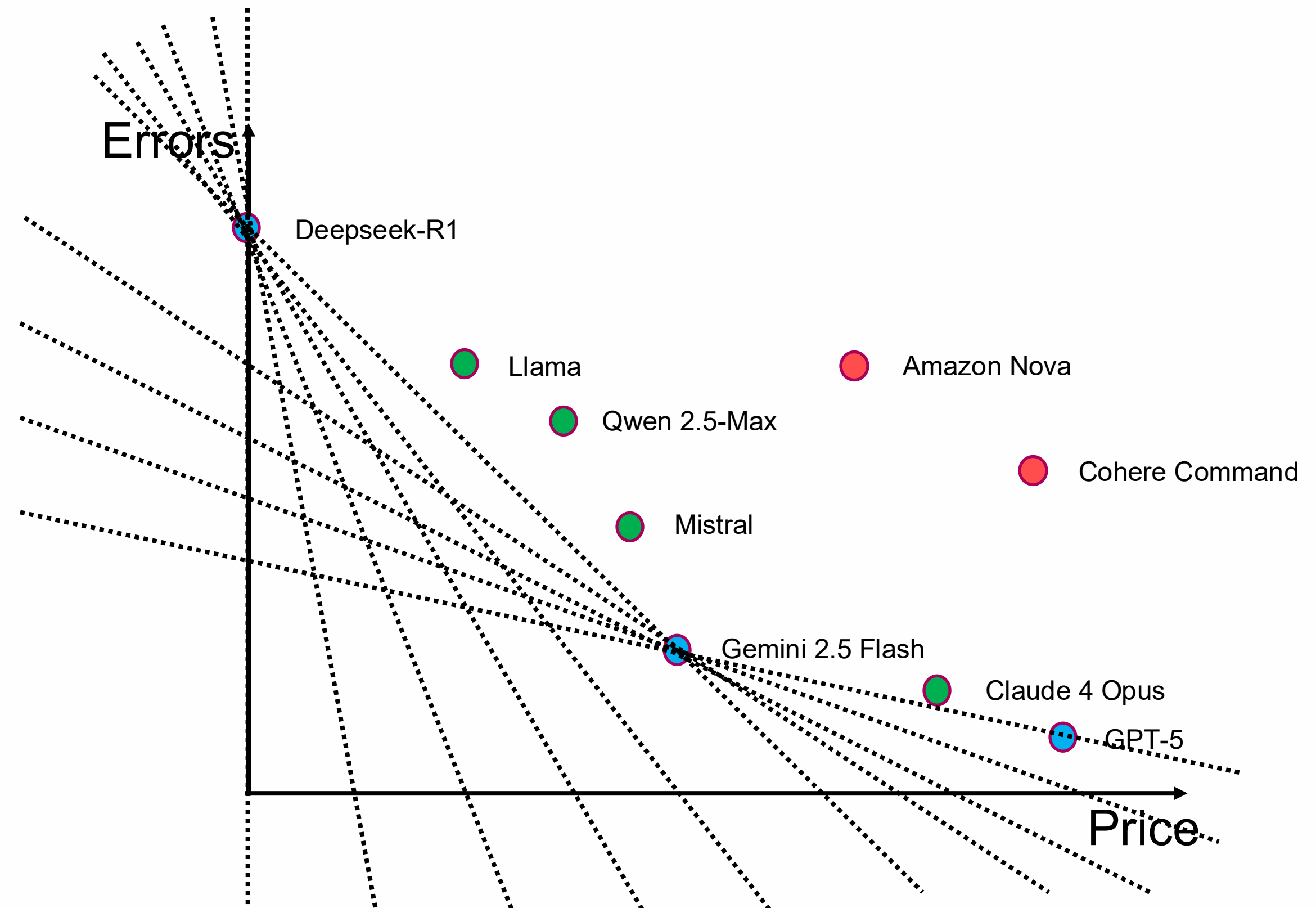
Minimize  $f = 0\%$  Errors +  $100\%$  Price

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...

Minimize  $f = 100\%$  Errors +  $0\%$  Price



# Visual Optimization of Weighted-Sum



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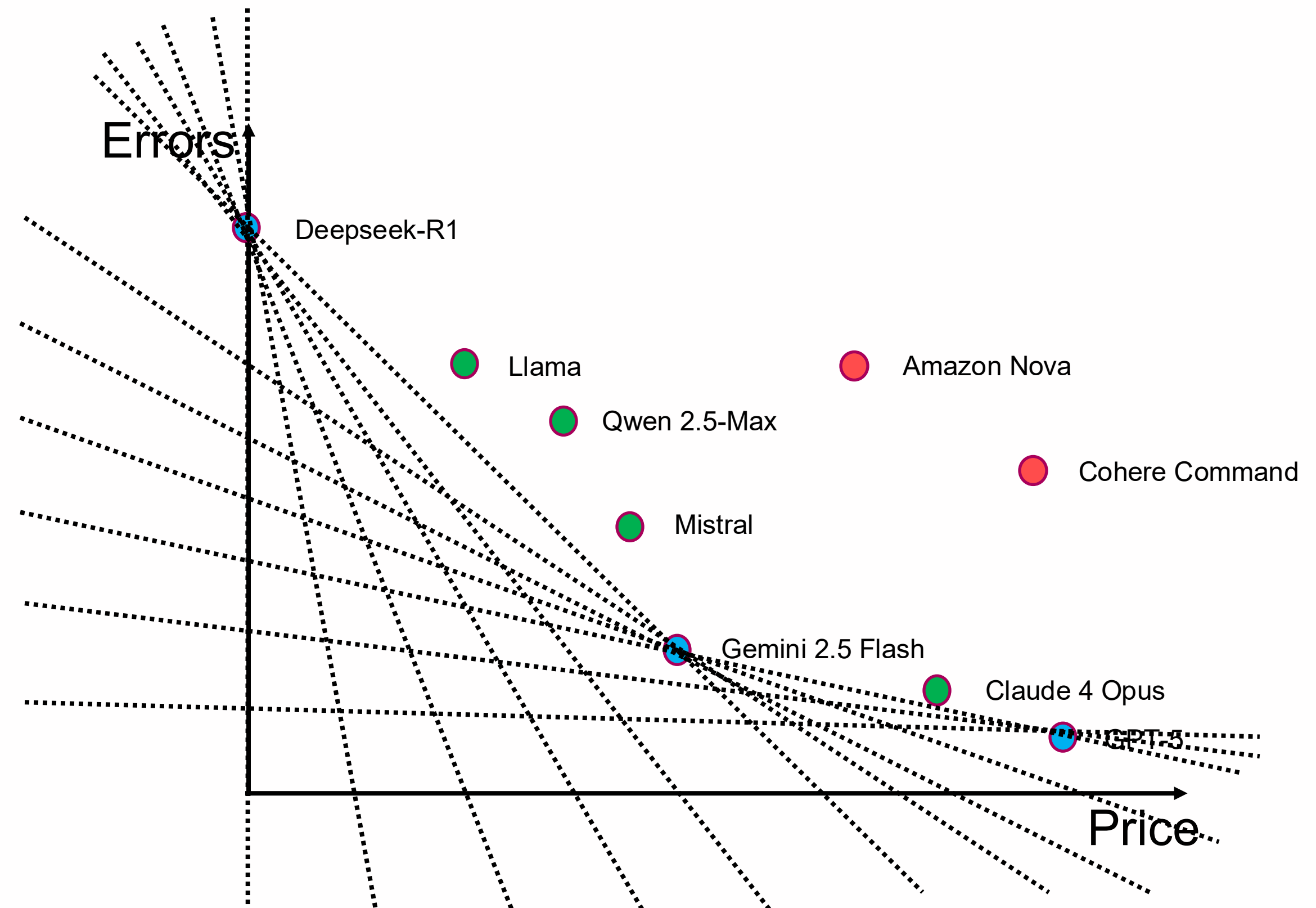
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...

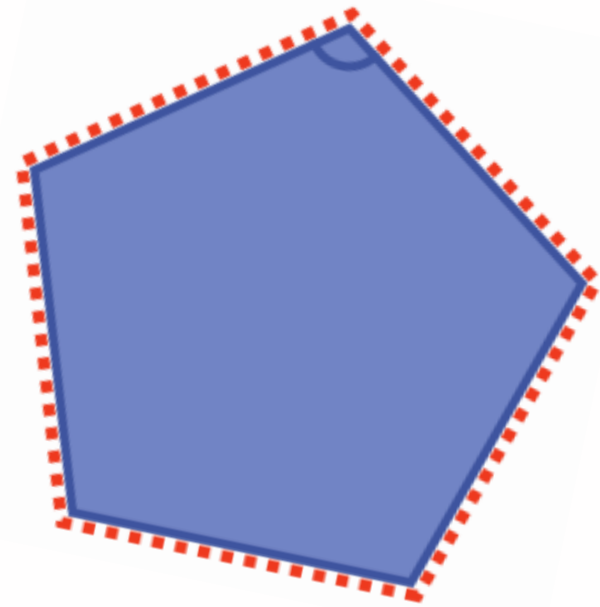
Minimize  $f = 100\%$  Errors +  $0\%$  Price



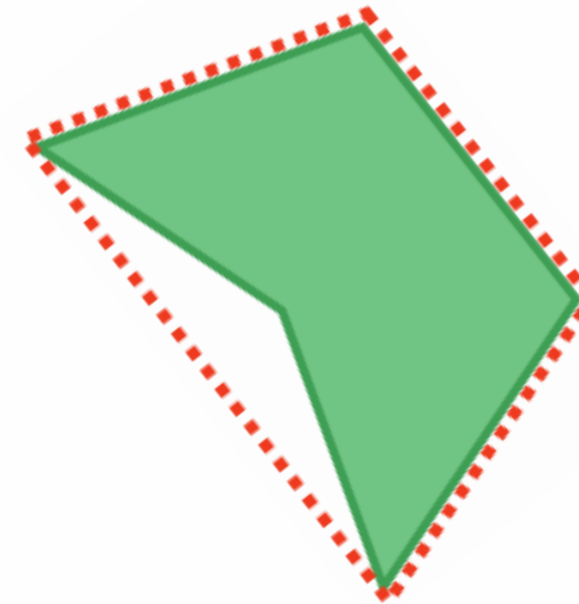
# Convex vs. Concave



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Convex



Concave

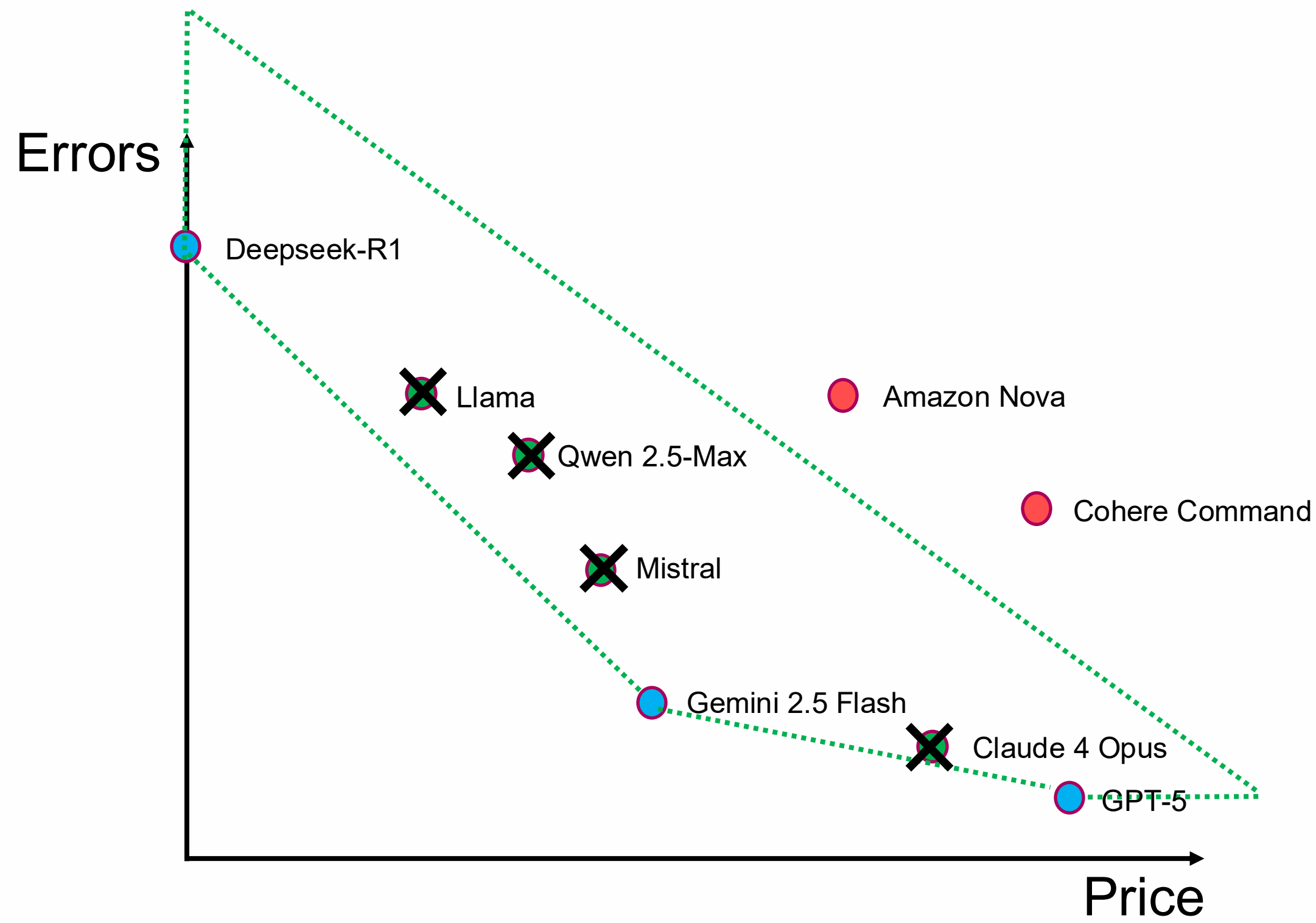
## Rule:

- Weighted-Sum will only allow you to find non-dominated (best) solutions that are in the Convex Hull

# Visual Optimization of Weighted-Sum



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What If I still want  
All Non-Dominated  
Solutions?



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# What if I Still Only Want All Non-dominated Solutions?



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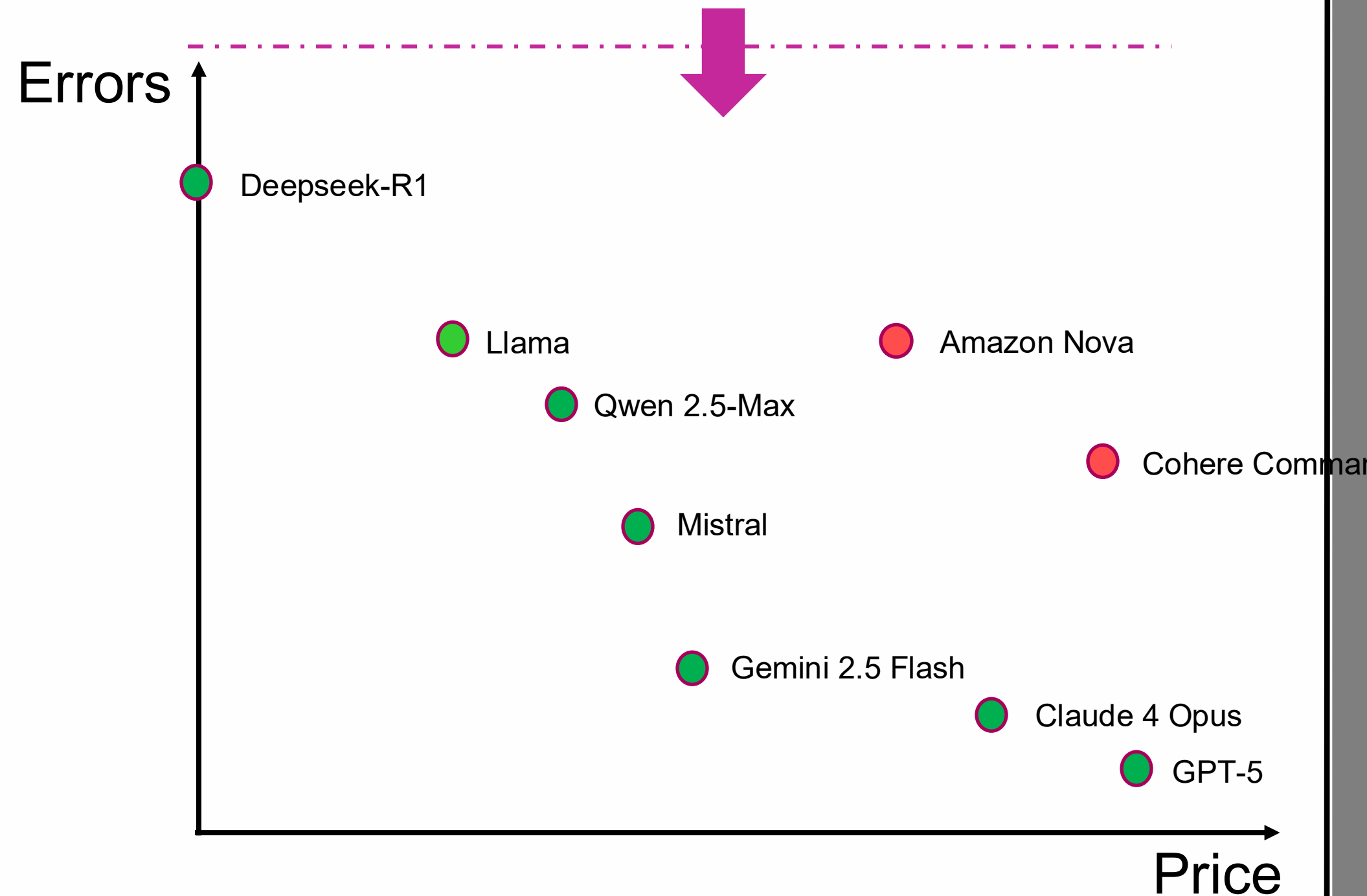
Better use  $\epsilon$ -Constraints

**Repeat** with various values for  $W$

*Minimize: Errors*

*Subject to:*

*Price <  $W$*

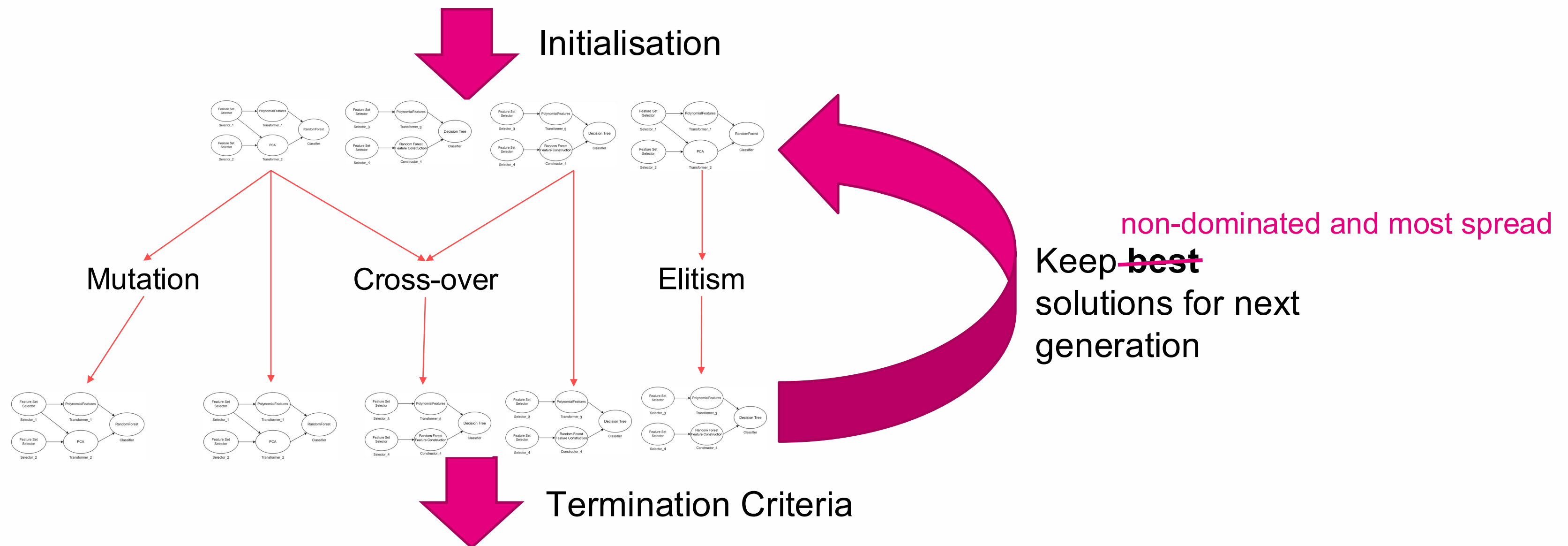


$W=100$

# Multi-Objective Optimization with TPOT



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# Phase 5 (★★★★★): Advanced topics

Self-optimizing systems (Covered)  
Federated MLOps

# Self-Optimizing MLOps Systems



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## Vision: **MLOps Holy Grail**

- Learns from optimization history
- System monitors itself to detect and propose optimization opportunities

## Technologies:

- Meta-learning: Learning to optimize
- Neural Architecture Search for optimizers
- Automated problem formulation
- Optimization as a Service

## Early Results:

- Google's AutoML Zero:
  - Automatically evolve entire ML pipelines (algorithms + architectures + optimization strategies) from scratch.
  - Minimise Human-designed bias

# Final Takeaways



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## Key Messages:

- Optimization is accessible and pays off
  - Open-source tools are production-ready
  - 30-60% improvements are common
- Main advantage is not saving time, but doing things that were not possible before due to the lack of time
  - E.g., Collaboration between Data Scientist and Business Experts, Multi-Criteria Decision Analysis, etc.
- Continuous journey: Optimization is never "done"

**Closing Statement:** "The future of MLOps isn't just automated. It is optimized."

The question isn't whether to optimize, but how fast you can move up the maturity ladder.

# Q&A



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## The Optimization Maturity Ladder



- Level 0: Using default parameters (most software engineers using ML are here)
- Level 1: Manual tuning (most ML teams are)
- Level 2: Single-component optimization
- Level 3: Pipeline-wide optimization
- Level 4: Self-optimizing autonomous MLOps

At what level are your projects?

## Bayesian Optimization vs Random Search

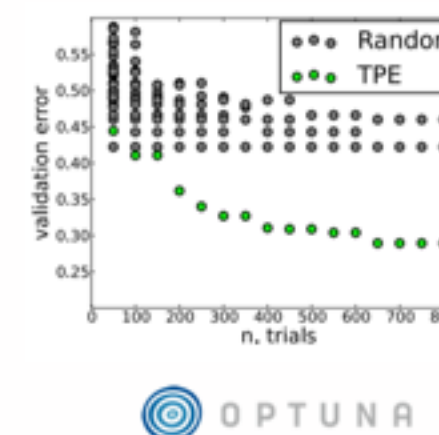


Common surrogate models used in Bayesian optimization include:

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Validation error for hyperparameter optimization of an image classification neural network [1] with:

- Random search in grey
- Bayesian Optimization (using the Tree Parzen Estimator or TPE) in green.

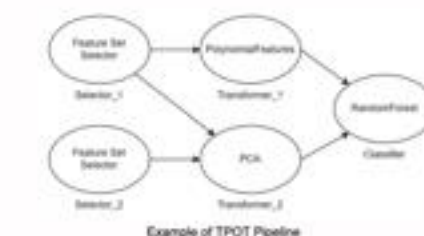
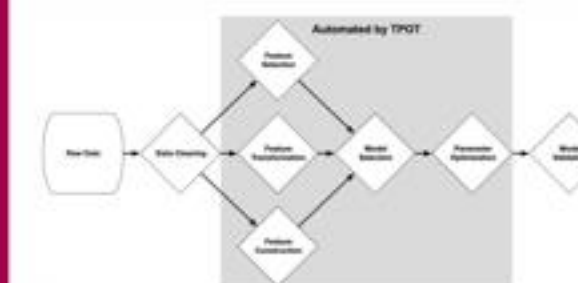


[1] Bergstra, J., Yamins, D. and Cox, D., 2013, February. Making a science of model search: Hyperparameter optimization in hundreds of dimensions for vision architectures. In *International conference on machine learning* (pp. 115-123). PMLR.

## AutoML Pipeline Construction (Cont'd)

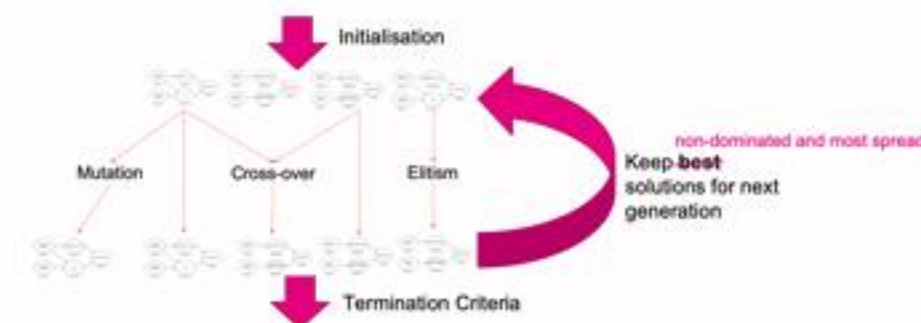


Approach 3: Directed Acyclic Graph (DAG)-Based Optimization



[1] Ribeiro, P., Bhatt, A., Moran, J., Matsumoto, N., Choi, H., Hernandez, M. and Moore, J.H., 2024. TPOT2: A New Graph-Based Implementation of the Tree-Based Pipeline Optimization Tool for Automated Machine Learning. In *Genetic programming theory and practice XX* (pp. 1-17). Singapore: Springer Nature Singapore.

## Multi-Objective Optimization with TPOT



## Self-Optimizing MLOps Systems



Vision: **MLOps Holy Grail**

- Learns from optimization history
- System monitors itself to detect and propose optimization opportunities

Technologies:

- Meta-learning: Learning to optimize
- Neural Architecture Search for optimizers
- Automated problem formulation
- Optimization as a Service

Early Results:

- Google's AutoML Zero:
  - Automatically evolve entire ML pipelines (algorithms + architectures + optimization strategies) from scratch.
  - Minimise Human-designed bias

## Final Takeaways



Key Messages:

- Optimization is accessible and pays off
  - Open-source tools are production-ready
  - 30-60% improvements are common
- Main advantage is not saving time, but doing things that were not possible before due to the lack of time
  - E.g., Collaboration between Data Scientist and Business Experts, Multi-Criteria Decision Analysis, etc.
- Continuous journey: Optimization is never "done"

Closing Statement: "The future of MLOps isn't just automated. It is optimized."

The question isn't whether to optimize, but how fast you can move up the maturity ladder.