



# Sorting

insertion sort over idea ,reason it works , implentation and why does htis effect time complecity best case

merge sort over idea ,implentation and why does htis effect time complecity best case =worstcase

heap sort over idea ,implentation and why does htis effect time complecity best case = worst case

why ios nlogn the most optimal ? proof



## Sorting Algorithms — Open Book Notes

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### Insertion Sort

#### Idea

- Build a **sorted prefix** from left to right.
- Insert each new element into its correct position in the prefix (by shifting larger elements right).

#### Why it works

- Any list of  $< 2$  elements is sorted.
- If a prefix is sorted, we can insert one new element and keep it sorted.
- By induction, this gives a fully sorted listlecture02\_sorting (1).

#### Implementation sketch

- For each index  $i = 1..n-1$ :
  - Compare  $xs[i]$  backwards with elements in the sorted prefix.

- Swap/shift until it's in place.

### Complexity reasoning

- Inserting into a prefix of size  $k$  can require  $O(k)$  shifts.
  - Total =  $1+2+\dots+(n-1)=O(n^2)$
  - **Best case:** already sorted  $\rightarrow$  each insertion =  $O(1)$   $\rightarrow$  total  $O(n)$ .
  - **Average/worst case:**  $\Theta(n^2)$ , since each element may be compared many times.
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## Merge Sort

### Idea

- Divide list into halves, recursively sort each, then merge.
- Relies on merging two **already sorted halves** efficiently lecture02\_sorting (1).

### Why it works

- Merging two sorted lists takes linear time.
- Recursively sorting halves guarantees they're sorted before merging.
- Induction ensures correctness for full list.

### Implementation sketch

- Base case: list length  $\leq 1$  is sorted.
- Recursive step: split in half, sort both halves, then merge.

### Complexity reasoning

- Each merge step is  $O(n)$  for  $n$  elements.
  - Recursion depth =  $\log n$ .
  - Total =  $O(n \log n)$ .
  - **Best = worst = average =  $O(n \log n)$**  (balanced recursion tree).
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## Heap Sort

## Idea

- Use a **heap (priority queue)**:
  - Build a max-heap from input (largest at root).
  - Repeatedly extract root, restore heap property, and append to sorted listlecture02\_sorting (1).

## Why it works

- A heap guarantees fast access to the largest element.
- Heapify maintains order efficiently.
- By extracting repeatedly, we eventually output sorted order.

## Implementation sketch

- Build heap in  $O(n)$ .
- For  $i = n..1$ :
  - Swap root with last element.
  - Reduce heap size, heapify root.

## Complexity reasoning

- Build heap:  $O(n)$ .
  - Each extract+heapify:  $O(\log n)$ .
  - $n$  extracts total  $\rightarrow O(n \log n)$ .
  - **Best = worst = average =  $O(n \log n)$**  (heap always rebalanced).
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## Why $n \log n$ is Optimal (Decision Tree Argument)

### Setup

- A comparison sort determines order using yes/no comparisons ("is  $a[i] \leq a[j]$ ?" ).
- Model as a binary **decision tree**:
  - Internal node = comparison.

- Leaf = one possible sorted permutation.

## Key facts

lecture02\_sorting (1)

- There are  $n!$  possible input permutations.
- So decision tree must have  $\geq n!$  leaves.
- A binary tree of height  $h$  has  $\leq 2^h$  leaves.
- Thus:  $2^h \geq n! \Rightarrow h \geq \log_2(n!)$

## Approximation (Stirling's formula)

$$\log_2(n!) \approx n \log_2 n - n \log_2 e$$

So height =  $\Omega(n \log n)$ .

## Conclusion

- Any comparison-based sorting needs at least  $\Omega(n \log n)$  comparisons.
- Merge sort and heapsort achieve  $O(n \log n)$  → **optimal**.
- No comparison sort can be asymptotically faster.

## ✅ Summary Table

Algorithm	Best Case	Average Case	Worst Case	Reason
Insertion Sort	$O(n)$	$O(n^2)$	$O(n^2)$	Fixes inversions one by one
Merge Sort	$O(n \log n)$	$O(n \log n)$	$O(n \log n)$	Divide-and-conquer, bulk resolves inversions
Heap Sort	$O(n \log n)$	$O(n \log n)$	$O(n \log n)$	Heap property ensures $\log n$ per extract
Any Comparison Sort	$\geq n \log n$	$\geq n \log n$	$\geq n \log n$	Decision tree lower bound

Would you like me to format these notes into a **1-page condensed cheat sheet (PDF)** so it's easier to glance