

# IMP is Turing-Complete

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## **Abstract**

In this project we show the Turing-Completeness of the “simple imperative programming language” IMP, as described in “Concrete Semantics” by Tobias Nipkow and Gerwin Klein. We do this by showing, that every Turing-Machine can be simulated by an IMP-program.

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# 1 Introduction

## 1.1 Background

When investigating different models of computation, we often are interested in their expressiveness and powerfulness, that is determining which type of computations can be done. By a long-standing claim of Church and Turing we, to this day, believe that the most powerful machines we can have, are those that can perform every a computation a Turing-Machine can compute as well. [1] The most interesting question we can thus pose about a computational model, is whether it is *Turing-Complete* or not. That is, is the model capable of computing everything a Turing-Machine can compute. The most common approach is to either show, that a model can simulate any Turing-Machine, or that it can simulate a specific Turing-Machine: the Universal Turing Machine. [4]

## 1.2 Motivation

*IMP* is a “minimalistic imperative programming language” introduced by Nipkow and Klein in their works of formalising programming language semantics. [2] Although it is claimed to be Turing-Complete, a formal proof of this fact is not of my knowledge. Therefore, in this project, we attempt to fully prove the Turing-Completeness of *IMP*.

## 1.3 Proof Sketch

Since the definition of Turing-Machines we use only requires two state symbols (*Bk* and *Oc*), we can make use of a binary representation to encode tapes, where 0 represents the blank symbol *Bk*, while 1 represents the other symbol *Oc*. That two state symbols are sufficient to simulate any Turing-Machine, given enough states, has already been shown by Claude E. Shannon in 1956.[3]

This is also beneficial, as it allows us to represent an infinite tape, without having to deal with actual infinite data structures, since a natural number has an infinite amount of zeroes in its binary representation in theory. Pushing and reading from the tape can then be achieved using only multiplication by two, integer-division by two and its remainder.

We will later construct an *IMP*-program that stores the infinite tapes to the left and right in two variables, and uses two more variables to store the current state and head. The *IMP*-program will then repeat until it reaches a final state, executing the next transition of the Turing-Machine with each step.

We can then show that when the *IMP*-program terminates, its variables represent the same configuration the “normal” Turing-Machine would have when it would terminate.

## 1.4 Dependencies

We use the Hoare Logic for both Partial and Total Correctness of *IMP* to prove our constructed program correct. Furthermore, we take an existing definition of Turing-Machines from the AFP[5], although we will create a slightly different intermediate definition later on.

```
theory IMP-TuringComplete
  imports
    HOL-IMP.Hoare-Total
```

**begin** — begin-theory IMP\_TuringComplete

During the time of writing, the Hoare Logic was the most powerful and latest introduced tool for reasoning about semantics of my knowledge. There are surely ways to make some proofs shorter and more elegant. However, I took it as a challenge and exercise to use a Hoare Logic and only it, meaning also no Verification Condition Generation.

## 2 Intermediate Representation

The imported definition of Turing-Machines defines a tape-configuration slightly differently than usual, deviating from the standard 3-tuple  $(L, H, R)$ , containing the infinite tape to the left and right and the current head symbol, by only using the 2-tuple  $(L, R)$ , where the head symbol is the first symbol on the right tape.

While this definition may seem to make next to no difference, we will save us much trouble by defining an intermediate representation using the standard 3-tuple right away. Furthermore, we will directly encode the infinite tapes to the left and right as natural numbers, which will make our definition both more well-defined, see section 2.1.1, and make some later proofs easier.

### 2.1 Tape Translation

#### 2.1.1 Defining Tape Equivalence

The definition of Turing-Machines in the “Universal Turing Machine”[5] project allows for inherently ambiguous tapes (namely having trailing blanks). Since the tapes have infinite trailing blanks in theory, this effectively means that the standard  $=$  relation is insufficient.

Because of this, we define a custom equality relation  $=_T$ , which specifies the equality of the tape contents. To make things easier for us later, we also define a custom equality relation  $=_C$ , which specifies the equality of an entire TM-configuration.

**fun** *tape-eq* :: *cell list*  $\Rightarrow$  *cell list*  $\Rightarrow$  *bool* (**infix**  $=_T$  55) **where**

$((x\#xs) =_T (y\#ys)) = ((x = y) \wedge (xs =_T ys)) \mid$   
 $((x\#xs) =_T []) = ((x = Bk) \wedge (xs =_T [])) \mid$   
 $([] =_T (y\#ys)) = ((y = Bk) \wedge ([] =_T ys)) \mid$   
 $([] =_T []) = True$

**fun** *config-eq* :: *config*  $\Rightarrow$  *config*  $\Rightarrow$  *bool* (**infix**  $=_C$  55) **where**

$((s1, l1, r1) =_C (s2, l2, r2)) = ((s1 = s2) \wedge (l1 =_T l2) \wedge (r1 =_T r2))$

**lemma** *tape-eq-correct*:

**assumes**  $xs =_T ys$

**shows**  $read\ xs = read\ ys$  **and**  $tl\ xs =_T tl\ ys$

**using** *assms* **by** (*induction xs ys rule: tape-eq.induct*) *simp+*

**Future Note:** Given the latest lecture I am now convinced a much more elegant solution for this would have been to use equivalence classes and/or a quotient datatype. Sadly, during the time of writing I was not aware of this feature, which now introduces this rather complicated custom equivalence relation.

We now prove some generic properties of the equality relation, namely reflexivity, symmetry and transitivity.

**lemma** *tape-eq-refl*:  $xs =_T xs$   
**by** (*induction xs*) *simp*+

**lemma** *config-eq-refl*:  $(s, l, r) =_C (s, l, r)$   
**using** *tape-eq-refl* **by** *simp*

**lemma** *config-eq-refl'*:  $c =_C c$   
**using** *prod-cases3 config-eq-refl* **by** *metis*

**lemma** *tape-eq-sym*:  $xs =_T ys \implies ys =_T xs$   
**by** (*induction xs ys rule: tape-eq.induct*) *simp*+

**lemma** *config-eq-sym*:  $(s1, l1, r1) =_C (s2, l2, r2) \implies (s2, l2, r2) =_C (s1, l1, r1)$   
**using** *tape-eq-sym* **by** *simp*

**lemma** *config-eq-sym'*:  $c1 =_C c2 \implies c2 =_C c1$   
**using** *prod-cases3 config-eq-sym* **by** *metis*

**lemma** *tape-eq-trans*:  $xs =_T ys \implies ys =_T zs \implies xs =_T zs$

**proof** (*induction xs zs arbitrary: ys rule: tape-eq.induct*)

**case** (*1 x xs z zs*)

**then show** *?case* **by** (*induction ys*) *force*+

**next**

**case** (*2 x xs*)

**then show** *?case* **by** (*induction ys*) *force*+

**next**

**case** (*3 z zs*)

**then show** *?case* **by** (*induction ys*) *force*+

**next**

**case** *4*

**then show** *?case* **by** *simp*

**qed**

**lemma** *config-eq-trans*:

**assumes**  $(s1, l1, r1) =_C (s2, l2, r2)$

**and**  $(s2, l2, r2) =_C (s3, l3, r3)$

**shows**  $(s1, l1, r1) =_C (s3, l3, r3)$

**using** *assms tape-eq-trans[of l1 l2 l3] tape-eq-trans[of r1 r2 r3]* **by** *simp*

**lemma** *config-eq-trans'*:  $c1 =_C c2 \implies c2 =_C c3 \implies c1 =_C c3$

**using** *prod-cases3 config-eq-trans* **by** *metis*

## 2.1.2 Translation: Tape $\iff$ Natural Numbers

We want to use natural numbers, specifically their binary representation, to encode tapes. This has the benefit of uniquely identifying tapes, since theoretically the binary representations also have an infinite number of zeroes (the blank symbol).

Using the binary representation means shifting the tape is as simple as multiplying or dividing by 2, to extract the top element we can use modulo 2.

**fun** *cell-to-nat* :: *cell*  $\Rightarrow$  *nat* **where**

*cell-to-nat* *Bk* = 0 |

*cell-to-nat* *Oc* = 1

**fun** *nat-to-cell* :: *nat*  $\Rightarrow$  *cell* **where**

*nat-to-cell* 0 = *Bk* |

*nat-to-cell* *n* = *Oc*

**fun** *tape-to-nat* :: *cell list*  $\Rightarrow$  *nat* **where**

*tape-to-nat* (*x#xs*) = 2 \* *tape-to-nat xs* + (*cell-to-nat x*) |

*tape-to-nat* [] = 0

**lemma** *tape-to-nat-det*:

**assumes** *xs* =<sub>T</sub> *ys*

**shows** *tape-to-nat xs* = *tape-to-nat ys*

**using** *assms* **by** (*induction xs ys rule: tape-eq.induct*) *simp*+

**fun** *nat-to-tape* :: *nat*  $\Rightarrow$  *cell list* **where**

*nat-to-tape* 0 = [] |

*nat-to-tape* *n* = (*nat-to-cell (n mod 2)*)#(*nat-to-tape (n div 2)*)

### 2.1.3 Tape Operations

We can now prove that multiplication, division and modulo behave as expected.

**lemma** *mul2-is-push-bk*:

**assumes** *nat-to-tape n* =<sub>T</sub> *xs*

**shows** *nat-to-tape (2\*n)* =<sub>T</sub> (*Bk#xs*)

**using** *assms* **by** (*cases n*) *simp*+

**lemma** *mul2-is-push-oc*:

**assumes** *nat-to-tape n* =<sub>T</sub> *xs*

**shows** *nat-to-tape (2\*n + 1)* =<sub>T</sub> (*Oc#xs*)

**using** *assms* **by** *simp*

**lemma** *mul2-is-push*:

**assumes** *nat-to-tape n* =<sub>T</sub> *xs*

**shows** *nat-to-tape (2\*n + cell-to-nat x)* =<sub>T</sub> (*x#xs*)

**proof** (*cases x*)

**case** *Bk* **thus** ?thesis **by** (*simp add: assms mul2-is-push-bk*)

**next**

**case** *Oc* **thus** ?thesis **by** (*simp add: assms mul2-is-push-oc*)

**qed**

**lemma** *div2-is-pop*:

**assumes** *nat-to-tape n* =<sub>T</sub> *xs*

**shows** *nat-to-tape (n div 2)* =<sub>T</sub> *tl xs*

**proof** (*cases n  $\neq$  0*)

```

case True
then have nat-to-tape  $n \neq []$ 
  using nat-to-tape.elims by blast
then obtain  $y\ ys$  where  $ys\text{-def}: (y\#ys) = \text{nat-to-tape } n$ 
  by (metis nat-to-tape.elims)
then have  $ys =_T \text{tl } xs$ 
  by (metis assms list.collapse list.sel(2) tape-eq.simps(1) tape-eq.simps(2))
moreover have  $ys = \text{nat-to-tape } (n \text{ div } 2)$ 
  by (metis  $ys\text{-def}$  True list.inject nat-to-tape.elims)
ultimately show ?thesis
  by simp
next
case False
with assms show ?thesis by (cases  $xs$ ) (use tape-eq.simps in simp)+
qed

```

lemma mod2-is-read:

```

assumes nat-to-tape  $n =_T xs$ 
shows nat-to-cell  $(n \bmod 2) = \text{read } xs$ 
proof (cases  $n \neq 0$ )
case True
then have nat-to-tape  $n \neq []$ 
  using nat-to-tape.elims by blast
then obtain  $y\ ys$  where  $ys\text{-def}: (y\#ys) = \text{nat-to-tape } n$ 
  by (metis nat-to-tape.elims)
then have  $\text{read } xs = y$ 
proof (cases  $xs$ )
case Nil
then have  $(y\#ys) =_T []$ 
  using assms  $ys\text{-def}$  by simp
then show ?thesis
  using tape-eq.simps(2) Nil by simp
next
case (Cons  $x\ xs$ )
then have  $(y\#ys) =_T (x\#xs)$ 
  using assms  $ys\text{-def}$  by simp
then show ?thesis
  using tape-eq.simps(1)[of  $y\ ys\ x\ xs$ ] Cons by simp
qed
moreover have nat-to-cell  $(n \bmod 2) = y$ 
  by (metis  $ys\text{-def}$  True list.inject nat-to-tape.elims)
ultimately show ?thesis by simp
next
case False
with assms show ?thesis by (cases  $xs$ ) (use tape-eq.simps in simp)+
qed

```

lemma nat-to-cell-ident:  $n < 2 \implies \text{cell-to-nat } (\text{nat-to-cell } n) = n$   
 by (induction  $n$  rule: nat-to-cell.induct) simp+

**lemma** *cell-to-nat-ident*:  $\text{nat-to-cell} (\text{cell-to-nat } c) = c$   
 by (*cases* *c*) *simp*+

**lemma** *nat-to-tape-ident*:  $\text{tape-to-nat} (\text{nat-to-tape } n) = n$   
 by (*induction* *n* *rule*: *nat-to-tape.induct*) (*use* *nat-to-cell-ident* **in** *simp*)+

**lemma** *tape-to-nat-ident*:  $\text{nat-to-tape} (\text{tape-to-nat } xs) =_T xs$   
**proof** (*induction* *xs* *rule*: *tape-to-nat.induct*)  
 case (*1* *x* *xs*)  
 then show ?*case* by (*cases* *x*) (*simp* *add*: *mul2-is-push-bk*) +  
**next**  
 case *2*  
 then show ?*case* by *simp*  
**qed**

**lemma** *tape-to-nat-ident-read*:  $\text{nat-to-cell} ((\text{tape-to-nat } xs) \bmod 2) = \text{read } xs$   
**proof** (*cases* *xs*)  
 case *Nil*  
 then show ?*thesis* by *simp*  
**next**  
 case (*Cons* *x* *xs*)  
 then show ?*thesis* by (*cases* *x*) *simp* +  
**qed**

**lemma** *tape-to-nat-ident-tl*:  $\text{nat-to-tape} ((\text{tape-to-nat } xs) \text{ div } 2) =_T \text{tl } xs$   
**proof** (*cases* *xs*)  
 case *Nil*  
 then show ?*thesis* by *simp*  
**next**  
 case (*Cons* *x* *xs*)  
 then show ?*thesis* by (*cases* *x*) (*use* *tape-to-nat-ident* **in** *simp*) +  
**qed**

**lemma** *tape-eq-merge*:  $r' =_T \text{tl } r \implies \text{read } r = h \implies h \# r' =_T r$   
 by (*cases* *r*) *simp* +

**lemma** *tape-eq-merge2*:  $r \neq [] \implies \text{hd } r \# \text{nat-to-tape} (\text{tape-to-nat} (\text{tl } r)) =_T r$   
 by (*simp* *add*: *tape-eq-merge* *tape-to-nat-ident*)

## 2.2 Intermediate State

Our intermediate-tape is a 3-tuple  $(L, H, R)$ , where:

- $L$  is the infinite tape to the left, represented as a natural number
- $R$  is the infinite tape to the right, represented as a natural number
- $H$  is the symbol at the current head

**type-synonym** *itape* =  $\text{nat} \times \text{cell} \times \text{nat}$



The complete intermediate-state is then a 4-tuple  $(S, L, H, R)$ , where:

- $S$  is the current state of the TM
- $(L, H, R)$  is the intermediate-tape, as described above

**type-synonym**  $istate = state \times itape$

**fun**  $config\text{-}to\text{-}istate :: config \Rightarrow istate$  **where**  
 $config\text{-}to\text{-}istate (s, l, r) = (s, tape\text{-}to\text{-}nat\ l, read\ r, tape\text{-}to\text{-}nat\ (tl\ r))$

**lemma**  $config\text{-}to\text{-}istate\text{-}det$ :

**assumes**  $(s1, l1, r1) =_C (s2, l2, r2)$   
**shows**  $config\text{-}to\text{-}istate (s1, l1, r1) = config\text{-}to\text{-}istate (s2, l2, r2)$

**proof** –

**let**  $?s1 = (s1, l1, r1)$   
**let**  $?s2 = (s2, l2, r2)$

**obtain**  $s1' l1' h1' r1'$  **where**  $st1\text{-}def: (s1', l1', h1', r1') = config\text{-}to\text{-}istate\ ?s1$   
**by**  $simp$   
**obtain**  $s2' l2' h2' r2'$  **where**  $st2\text{-}def: (s2', l2', h2', r2') = config\text{-}to\text{-}istate\ ?s2$   
**by**  $simp$

**have**  $s1 = s2$   
**using**  $assms$  **by**  $simp$   
**then have**  $s\text{-}eq: s1' = s2'$   
**using**  $st1\text{-}def\ st2\text{-}def$  **by**  $simp$

**have**  $l1 =_T l2$   
**using**  $assms$  **by**  $simp$   
**then have**  $l\text{-}eq: l1' = l2'$   
**using**  $st1\text{-}def\ st2\text{-}def$  **by**  $(simp\ add: tape\text{-}to\text{-}nat\text{-}det)$

**have**  $r1 =_T r2$   
**using**  $assms$  **by**  $simp$   
**moreover have**  $tl\ r1 =_T tl\ r2$   
**using**  $tape\text{-}eq\text{-}correct\ calculation$  **by**  $simp$   
**ultimately have**  $h\text{-}eq: h1' = h2'$  **and**  $r\text{-}eq: r1' = r2'$   
**using**  $tape\text{-}eq\text{-}correct\ tape\text{-}to\text{-}nat\text{-}det\ st1\text{-}def\ st2\text{-}def$  **by**  $simp+$

**from**  $s\text{-}eq\ l\text{-}eq\ h\text{-}eq\ r\text{-}eq$  **show**  $?thesis$   
**using**  $st1\text{-}def\ st2\text{-}def$  **by**  $simp$

**qed**

**lemma**  $config\text{-}to\text{-}istate\text{-}det'$ :

**assumes**  $c1 =_C c2$   
**shows**  $config\text{-}to\text{-}istate\ c1 = config\text{-}to\text{-}istate\ c2$   
**using**  $assms\ prod\text{-}cases3\ config\text{-}to\text{-}istate\text{-}det$  **by**  $metis$

**fun**  $istate\text{-}to\text{-}config :: istate \Rightarrow config$  **where**

$istate\text{-}to\text{-}config\ (s, l, h, r) = (s, nat\text{-}to\text{-}tape\ l, h\#(nat\text{-}to\text{-}tape\ r))$

**lemma** *config-to-istate-ident*:  $istate\text{-}to\text{-}config\ (config\text{-}to\text{-}istate\ (s, l, r)) =_C (s, l, r)$   
**by** (*simp add: tape-to-nat-ident tape-eq-merge2*)

**lemma** *config-to-istate-ident'*:  $istate\text{-}to\text{-}config\ (config\text{-}to\text{-}istate\ c) =_C c$   
**using** *prod-cases3 config-to-istate-ident* **by** *metis*

**lemma** *istate-to-config-ident*:  $config\text{-}to\text{-}istate\ (istate\text{-}to\text{-}config\ (s, l, h, r)) = (s, l, h, r)$   
**by** (*simp add: nat-to-tape-ident*)

**lemma** *istate-to-config-ident'*:  $config\text{-}to\text{-}istate\ (istate\text{-}to\text{-}config\ st) = st$   
**using** *prod-cases3 istate-to-config-ident* **by** *metis*

**lemma** *istate-to-config-l*:  $istate\text{-}to\text{-}config\ (s, l, h, r) = (s', l', r') \implies nat\text{-}to\text{-}tape\ l =_T l'$   
**using** *config-eq-refl* **by** *simp*

**lemma** *istate-to-config-r*:  $istate\text{-}to\text{-}config\ (s, l, h, r) = (s', l', r') \implies nat\text{-}to\text{-}tape\ r =_T tl\ r'$   
**using** *config-eq-refl* **by** (*cases r'*) *simp+*

**lemma** *istate-to-config-h*:  $istate\text{-}to\text{-}config\ (s, l, h, r) = (s', l', r') \implies h = read\ r'$   
**by** (*cases r'*) *simp+*

**lemma** *istate-to-config-s*:  $istate\text{-}to\text{-}config\ (s, l, h, r) = (s', l', r') \implies s = s'$   
**by** *simp*

## 2.3 Single-Step Execution

**fun** *iupdate* :: *instr*  $\Rightarrow$  *istate*  $\Rightarrow$  *istate* **where**  
*iupdate* (*WB*, *s'*) (*s*, *l*, *h*, *r*) = (*s'*, *l*, *Bk*, *r*) |  
*iupdate* (*WO*, *s'*) (*s*, *l*, *h*, *r*) = (*s'*, *l*, *Oc*, *r*) |  
*iupdate* (*L*, *s'*) (*s*, *l*, *h*, *r*) = (*s'*, *l* div 2, *nat-to-cell* (*l* mod 2), *2\*r* + *cell-to-nat* *h*) |  
*iupdate* (*R*, *s'*) (*s*, *l*, *h*, *r*) = (*s'*, *2\*l* + *cell-to-nat* *h*, *nat-to-cell* (*r* mod 2), *r* div 2) |  
*iupdate* (*Nop*, *s'*) (*s*, *l*, *h*, *r*) = (*s'*, *l*, *h*, *r*)

**lemma** *iupdate-correct*:  
**assumes** (*s'*, *l'*, *h'*, *r'*) = *config-to-istate* (*s*, *l*, *r*)  
**shows**  $istate\text{-}to\text{-}config\ (iupdate\ a, s'') (s', l', h', r') =_C (s'', update\ a\ (l, r))$   
**proof** (*cases a*)  
**case** *WB*  
**then show** *?thesis* **by** (*simp add: assms tape-to-nat-ident*)  
**next**  
**case** *WO*  
**then show** *?thesis* **by** (*simp add: assms tape-to-nat-ident*)  
**next**  
**case** *L*  
**then have**  $iupdate\ (a, s'') (s', l', h', r') = (s'', l' div 2, nat\text{-}to\text{-}cell\ (l' mod 2), 2*r' + cell\text{-}to\text{-}nat\ h')$   
**by** *simp*  
**moreover have**  $(s'', update\ a\ (l, r)) = (s'', tl\ l, (read\ l)\#r)$

```

    using L by (cases l) simp+
  moreover have nat-to-tape (l' div 2) =T tl l
    using assms by (simp add: tape-to-nat-ident div2-is-pop)
  moreover have nat-to-tape (2*r' + cell-to-nat h') =T h'#(tl r)
    using assms by (simp add: tape-to-nat-ident mul2-is-push)
  moreover have h'#(tl r) =T r
    using assms by (simp add: tape-eq-refl)
  moreover have nat-to-tape (2*r' + cell-to-nat h') =T r
    using calculation(4) calculation(5) tape-eq-trans by blast
  ultimately show ?thesis
    using assms by (simp add: mod2-is-read tape-to-nat-ident)
next
case R
  then have iupdate (a, s'') (s', l', h', r') = (s'', 2*l' + cell-to-nat h', nat-to-cell (r' mod 2),
r' div 2)
    by simp
  moreover have (s'', update a (l, r)) = (s'', (read r)#l, tl r)
    using R by (cases r) simp+
  moreover have nat-to-tape (r' div 2) =T tl (tl r)
    using assms by (simp add: tape-to-nat-ident div2-is-pop)
  moreover have nat-to-tape (2*l' + cell-to-nat h') =T h'#l
    using assms by (simp add: tape-to-nat-ident mul2-is-push)
  moreover have h'#l =T (read r)#l
    using assms by (simp add: tape-eq-refl)
  moreover have nat-to-tape (2*l' + cell-to-nat h') =T (read r)#l
    using calculation(4) calculation(5) tape-eq-trans by blast
  moreover have (nat-to-cell (r' mod 2))#nat-to-tape (r' div 2) =T tl r
    using calculation(3) assms tape-to-nat-ident-read tape-to-nat-ident-tl tape-eq-merge by simp
  ultimately show ?thesis
    using assms by simp
next
case Nop
  then show ?thesis by (simp add: assms tape-to-nat-ident tape-eq-merge)
qed

```

**lemma** *config-eq-det-is-final*:

```

  assumes (s1, l1, r1) =C (s2, l2, r2)
  shows is-final (s1, l1, r1) = is-final (s2, l2, r2)
  using assms by simp

```

**lemma** *config-eq-det-is-final'*:  $c1 =_C c2 \implies \text{is-final } c1 = \text{is-final } c2$

```

  using config-eq-det-is-final prod-cases3 by metis

```

## 2.4 Correct-Step Execution

### 2.4.1 Instruction-Index

The UTM project we use as dependency executes step, by calculating an instruction index. Turing-Machines are represented as lists of instructions and the corresponding instruction is then executed.

The original calculation of this index is:

$$i := 2s + h$$

where  $s$  is the current state and  $h$  is the current head symbol (0 for  $Bk$ , 1 for  $Oc$ ).

**fun** *istate-to-index* :: *istate*  $\Rightarrow$  *nat* **where**  
*istate-to-index* ( $s, l, h, r$ ) =  $2*s + \text{cell-to-nat } h$

**abbreviation** *config-to-index* :: *config*  $\Rightarrow$  *nat* **where**  
*config-to-index*  $c \equiv \text{istate-to-index } (\text{config-to-istate } c)$

When executing the corresponding instruction, the UTM project first checks if we are in a final state, and would short-circuit to execute a *Nop* instruction. However, we avoid this short-circuiting by calculating the real instruction index. If it were a final state ( $s = 0$ ), the resulting index would be 0 or 1. Thereby, we simply “prepend” two *Nop* instructions to the Turing-Machine. The actual implementation is a bit different and more ugly, by actually checking the value, however the behavior is semantically identical.

**abbreviation** *load-instr* :: *tprog0*  $\Rightarrow$  *nat*  $\Rightarrow$  *instr* (**infix** @<sub>I</sub> 55) **where**  
 $tm @_I i \equiv (\text{if } i < (2 + \text{length } tm) \wedge i \geq 2 \text{ then } tm!(i-2) \text{ else } (Nop, 0))$

**lemma** *load-instr-correct*:

$$tm @_I (\text{istate-to-index } (s, l, h, r)) = \text{fetch } tm \ s \ h$$

**proof** (*induction*  $tm \ s \ h$  *rule*: *fetch.induct*)

**case** (1  $p \ b$ )

**then show** ?*case* **by** (*cases*  $b$ ) *simp*+

**next**

**case** (2  $p \ s$ )

**then show** ?*case* **by** *simp*

**next**

**case** (3  $p \ s$ )

**then show** ?*case* **by** *simp*

**qed**

## 2.4.2 Instruction Execution

We now define step functions on our intermediate-state. Furthermore, we can prove that the instruction-index as explained above works as expected.

**fun** *istep* :: *tprog0*  $\Rightarrow$  *istate*  $\Rightarrow$  *istate* **where**  
*istep*  $tm \ (s, l, h, r) = \text{iupdate } (\text{fetch } tm \ s \ h) \ (s, l, h, r)$

**lemma** *istep-eq*:

$$\text{istep } tm \ (s, l, h, r) = \text{iupdate } (tm @_I (\text{istate-to-index } (s, l, h, r))) \ (s, l, h, r)$$

**using** *load-instr-correct* **by** *simp*

**lemma** *istep-index-skip*:

**assumes**  $\text{istate-to-index } (s, l, h, r) \geq 2 + (\text{length } tm)$

**shows**  $\text{istep } tm \ (s, l, h, r) = (0, l, h, r)$

**using** *assms istep-eq* **by** *simp*

**lemma** *istep-index-skip'*:

**assumes** *istate-to-index*  $st \geq 2 + (\text{length } tm)$   
**shows** *istep*  $tm \ st = iupdate \ (Nop, 0) \ st$   
**using** *assms istep-index-skip prod-cases4 iupdate.simps(5)* **by** *metis*

**lemma** *istep-index-correct*:

**assumes** *istate-to-index*  $(s, l, h, r) < 2 + (\text{length } tm)$   
**shows** *istep*  $tm \ (s, l, h, r) = iupdate \ (tm \ @_I \ (istate-to-index \ (s, l, h, r))) \ (s, l, h, r)$   
**using** *assms istep-eq* **by** *simp*

**lemma** *istep-index-correct'*:

**assumes** *istate-to-index*  $st < 2 + (\text{length } tm)$   
**shows** *istep*  $tm \ st = iupdate \ (tm \ @_I \ (istate-to-index \ st)) \ st$

**proof** –

**obtain**  $s \ l \ h \ r$  **where**  $st = (s, l, h, r)$   
**using** *prod-cases4* **by** *blast*  
**then show** *?thesis*  
**using** *assms istep-index-correct* **by** *simp*

**qed**

**lemma** *istep-correct*:

**assumes**  $(s1, l1, r1) \models \langle tm \rangle = (s2, l2, r2)$   
**shows** *istep*  $tm \ (config-to-istate \ (s1, l1, r1)) = config-to-istate \ (s2, l2, r2)$

**proof** –

**let**  $?s1 = (s1, l1, r1)$   
**let**  $?s2 = (s2, l2, r2)$

**obtain**  $s1' \ l1' \ h1' \ r1'$  **where**  $st1\text{-def}: (s1', l1', h1', r1') = config-to-istate \ ?s1$   
**by** *simp*  
**obtain**  $s2' \ l2' \ h2' \ r2'$  **where**  $st2\text{-def}: (s2', l2', h2', r2') = config-to-istate \ ?s2$   
**by** *simp*

**let**  $?st1 = (s1', l1', h1', r1')$   
**let**  $?st2 = (s2', l2', h2', r2')$

**obtain**  $i1$  **where**  $i1\text{-def}: i1 = fetch \ tm \ s1 \ (read \ r1)$   
**by** *simp*  
**obtain**  $i2$  **where**  $i2\text{-def}: i2 = tm \ @_I \ istate-to-index \ ?st1$   
**by** *simp*  
**have**  $read \ r1 = h1'$  **and**  $s1 = s1'$   
**using**  $st1\text{-def}$  **by** *simp+*  
**with**  $i1\text{-def} \ i2\text{-def}$  **have**  $i1 = i2$   
**using** *load-instr-correct* **by** *simp*

**obtain**  $a \ s'$  **where**  $i1 = (a, s')$  **and**  $i2 = (a, s')$   
**using**  $\langle i1 = i2 \rangle$  **by** *fastforce*

**have**  $step0 \ ?s1 \ tm = ?s2$   
**using** *assms* **by** (*simp add: tm-step0-rel-def*)

**then have**  $a1: ?s2 = (s', \text{update } a (l1, r1))$   
**using**  $i1\text{-def } \langle i1 = (a, s') \rangle$  **by** *force*

**have**  $a2: \text{istep } tm \ ?st1 = \text{iupdate } i2 \ ?st1$   
**using**  $i2\text{-def } \text{istep-eq}$  **by** *simp*

**have**  $\text{istate-to-config } (\text{iupdate } i2 \ ?st1) =_C (s', \text{update } a (l1, r1))$   
**using**  $\text{iupdate-correct } st1\text{-def } \langle i1 = i2 \rangle \langle i2 = (a, s') \rangle$  **by** *simp*

**then have**  $\text{istate-to-config } (\text{istep } tm \ ?st1) =_C ?s2$   
**using**  $a1 \ a2$  **by** *simp*

**then have**  $\text{config-to-istate } (\text{istate-to-config } (\text{istep } tm \ ?st1)) = \text{config-to-istate } ?s2$   
**using**  $\text{config-to-istate-det'}$  **by** *simp*

**then have**  $\text{istep } tm \ (\text{config-to-istate } ?s1) = \text{config-to-istate } ?s2$   
**using**  $st1\text{-def } \text{istate-to-config-ident'}$  **by** *simp*

**then show**  $?thesis$  .

**qed**

**lemma**  $\text{istep-correct'}$ :  
**assumes**  $c1 \models \langle tm \rangle = c2$   
**shows**  $\text{istep } tm \ (\text{config-to-istate } c1) = \text{config-to-istate } c2$   
**using**  $\text{assms } \text{istep-correct } \text{prod-cases3}$  **by** *metis*

**lemma**  $\text{config-eq-step0}$ :  
**assumes**  $c1 =_C c2$   
**shows**  $\text{step0 } c1 \ tm =_C \text{step0 } c2 \ tm$

**proof** –

**have**  $\text{config-to-istate } c1 = \text{config-to-istate } c2$   
**using**  $\text{config-to-istate-det'}$   $\text{assms}$  **by** *simp*

**then obtain**  $s$  **where**  $s\text{-def1}: s = \text{config-to-istate } c1$   
**and**  $s\text{-def2}: s = \text{config-to-istate } c2$   
**by** *simp*

**obtain**  $c1'$  **where**  $c1'\text{-def}: c1 \models \langle tm \rangle = c1'$   
**by** (*simp add: tm-step0-rel-def*)

**then have**  $\text{step1}: \text{istep } tm \ s = \text{config-to-istate } c1'$   
**using**  $s\text{-def1 } \text{istep-correct'}$  **by** *simp*

**obtain**  $c2'$  **where**  $c2'\text{-def}: c2 \models \langle tm \rangle = c2'$   
**by** (*simp add: tm-step0-rel-def*)

**then have**  $\text{step2}: \text{istep } tm \ s = \text{config-to-istate } c2'$   
**using**  $s\text{-def2 } \text{istep-correct'}$  **by** *simp*

**have**  $\text{config-to-istate } c1' = \text{config-to-istate } c2'$   
**using**  $\text{step1 } \text{step2}$  **by** *simp*

**then have**  $c1' =_C c2'$   
**by** (*metis config-to-istate-ident' config-eq-trans' config-eq-sym'*)

**with**  $c1'\text{-def } c2'\text{-def}$  **show**  $?thesis$   
**by** (*simp add: tm-step0-rel-def*)

**qed**

**lemma** *config-eq-steps0*:  $c1 =_C c2 \implies \text{steps0 } c1 \text{ tm } n =_C \text{steps0 } c2 \text{ tm } n$   
**by** (*induction n*) (*use config-eq-step0 in simp*)+

### 3 Constructing the IMP-Program

We now have a sufficient foundation to start constructing the IMP-program, that will later simulate an arbitrary Turing-Machine.

#### 3.1 Translation: Intermediate State $\iff$ IMP-State

First, we need to establish a mapping between our previously defined intermediate-state and an IMP-state. An IMP-state is mapping  $vnname \rightarrow int$  of variables to their values. Our IMP-program will need no more than five variables:

**abbreviation**  $vnS \equiv \text{"tm-state"}$

— Stores the current head symbol.

**abbreviation**  $vnH \equiv \text{"tm-head"}$

— Stores the infinite tape to left.

**abbreviation**  $vnL \equiv \text{"tm-left"}$

— Stores the infinite tape to right.

**abbreviation**  $vnR \equiv \text{"tm-right"}$

— This is a utility variable, which doesn't store any additional information, but will later be used as an index to execute the correct step.

**abbreviation**  $vnSI \equiv \text{"tm-state-index"}$

**type-synonym**  $impstate = AExp.state$

Having this distinction of variables makes some proofs later a bit easier, but introduces the problem of invalid states. For example, the tapes are encoded as natural numbers, but the variables can have any integer, including negative numbers.

To preserve a unique and valid state, we introduce an invariant, which ensures the variables we use have a proper value.

**abbreviation**  $impstate-inv :: impstate \Rightarrow bool$  **where**

$impstate-inv \ s \equiv (s \ vnS \geq 0 \wedge (s \ vnH = 0 \vee s \ vnH = 1) \wedge s \ vnL \geq 0 \wedge s \ vnR \geq 0)$

Finally, we can define the translation between IMP-states and our intermediate-states:

**abbreviation**  $istate-to-impstate :: impstate \Rightarrow istate \Rightarrow impstate$  **where**

$istate-to-impstate \ b \ st \equiv ($   
 $\quad let \ (s, l, h, r) = st \ in$   
 $\quad b \ (vnS := int \ s, vnH := int \ (cell-to-nat \ h), vnL := int \ l, vnR := int \ r)$   
 $\quad )$

**abbreviation**  $impstate-to-istate :: impstate \Rightarrow istate$  **where**

$impstate-to-istate \ s \equiv (nat \ (s \ vnS), nat \ (s \ vnL), nat-to-cell \ (nat \ (s \ vnH)), nat \ (s \ vnR))$

It can be shown, that every possible intermediate-state will always map to an IMP-state, that satisfies our previously defined invariant:

**lemma** *istate-to-impstate-inv: impstate-inv (istate-to-impstate b st)*

**proof** –

**obtain**  $s\ l\ h\ r$  **where**  $st = (s, l, h, r)$

**using** *prod-cases4* **by** *blast*

**then show** *?thesis*

**by** (*cases h*) *simp+*

**qed**

Furthermore, we can also show that our translation is bijective:

**lemma** *istate-to-impstate-ident: impstate-to-istate (istate-to-impstate b st) = st*

**proof** –

**obtain**  $s\ l\ h\ r$  **where**  $st = (s, l, h, r)$

**using** *prod-cases4* **by** *blast*

**then show** *?thesis*

**by** (*cases h*) *simp+*

**qed**

Using the previously established mapping between TM-configurations and intermediate-states, and the now defined mapping between intermediate-states and IMP-states, we finally define the chained mapping between TM-configurations and IMP-states:

**abbreviation** *config-to-impstate* :: *impstate*  $\Rightarrow$  *config*  $\Rightarrow$  *impstate* **where**

*config-to-impstate s c*  $\equiv$  *istate-to-impstate s (config-to-istate c)*

**abbreviation** *impstate-to-config* :: *impstate*  $\Rightarrow$  *config* **where**

*impstate-to-config s*  $\equiv$  *istate-to-config (impstate-to-istate s)*

## 3.2 Utility Programs

Now we can start constructing some smaller utility IMP-programs, which will slowly allow us to build the final IMP-program.

The arithmetic instructions provided by IMP are limited (only supporting addition), we will however construct programs to compute both multiplication by two and integer-division by two and its remainder, and prove them correct.

### 3.2.1 A Generalization for Hoare-Logic

First, we establish some facts about our Hoare logic, which will help us with some proofs later.

It is often much easier to prove that a program modifies a state in a given way  $t$ , such that if the starting state is  $s_0$ , the final state will be  $t(s_0)$ . However, making use of this is rather cumbersome. It is much easier to have the same statement, but with two predicates  $f$  and  $g$ , such that if  $f$  holds for every initial state  $s$ , then  $g$  also holds for  $t(s)$ , then  $f$  is valid pre-condition and  $g$  is a valid post-condition for the program. This allows us to insert arbitrary predicates and use the previously established fact of a fixed starting state  $s_0$  much more easily.

**lemma** *hoare-generalize:*

**assumes**  $\bigwedge s_0. \vdash_t \{ \lambda s. s = s_0 \} c \{ \lambda s. s = t\ s_0 \}$



```

    and  $\bigwedge s. f\ s \implies g\ (t\ s)$ 
  shows  $\vdash_t \{f\}\ c\ \{g\}$ 
proof -
  have  $\bigwedge s_0. \forall s. s = s_0 \longrightarrow (\exists s'. (c, s) \Rightarrow s' \wedge t\ s_0 = s')$ 
    using hoare-tvalid-def hoaret-sound-complete assms(1) by simp
  then have  $\bigwedge s. (c, s) \Rightarrow t\ s$ 
    by simp
  then have  $\forall s. f\ s \longrightarrow (\exists t. (c, s) \Rightarrow t \wedge g\ t)$ 
    using assms(2) by blast
  then show ?thesis
    using hoare-tvalid-def hoaret-sound-complete by simp
qed

```

While this formulation works well for most cases, it has a limitation: we have no assertion about the initial states to begin with. But sometimes we have proved statements about an initial state  $s_0$ , while posing some assumptions about it. To allow for this, we have to modify the above lemma a bit, by also asserting that the pre-condition  $f$  will only hold, if the assumptions posed on  $s_0$  are also met.

```

lemma hoare-generalize':
  assumes  $\bigwedge s_0. a\ s_0 \implies \vdash_t \{\lambda s. s = s_0\}\ c\ \{\lambda s. s = t\ s_0\}$ 
    and  $\bigwedge s. f\ s \implies g\ (t\ s)$  and  $\bigwedge s. f\ s \implies a\ s$ 
  shows  $\vdash_t \{f\}\ c\ \{g\}$ 
proof -
  have  $\bigwedge s_0. a\ s_0 \implies \forall s. s = s_0 \longrightarrow (\exists s'. (c, s) \Rightarrow s' \wedge t\ s_0 = s')$ 
    using hoare-tvalid-def hoaret-sound-complete assms(1) by simp
  then have  $\bigwedge s. a\ s \implies (c, s) \Rightarrow t\ s$ 
    by simp
  then have  $\forall s. f\ s \longrightarrow (\exists t. (c, s) \Rightarrow t \wedge g\ t)$ 
    using assms(2) assms(3) by blast
  then show ?thesis
    using hoare-tvalid-def hoaret-sound-complete by simp
qed

```

We now define two basic facts, which directly follow from the rules of the Hoare logic. However, we swapped the order of assumption, which makes proof automation easier later on.

```

lemma Seq':  $\llbracket \vdash_t \{P_2\}\ c_2\ \{P_3\}; \vdash_t \{P_1\}\ c_1\ \{P_2\} \rrbracket \implies \vdash_t \{P_1\}\ c_1;;c_2\ \{P_3\}$ 
  by (simp only: Seq)

```

```

lemma conseq':  $\vdash_t \{P\}\ c\ \{Q\} \implies \forall s. P'\ s \longrightarrow P\ s \implies \forall s. Q\ s \longrightarrow Q'\ s \implies \vdash_t \{P'\}\ c\ \{Q'\}$ 
  by (simp only: conseq)

```

```

lemma partial-conseq':  $\vdash \{P\}\ c\ \{Q\} \implies \forall s. P'\ s \longrightarrow P\ s \implies \forall s. Q\ s \longrightarrow Q'\ s \implies \vdash \{P'\}\ c\ \{Q'\}$ 
  by (simp only: hoare.conseq)

```

Another scenario we will encounter occasionally is where we have a pre-condition, which always will be False. We define two lemmas, which will make automating such scenarios rather easily, by only having to show that  $P$  is always False.

```

lemma hoare-FalseI:  $\vdash_t \{\lambda s. False\}\ c\ \{Q\}$ 
  by (simp add: hoaret-sound-complete hoare-tvalid-def)

```

**lemma** *hoare-Contr*:  $\forall s. P' s \longrightarrow \text{False} \implies \vdash_t \{P'\} c \{Q\}$   
 by (rule *strengthen-pre*; use *hoare-FalseI* in *blast*)

### 3.2.2 Multiplication by 2

First, we construct a program `mul2`, which performs a multiplication by two:

$$\text{mul2 } a \ b \ \rightarrow \ b := 2 * a$$

This can be computed in a single step, by simply taking the sum of  $a$  and  $a$  again.

**definition**  $\text{mul2 } a \ b \equiv (b ::= (\text{Plus } (V \ a) \ (V \ a)))$

A proof of total-correctness is pretty straight-forward.

**lemma** *mul2-correct*:

**assumes**  $\bigwedge s. f \ s \implies g \ (s \ (b := 2 * (s \ a)))$   
**shows**  $\vdash_t \{f\} (\text{mul2 } a \ b) \{g\}$   
**unfolding** *mul2-def* **by** (rule *Assign'*, use *assms* in *simp*)

**lemma** *mul2-correct'*:  $\vdash_t \{\lambda s. s = s_0\} (\text{mul2 } a \ b) \{\lambda s. s = s_0 \ (b := 2 * (s_0 \ a))\}$   
**unfolding** *mul2-def* **by** (rule *Assign'*, *simp*)

### 3.2.3 Integer-Division by 2

Next, we construct a program `moddiv2`, which performs integer-division by two, retrieving both the quotient and the remainder:

$$\text{moddiv2 } a \ q \ m \ \rightarrow \ q := a \ \text{div } 2, \ m := a \ \text{mod } 2$$

Constructing such a program is bit more tedious and involves continuously subtracting in a WHILE-loop. The primitive version we implement also only works for positive numbers, however since our IMP-state invariant ensures that relevant variables are always positive, this is sufficient for our case.

**definition**  $\text{moddiv2-setup } a \ q \ m \equiv ($   
 $\quad m ::= (V \ a) ;;$   
 $\quad q ::= (N \ 0)$   
 $)$

**lemma** *moddiv2-setup-correct'*:

**assumes**  $q \neq m$   
**shows**  $\vdash_t \{\lambda s. s = s_0\} \text{moddiv2-setup } a \ q \ m \ \{\lambda s. s = s_0 \ (q := 0, m := s_0 \ a)\}$   
**unfolding** *moddiv2-setup-def*  
**by** (rule *Seq'*; rule *Assign'*) (use *assms* in *force*)+

**lemma** *moddiv2-setup-correct*:

**assumes**  $q \neq m$  **and**  $\bigwedge s. f \ s \implies g \ (s \ (q := 0, m := s \ a))$   
**shows**  $\vdash_t \{f\} \text{moddiv2-setup } a \ q \ m \ \{g\}$

**proof** –

**let**  $?t = \lambda s. s \ (q := 0, m := s \ a)$   
**from** *assms moddiv2-setup-correct'* **show** *?thesis*

**using** hoare-generalize[**where**  $t=?t$ ] **by** blast  
**qed**

**definition** moddiv2-step  $q\ m \equiv$  (  
 $m ::= Plus\ (V\ m)\ (N\ (-2))$  ;;  
 $q ::= Plus\ (V\ q)\ (N\ 1)$   
 )

**lemma** moddiv2-step-correct':  
**assumes**  $q \neq m$   
**shows**  $\vdash_t \{\lambda s. s = s_0\} \text{ moddiv2-step } q\ m \ \{\lambda s. s = s_0\ (q := s_0\ q + 1, m := s_0\ m - 2)\}$   
**unfolding** moddiv2-step-def  
**by** (rule Seq'; rule Assign') (use assms in force)+

**lemma** moddiv2-step-correct:  
**assumes**  $q \neq m$  **and**  $\bigwedge s. f\ s \implies g\ (s\ (q := s\ q + 1, m := s\ m - 2))$   
**shows**  $\vdash_t \{f\} \text{ moddiv2-step } q\ m \ \{g\}$   
**proof** –  
**let**  $?t = \lambda s. s\ (q := s\ q + 1, m := s\ m - 2)$   
**from** assms moddiv2-step-correct' **show** ?thesis  
**using** hoare-generalize[**where**  $t=?t$ ] **by** blast  
**qed**

**definition** moddiv2-loop  $q\ m \equiv$  (  
 WHILE Less (N 1) (V m) DO (  
 moddiv2-step  $q\ m$   
 )  
 )

**definition** moddiv2  $a\ q\ m \equiv$  (  
 moddiv2-setup  $a\ q\ m$  ;;  
 moddiv2-loop  $q\ m$   
 )

**lemma** moddiv2-correct':  
**assumes**  $q \neq m$  **and**  $s_0\ a \geq 0$   
**shows**  $\vdash_t \{\lambda s. s = s_0\} \text{ moddiv2 } a\ q\ m \ \{\lambda s. s = s_0\ (q := s_0\ a\ \text{div } 2, m := s_0\ a\ \text{mod } 2)\}$   
**proof** –  
**let**  $?P = \lambda s. s = s_0$   
**let**  $?P' = \lambda s. \exists q'\ m'. s = s_0\ (q := q', m := m') \wedge s_0\ a = 2 * q' + m' \wedge q' \geq 0 \wedge m' \geq 0$   
**let**  $?Q = \lambda s. s = s_0\ (q := s_0\ a\ \text{div } 2, m := s_0\ a\ \text{mod } 2)$   
  
**let**  $?f = \lambda n. \lambda s. ?P'\ s \wedge \text{bval}\ (\text{Less}\ (N\ 1)\ (V\ m))\ s \wedge n = \text{nat}\ (s\ m)$   
**let**  $?g = \lambda n. \lambda s. ?P'\ s \wedge \text{nat}\ (s\ m) < n$   
**have** step:  $\bigwedge n::\text{nat}. \vdash_t \{?f\ n\} \text{ moddiv2-step } q\ m \ \{?g\ n\}$   
**proof** –  
**fix**  $n :: \text{nat}$   
**have**  $\bigwedge s. ?f\ n\ s \implies ?g\ n\ (s\ (q := s\ q + 1, m := s\ m - 2))$   
**using** assms **by** fastforce

```

then show  $\vdash_t \{?f\ n\} \text{ moddiv2-step } q\ m\ \{?g\ n\}$ 
  using assms(1) moddiv2-step-correct by presburger
qed

have loop-body:  $\vdash_t \{?P'\} \text{ moddiv2-loop } q\ m\ \{?Q\}$ 
  unfolding moddiv2-loop-def
  by (rule While-fun'[where  $f = \lambda s. \text{ nat } (s\ m)$ ], use step in auto)

have loop-setup:  $\vdash_t \{?P\} \text{ moddiv2-setup } a\ q\ m\ \{?P'\}$ 
  unfolding moddiv2-setup-def
  by (rule Seq'; rule Assign') (use assms in force)+

show ?thesis
  unfolding moddiv2-def
  by (rule Seq; use loop-setup loop-body in simp)
qed

lemma moddiv2-correct:
  assumes  $q \neq m$ 
  and  $\bigwedge s. f\ s \implies g\ (s\ (q := s\ a\ \text{div } 2, m := s\ a\ \text{mod } 2))$ 
  and  $\bigwedge s. f\ s \implies s\ a \geq 0$ 
  shows  $\vdash_t \{f\} (\text{moddiv2 } a\ q\ m) \{g\}$ 
proof –
  let  $?a = \lambda s. s\ a \geq 0$ 
  let  $?t = \lambda s. s\ (q := s\ a\ \text{div } 2, m := s\ a\ \text{mod } 2)$ 
  from assms moddiv2-correct' show ?thesis
  using hoare-generalize'[where  $a = ?a$  and  $t = ?t$ ] by blast
qed

```

### 3.2.4 List-Index Program

**abbreviation**  $eq\ a\ b \equiv And\ (Not\ (Less\ a\ b))\ (Not\ (Less\ b\ a))$

**abbreviation**  $neq\ a\ b \equiv Not\ (eq\ a\ b)$

Next, we need to construct a program that simulates a (non-fallthrough) SWITCH-statement. Precisely, we construct a program `list_index_prog`, that takes in a variable name, a list of programs and a fallback program, and constructs a new program that uses the provided variable to index the program-list and execute the right one, or execute the fallback program if the index is out of bounds.

Constructing the program in a way, that doesn't change the variables (e.g. by subtracting one from the index at every step), requires us to introduce an index-offset:

```

fun list-index-prog' ::  $vname \Rightarrow int \Rightarrow com\ list \Rightarrow com \Rightarrow com$  where
  list-index-prog'  $vn\ n\ (p\#ps)\ e =$  (
    IF  $eq\ (V\ vn)\ (N\ n)\ THEN\ p$ 
    ELSE list-index-prog'  $vn\ (n+1)\ ps\ e$ 
  ) |
  list-index-prog'  $vn\ n\ []\ e = e$ 

```

We can now prove that our program with index-offset works as expected, by executing the program at the index or the fallback program. Proving this requires delicate use of induction,

but then yields a proper proof:

**lemma** *list-index-prog'-skip*:

**assumes**  $i \geq \text{length } ps$

**and**  $\vdash_t \{P\} e \{Q\}$

**shows**  $\vdash_t \{\lambda s. P \ s \wedge s \ vn = n + \text{int } i\} \text{list-index-prog}' \ vn \ n \ ps \ e \ \{Q\}$

**using** *assms* **proof** (*induction vn n ps e arbitrary: i rule: list-index-prog'.induct*)

— We still are checking indices:

— Show that they mismatch and continue with induction.

**case** ( $1 \ vn \ n \ p \ ps \ e$ )

**let**  $?TP' = \lambda s. P \ s \wedge s \ vn = n + \text{int } i \wedge \text{bval } (eq \ (V \ vn) \ (N \ n)) \ s$

**have**  $\forall s. ?TP' \ s \longrightarrow \text{False}$

**using**  $1(2)$  **by** *simp*

**moreover** **have**  $\vdash_t \{\lambda s. \text{False}\} p \ \{Q\}$

**using** *hoare-FalseI* **by** *simp*

**ultimately** **have** *TrueCase*:  $\vdash_t \{?TP'\} p \ \{Q\}$

**using** *strengthen-pre*[**where**  $P = \lambda s. \text{False}$  **and**  $P' = ?TP'$ ] **by** *simp*

**let**  $?FP = \lambda s. P \ s \wedge s \ vn = n + 1 + \text{int } (i - 1)$

**let**  $?FP' = \lambda s. P \ s \wedge s \ vn = n + \text{int } i \wedge \neg \text{bval } (eq \ (V \ vn) \ (N \ n)) \ s$

**have**  $\text{length } ps \leq i - 1$

**using**  $1(2)$  **by** *simp*

**then** **have**  $\vdash_t \{?FP\} \text{list-index-prog}' \ vn \ (n + 1) \ ps \ e \ \{Q\}$

**using**  $1(1)[\text{of } i-1]$   $1(3)$  **by** *simp*

**moreover** **have**  $\forall s. ?FP' \ s \longrightarrow ?FP \ s$

**by** *fastforce*

**ultimately** **have** *FalseCase*:  $\vdash_t \{?FP'\} \text{list-index-prog}' \ vn \ (n + 1) \ ps \ e \ \{Q\}$

**using** *strengthen-pre*[**where**  $P = ?FP$  **and**  $P' = ?FP'$ ] **by** *simp*

**from** *list-index-prog'.simps(1)* **show** *?case*

**using** *TrueCase FalseCase* **by** (*simp add: If*)

**next**

— Index is out of bounds, finally execute the fallback.

**case** ( $2 \ vn \ n \ e$ )

**let**  $?P' = \lambda s. P \ s \wedge s \ vn = n + \text{int } i$

**have**  $\forall s. ?P' \ s \longrightarrow P \ s$

**by** *simp*

**with**  $2$  **show** *?case*

**using** *conseq*[**where**  $P' = ?P'$  **and**  $P = P$ ] **by** *simp*

**qed**

**lemma** *list-index-prog'-correct*:

**assumes**  $i < \text{length } ps$

**and**  $\vdash_t \{P\} ps!i \ \{Q\}$

**shows**  $\vdash_t \{\lambda s. P \ s \wedge s \ vn = n + \text{int } i\} \text{list-index-prog}' \ vn \ n \ ps \ e \ \{Q\}$

**using** *assms* **proof** (*induction vn n ps e arbitrary: i rule: list-index-prog'.induct*)

**case** ( $1 \ vn \ n \ p \ ps \ e$ )

**let**  $?P = \lambda s. P \ s \wedge s \ vn = n + \text{int } i$

**show**  $?case$  **proof** ( $cases\ i = 0$ )  
 — Index match! Execute our branch.  
**case**  $True$

**let**  $?TP = \lambda s. ?P\ s \wedge bval\ (eq\ (V\ vn)\ (N\ n))\ s$   
**have**  $\forall s. ?TP\ s \longrightarrow P\ s$   
   **by**  $simp$   
**moreover** **have**  $\vdash_t \{P\}\ p\ \{Q\}$   
   **using**  $1(3)\ True$  **by**  $simp$   
**ultimately** **have**  $TrueCase: \vdash_t \{?TP\}\ p\ \{Q\}$   
   **using**  $strengthen-pre[where\ P=P\ and\ P'=?TP]$  **by**  $simp$

**let**  $?FP = \lambda s. ?P\ s \wedge \neg bval\ (eq\ (V\ vn)\ (N\ n))\ s$   
**have**  $\forall s. ?FP\ s \longrightarrow False$   
   **using**  $True$  **by**  $simp$   
**moreover** **have**  $\vdash_t \{\lambda s. False\}\ list-index-prog'\ vn\ (n + 1)\ ps\ e\ \{Q\}$   
   **using**  $hoare-FalseI$  **by**  $simp$   
**ultimately** **have**  $FalseCase: \vdash_t \{?FP\}\ list-index-prog'\ vn\ (n + 1)\ ps\ e\ \{Q\}$   
   **using**  $strengthen-pre[where\ P=\lambda s. False\ and\ P'=?FP]$  **by**  $simp$

**from**  $list-index-prog'.simps(1)$  **show**  $?thesis$   
   **using**  $TrueCase\ FalseCase$  **by** ( $simp\ add: If$ )

**next**  
 — Index mismatch, continue with induction.  
**case**  $False$

**let**  $?TP = \lambda s. ?P\ s \wedge bval\ (eq\ (V\ vn)\ (N\ n))\ s$   
**have**  $\forall s. ?TP\ s \longrightarrow False$   
   **using**  $False$  **by**  $simp$   
**moreover** **have**  $\vdash_t \{\lambda s. False\}\ p\ \{Q\}$   
   **using**  $hoare-FalseI$  **by**  $simp$   
**ultimately** **have**  $TrueCase: \vdash_t \{?TP\}\ p\ \{Q\}$   
   **using**  $strengthen-pre[where\ P=\lambda s. False\ and\ P'=?TP]$  **by**  $simp$

**let**  $?FP = \lambda s. ?P\ s \wedge \neg bval\ (eq\ (V\ vn)\ (N\ n))\ s$   
**let**  $?FP' = \lambda s. P\ s \wedge s\ vn = n + 1 + int\ (i - 1)$   
**have**  $i - 1 < length\ ps$   
   **using**  $1(2)\ False$  **by**  $simp$   
**moreover** **have**  $\vdash_t \{P\}\ ps!\ (i - 1)\ \{Q\}$   
   **using**  $1(3)\ False$  **by**  $simp$   
**ultimately** **have**  $\vdash_t \{?FP'\}\ list-index-prog'\ vn\ (n + 1)\ ps\ e\ \{Q\}$   
   **using**  $1(1)$  **by**  $simp$   
**moreover** **have**  $\forall s. ?FP\ s \longrightarrow ?FP'\ s$   
   **by**  $fastforce$   
**ultimately** **have**  $FalseCase: \vdash_t \{?FP\}\ list-index-prog'\ vn\ (n + 1)\ ps\ e\ \{Q\}$   
   **using**  $strengthen-pre[where\ P=?FP'\ and\ P'=?FP]$  **by**  $simp$

**from**  $list-index-prog'.simps(1)$  **show**  $?thesis$   
   **using**  $TrueCase\ FalseCase$  **by** ( $simp\ add: If$ )

```

qed
next
  case (2 vn n)
  then show ?case by simp
qed

```

The final `list_index_prog` program is now the above defined program, with an index-offset of  $n = 0$ .

**definition** *list-index-prog* ::  $vnname \Rightarrow com \ list \Rightarrow com \Rightarrow com$  **where**  
*list-index-prog vn ps e = list-index-prog' vn 0 ps e*

The same proofs for the final program now follow directly:

**corollary** *list-index-prog-skip*:

```

assumes  $i \geq length\ ps$  and  $\vdash_t \{P\} e \{Q\}$ 
shows  $\vdash_t \{\lambda s. P\ s \wedge s\ vn = int\ i\} list-index-prog\ vn\ ps\ e \{Q\}$ 
unfolding list-index-prog-def
using assms list-index-prog'-skip[where  $n=0$ ] by simp

```

**corollary** *list-index-prog-correct*:

```

assumes  $i < length\ ps$  and  $\vdash_t \{P\} ps!i \{Q\}$ 
shows  $\vdash_t \{\lambda s. P\ s \wedge s\ vn = int\ i\} list-index-prog\ vn\ ps\ e \{Q\}$ 
unfolding list-index-prog-def
using assms list-index-prog'-correct[where  $n=0$ ] by simp

```

### 3.3 Operations

We now start to construct programs that compute the possible Turing-Machine operations:

- *WB*: Write *Bk* to head
- *WO*: Write *Oc* to head
- *L*: Push head to right tape, pop left tape to head
- *R*: Push head to left tape, pop right tape to head
- *Nop*: Do nothing

From now, we will encounter the following abbreviations in practically every proof. They both make statements about an IMP-state and ensure its invariant still holds. The first also states, that the IMP-state contains the same information as an intermediate-state, while the latter states, the IMP-state contains the same information as a TM-configuration.

**abbreviation** *impstate-to-istate-inv* ::  $impstate \Rightarrow istate \Rightarrow bool$  (**infix**  $\rightarrow_S$  55) **where**  
 $s \rightarrow_S st \equiv impstate-inv\ s \wedge impstate-to-istate\ s = st$

**abbreviation** *impstate-to-config-inv* ::  $impstate \Rightarrow config \Rightarrow bool$  (**infix**  $\rightarrow_C$  55) **where**  
 $s \rightarrow_C c \equiv impstate-inv\ s \wedge (impstate-to-config\ s =_C c)$

We now show some useful rules about the abbreviations.

An important fact is that, although we have defined our abbreviation to be a relation, it actually still works almost like a function, in terms that its output is determined. A state can at most

represent one configuration. However due to ambiguous definition as explained in section 2.1.1, it doesn't imply real equivalence, but only our custom  $=_C$  equivalence relation.

**lemma** *impstate-to-config-inv-det*:  
**assumes**  $s \rightarrow_C (s1, l1, r1)$  **and**  $s \rightarrow_C (s2, l2, r2)$   
**shows**  $(s1, l1, r1) =_C (s2, l2, r2)$   
**using** *assms config-eq-sym' config-eq-trans'* **by** *blast*

**lemma** *impstate-to-config-inv-det'*:  
**assumes**  $s \rightarrow_C c1$  **and**  $s \rightarrow_C c2$   
**shows**  $c1 =_C c2$

**proof** —

— For some reason a straight-forward metis proof would take too much time here.

**obtain**  $s1\ l1\ r1$  **where**  $c1\text{-def}: c1 = (s1, l1, r1)$

**using** *prod-cases3* **by** *blast*

**obtain**  $s2\ l2\ r2$  **where**  $c2\text{-def}: c2 = (s2, l2, r2)$

**using** *prod-cases3* **by** *blast*

**from**  $c1\text{-def}\ c2\text{-def}$  **show** *?thesis*

**using** *assms impstate-to-config-inv-det* **by** *blast*

**qed**

**lemma** *config-eq-implies-istate-eq*:

**assumes**  $s \rightarrow_C c$

**shows**  $s \rightarrow_S \text{config-to-istate } c$

**using** *assms*

**proof** —

**have**  $\text{config-to-istate } (\text{impstate-to-config } s) = \text{impstate-to-istate } s$

**using** *istate-to-config-ident'* **by** *blast*

**moreover have**  $\text{impstate-to-config } s =_C c$

**using** *assms* **by** *blast*

**ultimately have**  $\text{impstate-to-istate } s = \text{config-to-istate } c$

**using** *config-to-istate-det'[of impstate-to-config s]* **by** *presburger*

**thus** *?thesis* **using** *assms* **by** *blast*

**qed**

**lemma** *config-eq-implies-istate-eq'*:

**assumes**  $s \rightarrow_C \text{istate-to-config } st$

**shows**  $s \rightarrow_S st$

**using** *assms*

**proof** —

**obtain**  $c$  **where**  $s \rightarrow_C c \wedge \text{config-to-istate } c = st$

**using** *assms istate-to-config-ident'* **by** *blast*

**thus** *?thesis* **using** *config-eq-implies-istate-eq* **by** *blast*

**qed**

**lemma** *istate-eq-implies-config-eq*:

**assumes**  $s \rightarrow_S \text{config-to-istate } c$

**shows**  $s \rightarrow_C c$

**by** (*simp add: assms config-to-istate-ident'*)



**lemma** *istate-eq-implies-config-eq'*:  
**assumes**  $s \rightarrow_S st$   
**shows**  $s \rightarrow_C \text{istate-to-config } st$   
**by** (*simp add: assms config-eq-refl'*)

With this foundation, we now construct a program for each of the possible TM-operations.

### 3.3.1 Write-Bk

**definition** *prog-WB* :: *state*  $\Rightarrow$  *com* **where**  
*prog-WB*  $s \equiv$  (  
 $vnS ::= N \text{ (int } s \text{)} ;;$   
 $vnH ::= N \text{ (int (cell-to-nat Bk))}$   
 $)$

**lemma** *prog-WB-hoare*:  
 $\vdash_t \{ \lambda s. s \rightarrow_S (st, l, h, r) \} \text{prog-WB } st' \{ \lambda s. s \rightarrow_S \text{iupdate (WB, st')} (st, l, Bk, r) \}$   
**unfolding** *prog-WB-def* **by** (*rule Seq[where P<sub>2</sub>= $\lambda s. s \rightarrow_S (st', l, h, r)$ ]; rule Assign', simp*)

### 3.3.2 Write-Oc

**definition** *prog-WO* :: *state*  $\Rightarrow$  *com* **where**  
*prog-WO*  $s \equiv$  (  
 $vnS ::= N \text{ (int } s \text{)} ;;$   
 $vnH ::= N \text{ (int (cell-to-nat Oc))}$   
 $)$

**lemma** *prog-WO-hoare*:  
 $\vdash_t \{ \lambda s. s \rightarrow_S (st, l, h, r) \} \text{prog-WO } st' \{ \lambda s. s \rightarrow_S \text{iupdate (WO, st')} (st, l, Oc, r) \}$   
**unfolding** *prog-WO-def* **by** (*rule Seq[where P<sub>2</sub>= $\lambda s. s \rightarrow_S (st', l, h, r)$ ]; rule Assign', simp*)

### 3.3.3 Move-Left

**definition** *prog-L* :: *state*  $\Rightarrow$  *com* **where**  
*prog-L*  $s \equiv$  (  
 $vnS ::= N \text{ (int } s \text{)} ;;$   
 $mul2 \text{ } vnR \text{ } vnR ;;$   
 $vnR ::= Plus \text{ (V } vnR \text{) (V } vnH \text{)} ;;$   
 $moddiv2 \text{ } vnL \text{ } vnL \text{ } vnH$   
 $)$

**lemma** *prog-L-hoare*:  
 $\vdash_t \{ \lambda s. s \rightarrow_S (st, l, h, r) \} \text{prog-L } st' \{ \lambda s. s \rightarrow_S \text{iupdate (L, st')} (st, l, h, r) \}$   
**unfolding** *prog-L-def* **proof** (*rule Seq[where P<sub>2</sub>= $\lambda s. s \rightarrow_S (st', l, h, 2*r + \text{cell-to-nat } h)$ ]*)  
**have**  $\vdash_t$   
 $\{ \lambda s. s \rightarrow_S (st, l, h, r) \}$   
 $vnS ::= N \text{ (int } st' \text{)}$   
 $\{ \lambda s. s \rightarrow_S (st', l, h, r) \}$   
**by** (*rule Assign', simp*)  
**moreover** **have**  $\vdash_t$   
 $\{ \lambda s. s \rightarrow_S (st', l, h, r) \}$

```

mul2 vnR vnR
{λs. s →S (st', l, h, 2*r)}
proof –
  let ?f = λs. s →S (st', l, h, r)
  let ?g = λs. s →S (st', l, h, 2*r)
  have ∧s. ?f s ⇒ ?g (s (vnR := 2 * s vnR)) by force
  then show ?thesis using mul2-correct by presburger
qed
moreover have ⊢t
  {λs. s →S (st', l, h, 2*r)}
  vnR ::= Plus (V vnR) (V vnH)
  {λs. s →S (st', l, h, 2*r + cell-to-nat h)}
  by (rule Assign', auto)
ultimately show ⊢t
  {λs. s →S (st, l, h, r)}
  vnS ::= N (int st');; mul2 vnR vnR ;; vnR ::= Plus (V vnR) (V vnH)
  {λs. s →S (st', l, h, 2*r + cell-to-nat h)}
  using Seq by blast
next
have ⊢t
  {λs. s →S (st', l, h, 2*r + cell-to-nat h)}
  moddiv2 vnL vnL vnH
  {λs. s →S (st', l div 2, nat-to-cell (l mod 2), 2*r + cell-to-nat h)}
proof –
  let ?f = λs. s →S (st', l, h, 2*r + cell-to-nat h)
  let ?g = λs. s →S (st', l div 2, nat-to-cell (l mod 2), 2*r + cell-to-nat h)
  have ∧s. ?f s ⇒ ?g (s (vnL := s vnL div 2, vnH := s vnL mod 2))
  proof –
    fix s :: impstate
    assume ?f s
    define s' where s'-def: s' = s (vnL := s vnL div 2, vnH := s vnL mod 2)

    from ⟨?f s⟩ have s vnS = int st'
      by force
    then have s'-st: s' vnS = int st' and s' vnS ≥ 0
      using s'-def by simp+

    from ⟨?f s⟩ have l-val: s vnL = int l
      by force
    then have s'-l: s' vnL = int (l div 2) and s' vnL ≥ 0
      using s'-def by simp+

    from ⟨?f s⟩ have r-val: s vnR = int (2*r + cell-to-nat h)
      by force
    then have s'-r: s' vnR = int (2*r + cell-to-nat h) and s' vnR ≥ 0
      using s'-def by simp+

    from ⟨?f s⟩ have s vnH = int (cell-to-nat h)
      by force

```

```

then have  $s'-h$ :  $s' \text{ vnH} = \text{int } (l \bmod 2)$ 
  using  $s'$ -def  $l$ -val by (simp add: zmod-int)
then have  $s'$ -h-invar:  $s' \text{ vnH} = 0 \vee s' \text{ vnH} = 1$ 
  by linarith

have ? $g$   $s'$ 
  using  $s'$ -st  $s'$ -l  $s'$ -h  $s'$ -h-invar  $s'$ -r by simp
then show ? $g$  ( $s$  ( $\text{vnL} := s \text{ vnL} \text{ div } 2$ ,  $\text{vnH} := s \text{ vnL} \bmod 2$ ))
  using  $s'$ -def by blast
qed
then show ?thesis
  using moddiv2-correct by fastforce
qed
then show  $\vdash_t$ 
  { $\lambda s. s \rightarrow_S (st', l, h, 2*r + \text{cell-to-nat } h)$ }
  moddiv2 vnL vnL vnH
  { $\lambda s. s \rightarrow_S \text{iupdate } (L, st') (st, l, h, r)$ }
  by simp
qed

```

### 3.3.4 Move-Right

**definition** *prog-R* :: *state*  $\Rightarrow$  *com* **where**

```

prog-R  $s \equiv$  (
   $\text{vnS} ::= N (\text{int } s) ;;$ 
   $\text{mul2 } \text{vnL } \text{vnL} ;;$ 
   $\text{vnL} ::= \text{Plus } (V \text{vnL}) (V \text{vnH}) ;;$ 
   $\text{moddiv2 } \text{vnR } \text{vnR } \text{vnH}$ 
)

```

**lemma** *prog-R-hoare*:

$\vdash_t \{ \lambda s. s \rightarrow_S (st, l, h, r) \} \text{prog-R } st' \{ \lambda s. s \rightarrow_S \text{iupdate } (R, st') (st, l, h, r) \}$

**unfolding** *prog-R-def* **proof** (*rule Seq[where*  $P_2 = \lambda s. s \rightarrow_S (st', 2*l + \text{cell-to-nat } h, h, r)$  *])*

```

have  $\vdash_t$ 
  { $\lambda s. s \rightarrow_S (st, l, h, r)$ }
   $\text{vnS} ::= N (\text{int } st')$ 
  { $\lambda s. s \rightarrow_S (st', l, h, r)$ }
  by (rule Assign', simp)

```

**moreover have**  $\vdash_t$

```

  { $\lambda s. s \rightarrow_S (st', l, h, r)$ }
   $\text{mul2 } \text{vnL } \text{vnL}$ 
  { $\lambda s. s \rightarrow_S (st', 2*l, h, r)$ }

```

**proof** –

```

let ? $f$  =  $\lambda s. s \rightarrow_S (st', l, h, r)$ 
let ? $g$  =  $\lambda s. s \rightarrow_S (st', 2*l, h, r)$ 
have  $\bigwedge s. ?f s \implies ?g (s (\text{vnL} := 2 * s \text{ vnL}))$  by force
then show ?thesis using mul2-correct by presburger

```

**qed**

**moreover have**  $\vdash_t$

```

  { $\lambda s. s \rightarrow_S (st', 2*l, h, r)$ }

```

```

  vnL ::= Plus (V vnL) (V vnH)
  {λs. s →S (st', 2*l + cell-to-nat h, h, r)}
  by (rule Assign', auto)
ultimately show ⊢t
  {λs. s →S (st, l, h, r)}
  vnS ::= N (int st');; mul2 vnL vnL ;; vnL ::= Plus (V vnL) (V vnH)
  {λs. s →S (st', 2*l + cell-to-nat h, h, r)}
  using Seq by blast
next
have ⊢t
  {λs. s →S (st', 2*l + cell-to-nat h, h, r)}
  moddiv2 vnR vnR vnH
  {λs. s →S (st', 2*l + cell-to-nat h, nat-to-cell (r mod 2), r div 2)}
proof -
  let ?f = λs. s →S (st', 2*l + cell-to-nat h, h, r)
  let ?g = λs. s →S (st', 2*l + cell-to-nat h, nat-to-cell (r mod 2), r div 2)
  have ∧s. ?f s ⇒ ?g (s (vnR := s vnR div 2, vnH := s vnR mod 2))
  proof -
    fix s :: impstate
    assume ?f s
    define s' where s'-def: s' = s (vnR := s vnR div 2, vnH := s vnR mod 2)

    from ⟨?f s⟩ have s vnS = int st'
      by force
    then have s'-st: s' vnS = int st' and s' vnS ≥ 0
      using s'-def by simp+

    from ⟨?f s⟩ have l-val: s vnL = int (2*l + cell-to-nat h)
      by force
    then have s'-l: s' vnL = int (2*l + cell-to-nat h) and s' vnL ≥ 0
      using s'-def by simp+

    from ⟨?f s⟩ have r-val: s vnR = int r
      by force
    then have s'-r: s' vnR = int (r div 2) and s' vnR ≥ 0
      using s'-def by simp+

    from ⟨?f s⟩ have s vnH = int (cell-to-nat h)
      by force
    then have s'-h: s' vnH = int (r mod 2)
      using s'-def r-val by (simp add: zmod-int)
    then have s'-h-invar: s' vnH = 0 ∨ s' vnH = 1
      by linarith

    have ?g s'
      using s'-st s'-l s'-h s'-h-invar s'-r by simp
    then show ?g (s (vnR := s vnR div 2, vnH := s vnR mod 2))
      using s'-def by blast
  qed

```

```

then show ?thesis
  using moddiv2-correct by fastforce
qed
then show  $\vdash_t$ 
   $\{\lambda s. s \rightarrow_S (st', 2 * l + \text{cell-to-nat } h, h, r)\}$ 
  moddiv2 vnR vnR vnH
   $\{\lambda s. s \rightarrow_S \text{iupdate } (R, st') (st, l, h, r)\}$ 
  by simp
qed

```

### 3.3.5 No Operation

**definition** *prog-Nop* :: *state*  $\Rightarrow$  *com* **where**  
*prog-Nop* *s*  $\equiv$  *vnS* ::= *N* (*int* *s*)

**lemma** *prog-Nop-hoare*:

```

 $\vdash_t \{\lambda s. s \rightarrow_S (st, l, h, r)\} \text{prog-Nop } st' \{\lambda s. s \rightarrow_S \text{iupdate } (Nop, st') (st, l, h, r)\}$ 
unfolding prog-Nop-def by (rule Assign', simp)

```

### 3.3.6 Pattern-Matching Operation

Now we can construct a pattern-matching wrapper, which provides the correct program, given a specific instruction.

**fun** *prog-step* :: *instr*  $\Rightarrow$  *com* **where**  
*prog-step* (*WB*, *st*) = *prog-WB* *st* |  
*prog-step* (*WO*, *st*) = *prog-WO* *st* |  
*prog-step* (*L*, *st*) = *prog-L* *st* |  
*prog-step* (*R*, *st*) = *prog-R* *st* |  
*prog-step* (*Nop*, *st*) = *prog-Nop* *st*

The proofs again follow directly.

**corollary** *prog-step-hoare*:

```

 $\vdash_t \{\lambda s. s \rightarrow_S (st, l, h, r)\} \text{prog-step } (a, st') \{\lambda s. s \rightarrow_S \text{iupdate } (a, st') (st, l, h, r)\}$ 

```

**proof** (*cases* *a*)

```

  case WB thus ?thesis using prog-WB-hoare by simp

```

**next**

```

  case WO thus ?thesis using prog-WO-hoare by simp

```

**next**

```

  case L thus ?thesis using prog-L-hoare by simp

```

**next**

```

  case R thus ?thesis using prog-R-hoare by simp

```

**next**

```

  case Nop thus ?thesis using prog-Nop-hoare by simp

```

**qed**

**corollary** *prog-step-hoare'*:

```

 $\vdash_t \{\lambda s. s \rightarrow_S st\} \text{prog-step } i \{\lambda s. s \rightarrow_S \text{iupdate } i \text{ } st\}$ 

```

**proof** –

```

  obtain s l h r where st = (s, l, h, r)

```

```

  using prod-cases4 by blast

```

moreover obtain  $a \ st'$  where  $i = (a, \ st')$   
 by *fastforce*  
 ultimately show *?thesis*  
 using *prog-step-hoare* by *simp*  
 qed

### 3.3.7 State-Index Program

In order to execute the correct step for each state, which create a list containing all possible transitions. We then compute the correct index depending on the current state and head symbol, and using our previously constructed `list_index_prog` jump to the correct step program.

We now construct a program `prog_SI`, that computes this index:

$$prog\_SI \rightarrow si := 2 * s + h$$

**definition** *prog-SI* :: *com* **where**  
*prog-SI*  $\equiv$  *vnSI* ::= *Plus* (*Plus* (*V vnS*) (*V vnS*)) (*V vnH*)

The proof is again rather straight-forward.

**lemma** *prog-SI-correct*:  
 $\vdash_t \{ \lambda s. s \rightarrow_S st \} \text{prog-SI} \{ \lambda s. s \rightarrow_S st \wedge s \text{vnSI} = \text{int} (\text{istate-to-index } st) \}$   
**unfolding** *prog-SI-def* **by** (*rule Assign'*, *auto*)

### 3.4 Single-Step Program

We can now finally construct a program that, given any Turing-Machine, executes the next step depending on the current state. Although we have built a good foundation, the core proof will still rather large.

First, we construct a list of IMP-programs from a Turing-Machine. The list contains all the correct instructions, mapped to their corresponding index. Since  $s = 0$  is the final state, all following steps are *Nop* instructions. Therefore, we append the list with two *Nop* instructions, so that we can still use the simple  $i = 2 * s + h$  formula to get the index.

**definition** *tm-to-step-progs* :: *tprog0*  $\Rightarrow$  *com list* **where**  
*tm-to-step-progs* *tm* = (  
 let *n* = (*Nop*, 0) in  
 map *prog-step* (*n*##*n*##*tm*)  
 )

Proving this table to be correct is easy.

**lemma** *tm-to-step-progs-hoare*:  
**assumes**  $i < \text{length} (\text{tm-to-step-progs } tm)$   
**shows**  $\vdash_t \{ \lambda s. s \rightarrow_S st \} (\text{tm-to-step-progs } tm)!i \{ \lambda s. s \rightarrow_S \text{iupdate } (tm @_I i) st \}$   
**proof** –  
**have**  $(\text{tm-to-step-progs } tm)!i = \text{prog-step } (tm @_I i)$   
**using** *assms tm-to-step-progs-def*  
**by** (*auto*, *use numeral-2-eq-2* in *argo*, *simp add: nth-Cons'*)  
**with** *prog-step-hoare'* **show** *?thesis* **by** *simp*  
 qed

For the final `tm_imp_step` program, we chain the computation of the instruction-index together with a `list_index_prog`, with a table of instructions and the computed index.

**definition** *tm-imp-step* :: *tprog0*  $\Rightarrow$  *com* **where**

```
tm-imp-step p = (
  prog-SI ;;
  list-index-prog vnSI (tm-to-step-progs p) (prog-step (Nop, 0))
)
```

While arguing that, given the current facts, our `tm_imp_step` behaves as expected might seem obvious, formally verifying this is not as trivial.

**lemma** *tm-imp-step-correct-aux*:

```
 $\vdash_t \{ \lambda s. s \rightarrow_S st \} \text{tm-imp-step } tm \{ \lambda s. s \rightarrow_S \text{istep } tm \ st \}$ 
```

**unfolding** *tm-imp-step-def*

**proof** (*rule Seq*[**where**  $P_2 = \lambda s. (s \rightarrow_S st) \wedge s \text{vnSI} = \text{int} (\text{istate-to-index } st)$ ])

**show**  $\vdash_t$

```
{  $\lambda s. s \rightarrow_S st$  }
```

```
prog-SI
```

```
{  $\lambda s. (s \rightarrow_S st) \wedge s \text{vnSI} = \text{int} (\text{istate-to-index } st)$  }
```

**using** *prog-SI-correct* **by** *blast*

**next**

```
let ?i = istate-to-index st
```

```
let ?ps = tm-to-step-progs tm
```

**show**  $\vdash_t$

```
{  $\lambda s. (s \rightarrow_S st) \wedge s \text{vnSI} = \text{int} (\text{istate-to-index } st)$  }
```

```
list-index-prog vnSI ?ps (prog-step (Nop, 0))
```

```
{  $\lambda s. s \rightarrow_S \text{istep } tm \ st$  }
```

**proof** (*cases*  $?i < \text{length } ?ps$ )

— Index is in-bounds, execute the corresponding instruction.

**case** *True*

**then have**  $\vdash_t$

```
{  $\lambda s. s \rightarrow_S st$  }
```

```
?ps! ?i
```

```
{  $\lambda s. s \rightarrow_S \text{iupdate } (tm @_I ?i) \ st$  }
```

**using** *tm-to-step-progs-hoare* **by** *blast*

**with** *True* **have**  $\vdash_t$

```
{  $\lambda s. s \rightarrow_S st \wedge s \text{vnSI} = \text{int} (\text{istate-to-index } st)$  }
```

```
list-index-prog vnSI ?ps (prog-step (Nop, 0))
```

```
{  $\lambda s. s \rightarrow_S \text{iupdate } (tm @_I ?i) \ st$  }
```

**using** *list-index-prog-correct*[**where**  $ps = ?ps$  **and**  $i = ?i$

**and**  $P = \lambda s. s \rightarrow_S st$

**and**  $Q = \lambda s. s \rightarrow_S \text{iupdate } (tm @_I ?i) \ st]$

**by** *simp*

**moreover have**  $\text{iupdate } (tm @_I ?i) \ st = \text{istep } tm \ st$

**using** *True istep-index-correct'* *tm-to-step-progs-def* **by** *simp*

**ultimately show** *?thesis* **by** *simp*

**next**

— Index is out-of-bounds, execute NOP-instruction instead.

**case** *False*

**have**  $\vdash_t$

```

    { $\lambda s. s \rightarrow_S st$ }
    prog-step (Nop, 0)
    { $\lambda s. s \rightarrow_S iupdate (Nop, 0) st$ }
    using prog-step-hoare' by blast
  with False have  $\vdash_t$ 
    { $\lambda s. s \rightarrow_S st \wedge s \text{ vnSI} = \text{int} (\text{istate-to-index } st)$ }
    list-index-prog vnSI ?ps (prog-step (Nop, 0))
    { $\lambda s. s \rightarrow_S iupdate (Nop, 0) st$ }
    using list-index-prog-skip[where ps=?ps and i=?i
      and P= $\lambda s. s \rightarrow_S st$ 
      and Q= $\lambda s. s \rightarrow_S iupdate (Nop, 0) st$ ]
    by simp
  moreover have  $iupdate (Nop, 0) st = \text{istep } tm \ st$ 
    using False istep-index-skip' tm-to-step-progs-def by simp
  ultimately show ?thesis by simp
qed
qed

```

**lemma** *tm-imp-step-correct*:

```

  assumes  $(s1, l1, r1) \models \langle tm \rangle = (s2, l2, r2)$ 
  shows  $\vdash_t \{ \lambda s. s \rightarrow_C (s1, l1, r1) \} \text{ tm-imp-step } tm \{ \lambda s. s \rightarrow_C (s2, l2, r2) \}$ 
proof -
  let ?st1 = config-to-istate (s1, l1, r1)
  have a1:  $\forall s. (s \rightarrow_C (s1, l1, r1)) \longrightarrow (s \rightarrow_S ?st1)$ 
    using config-eq-implies-istate-eq by blast

  let ?st2 = config-to-istate (s2, l2, r2)
  have istep tm ?st1 = ?st2
    using assms istep-correct by blast
  then have a2:  $\forall s. (s \rightarrow_S \text{istep } tm \ ?st1) \longrightarrow (s \rightarrow_C (s2, l2, r2))$ 
    using istate-eq-implies-config-eq[where c=(s2, l2, r2)] by presburger

```

**from** a1 a2 **show** ?thesis

— We have to define the variables for conseq manually here, otherwise we get a timeout.

```

  using tm-imp-step-correct-aux conseq[where c=tm-imp-step tm
    and P'= $\lambda s. s \rightarrow_C (s1, l1, r1)$ 
    and P= $\lambda s. s \rightarrow_S ?st1$ 
    and Q= $\lambda s. s \rightarrow_S \text{istep } tm \ ?st1$ 
    and Q'= $\lambda s. s \rightarrow_C (s2, l2, r2)$ ]
  by presburger
qed

```

**lemma** *tm-imp-step-correct'*:

```

  assumes  $c1 \models \langle tm \rangle = c2$ 
  shows  $\vdash_t \{ \lambda s. s \rightarrow_C c1 \} \text{ tm-imp-step } tm \{ \lambda s. s \rightarrow_C c2 \}$ 
proof -
  obtain s1 l1 r1 where c1-def:  $c1 = (s1, l1, r1)$ 
    using prod-cases3[of c1 thesis] by simp
  obtain s2 l2 r2 where c2-def:  $c2 = (s2, l2, r2)$ 

```



```

    using prod-cases3[of c2 thesis] by simp
  from assms c1-def c2-def show ?thesis
    using tm-imp-step-correct by simp
qed

```

### 3.5 Repeated-Step Program

After constructing a single-step program, we now want to construct and verify a program, that continuously executes steps until it reaches a final state.

Note, that since we are using a Hoare logic for total correctness, verifying it means also proving its termination. This will make proofs later a bit tricky, since:

1. Termination of Turing-Machines is inherently undecidable, and thus will also be undecidable for our constructed program on arbitrary inputs.
2. Proof of Termination involve having a measurable number, that consistently decreases with each iteration. However, our state offers no such number at first glance.

We will solve this problem, by assuming that the TM-machine will also terminate. If it wouldn't, we can't pose any meaningful statements of our program, since it also wouldn't terminate. Given the assumed termination, we can then construct a number of steps required until a final state is reached. We will then use this number as our measurement of termination.

**definition** *tm-imp-steps* :: *tprog0*  $\Rightarrow$  *com* **where**  
*tm-imp-steps* *p* = *WHILE* (*neq* (*N* 0) (*V vnS*)) *DO* *tm-imp-step* *p*

This lemma will help us determine a measurable number *n*, that specifies the remaining amount of steps required until a final state is reached, under the assumption that at *some point* a final state is reached.

**lemma** *tm-remaining-steps*:  
**assumes** *is-final* *c2* **and** *c1*  $\models \langle tm \rangle =^* c2$   
**obtains** *n* **where** *steps0* *c1* *tm* *n* = *c2*  
**using** *assms* *tm-steps0-rel-iff-steps0* **by** *blast*

— If we are in a final state, executing a step yields the same configuration.

**lemma** *step0-final*:  
**assumes** *is-final* *c*  
**shows** *step0* *c* *tm* = *c*  
**using** *assms* *is-final.elims*(2) **by** *fastforce*

— Same as above, but for multiple steps.

**lemma** *steps0-final*:  
**assumes** *is-final* *c*  
**shows** *steps0* *c* *tm* *n* = *c*  
**by** (*induction* *n*) (*use* *assms* *step0-final* **in** *simp*)**+**

Turing-Machines are deterministic in our model, which means whenever a TM reaches a final state from the same starting point, its final configuration will be determined:

**lemma** *tm-final-determined*:  
**assumes** *c*  $\models \langle tm \rangle =^* c1$  **and** *c*  $\models \langle tm \rangle =^* c2$  **and** *is-final* *c1* **and** *is-final* *c2*

```

shows  $c1 = c2$ 
proof –
  obtain  $n1$  where  $n1\text{-def}: \text{steps0 } c \text{ tm } n1 = c1$ 
    using  $\text{assms}(1) \text{ tm-steps0-rel-iff-steps0}$  by blast
  obtain  $n2$  where  $n2\text{-def}: \text{steps0 } c \text{ tm } n2 = c2$ 
    using  $\text{assms}(2) \text{ tm-steps0-rel-iff-steps0}$  by blast

  consider ( $eq$ )  $n1 = n2 \mid (n1\text{-first}) \ n1 < n2 \mid (n2\text{-first}) \ n1 > n2$ 
    using  $n1\text{-def } n2\text{-def}$  by linarith
  then show ?thesis proof (cases)
    case eq
    then show ?thesis
      using  $n1\text{-def } n2\text{-def}$  by simp
  next
    case  $n1\text{-first}$ 
    then have  $\text{steps0 } (\text{steps0 } c \text{ tm } n1) \text{ tm } (n2 - n1) = c2$ 
      by ( $\text{metis le-add-diff-inverse } n2\text{-def order-less-imp-le steps-add}$ )
    then have  $\text{steps0 } c1 \text{ tm } (n2 - n1) = c2$ 
      using  $n1\text{-def}$  by blast
    then show ?thesis
      using  $\text{assms steps0-final}$  by simp
  next
    case  $n2\text{-first}$ 
    then have  $\text{steps0 } (\text{steps0 } c \text{ tm } n2) \text{ tm } (n1 - n2) = c1$ 
      by ( $\text{metis le-add-diff-inverse } n1\text{-def order-less-imp-le steps-add}$ )
    then have  $\text{steps0 } c2 \text{ tm } (n1 - n2) = c1$ 
      using  $n2\text{-def}$  by blast
    then show ?thesis
      using  $\text{assms steps0-final}$  by simp
qed
qed

```

### 3.5.1 Partial Correctness

**lemma** *total-implies-partial*:

assumes  $\vdash_t \{P\} \ c \ \{Q\}$

shows  $\vdash \{P\} \ c \ \{Q\}$

**proof** –

have  $\models_t \{P\} \ c \ \{Q\}$

using *hoaret-sound assms* by simp

then have  $\models \{P\} \ c \ \{Q\}$

unfolding *hoare-valid-def hoare-tvalid-def*

using *big-step-determ* by blast

then show ?thesis

using *hoare-complete* by simp

**qed**

**lemma** *tm-imp-step-chain-total*:  $\vdash_t$

$\{\lambda s. \exists c'. (s \rightarrow_C c') \wedge (c \models \langle tm \rangle^* c')\}$

*tm-imp-step tm*

$\{\lambda s. \exists c'. (s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c')\}$   
**proof** –  
**let**  $?P = \lambda s. \exists c'. (s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c')$   
**have**  $\bigwedge s. ?P s \implies (\exists t. (tm\text{-}imp\text{-}step\ tm, s) \Rightarrow t \wedge ?P t)$   
**proof** –  
**fix**  $s :: impstate$   
**assume**  $?P s$   
**then obtain**  $c1$  **where**  $c1\text{-}def: (s \rightarrow_C c1) \wedge (c \models \langle tm \rangle =^* c1)$   
**by** *blast*  
**then obtain**  $c2$  **where**  $c2\text{-}def: (c1 \models \langle tm \rangle = c2)$   
**by** (*simp add: tm-step0-rel-def*)  
**with**  $c1\text{-}def$  **have**  $c2\text{-}chain: (c \models \langle tm \rangle =^* c2)$   
**using** *tm-steps0-rel-def* **by** *force*  
  
**have**  $\vdash_t \{\lambda s. s \rightarrow_C c1\} tm\text{-}imp\text{-}step\ tm \{\lambda s. s \rightarrow_C c2\}$   
**using** *tm-imp-step-correct'*  $c2\text{-}def$  **by** *blast*  
**then have**  $\models_t \{\lambda s. s \rightarrow_C c1\} tm\text{-}imp\text{-}step\ tm \{\lambda s. s \rightarrow_C c2\}$   
**using** *hoaret-sound* **by** *blast*  
**then have**  $s \rightarrow_C c1 \implies \exists t. (tm\text{-}imp\text{-}step\ tm, s) \Rightarrow t \wedge (t \rightarrow_C c2)$   
**unfolding** *hoare-tvalid-def* **by** *blast*  
**then have**  $\exists t. (tm\text{-}imp\text{-}step\ tm, s) \Rightarrow t \wedge (t \rightarrow_C c2)$   
**using**  $c1\text{-}def$  **by** *blast*  
**then show**  $\exists t. (tm\text{-}imp\text{-}step\ tm, s) \Rightarrow t \wedge ?P t$   
**using**  $c2\text{-}chain$  **by** *blast*  
**qed**  
**then show** *?thesis*  
**using** *hoaret-complete hoare-tvalid-def* **by** *presburger*  
**qed**

**lemma** *tm-imp-steps-correct-partial*:  $\vdash$   
 $\{\lambda s. s \rightarrow_C c\}$   
 $tm\text{-}imp\text{-}steps\ tm$   
 $\{\lambda s. \exists c'. (s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c') \wedge is\text{-}final\ c'\}$   
**proof** –  
**let**  $?b = neq\ (N\ 0)\ (V\ vnS)$   
**let**  $?P = \lambda s. \exists c'. (s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c')$   
  
**have**  $\vdash$   
 $\{\lambda s. ?P s \wedge bval\ ?b\ s\}$   
 $tm\text{-}imp\text{-}step\ tm$   
 $\{?P\}$   
**proof** –  
– Downgrade from Total Correctness to Partial Correctness.  
**have**  $step: \vdash \{?P\} tm\text{-}imp\text{-}step\ tm \{?P\}$   
**using** *tm-imp-step-chain-total total-implies-partial* **by** *blast*  
**show** *?thesis* **by** (*rule partial-conseq'*) (*use step in blast*) +  
**qed**

**then have**  $loop: \vdash \{?P\} tm\text{-}imp\text{-}steps\ tm \{\lambda s. ?P s \wedge \neg bval\ ?b\ s\}$

```

unfolding tm-imp-steps-def by (rule hoare.While)

have p:  $\bigwedge s. (s \rightarrow_C c) \implies (?P\ s)$ 
  using tm-steps0-rel-def by blast

have q:  $\bigwedge s. (?P\ s \wedge \neg \text{bval } ?b\ s) \implies (\exists c'. (s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c') \wedge \text{is-final } c')$ 
proof –
  fix s :: impstate
  assume assm:  $?P\ s \wedge \neg \text{bval } ?b\ s$ 
  obtain c' where c'-def:  $(s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c')$ 
    using assm by blast
  then obtain s' l' r' where c'-split:  $c' = (s', l', r')$ 
    using prod-cases3 by blast
  moreover have s vnS = 0
    using assm by simp
  ultimately have s' = 0
    using assm c'-def by simp
  then have is-final c'
    using c'-def c'-split by simp
  with c'-def have  $(s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c') \wedge \text{is-final } c'$ 
    by simp
  then show  $\exists c'. (s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c') \wedge \text{is-final } c'$ 
    by (rule exI)
qed

show ?thesis by (rule partial-conseq') (use loop p q in blast)+
qed

```

### 3.5.2 Total Correctness

This lemma about `tm_imp_step` effectively says the same as our previous proof of correctness for it above. However, re-formulating the pre- and post-conditions makes it easier to integrate it into our proof of the WHILE-loop. To proof this variation of `tm_imp_step`, we choose a Big-Step approach.

```

lemma tm-imp-step-chain':
  assumes is-final cf
  shows  $\models_t$ 
     $\{\lambda s. \exists c. s \rightarrow_C c \wedge \neg \text{is-final } c \wedge \text{steps0 } c\ tm\ n =_C cf \wedge (cs \models \langle tm \rangle =^* c)\}$ 
    tm-imp-step tm
     $\{\lambda s. \exists c. s \rightarrow_C c \wedge n > 0 \wedge \text{steps0 } c\ tm\ (n-1) =_C cf \wedge (cs \models \langle tm \rangle =^* c)\}$ 
unfolding hoare-tvalid-def proof (standard, standard)
  fix s :: impstate
  let ?P =  $\lambda c. s \rightarrow_C c$ 
     $\wedge \neg \text{is-final } c$ 
     $\wedge \text{steps0 } c\ tm\ n =_C cf$ 
     $\wedge (cs \models \langle tm \rangle =^* c)$ 
  let ?Q =  $\lambda t\ c. t \rightarrow_C c \wedge n > 0 \wedge \text{steps0 } c\ tm\ (n-1) =_C cf \wedge (cs \models \langle tm \rangle =^* c)$ 

  assume  $\exists c. ?P\ c$ 

```

then obtain  $c$  where  $c\text{-def: } ?P\ c$   
 by *blast*

then obtain  $c'$  where  $c'\text{-def: } c' = \text{step0 } c\ tm$   
 by *simp*

then have  $c \models \langle tm \rangle = c'$   
 by (*simp add: tm-step0-rel-def*)

then have  $\vdash_t \{ \lambda s. s \rightarrow_C c \} \text{ tm-imp-step } tm \{ \lambda s. s \rightarrow_C c' \}$   
 using *tm-imp-step-correct'* by *blast*

then have  $\models_t \{ \lambda s. s \rightarrow_C c \} \text{ tm-imp-step } tm \{ \lambda s. s \rightarrow_C c' \}$   
 using *hoaret-sound* by *blast*

then have  $\forall s. s \rightarrow_C c \longrightarrow (\exists t. (\text{tm-imp-step } tm, s) \Rightarrow t \wedge t \rightarrow_C c')$   
 using *hoare-tvalid-def* by *simp*

moreover have  $s \rightarrow_C c$   
 using *c-def* by *blast*

ultimately obtain  $t$  where  $t\text{-def: } (\text{tm-imp-step } tm, s) \Rightarrow t \wedge (t \rightarrow_C c')$   
 using *hoare-tvalid-def* by *presburger*

have  $a1: (cs \models \langle tm \rangle =^* c')$   
 using  $\langle c \models \langle tm \rangle = c' \rangle$  *c-def tm-steps0-rel-def* by *force*

have  $a2: n > 0$  **proof** (*cases*  $n = 0$ )  
 case *True*  
 then have  $\text{steps0 } c\ tm\ n = c$   
 by *simp*  
 then have  $\text{steps0 } c\ tm\ n =_C c$   
 using *config-eq-refl'* by *simp*  
 moreover have  $\text{steps0 } c\ tm\ n =_C cf$   
 using *c-def* by *blast*  
 ultimately have  $c =_C cf$   
 using *config-eq-trans' config-eq-sym'* by *blast*  
 moreover have  $\neg \text{is-final } c \wedge \text{is-final } cf$   
 using *c-def assms* by *blast*  
 ultimately have *False*  
 using *config-eq-det-is-final'* by *simp*  
 then show *?thesis* by *simp*

next  
 case *False*  
 then show *?thesis* by *simp*

qed

obtain  $cf'$  where  $cf'\text{-def: } \text{steps0 } c\ tm\ n = cf' \wedge cf' =_C cf$   
 using *c-def* by *blast*

then have  $\text{steps0 } c'\ tm\ (n-1) = cf'$   
 using  $a2\ c'\text{-def}$  by (*metis Suc-diff-1 steps.simps(2)*)

with  $cf'\text{-def}$  have  $a3: \text{steps0 } c'\ tm\ (n-1) =_C cf$   
 by *simp*

have  $(\text{tm-imp-step } tm, s) \Rightarrow t \wedge ?Q\ t\ c'$

**using**  $t\text{-def } c'\text{-def } a1 \ a2 \ a3$  **by**  $\text{blast}$   
**then show**  $\exists t. (tm\text{-imp-step } tm, s) \Rightarrow t \wedge (\exists c. ?Q \ t \ c)$   
**by**  $\text{blast}$   
**qed**

**lemma**  $tm\text{-imp-step-chain}$ :

**assumes**  $is\text{-final } cf$

**shows**  $\vdash_t$

$\{\lambda s. \exists c. s \rightarrow_C c \wedge \neg is\text{-final } c \wedge steps0 \ c \ tm \ n =_C cf \wedge (cs \models \langle tm \rangle =^* c)\}$   
 $tm\text{-imp-step } tm$

$\{\lambda s. \exists c. s \rightarrow_C c \wedge n > 0 \wedge steps0 \ c \ tm \ (n-1) =_C cf \wedge (cs \models \langle tm \rangle =^* c)\}$

**using**  $assms \ hoaret\text{-complete } tm\text{-imp-step-chain}'$  **by**  $\text{blast}$

Finally, we show that our  $tm\_imp\_steps$  program works as expected.

**lemma**  $tm\text{-imp-steps-correct-total}$ :

**assumes**  $is\text{-final } cf$  **and**  $cs \models \langle tm \rangle =^* cf$

**shows**  $\vdash_t \{\lambda s. s \rightarrow_C cs\} \ tm\text{-imp-steps } tm \ \{\lambda s. s \rightarrow_C cf\}$

**proof** –

**let**  $?b = neq \ (N \ 0) \ (V \ vnS)$

**let**  $?P = \lambda s. \exists c'. (cs \models \langle tm \rangle =^* c') \wedge s \rightarrow_C c'$

**let**  $?T = \lambda s \ n. \exists c'. s \rightarrow_C c' \wedge steps0 \ c' \ tm \ n =_C cf$

**have**  $step: \bigwedge n. \vdash_t$

$\{\lambda s. ?P \ s \wedge bval \ ?b \ s \wedge ?T \ s \ n\}$

$tm\text{-imp-step } tm$

$\{\lambda s. ?P \ s \wedge (\exists n' < n. ?T \ s \ n')\}$

**proof** –

**fix**  $n :: nat$

**let**  $?P' = \lambda s. \exists c. s \rightarrow_C c \wedge \neg is\text{-final } c \wedge steps0 \ c \ tm \ n =_C cf \wedge (cs \models \langle tm \rangle =^* c)$

**let**  $?Q' = \lambda s. \exists c. s \rightarrow_C c \wedge n > 0 \wedge steps0 \ c \ tm \ (n-1) =_C cf \wedge (cs \models \langle tm \rangle =^* c)$

**have**  $p: \bigwedge s. (?P \ s \wedge bval \ ?b \ s \wedge ?T \ s \ n) \Longrightarrow (?P' \ s)$

**proof** –

**fix**  $s :: impstate$

**assume**  $assm: ?P \ s \wedge bval \ ?b \ s \wedge ?T \ s \ n$

**obtain**  $c$  **where**  $a1: s \rightarrow_C c \wedge (cs \models \langle tm \rangle =^* c)$

**using**  $assm$  **by**  $\text{blast}$

**with**  $assm$  **have**  $\exists c'. c =_C c' \wedge steps0 \ c' \ tm \ n =_C cf$

**using**  $impstate\text{-to-config-inv-det}'$  **by**  $\text{blast}$

**then obtain**  $c'$  **where**  $c =_C c' \wedge steps0 \ c' \ tm \ n =_C cf$

**by**  $\text{blast}$

**then have**  $a2: steps0 \ c \ tm \ n =_C cf$

**using**  $config\text{-eq-trans}' \ config\text{-eq-steps0}$  **by**  $\text{blast}$

**have**  $s \ vnS \neq 0$

**using**  $assm$  **by**  $\text{force}$

**moreover obtain**  $st \ l \ r$  **where**  $c = (st, l, r)$

**using**  $prod\text{-cases3}$  **by**  $\text{blast}$

**ultimately have**  $a3: \neg \text{is-final } c$   
**using**  $a1$  **by** *force*

**from**  $a1 \ a2 \ a3$  **show**  $?P' \ s$  **by** *blast*  
**qed**

**have**  $q: \bigwedge s. (?Q' \ s) \implies ?P \ s \wedge (\exists n' < n. ?T \ s \ n')$   
**proof** –  
**fix**  $s :: \text{impstate}$   
**assume**  $assm: ?Q' \ s$

**have**  $a1: ?P \ s$   
**using**  $assm$  **by** *blast*

**have**  $n > 0 \wedge ?T \ s \ (n-1)$   
**using**  $assm$  **by** *blast*  
**then have**  $a2: \exists n' < n. ?T \ s \ n'$   
**by** (*cases*  $n$ , *simp*, *auto*)

**from**  $a1 \ a2$  **show**  $?P \ s \wedge (\exists n' < n. ?T \ s \ n')$  **by** *blast*  
**qed**

**show**  $\vdash_t$   
 $\{\lambda s. ?P \ s \wedge \text{bval } ?b \ s \wedge ?T \ s \ n\}$   
 $\text{tm-imp-step } \text{tm}$   
 $\{\lambda s. ?P \ s \wedge (\exists n' < n. ?T \ s \ n')\}$   
**by** (*rule* *conseq'*, *use* *tm-imp-step-chain*[**where**  $n=n$ ] *assms*(1) **in** *fast*; *use*  $p \ q$  **in** *blast*)  
**qed**

**have**  $\text{loop}: \vdash_t$   
 $\{\lambda s. ?P \ s \wedge (\exists n. ?T \ s \ n)\}$   
 $\text{tm-imp-steps } \text{tm}$   
 $\{\lambda s. ?P \ s \wedge \neg \text{bval } ?b \ s\}$   
**unfolding** *tm-imp-steps-def*  
**by** (*rule* *While*, *use* *step* **in** *simp*)

**have**  $p: \bigwedge s. (s \rightarrow_C cs) \implies (?P \ s \wedge (\exists n. ?T \ s \ n))$   
**proof** –  
**fix**  $s :: \text{impstate}$   
**assume**  $assm: s \rightarrow_C cs$

**have**  $(cs \models \langle \text{tm} \rangle =^* cs) \wedge s \rightarrow_C cs$   
**using**  $assm$  **by** (*simp* *add*: *tm-steps0-rel-def*)  
**then have**  $a1: \exists c'. (cs \models \langle \text{tm} \rangle =^* c') \wedge s \rightarrow_C c'$   
**by** *blast*

**obtain**  $n$  **where**  $\text{steps0 } cs \ \text{tm} \ n =_C \text{cf}$   
**using** *assms* *tm-remaining-steps* *config-eq-refl'* **by** *blast*  
**then have**  $a2: (\exists n. ?T \ s \ n)$

```

using assm by blast

from a1 a2 show  $?P\ s \wedge (\exists n. ?T\ s\ n)$  by blast
qed

have  $q: \bigwedge s. (?P\ s \wedge \neg bval\ ?b\ s) \implies (s \rightarrow_C cf)$ 
proof –
  fix  $s :: impstate$ 
  assume assm:  $?P\ s \wedge \neg bval\ ?b\ s$ 

  obtain  $c$  where  $c\text{-def}: (cs \models \langle tm \rangle =^* c) \wedge s \rightarrow_C c$ 
    using assm by blast
  then obtain  $st\ l\ r$  where  $c = (st, l, r)$ 
    using prod-cases3 by blast
  with assm have is-final  $c$ 
    using  $c\text{-def}$  by simp
  with  $c\text{-def}$  show  $s \rightarrow_C cf$ 
    using assms tm-final-determined by blast
qed

show ?thesis by (rule conseq', use loop in fast; use  $p\ q$  in fast)
qed

```

### 3.6 IMP is Turing-Complete

We can now prove the main theorem of this project: that IMP is Turing-Complete. In words, we show that for every possible Turing-Machine  $tm$ , there exists an IMP-program  $p$ , that fulfils the following two properties:

1. For every configuration  $c$ , if  $p$  is started in a state that represents  $c$ , when  $p$  terminates it does so in state that represents a configuration  $c'$ , where  $tm$  will also reach  $c'$  if started in  $c$  and which is a final-configuration, meaning the  $tm$  would also halt in exactly this configuration.
2. For every two configurations  $c_1$  and  $c_2$ , where  $c_2$  is a final-configuration, and  $tm$  would also reach (and halt) in  $c_2$  if started in  $c_1$ , then our program, if started in a state that represents  $c_1$ , will terminate and its final state will represent  $c_2$ .

This shows, that when our constructed program terminates, it does so in a state we expect. Furthermore, this also shows that our constructed program always terminates, when we expect it to.

**theorem** *IMP-is-TuringComplete*:

```

fixes  $tm :: tprog0$ 
obtains  $p$  where  $\bigwedge c. \vdash \{\lambda s. s \rightarrow_C c\} p \{\lambda s. \exists c'. (s \rightarrow_C c') \wedge (c \models \langle tm \rangle =^* c') \wedge is\text{-final}\ c'\}$ 
  and  $\bigwedge c1\ c2. (is\text{-final}\ c2 \wedge (c1 \models \langle tm \rangle =^* c2)) \implies (\vdash_t \{\lambda s. s \rightarrow_C c1\} p \{\lambda s. s \rightarrow_C c2\})$ 
using tm-imp-steps-correct-partial tm-imp-steps-correct-total by blast

```

The attentive reader will have noticed, that the first statement is formulated logically a bit differently, than described in words above. Precisely, we actually only show, that there exists a configuration  $c'$ , that is represented by the state  $s$ , and that is final and reachable from  $c$ , but not that is uniquely defined.



This problem again stems from the ambiguous definition of tapes in the imported definition. However, we have shown earlier in lemma `impstate_to_config_inv_det` that all possible configurations that could occur must be semantically equivalent with respect to our equivalence relation  $=_C$ .

With this, we have shown that IMP is Turing-Complete.  $\square$

**end** — end-theory IMP\_TuringComplete

## 4 Closing Remarks

### 4.1 Conclusion

We have shown that IMP, as introduced by Tobias Nipkow and Gerwin Klein in “Concrete Semantics”[2], is Turing-Complete, by constructing an IMP-program, that can simulate a Turing-Machine.

### 4.2 Future Work

A possible continuation of this project could be to show the Turing-Equivalence of IMP: that is, showing that IMP can also be simulated by a Turing-Machine. Although, the widely-accepted Church-Turing-thesis implies that IMP can not be more powerful than a Turing-Machine. [1]

Another interesting future work could be investigating how different language features change the powerfulness of IMP. For example, what effects would adding non-determinism (e.g. by an infinite loop statement) have, or what boundaries can be imposed without violating the Turing-Completeness.

Furthermore, this project could also be refined, replacing some abbreviations with definitions, making some proofs more difficult or require more facts, but also speeding up other proofs significantly. Some more utility lemmas can also be introduced, making some facts more directly derivable. Last, but not least, the Hoare pre-conditions and post-conditions may be refined in various facts, so that facts about them may be used more easily by other proofs.

## References

- [1] B. J. Copeland. The church-turing thesis. 1997.
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- [4] A. M. Turing. On computable numbers, with an application to the entscheidungsproblem. *Proceedings of the London Mathematical Society*, s2-42(1):230–265, 01 1937.
- [5] J. Xu, X. Zhang, C. Urban, S. J. C. Joosten, and F. Regensburger. Universal turing machine. *Archive of Formal Proofs*, February 2019. [https://isa-afp.org/entries/Universal\\_Turing\\_Machine.html](https://isa-afp.org/entries/Universal_Turing_Machine.html), Formal proof development.