

IMP is Turing-Complete

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Abstract

In this project we show the Turing-Completeness of the “simple imperative programming language” IMP, as described in “Concrete Semantics” by Tobias Nipkow and Gerwin Klein. We do this by showing, that every Turing-Machine can be simulated by an IMP-program.

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1 Introduction

1.1 Background

When investigating different models of computation, we often are interested in their expressiveness and powerfulness, that is determining which type of computations can be done. By a long-standing claim of Church and Turing we, to this day, believe that the most powerful machines we can have, are those that can perform every a computation a Turing-Machine can compute as well. [1] The most interesting question we can thus pose about a computational model, is whether it is *Turing-Complete* or not. That is, is the model capable of computing everything a Turing-Machine can compute. The most common approach is to either show, that a model can simulate any Turing-Machine, or that it can simulate a specific Turing-Machine: the Universal Turing Machine. [4]

1.2 Motivation

IMP is a “minimalistic imperative programming language” introduced by Nipkow and Klein in their works of formalising programming language semantics. [2] Although it is claimed to be Turing-Complete, a formal proof of this fact is not of my knowledge. Therefore, in this project, we attempt to fully prove the Turing-Completeness of *IMP*.

1.3 Proof Sketch

Since the definition of Turing-Machines we use only requires two state symbols (*Bk* and *Oc*), we can make use of a binary representation to encode tapes, where 0 represents the blank symbol *Bk*, while 1 represents the other symbol *Oc*. That two state symbols are sufficient to simulate any Turing-Machine, given enough states, has already been shown by Claude E. Shannon in 1956.[3]

This is also beneficial, as it allows us to represent an infinite tape, without having to deal with actual infinite data structures, since a natural number has an infinite amount of zeroes in its binary representation in theory. Pushing and reading from the tape can then be achieved using only multiplication by two, integer-division by two and its remainder.

We will later construct an *IMP*-program that stores the infinite tapes to the left and right in two variables, and uses two more variables to store the current state and head. The *IMP*-program will then repeat until it reaches a final state, executing the next transition of the Turing-Machine with each step.

We can then show that when the *IMP*-program terminates, its variables represent the same configuration the “normal” Turing-Machine would have when it would terminate.

1.4 Dependencies

We use the Hoare Logic for both Partial and Total Correctness of *IMP* to prove our constructed program correct. Furthermore, we take an existing definition of Turing-Machines from the AFP[5], although we will create a slightly different intermediate definition later on.

```
theory IMP-TuringComplete
  imports
    HOL-IMP.Hoare-Total
```

HOL-IMP.Hoare-Sound-Complete
Universal-Turing-Machine.Turing-aux
begin — begin-theory IMP_TuringComplete

2 Intermediate Representation

The imported definition of Turing-Machines defines a tape-configuration slightly differently than usual, deviating from the standard 3-tuple (L, H, R) , containing the infinite tape to the left and right and the current head symbol, by only using the 2-tuple (L, R) , where the head symbol is the first symbol on the right tape.

While this definition may seem to make next to no difference, we will save us much trouble by defining an intermediate representation using the standard 3-tuple right away. Furthermore, we will directly encode the infinite tapes to the left and right as natural numbers, which will make our definition both more well-defined, see section 2.1.1, and make some later proofs easier.

2.1 Tape Translation

2.1.1 Defining Tape Equivalence

The definition of Turing-Machines in the “Universal Turing Machine”[5] project allows for inherently ambiguous tapes (namely having trailing blanks). Since the tapes have infinite trailing blanks in theory, this effectively means that the standard $=$ relation is insufficient.

Because of this, we define a custom equality relation $=_T$, which specifies the equality of the tape contents. To make things easier for us later, we also define a custom equality relation $=_C$, which specifies the equality of an entire TM-configuration.

```
fun tape-eq :: cell list  $\Rightarrow$  cell list  $\Rightarrow$  bool (infix  $=_T$  55) where
  ((x#xs)  $=_T$  (y#ys)) = ((x = y)  $\wedge$  (xs  $=_T$  ys)) |
  ((x#xs)  $=_T$  []) = ((x = Bk)  $\wedge$  (xs  $=_T$  [])) |
  ([]  $=_T$  (y#ys)) = ((y = Bk)  $\wedge$  ([]  $=_T$  ys)) |
  ([]  $=_T$  []) = True
```

```
fun config-eq :: config  $\Rightarrow$  config  $\Rightarrow$  bool (infix  $=_C$  55) where
  ((s1, l1, r1)  $=_C$  (s2, l2, r2)) = ((s1 = s2)  $\wedge$  (l1  $=_T$  l2)  $\wedge$  (r1  $=_T$  r2))
```

lemma tape-eq-correct:

```
assumes xs  $=_T$  ys
shows read xs = read ys and tl xs  $=_T$  tl ys
using assms by (induction xs ys rule: tape-eq.induct) simp+
```

We now prove some generic properties of the equality relation, namely reflexivity, symmetry and transitivity.

```
lemma tape-eq-refl: xs  $=_T$  xs
by (induction xs) simp+
```

```
lemma config-eq-refl: (s, l, r)  $=_C$  (s, l, r)
using tape-eq-refl by simp
```

lemma *config-eq-refl'*: $c =_C c$
using *prod-cases3 config-eq-refl* **by** *metis*

lemma *tape-eq-sym*: $xs =_T ys \implies ys =_T xs$
by (*induction xs ys rule: tape-eq.induct*) *simp+*

lemma *config-eq-sym*: $(s1, l1, r1) =_C (s2, l2, r2) \implies (s2, l2, r2) =_C (s1, l1, r1)$
using *tape-eq-sym* **by** *simp*

lemma *config-eq-sym'*: $c1 =_C c2 \implies c2 =_C c1$
using *prod-cases3 config-eq-sym* **by** *metis*

lemma *tape-eq-trans*: $xs =_T ys \implies ys =_T zs \implies xs =_T zs$

proof (*induction xs zs arbitrary: ys rule: tape-eq.induct*)

case (*1 x xs z zs*)

then show *?case* **by** (*induction ys*) *force+*

next

case (*2 x xs*)

then show *?case* **by** (*induction ys*) *force+*

next

case (*3 z zs*)

then show *?case* **by** (*induction ys*) *force+*

next

case *4*

then show *?case* **by** *simp*

qed

lemma *config-eq-trans*:

assumes $(s1, l1, r1) =_C (s2, l2, r2)$

and $(s2, l2, r2) =_C (s3, l3, r3)$

shows $(s1, l1, r1) =_C (s3, l3, r3)$

using *assms tape-eq-trans[of l1 l2 l3] tape-eq-trans[of r1 r2 r3]* **by** *simp*

lemma *config-eq-trans'*: $c1 =_C c2 \implies c2 =_C c3 \implies c1 =_C c3$

using *prod-cases3 config-eq-trans* **by** *metis*

2.1.2 Translation: Tape \iff Natural Numbers

fun *cell-to-nat* :: *cell* \Rightarrow *nat* **where**

cell-to-nat Bk = 0 |

cell-to-nat Oc = 1

fun *nat-to-cell* :: *nat* \Rightarrow *cell* **where**

nat-to-cell 0 = *Bk* |

nat-to-cell n = *Oc*

fun *tape-to-nat* :: *cell list* \Rightarrow *nat* **where**

tape-to-nat (x#xs) = 2 * *tape-to-nat xs* + (*cell-to-nat x*) |

tape-to-nat [] = 0

lemma *tape-to-nat-det*:
assumes $xs =_T ys$
shows $\text{tape-to-nat } xs = \text{tape-to-nat } ys$
using *assms* **by** (*induction xs ys rule: tape-eq.induct*) *simp*+

fun *nat-to-tape* :: $\text{nat} \Rightarrow \text{cell list}$ **where**
nat-to-tape 0 = [] |
nat-to-tape n = (*nat-to-cell* (n mod 2))#(*nat-to-tape* (n div 2))

2.1.3 Tape Operations

lemma *mul2-is-push-bk*:
assumes $\text{nat-to-tape } n =_T xs$
shows $\text{nat-to-tape } (2*n) =_T (Bk\#xs)$
using *assms* **by** (*cases n*) *simp*+

lemma *mul2-is-push-oc*:
assumes $\text{nat-to-tape } n =_T xs$
shows $\text{nat-to-tape } (2*n + 1) =_T (Oc\#xs)$
using *assms* **by** *simp*

lemma *mul2-is-push*:
assumes $\text{nat-to-tape } n =_T xs$
shows $\text{nat-to-tape } (2*n + \text{cell-to-nat } x) =_T (x\#xs)$
proof (*cases x*)
case *Bk* **thus** ?thesis **by** (*simp add: assms mul2-is-push-bk*)
next
case *Oc* **thus** ?thesis **by** (*simp add: assms mul2-is-push-oc*)
qed

lemma *div2-is-pop*:
assumes $\text{nat-to-tape } n =_T xs$
shows $\text{nat-to-tape } (n \text{ div } 2) =_T tl\ xs$
proof (*cases n* $\neq 0$)
case *True*
then have $\text{nat-to-tape } n \neq []$
using *nat-to-tape.elims* **by** *blast*
then obtain *y ys* **where** *ys-def*: $(y\#ys) = \text{nat-to-tape } n$
by (*metis nat-to-tape.elims*)
then have $ys =_T tl\ xs$
by (*metis assms list.collapse list.sel(2) tape-eq.simps(1) tape-eq.simps(2)*)
moreover have $ys = \text{nat-to-tape } (n \text{ div } 2)$
by (*metis ys-def True list.inject nat-to-tape.elims*)
ultimately show ?thesis
by *simp*
next
case *False*
with *assms* **show** ?thesis **by** (*cases xs*) (*use tape-eq.simps in simp*)
qed

```

lemma mod2-is-read:
  assumes nat-to-tape  $n =_T xs$ 
  shows nat-to-cell ( $n \bmod 2$ ) = read xs
proof (cases  $n \neq 0$ )
  case True
  then have nat-to-tape  $n \neq []$ 
    using nat-to-tape.elims by blast
  then obtain y ys where ys-def:  $(y \# ys) = \text{nat-to-tape } n$ 
    by (metis nat-to-tape.elims)
  then have read xs = y
proof (cases xs)
  case Nil
  then have  $(y \# ys) =_T []$ 
    using assms ys-def by simp
  then show ?thesis
    using tape-eq.simps(2) Nil by simp
next
  case (Cons x xs)
  then have  $(y \# ys) =_T (x \# xs)$ 
    using assms ys-def by simp
  then show ?thesis
    using tape-eq.simps(1)[of y ys x xs] Cons by simp
qed
moreover have nat-to-cell ( $n \bmod 2$ ) = y
  by (metis ys-def True list.inject nat-to-tape.elims)
ultimately show ?thesis by simp
next
  case False
  with assms show ?thesis by (cases xs) (use tape-eq.simps in simp)+
qed

lemma nat-to-cell-ident:  $n < 2 \implies \text{cell-to-nat } (\text{nat-to-cell } n) = n$ 
  by (induction n rule: nat-to-cell.induct) simp+

lemma cell-to-nat-ident:  $\text{nat-to-cell } (\text{cell-to-nat } c) = c$ 
  by (cases c) simp+

lemma nat-to-tape-ident:  $\text{tape-to-nat } (\text{nat-to-tape } n) = n$ 
  by (induction n rule: nat-to-tape.induct) (use nat-to-cell-ident in simp)+

lemma tape-to-nat-ident:  $\text{nat-to-tape } (\text{tape-to-nat } xs) =_T xs$ 
proof (induction xs rule: tape-to-nat.induct)
  case (1 x xs)
  then show ?case by (cases x) (simp add: mul2-is-push-bk)+
next
  case 2
  then show ?case by simp
qed

```

lemma *tape-to-nat-ident-read*: $\text{nat-to-cell } ((\text{tape-to-nat } xs) \bmod 2) = \text{read } xs$

proof (*cases xs*)

case *Nil*

then show ?thesis by simp

next

case (*Cons x xs*)

then show ?thesis by (*cases x*) simp+

qed

lemma *tape-to-nat-ident-tl*: $\text{nat-to-tape } ((\text{tape-to-nat } xs) \text{ div } 2) =_T \text{tl } xs$

proof (*cases xs*)

case *Nil*

then show ?thesis by simp

next

case (*Cons x xs*)

then show ?thesis by (*cases x*) (use *tape-to-nat-ident* in simp)+

qed

lemma *tape-eq-merge*: $r' =_T \text{tl } r \implies \text{read } r = h \implies h \# r' =_T r$

by (*cases r*) simp+

lemma *tape-eq-merge2*: $r \neq [] \implies \text{hd } r \# \text{nat-to-tape } (\text{tape-to-nat } (\text{tl } r)) =_T r$

by (simp add: *tape-eq-merge tape-to-nat-ident*)

2.2 Intermediate State

Our intermediate-tape is a 3-tuple (L, H, R) , where:

- L is the infinite tape to the left, represented as a natural number
- R is the infinite tape to the right, represented as a natural number
- H is the symbol at the current head

type-synonym *itape* = $\text{nat} \times \text{cell} \times \text{nat}$

The complete intermediate-state is then a 4-tuple (S, L, H, R) , where:

- S is the current state of the TM
- (L, H, R) is the intermediate-tape, as described above

type-synonym *istate* = $\text{state} \times \text{itape}$

fun *config-to-istate* :: $\text{config} \Rightarrow \text{istate}$ **where**

config-to-istate (s, l, r) = $(s, \text{tape-to-nat } l, \text{read } r, \text{tape-to-nat } (\text{tl } r))$

lemma *config-to-istate-det*:

assumes $(s1, l1, r1) =_C (s2, l2, r2)$

shows *config-to-istate* ($s1, l1, r1$) = *config-to-istate* ($s2, l2, r2$)

proof –


```

let ?s1 = (s1, l1, r1)
let ?s2 = (s2, l2, r2)

obtain s1' l1' h1' r1' where st1-def: (s1', l1', h1', r1') = config-to-istate ?s1
  by simp
obtain s2' l2' h2' r2' where st2-def: (s2', l2', h2', r2') = config-to-istate ?s2
  by simp

have s1 = s2
  using assms by simp
then have s-eq: s1' = s2'
  using st1-def st2-def by simp

have l1 =T l2
  using assms by simp
then have l-eq: l1' = l2'
  using st1-def st2-def by (simp add: tape-to-nat-det)

have r1 =T r2
  using assms by simp
moreover have tl r1 =T tl r2
  using tape-eq-correct calculation by simp
ultimately have h-eq: h1' = h2' and r-eq: r1' = r2'
  using tape-eq-correct tape-to-nat-det st1-def st2-def by simp+

from s-eq l-eq h-eq r-eq show ?thesis
  using st1-def st2-def by simp
qed

lemma config-to-istate-det':
  assumes c1 =C c2
  shows config-to-istate c1 = config-to-istate c2
  using assms prod-cases3 config-to-istate-det by metis

fun istate-to-config :: istate  $\Rightarrow$  config where
  istate-to-config (s, l, h, r) = (s, nat-to-tape l, h#(nat-to-tape r))

lemma config-to-istate-ident: istate-to-config (config-to-istate (s, l, r)) =C (s, l, r)
  by (simp add: tape-to-nat-ident tape-eq-merge2)

lemma config-to-istate-ident': istate-to-config (config-to-istate c) =C c
  using prod-cases3 config-to-istate-ident by metis

lemma istate-to-config-ident: config-to-istate (istate-to-config (s, l, h, r)) = (s, l, h, r)
  by (simp add: nat-to-tape-ident)

lemma istate-to-config-ident': config-to-istate (istate-to-config st) = st
  using prod-cases3 istate-to-config-ident by metis

```

lemma *istate-to-config-l*: $\text{istate-to-config } (s, l, h, r) = (s', l', r') \implies \text{nat-to-tape } l =_T l'$
using *config-eq-refl* **by** *simp*

lemma *istate-to-config-r*: $\text{istate-to-config } (s, l, h, r) = (s', l', r') \implies \text{nat-to-tape } r =_T \text{tl } r'$
using *config-eq-refl* **by** (*cases* r') *simp+*

lemma *istate-to-config-h*: $\text{istate-to-config } (s, l, h, r) = (s', l', r') \implies h = \text{read } r'$
by (*cases* r') *simp+*

lemma *istate-to-config-s*: $\text{istate-to-config } (s, l, h, r) = (s', l', r') \implies s = s'$
by *simp*

2.3 Single-Step Execution

fun *iupdate* :: *instr* \Rightarrow *istate* \Rightarrow *istate* **where**
iupdate (*WB*, s') (s, l, h, r) = (s', l, Bk, r) |
iupdate (*WO*, s') (s, l, h, r) = (s', l, Oc, r) |
iupdate (*L*, s') (s, l, h, r) = ($s', l \text{ div } 2, \text{nat-to-cell } (l \text{ mod } 2), 2*r + \text{cell-to-nat } h$) |
iupdate (*R*, s') (s, l, h, r) = ($s', 2*l + \text{cell-to-nat } h, \text{nat-to-cell } (r \text{ mod } 2), r \text{ div } 2$) |
iupdate (*Nop*, s') (s, l, h, r) = (s', l, h, r)

lemma *iupdate-correct*:

assumes $(s', l', h', r') = \text{config-to-istate } (s, l, r)$

shows $\text{istate-to-config } (iupdate (a, s'') (s', l', h', r')) =_C (s'', \text{update } a (l, r))$

proof (*cases* a)

case *WB*

then show *?thesis* **by** (*simp add: assms tape-to-nat-ident*)

next

case *WO*

then show *?thesis* **by** (*simp add: assms tape-to-nat-ident*)

next

case *L*

then have $iupdate (a, s'') (s', l', h', r') = (s'', l' \text{ div } 2, \text{nat-to-cell } (l' \text{ mod } 2), 2*r' + \text{cell-to-nat } h')$

by *simp*

moreover have $(s'', \text{update } a (l, r)) = (s'', \text{tl } l, (\text{read } l) \# r)$

using *L* **by** (*cases* l) *simp+*

moreover have $\text{nat-to-tape } (l' \text{ div } 2) =_T \text{tl } l$

using *assms* **by** (*simp add: tape-to-nat-ident div2-is-pop*)

moreover have $\text{nat-to-tape } (2*r' + \text{cell-to-nat } h') =_T h' \# (\text{tl } r)$

using *assms* **by** (*simp add: tape-to-nat-ident mul2-is-push*)

moreover have $h' \# (\text{tl } r) =_T r$

using *assms* **by** (*simp add: tape-eq-refl*)

moreover have $\text{nat-to-tape } (2*r' + \text{cell-to-nat } h') =_T r$

using *calculation*(4) *calculation*(5) *tape-eq-trans* **by** *blast*

ultimately show *?thesis*

using *assms* **by** (*simp add: mod2-is-read tape-to-nat-ident*)

next

case *R*

then have $iupdate (a, s'') (s', l', h', r') = (s'', 2*l' + \text{cell-to-nat } h', \text{nat-to-cell } (r' \text{ mod } 2),$

$r' \text{ div } 2$)
 by *simp*
 moreover have $(s'', \text{update } a \ (l, r)) = (s'', (\text{read } r) \# l, \text{tl } r)$
 using *R* by (*cases* *r*) *simp*+
 moreover have $\text{nat-to-tape } (r' \text{ div } 2) =_T \text{tl } (\text{tl } r)$
 using *assms* by (*simp* *add*: *tape-to-nat-ident div2-is-pop*)
 moreover have $\text{nat-to-tape } (2 * l' + \text{cell-to-nat } h') =_T h' \# l$
 using *assms* by (*simp* *add*: *tape-to-nat-ident mul2-is-push*)
 moreover have $h' \# l =_T (\text{read } r) \# l$
 using *assms* by (*simp* *add*: *tape-eq-refl*)
 moreover have $\text{nat-to-tape } (2 * l' + \text{cell-to-nat } h') =_T (\text{read } r) \# l$
 using *calculation*(4) *calculation*(5) *tape-eq-trans* by *blast*
 moreover have $(\text{nat-to-cell } (r' \text{ mod } 2)) \# \text{nat-to-tape } (r' \text{ div } 2) =_T \text{tl } r$
 using *calculation*(3) *assms* *tape-to-nat-ident-read* *tape-to-nat-ident-tl* *tape-eq-merge* by *simp*
 ultimately show ?thesis
 using *assms* by *simp*
 next
 case *Nop*
 then show ?thesis by (*simp* *add*: *assms* *tape-to-nat-ident* *tape-eq-merge*)
 qed

lemma *config-eq-det-is-final*:
 assumes $(s1, l1, r1) =_C (s2, l2, r2)$
 shows *is-final* $(s1, l1, r1) = \text{is-final } (s2, l2, r2)$
 using *assms* by *simp*

lemma *config-eq-det-is-final'*: $c1 =_C c2 \implies \text{is-final } c1 = \text{is-final } c2$
 using *config-eq-det-is-final* *prod-cases3* by *metis*

2.4 Correct-Step Execution

2.4.1 Instruction-Index

fun *istate-to-index* :: *istate* \Rightarrow *nat* **where**
istate-to-index $(s, l, h, r) = 2 * s + \text{cell-to-nat } h$

abbreviation *config-to-index* :: *config* \Rightarrow *nat* **where**
config-to-index $c \equiv \text{istate-to-index } (\text{config-to-istate } c)$

abbreviation *load-instr* :: *tprog0* \Rightarrow *nat* \Rightarrow *instr* (**infix** @_I 55) **where**
 $tm @_I i \equiv (\text{if } i < (2 + \text{length } tm) \wedge i \geq 2 \text{ then } tm!(i-2) \text{ else } (Nop, 0))$

lemma *load-instr-correct*:
 $tm @_I (\text{istate-to-index } (s, l, h, r)) = \text{fetch } tm \ s \ h$
proof (*induction* *tm* *s* *h* *rule*: *fetch.induct*)
 case (1 *p* *b*)
 then show ?case by (*cases* *b*) *simp*+
 next
 case (2 *p* *s*)
 then show ?case by *simp*

```

next
  case ( $\exists p s$ )
  then show ?case by simp
qed

```

2.4.2 Instruction Execution

```

fun istep :: tprog0  $\Rightarrow$  istate  $\Rightarrow$  istate where
  istep tm (s, l, h, r) = iupdate (fetch tm s h) (s, l, h, r)

```

```

lemma istep-eq:
  istep tm (s, l, h, r) = iupdate (tm @I (istate-to-index (s, l, h, r))) (s, l, h, r)
  using load-instr-correct by simp

```

```

lemma istep-index-skip:
  assumes istate-to-index (s, l, h, r)  $\geq 2 + (\text{length } tm)$ 
  shows istep tm (s, l, h, r) = (0, l, h, r)
  using assms istep-eq by simp

```

```

lemma istep-index-skip':
  assumes istate-to-index st  $\geq 2 + (\text{length } tm)$ 
  shows istep tm st = iupdate (Nop, 0) st
  using assms istep-index-skip prod-cases4 iupdate.simps(5) by metis

```

```

lemma istep-index-correct:
  assumes istate-to-index (s, l, h, r)  $< 2 + (\text{length } tm)$ 
  shows istep tm (s, l, h, r) = iupdate (tm @I (istate-to-index (s, l, h, r))) (s, l, h, r)
  using assms istep-eq by simp

```

```

lemma istep-index-correct':
  assumes istate-to-index st  $< 2 + (\text{length } tm)$ 
  shows istep tm st = iupdate (tm @I (istate-to-index st)) st

```

```

proof -
  obtain s l h r where st = (s, l, h, r)
    using prod-cases4 by blast
  then show ?thesis
    using assms istep-index-correct by simp
qed

```

```

lemma istep-correct:
  assumes (s1, l1, r1)  $\models \langle tm \rangle = (s2, l2, r2)$ 
  shows istep tm (config-to-istate (s1, l1, r1)) = config-to-istate (s2, l2, r2)

```

```

proof -
  let ?s1 = (s1, l1, r1)
  let ?s2 = (s2, l2, r2)

  obtain s1' l1' h1' r1' where st1-def: (s1', l1', h1', r1') = config-to-istate ?s1
    by simp
  obtain s2' l2' h2' r2' where st2-def: (s2', l2', h2', r2') = config-to-istate ?s2
    by simp

```

let $?st1 = (s1', l1', h1', r1')$
 let $?st2 = (s2', l2', h2', r2')$

obtain $i1$ where $i1\text{-def}: i1 = \text{fetch } tm \ s1 \ (\text{read } r1)$
 by *simp*
 obtain $i2$ where $i2\text{-def}: i2 = tm \ @_I \ \text{istate-to-index } ?st1$
 by *simp*
 have $\text{read } r1 = h1' \text{ and } s1 = s1'$
 using $st1\text{-def}$ by *simp+*
 with $i1\text{-def } i2\text{-def}$ have $i1 = i2$
 using $\text{load-instr-correct}$ by *simp*

obtain $a \ s'$ where $i1 = (a, s')$ and $i2 = (a, s')$
 using $\langle i1 = i2 \rangle$ by *fastforce*

have $step0 \ ?s1 \ tm = ?s2$
 using *assms* by (*simp add: tm-step0-rel-def*)
 then have $a1: ?s2 = (s', \text{update } a \ (l1, r1))$
 using $i1\text{-def } \langle i1 = (a, s') \rangle$ by *force*

have $a2: \text{istep } tm \ ?st1 = \text{iupdate } i2 \ ?st1$
 using $i2\text{-def } \text{istep-eq}$ by *simp*

have $\text{istate-to-config } (\text{iupdate } i2 \ ?st1) =_C \ (s', \text{update } a \ (l1, r1))$
 using $\text{iupdate-correct } st1\text{-def } \langle i1 = i2 \rangle \langle i2 = (a, s') \rangle$ by *simp*
 then have $\text{istate-to-config } (\text{istep } tm \ ?st1) =_C \ ?s2$
 using $a1 \ a2$ by *simp*
 then have $\text{config-to-istate } (\text{istate-to-config } (\text{istep } tm \ ?st1)) = \text{config-to-istate } ?s2$
 using $\text{config-to-istate-det'}$ by *simp*
 then have $\text{istep } tm \ (\text{config-to-istate } ?s1) = \text{config-to-istate } ?s2$
 using $st1\text{-def } \text{istate-to-config-ident'}$ by *simp*
 then show $?thesis$.

qed

lemma *istep-correct'*:
 assumes $c1 \models (tm) = c2$
 shows $\text{istep } tm \ (\text{config-to-istate } c1) = \text{config-to-istate } c2$
 using *assms istep-correct prod-cases3* by *metis*

lemma *config-eq-step0*:
 assumes $c1 =_C c2$
 shows $step0 \ c1 \ tm =_C \ step0 \ c2 \ tm$
 proof –
 have $\text{config-to-istate } c1 = \text{config-to-istate } c2$
 using $\text{config-to-istate-det' assms}$ by *simp*
 then obtain s where $s\text{-def1}: s = \text{config-to-istate } c1$
 and $s\text{-def2}: s = \text{config-to-istate } c2$
 by *simp*

```

obtain  $c1'$  where  $c1'$ -def:  $c1 \models \langle tm \rangle = c1'$ 
  by (simp add: tm-step0-rel-def)
then have  $step1$ :  $istep\ tm\ s = config\text{-}to\text{-}istate\ c1'$ 
  using  $s\text{-}def1\ istep\text{-}correct'$  by simp

obtain  $c2'$  where  $c2'$ -def:  $c2 \models \langle tm \rangle = c2'$ 
  by (simp add: tm-step0-rel-def)
then have  $step2$ :  $istep\ tm\ s = config\text{-}to\text{-}istate\ c2'$ 
  using  $s\text{-}def2\ istep\text{-}correct'$  by simp

have  $config\text{-}to\text{-}istate\ c1' = config\text{-}to\text{-}istate\ c2'$ 
  using  $step1\ step2$  by simp
then have  $c1' =_C c2'$ 
  by (metis config-to-istate-ident' config-eq-trans' config-eq-sym')
with  $c1'$ -def  $c2'$ -def show ?thesis
  by (simp add: tm-step0-rel-def)
qed

lemma  $config\text{-}eq\text{-}steps0$ :  $c1 =_C c2 \implies steps0\ c1\ tm\ n =_C steps0\ c2\ tm\ n$ 
  by (induction n) (use config-eq-step0 in simp)+

```

3 Constructing the IMP-Program

We now have a sufficient foundation to start constructing the IMP-program, that will later simulate an arbitrary Turing-Machine.

3.1 Translation: Intermediate State \iff IMP-State

First, we need to establish a mapping between our previously defined intermediate-state and an IMP-state. An IMP-state is mapping $vname \rightarrow int$ of variables to their values. Our IMP-program will need no more than five variables:

abbreviation $vnS \equiv "tm\text{-}state"$

— Stores the current head symbol.

abbreviation $vnH \equiv "tm\text{-}head"$

— Stores the infinite tape to left.

abbreviation $vnL \equiv "tm\text{-}left"$

— Stores the infinite tape to right.

abbreviation $vnR \equiv "tm\text{-}right"$

— This is a utility variable, which doesn't store any additional information, but will later be used as an index to execute the correct step.

abbreviation $vnSI \equiv "tm\text{-}state\text{-}index"$

type-synonym $impstate = AExp.state$

Having this distinction of variables makes some proofs later a bit easier, but introduces the problem of invalid states. For example, the tapes are encoded as natural numbers, but the variables can have any integer, including negative numbers.

To preserve a unique and valid state, we introduce an invariant, which ensures the variables we use have a proper value.

abbreviation *impstate-inv* :: *impstate* \Rightarrow *bool* **where**

$$\text{impstate-inv } s \equiv (s \text{ vnS} \geq 0 \wedge (s \text{ vnH} = 0 \vee s \text{ vnH} = 1) \wedge s \text{ vnL} \geq 0 \wedge s \text{ vnR} \geq 0)$$

Finally, we can define the translation between IMP-states and our intermediate-states:

abbreviation *istate-to-impstate* :: *impstate* \Rightarrow *istate* \Rightarrow *impstate* **where**

$$\begin{aligned} \text{istate-to-impstate } b \text{ st} \equiv & (\\ & \text{let } (s, l, h, r) = \text{st in} \\ & b \text{ (vnS := int } s, \text{ vnH := int (cell-to-nat } h), \text{ vnL := int } l, \text{ vnR := int } r) \\ &) \end{aligned}$$

abbreviation *impstate-to-istate* :: *impstate* \Rightarrow *istate* **where**

$$\text{impstate-to-istate } s \equiv (\text{nat } (s \text{ vnS}), \text{ nat } (s \text{ vnL}), \text{ nat-to-cell } (\text{nat } (s \text{ vnH})), \text{ nat } (s \text{ vnR}))$$

It can be shown, that every possible intermediate-state will always map to an IMP-state, that satisfies our previously defined invariant:

lemma *istate-to-impstate-inv*: *impstate-inv* (*istate-to-impstate* *b st*)

proof –

obtain *s l h r* **where** *st* = (*s*, *l*, *h*, *r*)

using *prod-cases4* **by** *blast*

then show *?thesis*

by (*cases h*) *simp+*

qed

Furthermore, we can also show that our translation is bijective:

lemma *istate-to-impstate-ident*: *impstate-to-istate* (*istate-to-impstate* *b st*) = *st*

proof –

obtain *s l h r* **where** *st* = (*s*, *l*, *h*, *r*)

using *prod-cases4* **by** *blast*

then show *?thesis*

by (*cases h*) *simp+*

qed

Using the previously established mapping between TM-configurations and intermediate-states, and the now defined mapping between intermediate-states and IMP-states, we finally define the chained mapping between TM-configurations and IMP-states:

abbreviation *config-to-impstate* :: *impstate* \Rightarrow *config* \Rightarrow *impstate* **where**

$$\text{config-to-impstate } s \text{ c} \equiv \text{istate-to-impstate } s \text{ (config-to-istate } c)$$

abbreviation *impstate-to-config* :: *impstate* \Rightarrow *config* **where**

$$\text{impstate-to-config } s \equiv \text{istate-to-config } (\text{impstate-to-istate } s)$$

3.2 Utility Programs

Now we can start constructing some smaller utility IMP-programs, which will slowly allow us to build the final IMP-program.

The arithmetic instructions provided by IMP are limited (only supporting addition), we will however construct programs to compute both multiplication by two and integer-division by two and its remainder, and prove them correct.

3.2.1 A Generalization for Hoare-Logic

First, we establish some facts about our Hoare logic, which will help us with some proofs later.

It is often much easier to prove that a program modifies a state in a given way t , such that if the starting state is s_0 , the final state will be $t(s_0)$. However, making use of this is rather cumbersome. It is much easier to have the same statement, but with two predicates f and g , such that if f holds for every initial state s , then g also holds for $t(s)$, then f is valid pre-condition and g is a valid post-condition for the program. This allows us to insert arbitrary predicates and use the previously established fact of a fixed starting state s_0 much more easily.

lemma *hoare-generalize*:

assumes $\bigwedge s_0. \vdash_t \{\lambda s. s = s_0\} \ c \ \{\lambda s. s = t \ s_0\}$
and $\bigwedge s. f \ s \implies g \ (t \ s)$
shows $\vdash_t \{f\} \ c \ \{g\}$

proof –

have $\bigwedge s_0. \forall s. s = s_0 \longrightarrow (\exists s'. (c, s) \Rightarrow s' \wedge t \ s_0 = s')$
using *hoare-tvalid-def hoaret-sound-complete assms(1)* **by** *simp*
then have $\bigwedge s. (c, s) \Rightarrow t \ s$
by *simp*
then have $\forall s. f \ s \longrightarrow (\exists t. (c, s) \Rightarrow t \wedge g \ t)$
using *assms(2)* **by** *blast*
then show *?thesis*
using *hoare-tvalid-def hoaret-sound-complete* **by** *simp*

qed

While this formulation works well for most cases, it has a limitation: we have no assertion about the initial states to begin with. But sometimes we have proved statements about an initial state s_0 , while posing some assumptions about it. To allow for this, we have to modify the above lemma a bit, by also asserting that the pre-condition f will only hold, if the assumptions posed on s_0 are also met.

lemma *hoare-generalize'*:

assumes $\bigwedge s_0. a \ s_0 \implies \vdash_t \{\lambda s. s = s_0\} \ c \ \{\lambda s. s = t \ s_0\}$
and $\bigwedge s. f \ s \implies g \ (t \ s)$ **and** $\bigwedge s. f \ s \implies a \ s$
shows $\vdash_t \{f\} \ c \ \{g\}$

proof –

have $\bigwedge s_0. a \ s_0 \implies \forall s. s = s_0 \longrightarrow (\exists s'. (c, s) \Rightarrow s' \wedge t \ s_0 = s')$
using *hoare-tvalid-def hoaret-sound-complete assms(1)* **by** *simp*
then have $\bigwedge s. a \ s \implies (c, s) \Rightarrow t \ s$
by *simp*
then have $\forall s. f \ s \longrightarrow (\exists t. (c, s) \Rightarrow t \wedge g \ t)$
using *assms(2) assms(3)* **by** *blast*
then show *?thesis*
using *hoare-tvalid-def hoaret-sound-complete* **by** *simp*

qed

We now define two basic facts, which directly follow from the rules of the Hoare logic. However, we swapped the order of assumption, which makes proof automation easier later on.

lemma *Seq'*: $\llbracket \vdash_t \{P_2\} \ c_2 \ \{P_3\}; \vdash_t \{P_1\} \ c_1 \ \{P_2\} \rrbracket \implies \vdash_t \{P_1\} \ c_1;;c_2 \ \{P_3\}$
by (*simp only: Seq*)

lemma *conseq'*: $\vdash_t \{P\} \ c \ \{Q\} \implies \forall s. P' \ s \longrightarrow P \ s \implies \forall s. Q \ s \longrightarrow Q' \ s \implies \vdash_t \{P'\} \ c \ \{Q'\}$
by (*simp only: conseq*)

lemma *partial-conseq'*: $\vdash \{P\} \ c \ \{Q\} \implies \forall s. P' \ s \longrightarrow P \ s \implies \forall s. Q \ s \longrightarrow Q' \ s \implies \vdash \{P'\} \ c \ \{Q'\}$
by (*simp only: hoare.conseq*)

Another scenario we will encounter occasionally is where we have a pre-condition, which always will be False. We define two lemmas, which will make automating such scenarios rather easily, by only having to show that P is always False.

lemma *hoare-FalseI*: $\vdash_t \{\lambda s. \text{False}\} \ c \ \{Q\}$
by (*simp add: hoaret-sound-complete hoare-tvalid-def*)

lemma *hoare-Contr*: $\forall s. P' \ s \longrightarrow \text{False} \implies \vdash_t \{P'\} \ c \ \{Q\}$
by (*rule strengthen-pre; use hoare-FalseI in blast*)

3.2.2 Multiplication by 2

First, we construct a program `mul2`, which performs a multiplication by two:

$$\text{mul2 } a \ b \ \rightarrow \ b := 2 * a$$

This can be computed in a single step, by simply taking the sum of a and a again.

definition *mul2* $a \ b \equiv (b ::= (\text{Plus } (V \ a) \ (V \ a)))$

A proof of total-correctness is pretty straight-forward.

lemma *mul2-correct*:
assumes $\bigwedge s. f \ s \implies g \ (s \ (b := 2 * (s \ a)))$
shows $\vdash_t \{f\} \ (\text{mul2 } a \ b) \ \{g\}$
unfolding *mul2-def* **by** (*rule Assign', use assms in simp*)

lemma *mul2-correct'*: $\vdash_t \{\lambda s. s = s_0\} \ (\text{mul2 } a \ b) \ \{\lambda s. s = s_0 \ (b := 2 * (s_0 \ a))\}$
unfolding *mul2-def* **by** (*rule Assign', simp*)

3.2.3 Integer-Division by 2

Next, we construct a program `moddiv2`, which performs integer-division by two, retrieving both the quotient and the remainder:

$$\text{moddiv2 } a \ q \ m \ \rightarrow \ q := a \ \text{div } 2, \ m := a \ \text{mod } 2$$

Constructing such a program is bit more tedious and involves continuously subtracting in a WHILE-loop. The primitive version we implement also only works for positive numbers, however since our IMP-state invariant ensures that relevant variables are always positive, this is sufficient for our case.

definition *moddiv2-setup* $a\ q\ m \equiv$ (
 $m ::= (V\ a) ;;$
 $q ::= (N\ 0)$
 $)$

lemma *moddiv2-setup-correct'*:
assumes $q \neq m$
shows $\vdash_t \{\lambda s. s = s_0\} \text{moddiv2-setup } a\ q\ m \{\lambda s. s = s_0\ (q := 0, m := s_0\ a)\}$
unfolding *moddiv2-setup-def*
by (*rule Seq'*; *rule Assign'*) (*use assms in force*)+

lemma *moddiv2-setup-correct*:
assumes $q \neq m$ **and** $\bigwedge s. f\ s \implies g\ (s\ (q := 0, m := s\ a))$
shows $\vdash_t \{f\} \text{moddiv2-setup } a\ q\ m \{g\}$
proof –
let $?t = \lambda s. s\ (q := 0, m := s\ a)$
from *assms moddiv2-setup-correct'* **show** *?thesis*
using *hoare-generalize[where t=?t]* **by** *blast*
qed

definition *moddiv2-step* $q\ m \equiv$ (
 $m ::= \text{Plus } (V\ m)\ (N\ (-2)) ;;$
 $q ::= \text{Plus } (V\ q)\ (N\ 1)$
 $)$

lemma *moddiv2-step-correct'*:
assumes $q \neq m$
shows $\vdash_t \{\lambda s. s = s_0\} \text{moddiv2-step } q\ m \{\lambda s. s = s_0\ (q := s_0\ q + 1, m := s_0\ m - 2)\}$
unfolding *moddiv2-step-def*
by (*rule Seq'*; *rule Assign'*) (*use assms in force*)+

lemma *moddiv2-step-correct*:
assumes $q \neq m$ **and** $\bigwedge s. f\ s \implies g\ (s\ (q := s\ q + 1, m := s\ m - 2))$
shows $\vdash_t \{f\} \text{moddiv2-step } q\ m \{g\}$
proof –
let $?t = \lambda s. s\ (q := s\ q + 1, m := s\ m - 2)$
from *assms moddiv2-step-correct'* **show** *?thesis*
using *hoare-generalize[where t=?t]* **by** *blast*
qed

definition *moddiv2-loop* $q\ m \equiv$ (
 $\text{WHILE } \text{Less } (N\ 1)\ (V\ m)\ \text{DO } ($
 $\text{moddiv2-step } q\ m$
 $)$
 $)$

definition *moddiv2* $a\ q\ m \equiv$ (
 $\text{moddiv2-setup } a\ q\ m ;;$
 $\text{moddiv2-loop } q\ m$
 $)$

)

lemma *moddiv2-correct'*:

assumes $q \neq m$ **and** $s_0 \ a \geq 0$

shows $\vdash_t \{\lambda s. s = s_0\} \text{moddiv2 } a \ q \ m \ \{\lambda s. s = s_0 \ (q := s_0 \ a \ \text{div } 2, m := s_0 \ a \ \text{mod } 2)\}$

proof –

let $?P = \lambda s. s = s_0$

let $?P' = \lambda s. \exists q' m'. s = s_0 \ (q := q', m := m') \wedge s_0 \ a = 2 * q' + m' \wedge q' \geq 0 \wedge m' \geq 0$

let $?Q = \lambda s. s = s_0 \ (q := s_0 \ a \ \text{div } 2, m := s_0 \ a \ \text{mod } 2)$

let $?f = \lambda n. \lambda s. ?P' \ s \wedge \text{bval } (\text{Less } (N \ 1) \ (V \ m)) \ s \wedge n = \text{nat } (s \ m)$

let $?g = \lambda n. \lambda s. ?P' \ s \wedge \text{nat } (s \ m) < n$

have *step*: $\bigwedge n :: \text{nat}. \vdash_t \{?f \ n\} \text{moddiv2-step } q \ m \ \{?g \ n\}$

proof –

fix $n :: \text{nat}$

have $\bigwedge s. ?f \ n \ s \implies ?g \ n \ (s \ (q := s \ q + 1, m := s \ m - 2))$

using *assms* **by** *fastforce*

then show $\vdash_t \{?f \ n\} \text{moddiv2-step } q \ m \ \{?g \ n\}$

using *assms(1)* *moddiv2-step-correct* **by** *presburger*

qed

have *loop-body*: $\vdash_t \{?P'\} \text{moddiv2-loop } q \ m \ \{?Q\}$

unfolding *moddiv2-loop-def*

by (*rule While-fun'* [**where** $f = \lambda s. \text{nat } (s \ m)$], *use step in auto*)

have *loop-setup*: $\vdash_t \{?P\} \text{moddiv2-setup } a \ q \ m \ \{?P'\}$

unfolding *moddiv2-setup-def*

by (*rule Seq'*; *rule Assign'*) (*use assms in force*) +

show *?thesis*

unfolding *moddiv2-def*

by (*rule Seq*; *use loop-setup loop-body in simp*)

qed

lemma *moddiv2-correct*:

assumes $q \neq m$

and $\bigwedge s. f \ s \implies g \ (s \ (q := s \ a \ \text{div } 2, m := s \ a \ \text{mod } 2))$

and $\bigwedge s. f \ s \implies s \ a \geq 0$

shows $\vdash_t \{f\} (\text{moddiv2 } a \ q \ m) \ \{g\}$

proof –

let $?a = \lambda s. s \ a \geq 0$

let $?t = \lambda s. s \ (q := s \ a \ \text{div } 2, m := s \ a \ \text{mod } 2)$

from *assms moddiv2-correct'* **show** *?thesis*

using *hoare-generalize'* [**where** $a = ?a$ **and** $t = ?t$] **by** *blast*

qed

3.2.4 List-Index Program

abbreviation $\text{eq } a \ b \equiv \text{And } (\text{Not } (\text{Less } a \ b)) \ (\text{Not } (\text{Less } b \ a))$

abbreviation $\text{neq } a \ b \equiv \text{Not } (\text{eq } a \ b)$

Next, we need to construct a program that simulates a (non-fallthrough) **SWITCH**-statement. Precisely, we construct a program `list_index_prog`, that takes in a variable name, a list of programs and a fallback program, and constructs a new program that uses the provided variable to index the program-list and execute the right one, or execute the fallback program if the index is out of bounds.

Constructing the program in a way, that doesn't change the variables (e.g. by subtracting one from the index at every step), requires us to introduce an index-offset:

```
fun list-index-prog' :: vname  $\Rightarrow$  int  $\Rightarrow$  com list  $\Rightarrow$  com  $\Rightarrow$  com where
  list-index-prog' vn n (p#ps) e = (
    IF eq (V vn) (N n) THEN p
    ELSE list-index-prog' vn (n+1) ps e
  ) |
  list-index-prog' vn n [] e = e
```

We can now prove that our program with index-offset works as expected, by executing the program at the index or the fallback program. Proving this requires delicate use of induction, but then yields a proper proof:

lemma *list-index-prog'-skip*:

assumes $i \geq \text{length } ps$

and $\vdash_t \{P\} e \{Q\}$

shows $\vdash_t \{\lambda s. P \ s \wedge s \text{ vn} = n + \text{int } i\} \text{list-index-prog' } vn \ n \ ps \ e \{Q\}$

using *assms* **proof** (*induction vn n ps e arbitrary: i rule: list-index-prog'.induct*)

— We still are checking indices:

— Show that they mismatch and continue with induction.

case ($1 \text{ vn } n \ p \ ps \ e$)

let $?TP' = \lambda s. P \ s \wedge s \text{ vn} = n + \text{int } i \wedge \text{bval } (eq \ (V \text{ vn}) \ (N \ n)) \ s$

have $\forall s. ?TP' \ s \longrightarrow \text{False}$

using $1(2)$ **by** *simp*

moreover **have** $\vdash_t \{\lambda s. \text{False}\} p \{Q\}$

using *hoare-FalseI* **by** *simp*

ultimately **have** *TrueCase*: $\vdash_t \{?TP'\} p \{Q\}$

using *strengthen-pre*[**where** $P = \lambda s. \text{False}$ **and** $P' = ?TP'$] **by** *simp*

let $?FP = \lambda s. P \ s \wedge s \text{ vn} = n + 1 + \text{int } (i - 1)$

let $?FP' = \lambda s. P \ s \wedge s \text{ vn} = n + \text{int } i \wedge \neg \text{bval } (eq \ (V \text{ vn}) \ (N \ n)) \ s$

have $\text{length } ps \leq i - 1$

using $1(2)$ **by** *simp*

then **have** $\vdash_t \{?FP\} \text{list-index-prog' } vn \ (n + 1) \ ps \ e \{Q\}$

using $1(1)[\text{of } i-1]$ $1(3)$ **by** *simp*

moreover **have** $\forall s. ?FP' \ s \longrightarrow ?FP \ s$

by *fastforce*

ultimately **have** *FalseCase*: $\vdash_t \{?FP'\} \text{list-index-prog' } vn \ (n + 1) \ ps \ e \{Q\}$

using *strengthen-pre*[**where** $P = ?FP$ **and** $P' = ?FP'$] **by** *simp*

from *list-index-prog'.simps(1)* **show** *?case*

using *TrueCase FalseCase* **by** (*simp add: If*)

next

— Index is out of bounds, finally execute the fallback.

```

case (2 vn n e)

let ?P' =  $\lambda s. P\ s \wedge s\ vn = n + \text{int } i$ 
have  $\forall s. ?P'\ s \longrightarrow P\ s$ 
  by simp
with 2 show ?case
  using conseq[where  $P' = ?P'$  and  $P = P$ ] by simp
qed

lemma list-index-prog'-correct:
  assumes  $i < \text{length } ps$ 
  and  $\vdash_t \{P\}\ ps!\ i\ \{Q\}$ 
  shows  $\vdash_t \{\lambda s. P\ s \wedge s\ vn = n + \text{int } i\}\ \text{list-index-prog}'\ vn\ n\ ps\ e\ \{Q\}$ 
using assms proof (induction vn n ps e arbitrary: i rule: list-index-prog'.induct)
  case (1 vn n p ps e)
  let ?P =  $\lambda s. P\ s \wedge s\ vn = n + \text{int } i$ 
  show ?case proof (cases i = 0)
    — Index match! Execute our branch.
    case True

    let ?TP =  $\lambda s. ?P\ s \wedge \text{bval } (eq\ (V\ vn)\ (N\ n))\ s$ 
    have  $\forall s. ?TP\ s \longrightarrow P\ s$ 
      by simp
    moreover have  $\vdash_t \{P\}\ p\ \{Q\}$ 
      using 1(3) True by simp
    ultimately have TrueCase:  $\vdash_t \{?TP\}\ p\ \{Q\}$ 
      using strengthen-pre[where  $P = P$  and  $P' = ?TP$ ] by simp

    let ?FP =  $\lambda s. ?P\ s \wedge \neg \text{bval } (eq\ (V\ vn)\ (N\ n))\ s$ 
    have  $\forall s. ?FP\ s \longrightarrow \text{False}$ 
      using True by simp
    moreover have  $\vdash_t \{\lambda s. \text{False}\}\ \text{list-index-prog}'\ vn\ (n + 1)\ ps\ e\ \{Q\}$ 
      using hoare-FalseI by simp
    ultimately have FalseCase:  $\vdash_t \{?FP\}\ \text{list-index-prog}'\ vn\ (n + 1)\ ps\ e\ \{Q\}$ 
      using strengthen-pre[where  $P = \lambda s. \text{False}$  and  $P' = ?FP$ ] by simp

    from list-index-prog'.simps(1) show ?thesis
      using TrueCase FalseCase by (simp add: If)
  next
    — Index mismatch, continue with induction.
    case False

    let ?TP =  $\lambda s. ?P\ s \wedge \text{bval } (eq\ (V\ vn)\ (N\ n))\ s$ 
    have  $\forall s. ?TP\ s \longrightarrow \text{False}$ 
      using False by simp
    moreover have  $\vdash_t \{\lambda s. \text{False}\}\ p\ \{Q\}$ 
      using hoare-FalseI by simp
    ultimately have TrueCase:  $\vdash_t \{?TP\}\ p\ \{Q\}$ 
      using strengthen-pre[where  $P = \lambda s. \text{False}$  and  $P' = ?TP$ ] by simp

```

```

let ?FP =  $\lambda s. ?P\ s \wedge \neg \text{bval}\ (\text{eq}\ (V\ vn)\ (N\ n))\ s$ 
let ?FP' =  $\lambda s. P\ s \wedge s\ vn = n + 1 + \text{int}\ (i - 1)$ 
have  $i - 1 < \text{length}\ ps$ 
  using 1(2) False by simp
moreover have  $\vdash_t \{P\}\ ps!\ (i - 1)\ \{Q\}$ 
  using 1(3) False by simp
ultimately have  $\vdash_t \{?FP'\}\ \text{list-index-prog}'\ vn\ (n + 1)\ ps\ e\ \{Q\}$ 
  using 1(1) by simp
moreover have  $\forall s. ?FP\ s \longrightarrow ?FP'\ s$ 
  by fastforce
ultimately have FalseCase:  $\vdash_t \{?FP'\}\ \text{list-index-prog}'\ vn\ (n + 1)\ ps\ e\ \{Q\}$ 
  using strengthen-pre[where  $P = ?FP'$  and  $P' = ?FP$ ] by simp

from list-index-prog'.simps(1) show ?thesis
  using TrueCase FalseCase by (simp add: If)
qed
next
  case ( $? vn\ n$ )
  then show ?case by simp
qed

```

The final `list_index_prog` program is now the above defined program, with an index-offset of $n = 0$.

definition *list-index-prog* :: *vname* \Rightarrow *com list* \Rightarrow *com* \Rightarrow *com* **where**
list-index-prog vn ps e = list-index-prog' vn 0 ps e

The same proofs for the final program now follow directly:

corollary *list-index-prog-skip*:
assumes $i \geq \text{length}\ ps$ **and** $\vdash_t \{P\}\ e\ \{Q\}$
shows $\vdash_t \{\lambda s. P\ s \wedge s\ vn = \text{int}\ i\}\ \text{list-index-prog}\ vn\ ps\ e\ \{Q\}$
unfolding *list-index-prog-def*
using *assms list-index-prog'-skip*[**where** $n=0$] **by** *simp*

corollary *list-index-prog-correct*:
assumes $i < \text{length}\ ps$ **and** $\vdash_t \{P\}\ ps!\ i\ \{Q\}$
shows $\vdash_t \{\lambda s. P\ s \wedge s\ vn = \text{int}\ i\}\ \text{list-index-prog}\ vn\ ps\ e\ \{Q\}$
unfolding *list-index-prog-def*
using *assms list-index-prog'-correct*[**where** $n=0$] **by** *simp*

3.3 Operations

We now start to construct programs that compute the possible Turing-Machine operations:

- *WB*: Write *Bk* to head
- *WO*: Write *Oc* to head
- *L*: Push head to right tape, pop left tape to head
- *R*: Push head to left tape, pop right tape to head

- *Nop*: Do nothing

From now, we will encounter the following abbreviations in practically every proof. They both make statements about an IMP-state and ensure its invariant still holds. The first also states, that the IMP-state contains the same information as an intermediate-state, while the latter states, the IMP-state contains the same information as a TM-configuration.

abbreviation *impstate-to-istate-inv* :: *impstate* \Rightarrow *istate* \Rightarrow *bool* (**infix** \rightarrow_S 55) **where**
 $s \rightarrow_S st \equiv \text{impstate-inv } s \wedge \text{impstate-to-istate } s = st$

abbreviation *impstate-to-config-inv* :: *impstate* \Rightarrow *config* \Rightarrow *bool* (**infix** \rightarrow_C 55) **where**
 $s \rightarrow_C c \equiv \text{impstate-inv } s \wedge (\text{impstate-to-config } s =_C c)$

We now show some useful rules about the abbreviations.

An important fact is that, although we have defined our abbreviation to be a relation, it actually still works almost like a function, in terms that its output is determined. A state can at most represent one configuration. However due to ambiguous definition as explained in section 2.1.1, it doesn't imply real equivalence, but only our custom $=_C$ equivalence relation.

lemma *impstate-to-config-inv-det*:
assumes $s \rightarrow_C (s1, l1, r1)$ **and** $s \rightarrow_C (s2, l2, r2)$
shows $(s1, l1, r1) =_C (s2, l2, r2)$
using *assms config-eq-sym' config-eq-trans'* **by** *blast*

lemma *impstate-to-config-inv-det'*:
assumes $s \rightarrow_C c1$ **and** $s \rightarrow_C c2$
shows $c1 =_C c2$

proof –

— For some reason a straight-forward metis proof would take too much time here.

obtain $s1\ l1\ r1$ **where** *c1-def*: $c1 = (s1, l1, r1)$
using *prod-cases3* **by** *blast*
obtain $s2\ l2\ r2$ **where** *c2-def*: $c2 = (s2, l2, r2)$
using *prod-cases3* **by** *blast*
from *c1-def c2-def* **show** *?thesis*
using *assms impstate-to-config-inv-det* **by** *blast*

qed

lemma *config-eq-implies-istate-eq*:
assumes $s \rightarrow_C c$
shows $s \rightarrow_S \text{config-to-istate } c$
using *assms*

proof –

have $\text{config-to-istate } (\text{impstate-to-config } s) = \text{impstate-to-istate } s$
using *istate-to-config-ident'* **by** *blast*
moreover have $\text{impstate-to-config } s =_C c$
using *assms* **by** *blast*
ultimately have $\text{impstate-to-istate } s = \text{config-to-istate } c$
using *config-to-istate-det'[of impstate-to-config s]* **by** *presburger*
thus *?thesis* **using** *assms* **by** *blast*

qed

lemma *config-eq-implies-istate-eq'*:
assumes $s \rightarrow_C \text{istate-to-config } st$
shows $s \rightarrow_S st$
using *assms*
proof –
obtain c **where** $s \rightarrow_C c \wedge \text{config-to-istate } c = st$
using *assms istate-to-config-ident'* **by** *blast*
thus *?thesis* **using** *config-eq-implies-istate-eq* **by** *blast*
qed

lemma *istate-eq-implies-config-eq*:
assumes $s \rightarrow_S \text{config-to-istate } c$
shows $s \rightarrow_C c$
by (*simp add: assms config-to-istate-ident'*)

lemma *istate-eq-implies-config-eq'*:
assumes $s \rightarrow_S st$
shows $s \rightarrow_C \text{istate-to-config } st$
by (*simp add: assms config-eq-refl'*)

With this foundation, we now construct a program for each of the possible TM-operations.

3.3.1 Write-Bk

definition *prog-WB* :: *state* \Rightarrow *com* **where**
prog-WB $s \equiv$ (
 $vnS ::= N \text{ (int } s \text{)} ;;$
 $vnH ::= N \text{ (int (cell-to-nat } Bk \text{))}$
 $)$

lemma *prog-WB-hoare*:
 $\vdash_t \{ \lambda s. s \rightarrow_S (st, l, h, r) \} \text{prog-WB } st' \{ \lambda s. s \rightarrow_S \text{iupdate (WB, st')} (st, l, Bk, r) \}$
unfolding *prog-WB-def* **by** (*rule Seq[where P₂= $\lambda s. s \rightarrow_S (st', l, h, r)$]; rule Assign', simp*)

3.3.2 Write-Oc

definition *prog-WO* :: *state* \Rightarrow *com* **where**
prog-WO $s \equiv$ (
 $vnS ::= N \text{ (int } s \text{)} ;;$
 $vnH ::= N \text{ (int (cell-to-nat } Oc \text{))}$
 $)$

lemma *prog-WO-hoare*:
 $\vdash_t \{ \lambda s. s \rightarrow_S (st, l, h, r) \} \text{prog-WO } st' \{ \lambda s. s \rightarrow_S \text{iupdate (WO, st')} (st, l, Oc, r) \}$
unfolding *prog-WO-def* **by** (*rule Seq[where P₂= $\lambda s. s \rightarrow_S (st', l, h, r)$]; rule Assign', simp*)

3.3.3 Move-Left

definition *prog-L* :: *state* \Rightarrow *com* **where**
prog-L $s \equiv$ (
 $)$


```

  vnS ::= N (int s) ;;
  mul2 vnR vnR ;;
  vnR ::= Plus (V vnR) (V vnH) ;;
  moddiv2 vnL vnL vnH
)

```

lemma *prog-L-hoare*:

```

  ⊢t {λs. s →S (st, l, h, r)} prog-L st' {λs. s →S iupdate (L, st') (st, l, h, r)}

```

unfolding *prog-L-def* **proof** (rule Seq[**where** $P_2 = \lambda s. s \rightarrow_S (st', l, h, 2*r + \text{cell-to-nat } h)$])

```

  have ⊢t
    {λs. s →S (st, l, h, r)}
    vnS ::= N (int st')
    {λs. s →S (st', l, h, r)}
    by (rule Assign', simp)

```

```

  moreover have ⊢t
    {λs. s →S (st', l, h, r)}
    mul2 vnR vnR
    {λs. s →S (st', l, h, 2*r)}

```

```

  proof -
    let ?f = λs. s →S (st', l, h, r)
    let ?g = λs. s →S (st', l, h, 2*r)
    have ∧s. ?f s ⇒ ?g (s (vnR := 2 * s vnR)) by force
    then show ?thesis using mul2-correct by presburger

```

qed

```

  moreover have ⊢t
    {λs. s →S (st', l, h, 2*r)}
    vnR ::= Plus (V vnR) (V vnH)
    {λs. s →S (st', l, h, 2*r + cell-to-nat h)}
    by (rule Assign', auto)

```

```

  ultimately show ⊢t
    {λs. s →S (st, l, h, r)}
    vnS ::= N (int st');; mul2 vnR vnR ;; vnR ::= Plus (V vnR) (V vnH)
    {λs. s →S (st', l, h, 2*r + cell-to-nat h)}
    using Seq by blast

```

next

```

  have ⊢t
    {λs. s →S (st', l, h, 2*r + cell-to-nat h)}
    moddiv2 vnL vnL vnH
    {λs. s →S (st', l div 2, nat-to-cell (l mod 2), 2*r + cell-to-nat h)}

```

```

  proof -
    let ?f = λs. s →S (st', l, h, 2*r + cell-to-nat h)
    let ?g = λs. s →S (st', l div 2, nat-to-cell (l mod 2), 2*r + cell-to-nat h)
    have ∧s. ?f s ⇒ ?g (s (vnL := s vnL div 2, vnH := s vnL mod 2))
    proof -
      fix s :: impstate
      assume ?f s
      define s' where s'-def: s' = s (vnL := s vnL div 2, vnH := s vnL mod 2)

```

```

    from ⟨?f s⟩ have s vnS = int st'

```

```

    by force
  then have  $s'\text{-st}$ :  $s' \text{ vnS} = \text{int } st'$  and  $s' \text{ vnS} \geq 0$ 
    using  $s'\text{-def}$  by simp+

  from  $\langle ?f \ s \rangle$  have  $l\text{-val}$ :  $s \text{ vnL} = \text{int } l$ 
    by force
  then have  $s'\text{-l}$ :  $s' \text{ vnL} = \text{int } (l \text{ div } 2)$  and  $s' \text{ vnL} \geq 0$ 
    using  $s'\text{-def}$  by simp+

  from  $\langle ?f \ s \rangle$  have  $r\text{-val}$ :  $s \text{ vnR} = \text{int } (2*r + \text{cell-to-nat } h)$ 
    by force
  then have  $s'\text{-r}$ :  $s' \text{ vnR} = \text{int } (2*r + \text{cell-to-nat } h)$  and  $s' \text{ vnR} \geq 0$ 
    using  $s'\text{-def}$  by simp+

  from  $\langle ?f \ s \rangle$  have  $s \text{ vnH} = \text{int } (\text{cell-to-nat } h)$ 
    by force
  then have  $s'\text{-h}$ :  $s' \text{ vnH} = \text{int } (l \text{ mod } 2)$ 
    using  $s'\text{-def } l\text{-val}$  by (simp add: zmod-int)
  then have  $s'\text{-h-invar}$ :  $s' \text{ vnH} = 0 \vee s' \text{ vnH} = 1$ 
    by linarith

  have  $?g \ s'$ 
    using  $s'\text{-st } s'\text{-l } s'\text{-h } s'\text{-h-invar } s'\text{-r}$  by simp
  then show  $?g \ (s \ ( \text{vnL} := s \text{ vnL div } 2, \text{vnH} := s \text{ vnL mod } 2))$ 
    using  $s'\text{-def}$  by blast
qed
then show  $?thesis$ 
  using moddiv2-correct by fastforce
qed
then show  $\vdash_t$ 
   $\{\lambda s. s \rightarrow_S (st', l, h, 2*r + \text{cell-to-nat } h)\}$ 
  moddiv2 vnL vnL vnH
   $\{\lambda s. s \rightarrow_S \text{iupdate } (L, st') (st, l, h, r)\}$ 
  by simp
qed

```

3.3.4 Move-Right

definition $\text{prog-R} :: \text{state} \Rightarrow \text{com}$ **where**

```

  prog-R s  $\equiv$  (
    vnS  $::= N \ (\text{int } s)$  ;;
    mul2 vnL vnL ;;
    vnL  $::= Plus \ (V \text{ vnL}) \ (V \text{ vnH})$  ;;
    moddiv2 vnR vnR vnH
  )

```

lemma prog-R-hoare :

```

 $\vdash_t \{\lambda s. s \rightarrow_S (st, l, h, r)\} \text{prog-R } st' \{\lambda s. s \rightarrow_S \text{iupdate } (R, st') (st, l, h, r)\}$ 
unfolding  $\text{prog-R-def}$  proof (rule Seq[where  $P_2 = \lambda s. s \rightarrow_S (st', 2*l + \text{cell-to-nat } h, h, r)$ ]])
  have  $\vdash_t$ 

```

```

{ $\lambda s. s \rightarrow_S (st, l, h, r)$ }
 $vnS ::= N \ (int \ st')$ 
{ $\lambda s. s \rightarrow_S (st', l, h, r)$ }
by (rule Assign', simp)
moreover have  $\vdash_t$ 
{ $\lambda s. s \rightarrow_S (st', l, h, r)$ }
 $mul2 \ vnL \ vnL$ 
{ $\lambda s. s \rightarrow_S (st', 2 * l, h, r)$ }
proof –
  let  $?f = \lambda s. s \rightarrow_S (st', l, h, r)$ 
  let  $?g = \lambda s. s \rightarrow_S (st', 2 * l, h, r)$ 
  have  $\bigwedge s. ?f \ s \implies ?g \ (s \ (vnL := 2 * s \ vnL))$  by force
  then show ?thesis using mul2-correct by presburger
qed
moreover have  $\vdash_t$ 
{ $\lambda s. s \rightarrow_S (st', 2 * l, h, r)$ }
 $vnL ::= Plus \ (V \ vnL) \ (V \ vnH)$ 
{ $\lambda s. s \rightarrow_S (st', 2 * l + cell\text{-}to\text{-}nat \ h, h, r)$ }
by (rule Assign', auto)
ultimately show  $\vdash_t$ 
{ $\lambda s. s \rightarrow_S (st, l, h, r)$ }
 $vnS ::= N \ (int \ st');; mul2 \ vnL \ vnL ;; vnL ::= Plus \ (V \ vnL) \ (V \ vnH)$ 
{ $\lambda s. s \rightarrow_S (st', 2 * l + cell\text{-}to\text{-}nat \ h, h, r)$ }
using Seq by blast
next
have  $\vdash_t$ 
{ $\lambda s. s \rightarrow_S (st', 2 * l + cell\text{-}to\text{-}nat \ h, h, r)$ }
 $moddiv2 \ vnR \ vnR \ vnH$ 
{ $\lambda s. s \rightarrow_S (st', 2 * l + cell\text{-}to\text{-}nat \ h, nat\text{-}to\text{-}cell \ (r \ mod \ 2), r \ div \ 2)$ }
proof –
  let  $?f = \lambda s. s \rightarrow_S (st', 2 * l + cell\text{-}to\text{-}nat \ h, h, r)$ 
  let  $?g = \lambda s. s \rightarrow_S (st', 2 * l + cell\text{-}to\text{-}nat \ h, nat\text{-}to\text{-}cell \ (r \ mod \ 2), r \ div \ 2)$ 
  have  $\bigwedge s. ?f \ s \implies ?g \ (s \ (vnR := s \ vnR \ div \ 2, vnH := s \ vnR \ mod \ 2))$ 
  proof –
    fix  $s :: impstate$ 
    assume  $?f \ s$ 
    define  $s'$  where  $s'\text{-def}: s' = s \ (vnR := s \ vnR \ div \ 2, vnH := s \ vnR \ mod \ 2)$ 

    from  $\langle ?f \ s \rangle$  have  $s \ vnS = int \ st'$ 
    by force
    then have  $s'\text{-st}: s' \ vnS = int \ st'$  and  $s' \ vnS \geq 0$ 
    using  $s'\text{-def}$  by simp+

    from  $\langle ?f \ s \rangle$  have  $l\text{-val}: s \ vnL = int \ (2 * l + cell\text{-}to\text{-}nat \ h)$ 
    by force
    then have  $s'\text{-l}: s' \ vnL = int \ (2 * l + cell\text{-}to\text{-}nat \ h)$  and  $s' \ vnL \geq 0$ 
    using  $s'\text{-def}$  by simp+

    from  $\langle ?f \ s \rangle$  have  $r\text{-val}: s \ vnR = int \ r$ 

```

```

    by force
  then have s'-r: s' vnR = int (r div 2) and s' vnR ≥ 0
    using s'-def by simp+

  from ⟨?f s⟩ have s vnH = int (cell-to-nat h)
    by force
  then have s'-h: s' vnH = int (r mod 2)
    using s'-def r-val by (simp add: zmod-int)
  then have s'-h-invar: s' vnH = 0 ∨ s' vnH = 1
    by linarith

  have ?g s'
    using s'-st s'-l s'-h s'-h-invar s'-r by simp
  then show ?g (s (vnR := s vnR div 2, vnH := s vnR mod 2))
    using s'-def by blast
qed
then show ?thesis
  using moddiv2-correct by fastforce
qed
then show ⊢t
  {λs. s →S (st', 2*l + cell-to-nat h, h, r)}
  moddiv2 vnR vnR vnH
  {λs. s →S iupdate (R, st') (st, l, h, r)}
  by simp
qed

```

3.3.5 No Operation

definition *prog-Nop* :: *state* ⇒ *com* **where**
prog-Nop s ≡ vnS ::= N (int s)

lemma *prog-Nop-hoare*:

⊢_t {λs. s →_S (st, l, h, r)} *prog-Nop* st' {λs. s →_S iupdate (Nop, st') (st, l, h, r)}
unfolding *prog-Nop-def* **by** (rule *Assign'*, *simp*)

3.3.6 Pattern-Matching Operation

Now we can construct a pattern-matching wrapper, which provides the correct program, given a specific instruction.

fun *prog-step* :: *instr* ⇒ *com* **where**
prog-step (WB, st) = *prog-WB* st |
prog-step (WO, st) = *prog-WO* st |
prog-step (L, st) = *prog-L* st |
prog-step (R, st) = *prog-R* st |
prog-step (Nop, st) = *prog-Nop* st

The proofs again follow directly.

corollary *prog-step-hoare*:

⊢_t {λs. s →_S (st, l, h, r)} *prog-step* (a, st') {λs. s →_S iupdate (a, st') (st, l, h, r)}
proof (*cases* a)

```

  case WB thus ?thesis using prog-WB-hoare by simp
next
  case WO thus ?thesis using prog-WO-hoare by simp
next
  case L thus ?thesis using prog-L-hoare by simp
next
  case R thus ?thesis using prog-R-hoare by simp
next
  case Nop thus ?thesis using prog-Nop-hoare by simp
qed

```

corollary *prog-step-hoare'*:

$\vdash_t \{\lambda s. s \rightarrow_S st\} \text{ prog-step } i \{\lambda s. s \rightarrow_S iupdate\ i\ st\}$

proof –

```

  obtain s l h r where st = (s, l, h, r)
  using prod-cases4 by blast
  moreover obtain a st' where i = (a, st')
  by fastforce
  ultimately show ?thesis
  using prog-step-hoare by simp
qed

```

3.3.7 State-Index Program

In order to execute the correct step for each state, which create a list containing all possible transitions. We then compute the correct index depending on the current state and head symbol, and using our previously constructed `list_index_prog` jump to the correct step program.

We now construct a program `prog_SI`, that computes this index:

$$\text{prog_SI} \rightarrow si := 2 * s + h$$

definition *prog-SI* :: *com* **where**

prog-SI $\equiv vnSI ::= Plus (Plus (V\ vnS) (V\ vnS)) (V\ vnH)$

The proof is again rather straight-forward.

lemma *prog-SI-correct*:

$\vdash_t \{\lambda s. s \rightarrow_S st\} \text{ prog-SI } \{\lambda s. s \rightarrow_S st \wedge s\ vnSI = \text{int } (istate\text{-to}\text{-index } st)\}$
unfolding *prog-SI-def* **by** (*rule Assign', auto*)

3.4 Single-Step Program

We can now finally construct a program that, given any Turing-Machine, executes the next step depending on the current state. Although we have built a good foundation, the core proof will still rather large.

First, we construct a list of IMP-programs from a Turing-Machine. The list contains all the correct instructions, mapped to their corresponding index. Since $s = 0$ is the final state, all following steps are *Nop* instructions. Therefore, we append the list with two *Nop* instructions, so that we can still use the simple $i = 2 * s + h$ formula to get the index.

definition *tm-to-step-progs* :: *tprog0* \Rightarrow *com list* **where**

```

tm-to-step-progs tm = (
  let n = (Nop, 0) in
  map prog-step (n#n#tm)
)

```

Proving this table to be correct is easy.

lemma *tm-to-step-progs-hoare*:

assumes $i < \text{length } (tm\text{-to-step-progs } tm)$

shows $\vdash_t \{ \lambda s. s \rightarrow_S st \} (tm\text{-to-step-progs } tm)!i \{ \lambda s. s \rightarrow_S iupdate (tm @_I i) st \}$

proof –

have $(tm\text{-to-step-progs } tm)!i = \text{prog-step } (tm @_I i)$

using *assms tm-to-step-progs-def*

by (*auto*, *use numeral-2-eq-2 in argo*, *simp add: nth-Cons'*)

with *prog-step-hoare'* **show** *?thesis* **by** *simp*

qed

For the final `tm_imp_step` program, we chain the computation of the instruction-index together with a `list_index_prog`, with a table of instructions and the computed index.

definition *tm-imp-step* :: *tprog0* \Rightarrow *com* **where**

```

tm-imp-step p = (
  prog-SI ;;
  list-index-prog vnSI (tm-to-step-progs p) (prog-step (Nop, 0))
)

```

While arguing that, given the current facts, our `tm_imp_step` behaves as expected might seem obvious, formally verifying this is not as trivial.

lemma *tm-imp-step-correct-aux*:

$\vdash_t \{ \lambda s. s \rightarrow_S st \} tm\text{-imp-step } tm \{ \lambda s. s \rightarrow_S istep tm st \}$

unfolding *tm-imp-step-def*

proof (*rule Seq[where $P_2 = \lambda s. (s \rightarrow_S st) \wedge s \text{ vnSI} = \text{int } (istate\text{-to-index } st)$]*)

show \vdash_t

$\{ \lambda s. s \rightarrow_S st \}$

prog-SI

$\{ \lambda s. (s \rightarrow_S st) \wedge s \text{ vnSI} = \text{int } (istate\text{-to-index } st) \}$

using *prog-SI-correct* **by** *blast*

next

let *?i* = *istate-to-index st*

let *?ps* = *tm-to-step-progs tm*

show \vdash_t

$\{ \lambda s. (s \rightarrow_S st) \wedge s \text{ vnSI} = \text{int } (istate\text{-to-index } st) \}$

list-index-prog vnSI ?ps (prog-step (Nop, 0))

$\{ \lambda s. s \rightarrow_S istep tm st \}$

proof (*cases ?i < length ?ps*)

— Index is in-bounds, execute the corresponding instruction.

case *True*

then have \vdash_t

$\{ \lambda s. s \rightarrow_S st \}$

?ps! ?i

$\{ \lambda s. s \rightarrow_S iupdate (tm @_I ?i) st \}$

using *tm-to-step-progs-hoare* **by** *blast*

```

with True have  $\vdash_t$ 
  { $\lambda s. s \rightarrow_S st \wedge s \text{ vnSI} = \text{int} (\text{istate-to-index } st)$ }
  list-index-prog vnSI ?ps (prog-step (Nop, 0))
  { $\lambda s. s \rightarrow_S \text{iupdate} (tm @_I ?i) st$ }
  using list-index-prog-correct[where  $ps=?ps$  and  $i=?i$ 
    and  $P=\lambda s. s \rightarrow_S st$ 
    and  $Q=\lambda s. s \rightarrow_S \text{iupdate} (tm @_I ?i) st$ ]
  by simp
moreover have  $\text{iupdate} (tm @_I ?i) st = \text{istep } tm \ st$ 
  using True istep-index-correct' tm-to-step-progs-def by simp
ultimately show ?thesis by simp
next
— Index is out-of-bounds, execute NOP-instruction instead.
case False
have  $\vdash_t$ 
  { $\lambda s. s \rightarrow_S st$ }
  prog-step (Nop, 0)
  { $\lambda s. s \rightarrow_S \text{iupdate} (Nop, 0) st$ }
  using prog-step-hoare' by blast
with False have  $\vdash_t$ 
  { $\lambda s. s \rightarrow_S st \wedge s \text{ vnSI} = \text{int} (\text{istate-to-index } st)$ }
  list-index-prog vnSI ?ps (prog-step (Nop, 0))
  { $\lambda s. s \rightarrow_S \text{iupdate} (Nop, 0) st$ }
  using list-index-prog-skip[where  $ps=?ps$  and  $i=?i$ 
    and  $P=\lambda s. s \rightarrow_S st$ 
    and  $Q=\lambda s. s \rightarrow_S \text{iupdate} (Nop, 0) st$ ]
  by simp
moreover have  $\text{iupdate} (Nop, 0) st = \text{istep } tm \ st$ 
  using False istep-index-skip' tm-to-step-progs-def by simp
ultimately show ?thesis by simp
qed
qed

lemma tm-imp-step-correct:
  assumes  $(s1, l1, r1) \models \langle tm \rangle = (s2, l2, r2)$ 
  shows  $\vdash_t \{ \lambda s. s \rightarrow_C (s1, l1, r1) \} \text{tm-imp-step } tm \{ \lambda s. s \rightarrow_C (s2, l2, r2) \}$ 
proof —
  let  $?st1 = \text{config-to-istate} (s1, l1, r1)$ 
  have  $a1: \forall s. (s \rightarrow_C (s1, l1, r1)) \longrightarrow (s \rightarrow_S ?st1)$ 
  using config-eq-implies-istate-eq by blast

  let  $?st2 = \text{config-to-istate} (s2, l2, r2)$ 
  have  $\text{istep } tm \ ?st1 = ?st2$ 
  using assms istep-correct by blast
  then have  $a2: \forall s. (s \rightarrow_S \text{istep } tm \ ?st1) \longrightarrow (s \rightarrow_C (s2, l2, r2))$ 
  using istate-eq-implies-config-eq[where  $c=(s2, l2, r2)$ ] by presburger

from  $a1 \ a2$  show ?thesis
  — We have to define the variables for conseq manually here, otherwise we get a timeout.

```

```

using tm-imp-step-correct-aux conseq [where  $c = \text{tm-imp-step } tm$ 
  and  $P' = \lambda s. s \rightarrow_C (s1, l1, r1)$ 
  and  $P = \lambda s. s \rightarrow_S ?st1$ 
  and  $Q = \lambda s. s \rightarrow_S \text{istep } tm ?st1$ 
  and  $Q' = \lambda s. s \rightarrow_C (s2, l2, r2)]$ 
by presburger
qed

```

```

lemma tm-imp-step-correct':
  assumes  $c1 \models \langle tm \rangle = c2$ 
  shows  $\vdash_t \{ \lambda s. s \rightarrow_C c1 \} \text{tm-imp-step } tm \{ \lambda s. s \rightarrow_C c2 \}$ 
proof –
  obtain  $s1\ l1\ r1$  where  $c1\text{-def}: c1 = (s1, l1, r1)$ 
    using prod-cases3 [of c1 thesis] by simp
  obtain  $s2\ l2\ r2$  where  $c2\text{-def}: c2 = (s2, l2, r2)$ 
    using prod-cases3 [of c2 thesis] by simp
  from assms c1-def c2-def show ?thesis
    using tm-imp-step-correct by simp
qed

```

3.5 Repeated-Step Program

After constructing a single-step program, we now want to construct and verify a program, that continuously executes steps until it reaches a final state.

Note, that since we are using a Hoare logic for total correctness, verifying it means also proving its termination. This will make proofs later a bit tricky, since:

1. Termination of Turing-Machines is inherently undecidable, and thus will also be undecidable for our constructed program on arbitrary inputs.
2. Proof of Termination involve having a measurable number, that consistently decreases with each iteration. However, our state offers no such number at first glance.

We will solve this problem, by assuming that the TM-machine will also terminate. If it wouldn't, we can't pose any meaningful statements of our program, since it also wouldn't terminate. Given the assumed termination, we can then construct a number of steps required until a final state is reached. We will then use this number as our measurement of termination.

definition *tm-imp-steps* :: $tprog0 \Rightarrow com$ **where**
tm-imp-steps $p = WHILE\ (neq\ (N\ 0)\ (V\ vnS))\ DO\ \text{tm-imp-step } p$

This lemma will help us determine a measurable number n , that specifies the remaining amount of steps required until a final state is reached, under the assumption that at *some point* a final state is reached.

```

lemma tm-remaining-steps:
  assumes is-final  $c2$  and  $c1 \models \langle tm \rangle =^* c2$ 
  obtains  $n$  where steps0  $c1\ tm\ n = c2$ 
  using assms tm-steps0-rel-iff-steps0 by blast

```

— If we are in a final state, executing a step yields the same configuration.

lemma *step0-final*:
assumes *is-final* *c*
shows *step0* *c* *tm* = *c*
using *assms is-final.elims*(2) **by** *fastforce*

— Same as above, but for multiple steps.

lemma *steps0-final*:
assumes *is-final* *c*
shows *steps0* *c* *tm* *n* = *c*
by (*induction* *n*) (*use* *assms step0-final* **in** *simp*)**+**

Turing-Machines are deterministic in our model, which means whenever a TM reaches a final state from the same starting point, its final configuration will be determined:

lemma *tm-final-determined*:
assumes $c \models \langle tm \rangle =^* c1$ **and** $c \models \langle tm \rangle =^* c2$ **and** *is-final* *c1* **and** *is-final* *c2*
shows *c1* = *c2*

proof —

obtain *n1* **where** *n1-def*: *steps0* *c* *tm* *n1* = *c1*
using *assms*(1) *tm-steps0-rel-iff-steps0* **by** *blast*
obtain *n2* **where** *n2-def*: *steps0* *c* *tm* *n2* = *c2*
using *assms*(2) *tm-steps0-rel-iff-steps0* **by** *blast*

consider (*eq*) $n1 = n2 \mid (n1\text{-first})\ n1 < n2 \mid (n2\text{-first})\ n1 > n2$

using *n1-def* *n2-def* **by** *linarith*

then show *?thesis* **proof** (*cases*)

case *eq*

then show *?thesis*

using *n1-def* *n2-def* **by** *simp*

next

case *n1-first*

then have *steps0* (*steps0* *c* *tm* *n1*) *tm* (*n2* − *n1*) = *c2*

by (*metis* *le-add-diff-inverse* *n2-def* *order-less-imp-le* *steps-add*)

then have *steps0* *c1* *tm* (*n2* − *n1*) = *c2*

using *n1-def* **by** *blast*

then show *?thesis*

using *assms steps0-final* **by** *simp*

next

case *n2-first*

then have *steps0* (*steps0* *c* *tm* *n2*) *tm* (*n1* − *n2*) = *c1*

by (*metis* *le-add-diff-inverse* *n1-def* *order-less-imp-le* *steps-add*)

then have *steps0* *c2* *tm* (*n1* − *n2*) = *c1*

using *n2-def* **by** *blast*

then show *?thesis*

using *assms steps0-final* **by** *simp*

qed

qed

3.5.1 Partial Correctness

lemma *total-implies-partial*:

```

assumes  $\vdash_t \{P\} \ c \ \{Q\}$ 
shows  $\vdash \{P\} \ c \ \{Q\}$ 
proof –
  have  $\models_t \{P\} \ c \ \{Q\}$ 
    using hoaret-sound assms by simp
  then have  $\models \{P\} \ c \ \{Q\}$ 
    unfolding hoare-valid-def hoare-tvalid-def
    using big-step-determ by blast
  then show ?thesis
    using hoare-complete by simp
qed

lemma tm-imp-step-chain-total:  $\vdash_t$ 
   $\{\lambda s. \exists c'. (s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c')\}$ 
  tm-imp-step tm
   $\{\lambda s. \exists c'. (s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c')\}$ 
proof –
  let ?P =  $\lambda s. \exists c'. (s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c')$ 
  have  $\bigwedge s. ?P \ s \implies (\exists t. (tm\text{-}imp\text{-}step \ tm, \ s) \Rightarrow t \wedge ?P \ t)$ 
  proof –
    fix s :: impstate
    assume ?P s
    then obtain c1 where c1-def:  $(s \rightarrow_C c1) \wedge (c1 \models \langle tm \rangle =^* c1)$ 
      by blast
    then obtain c2 where c2-def:  $(c1 \models \langle tm \rangle = c2)$ 
      by (simp add: tm-step0-rel-def)
    with c1-def have c2-chain:  $(c1 \models \langle tm \rangle =^* c2)$ 
      using tm-steps0-rel-def by force

    have  $\vdash_t \{\lambda s. s \rightarrow_C c1\} \ tm\text{-}imp\text{-}step \ tm \ \{\lambda s. s \rightarrow_C c2\}$ 
      using tm-imp-step-correct' c2-def by blast
    then have  $\models_t \{\lambda s. s \rightarrow_C c1\} \ tm\text{-}imp\text{-}step \ tm \ \{\lambda s. s \rightarrow_C c2\}$ 
      using hoaret-sound by blast
    then have  $s \rightarrow_C c1 \implies \exists t. (tm\text{-}imp\text{-}step \ tm, \ s) \Rightarrow t \wedge (t \rightarrow_C c2)$ 
      unfolding hoare-tvalid-def by blast
    then have  $\exists t. (tm\text{-}imp\text{-}step \ tm, \ s) \Rightarrow t \wedge (t \rightarrow_C c2)$ 
      using c1-def by blast
    then show  $\exists t. (tm\text{-}imp\text{-}step \ tm, \ s) \Rightarrow t \wedge ?P \ t$ 
      using c2-chain by blast
  qed
  then show ?thesis
    using hoaret-complete hoare-tvalid-def by presburger
qed

lemma tm-imp-steps-correct-partial:  $\vdash$ 
   $\{\lambda s. s \rightarrow_C c\}$ 
  tm-imp-steps tm
   $\{\lambda s. \exists c'. (s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c') \wedge is\text{-}final \ c'\}$ 
proof –

```

let $?b = neq\ (N\ 0)\ (V\ vnS)$
let $?P = \lambda s. \exists c'. (s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c')$

have \vdash
 $\{\lambda s. ?P\ s \wedge bval\ ?b\ s\}$
 $tm\text{-}imp\text{-}step\ tm$
 $\{?P\}$

proof –
 — Downgrade from Total Correctness to Partial Correctness.
have $step: \vdash \{?P\}\ tm\text{-}imp\text{-}step\ tm\ \{?P\}$
using $tm\text{-}imp\text{-}step\text{-}chain\text{-}total\ total\text{-}implies\text{-}partial$ **by** $blast$
show $?thesis$ **by** $(rule\ partial\text{-}conseq')$ $(use\ step\ in\ blast)+$
qed

then have $loop: \vdash \{?P\}\ tm\text{-}imp\text{-}steps\ tm\ \{\lambda s. ?P\ s \wedge \neg bval\ ?b\ s\}$
unfolding $tm\text{-}imp\text{-}steps\text{-}def$ **by** $(rule\ hoare.While)$

have $p: \bigwedge s. (s \rightarrow_C c) \implies (?P\ s)$
using $tm\text{-}steps0\text{-}rel\text{-}def$ **by** $blast$

have $q: \bigwedge s. (?P\ s \wedge \neg bval\ ?b\ s) \implies (\exists c'. (s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c') \wedge is\text{-}final\ c')$

proof –
fix $s :: impstate$
assume $assm: ?P\ s \wedge \neg bval\ ?b\ s$
obtain c' **where** $c'\text{-}def: (s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c')$
using $assm$ **by** $blast$
then obtain $s'\ l'\ r'$ **where** $c'\text{-}split: c' = (s', l', r')$
using $prod\text{-}cases3$ **by** $blast$
moreover have $s\ vnS = 0$
using $assm$ **by** $simp$
ultimately have $s' = 0$
using $assm\ c'\text{-}def$ **by** $simp$
then have $is\text{-}final\ c'$
using $c'\text{-}def\ c'\text{-}split$ **by** $simp$
with $c'\text{-}def$ **have** $(s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c') \wedge is\text{-}final\ c'$
by $simp$
then show $\exists c'. (s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c') \wedge is\text{-}final\ c'$
by $(rule\ exI)$
qed

show $?thesis$ **by** $(rule\ partial\text{-}conseq')$ $(use\ loop\ p\ q\ in\ blast)+$
qed

3.5.2 Total Correctness

This lemma about `tm_imp_step` effectively says the same as our previous proof of correctness for it above. However, re-formulating the pre- and post-conditions makes it easier to integrate it into our proof of the WHILE-loop. To proof this variation of `tm_imp_step`, we choose a Big-Step approach.

lemma *tm-imp-step-chain'*:

assumes *is-final cf*

shows \models_t

$\{\lambda s. \exists c. s \rightarrow_C c \wedge \neg \text{is-final } c \wedge \text{steps0 } c \text{ tm } n =_C cf \wedge (cs \models \langle tm \rangle^* c)\}$

tm-imp-step tm

$\{\lambda s. \exists c. s \rightarrow_C c \wedge n > 0 \wedge \text{steps0 } c \text{ tm } (n-1) =_C cf \wedge (cs \models \langle tm \rangle^* c)\}$

unfolding *hoare-tvalid-def* **proof** (*standard, standard*)

fix *s :: impstate*

let *?P* = $\lambda c. s \rightarrow_C c$

$\wedge \neg \text{is-final } c$

$\wedge \text{steps0 } c \text{ tm } n =_C cf$

$\wedge (cs \models \langle tm \rangle^* c)$

let *?Q* = $\lambda t c. t \rightarrow_C c \wedge n > 0 \wedge \text{steps0 } c \text{ tm } (n-1) =_C cf \wedge (cs \models \langle tm \rangle^* c)$

assume $\exists c. ?P \ c$

then obtain *c* **where** *c-def*: *?P c*

by *blast*

then obtain *c'* **where** *c'-def*: *c' = step0 c tm*

by *simp*

then have $c \models \langle tm \rangle = c'$

by (*simp add: tm-step0-rel-def*)

then have $\vdash_t \{\lambda s. s \rightarrow_C c\} \text{ tm-imp-step tm } \{\lambda s. s \rightarrow_C c'\}$

using *tm-imp-step-correct'* **by** *blast*

then have $\models_t \{\lambda s. s \rightarrow_C c\} \text{ tm-imp-step tm } \{\lambda s. s \rightarrow_C c'\}$

using *hoaret-sound* **by** *blast*

then have $\forall s. s \rightarrow_C c \longrightarrow (\exists t. (\text{tm-imp-step tm}, s) \Rightarrow t \wedge t \rightarrow_C c')$

using *hoare-tvalid-def* **by** *simp*

moreover have $s \rightarrow_C c$

using *c-def* **by** *blast*

ultimately obtain *t* **where** *t-def*: $(\text{tm-imp-step tm}, s) \Rightarrow t \wedge (t \rightarrow_C c')$

using *hoare-tvalid-def* **by** *presburger*

have *a1*: $(cs \models \langle tm \rangle^* c')$

using $\langle c \models \langle tm \rangle = c' \rangle$ *c-def tm-steps0-rel-def* **by** *force*

have *a2*: $n > 0$ **proof** (*cases n = 0*)

case *True*

then have $\text{steps0 } c \text{ tm } n = c$

by *simp*

then have $\text{steps0 } c \text{ tm } n =_C c$

using *config-eq-refl'* **by** *simp*

moreover have $\text{steps0 } c \text{ tm } n =_C cf$

using *c-def* **by** *blast*

ultimately have $c =_C cf$

using *config-eq-trans' config-eq-sym'* **by** *blast*

moreover have $\neg \text{is-final } c \wedge \text{is-final } cf$

using *c-def assms* **by** *blast*

ultimately have *False*

```

    using config-eq-det-is-final' by simp
  then show ?thesis by simp
next
  case False
  then show ?thesis by simp
qed

obtain cf' where cf'-def: steps0 c tm n = cf'  $\wedge$  cf' =C cf
  using c-def by blast
then have steps0 c' tm (n-1) = cf'
  using a2 c'-def by (metis Suc-diff-1 steps.simps(2))
with cf'-def have a3: steps0 c' tm (n-1) =C cf
  by simp

have (tm-imp-step tm, s)  $\Rightarrow$  t  $\wedge$  ?Q t c'
  using t-def c'-def a1 a2 a3 by blast
then show  $\exists t. (tm-imp-step tm, s) \Rightarrow t \wedge (\exists c. ?Q t c)$ 
  by blast
qed

```

lemma *tm-imp-step-chain*:

```

  assumes is-final cf
  shows  $\vdash_t$ 
    { $\lambda s. \exists c. s \rightarrow_C c \wedge \neg is-final\ c \wedge steps0\ c\ tm\ n =_C\ cf \wedge (cs \models \langle tm \rangle^* c)$ }
    tm-imp-step tm
    { $\lambda s. \exists c. s \rightarrow_C c \wedge n > 0 \wedge steps0\ c\ tm\ (n-1) =_C\ cf \wedge (cs \models \langle tm \rangle^* c)$ }
  using assms hoaret-complete tm-imp-step-chain' by blast

```

Finally, we show that our `tm_imp_steps` program works as expected.

lemma *tm-imp-steps-correct-total*:

```

  assumes is-final cf and cs  $\models \langle tm \rangle^* cf$ 
  shows  $\vdash_t \{ \lambda s. s \rightarrow_C cs \} tm-imp-steps\ tm \{ \lambda s. s \rightarrow_C cf \}$ 

```

proof –

```

  let ?b = neq (N 0) (V vnS)
  let ?P =  $\lambda s. \exists c'. (cs \models \langle tm \rangle^* c') \wedge s \rightarrow_C c'$ 
  let ?T =  $\lambda s\ n. \exists c'. s \rightarrow_C c' \wedge steps0\ c'\ tm\ n =_C\ cf$ 

```

```

  have step:  $\bigwedge n. \vdash_t$ 
    { $\lambda s. ?P\ s \wedge bval\ ?b\ s \wedge ?T\ s\ n$ }
    tm-imp-step tm
    { $\lambda s. ?P\ s \wedge (\exists n' < n. ?T\ s\ n')$ }

```

proof –

```

  fix n :: nat

```

```

  let ?P' =  $\lambda s. \exists c. s \rightarrow_C c \wedge \neg is-final\ c \wedge steps0\ c\ tm\ n =_C\ cf \wedge (cs \models \langle tm \rangle^* c)$ 
  let ?Q' =  $\lambda s. \exists c. s \rightarrow_C c \wedge n > 0 \wedge steps0\ c\ tm\ (n-1) =_C\ cf \wedge (cs \models \langle tm \rangle^* c)$ 

```

```

  have p:  $\bigwedge s. (?P\ s \wedge bval\ ?b\ s \wedge ?T\ s\ n) \Longrightarrow (?P'\ s)$ 

```

proof –

```

  fix s :: impstate

```

assume $assm: ?P\ s \wedge \text{bval } ?b\ s \wedge ?T\ s\ n$

obtain c **where** $a1: s \rightarrow_C c \wedge (cs \models \langle tm \rangle =^* c)$

using $assm$ **by** $blast$

with $assm$ **have** $\exists c'. c =_C c' \wedge \text{steps0 } c' \text{ tm } n =_C cf$

using $\text{impstate-to-config-inv-det'}$ **by** $blast$

then obtain c' **where** $c =_C c' \wedge \text{steps0 } c' \text{ tm } n =_C cf$
by $blast$

then have $a2: \text{steps0 } c \text{ tm } n =_C cf$

using config-eq-trans' config-eq-steps0 **by** $blast$

have $s \text{ vnS} \neq 0$

using $assm$ **by** force

moreover obtain $st\ l\ r$ **where** $c = (st, l, r)$

using prod-cases3 **by** $blast$

ultimately have $a3: \neg \text{is-final } c$

using $a1$ **by** force

from $a1\ a2\ a3$ **show** $?P'\ s$ **by** $blast$

qed

have $q: \bigwedge s. (?Q'\ s) \implies ?P\ s \wedge (\exists n' < n. ?T\ s\ n')$

proof $-$

fix $s :: \text{impstate}$

assume $assm: ?Q'\ s$

have $a1: ?P\ s$

using $assm$ **by** $blast$

have $n > 0 \wedge ?T\ s\ (n-1)$

using $assm$ **by** $blast$

then have $a2: \exists n' < n. ?T\ s\ n'$

by $(\text{cases } n, \text{simp}, \text{auto})$

from $a1\ a2$ **show** $?P\ s \wedge (\exists n' < n. ?T\ s\ n')$ **by** $blast$

qed

show \vdash_t

$\{\lambda s. ?P\ s \wedge \text{bval } ?b\ s \wedge ?T\ s\ n\}$

$\text{tm-imp-step } tm$

$\{\lambda s. ?P\ s \wedge (\exists n' < n. ?T\ s\ n')\}$

by $(\text{rule } \text{conseq'}, \text{use } \text{tm-imp-step-chain}[\text{where } n=n] \text{ assms}(1) \text{ in fast; use } p\ q \text{ in blast})$

qed

have $\text{loop}: \vdash_t$

$\{\lambda s. ?P\ s \wedge (\exists n. ?T\ s\ n)\}$

$\text{tm-imp-steps } tm$

$\{\lambda s. ?P\ s \wedge \neg \text{bval } ?b\ s\}$

unfolding tm-imp-steps-def

by (*rule While, use step in simp*)
have $p: \bigwedge s. (s \rightarrow_C cs) \implies (?P\ s \wedge (\exists n. ?T\ s\ n))$
proof –
fix $s :: \text{impstate}$
assume $\text{assm}: s \rightarrow_C cs$

have $(cs \models \langle tm \rangle^* cs) \wedge s \rightarrow_C cs$
using assm **by** (*simp add: tm-steps0-rel-def*)
then have $a1: \exists c'. (cs \models \langle tm \rangle^* c') \wedge s \rightarrow_C c'$
by *blast*

obtain n **where** $\text{steps0}\ cs\ tm\ n =_C\ cf$
using $\text{assms}\ tm\text{-remaining-steps}\ \text{config-eq-refl}'$ **by** *blast*
then have $a2: (\exists n. ?T\ s\ n)$
using assm **by** *blast*

from $a1\ a2$ **show** $?P\ s \wedge (\exists n. ?T\ s\ n)$ **by** *blast*
qed

have $q: \bigwedge s. (?P\ s \wedge \neg \text{bval}\ ?b\ s) \implies (s \rightarrow_C cf)$
proof –
fix $s :: \text{impstate}$
assume $\text{assm}: ?P\ s \wedge \neg \text{bval}\ ?b\ s$

obtain c **where** $c\text{-def}: (cs \models \langle tm \rangle^* c) \wedge s \rightarrow_C c$
using assm **by** *blast*
then obtain $st\ l\ r$ **where** $c = (st, l, r)$
using prod-cases3 **by** *blast*
with assm **have** $\text{is-final}\ c$
using $c\text{-def}$ **by** *simp*
with $c\text{-def}$ **show** $s \rightarrow_C cf$
using $\text{assms}\ tm\text{-final-determined}$ **by** *blast*
qed

show $?thesis$ **by** (*rule conseq', use loop in fast; use p q in fast*)
qed

3.6 IMP is Turing-Complete

We can now prove the main theorem of this project: that IMP is Turing-Complete. In words, we show that for every possible Turing-Machine tm , there exists an IMP-program p , that fulfils the following two properties:

1. For every configuration c , if p is started in a state that represents c , when p terminates it does so in state that represents a configuration c' , where tm will also reach c' if started in c and which is a final-configuration, meaning the tm would also halt in exactly this configuration.
2. For every two configurations c_1 and c_2 , where c_2 is a final-configuration, and tm would

also reach (and halt) in c_2 if started in c_1 , then our program, if started in a state that represents c_1 , will terminate and its final state will represent c_2 .

This shows, that when our constructed program terminates, it does so in a state we expect. Furthermore, this also shows that our constructed program always terminates, when we expect it to.

theorem *IMP-is-TuringComplete*:

```
fixes tm :: tprog0
obtains p where  $\bigwedge c. \vdash \{\lambda s. s \rightarrow_C c\} p \{\lambda s. \exists c'. (s \rightarrow_C c') \wedge (c \models \langle tm \rangle^* c') \wedge \text{is-final } c'\}$ 
and  $\bigwedge c1\ c2. (\text{is-final } c2 \wedge (c1 \models \langle tm \rangle^* c2)) \implies (\vdash_t \{\lambda s. s \rightarrow_C c1\} p \{\lambda s. s \rightarrow_C c2\})$ 
using tm-imp-steps-correct-partial tm-imp-steps-correct-total by blast
```

The attentive reader will have noticed, that the first statement is formulated logically a bit differently, than described in words above. Precisely, we actually only show, that there exists a configuration c' , that is represented by the state s , and that is final and reachable from c , but not that is uniquely defined.

This problem again stems from the ambiguous definition of tapes in the imported definition. However, we have shown earlier in lemma [impstate_to_config_inv_det](#) that all possible configurations that could occur must be semantically equivalent with respect to our equivalence relation $=_C$.

With this, we have shown that IMP is Turing-Complete. \square

end — end-theory IMP_TuringComplete

4 Closing Remarks

4.1 Conclusion

We have shown that IMP, as introduced by Tobias Nipkow and Gerwin Klein in “Concrete Semantics”[2], is Turing-Complete, by constructing an IMP-program, that can simulate a Turing-Machine.

4.2 Future Work

A possible continuation of this project could be to show the Turing-Equivalence of IMP: that is, showing that IMP can also be simulated by a Turing-Machine. Although, the widely-accepted Church-Turing-thesis implies that IMP can not be more powerful than a Turing-Machine. [1]

Another interesting future work could be investigating how different language features change the powerfulness of IMP. For example, what effects would adding non-determinism (e.g. by an infinite loop statement) have, or what boundaries can be imposed without violating the Turing-Completeness.

Furthermore, this project could also be refined, replacing some abbreviations with definitions, making some proofs more difficult or require more facts, but also speeding up other proofs significantly. Some more utility lemmas can also be introduced, making some facts more directly derivable. Last, but not least, the Hoare pre-conditions and post-conditions may be refined in various facts, so that facts about them may be used more easily by other proofs.

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