FortiGate Security Study Guide

for FortiOS 7.2

FORTINET®
Training Institute

Fortinet Training Institute - Library

https://training.fortinet.com

Fortinet Product Documentation

https://docs.fortinet.com

Fortinet Knowledge Base

https://kb.fortinet.com

Fortinet Fuse User Community

https://fusecommunity.fortinet.com/home

Fortinet Forums

https://forum.fortinet.com

Fortinet Product Support

https://support.fortinet.com

FortiGuard Labs

https://www.fortiguard.com

Fortinet Training Program Information

https://www.fortinet.com/nse-training

Fortinet | Pearson VUE

https://home.pearsonvue.com/fortinet

Fortinet Training Institute Helpdesk (training questions, comments, feedback)

https://helpdesk.training.fortinet.com/support/home

FORTINET

8/23/2022

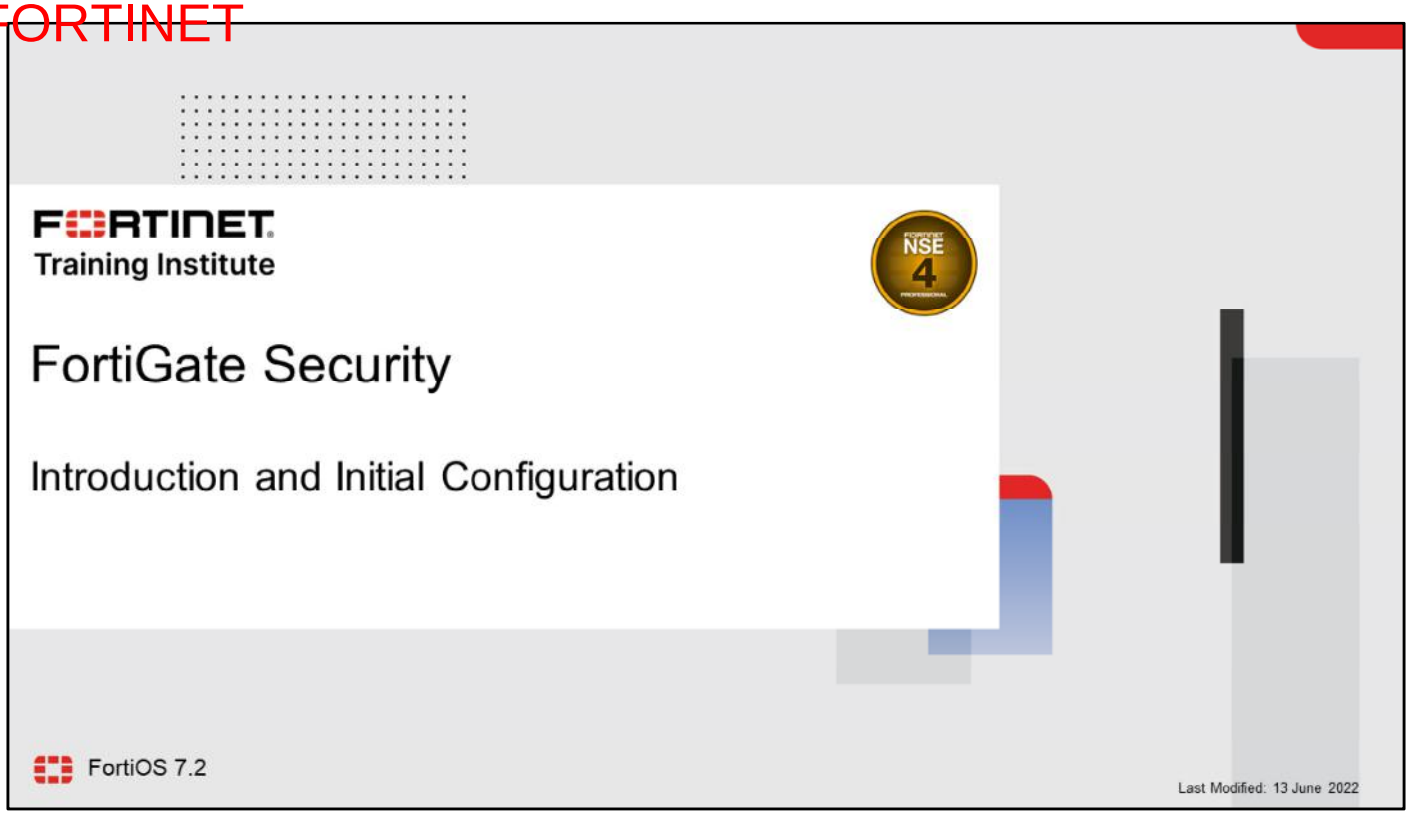
TABLE OF CONTENTS

Change Log 4
01 Introduction and Initial Configuration 5
02 Firewall Policies 47
03 Network Address Translation 94
04 Firewall Authentication 134
05 Logging and Monitoring 172
06 Certificate Operations 213
07 Web Filtering 251
08 Application Control 293
09 Antivirus 338
10 Intrusion Prevention and Denial of Service 381
11 Security Fabric 422

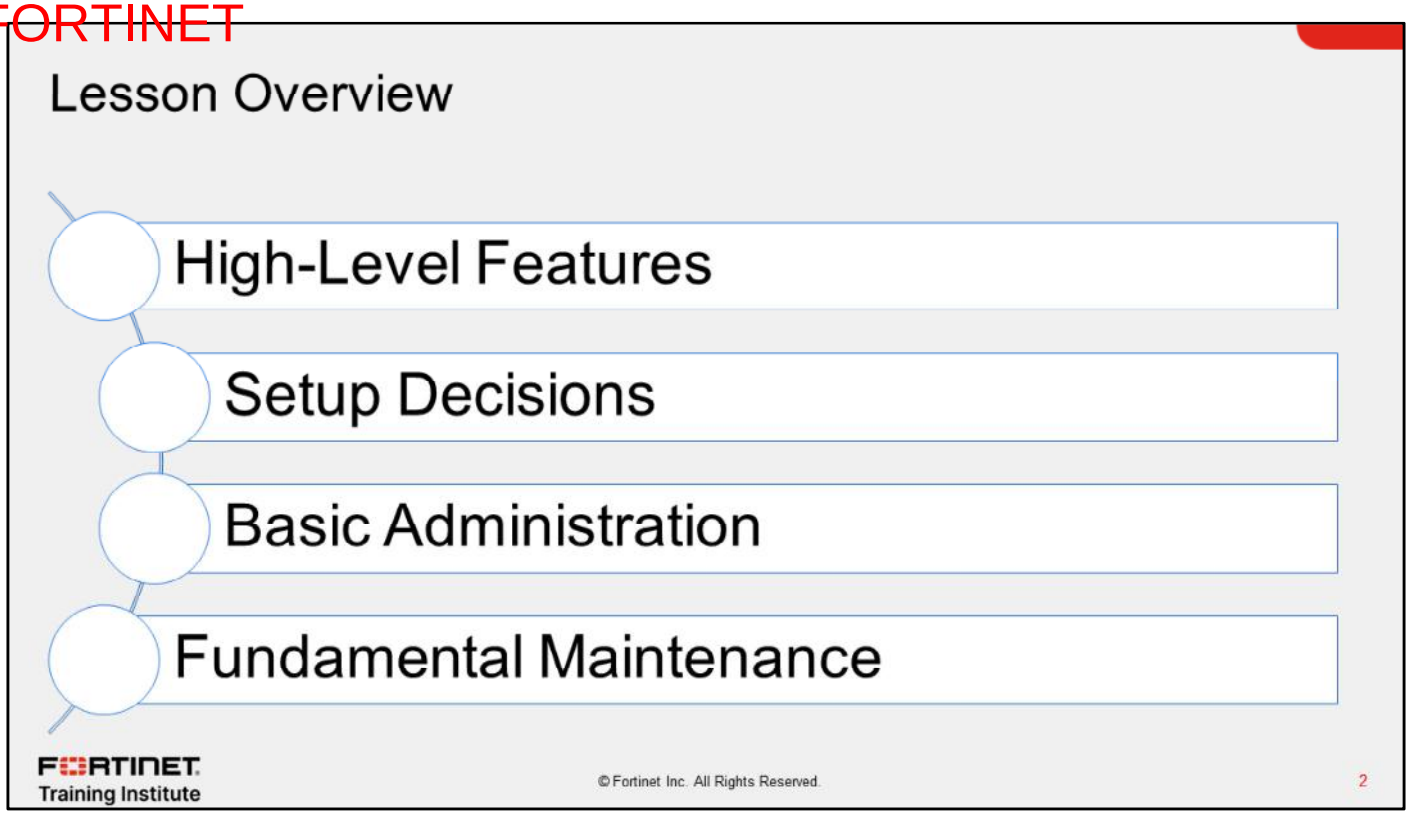
Change Log

This table includes updates to the *FortiGate Security 7.2 Study Guide* dated 6/13/2022 to the updated document version dated 8/23/2022.

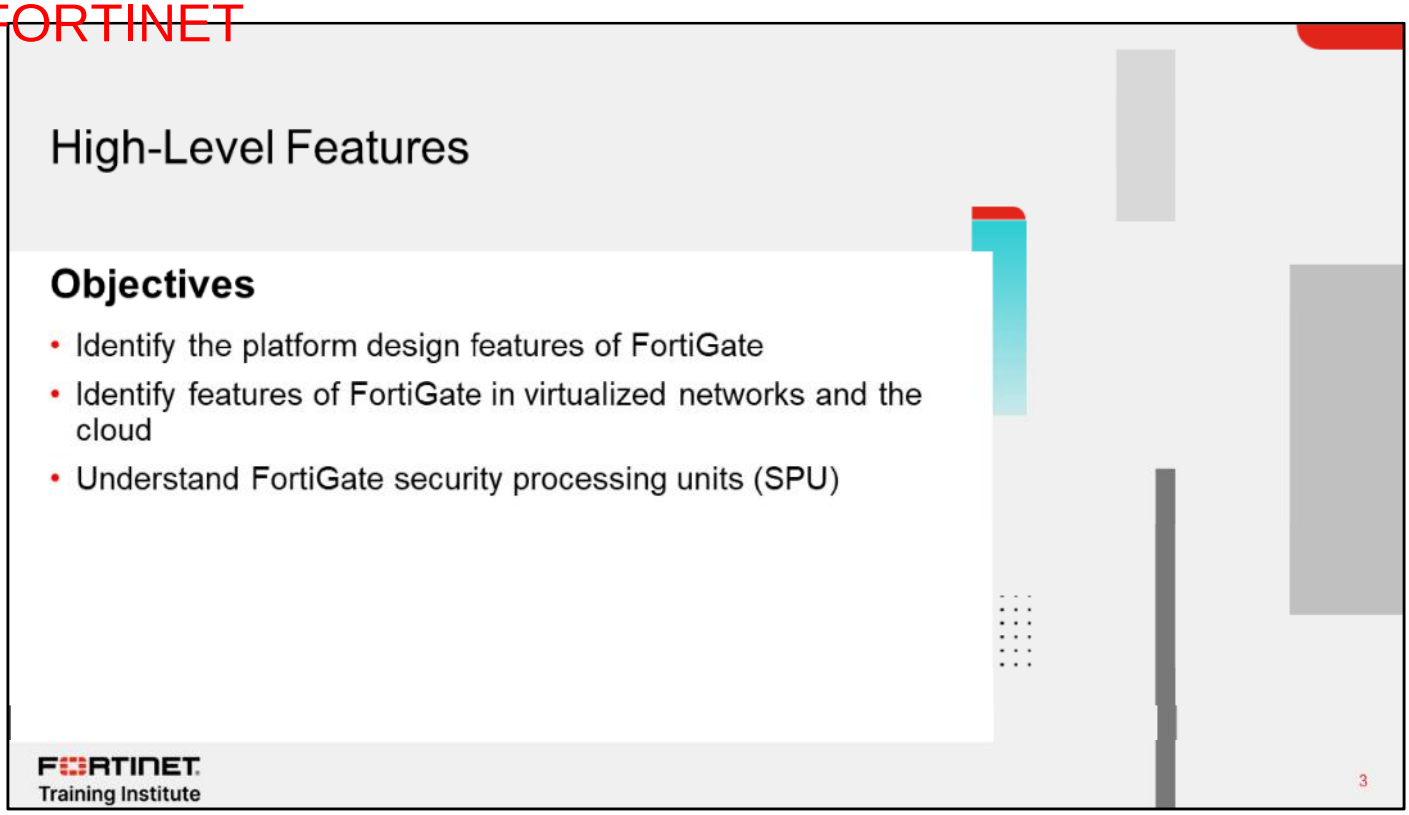
Change	Location
Various formatting fixes	Entire Guide
Fixed notes ("The DNS connection matches central SNAT "policy ID 2")	Lesson 3 slide 28
Fixed check mark on knowledge check, question 2	Lesson 7 slide 8



In this lesson, you will learn about FortiGate administration basics and the components within FortiGate that you can enable to extend functionality. This lesson also includes details about how and where FortiGate fits into your existing network architecture.

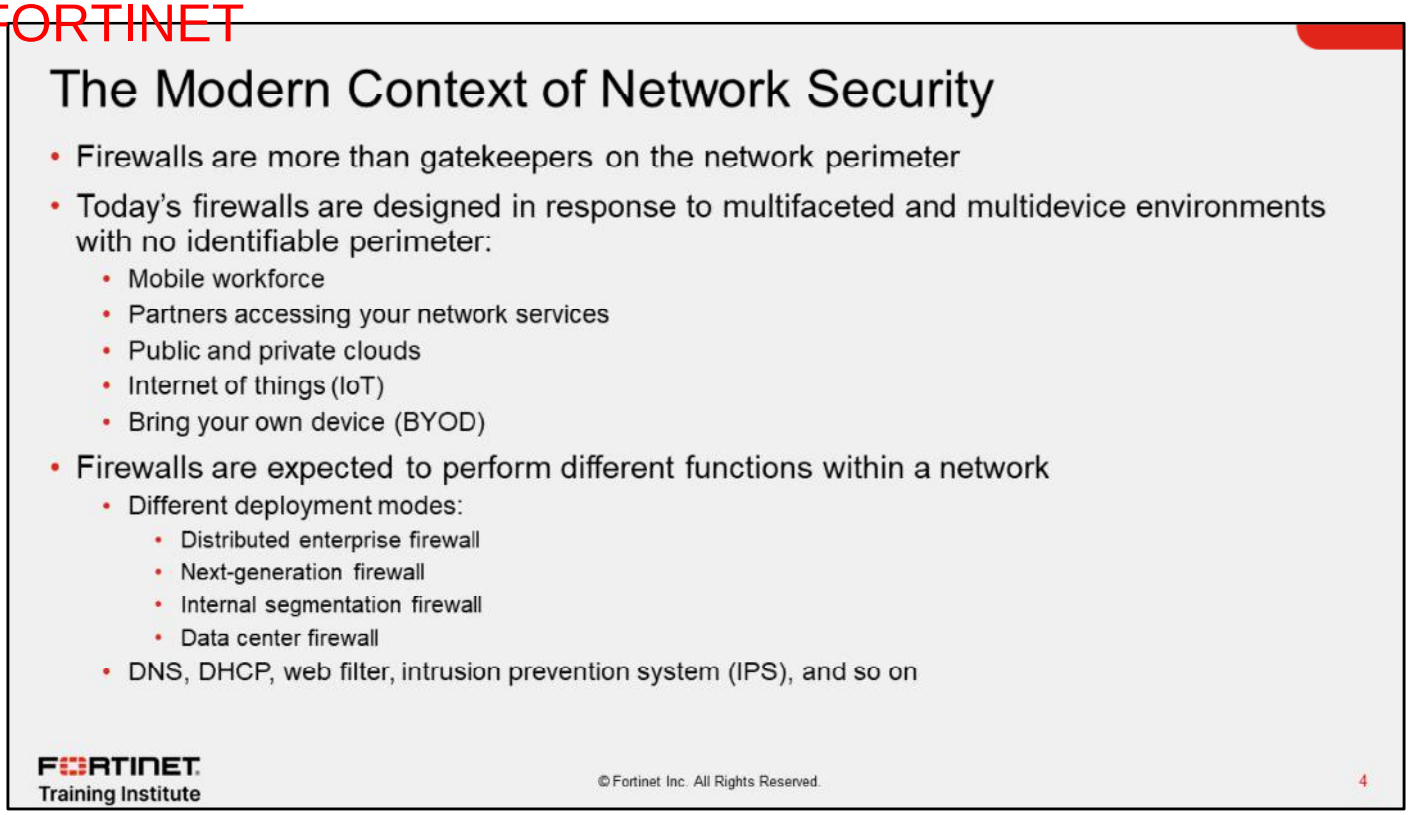


In this lesson, you will explore the topics shown on this slide.



After completing this section, you should be able to achieve the objectives shown on this slide.

By demonstrating competence in identifying the platform design features of FortiGate, FortiGate features in virtualized networks and the cloud, as well as the FortiGate security processing units, you will be able to describe the fundamental components of FortiGate and explain the types of tasks that FortiGate can perform.



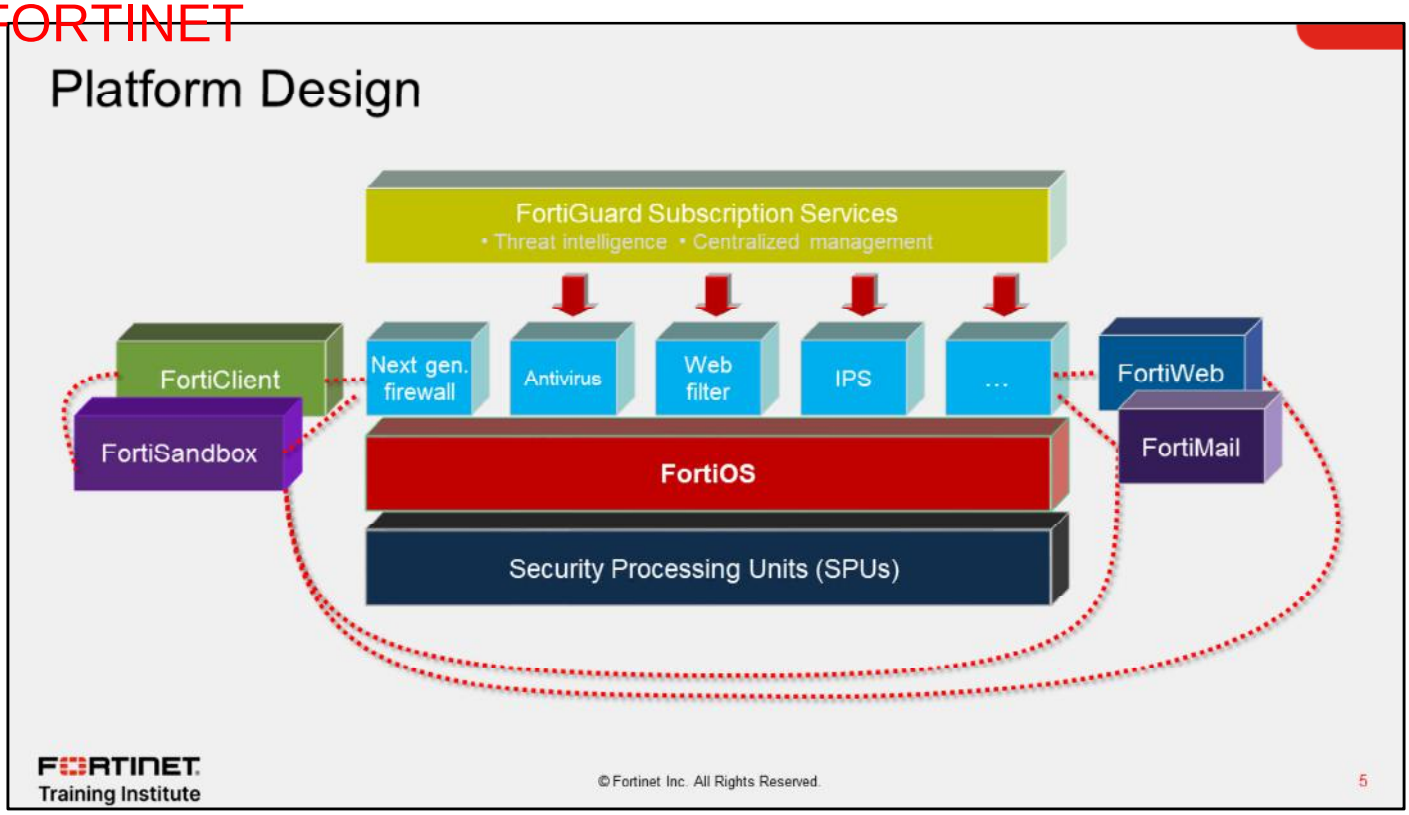
In the past, the common way of protecting a network was securing the perimeter and installing a firewall at the entry point. Network administrators used to trust everything and everyone inside the perimeter.

Now, malware can easily bypass any entry-point firewall and get inside the network. This could happen through an infected USB stick, or an employee's compromised personal device being connected to the corporate network. Additionally, because attacks can come from inside the network, network administrators can no longer inherently trust internal users and devices.

What's more, today's networks are highly complex environments whose borders are constantly changing. Networks run vertically from the LAN to the internet, and horizontally from the physical network to a private virtual network and to the cloud. A mobile and diverse workforce (employees, partners, and customers) accessing network resources, public and private clouds, the IoT, and BYOD programs all conspire to increase the number of attack vectors against your network.

In response to this highly complex environment, firewalls have become robust multifunctional devices that counter an array of threats to your network. Thus, FortiGate can act in different modes or roles to address different requirements. For example, FortiGate can be deployed as a data center firewall whose function is to monitor inbound requests to servers and to protect them without increasing latency for the requester. Or, FortiGate can be deployed as an internal segmentation firewall as a means to contain a network breach.

FortiGate can also function as DNS and DHCP servers, and be configured to provide web filter, antivirus, and IPS services.

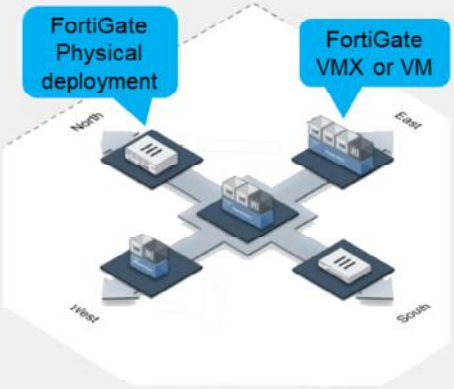


In the architecture diagram shown on this slide, you can see how FortiGate platforms add strength, without compromising flexibility. Like separate, dedicated security devices, FortiGate is still *internally* modular. Plus:

- **Devices add duplication.** Sometimes, dedication *doesn't* mean efficiency. If it's overloaded, can one device borrow free RAM from nine others? Do you want to configure policies, logging, and routing on 10 separate devices? Does 10 times the duplication bring you 10 times the benefit, or is it a hassle? For smaller to midsize businesses or enterprise branch offices, unified threat management (UTM) is often a superior solution, compared to separate dedicated appliances.
- **FortiGate hardware isn't just off-the-shelf.** It's carrier-grade. Most FortiGate models have one or more specialized circuits, called ASICs, that are engineered by Fortinet. For example, a CP or NP chip handles cryptography and packet forwarding more efficiently. Compared to a single-purpose device with only a CPU, FortiGate can have dramatically better performance. This is especially critical for data centers and carriers where throughput is business critical.
  (The exception? Virtualization platforms—VMware, Citrix Xen, Microsoft, or Oracle Virtual Box—have general-purpose vCPUs. But, virtualization might be worthwhile because of other benefits, such as distributed computing and cloud-based security.)
- **FortiGate is flexible.** If all you need is fast firewalling and antivirus, FortiGate won't require you to waste CPU, RAM, and electricity on other features. In each firewall policy, you can enable or disable UTM and next-generation firewall modules. Also, you won't pay more to add VPN seat licenses later.
- **FortiGate cooperates.** A preference for open standards instead of proprietary protocols means less vendor lock-in and more choice for system integrators. And, as your network grows, FortiGate can leverage other Fortinet products, such as FortiSandbox and FortiWeb, to distribute processing for deeper security and optimal performance—a total Security Fabric approach.

Topology in the Cloud

- **Deploy FortiGate in virtualized networks**
  - FortiGate VM – Same features as physical appliance *except* SPUs
- VMs or physical appliances
  - Configuration is essentially the same



FortiGate VM Specifications	
Licenses	Max. 1 / 2 / 4 / 8 vCPU
Hypervisor	VMware, Hyper-V, KVM, Citrix Xen Server, Open Source Xen, Azure, Amazon AWS BYOL & on-demand
Memory	Max. 1/4/8/12 GB
10/100/1000 Interfaces	2-4 virtual NICs
Storage Capacity	40+ GB

FORTINET Training Institute

6

FortiGate VMs have the same features as physical FortiGate devices**,** ***except*** for hardware acceleration**.** Why? First, the hardware abstraction layer software for hypervisors is made by VMware, Xen, and other hypervisor manufacturers, *not* by Fortinet. Those other manufacturers don't make the Fortinet proprietary SPU chips. But there is another reason, too. The purpose of generic virtual CPUs and other virtual chips for hypervisors is to abstract the hardware details. That way, all VM guest OSs can run on a common platform, no matter the different hardware on which the hypervisors are installed. Unlike vCPUs or vGPUs that use generic, *non-optimal* RAM and vCPUs for abstraction, SPU chips are specialized *optimized* circuits. Therefore, a virtualized ASIC chip would not have the same performance benefits as a physical SPU chip.

If performance on equivalent hardware is less, you may wonder why anyone would use a FortiGate VM. In large-scale networks that change rapidly and may have many tenants, equivalent processing power and distribution may be achievable using larger amounts of cheaper, general purpose hardware. Also, trading some performance for other benefits may be worth it. You can benefit from faster network and appliance deployment and teardown.

Either VMs or physical appliances (low or high-end models), the configuration of the security instances is essentially identical, using same FortiOS version and FortiGuard real-time threat intelligence.

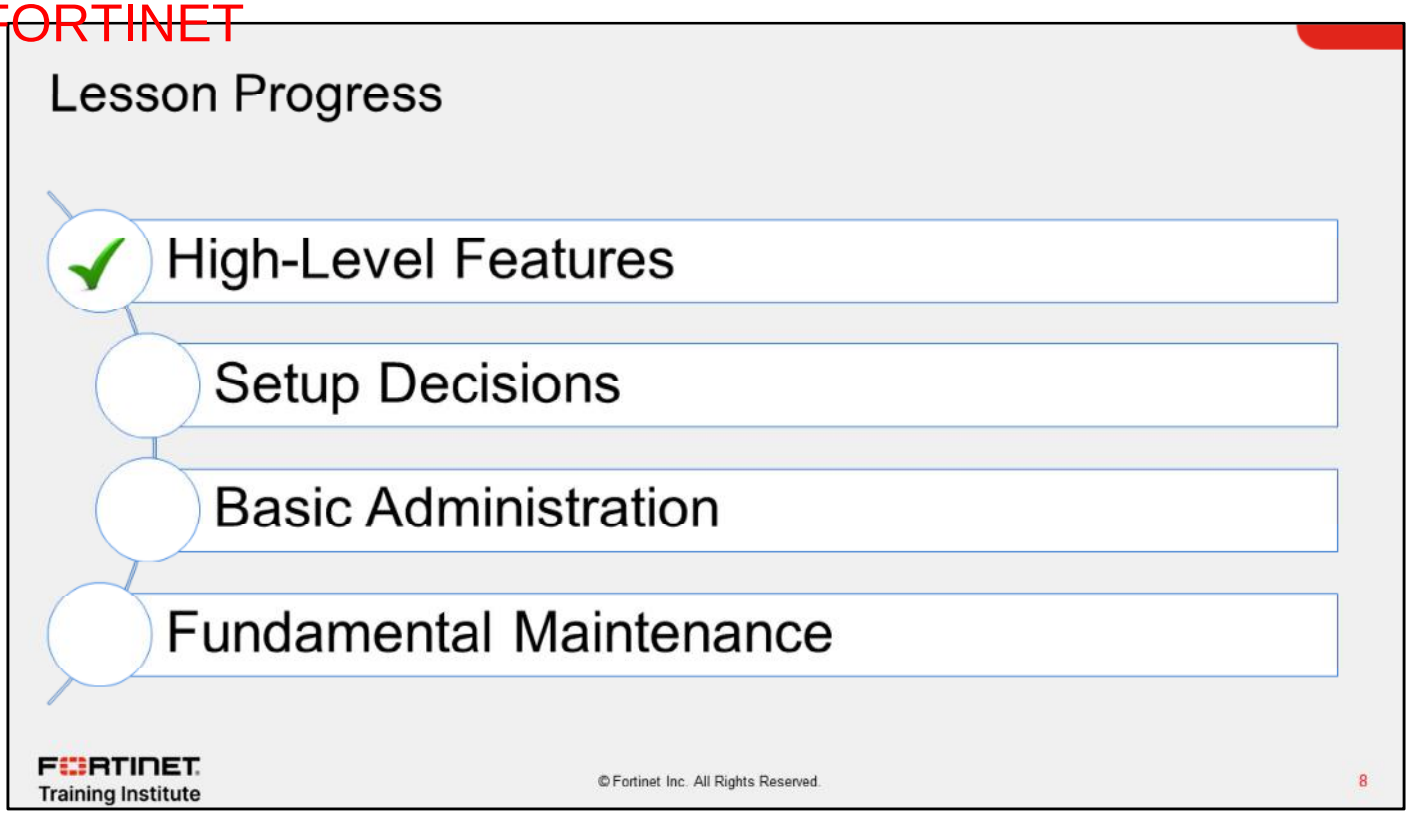
Knowledge Check

1. Which is a more accurate description of a modern firewall?
   - A. A device that inspects network traffic at an entry point to the internet and within a simple, easily defined network perimeter
   - ✓ B. A multifunctional device that inspects network traffic from the perimeter or internally, within a network that has many different entry points

2. Which solution specific to Fortinet enhances performance and reduces latency for specific features and traffic?
   - ✓ A. Acceleration hardware, called SPUs
   - B. Increased RAM and CPU power

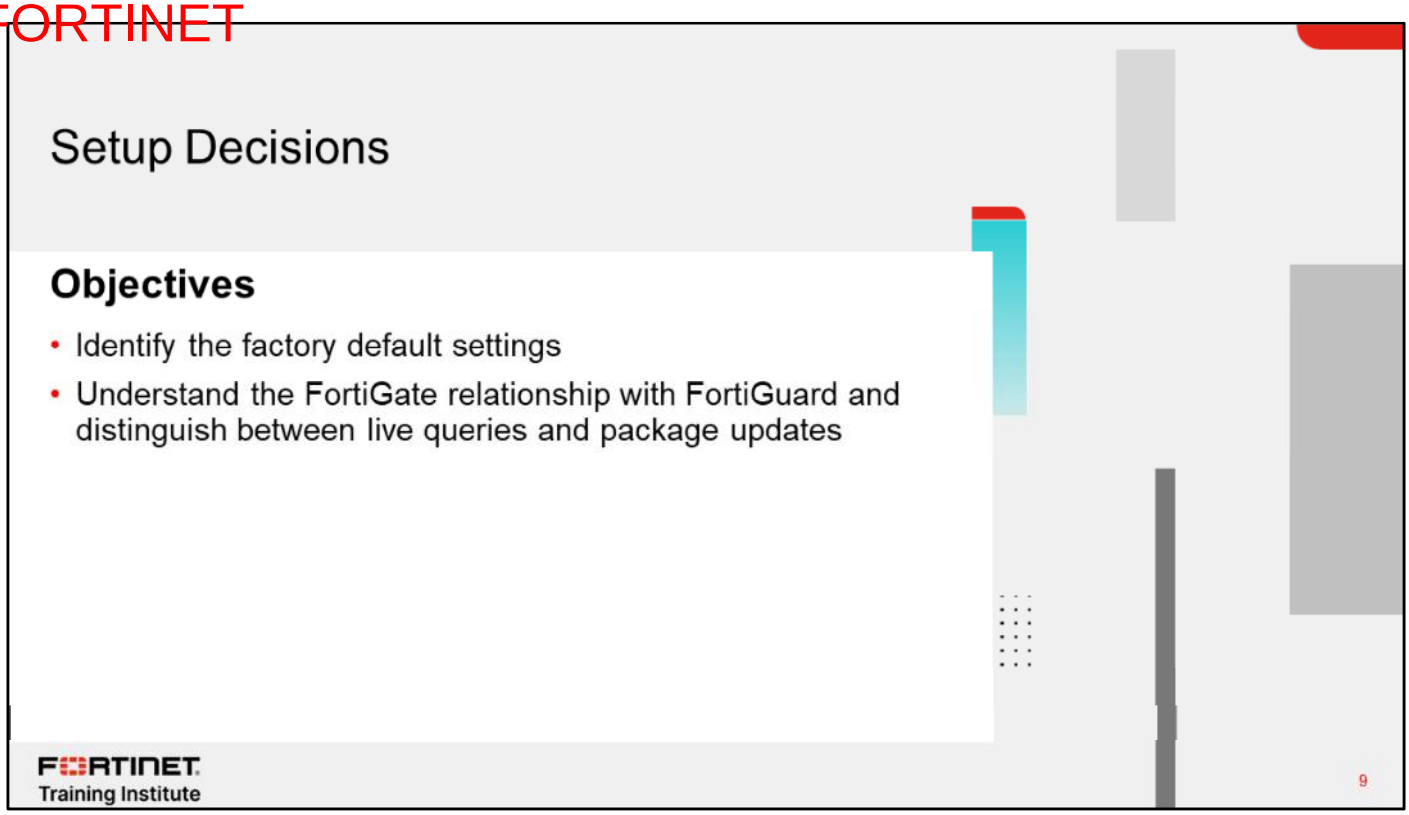
FORTINET Training Institute

7



Good job! You now understand some of the high-level features of FortiGate.

Now, you will learn how to perform the initial setup of FortiGate and learn about why you might decide to use one configuration over another.

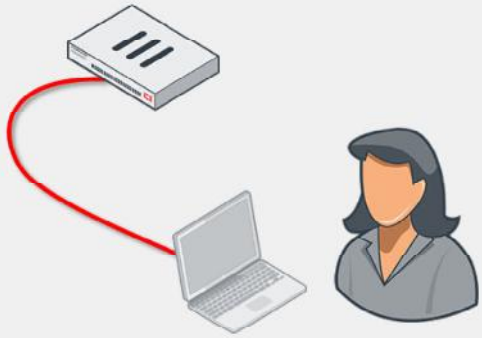


After completing this section, you should be able to achieve the objectives shown on this slide.

By demonstrating competence in setting up FortiGate, you will be able to use the device effectively in your own network.

Factory Default Settings

- IP: `192.168.1.99/24`
  - MGMT interface on high-end and mid-range models
  - Port1 or internal interface on entry-level models
- PING, HTTPS, and SSH protocol management enabled
- Built-in DHCP server is enabled on port1 or internal interface
  - Only on entry-level models that support DHCP server
- Default login:
  User: `admin`
  Password: (blank)
  - Both are case sensitive
  - Modify the default (blank) password
- Can access FortiGate on the CLI
  - Console: without network
  - CLI console widget and terminal emulator, such as PuTTY or Tera Term



FORTINET Training Institute

10

Network address translation (NAT) mode is the default operation mode. What are the other factory default settings? After you've removed FortiGate from its box, what do you do next?

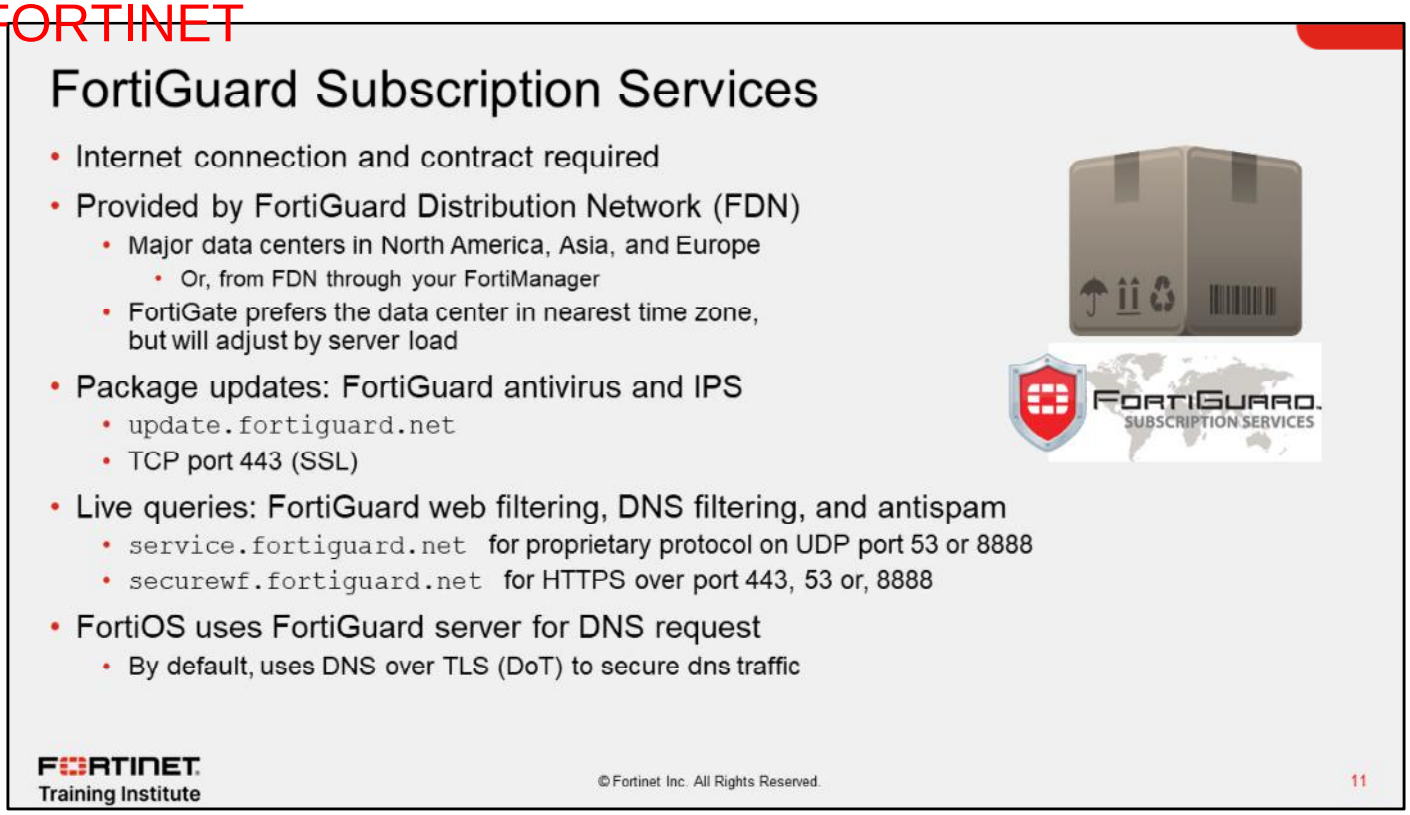
Now you'll take a look at how you set up FortiGate.

Attach your computer network cable to port1 or the internal switch ports (on the entry-level model). For high-end and mid-range models, connect to the MGMT interface. In most entry-level models, there is a DHCP server on that interface, so, if your computer's network settings have DHCP enabled, your computer should automatically get an IP, and you can begin setup.

To access the GUI on FortiGate or FortiWifi, open a web browser and visit `https://192.168.1.99`.

The default login information is public knowledge. Never leave the default password blank. Your network is only as secure as your FortiGate `admin` account. Once you logged in with default login details, you'll see a message to change the default blank password for the admin user password. Before you connect FortiGate to your network, you should set a complex password. You'll also be asked to apply additional configuration such as hostname, dashboard setup, register with FortiCare, and so on.

All FortiGate models have a console port and/or USB management port. The port provides CLI access without a network. You can access the CLI using the CLI console widget on the GUI, or from a terminal emulator, such as PuTTY or Tera Term.



Some FortiGate services connect to other servers, such as FortiGuard, in order to work. FortiGuard Subscription Services provide FortiGate with up-to-date threat intelligence. FortiGate uses FortiGuard by:

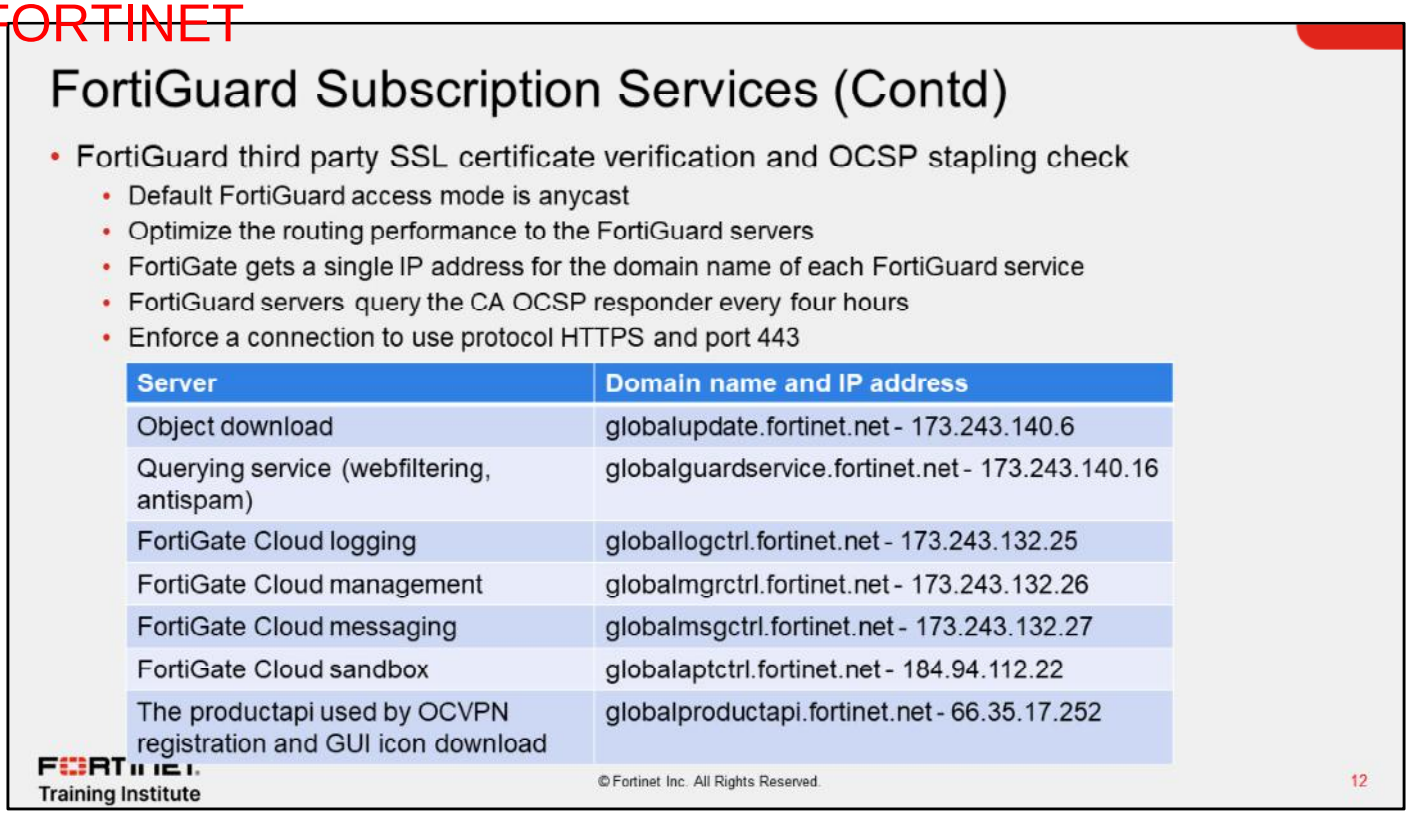
- Periodically requesting packages that contain a new engine and signatures
- Querying the FDN on an individual URL or host name

By default, the FortiGuard server location is set to anywhere FortiGate selects a server based on server load, from any part of the world. However, you have the option to change the FortiGuard server location to USA. In this case, FortiGate selects a USA-based FortiGuard server.

Queries are real-time; that is, FortiGate asks the FDN every time it scans for spam or filtered websites. FortiGate queries, instead of downloading the database, because of the size and frequency of changes that occur to the database. Also, you can select queries to use UDP or HTTPs for transport; the protocols are not designed for fault tolerance, but for speed. So, queries require that your FortiGate device has a reliable internet connection.

Packages, like antivirus and IPS, are smaller and don't change as frequently, so they are downloaded (in many cases) only once a day. They are downloaded using TCP for reliable transport. After the database is downloaded, their associated FortiGate features continue to function, even if FortiGate does not have reliable internet connectivity. However, you should still try to avoid interruptions during downloads—if your FortiGate device must try repeatedly to download updates, it can’t detect new threats during that time.

When using FortiGuard servers for DNS, FortiOS uses DNS over TLS (DoT) by default to secure the DNS traffic. New FortiGuard DNS servers have been added as primary and secondary servers.



Now, third-party SSL certificate verification and OCSP stapling check has been implemented for all FortiGuard servers. By default, the FortiGuard access mode is *anycast* on FortiGate, to optimize the routing performance to the FortiGuard servers. The FortiGuard server has one IP address to match its domain name. FortiGate connects with a single server address, regardless of where the FortiGate device is located.

The domain name of each FortiGuard service is the common name in the certificate of that service. The certificate is signed by a third-party intermediate CA. The FortiGuard server uses the Online Certificate Status Protocol (OCSP) stapling technique, so that FortiGate can always validate the FortiGuard server certificate efficiently. FortiGate will complete the TLS handshake only with a FortiGuard server that provides a *good* OCSP status for its certificate. Any other status results in a failed SSL connection.

The FortiGuard servers query the OCSP responder of the CA every four hours and update its OCSP status. If FortiGuard is unable to reach the OCSP responder, it keeps the last known OCSP status for seven days.

FortiGate aborts the connection to the FortiGuard server if:
- The CN in the server certificate does not match the domain name resolved from the DNS.
- The OCSP status is not good.
- The issuer-CA is revoked by the root-CA.

The FortiGuard access mode *anycast* setting forces the rating process to use protocol HTTPS, and port 443. The table on this slide shows a list of some of the FortiGuard servers and their domain names and IP addresses.

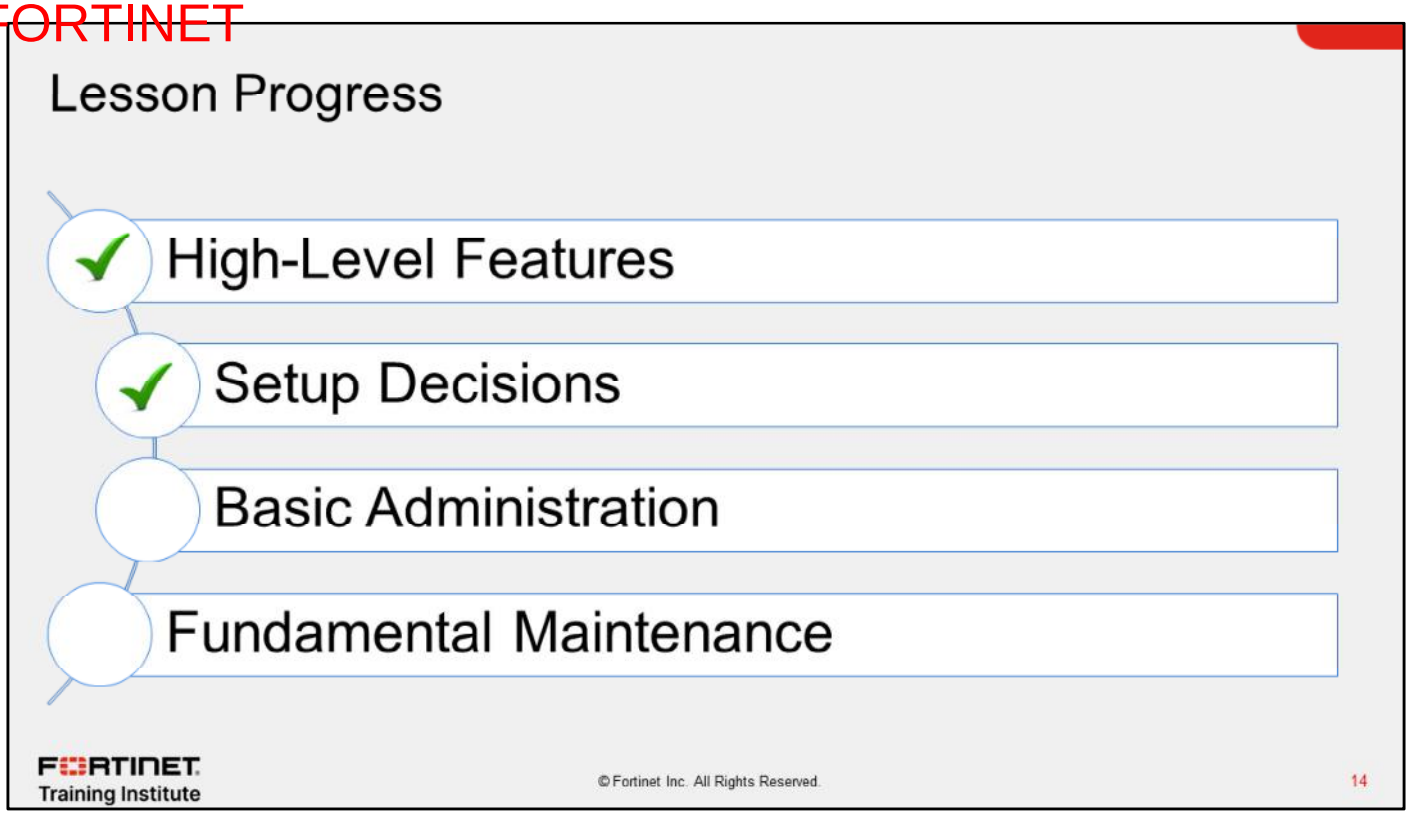
Knowledge Check

1. Which protocol does FortiGate use to download antivirus and IPS packages?
   - A. UDP
   - ✓ B. TCP

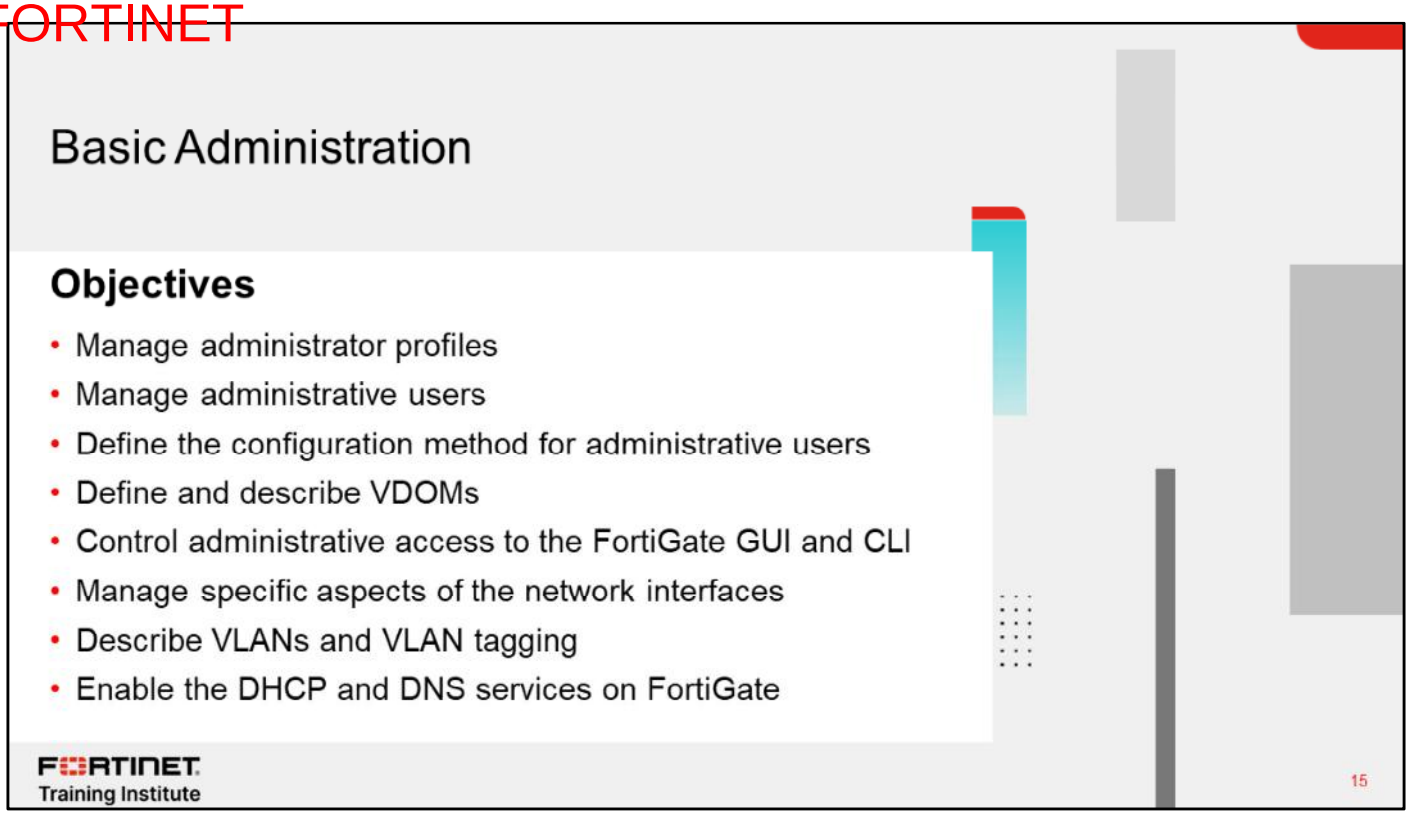
2. How does FortiGate check content for spam or malicious websites?
   - ✓ A. Live queries to FortiGuard over UDP or HTTPS
   - B. Local verification using a downloaded web filter database locally on FortiGate

FORTINET Training Institute

13

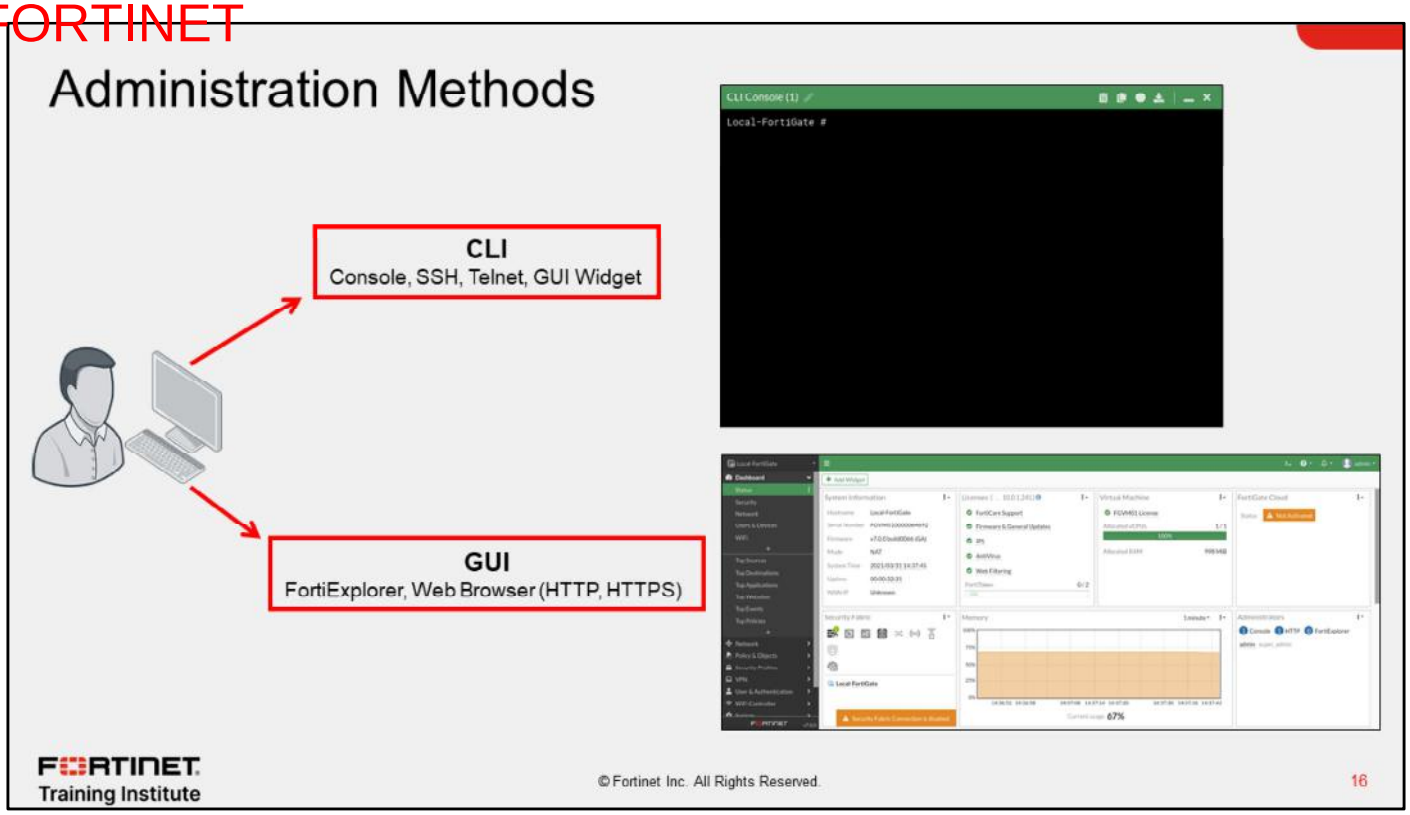


Good job! You now understand how to perform the initial setup of FortiGate and why you might decide to use one configuration over another. Now, you will learn about basic administration.



After completing this lesson, you should be able to achieve the objectives shown on this slide.

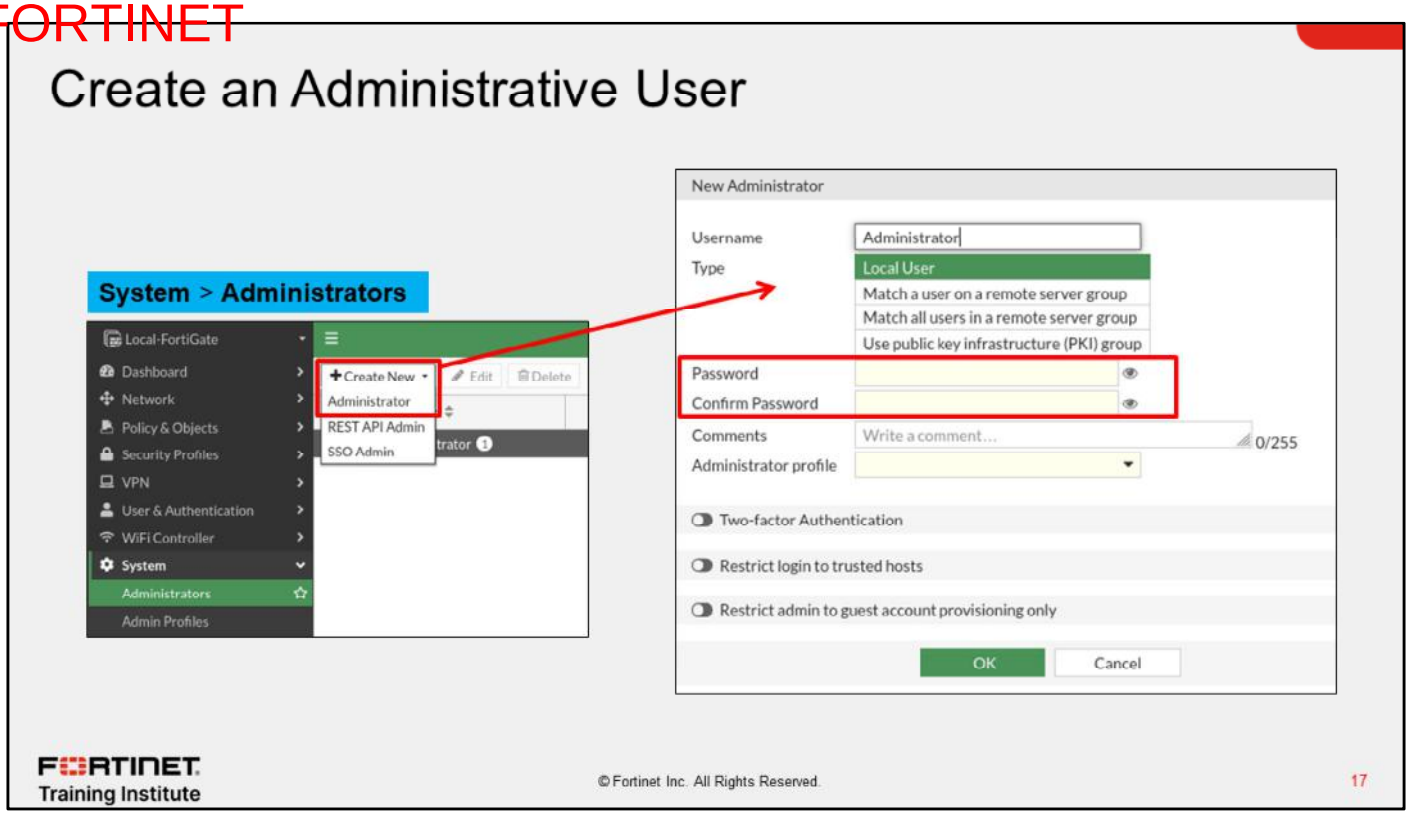
By demonstrating competence in basic administration, you will be able to better manage administrative users and implement stronger security practices around administrative access.



Most features are available on both the GUI and CLI, but there are a few exceptions. You can't view reports on the CLI. Also, advanced settings and diagnostic commands for super users are usually not available on the GUI.

As you become more familiar with FortiGate, and especially if you want to script its configuration, you might want to use the CLI in addition to the GUI. You can access the CLI through either the JavaScript widget on the GUI named **CLI Console**, or through a terminal emulator such as Tera Term (http://ttssh2.sourceforge.jp/index.html.en) or PuTTY (http://www.chiark.greenend.org.uk/~sgtatham/putty/download.html). Your terminal emulator can connect through the network—SSH or telnet—or the local console port.

SNMP and some other administrative protocols are also supported, but they are read-only. You can't use them for basic setup.



Whichever method you use, start by logging in as admin. Begin by creating separate accounts for other administrators. For security and tracking purposes, it is a best practice for each administrator to have their own account.

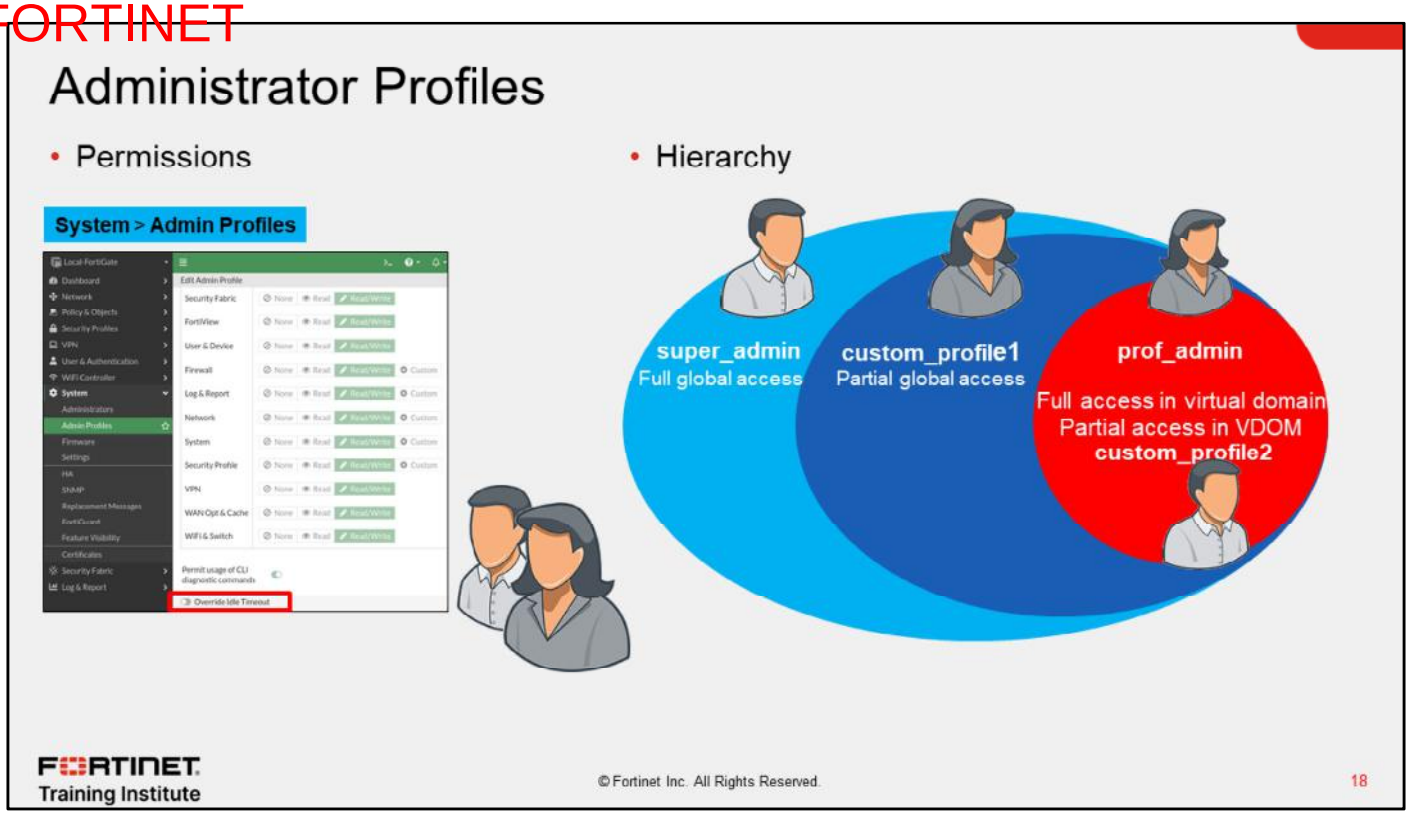
In the **Create New** drop-down list, you can select either **Administrator** or **REST API Admin**. Typically, you will select **Administrator** and then assign an **Administrator Profile**, which specifies that user's administrative permissions. You could select **REST API Admin** to add an administrative user who would use a custom application to access FortiGate with a REST API. The application would allow you to log in to FortiGate and perform any task that your assigned **Administrator Profile** permits.

Other options not shown here, include:

- Instead of creating accounts on FortiGate itself, you could configure FortiGate to query a remote authentication server.
- In place of passwords, your administrators could authenticate using digital certificates that are issued by your internal certification authority server.

If you do use passwords, ensure that they are strong and complex. For example, you could use multiple interleaved words with varying capitalization, and randomly insert numbers and punctuation. Do not use short passwords, or passwords that contain names, dates, or words that exist in any dictionary. These are susceptible to brute force attack. To audit the strength of your passwords, use tools such as L0phtcrack (http://www.l0phtcrack.com/) or John the Ripper (http://www.openwall.com/john/). Risk of a brute force attack is increased if you connect the management port to the internet.

In order to restrict access to specific features, you can assign permissions.



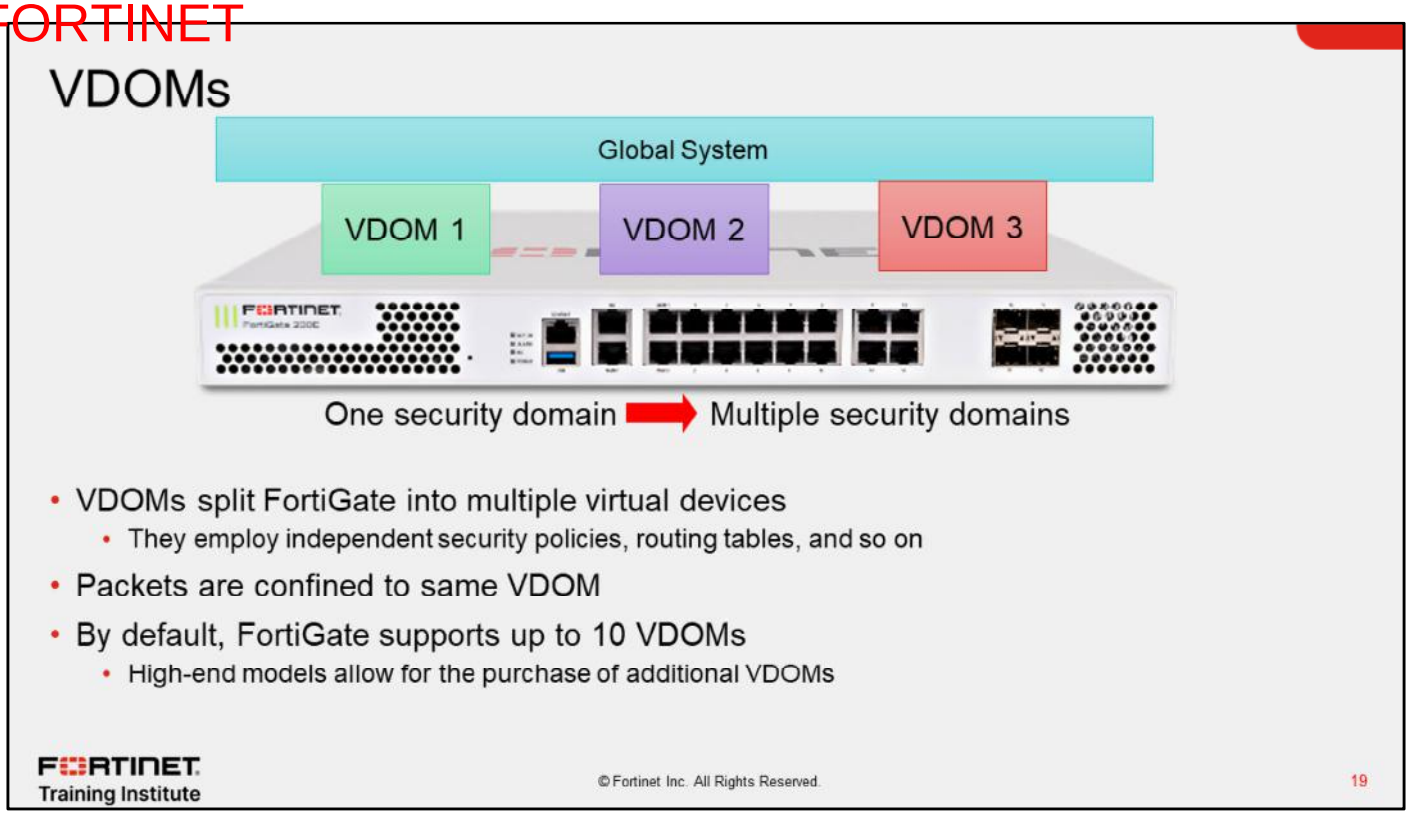
When assigning permissions to an administrator profile, you can specify read-and-write, read-only, or none to each area.

By default, there is a special profile named **super_admin**, which is used by the account named **admin**. You can't change it. It provides full access to everything, making the *admin* account similar to a root **superuser** account.The **prof_admin** is another default profile. It also provides full access, but unlike **super_admin**, it applies only to its virtual domain—not the global settings of FortiGate. Also, you can change its permissions.

You aren't required to use a default profile. You could, for example, create a profile named **auditor_access** with read-only permissions. Restricting a person's permissions to those necessary for his or her job is a best practice, because even if that account is compromised, the compromise to your FortiGate device (or network) is not total. To do this, create administrator profiles, then select the appropriate profile when configuring an account.

The **Override Idle Timeout** feature allows the admintimeout value, under `config system accprofile`, to be overridden per access profile. You can configure administrator profiles to increase inactivity timeout and facilitate use of the GUI for central monitoring. Note that you can do this on a per-profile basis, to prevent the option from being unintentionally set globally. So, what are the effects of administrator profiles?

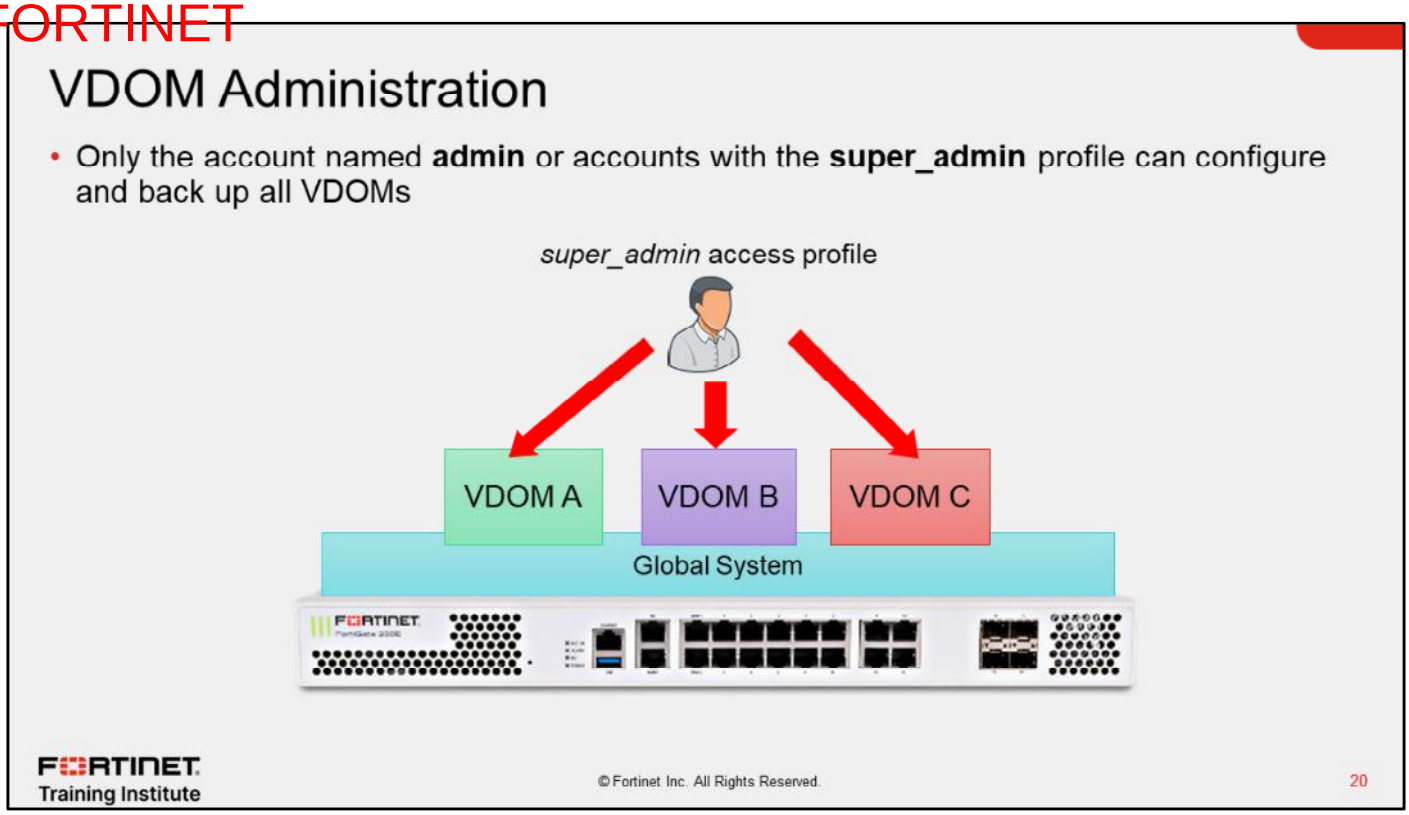
It's actually more than just read or write access. Depending on the type of administrator profile that you assign, an administrator may not be able to access the entire FortiGate device. For example, you could configure an account that can view only log messages. Administrators may not be able to access global settings outside their assigned virtual domain either. Virtual domains (VDOMs) are a way of subdividing the resources and configurations on a single FortiGate. Administrators with a smaller scope of permissions cannot create, or even view, accounts with more permissions.



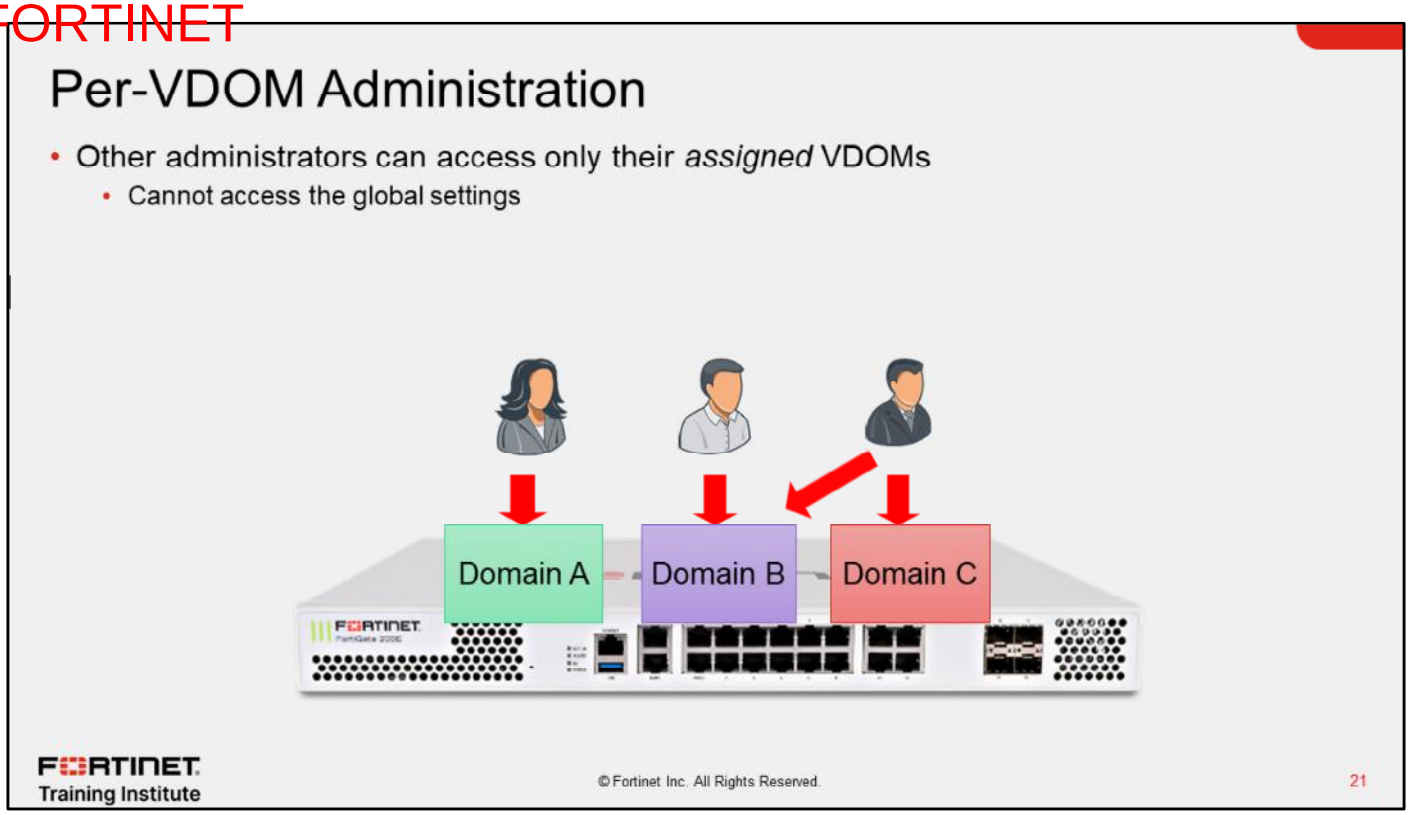
What if, more than segmenting your network, you want to subdivide policies and administrators into multiple security domains?

In that case, you can enable FortiGate VDOMs, which split your FortiGate into multiple logical devices. Each VDOM has independent security policies and routing tables. Also, and by default, traffic from one VDOM cannot go to a different VDOM. This means that two interfaces in different VDOMs can share the same IP address, without any overlapping subnet problems.

When you use VDOMs, a single FortiGate device becomes a virtual data center of network security, UTM inspection, and secure communication devices.

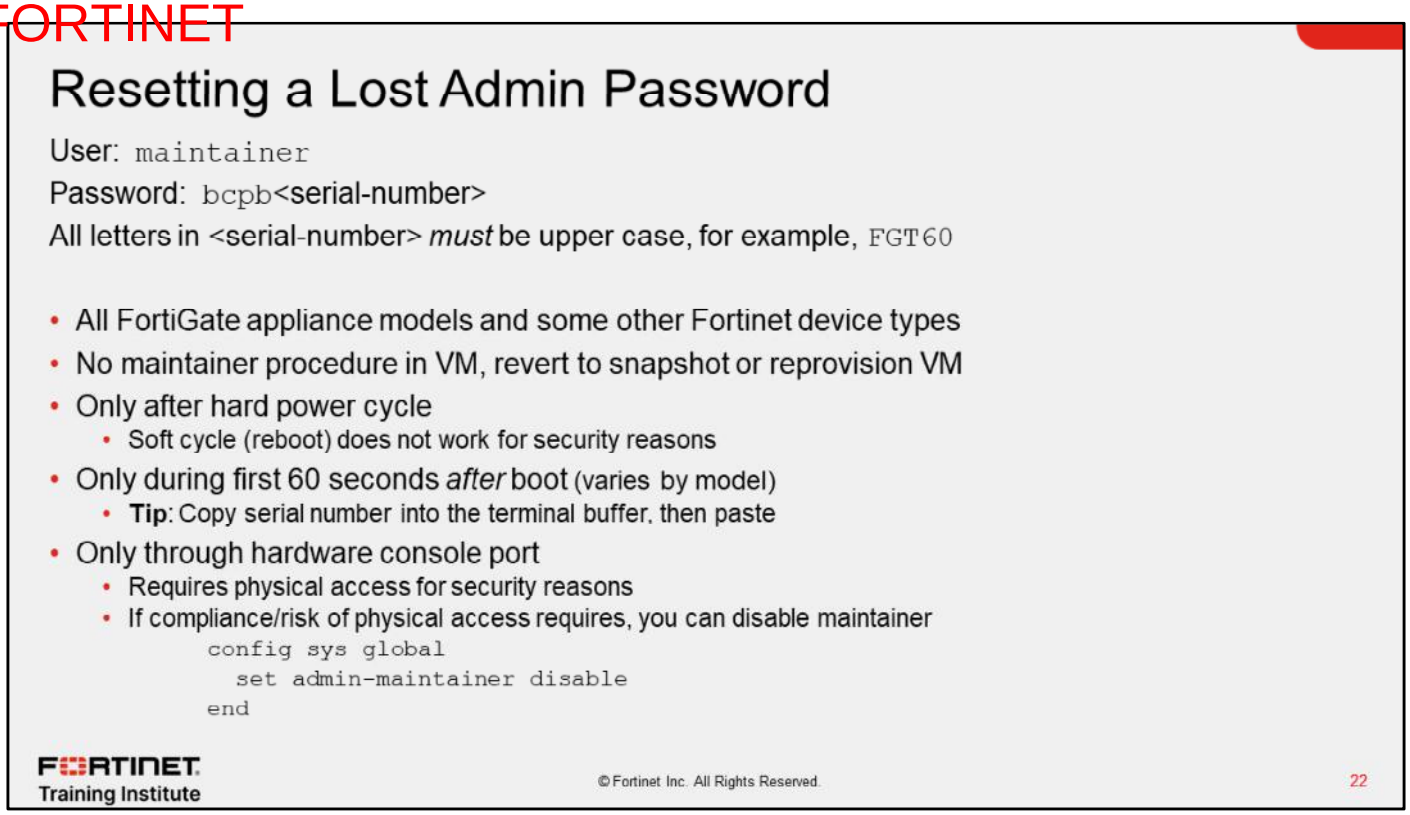


If you want to grant access to all VDOMs and global settings, select **super_admin** as the access profile when configuring the administrator account. Similar to the account named **admin**, this account can configure all VDOMs.



In most cases, you start by creating one administrator account per VDOM. That administrator is chiefly responsible for that domain, including the configuration backups of that VDOM. In larger organizations, you may need to make multiple VDOM administrators. You can assign multiple administrators to each VDOM. You can subdivide permissions using access profiles, in order to follow best practices for segregation of duties.

The converse is also possible. If required, you can assign an administrator to multiple VDOMs.

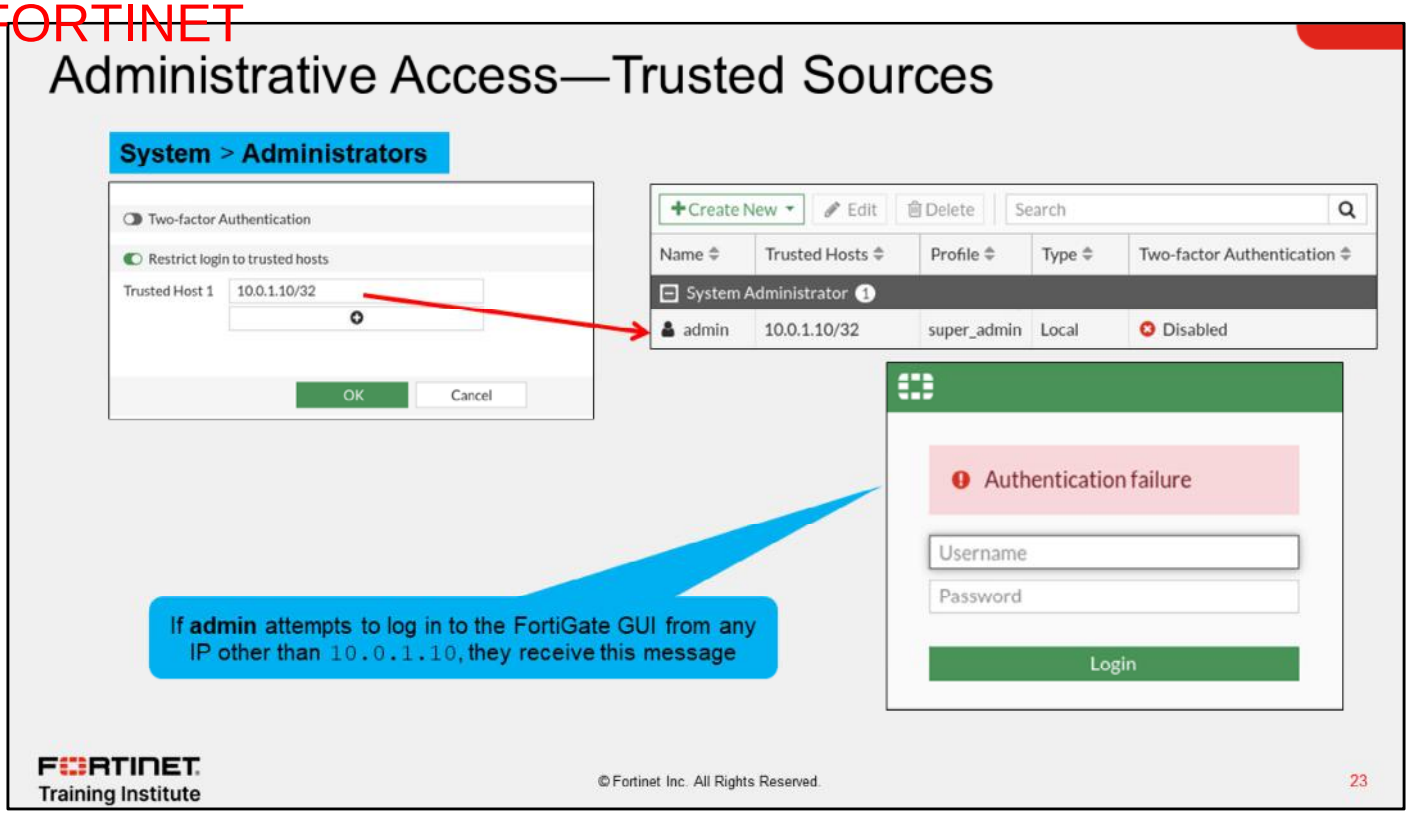


What happens if you forget the password for your `admin` account, or a malicious employee changes it?

This recovery method is available on all FortiGate devices and even some non-FortiGate devices, like FortiMail. There is no maintainer procedure in the VM. The administrator must revert to a snapshot or reprovision the VM and restore the configuration. It's a *temporary* account, only available through the local console port, and only after a hard reboot—disrupting power by unplugging or turning off the power, then restoring it. You must physically shut off FortiGate, then turn it back on, not reboot it through the CLI.

The `maintainer` login is available for login only for about 60 seconds after the restart completes (or less time on older models).

If you cannot ensure physical security, or have compliance requirements, you can disable the `maintainer` account. Use caution if you disable `maintainer` and then lose your `admin` password, because you cannot recover access to your FortiGate device. In order to regain access in this scenario, you will need to reload the device. This will reset to the device to its factory default settings.



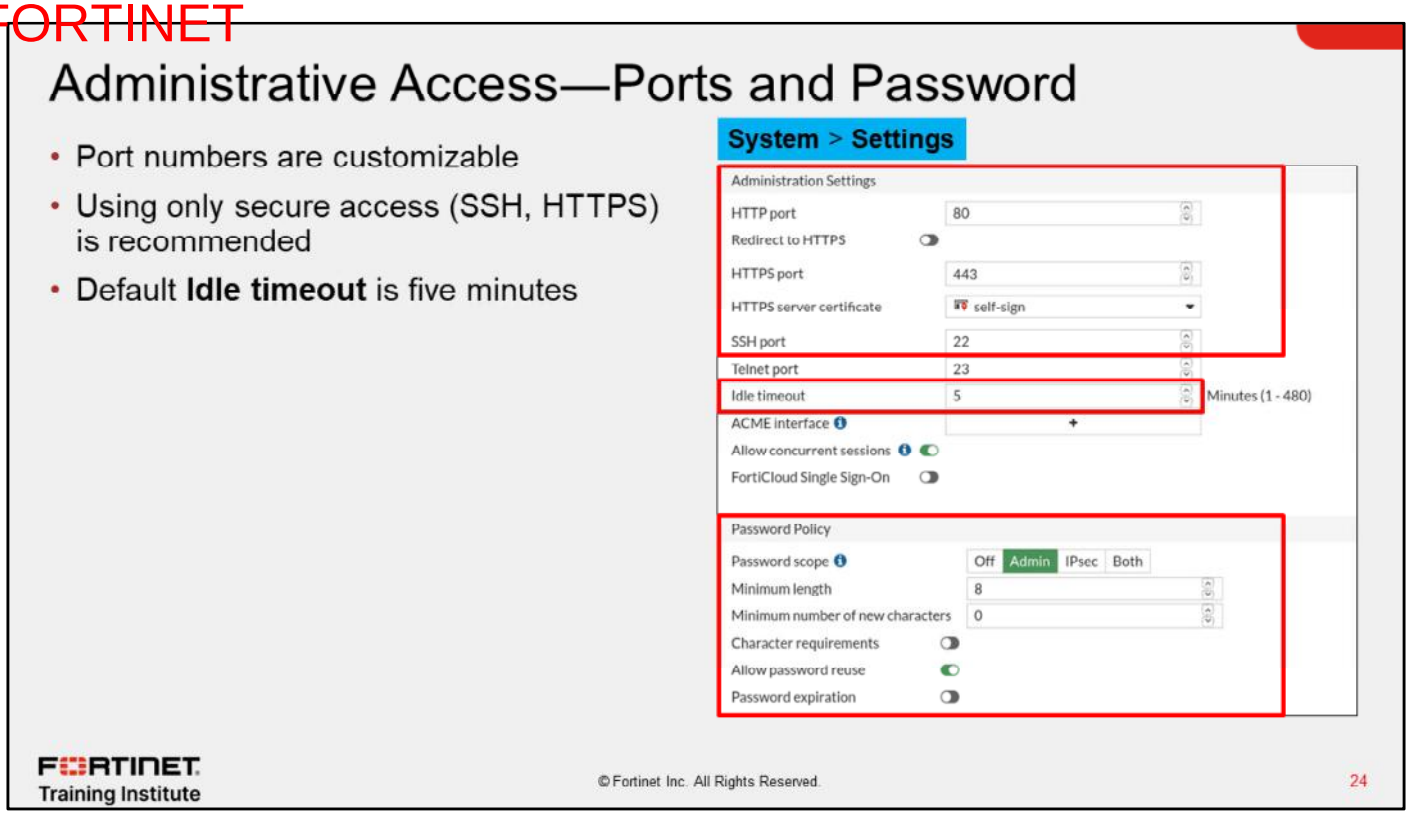
Another way to secure FortiGate is to define the hosts or subnets that are trusted sources from which to log in.

In this example, we have configured `10.0.1.10` as the only trusted IP for **admin** from which **admin** logs in. If **admin** attempts to log in from a machine with any other IP, they will receive an authentication failure message.

Note that If trusted hosts are configured on all administrators and an administrator is trying to log in from an IP address that is not set on any of the trusted hosts for any administrators, then the administrator will not get the login page but rather will receive the message: “Unable to contact server”.

If you leave any IPv4 address as `0.0.0.0/0`, it means that connections from any source IP will be allowed. By default, `0.0.0.0/0` is the configuration for the administrator, although you may want to change this.

Notice that each account can define its management host or subnet differently. This is especially useful if you are setting up VDOMs on FortiGate, where the VDOM administrators may not even belong to the same organization. Be aware of any NAT that occurs between the desired device and FortiGate. You can easily prevent an administrator from logging in from the desired IP address if it is later NATed to another address before reaching FortiGate, thus defeating the purpose of the trusted hosts.



You may also want to customize the administrative protocols port numbers.

You can choose whether to allow concurrent sessions. You can use concurrent sessions to avoid accidentally overwriting settings, if you usually keep multiple browser tabs open, or accidentally leave a CLI session open without saving the settings, then begin a GUI session and accidentally edit the same settings differently.

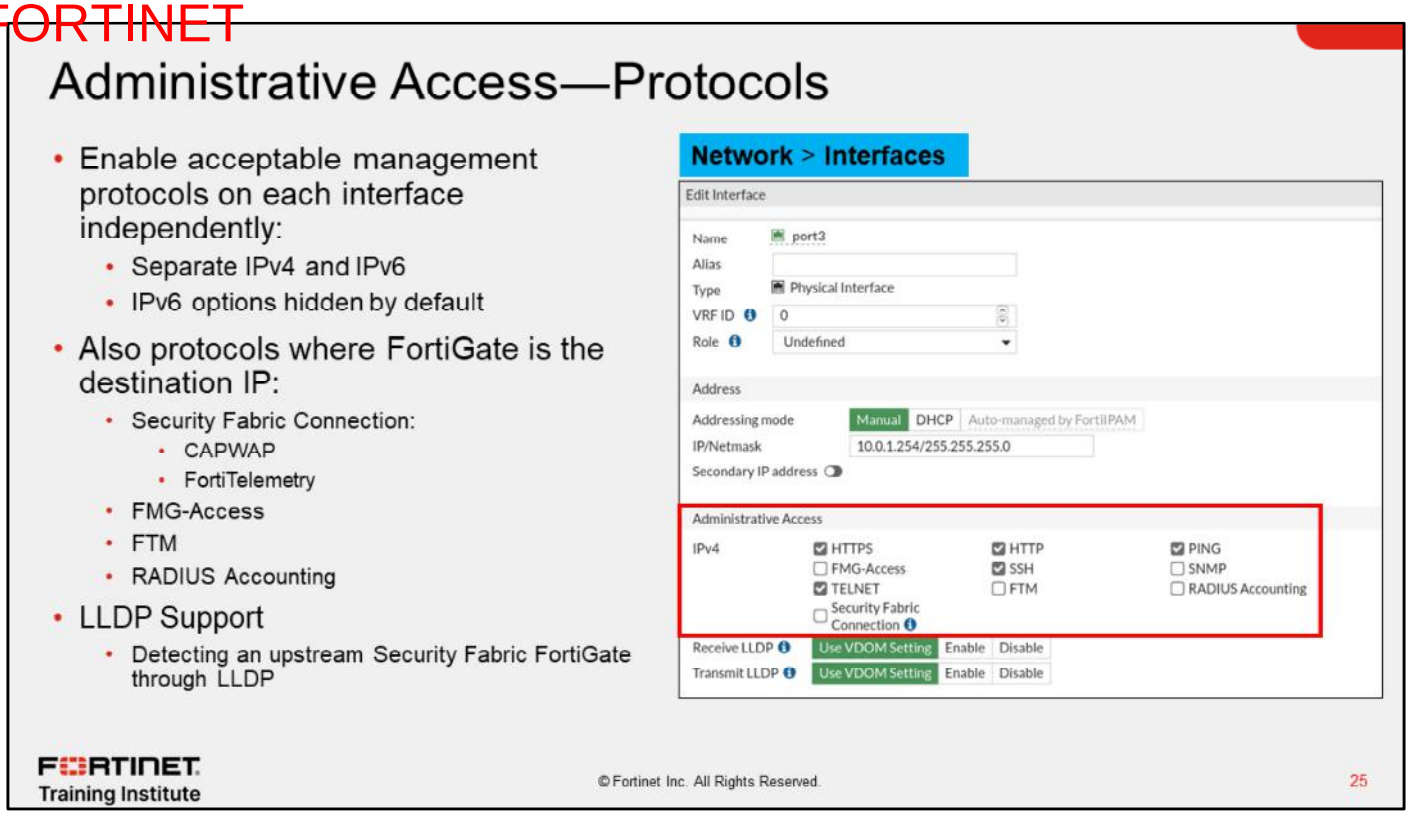
For better security, use only secure protocols, and enforce password complexity and changes.

The **Idle timeout** settings specifies the number of minutes before an inactive administrator session times out (default is five minutes). A shorter idle timeout is more secure, but increasing the timer can help reduce the chance of administrators being logged out while testing changes.

You can override the idle timeout setting per administrator profile using the **Override Idle Timeout** setting.

You can configure an administrator profile to increase inactivity timeout and facilitate use of the GUI for central monitoring. The **Override Idle Timeout** setting allows the **admintimeout** value, under **config system accprofile**, to be overridden per access profile.

Note that you can do this on a per profile basis, to avoid the option from being unintentionally set globally.



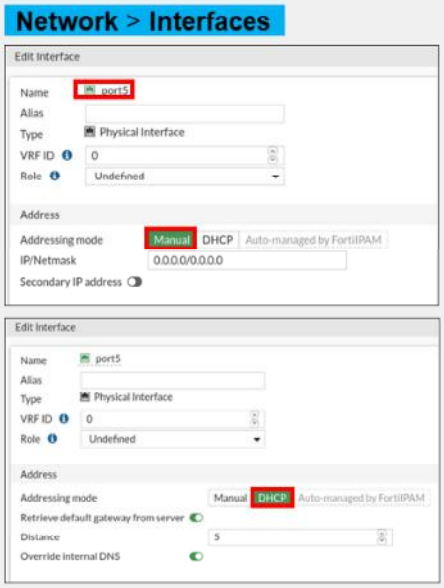
You've defined the management subnet—that is, the trusted hosts—for each administrator account. How do you enable or disable management protocols?
This is specific to each interface. For example, if your administrators connect to FortiGate only from port3, then you should disable administrative access on all other ports. This prevents brute force attempts and also insecure access. Your management protocols are HTTPS, HTTP, PING, and SSH. By default, the HTTP and TELNET option is not visible on the GUI.

Consider the location of the interface on your network. Enabling PING on an internal interface is useful for troubleshooting. However, if it's an external interface (in other words, exposed to the internet), then the PING protocol could expose FortiGate to a DoS attack. You should disable protocols that do not encrypt data flow, such as HTTP and TELNET. IPv4 and IPv6 protocols are separate. It's possible to have both IPv4 and IPv6 addresses on an interface, but only respond to pings on IPv6.

Security Fabric connection includes CAPWAP and FortiTelemetry. Protocols like FortiTelemetry are *not* for administrative access, but, like GUI and CLI access, they are protocols where the packets have FortiGate as a destination IP. Use the FortiTelemetry protocol specifically for managing FortiClient and the Security Fabric. Use the CAPWAP protocol for FortiAP, FortiSwitch, and FortiExtender when they are managed by FortiGate. Use the FMG-Access protocol specifically for communicating with FortiManager when that server is managing multiple FortiGate devices. Use the RADIUS accounting protocol when FortiGate needs to listen for and process RADIUS accounting packets for single sign-on authentication. FTM, or FortiToken Mobile push, supports second-factor authentication requests from a FortiToken mobile app.
When you assign the interface roles LAN or WAN to the appropriate interfaces, your FortiGate uses the Link Layer Discovery Protocol (LLDP) to detect if there's an upstream FortiGate in your network. If FortiGate discovers an upstream FortiGate, you're prompted to configure the upstream FortiGate device to join the Security Fabric.

Interface IPs

- In NAT mode, you can't use interfaces until they have an IP address:
  - Manually assigned
  - Automatic
    - DHCP
    - PPPoE

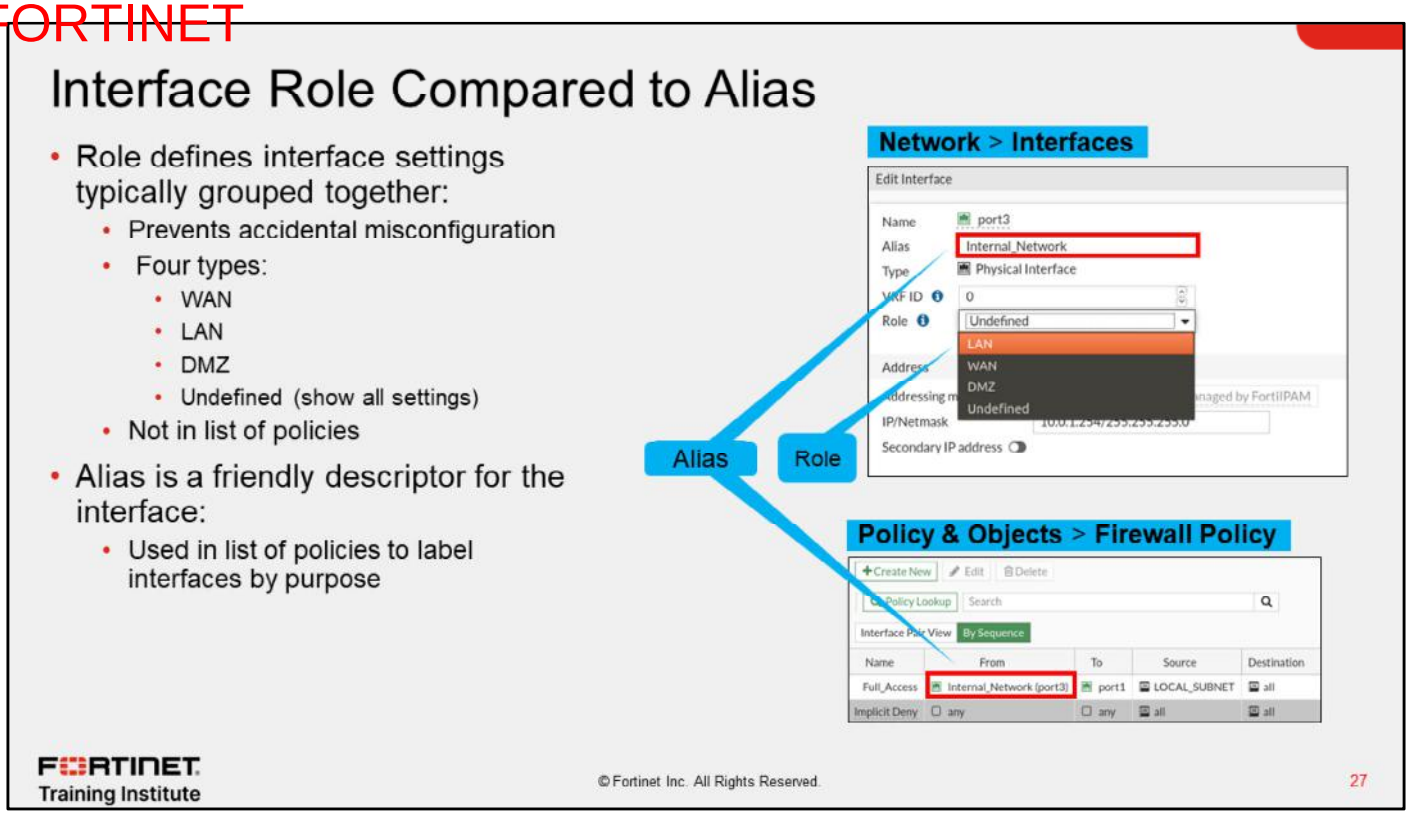


FORTINET Training Institute

26

When FortiGate is operating in NAT mode, every interface that handles traffic must have an IP address. When in NAT mode, FortiGate can use the IP address to source the traffic, if it needs to start or reply to a session, and as a destination address for devices trying to contact FortiGate or route traffic through it. There are multiple ways to get an IP address:

- Manually
- Automatically, using either DHCP or PPPoE (available on the CLI)



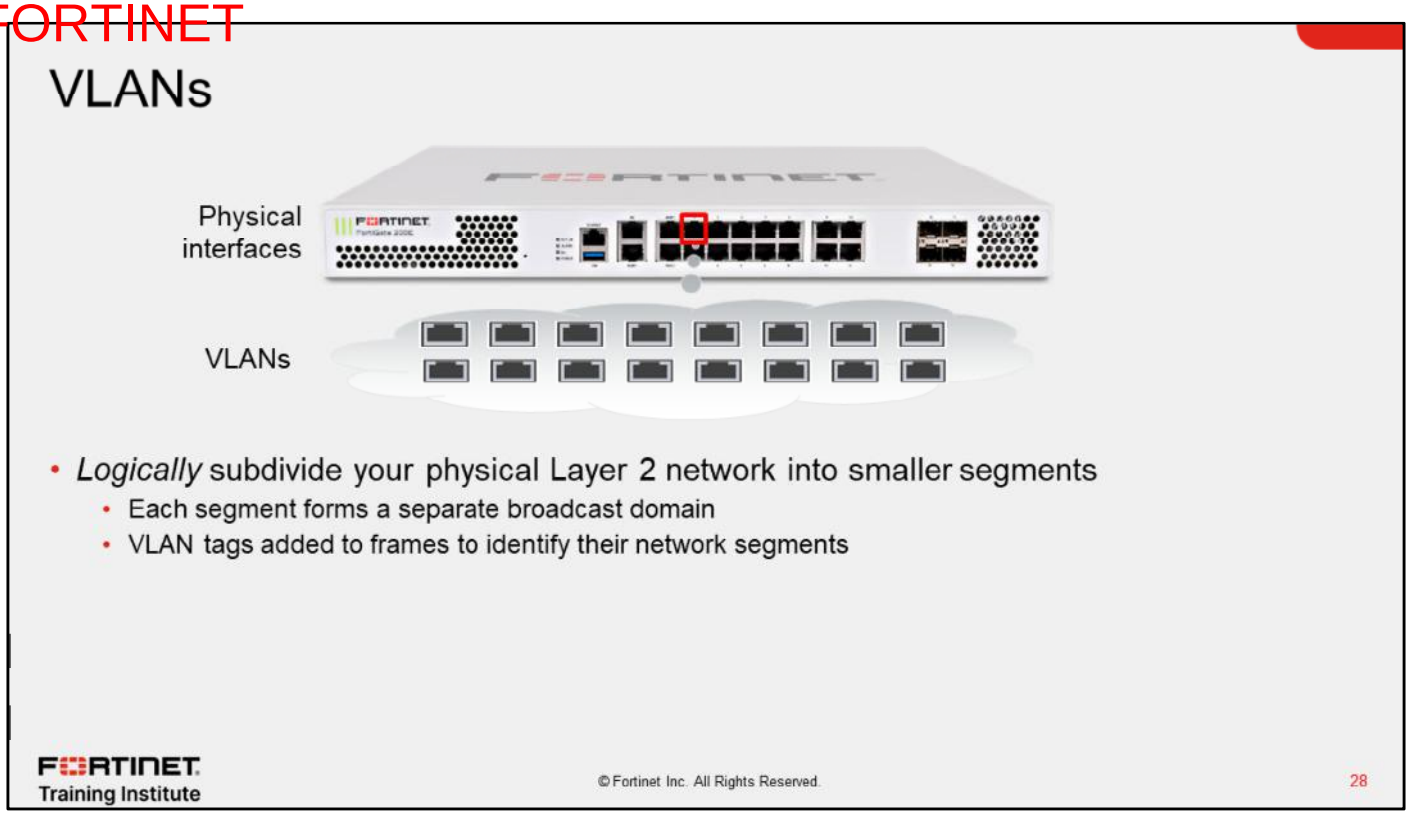
How many times have you seen network issues caused by a DHCP server—not client—enabled on the WAN interface?

You can configure the interface role. The roles shown on the GUI are the usual interface settings for that part of a topology. Settings that do not apply to the current role are hidden on the GUI. (All settings are always available on the CLI regardless of the role.) This prevents accidental misconfiguration.

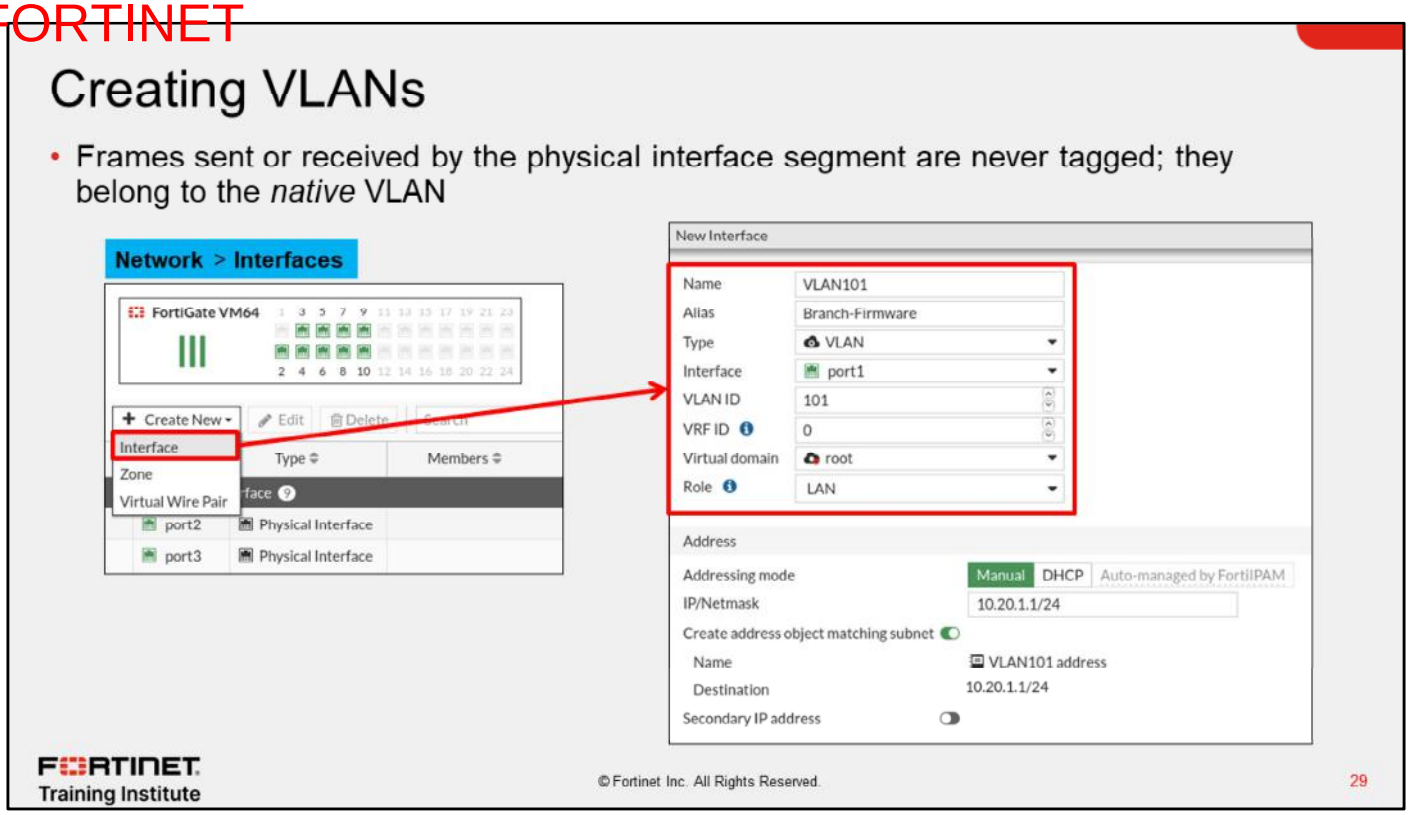
For example, when the role is configured as **WAN**, there is no DHCP server and device detection configuration available. Device detection is usually used to detect devices internally on your LAN.

If there is an unusual case, and you need to use an option that's hidden by the current role, you can always switch the role to **Undefined**. This displays all options.

To help you remember the use of each interface, you can give them aliases. For example, you could call port3 `internal_network`. This can help to make your list of policies easier to comprehend.

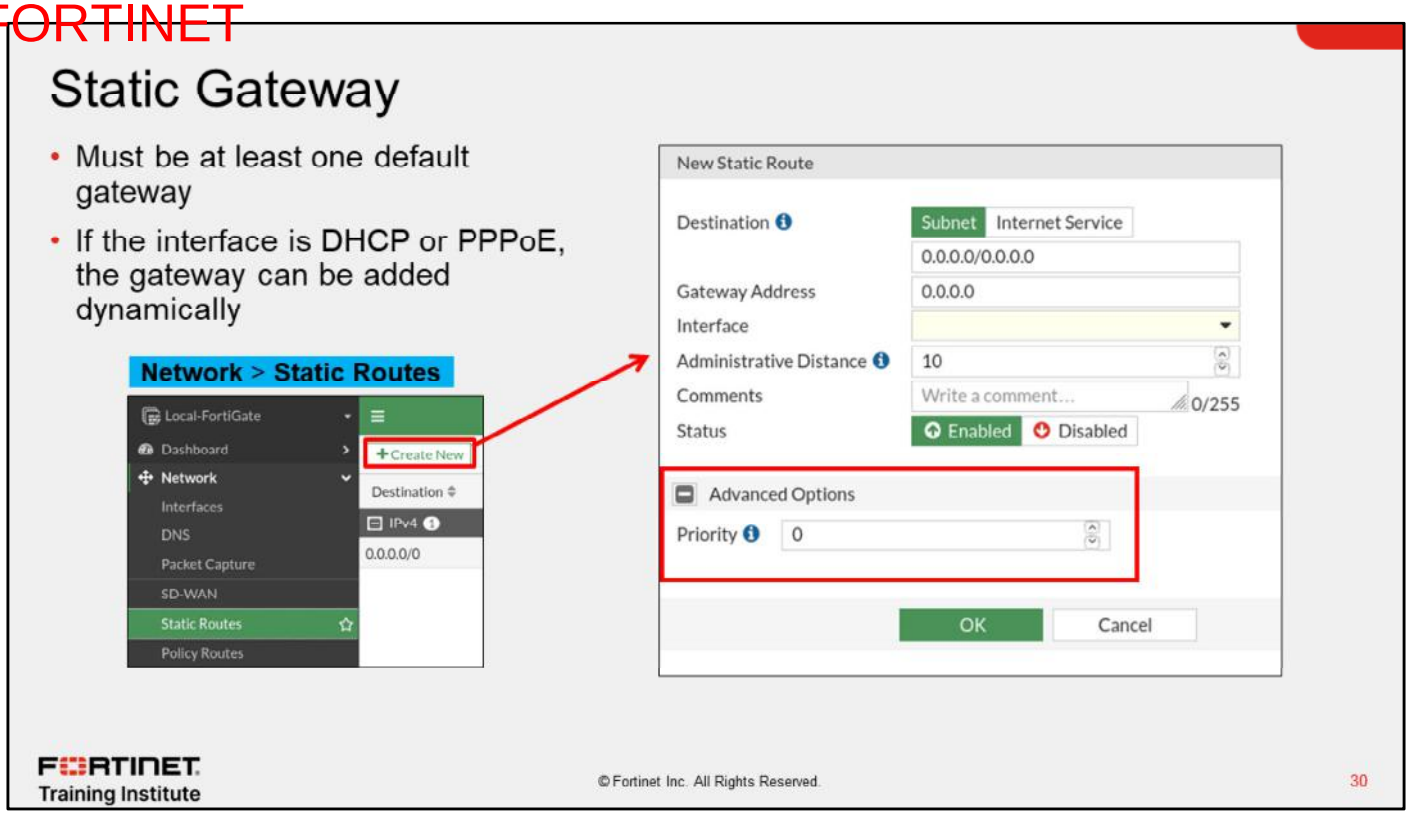


VLANs split your physical LAN into multiple, logical LANs. In NAT operation mode, each VLAN forms a separate broadcast domain. Multiple VLANs can coexist in the same physical interface, provided they have different VLAN IDs. In this way, a physical interface is split into two or more logical interfaces. A tag is added to each Ethernet frame to identify the VLAN to which it belongs.



To create a VLAN using the GUI, click **Create New,** select **Interface,** and then, in the **Type** drop-down list, select **VLAN**. You must specify the VLAN ID and the physical interface to which the VLAN will be bound. Frames that belong to interfaces of that type are always tagged. On the other hand, frames sent or received by the physical interface segment are never tagged. They belong to what is called the *native* VLAN (VLAN ID 0).

Note that in a multi-VDOM environment, the physical interface and its VLAN sub-interface can be in separate VDOMs.



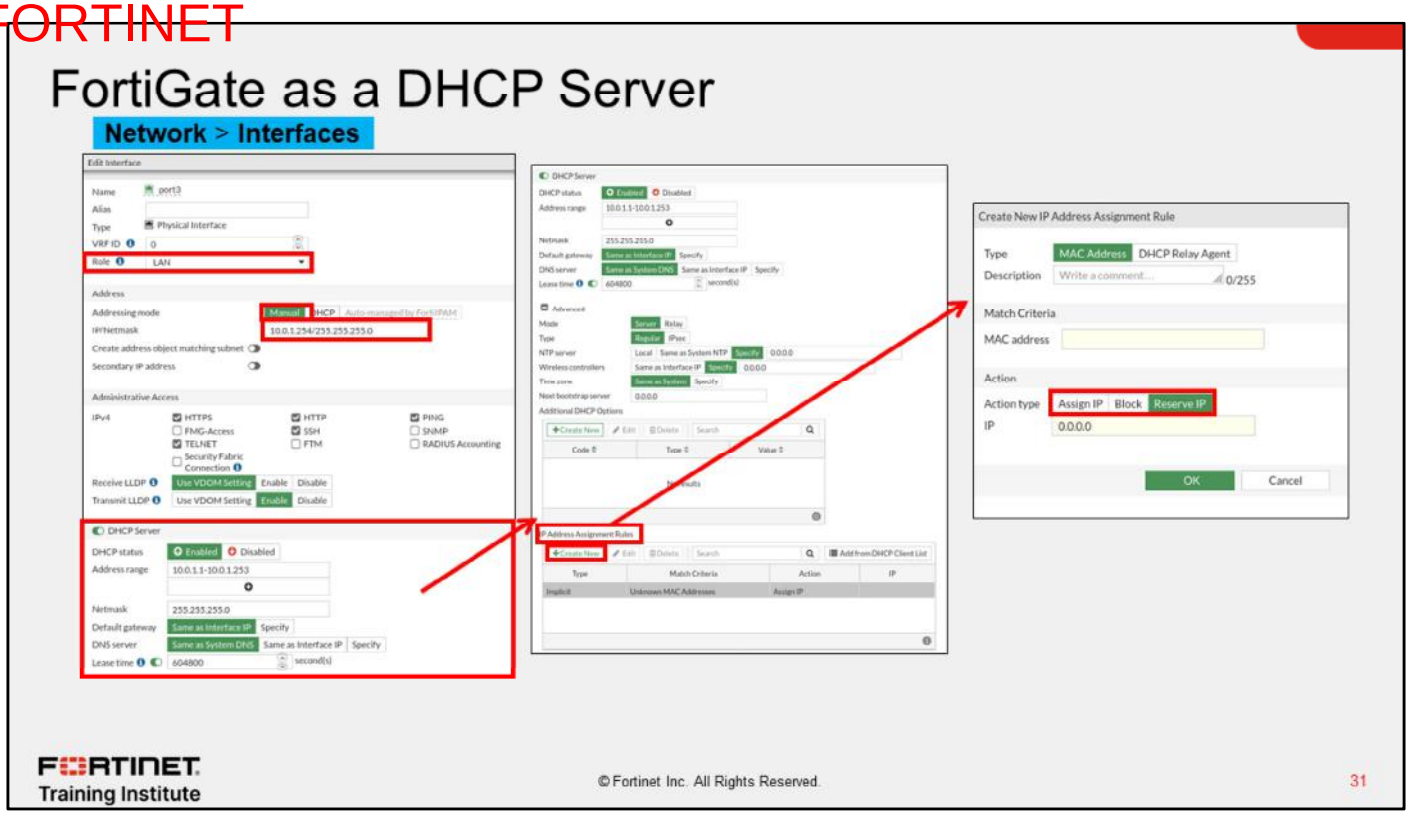
Before you integrate FortiGate into your network, you should configure a default gateway.

If FortiGate gets its IP address through a dynamic method such as DHCP or PPPoE, then it should also retrieve the default gateway.

Otherwise, you must configure a static route. Without this, FortiGate will not be able to respond to packets outside the subnets directly attached to its own interfaces. It probably also will not be able to connect to FortiGuard for updates, and may not correctly route traffic.

You should make sure that FortiGate has a route that matches all packets (destination is `0.0.0.0/0`), known as a default route, and forwards them through the network interface that is connected to the internet, to the IP address of the next router.

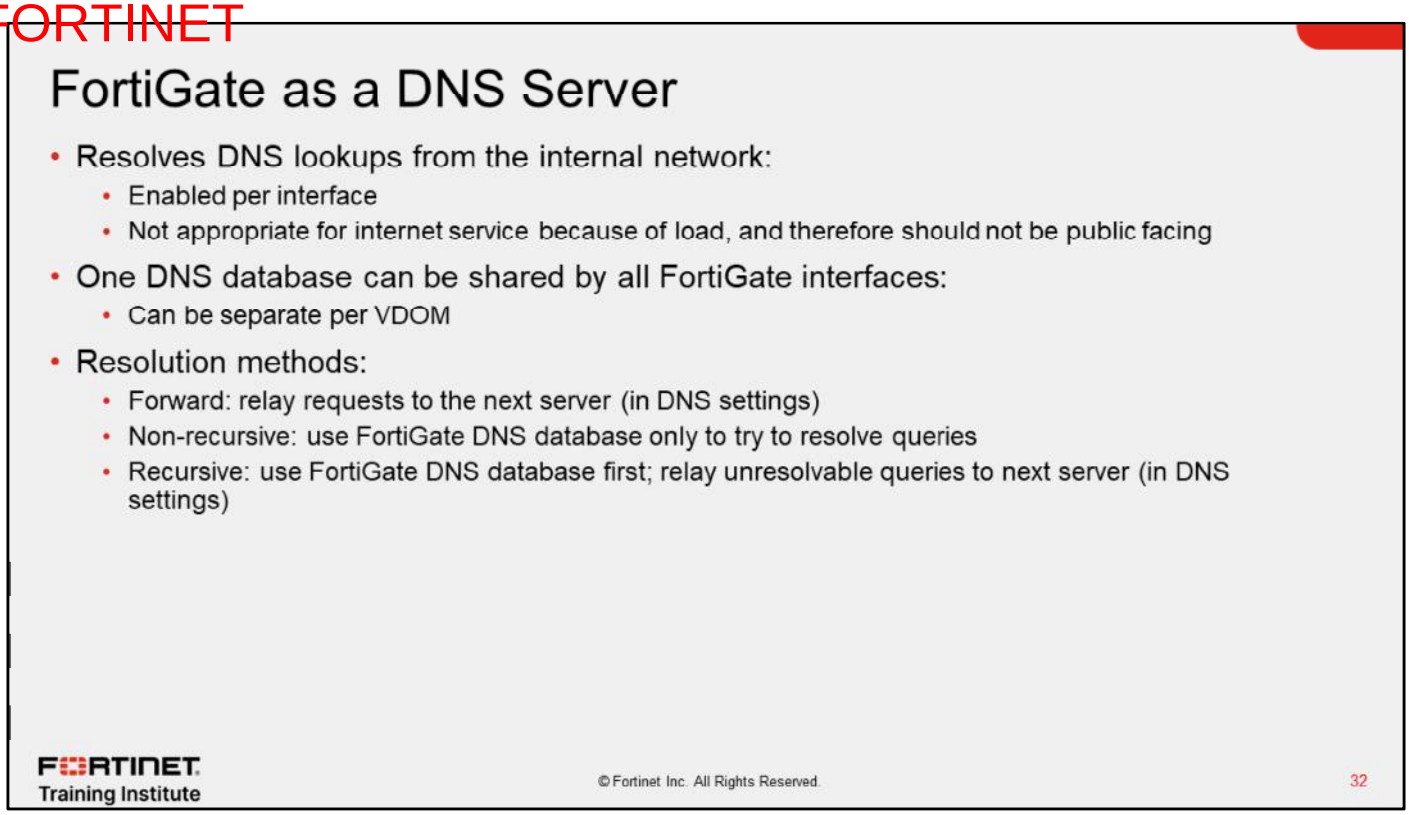
Routing completes the basic network settings that are required before you can configure firewall policies.



Wireless clients are not the only ones that can use FortiGate as their DHCP server.

For an interface (such as port3), select the **Manual** option, enter a static IP, and then enable the **DHCP Server** option. Options for the built-in DHCP server appear, including provisioning features, such as DHCP options and IP address assignment rules. You can also block specific MAC addresses from receiving an IP address.

Note that the screenshot on the middle of the slide shows that you can create IP address assignment rules in the **IP Address Assignment Rule** section. The **IP Address Assignment Rule** section allows you to assign, block or reserve the IP address to the host. It also allows you to select actions for unknown MAC addresses. The default action is **Assign IP**; however, you can change the default action type to **Assign IP** or **Block**.



You can configure FortiGate to act as your local DNS server. You can enable and configure DNS separately on each interface.

A local DNS server can improve performance for your FortiMail device or other devices that use DNS queries frequently. If your FortiGate device offers DHCP to your local network, you can use DHCP to configure those hosts to use FortiGate as both the gateway and DNS server.

FortiGate can answer DNS queries in one of three ways:

- Forward: relays all queries to a separate DNS server (that you have configured in **Network** > **DNS**); that is, it acts as a DNS relay instead of a DNS server.
- Non-Recursive: replies to queries for items in the FortiGate DNS databases and does not forward unresolvable queries.
- Recursive: replies to queries for items in the FortiGate DNS databases and forwards all other queries to a separate DNS server for resolution.

You can configure all modes on the GUI or CLI.

Knowledge Check

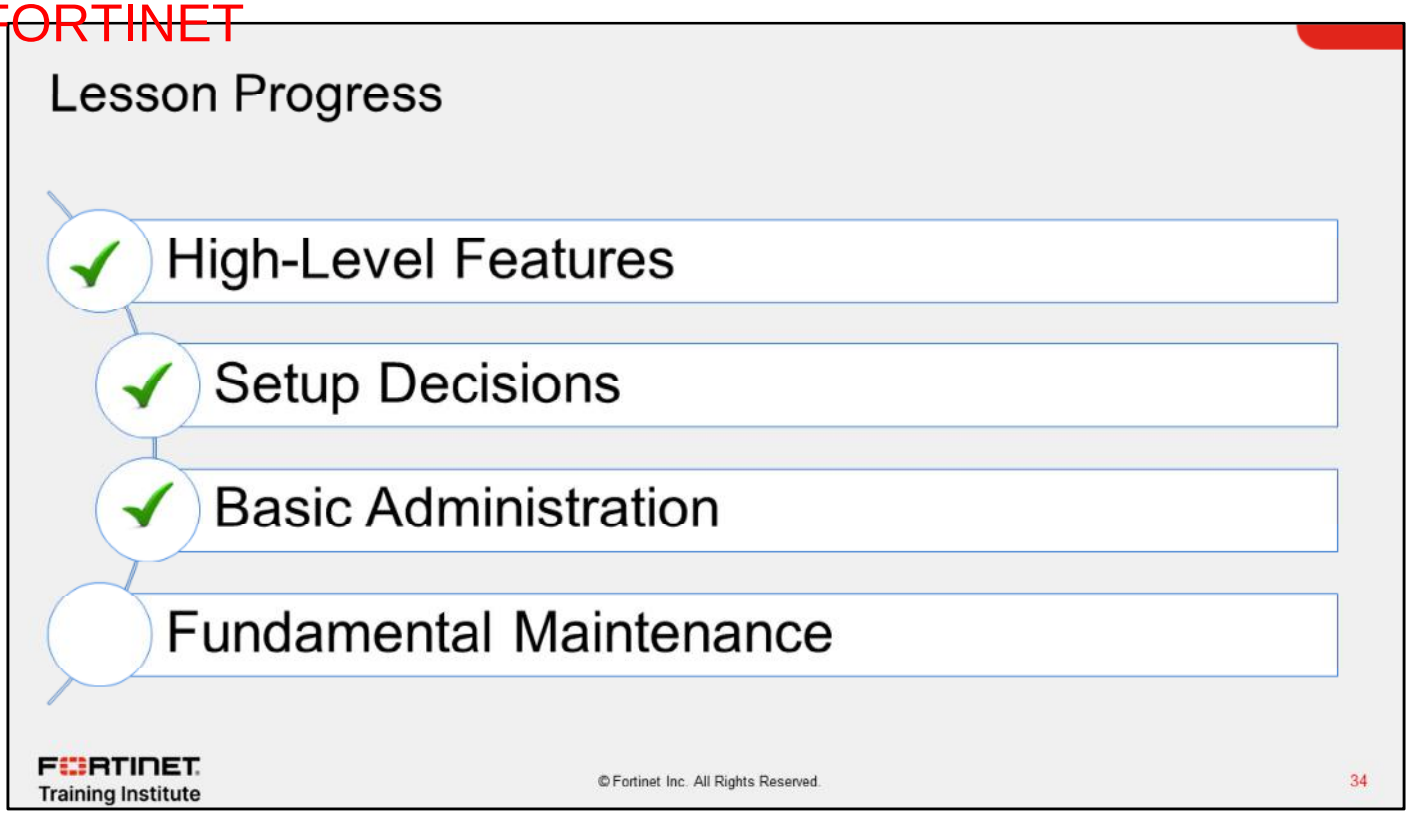
1. How do you restrict logins to FortiGate from only specific IP addresses?
   - A. Change the FortiGate management interface IP address.
   - ✓ B. Configure a trusted host.

2. As a best security practice when configuring administrative access to FortiGate, which protocol should you disable?
   - ✓ A. Telnet
   - B. SSH

3. When configuring FortiGate as a DNS server, which resolution method uses only the FortiGate DNS database to try to resolve queries?
   - ✓ A. Non-recursive
   - B. Recursive

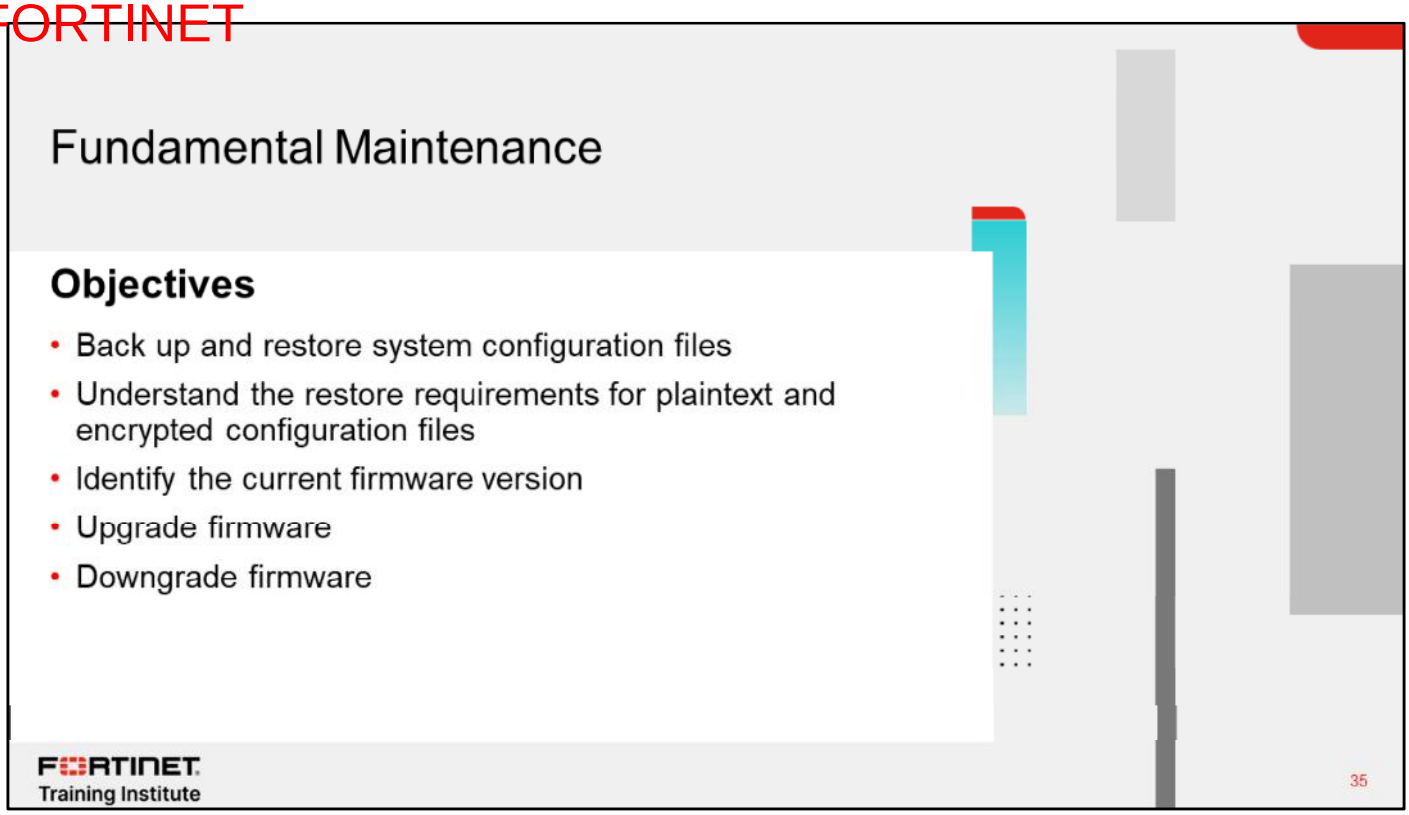
FORTINET Training Institute

33



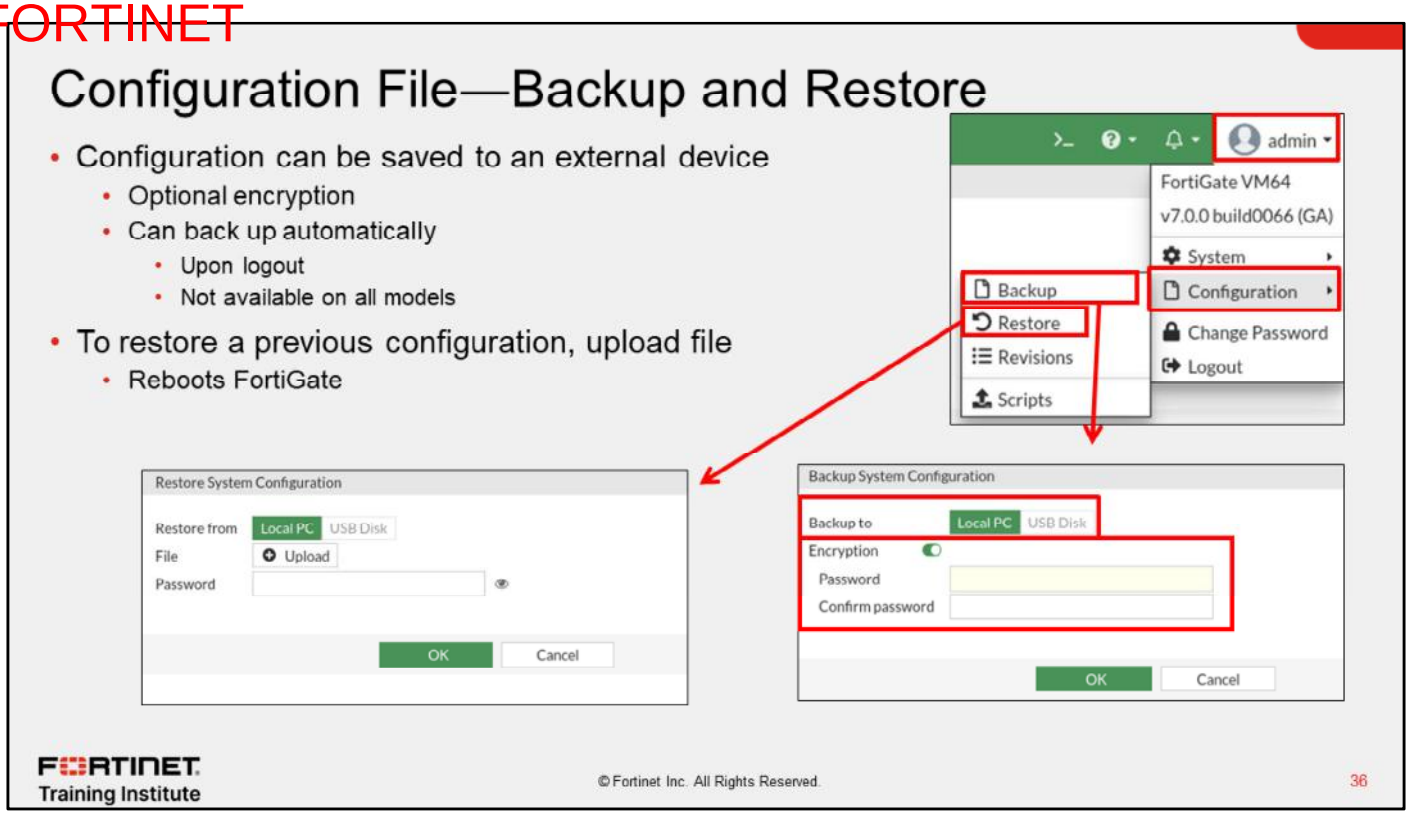
Good job! You now have the knowledge needed to carry out some basic administrative tasks. You also know how to enable DHCP and DNS services on FortiGate.

Now, you will learn about fundamental maintenance.



After completing this section, you should be able to achieve the objectives shown on this slide.

By demonstrating competence in the basic maintenance of FortiGate, you will be able to perform the vital activities of backing up and restoring configurations, upgrading and downgrading firmware, and ensuring that FortiGate remains reliably in service throughout its lifecycle.



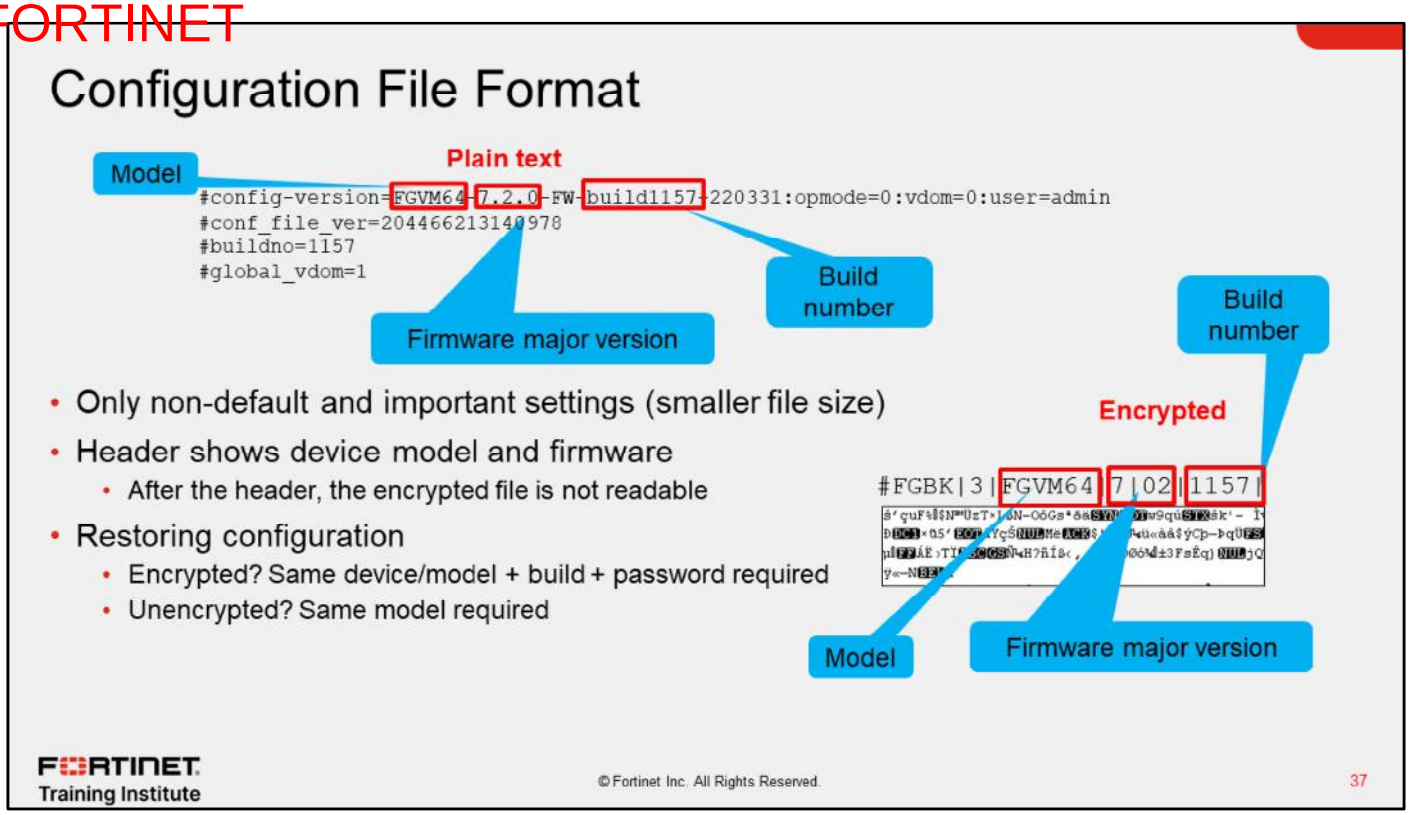
Now that FortiGate has basic network settings and administrative accounts, you will learn how to back up the configuration. In addition to selecting the destination of the backup file, you can choose to encrypt or not to encrypt the backup file. Even if you choose not to encrypt the file, which is the default, the passwords stored in the file are hashed, and, therefore, obfuscated. The passwords that are stored in the configuration file would include passwords for the administrative users and local users, and preshared keys for your IPSec VPNs. It may also include passwords for the FSSO and LDAP servers.

The other option is to encrypt the configuration file with a password. Besides securing the privacy of your configuration, it also has some effects you may not expect. After encryption, the configuration file cannot be decrypted without the password and a FortiGate of the same model and firmware. This means that if you send an encrypted configuration file to Fortinet technical support, even if you give them the password, they cannot load your configuration until they get access to the same model of FortiGate. This can cause unnecessary delays when resolving your ticket.

If you enable virtual domains (VDOMs), subdividing the resources and configuration of your FortiGate device, each VDOM administrator can back up and restore their own configurations. You don't have to back up the entire FortiGate configuration, however, it is still recommended.

Backups are needed to help speed up the return to production in the event of an unforeseen disaster that damages FortiGate. Having to recreate hundreds of policies and objects from scratch takes a significant amount of time, while loading a configuration file on a new device takes much less.

Restoring a configuration file is very similar to backing one up and restarts FortiGate.



If you open the configuration file in a text editor, you'll see that both encrypted and unencrypted configuration files contain a cleartext header that contains some basic information about the device. The example on this slide shows what information is included. To restore an encrypted configuration, you must upload it to a FortiGate device of the same model and firmware, then provide the password.

To restore an unencrypted configuration file, you are required to match only the FortiGate model. If the firmware is different, FortiGate will attempt to upgrade the configuration. This is similar to how it uses upgrade scripts on the existing configuration when upgrading firmware. However, it is still recommended to match the firmware on FortiGate to the firmware listed in the configuration file.

Usually, the configuration file contains only non-default settings, plus few default, yet crucial, settings. This minimizes the size of the backup, which could otherwise be several megabytes in size.

Configuration File Format (Contd)

- Support YAML
- Configuration can be backedup and restored by CLI only
  - # execute backup yaml-config {ftp | tftp} <filename> server [username] [password]
  - # execute restore yaml-config {ftp | tftp} <filename> server [username] [password]

Default Format

```
config system global
  set admintimeout 480
  set alias "FortiGate-100F"
end
config system settings
  set default-voip-alg-mode kernel-helper-based
  set gui-dynamic-routing enable
end
config system interface
     edit "port1"
          set vdom "root"
          set ip 204.126.10.3 255.255.254.0
          set allowaccess ping
          config secondaryip
              edit 1
                set ip 204.126.10.2 255.255.255.0
                set allowaccess ping
              end
```

YAML Format

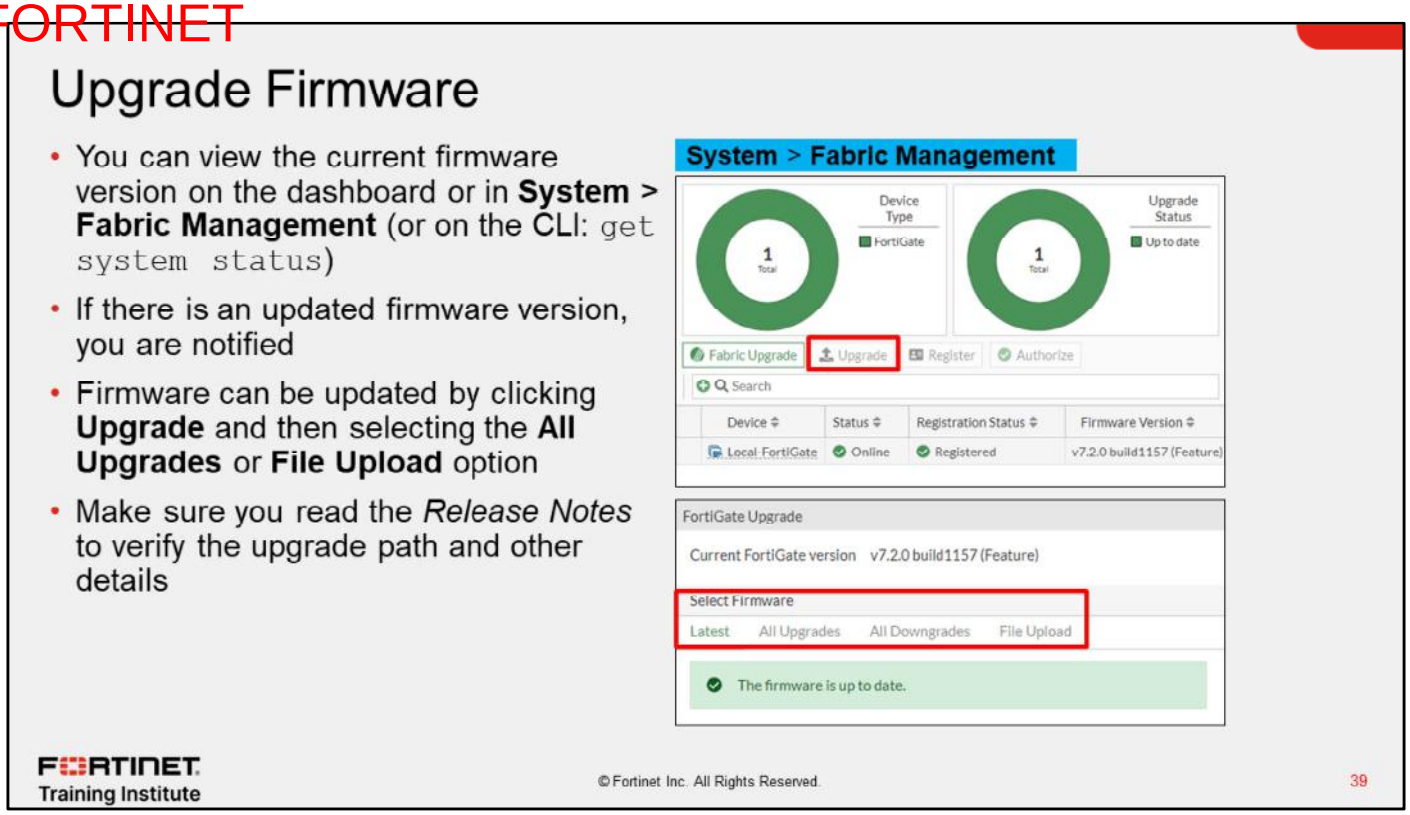
```
config_system_global:
    admintimeout:480
    alias:FortiGate-100F
config_system_settings:
    default-voip-alg-mode: kernel-helper-based
    gui-dynamic-routing: enable
config_system_interface:
    - port1:
        vdom: root
        ip: "204.126.10.3 255.255.254.0"
        allowaccess: ping
        secondaryip:
            - 0:
                ip: "204.126.10.2 255.255.255.0"
                allowaccess: ping
```

FORTINET Training Institute

38

YAML format becomes more and more popular often use to create configuration files. FortiOS now supports YAML format, you can take a backup as well as restore YAML configuration file using CLI commands. You must provide server type: ftp or tftp, filename, server IP address, and user credential's to backup or restore configuration file in YAML format.

This slide shows the sample configuration to understand the difference between the default file format and YAML format.



You can view the current firmware version in multiple places on the FortiGate GUI. When you first log in to FortiGate, the landing page is the dashboard. You can see the firmware version in the **System** widget. This information is also found at **System** > **Fabric Management**. And, of course, you can retrieve the information on the CLI using the command `get system status`.

If a new version of the firmware is available, you are notified on the dashboard and on the **Fabric Management** page. The **Fabric Management** page allows administrators to manage the firmware running on each FortiGate, FortiAP, and FortiSwitch in the Security Fabric, and to authorize and register these Fabric devices.

You can use **Upgrade** option to upgrade firmware of the selected device. The **Fabric Upgrade** option upgrades firmware for the root FortiGate as well as Fabric devices. You can also use this option to upgrade firmware for a non-Security Fabric FortiGate with managed FortiSwitch and FortiAP devices.
The **Fabric Upgrade** option uses released firmware images from FortiGuard.

You can also use the **Register** option to register a selected device to FortiCare and an **Authorize** option to authorize a selected device for use in security fabric.

Remember to read the *Release Notes* to make sure that you understand the supported upgrade path. The *Release Notes* also provide pertinent information that may affect the upgrade.

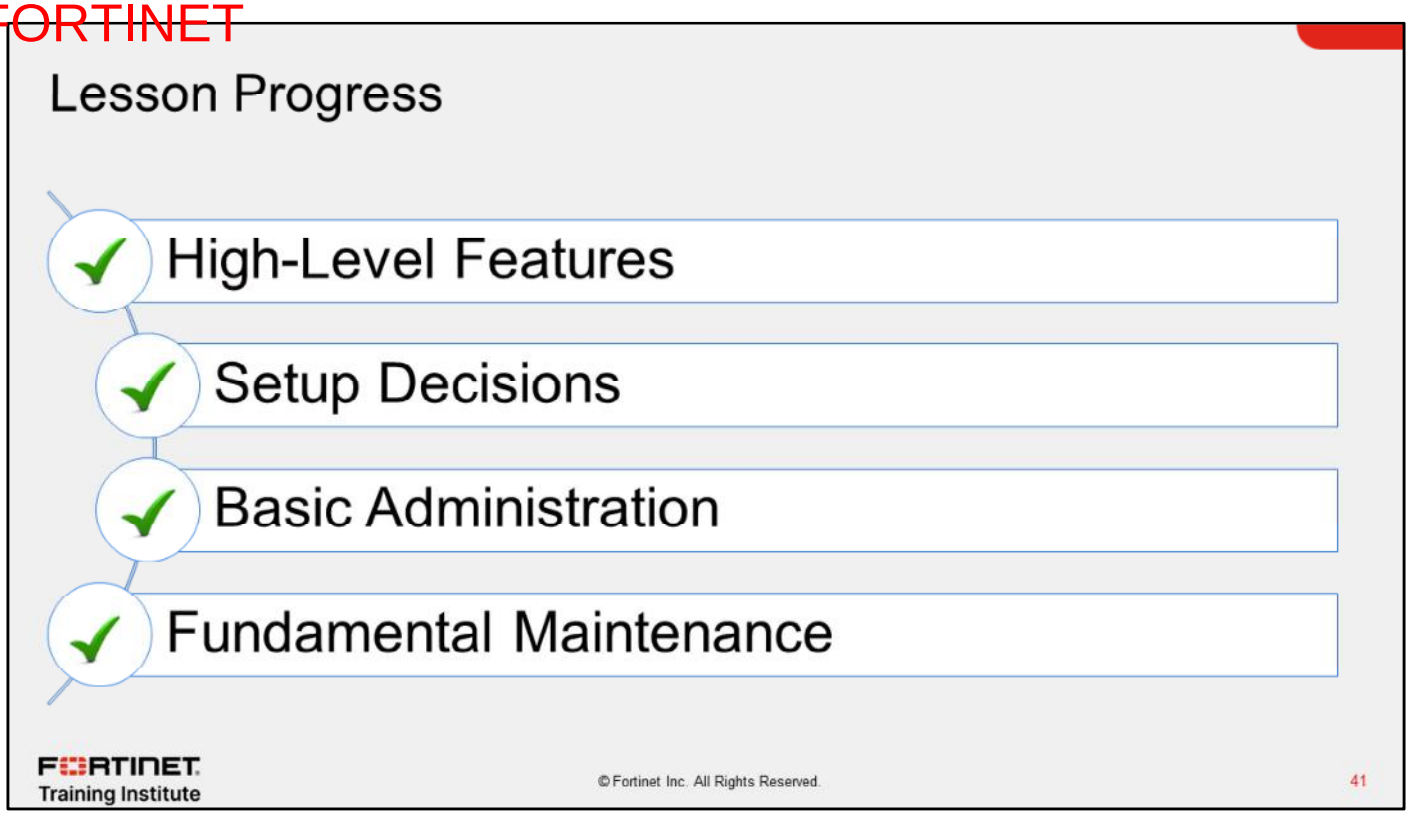
Knowledge Check

1. When restoring an encrypted system configuration file, in addition to needing the FortiGate model and firmware version from the time the configuration file was produced, what must you also provide?
   - ✓A. The password to decrypt the file
   - B. The private decryption key to decrypt the file

2. Which document should you consult to increase the chances of success before upgrading or downgrading firmware?
   - A. Cookbook
   - ✓B. Release Notes

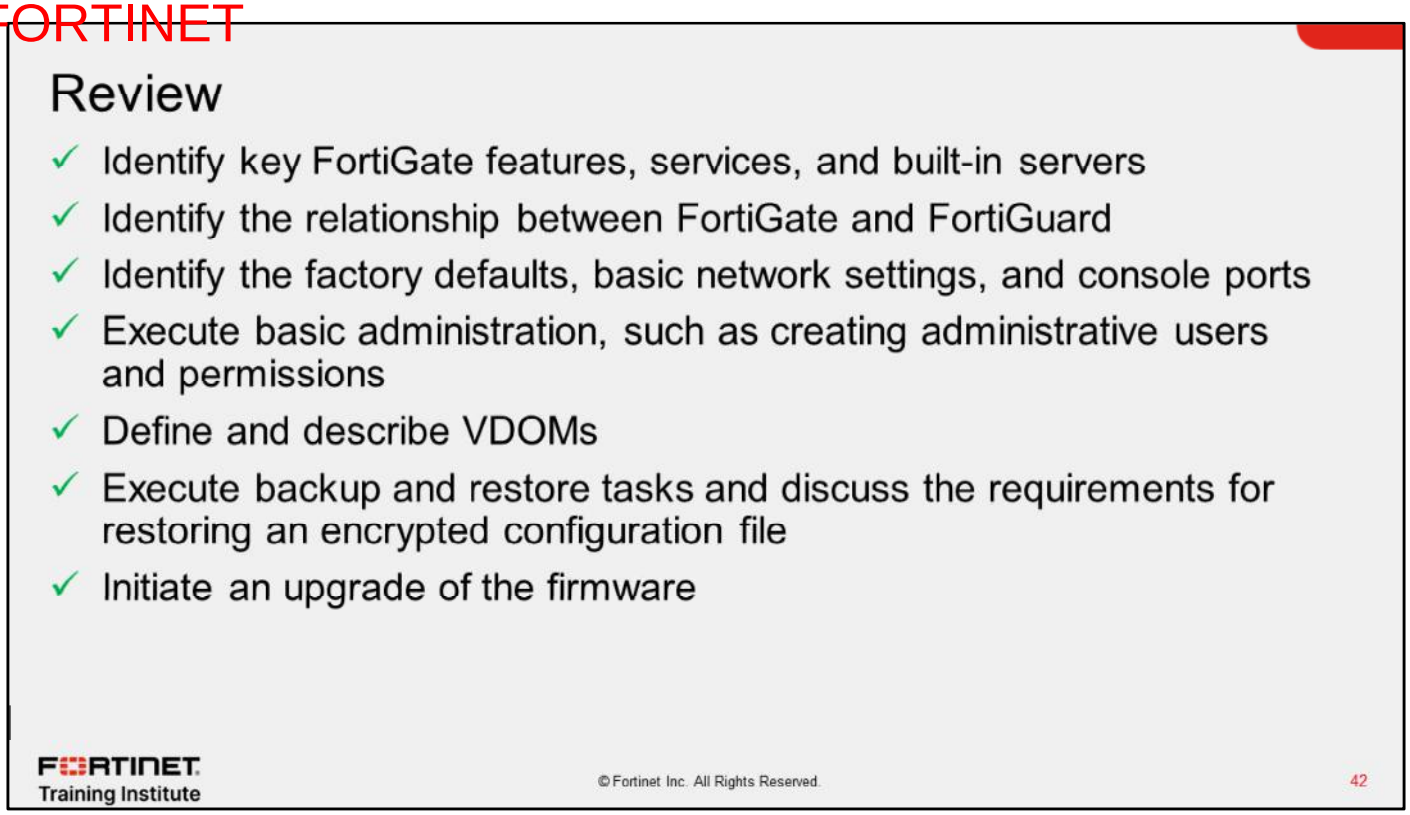
FORTINET Training Institute

40



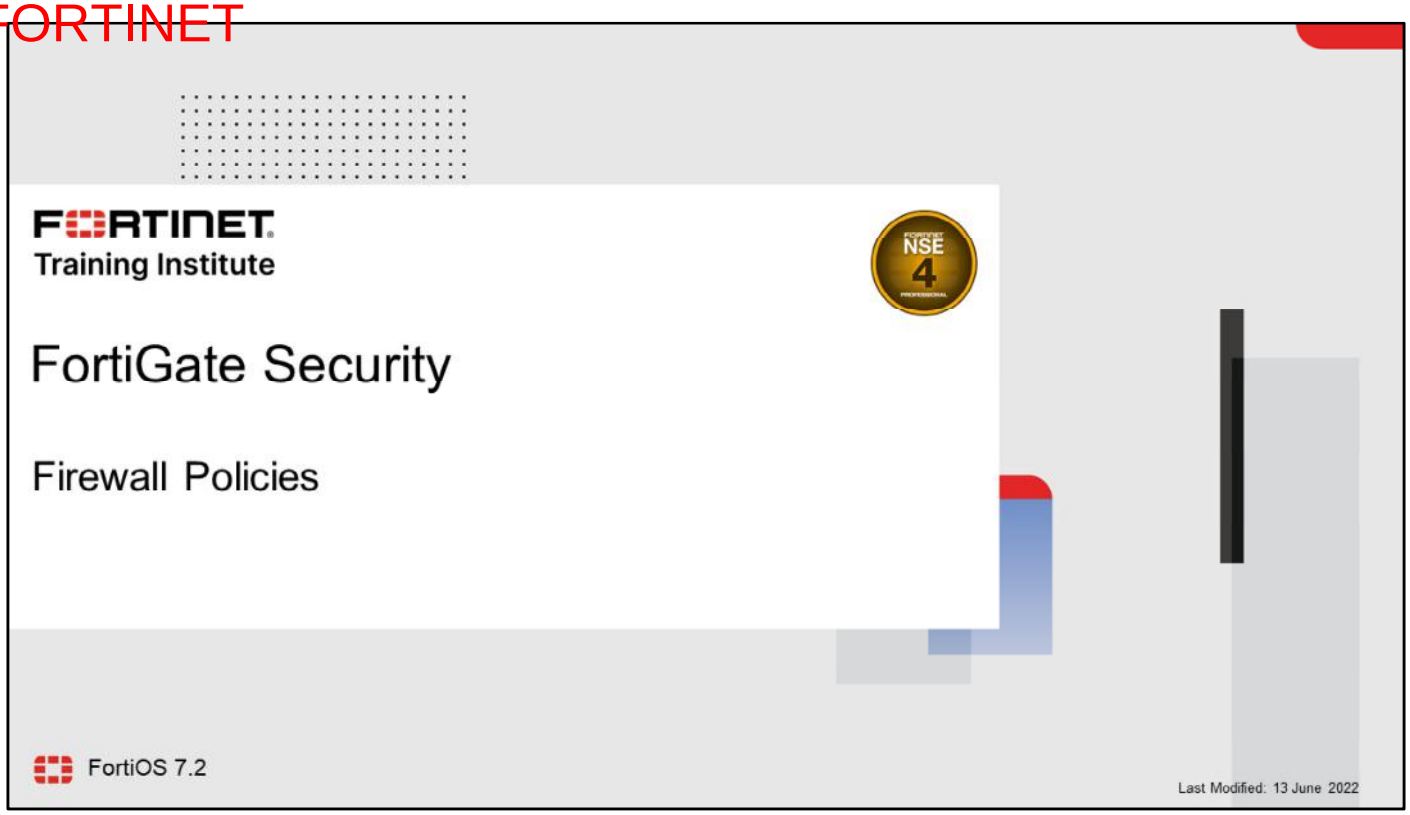
Congratulations! You have completed this lesson.

Now, you will review the objectives that you covered in the lesson.

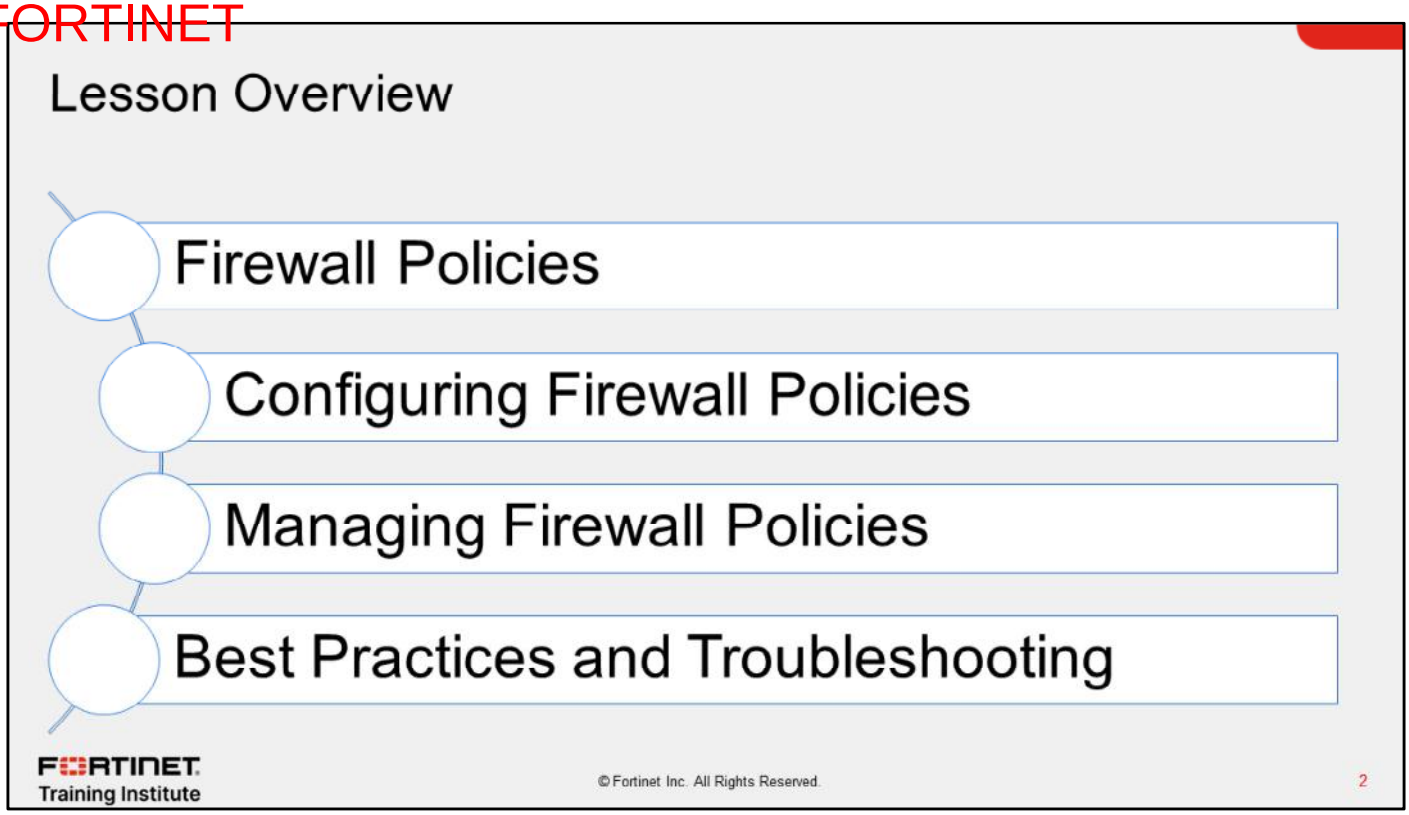


This slide shows the objectives that you covered in this lesson.

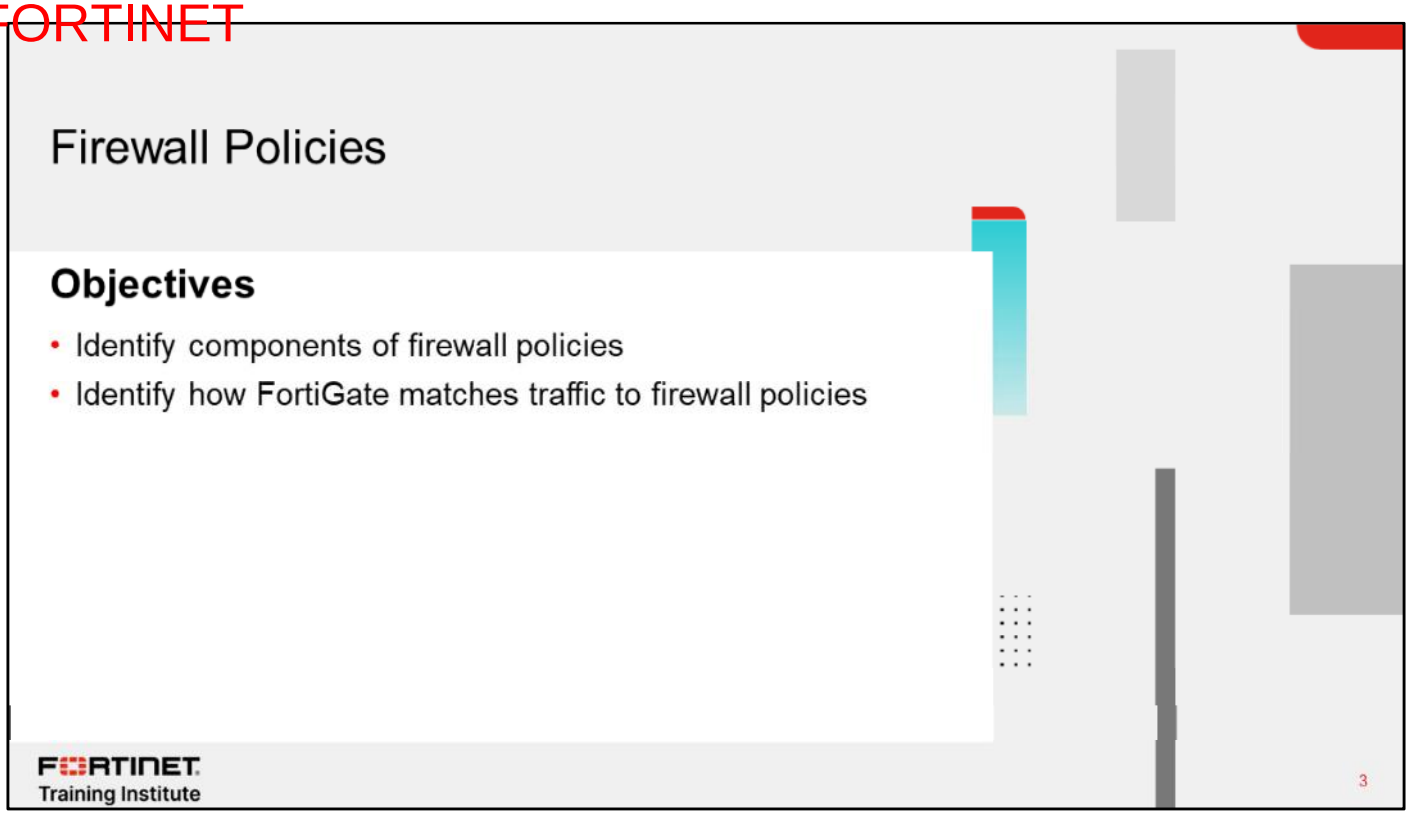
By mastering the objectives covered in this lesson, you learned how and where FortiGate fits into your network and how to perform basic FortiGate administration.



In this lesson, you will learn about firewall policies and how to apply them to allow and deny traffic passing through FortiGate. At its core, FortiGate is a firewall, so almost everything that it does to your traffic is linked to your firewall policies.

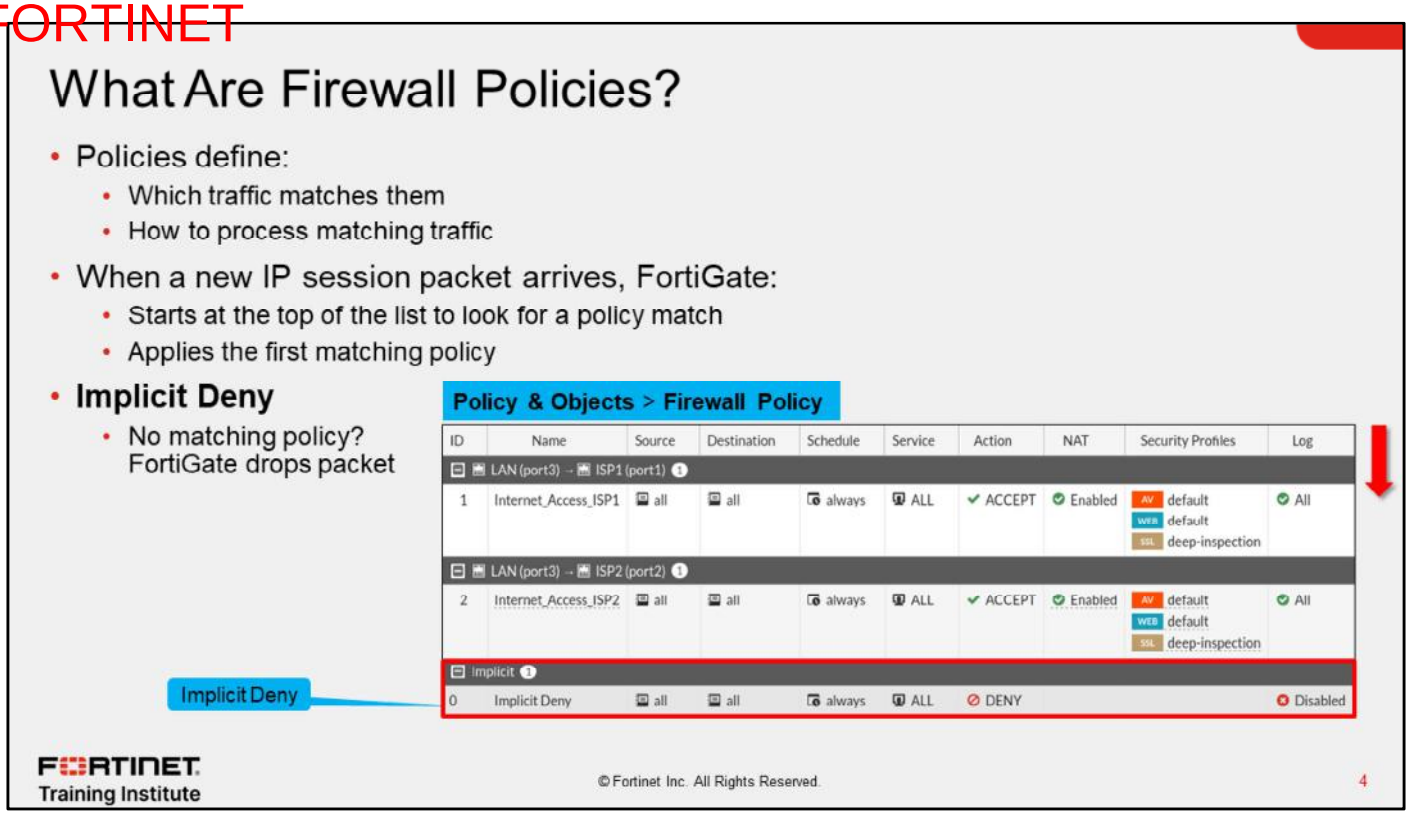


In this lesson, you will learn about the topics shown on this slide.



After completing this section, you should be able to achieve the objectives shown on this slide.

By demonstrating competence in identifying the different components of firewall policies, and recognizing how FortiGate matches traffic with firewall policies and takes appropriate action, you will have a better understanding of how firewall policies interact with network traffic.



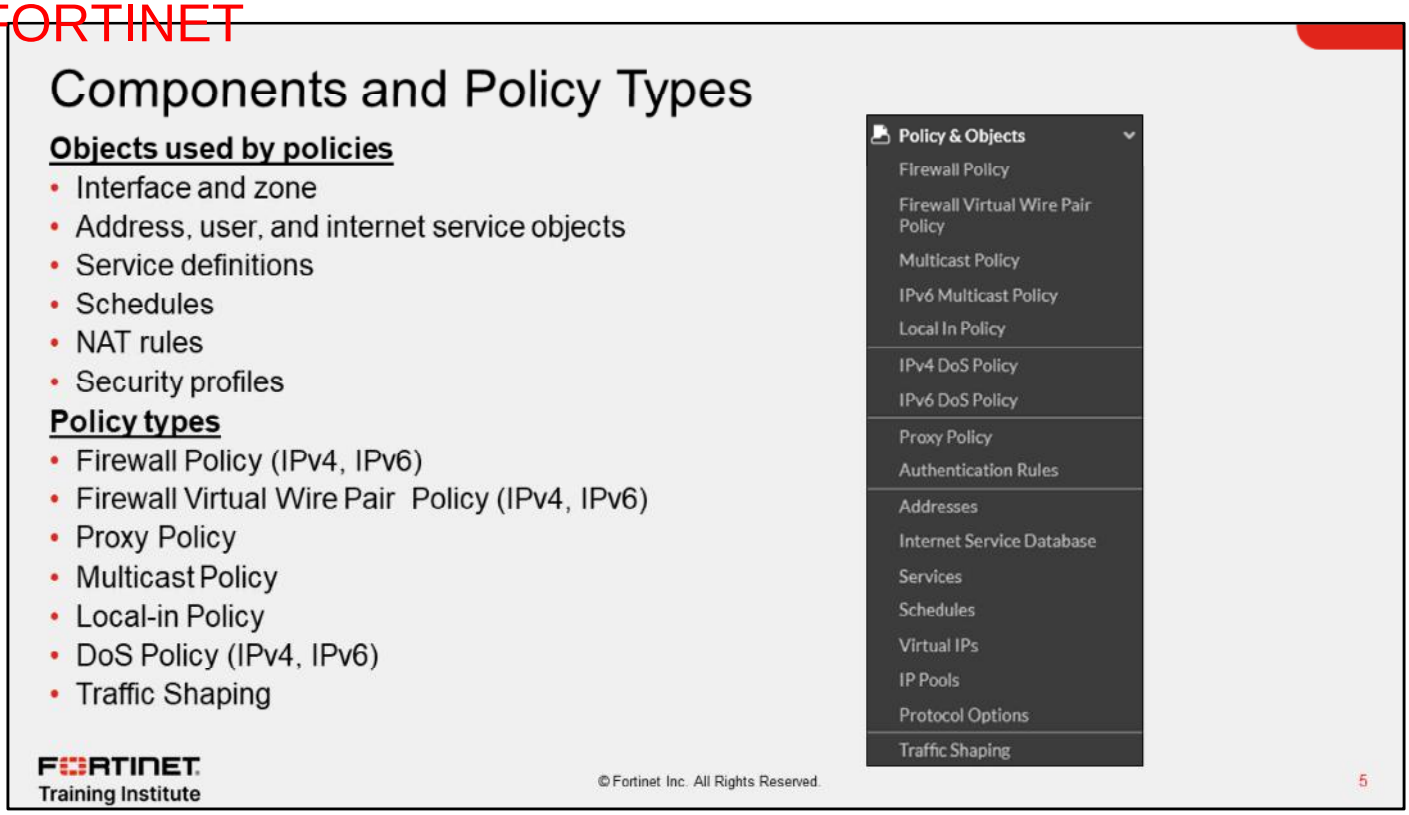
To begin, you will learn what firewall policies are.

Any traffic passing through a FortiGate must be associated with a firewall policy. A policy is a set of instructions that controls traffic flow through the FortiGate. These instructions determine where the traffic goes, how it's handled, and whether it's allowed to pass through the FortiGate. In summary, firewall policies are sets of rules that specify which traffic is allowed through the FortiGate and what FortiGate should do when traffic matches a policy.

Should the traffic be allowed? FortiGate bases this decision on simple criteria. FortiGate analyzes the source of the traffic, the destination IP address, and the service. If the policy does not block the traffic, FortiGate begins a more computationally expensive security profile inspection—often known as Unified Threat Management (UTM)—such as antivirus, application control, and web filtering, if you've chosen it in the policy. These inspections block the traffic if there is a security risk, for example, if the traffic contains a virus. Otherwise, the traffic is allowed.

Will network address translation (NAT) be applied? Is authentication required? Firewall policies also determine the answers to these questions. After processing is finished, FortiGate forwards the packet toward its destination.

FortiGate looks for the matching firewall policy from *top to bottom* and, if a match is found, the traffic is processed based on the firewall policy. If no match is found, the traffic is dropped by the default **Implicit Deny** firewall policy.

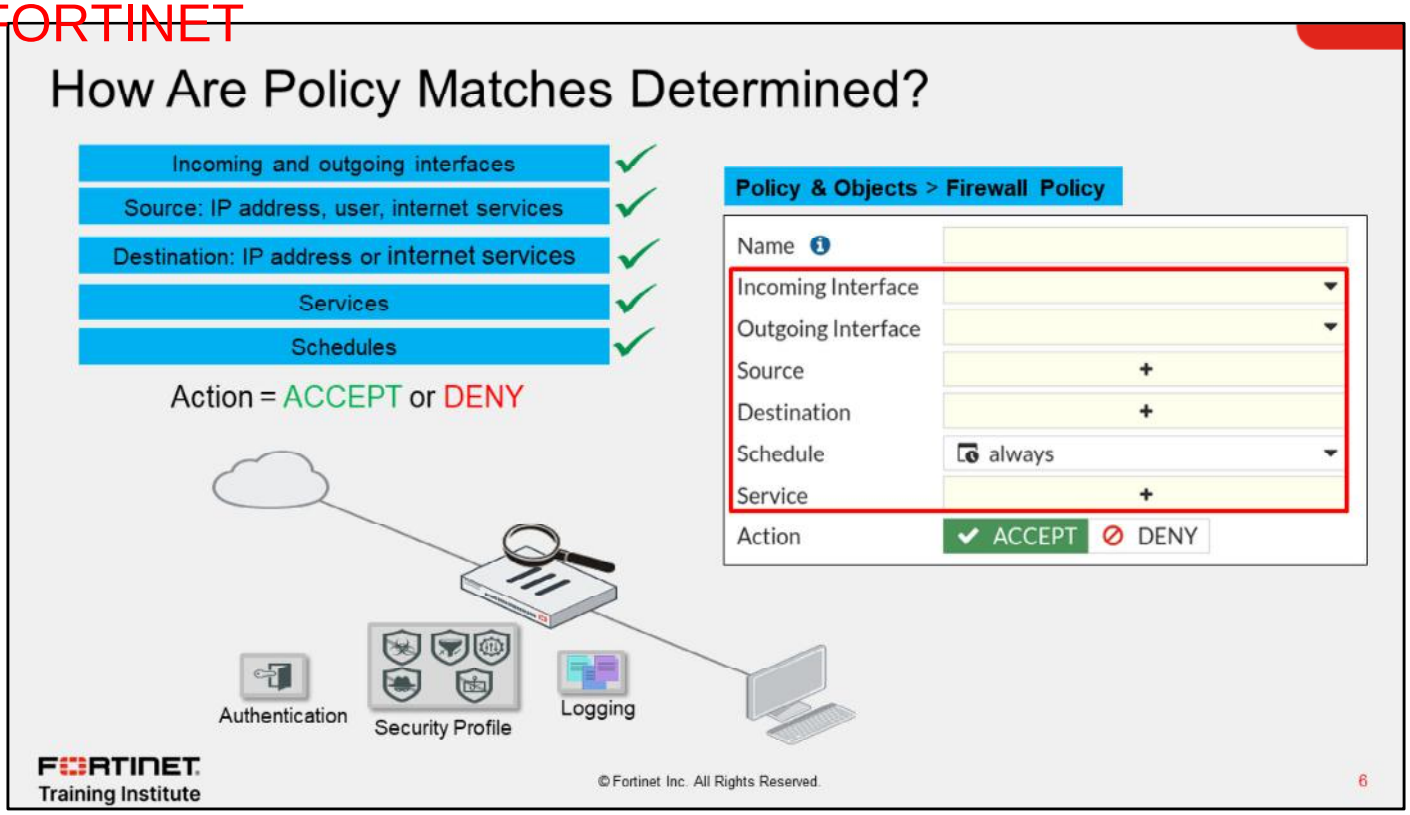


Each policy matches traffic and applies security by referring to the objects that you've defined, such as addresses and profiles.

Common policy types are:

- Firewall Policy: A firewall policy consists of set of rules that control traffic flow through FortiGate.
- Firewall Virtual Wire Pair Policy: A virtual wire pair policy is used to control the traffic between the interfaces in a virtual wire pair.
- Multicast Policy: A multicast policy allows multicast packets to pass from one interface to another.
- Local In Policy: A local-in policy controls the traffic to a FortiGate interface and can be used to restrict administrative access.
- DoS Policy: A denial-of-service (DoS) policy checks for the anomalous patterns in the network traffic that arrives at a FortiGate interface.

By default, only **Firewall Policy** is visible under **Policy and Object**. Other policies are available based on the interface configurations and advanced features enabled through **Feature Visibility**.
In this lesson, you will learn about IPv4 firewall policies, because they are the most commonly used policies.



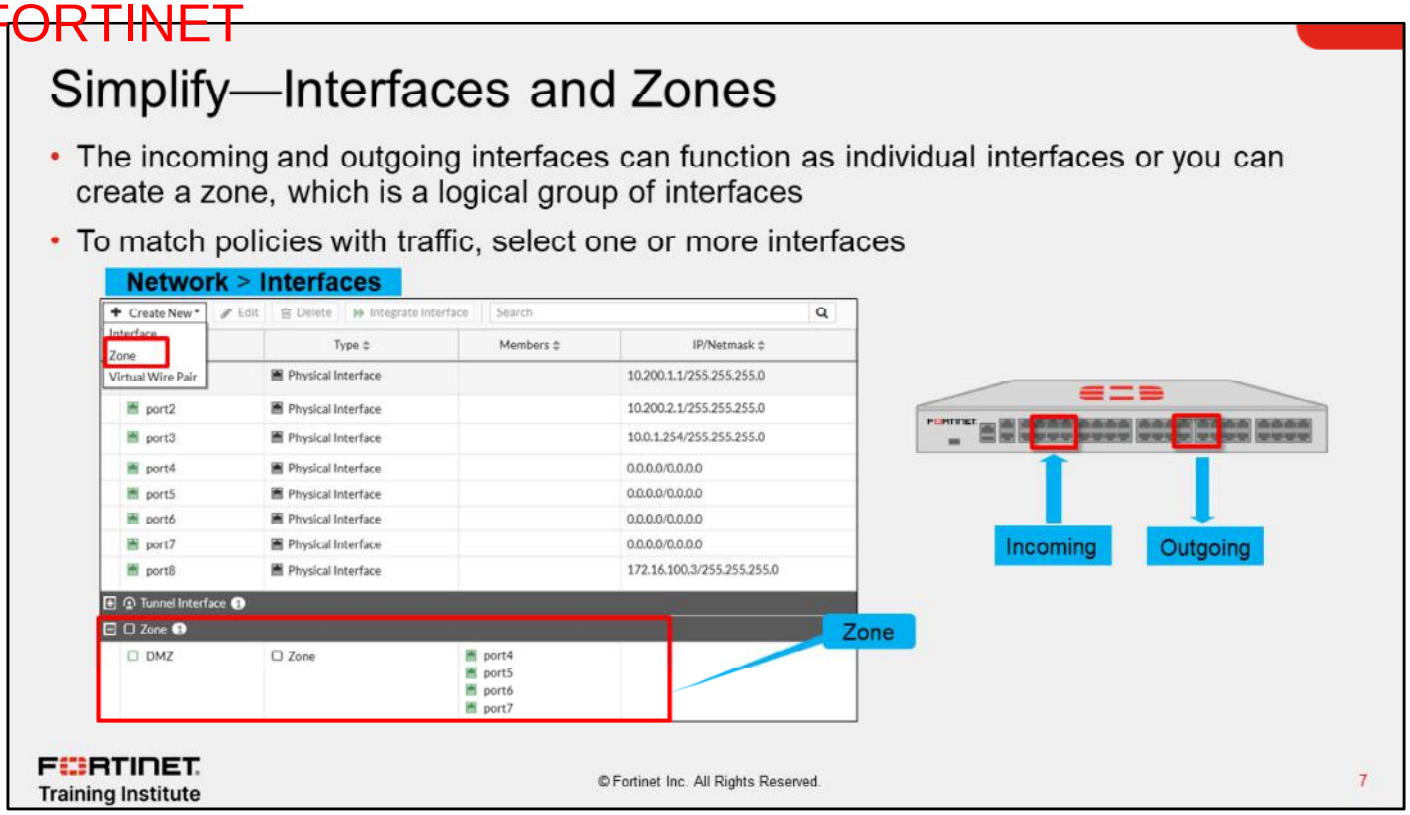
When a packet arrives, how does FortiGate find a matching policy? Each policy has match criteria, which you can define using the following objects:

- **Incoming Interface**
- **Outgoing Interface**
- **Source**: IP address, user, internet services
- **Destination**: IP address or internet services
- **Service**: IP protocol and port number
- **Schedule**: Specific times to apply policy

If the traffic matches a firewall policy, FortiGate applies the action configured in the firewall policy:

- If the **Action** is set to **DENY**, FortiGate drops the session.
- If the **Action** is set to **ACCEPT**, FortiGate allows the session and applies other configured settings for packet processing, such as user authentication, source NAT, antivirus scanning, web filtering, and so on.

When FortiGate receives traffic, it evaluates the packet's source IP address, destination IP address, and the requested service (protocol and port number). It also checks the incoming interface and the outgoing interface it needs to use. Based on this information, FortiGate identifies the firewall policy and evaluates the traffic. If the traffic matches the policy, then FortiGate applies the action (Accept/Deny) defined in the policy.
For example, to block incoming FTP traffic to all but a few FTP servers, define the addresses of the FTP servers as the destination, and select FTP as the service. You probably *wouldn't* specify a source (often any location on the internet is allowed) or schedule (FTP servers are usually always available, day or night). Finally, set the **Action** setting to **ACCEPT**.

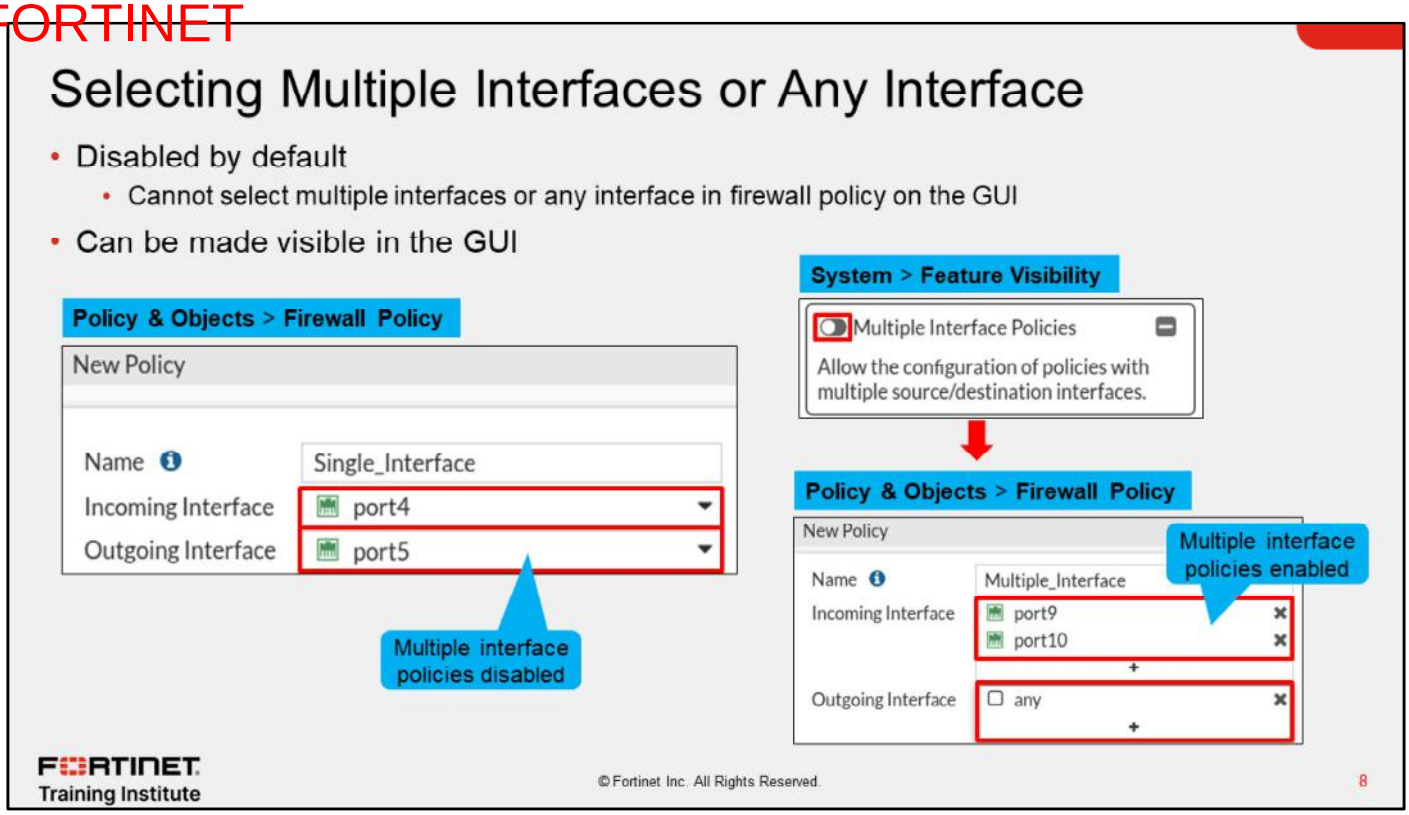


To begin describing how FortiGate finds a policy for each packet, let's start with the interfaces.

Packets arrive on an incoming, or ingress, interface. Routing determines the outgoing, or egress, interface. In each policy, you *must* set a source and destination interface; even if one or both are set to **any**. Both interfaces must match the policy's interface criteria in order to be a successful match.

For example, if you configure policies between port3 (LAN) ingress and port1 (WAN) egress and a packet arrives on port2, the packet will *not* match your policies and, therefore, would be dropped because of the implicit deny policy at the end of the list. Even if the policy is from port3 (LAN) ingress to any egress, the packet would still be dropped because it did not match the incoming interface.

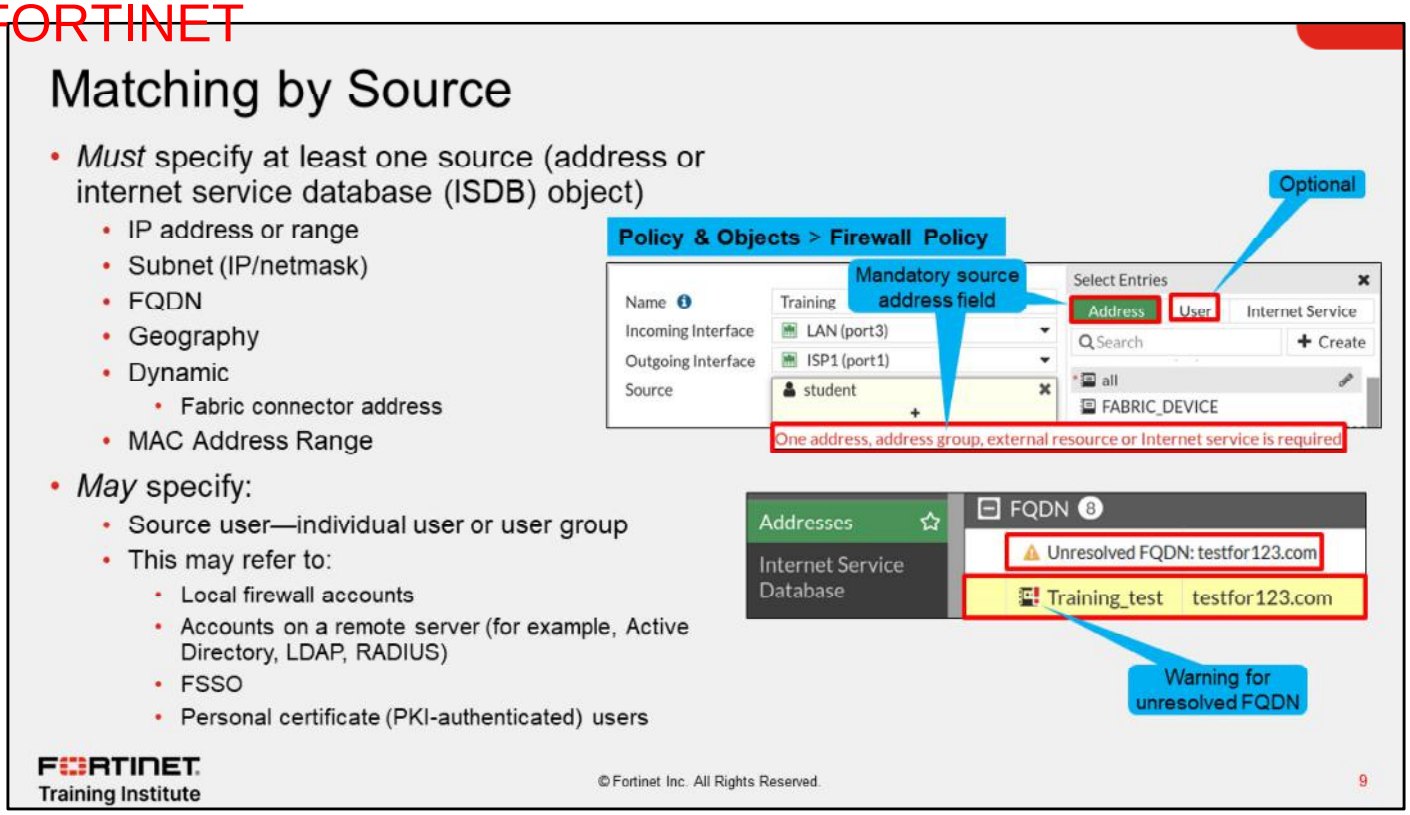
To simplify policy configuration, you can group interfaces into logical zones. For example, you could group port4 to port7 as a DMZ. You can create zones on the **Interfaces** page. However, you should note that you cannot reference an interface in a zone individually, and, if you need to add the interface to the zone, you must remove all references to that interface (for example, firewall policies, firewall addresses, and so on). If you think you might need to reference interfaces individually, you should set multiple source and destination interfaces in the firewall policy, instead of using zones.



By default, you can select only a single interface as the incoming interface and a single interface as the outgoing interface. This is because the option to select multiple interfaces, or **any** interface in a firewall policy, is disabled on the GUI. However, you can enable the **Multiple Interface Policies** option on the **Feature Visibility** page to disable the single interface restriction.

You can also specify multiple interfaces, or use the `any` option, if you configure a firewall policy on the CLI, regardless of the default GUI setting.

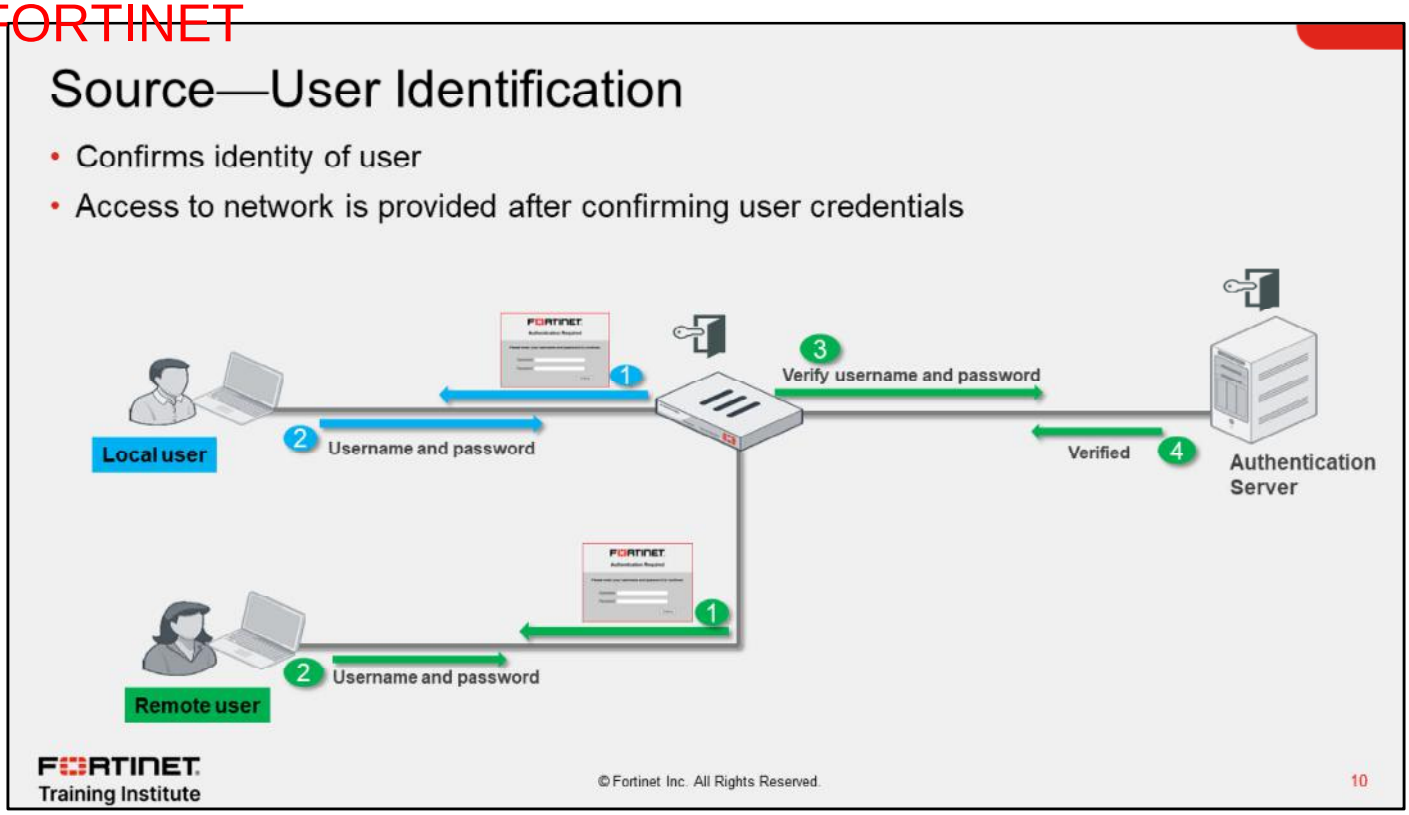
It is also worth mentioning that when you choose the **any** interface option, you cannot select multiple interfaces for that interface. In the example shown on this slide, because **any** is selected as the outgoing interface, you cannot add any additional interfaces, because **any** interface implies that all interfaces have already been selected.



The next match criteria that FortiGate considers is the packet's source.

In each firewall policy, you *must* select a source address object. Optionally, you can refine your definition of the source address by *also* selecting a user, or a user group, which provides a much more granular match, for increased security. You can also select ISDB objects as the source in the firewall policy, which you will learn about later in this lesson.

When selecting a fully qualified domain name (FQDN) as the source address, it must be resolved by DNS and cached in FortiGate. Make sure FortiGate is configured properly for DNS settings. If FortiGate is not able to resolve an FQDN address, it will present a warning message, and a firewall policy configured with that FQDN may not function properly.

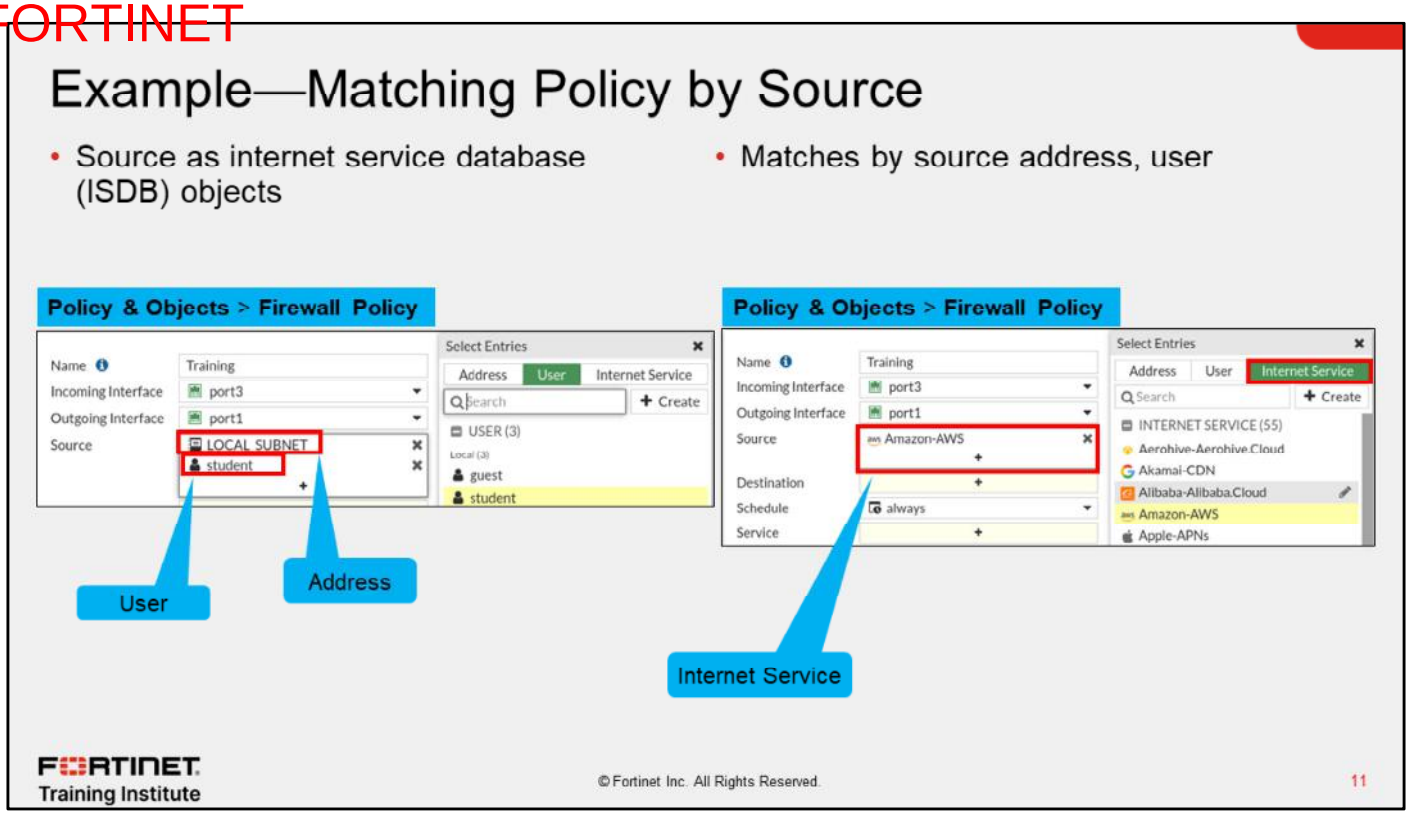


If a user is added as part of the source, FortiGate must verify the user before allowing or denying access based on the firewall policy. There are different ways that a user can authenticate.

For local users, the username and password is configured *locally* on FortiGate. When a local user authenticates, the credentials that they enter must match the username and password configured locally on FortiGate.

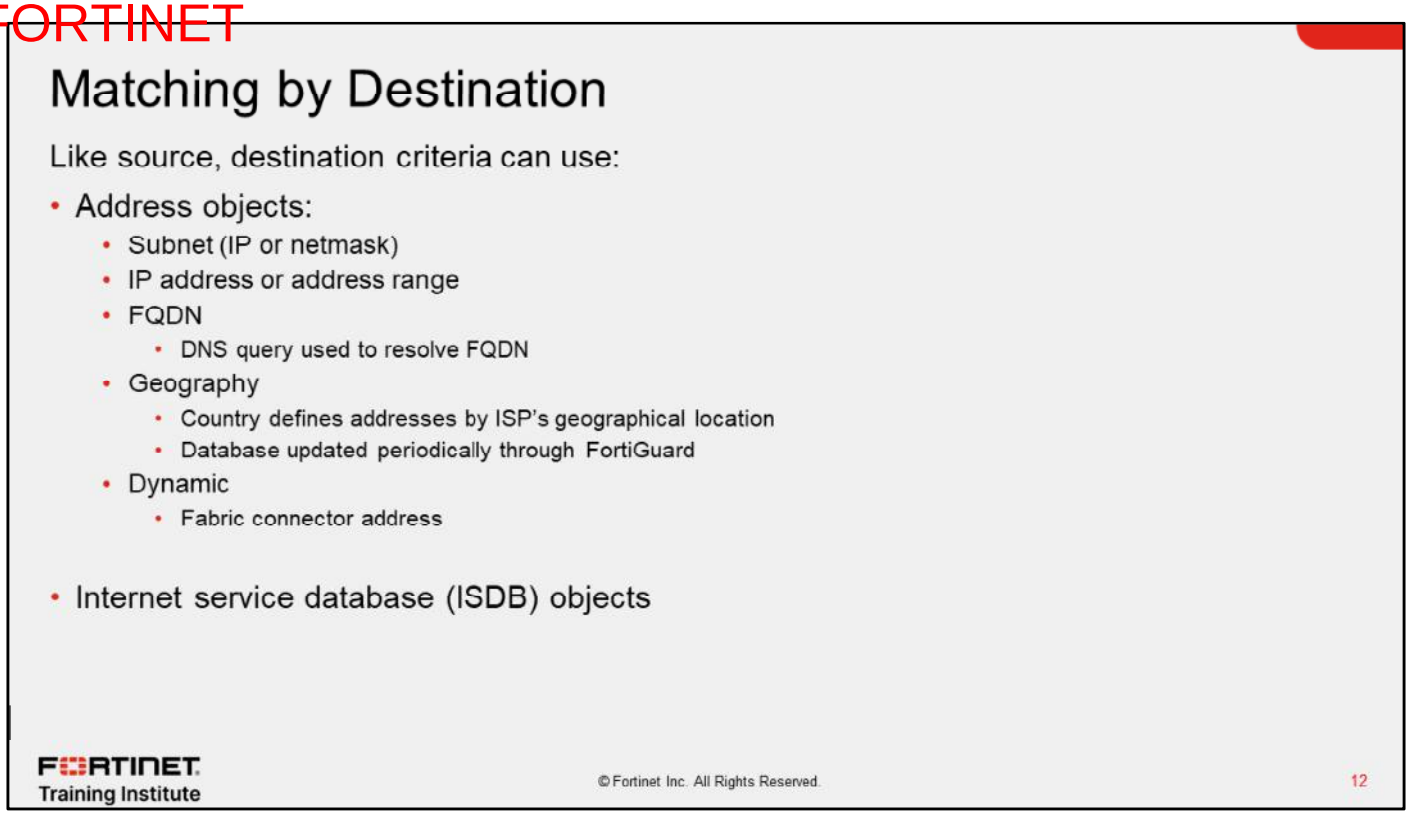
For a remote user (for example, LDAP or RADIUS), FortiGate receives the username and password from the remote user and passes this information to the authentication server. The authentication server verifies the user login credentials and updates FortiGate. After FortiGate receives that information, it grants access to the network based on the firewall policy.

A Fortinet single sign-on (FSSO) user's information is retrieved from the domain controller. Access is granted based on the group information on FortiGate.



In the example shown on this slide, source selectors identify the specific subnet and user group. Remember, user is an optional object. The user object is used here to make the policy more specific. If you wanted the policy to match more traffic, you would leave the user object undefined.

You can also use internet service (ISDB) objects as a source in the firewall policy. There is an either/or relationship between internet service objects and source address objects in firewall policies. This means that you can select either a source address or an internet service, but not both.

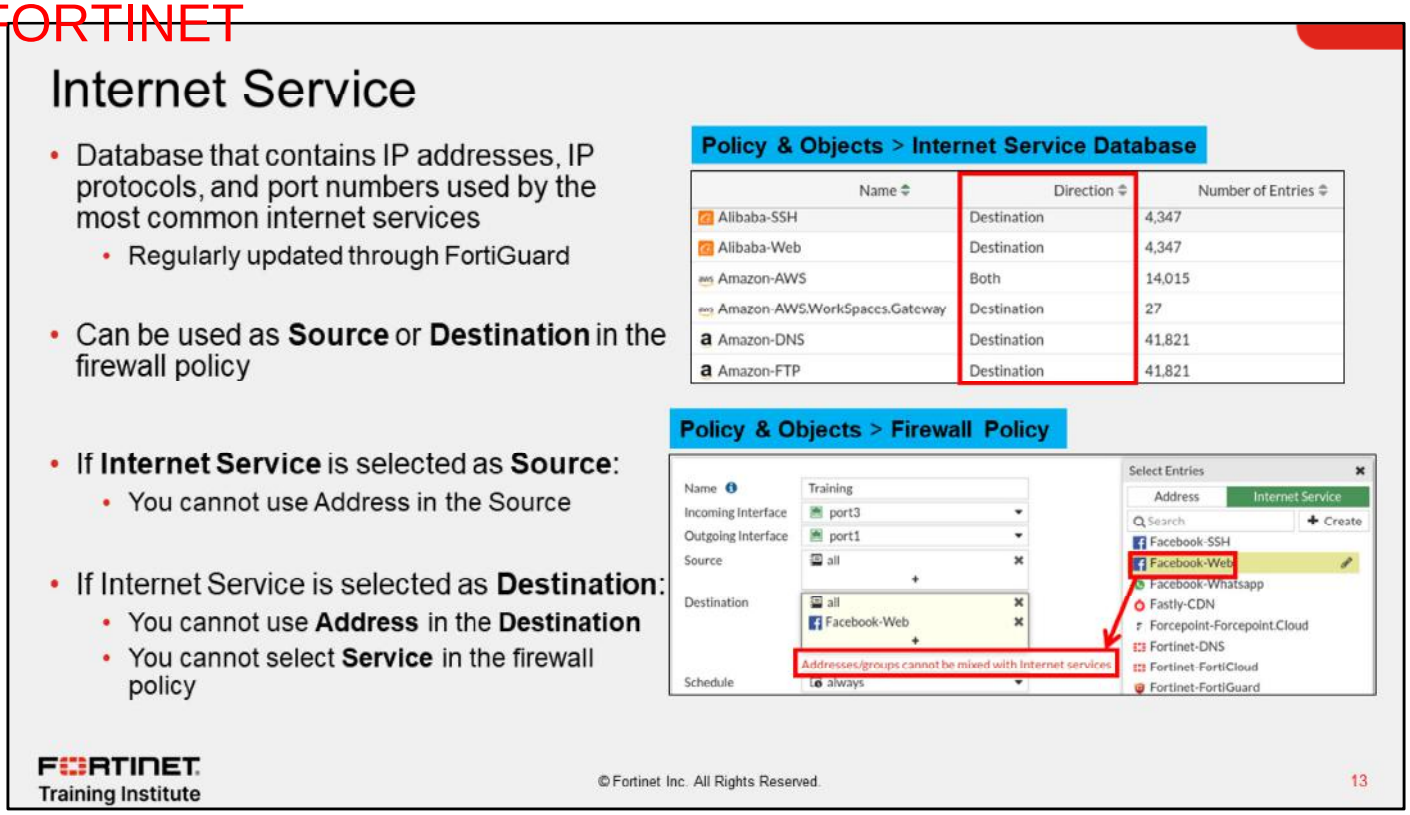


Like the packet's source, FortiGate also checks the destination address for a match.

You can use address objects or ISDB objects as destinations in the firewall policy. The address object may be a host name, IP subnet, or range. If you enter an FQDN as the address object, make sure that you've configured your FortiGate device with DNS servers. FortiGate uses DNS to resolve those FQDN host names to IP addresses, that appear in the IP header.

You can also choose geographic addresses, which are groups or ranges of addresses that are assigned to a country. FortiGuard is used to update these objects.

Why is there is no option to select a user? The user identification is determined at the ingress interface, and packets are forwarded only to the egress interface after the user is successfully authenticated.

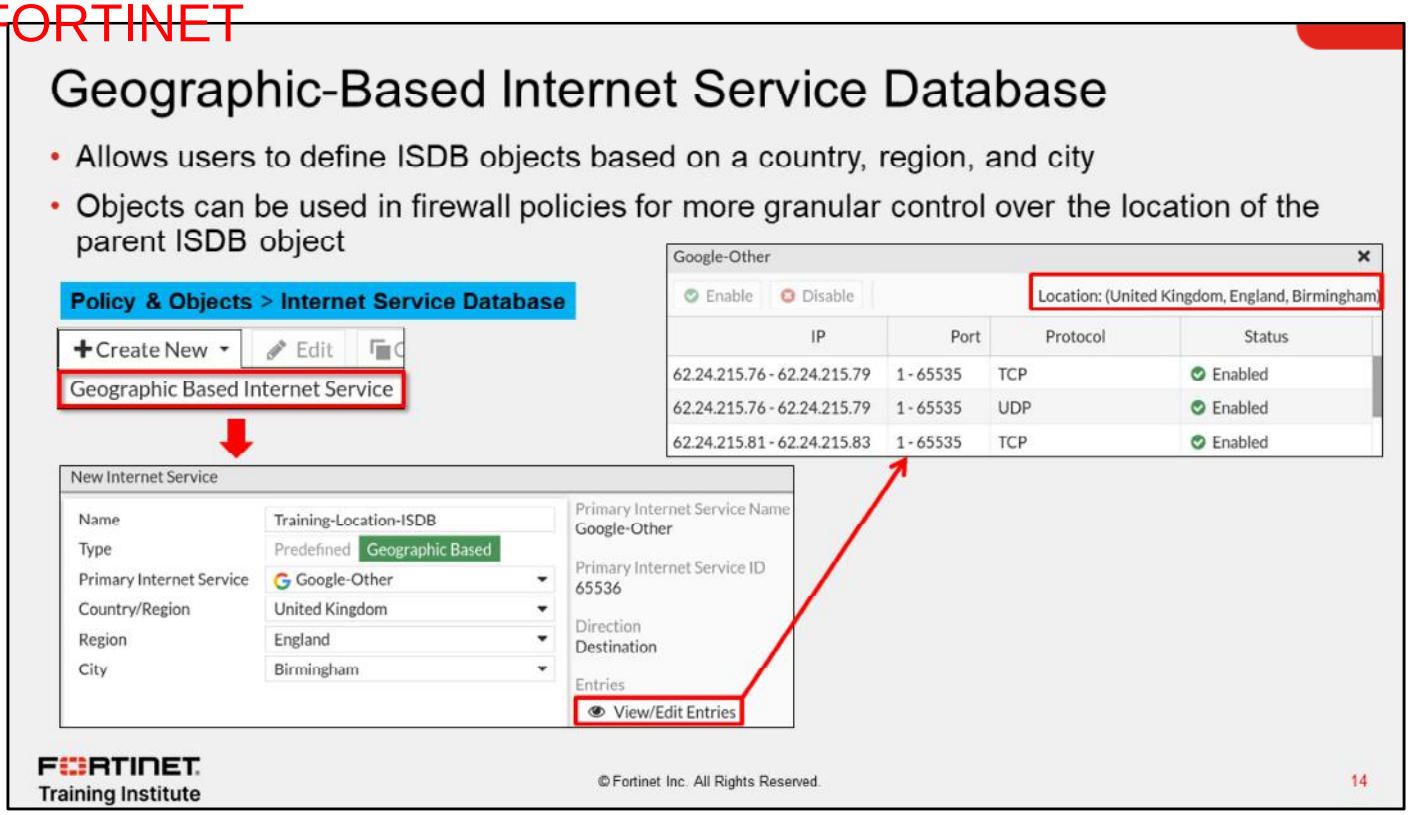


Internet Service is a database that contains a list of IP addresses, IP protocols, and port numbers used by the most common internet services. FortiGate periodically downloads the newest version of this database from FortiGuard. You can select these as **Source** or **Destination** in the firewall policy.

What happens if you need to allow traffic to only a few well-known public internet destinations, such as Dropbox or Facebook?

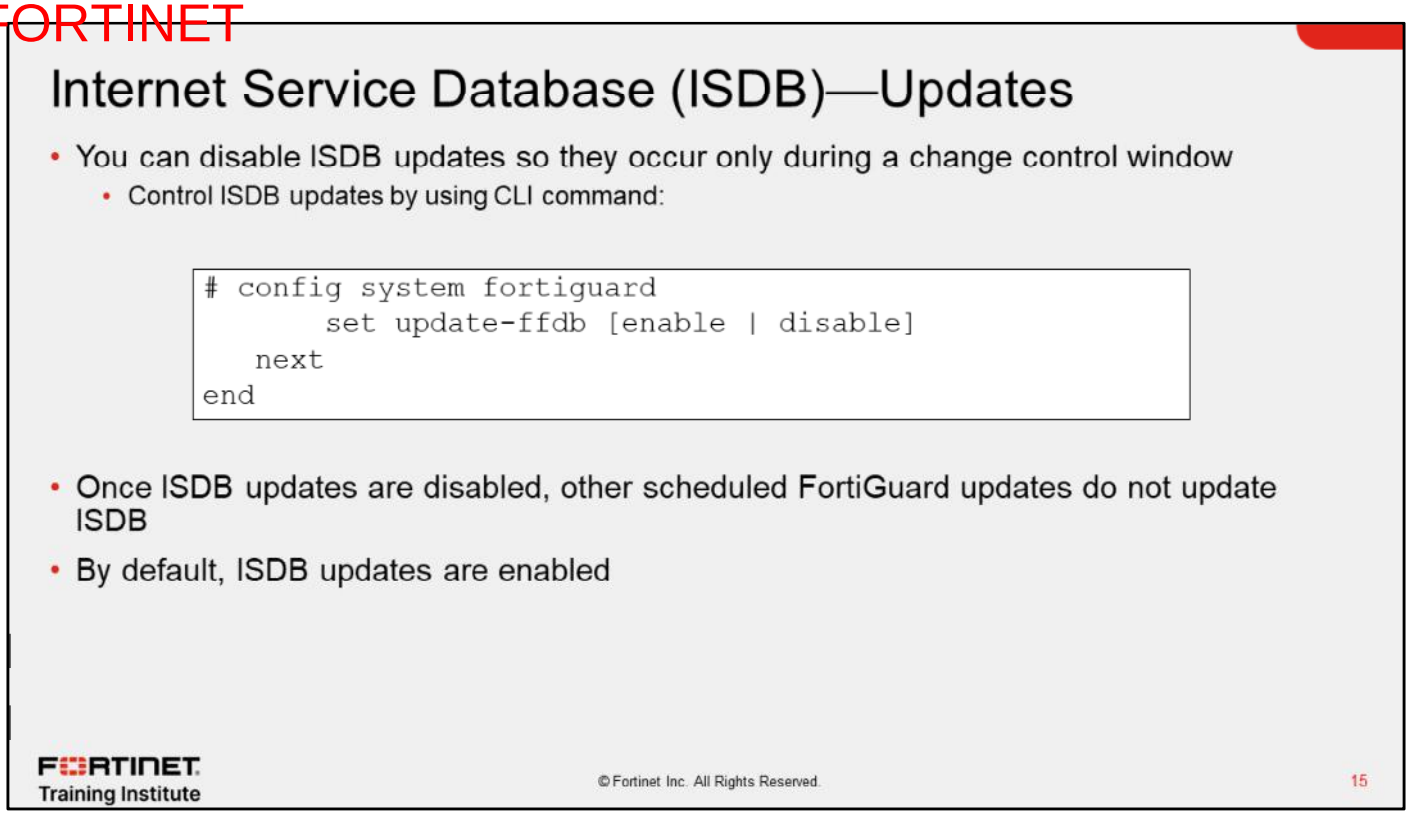
When configuring your firewall policy, you can use **Internet Service** as the destination in a firewall policy, which contains all the IP addresses, ports, and protocols used by that service. For the same reason, you cannot mix regular address objects with ISDB objects, and you cannot select services on a firewall policy. The ISDB objects already have services information, which is hardcoded.

Compared with address objects, which you need to check frequently to make sure that none of the IP addresses have changed or appropriate ports are allowed, internet services helps make this type of deployment easier and simpler.

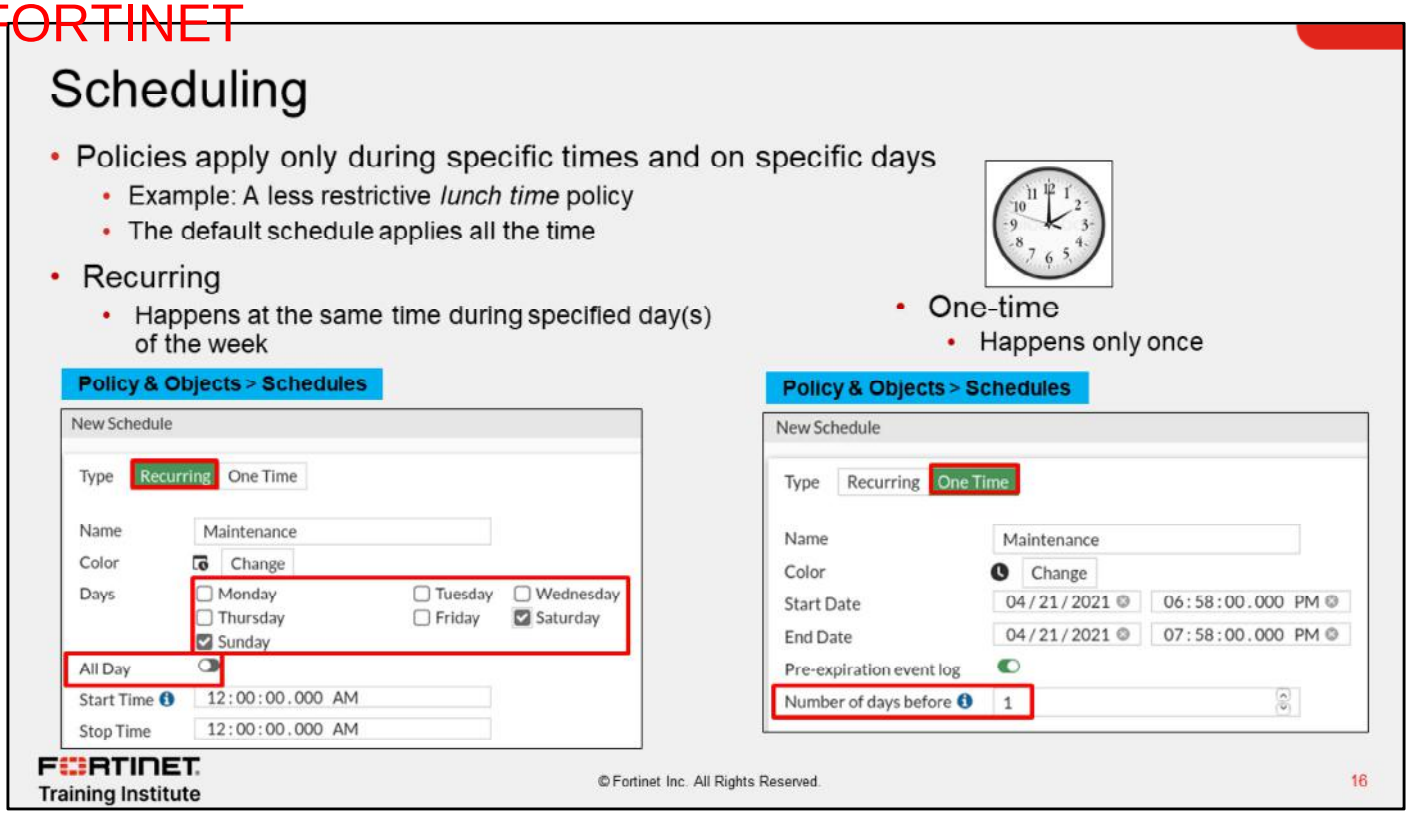


Geographic-based ISDB objects allow users to define a country, region, and city. These objects can be used in firewall policies for more granular control over the location of the parent ISDB object.

ISDB objects are referenced in policies by name, instead of by ID.



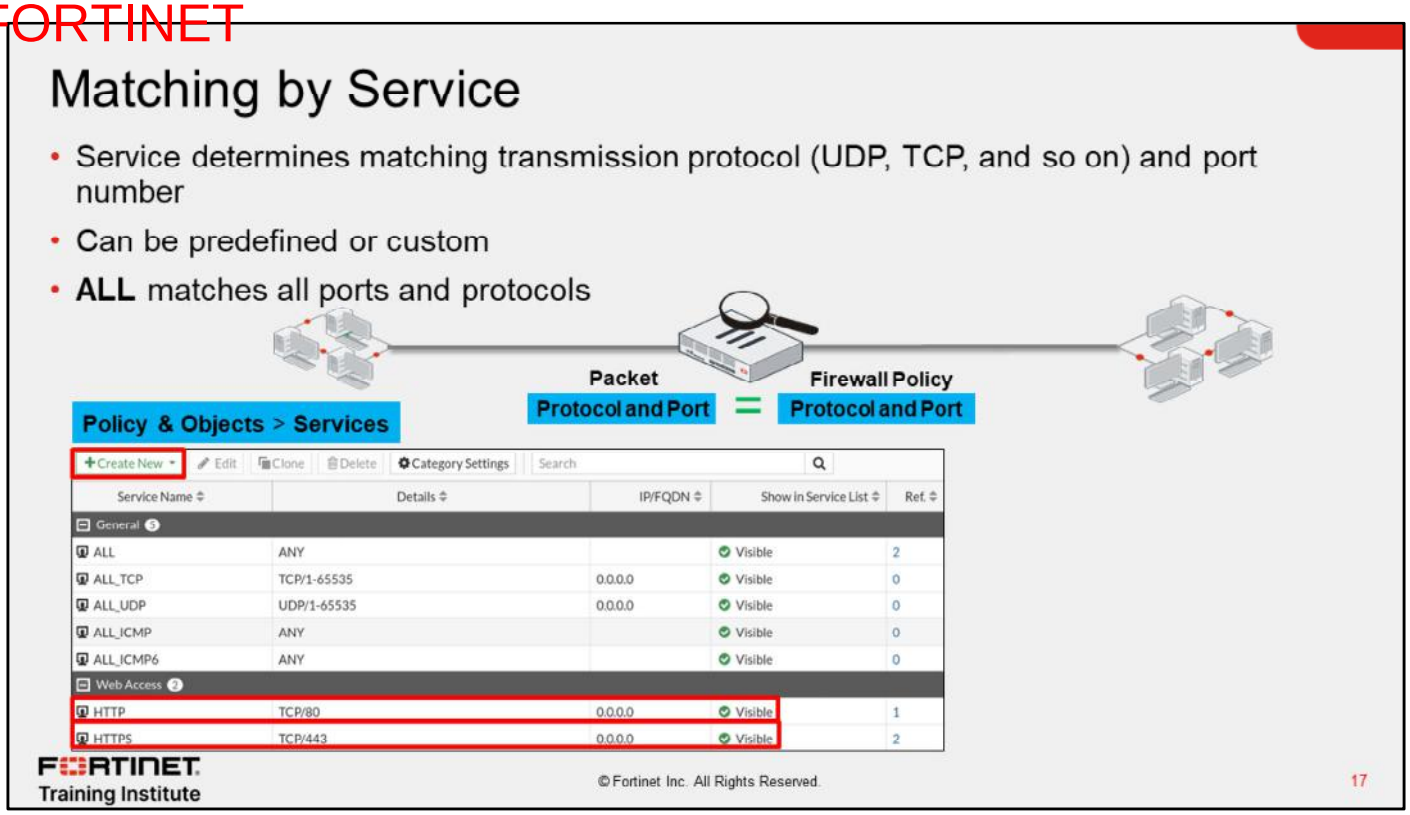
You can disable ISDB updates, so they occur only during a change control window. Once ISDB updates are disabled, other scheduled FortiGuard updates for IPS, AV, and so on, do not update ISDB. By default, ISDB updates are enabled.



Schedules add a time element to the policy. For example, you might use a policy to allow backup software to activate at night, or create a test window for a remote address that is allowed for testing purposes.

Schedules can be configured and use a 24-hour time clock. There are a few configuration settings worth mentioning:

- **Recurring**: If you enable **All Day**, traffic will be allowed for 24 hours for the days selected. When configuring recurring schedules, if you set the stop time earlier than the start time, the stop time will occur the next day. For example, if you select Sunday as the day, 10:00 as the start time, and 09:00 as the stop time, the schedule will stop on Monday at 09:00. If the start and stop time are identical, the schedule will run for 24 hours.
- **One-time**: The start date and time must be earlier than the stop date and time. You can also enable **Pre-expiration event log**, which will generate an event log N number of days before the schedule expires, where N can be from 1 to 100 days.



Another criterion that FortiGate uses to match policies is the packet's service.

At the IP layer, protocol numbers (for example, TCP, UDP, SCTP, and so on) together with source and destination ports, define each network service. Generally, only a destination port (that is, the server's listening port) is defined. Some legacy applications may use a specific source port, but in most modern applications, the source port is randomly identified at transmission time, and therefore is not a reliable way to define the service.

For example, the predefined service object named HTTP is TCP destination port 80, and the predefined service object named HTTPS is TCP destination port 443. However, the source ports last for only a short time and, therefore, are not defined.

By default, services are grouped together to simplify administration by categories. If the predefined services don't meet your organizational needs, you can create one or more new services, service groups, and categories.

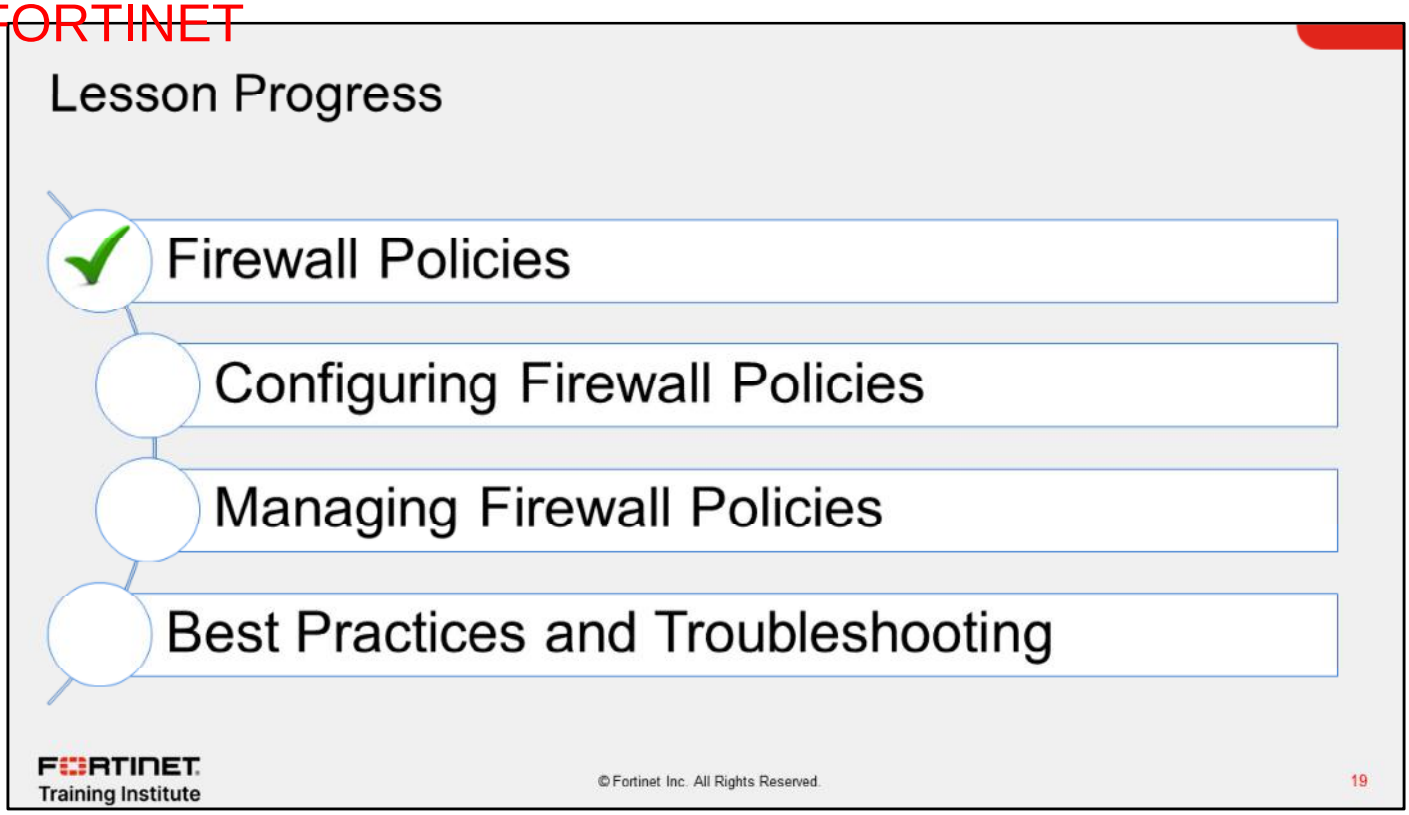
Knowledge Check

1. What criteria does FortiGate use to match traffic to a firewall policy?
   - ✓A. Source and destination interfaces
   - B. Security profiles

2. What must be selected in the **Source** field of a firewall policy?
   - ✓A. At least one address object or ISDB
   - B. At least one source user and one source address object

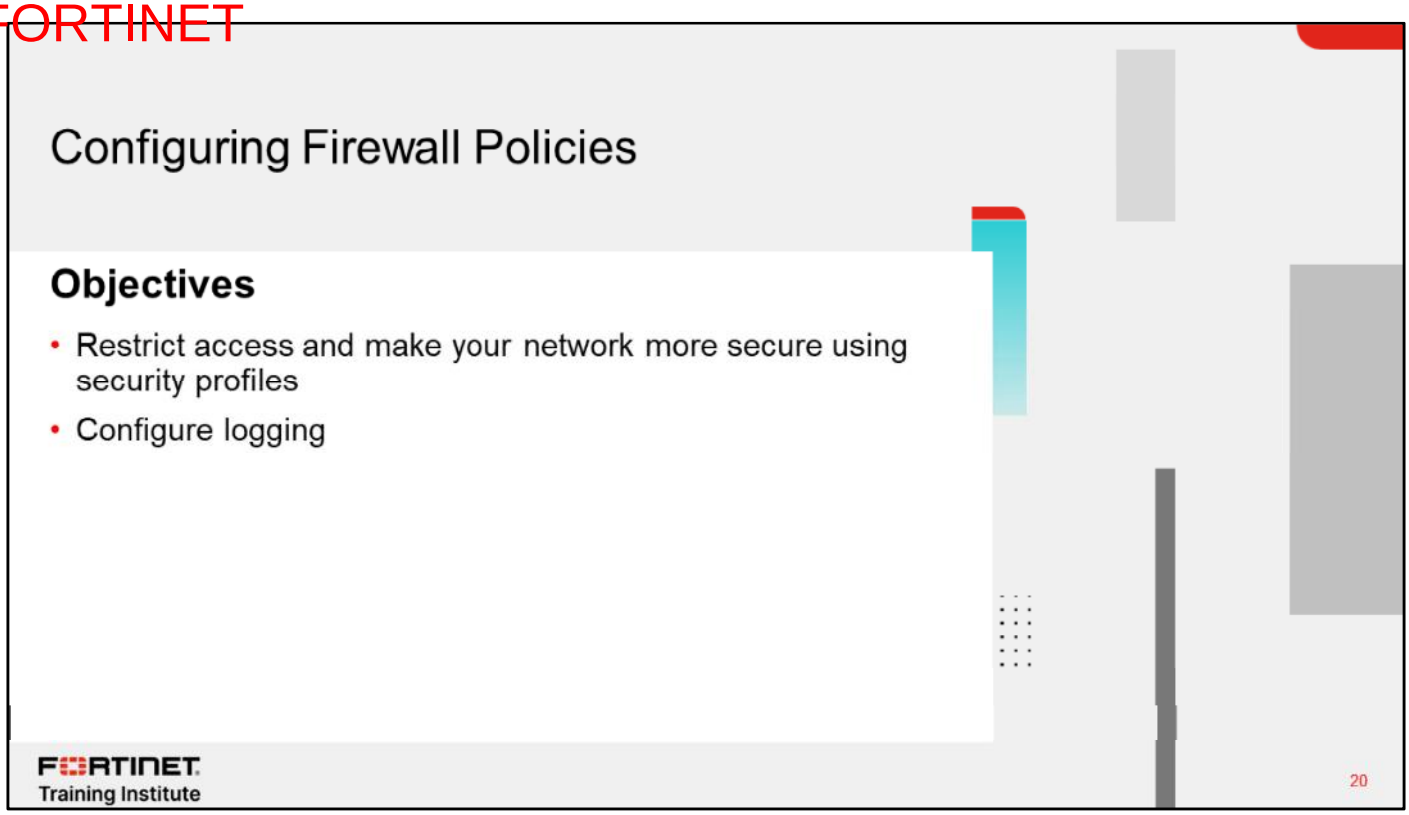
FORTINET Training Institute

18



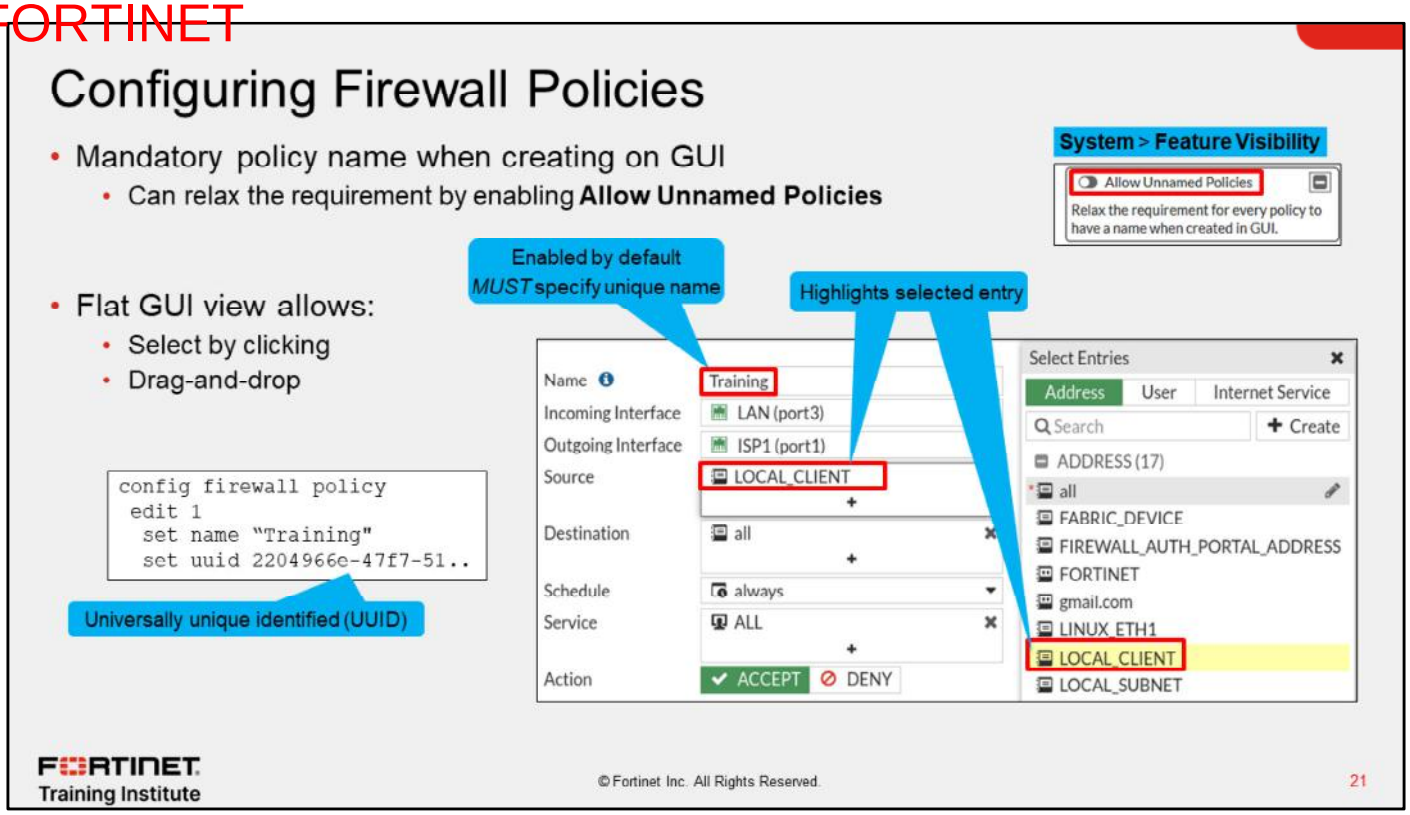
Good job! You now understand the components used in firewall policies and matching criteria used by FortiGate.

Now, you'll learn how to configure firewall policies.



After completing this section, you should be able to achieve the objectives shown on this slide.

By demonstrating competence in configuring firewall policies, you will be able to apply the correct settings, such as security profiles, logging, and traffic shaping, to firewall policies on FortiGate, and make your network more secure.



When you configure a new firewall policy on the GUI, you *must* specify a unique name for the firewall policy because it is enabled by default, while it is optional on the CLI. This helps the administrator to quickly identify the policy that they are looking for. However, you can make this feature optional on the GUI on the **Feature Visibility** page by enabling **Allow Unnamed Policies**.

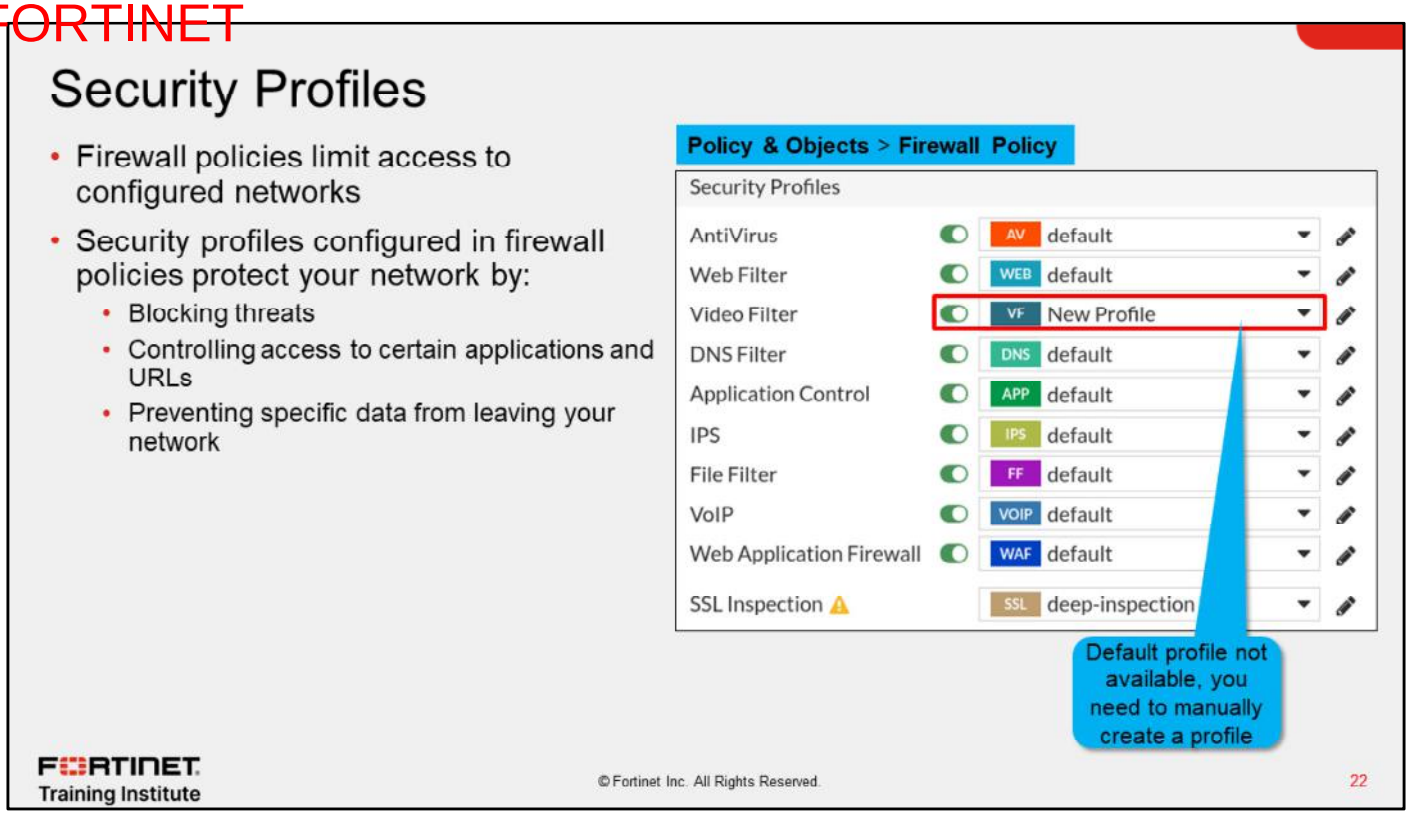
Note that if a policy is configured without a policy name on the CLI, and you modify that existing policy on the GUI, you *must* specify a unique name. The FortiGate flat GUI view allows you to select interfaces and other objects by clicking or dragging and dropping from the list populated on the right side.

You can select **Internet Service** as the source. **Internet Service** is a combination of one or more addresses and one or more services associated with a service found on the internet, such as an update service for software.

There are many other options that you can configure in the firewall policy, such as firewall and network options, security profiles, logging options, and enabling or disabling a policy.

When creating firewall objects or policies, a universally unique identifier (UUID) attribute is added so that logs can record these UUIDs and improve functionality when integrating with FortiManager or FortiAnalyzer.

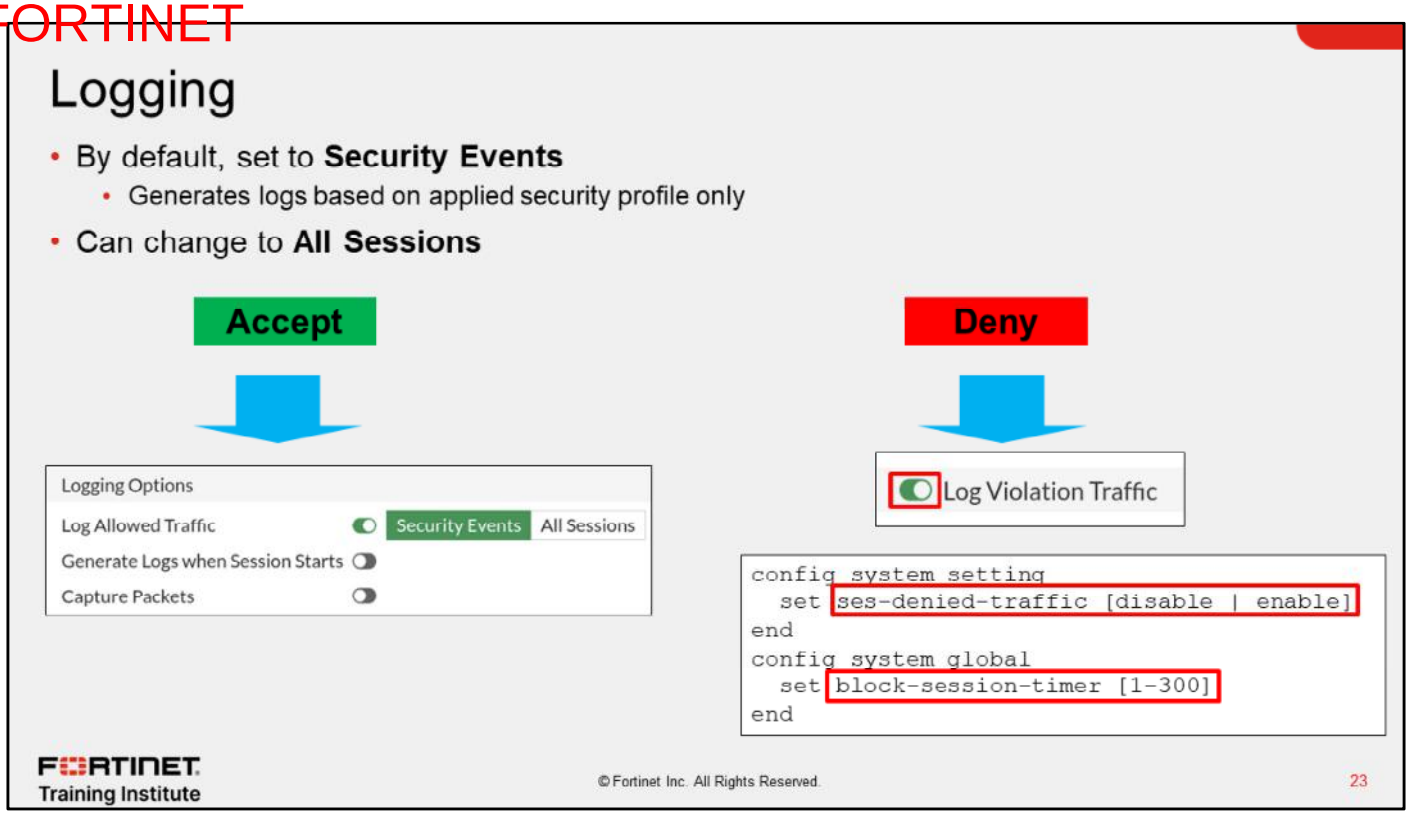
When creating firewall policies, remember that FortiGate is a stateful firewall. As a result, you need to create only one firewall policy that matches the direction of the traffic that initiates the session. FortiGate will automatically remember the source-destination pair and allow replies.



One of the most important features that a firewall policy can apply is security profiles, such as IPS and antivirus. A security profile inspects each packet in the traffic flow, where the session has already been conditionally accepted by the firewall policy.

When inspecting traffic, FortiGate can use one of two methods: flow-based inspection or proxy-based inspection. Different security features are supported by each inspection type.

Note that by default, the **Video Filter**, **VOIP**, **and Web Application Firewall** security profile option is not visible in the policy page on the GUI. You need to enable them on the **Feature Visibility** page.



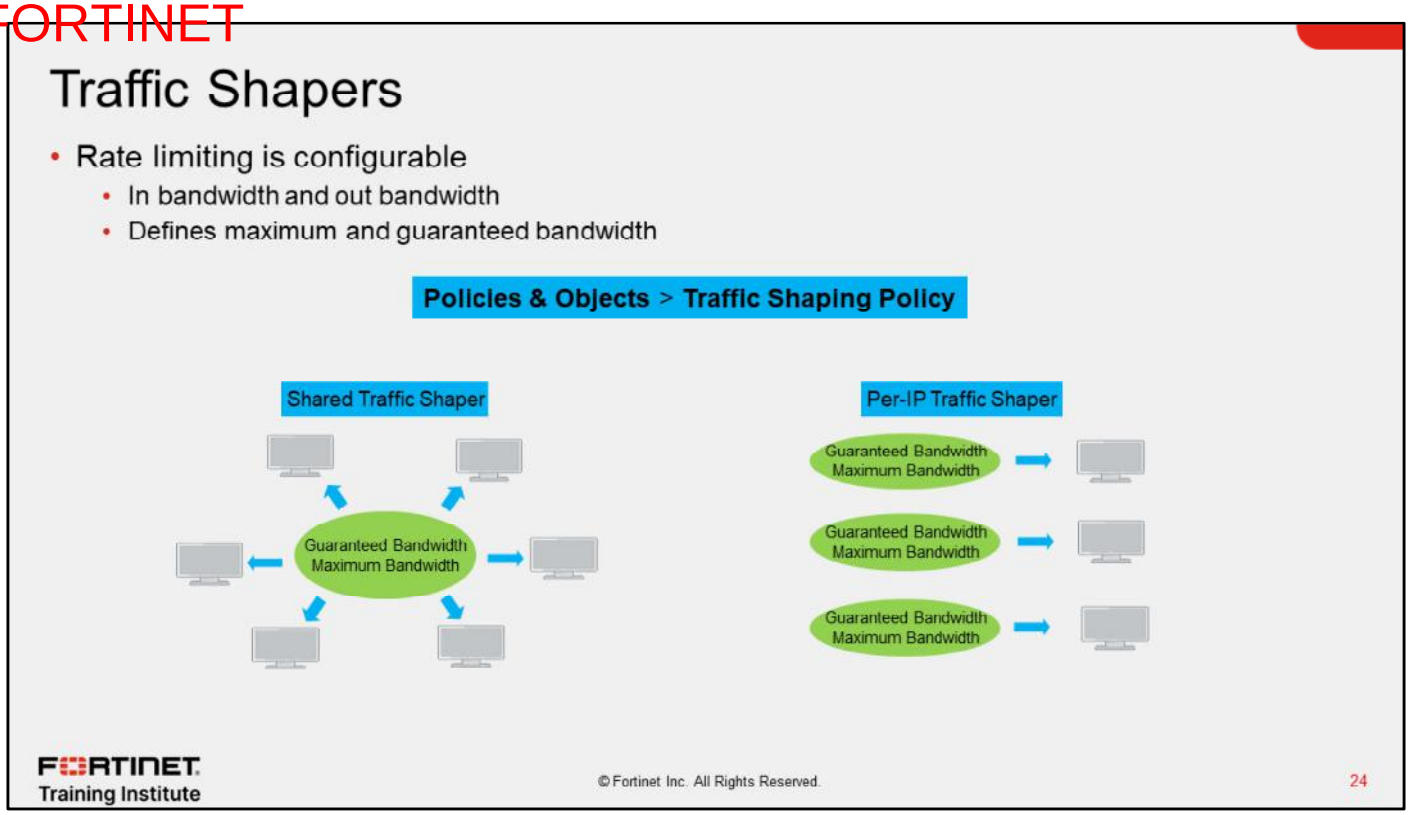
If you have enabled logging in the policy, FortiGate generates traffic logs after a firewall policy closes an IP session.

By default, **Log Allowed Traffic** is enabled and set to **Security Events** and generates logs for only the applied security profiles in the firewall policy. However, you can change the setting to **All Sessions**, which generates logs for all sessions.

If you enable **Generate Logs when Session Starts**, FortiGate creates a traffic log when the session begins. FortiGate also generates a second log for the same session when it is closed. But remember that increasing logging decreases performance, so use it only when necessary.

During the session, if a security profile detects a violation, FortiGate records the attack log immediately. To reduce the number of log messages generated and improve performance, you can enable a session table entry of dropped traffic. This creates the denied session in the session table and, if the session is denied, all packets of that session are also denied. This ensures that FortiGate does not have to do a policy lookup for each new packet matching the denied session, which reduces CPU usage and log generation.

This option is in the CLI, and is called `ses-denied-traffic`. You can also set the duration for block sessions. This determines how long a session will be kept in the session table by setting `block-session-timer` in the CLI. By default, it is set to 30 seconds.
If the GUI option **Generate Logs when Session Starts** is not displayed, this means that your FortiGate device does not have internal storage. This option is on the CLI, regardless of internal storage, and is called `set logtraffic-start enable`.



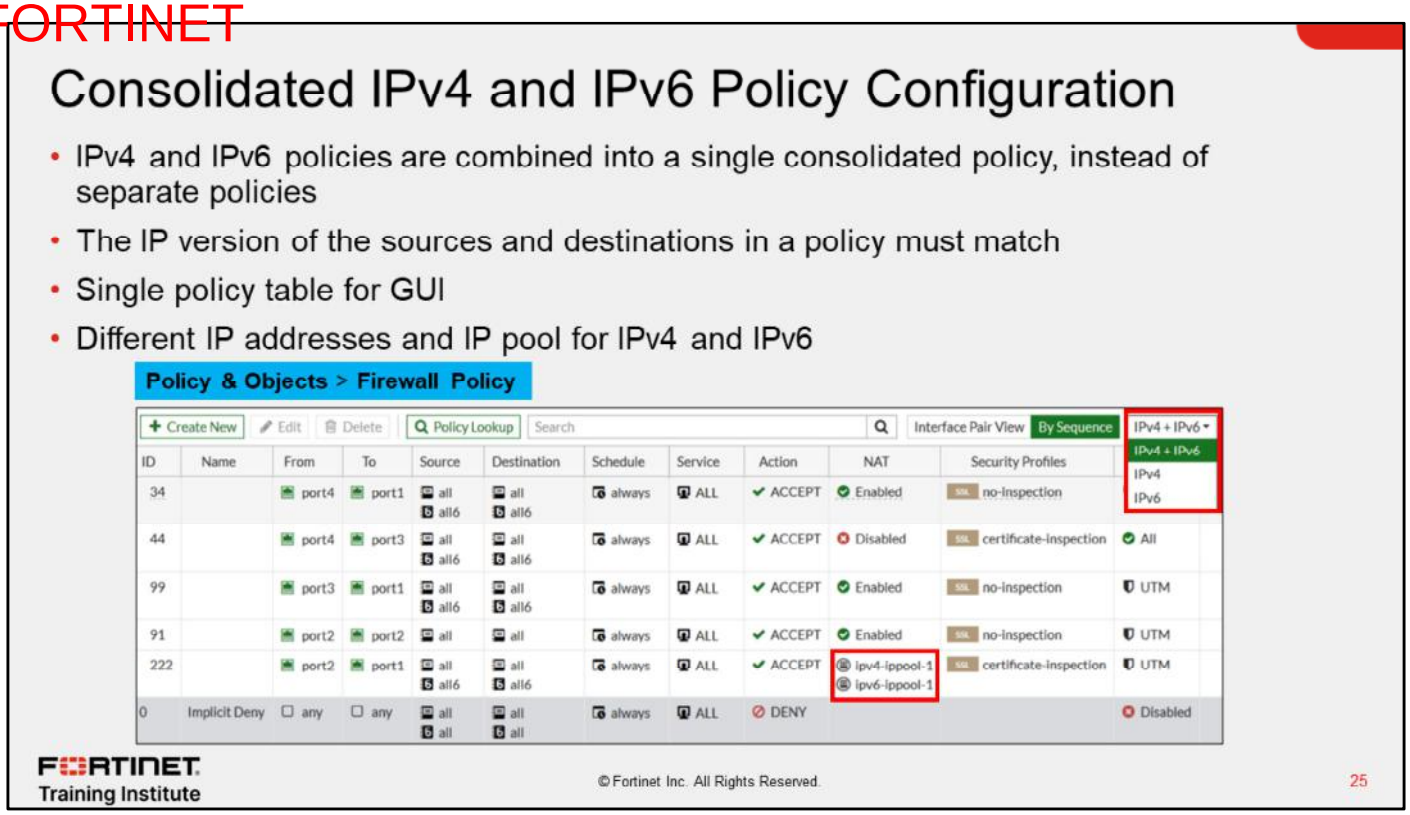
You can configure two types of traffic shapers: shared and per IP.

A shared shaper applies a total bandwidth to all traffic using that shaper. The scope can be per policy or for all policies referencing that shaper. FortiGate can count the packet rates of ingress and egress to police traffic.

FortiGate allows you to create three types of traffic shaping policies:

- Shared policy shaping: bandwidth management of security policies
- Per-IP shaping: bandwidth management of user IP addresses
- Application control shaping: bandwidth management by application

When creating traffic shaping policies, you must ensure that the matching criteria is the same as the firewall policies you want to apply shaping to. Note that these apply equally to TCP and UDP, and UDP protocols may not recover as gracefully from packet loss.



By default, IPv4 and IPv6 policies are combined into a single consolidated policy, rather than creating and maintaining two different policy sets for IPv4 and IPv6.

You can share the **Incoming Interface**, **Outgoing Interface**, **Schedule**, and **Service** fields with both IPv4 and IPv6. For source addresses, destination addresses, and IP pool, you must select addresses for both IPv4 and IPv6.
While configuring a consolidated firewall policy, you can configure a policy with IPv4 source addresses, IPv4 destination addresses, and an IPv4 IP pool, without specifying any IPv6 references. You can also configure the policy with the same behavior for IPv6. However, if you want to combine IPv4 and IPv6, you must select both IPv4 addresses and IPv6 addresses in the **Source** and **Destination** address fields in the firewall policy.The IP version of the sources and destinations in a policy must match. For example, a policy cannot have only an IPv4 source and an IPv6 destination. The policy table in the GUI can be filtered to show policies with IPv4, IPv6, or IPv4 and IPv6 sources and destinations.

Note that, by default, the **IPv6** option is not visible in the policy table on the GUI. You must enable **IPv6** on the **Feature Visibility** page.

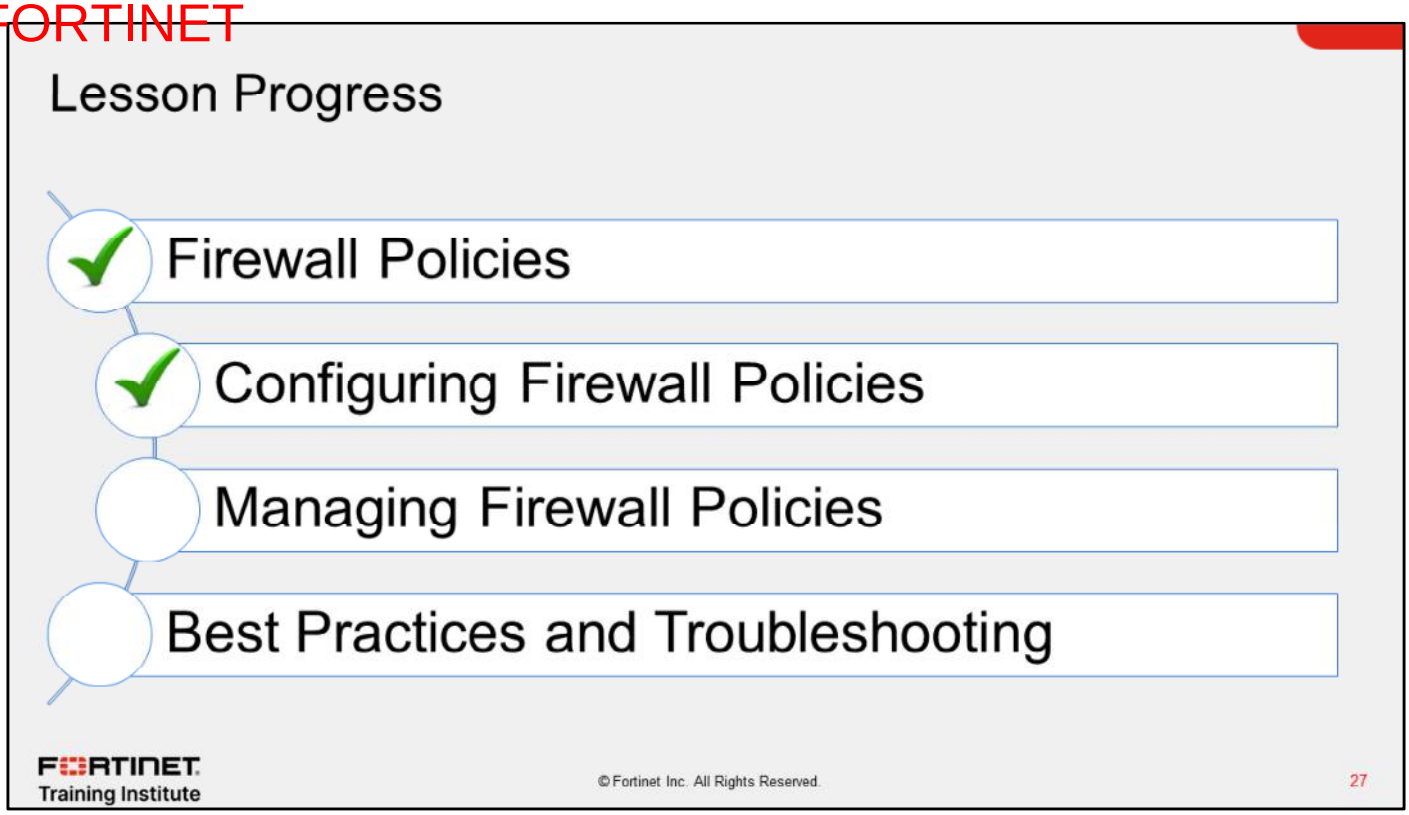
Knowledge Check

1. To configure a firewall policy, you must include a firewall policy name when configuring using the _____.
   - A. CLI
   - ✓ B. GUI

2. What is the purpose of applying security profiles to a firewall policy?
   - A. To allow access to specific subnets
   - ✓ B. To protect your network from threats, and control access to specific applications and URLs

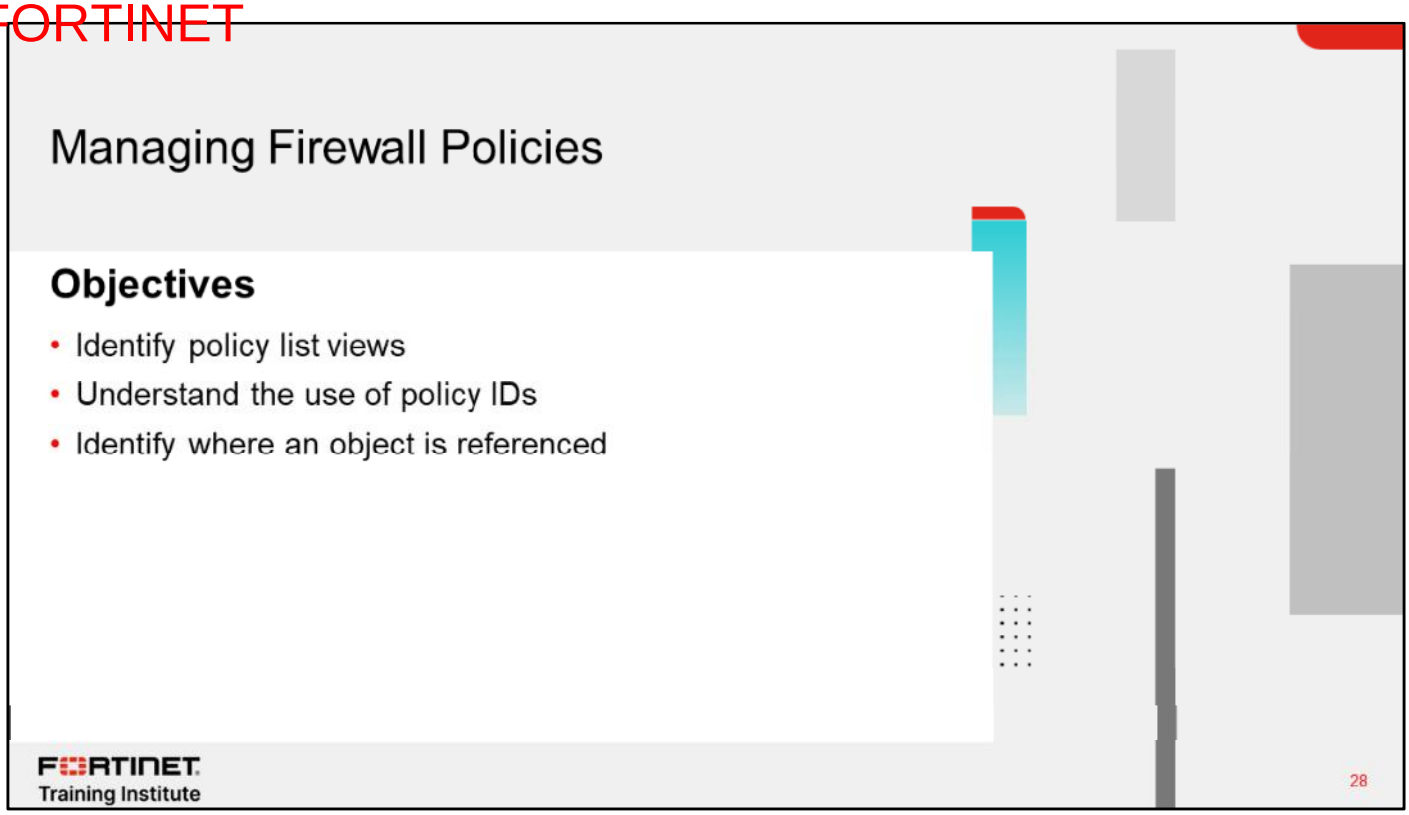
FORTINET Training Institute

26



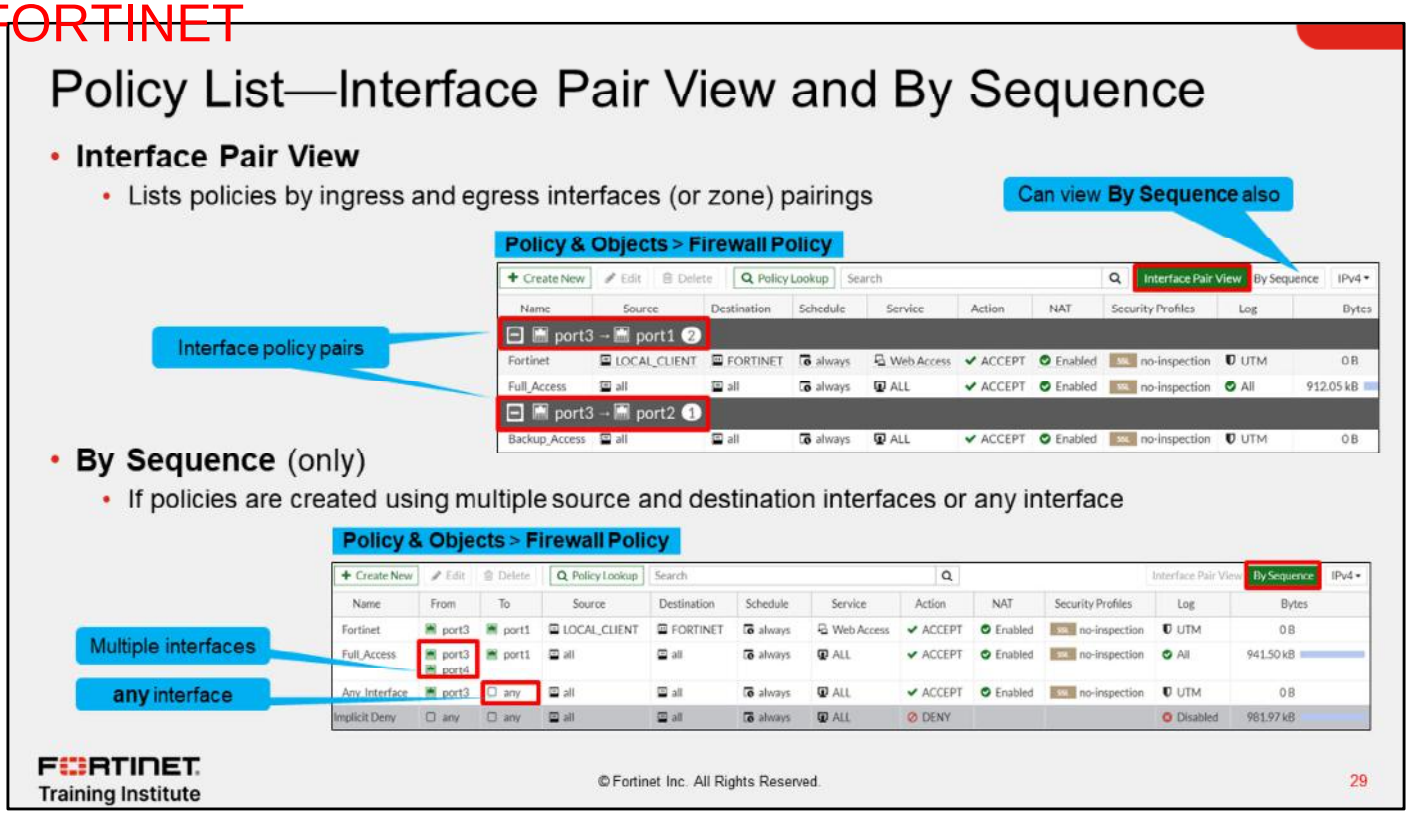
Good job! You now understand how to configure firewall policies on FortiGate.

Next, you'll learn how to manage and fine-tune settings for firewall policies.



After completing this section, you should be able to achieve the objectives shown on this slide.

By demonstrating competence in managing firewall policies, you will be able to understand the use of the policy ID of a firewall policy. Also, you will be able to pinpoint object usage, and simplify policies using object groups.

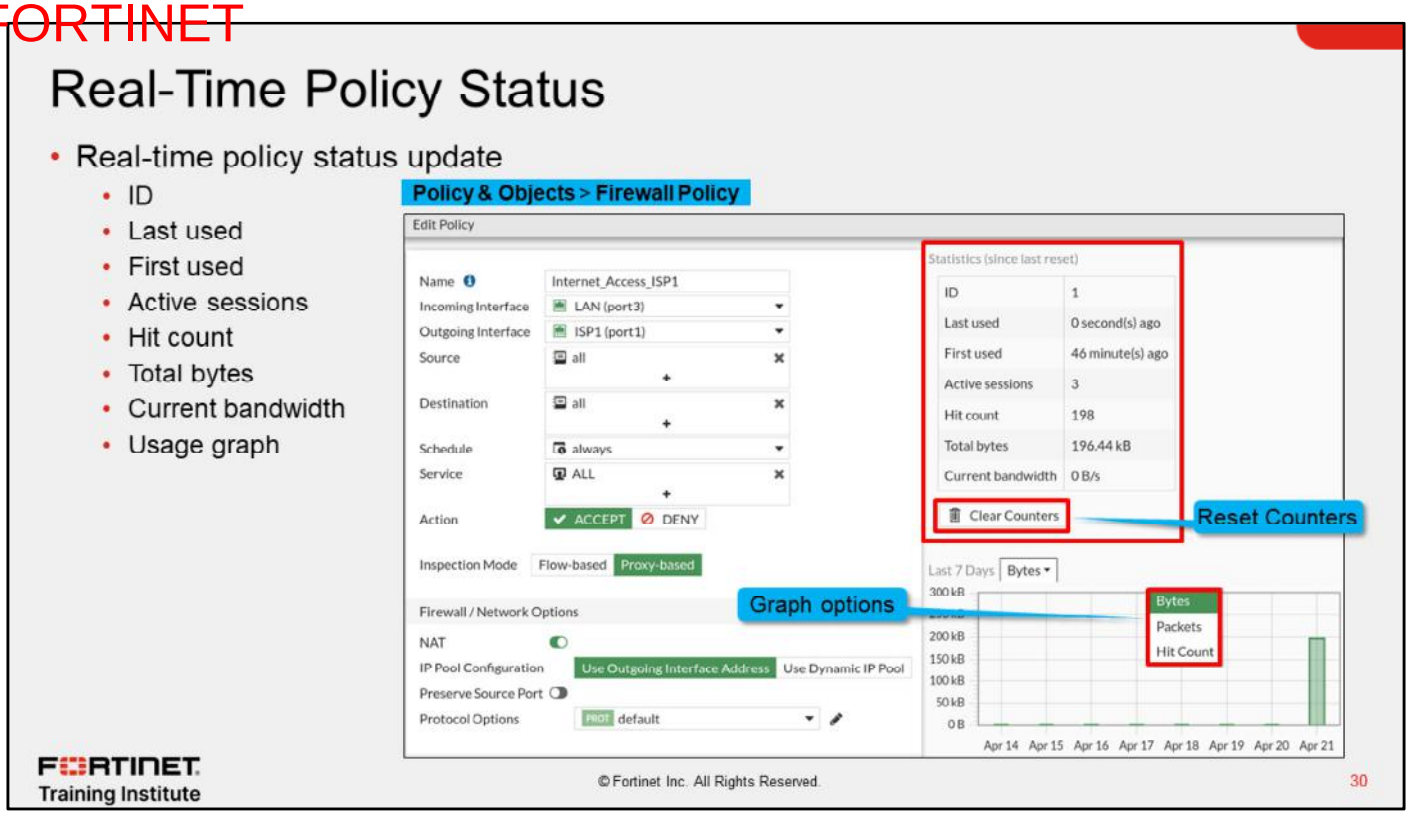


Firewall policies appear in an organized list. The list is organized either in **Interface Pair View** or **By Sequence**.

By default, the policy list appears in **Interface Pair View**. Each section contains policies in the order that they are evaluated for matching traffic and are arranged by ingress-egress interface pair. Alternatively, you can view your policies as a single, comprehensive list by selecting **By Sequence** at the top of the page. In this view the policies are also listed in the order in which they are evaluated for traffic matching, but they are not grouped.

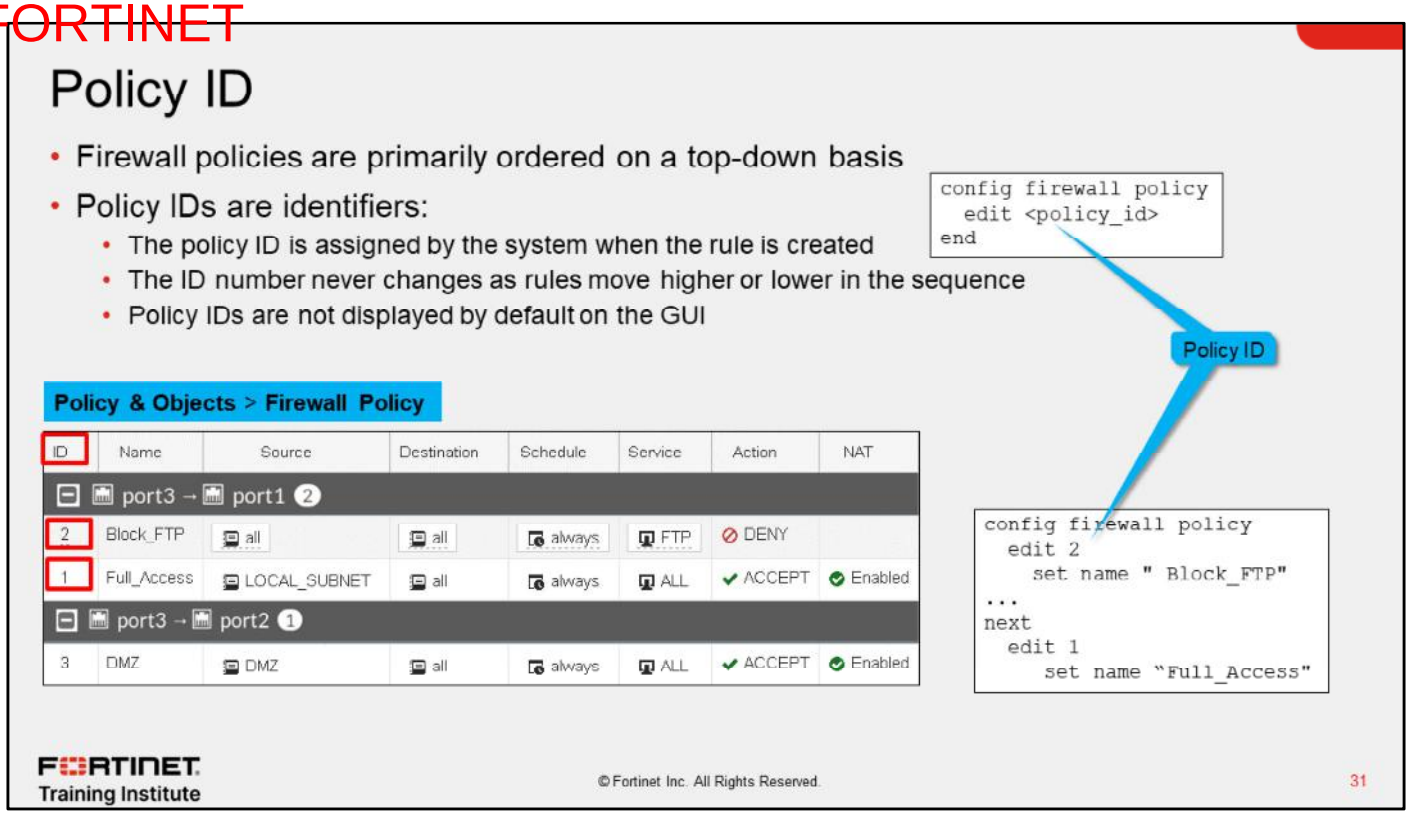
In some cases, you cannot choose the view. For example, if you use multiple source or destination interfaces, or the **any** interface in a firewall policy, you cannot separate policies into sections by interface pairs—some would be triplets or more. In this case, policies always appear in a single list (**By Sequence**).

To help you remember the use of each interface, you add aliases by editing the interface on the **Network** page. For example, you could call port1 *ISP1*. This can help to make your list of policies easier to understand.



When you edit the policy, policy information will be visible.

This feature is very useful if an administrator wanted to check the policy usage, such as last used, first used, hit count, active sessions, and so on.



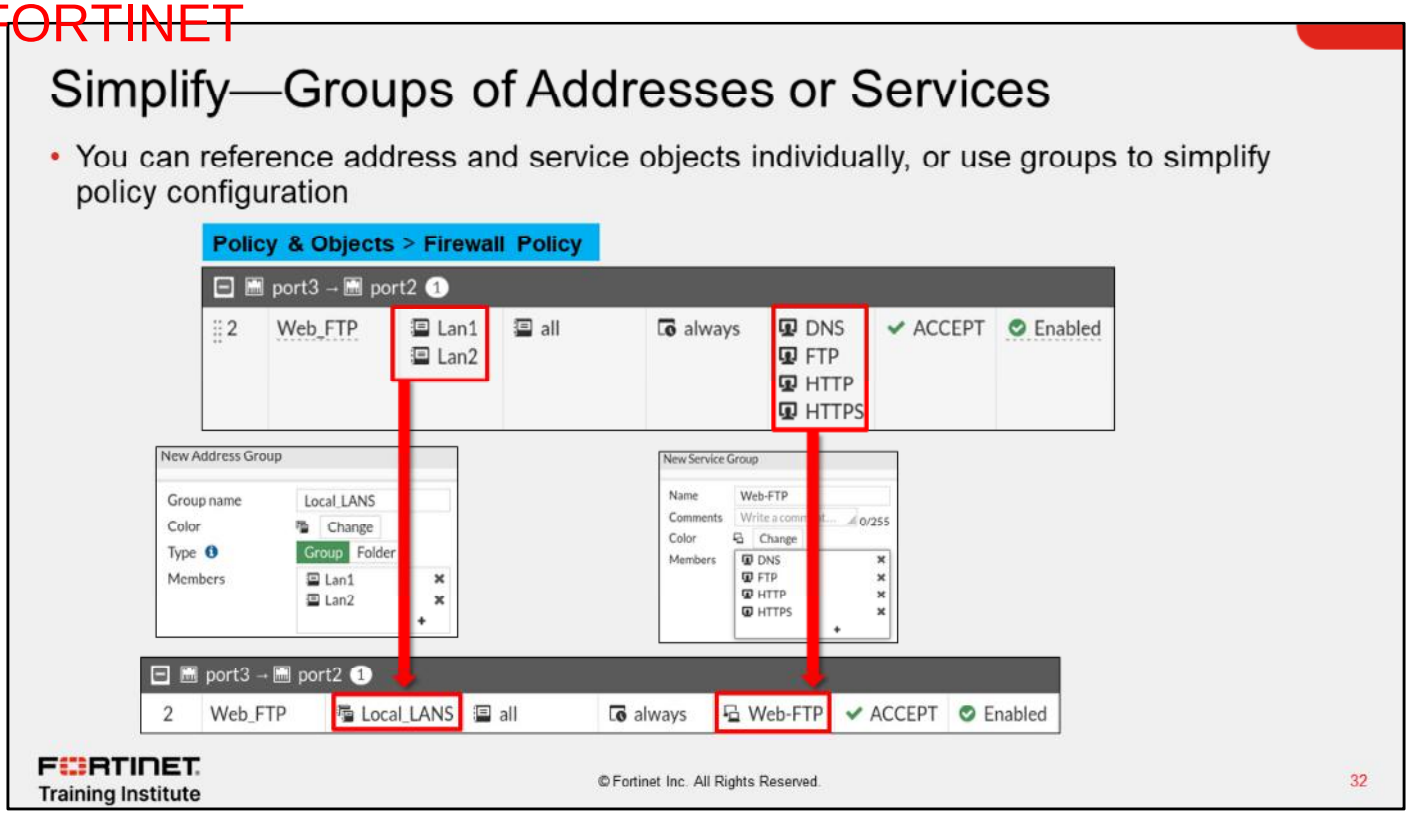
An important concept to understand about how firewall policies work is the precedence of order, or, if you prefer a more recognizable term, first come, first served.

Policy IDs are identifiers. By default, policy IDs are not displayed on the policy list GUI. You can add a policy **ID** column using the **Configure Table** settings icon.

FortiGate automatically assigns a policy ID when you create a new firewall policy on the GUI. The policy ID never changes, even if you move the rule higher or lower in the sequence.

If you enable **Policy Advanced Options,** then you can manually assign a policy ID, while creating a new policy. If a duplicate entry is found, the system produces an error, so you can assign a different available policy ID number.

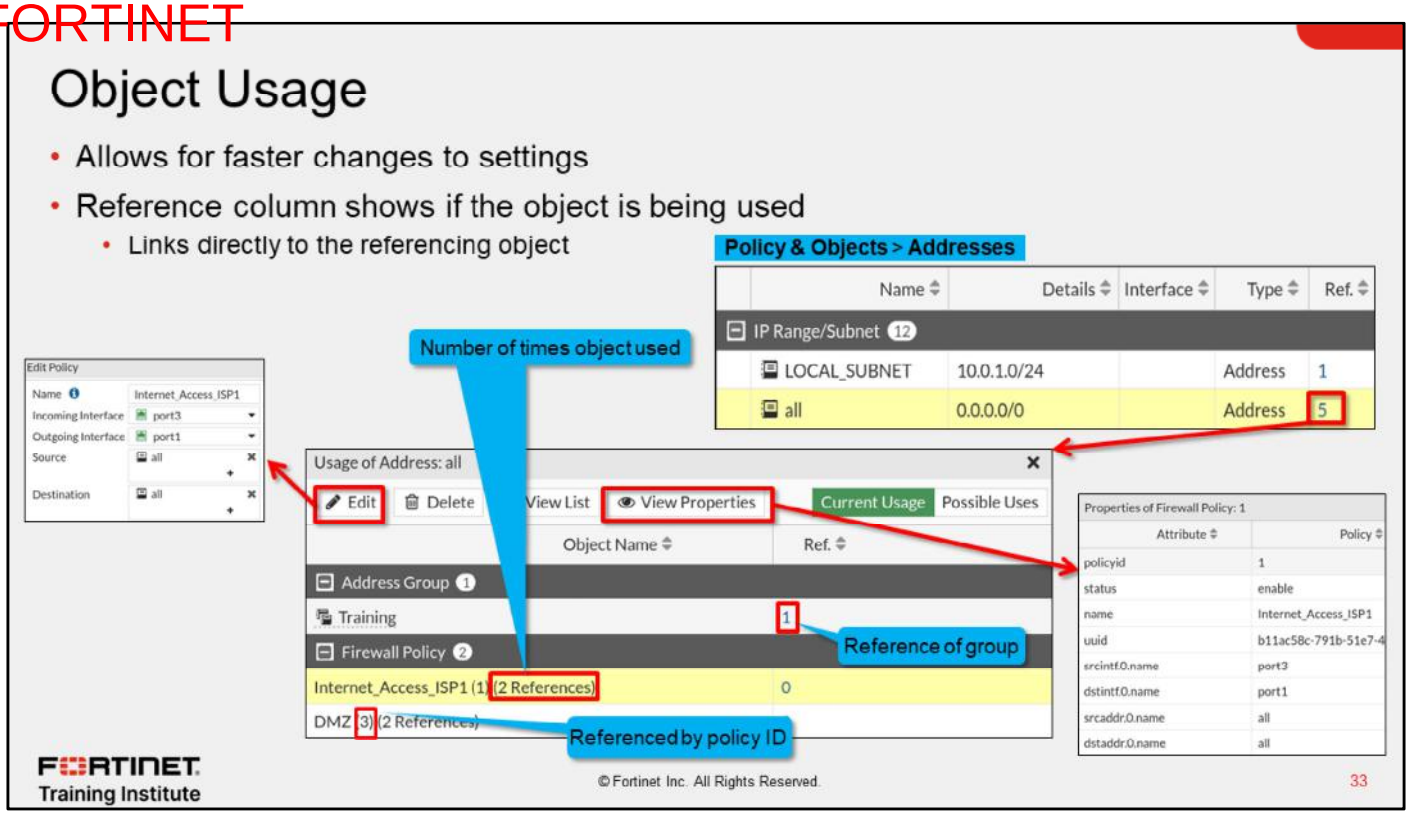
Policy Advanced Options is not available on the GUI by default, you must enable it on the **Feature Visibility** page.



To simplify administration, you can group service and address objects. Then, you can reference that group in the firewall policy, instead of selecting multiple objects each time, or making multiple policies.

This slide shows that four services are used to configure the policy: HTTP, HTTPS, FTP, and DNS. DNS is used by browsers to resolve URLs to IP addresses because people remember domain names for websites instead of IP addresses. If you need to make many policies for web and FTP traffic, then it makes sense to create a service object named **Web-FTP**. That way, you don't have to manually select all four services each time you make a policy. Policies can reference the **Web-FTP** service group instead.

Also, you can consolidate source addresses in source groups.

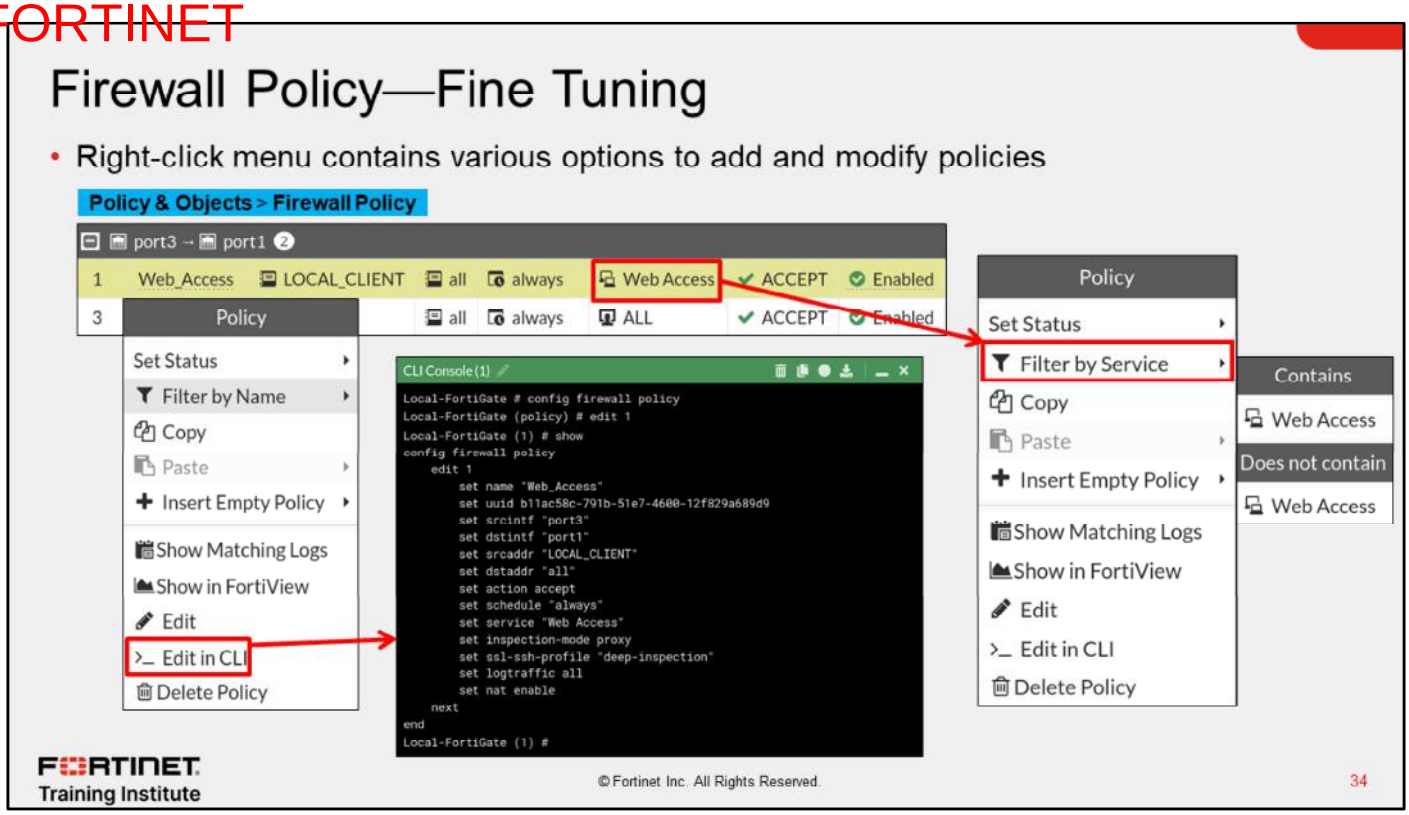


You've just seen several component objects that can be reused as you make policies. What if you want to delete an object?

If an object is being used, you can't delete it. First, you *must* reconfigure the objects that are currently using it. The GUI provides a simple way to find out where in the FortiGate's configuration an object is being referenced. Take a look at the numbers in the **Ref** column. They are the number of places where that object is being used. The number is actually a link, so if you click it, you can see which objects are using it.

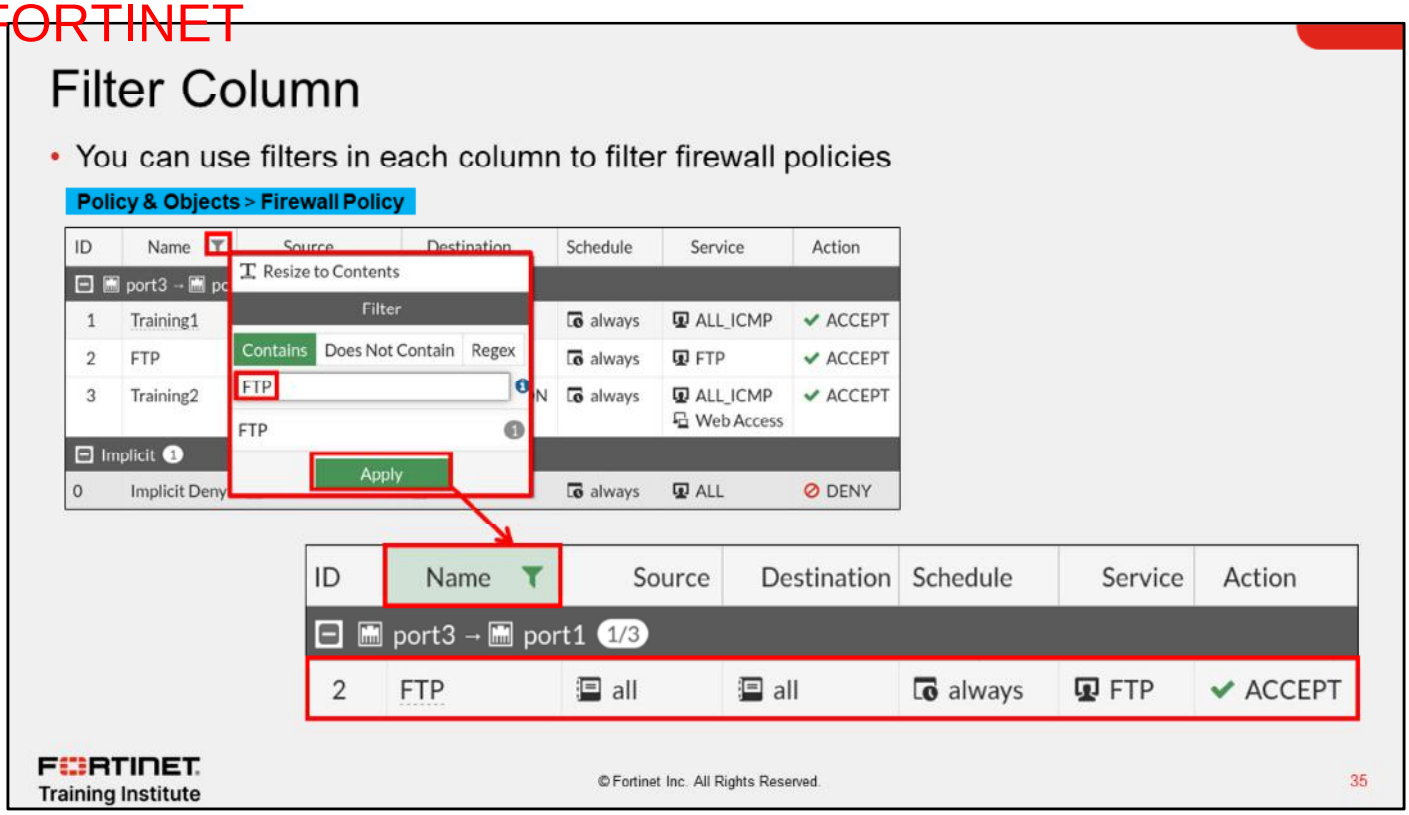
In the example shown on this slide, the **all** address object is being used by the **Training** address group and three firewall policies. If you select a firewall policy, you can use the **Edit**, **View List**, and **View Properties** tabs.

- **Edit**: allows you to edit the selected object. In this example, it shows the edit page for the firewall policy ID 1.
- **View List**: allows you to view selected objects in its category. In this example, it will show you the list of all the firewall policies.
- **View Properties**: shows where the object is used in that configuration. In this example, address object **all** is being used in the destination address and source address of that firewall policy.



You can right-click any firewall policy to see different menu options to edit or modify the policy. The options include enabling or disabling a firewall policy, inserting firewall policies (above or below), copying and pasting policies, and cloning reverse (only if NAT is disabled on that policy).

Clicking **Edit in CLI** opens the CLI console for the selected firewall policy or object. It shows the configured settings on the CLI and can modify the selected firewall policy or object directly on the **CLI Console**.



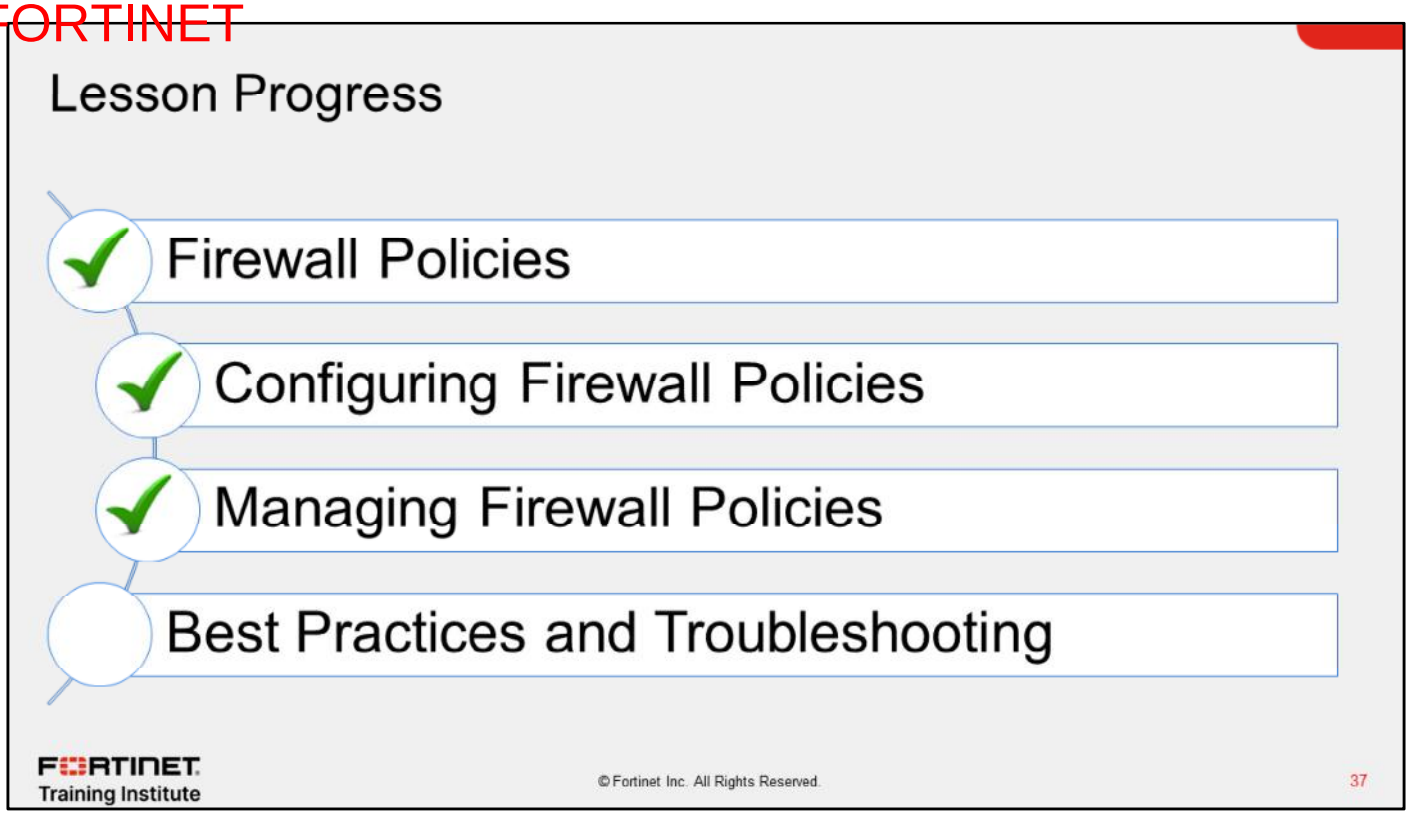
You can filter firewall policies on the GUI using filters in each column. You can add the **ID** column and then click the **ID** column filter icon to filter and search policies based on policy id numbers. You can click the **Name** filter icon to search policies based on policy name, and so on.

Knowledge Check

1. If you configure a firewall policy with the **any** interface, you can view the firewall policy list only in which view?_____ .
   - ✓A. The **By Sequence View**
   - B. The **Interface Pair View**

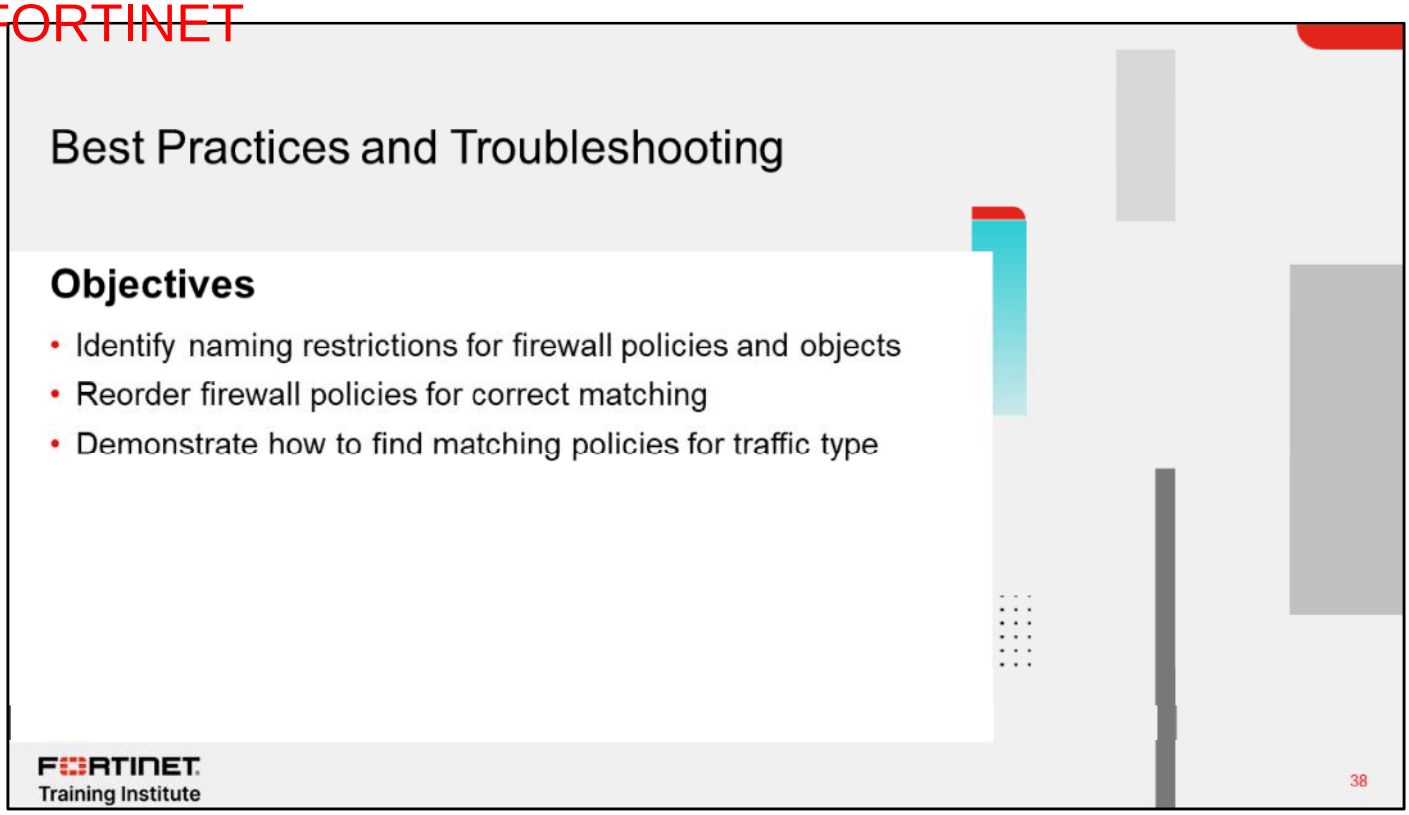
FORTINET Training Institute

36



Good job! You now understand how to manage firewall policies on FortiGate.

Now, you'll learn about best practices and troubleshooting related to firewall policies.

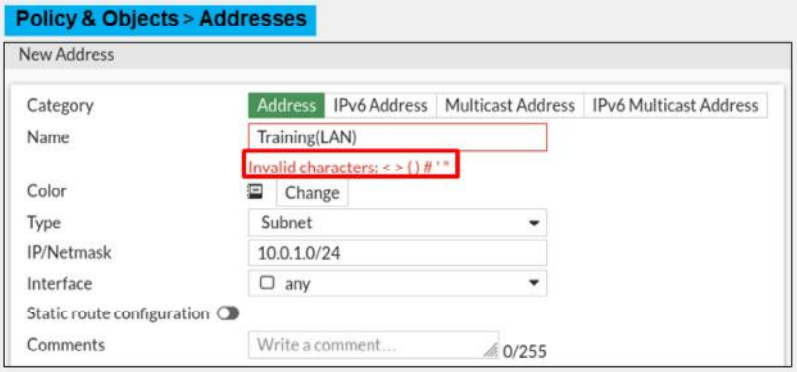


After completing this section, you should be able to achieve the objectives shown on this slide.

By demonstrating competence in knowing firewall policy restrictions and using policy matching techniques, you will be able to apply best practices and basic troubleshooting techniques when working with firewall policies.

Naming Rules and Restrictions

- Most firewall object name fields accept up to 35 characters
- Supported characters in a firewall object name:
  - Numbers: 0 to 9
  - Letters: A to Z (uppercase and lower case)
  - Special characters: hyphen - and underscore _
  - Spaces
    - Avoid using spaces in general
- Some special characters are supported in passwords, comments, replacement messages, and so on
  - < > ( ) # " " ' '

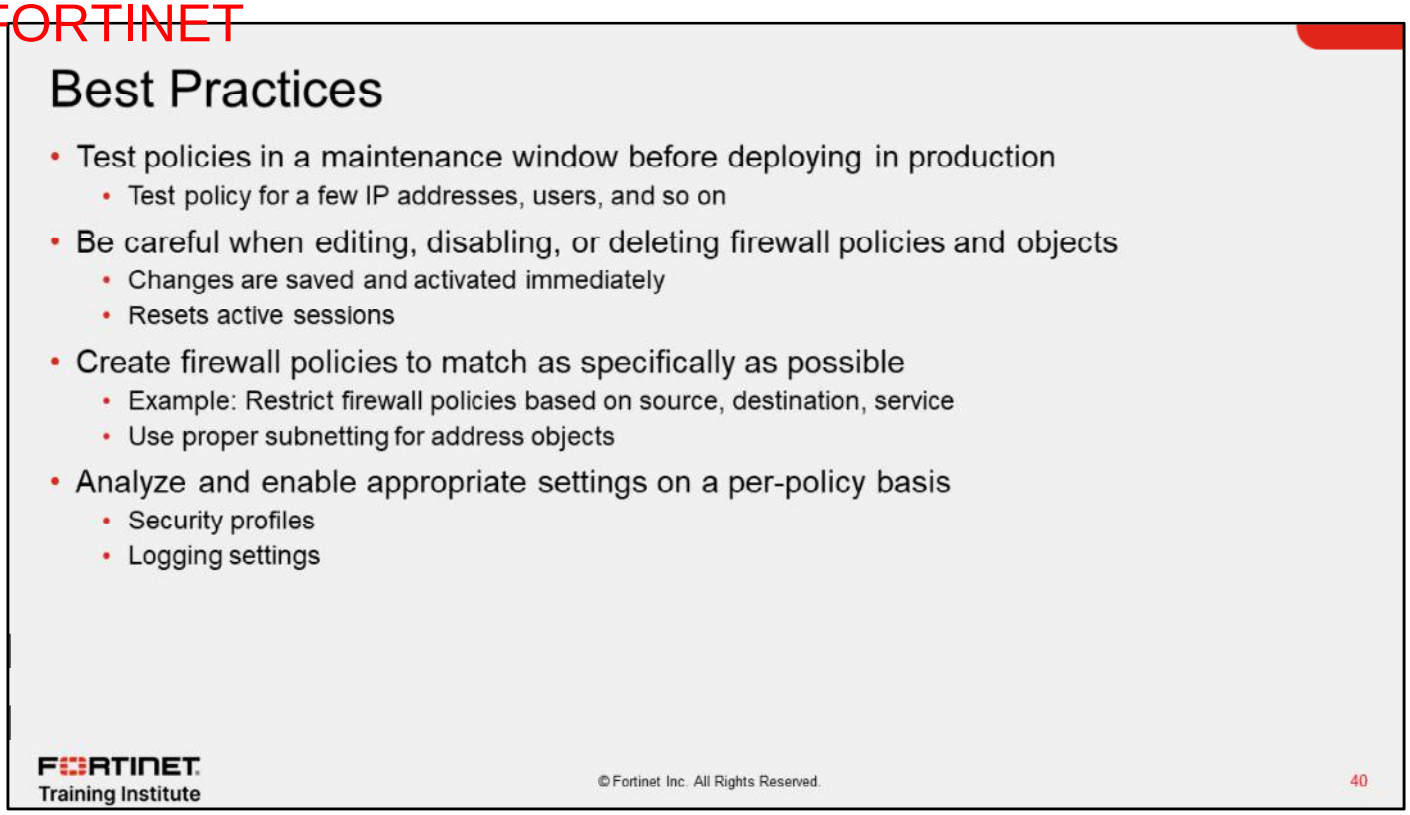


FORTINET Training Institute

39

When configuring names for firewall objects, only specific characters are supported. For example, `Training(LAN)` is not a valid name for an address object because it includes special characters that are not supported. Although spaces are supported in the names, as a best practice, avoid using spaces in names. Instead, use a hyphen or underscore. Using spaces can cause issues when trying to modify on the CLI, or troubleshooting.

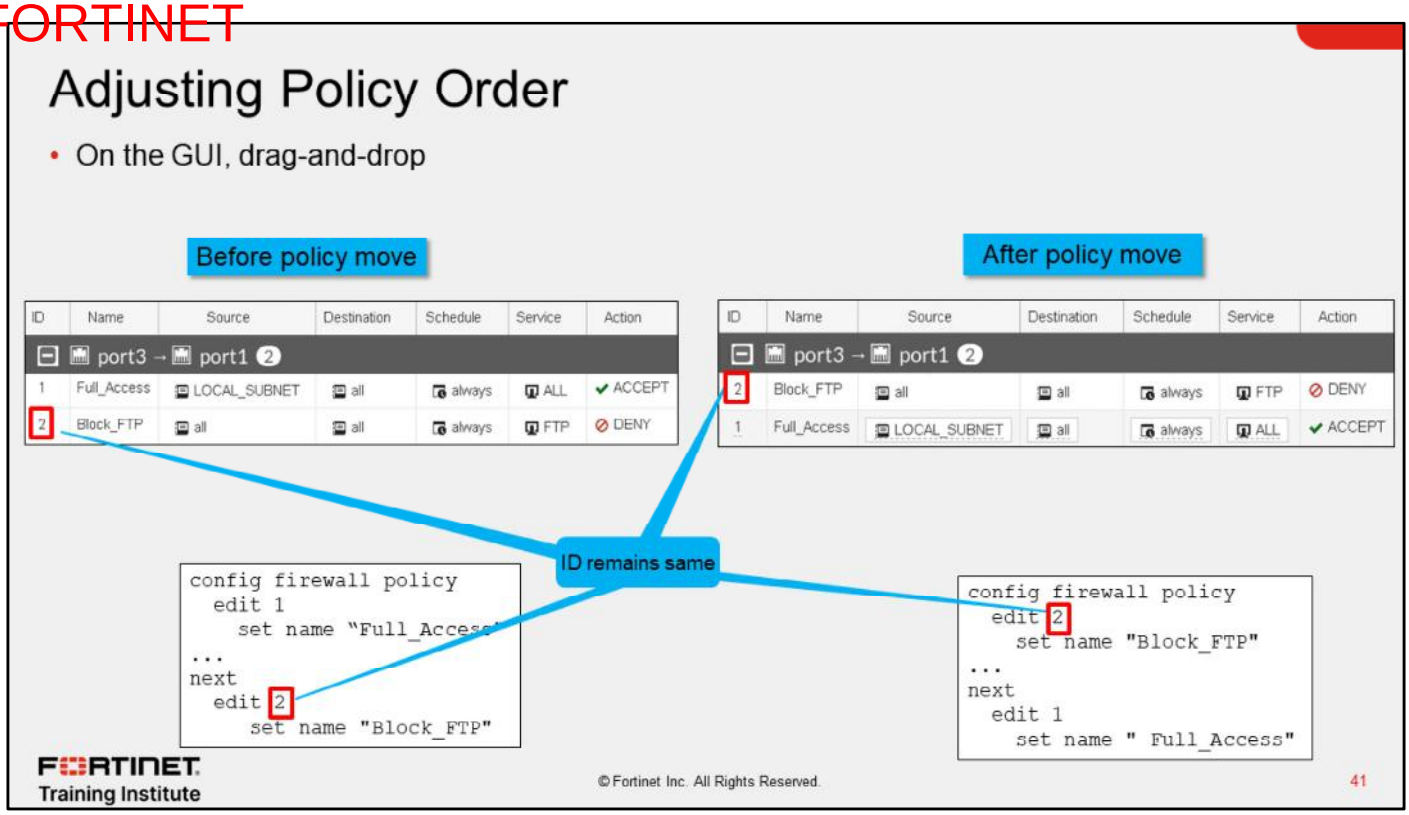
However, many special characters are supported in passwords, comments, replacement messages, and so on.



Always plan a maintenance window and create a test case for a few IP addresses and users, before implementing configuration changes in the production network. Any configuration changes made using the GUI or CLI take effect immediately, and can interrupt service.

As a best practice, try to configure firewall policies as specifically as possible. This helps to restrict access to only those resources. For example, use correct subnets when configuring address objects.

Another setting worth mentioning is security profiles. Security profiles help to provide appropriate security for your network. Proper logging configuration can also help you to analyze, diagnose, and resolve common network issues.



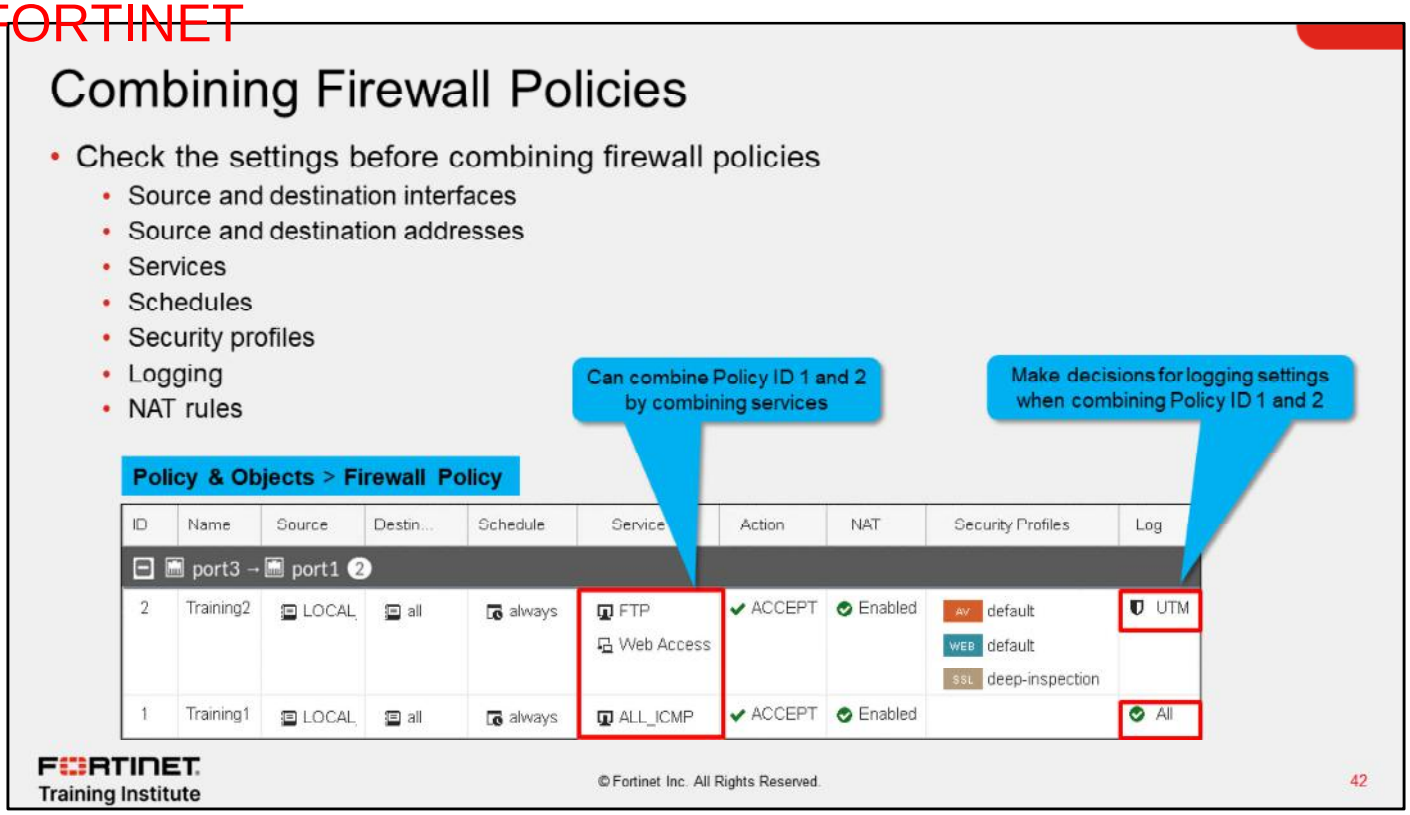
Remember you learned that only the first matching policy applies? Arranging your policies in the *correct position* is important. It affects which traffic is blocked or allowed. In the section of the applicable interface pair, FortiGate looks for a matching policy, beginning at the top. So, you should put more specific policies at the top; otherwise, more general policies will match the traffic first, and more granular policies will never be applied.

In the example shown on this slide, you're moving the **Block_FTP** policy (ID 2) that matches only FTP traffic, to a position above a more general **Full_Access** (accept everything from everywhere*)* policy. Otherwise, FortiGate would always apply the first matching policy in the applicable interface pairs—**Full_Access**–and never reach the **Block_FTP** policy.

When moving the policies across the policy list, policy IDs remain unchanged.

Note that FortiGate assigns the next highest available ID number as policies are created.

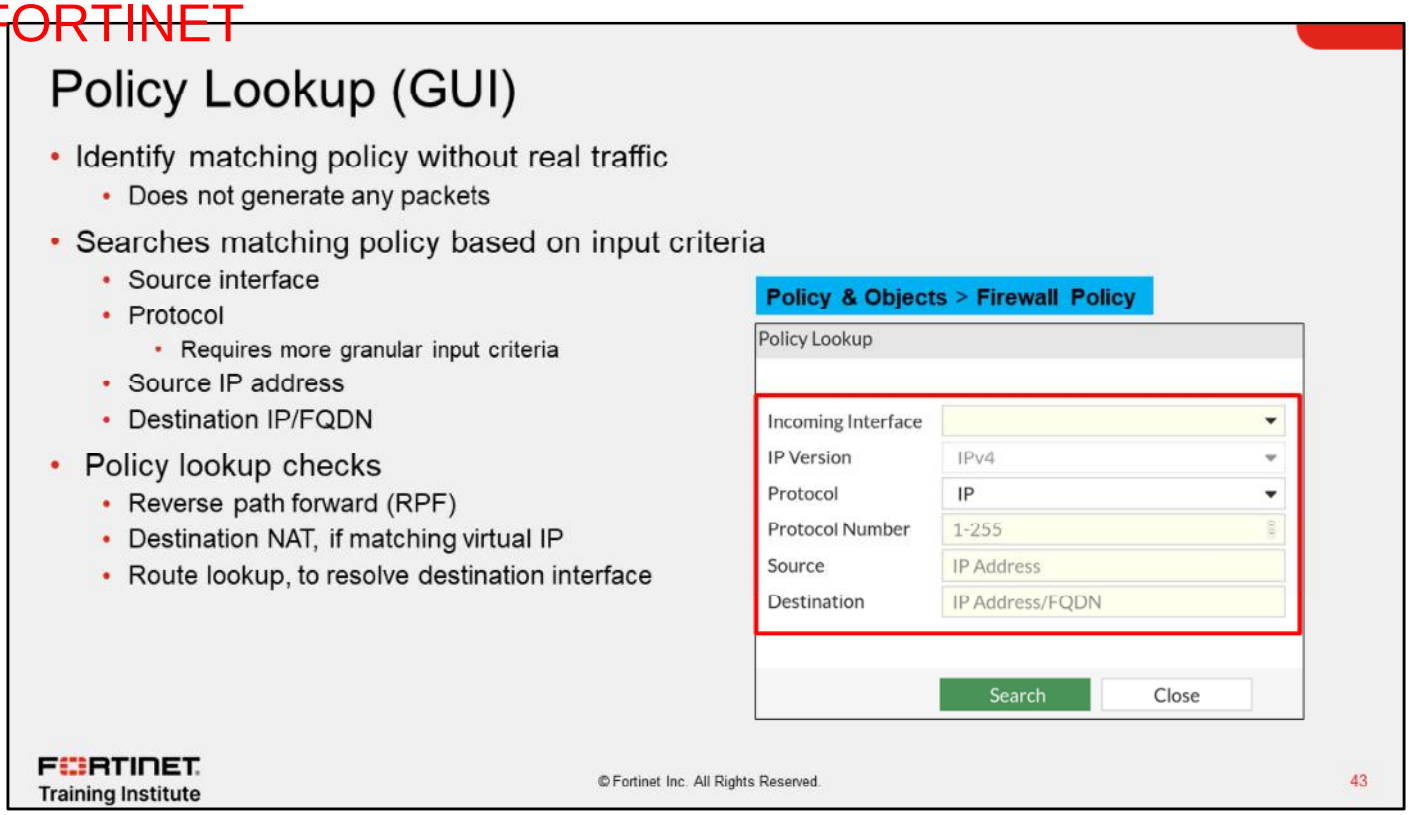
Note that policy IDs are identifiers and are not displayed by default on the policy list GUI. You can add a policy **ID** column using the **Configure Table** settings icon.



In order to optimize and consolidate firewall policies, always check all configured settings. In the example shown on this slide, the two firewall policies have differences in terms of services, security profiles, and logging settings. You can consolidate these two firewall policies by combining services and choosing appropriate logging settings.

If you select **Security Events** (UTM) for the logging settings, traffic logs will not be generated for **ALL_ICMP** traffic.

Note that the **ALL_ICMP** service is not subject to web filter and antivirus scans, which means that applying these security profiles to the ICMP traffic will result in the traffic passing through without being inspected.



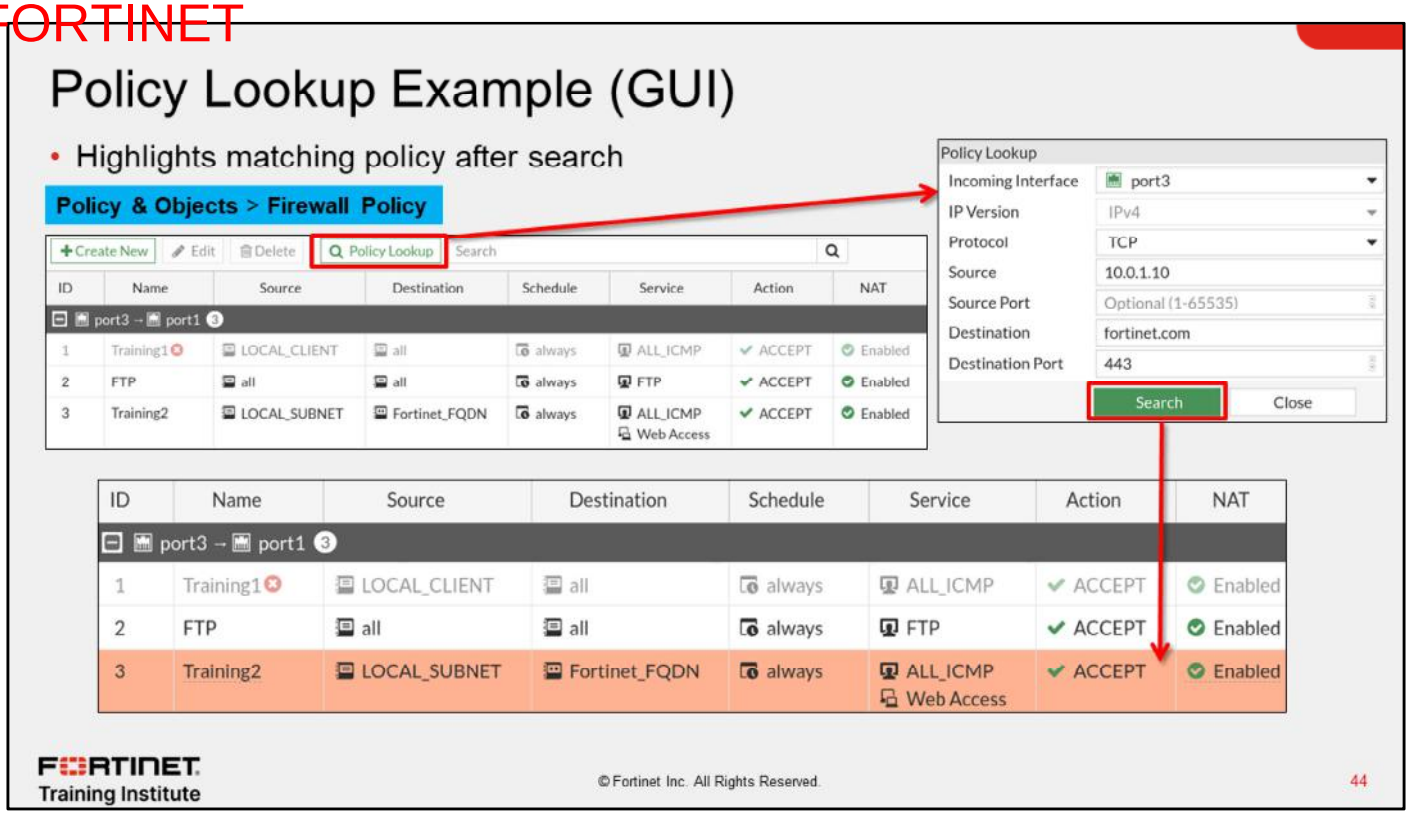
You can find a matching firewall policy based on the policy lookup input criteria. Policy lookup creates a packet flow over FortiGate without real traffic. From this, policy lookup can extract a policy ID from the flow trace and highlight it on the GUI policy configuration page.

Depending on the protocol you select (for example, TCP, UDP, IP, ICMP, and so on), you need to define other input criteria. For example, when you select TCP as the protocol, you need to define the source address, source port (optional), destination port, and destination address. When you select ICMP as the protocol, you need to define the ICMP type/code, source address, and destination address.

When FortiGate is performing policy lookup, it performs a series of checks on ingress, stateful inspection, and egress, for the matching firewall policy, from top to bottom, before providing results for the matching policy.

Note that if the firewall policy status is set to **disable**, the policy lookup skips the disabled policy and checks for the next matching policy in the list.

When FortiGate is in Transparent mode, it does not support the policy lookup function.



Based on the input criteria, after clicking **Search**, the trace result is selected and highlighted on the **Firewall Policy** page.

Why didn't policy **ID #1** or **ID #2** match the input criteria?

Because policy **ID #1** status is set to **disable**, policy lookup skips the disabled policy. For firewall policy **ID #2** , it doesn't match the destination port specified in the policy lookup matching criteria.

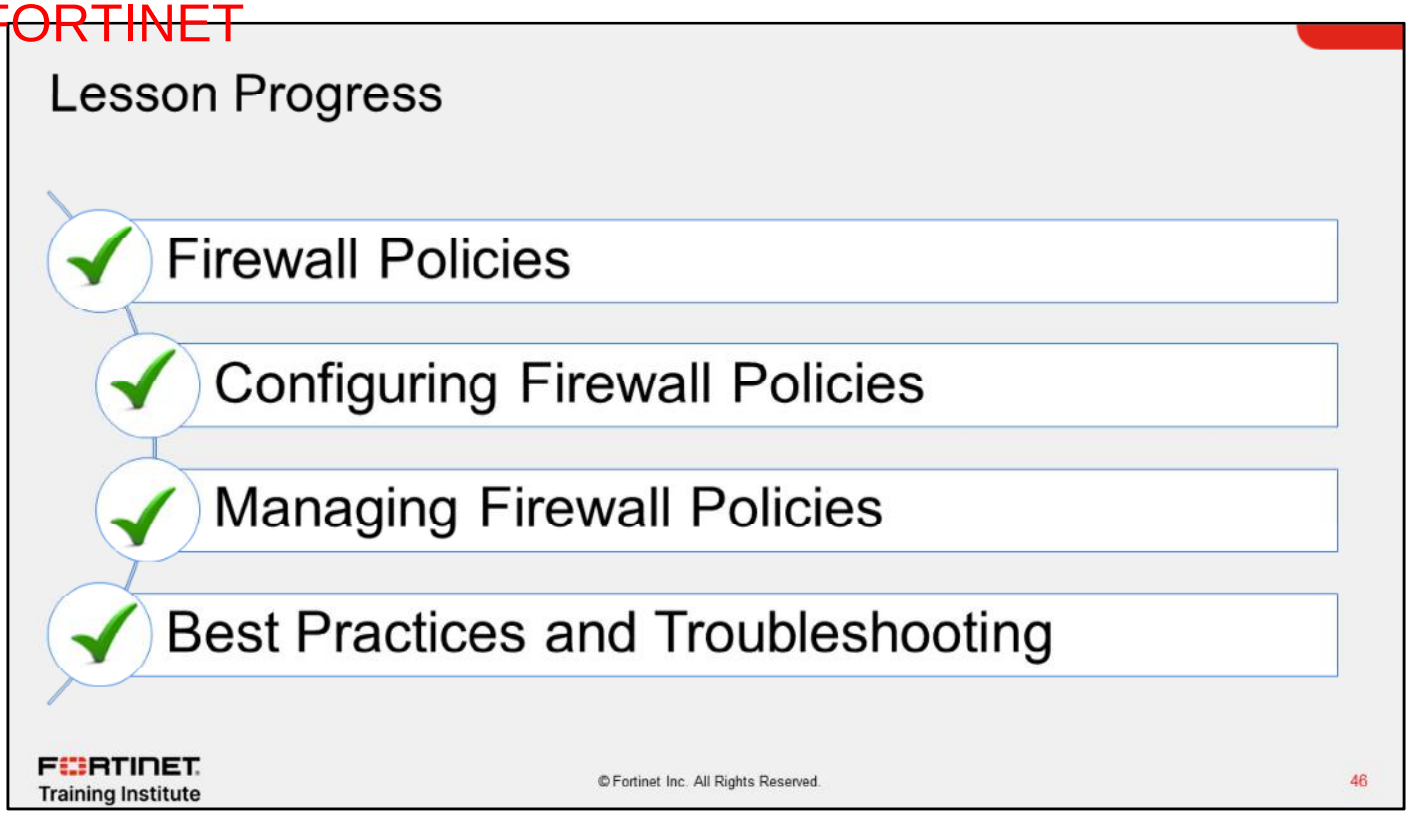
Knowledge Check

1. Which of the following naming formats is correct when configuring a name for a firewall address object?
   - ✓ A. Good_Training
   - B. Good(Training)

2. What is the purpose of the policy lookup feature on FortiGate?
   - ✓ A. To find a matching policy based on input criteria
   - B. To block traffic based on input criteria

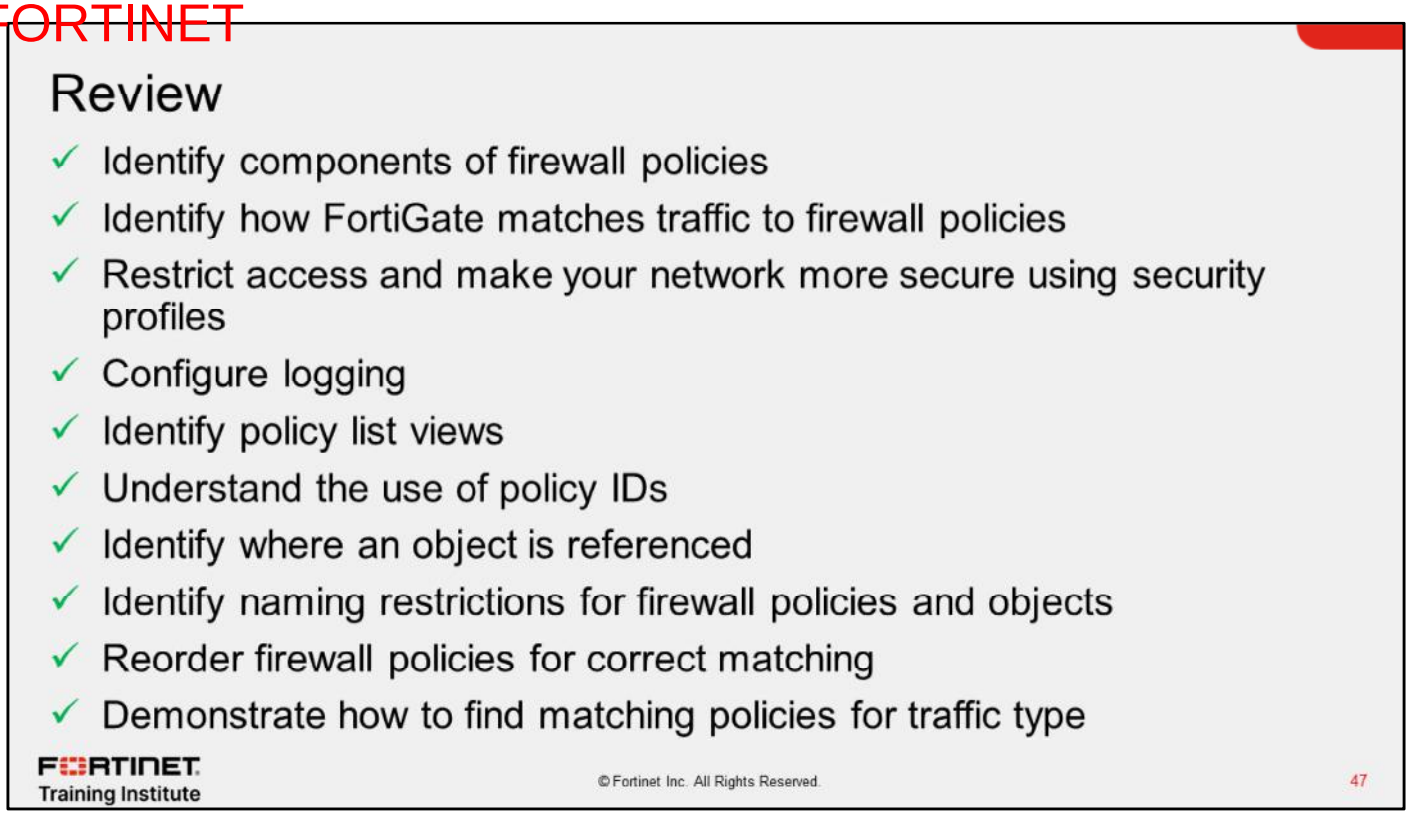
FORTINET Training Institute

45



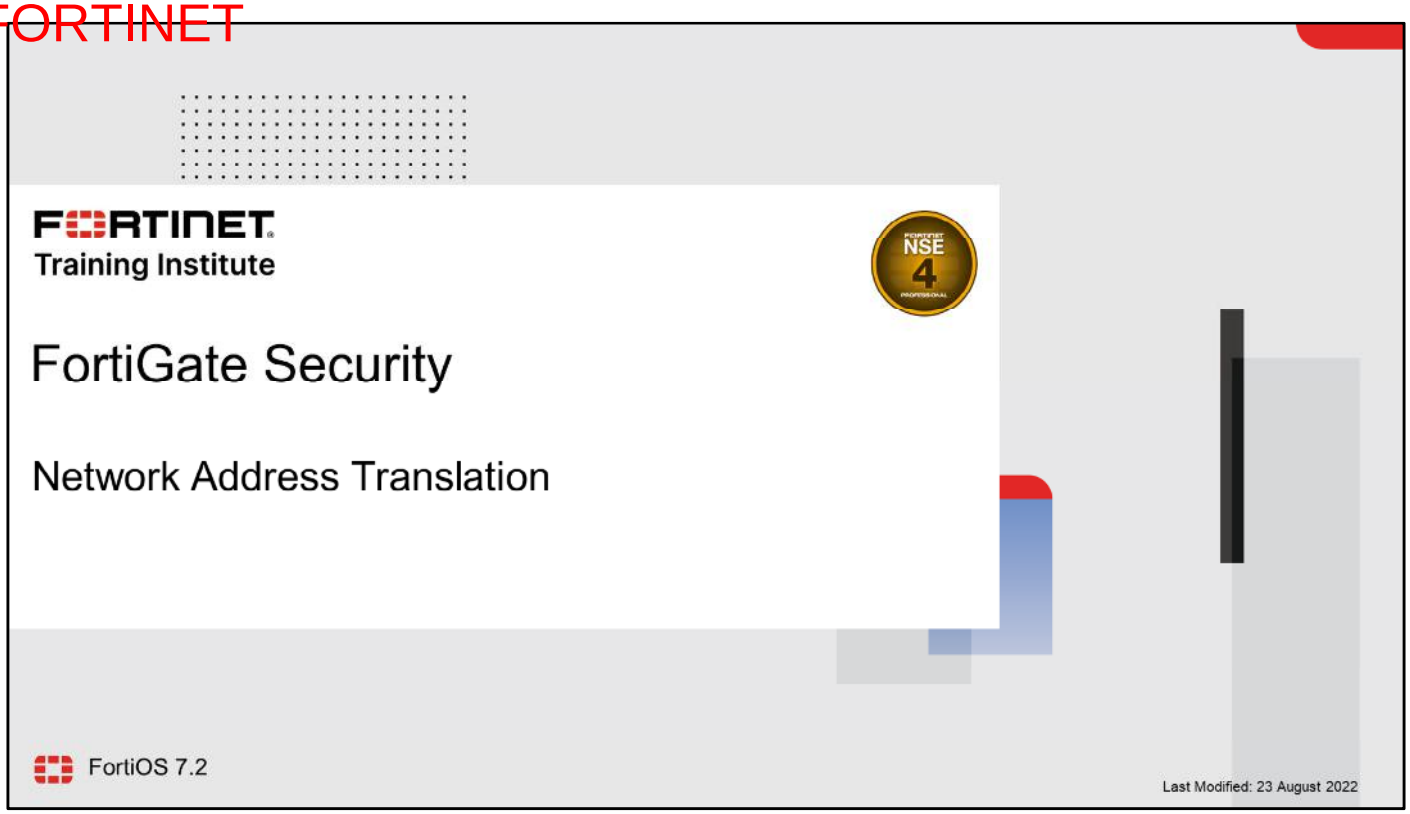
Congratulations! You have completed this lesson.

Now, you will review the objectives that you covered in the lesson.

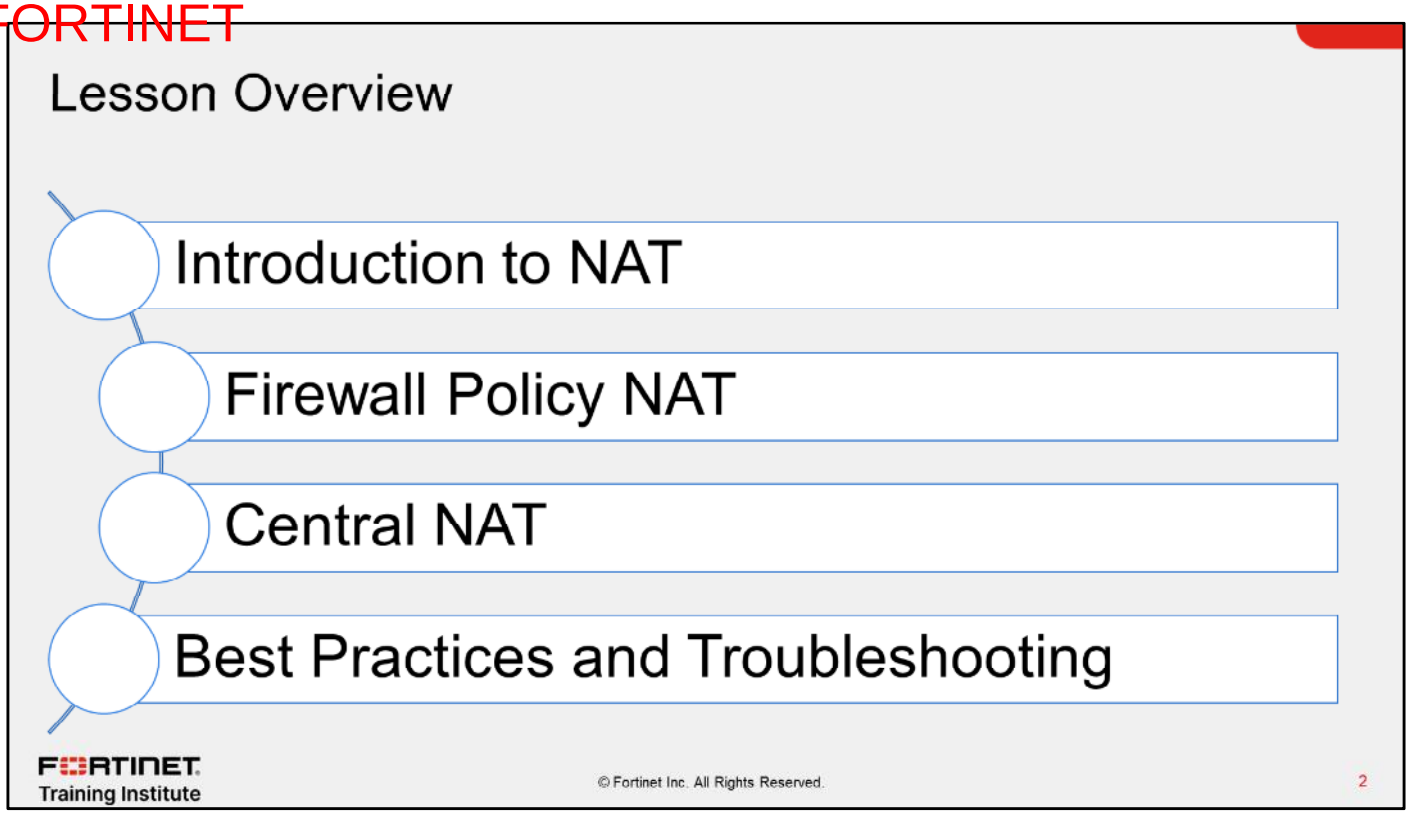


This slide shows the objectives that you covered in this lesson.

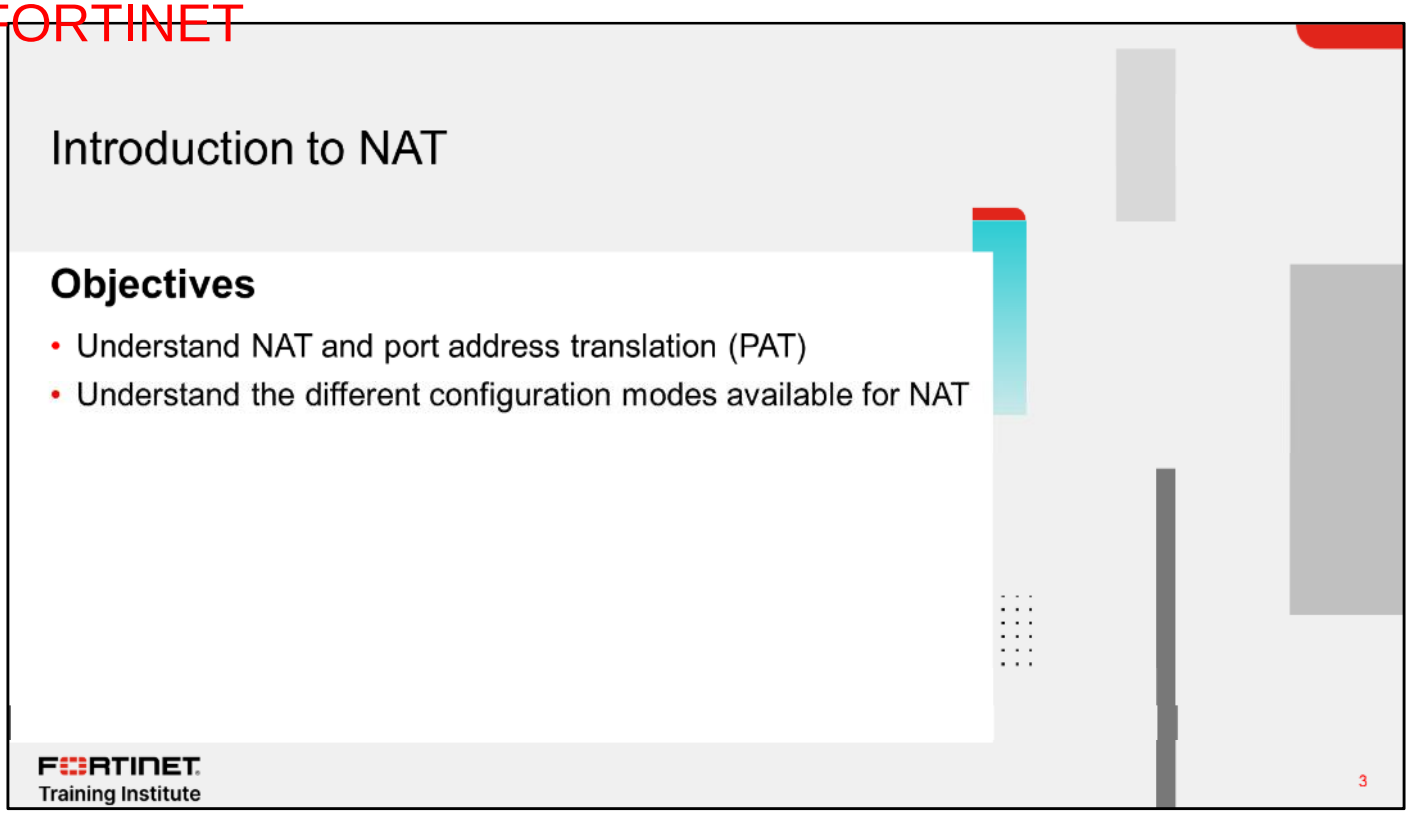
By mastering the objectives covered in this lesson, you learned how to configure, use, and manage firewall policies.



In this lesson, you will learn how to configure network address translation (NAT) and use it to implement source NAT (SNAT) and destination NAT (DNAT) for the traffic passing through FortiGate.

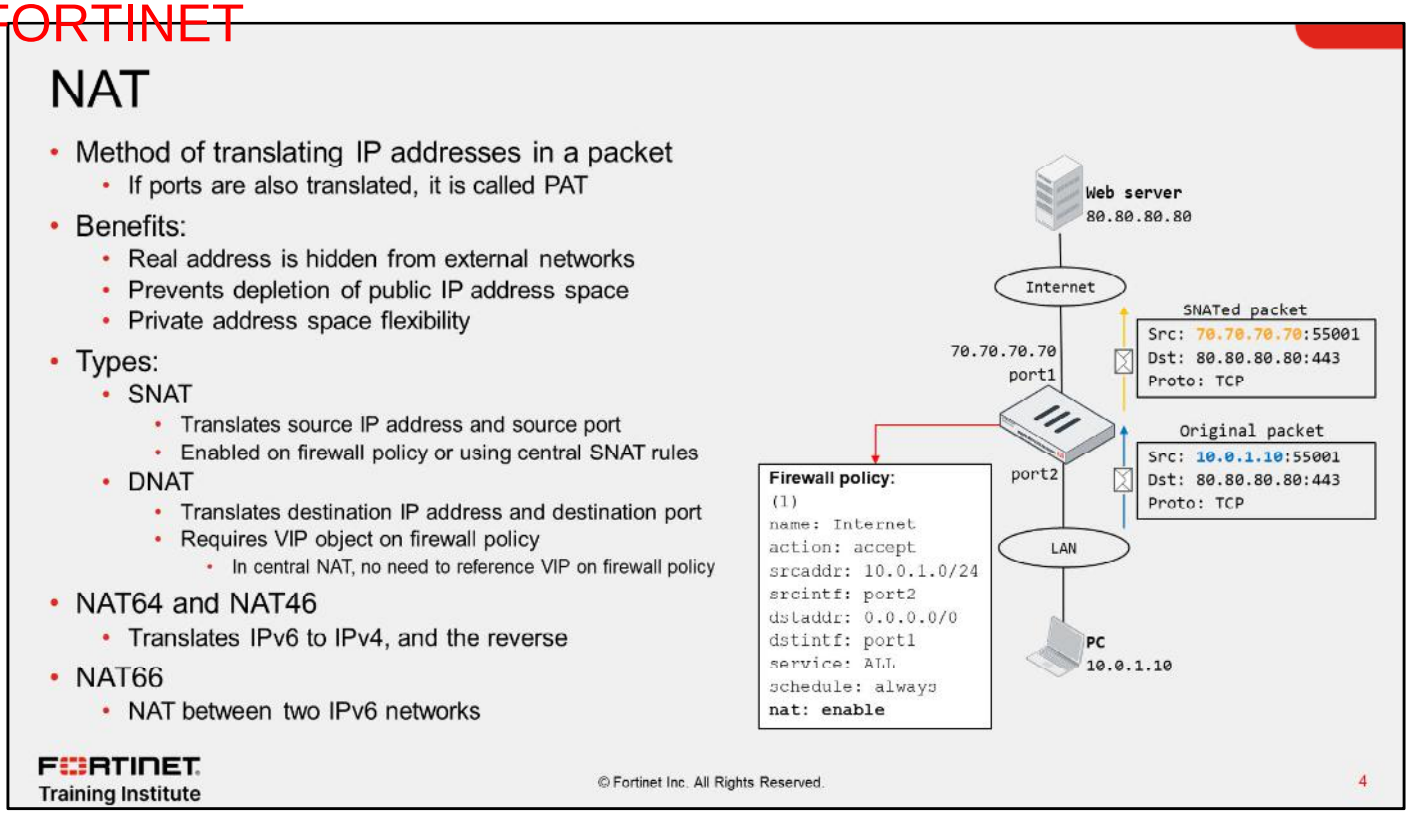


In this lesson, you will learn about the topics shown on this slide.



After completing this section, you should be able to achieve the objectives shown on this slide.

By demonstrating competence in understanding how NAT and PAT work, and the available NAT configuration modes, you will be well-positioned to plan the implementation of NAT in your network.



NAT is a method that enables a NAT device such as a firewall or router, to translate (or map) the IP address in a packet to another IP address, usually for connectivity purposes. If the port information in the packet is also translated, then the translation method is called PAT. NAT provides the following benefits:

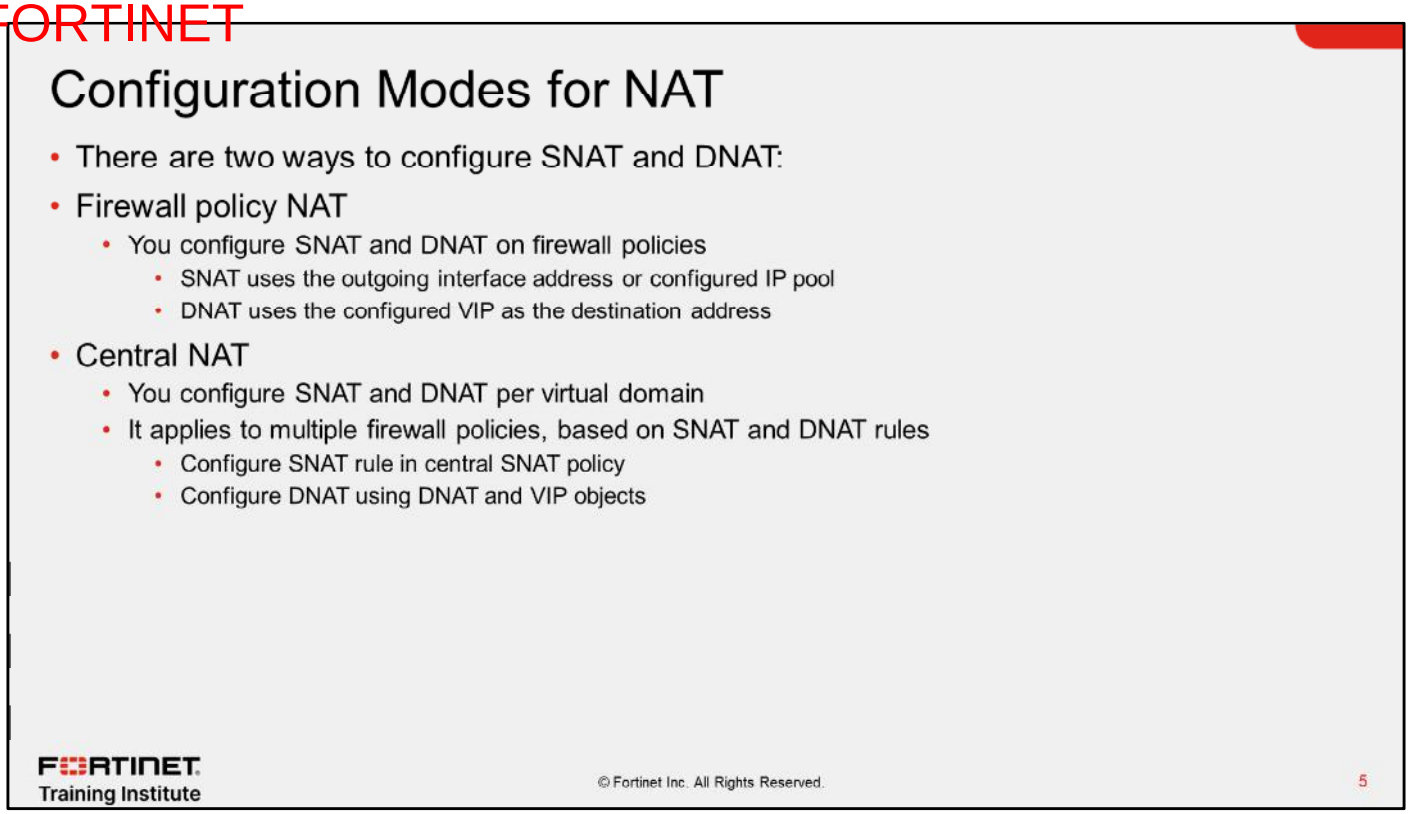
- Security: The real address of a device is hidden from external networks.
- Public address depletion prevention: Hundreds of computers can share the same public IPv4 address.
- Private address flexibility: The addresses can stay the same, even if ISPs change. You can reuse private addresses in multiple networks.

There are two types of NAT: SNAT and DNAT. In SNAT, a NAT device translates the source IP address and source port in a packet. In DNAT, a NAT device translates the destination IP address and destination port. You can configure FortiGate to perform SNAT and DNAT as follows:

- For SNAT, you enable NAT on the matching firewall policy. Alternatively, you can enable central NAT to configure central SNAT rules for the VDOM.
- For DNAT, you configure virtual IPs (VIPs) and then reference them on the matching firewall policy. If you enable central NAT, you configure central DNAT rules and VIP objects for DNAT.

The example on this slide shows the most common use case for NAT: SNAT. FortiGate, acting as a NAT device, translates the private IP address assigned to the PC to the public address assigned by your ISP. The private-to-public source address translation is needed for the PC to access the internet web server.

NAT64 and NAT46 refer to the methods that translate an IPv6 address to an IPv4 address and the reverse, respectively. They enable you to communicate IPv6 networks with IPv4 networks, and the reverse. NAT66 consists of translating addresses between two IPv6 networks.



You configure NAT using firewall policy NAT mode or central NAT.

When you use firewall policy NAT mode, you must configure SNAT and DNAT for each firewall policy.

When you use central NAT, you configure NAT per virtual domain by configuring SNAT and DNAT rules. The result is that SNAT and DNAT settings automatically apply to multiple firewall policies, as opposed to each firewall policy in firewall policy NAT.

As a best practice, when you use central NAT, you should configure specific SNAT and DNAT rules so that they match only the desired firewall policies in your configuration.

Both firewall policy NAT and central NAT produce the same results; however, some deployment scenarios are best suited to firewall policy NAT and some are best suited to central NAT.

Firewall policy NAT is suggested for deployments that include relatively few NAT IP addresses and where each NAT IP address would have separate policies and security profiles. Central NAT is suggested for more complex scenarios where multiple NAT IP addresses have identical policies and security profiles, or in next generation firewall (NGFW) policy mode, where the appropriate policy may not be determined at the first packet.

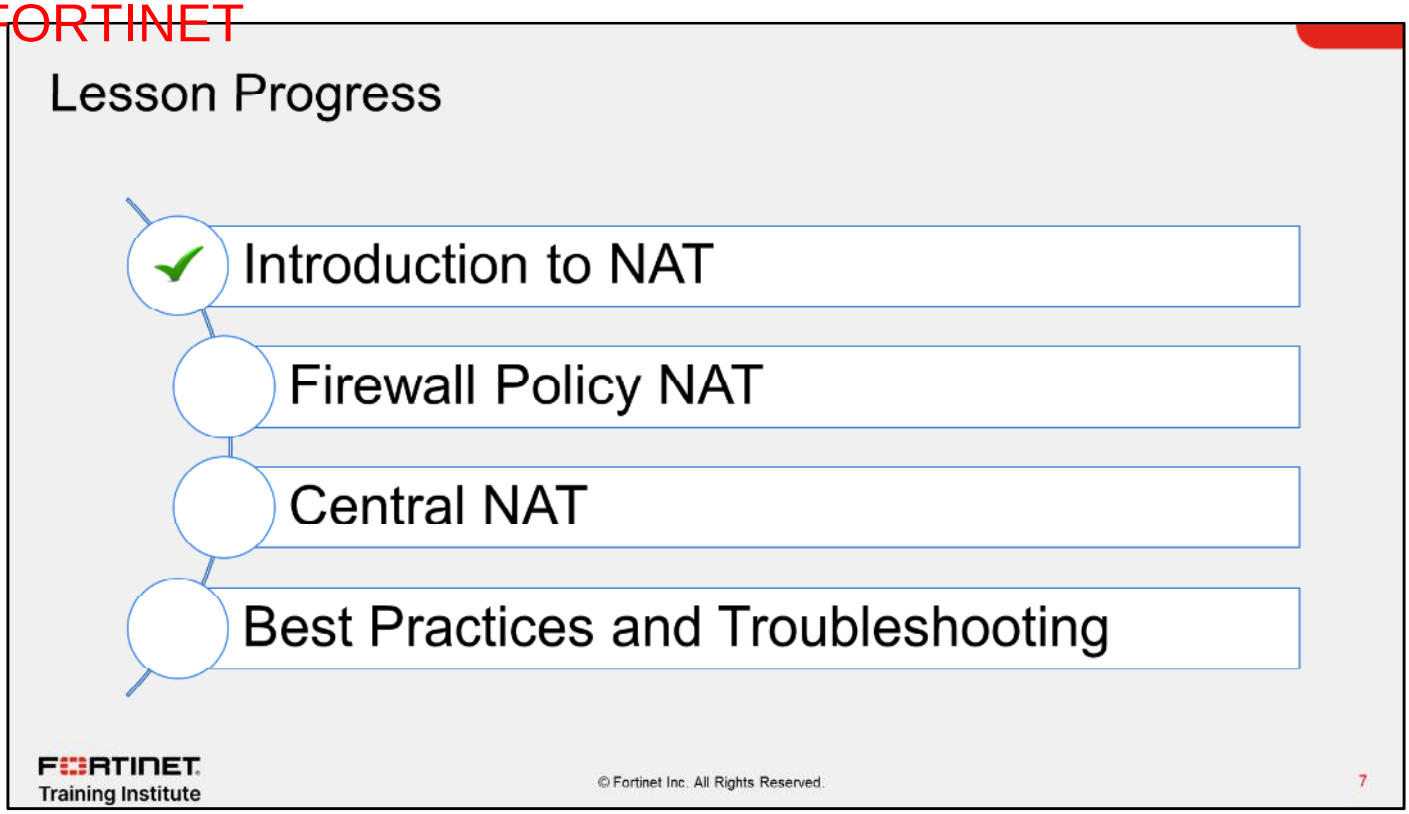
Knowledge Check

1. What is a benefit of using NAT?
✓A. Prevents depletion of IPv4 public address
B. Enhanced content inspection

2. Which statement about NAT66 is true?
✓A. It is used to translate addresses between two IPv6 networks.
B. It is used to translate addresses between two IPv4 networks.

FORTINET Training Institute

6



Good job! You now know about NAT.

Now, you'll learn about firewall policy NAT.