



Huffman codes

Huffman Coding — Exam Notes

1. What is Huffman Coding?

- A **compression algorithm** that assigns **variable-length, prefix-free codes** to symbols based on their frequencies.
 - Frequently used in real-world compression (e.g., `zip`, `gzip`, `PNG`).lecture12_huffman
 - Idea: more frequent symbols → shorter codes; rare symbols → longer codes.
 - Reduces total bits needed compared to fixed-length encoding.
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2. What is it used for?

- **Data compression**: reduces file size without losing information.
 - Core in many **lossless compression formats** (text, images, executables).
 - Ensures efficient storage and transmission of data.
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3. How does it work?

1. Count frequency of each symbol in the data.
2. Build a forest of nodes (one node per symbol, weighted by frequency).
3. Repeat until one tree remains:
 - Pick the **two lowest-frequency nodes**.
 - Merge them into a new parent node with combined weight.
 - Insert back into the forest.

4. Final tree = Huffman tree.

- Left edge = bit 0, right edge = bit 1.
- Codeword for a symbol = path from root to its leaf.

Key property: The resulting codes are **prefix-free** (no codeword is a prefix of another), so concatenated codes can be uniquely decoded.

4. Why is it correct?

- **Optimal substructure:**
 - If x and y are the least frequent symbols, in *some* optimal code they must be siblings at maximum depth.
 - **Greedy choice property:**
 - Always merging the two least frequent symbols is safe, because if they weren't deepest siblings already, swapping them would only improve efficiency.
 - **Proof sketch (exchange argument):**
 - Replace x and y by new symbol $z = f(x) + f(y)$.
 - Solve smaller problem optimally.
 - Expand back into x and y .
 - If there were a better solution, it would contradict the subproblem's optimality.
 - Therefore, Huffman's greedy construction yields an **optimal prefix-free code**.
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5. Is it a greedy algorithm?

✓ Yes — Huffman coding is one of the most famous **greedy algorithms**.

- **Greedy step:** Always merge the two least frequent symbols.
- **Why greedy works here:**

- Locally optimal decision (merging the least frequent pair) leads to globally optimal result.
 - Exchange argument + optimal substructure guarantee correctness.
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6. Complexity of Huffman Coding

- Build priority queue (min-heap) of frequencies: $O(N)$.
- Repeatedly extract 2 smallest + reinsert merged node, $N-1$ times.
- Total: $O(N \log N)$.