

# java/j2ee Application Framework

The Spring Framework - Reference Documentation

Version 2.5.6

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## **Preface**

Developing software applications is hard enough even with good tools and technologies. Implementing applications using platforms which promise everything but turn out to be heavy-weight, hard to control and not very efficient during the development cycle makes it even harder. Spring provides a light-weight solution for building enterprise-ready applications, while still supporting the possibility of using declarative transaction management, remote access to your logic using RMI or web services, and various options for persisting your data to a database. Spring provides a full-featured MVC framework, and transparent ways of integrating AOP into your software.

Spring could potentially be a one-stop-shop for all your enterprise applications; however, Spring is modular, allowing you to use just those parts of it that you need, without having to bring in the rest. You can use the IoC container, with Struts on top, but you could also choose to use just the <u>Hibernate integration code</u> or the <u>JDBC abstraction layer</u>. Spring has been (and continues to be) designed to be non-intrusive, meaning dependencies on the framework itself are generally none (or absolutely minimal, depending on the area of use).

This document provides a reference guide to Spring's features. Since this document is still to be considered very much work-in-progress, if you have any requests or comments, please post them on the user mailing list or on the support forums at <a href="http://forum.springframework.org/">http://forum.springframework.org/</a>.

Before we go on, a few words of gratitude are due to Christian Bauer (of the <u>Hibernate</u> team), who prepared and adapted the DocBook-XSL software in order to be able to create Hibernate's reference guide, thus also allowing us to create this one. Also thanks to Russell Healy for doing an extensive and valuable review of some of the material.

## **Chapter 1. Introduction**

#### **Background**

In early 2004, Martin Fowler asked the readers of his site: when talking about Inversion of Control: "the question is, what aspect of control are [they] inverting?". Fowler then suggested renaming the principle (or at least giving it a more self-explanatory name), and started to use the term *Dependency Injection*. His article then continued to explain the ideas underpinning the Inversion of Control (IoC) and Dependency Injection (DI) principle.

If you need a decent insight into IoC and DI, please do refer to said article : <a href="http://martinfowler.com/articles/injection.html">http://martinfowler.com/articles/injection.html</a>.

Java applications (a loose term which runs the gamut from constrained applets to full-fledged n-tier server-side enterprise applications) typically are composed of a number of objects that collaborate with one another to form the application proper. The objects in an application can thus be said to have *dependencies* between themselves.

The Java language and platform provides a wealth of functionality for architecting and building applications, ranging all the way from the very basic building blocks of primitive types and classes (and the means to define new classes), to rich full-featured application servers and web frameworks. One area that is decidedly conspicuous by its absence is any means of taking the basic building blocks and composing them into a coherent whole; this area has typically been left to the purvey of the architects and developers tasked with building an application (or applications). Now to be fair, there are a number of design patterns devoted to the business of composing the various classes and object instances that makeup an all-singing, all-dancing application. Design patterns such as *Factory*, *Abstract Factory*, *Builder*, *Decorator*, and *Service Locator* (to name but a few) have widespread recognition and acceptance within the software development industry (presumably that is why these patterns have been formalized as patterns in the first place). This is all very well, but these patterns are just that: best practices given a name, typically together with a description of what the pattern does, where the pattern is typically best applied, the problems that the application of the pattern addresses, and so forth. Notice that the last paragraph used the phrase "... a description of what the pattern does..."; pattern books and wikis are typically listings of such formalized best practice that you can certainly take away, mull over, and then implement yourself in your application.

The IoC component of the Spring Framework addresses the enterprise concern of taking the classes, objects, and services that are to compose an application, by providing a formalized means of composing these various disparate components into a fully working application ready for use. The Spring Framework takes best practices that have been proven over the years in numerous applications and formalized as design patterns, and actually codifies these patterns as first class objects that you as an architect and developer can take away and integrate into your own application(s). This is a *Very Good Thing Indeed* as attested to by the numerous organizations and institutions that have used the Spring Framework to engineer robust, *maintainable* applications.

#### 1.1. Overview

The Spring Framework contains a lot of features, which are well-organized in six modules shown in the diagram below. This chapter discusses each of the modules in turn.



## ORM

Hibernate JPA TopLink JDO OJB iBatis

## **AOP**

Spring AOP AspectJ integration

## JEE

JMX JMS JCA Remoting EJBs Email

## Web

Spring Web MVC
Framework Integration
Struts
WebWork
Tapestry
JSF
Rich View Support
JSPs
Velocity
FreeMarker
PDF
Jasper Reports
Excel
Spring Portlet MVC

## Core

The IoC container

Overview of the Spring Framework

The <u>Core</u> package is the most fundamental part of the framework and provides the <u>IoC</u> and <u>Dependency Injection features</u>. The basic concept here is the BeanFactory, which provides a sophisticated implementation of the factory pattern which removes the need for programmatic singletons and allows you to decouple the configuration and specification of dependencies from your actual program logic.

The <u>Context</u> package build on the solid base provided by the <u>Core</u> package: it provides a way to access objects in a framework-style manner in a fashion somewhat reminiscent of a JNDI-registry. The context package inherits its features from the beans package and adds support for internationalization (I18N) (using for example resource bundles), event-propagation, resource-loading, and the transparent creation of contexts by, for example, a servlet container.

The <u>DAO</u> package provides a JDBC-abstraction layer that removes the need to do tedious JDBC coding and parsing of database-vendor specific error codes. Also, the <u>JDBC</u> package provides a way to do programmatic as well as declarative transaction management, not only for classes implementing special interfaces, but for *all your POJOs* (plain old Java objects).

The <u>ORM</u> package provides integration layers for popular object-relational mapping APIs, including <u>JPA</u>, <u>JDO</u>, <u>Hibernate</u>, and <u>iBatis</u>. Using the ORM package you can use all those O/R-mappers in combination with all the other features Spring offers, such as the simple declarative transaction management feature mentioned previously.

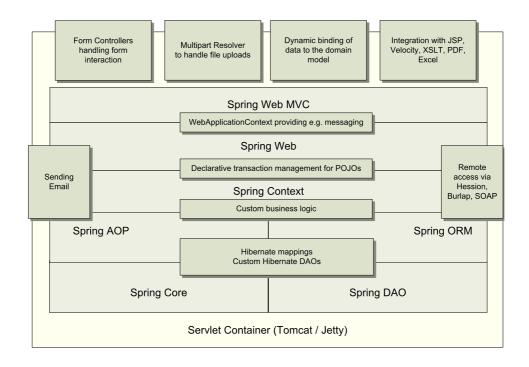
Spring's <u>AOP</u> package provides an <u>AOP Alliance</u>-compliant aspect-oriented programming implementation allowing you to define, for example, method-interceptors and pointcuts to cleanly decouple code implementing functionality that should logically speaking be separated. Using source-level metadata functionality you can also incorporate all kinds of behavioral information into your code, in a manner similar to that of .NET attributes.

Spring's *Web* package provides basic web-oriented integration features, such as multipart file-upload functionality, the initialization of the IoC container using servlet listeners and a web-oriented application context. When using Spring together with WebWork or Struts, this is the package to integrate with.

Spring's <u>MVC</u> package provides a Model-View-Controller (MVC) implementation for web-applications. Spring's MVC framework is not just any old implementation; it provides a *clean* separation between domain model code and web forms, and allows you to use all the other features of the Spring Framework.

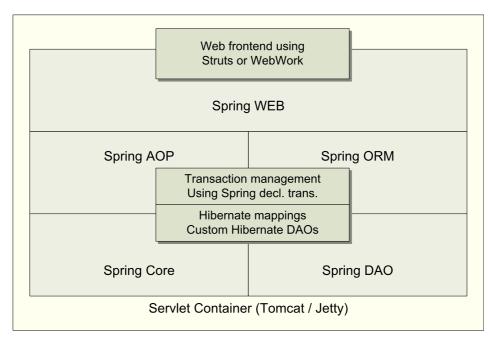
## 1.2. Usage scenarios

With the building blocks described above you can use Spring in all sorts of scenarios, from applets up to fully-fledged enterprise applications using Spring's transaction management functionality and web framework integration.



Typical full-fledged Spring web application

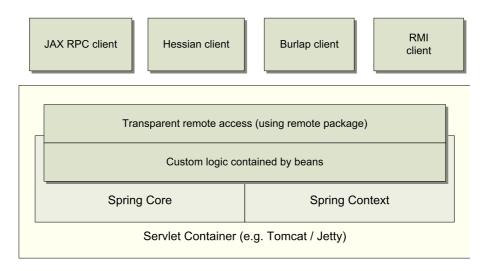
By using Spring's <u>declarative transaction management features</u> the web application is fully transactional, just as it would be when using container managed transactions as provided by Enterprise JavaBeans. All your custom business logic can be implemented using simple POJOs, managed by Spring's IoC container. Additional services include support for sending email, and validation that is independent of the web layer enabling you to choose where to execute validation rules. Spring's ORM support is integrated with JPA, Hibernate, JDO and iBatis; for example, when using Hibernate, you can continue to use your existing mapping files and standard Hibernate SessionFactory configuration. Form controllers seamlessly integrate the web-layer with the domain model, removing the need for ActionForms or other classes that transform HTTP parameters to values for your domain model.



Spring middle-tier using a third-party web framework

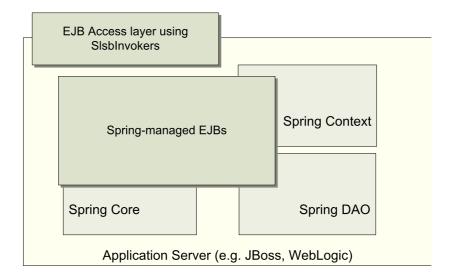
Sometimes the current circumstances do not allow you to completely switch to a different framework. The

Spring Framework does *not* force you to use everything within it; it is not an *all-or-nothing* solution. Existing front-ends built using WebWork, Struts, Tapestry, or other UI frameworks can be integrated perfectly well with a Spring-based middle-tier, allowing you to use the transaction features that Spring offers. The only thing you need to do is wire up your business logic using an ApplicationContext and integrate your web layer using a WebApplicationContext.



Remoting usage scenario

When you need to access existing code via web services, you can use Spring's Hessian-, Burlap-, Rmi- or JaxRpcProxyFactory classes. Enabling remote access to existing applications suddenly is not that hard anymore.



EJBs - Wrapping existing POJOs

The Spring Framework also provides an <u>access- and abstraction- layer</u> for Enterprise JavaBeans, enabling you to reuse your existing POJOs and wrap them in Stateless Session Beans, for use in scalable, failsafe web applications that might need declarative security.

## Chapter 2. What's new in Spring 2.0 and 2.5?

### 2.1. Introduction

If you have been using the Spring Framework for some time, you will be aware that Spring has undergone two major revisions: Spring 2.0, released in October 2006, and Spring 2.5, released in November 2007.

#### Java SE and Java EE Support

The Spring Framework continues to be compatible with all versions of Java since (and including) Java 1.4.2. This means that Java 1.4.2, Java 5 and Java 6 are supported, although some advanced functionality of the Spring Framework will not be available to you if you are committed to using Java 1.4.2. Spring 2.5 introduces dedicated support for Java 6, after Spring 2.0's in-depth support for Java 5 throughout the framework.

Furthermore, Spring remains compatible with J2EE 1.3 and higher, while at the same time introducing dedicated support for Java EE 5. This means that Spring can be consistently used on application servers such as BEA WebLogic 8.1, 9.0, 9.2 and 10, IBM WebSphere 5.1, 6.0, 6.1 and 7, Oracle OC4J 10.1.3 and 11, JBoss 3.2, 4.0, 4.2 and 5.0, as well as Tomcat 4.1, 5.0, 5.5 and 6.0, Jetty 4.2, 5.1 and 6.1, Resin 2.1, 3.0 and 3.1 and GlassFish V1 and V2.

NOTE: We generally recommend using the most recent version of each application server generation. In particular, make sure you are using BEA WebLogic 8.1 SP6 or higher and WebSphere 6.0.2.19 / 6.1.0.9 or higher, respectively, when using those WebLogic and WebSphere generations with Spring 2.5.

This chapter is a guide to the new and improved features of Spring 2.0 and 2.5. It is intended to provide a high-level summary so that seasoned Spring architects and developers can become immediately familiar with the new Spring 2.x functionality. For more in-depth information on the features, please refer to the corresponding sections hyperlinked from within this chapter.

## 2.2. The Inversion of Control (IoC) container

One of the areas that contains a considerable number of 2.0 and 2.5 improvements is Spring's IoC container.

## 2.2.1. New bean scopes

Previous versions of Spring had IoC container level support for exactly two distinct bean scopes (singleton and prototype). Spring 2.0 improves on this by not only providing a number of additional scopes depending on the environment in which Spring is being deployed (for example, request and session scoped beans in a web environment), but also by providing integration points so that Spring users can create their own scopes.

It should be noted that although the underlying (and internal) implementation for singleton- and prototype-scoped beans has been changed, this change is totally transparent to the end user... no existing configuration needs to change, and no existing configuration will break.

Both the new and the original scopes are detailed in the section entitled Section 3.4, "Bean scopes".

#### 2.2.2. Easier XML configuration

Spring XML configuration is now even easier, thanks to the advent of the new XML configuration syntax based on XML Schema. If you want to take advantage of the new tags that Spring provides (and the Spring team certainly suggest that you do because they make configuration less verbose and easier to read), then do read the section entitled Appendix A, XML Schema-based configuration.

On a related note, there is a new, updated DTD for Spring 2.0 that you may wish to reference if you cannot take advantage of the XML Schema-based configuration. The DOCTYPE declaration is included below for your convenience, but the interested reader should definitely read the 'spring-beans-2.0.dtd' DTD included in the 'dist/resources' directory of the Spring 2.5 distribution.

### 2.2.3. Extensible XML authoring

Not only is XML configuration easier to write, it is now also extensible.

What 'extensible' means in this context is that you, as an application developer, or (more likely) as a third party framework or product vendor, can write custom tags that other developers can then plug into their own Spring configuration files. This allows you to have your own domain specific language (the term is used loosely here) of sorts be reflected in the specific configuration of your own components.

Implementing custom Spring tags may not be of interest to every single application developer or enterprise architect using Spring in their own projects. We expect third-party vendors to be highly interested in developing custom configuration tags for use in Spring configuration files.

The extensible configuration mechanism is documented in Appendix B, Extensible XML authoring.

## 2.2.4. Annotation-driven configuration

Spring 2.0 introduced support for various annotations for configuration purposes, such as @Transactional, @Required and @PersistenceContext/@PersistenceUnit.

Spring 2.5 introduces support for a complete set of configuration annotations: <code>@Autowired</code> in combination with support for the JSR-250 annotations <code>@Resource</code>, <code>@PostConstruct</code> and <code>@PreDestroy</code>.

Annotation-driven bean configuration is discussed in Section 3.11, "Annotation-based configuration". Check out annotation support for Spring MVC as well: Section 2.5.3, "Annotation-based controllers"

## 2.2.5. Autodetecting components in the classpath

Spring 2.5 introduces support component scanning: autodetecting annotated components in the classpath. Typically, such component classes will be annotated with stereotypes such as @Component, @Repository, @Service, @Controller. Depending on the application context configuration, such component classes will be autodetected and turned into Spring bean definitions, not requiring explicit configuration for each such bean.

Annotation-driven bean configuration is discussed in Section 3.12.1, "@Component and further stereotype annotations".

## 2.3. Aspect Oriented Programming (AOP)

Spring 2.0 has a much improved AOP offering. The Spring AOP framework itself is markedly easier to configure in XML, and significantly less verbose as a result; and Spring 2.0 integrates with the AspectJ pointcut language and @AspectJ aspect declaration style. The chapter entitled Chapter 6, *Aspect Oriented Programming with Spring* is dedicated to describing this new support.

### 2.3.1. Easier AOP XML configuration

Spring 2.0 introduces new schema support for defining aspects backed by regular Java objects. This support takes advantage of the AspectJ pointcut language and offers fully typed advice (i.e. no more casting and object[] argument manipulation). Details of this support can be found in the section entitled Section 6.3, "Schema-based AOP support".

### 2.3.2. Support for @AspectJ aspects

Spring 2.0 also supports aspects defined using the @AspectJ annotations. These aspects can be shared between AspectJ and Spring AOP, and require (honestly!) only some simple configuration. Said support for @AspectJ aspects is discussed in Section 6.2, "@AspectJ support".

#### 2.3.3. Support for bean name pointcut element

Spring 2.5 introduces support for the bean(...) pointcut element, matching specific named beans according to Spring-defined bean names. See Section 6.2.3.1, "Supported Pointcut Designators" for details.

### 2.3.4. Support for AspectJ load-time weaving

Spring 2.5 introduces explicit support AspectJ load-time weaving, as alternative to the proxy-based AOP framework. The new context:load-time-weaver configuration element automatically activates AspectJ aspects as defined in AspectJ's META-INF/aop.xml descriptor, applying them to the current application context through registering a transformer with the underlying ClassLoader. Note that this only works in environments with class transformation support. Check out Section 6.8.4, "Load-time weaving with AspectJ in the Spring Framework" for the capabilities and limitations.

### 2.4. The Middle Tier

## 2.4.1. Easier configuration of declarative transactions in XML

The way that transactions are configured in Spring 2.0 has been changed significantly. The previous 1.2.x style of configuration continues to be valid (and supported), but the new style is markedly less verbose and is the recommended style. Spring 2.0 also ships with an AspectJ aspects library that you can use to make pretty much any object transactional - even objects not created by the Spring IoC container.

Spring 2.5 supports convenient annotation-driven transaction management in combination with load-time weaving, through the use of context:load-time-weaver in combination with tx:annotation-driven mode="aspectj".

The chapter entitled Chapter 9, Transaction management contains all of the details.

#### 2.4.2. Full WebSphere transaction management support

Spring 2.5 explicitly supports IBM's WebSphere Application Server, in particular with respect to WebSphere's transaction manager. Transaction suspension is now fully supported through the use of WebSphere's new UOWManager API, which is available on WAS 6.0.2.19+ and 6.0.1.9+.

So if you run a Spring-based application on the WebSphere Application Server, we highly recommend to use Spring 2.5's WebSphereUowTransactionManager as your PlatformTransactionManager of choice. This is also IBM's official recommendation.

For automatic detection of the underlying JTA-based transaction platform, consider the use of Spring 2.5's new tx:jta-transaction-manager configuration element. This will autodetect BEA WebLogic and IBM WebSphere, registering the appropriate PlatformTransactionManager.

#### 2.4.3. JPA

Spring 2.0 ships with a JPA abstraction layer that is similar in intent to Spring's JDBC abstraction layer in terms of scope and general usage patterns.

If you are interested in using a JPA-implementation as the backbone of your persistence layer, the section entitled Section 12.6, "JPA" is dedicated to detailing Spring's support and value-add in this area.

Spring 2.5 upgrades its OpenJPA support to OpenJPA 1.0, with support for advanced features such as savepoints.

### 2.4.4. Asynchronous JMS

Prior to Spring 2.0, Spring's JMS offering was limited to sending messages and the *synchronous* receiving of messages. This functionality (encapsulated in the JmsTemplate class) is great, but it doesn't address the requirement for the *asynchronous* receiving of messages.

Spring 2.0 now ships with full support for the reception of messages in an asynchronous fashion, as detailed in the section entitled Section 19.4.2, "Asynchronous Reception - Message-Driven POJOs".

As of Spring 2.5, the JCA style of setting up asynchronous message listeners is supported as well, through the GenericMessageEndpointManager facility. This is an alternative to the standard JMS listener facility, allowing closer integration with message brokers such as ActiveMQ and JORAM. See Section 19.5, "Support for JCA Message Endpoints".

Spring 2.5 also introduces an XML namespace for simplifying JMS configuration, offering concise configuration of a large numbers of listeners. This namespace supports both the standard JMS listener facility as well as the JCA setup style, with minimal changes in the configuration. See Section 19.6, "JMS Namespace Support".

#### 2.4.5. JDBC

There are some small (but nevertheless notable) new classes in the Spring Framework's JDBC support library. The first, <a href="MamedParameterJdbcTemplate">NamedParameterJdbcTemplate</a>, provides support for programming JDBC statements using named parameters (as opposed to programming JDBC statements using only classic placeholder ('?') arguments.

Another of the new classes, the <u>SimpleJdbcTemplate</u>, is aimed at making using the JdbcTemplate even easier to use when you are developing against Java 5+ (Tiger).

Spring 2.5 significantly extends the functionality of SimpleJdbcTemplate and introduces SimpleJdbcCall and SimpleJdbcInsert operation objects.

### 2.5. The Web Tier

The web tier support has been *substantially* improved and expanded in Spring 2.0, with annotation-based controllers introduced in Spring 2.5.

### 2.5.1. Sensible defaulting in Spring MVC

For a lot of projects, sticking to established conventions and having reasonable defaults is just what the projects need... this theme of convention-over-configuration now has explicit support in Spring MVC. What this means is that if you establish a set of naming conventions for your Controllers and views, you can *substantially* cut down on the amount of XML configuration that is required to setup handler mappings, view resolvers, ModelAndView instances, etc. This is a great boon with regards to rapid prototyping, and can also lend a degree of (always good-to-have) consistency across a codebase.

Spring MVC's convention-over-configuration support is detailed in the section entitled Section 13.10, "Convention over configuration"

#### 2.5.2. Portlet framework

Spring 2.0 ships with a Portlet framework that is conceptually similar to the Spring MVC framework. Detailed coverage of the Spring Portlet framework can be found in the section entitled Chapter 16, *Portlet MVC Framework*.

#### 2.5.3. Annotation-based controllers

Spring 2.5 introduces an annotation-based programming model for MVC controllers, using annotations such as <code>@RequestMapping</code>, <code>@RequestParam</code>, <code>@ModelAttribute</code>, etc. This annotation support is available for both Servlet MVC and Portlet MVC. Controllers implemented in this style do not have to extend specific base classes or implement specific interfaces. Furthermore, they do not usually have direct dependencies on Servlet or Portlet API's, although they can easily get access to Servlet or Portlet facilities if desired. For further details, see Section 13.11, "Annotation-based controller configuration".

## 2.5.4. A form tag library for Spring MVC

A rich JSP tag library for Spring MVC was *the* JIRA issue that garnered the most votes from Spring users (by a wide margin).

Spring 2.0 ships with a full featured JSP tag library that makes the job of authoring JSP pages much easier when using Spring MVC; the Spring team is confident it will satisfy all of those developers who voted for the issue on JIRA. The new tag library is itself covered in the section entitled Section 14.2.4, "Using Spring's form tag library", and a quick reference to all of the new tags can be found in the appendix entitled Appendix E, spring-form.tld.

## 2.5.5. Tiles 2 support

Spring 2.5 ships support for Tiles 2, the next generation of the popular Tiles templating framework. This

supersedes Spring's former support for Tiles 1, as included in Struts 1.x. See Section 14.3, "Tiles" for details.

#### 2.5.6. JSF 1.2 support

Spring 2.5 supports JSF 1.2, providing a JSF 1.2 variant of Spring's DelegatingVariableResolver in the form of the new SpringBeanFacesELResolver.

### 2.5.7. JAX-WS support

Spring 2.5 fully supports JAX-WS 2.0/2.1, as included in Java 6 and Java EE 5. JAX-WS is the successor of JAX-RPC, allowing access to WSDL/SOAP-based web services as well as JAX-WS style exposure of web services.

## 2.6. Everything else

This final section outlines all of the other new and improved Spring 2.0/2.5 features and functionality.

### 2.6.1. Dynamic language support

Spring 2.0 introduced support for beans written in languages other than Java, with the currently supported dynamic languages being JRuby, Groovy and BeanShell. This dynamic language support is comprehensively detailed in the section entitled Chapter 24, *Dynamic language support*.

Spring 2.5 refines the dynamic languages support with autowiring and support for the recently released JRuby 1.0.

## 2.6.2. Enhanced testing support

Spring 2.5 introduces the *Spring TestContext Framework* which provides annotation-driven unit and integration testing support that is agnostic of the actual testing framework in use. The same techniques and annotation-based configuration used in, for example, a JUnit 3.8 environment can also be applied to tests written with JUnit 4.4, TestNG, etc.

In addition to providing generic and extensible testing infrastructure, the *Spring TestContext Framework* provides out-of-the-box support for Spring-specific integration testing functionality such as <u>context management and caching</u>, <u>dependency injection of test fixtures</u>, and <u>transactional test management</u> with default rollback semantics.

To discover how this new testing support can assist you with writing unit and integration tests, consult Section 8.3.7, "Spring TestContext Framework" of the revised testing chapter.

## 2.6.3. JMX support

The Spring Framework 2.0 has support for Notifications; it is also possible to exercise declarative control over the registration behavior of MBeans with an MBeanServer.

- Section 20.7, "Notifications"
- Section 20.2.5, "Controlling the registration behavior"

Furthermore, Spring 2.5 provides a <u>context:mbean-export</u> configuration element for convenient registration of annotated bean classes, detecting Spring's @ManagedResource annotation.

### 2.6.4. Deploying a Spring application context as JCA adapter

Spring 2.5 supports the deployment of a Spring application context as JCA resource adapter, packaged as a JCA RAR file. This allows headless application modules to be deployed into J2EE servers, getting access to all the server's infrastructure e.g. for executing scheduled tasks, listening for incoming messages, etc.

### 2.6.5. Task scheduling

Spring 2.0 offers an abstraction around the scheduling of tasks. For the interested developer, the section entitled Section 23.4, "The Spring TaskExecutor abstraction" contains all of the details.

The TaskExecutor abstraction is used throughout the framework itself as well, e.g. for the asynchronous JMS support. In Spring 2.5, it is also used in the JCA environment support.

#### 2.6.6. Java 5 (Tiger) support

Find below pointers to documentation describing some of the new Java 5 support in Spring 2.0 and 2.5.

- Section 3.11, "Annotation-based configuration"
- Section 25.3.1, "@Required"
- Section 9.5.6, "Using @Transactional"
- Section 11.2.3, "SimpleJdbcTemplate"
- Section 12.6, "JPA"
- Section 6.2, "@AspectJ support"
- Section 6.8.1, "Using AspectJ to dependency inject domain objects with Spring"

## 2.7. Migrating to Spring 2.5

This final section details issues that may arise during any migration from Spring 1.2/2.0 to Spring 2.5.

Upgrading to Spring 2.5 from a Spring 2.0.x application should simply be a matter of dropping the Spring 2.5 jar into the appropriate location in your application's directory structure. We highly recommend upgrading to Spring 2.5 from any Spring 2.0 application that runs on JDK 1.4.2 or higher, in particular when running on Java 5 or higher, leveraging the significant configuration conveniences and performance improvements that Spring 2.5 has to offer.

Whether an upgrade from Spring 1.2.x will be as seamless depends on how much of the Spring APIs you are using in your code. Spring 2.0 removed pretty much all of the classes and methods previously marked as deprecated in the Spring 1.2.x codebase, so if you have been using such classes and methods, you will of course have to use alternative classes and methods (some of which are summarized below).

With regards to configuration, Spring 1.2.x style XML configuration is 100%, satisfaction-guaranteed

compatible with the Spring 2.5 library. Of course if you are still using the Spring 1.2.x DTD, then you won't be able to take advantage of some of the new Spring 2.0 functionality (such as scopes and easier AOP and transaction configuration), but nothing will blow up.

The suggested migration strategy is to drop in the Spring 2.5 jar(s) to benefit from the improved code present in the release (bug fixes, optimizations, etc.). You can then, on an incremental basis, choose to start using the new Spring 2.5 features and configuration. For example, you could choose to start configuring just your aspects in the new Spring 2 style; it is perfectly valid to have 90% of your configuration using the old-school Spring 1.2.x configuration (which references the 1.2.x DTD), and have the other 10% using the new Spring 2 configuration (which references the 2.0/2.5 DTD or XSD). Bear in mind that you are not forced to upgrade your XML configuration should you choose to drop in the Spring 2.5 libraries.

#### **2.7.1. Changes**

For a comprehensive list of changes, consult the 'changelog.txt' file that is located in the top level directory of the Spring Framework distribution.

#### 2.7.1.1. Supported JDK versions

As of Spring 2.5, support for JDK 1.3 has been removed, following Sun's official deprecation of JDK 1.3 in late 2006. If you haven't done so already, upgrade to JDK 1.4.2 or higher.

If you need to stick with an application server that only supports JDK 1.3, such as WebSphere 4.0 or 5.0, we recommend using the Spring Framework version 2.0.7/2.0.8 which still supports JDK 1.3.

#### 2.7.1.2. Jar packaging in Spring 2.5

As of Spring 2.5, Spring Web MVC is no longer part of the 'spring.jar' file. Spring MVC can be found in 'spring-webmvc.jar' and 'spring-webmvc-portlet.jar' in the lib/modules directory of the distribution. Furthermore, the Struts 1.x support has been factored out into 'spring-webmvc-struts.jar'.

Note: The commonly used Spring's DispatcherServlet is part of Spring's Web MVC framework. As a consequence, you need to add 'spring-webmvc.jar' (or 'spring-webmvc-portlet/struts.jar') to a 'spring.jar' scenario, even if you are just using DispatcherServlet for remoting purposes (e.g. exporting Hessian or HTTP invoker services).

Spring 2.0's 'spring-jmx.jar' and 'spring-remoting.jar' have been merged into Spring 2.5's 'spring-context.jar' (for the JMX and non-HTTP remoting support) and partly into 'spring-web.jar' (for the HTTP remoting support).

Spring 2.0's 'spring-support.jar' has been renamed to 'spring-context-support.jar', expressing the actual support relationship more closely. 'spring-portlet.jar' has been renamed to 'spring-webmvc-portlet.jar', since it is technically a submodule of Spring's Web MVC framework. Analogously, 'spring-struts.jar' has been renamed to 'spring-webmvc-struts.jar'.

Spring 2.0's 'spring-jdo.jar', 'spring-jpa.jar', 'spring-hibernate3.jar', 'spring-toplink.jar' and 'spring-ibatis.jar' have been combined into Spring 2.5's coarse-granular 'spring-orm.jar'.

Spring 2.5's 'spring-test.jar' supersedes the previous 'spring-mock.jar', indicating the stronger focus on the test context framework. Note that 'spring-test.jar' contains everything 'spring-mock.jar' contained in previous Spring versions; hence it can be used as a straightforward replacement for unit and integration testing purposes.

Spring 2.5's 'spring-tx.jar' supersedes the previous 'spring-dao.jar' and 'spring-jca.jar' files, indicating the stronger focus on the transaction framework.

Spring 2.5 ships its framework jars as OSGi-compliant bundles out of the box. This facilitates use of Spring in OSGi environments, not requiring custom packaging anymore.

#### 2.7.1.3. XML configuration

Spring 2.0 ships with XSDs that describe Spring's XML metadata format in a much richer fashion than the DTD that shipped with previous versions. The old DTD is still fully supported, but if possible you are encouraged to reference the XSD files at the top of your bean definition files.

One thing that has changed in a (somewhat) breaking fashion is the way that bean scopes are defined. If you are using the Spring 1.2 DTD you can continue to use the 'singleton' attribute. You can however choose to reference the new Spring 2.0 DTD which does not permit the use of the 'singleton' attribute, but rather uses the 'scope' attribute to define the bean lifecycle scope.

#### 2.7.1.4. Deprecated classes and methods

A number of classes and methods that previously were marked as @deprecated have been removed from the Spring 2.0 codebase. The Spring team decided that the 2.0 release marked a fresh start of sorts, and that any deprecated 'cruft' was better excised now instead of continuing to haunt the codebase for the foreseeable future.

As mentioned previously, for a comprehensive list of changes, consult the 'changelog.txt' file that is located in the top level directory of the Spring Framework distribution.

The following classes/interfaces have been removed as of Spring 2.0:

- ResultReader: Use the RowMapper interface instead.
- BeanFactoryBootstrap: Consider using a BeanFactoryLocator or a custom bootstrap class instead.

#### 2.7.1.5. Apache OJB

As of Spring 2.0, support for Apache OJB was *totally removed* from the main Spring source tree. The Apache OJB integration library is still available, but can be found in its new home in the <u>Spring Modules project</u>.

#### 2.7.1.6. iBATIS

Please note that support for iBATIS SQL Maps 1.3 has been removed. If you haven't done so already, upgrade to iBATIS SQL Maps 2.3.

#### 2.7.1.7. Hibernate

As of Spring 2.5, support for Hibernate 2.1 and Hibernate 3.0 has been removed. If you haven't done so already, upgrade to Hibernate 3.1 or higher.

If you need to stick with Hibernate 2.1 or 3.0 for the time being, we recommend to keep using the Spring Framework version 2.0.7/2.0.8 which still supports those versions of Hibernate.

#### 2.7.1.8. JDO

As of Spring 2.5, support for JDO 1.0 has been removed. If you haven't done so already, upgrade to JDO 2.0 or

higher.

If you need to stick with JDO 1.0 for the time being, we recommend to keep using the Spring Framework version 2.0.7/2.0.8 which still supports that version of JDO.

#### 2.7.1.9. UrlFilenameViewController

Since Spring 2.0, the view name that is determined by the <code>urlFilenameViewController</code> now takes into account the nested path of the request. This is a breaking change from the original contract of the <code>urlFilenameViewController</code>, and means that if you are upgrading from Spring 1.x to Spring 2.x and you are using this class you <code>might</code> have to change your Spring Web MVC configuration slightly. Refer to the class level Javadocs of the <code>urlFilenameViewController</code> to see examples of the new contract for view name determination.

## 2.8. Updated sample applications

A number of the sample applications have also been updated to showcase the new and improved features of Spring 2.0. So do take the time to investigate them. The aforementioned sample applications can be found in the 'samples' directory of the full Spring distribution ('spring-with-dependencies.[zip|tar.gz]').

Spring 2.5 features revised versions of the PetClinic and PetPortal sample applications, reengineered from the ground up for leveraging Spring 2.5's annotation configuration features. It also uses Java 5 autoboxing, generics, varargs and the enhanced for loop. A Java 5 or 6 SDK is now required to build and run the sample. Check out PetClinic and PetPortal to get an impression of what Spring 2.5 has to offer!

## 2.9. Improved documentation

The Spring reference documentation has also substantially been updated to reflect all of the above features new in Spring 2.0 and 2.5. While every effort has been made to ensure that there are no errors in this documentation, some errors may nevertheless have crept in. If you do spot any typos or even more serious errors, and you can spare a few cycles during lunch, please do bring the error to the attention of the Spring team by raising an issue.

Special thanks to Arthur Loder for his tireless proofreading of the Spring Framework reference documentation and JavaDocs.

## Part I. Core Technologies

This initial part of the reference documentation covers all of those technologies that are absolutely integral to the Spring Framework.

Foremost amongst these is the Spring Framework's Inversion of Control (IoC) container. A thorough treatment of the Spring Framework's IoC container is closely followed by comprehensive coverage of Spring's Aspect-Oriented Programming (AOP) technologies. The Spring Framework has its own AOP framework, which is conceptually easy to understand, and which successfully addresses the 80% sweet spot of AOP requirements in Java enterprise programming.

Coverage of Spring's integration with AspectJ (currently the richest - in terms of features - and certainly most mature AOP implementation in the Java enterprise space) is also provided.

Finally, the adoption of the test-driven-development (TDD) approach to software development is certainly advocated by the Spring team, and so coverage of Spring's support for integration testing is covered (alongside best practices for unit testing). The Spring team have found that the correct use of IoC certainly does make both unit and integration testing easier (in that the presence of setter methods and appropriate constructors on classes makes them easier to wire together on a test without having to set up service locator registries and suchlike)... the chapter dedicated solely to testing will hopefully convince you of this as well.

- Chapter 3, The IoC container
- Chapter 4, Resources
- Chapter 5, Validation, Data-binding, the BeanWrapper, and PropertyEditors
- Chapter 6, Aspect Oriented Programming with Spring
- Chapter 7, Spring AOP APIs
- Chapter 8, Testing

## **Chapter 3. The IoC container**

#### 3.1. Introduction

This chapter covers the Spring Framework's implementation of the Inversion of Control (IoC) <sup>1</sup> principle.

#### BeanFactory Of ApplicationContext?

Users are sometimes unsure whether a BeanFactory or an ApplicationContext is best suited for use in a particular situation. A BeanFactory pretty much just instantiates and configures beans. An ApplicationContext also does that, *and* it provides the supporting infrastructure to enable *lots* of enterprise-specific features such as transactions and AOP.

In short, favor the use of an ApplicationContext.

(For the specific details behind this recommendation, see this section.)

The org.springframework.beans and org.springframework.context packages provide the basis for the Spring Framework's IoC container. The BeanFactory interface provides an advanced configuration mechanism capable of managing objects of any nature. The ApplicationContext interface builds on top of the BeanFactory (it is a sub-interface) and adds other functionality such as easier integration with Spring's AOP features, message resource handling (for use in internationalization), event propagation, and application-layer specific contexts such as the WebApplicationContext for use in web applications.

In short, the BeanFactory provides the configuration framework and basic functionality, while the ApplicationContext adds more enterprise-centric functionality to it. The ApplicationContext is a complete superset of the BeanFactory, and any description of BeanFactory capabilities and behavior is to be considered to apply to the ApplicationContext as well.

This chapter is divided into two parts, with the <u>first part</u> covering the basic principles that apply to both the BeanFactory and ApplicationContext, and with the <u>second part</u> covering those features that apply only to the ApplicationContext interface.

### 3.2. Basics - containers and beans

In Spring, those objects that form the backbone of your application and that are managed by the Spring IoC *container* are referred to as *beans*. A bean is simply an object that is instantiated, assembled and otherwise managed by a Spring IoC container; other than that, there is nothing special about a bean (it is in all other respects one of probably many objects in your application). These beans, and the *dependencies* between them, are reflected in the *configuration metadata* used by a container.

#### Why... bean?

The motivation for using the name 'bean', as opposed to 'component' or 'object' is rooted in the origins of the Spring Framework itself (it arose partly as a response to the complexity of Enterprise JavaBeans).

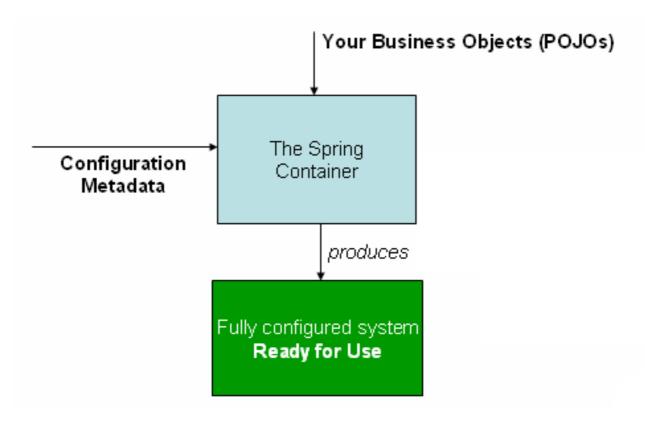
<sup>&</sup>lt;sup>1</sup>See the section entitled Background

#### 3.2.1. The container

The org.springframework.beans.factory.BeanFactory is the actual representation of the Spring IoC container that is responsible for containing and otherwise managing the aforementioned beans.

The BeanFactory interface is the central IoC container interface in Spring. Its responsibilities include instantiating or sourcing application objects, configuring such objects, and assembling the dependencies between these objects.

There are a number of implementations of the BeanFactory interface that come supplied straight out-of-the-box with Spring. The most commonly used BeanFactory implementation is the XmlBeanFactory class. This implementation allows you to express the objects that compose your application, and the doubtless rich interdependencies between such objects, in terms of XML. The XmlBeanFactory takes this XML configuration metadata and uses it to create a fully configured system or application.



The Spring IoC container

#### 3.2.1.1. Configuration metadata

As can be seen in the above image, the Spring IoC container consumes some form of *configuration metadata*; this configuration metadata is nothing more than how you (as an application developer) inform the Spring container as to how to "instantiate, configure, and assemble [the objects in your application]". This configuration metadata is typically supplied in a simple and intuitive XML format. When using XML-based configuration metadata, you write bean definitions for those beans that you want the Spring IoC container to manage, and then let the container do its stuff.



#### Note

XML-based metadata is by far the most commonly used form of configuration metadata. It is *not* however the only form of configuration metadata that is allowed. The Spring IoC container itself is *totally* decoupled from the format in which this configuration metadata is actually written. The

XML-based configuration metadata format really is simple though, and so the majority of this chapter will use the XML format to convey key concepts and features of the Spring IoC container.

You can find details of another form of metadata that the Spring container can consume in the section entitled Section 3.11, "Annotation-based configuration"

#### Resources

The location path or paths supplied to an ApplicationContext constructor are actually resource strings that allow the container to load configuration metadata from a variety of external resources such as the local file system, from the Java CLASSPATH, etc.

Once you have learned about Spring's IoC container, you may wish to learn a little more about Spring's Resource abstraction, as described in the chapter entitled Chapter 4, *Resources*.

In the vast majority of application scenarios, explicit user code is not required to instantiate one or more instances of a Spring IoC container. For example, in a web application scenario, a simple eight (or so) lines of boilerplate J2EE web descriptor XML in the web.xml file of the application will typically suffice (see Section 3.8.5, "Convenient ApplicationContext instantiation for web applications").

Spring configuration consists of at least one bean definition that the container must manage, but typically there will be more than one bean definition. When using XML-based configuration metadata, these beans are configured as <br/> <br/>beans/> elements inside a top-level <br/> <br/>beans/> element.

These bean definitions correspond to the actual objects that make up your application. Typically you will have bean definitions for your service layer objects, your data access objects (DAOs), presentation objects such as Struts Action instances, infrastructure objects such as Hibernate SessionFactories, JMS Queues, and so forth. Typically one does not configure fine-grained domain objects in the container, because it is usually the responsibility of DAOs and business logic to create/load domain objects.

Find below an example of the basic structure of XML-based configuration metadata.

## 3.2.2. Instantiating a container

Instantiating a Spring IoC container is straightforward.

```
ApplicationContext context = new ClassPathXmlApplicationContext(
   new String[] {"services.xml", "daos.xml"});
```

```
// an ApplicationContext is also a BeanFactory (via inheritance)
BeanFactory factory = context;
```

#### 3.2.2.1. Composing XML-based configuration metadata

It can often be useful to split up container definitions into multiple XML files. One way to then load an application context which is configured from all these XML fragments is to use the application context constructor which takes multiple Resource locations. With a bean factory, a bean definition reader can be used multiple times to read definitions from each file in turn.

Generally, the Spring team prefers the above approach, since it keeps container configuration files unaware of the fact that they are being combined with others. An alternate approach is to use one or more occurrences of the <import/> element to load bean definitions from another file (or files). Let's look at a sample:

In this example, external bean definitions are being loaded from 3 files, services.xml, messageSource.xml, and themeSource.xml. All location paths are considered relative to the definition file doing the importing, so services.xml in this case must be in the same directory or classpath location as the file doing the importing, while messageSource.xml and themeSource.xml must be in a resources location below the location of the importing file. As you can see, a leading slash is actually ignored, but given that these are considered relative paths, it is probably better form not to use the slash at all. The contents of the files being imported must be valid XML bean definition files according to the Spring Schema or DTD, including the top level <br/>
| Spring Schema or DTD, including the top level <br/>
| Spring Schema or DTD, including the top level <br/>
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#### **Note**

It is possible to reference files in parent directories using a relative "../" path. However, this is not recommended because it creates a dependency on a file that is outside the current application. This is in particular not recommended for "classpath:" URLs (e.g. "classpath:../services.xml") where the runtime resolution process will pick the "nearest" classpath root and then look into its parent directory. This is fragile since classpath configuration changes may lead to a different directory being picked.

Note that you can always use fully qualified resource locations instead of relative paths: e.g. "file:C:/config/services.xml" or "classpath:/config/services.xml". However, be aware that you are coupling your application's configuration to specific absolute locations then. It is generally preferable to keep an indirection for such absolute locations, e.g. through "\${...}" placeholders that are resolved against JVM system properties at runtime.

#### **3.2.3. The beans**

A Spring IoC container manages one or more *beans*. These beans are created using the configuration metadata that has been supplied to the container (typically in the form of XML <bean/> definitions).

Within the container itself, these bean definitions are represented as BeanDefinition objects, which contain

(among other information) the following metadata:

- a package-qualified class name: typically this is the actual implementation class of the bean being defined.
- bean behavioral configuration elements, which state how the bean should behave in the container (scope, lifecycle callbacks, and so forth).
- references to other beans which are needed for the bean to do its work; these references are also called *collaborators* or *dependencies*.
- other configuration settings to set in the newly created object. An example would be the number of connections to use in a bean that manages a connection pool, or the size limit of the pool.

The concepts listed above directly translate to a set of properties that each bean definition consists of. Some of these properties are listed below, along with a link to further documentation about each of them.

Table 3.1. The bean definition

Feature	Explained in
class	Section 3.2.3.2, "Instantiating beans"
name	Section 3.2.3.1, "Naming beans"
scope	Section 3.4, "Bean scopes"
constructor arguments	Section 3.3.1, "Injecting dependencies"
properties	Section 3.3.1, "Injecting dependencies"
autowiring mode	Section 3.3.5, "Autowiring collaborators"
dependency checking mode	Section 3.3.6, "Checking for dependencies"
lazy-initialization mode	Section 3.3.4, "Lazily-instantiated beans"
initialization method	Section 3.5.1.1, "Initialization callbacks"
destruction method	Section 3.5.1.2, "Destruction callbacks"

Besides bean definitions which contain information on how to create a specific bean, certain BeanFactory implementations also permit the registration of existing objects that have been created outside the factory (by user code). The DefaultListableBeanFactory class supports this through the registerSingleton(..) method. (Typical applications solely work with beans defined through metadata bean definitions though.)

#### 3.2.3.1. Naming beans

#### Bean naming conventions

The convention (at least amongst the Spring development team) is to use the standard Java convention for instance field names when naming beans. That is, bean names start with a lowercase letter, and are camel-cased from then on. Examples of such names would be (without quotes) 'accountManager', 'accountService', 'userDao', 'loginController', and so forth.

Adopting a consistent way of naming your beans will go a long way towards making your configuration easier to read and understand; adopting such naming standards is not hard to do, and if you are using Spring AOP it can pay off handsomely when it comes to applying advice to a set of beans related by name.

Every bean has one or more ids (also called identifiers, or names; these terms refer to the same thing). These ids must be unique within the container the bean is hosted in. A bean will almost always have only one id, but if a bean has more than one id, the extra ones can essentially be considered aliases.

When using XML-based configuration metadata, you use the 'id' or 'name' attributes to specify the bean identifier(s). The 'id' attribute allows you to specify exactly one id, and as it is a real XML element ID attribute, the XML parser is able to do some extra validation when other elements reference the id; as such, it is the preferred way to specify a bean id. However, the XML specification does limit the characters which are legal in XML IDs. This is usually not a constraint, but if you have a need to use one of these special XML characters, or want to introduce other aliases to the bean, you may also or instead specify one or more bean ids, separated by a comma (,), semicolon (;), or whitespace in the 'name' attribute.

Please note that you are not required to supply a name for a bean. If no name is supplied explicitly, the container will generate a unique name for that bean. The motivations for not supplying a name for a bean will be discussed later (one use case is <u>inner beans</u>).

## 3.2.3.1.1. Aliasing beans

In a bean definition itself, you may supply more than one name for the bean, by using a combination of up to one name specified via the id attribute, and any number of other names via the name attribute. All these names can be considered equivalent aliases to the same bean, and are useful for some situations, such as allowing each component used in an application to refer to a common dependency using a bean name that is specific to that component itself.

Having to specify all aliases when the bean is actually defined is not always adequate however. It is sometimes desirable to introduce an alias for a bean which is defined elsewhere. In XML-based configuration metadata this may be accomplished via the use of the <alias/> element.

```
<alias name="fromName" alias="toName"/>
```

In this case, a bean in the same container which is named 'fromName', may also after the use of this alias definition, be referred to as 'toName'.

As a concrete example, consider the case where component A defines a DataSource bean called componentA-dataSource, in its XML fragment. Component B would however like to refer to the DataSource as componentB-dataSource in its XML fragment. And the main application, MyApp, defines its own XML fragment and assembles the final application context from all three fragments, and would like to refer to the DataSource as myApp-dataSource. This scenario can be easily handled by adding to the MyApp XML fragment the following standalone aliases:

```
<alias name="componentA-dataSource" alias="componentB-dataSource"/>
<alias name="componentA-dataSource" alias="myApp-dataSource" />
```

Now each component and the main application can refer to the dataSource via a name that is unique and guaranteed not to clash with any other definition (effectively there is a namespace), yet they refer to the same bean.

### 3.2.3.2. Instantiating beans

#### Inner class names

If for whatever reason you want to configure a bean definition for a static inner class, you have to use the *binary* name of the inner class.

For example, if you have a class called Foo in the com.example package, and this Foo class has a static inner class called Bar, the value of the 'class' attribute on a bean definition would be...

com.example.Foo\$Bar

Notice the use of the \$ character in the name to separate the inner class name from the outer class name.

A bean definition essentially is a recipe for creating one or more objects. The container looks at the recipe for a named bean when asked, and uses the configuration metadata encapsulated by that bean definition to create (or acquire) an actual object.

If you are using XML-based configuration metadata, you can specify the type (or class) of object that is to be instantiated using the 'class' attribute of the <bean/> element. This 'class' attribute (which internally eventually boils down to being a class property on a BeanDefinition instance) is normally mandatory (see Section 3.2.3.2.3, "Instantiation using an instance factory method" and Section 3.6, "Bean definition inheritance" for the two exceptions) and is used for one of two purposes. The class property specifies the class of the bean to be constructed in the common case where the container itself directly creates the bean by calling its constructor reflectively (somewhat equivalent to Java code using the 'new' operator). In the less common case where the container invokes a static, factory method on a class to create the bean, the class property specifies the actual class containing the static factory method that is to be invoked to create the object (the type of the object returned from the invocation of the static factory method may be the same class or another class entirely, it doesn't matter).

## 3.2.3.2.1. Instantiation using a constructor

When creating a bean using the constructor approach, all normal classes are usable by and compatible with Spring. That is, the class being created does not need to implement any specific interfaces or be coded in a specific fashion. Just specifying the bean class should be enough. However, depending on what type of IoC you are going to use for that specific bean, you may need a default (empty) constructor.

Additionally, the Spring IoC container isn't limited to just managing true JavaBeans, it is also able to manage virtually *any* class you want it to manage. Most people using Spring prefer to have actual JavaBeans (having just a default (no-argument) constructor and appropriate setters and getters modeled after the properties) in the container, but it is also possible to have more exotic non-bean-style classes in your container. If, for example, you need to use a legacy connection pool that absolutely does not adhere to the JavaBean specification, Spring can manage it as well.

When using XML-based configuration metadata you can specify your bean class like so:

```
<bean id="exampleBean" class="examples.ExampleBean"/>
<bean name="anotherExample" class="examples.ExampleBeanTwo"/>
```

The mechanism for supplying arguments to the constructor (if required), or setting properties of the object instance after it has been constructed, is described shortly.

## 3.2.3.2.2. Instantiation using a static factory method

When defining a bean which is to be created using a static factory method, along with the class attribute which specifies the class containing the static factory method, another attribute named factory-method is needed to specify the name of the factory method itself. Spring expects to be able to call this method (with an optional list of arguments as described later) and get back a live object, which from that point on is treated as if it had been created normally via a constructor. One use for such a bean definition is to call static factories in legacy code.

The following example shows a bean definition which specifies that the bean is to be created by calling a factory-method. Note that the definition does not specify the type (class) of the returned object, only the class containing the factory method. In this example, the createInstance() method must be a *static* method.

```
<bean id="exampleBean"
    class="examples.ExampleBean2"
    factory-method="createInstance"/>
```

The mechanism for supplying (optional) arguments to the factory method, or setting properties of the object instance after it has been returned from the factory, will be <u>described shortly</u>.

## 3.2.3.2.3. Instantiation using an instance factory method

In a fashion similar to instantiation via a <u>static factory method</u>, instantiation using an instance factory method is where a non-static method of an existing bean from the container is invoked to create a new bean. To use this mechanism, the 'class' attribute must be left empty, and the 'factory-bean' attribute must specify the name of a bean in the current (or parent/ancestor) container that contains the instance method that is to be invoked to create the object. The name of the factory method itself must be set using the 'factory-method' attribute.

```
<!-- the factory bean, which contains a method called createInstance() -->
<bean id="serviceLocator" class="com.foo.DefaultServiceLocator">
        <!-- inject any dependencies required by this locator bean -->
        </bean>
<!-- the bean to be created via the factory bean -->
<bean id="exampleBean"
        factory-bean="serviceLocator"
        factory-method="createInstance"/>
```

Although the mechanisms for <u>setting bean properties</u> are still to be discussed, one implication of this approach is that the factory bean itself can be managed and configured via DI.



#### Note

When the Spring documentation makes mention of a 'factory bean', this will be a reference to a bean that is configured in the Spring container that will create objects via an <u>instance</u> or <u>static</u> factory method. When the documentation mentions a FactoryBean (notice the capitalization) this is a reference to a Spring-specific <u>FactoryBean</u>.

# 3.2.4. Using the container

A BeanFactory is essentially nothing more than the interface for an advanced factory capable of maintaining a registry of different beans and their dependencies. The BeanFactory enables you to read bean definitions and access them using the bean factory. When using just the BeanFactory you would create one and read in some bean definitions in the XML format as follows:

```
Resource res = new FileSystemResource("beans.xml");
BeanFactory factory = new XmlBeanFactory(res);
```

Basically that is all there is to it. Using <code>getBean(String)</code> you can retrieve instances of your beans; the client-side view of the <code>BeanFactory</code> is simple. The <code>BeanFactory</code> interface has just a few other methods, but ideally your application code should never use them... indeed, your application code should have no calls to the <code>getBean(String)</code> method at all, and thus no dependency on Spring APIs at all.

# 3.3. Dependencies

Your typical enterprise application is not made up of a single object (or bean in the Spring parlance). Even the simplest of applications will no doubt have at least a handful of objects that work together to present what the end-user sees as a coherent application. This next section explains how you go from defining a number of bean definitions that stand-alone, each to themselves, to a fully realized application where objects work (or collaborate) together to achieve some goal (usually an application that does what the end-user wants).

## 3.3.1. Injecting dependencies

The basic principle behind *Dependency Injection* (DI) is that objects define their dependencies (that is to say the other objects they work with) only through constructor arguments, arguments to a factory method, or properties which are set on the object instance after it has been constructed or returned from a factory method. Then, it is the job of the container to actually *inject* those dependencies when it creates the bean. This is fundamentally the inverse, hence the name *Inversion of Control* (IoC), of the bean itself being in control of instantiating or locating its dependencies on its own using direct construction of classes, or something like the *Service Locator* pattern.

It becomes evident upon usage that code gets much cleaner when the DI principle is applied, and reaching a higher grade of decoupling is much easier when objects do not look up their dependencies, but are provided with them (and additionally do not even know where the dependencies are located and of what concrete class they are). DI exists in two major variants, namely Constructor Injection and Setter Injection.

#### 3.3.1.1. Constructor Injection

Constructor-based DI is effected by invoking a constructor with a number of arguments, each representing a dependency. Additionally, calling a static factory method with specific arguments to construct the bean, can be considered almost equivalent, and the rest of this text will consider arguments to a constructor and arguments to a static factory method similarly. Find below an example of a class that could only be dependency injected using constructor injection. Notice that there is nothing *special* about this class.

```
public class SimpleMovieLister {

    // the SimpleMovieLister has a dependency on a MovieFinder
    private MovieFinder movieFinder;

    // a constructor so that the Spring container can 'inject' a MovieFinder
    public SimpleMovieLister(MovieFinder movieFinder) {
        this.movieFinder = movieFinder;
    }

    // business logic that actually 'uses' the injected MovieFinder is omitted...
```

}

## 3.3.1.1.1. Constructor Argument Resolution

Constructor argument resolution matching occurs using the argument's type. If there is no potential for ambiguity in the constructor arguments of a bean definition, then the order in which the constructor arguments are defined in a bean definition is the order in which those arguments will be supplied to the appropriate constructor when it is being instantiated. Consider the following class:

```
package x.y;
public class Foo {
    public Foo(Bar bar, Baz baz) {
        // ...
    }
}
```

There is no potential for ambiguity here (assuming of course that Bar and Baz classes are not related in an inheritance hierarchy). Thus the following configuration will work just fine, and you do not need to specify the constructor argument indexes and / or types explicitly.

When another bean is referenced, the type is known, and matching can occur (as was the case with the preceding example). When a simple type is used, such as <value>true<value>, Spring cannot determine the type of the value, and so cannot match by type without help. Consider the following class:

```
package examples;
public class ExampleBean {
    // No. of years to the calculate the Ultimate Answer
    private int years;

    // The Answer to Life, the Universe, and Everything
    private String ultimateAnswer;

public ExampleBean(int years, String ultimateAnswer) {
        this.years = years;
        this.ultimateAnswer = ultimateAnswer;
    }
}
```

## 3.3.1.1.1. Constructor Argument Type Matching

The above scenario *can* use type matching with simple types by explicitly specifying the type of the constructor argument using the 'type' attribute. For example:

### 3.3.1.1.1.2. Constructor Argument Index

Constructor arguments can have their index specified explicitly by use of the index attribute. For example:

```
<bean id="exampleBean" class="examples.ExampleBean">
  <constructor-arg index="0" value="7500000"/>
  <constructor-arg index="1" value="42"/>
  </bean>
```

As well as solving the ambiguity problem of multiple simple values, specifying an index also solves the problem of ambiguity where a constructor may have two arguments of the same type. Note that the *index is 0 based*.

### 3.3.1.2. Setter Injection

Setter-based DI is realized by calling setter methods on your beans after invoking a no-argument constructor or no-argument static factory method to instantiate your bean.

Find below an example of a class that can only be dependency injected using pure setter injection. Note that there is nothing *special* about this class... it is plain old Java.

```
public class SimpleMovieLister {

    // the SimpleMovieLister has a dependency on the MovieFinder
    private MovieFinder movieFinder;

    // a setter method so that the Spring container can 'inject' a MovieFinder
    public void setMovieFinder(MovieFinder movieFinder) {
        this.movieFinder = movieFinder;
    }

    // business logic that actually 'uses' the injected MovieFinder is omitted...
}
```

#### Constructor- or Setter-based DI?

The Spring team generally advocates the usage of setter injection, since a large number of constructor arguments can get unwieldy, especially when some properties are optional. The presence of setter methods also makes objects of that class amenable to being re-configured (or re-injected) at some later time (for management via <u>JMX MBeans</u> is a particularly compelling use case).

Constructor-injection is favored by some purists though (and with good reason). Supplying all of an object's dependencies means that that object is never returned to client (calling) code in a less than totally initialized state. The flip side is that the object becomes less amenable to re-configuration (or re-injection).

There is no hard and fast rule here. Use whatever type of DI makes the most sense for a particular class; sometimes, when dealing with third party classes to which you do not have the source, the choice will already have been made for you - a legacy class may not expose any setter methods, and so constructor injection will be the only type of DI available to you.

The BeanFactory supports both of these variants for injecting dependencies into beans it manages. (It in fact also supports injecting setter-based dependencies after some dependencies have already been supplied via the constructor approach.) The configuration for the dependencies comes in the form of a BeanDefinition, which is used together with PropertyEditor instances to know how to convert properties from one format to another. However, most users of Spring will not be dealing with these classes directly (that is programmatically), but

rather with an XML definition file which will be converted internally into instances of these classes, and used to load an entire Spring IoC container instance.

Bean dependency resolution generally happens as follows:

- 1. The BeanFactory is created and initialized with a configuration which describes all the beans. (Most Spring users use a BeanFactory or ApplicationContext implementation that supports XML format configuration files.)
- 2. Each bean has dependencies expressed in the form of properties, constructor arguments, or arguments to the static-factory method when that is used instead of a normal constructor. These dependencies will be provided to the bean, when the bean is actually created.
- 3. Each property or constructor argument is either an actual definition of the value to set, or a reference to another bean in the container.
- 4. Each property or constructor argument which is a value must be able to be converted from whatever format it was specified in, to the actual type of that property or constructor argument. By default Spring can convert a value supplied in string format to all built-in types, such as int, long, String, boolean, etc.

The Spring container validates the configuration of each bean as the container is created, including the validation that properties which are bean references are actually referring to valid beans. However, the bean properties themselves are not set until the bean *is actually created*. For those beans that are singleton-scoped and set to be pre-instantiated (such as singleton beans in an ApplicationContext), creation happens at the time that the container is created, but otherwise this is only when the bean is requested. When a bean actually has to be created, this will potentially cause a graph of other beans to be created, as its dependencies and its dependencies (and so on) are created and assigned.

### Circular dependencies

If you are using predominantly constructor injection it is possible to write and configure your classes and beans such that an unresolvable circular dependency scenario is created.

Consider the scenario where you have class A, which requires an instance of class B to be provided via constructor injection, and class B, which requires an instance of class A to be provided via constructor injection. If you configure beans for classes A and B to be injected into each other, the Spring IoC container will detect this circular reference at runtime, and throw a BeanCurrentlyInCreationException.

One possible solution to this issue is to edit the source code of some of your classes to be configured via setters instead of via constructors. Another solution is not to use constructor injection and stick to setter injection only. In other words, while it should generally be avoided in all but the rarest of circumstances, it is possible to configure circular dependencies with setter injection.

Unlike the *typical* case (with no circular dependencies), a circular dependency between bean A and bean B will force one of the beans to be injected into the other prior to being fully initialized itself (a classic chicken/egg scenario).

You can generally trust Spring to do the right thing. It will detect misconfiguration issues, such as references to non-existent beans and circular dependencies, at container load-time. It will actually set properties and resolve dependencies as late as possible, which is when the bean is actually created. This means that a Spring container which has loaded correctly can later generate an exception when you request a bean if there is a problem creating that bean or one of its dependencies. This could happen if the bean throws an exception as a result of a

missing or invalid property, for example. This potentially delayed visibility of some configuration issues is why ApplicationContext implementations by default pre-instantiate singleton beans. At the cost of some upfront time and memory to create these beans before they are actually needed, you find out about configuration issues when the ApplicationContext is created, not later. If you wish, you can still override this default behavior and set any of these singleton beans to lazy-initialize (that is not be pre-instantiated).

If no circular dependencies are involved (see sidebar for a discussion of circular dependencies), when one or more collaborating beans are being injected into a dependent bean, each collaborating bean is *totally* configured prior to being passed (via one of the DI flavors) to the dependent bean. This means that if bean A has a dependency on bean B, the Spring IoC container will *totally* configure bean B prior to invoking the setter method on bean A; you can read '*totally configure*' to mean that the bean will be instantiated (if not a pre-instantiated singleton), all of its dependencies will be set, and the relevant lifecycle methods (such as a configured init method or the IntializingBean callback method) will all be invoked.

#### 3.3.1.3. Some examples

First, an example of using XML-based configuration metadata for setter-based DI. Find below a small part of a Spring XML configuration file specifying some bean definitions.

```
public class ExampleBean {
    private AnotherBean beanOne;
    private YetAnotherBean beanTwo;
    private int i;

    public void setBeanOne(AnotherBean beanOne) {
        this.beanOne = beanOne;
    }

    public void setBeanTwo(YetAnotherBean beanTwo) {
        this.beanTwo = beanTwo;
    }

    public void setIntegerProperty(int i) {
        this.i = i;
    }
}
```

As you can see, setters have been declared to match against the properties specified in the XML file. Find below an example of using constructor-based DI.

```
</bean>
<bean id="anotherExampleBean" class="examples.AnotherBean"/>
<bean id="yetAnotherBean" class="examples.YetAnotherBean"/>
```

```
public class ExampleBean {
    private AnotherBean beanOne;
    private YetAnotherBean beanTwo;
    private int i;

    public ExampleBean(
        AnotherBean anotherBean, YetAnotherBean yetAnotherBean, int i) {
        this.beanOne = anotherBean;
        this.beanTwo = yetAnotherBean;
        this.i = i;
    }
}
```

As you can see, the constructor arguments specified in the bean definition will be used to pass in as arguments to the constructor of the ExampleBean.

Now consider a variant of this where instead of using a constructor, Spring is told to call a static factory method to return an instance of the object:

Note that arguments to the static factory method are supplied via <constructor-arg/> elements, exactly the same as if a constructor had actually been used. Also, it is important to realize that the type of the class being returned by the factory method does not have to be of the same type as the class which contains the static factory method, although in this example it is. An instance (non-static) factory method would be used in an essentially identical fashion (aside from the use of the factory-bean attribute instead of the class attribute), so details will not be discussed here.

# 3.3.2. Dependencies and configuration in detail

As mentioned in the previous section, bean properties and constructor arguments can be defined as either

references to other managed beans (collaborators), or values defined inline. Spring's XML-based configuration metadata supports a number of sub-element types within its cproperty/> and <constructor-arg/> elements for just this purpose.

### 3.3.2.1. Straight values (primitives, strings, etc.)

The <value/> element specifies a property or constructor argument as a human-readable string representation. As mentioned previously, JavaBeans PropertyEditors are used to convert these string values from a string to the actual type of the property or argument.

The roperty/> and <constructor-arg/> elements also support the use of the 'value' attribute, which can lead to much more succinct configuration. When using the 'value' attribute, the above bean definition reads like so:

The Spring team generally prefer the attribute style over the use of nested <value/> elements. If you are reading this reference manual straight through from top to bottom (wow!) then we are getting slightly ahead of ourselves here, but you can also configure a java.util.Properties instance like so:

Can you see what is happening? The Spring container is converting the text inside the <value/> element into a java.util.Properties instance using the JavaBeans PropertyEditor mechanism. This is a nice shortcut, and is one of a few places where the Spring team do favor the use of the nested <value/> element over the 'value' attribute style.

### 3.3.2.1.1. The idref element

The idref element is simply an error-proof way to pass the *id* of another bean in the container (to a <constructor-arg/> or constructor-arg/> or constructor-arg/>

The above bean definition snippet is *exactly* equivalent (at runtime) to the following snippet:

The main reason the first form is preferable to the second is that using the idref tag allows the container to validate *at deployment time* that the referenced, named bean actually exists. In the second variation, no validation is performed on the value that is passed to the 'targetName' property of the 'client' bean. Any typo will only be discovered (with most likely fatal results) when the 'client' bean is actually instantiated. If the 'client' bean is a <u>prototype</u> bean, this typo (and the resulting exception) may only be discovered long after the container is actually deployed.

Additionally, if the bean being referred to is in the same XML unit, and the bean name is the bean *id*, the 'local' attribute may be used, which allows the XML parser itself to validate the bean id even earlier, at XML document parse time.

By way of an example, one common place (at least in pre-Spring 2.0 configuration) where the <idref/> element brings value is in the configuration of AOP interceptors in a ProxyFactoryBean bean definition. If you use <idref/> elements when specifying the interceptor names, there is no chance of inadvertently misspelling an interceptor id.

#### 3.3.2.2. References to other beans (collaborators)

The ref element is the final element allowed inside a <constructor-arg/> or cproperty/> definition element. It is used to set the value of the specified property to be a reference to another bean managed by the container (a collaborator). As mentioned in a previous section, the referred-to bean is considered to be a dependency of the bean who's property is being set, and will be initialized on demand as needed (if it is a singleton bean it may have already been initialized by the container) before the property is set. All references are ultimately just a reference to another object, but there are 3 variations on how the id/name of the other object may be specified, which determines how scoping and validation is handled.

Specifying the target bean by using the bean attribute of the <ref/> tag is the most general form, and will allow creating a reference to any bean in the same container (whether or not in the same XML file), or parent container. The value of the 'bean' attribute may be the same as either the 'id' attribute of the target bean, or one of the values in the 'name' attribute of the target bean.

```
<ref bean="someBean"/>
```

Specifying the target bean by using the local attribute leverages the ability of the XML parser to validate XML id references within the same file. The value of the local attribute must be the same as the id attribute of the target bean. The XML parser will issue an error if no matching element is found in the same file. As such, using the local variant is the best choice (in order to know about errors as early as possible) if the target bean is in the same XML file.

```
<ref local="someBean"/>
```

Specifying the target bean by using the 'parent' attribute allows a reference to be created to a bean which is in a parent container of the current container. The value of the 'parent' attribute may be the same as either the 'id' attribute of the target bean, or one of the values in the 'name' attribute of the target bean, and the target bean must be in a parent container to the current one. The main use of this bean reference variant is when you have a hierarchy of containers and you want to wrap an existing bean in a parent container with some sort of proxy which will have the same name as the parent bean.

```
<!-- in the parent context -->
<bean id="accountService" class="com.foo.SimpleAccountService">
    <!-- insert dependencies as required as here -->
</bean>
```

#### 3.3.2.3. Inner beans

Note that in the specific case of inner beans, the 'scope' flag and any 'id' or 'name' attribute are effectively ignored. Inner beans are *always* anonymous and they are *always* scoped as <u>prototypes</u>. Please also note that it is *not* possible to inject inner beans into collaborating beans other than the enclosing bean.

#### 3.3.2.4. Collections

The <list/>, <set/>, <map/>, and <props/> elements allow properties and arguments of the Java Collection type List, Set, Map, and Properties, respectively, to be defined and set.

```
</props>
 </property>
 <!-- results in a setSomeList(java.util.List) call -->
 property name="someList">
      <value>a list element followed by a reference</value>
      <ref bean="myDataSource" />
   </list>
 </property>
 <!-- results in a setSomeMap(java.util.Map) call -->
 operty name="someMap">
   <map>
      <entry>
             <value>an entry</value>
          </key>
          <value>just some string</value>
      </entry>
      <entry>
          <kev>
             <value>a ref</value>
          <ref bean="myDataSource" />
   </map>
 </property>
 <!-- results in a setSomeSet(java.util.Set) call -->
 cproperty name="someSet">
   <set>
      <value>just some string</value>
      <ref bean="mvDataSource" />
 </property>
</hean>
```



### Note

The nested element style used this initial example tends to become quite verbose. Fortunately, there are attribute shortcuts for most elements, which you can read about in Section 3.3.2.6, "Shortcuts and other convenience options for XML-based configuration metadata".

Note that the value of a map key or value, or a set value, can also again be any of the following elements:

```
bean | ref | idref | list | set | map | props | value | null
```

# 3.3.2.4.1. Collection merging

As of Spring 2.0, the container also supports the *merging* of collections. This allows an application developer to define a parent-style st/>, <map/>, <set/> or <props/> element, and have child-style to say the child collection's values will be the result obtained from the merging of the elements of the parent and child collections, with the child's collection elements overriding values specified in the parent collection.

Please note that this section on merging makes use of the parent-child bean mechanism. This concept has not yet been introduced, so readers unfamiliar with the concept of parent and child bean definitions may wish to read the <u>relevant section</u> before continuing.

Find below an example of the collection merging feature:

Notice the use of the merge=true attribute on the cprops/> element of the adminEmails property of the child bean definition. When the child bean is actually resolved and instantiated by the container, the resulting instance will have an adminEmails Properties collection that contains the result of the merging of the child's adminEmails collection with the parent's adminEmails collection.

```
administrator=administrator@example.com
sales=sales@example.com
support=support@example.co.uk
```

Notice how the child Properties collection's value set will have inherited all the property elements from the parent cprops/>. Notice also how the child's value for the support value overrides the value in the parent collection.

This merging behavior applies similarly to the t/>, <map/>, and <set/> collection types. In the specific case of the t/> element, the semantics associated with the List collection type, that is the notion of an ordered collection of values, is maintained; the parent's values will precede all of the child list's values. In the case of the Map, Set, and Properties collection types, there is no notion of ordering and hence no ordering semantics are in effect for the collection types that underlie the associated Map, Set and Properties implementation types used internally by the container.

Finally, some minor notes about the merging support are in order; you cannot merge different collection types (e.g. a Map and a List), and if you do attempt to do so an appropriate Exception will be thrown; and in case it is not immediately obvious, the 'merge' attribute must be specified on the lower level, inherited, child definition; specifying the 'merge' attribute on a parent collection definition is redundant and will not result in the desired merging; and (lastly), please note that this merging feature is only available in Spring 2.0 (and later versions).

# 3.3.2.4.2. Strongly-typed collection (Java 5+ only)

If you are using Java 5 or Java 6, you will be aware that it is possible to have strongly typed collections (using generic types). That is, it is possible to declare a Collection type such that it can only contain String elements (for example). If you are using Spring to dependency inject a strongly-typed Collection into a bean, you can take advantage of Spring's type-conversion support such that the elements of your strongly-typed Collection instances will be converted to the appropriate type prior to being added to the Collection.

```
public class Foo {
    private Map<String, Float> accounts;

    public void setAccounts(Map<String, Float> accounts) {
        this.accounts = accounts;
    }
}
```

When the 'accounts' property of the 'foo' bean is being prepared for injection, the generics information about the element type of the strongly-typed Map<String, Float> is actually available via reflection, and so Spring's type conversion infrastructure will actually recognize the various value elements as being of type Float and so the string values '9.99', '2.75', and '3.99' will be converted into an actual Float type.

#### 3.3.2.5. Nulls

The <null/> element is used to handle null values. Spring treats empty arguments for properties and the like as empty strings. The following XML-based configuration metadata snippet results in the email property being set to the empty string value ("")

This is equivalent to the following Java code: exampleBean.setEmail(""). The special <null> element may be used to indicate a null value. For example:

The above configuration is equivalent to the following Java code: exampleBean.setEmail(null).

#### 3.3.2.6. Shortcuts and other convenience options for XML-based configuration metadata

The configuration metadata shown so far is a tad verbose. That is why there are several options available for you to limit the amount of XML you have to write to configure your components. The first is a shortcut to define values and references to other beans as part of a cproperty/> definition. The second is slightly different format of specifying properties altogether.

## 3.3.2.6.1. XML-based configuration metadata shortcuts

```
<constructor-arg>
  <value>hello</value>
</constructor-arg>
```

```
<entry key="myKey">
  <value>hello</value>
```

```
</entry>
```

#### are equivalent to:

```
<constructor-arg value="hello"/>
```

```
<entry key="myKey" value="hello"/>
```

```
<constructor-arg>
  <ref bean="myBean">
  </constructor-arg>
```

#### ... are equivalent to:

```
<constructor-arg ref="myBean"/>
```

Note however that the shortcut form is equivalent to a <ref bean="xxx"> element; there is no shortcut for <ref bocal="xxx">. To enforce a strict local reference, you must use the long form.

Finally, the entry element allows a shortcut form to specify the key and/or value of the map, in the form of the 'key' / 'key-ref' and 'value' / 'value-ref' attributes. Therefore, the following:

### is equivalent to:

```
<entry key-ref="myKeyBean" value-ref="myValueBean"/>
```

Again, the shortcut form is equivalent to a <ref bean="xxx"> element; there is no shortcut for <ref local="xxx">.

## 3.3.2.6.2. The p-namespace and how to use it to configure properties

The second option you have to limit the amount of XML you have to write to configure your components is to use the special "p-namespace". Spring 2.0 and later features support for extensible configuration formats <u>using namespaces</u>. Those namespaces are all based on an XML Schema definition. In fact, the beans configuration format that you've been reading about is defined in an XML Schema document.

One special namespace is not defined in an XSD file, and only exists in the core of Spring itself. The so-called p-namespace doesn't need a schema definition and is an alternative way of configuring your properties differently than the way you have seen so far. Instead of using nested cproperty/> elements, using the p-namespace you can use attributes as part of the bean element that describe your property values. The values of the attributes will be taken as the values for your properties.

The following two XML snippets boil down to the same thing in the end: the first is using the standard XML format whereas the second example is using the p-namespace.

As you can see, we are including an attribute in the p-namespace called email in the bean definition - this is telling Spring that it should include a property declaration. As previously mentioned, the p-namespace doesn't have a schema definition, so the name of the attribute can be set to whatever name your property has.

This next example includes two more bean definitions that both have a reference to another bean:

```
<beans xmlns="http://www.springframework.org/schema/beans"</pre>
   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
   xmlns:p="http://www.springframework.org/schema/p"
   xsi:schemaLocation="http://www.springframework.org/schema/beans
       http://www.springframework.org/schema/beans/spring-beans-2.5.xsd">
    <bean name="john-classic" class="com.example.Person">
        property name="name" value="John Doe"/>
        cproperty name="spouse" ref="jane"/>
    </bean>
    <bean name="john-modern"</pre>
       class="com.example.Person"
       p:name="John Doe"
       p:spouse-ref="jane"/>
    <bean name="jane" class="com.example.Person">
        property name="name" value="Jane Doe"/>
    </bean>
</beans>
```

As you can see, this example doesn't only include a property value using the p-namespace, but also uses a special format to declare property references. Whereas the first bean definition uses cproperty name="spouse" ref="jane"/> to create a reference from bean john to bean jane, the second bean definition uses p:spouse-ref="jane" as an attribute to do the exact same thing. In this case 'spouse' is the property name whereas the '-ref' part indicates that this is not a straight value but rather a reference to another bean.



#### Note

Please note that the p-namespace is not quite as flexible as the standard XML format - for example particular, the 'special' format used to declare property references will clash with properties that end in 'Ref', whereas the standard XML format would have no problem there. We recommend that you choose carefully which approach you are going to use in your projects. You should also

communicate this to your team members so you won't end up with XML documents using all three approaches at the same time. This will prevent people from not understanding the application because of different ways of configuring it, and will add to the overall consistency of your codebase.

### 3.3.2.7. Compound property names

Compound or nested property names are perfectly legal when setting bean properties, as long as all components of the path except the final property name are not null. Consider the following bean definition...

The foo bean has a fred property which has a bob property, which has a sammy property, and that final sammy property is being set to the value 123. In order for this to work, the fred property of foo, and the bob property of fred must not be null be non-null after the bean is constructed, or a NullPointerException will be thrown.

## 3.3.3. Using depends-on

For most situations, the fact that a bean is a dependency of another is expressed by the fact that one bean is set as a property of another. This is typically accomplished with the <a href="ref"><a href="ref"><a

```
<bean id="beanOne" class="ExampleBean" depends-on="manager"/>
<bean id="manager" class="ManagerBean" />
```

If you need to express a dependency on multiple beans, you can supply a list of bean names as the value of the 'depends-on' attribute, with commas, whitespace and semicolons all valid delimiters, like so:



#### Note

The 'depends-on' attribute at the bean definition level is used not only to specify an initialization time dependency, but also to specify the corresponding destroy time dependency (in the case of singleton beans only). Dependent beans that define a 'depends-on' relationship with a given bean will be destroyed first - prior to the given bean itself being destroyed. As a consequence, 'depends-on' may be used to control shutdown order too.

# 3.3.4. Lazily-instantiated beans

The default behavior for ApplicationContext implementations is to eagerly pre-instantiate all singleton beans at startup. Pre-instantiation means that an ApplicationContext will eagerly create and configure all of its <u>singleton</u> beans as part of its initialization process. Generally this is *a good thing*, because it means that any errors in the configuration or in the surrounding environment will be discovered immediately (as opposed to possibly hours or even days down the line).

However, there are times when this behavior is *not* what is wanted. If you do not want a singleton bean to be pre-instantiated when using an ApplicationContext, you can selectively control this by marking a bean definition as lazy-initialized. A lazily-initialized bean indicates to the IoC container whether or not a bean instance should be created at startup or when it is first requested.

When configuring beans via XML, this lazy loading is controlled by the 'lazy-init' attribute on the <bean/>element; for example:

When the above configuration is consumed by an ApplicationContext, the bean named 'lazy' will *not* be eagerly pre-instantiated when the ApplicationContext is starting up, whereas the 'not.lazy' bean will be eagerly pre-instantiated.

One thing to understand about lazy-initialization is that even though a bean definition may be marked up as being lazy-initialized, if the lazy-initialized bean is the dependency of a singleton bean that is not lazy-initialized, when the ApplicationContext is eagerly pre-instantiating the singleton, it will have to satisfy all of the singletons dependencies, one of which will be the lazy-initialized bean! So don't be confused if the IoC container creates one of the beans that you have explicitly configured as lazy-initialized at startup; all that means is that the lazy-initialized bean is being injected into a non-lazy-initialized singleton bean elsewhere.

It is also possible to control lazy-initialization at the container level by using the 'default-lazy-init' attribute on the <br/>beans/> element; for example:

```
<beans default-lazy-init="true">
    <!-- no beans will be pre-instantiated... -->
</beans>
```

# 3.3.5. Autowiring collaborators

The Spring container is able to *autowire* relationships between collaborating beans. This means that it is possible to automatically let Spring resolve collaborators (other beans) for your bean by inspecting the contents of the BeanFactory. The autowiring functionality has five modes. Autowiring is specified *per* bean and can thus be enabled for some beans, while other beans will not be autowired. Using autowiring, it is possible to reduce or eliminate the need to specify properties or constructor arguments, thus saving a significant amount of typing. When using XML-based configuration metadata, the autowire mode for a bean definition is specified by using the autowire attribute of the <bean/> element. The following values are allowed:

Table 3.2. Autowiring modes

Mode	Explanation
no	No autowiring at all. Bean references must be defined via a ref element. This is the
	default, and changing this is discouraged for larger deployments, since explicitly

<sup>2</sup>See the section entitled Section 3.3.1, "Injecting dependencies"

Mode	Explanation
	specifying collaborators gives greater control and clarity. To some extent, it is a form of documentation about the structure of a system.
byName	Autowiring by property name. This option will inspect the container and look for a bean named exactly the same as the property which needs to be autowired. For example, if you have a bean definition which is set to autowire by name, and it contains a <i>master</i> property (that is, it has a <i>setMaster</i> () method), Spring will look for a bean definition named master, and use it to set the property.
byType	Allows a property to be autowired if there is exactly one bean of the property type in the container. If there is more than one, a fatal exception is thrown, and this indicates that you may not use <i>byType</i> autowiring for that bean. If there are no matching beans, nothing happens; the property is not set. If this is not desirable, setting the dependency-check="objects" attribute value specifies that an error should be thrown in this case.
constructor	This is analogous to <i>byType</i> , but applies to constructor arguments. If there isn't exactly one bean of the constructor argument type in the container, a fatal error is raised.
autodetect	Chooses <i>constructor</i> or <i>byType</i> through introspection of the bean class. If a default constructor is found, the <i>byType</i> mode will be applied.

Note that explicit dependencies in property and constructor-arg settings always override autowiring. Please also note that it is not currently possible to autowire so-called *simple* properties such as primitives, strings, and classes (and arrays of such simple properties). (This is by-design and should be considered a *feature*.) When using either the *byType* or *constructor* autowiring mode, it is possible to wire arrays and typed-collections. In such cases *all* autowire candidates within the container that match the expected type will be provided to satisfy the dependency. Strongly-typed Maps can even be autowired if the expected key type is string. An autowired Map's values will consist of all bean instances that match the expected type, and the Map's keys will contain the corresponding bean names.

Autowire behavior can be combined with dependency checking, which will be performed after all autowiring has been completed.

It is important to understand the various advantages and disadvantages of autowiring. Some advantages of autowiring include:

- Autowiring can significantly reduce the volume of configuration required. However, mechanisms such as the use of a bean template (<u>discussed elsewhere in this chapter</u>) are also valuable in this regard.
- Autowiring can cause configuration to keep itself up to date as your objects evolve. For example, if you need to add an additional dependency to a class, that dependency can be satisfied automatically without the need to modify configuration. Thus there may be a strong case for autowiring during development, without ruling out the option of switching to explicit wiring when the code base becomes more stable.

Some disadvantages of autowiring:

• Autowiring is more magical than explicit wiring. Although, as noted in the above table, Spring is careful to

avoid guessing in case of ambiguity which might have unexpected results, the relationships between your Spring-managed objects are no longer documented explicitly.

• Wiring information may not be available to tools that may generate documentation from a Spring container.

When deciding whether to use autowiring, there is no wrong or right answer in all cases. A degree of consistency across a project is best though; for example, if autowiring is not used in general, it might be confusing to developers to use it just to wire one or two bean definitions.

#### 3.3.5.1. Excluding a bean from being available for autowiring

You can also (on a per-bean basis) totally exclude a bean from being an autowire candidate. When configuring beans using Spring's XML format, the 'autowire-candidate' attribute of the <bean/> element can be set to 'false'; this has the effect of making the container totally exclude that specific bean definition from being available to the autowiring infrastructure.

Another option is to limit autowire candidates based on pattern-matching against bean names. The top-level <br/>
<

These techniques can be useful when you have one or more beans that you absolutely never ever want to have injected into other beans via autowiring. It does not mean that an excluded bean cannot itself be configured using autowiring... it can, it is rather that it itself will not be considered as a candidate for autowiring other beans.

# 3.3.6. Checking for dependencies

The Spring IoC container also has the ability to check for the existence of unresolved dependencies of a bean deployed into the container. These are JavaBeans properties of the bean, which do not have actual values set for them in the bean definition, or alternately provided automatically by the autowiring feature.

This feature is sometimes useful when you want to ensure that all properties (or all properties of a certain type) are set on a bean. Of course, in many cases a bean class will have default values for many properties, or some properties do not apply to all usage scenarios, so this feature is of limited use. Dependency checking can also be enabled and disabled per bean, just as with the autowiring functionality. The default is to *not* check dependencies. Dependency checking can be handled in several different modes. When using XML-based configuration metadata, this is specified via the 'dependency-check' attribute in a bean definition, which may

have the following values.

Table 3.3. Dependency checking modes

Mode	Explanation
none	No dependency checking. Properties of the bean which have no value specified for them are simply not set.
simple	Dependency checking is performed for primitive types and collections (everything except collaborators).
object	Dependency checking is performed for collaborators only.
all	Dependency checking is done for collaborators, primitive types and collections.

If you are using Java 5 and thus have access to source-level annotations, you may find the section entitled Section 25.3.1, "@Required" to be of interest.

## 3.3.7. Method Injection

For most application scenarios, the majority of the beans in the container will be <u>singletons</u>. When a singleton bean needs to collaborate with another singleton bean, or a non-singleton bean needs to collaborate with another non-singleton bean, the typical and common approach of handling this dependency by defining one bean to be a property of the other is quite adequate. There is a problem when the bean lifecycles are different. Consider a singleton bean A which needs to use a non-singleton (prototype) bean B, perhaps on each method invocation on A. The container will only create the singleton bean A once, and thus only get the opportunity to set the properties once. There is no opportunity for the container to provide bean A with a new instance of bean B every time one is needed.

One solution to this issue is to forego some inversion of control. Bean A can be <u>made aware of the container</u> by implementing the <code>BeanFactoryAware</code> interface, and <u>use programmatic means</u> to ask the container via a <code>getBean("B")</code> call for (a typically new) bean B instance every time it needs it. Find below an admittedly somewhat contrived example of this approach:

```
// a class that uses a stateful Command-style class to perform some processing
package fiona.apple;
// lots of Spring-API imports
import org.springframework.beans.BeansException;
import org.springframework.beans.factory.BeanFactory;
import org.springframework.beans.factory.BeanFactoryAware;
public class CommandManager implements BeanFactoryAware {
   private BeanFactory beanFactory;
   public Object process(Map commandState) {
      // grab a new instance of the appropriate Command
      Command command = createCommand();
      // set the state on the (hopefully brand new) Command instance
      command.setState(commandState);
      return command.execute();
   // the Command returned here could be an implementation that executes asynchronously, or whatever
   protected Command createCommand() {
      return (Command) this.beanFactory.getBean("command"); // notice the Spring API dependency
```

```
}

public void setBeanFactory(BeanFactory beanFactory) throws BeansException {
    this.beanFactory = beanFactory;
  }
}
```

The above example is generally not a desirable solution since the business code is then aware of and coupled to the Spring Framework. Method Injection, a somewhat advanced feature of the Spring IoC container, allows this use case to be handled in a clean fashion.

### 3.3.7.1. Lookup method injection

### Isn't this Method Injection...

... somewhat like Tapestry 4.0's pages, where folks wrote abstract properties that Tapestry would override at runtime with implementations that did stuff? It sure is (well, somewhat).

You can read more about the motivation for Method Injection in this blog entry.

Lookup method injection refers to the ability of the container to override methods on *container managed beans*, to return the result of looking up another named bean in the container. The lookup will typically be of a prototype bean as in the scenario described above. The Spring Framework implements this method injection by dynamically generating a subclass overriding the method, using bytecode generation via the CGLIB library.

So if you look at the code from previous code snippet (the CommandManager class), the Spring container is going to dynamically override the implementation of the createCommand() method. Your CommandManager class is not going to have any Spring dependencies, as can be seen in this reworked example below:

```
package fiona.apple;

// no more Spring imports!

public abstract class CommandManager {

   public Object process(Object commandState) {
      // grab a new instance of the appropriate Command interface
      Command command = createCommand();
      // set the state on the (hopefully brand new) Command instance
      command.setState(commandState);
      return command.execute();
   }

   // okay... but where is the implementation of this method?
   protected abstract Command createCommand();
}
```

In the client class containing the method to be injected (the CommandManager in this case), the method that is to be 'injected' must have a signature of the following form:

```
<public|protected> [abstract] <return-type> theMethodName(no-arguments);
```

If the method is abstract, the dynamically-generated subclass will implement the method. Otherwise, the dynamically-generated subclass will override the concrete method defined in the original class. Let's look at an example:

```
<!-- a stateful bean deployed as a prototype (non-singleton) -->
<bean id="command" class="fiona.apple.AsyncCommand" scope="prototype">
  <!-- inject dependencies here as required -->
</bean>
```

```
<!-- commandProcessor uses statefulCommandHelper -->
<bean id="commandManager" class="fiona.apple.CommandManager">
        <lookup-method name="createCommand" bean="command"/>
        </bean>
```

The bean identified as *commandManager* will call its own method <code>createCommand()</code> whenever it needs a new instance of the *command* bean. It is important to note that the person deploying the beans must be careful to deploy the <code>command</code> bean as a prototype (if that is actually what is needed). If it is deployed as a <u>singleton</u>, the same instance of the <code>command</code> bean will be returned each time!

Please be aware that in order for this dynamic subclassing to work, you will need to have the CGLIB jar(s) on your classpath. Additionally, the class that the Spring container is going to subclass cannot be final, and the method that is being overridden cannot be final either. Also, testing a class that has an abstract method can be somewhat odd in that you will have to subclass the class yourself and supply a stub implementation of the abstract method. Finally, objects that have been the target of method injection cannot be serialized.



## Tip

The interested reader may also find the ServiceLocatorFactoryBean (in the org.springframework.beans.factory.config package) to be of use; the approach is similar to that of the ObjectFactoryCreatingFactoryBean, but it allows you to specify your own lookup interface as opposed to having to use a Spring-specific lookup interface such as the ObjectFactory. Consult the (copious) Javadoc for the ServiceLocatorFactoryBean for a full treatment of this alternative approach (that *does* reduce the coupling to Spring).

### 3.3.7.2. Arbitrary method replacement

A less commonly useful form of method injection than Lookup Method Injection is the ability to replace arbitrary methods in a managed bean with another method implementation. Users may safely skip the rest of this section (which describes this somewhat advanced feature), until this functionality is actually needed.

When using XML-based configuration metadata, the replaced-method element may be used to replace an existing method implementation with another, for a deployed bean. Consider the following class, with a method computeValue, which we want to override:

```
public class MyValueCalculator {
   public String computeValue(String input) {
       // some real code...
   }
   // some other methods...
}
```

A class implementing the org.springframework.beans.factory.support.MethodReplacer interface provides the new method definition.

```
/** meant to be used to override the existing computeValue(String)
    implementation in MyValueCalculator
    */
public class ReplacementComputeValue implements MethodReplacer {
    public Object reimplement(Object o, Method m, Object[] args) throws Throwable {
        // get the input value, work with it, and return a computed result
        String input = (String) args[0];
        ...
        return ...;
    }
```

```
}
```

The bean definition to deploy the original class and specify the method override would look like this:

One or more contained <arg-type/> elements within the <replaced-method/> element may be used to indicate the method signature of the method being overridden. Note that the signature for the arguments is actually only needed in the case that the method is actually overloaded and there are multiple variants within the class. For convenience, the type string for an argument may be a substring of the fully qualified type name. For example, all the following would match java.lang.String.

```
java.lang.String
String
Str
```

Since the number of arguments is often enough to distinguish between each possible choice, this shortcut can save a lot of typing, by allowing you to type just the shortest string that will match an argument type.

# 3.4. Bean scopes

When you create a bean definition what you are actually creating is a *recipe* for creating actual instances of the class defined by that bean definition. The idea that a bean definition is a recipe is important, because it means that, just like a class, you can potentially have many object instances created from a single recipe.

You can control not only the various dependencies and configuration values that are to be plugged into an object that is created from a particular bean definition, but also the *scope* of the objects created from a particular bean definition. This approach is very powerful and gives you the flexibility to *choose* the scope of the objects you create through configuration instead of having to 'bake in' the scope of an object at the Java class level. Beans can be defined to be deployed in one of a number of scopes: out of the box, the Spring Framework supports exactly five scopes (of which three are available only if you are using a web-aware ApplicationContext).

The scopes supported out of the box are listed below:

Table 3.4. Bean scopes

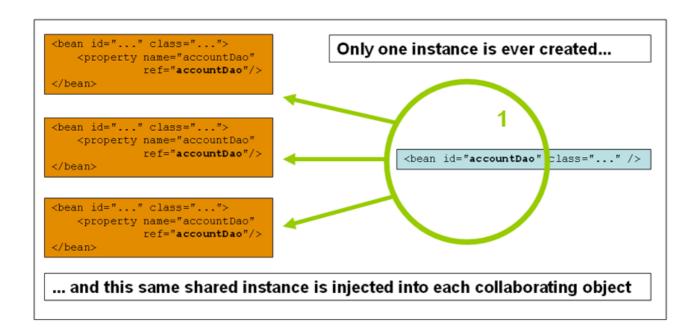
Scope	Description
singleton	Scopes a single bean definition to a single object instance per Spring IoC container.
prototype	Scopes a single bean definition to any number of object instances.
<u>request</u>	Scopes a single bean definition to the lifecycle of a

Scope	Description
	single HTTP request; that is each and every HTTP request will have its own instance of a bean created off the back of a single bean definition. Only valid in the context of a web-aware Spring ApplicationContext.
session	Scopes a single bean definition to the lifecycle of a HTTP Session. Only valid in the context of a web-aware Spring ApplicationContext.
global session	Scopes a single bean definition to the lifecycle of a global HTTP Session. Typically only valid when used in a portlet context. Only valid in the context of a web-aware Spring ApplicationContext.

## 3.4.1. The singleton scope

When a bean is a singleton, only one *shared* instance of the bean will be managed, and all requests for beans with an id or ids matching that bean definition will result in that one specific bean instance being returned by the Spring container.

To put it another way, when you define a bean definition and it is scoped as a singleton, then the Spring IoC container will create *exactly one* instance of the object defined by that bean definition. This single instance will be stored in a cache of such singleton beans, and *all subsequent requests and references* for that named bean will result in the cached object being returned.



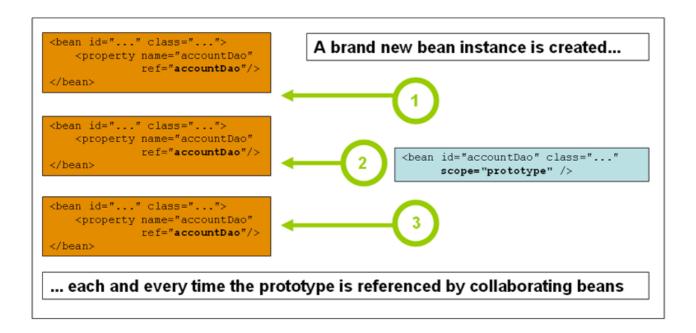
Please be aware that Spring's concept of a singleton bean is quite different from the Singleton pattern as defined in the seminal Gang of Four (GoF) patterns book. The GoF Singleton hard codes the scope of an object such that one *and only one* instance of a particular class will ever be created *per ClassLoader*. The scope of the Spring singleton is best described as *per container and per bean*. This means that if you define one bean for a

particular class in a single Spring container, then the Spring container will create one *and only one* instance of the class defined by that bean definition. *The singleton scope is the default scope in Spring*. To define a bean as a singleton in XML, you would write configuration like so:

## 3.4.2. The prototype scope

The non-singleton, prototype scope of bean deployment results in the *creation of a new bean instance* every time a request for that specific bean is made (that is, it is injected into another bean or it is requested via a programmatic <code>getBean()</code> method call on the container). As a rule of thumb, you should use the prototype scope for all beans that are stateful, while the singleton scope should be used for stateless beans.

The following diagram illustrates the Spring prototype scope. Please note that a DAO would not typically be configured as a prototype, since a typical DAO would not hold any conversational state; it was just easier for this author to reuse the core of the singleton diagram.



To define a bean as a prototype in XML, you would write configuration like so:

There is one quite important thing to be aware of when deploying a bean in the prototype scope, in that the lifecycle of the bean changes slightly. Spring does not manage the complete lifecycle of a prototype bean: the container instantiates, configures, decorates and otherwise assembles a prototype object, hands it to the client and then has no further knowledge of that prototype instance. This means that while *initialization* lifecycle callback methods will be called on all objects regardless of scope, in the case of prototypes, any configured destruction lifecycle callbacks will not be called. It is the responsibility of the client code to clean up prototype

scoped objects and release any expensive resources that the prototype bean(s) are holding onto. (One possible way to get the Spring container to release resources used by prototype-scoped beans is through the use of a custom bean post-processor which would hold a reference to the beans that need to be cleaned up.)

In some respects, you can think of the Spring containers role when talking about a prototype-scoped bean as somewhat of a replacement for the Java 'new' operator. All lifecycle aspects past that point have to be handled by the client. (The lifecycle of a bean in the Spring container is further described in the section entitled Section 3.5.1, "Lifecycle callbacks".)

## 3.4.3. Singleton beans with prototype-bean dependencies

When using singleton-scoped beans that have dependencies on beans that are scoped as prototypes, please be aware that *dependencies are resolved at instantiation time*. This means that if you dependency inject a prototype-scoped bean into a singleton-scoped bean, a brand new prototype bean will be instantiated and then dependency injected into the singleton bean... *but that is all*. That exact same prototype instance will be the sole instance that is ever supplied to the singleton-scoped bean, which is fine if that is what you want.

However, sometimes what you actually want is for the singleton-scoped bean to be able to acquire a brand new instance of the prototype-scoped bean again and again at runtime. In that case it is no use just dependency injecting a prototype-scoped bean into your singleton bean, because as explained above, that only happens *once* when the Spring container is instantiating the singleton bean and resolving and injecting its dependencies. If you are in the scenario where you need to get a brand new instance of a (prototype) bean again and again and again at runtime, you are referred to the section entitled Section 3.3.7, "Method Injection"



## Backwards compatibility note: specifying the lifecycle scope in XML

If you are referencing the 'spring-beans.dtd' DTD in a bean definition file(s), and you are being explicit about the lifecycle scope of your beans you must use the "singleton" attribute to express the lifecycle scope (remembering that the <u>singleton lifecycle scope</u> is the default). If you are referencing the 'spring-beans-2.0.dtd' DTD or the Spring 2.0 XSD schema, then you will need to use the "scope" attribute (because the "singleton" attribute was removed from the definition of the new DTD and XSD files in favor of the "scope" attribute).

To be totally clear about this, this means that if you use the "singleton" attribute in an XML bean definition then you *must* be referencing the 'spring-beans.dtd' DTD in that file. If you are using the "scope" attribute then you *must* be referencing either the 'spring-beans-2.0.dtd' DTD or the 'spring-beans-2.5.xsd' XSD in that file.

# 3.4.4. The other scopes

The other scopes, namely request, session, and global session are for use only in web-based applications (and can be used irrespective of which particular web application framework you are using, if indeed any). In the interest of keeping related concepts together in one place in the reference documentation, these scopes are described here.



#### Note

The scopes that are described in the following paragraphs are *only* available if you are using a web-aware Spring ApplicationContext implementation (such as XmlWebApplicationContext). If you try using these next scopes with regular Spring IoC containers such as the XmlBeanFactory or ClassPathXmlApplicationContext, you *will* get an IllegalStateException complaining about an unknown bean scope.

#### 3.4.4.1. Initial web configuration

In order to support the scoping of beans at the request, session, and global session levels (web-scoped beans), some minor initial configuration is required before you can set about defining your bean definitions. Please note that this extra setup is *not* required if you just want to use the 'standard' scopes (namely singleton and prototype).

Now as things stand, there are a couple of ways to effect this initial setup depending on your particular Servlet environment...

If you are accessing scoped beans within Spring Web MVC, i.e. within a request that is processed by the Spring DispatcherServlet, or DispatcherPortlet, then no special setup is necessary: DispatcherServlet and DispatcherPortlet already expose all relevant state.

When using a Servlet 2.4+ web container, with requests processed outside of Spring's DispatcherServlet (e.g. when using JSF or Struts), you need to add the following <code>javax.servlet.ServletRequestListener</code> to the declarations in your web application's 'web.xml' file.

If you are using an older web container (Servlet 2.3), you will need to use the provided <code>javax.servlet.Filter</code> implementation. Find below a snippet of XML configuration that has to be included in the <code>'web.xml'</code> file of your web application if you want to have access to web-scoped beans in requests outside of Spring's DispatcherServlet on a Servlet 2.3 container. (The filter mapping depends on the surrounding web application configuration and so you will have to change it as appropriate.)

That's it. DispatcherServlet, RequestContextListener and RequestContextFilter all do exactly the same thing, namely bind the HTTP request object to the Thread that is servicing that request. This makes beans that are request- and session-scoped available further down the call chain.

#### 3.4.4.2. The request scope

Consider the following bean definition:

```
<bean id="loginAction" class="com.foo.LoginAction" scope="request"/>
```

With the above bean definition in place, the Spring container will create a brand new instance of the LoginAction bean using the 'loginAction' bean definition for each and every HTTP request. That is, the 'loginAction' bean will be effectively scoped at the HTTP request level. You can change or dirty the internal

state of the instance that is created as much as you want, safe in the knowledge that other requests that are also using instances created off the back of the same 'loginAction' bean definition will not be seeing these changes in state since they are particular to an individual request. When the request is finished processing, the bean that is scoped to the request will be discarded.

#### 3.4.4.3. The session scope

Consider the following bean definition:

```
<bean id="userPreferences" class="com.foo.UserPreferences" scope="session"/>
```

With the above bean definition in place, the Spring container will create a brand new instance of the UserPreferences bean using the 'userPreferences' bean definition for the lifetime of a single HTTP Session. In other words, the 'userPreferences' bean will be effectively scoped at the HTTP Session level. Just like request-scoped beans, you can change the internal state of the instance that is created as much as you want, safe in the knowledge that other HTTP Session instances that are also using instances created off the back of the same 'userPreferences' bean definition will not be seeing these changes in state since they are particular to an individual HTTP Session. When the HTTP Session is eventually discarded, the bean that is scoped to that particular HTTP Session will also be discarded.

#### 3.4.4.4. The global session scope

Consider the following bean definition:

```
<bean id="userPreferences" class="com.foo.UserPreferences" scope="globalSession"/>
```

The global session scope is similar to the standard HTTP Session scope (described immediately above), and really only makes sense in the context of portlet-based web applications. The portlet specification defines the notion of a global session that is shared amongst all of the various portlets that make up a single portlet web application. Beans defined at the global session scope are scoped (or bound) to the lifetime of the global portlet session.

Please note that if you are writing a standard Servlet-based web application and you define one or more beans as having global session scope, the standard HTTP Session scope will be used, and no error will be raised.

#### 3.4.4.5. Scoped beans as dependencies

Being able to define a bean scoped to a HTTP request or session (or indeed a custom scope of your own devising) is all very well, but one of the main value-adds of the Spring IoC container is that it manages not only the instantiation of your objects (beans), but also the wiring up of collaborators (or dependencies). If you want to inject a (for example) HTTP request scoped bean into another bean, you will need to inject an AOP proxy in place of the scoped bean. That is, you need to inject a proxy object that exposes the same public interface as the scoped object, but that is smart enough to be able to retrieve the real, target object from the relevant scope (for example a HTTP request) and delegate method calls onto the real object.



#### Note

You *do not* need to use the <aop:scoped-proxy/> in conjunction with beans that are scoped as singletons or prototypes. It is an error to try to create a scoped proxy for a singleton bean (and the resulting BeanCreationException will certainly set you straight in this regard).

Let's look at the configuration that is required to effect this; the configuration is not hugely complex (it takes

just one line), but it is important to understand the "why" as well as the "how" behind it.

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"</pre>
      xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
      xmlns:aop="http://www.springframework.org/schema/aop"
      xsi:schemaLocation="
http://www.springframework.org/schema/beans http://www.springframework.org/schema/beans/spring-beans-2.5.xsd
http://www.springframework.org/schema/aop/spring-aop-2.5.xsd">
    <!-- a HTTP Session-scoped bean exposed as a proxy -->
    <bean id="userPreferences" class="com.foo.UserPreferences" scope="session">
          <!-- this next element effects the proxying of the surrounding bean -->
         <aop:scoped-proxy/>
    </bean>
   <!-- a singleton-scoped bean injected with a proxy to the above bean -->
    <bean id="userService" class="com.foo.SimpleUserService">
        <!-- a reference to the proxied 'userPreferences' bean -->
        property name="userPreferences" ref="userPreferences"/>
    </bean>
</beans>
```

To create such a proxy, you need only to insert a child <code><aop:scoped-proxy/></code> element into a scoped bean definition (you may also need the CGLIB library on your classpath so that the container can effect class-based proxying; you will also need to be using Appendix A, <code>XML Schema-based configuration</code>). So, just why do you need this <code><aop:scoped-proxy/></code> element in the definition of beans scoped at the request, <code>session</code>, <code>globalsession</code> and <code>'insert your custom scope here'</code> level? The reason is best explained by picking apart the following bean definition (please note that the following <code>'userPreferences'</code> bean definition as it stands is <code>incomplete</code>):

From the above configuration it is evident that the singleton bean 'userManager' is being injected with a reference to the HTTP session-scoped bean 'userPreferences'. The salient point here is that the 'userManager' bean is a singleton... it will be instantiated exactly once per container, and its dependencies (in this case only one, the 'userPreferences' bean) will also only be injected (once!). This means that the 'userManager' will (conceptually) only ever operate on the exact same 'userPreferences' object, that is the one that it was originally injected with. This is not what you want when you inject a HTTP session-scoped bean as a dependency into a collaborating object (typically). Rather, what we do want is a single 'userManager' object, and then, for the lifetime of a HTTP session, we want to see and use a 'userPreferences' object that is specific to said HTTP session.

Rather what you need then is to inject some sort of object that exposes the exact same public interface as the UserPreferences class (ideally an object that is a UserPreferences instance) and that is smart enough to be able to go off and fetch the real UserPreferences object from whatever underlying scoping mechanism we have chosen (HTTP request, Session, etc.). We can then safely inject this proxy object into the 'userManager' bean, which will be blissfully unaware that the UserPreferences reference that it is holding onto is a proxy. In the case of this example, when a UserManager instance invokes a method on the dependency-injected UserPreferences object, it is really invoking a method on the proxy... the proxy will then go off and fetch the real UserPreferences object from (in this case) the HTTP Session, and delegate the method invocation onto the retrieved real UserPreferences object.

That is why you need the following, correct and complete, configuration when injecting request-, session-,

and globalSession-scoped beans into collaborating objects:

## 3.4.4.5.1. Choosing the type of proxy created

By default, when the Spring container is creating a proxy for a bean that is marked up with the <aop:scoped-proxy/> element, a CGLIB-based class proxy will be created. This means that you need to have the CGLIB library on the classpath of your application.

*Note: CGLIB proxies will only intercept public method calls!* Do not call non-public methods on such a proxy; they will not be delegated to the scoped target object.

You can choose to have the Spring container create 'standard' JDK interface-based proxies for such scoped beans by specifying 'false' for the value of the 'proxy-target-class' attribute of the <aop:scoped-proxy/> element. Using JDK interface-based proxies does mean that you don't need any additional libraries on your application's classpath to effect such proxying, but it does mean that the class of the scoped bean must implement at least one interface, and *all* of the collaborators into which the scoped bean is injected must be referencing the bean via one of its interfaces.

The section entitled Section 6.6, "Proxying mechanisms" may also be of some interest with regard to understanding the nuances of choosing whether class-based or interface-based proxying is right for you.

# 3.4.5. Custom scopes

As of Spring 2.0, the bean scoping mechanism in Spring is extensible. This means that you are not limited to just the bean scopes that Spring provides out of the box; you can define your own scopes, or even redefine the existing scopes (although that last one would probably be considered bad practice - please note that you *cannot* override the built-in singleton and prototype scopes).

#### 3.4.5.1. Creating your own custom scope

Scopes are defined by the org.springframework.beans.factory.config.Scope interface. This is the interface that you will need to implement in order to integrate your own custom scope(s) into the Spring container, and is described in detail below. You may wish to look at the Scope implementations that are supplied with the Spring Framework itself for an idea of how to go about implementing your own. The Scope Javadoc explains the main class to implement when you need your own scope in more detail too.

The scope interface has four methods dealing with getting objects from the scope, removing them from the scope and allowing them to be 'destroyed' if needed.

The first method should return the object from the underlying scope. The session scope implementation for

example will return the session-scoped bean (and if it does not exist, return a new instance of the bean, after having bound it to the session for future reference).

```
Object get(String name, ObjectFactory objectFactory)
```

The second method should remove the object from the underlying scope. The session scope implementation for example, removes the session-scoped bean from the underlying session. The object should be returned (you are allowed to return null if the object with the specified name wasn't found)

```
Object remove(String name)
```

The third method is used to register callbacks the scope should execute when it is destroyed or when the specified object in the scope is destroyed. Please refer to the Javadoc or a Spring scope implementation for more information on destruction callbacks.

```
void registerDestructionCallback(String name, Runnable destructionCallback)
```

The last method deals with obtaining the conversation identifier for the underlying scope. This identifier is different for each scope. For a session for example, this can be the session identifier.

```
String getConversationId()
```

#### 3.4.5.2. Using a custom scope

After you have written and tested one or more custom Scope implementations, you then need to make the Spring container aware of your new scope(s). The central method to register a new Scope with the Spring container is declared on the ConfigurableBeanFactory interface (implemented by most of the concrete BeanFactory implementations that ship with Spring); this central method is displayed below:

```
void registerScope(String scopeName, Scope scope);
```

The first argument to the registerScope(..) method is the unique name associated with a scope; examples of such names in the Spring container itself are 'singleton' and 'prototype'. The second argument to the registerScope(..) method is an actual instance of the custom scope implementation that you wish to register and use.

Let's assume that you have written your own custom scope implementation, and you have registered it like so:

```
// note: the ThreadScope class does not ship with the Spring Framework
Scope customScope = new ThreadScope();
beanFactory.registerScope("thread", customScope);
```

You can then create bean definitions that adhere to the scoping rules of your custom scope like so:

```
<bean id="..." class="..." scope="thread"/>
```

If you have your own custom <code>scope</code> implementation(s), you are not just limited to only programmatic registration of the custom <code>scope(s)</code>. You can also do the <code>scope</code> registration declaratively, using the <code>CustomScopeConfigurer class</code>.

The declarative registration of custom Scope implementations using the CustomScopeConfigurer class is shown below:

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"</pre>
      xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
      xmlns:aop="http://www.springframework.org/schema/aop"
      xsi:schemaLocation="
http://www.springframework.org/schema/beans http://www.springframework.org/schema/beans/spring-beans-2.5.xsd
http://www.springframework.org/schema/aop/spring-aop-2.5.xsd">
    <bean class="org.springframework.beans.factory.config.CustomScopeConfigurer">
       operty name="scopes">
           <map>
               <entry key="thread">
                   <bean class="com.foo.ThreadScope"/>
               </entry>
           </map>
       </property>
   </bean>
    <bean id="bar" class="x.y.Bar" scope="thread">
        cproperty name="name" value="Rick"/>
       <aop:scoped-proxy/>
    <bean id="foo" class="x.y.Foo">
       cproperty name="bar" ref="bar"/>
    </hean>
</beans>
```



#### Note

Note that, when placing a <aop:scoped-proxy/> in a FactoryBean implementation, it is the factory bean itself that is scoped, not the object returned from getObject().

# 3.5. Customizing the nature of a bean

# 3.5.1. Lifecycle callbacks

The Spring Framework provides several callback interfaces to change the behavior of your bean in the container; they include InitializingBean and DisposableBean. Implementing these interfaces will result in the container calling afterPropertiesSet() for the former and destroy() for the latter to allow the bean to perform certain actions upon initialization and destruction.

Internally, the Spring Framework uses BeanPostProcessor implementations to process any callback interfaces it can find and call the appropriate methods. If you need custom features or other lifecycle behavior Spring doesn't offer out-of-the-box, you can implement a BeanPostProcessor yourself. More information about this can be found in the section entitled Section 3.7, "Container extension points".

All the different lifecycle callback interfaces are described below. In one of the appendices, you can find diagrams that show how Spring manages beans, how those lifecycle features change the nature of your beans, and how they are managed.

#### 3.5.1.1. Initialization callbacks

Implementing the org.springframework.beans.factory.InitializingBean interface allows a bean to perform initialization work after all necessary properties on the bean have been set by the container. The InitializingBean interface specifies exactly one method:

```
void afterPropertiesSet() throws Exception;
```

Generally, the use of the InitializingBean interface can be avoided and is actually discouraged since it unnecessarily couples the code to Spring. As an alternative, bean definitions provide support for a generic initialization method to be specified. In the case of XML-based configuration metadata, this is done using the 'init-method' attribute. For example, the following definition:

```
<bean id="exampleInitBean" class="examples.ExampleBean" init-method="init"/>
```

```
public class ExampleBean {
    public void init() {
        // do some initialization work
    }
}
```

...is exactly the same as...

```
<bean id="exampleInitBean" class="examples.AnotherExampleBean"/>
```

```
public class AnotherExampleBean implements InitializingBean {
    public void afterPropertiesSet() {
        // do some initialization work
    }
}
```

... but does not couple the code to Spring.

#### 3.5.1.2. Destruction callbacks

Implementing the org.springframework.beans.factory.DisposableBean interface allows a bean to get a callback when the container containing it is destroyed. The DisposableBean interface specifies a single method:

```
void destroy() throws Exception;
```

Generally, the use of the DisposableBean callback interface can be avoided and is actually discouraged since it unnecessarily couples the code to Spring. As an alternative, bean definitions provide support for a generic destroy method to be specified. When using XML-based configuration metadata this is done via the 'destroy-method' attribute on the <bean/>. For example, the following definition:

```
<bean id="exampleInitBean" class="examples.ExampleBean" destroy-method="cleanup"/>
```

```
public class ExampleBean {
    public void cleanup() {
        // do some destruction work (like releasing pooled connections)
    }
}
```

...is exactly the same as...

```
<bean id="exampleInitBean" class="examples.AnotherExampleBean"/>
```

```
public class AnotherExampleBean implements DisposableBean {
   public void destroy() {
      // do some destruction work (like releasing pooled connections)
```

```
}
```

... but does not couple the code to Spring.

### 3.5.1.3. Default initialization & destroy methods

When writing initialization and destroy method callbacks that do not use the Spring-specific InitializingBean and DisposableBean callback interfaces, one typically finds oneself writing methods with names such as init(), initialize(), dispose(), etc. The names of such lifecycle callback methods are (hopefully!) standardized across a project so that all developers on a team use the same method names and thus ensure some level of consistency.

The Spring container can be configured to 'look' for named initialization and destroy callback method names on *every* bean. This means that you, as an application developer, can simply write your application classes, use a convention of having an initialization callback called init(), and then (without having to configure each and every bean with, in the case of XML-based configuration, an 'init-method="init" attribute) be safe in the knowledge that the Spring IoC container *will* call that method when the bean is being created (and in accordance with the standard lifecycle callback contract described previously).

Let's look at an example to make the use of this feature completely clear. For the sake of the example, let us say that one of the coding conventions on a project is that all initialization callback methods are to be named init() and that destroy callback methods are to be called destroy(). This leads to classes like so...

```
public class DefaultBlogService implements BlogService {
    private BlogDao blogDao;
    public void setBlogDao(BlogDao blogDao) {
        this.blogDao = blogDao;
    }
    // this is (unsurprisingly) the initialization callback method
    public void init() {
        if (this.blogDao == null) {
            throw new IllegalStateException("The [blogDao] property must be set.");
        }
    }
}
```

Notice the use of the 'default-init-method' attribute on the top-level <beans/> element. The presence of this attribute means that the Spring IoC container will recognize a method called 'init' on beans as being the initialization method callback, and when a bean is being created and assembled, if the bean's class has such a method, it will be invoked at the appropriate time.

Destroy method callbacks are configured similarly (in XML that is) using the 'default-destroy-method' attribute on the top-level <br/>beans/> element.

The use of this feature can save you the (small) housekeeping chore of specifying an initialization and destroy method callback on each and every bean, and it is great for enforcing a consistent naming convention for initialization and destroy method callbacks, as consistency is something that should always be aimed for.

Consider the case where you have some existing beans where the underlying classes already have initialization callback methods that are named at variance with the convention. You can *always* override the default by specifying (in XML that is) the method name using the 'init-method' and 'destroy-method' attributes on the <br/>
<code>destroy-method</code> attributes on the <code>destroy-method</code> attributes on the <code>destroy-method</code>.

Finally, please be aware that the Spring container guarantees that a configured initialization callback is called immediately after a bean has been supplied with all of its dependencies. This means that the initialization callback will be called on the raw bean reference, which means that any AOP interceptors or suchlike that will ultimately be applied to the bean will not yet be in place. A target bean is fully created *first*, *then* an AOP proxy (for example) with its interceptor chain is applied. Note that, if the target bean and the proxy are defined separately, your code can even interact with the raw target bean, bypassing the proxy. Hence, it would be very inconsistent to apply the interceptors to the init method, since that would couple the lifecycle of the target bean with its proxy/interceptors and leave strange semantics when talking to the raw target bean directly.

## 3.5.1.4. Combining lifecycle mechanisms

As of Spring 2.5, there are three options for controlling bean lifecycle behavior: the <u>InitializingBean</u> and <u>DisposableBean</u> callback interfaces; custom init() and destroy() methods; and the <u>@PostConstruct and @PreDestroy annotations</u>.

When combining different lifecycle mechanisms - for example, in a class hierarchy in which various lifecycle mechanisms are in use - developers should be aware of the order in which these mechanisms are applied. The following is the ordering for initialization methods:

- Methods annotated with @PostConstruct
- afterPropertiesSet() as defined by the InitializingBean callback interface
- A custom configured init() method

Destroy methods are called in the same order:

- Methods annotated with @PreDestroy
- destroy() as defined by the DisposableBean callback interface
- A custom configured destroy() method



#### Note

If multiple lifecycle mechanisms are configured for a given bean, and each mechanism is configured with a different method name, then each configured method will be executed in the order listed above; however, if the same method name is configured - for example, <code>init()</code> for an initialization method - for more than one of the aforementioned lifecycle mechanisms, that method will only be executed once.

## 3.5.1.5. Shutting down the Spring IoC container gracefully in non-web applications



#### Note

This next section does not apply to web applications (in case the title of this section did not make that abundantly clear). Spring's web-based ApplicationContext implementations already have

code in place to handle shutting down the Spring IoC container gracefully when the relevant web application is being shutdown.

If you are using Spring's IoC container in a non-web application environment, for example in a rich client desktop environment, and you want the container to shutdown gracefully and call the relevant destroy callbacks on your singleton beans, you will need to register a shutdown hook with the JVM. This is quite easy to do (see below), and will ensure that your Spring IoC container shuts down gracefully and that all resources held by your singletons are released. Of course it is still up to you to both configure the destroy callbacks for your singletons and implement such destroy callbacks correctly.

So to register a shutdown hook that enables the graceful shutdown of the relevant Spring IoC container, you simply need to call the registerShutdownHook() method that is declared on the AbstractApplicationContext class. To wit...

# 3.5.2. Knowing who you are

#### 3.5.2.1. BeanFactoryAware

A class which implements the org.springframework.beans.factory.BeanFactoryAware interface is provided with a reference to the BeanFactory that created it, when it is created by that BeanFactory.

```
public interface BeanFactoryAware {
    void setBeanFactory(BeanFactory beanFactory) throws BeansException;
}
```

This allows beans to manipulate the BeanFactory that created them programmatically, through the BeanFactory interface, or by casting the reference to a known subclass of this which exposes additional functionality. Primarily this would consist of programmatic retrieval of other beans. While there are cases when this capability is useful, it should generally be avoided, since it couples the code to Spring and does not follow the Inversion of Control style, where collaborators are provided to beans as properties.

An alternative option that is equivalent in effect to the BeanFactoryAware-based approach is to use the org.springframework.beans.factory.config.ObjectFactoryCreatingFactoryBean. (It should be noted that this approach still does not reduce the coupling to Spring, but it does not violate the central principle of IoC as much as the BeanFactoryAware-based approach.)

The ObjectFactoryCreatingFactoryBean is a <u>FactoryBean</u> implementation that returns a reference to an object (factory) that can in turn be used to effect a bean lookup. The <code>ObjectFactoryCreatingFactoryBean</code>

class does itself implement the BeanFactoryAware interface; what client beans are actually injected with is an instance of the ObjectFactory interface. This is a Spring-specific interface (and hence there is still no total decoupling from Spring), but clients can then use the ObjectFactory's getObject() method to effect the bean lookup (under the hood the ObjectFactory implementation instance that is returned simply delegates down to a BeanFactory to actually lookup a bean by name). All that you need to do is supply the ObjectFactoryCreatingFactoryBean with the name of the bean that is to be looked up. Let's look at an example:

```
package x.y;
public class NewsFeed {
    private String news;
    public void setNews(String news) {
        this.news = news;
    }
    public String getNews() {
        return this.toString() + ": '" + news + "'";
    }
}
```

```
package x.y;
import org.springframework.beans.factory.ObjectFactory;

public class NewsFeedManager {
    private ObjectFactory factory;
    public void setFactory(ObjectFactory factory) {
        this.factory = factory;
    }

    public void printNews() {
        // here is where the lookup is performed; note that there is no
        // need to hard code the name of the bean that is being looked up...
        NewsFeed news = (NewsFeed) factory.getObject();
        System.out.println(news.getNews());
    }
}
```

Find below the XML configuration to wire together the above classes using the ObjectFactoryCreatingFactoryBean approach.

And here is a small driver program to test the fact that new (prototype) instances of the newsFeed bean are actually being returned for each call to the injected ObjectFactory inside the NewsFeedManager's printNews() method.

```
import org.springframework.context.ApplicationContext;
import org.springframework.context.support.ClassPathXmlApplicationContext;
```

```
import x.y.NewsFeedManager;
public class Main {
    public static void main(String[] args) throws Exception {
        ApplicationContext ctx = new ClassPathXmlApplicationContext("beans.xml");
        NewsFeedManager manager = (NewsFeedManager) ctx.getBean("newsFeedManager");
        manager.printNews();
        manager.printNews();
    }
}
```

The output from running the above program will look like so (results will of course vary on your machine).

```
x.y.NewsFeed@1292d26: '... that's fit to print!'
x.y.NewsFeed@5329c5: '... that's fit to print!'
```

As of Spring 2.5, you can rely upon autowiring of the BeanFactory as yet another alternative to implementing the BeanFactoryAware interface. The "traditional" constructor and byType autowiring modes (as described in the section entitled Section 3.3.5, "Autowiring collaborators") are now capable of providing a dependency of type BeanFactory for either a constructor argument or setter method parameter respectively. For more flexibility (including the ability to autowire fields and multiple parameter methods), consider using the new annotation-based autowiring features. In that case, the BeanFactory will be autowired into a field, constructor argument, or method parameter that is expecting the BeanFactory type as long as the field, constructor, or method in question carries the @Autowired annotation. For more information, see the section entitled Section 3.11.2, "@Autowired".

#### 3.5.2.2. BeanNameAware

If a bean implements the org.springframework.beans.factory.BeanNameAware interface and is deployed in a BeanFactory, the BeanFactory will call the bean through this interface to inform the bean of the *name* it was deployed under. The callback will be invoked after population of normal bean properties but before an initialization callback like InitializingBean's *afterPropertiesSet* or a custom init-method.

# 3.6. Bean definition inheritance

A bean definition potentially contains a large amount of configuration information, including container specific information (for example initialization method, static factory method name, and so forth) and constructor arguments and property values. A child bean definition is a bean definition that inherits configuration data from a parent definition. It is then able to override some values, or add others, as needed. Using parent and child bean definitions can potentially save a lot of typing. Effectively, this is a form of templating.

When working with a BeanFactory programmatically, child bean definitions are represented by the ChildBeanDefinition class. Most users will never work with them on this level, instead configuring bean definitions declaratively in something like the XmlBeanFactory. When using XML-based configuration metadata a child bean definition is indicated simply by using the 'parent' attribute, specifying the parent bean as the value of this attribute.

```
< /-- the age property value of 1 will be inherited from parent -->
```

A child bean definition will use the bean class from the parent definition if none is specified, but can also override it. In the latter case, the child bean class must be compatible with the parent, that is it must accept the parent's property values.

A child bean definition will inherit constructor argument values, property values and method overrides from the parent, with the option to add new values. If any init-method, destroy-method and/or static factory method settings are specified, they will override the corresponding parent settings.

The remaining settings will *always* be taken from the child definition: *depends on, autowire mode, dependency check, singleton, scope, lazy init.* 

Note that in the example above, we have explicitly marked the parent bean definition as abstract by using the abstract attribute. In the case that the parent definition does not specify a class, and so explicitly marking the parent bean definition as abstract is required:

The parent bean cannot get instantiated on its own since it is incomplete, and it is also explicitly marked as abstract. When a definition is defined to be abstract like this, it is usable only as a pure template bean definition that will serve as a parent definition for child definitions. Trying to use such an abstract parent bean on its own (by referring to it as a ref property of another bean, or doing an explicit getBean() call with the parent bean id), will result in an error. Similarly, the container's internal preInstantiateSingletons() method will completely ignore bean definitions which are defined as abstract.



#### **Note**

ApplicationContexts (but *not* BeanFactories) will by default pre-instantiate all singletons. Therefore it is important (at least for singleton beans) that if you have a (parent) bean definition which you intend to use only as a template, and this definition specifies a class, you must make sure to set the 'abstract' attribute to 'true', otherwise the application context will actually (attempt to) pre-instantiate the abstract bean.

# 3.7. Container extension points

The IoC component of the Spring Framework has been designed for extension. There is typically no need for an application developer to subclass any of the various BeanFactory or ApplicationContext implementation classes. The Spring IoC container can be infinitely extended by plugging in implementations of special integration interfaces. The next few sections are devoted to detailing all of these various integration interfaces.

# 3.7.1. Customizing beans using BeanPostProcessors

The first extension point that we will look at is the BeanPostProcessor interface. This interface defines a number of *callback methods* that you as an application developer can implement in order to provide your own (or override the containers default) instantiation logic, dependency-resolution logic, and so forth. If you want to do some custom logic after the Spring container has finished instantiating, configuring and otherwise initializing a bean, you can plug in one or more BeanPostProcessor implementations.

You can configure multiple BeanPostProcessors if you wish. You can control the order in which these BeanPostProcessors execute by setting the 'order' property (you can only set this property if the BeanPostProcessor implements the Ordered interface; if you write your own BeanPostProcessor you should consider implementing the Ordered interface too); consult the Javadoc for the BeanPostProcessor and Ordered interfaces for more details.



#### Note

BeanPostProcessors operate on bean (or object) *instances*; that is to say, the Spring IoC container will have instantiated a bean instance for you, and *then* BeanPostProcessors get a chance to do their stuff.

If you want to change the actual bean definition (that is the recipe that defines the bean), then you rather need to use a BeanFactoryPostProcessor (described below in the section entitled Section 3.7.2, "Customizing configuration metadata with BeanFactoryPostProcessors".

Also, BeanPostProcessors are scoped *per-container*. This is only relevant if you are using container hierarchies. If you define a BeanPostProcessor in one container, it will *only* do its stuff on the beans in that container. Beans that are defined in another container will not be post-processed by BeanPostProcessors in another container, even if both containers are part of the same hierarchy.

The org.springframework.beans.factory.config.BeanPostProcessor interface consists of exactly two callback methods. When such a class is registered as a post-processor with the container (see below for how this registration is effected), for each bean instance that is created by the container, the post-processor will get a callback from the container both *before* any container initialization methods (such as *afterPropertiesSet* and any declared init method) are called, and also afterwards. The post-processor is free to do what it wishes with the bean instance, including ignoring the callback completely. A bean post-processor will typically check for callback interfaces, or do something such as wrap a bean with a proxy; some of the Spring AOP infrastructure classes are implemented as bean post-processors and they do this proxy-wrapping logic.

It is important to know that a BeanFactory treats bean post-processors slightly differently than an ApplicationContext. An ApplicationContext will *automatically detect* any beans which are defined in the configuration metadata which is supplied to it that implement the BeanPostProcessor interface, and register them as post-processors, to be then called appropriately by the container on bean creation. Nothing else needs to be done other than deploying the post-processors in a similar fashion to any other bean. On the other hand, when using a BeanFactory implementation, bean post-processors explicitly have to be registered, with code like this:

```
ConfigurableBeanFactory factory = new XmlBeanFactory(...);

// now register any needed BeanPostProcessor instances
MyBeanPostProcessor postProcessor = new MyBeanPostProcessor();
factory.addBeanPostProcessor(postProcessor);

// now start using the factory
```

This explicit registration step is not convenient, and this is one of the reasons why the various ApplicationContext implementations are preferred above plain BeanFactory implementations in the vast majority of Spring-backed applications, especially when using BeanPostProcessors.



## BeanPostProcessors and AOP auto-proxying

Classes that implement the BeanPostProcessor interface are *special*, and so they are treated differently by the container. All BeanPostProcessors and their directly referenced beans will be instantiated on startup, as part of the special startup phase of the ApplicationContext, then all those BeanPostProcessors will be registered in a sorted fashion - and applied to all further beans. Since AOP auto-proxying is implemented as a BeanPostProcessor itself, no BeanPostProcessors or directly referenced beans are eligible for auto-proxying (and thus will not have aspects 'woven' into them.

For any such bean, you should see an info log message: "Bean 'foo' is not eligible for getting processed by all BeanPostProcessors (for example: not eligible for auto-proxying)".

Find below some examples of how to write, register, and use BeanPostProcessors in the context of an ApplicationContext.

### 3.7.1.1. Example: Hello World, BeanPostProcessor-style

This first example is hardly compelling, but serves to illustrate basic usage. All we are going to do is code a custom BeanPostProcessor implementation that simply invokes the toString() method of each bean as it is created by the container and prints the resulting string to the system console. Yes, it is not hugely useful, but serves to get the basic concepts across before we move into the second example which *is* actually useful.

Find below the custom BeanPostProcessor implementation class definition:

```
package scripting;
import org.springframework.beans.factory.config.BeanPostProcessor;
import org.springframework.beans.BeansException;

public class InstantiationTracingBeanPostProcessor implements BeanPostProcessor {
    // simply return the instantiated bean as-is
    public Object postProcessBeforeInitialization(Object bean, String beanName) throws BeansException {
        return bean; // we could potentially return any object reference here...
    }

    public Object postProcessAfterInitialization(Object bean, String beanName) throws BeansException {
        System.out.println("Bean '" + beanName + "' created : " + bean.toString());
        return bean;
    }
}
```

```
-->
<bean class="scripting.InstantiationTracingBeanPostProcessor"/>
</beans>
```

Notice how the InstantiationTracingBeanPostProcessor is simply defined; it doesn't even have a name, and because it is a bean it can be dependency injected just like any other bean. (The above configuration also just so happens to define a bean that is backed by a Groovy script. The Spring 2.0 dynamic language support is detailed in the chapter entitled Chapter 24, *Dynamic language support*.)

Find below a small driver script to exercise the above code and configuration;

```
import org.springframework.context.ApplicationContext;
import org.springframework.context.support.ClassPathXmlApplicationContext;
import org.springframework.scripting.Messenger;

public final class Boot {
    public static void main(final String[] args) throws Exception {
        ApplicationContext ctx = new ClassPathXmlApplicationContext("scripting/beans.xml");
        Messenger messenger = (Messenger) ctx.getBean("messenger");
        System.out.println(messenger);
    }
}
```

The output of executing the above program will be (something like) this:

```
Bean 'messenger' created: org.springframework.scripting.groovy.GroovyMessenger@272961 org.springframework.scripting.groovy.GroovyMessenger@272961
```

#### 3.7.1.2. Example: The RequiredAnnotationBeanPostProcessor

Using callback interfaces or annotations in conjunction with a custom BeanPostProcessor implementation is a common means of extending the Spring IoC container. This next example is a bit of a cop-out, in that you are directed to the section entitled Section 25.3.1, "@Required" which demonstrates the usage of a custom BeanPostProcessor implementation that ships with the Spring distribution which ensures that JavaBean properties on beans that are marked with an (arbitrary) annotation are actually (configured to be) dependency-injected with a value.

# 3.7.2. Customizing configuration metadata with BeanFactoryPostProcessors

The next extension point that we will look at is the org.springframework.beans.factory.config.BeanFactoryPostProcessor. The semantics of this interface are similar to the BeanPostProcessor, with one major difference: BeanFactoryPostProcessors operate on the bean configuration metadata; that is, the Spring IoC container will allow BeanFactoryPostProcessors to read the configuration metadata and potentially change it before the container has actually instantiated any other beans.

You can configure multiple BeanFactoryPostProcessors if you wish. You can control the order in which these BeanFactoryPostProcessors execute by setting the 'order' property (you can only set this property if the BeanFactoryPostProcessor implements the Ordered interface; if you write your own BeanFactoryPostProcessor you should consider implementing the Ordered interface too); consult the Javadoc for the BeanFactoryPostProcessor and Ordered interfaces for more details.



## Note

If you want to change the actual bean instances (the objects that are created from the configuration

metadata), then you rather need to use a BeanPostProcessor (described above in the section entitled Section 3.7.1, "Customizing beans using BeanPostProcessors".

Also, BeanFactoryPostProcessors are scoped *per-container*. This is only relevant if you are using container hierarchies. If you define a BeanFactoryPostProcessor in one container, it will *only* do its stuff on the bean definitions in that container. Bean definitions in another container will not be post-processed by BeanFactoryPostProcessors in another container, even if both containers are part of the same hierarchy.

A bean factory post-processor is executed manually (in the case of a BeanFactory) or automatically (in the case of an ApplicationContext) to apply changes of some sort to the configuration metadata that defines a container. Spring includes a number of pre-existing bean factory post-processors, such as PropertyOverrideConfigurer and PropertyPlaceholderConfigurer, both described below. A custom BeanFactoryPostProcessor can also be used to register custom property editors, for example.

In a BeanFactory, the process of applying a BeanFactoryPostProcessor is manual, and will be similar to this:

```
XmlBeanFactory factory = new XmlBeanFactory(new FileSystemResource("beans.xml"));

// bring in some property values from a Properties file
PropertyPlaceholderConfigurer cfg = new PropertyPlaceholderConfigurer();
cfg.setLocation(new FileSystemResource("jdbc.properties"));

// now actually do the replacement
cfg.postProcessBeanFactory(factory);
```

This explicit registration step is not convenient, and this is one of the reasons why the various ApplicationContext implementations are preferred above plain BeanFactory implementations in the vast majority of Spring-backed applications, especially when using BeanFactoryPostProcessors.

An ApplicationContext will detect any beans which are deployed into it which implement the BeanFactoryPostProcessor interface, and automatically use them as bean factory post-processors, at the appropriate time. Nothing else needs to be done other than deploying these post-processor in a similar fashion to any other bean.



#### **Note**

Just as in the case of BeanPostProcessors, you typically don't want to have BeanFactoryPostProcessors marked as being lazily-initialized. If they are marked as such, then the Spring container will never instantiate them, and thus they won't get a chance to apply their custom logic. If you are using the 'default-lazy-init' attribute on the declaration of your <br/>
<br/>
<br/>
<br/>
<br/>
<br/>
'beans/> element, be sure to mark your various BeanFactoryPostProcessor bean definitions with 'lazy-init="false"'.

#### 3.7.2.1. Example: the PropertyPlaceholderConfigurer

The PropertyPlaceholderConfigurer is used to externalize property values from a BeanFactory definition, into another separate file in the standard Java Properties format. This is useful to allow the person deploying an application to customize environment-specific properties (for example database URLs, usernames and passwords), without the complexity or risk of modifying the main XML definition file or files for the container.

Consider the following XML-based configuration metadata fragment, where a DataSource with placeholder values is defined. We will configure some properties from an external Properties file, and at runtime, we will apply a PropertyPlaceholderConfigurer to the metadata which will replace some properties of the

#### DataSource:

The actual values come from another file in the standard Java Properties format:

```
jdbc.driverClassName=org.hsqldb.jdbcDriver
jdbc.url=jdbc:hsqldb:hsql://production:9002
jdbc.username=sa
jdbc.password=root
```

With the context namespace introduced in Spring 2.5, it is possible to configure property placeholders with a dedicated configuration element. Multiple locations may be provided as a comma-separated list for the location attribute.

```
<context:property-placeholder location="classpath:com/foo/jdbc.properties"/>
```

The PropertyPlaceholderConfigurer doesn't only look for properties in the Properties file you specify, but also checks against the Java System properties if it cannot find a property you are trying to use. This behavior can be customized by setting the systemPropertiesMode property of the configurer. It has three values, one to tell the configurer to always override, one to let it *never* override and one to let it override only if the property cannot be found in the properties file specified. Please consult the Javadoc for the PropertyPlaceholderConfigurer for more information.



#### Class name substitution

The PropertyPlaceholderConfigurer can be used to substitute class names, which is sometimes useful when you have to pick a particular implementation class at runtime. For example:

If the class is unable to be resolved at runtime to a valid class, resolution of the bean will fail once it is about to be created (which is during the preInstantiateSingletons() phase of an ApplicationContext for a non-lazy-init bean.)

#### 3.7.2.2. Example: the PropertyOverrideConfigurer

The PropertyOverrideConfigurer, another bean factory post-processor, is similar to the

PropertyPlaceholderConfigurer, but in contrast to the latter, the original definitions can have default values or no values at all for bean properties. If an overriding Properties file does not have an entry for a certain bean property, the default context definition is used.

Note that the bean factory definition is *not* aware of being overridden, so it is not immediately obvious when looking at the XML definition file that the override configurer is being used. In case that there are multiple PropertyOverrideConfigurer instances that define different values for the same bean property, the last one will win (due to the overriding mechanism).

Properties file configuration lines are expected to be in the format:

```
beanName.property=value
```

An example properties file might look like this:

```
dataSource.driverClassName=com.mysql.jdbc.Driver
dataSource.url=jdbc:mysql:mydb
```

This example file would be usable against a container definition which contains a bean called *dataSource*, which has *driver* and *url* properties.

Note that compound property names are also supported, as long as every component of the path except the final property being overridden is already non-null (presumably initialized by the constructors). In this example...

```
foo.fred.bob.sammy=123
```

... the sammy property of the bob property of the fred property of the foo bean is being set to the scalar value 123.

*Note:* Specified override values are always *literal* values; they are not translated into bean references. This also applies when the original value in the XML bean definition specifies a bean reference

With the context namespace introduced in Spring 2.5, it is possible to configure property overriding with a dedicated configuration element:

```
<context:property-override location="classpath:override.properties"/>
```

# 3.7.3. Customizing instantiation logic using FactoryBeans

The org.springframework.beans.factory.FactoryBean interface is to be implemented by objects that are themselves factories.

The FactoryBean interface is a point of pluggability into the Spring IoC containers instantiation logic. If you have some complex initialization code that is better expressed in Java as opposed to a (potentially) verbose amount of XML, you can create your own FactoryBean, write the complex initialization inside that class, and then plug your custom FactoryBean into the container.

The FactoryBean interface provides three methods:

- Object getObject(): has to return an instance of the object this factory creates. The instance can possibly be shared (depending on whether this factory returns singletons or prototypes).
- boolean isSingleton(): has to return true if this FactoryBean returns singletons, false otherwise

• Class getObjectType(): has to return either the object type returned by the getObject() method or null if the type isn't known in advance

The FactoryBean concept and interface is used in a number of places within the Spring Framework; at the time of writing there are over 50 implementations of the FactoryBean interface that ship with Spring itself.

Finally, there is sometimes a need to ask a container for an actual FactoryBean instance itself, not the bean it produces. This may be achieved by prepending the bean id with '&' (sans quotes) when calling the getBean method of the BeanFactory (including ApplicationContext). So for a given FactoryBean with an id of myBean, invoking getBean("myBean") on the container will return the product of the FactoryBean, but invoking getBean("amyBean") will return the FactoryBean instance itself.

# 3.8. The ApplicationContext

While the beans package provides basic functionality for managing and manipulating beans, including in a programmatic way, the context package adds the <u>ApplicationContext</u> interface, which enhances BeanFactory functionality in a more *framework-oriented style*. Many users will use <u>ApplicationContext</u> in a completely declarative fashion, not even having to create it manually, but instead relying on support classes such as ContextLoader to automatically instantiate an <u>ApplicationContext</u> as part of the normal startup process of a J2EE web-app. (Of course, it is still possible to create an <u>ApplicationContext</u> programmatically.)

The basis for the context package is the ApplicationContext interface, located in the org.springframework.context package. Deriving from the BeanFactory interface, it provides all the functionality of BeanFactory. To allow working in a more framework-oriented fashion, using layering and hierarchical contexts, the context package also provides the following functionality:

- MessageSource, providing access to messages in i18n-style.
- Access to resources, such as URLs and files.
- Event propagation to beans implementing the ApplicationListener interface.
- Loading of multiple (hierarchical) contexts, allowing each to be focused on one particular layer, for example the web layer of an application.

# 3.8.1. BeanFactory Of ApplicationContext?

Short version: use an ApplicationContext unless you have a really good reason for not doing so. For those of you that are looking for slightly more depth as to the 'but why' of the above recommendation, keep reading.

As the ApplicationContext includes all functionality of the BeanFactory, it is generally recommended that it be used in preference to the BeanFactory, except for a few limited situations such as in an Applet, where memory consumption might be critical and a few extra kilobytes might make a difference. However, for most 'typical' enterprise applications and systems, the ApplicationContext is what you will want to use. Versions of Spring 2.0 and above make *heavy* use of the BeanPostProcessor extension point (to effect proxying and suchlike), and if you are using just a plain BeanFactory then a fair amount of support such as transactions and AOP will not take effect (at least not without some extra steps on your part), which could be confusing because nothing will actually be wrong with the configuration.

Find below a feature matrix that lists what features are provided by the BeanFactory and ApplicationContext interfaces (and attendant implementations). (The following sections describe functionality that

ApplicationContext adds to the basic BeanFactory capabilities in a lot more depth than the said feature matrix.)

**Table 3.5. Feature Matrix** 

Feature	BeanFactory	ApplicationContext	
Bean instantiation/wiring	Yes	Yes	
Automatic BeanPostProcessor registration	No	Yes	
Automatic BeanFactoryPostProcessor registration	No	Yes	
Convenient MessageSource access (for i18n)	No	Yes	
ApplicationEvent publication	No	Yes	

# 3.8.2. Internationalization using MessageSources

The ApplicationContext interface extends an interface called MessageSource, and therefore provides messaging (i18n or internationalization) functionality. Together with the HierarchicalMessageSource, capable of resolving hierarchical messages, these are the basic interfaces Spring provides to do message resolution. Let's quickly review the methods defined there:

- String getMessage(String code, Object[] args, String default, Locale loc): the basic method used to retrieve a message from the MessageSource. When no message is found for the specified locale, the default message is used. Any arguments passed in are used as replacement values, using the MessageFormat functionality provided by the standard library.
- String getMessage(String code, Object[] args, Locale loc): essentially the same as the previous method, but with one difference: no default message can be specified; if the message cannot be found, a NoSuchMessageException is thrown.
- String getMessage(MessageSourceResolvable resolvable, Locale locale): all properties used in the methods above are also wrapped in a class named MessageSourceResolvable, which you can use via this method.

When an ApplicationContext gets loaded, it automatically searches for a MessageSource bean defined in the context. The bean has to have the name 'messageSource'. If such a bean is found, all calls to the methods described above will be delegated to the message source that was found. If no message source was found, the ApplicationContext attempts to see if it has a parent containing a bean with the same name. If so, it uses that bean as the MessageSource. If it can't find any source for messages, an empty DelegatingMessageSource will be instantiated in order to be able to accept calls to the methods defined above.

Spring currently provides two MessageSource implementations. These are the ResourceBundleMessageSource

and the StaticMessageSource. Both implement HierarchicalMessageSource in order to do nested messaging. The StaticMessageSource is hardly ever used but provides programmatic ways to add messages to the source. The ResourceBundleMessageSource is more interesting and is the one we will provide an example for:

This assumes you have three resource bundles defined on your classpath called format, exceptions and windows. Using the JDK standard way of resolving messages through ResourceBundles, any request to resolve a message will be handled. For the purposes of the example, lets assume the contents of two of the above resource bundle files are...

```
# in 'format.properties'
message=Alligators rock!
```

```
# in 'exceptions.properties'
argument.required=The '{0}' argument is required.
```

Some (admittedly trivial) driver code to exercise the MessageSource functionality can be found below. Remember that all ApplicationContext implementations are also MessageSource implementations and so can be cast to the MessageSource interface.

```
public static void main(String[] args) {
    MessageSource resources = new ClassPathXmlApplicationContext("beans.xml");
    String message = resources.getMessage("message", null, "Default", null);
    System.out.println(message);
}
```

The resulting output from the above program will be...

```
Alligators rock!
```

So to summarize, the MessageSource is defined in a file called 'beans.xml' (this file exists at the root of your classpath). The 'messageSource' bean definition refers to a number of resource bundles via its basenames property; the three files that are passed in the list to the basenames property exist as files at the root of your classpath (and are called format.properties, exceptions.properties, and windows.properties respectively).

Lets look at another example, and this time we will look at passing arguments to the message lookup; these arguments will be converted into Strings and inserted into placeholders in the lookup message. This is perhaps best explained with an example:

The resulting output from the invocation of the execute() method will be...

```
The 'userDao' argument is required.
```

With regard to internationalization (i18n), Spring's various MessageResource implementations follow the same locale resolution and fallback rules as the standard JDK ResourceBundle. In short, and continuing with the example 'messageSource' defined previously, if you want to resolve messages against the British (en-GB) locale, you would create files called format\_en\_GB.properties, exceptions\_en\_GB.properties, and windows\_en\_GB.properties respectively.

Locale resolution is typically going to be managed by the surrounding environment of the application. For the purpose of this example though, we'll just manually specify the locale that we want to resolve our (British) messages against.

```
# in 'exceptions_en_GB.properties'
argument.required=Ebagum lad, the '{0}' argument is required, I say, required.
```

The resulting output from the running of the above program will be...

```
Ebagum lad, the 'userDao' argument is required, I say, required.
```

The MessageSourceAware interface can also be used to acquire a reference to any MessageSource that has been defined. Any bean that is defined in an ApplicationContext that implements the MessageSourceAware interface will be injected with the application context's MessageSource when it (the bean) is being created and configured.

Note: As an alternative to ResourceBundleMessageSource, Spring also provides a ReloadableResourceBundleMessageSource class. This variant supports the same bundle file format but is more flexible than the standard JDK based ResourceBundleMessageSource implementation. In particular, it allows for reading files from any Spring resource location (not just from the classpath) and supports hot reloading of bundle property files (while efficiently caching them in between). Check out the

ReloadableResourceBundleMessageSource javadoc for details.

## 3.8.3. Events

Event handling in the ApplicationContext is provided through the ApplicationEvent class and ApplicationListener interface. If a bean which implements the ApplicationListener interface is deployed into the context, every time an ApplicationEvent gets published to the ApplicationContext, that bean will be notified. Essentially, this is the standard *Observer* design pattern. Spring provides the following standard events:

Table 3.6. Built-in Events

Event	Explanation
ContextRefreshedEvent	Published when the ApplicationContext is initialized or refreshed, e.g. using the refresh() method on the ConfigurableApplicationContext interface. "Initialized" here means that all beans are loaded, post-processor beans are detected and activated, singletons are pre-instantiated, and the ApplicationContext object is ready for use. A refresh may be triggered multiple times, as long as the context hasn't been closed - provided that the chosen ApplicationContext actually supports such "hot" refreshes (which e.g. XmlWebApplicationContext does but GenericApplicationContext doesn't).
ContextStartedEvent	Published when the ApplicationContext is started, using the start() method on the ConfigurableApplicationContext interface. "Started" here means that all Lifecycle beans will receive an explicit start signal. This will typically be used for restarting after an explicit stop, but may also be used for starting components that haven't been configured for autostart (e.g. haven't started on initialization already).
ContextStoppedEvent	Published when the ApplicationContext is stopped, using the stop() method on the ConfigurableApplicationContext interface. "Stopped" here means that all Lifecycle beans will receive an explicit stop signal. A stopped context may be restarted through a start() call.
ContextClosedEvent	Published when the ApplicationContext is closed, using the close() method on the ConfigurableApplicationContext interface. "Closed" here means that all singleton beans are destroyed. A closed context has reached its end of life; it cannot be refreshed or restarted.
RequestHandledEvent	A web-specific event telling all beans that an HTTP request has been serviced (this will be published <i>after</i> the request has been finished). Note that this event is only applicable for web applications using Spring's DispatcherServlet.

Implementing custom events can be done as well. Simply call the publishEvent() method on the ApplicationContext, specifying a parameter which is an instance of your custom event class implementing ApplicationEvent. Event listeners receive events synchronously. This means the publishEvent() method blocks until all listeners have finished processing the event (it is possible to supply an alternate event publishing strategy via a ApplicationEventMulticaster implementation). Furthermore, when a listener receives an event it operates inside the transaction context of the publisher, if a transaction context is available.

Let's look at an example. First, the ApplicationContext:

Now, let's look at the actual classes:

```
public class EmailBean implements ApplicationContextAware {
   private List blackList;
   private ApplicationContext ctx;

   public void setBlackList(List blackList) {
        this.blackList = blackList;
   }

   public void setApplicationContext(ApplicationContext ctx) {
        this.ctx = ctx;
   }

   public void sendEmail(String address, String text) {
        if (blackList.contains(address)) {
            BlackListEvent event = new BlackListEvent(address, text);
            ctx.publishEvent(event);
            return;
        }
        // send email...
   }
}
```

```
public class BlackListNotifier implements ApplicationListener {
   private String notificationAddress;

   public void setNotificationAddress(String notificationAddress) {
        this.notificationAddress = notificationAddress;
   }

   public void onApplicationEvent(ApplicationEvent event) {
        if (event instanceof BlackListEvent) {
            // notify appropriate person...
        }
    }
}
```

Of course, this particular example could probably be implemented in better ways (perhaps by using AOP features), but it should be sufficient to illustrate the basic event mechanism.

## 3.8.4. Convenient access to low-level resources

For optimal usage and understanding of application contexts, users should generally familiarize themselves with Spring's Resource abstraction, as described in the chapter entitled Chapter 4, *Resources*.

An application context is a ResourceLoader, able to be used to load Resources. A Resource is essentially a java.net.url on steroids (in fact, it just wraps and uses a URL where appropriate), which can be used to

obtain low-level resources from almost any location in a transparent fashion, including from the classpath, a filesystem location, anywhere describable with a standard URL, and some other variations. If the resource location string is a simple path without any special prefixes, where those resources come from is specific and appropriate to the actual application context type.

A bean deployed into the application context may implement the special callback interface, ResourceLoaderAware, to be automatically called back at initialization time with the application context itself passed in as the ResourceLoader. A bean may also expose properties of type Resource, to be used to access static resources, and expect that they will be injected into it like any other properties. The person deploying the bean may specify those Resource properties as simple String paths, and rely on a special JavaBean PropertyEditor that is automatically registered by the context, to convert those text strings to actual Resource objects.

The location path or paths supplied to an ApplicationContext constructor are actually resource strings, and in simple form are treated appropriately to the specific context implementation (ClassPathxmlApplicationContext treats a simple location path as a classpath location), but may also be used with special prefixes to force loading of definitions from the classpath or a URL, regardless of the actual context type.

# 3.8.5. Convenient ApplicationContext instantiation for web applications

As opposed to the BeanFactory, which will often be created programmatically, ApplicationContext instances can be created declaratively using for example a ContextLoader. Of course you can also create ApplicationContext instances programmatically using one of the ApplicationContext implementations. First, let's examine the ContextLoader mechanism and its implementations.

The ContextLoader mechanism comes in two flavors: the ContextLoaderListener and the ContextLoaderServlet. They both have the same functionality but differ in that the listener version cannot be reliably used in Servlet 2.3 containers. Since the Servlet 2.4 specification, servlet context listeners are required to execute immediately after the servlet context for the web application has been created and is available to service the first request (and also when the servlet context is about to be shut down): as such a servlet context listener is an ideal place to initialize the Spring ApplicationContext. It is up to you as to which one you use, but all things being equal you should probably prefer ContextLoaderListener; for more information on compatibility, have a look at the Javadoc for the ContextLoaderServlet.

You can register an ApplicationContext using the ContextLoaderListener as follows:

The listener inspects the 'contextConfigLocation' parameter. If the parameter does not exist, the listener will use /web-inf/applicationContext.xml as a default. When it *does* exist, it will separate the String using predefined delimiters (comma, semicolon and whitespace) and use the values as locations where application contexts will be searched for. Ant-style path patterns are supported as well: e.g. /web-inf/\*Context.xml (for

all files whose name ends with "Context.xml", residing in the "WEB-INF" directory) or /WEB-INF/\*\*/\*Context.xml (for all such files in any subdirectory of "WEB-INF").

The ContextLoaderServlet can be used instead of the ContextLoaderListener. The servlet will use the 'contextConfigLocation' parameter just as the listener does.

# 3.9. Glue code and the evil singleton

The majority of the code inside an application is best written in a DI style, where that code is served out of a Spring IoC container, has its own dependencies supplied by the container when it is created, and is completely unaware of the container. However, for the small glue layers of code that are sometimes needed to tie other code together, there is sometimes a need for singleton (or quasi-singleton) style access to a Spring IoC container. For example, third party code may try to construct new objects directly (Class.forName() style), without the ability to force it to get these objects out of a Spring IoC container. If the object constructed by the third party code is just a small stub or proxy, which then uses a singleton style access to a Spring IoC container to get a real object to delegate to, then inversion of control has still been achieved for the majority of the code (the object coming out of the container); thus most code is still unaware of the container or how it is accessed, and remains decoupled from other code, with all ensuing benefits. EJBs may also use this stub/proxy approach to delegate to a plain Java implementation object, coming out of a Spring IoC container. While the Spring IoC container itself ideally does not have to be a singleton, it may be unrealistic in terms of memory usage or initialization times (when using beans in the Spring IoC container such as a Hibernate SessionFactory) for each bean to use its own, non-singleton Spring IoC container.

As another example, in complex J2EE applications with multiple layers (various JAR files, EJBs, and WAR files packaged as an EAR), with each layer having its own Spring IoC container definition (effectively forming a hierarchy), the preferred approach when there is only one web-app (WAR) in the top hierarchy is to simply create one composite Spring IoC container from the multiple XML definition files from each layer. All of the various Spring IoC container implementations may be constructed from multiple definition files in this fashion. However, if there are multiple sibling web-applications at the root of the hierarchy, it is problematic to create a Spring IoC container for each web-application which consists of mostly identical bean definitions from lower layers, as there may be issues due to increased memory usage, issues with creating multiple copies of beans which take a long time to initialize (for example a Hibernate SessionFactory), and possible issues due to side-effects. As alternative, classes an such ContextSingletonBeanFactoryLocator SingletonBeanFactoryLocator may be used to demand-load multiple hierarchical (that is one container is the parent of another) Spring IoC container instances in a singleton fashion, which may then be used as the parents of the web-application Spring IoC container instances. The result is that bean definitions for lower layers are loaded only as needed, and loaded only once.

You can see a detailed example of the usage of these classes by viewing the Javadoc for the <u>SingletonBeanFactoryLocator</u> and <u>ContextSingletonBeanFactoryLocator</u> classes. As mentioned in the <u>chapter on EJBs</u>, the Spring convenience base classes for EJBs normally use a non-singleton BeanFactoryLocator implementation, which is easily replaced by the use of <u>SingletonBeanFactoryLocator</u> and ContextSingletonBeanFactoryLocator.

# 3.10. Deploying a Spring ApplicationContext as a J2EE RAR file

Since Spring 2.5, it is possible to deploy a Spring ApplicationContext as a RAR file, encapsulating the context and all of its required bean classes and library JARs in a J2EE RAR deployment unit. This is the equivalent of bootstrapping a standalone ApplicationContext, just hosted in J2EE environment, being able to access the J2EE

server's facilities. RAR deployment is intended as a more 'natural' alternative to the not uncommon scenario of deploying a headless WAR file - i.e. a WAR file without any HTTP entry points, just used for bootstrapping a Spring ApplicationContext in a J2EE environment.

RAR deployment is ideal for application contexts that do not need any HTTP entry points but rather just consist of message endpoints and scheduled jobs etc. Beans in such a context may use application server resources such as the JTA transaction manager and JNDI-bound JDBC DataSources and JMS ConnectionFactory instances, and may also register with the platform's JMX server - all through Spring's standard transaction management and JNDI and JMX support facilities. Application components may also interact with the application's server JCA WorkManager through Spring's TaskExecutor abstraction.

Check out the JavaDoc of the <u>SpringContextResourceAdapter</u> class for the configuration details involved in RAR deployment.

For simple deployment needs, all you need to do is the following: Package all application classes into a RAR file (which is just a standard JAR file with a different file extension), add all required library jars into the root of the RAR archive, add a "META-INF/ra.xml" deployment descriptor (as shown in SpringContextResourceAdapter's JavaDoc) as well as the corresponding Spring XML bean definition file(s) (typically "META-INF/applicationContext.xml"), and drop the resulting RAR file into your application server's deployment directory!

NOTE: Such RAR deployment units are usually self-contained; they do not expose components to the 'outside' world, not even to other modules of the same application. Interaction with a RAR-based ApplicationContext usually happens through JMS destinations that it shares with other modules. A RAR-based ApplicationContext may also - for example - schedule some jobs, reacting to new files in the file system (or the like). If it actually needs to allow for synchronous access from the outside, it could for example export RMI endpoints, which of course may be used by other application modules on the same machine as well.

# 3.11. Annotation-based configuration

mentioned section entitled Section 3.7.1.2. "Example: The the RequiredAnnotationBeanPostProcessor", using a BeanPostProcessor in conjunction with annotations is a common means of extending the Spring IoC container. For example, Spring 2.0 introduced the possibility of enforcing required properties with the @Required annotation. As of Spring 2.5, it is now possible to follow that same general approach to drive Spring's dependency injection. Essentially, the @Autowired annotation provides the same capabilities as described in Section 3.3.5, "Autowiring collaborators" but with more fine-grained control and wider applicability. Spring 2.5 also adds support for JSR-250 annotations such as @Resource, @PostConstruct, and @PreDestroy. Of course, these options are only available if you are using at least Java 5 (Tiger) and thus have access to source level annotations. Use of these annotations also requires that certain BeanPostProcessors be registered within the Spring container. As always, these can be registered as individual bean definitions, but they can also be implicitly registered by including the following tag in an XML-based Spring configuration (notice the inclusion of the 'context' namespace):

(The implicitly registered post-processors include <u>AutowiredAnnotationBeanPostProcessor</u>, <u>CommonAnnotationBeanPostProcessor</u>, <u>PersistenceAnnotationBeanPostProcessor</u>, as well as the aforementioned <u>RequiredAnnotationBeanPostProcessor</u>.)



#### Note

Note that <context:annotation-config/> only looks for annotations on beans in the same application context it is defined in. This means that, if you put <context:annotation-config/> in a WebApplicationContext for a DispatcherServlet, it only checks for @Autowired beans in your controllers, and not your services. See Section 13.2, "The DispatcherServlet" for more information.

## 3.11.1. @Required

The @Required annotation applies to bean property setter methods, as in the following example:

```
public class SimpleMovieLister {
    private MovieFinder movieFinder;
    @Required
    public void setMovieFinder(MovieFinder movieFinder) {
        this.movieFinder = movieFinder;
    }
    // ...
}
```

This annotation simply indicates that the affected bean property must be populated at configuration time: either through an explicit property value in a bean definition or through autowiring. The container will throw an exception if the affected bean property has not been populated; this allows for eager and explicit failure, avoiding NullPointerExceptions or the like later on. Note that it is still recommended to put assertions into the bean class itself (for example into an init method) in order to enforce those required references and values even when using the class outside of a container.

## 3.11.2. @Autowired

As expected, the @Autowired annotation may be applied to "traditional" setter methods:

```
public class SimpleMovieLister {
    private MovieFinder movieFinder;

@Autowired
    public void setMovieFinder(MovieFinder movieFinder) {
        this.movieFinder = movieFinder;
    }

// ...
}
```

The annotation may also be applied to methods with arbitrary names and/or multiple arguments:

```
public class MovieRecommender {
    private MovieCatalog movieCatalog;
    private CustomerPreferenceDao customerPreferenceDao;
```

```
@Autowired
public void prepare(MovieCatalog movieCatalog, CustomerPreferenceDao customerPreferenceDao) {
    this.movieCatalog = movieCatalog;
    this.customerPreferenceDao = customerPreferenceDao;
}

// ...
}
```

The @Autowired annotation may even be applied on constructors and fields:

```
public class MovieRecommender {
    @Autowired
    private MovieCatalog movieCatalog;

    private CustomerPreferenceDao customerPreferenceDao;

    @Autowired
    public MovieRecommender(CustomerPreferenceDao customerPreferenceDao) {
        this.customerPreferenceDao = customerPreferenceDao;
    }

// ...
}
```

It is also possible to provide *all* beans of a particular type from the ApplicationContext by adding the annotation to a field or method that expects an array of that type:

```
public class MovieRecommender {
    @Autowired
    private MovieCatalog[] movieCatalogs;
    // ...
}
```

The same applies for typed collections:

```
public class MovieRecommender {
    private Set<MovieCatalog> movieCatalogs;

    @Autowired
    public void setMovieCatalogs(Set<MovieCatalog> movieCatalogs) {
        this.movieCatalogs = movieCatalogs;
    }

    // ...
}
```

Even typed Maps may be autowired as long as the expected key type is string. The Map values will contain all beans of the expected type, and the keys will contain the corresponding bean names:

```
public class MovieRecommender {
    private Map<String, MovieCatalog> movieCatalogs;
    @Autowired
    public void setMovieCatalogs(Map<String, MovieCatalog> movieCatalogs) {
        this.movieCatalogs = movieCatalogs;
    }
    // ...
}
```

By default, the autowiring will fail whenever *zero* candidate beans are available; the default behavior is to treat annotated methods, constructors, and fields as indicating *required* dependencies. This behavior can be changed as demonstrated below.

```
public class SimpleMovieLister {
    private MovieFinder movieFinder;
    @Autowired(required=false)
    public void setMovieFinder(MovieFinder movieFinder) {
        this.movieFinder = movieFinder;
    }
    // ...
}
```



## Note

Only *one annotated constructor per-class* may be marked as *required*, but multiple non-required constructors can be annotated. In that case, each will be considered among the candidates and Spring will use the *greediest* constructor whose dependencies can be satisfied.

Prefer the use of <code>@Autowired</code>'s required attribute over the <code>@Required</code> annotation. The required attribute indicates that the property is not required for autowiring purposes, simply skipping it if it cannot be autowired. <code>@Required</code>, on the other hand, is stronger in that it enforces the property to have been set in any of the container's supported ways; if no value has been injected, a corresponding exception will be raised.

@Autowired may also be used for well-known "resolvable dependencies": the BeanFactory interface, the ApplicationContext interface, the ResourceLoader interface, the ApplicationEventPublisher interface and the MessageSource interface. These interfaces (and their extended interfaces such as ConfigurableApplicationContext or ResourcePatternResolver) will be automatically resolved, with no special setup necessary.

```
public class MovieRecommender {
    @Autowired
    private ApplicationContext context;

    public MovieRecommender() {
    }

    // ...
}
```

# 3.11.3. Fine-tuning annotation-based autowiring with qualifiers

Since autowiring by type may lead to multiple candidates, it is often necessary to have more control over the selection process. One way to accomplish this is with Spring's @Qualifier annotation. This allows for associating qualifier values with specific arguments, narrowing the set of type matches so that a specific bean is chosen for each argument. In the simplest case, this can be a plain descriptive value:

```
public class MovieRecommender {
    @Autowired
    @Qualifier("main")
    private MovieCatalog movieCatalog;
    // ...
}
```

The @Qualifier annotation can also be specified on individual constructor arguments or method parameters:

```
public class MovieRecommender {
    private MovieCatalog movieCatalog;
    private CustomerPreferenceDao customerPreferenceDao;

@Autowired
    public void prepare(@Qualifier("main") MovieCatalog movieCatalog, CustomerPreferenceDao customerPreferenceDao this.movieCatalog = movieCatalog;
        this.customerPreferenceDao = customerPreferenceDao;
    }

// ...
}
```

The corresponding bean definitions would look like as follows. The bean with qualifier value "main" would be wired with the constructor argument that has been qualified with the same value.

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"</pre>
   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
   xmlns:context="http://www.springframework.org/schema/context"
    xsi:schemaLocation="
       http://www.springframework.org/schema/beans http://www.springframework.org/schema/beans/spring-beans-2.5
       http://www.springframework.org/schema/context http://www.springframework.org/schema/context/spring-context
    <context:annotation-config/>
    <bean class="example.SimpleMovieCatalog">
        <qualifier value="main"/>
        <!-- inject any dependencies required by this bean -->
    </bean>
    <bean class="example.SimpleMovieCatalog">
        <qualifier value="action"/>
        <!-- inject any dependencies required by this bean -->
    </bean>
    <bean id="movieRecommender" class="example.MovieRecommender"/>
</beans>
```

For a fallback match, the bean name is considered as a default qualifier value. This means that the bean may be defined with an id "main" instead of the nested qualifier element, leading to the same matching result. However, note that while this can be used to refer to specific beans by name, <code>@Autowired</code> is fundamentally about type-driven injection with optional semantic qualifiers. This means that qualifier values, even when using the bean name fallback, always have narrowing semantics within the set of type matches; they do not semantically express a reference to a unique bean id. Good qualifier values would be "main" or "EMEA" or "persistent", expressing characteristics of a specific component - independent from the bean id (which may be auto-generated in case of an anonymous bean definition like the one above).

Qualifiers also apply to typed collections (as discussed above): e.g. to Set<MovieCatalog>. In such a case, all matching beans according to the declared qualifiers are going to be injected as a collection. This implies that qualifiers do not have to be unique; they rather simply constitute filtering criteria. For example, there could be multiple MovieCatalog beans defined with the same qualifier value "action"; all of which would be injected into a Set<MovieCatalog> annotated with @Qualifier("action").



# Tip

If you intend to express annotation-driven injection by name, do not primarily use @Autowired - even if is technically capable of referring to a bean name through @Qualifier values. Instead, prefer the JSR-250 @Resource annotation which is semantically defined to identify a specific target

component by its unique name, with the declared type being irrelevant for the matching process.

As a specific consequence of this semantic difference, beans which are themselves defined as a collection or map type cannot be injected via <code>@Autowired</code> since type matching is not properly applicable to them. Use <code>@Resource</code> for such beans, referring to the specific collection/map bean by unique name.

Note: In contrast to @Autowired which is applicable to fields, constructors and multi-argument methods (allowing for narrowing through qualifier annotations at the parameter level), @Resource is only supported for fields and bean property setter methods with a single argument. As a consequence, stick with qualifiers if your injection target is a constructor or a multi-argument method.

You may create your own custom qualifier annotations as well. Simply define an annotation and provide the <code>@Qualifier</code> annotation within your definition:

```
@Target({ElementType.FIELD, ElementType.PARAMETER})
@Retention(RetentionPolicy.RUNTIME)
@Qualifier
public @interface Genre {
    String value();
}
```

Then you can provide the custom qualifier on autowired fields and parameters:

```
public class MovieRecommender {
    @Autowired
    @Genre("Action")
    private MovieCatalog actionCatalog;

    private MovieCatalog comedyCatalog;

    @Autowired
    public void setComedyCatalog(@Genre("Comedy") MovieCatalog comedyCatalog) {
        this.comedyCatalog = comedyCatalog;
    }

    // ...
}
```

The next step is to provide the information on the candidate bean definitions. You can add <qualifier/> tags as sub-elements of the <bean/> tag and then specify the 'type' and 'value' to match your custom qualifier annotations. The type will be matched against the fully-qualified class name of the annotation, or as a convenience when there is no risk of conflicting names, you may use the 'short' class name. Both are demonstrated in the following example.

In the next section, entitled Section 3.12, "Classpath scanning for managed components", you will see an annotation-based alternative to providing the qualifier metadata in XML. Specifically, see: Section 3.12.6, "Providing qualifier metadata with annotations".

In some cases, it may be sufficient to use an annotation without a value. This may be useful when the annotation serves a more generic purpose and could be applied across several different types of dependencies. For example, you may provide an *offline* catalog that would be searched when no Internet connection is available. First define the simple annotation:

```
@Target({ElementType.FIELD, ElementType.PARAMETER})
@Retention(RetentionPolicy.RUNTIME)
@Qualifier
public @interface Offline {
}
```

Then add the annotation to the field or property to be autowired:

```
public class MovieRecommender {
    @Autowired
    @Offline
    private MovieCatalog offlineCatalog;
    // ...
}
```

Now the bean definition only needs a qualifier 'type':

It is also possible to define custom qualifier annotations that accept named attributes in addition to or instead of the simple 'value' attribute. If multiple attribute values are then specified on a field or parameter to be autowired, a bean definition must match *all* such attribute values to be considered an autowire candidate. As an example, consider the following annotation definition:

```
@Target({ElementType.FIELD, ElementType.PARAMETER})
@Retention(RetentionPolicy.RUNTIME)
@Qualifier
public @interface MovieQualifier {
    String genre();
    Format format();
}
```

In this case Format is an enum:

```
public enum Format {
    VHS, DVD, BLURAY
}
```

The fields to be autowired are annotated with the custom qualifier and include values for both attributes: 'genre' and 'format'.

```
public class MovieRecommender {
    @Autowired
    @MovieQualifier(format=Format.VHS, genre="Action")
    private MovieCatalog actionVhsCatalog;

@Autowired
    @MovieQualifier(format=Format.VHS, genre="Comedy")
    private MovieCatalog comedyVhsCatalog;

@Autowired
    @MovieQualifier(format=Format.DVD, genre="Action")
    private MovieCatalog actionDvdCatalog;

@Autowired
    @MovieQualifier(format=Format.BLURAY, genre="Comedy")
    private MovieCatalog comedyBluRayCatalog;

// ...
}
```

Finally, the bean definitions should contain matching qualifier values. This example also demonstrates that bean *meta* attributes may be used instead of the <qualifier/> sub-elements. If available, the <qualifier/> and its attributes would take precedence, but the autowiring mechanism will fallback on the values provided within the <meta/> tags if no such qualifier is present (see the last 2 bean definitions below).

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"</pre>
   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
   xmlns:context="http://www.springframework.org/schema/context"
   xsi:schemaLocation="
       http://www.springframework.org/schema/beans http://www.springframework.org/schema/beans/spring-beans-2.5
        http://www.springframework.org/schema/context http://www.springframework.org/schema/context/spring-context
   <context:annotation-config/>
    <bean class="example.SimpleMovieCatalog">
        <qualifier type="MovieQualifier">
            <attribute key="format" value="VHS"/>
            <attribute key="genre" value="Action"/>
        <!-- inject any dependencies required by this bean -->
    <bean class="example.SimpleMovieCatalog">
        <qualifier type="MovieQualifier">
            <attribute key="format" value="VHS"/>
            <attribute key="genre" value="Comedy"/>
        </qualifier>
        <!-- inject any dependencies required by this bean -->
    </bean>
    <bean class="example.SimpleMovieCatalog">
        <meta key="format" value="DVD"/>
        <meta key="genre" value="Action"/>
        <!-- inject any dependencies required by this bean -->
    </bean>
    <bean class="example.SimpleMovieCatalog">
        <meta key="format" value="BLURAY"/>
        <meta key="genre" value="Comedy"/>
        <!-- inject any dependencies required by this bean -->
    </bean>
</beans>
```

# 3.11.4. CustomAutowireConfigurer

The <u>CustomAutowireConfigurer</u> is a BeanFactoryPostProcessor that enables further customization of the autowiring process. Specifically, it allows you to register your own custom qualifier annotation types even if they are not themselves annotated with Spring's @Qualifier annotation.

Note that the particular implementation of AutowireCandidateResolver that will be activated for the application context depends upon the Java version. If running on less than Java 5, the qualifier annotations are not supported, and therefore autowire candidates are solely determined by the 'autowire-candidate' value of each bean definition as well as any 'default-autowire-candidates' pattern(s) available on the <br/>beans/> element. If running on Java 5 or greater, the presence of @Qualifier annotations or any custom annotations registered with the CustomAutowireConfigurer will also play a role.

Regardless of the Java version, the determination of a "primary" candidate (when multiple beans qualify as autowire candidates) is the same: if exactly one bean definition among the candidates has a 'primary' attribute set to 'true', it will be selected.

## **3.11.5.** @Resource

Spring also supports injection using the JSR-250 @Resource annotation on fields or bean property setter methods. This is a common pattern found in Java EE 5 and Java 6 (e.g. in JSF 1.2 managed beans or JAX-WS 2.0 endpoints), which Spring supports for Spring-managed objects as well.

@Resource takes a 'name' attribute, and by default Spring will interpret that value as the bean name to be injected. In other words, it follows *by-name* semantics as demonstrated in this example:

```
public class SimpleMovieLister {
    private MovieFinder movieFinder;

    @Resource(name="myMovieFinder")
    public void setMovieFinder(MovieFinder movieFinder) {
        this.movieFinder = movieFinder;
    }
}
```

If no name is specified explicitly, then the default name will be derived from the name of the field or setter method: In case of a field, it will simply be equivalent to the field name; in case of a setter method, it will be equivalent to the bean property name. So the following example is going to have the bean with name "movieFinder" injected into its setter method:

```
public class SimpleMovieLister {
    private MovieFinder movieFinder;

    @Resource
    public void setMovieFinder(MovieFinder movieFinder) {
        this.movieFinder = movieFinder;
    }
}
```



## Note

The name provided with the annotation will be resolved as a bean name by the BeanFactory of which the CommonAnnotationBeanPostProcessor is aware. Note that the names may be resolved via JNDI if Spring's <u>SimpleJndiBeanFactory</u> is configured explicitly. However, it is recommended to rely on the default behavior and simply use Spring's JNDI lookup capabilities to preserve the level of indirection.

Similar to @Autowired, @Resource may fall back to standard bean type matches (i.e. find a primary type match instead of a specific named bean) as well as resolve well-known "resolvable dependencies": the BeanFactory interface, the ApplicationContext interface, the ResourceLoader interface, the ApplicationEventPublisher interface and the MessageSource interface. Note that this only applies to @Resource usage with no explicit name specified!

So the following example will have its <code>customerPreferenceDao</code> field looking for a bean with name "customerPreferenceDao" first, then falling back to a primary type match for the type <code>CustomerPreferenceDao</code>. The "context" field will simply be injected based on the known resolvable dependency type <code>ApplicationContext</code>.

```
public class MovieRecommender {
    @Resource
    private CustomerPreferenceDao customerPreferenceDao;

    @Resource
    private ApplicationContext context;

    public MovieRecommender() {
    }

    // ...
}
```

## 3.11.6. @PostConstruct and @PreDestroy

The CommonAnnotationBeanPostProcessor not only recognizes the @Resource annotation but also the JSR-250 lifecycle annotations. Introduced in Spring 2.5, the support for these annotations offers yet another alternative to those described in the sections on initialization callbacks and destruction callbacks. Provided that the CommonAnnotationBeanPostProcessor is registered within the Spring ApplicationContext, a method carrying one of these annotations will be invoked at the same point in the lifecycle as the corresponding Spring lifecycle interface's method or explicitly declared callback method. In the example below, the cache will be pre-populated upon initialization and cleared upon destruction.



**Note** 

For details regarding the effects of combining various lifecycle mechanisms, see Section 3.5.1.4, "Combining lifecycle mechanisms".

# 3.12. Classpath scanning for managed components

Thus far most of the examples within this chapter have used XML for specifying the configuration metadata that produces each <code>BeanDefinition</code> within the Spring container. The previous section (Section 3.11, "Annotation-based configuration") demonstrated the possibility of providing a considerable amount of the configuration metadata using source-level annotations. Even in those examples however, the "base" bean definitions were explicitly defined in the XML file while the annotations were driving the dependency injection only. The current section introduces an option for implicitly detecting the *candidate components* by scanning the classpath and matching against *filters*.

# 3.12.1. @Component and further stereotype annotations

Beginning with Spring 2.0, the <code>@Repository</code> annotation was introduced as a marker for any class that fulfills the role or *stereotype* of a repository (a.k.a. Data Access Object or DAO). Among the possibilities for leveraging such a marker is the automatic translation of exceptions as described in Section 12.6.4, "Exception Translation".

Spring 2.5 introduces further stereotype annotations: @Component, @Service and @Controller. @Component serves as a generic stereotype for any Spring-managed component; whereas, @Repository, @Service, and @Controller serve as specializations of @Component for more specific use cases (e.g., in the persistence, service, and presentation layers, respectively). What this means is that you can annotate your component classes with @Component, but by annotating them with @Repository, @Service, or @Controller instead, your classes are more properly suited for processing by tools or associating with aspects. For example, these stereotype annotations make ideal targets for pointcuts. Of course, it is also possible that @Repository, @Service, and @Controller may carry additional semantics in future releases of the Spring Framework. Thus, if you are making a decision between using @Component or @Service for your service layer, @Service is clearly the better choice. Similarly, as stated above, @Repository is already supported as a marker for automatic exception translation in your persistence layer.

# 3.12.2. Auto-detecting components

Spring provides the capability of automatically detecting 'stereotyped' classes and registering corresponding BeanDefinitions with the ApplicationContext. For example, the following two classes are eligible for such autodetection:

```
@Service
public class SimpleMovieLister {
    private MovieFinder movieFinder;

    @Autowired
    public SimpleMovieLister(MovieFinder movieFinder) {
        this.movieFinder = movieFinder;
    }
}
```

```
@Repository
public class JpaMovieFinder implements MovieFinder {
    // implementation elided for clarity
}
```

To autodetect these classes and register the corresponding beans requires the inclusion of the following element in XML where 'basePackage' would be a common parent package for the two classes (or alternatively a comma-separated list could be specified that included the parent package of each class).

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xmlns:context="http://www.springframework.org/schema/context"
    xsi:schemaLocation="http://www.springframework.org/schema/beans
        http://www.springframework.org/schema/beans/spring-beans-2.5.xsd
        http://www.springframework.org/schema/context
        http://www.springframework.org/schema/context
        context:component-scan base-package="org.example"/>
```



#### **Note**

Note that the scanning of classpath packages requires the presence of corresponding directory entries in the classpath. When building jars with Ant, make sure to *not* activate the files-only switch of the jar task!

Furthermore, the AutowiredAnnotationBeanPostProcessor and CommonAnnotationBeanPostProcessor are both included implicitly when using the component-scan element. That means that the two components are autodetected *and* wired together - all without any bean configuration metadata provided in XML.



#### Note

The registration of those post-processors can be disabled by including the *annotation-config* attribute with a value of 'false'.

# 3.12.3. Using filters to customize scanning

By default, classes annotated with @Component, @Repository, @Service, or @Controller (or classes annotated with a custom annotation that itself is annotated with @Component) are the only detected candidate components. However it is simple to modify and extend this behavior by applying custom filters. These can be added as either *include-filter* or *exclude-filter* sub-elements of the 'component-scan' element. Each filter element requires the 'type' and 'expression' attributes. Five filtering options exist as described below.

Table 3.7. Filter Types

Filter Type	<b>Example Expression</b>	Description
annotation	org.example.SomeAnnotation	An annotation to be present at the type level in target components.
assignable	org.example.SomeClass	A class (or interface) that the target components are assignable to (extend/implement).
aspectj	org.example*Service+	An AspectJ type expression to be matched by the target components.
regex	org\.example\.Default.*	A regex expression to be matched by the target components' class names.

Filter Type	Example Expression	Description				
custom	org.example.MyCustomTypeFilter	A	custom	implementation	of	the
		org.springframework.core.type.TypeFilter				
		interface.				

Find below an example of the XML configuration for ignoring all @Repository annotations and using "stub" repositories instead.



#### Note

It is also possible to disable the default filters by providing *use-default-filters="false"* as an attribute of the <component-scan/> element. This will in effect disable automatic detection of classes annotated with @Component, @Repository, @Service, or @Controller.

# 3.12.4. Naming autodetected components

When a component is autodetected as part of the scanning process, its bean name will be generated by the BeanNameGenerator strategy known to that scanner. By default, any Spring 'stereotype' annotation (@Component, @Repository, @Service, and @Controller) that contains a name value will thereby provide that name to the corresponding bean definition. If such an annotation contains no name value or for any other detected component (such as those discovered due to custom filters), the default bean name generator will return the uncapitalized non-qualified class name. For example, if the following two components were detected, the names would be 'myMovieLister' and 'movieFinderImpl':

```
@Service("myMovieLister")
public class SimpleMovieLister {
    // ...
}
```

```
@Repository
public class MovieFinderImpl implements MovieFinder {
    // ...
}
```



## Note

If you don't want to rely on the default bean-naming strategy, you may provide a custom bean-naming strategy. First, implement the <a href="BeanNameGenerator">BeanNameGenerator</a> interface, and be sure to include a default no-arg constructor. Then, provide the fully-qualified class name when configuring the scanner:

```
</beans>
```

As a general rule, consider specifying the name with the annotation whenever other components may be making explicit references to it. On the other hand, the auto-generated names are adequate whenever the container is responsible for wiring.

# 3.12.5. Providing a scope for autodetected components

As with Spring-managed components in general, the default and by far most common scope is 'singleton'. However, there are times when other scopes are needed. Therefore Spring 2.5 introduces a new @scope annotation as well. Simply provide the name of the scope within the annotation, such as:

```
@Scope("prototype")
@Repository
public class MovieFinderImpl implements MovieFinder {
    // ...
}
```



#### **Note**

If you would like to provide a custom strategy for scope resolution rather than relying on the annotation-based approach, implement the <u>ScopeMetadataResolver</u> interface, and be sure to include a default no-arg constructor. Then, provide the fully-qualified class name when configuring the scanner:

When using certain non-singleton scopes, it may be necessary to generate proxies for the scoped objects. The reasoning is described in detail within the section entitled Section 3.4.4.5, "Scoped beans as dependencies". For this purpose, a *scoped-proxy* attribute is available on the 'component-scan' element. The three possible values are: 'no', 'interfaces', and 'targetClass'. For example, the following configuration will result in standard JDK dynamic proxies:

# 3.12.6. Providing qualifier metadata with annotations

The <code>@Qualifier</code> annotation was introduced in the section above entitled Section 3.11.3, "Fine-tuning annotation-based autowiring with qualifiers". The examples in that section demonstrated use of the <code>@Qualifier</code> annotation as well as custom qualifier annotations to provide fine-grained control when resolving autowire candidates. Since those examples were based on XML bean definitions, the qualifier metadata was provided on the candidate bean definitions using the 'qualifier' or 'meta' sub-elements of the 'bean' element in the XML. When relying upon classpath scanning for autodetection of components, then the qualifier metadata may be

provided with type-level annotations on the candidate class. The following three examples demonstrate this technique.

```
@Component
@Qualifier("Action")
public class ActionMovieCatalog implements MovieCatalog {
    // ...
}
```

```
@Component
@Genre("Action")
public class ActionMovieCatalog implements MovieCatalog {
    // ...
}
```

```
@Component
@Offline
public class CachingMovieCatalog implements MovieCatalog {
    // ...
}
```



#### Note

As with most of the annotation-based alternatives, keep in mind that the annotation metadata is bound to the class definition itself, while the use of XML allows for multiple beans *of the same type* to provide variations in their qualifier metadata since that metadata is provided per-instance rather than per-class.

# 3.13. Registering a LoadTimeWeaver

The context namespace introduced in Spring 2.5 provides a load-time-weaver element.

Adding this element to an XML-based Spring configuration file activates a Spring LoadTimeWeaver for the ApplicationContext. Any bean within that ApplicationContext may implement LoadTimeWeaverAware thereby receiving a reference to the load-time weaver instance. This is particularly useful in combination with Spring's JPA support where load-time weaving may be necessary for JPA class transformation. Consult the LocalContainerEntityManagerFactoryBean Javadoc for more detail. For more on AspectJ load-time weaving, see Section 6.8.4, "Load-time weaving with AspectJ in the Spring Framework".

# **Chapter 4. Resources**

## 4.1. Introduction

Java's standard java.net.url class and standard handlers for various URL prefixes unfortunately are not quite adequate enough for all access to low-level resources. For example, there is no standardized url implementation that may be used to access a resource that needs to be obtained from the classpath, or relative to a ServletContext. While it is possible to register new handlers for specialized url prefixes (similar to existing handlers for prefixes such as http:), this is generally quite complicated, and the url interface still lacks some desirable functionality, such as a method to check for the existence of the resource being pointed to.

# 4.2. The Resource interface

Spring's Resource interface is meant to be a more capable interface for abstracting access to low-level resources.

```
public interface Resource extends InputStreamSource {
   boolean exists();
   boolean isOpen();

   URL getURL() throws IOException;

   File getFile() throws IOException;

   Resource createRelative(String relativePath) throws IOException;

   String getFilename();

   String getDescription();
}
```

```
public interface InputStreamSource {
         InputStream getInputStream() throws IOException;
}
```

Some of the most important methods from the Resource interface are:

- getInputStream(): locates and opens the resource, returning an InputStream for reading from the resource. It is expected that each invocation returns a fresh InputStream. It is the responsibility of the caller to close the stream.
- exists(): returns a boolean indicating whether this resource actually exists in physical form.
- isOpen(): returns a boolean indicating whether this resource represents a handle with an open stream. If true, the InputStream cannot be read multiple times, and must be read once only and then closed to avoid resource leaks. Will be false for all usual resource implementations, with the exception of InputStreamResource.
- getDescription(): returns a description for this resource, to be used for error output when working with the resource. This is often the fully qualified file name or the actual URL of the resource.

Other methods allow you to obtain an actual URL or File object representing the resource (if the underlying

implementation is compatible, and supports that functionality).

The Resource abstraction is used extensively in Spring itself, as an argument type in many method signatures when a resource is needed. Other methods in some Spring APIs (such as the constructors to various ApplicationContext implementations), take a String which in unadorned or simple form is used to create a Resource appropriate to that context implementation, or via special prefixes on the String path, allow the caller to specify that a specific Resource implementation must be created and used.

While the Resource interface is used a lot with Spring and by Spring, it's actually very useful to use as a general utility class by itself in your own code, for access to resources, even when your code doesn't know or care about any other parts of Spring. While this couples your code to Spring, it really only couples it to this small set of utility classes, which are serving as a more capable replacement for URL, and can be considered equivalent to any other library you would use for this purpose.

It is important to note that the Resource abstraction does not replace functionality: it wraps it where possible. For example, a UrlResource wraps a URL, and uses the wrapped URL to do its work.

# 4.3. Built-in Resource implementations

There are a number of Resource implementations that come supplied straight out of the box in Spring:

#### 4.3.1. UrlResource

The Urlresource wraps a java.net.URL, and may be used to access any object that is normally accessible via a URL, such as files, an HTTP target, an FTP target, etc. All URLs have a standardized string representation, such that appropriate standardized prefixes are used to indicate one URL type from another. This includes file: for accessing filesystem paths, http: for accessing resources via the HTTP protocol, ftp: for accessing resources via FTP, etc.

A Urlresource is created by Java code explicitly using the Urlresource constructor, but will often be created implicitly when you call an API method which takes a String argument which is meant to represent a path. For the latter case, a JavaBeans PropertyEditor will ultimately decide which type of Resource to create. If the path string contains a few well-known (to it, that is) prefixes such as classpath:, it will create an appropriate specialized Resource for that prefix. However, if it doesn't recognize the prefix, it will assume the this is just a standard URL string, and will create a Urlresource.

## 4.3.2. ClassPathResource

This class represents a resource which should be obtained from the classpath. This uses either the thread context class loader, a given class loader, or a given class for loading resources.

This Resource implementation supports resolution as java.io.File if the class path resource resides in the file system, but not for classpath resources which reside in a jar and have not been expanded (by the servlet engine, or whatever the environment is) to the filesystem. To address this the various Resource implementations always support resolution as a java.net.URL.

A ClassPathResource is created by Java code explicitly using the ClassPathResource constructor, but will often be created implicitly when you call an API method which takes a String argument which is meant to represent a path. For the latter case, a JavaBeans PropertyEditor will recognize the special prefix classpath: on the string path, and create a ClassPathResource in that case.

## 4.3.3. FileSystemResource

This is a Resource implementation for java.io.File handles. It obviously supports resolution as a File, and as a URL.

## 4.3.4. ServletContextResource

This is a Resource implementation for ServletContext resources, interpreting relative paths within the relevant web application's root directory.

This always supports stream access and URL access, but only allows <code>java.io.File</code> access when the web application archive is expanded and the resource is physically on the filesystem. Whether or not it's expanded and on the filesystem like this, or accessed directly from the JAR or somewhere else like a DB (it's conceivable) is actually dependent on the Servlet container.

## 4.3.5. InputStreamResource

A Resource implementation for a given InputStream. This should only be used if no specific Resource implementation is applicable. In particular, prefer ByteArrayResource or any of the file-based Resource implementations where possible.

In contrast to other Resource implementations, this is a descriptor for an *already* opened resource - therefore returning true from isopen(). Do not use it if you need to keep the resource descriptor somewhere, or if you need to read a stream multiple times.

# **4.3.6.** ByteArrayResource

This is a Resource implementation for a given byte array. It creates a ByteArrayInputStream for the given byte array.

It's useful for loading content from any given byte array, without having to resort to a single-use InputStreamResource.

# 4.4. The ResourceLoader

The ResourceLoader interface is meant to be implemented by objects that can return (i.e. load) Resource instances.

```
public interface ResourceLoader {
   Resource getResource(String location);
}
```

All application contexts implement the ResourceLoader interface, and therefore all application contexts may be used to obtain Resource instances.

When you call <code>getResource()</code> on a specific application context, and the location path specified doesn't have a specific prefix, you will get back a <code>Resource</code> type that is appropriate to that particular application context. For example, assume the following snippet of code was executed against a <code>ClassPathxmlApplicationContext</code> instance:

```
Resource template = ctx.getResource("some/resource/path/myTemplate.txt);
```

What would be returned would be a ClassPathResource; if the same method was executed against a FileSystemXmlApplicationContext instance, you'd get back a FileSystemResource. For a WebApplicationContext, you'd get back a ServletContextResource, and so on.

As such, you can load resources in a fashion appropriate to the particular application context.

On the other hand, you may also force ClassPathResource to be used, regardless of the application context type, by specifying the special classpath: prefix:

```
Resource template = ctx.getResource("classpath:some/resource/path/myTemplate.txt);
```

Similarly, one can force a UrlResource to be used by specifying any of the standard java.net.URL prefixes:

```
Resource template = ctx.getResource("file:/some/resource/path/myTemplate.txt);
```

```
Resource template = ctx.getResource("http://myhost.com/resource/path/myTemplate.txt);
```

The following table summarizes the strategy for converting Strings to Resources:

**Table 4.1. Resource strings** 

Prefix	Example	Explanation
classpath:	classpath:com/myapp/config.xml	Loaded from the classpath.
file:	file:/data/config.xml	Loaded as a URL, from the filesystem. a
http:	http://myserver/logo.png	Loaded as a url.
(none)	/data/config.xml	Depends on the underlying ApplicationContext.

<sup>&</sup>lt;sup>a</sup>But see also the section entitled Section 4.7.3, "FileSystemResource caveats".

# 4.5. The ResourceLoaderAware interface

The ResourceLoaderAware interface is a special marker interface, identifying objects that expect to be provided with a ResourceLoader reference.

```
public interface ResourceLoaderAware {
    void setResourceLoader(ResourceLoader resourceLoader);
}
```

When a class implements ResourceLoaderAware and is deployed into an application context (as a Spring-managed bean), it is recognized as ResourceLoaderAware by the application context. The application context will then invoke the setResourceLoader(ResourceLoader), supplying itself as the argument (remember, all application contexts in Spring implement the ResourceLoader interface).

Of course, since an ApplicationContext is a ResourceLoader, the bean could also implement the ApplicationContextAware interface and use the supplied application context directly to load resources, but in general, it's better to use the specialized ResourceLoader interface if that's all that's needed. The code would just be coupled to the resource loading interface, which can be considered a utility interface, and not the whole Spring ApplicationContext interface.

As of Spring 2.5, you can rely upon autowiring of the ResourceLoader as an alternative to implementing the ResourceLoaderAware interface. The "traditional" constructor and byType autowiring modes (as described in the section entitled Section 3.3.5, "Autowiring collaborators") are now capable of providing a dependency of type ResourceLoader for either a constructor argument or setter method parameter respectively. For more flexibility (including the ability to autowire fields and multiple parameter methods), consider using the new annotation-based autowiring features. In that case, the ResourceLoader will be autowired into a field, constructor argument, or method parameter that is expecting the ResourceLoader type as long as the field, constructor, or method in question carries the @Autowired annotation. For more information, see the section entitled Section 3.11.2, "@Autowired".

# 4.6. Resources as dependencies

If the bean itself is going to determine and supply the resource path through some sort of dynamic process, it probably makes sense for the bean to use the ResourceLoader interface to load resources. Consider as an example the loading of a template of some sort, where the specific resource that is needed depends on the role of the user. If the resources are static, it makes sense to eliminate the use of the ResourceLoader interface completely, and just have the bean expose the Resource properties it needs, and expect that they will be injected into it.

What makes it trivial to then inject these properties, is that all application contexts register and use a special JavaBeans PropertyEditor which can convert String paths to Resource objects. So if myBean has a template property of type Resource, it can be configured with a simple string for that resource, as follows:

Note that the resource path has no prefix, so because the application context itself is going to be used as the ResourceLoader, the resource itself will be loaded via a ClassPathResource, FileSystemResource, or ServletContextResource (as appropriate) depending on the exact type of the context.

If there is a need to force a specific Resource type to be used, then a prefix may be used. The following two examples show how to force a ClassPathResource and a UrlResource (the latter being used to access a filesystem file).

# 4.7. Application contexts and Resource paths

# 4.7.1. Constructing application contexts

An application context constructor (for a specific application context type) generally takes a string or array of strings as the location path(s) of the resource(s) such as XML files that make up the definition of the context.

When such a location path doesn't have a prefix, the specific Resource type built from that path and used to load the bean definitions, depends on and is appropriate to the specific application context. For example, if you create a ClassPathXmlApplicationContext as follows:

```
ApplicationContext ctx = new ClassPathXmlApplicationContext("conf/appContext.xml");
```

The bean definitions will be loaded from the classpath, as a ClassPathResource will be used. But if you create a FileSystemXmlApplicationContext as follows:

```
ApplicationContext ctx =
   new FileSystemXmlApplicationContext("conf/appContext.xml");
```

The bean definition will be loaded from a filesystem location, in this case relative to the current working directory.

Note that the use of the special classpath prefix or a standard URL prefix on the location path will override the default type of Resource created to load the definition. So this FileSystemXmlApplicationContext...

```
ApplicationContext ctx =
   new FileSystemXmlApplicationContext("classpath:conf/appContext.xml");
```

... will actually load its bean definitions from the classpath. However, it is still a FileSystemXmlApplicationContext. If it is subsequently used as a ResourceLoader, any unprefixed paths will still be treated as filesystem paths.

#### 4.7.1.1. Constructing ClassPathXmlApplicationContext instances - shortcuts

The ClassPathxmlApplicationContext exposes a number of constructors to enable convenient instantiation. The basic idea is that one supplies merely a string array containing just the filenames of the XML files themselves (without the leading path information), and one *also* supplies a Class; the ClassPathXmlApplicationContext will derive the path information from the supplied class.

An example will hopefully make this clear. Consider a directory layout that looks like this:

```
com/
foo/
services.xml
daos.xml
MessengerService.class
```

A ClassPathXmlApplicationContext instance composed of the beans defined in the 'services.xml' and 'daos.xml' could be instantiated like so...

```
ApplicationContext ctx = new ClassPathXmlApplicationContext(
   new String[] {"services.xml", "daos.xml"}, MessengerService.class);
```

Please do consult the Javadocs for the ClassPathXmlApplicationContext class for details of the various constructors.

# 4.7.2. Wildcards in application context constructor resource paths

The resource paths in application context constructor values may be a simple path (as shown above) which has a one-to-one mapping to a target Resource, or alternately may contain the special "classpath\*:" prefix and/or internal Ant-style regular expressions (matched using Spring's PathMatcher utility). Both of the latter are effectively wildcards

One use for this mechanism is when doing component-style application assembly. All components can 'publish' context definition fragments to a well-known location path, and when the final application context is created using the same path prefixed via classpath\*:, all component fragments will be picked up automatically.

Note that this wildcarding is specific to use of resource paths in application context constructors (or when using the PathMatcher utility class hierarchy directly), and is resolved at construction time. It has nothing to do with the Resource type itself. It's not possible to use the classpath\*: prefix to construct an actual Resource, as a resource points to just one resource at a time.

#### 4.7.2.1. Ant-style Patterns

When the path location contains an Ant-style pattern, for example:

```
/WEB-INF/*-context.xml
com/mycompany/**/applicationContext.xml
file:C:/some/path/*-context.xml
classpath:com/mycompany/**/applicationContext.xml
```

... the resolver follows a more complex but defined procedure to try to resolve the wildcard. It produces a Resource for the path up to the last non-wildcard segment and obtains a URL from it. If this URL is not a "jar:" URL or container-specific variant (e.g. "zip:" in WebLogic, "wsjar" in WebSphere, etc.), then a java.io.File is obtained from it and used to resolve the wildcard by traversing the filesystem. In the case of a jar URL, the resolver either gets a java.net.JarurlConnection from it or manually parses the jar URL and then traverses the contents of the jar file to resolve the wildcards.

## 4.7.2.1.1. Implications on portability

If the specified path is already a file URL (either explicitly, or implicitly because the base ResourceLoader is a filesystem one, then wildcarding is guaranteed to work in a completely portable fashion.

If the specified path is a classpath location, then the resolver must obtain the last non-wildcard path segment URL via a Classloader.getResource() call. Since this is just a node of the path (not the file at the end) it is actually undefined (in the ClassLoader Javadocs) exactly what sort of a URL is returned in this case. In practice, it is always a java.io.File representing the directory, where the classpath resource resolves to a filesystem location, or a jar URL of some sort, where the classpath resource resolves to a jar location. Still, there is a portability concern on this operation.

If a jar URL is obtained for the last non-wildcard segment, the resolver must be able to get a java.net.JarurlConnection from it, or manually parse the jar URL, to be able to walk the contents of the jar, and resolve the wildcard. This will work in most environments, but will fail in others, and it is strongly recommended that the wildcard resolution of resources coming from jars be thoroughly tested in your specific environment before you rely on it.

#### 4.7.2.2. The classpath\*: prefix

When constructing an XML-based application context, a location string may use the special classpath\*: prefix:

```
ApplicationContext ctx = new ClassPathXmlApplicationContext("classpath*:conf/appContext.xml");
```

This special prefix specifies that all classpath resources that match the given name must be obtained (internally, this essentially happens via a ClassLoader.getResources(...) call), and then merged to form the final application context definition.



## Classpath\*: portability

The wildcard classpath relies on the getResources() method of the underlying classloader. As most application servers nowadays supply their own classloader implementation, the behavior might differ especially when dealing with jar files. A simple test to check if classpath\* works is to use classloader load a file from within iar a on the getClass().getClassLoader().getResources("<someFileInsideTheJar>"). Try this test with files that have the same name but are placed inside two different locations. In case an inappropriate result is returned, check the application server documentation for settings that might affect the classloader behavior.

The "classpath\*:" prefix can also be combined with a PathMatcher pattern in the rest of the location path, for example "classpath\*:META-INF/\*-beans.xml". In this case, the resolution strategy is fairly simple: a ClassLoader.getResources() call is used on the last non-wildcard path segment to get all the matching resources in the class loader hierarchy, and then off each resource the same PathMatcher resolution strategy described above is used for the wildcard subpath.

## 4.7.2.3. Other notes relating to wildcards

Please note that "classpath\*:" when combined with Ant-style patterns will only work reliably with at least one root directory before the pattern starts, unless the actual target files reside in the file system. This means that a pattern like "classpath\*:\*.xml" will not retrieve files from the root of jar files but rather only from the root of expanded directories. This originates from a limitation in the JDK's classLoader.getResources() method which only returns file system locations for a passed-in empty string (indicating potential roots to search).

Ant-style patterns with "classpath:" resources are not guaranteed to find matching resources if the root package to search is available in multiple class path locations. This is because a resource such as

```
com/mycompany/package1/service-context.xml
```

may be in only one location, but when a path such as

```
classpath:com/mycompany/**/service-context.xml
```

is used to try to resolve it, the resolver will work off the (first) URL returned by <code>getResource("com/mycompany");</code>. If this base package node exists in multiple classloader locations, the actual end resource may not be underneath. Therefore, preferably, use "classpath\*:" with the same Ant-style pattern in such a case, which will search all class path locations that contain the root package.

## 4.7.3. FileSystemResource Caveats

A FileSystemResource that is not attached to a FileSystemApplicationContext (that is, a FileSystemApplicationContext is not the actual ResourceLoader) will treat absolute vs. relative paths as you would expect. Relative paths are relative to the current working directory, while absolute paths are relative to the root of the filesystem.

For backwards compatibility (historical) reasons however, this changes when the FileSystemApplicationContext is the ResourceLoader. The FileSystemApplicationContext simply forces all attached FileSystemResource instances to treat all location paths as relative, whether they start with a leading slash or not. In practice, this means the following are equivalent:

```
ApplicationContext ctx =
   new FileSystemXmlApplicationContext("conf/context.xml");
```

```
ApplicationContext ctx =
  new FileSystemXmlApplicationContext("/conf/context.xml");
```

As are the following: (Even though it would make sense for them to be different, as one case is relative and the other absolute.)

```
FileSystemXmlApplicationContext ctx = ...;
ctx.getResource("some/resource/path/myTemplate.txt");
```

```
FileSystemXmlApplicationContext ctx = ...;
ctx.getResource("/some/resource/path/myTemplate.txt");
```

In practice, if true absolute filesystem paths are needed, it is better to forgo the use of absolute paths with FileSystemResource / FileSystemXmlApplicationContext, and just force the use of a UrlResource, by using the file: URL prefix.

```
// actual context type doesn't matter, the Resource will always be UrlResource ctx.getResource("file:/some/resource/path/myTemplate.txt");
```

```
// force this FileSystemXmlApplicationContext to load its definition via a UrlResource
ApplicationContext ctx =
   new FileSystemXmlApplicationContext("file:/conf/context.xml");
```

# Chapter 5. Validation, Data-binding, the BeanWrapper, and PropertyEditors

## 5.1. Introduction

There are pros and cons for considering validation as business logic, and Spring offers a design for validation (and data binding) that does not exclude either one of them. Specifically validation should not be tied to the web tier, should be easy to localize and it should be possible to plug in any validator available. Considering the above, Spring has come up with a Validator interface that is both basic and eminently usable in every layer of an application.

Data binding is useful for allowing user input to be dynamically bound to the domain model of an application (or whatever objects you use to process user input). Spring provides the so-called DataBinder to do exactly that. The Validator and the DataBinder make up the Validation package, which is primarily used in but not limited to the MVC framework.

The Beanwrapper is a fundamental concept in the Spring Framework and is used in a lot of places. However, you probably will not ever have the need to use the Beanwrapper directly. Because this is reference documentation however, we felt that some explanation might be in order. We're explaining the Beanwrapper in this chapter since if you were going to use it at all, you would probably do so when trying to bind data to objects, which is strongly related to the Beanwrapper.

Spring uses PropertyEditors all over the place. The concept of a PropertyEditor is part of the JavaBeans specification. Just as the BeanWrapper, it's best to explain the use of PropertyEditors in this chapter as well, since it's closely related to the BeanWrapper and the DataBinder.

# 5.2. Validation using Spring's Validator interface

Spring's features a validator interface that you can use to validate objects. The validator interface works using an Errors object so that while validating, validators can report validation failures to the Errors object.

Let's consider a small data object:

```
public class Person {
  private String name;
  private int age;

  // the usual getters and setters...
}
```

We're going to provide validation behavior for the Person class by implementing the following two methods of the org.springframework.validation.Validator interface:

- supports(Class) Can this validator validate instances of the supplied class?
- validate(Object, org.springframework.validation.Errors) validates the given object and in case of validation errors, registers those with the given Errors object

Implementing a validator is fairly straightforward, especially when you know of the validationUtils helper class that the Spring Framework also provides.

```
public class PersonValidator implements Validator {
    /**
    * This Validator Validates just Person instances
    */
    public boolean supports(Class clazz) {
        return Person.class.equals(clazz);
    }

    public void validate(Object obj, Errors e) {
        ValidationUtils.rejectIfEmpty(e, "name", "name.empty");
        Person p = (Person) obj;
        if (p.getAge() < 0) {
              e.rejectValue("age", "negativevalue");
        } else if (p.getAge() > 110) {
              e.rejectValue("age", "too.darn.old");
        }
    }
}
```

As you can see, the static rejectIfEmpty(..) method on the ValidationUtils class is used to reject the 'name' property if it is null or the empty string. Have a look at the Javadoc for the ValidationUtils class to see what functionality it provides besides the example shown previously.

While it is certainly possible to implement a single validator class to validate each of the nested objects in a rich object, it may be better to encapsulate the validation logic for each nested class of object in its own validator implementation. A simple example of a 'rich' object would be a Customer that is composed of two String properties (a first and second name) and a complex Address object. Address objects may be used independently of Customer objects, and so a distinct Addressvalidator has been implemented. If you want your CustomerValidator to reuse the logic contained within the Addressvalidator class without recourse to copy-n-paste you can dependency-inject or instantiate an Addressvalidator within your CustomerValidator, and use it like so:

```
public class CustomerValidator implements Validator {
  private final Validator addressValidator;
  public CustomerValidator(Validator addressValidator) {
      if (addressValidator == null) {
          throw new IllegalArgumentException("The supplied [Validator] is required and must not be null.");
      if (!addressValidator.supports(Address.class)) {
          throw new IllegalArgumentException(
            "The supplied [Validator] must support the validation of [Address] instances.");
      this.addressValidator = addressValidator;
    * This Validator validates Customer instances, and any subclasses of Customer too
   public boolean supports(Class clazz) {
      return Customer.class.isAssignableFrom(clazz);
  public void validate(Object target, Errors errors) {
      ValidationUtils.rejectIfEmptyOrWhitespace(errors, "firstName", "field.required");
      ValidationUtils.rejectIfEmptyOrWhitespace(errors, "surname", "field.required");
      Customer customer = (Customer) target;
          errors.pushNestedPath("address");
          ValidationUtils.invokeValidator(this.addressValidator, customer.getAddress(), errors);
      } finally {
          errors.popNestedPath();
   }
}
```

Validation errors are reported to the Errors object passed to the validator. In case of Spring Web MVC you can use <spring:bind/> tag to inspect the error messages, but of course you can also inspect the errors object yourself. More information about the methods it offers can be found from the Javadoc.

# 5.3. Resolving codes to error messages

We've talked about databinding and validation. Outputting messages corresponding to validation errors is the last thing we need to discuss. In the example we've shown above, we rejected the name and the age field. If we're going to output the error messages by using a MessageSource, we will do so using the error code we've given when rejecting the field ('name' and 'age' in this case). When you call (either directly, or indirectly, using for example the ValidationUtils class) rejectValue or one of the other reject methods from the Errors interface, the underlying implementation will not only register the code you've passed in, but also a number of additional error codes. What error codes it registers is determined by the MessageCodesResolver that is used. By default, the DefaultMessageCodesResolver is used, which for example not only registers a message with the code you gave, but also messages that include the field name you passed to the reject method. So in case you reject a field using rejectValue("age", "too.darn.old"), apart from the too.darn.old code, Spring will also register too.darn.old.age and too.darn.old.age.int (so the first will include the field name and the second will include the type of the field); this is done as a convenience to aid developers in targeting error messages and suchlike.

More information on the MessageCodesResolver and the default strategy can be found online with the Javadocs for MessageCodesResolver and DefaultMessageCodesResolver respectively.

# 5.4. Bean manipulation and the Beanwrapper

The org.springframework.beans package adheres to the JavaBeans standard provided by Sun. A JavaBean is simply a class with a default no-argument constructor, which follows a naming convention where (by way of an example) a property named bingoMadness would have a setter method setBingoMadness(..) and a getter method getBingoMadness(). For more information about JavaBeans and the specification, please refer to Sun's website (java.sun.com/products/javabeans).

One quite important class in the beans package is the Beanwrapper interface and its corresponding implementation (BeanwrapperImpl). As quoted from the Javadoc, the Beanwrapper offers functionality to set and get property values (individually or in bulk), get property descriptors, and to query properties to determine if they are readable or writable. Also, the Beanwrapper offers support for nested properties, enabling the setting of properties on sub-properties to an unlimited depth. Then, the Beanwrapper supports the ability to add standard JavaBeans PropertyChangeListeners and VetoableChangeListeners, without the need for supporting code in the target class. Last but not least, the Beanwrapper provides support for the setting of indexed properties. The Beanwrapper usually isn't used by application code directly, but by the DataBinder and the BeanFactory.

The way the Beanwrapper works is partly indicated by its name: *it wraps a bean* to perform actions on that bean, like setting and retrieving properties.

# 5.4.1. Setting and getting basic and nested properties

Setting and getting properties is done using the setPropertyValue(s) and getPropertyValue(s) methods that both come with a couple of overloaded variants. They're all described in more detail in the Javadoc Spring comes with. What's important to know is that there are a couple of conventions for indicating properties of an object. A couple of examples:

Table 5.1. Examples of properties

Expression	Explanation
name	Indicates the property name corresponding to the methods getName() or isName() and setName()
account.name	Indicates the nested property name of the property account corresponding e.g. to the methods getAccount().setName() or getAccount().getName()
account[2]	Indicates the <i>third</i> element of the indexed property account. Indexed properties can be of type array, list or other <i>naturally ordered</i> collection
account[COMPANYNAME]	Indicates the value of the map entry indexed by the key <i>COMPANYNAME</i> of the Map property account

Below you'll find some examples of working with the Beanwrapper to get and set properties.

(This next section is not vitally important to you if you're not planning to work with the Beanwrapper directly. If you're just using the DataBinder and the BeanFactory and their out-of-the-box implementation, you should skip ahead to the section about PropertyEditors.)

#### Consider the following two classes:

```
public class Company {
    private String name;
    private Employee managingDirector;

public String getName() {
        return this.name;
    }

public void setName(String name) {
        this.name = name;
    }

public Employee getManagingDirector() {
        return this.managingDirector;
    }

public void setManagingDirector(Employee managingDirector) {
        this.managingDirector = managingDirector;
    }
}
```

```
public class Employee {
    private String name;
    private float salary;

    public String getName() {
        return this.name;
    }
    public void setName(String name) {
        this.name = name;
    }
    public float getSalary() {
        return salary;
    }
    public void setSalary(float salary) {
        this.salary = salary;
    }
}
```

The following code snippets show some examples of how to retrieve and manipulate some of the properties of instantiated Companies and Employees:

```
BeanWrapper company = BeanWrapperImpl(new Company());
```

```
// setting the company name..
company.setPropertyValue("name", "Some Company Inc.");
// ... can also be done like this:
PropertyValue value = new PropertyValue("name", "Some Company Inc.");
company.setPropertyValue(value);

// ok, let's create the director and tie it to the company:
BeanWrapper jim = BeanWrapperImpl(new Employee());
jim.setPropertyValue("name", "Jim Stravinsky");
company.setPropertyValue("managingDirector", jim.getWrappedInstance());

// retrieving the salary of the managingDirector through the company
Float salary = (Float) company.getPropertyValue("managingDirector.salary");
```

# 5.4.2. Built-in PropertyEditor implementations

Spring heavily uses the concept of PropertyEditors to effect the conversion between an Object and a String. If you think about it, it sometimes might be handy to be able to represent properties in a different way than the object itself. For example, a Date can be represented in a human readable way (as the String '2007-14-09'), while we're still able to convert the human readable form back to the original date (or even better: convert any date entered in a human readable form, back to Date objects). This behavior can be achieved by *registering custom editors*, of type java.beans.PropertyEditor. Registering custom editors on a BeanWrapper or alternately in a specific IoC container as mentioned in the previous chapter, gives it the knowledge of how to convert properties to the desired type. Read more about PropertyEditors in the Javadoc of the java.beans package provided by Sun.

A couple of examples where property editing is used in Spring:

- setting properties on beans is done using PropertyEditors. When mentioning java.lang.String as the value of a property of some bean you're declaring in XML file, Spring will (if the setter of the corresponding property has a Class-parameter) use the ClassEditor to try to resolve the parameter to a Class object.
- parsing HTTP request parameters in Spring's MVC framework is done using all kinds of PropertyEditors that you can manually bind in all subclasses of the CommandController.

Spring has a number of built-in PropertyEditors to make life easy. Each of those is listed below and they are all located in the org.springframework.beans.propertyeditors package. Most, but not all (as indicated below), are registered by default by BeanWrapperImpl. Where the property editor is configurable in some fashion, you can of course still register your own variant to override the default one:

Table 5.2. Built-in PropertyEditors

Class	Explanation
ByteArrayPropertyEditor	Editor for byte arrays. Strings will simply be converted to their corresponding byte representations. Registered by default by BeanWrapperImpl.
ClassEditor	Parses Strings representing classes to actual classes and the other way around. When a class is not found, an IllegalArgumentException is thrown. Registered by default by BeanWrapperImpl.
CustomBooleanEditor	Customizable property editor for Boolean properties. Registered by default by BeanWrapperImpl, but, can be overridden by registering custom instance of it as custom editor.
CustomCollectionEditor	Property editor for Collections, converting any source Collection

Class	Explanation	
	to a given target Collection type.	
CustomDateEditor	Customizable property editor for java.util.Date, supporting a custom DateFormat. NOT registered by default. Must be user registered as needed with appropriate format.	
CustomNumberEditor	Customizable property editor for any Number subclass like Integer, Long, Float, Double. Registered by default by BeanWrapperImpl, but can be overridden by registering custom instance of it as a custom editor.	
FileEditor	Capable of resolving Strings to java.io.File objects. Registered by default by BeanWrapperImpl.	
InputStreamEditor	One-way property editor, capable of taking a text string and producing (via an intermediate ResourceEditor and Resource) an InputStream, so InputStream properties may be directly set as Strings. Note that the default usage will not close the InputStream for you! Registered by default by BeanWrapperImpl.	
LocaleEditor	Capable of resolving Strings to Locale objects and vice versa (the String format is [language]_[country]_[variant], which is the same thing the toString() method of Locale provides). Registered by default by BeanWrapperImpl.	
PatternEditor	Capable of resolving Strings to JDK 1.5 Pattern objects and vice versa.	
PropertiesEditor	Capable of converting Strings (formatted using the format as defined in the Javadoc for the java.lang.Properties class) to Properties objects. Registered by default by BeanWrapperImpl.	
StringTrimmerEditor	Property editor that trims Strings. Optionally allows transforming an empty string into a null value. NOT registered by default; must be user registered as needed.	
URLEditor	Capable of resolving a String representation of a URL to an actual URL object. Registered by default by BeanWrapperImpl.	

Spring uses the java.beans.PropertyEditorManager to set the search path for property editors that might be needed. The search path also includes sun.bean.editors, which includes PropertyEditor implementations for types such as Font, Color, and most of the primitive types. Note also that the standard JavaBeans infrastructure will automatically discover PropertyEditor classes (without you having to register them explicitly) if they are in the same package as the class they handle, and have the same name as that class, with 'Editor' appended; for example, one could have the following class and package structure, which would be sufficient for the FooEditor class to be recognized and used as the PropertyEditor for Foo-typed properties.

```
com
chank
pop
Foo
FooEditor // the PropertyEditor for the Foo class
```

Note that you can also use the standard BeanInfo JavaBeans mechanism here as well (described in not-amazing-detail here). Find below an example of using the BeanInfo mechanism for explicitly registering

one or more PropertyEditor instances with the properties of an associated class.

```
com
chank
pop
Foo
FooBeanInfo // the BeanInfo for the Foo class
```

Here is the Java source code for the referenced FooBeanInfo class. This would associate a CustomNumberEditor with the age property of the Foo class.

## 5.4.2.1. Registering additional custom PropertyEditors

When setting bean properties as a string value, a Spring IoC container ultimately uses standard JavaBeans PropertyEditors to convert these Strings to the complex type of the property. Spring pre-registers a number of custom PropertyEditors (for example, to convert a classname expressed as a string into a real class object). Additionally, Java's standard JavaBeans PropertyEditor lookup mechanism allows a PropertyEditor for a class simply to be named appropriately and placed in the same package as the class it provides support for, to be found automatically.

If there is a need to register other custom PropertyEditors, there are several mechanisms available. The most manual approach, which is not normally convenient or recommended, is to simply use the registerCustomEditor() method of the ConfigurableBeanFactory interface, assuming you have a BeanFactory reference. Another, slightly more convenient, mechanism is to use a special bean factory post-processor called CustomEditorConfigurer. Although bean factory post-processors can be used with BeanFactory implementations, the CustomEditorConfigurer has a nested property setup, so it is strongly recommended that it is used with the ApplicationContext, where it may be deployed in similar fashion to any other bean, and automatically detected and applied.

Note that all bean factories and application contexts automatically use a number of built-in property editors, through their use of something called a Beanwrapper to handle property conversions. The standard property editors that the Beanwrapper registers are listed in the previous section. Additionally, ApplicationContexts also override or add an additional number of editors to handle resource lookups in a manner appropriate to the specific application context type.

Standard JavaBeans PropertyEditor instances are used to convert property values expressed as strings to the actual complex type of the property. CustomEditorConfigurer, a bean factory post-processor, may be used to conveniently add support for additional PropertyEditor instances to an ApplicationContext.

Consider a user class ExoticType, and another class DependsOnExoticType which needs ExoticType set as a property:

```
package example;
public class ExoticType {
    private String name;
    public ExoticType(String name) {
        this.name = name;
    }
}

public class DependsOnExoticType {
    private ExoticType type;
    public void setType(ExoticType type) {
        this.type = type;
    }
}
```

When things are properly set up, we want to be able to assign the type property as a string, which a PropertyEditor will behind the scenes convert into an actual ExoticType instance:

The PropertyEditor implementation could look similar to this:

```
// converts string representation to ExoticType object
package example;

public class ExoticTypeEditor extends PropertyEditorSupport {
    private String format;

    public void setFormat(String format) {
        this.format = format;
    }

    public void setAsText(String text) {
        if (format != null && format.equals("upperCase")) {
            text = text.toUpperCase();
        }
        ExoticType type = new ExoticType(text);
        setValue(type);
    }
}
```

Finally, we use CustomEditorConfigurer to register the new PropertyEditor with the ApplicationContext, which will then be able to use it as needed:

# 5.4.2.1.1. Using PropertyEditorRegistrars

Another mechanism for registering property editors with the Spring container is to create and use a

PropertyEditorRegistrar. This interface is particularly useful when you need to use the same set of property editors in several different situations: write a corresponding registrar and reuse that in each case. PropertyEditorRegistrars work in conjunction with an interface called PropertyEditorRegistry, an interface that is implemented by the Spring BeanWrapper (and DataBinder). PropertyEditorRegistrars are particularly convenient when used in conjunction with the CustomEditorConfigurer (introduced here), which exposes a property called setPropertyEditorRegistrars(..): PropertyEditorRegistrars added to a CustomEditorConfigurer in this fashion can easily be shared with DataBinder and Spring MVC Controllers. Furthermore, it avoids the need for synchronization on custom editors: a PropertyEditorRegistrar is expected to create fresh PropertyEditor instances for each bean creation attempt.

Using a PropertyEditorRegistrar is perhaps best illustrated with an example. First off, you need to create your own PropertyEditorRegistrar implementation:

```
package com.foo.editors.spring;
public final class CustomPropertyEditorRegistrar implements PropertyEditorRegistrar {
   public void registerCustomEditors(PropertyEditorRegistry registry) {
        // it is expected that new PropertyEditor instances are created registry.registerCustomEditor(ExoticType.class, new ExoticTypeEditor());
        // you could register as many custom property editors as are required here...
}
}
```

See also the org.springframework.beans.support.ResourceEditorRegistrar for an example PropertyEditorRegistrar implementation. Notice how in its implementation of the registerCustomEditors(..) method it creates new instances of each property editor.

Next we configure a CustomEditorConfigurer and inject an instance of our CustomPropertyEditorRegistrar into it:

Finally, and in a bit of a departure from the focus of this chapter, for those of you using <u>Spring's MVC</u> web <u>framework</u>, using <u>PropertyEditorRegistrars</u> in conjunction with data-binding Controllers (such as <u>SimpleFormController</u>) can be very convenient. Find below an example of using a <u>PropertyEditorRegistrar</u> in the implementation of an initBinder(...) method:

```
public final class RegisterUserController extends SimpleFormController {
    private final PropertyEditorRegistrar customPropertyEditorRegistrar;
    public RegisterUserController(PropertyEditorRegistrar propertyEditorRegistrar) {
        this.customPropertyEditorRegistrar = propertyEditorRegistrar;
    }
    protected void initBinder(HttpServletRequest request, ServletRequestDataBinder binder) throws Exception {
        this.customPropertyEditorRegistrar.registerCustomEditors(binder);
    }
    // other methods to do with registering a User
}
```

This style of PropertyEditor registration can lead to concise code (the implementation of initBinder(...) is just one line long!), and allows common PropertyEditor registration code to be encapsulated in a class and then shared amongst as many Controllers as needed.

# **Chapter 6. Aspect Oriented Programming with Spring**

## 6.1. Introduction

Aspect-Oriented Programming (AOP) complements Object-Oriented Programming (OOP) by providing another way of thinking about program structure. The key unit of modularity in OOP is the class, whereas in AOP the unit of modularity is the *aspect*. Aspects enable the modularization of concerns such as transaction management that cut across multiple types and objects. (Such concerns are often termed *crosscutting* concerns in AOP literature.)

One of the key components of Spring is the *AOP framework*. While the Spring IoC container does not depend on AOP, meaning you do not need to use AOP if you don't want to, AOP complements Spring IoC to provide a very capable middleware solution.

## Spring 2.0 AOP

Spring 2.0 introduces a simpler and more powerful way of writing custom aspects using either a schema-based approach or the @AspectJ annotation style. Both of these styles offer fully typed advice and use of the AspectJ pointcut language, while still using Spring AOP for weaving.

The Spring 2.0 schema- and @AspectJ-based AOP support is discussed in this chapter. Spring 2.0 AOP remains fully backwards compatible with Spring 1.2 AOP, and the lower-level AOP support offered by the Spring 1.2 APIs is discussed in the following chapter.

AOP is used in the Spring Framework to...

- ... provide declarative enterprise services, especially as a replacement for EJB declarative services. The most important such service is *declarative transaction management*.
- ... allow users to implement custom aspects, complementing their use of OOP with AOP.

If you are interested only in generic declarative services or other pre-packaged declarative middleware services such as pooling, you do not need to work directly with Spring AOP, and can skip most of this chapter.

# 6.1.1. AOP concepts

Let us begin by defining some central AOP concepts and terminology. These terms are not Spring-specific... unfortunately, AOP terminology is not particularly intuitive; however, it would be even more confusing if Spring used its own terminology.

- Aspect: a modularization of a concern that cuts across multiple classes. Transaction management is a good example of a crosscutting concern in J2EE applications. In Spring AOP, aspects are implemented using regular classes (the <a href="schema-based approach">schema-based approach</a>) or regular classes annotated with the <a href="mailto:aspect">aspect</a> annotation (the <a href="mailto:aspect">aspect</a>J style).
- *Join point*: a point during the execution of a program, such as the execution of a method or the handling of an exception. In Spring AOP, a join point *always* represents a method execution.

- *Advice*: action taken by an aspect at a particular join point. Different types of advice include "around," "before" and "after" advice. (Advice types are discussed below.) Many AOP frameworks, including Spring, model an advice as an *interceptor*, maintaining a chain of interceptors *around* the join point.
- *Pointcut*: a predicate that matches join points. Advice is associated with a pointcut expression and runs at any join point matched by the pointcut (for example, the execution of a method with a certain name). The concept of join points as matched by pointcut expressions is central to AOP, and Spring uses the AspectJ pointcut expression language by default.
- *Introduction*: declaring additional methods or fields on behalf of a type. Spring AOP allows you to introduce new interfaces (and a corresponding implementation) to any advised object. For example, you could use an introduction to make a bean implement an IsModified interface, to simplify caching. (An introduction is known as an inter-type declaration in the AspectJ community.)
- *Target object*: object being advised by one or more aspects. Also referred to as the *advised* object. Since Spring AOP is implemented using runtime proxies, this object will always be a *proxied* object.
- *AOP proxy*: an object created by the AOP framework in order to implement the aspect contracts (advise method executions and so on). In the Spring Framework, an AOP proxy will be a JDK dynamic proxy or a CGLIB proxy.
- Weaving: linking aspects with other application types or objects to create an advised object. This can be done at compile time (using the AspectJ compiler, for example), load time, or at runtime. Spring AOP, like other pure Java AOP frameworks, performs weaving at runtime.

## Types of advice:

- *Before advice*: Advice that executes before a join point, but which does not have the ability to prevent execution flow proceeding to the join point (unless it throws an exception).
- After returning advice: Advice to be executed after a join point completes normally: for example, if a method returns without throwing an exception.
- After throwing advice: Advice to be executed if a method exits by throwing an exception.
- After (finally) advice: Advice to be executed regardless of the means by which a join point exits (normal or exceptional return).
- Around advice: Advice that surrounds a join point such as a method invocation. This is the most powerful kind of advice. Around advice can perform custom behavior before and after the method invocation. It is also responsible for choosing whether to proceed to the join point or to shortcut the advised method execution by returning its own return value or throwing an exception.

Around advice is the most general kind of advice. Since Spring AOP, like AspectJ, provides a full range of advice types, we recommend that you use the least powerful advice type that can implement the required behavior. For example, if you need only to update a cache with the return value of a method, you are better off implementing an after returning advice than an around advice, although an around advice can accomplish the same thing. Using the most specific advice type provides a simpler programming model with less potential for errors. For example, you do not need to invoke the proceed() method on the JoinPoint used for around advice, and hence cannot fail to invoke it.

In Spring 2.0, all advice parameters are statically typed, so that you work with advice parameters of the appropriate type (the type of the return value from a method execution for example) rather than Object arrays.

The concept of join points, matched by pointcuts, is the key to AOP which distinguishes it from older technologies offering only interception. Pointcuts enable advice to be targeted independently of the Object-Oriented hierarchy. For example, an around advice providing declarative transaction management can be applied to a set of methods spanning multiple objects (such as all business operations in the service layer).

# 6.1.2. Spring AOP capabilities and goals

Spring AOP is implemented in pure Java. There is no need for a special compilation process. Spring AOP does not need to control the class loader hierarchy, and is thus suitable for use in a J2EE web container or application server.

Spring AOP currently supports only method execution join points (advising the execution of methods on Spring beans). Field interception is not implemented, although support for field interception could be added without breaking the core Spring AOP APIs. If you need to advise field access and update join points, consider a language such as AspectJ.

Spring AOP's approach to AOP differs from that of most other AOP frameworks. The aim is not to provide the most complete AOP implementation (although Spring AOP is quite capable); it is rather to provide a close integration between AOP implementation and Spring IoC to help solve common problems in enterprise applications.

Thus, for example, the Spring Framework's AOP functionality is normally used in conjunction with the Spring IoC container. Aspects are configured using normal bean definition syntax (although this allows powerful "autoproxying" capabilities): this is a crucial difference from other AOP implementations. There are some things you cannot do easily or efficiently with Spring AOP, such as advise very fine-grained objects (such as domain objects typically): AspectJ is the best choice in such cases. However, our experience is that Spring AOP provides an excellent solution to most problems in J2EE applications that are amenable to AOP.

Spring AOP will never strive to compete with AspectJ to provide a comprehensive AOP solution. We believe that both proxy-based frameworks like Spring AOP and full-blown frameworks such as AspectJ are valuable, and that they are complementary, rather than in competition. Spring 2.0 seamlessly integrates Spring AOP and IoC with AspectJ, to enable all uses of AOP to be catered for within a consistent Spring-based application architecture. This integration does not affect the Spring AOP API or the AOP Alliance API: Spring AOP remains backward-compatible. See the following chapter for a discussion of the Spring AOP APIs.



## Note

One of the central tenets of the Spring Framework is that of *non-invasiveness*; this is the idea that you should not be forced to introduce framework-specific classes and interfaces into your business/domain model. However, in some places the Spring Framework does give you the option to introduce Spring Framework-specific dependencies into your codebase: the rationale in giving you such options is because in certain scenarios it might be just plain easier to read or code some specific piece of functionality in such a way. The Spring Framework (almost) always offers you the choice though: you have the freedom to make an informed decision as to which option best suits your particular use case or scenario.

One such choice that is relevant to this chapter is that of which AOP framework (and which AOP style) to choose. You have the choice of AspectJ and/or Spring AOP, and you also have the choice of either the @AspectJ annotation-style approach or the Spring XML configuration-style approach. The fact that this chapter chooses to introduce the @AspectJ-style approach first should not be taken as an indication that the Spring team favors the @AspectJ annotation-style approach over the Spring XML configuration-style.

See the section entitled Section 6.4, "Choosing which AOP declaration style to use" for a fuller discussion of the whys and wherefores of each style.

## 6.1.3. AOP Proxies

Spring AOP defaults to using standard J2SE *dynamic proxies* for AOP proxies. This enables any interface (or set of interfaces) to be proxied.

Spring AOP can also use CGLIB proxies. This is necessary to proxy classes, rather than interfaces. CGLIB is used by default if a business object does not implement an interface. As it is good practice to program to interfaces rather than classes, business classes normally will implement one or more business interfaces. It is possible to <u>force the use of CGLIB</u>, in those (hopefully rare) cases where you need to advise a method that is not declared on an interface, or where you need to pass a proxied object to a method as a concrete type.

It is important to grasp the fact that Spring AOP is *proxy-based*. See the section entitled Section 6.6.1, "Understanding AOP proxies" for a thorough examination of exactly what this implementation detail actually means.

# 6.2. @AspectJ support

@AspectJ refers to a style of declaring aspects as regular Java classes annotated with Java 5 annotations. The @AspectJ style was introduced by the <u>AspectJ project</u> as part of the AspectJ 5 release. Spring 2.0 interprets the same annotations as AspectJ 5, using a library supplied by AspectJ for pointcut parsing and matching. The AOP runtime is still pure Spring AOP though, and there is no dependency on the AspectJ compiler or weaver.

Using the AspectJ compiler and weaver enables use of the full AspectJ language, and is discussed in Section 6.8, "Using AspectJ with Spring applications".

# 6.2.1. Enabling @AspectJ Support

To use @AspectJ aspects in a Spring configuration you need to enable Spring support for configuring Spring AOP based on @AspectJ aspects, and *autoproxying* beans based on whether or not they are advised by those aspects. By autoproxying we mean that if Spring determines that a bean is advised by one or more aspects, it will automatically generate a proxy for that bean to intercept method invocations and ensure that advice is executed as needed.

The @AspectJ support is enabled by including the following element inside your spring configuration:

```
<aop:aspectj-autoproxy/>
```

This assumes that you are using schema support as described in Appendix A, *XML Schema-based configuration*. See Section A.2.7, "The aop schema" for how to import the tags in the aop namespace.

If you are using the DTD, it is still possible to enable @AspectJ support by adding the following definition to your application context:

```
<bean class="org.springframework.aop.aspectj.annotation.AnnotationAwareAspectJAutoProxyCreator" />
```

You will also need two AspectJ libraries on the classpath of your application: aspectjweaver.jar and

aspectjrt.jar. These libraries are available in the 'lib' directory of an AspectJ installation (version 1.5.1 or later required), or in the 'lib/aspectj' directory of the Spring-with-dependencies distribution.

# 6.2.2. Declaring an aspect

With the @AspectJ support enabled, any bean defined in your application context with a class that is an @AspectJ aspect (has the @Aspect annotation) will be automatically detected by Spring and used to configure Spring AOP. The following example shows the minimal definition required for a not-very-useful aspect:

A regular bean definition in the application context, pointing to a bean class that has the @Aspect annotation:

```
<bean id="myAspect" class="org.xyz.NotVeryUsefulAspect">
    <!-- configure properties of aspect here as normal -->
  </bean>
```

And the NotVeryUsefulAspect class definition, annotated with org.aspectj.lang.annotation.Aspect annotation;

```
package org.xyz;
import org.aspectj.lang.annotation.Aspect;

@Aspect
public class NotVeryUsefulAspect {
}
```

Aspects (classes annotated with @Aspect) may have methods and fields just like any other class. They may also contain pointcut, advice, and introduction (inter-type) declarations.



## Advising aspects

In Spring AOP, it is *not* possible to have aspects themselves be the target of advice from other aspects. The @Aspect annotation on a class marks it as an aspect, and hence excludes it from auto-proxying.

# 6.2.3. Declaring a pointcut

Recall that pointcuts determine join points of interest, and thus enable us to control when advice executes. Spring AOP only supports method execution join points for Spring beans, so you can think of a pointcut as matching the execution of methods on Spring beans. A pointcut declaration has two parts: a signature comprising a name and any parameters, and a pointcut expression that determines exactly which method executions we are interested in. In the @AspectJ annotation-style of AOP, a pointcut signature is provided by a regular method definition, and the pointcut expression is indicated using the @Pointcut annotation (the method serving as the pointcut signature must have a void return type).

An example will help make this distinction between a pointcut signature and a pointcut expression clear. The following example defines a pointcut named 'anyOldTransfer' that will match the execution of any method named 'transfer':

```
@Pointcut("execution(* transfer(..))")// the pointcut expression
private void anyOldTransfer() {}// the pointcut signature
```

The pointcut expression that forms the value of the @Pointcut annotation is a regular AspectJ 5 pointcut expression. For a full discussion of AspectJ's pointcut language, see the AspectJ Programming Guide (and for

Java 5 based extensions, the <u>AspectJ 5 Developers Notebook</u>) or one of the books on AspectJ such as "Eclipse AspectJ" by Colyer et. al. or "AspectJ in Action" by Ramnivas Laddad.

## 6.2.3.1. Supported Pointcut Designators

Spring AOP supports the following AspectJ pointcut designators (PCD) for use in pointcut expressions:

#### Other pointcut types

The full AspectJ pointcut language supports additional pointcut designators that are not supported in Spring. These are: call, get, set, preinitialization, staticinitialization, initialization, handler, adviceexecution, withincode, cflow, cflowbelow, if, @this, and @withincode. Use of these pointcut designators in pointcut expressions interpreted by Spring AOP will result in an IllegalArgumentException being thrown.

The set of pointcut designators supported by Spring AOP may be extended in future releases both to support more of the AspectJ pointcut designators.

- *execution* for matching method execution join points, this is the primary pointcut designator you will use when working with Spring AOP
- *within* limits matching to join points within certain types (simply the execution of a method declared within a matching type when using Spring AOP)
- *this* limits matching to join points (the execution of methods when using Spring AOP) where the bean reference (Spring AOP proxy) is an instance of the given type
- *target* limits matching to join points (the execution of methods when using Spring AOP) where the target object (application object being proxied) is an instance of the given type
- args limits matching to join points (the execution of methods when using Spring AOP) where the arguments are instances of the given types
- @target limits matching to join points (the execution of methods when using Spring AOP) where the class of the executing object has an annotation of the given type
- @args limits matching to join points (the execution of methods when using Spring AOP) where the runtime type of the actual arguments passed have annotations of the given type(s)
- @within limits matching to join points within types that have the given annotation (the execution of methods declared in types with the given annotation when using Spring AOP)
- @annotation limits matching to join points where the subject of the join point (method being executed in Spring AOP) has the given annotation

Because Spring AOP limits matching to only method execution join points, the discussion of the pointcut designators above gives a narrower definition than you will find in the AspectJ programming guide. In addition, AspectJ itself has type-based semantics and at an execution join point both 'this' and 'target' refer to the same object - the object executing the method. Spring AOP is a proxy-based system and differentiates between the proxy object itself (bound to 'this') and the target object behind the proxy (bound to 'target').



Note

Due to the proxy-based nature of Spring's AOP framework, protected methods are by definition *not* intercepted, neither for JDK proxies (where this isn't applicable) nor for CGLIB proxies (where this is technically possible but not recommendable for AOP purposes). As a consequence, any given pointcut will be matched against *public methods only*!

If your interception needs include protected/private methods or even constructors, consider the use of Spring-driven <u>native AspectJ weaving</u> instead of Spring's proxy-based AOP framework. This constitutes a different mode of AOP usage with different characteristics, so be sure to make yourself familiar with weaving first before making a decision.

Spring AOP also supports an additional PCD named 'bean'. This PCD allows you to limit the matching of join points to a particular named Spring bean, or to a set of named Spring beans (when using wildcards). The 'bean' PCD has the following form:

```
bean(idOrNameOfBean)
```

The 'idorNameOfBean' token can be the name of any Spring bean: limited wildcard support using the '\*' character is provided, so if you establish some naming conventions for your Spring beans you can quite easily write a 'bean' PCD expression to pick them out. As is the case with other pointcut designators, the 'bean' PCD can be &&'ed, ||'ed, and ! (negated) too.



## Note

Please note that the 'bean' PCD is *only* supported in Spring AOP - and *not* in native AspectJ weaving. It is a Spring-specific extension to the standard PCDs that AspectJ defines.

The 'bean' PCD operates at the *instance* level (building on the Spring bean name concept) rather than at the type level only (which is what weaving-based AOP is limited to). Instance-based pointcut designators are a special capability of Spring's proxy-based AOP framework and its close integration with the Spring bean factory, where it is natural and straightforward to identify specific beans by name.

## 6.2.3.2. Combining pointcut expressions

Pointcut expressions can be combined using '&&', '||' and '!'. It is also possible to refer to pointcut expressions by name. The following example shows three pointcut expressions: anyPublicOperation (which matches if a method execution join point represents the execution of any public method); inTrading (which matches if a method execution is in the trading module), and tradingOperation (which matches if a method execution represents any public method in the trading module).

```
@Pointcut("execution(public * *(..))")
private void anyPublicOperation() {}

@Pointcut("within(com.xyz.someapp.trading..*)")
private void inTrading() {}

@Pointcut("anyPublicOperation() && inTrading()")
private void tradingOperation() {}
```

It is a best practice to build more complex pointcut expressions out of smaller named components as shown above. When referring to pointcuts by name, normal Java visibility rules apply (you can see private pointcuts in the same type, protected pointcuts in the hierarchy, public pointcuts anywhere and so on). Visibility does not

affect pointcut matching.

#### 6.2.3.3. Sharing common pointcut definitions

When working with enterprise applications, you often want to refer to modules of the application and particular sets of operations from within several aspects. We recommend defining a "SystemArchitecture" aspect that captures common pointcut expressions for this purpose. A typical such aspect would look as follows:

```
package com.xyz.someapp;
import org.aspectj.lang.annotation.Aspect;
import org.aspectj.lang.annotation.Pointcut;
public class SystemArchitecture {
   * A join point is in the web layer if the method is defined
   * in a type in the com.xyz.someapp.web package or any sub-package
   * under that.
  @Pointcut("within(com.xyz.someapp.web..*)")
  public void inWebLayer() {}
   ^{\star} A join point is in the service layer if the method is defined
   * in a type in the com.xyz.someapp.service package or any sub-package
   * under that.
  @Pointcut("within(com.xyz.someapp.service..*)")
  public void inServiceLayer() {}
   * A join point is in the data access layer if the method is defined
   * in a type in the com.xyz.someapp.dao package or any sub-package
   * under that.
  @Pointcut("within(com.xyz.someapp.dao..*)")
  public void inDataAccessLayer() {}
   * A business service is the execution of any method defined on a service
   * interface. This definition assumes that interfaces are placed in the
   * "service" package, and that implementation types are in sub-packages.
   * If you group service interfaces by functional area (for example,
   * in packages com.xyz.someapp.abc.service and com.xyz.def.service) then
   * the pointcut expression "execution(* com.xyz.someapp..service.*.*(..))"
   * could be used instead.
   ^{\star} Alternatively, you can write the expression using the 'bean'
   * PCD, like so "bean(*Service)". (This assumes that you have
   * named your Spring service beans in a consistent fashion.)
  @Pointcut("execution(* com.xyz.someapp.service.*.*(..))")
  public void businessService() {}
   * A data access operation is the execution of any method defined on a
   * dao interface. This definition assumes that interfaces are placed in the
   * "dao" package, and that implementation types are in sub-packages.
  @Pointcut("execution(* com.xyz.someapp.dao.*.*(..))")
  public void dataAccessOperation() {}
```

The pointcuts defined in such an aspect can be referred to anywhere that you need a pointcut expression. For example, to make the service layer transactional, you could write:

```
<aop:config>
<aop:advisor
```

```
pointcut="com.xyz.someapp.SystemArchitecture.businessService()"
    advice-ref="tx-advice"/>
</aop:config>

<tx:advice id="tx-advice">
    <tx:attributes>
    <tx:method name="*" propagation="REQUIRED"/>
    </tx:attributes>
    </tx:attributes>
    </tx:advice>
```

The <aop:config> and <aop:advisor> elements are discussed in Section 6.3, "Schema-based AOP support". The transaction elements are discussed in Chapter 9, *Transaction management*.

## 6.2.3.4. Examples

Spring AOP users are likely to use the execution pointcut designator the most often. The format of an execution expression is:

```
execution(modifiers-pattern? ret-type-pattern declaring-type-pattern? name-pattern(param-pattern) throws-pattern?)
```

All parts except the returning type pattern (ret-type-pattern in the snippet above), name pattern, and parameters pattern are optional. The returning type pattern determines what the return type of the method must be in order for a join point to be matched. Most frequently you will use \* as the returning type pattern, which matches any return type. A fully-qualified type name will match only when the method returns the given type. The name pattern matches the method name. You can use the \* wildcard as all or part of a name pattern. The parameters pattern is slightly more complex: () matches a method that takes no parameters, whereas (...) matches any number of parameters (zero or more). The pattern (\*) matches a method taking one parameter of any type, (\*,String) matches a method taking two parameters, the first can be of any type, the second must be a String. Consult the Language Semantics section of the AspectJ Programming Guide for more information.

Some examples of common pointcut expressions are given below.

• the execution of any public method:

```
execution(public * *(..))
```

the execution of any method with a name beginning with "set":

```
execution(* set*(..))
```

• the execution of any method defined by the AccountService interface:

```
execution(* com.xyz.service.AccountService.*(..))
```

• the execution of any method defined in the service package:

```
execution(* com.xyz.service.*.*(..))
```

• the execution of any method defined in the service package or a sub-package:

```
execution(* com.xyz.service..*.*(..))
```

• any join point (method execution only in Spring AOP) within the service package:

```
within(com.xyz.service.*)
```

• any join point (method execution only in Spring AOP) within the service package or a sub-package:

```
within(com.xyz.service..*)
```

• any join point (method execution only in Spring AOP) where the proxy implements the AccountService interface:

```
this(com.xyz.service.AccountService)
```

'this' is more commonly used in a binding form :- see the following section on advice for how to make the proxy object available in the advice body.

• any join point (method execution only in Spring AOP) where the target object implements the AccountService interface:

```
target(com.xyz.service.AccountService)
```

'target' is more commonly used in a binding form :- see the following section on advice for how to make the target object available in the advice body.

• any join point (method execution only in Spring AOP) which takes a single parameter, and where the argument passed at runtime is Serializable:

```
args(java.io.Serializable)
```

'args' is more commonly used in a binding form :- see the following section on advice for how to make the method arguments available in the advice body.

Note that the pointcut given in this example is different to execution(\* \*(java.io.Serializable)): the args version matches if the argument passed at runtime is Serializable, the execution version matches if the method signature declares a single parameter of type Serializable.

• any join point (method execution only in Spring AOP) where the target object has an @Transactional annotation:

```
@target(org.springframework.transaction.annotation.Transactional)
```

'@target' can also be used in a binding form :- see the following section on advice for how to make the annotation object available in the advice body.

• any join point (method execution only in Spring AOP) where the declared type of the target object has an @Transactional annotation:

```
@within(org.springframework.transaction.annotation.Transactional)
```

'@within' can also be used in a binding form :- see the following section on advice for how to make the annotation object available in the advice body.

• any join point (method execution only in Spring AOP) where the executing method has an @Transactional

annotation:

```
@annotation(org.springframework.transaction.annotation.Transactional)
```

'@annotation' can also be used in a binding form :- see the following section on advice for how to make the annotation object available in the advice body.

• any join point (method execution only in Spring AOP) which takes a single parameter, and where the runtime type of the argument passed has the @classified annotation:

```
@args(com.xyz.security.Classified)
```

'@args' can also be used in a binding form :- see the following section on advice for how to make the annotation object(s) available in the advice body.

any join point (method execution only in Spring AOP) on a Spring bean named 'tradeService':

```
bean(tradeService)
```

• any join point (method execution only in Spring AOP) on Spring beans having names that match the wildcard expression '\*Service':

```
bean(*Service)
```

# 6.2.4. Declaring advice

Advice is associated with a pointcut expression, and runs before, after, or around method executions matched by the pointcut. The pointcut expression may be either a simple reference to a named pointcut, or a pointcut expression declared in place.

#### 6.2.4.1. Before advice

Before advice is declared in an aspect using the @Before annotation:

```
import org.aspectj.lang.annotation.Aspect;
import org.aspectj.lang.annotation.Before;

@Aspect
public class BeforeExample {

    @Before("com.xyz.myapp.SystemArchitecture.dataAccessOperation()")
    public void doAccessCheck() {
        // ...
    }
}
```

If using an in-place pointcut expression we could rewrite the above example as:

```
import org.aspectj.lang.annotation.Aspect;
import org.aspectj.lang.annotation.Before;

@Aspect
public class BeforeExample {

    @Before("execution(* com.xyz.myapp.dao.*.*(..))")
    public void doAccessCheck() {
        // ...
```

```
}
}
```

#### 6.2.4.2. After returning advice

After returning advice runs when a matched method execution returns normally. It is declared using the @AfterReturning annotation:

```
import org.aspectj.lang.annotation.Aspect;
import org.aspectj.lang.annotation.AfterReturning;

@Aspect
public class AfterReturningExample {

    @AfterReturning("com.xyz.myapp.SystemArchitecture.dataAccessOperation()")
    public void doAccessCheck() {
        // ...
    }
}
```

Note: it is of course possible to have multiple advice declarations, and other members as well, all inside the same aspect. We're just showing a single advice declaration in these examples to focus on the issue under discussion at the time.

Sometimes you need access in the advice body to the actual value that was returned. You can use the form of <code>@AfterReturning</code> that binds the return value for this:

```
import org.aspectj.lang.annotation.Aspect;
import org.aspectj.lang.annotation.AfterReturning;

@Aspect
public class AfterReturningExample {

    @AfterReturning(
        pointcut="com.xyz.myapp.SystemArchitecture.dataAccessOperation()",
        returning="retVal")
    public void doAccessCheck(Object retVal) {
        // ...
    }
}
```

The name used in the returning attribute must correspond to the name of a parameter in the advice method. When a method execution returns, the return value will be passed to the advice method as the corresponding argument value. A returning clause also restricts matching to only those method executions that return a value of the specified type (object in this case, which will match any return value).

Please note that it is *not* possible to return a totally different reference when using after-returning advice.

## 6.2.4.3. After throwing advice

After throwing advice runs when a matched method execution exits by throwing an exception. It is declared using the @AfterThrowing annotation:

```
import org.aspectj.lang.annotation.Aspect;
import org.aspectj.lang.annotation.AfterThrowing;

@Aspect
public class AfterThrowingExample {

    @AfterThrowing("com.xyz.myapp.SystemArchitecture.dataAccessOperation()")
    public void doRecoveryActions() {
        // ...
```

```
}
}
```

Often you want the advice to run only when exceptions of a given type are thrown, and you also often need access to the thrown exception in the advice body. Use the throwing attribute to both restrict matching (if desired, use Throwable as the exception type otherwise) and bind the thrown exception to an advice parameter.

```
import org.aspectj.lang.annotation.Aspect;
import org.aspectj.lang.annotation.AfterThrowing;

@Aspect
public class AfterThrowingExample {

    @AfterThrowing(
    pointcut="com.xyz.myapp.SystemArchitecture.dataAccessOperation()",
    throwing="ex")
    public void doRecoveryActions(DataAccessException ex) {
        // ...
    }
}
```

The name used in the throwing attribute must correspond to the name of a parameter in the advice method. When a method execution exits by throwing an exception, the exception will be passed to the advice method as the corresponding argument value. A throwing clause also restricts matching to only those method executions that throw an exception of the specified type (DataAccessException in this case).

## 6.2.4.4. After (finally) advice

After (finally) advice runs however a matched method execution exits. It is declared using the @After annotation. After advice must be prepared to handle both normal and exception return conditions. It is typically used for releasing resources, etc.

```
import org.aspectj.lang.annotation.Aspect;
import org.aspectj.lang.annotation.After;

@Aspect
public class AfterFinallyExample {

    @After("com.xyz.myapp.SystemArchitecture.dataAccessOperation()")
    public void doReleaseLock() {
        // ...
    }
}
```

## 6.2.4.5. Around advice

The final kind of advice is around advice. Around advice runs "around" a matched method execution. It has the opportunity to do work both before and after the method executes, and to determine when, how, and even if, the method actually gets to execute at all. Around advice is often used if you need to share state before and after a method execution in a thread-safe manner (starting and stopping a timer for example). Always use the least powerful form of advice that meets your requirements (i.e. don't use around advice if simple before advice would do).

Around advice is declared using the @Around annotation. The first parameter of the advice method must be of type ProceedingJoinPoint. Within the body of the advice, calling proceed() on the ProceedingJoinPoint causes the underlying method to execute. The proceed method may also be called passing in an <code>Object[]</code> - the values in the array will be used as the arguments to the method execution when it proceeds.

The behavior of proceed when called with an <code>Object[]</code> is a little different than the behavior of proceed for around advice compiled by the AspectJ compiler. For around advice written using the traditional AspectJ language, the number of arguments passed to proceed must match the number of arguments passed to the around advice (not the number of arguments taken by the underlying join point), and the value passed to proceed in a given argument position supplants the original value at the join point for the entity the value was bound to (Don't worry if this doesn't make sense right now!). The approach taken by Spring is simpler and a better match to its proxy-based, execution only semantics. You only need to be aware of this difference if you are compiling @AspectJ aspects written for Spring and using proceed with arguments with the AspectJ compiler and weaver. There is a way to write such aspects that is 100% compatible across both Spring AOP and AspectJ, and this is discussed in the following section on advice parameters.

```
import org.aspectj.lang.annotation.Aspect;
import org.aspectj.lang.annotation.Around;
import org.aspectj.lang.ProceedingJoinPoint;

@Aspect
public class AroundExample {

    @Around("com.xyz.myapp.SystemArchitecture.businessService()")
    public Object doBasicProfiling(ProceedingJoinPoint pjp) throws Throwable {
        // start stopwatch
        Object retVal = pjp.proceed();
        // stop stopwatch
        return retVal;
    }
}
```

The value returned by the around advice will be the return value seen by the caller of the method. A simple caching aspect for example could return a value from a cache if it has one, and invoke proceed() if it does not. Note that proceed may be invoked once, many times, or not at all within the body of the around advice, all of these are quite legal.

#### 6.2.4.6. Advice parameters

Spring 2.0 offers fully typed advice - meaning that you declare the parameters you need in the advice signature (as we saw for the returning and throwing examples above) rather than work with <code>object[]</code> arrays all the time. We'll see how to make argument and other contextual values available to the advice body in a moment. First let's take a look at how to write generic advice that can find out about the method the advice is currently advising.

#### 6.2.4.6.1. Access to the current JoinPoint

Any advice method may declare as its first parameter, a parameter of type org.aspectj.lang.JoinPoint (please note that around advice is *required* to declare a first parameter of type ProceedingJoinPoint, which is a subclass of JoinPoint. The JoinPoint interface provides a number of useful methods such as getArgs() (returns the method arguments), getThis() (returns the proxy object), getTarget() (returns the target object), getSignature() (returns a description of the method that is being advised) and toString() (prints a useful description of the method being advised). Please do consult the Javadocs for full details.

# 6.2.4.6.2. Passing parameters to advice

We've already seen how to bind the returned value or exception value (using after returning and after throwing advice). To make argument values available to the advice body, you can use the binding form of args. If a parameter name is used in place of a type name in an args expression, then the value of the corresponding argument will be passed as the parameter value when the advice is invoked. An example should make this clearer. Suppose you want to advise the execution of dao operations that take an Account object as the first parameter, and you need access to the account in the advice body. You could write the following:

The args(account,...) part of the pointcut expression serves two purposes: firstly, it restricts matching to only those method executions where the method takes at least one parameter, and the argument passed to that parameter is an instance of Account; secondly, it makes the actual Account object available to the advice via the account parameter.

Another way of writing this is to declare a pointcut that "provides" the Account object value when it matches a join point, and then just refer to the named pointcut from the advice. This would look as follows:

The interested reader is once more referred to the AspectJ programming guide for more details.

The proxy object (this), target object (target), and annotations (@within, @target, @annotation, @args) can all be bound in a similar fashion. The following example shows how you could match the execution of methods annotated with an @Auditable annotation, and extract the audit code.

First the definition of the @Auditable annotation:

```
@Retention(RetentionPolicy.RUNTIME)
@Target(ElementType.METHOD)
public @interface Auditable {
    AuditCode value();
}
```

And then the advice that matches the execution of @Auditable methods:

# 6.2.4.6.3. Determining argument names

The parameter binding in advice invocations relies on matching names used in pointcut expressions to declared parameter names in (advice and pointcut) method signatures. Parameter names are *not* available through Java reflection, so Spring AOP uses the following strategies to determine parameter names:

1. If the parameter names have been specified by the user explicitly, then the specified parameter names are used: both the advice and the pointcut annotations have an optional "argNames" attribute which can be used to specify the argument names of the annotated method - these argument names *are* available at runtime. For example:

```
@Before(
  value="com.xyz.lib.Pointcuts.anyPublicMethod() && target(bean) && @annotation(auditable)",
```

```
argNames="bean,auditable")
public void audit(Object bean, Auditable auditable) {
  AuditCode code = auditable.value();
  // ... use code and bean
}
```

If the first parameter is of the JoinPoint, ProceedingJoinPoint, Or JoinPoint. StaticPart type, you may leave out the name of the parameter from the value of the "argNames" attribute. For example, if you modify the preceding advice to receive the join point object, the "argNames" attribute need not include it:

```
@Before(
  value="com.xyz.lib.Pointcuts.anyPublicMethod() && target(bean) && @annotation(auditable)",
  argNames="bean,auditable")
public void audit(JoinPoint jp, Object bean, Auditable auditable) {
  AuditCode code = auditable.value();
  // ... use code, bean, and jp
}
```

The special treatment given to the first parameter of the JoinPoint, ProceedingJoinPoint, and JoinPoint. StaticPart types is particularly convenient for advice that do not collect any other join point context. In such situations, you may simply omit the "argNames" attribute. For example, the following advice need not declare the "argNames" attribute:

```
@Before(
   "com.xyz.lib.Pointcuts.anyPublicMethod()")
public void audit(JoinPoint jp) {
   // ... use jp
}
```

- 2. Using the 'argNames' attribute is a little clumsy, so if the 'argNames' attribute has not been specified, then Spring AOP will look at the debug information for the class and try to determine the parameter names from the local variable table. This information will be present as long as the classes have been compiled with debug information ('-g:vars' at a minimum). The consequences of compiling with this flag on are: (1) your code will be slightly easier to understand (reverse engineer), (2) the class file sizes will be very slightly bigger (typically inconsequential), (3) the optimization to remove unused local variables will not be applied by your compiler. In other words, you should encounter no difficulties building with this flag on.

  If an @AspectJ aspect has been compiled by the AspectJ compiler (ajc) even without the debug information then there is no need to add the argNames attribute as the compiler will retain the needed information.
- 3. If the code has been compiled without the necessary debug information, then Spring AOP will attempt to deduce the pairing of binding variables to parameters (for example, if only one variable is bound in the pointcut expression, and the advice method only takes one parameter, the pairing is obvious!). If the binding of variables is ambiguous given the available information, then an AmbiguousBindingException will be thrown.
- 4. If all of the above strategies fail then an IllegalArgumentException will be thrown.

# 6.2.4.6.4. Proceeding with arguments

We remarked earlier that we would describe how to write a proceed call *with arguments* that works consistently across Spring AOP and AspectJ. The solution is simply to ensure that the advice signature binds each of the method parameters in order. For example:

```
String newPattern = preProcess(accountHolderNamePattern);
return pjp.proceed(new Object[] {newPattern});
}
```

In many cases you will be doing this binding anyway (as in the example above).

#### 6.2.4.7. Advice ordering

What happens when multiple pieces of advice all want to run at the same join point? Spring AOP follows the same precedence rules as AspectJ to determine the order of advice execution. The highest precedence advice runs first "on the way in" (so given two pieces of before advice, the one with highest precedence runs first). "On the way out" from a join point, the highest precedence advice runs last (so given two pieces of after advice, the one with the highest precedence will run second).

When two pieces of advice defined in different aspects both need to run at the same join point, unless you specify otherwise the order of execution is undefined. You can control the order of execution by specifying precedence. the normal Spring by either This is done in way org.springframework.core.Ordered interface in the aspect class or annotating it with the Order annotation. Given two aspects, the aspect returning the lower value from Ordered.getValue() (or the annotation value) has the higher precedence.

When two pieces of advice defined in *the same* aspect both need to run at the same join point, the ordering is undefined (since there is no way to retrieve the declaration order via reflection for javac-compiled classes). Consider collapsing such advice methods into one advice method per join point in each aspect class, or refactor the pieces of advice into separate aspect classes - which can be ordered at the aspect level.

#### 6.2.5. Introductions

Introductions (known as inter-type declarations in AspectJ) enable an aspect to declare that advised objects implement a given interface, and to provide an implementation of that interface on behalf of those objects.

An introduction is made using the @DeclareParents annotation. This annotation is used to declare that matching types have a new parent (hence the name). For example, given an interface UsageTracked, and an implementation of that interface DefaultUsageTracked, the following aspect declares that all implementors of service interfaces also implement the UsageTracked interface. (In order to expose statistics via JMX for example.)

The interface to be implemented is determined by the type of the annotated field. The value attribute of the <code>@DeclareParents</code> annotation is an AspectJ type pattern:- any bean of a matching type will implement the UsageTracked interface. Note that in the before advice of the above example, service beans can be directly used as implementations of the <code>UsageTracked</code> interface. If accessing a bean programmatically you would write the

following:

```
UsageTracked usageTracked = (UsageTracked) context.getBean("myService");
```

# 6.2.6. Aspect instantiation models

(This is an advanced topic, so if you are just starting out with AOP you can safely skip it until later.)

By default there will be a single instance of each aspect within the application context. AspectJ calls this the singleton instantiation model. It is possible to define aspects with alternate lifecycles: Spring supports AspectJ's perthis and pertarget instantiation models (percflow, percflowbelow, and pertypewithin are not currently supported).

A "perthis" aspect is declared by specifying a perthis clause in the @Aspect annotation. Let's look at an example, and then we'll explain how it works.

```
@Aspect("perthis(com.xyz.myapp.SystemArchitecture.businessService())")
public class MyAspect {
   private int someState;
   @Before(com.xyz.myapp.SystemArchitecture.businessService())
   public void recordServiceUsage() {
        // ...
   }
}
```

The effect of the 'perthis' clause is that one aspect instance will be created for each unique service object executing a business service (each unique object bound to 'this' at join points matched by the pointcut expression). The aspect instance is created the first time that a method is invoked on the service object. The aspect goes out of scope when the service object goes out of scope. Before the aspect instance is created, none of the advice within it executes. As soon as the aspect instance has been created, the advice declared within it will execute at matched join points, but only when the service object is the one this aspect is associated with. See the AspectJ programming guide for more information on per-clauses.

The 'pertarget' instantiation model works in exactly the same way as perthis, but creates one aspect instance for each unique target object at matched join points.

# **6.2.7. Example**

Now that you have seen how all the constituent parts work, let's put them together to do something useful!

The execution of business services can sometimes fail due to concurrency issues (for example, deadlock loser). If the operation is retried, it is quite likely to succeed next time round. For business services where it is appropriate to retry in such conditions (idempotent operations that don't need to go back to the user for conflict resolution), we'd like to transparently retry the operation to avoid the client seeing a PessimisticLockingFailureException. This is a requirement that clearly cuts across multiple services in the service layer, and hence is ideal for implementing via an aspect.

Because we want to retry the operation, we will need to use around advice so that we can call proceed multiple times. Here's how the basic aspect implementation looks:

```
@Aspect
public class ConcurrentOperationExecutor implements Ordered {
   private static final int DEFAULT_MAX_RETRIES = 2;
   private int maxRetries = DEFAULT_MAX_RETRIES;
```

```
private int order = 1;
public void setMaxRetries(int maxRetries) {
   this.maxRetries = maxRetries;
public int getOrder() {
   return this.order;
public void setOrder(int order) {
   this.order = order;
@Around("com.xyz.myapp.SystemArchitecture.businessService()")
public Object doConcurrentOperation(ProceedingJoinPoint pjp) throws Throwable {
   int numAttempts = 0;
   PessimisticLockingFailureException lockFailureException;
   do {
      numAttempts++;
      try {
         return pjp.proceed();
      catch(PessimisticLockingFailureException ex) {
         lockFailureException = ex;
   while(numAttempts <= this.maxRetries);</pre>
   throw lockFailureException;
}
```

Note that the aspect implements the Ordered interface so we can set the precedence of the aspect higher than the transaction advice (we want a fresh transaction each time we retry). The maxRetries and order properties will both be configured by Spring. The main action happens in the doConcurrentOperation around advice. Notice that for the moment we're applying the retry logic to all businessService()s. We try to proceed, and if we fail with an PessimisticLockingFailureException we simply try again unless we have exhausted all of our retry attempts.

The corresponding Spring configuration is:

To refine the aspect so that it only retries idempotent operations, we might define an Idempotent annotation:

```
@Retention(RetentionPolicy.RUNTIME)
public @interface Idempotent {
   // marker annotation
}
```

and use the annotation to annotate the implementation of service operations. The change to the aspect to only retry idempotent operations simply involves refining the pointcut expression so that only @Idempotent operations match:

# 6.3. Schema-based AOP support

If you are unable to use Java 5, or simply prefer an XML-based format, then Spring 2.0 also offers support for defining aspects using the new "aop" namespace tags. The exact same pointcut expressions and advice kinds are supported as when using the @AspectJ style, hence in this section we will focus on the new *syntax* and refer the reader to the discussion in the previous section (Section 6.2, "@AspectJ support") for an understanding of writing pointcut expressions and the binding of advice parameters.

To use the aop namespace tags described in this section, you need to import the spring-aop schema as described in Appendix A, *XML Schema-based configuration*. See Section A.2.7, "The aop schema" for how to import the tags in the aop namespace.

Within your Spring configurations, all aspect and advisor elements must be placed within an <aop:config>element (you can have more than one <aop:config> element in an application context configuration). An <aop:config> element can contain pointcut, advisor, and aspect elements (note these must be declared in that order).



### Warning

The <aop:config> style of configuration makes heavy use of Spring's auto-proxying mechanism. This can cause issues (such as advice not being woven) if you are already using explicit auto-proxying via the use of BeanNameAutoProxyCreator or suchlike. The recommended usage pattern is to use either just the <aop:config> style, or just the AutoProxyCreator style.

## 6.3.1. Declaring an aspect

Using the schema support, an aspect is simply a regular Java object defined as a bean in your Spring application context. The state and behavior is captured in the fields and methods of the object, and the pointcut and advice information is captured in the XML.

An aspect is declared using the <aop:aspect> element, and the backing bean is referenced using the ref attribute:

The bean backing the aspect ("aBean" in this case) can of course be configured and dependency injected just like any other Spring bean.

## 6.3.2. Declaring a pointcut

A named pointcut can be declared inside an <aop:config> element, enabling the pointcut definition to be shared across several aspects and advisors.

A pointcut representing the execution of any business service in the service layer could be defined as follows:

```
<aop:config>
```

Note that the pointcut expression itself is using the same AspectJ pointcut expression language as described in Section 6.2, "@AspectJ support". If you are using the schema based declaration style with Java 5, you can refer to named pointcuts defined in types (@Aspects) within the pointcut expression, but this feature is not available on JDK 1.4 and below (it relies on the Java 5 specific AspectJ reflection APIs). On JDK 1.5 therefore, another way of defining the above pointcut would be:

Assuming you have a SystemArchitecture aspect as described in Section 6.2.3.3, "Sharing common pointcut definitions".

Declaring a pointcut inside an aspect is very similar to declaring a top-level pointcut:

Much the same way in an @AspectJ aspect, pointcuts declared using the schema based definition style may collect join point context. For example, the following pointcut collects the 'this' object as the join point context and passes it to advice:

The advice must be declared to receive the collected join point context by including parameters of the matching names:

```
public void monitor(Object service) {
    ...
}
```

When combining pointcut sub-expressions, '&&' is awkward within an XML document, and so the keywords

'and', 'or' and 'not' can be used in place of '&&', '||' and '!' respectively. For example, the previous pointcut may be better written as:

Note that pointcuts defined in this way are referred to by their XML id and cannot be used as named pointcuts to form composite pointcuts. The named pointcut support in the schema based definition style is thus more limited than that offered by the @AspectJ style.

## 6.3.3. Declaring advice

The same five advice kinds are supported as for the @AspectJ style, and they have exactly the same semantics.

#### 6.3.3.1. Before advice

Before advice runs before a matched method execution. It is declared inside an <aop:aspect> using the <aop:before> element.

Here dataAccessOperation is the id of a pointcut defined at the top (<aop:config>) level. To define the pointcut inline instead, replace the pointcut-ref attribute with a pointcut attribute:

As we noted in the discussion of the @AspectJ style, using named pointcuts can significantly improve the readability of your code.

The method attribute identifies a method (doAccessCheck) that provides the body of the advice. This method must be defined for the bean referenced by the aspect element containing the advice. Before a data access operation is executed (a method execution join point matched by the pointcut expression), the "doAccessCheck" method on the aspect bean will be invoked.

#### 6.3.3.2. After returning advice

After returning advice runs when a matched method execution completes normally. It is declared inside an <aop:aspect> in the same way as before advice. For example:

Just as in the @AspectJ style, it is possible to get hold of the return value within the advice body. Use the returning attribute to specify the name of the parameter to which the return value should be passed:

The doAccessCheck method must declare a parameter named retval. The type of this parameter constrains matching in the same way as described for @AfterReturning. For example, the method signature may be declared as:

```
public void doAccessCheck(Object retVal) {...
```

#### 6.3.3.3. After throwing advice

After throwing advice executes when a matched method execution exits by throwing an exception. It is declared inside an <aop:aspect> using the after-throwing element:

Just as in the @AspectJ style, it is possible to get hold of the thrown exception within the advice body. Use the throwing attribute to specify the name of the parameter to which the exception should be passed:

The doRecoveryActions method must declare a parameter named dataAccessEx. The type of this parameter constrains matching in the same way as described for @AfterThrowing. For example, the method signature may be declared as:

```
public void doRecoveryActions(DataAccessException dataAccessEx) {...
```

### 6.3.3.4. After (finally) advice

After (finally) advice runs however a matched method execution exits. It is declared using the after element:

#### 6.3.3.5. Around advice

The final kind of advice is around advice. Around advice runs "around" a matched method execution. It has the opportunity to do work both before and after the method executes, and to determine when, how, and even if, the method actually gets to execute at all. Around advice is often used if you need to share state before and after a method execution in a thread-safe manner (starting and stopping a timer for example). Always use the least powerful form of advice that meets your requirements; don't use around advice if simple before advice would do.

Around advice is declared using the aop:around element. The first parameter of the advice method must be of type ProceedingJoinPoint. Within the body of the advice, calling proceed() on the ProceedingJoinPoint causes the underlying method to execute. The proceed method may also be calling passing in an <code>Object[]</code> - the values in the array will be used as the arguments to the method execution when it proceeds. See Section 6.2.4.5, "Around advice" for notes on calling proceed with an <code>Object[]</code>.

The implementation of the doBasicProfiling advice would be exactly the same as in the @AspectJ example (minus the annotation of course):

```
public Object doBasicProfiling(ProceedingJoinPoint pjp) throws Throwable {
    // start stopwatch
    Object retVal = pjp.proceed();
    // stop stopwatch
    return retVal;
}
```

#### 6.3.3.6. Advice parameters

The schema based declaration style supports fully typed advice in the same way as described for the @AspectJ

support - by matching pointcut parameters by name against advice method parameters. See Section 6.2.4.6, "Advice parameters" for details. If you wish to explicitly specify argument names for the advice methods (not relying on the detection strategies previously described) then this is done using the arg-names attribute of the advice element, which is treated in the same manner to the "argNames" attribute in an advice annotation as described in Section 6.2.4.6.3, "Determining argument names". For example:

```
<aop:before
  pointcut="com.xyz.lib.Pointcuts.anyPublicMethod() and @annotation(auditable)"
  method="audit"
  arg-names="auditable"/>
```

The arg-names attribute accepts a comma-delimited list of parameter names.

Find below a slightly more involved example of the XSD-based approach that illustrates some around advice used in conjunction with a number of strongly typed parameters.

```
package x.y.service;
public interface FooService {
   Foo getFoo(String fooName, int age);
}
public class DefaultFooService implements FooService {
   public Foo getFoo(String name, int age) {
      return new Foo(name, age);
   }
}
```

Next up is the aspect. Notice the fact that the profile(..) method accepts a number of strongly-typed parameters, the first of which happens to be the join point used to proceed with the method call: the presence of this parameter is an indication that the profile(..) is to be used as around advice:

Finally, here is the XML configuration that is required to effect the execution of the above advice for a particular join point:

If we had the following driver script, we would get output something like this on standard output:

```
import org.springframework.beans.factory.BeanFactory;
import org.springframework.context.support.ClassPathXmlApplicationContext;
import x.y.service.FooService;

public final class Boot {
    public static void main(final String[] args) throws Exception {
        BeanFactory ctx = new ClassPathXmlApplicationContext("x/y/plain.xml");
        FooService foo = (FooService) ctx.getBean("fooService");
        foo.getFoo("Pengo", 12);
    }
}
```

#### 6.3.3.7. Advice ordering

When multiple advice needs to execute at the same join point (executing method) the ordering rules are as described in Section 6.2.4.7, "Advice ordering". The precedence between aspects is determined by either adding the order annotation to the bean backing the aspect or by having the bean implement the ordered interface.

#### 6.3.4. Introductions

Introductions (known as inter-type declarations in AspectJ) enable an aspect to declare that advised objects implement a given interface, and to provide an implementation of that interface on behalf of those objects.

An introduction is made using the aop:declare-parents element inside an aop:aspect This element is used to declare that matching types have a new parent (hence the name). For example, given an interface UsageTracked, and an implementation of that interface DefaultUsageTracked, the following aspect declares that all implementors of service interfaces also implement the UsageTracked interface. (In order to expose statistics via JMX for example.)

The class backing the usageTracking bean would contain the method:

```
public void recordUsage(UsageTracked usageTracked) {
    usageTracked.incrementUseCount();
}
```

The interface to be implemented is determined by implement-interface attribute. The value of the types-matching attribute is an AspectJ type pattern: any bean of a matching type will implement the UsageTracked interface. Note that in the before advice of the above example, service beans can be directly used as implementations of the UsageTracked interface. If accessing a bean programmatically you would write the following:

```
UsageTracked usageTracked = (UsageTracked) context.getBean("myService");
```

## 6.3.5. Aspect instantiation models

The only supported instantiation model for schema-defined aspects is the singleton model. Other instantiation models may be supported in future releases.

### 6.3.6. Advisors

The concept of "advisors" is brought forward from the AOP support defined in Spring 1.2 and does not have a direct equivalent in AspectJ. An advisor is like a small self-contained aspect that has a single piece of advice. The advice itself is represented by a bean, and must implement one of the advice interfaces described in Section 7.3.2, "Advice types in Spring". Advisors can take advantage of AspectJ pointcut expressions though.

Spring 2.0 supports the advisor concept with the <aop:advisor> element. You will most commonly see it used in conjunction with transactional advice, which also has its own namespace support in Spring 2.0. Here's how it looks:

As well as the pointcut-ref attribute used in the above example, you can also use the pointcut attribute to define a pointcut expression inline.

To define the precedence of an advisor so that the advice can participate in ordering, use the order attribute to define the ordered value of the advisor.

## **6.3.7. Example**

Let's see how the concurrent locking failure retry example from Section 6.2.7, "Example" looks when rewritten using the schema support.

The execution of business services can sometimes fail due to concurrency issues (for example, deadlock loser). If the operation is retried, it is quite likely it will succeed next time round. For business services where it is appropriate to retry in such conditions (idempotent operations that don't need to go back to the user for conflict resolution), we'd like to transparently retry the operation to avoid the client seeing a PessimisticLockingFailureException. This is a requirement that clearly cuts across multiple services in the service layer, and hence is ideal for implementing via an aspect.

Because we want to retry the operation, we'll need to use around advice so that we can call proceed multiple times. Here's how the basic aspect implementation looks (it's just a regular Java class using the schema support):

```
public class ConcurrentOperationExecutor implements Ordered {
   private static final int DEFAULT_MAX_RETRIES = 2;
  private int maxRetries = DEFAULT MAX RETRIES;
  private int order = 1;
  public void setMaxRetries(int maxRetries) {
      this.maxRetries = maxRetries;
  public int getOrder() {
      return this.order;
   public void setOrder(int order) {
      this.order = order;
  public Object doConcurrentOperation(ProceedingJoinPoint pjp) throws Throwable {
      int numAttempts = 0;
      PessimisticLockingFailureException lockFailureException;
      do {
        numAttempts++;
         try {
            return pjp.proceed();
         catch(PessimisticLockingFailureException ex) {
            lockFailureException = ex;
      while(numAttempts <= this.maxRetries);</pre>
      throw lockFailureException;
```

Note that the aspect implements the Ordered interface so we can set the precedence of the aspect higher than the transaction advice (we want a fresh transaction each time we retry). The maxRetries and order properties will both be configured by Spring. The main action happens in the doconcurrentOperation around advice method. We try to proceed, and if we fail with a PessimisticLockingFailureException we simply try again unless we have exhausted all of our retry attempts.

This class is identical to the one used in the @AspectJ example, but with the annotations removed.

The corresponding Spring configuration is:

Notice that for the time being we assume that all business services are idempotent. If this is not the case we can refine the aspect so that it only retries genuinely idempotent operations, by introducing an Idempotent annotation:

```
@Retention(RetentionPolicy.RUNTIME)
public @interface Idempotent {
   // marker annotation
}
```

and using the annotation to annotate the implementation of service operations. The change to the aspect to retry only idempotent operations simply involves refining the pointcut expression so that only @Idempotent operations match:

```
<aop:pointcut id="idempotentOperation"
    expression="execution(* com.xyz.myapp.service.*.*(..)) and
     @annotation(com.xyz.myapp.service.Idempotent)"/>
```

# 6.4. Choosing which AOP declaration style to use

Once you have decided that an aspect is the best approach for implementing a given requirement, how do you decide between using Spring AOP or AspectJ, and between the Aspect language (code) style, @AspectJ annotation style, or the Spring XML style? These decisions are influenced by a number of factors including application requirements, development tools, and team familiarity with AOP.

## 6.4.1. Spring AOP or full AspectJ?

Use the simplest thing that can work. Spring AOP is simpler than using full AspectJ as there is no requirement to introduce the AspectJ compiler / weaver into your development and build processes. If you only need to advise the execution of operations on Spring beans, then Spring AOP is the right choice. If you need to advise objects not managed by the Spring container (such as domain objects typically), then you will need to use AspectJ. You will also need to use AspectJ if you wish to advise join points other than simple method executions (for example, field get or set join points, and so on).

When using AspectJ, you have the choice of the AspectJ language syntax (also known as the "code style") or the @AspectJ annotation style. Clearly, if you are not using Java 5+ then the choice has been made for you...

use the code style. If aspects play a large role in your design, and you are able to use the <u>AspectJ Development Tools (AJDT)</u> plugin for Eclipse, then the AspectJ language syntax is the preferred option: it is cleaner and simpler because the language was purposefully designed for writing aspects. If you are not using Eclipse, or have only a few aspects that do not play a major role in your application, then you may want to consider using the @AspectJ style and sticking with a regular Java compilation in your IDE, and adding an aspect weaving phase to your build script.

## 6.4.2. @AspectJ or XML for Spring AOP?

If you have chosen to use Spring AOP, then you have a choice of @AspectJ or XML style. Clearly if you are not running on Java 5+, then the XML style is the appropriate choice; for Java 5 projects there are various tradeoffs to consider.

The XML style will be most familiar to existing Spring users. It can be used with any JDK level (referring to named pointcuts from within pointcut expressions does still require Java 5+ though) and is backed by genuine POJOs. When using AOP as a tool to configure enterprise services then XML can be a good choice (a good test is whether you consider the pointcut expression to be a part of your configuration you might want to change independently). With the XML style arguably it is clearer from your configuration what aspects are present in the system.

The XML style has two disadvantages. Firstly it does not fully encapsulate the implementation of the requirement it addresses in a single place. The DRY principle says that there should be a single, unambiguous, authoritative representation of any piece of knowledge within a system. When using the XML style, the knowledge of *how* a requirement is implemented is split across the declaration of the backing bean class, and the XML in the configuration file. When using the @AspectJ style there is a single module - the aspect - in which this information is encapsulated. Secondly, the XML style is slightly more limited in what it can express than the @AspectJ style: only the "singleton" aspect instantiation model is supported, and it is not possible to combine named pointcuts declared in XML. For example, in the @AspectJ style you can write something like:

```
@Pointcut(execution(* get*()))
public void propertyAccess() {}

@Pointcut(execution(org.xyz.Account+ *(..))
public void operationReturningAnAccount() {}

@Pointcut(propertyAccess() && operationReturningAnAccount())
public void accountPropertyAccess() {}
```

#### In the XML style I can declare the first two pointcuts:

```
<aop:pointcut id="propertyAccess"
    expression="execution(* get*())"/>

<aop:pointcut id="operationReturningAnAccount"
    expression="execution(org.xyz.Account+ *(..))"/>
```

The downside of the XML approach is that you cannot define the 'accountPropertyAccess' pointcut by combining these definitions.

The @AspectJ style supports additional instantiation models, and richer pointcut composition. It has the advantage of keeping the aspect as a modular unit. It also has the advantage the @AspectJ aspects can be understood (and thus consumed) both by Spring AOP and by AspectJ - so if you later decide you need the capabilities of AspectJ to implement additional requirements then it is very easy to migrate to an AspectJ-based approach. On balance the Spring team prefer the @AspectJ style whenever you have aspects that do more than simple "configuration" of enterprise services.

# 6.5. Mixing aspect types

It is perfectly possible to mix @AspectJ style aspects using the autoproxying support, schema-defined <aop:aspect> aspects, <aop:advisor> declared advisors and even proxies and interceptors defined using the Spring 1.2 style in the same configuration. All of these are implemented using the same underlying support mechanism and will co-exist without any difficulty.

# 6.6. Proxying mechanisms

Spring AOP uses either JDK dynamic proxies or CGLIB to create the proxy for a given target object. (JDK dynamic proxies are preferred whenever you have a choice).

If the target object to be proxied implements at least one interface then a JDK dynamic proxy will be used. All of the interfaces implemented by the target type will be proxied. If the target object does not implement any interfaces then a CGLIB proxy will be created.

If you want to force the use of CGLIB proxying (for example, to proxy every method defined for the target object, not just those implemented by its interfaces) you can do so. However, there are some issues to consider:

- final methods cannot be advised, as they cannot be overriden.
- You will need the CGLIB 2 binaries on your classpath, whereas dynamic proxies are available with the JDK. Spring will automatically warn you when it needs CGLIB and the CGLIB library classes are not found on the classpath.
- The constructor of your proxied object will be called twice. This is a natural consequence of the CGLIB proxy model whereby a subclass is generated for each proxied object. For each proxied instance, two objects are created: the actual proxied object and an instance of the subclass that implements the advice. This behavior is not exhibited when using JDK proxies. Usually, calling the constructor of the proxied type twice, is not an issue, as there are usually only assignments taking place and no real logic is implemented in the constructor.

To force the use of CGLIB proxies set the value of the proxy-target-class attribute of the <aop:config>element to true:

```
<aop:config proxy-target-class="true">
    <!-- other beans defined here... -->
</aop:config>
```

To force CGLIB proxying when using the @AspectJ autoproxy support, set the 'proxy-target-class' attribute of the <aop:aspectj-autoproxy> element to true:

```
<aop:aspectj-autoproxy proxy-target-class="true"/>
```



#### Note

Multiple <aop:config/> sections are collapsed into a single unified auto-proxy creator at runtime, which applies the *strongest* proxy settings that any of the <aop:config/> sections (typically from different XML bean definition files) specified. This also applies to the <tx:annotation-driven/> and <aop:aspectj-autoproxy/> elements.

To be clear: using 'proxy-target-class="true" on <tx:annotation-driven/>, <aop:aspectj-autoproxy/> or <aop:config/> elements will force the use of CGLIB proxies for all three of them.

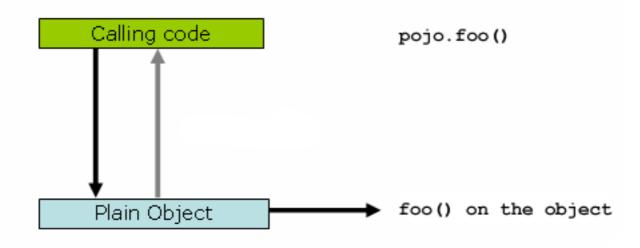
## 6.6.1. Understanding AOP proxies

Spring AOP is *proxy-based*. It is vitally important that you grasp the semantics of what that last statement actually means before you write your own aspects or use any of the Spring AOP-based aspects supplied with the Spring Framework.

Consider first the scenario where you have a plain-vanilla, un-proxied, nothing-special-about-it, straight object reference, as illustrated by the following code snippet.

```
public class SimplePojo implements Pojo {
    public void foo() {
        // this next method invocation is a direct call on the 'this' reference
        this.bar();
    }
    public void bar() {
        // some logic...
    }
}
```

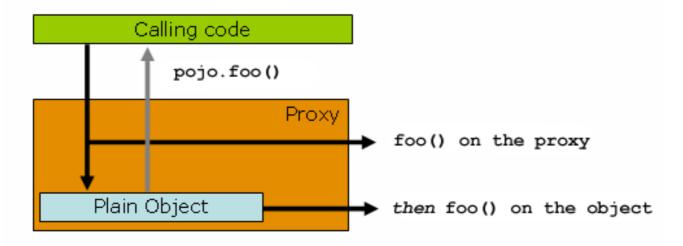
If you invoke a method on an object reference, the method is invoked *directly* on that object reference, as can be seen below.



```
public class Main {
   public static void main(String[] args) {
      Pojo pojo = new SimplePojo();

      // this is a direct method call on the 'pojo' reference
      pojo.foo();
   }
}
```

Things change slightly when the reference that client code has is a proxy. Consider the following diagram and code snippet.



```
public class Main {
   public static void main(String[] args) {
      ProxyFactory factory = new ProxyFactory(new SimplePojo());
      factory.addInterface(Pojo.class);
      factory.addAdvice(new RetryAdvice());

      Pojo pojo = (Pojo) factory.getProxy();

      // this is a method call on the proxy!
      pojo.foo();
    }
}
```

The key thing to understand here is that the client code inside the main(..) of the Main class has a reference to the proxy. This means that method calls on that object reference will be calls on the proxy, and as such the proxy will be able to delegate to all of the interceptors (advice) that are relevant to that particular method call. However, once the call has finally reached the target object, the <code>simplePojo</code> reference in this case, any method calls that it may make on itself, such as <code>this.bar()</code> or <code>this.foo()</code>, are going to be invoked against the <code>this</code> reference, and not the proxy. This has important implications. It means that self-invocation is not going to result in the advice associated with a method invocation getting a chance to execute.

Okay, so what is to be done about this? The best approach (the term best is used loosely here) is to refactor your code such that the self-invocation does not happen. For sure, this does entail some work on your part, but it is the best, least-invasive approach. The next approach is absolutely horrendous, and I am almost reticent to point it out precisely because it is so horrendous. You can (choke!) totally tie the logic within your class to Spring AOP by doing this:

```
public class SimplePojo implements Pojo {
    public void foo() {
        // this works, but... gah!
        ((Pojo) AopContext.currentProxy()).bar();
    }
    public void bar() {
        // some logic...
    }
}
```

This totally couples your code to Spring AOP, *and* it makes the class itself aware of the fact that it is being used in an AOP context, which flies in the face of AOP. It also requires some additional configuration when the proxy is being created:

```
public class Main {
```

```
public static void main(String[] args) {
    ProxyFactory factory = new ProxyFactory(new SimplePojo());
    factory.adddInterface(Pojo.class);
    factory.addAdvice(new RetryAdvice());
    factory.setExposeProxy(true);

    Pojo pojo = (Pojo) factory.getProxy();

    // this is a method call on the proxy!
    pojo.foo();
}
```

Finally, it must be noted that AspectJ does not have this self-invocation issue because it is not a proxy-based AOP framework.

# 6.7. Programmatic creation of @AspectJ Proxies

In addition to declaring aspects in your configuration using either <code><aop:config></code> or <code><aop:aspectj-autoproxy></code>, it is also possible programmatically to create proxies that advise target objects. For the full details of Spring's AOP API, see the next chapter. Here we want to focus on the ability to automatically create proxies using <code>@AspectJ</code> aspects.

The class org.springframework.aop.aspectj.annotation.AspectJProxyFactory can be used to create a proxy for a target object that is advised by one or more @AspectJ aspects. Basic usage for this class is very simple, as illustrated below. See the Javadocs for full information.

```
// create a factory that can generate a proxy for the given target object
AspectJProxyFactory factory = new AspectJProxyFactory(targetObject);

// add an aspect, the class must be an @AspectJ aspect
// you can call this as many times as you need with different aspects
factory.addAspect(SecurityManager.class);

// you can also add existing aspect instances, the type of the object supplied must be an @AspectJ aspect
factory.addAspect(usageTracker);

// now get the proxy object...
MyInterfaceType proxy = factory.getProxy();
```

# 6.8. Using AspectJ with Spring applications

Everything we've covered so far in this chapter is pure Spring AOP. In this section, we're going to look at how you can use the AspectJ compiler/weaver instead of, or in addition to, Spring AOP if your needs go beyond the facilities offered by Spring AOP alone.

Spring ships with a small AspectJ aspect library, which is available standalone in your distribution as spring-aspects.jar; you'll need to add this to your classpath in order to use the aspects in it. Section 6.8.1, "Using AspectJ to dependency inject domain objects with Spring" and Section 6.8.2, "Other Spring aspects for AspectJ" discuss the content of this library and how you can use it. Section 6.8.3, "Configuring AspectJ aspects using Spring IoC" discusses how to dependency inject AspectJ aspects that are woven using the AspectJ compiler. Finally, Section 6.8.4, "Load-time weaving with AspectJ in the Spring Framework" provides an introduction to load-time weaving for Spring applications using AspectJ.

## 6.8.1. Using AspectJ to dependency inject domain objects with Spring

The Spring container instantiates and configures beans defined in your application context. It is also possible to ask a bean factory to configure a *pre-existing* object given the name of a bean definition containing the configuration to be applied. The <code>spring-aspects.jar</code> contains an annotation-driven aspect that exploits this capability to allow dependency injection of *any object*. The support is intended to be used for objects created *outside of the control of any container*. Domain objects often fall into this category because they are often created programmatically using the <code>new</code> operator, or by an ORM tool as a result of a database query.

The @Configurable annotation marks a class as eligible for Spring-driven configuration. In the simplest case it can be used just as a marker annotation:

```
package com.xyz.myapp.domain;
import org.springframework.beans.factory.annotation.Configurable;
@Configurable
public class Account {
    // ...
}
```

When used as a marker interface in this way, Spring will configure new instances of the annotated type (Account in this case) using a prototype-scoped bean definition with the same name as the fully-qualified type name (com.xyz.myapp.domain.Account). Since the default name for a bean is the fully-qualified name of its type, a convenient way to declare the prototype definition is simply to omit the id attribute:

If you want to explicitly specify the name of the prototype bean definition to use, you can do so directly in the annotation:

```
package com.xyz.myapp.domain;
import org.springframework.beans.factory.annotation.Configurable;
@Configurable("account")
public class Account {
    // ...
}
```

Spring will now look for a bean definition named "account" and use that as the definition to configure new Account instances.

You can also use autowiring to avoid having to specify a prototype-scoped bean definition at all. To have Spring apply autowiring use the 'autowire' property of the @Configurable annotation: specify either @Configurable(autowire=Autowire.BY\_TYPE) or @Configurable(autowire=Autowire.BY\_NAME for autowiring by type or by name respectively. As an alternative, as of Spring 2.5 it is preferable to specify explicit, annotation-driven dependency injection for your @Configurable beans by using @Autowired and @Resource at the field or method level (see Section 3.11, "Annotation-based configuration" for further details).

Finally you can enable Spring dependency checking for the object references in the newly created and configured object by using the dependencyCheck attribute (for example: @Configurable(autowire=Autowire.BY\_NAME,dependencyCheck=true)). If this attribute is set to true, then Spring will validate after configuration that all properties (which are not primitives or collections) have been set.

Using the annotation on its own does nothing of course. It is the AnnotationBeanConfigurerAspect in spring-aspects.jar that acts on the presence of the annotation. In essence the aspect says "after returning

from the initialization of a new object of a type annotated with @Configurable, configure the newly created object using Spring in accordance with the properties of the annotation". In this context, *initialization* refers to newly instantiated objects (e.g., objects instantiated with the 'new' operator) as well as to Serializable objects that are undergoing deserialization (e.g., via <a href="readResolve()">readResolve()</a>).



#### **Note**

One of the key phrases in the above paragraph is 'in essence'. For most cases, the exact semantics of 'after returning from the initialization of a new object' will be fine... in this context, 'after initialization' means that the dependencies will be injected after the object has been constructed this means that the dependencies will not be available for use in the constructor bodies of the class. If you want the dependencies to be injected before the constructor bodies execute, and thus be available for use in the body of the constructors, then you need to define this on the @Configurable declaration like so:

```
@Configurable(preConstruction=true)
```

You can find out more information about the language semantics of the various pointcut types in AspectJ in this appendix of the AspectJ Programming Guide.

For this to work the annotated types must be woven with the AspectJ weaver - you can either use a build-time Ant or Maven task to do this (see for example the <u>AspectJ Development Environment Guide</u>) or load-time weaving (see Section 6.8.4, "Load-time weaving with AspectJ in the Spring Framework"). The AnnotationBeanConfigurerAspect itself needs configuring by Spring (in order to obtain a reference to the bean factory that is to be used to configure new objects). The Spring <u>context namespace</u> defines a convenient tag for doing this: just include the following in your application context configuration:

```
<context:spring-configured/>
```

If you are using the DTD instead of schema, the equivalent definition is:

```
<bean
    class="org.springframework.beans.factory.aspectj.AnnotationBeanConfigurerAspect"
    factory-method="aspectOf"/>
```

Instances of @Configurable objects created *before* the aspect has been configured will result in a warning being issued to the log and no configuration of the object taking place. An example might be a bean in the Spring configuration that creates domain objects when it is initialized by Spring. In this case you can use the "depends-on" bean attribute to manually specify that the bean depends on the configuration aspect.

```
<bean id="myService"
  class="com.xzy.myapp.service.MyService"
  depends-on="org.springframework.beans.factory.aspectj.AnnotationBeanConfigurerAspect">
  <!-- ... -->
  </bean>
```

#### 6.8.1.1. Unit testing @Configurable objects

One of the goals of the @Configurable support is to enable independent unit testing of domain objects without the difficulties associated with hard-coded lookups. If @Configurable types have not been woven by AspectJ then the annotation has no affect during unit testing, and you can simply set mock or stub property references in the object under test and proceed as normal. If @Configurable types have been woven by AspectJ then you can

still unit test outside of the container as normal, but you will see a warning message each time that you construct an @Configurable object indicating that it has not been configured by Spring.

#### 6.8.1.2. Working with multiple application contexts

The AnnotationBeanConfigurerAspect used to implement the @Configurable support is an AspectJ singleton aspect. The scope of a singleton aspect is the same as the scope of static members, that is to say there is one aspect instance per classloader that defines the type. This means that if you define multiple application contexts within the same classloader hierarchy you need to consider where to define the <context:spring-configured/> bean and where to place spring-aspects.jar on the classpath.

Consider a typical Spring web-app configuration with a shared parent application context defining common business services and everything needed to support them, and one child application context per servlet containing definitions particular to that servlet. All of these contexts will co-exist within the same classloader hierarchy, and so the AnnotationBeanConfigurerAspect can only hold a reference to one of them. In this case we recommend defining the <context:spring-configured/> bean in the shared (parent) application context: this defines the services that you are likely to want to inject into domain objects. A consequence is that you cannot configure domain objects with references to beans defined in the child (servlet-specific) contexts using the @Configurable mechanism (probably not something you want to do anyway!).

When deploying multiple web-apps within the same container, ensure that each web-application loads the types in spring-aspects.jar using its own classloader (for example, by placing spring-aspects.jar in 'WEB-INF/lib'). If spring-aspects.jar is only added to the container wide classpath (and hence loaded by the shared parent classloader), all web applications will share the same aspect instance which is probably not what you want.

## 6.8.2. Other Spring aspects for AspectJ

In addition to the @Configurable aspect, spring-aspects.jar contains an AspectJ aspect that can be used to drive Spring's transaction management for types and methods annotated with the @Transactional annotation. This is primarily intended for users who want to use the Spring Framework's transaction support outside of the Spring container.

The aspect that interprets @Transactional annotations is the AnnotationTransactionAspect. When using this aspect, you must annotate the *implementation* class (and/or methods within that class), *not* the interface (if any) that the class implements. AspectJ follows Java's rule that annotations on interfaces are *not inherited*.

A @Transactional annotation on a class specifies the default transaction semantics for the execution of any *public* operation in the class.

A @Transactional annotation on a method within the class overrides the default transaction semantics given by the class annotation (if present). Methods with public, protected, and default visibility may all be annotated. Annotating protected and default visibility methods directly is the only way to get transaction demarcation for the execution of such methods.

For AspectJ programmers that want to use the Spring configuration and transaction management support but don't want to (or cannot) use annotations, <code>spring-aspects.jar</code> also contains <code>abstract</code> aspects you can extend to provide your own pointcut definitions. See the sources for the <code>AbstractBeanConfigurerAspect</code> and <code>AbstractTransactionAspect</code> aspects for more information. As an example, the following excerpt shows how you could write an aspect to configure all instances of objects defined in the domain model using prototype bean definitions that match the fully-qualified class names:

```
public aspect DomainObjectConfiguration extends AbstractBeanConfigurerAspect {
   public DomainObjectConfiguration() {
```

```
setBeanWiringInfoResolver(new ClassNameBeanWiringInfoResolver());
}

// the creation of a new bean (any object in the domain model)
protected pointcut beanCreation(Object beanInstance) :
   initialization(new(..)) &&
   SystemArchitecture.inDomainModel() &&
   this(beanInstance);
}
```

## 6.8.3. Configuring AspectJ aspects using Spring IoC

When using AspectJ aspects with Spring applications, it is natural to both want and expect to be able to configure such aspects using Spring. The AspectJ runtime itself is responsible for aspect creation, and the means of configuring the AspectJ created aspects via Spring depends on the AspectJ instantiation model (the 'per-xxx' clause) used by the aspect.

The majority of AspectJ aspects are *singleton* aspects. Configuration of these aspects is very easy: simply create a bean definition referencing the aspect type as normal, and include the bean attribute 'factory-method="aspectof"'. This ensures that Spring obtains the aspect instance by asking AspectJ for it rather than trying to create an instance itself. For example:

Non-singleton aspects are harder to configure: however it is possible to do so by creating prototype bean definitions and using the @Configurable support from spring-aspects.jar to configure the aspect instances once they have bean created by the AspectJ runtime.

If you have some @AspectJ aspects that you want to weave with AspectJ (for example, using load-time weaving for domain model types) and other @AspectJ aspects that you want to use with Spring AOP, and these aspects are all configured using Spring, then you will need to tell the Spring AOP @AspectJ autoproxying support which exact subset of the @AspectJ aspects defined in the configuration should be used for autoproxying. You can do this by using one or more <include/> elements inside the <aop:aspectj-autoproxy/> declaration. Each <include/> element specifies a name pattern, and only beans with names matched by at least one of the patterns will be used for Spring AOP autoproxy configuration:

```
<aop:aspectj-autoproxy>
  <aop:include name="thisBean"/>
  <aop:include name="thatBean"/>
  </aop:aspectj-autoproxy>
```



#### Note

Do not be misled by the name of the <code><aop:aspectj-autoproxy/></code> element: using it will result in the creation of *Spring AOP proxies*. The @AspectJ style of aspect declaration is just being used here, but the AspectJ runtime is *not* involved.

## 6.8.4. Load-time weaving with AspectJ in the Spring Framework

Load-time weaving (LTW) refers to the process of weaving AspectJ aspects into an application's class files as

they are being loaded into the Java virtual machine (JVM). The focus of this section is on configuring and using LTW in the specific context of the Spring Framework: this section is not an introduction to LTW though. For full details on the specifics of LTW and configuring LTW with just AspectJ (with Spring not being involved at all), see the LTW section of the AspectJ Development Environment Guide.

The value-add that the Spring Framework brings to AspectJ LTW is in enabling much finer-grained control over the weaving process. 'Vanilla' AspectJ LTW is effected using a Java (5+) agent, which is switched on by specifying a VM argument when starting up a JVM. It is thus a JVM-wide setting, which may be fine in some situations, but often is a little too coarse. Spring-enabled LTW enables you to switch on LTW on a per-ClassLoader basis, which obviously is more fine-grained and which can make more sense in a 'single-JVM-multiple-application' environment (such as is found in a typical application server environment).

Further, in certain environments, this support enables load-time weaving without making any modifications to the application server's launch script that will be needed to add -javaagent:path/to/aspectjweaver.jar or (as we describe later in this section) -javaagent:path/to/spring-agent.jar. Developers simply modify one or more files that form the application context to enable load-time weaving instead of relying on administrators who typically are in charge of the deployment configuration such as the launch script.

Now that the sales pitch is over, let us first walk through a quick example of AspectJ LTW using Spring, followed by detailed specifics about elements introduced in the following example. For a complete example, please see the Petclinic sample application.

### 6.8.4.1. A first example

Let us assume that you are an application developer who has been tasked with diagnosing the cause of some performance problems in a system. Rather than break out a profiling tool, what we are going to do is switch on a simple profiling aspect that will enable us to very quickly get some performance metrics, so that we can then apply a finer-grained profiling tool to that specific area immediately afterwards.

Here is the profiling aspect. Nothing too fancy, just a quick-and-dirty time-based profiler, using the @AspectJ-style of aspect declaration.

```
package foo;
import org.aspectj.lang.ProceedingJoinPoint;
import org.aspectj.lang.annotation.Aspect;
import org.aspectj.lang.annotation.Around;
import org.aspectj.lang.annotation.Pointcut;
import org.springframework.util.StopWatch;
import org.springframework.core.annotation.Order;
@Aspect
public class ProfilingAspect {
    @Around("methodsToBeProfiled()")
    public Object profile(ProceedingJoinPoint pjp) throws Throwable {
        StopWatch sw = new StopWatch(getClass().getSimpleName());
            sw.start(pjp.getSignature().getName());
            return pjp.proceed();
        } finally {
            sw.stop();
            System.out.println(sw.prettyPrint());
        }
    }
    @Pointcut("execution(public * foo..*.*(..))")
    public void methodsToBeProfiled(){}
```

We will also need to create an 'META-INF/aop.xml' file, to inform the AspectJ weaver that we want to weave

our ProfilingAspect into our classes. This file convention, namely the presence of a file (or files) on the Java classpath called 'META-INF/aop.xml' is standard AspectJ.

Now to the Spring-specific portion of the configuration. We need to configure a LoadTimeWeaver (all explained later, just take it on trust for now). This load-time weaver is the essential component responsible for weaving the aspect configuration in one or more 'META-INF/aop.xml' files into the classes in your application. The good thing is that it does not require a lot of configuration, as can be seen below (there are some more options that you can specify, but these are detailed later).

Now that all the required artifacts are in place - the aspect, the 'META-INF/aop.xml' file, and the Spring configuration -, let us create a simple driver class with a main(..) method to demonstrate the LTW in action.

There is one last thing to do. The introduction to this section did say that one could switch on LTW selectively

on a per-ClassLoader basis with Spring, and this is true. However, just for this example, we are going to use a Java agent (supplied with Spring) to switch on the LTW. This is the command line we will use to run the above Main class:

```
java -javaagent:C:/projects/foo/lib/global/spring-agent.jar foo.Main
```

The '-javaagent' is a Java 5+ flag for specifying and enabling agents to instrument programs running on the <u>JVM</u>. The Spring Framework ships with such an agent, the InstrumentationSavingAgent, which is packaged in the spring-agent.jar that was supplied as the value of the -javaagent argument in the above example.

The output from the execution of the Main program will look something like that below. (I have introduced a Thread.sleep(..) statement into the calculateEntitlement() implementation so that the profiler actually captures something other than 0 milliseconds - the 01234 milliseconds is *not* an overhead introduced by the AOP:))

```
Calculating entitlement

StopWatch 'ProfilingAspect': running time (millis) = 1234

-----
ms % Task name
-----
01234 100% calculateEntitlement
```

Since this LTW is effected using full-blown AspectJ, we are not just limited to advising Spring beans; the following slight variation on the Main program will yield the same result.

Notice how in the above program we are simply bootstrapping the Spring container, and then creating a new instance of the StubEntitlementCalculationService totally outside the context of Spring... the profiling advice still gets woven in.

The example admittedly is simplistic... however the basics of the LTW support in Spring have all been introduced in the above example, and the rest of this section will explain the 'why' behind each bit of configuration and usage in detail.



#### Note

The ProfilingAspect used in this example may be basic, but it is quite useful. It is a nice example of a development-time aspect that developers can use during development (of course), and then quite easily exclude from builds of the application being deployed into UAT or production.

#### 6.8.4.2. Aspects

The aspects that you use in LTW have to be AspectJ aspects. They can be written in either the AspectJ language itself or you can write your aspects in the @AspectJ-style. The latter option is of course only an option if you are using Java 5+, but it does mean that your aspects are then both valid AspectJ and Spring AOP aspects. Furthermore, the compiled aspect classes need to be available on the classpath.

#### 6.8.4.3. 'META-INF/aop.xml'

The AspectJ LTW infrastructure is configured using one or more 'META-INF/aop.xml' files, that are on the Java classpath (either directly, or more typically in jar files).

The structure and contents of this file is detailed in the main AspectJ reference documentation, and the interested reader is <u>referred to that resource</u>. (I appreciate that this section is brief, but the 'aop.xml' file is 100% AspectJ - there is no Spring-specific information or semantics that apply to it, and so there is no extra value that I can contribute either as a result), so rather than rehash the quite satisfactory section that the AspectJ developers wrote, I am just directing you there.)

#### 6.8.4.4. Required libraries (JARS)

At a minimum you will need the following libraries to use the Spring Framework's support for AspectJ LTW:

- 1. spring.jar (version 2.5 or later)
- 2. aspect jrt. jar (version 1.5 or later)
- 3. aspectjweaver.jar (version 1.5 or later)

If you are using the Spring-provided agent to enable instrumentation, you will also need:

1. spring-agent.jar

#### 6.8.4.5. Spring configuration

The key component in Spring's LTW support is the LoadTimeWeaver interface (in the org.springframework.instrument.classloading package), and the numerous implementations of it that ship with the Spring distribution. A LoadTimeWeaver is responsible for adding one or more java.lang.instrument.ClassFileTransformers to a ClassLoader at runtime, which opens the door to all manner of interesting applications, one of which happens to be the LTW of aspects.



#### Tip

If you are unfamiliar with the idea of runtime class file transformation, you are encouraged to read the Javadoc API documentation for the <code>java.lang.instrument</code> package before continuing. This is not a huge chore because there is - rather annoyingly - precious little documentation there... the key interfaces and classes will at least be laid out in front of you for reference as you read through this section.

Configuring a LoadTimeWeaver using XML for a particular ApplicationContext can be as easy as adding one line. (Please note that you almost certainly will need to be using an ApplicationContext as your Spring container - typically a BeanFactory will not be enough because the LTW support makes use of

BeanFactoryPostProcessors.)

To enable the Spring Framework's LTW support, you need to configure a LoadTimeWeaver, which typically is done using the <context:load-time-weaver/> element. Find below a valid <context:load-time-weaver/> definition that uses default settings.

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xmlns:context="http://www.springframework.org/schema/context"
    xsi:schemaLocation="
http://www.springframework.org/schema/beans http://www.springframework.org/schema/beans/spring-beans-2.5.xsd
http://www.springframework.org/schema/context http://www.springframework.org/schema/context/spring-context-2.5.x
    <context:load-time-weaver/>
</beans>
```

The above <context:load-time-weaver/> bean definition will define and register a number of LTW-specific infrastructure beans for you automatically, such as a LoadTimeWeaver and an AspectJWeavingEnabler. Notice how the <context:load-time-weaver/> is defined in the 'context' namespace; note also that the referenced XML Schema file is only available in versions of Spring 2.5 and later.

What the above configuration does is define and register a default LoadTimeWeaver bean for you. The default LoadTimeWeaver is the DefaultContextLoadTimeWeaver class, which attempts to decorate an automatically detected LoadTimeWeaver: the exact type of LoadTimeWeaver that will be 'automatically detected' is dependent upon your runtime environment (summarised in the following table).

Table 6.1. DefaultContextLoadTimeWeaver LoadTimeWeavers

Runtime Environment	LoadTimeWeaver implementation
Running in BEA's Weblogic 10	WebLogicLoadTimeWeaver
Running in Oracle's OC4J	OC4JLoadTimeWeaver
Running in GlassFish	GlassFishLoadTimeWeaver
JVM started with Spring InstrumentationSavingAgent	InstrumentationLoadTimeWeaver
(java -javaagent:path/to/spring-agent.jar)	
Fallback, expecting the underlying ClassLoader to follow common conventions (e.g. applicable to TomcatInstrumentableClassLoader and to Resin)	ReflectiveLoadTimeWeaver

Note that these are just the LoadTimeWeavers that are autodetected when using the DefaultContextLoadTimeWeaver: it is of course possible to specify exactly which LoadTimeWeaver implementation that you wish to use by specifying the fully-qualified classname as the value of the 'weaver-class' attribute of the <context:load-time-weaver/> element. Find below an example of doing just that:

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"</pre>
```

```
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xmlns:context="http://www.springframework.org/schema/context"
    xsi:schemaLocation="
http://www.springframework.org/schema/beans http://www.springframework.org/schema/beans/spring-beans-2.5.xsd
http://www.springframework.org/schema/context http://www.springframework.org/schema/context/spring-context-2.5.xsd
context:load-time-weaver
    weaver-class="org.springframework.instrument.classloading.ReflectiveLoadTimeWeaver"/>
    </beans>
```

The LoadTimeWeaver that is defined and registered by the <context:load-time-weaver/> element can be later retrieved from the Spring container using the well-known name 'loadTimeWeaver'. Remember that the LoadTimeWeaver exists just as a mechanism for Spring's LTW infrastructure to add one or more ClassFileTransformers. The actual ClassFileTransformer that does the LTW is the ClassPreProcessorAgentAdapter (from the org.aspectj.weaver.loadtime package) class. See the class-level Javadoc for the ClassPreProcessorAgentAdapter class for further details, because the specifics of how the weaving is actually effected is beyond the scope of this section.

There is one final attribute of the <context:load-time-weaver/> left to discuss: the 'aspectj-weaving' attribute. This is a simple attribute that controls whether LTW is enabled or not, it is as simple as that. It accepts one of three possible values, summarised below, with the default value if the attribute is not present being 'autodetect'

Table 6.2. 'aspectj-weaving' attribute values

Attribute Value	Explanation
on	AspectJ weaving is on, and aspects will be woven at load-time as appropriate.
off	LTW is off no aspect will be woven at load-time.
autodetect	If the Spring LTW infrastructure can find at least one 'META-INF/aop.xml' file, then AspectJ weaving is on, else it is off. This is the default value.

#### 6.8.4.6. Environment-specific configuration

This last section contains any additional settings and configuration that you will need when using Spring's LTW support in environments such as application servers and web containers.

## 6.8.4.6.1. Generic Java applications

You may enable Spring's support for LTW in any Java application (standalone as well as application server based) through the use of the Spring-provided instrumentation agent. To do so, start the VM by specifying the <code>-javaagent:path/to/spring-agent.jar</code> option. Note that this requires modification of the VM launch script which may prevent you from using this in application server environments (depending on your operation policies).

#### 6.8.4.6.2. Tomcat

For web applications deployed onto Apache Tomcat 5.0 and above, Spring provides a

TomcatInstrumentableClassLoader to be registered as the web app class loader. The required Tomcat setup looks as follows, to be included either in Tomcat's central server.xml file or in an application-specific META-INF/context.xml file within the WAR root. Spring's spring-tomcat-weaver.jar needs to be included in Tomcat's common lib directory in order to make this setup work.

Note: We generally recommend Tomcat 5.5.20 or above when enabling load-time weaving. Prior versions have known issues with custom ClassLoader setup.

Alternatively, consider the use of the Spring-provided generic VM agent, to be specified in Tomcat's launch script (see above). This will make instrumentation available to all deployed web applications, no matter which ClassLoader they happen to run on.

For a more detailed discussion of Tomcat-based weaving setup, check out the Section 12.6.1.3.1, "Tomcat load-time weaving setup (5.0+)" section which discusses specifics of various Tomcat versions. While the primary focus of that section is on JPA persistence provider setup, the Tomcat setup characteristics apply to general load-time weaving as well.

### 6.8.4.6.3. WebLogic, OC4J, Resin, GlassFish

Recent versions of BEA WebLogic (version 10 and above), Oracle Containers for Java EE (OC4J 10.1.3.1 and above) and Resin (3.1 and above) provide a ClassLoader that is capable of local instrumentation. Spring's native LTW leverages such ClassLoaders to enable AspectJ weaving. You can enable LTW by simply activating context:load-time-weaver as described earlier. Specifically, you do *not* need to modify the launch script to add -javaagent:path/to/spring-agent.jar.

GlassFish provides an instrumentation-capable ClassLoader as well, but only in its EAR environment. For GlassFish web applications, follow the Tomcat setup instructions as outlined above.

## 6.9. Further Resources

More information on AspectJ can be found on the AspectJ website.

The book *Eclipse AspectJ* by Adrian Colyer et. al. (Addison-Wesley, 2005) provides a comprehensive introduction and reference for the AspectJ language.

The book *AspectJ in Action* by Ramnivas Laddad (Manning, 2003) comes highly recommended; the focus of the book is on AspectJ, but a lot of general AOP themes are explored (in some depth).

# **Chapter 7. Spring AOP APIs**

### 7.1. Introduction

The previous chapter described the Spring 2.0 support for AOP using @AspectJ and schema-based aspect definitions. In this chapter we discuss the lower-level Spring AOP APIs and the AOP support used in Spring 1.2 applications. For new applications, we recommend the use of the Spring 2.0 AOP support described in the previous chapter, but when working with existing applications, or when reading books and articles, you may come across Spring 1.2 style examples. Spring 2.0 is fully backwards compatible with Spring 1.2 and everything described in this chapter is fully supported in Spring 2.0.

# 7.2. Pointcut API in Spring

Let's look at how Spring handles the crucial pointcut concept.

## 7.2.1. Concepts

Spring's pointcut model enables pointcut reuse independent of advice types. It's possible to target different advice using the same pointcut.

The org.springframework.aop.Pointcut interface is the central interface, used to target advices to particular classes and methods. The complete interface is shown below:

```
public interface Pointcut {
    ClassFilter getClassFilter();
    MethodMatcher getMethodMatcher();
}
```

Splitting the Pointcut interface into two parts allows reuse of class and method matching parts, and fine-grained composition operations (such as performing a "union" with another method matcher).

The ClassFilter interface is used to restrict the pointcut to a given set of target classes. If the matches() method always returns true, all target classes will be matched:

```
public interface ClassFilter {
    boolean matches(Class clazz);
}
```

The MethodMatcher interface is normally more important. The complete interface is shown below:

```
public interface MethodMatcher {
   boolean matches(Method m, Class targetClass);
   boolean isRuntime();
   boolean matches(Method m, Class targetClass, Object[] args);
}
```

The matches (Method, Class) method is used to test whether this pointcut will ever match a given method on

a target class. This evaluation can be performed when an AOP proxy is created, to avoid the need for a test on every method invocation. If the 2-argument matches method returns true for a given method, and the <code>isRuntime()</code> method for the MethodMatcher returns true, the 3-argument matches method will be invoked on every method invocation. This enables a pointcut to look at the arguments passed to the method invocation immediately before the target advice is to execute.

Most MethodMatchers are static, meaning that their isRuntime() method returns false. In this case, the 3-argument matches method will never be invoked.



### Tip

If possible, try to make pointcuts static, allowing the AOP framework to cache the results of pointcut evaluation when an AOP proxy is created.

## 7.2.2. Operations on pointcuts

Spring supports operations on pointcuts: notably, union and intersection.

- Union means the methods that either pointcut matches.
- Intersection means the methods that both pointcuts match.
- Union is usually more useful.
- Pointcuts can be composed using the static methods in the *org.springframework.aop.support.Pointcuts* class, or using the *ComposablePointcut* class in the same package. However, using AspectJ pointcut expressions is usually a simpler approach.

## 7.2.3. AspectJ expression pointcuts

Since 2.0, the most important type of pointcut used by Spring is org.springframework.aop.aspectj.AspectJExpressionPointcut. This is a pointcut that uses an AspectJ supplied library to parse an AspectJ pointcut expression string.

See the previous chapter for a discussion of supported AspectJ pointcut primitives.

## 7.2.4. Convenience pointcut implementations

Spring provides several convenient pointcut implementations. Some can be used out of the box; others are intended to be subclassed in application-specific pointcuts.

### 7.2.4.1. Static pointcuts

Static pointcuts are based on method and target class, and cannot take into account the method's arguments. Static pointcuts are sufficient - *and best* - for most usages. It's possible for Spring to evaluate a static pointcut only once, when a method is first invoked: after that, there is no need to evaluate the pointcut again with each method invocation.

Let's consider some static pointcut implementations included with Spring.

## 7.2.4.1.1. Regular expression pointcuts

One obvious way to specify static pointcuts is regular expressions. Several AOP frameworks besides Spring make this possible. org.springframework.aop.support.Perl5RegexpMethodPointcut is a generic regular expression pointcut, using Perl 5 regular expression syntax. The Perl5RegexpMethodPointcut class depends on Jakarta ORO for regular expression matching. Spring also provides the JdkRegexpMethodPointcut class that uses the regular expression support in JDK 1.4+.

Using the Perl5RegexpMethodPointcut class, you can provide a list of pattern Strings. If any of these is a match, the pointcut will evaluate to true. (So the result is effectively the union of these pointcuts.)

The usage is shown below:

Spring provides a convenience class, RegexpMethodPointcutAdvisor, that allows us to also reference an Advice (remember that an Advice can be an interceptor, before advice, throws advice etc.). Behind the scenes, Spring will use a JdkRegexpMethodPointcut. Using RegexpMethodPointcutAdvisor simplifies wiring, as the one bean encapsulates both pointcut and advice, as shown below:

RegexpMethodPointcutAdvisor can be used with any Advice type.

## 7.2.4.1.2. Attribute-driven pointcuts

An important type of static pointcut is a *metadata-driven* pointcut. This uses the values of metadata attributes: typically, source-level metadata.

#### 7.2.4.2. Dynamic pointcuts

Dynamic pointcuts are costlier to evaluate than static pointcuts. They take into account method *arguments*, as well as static information. This means that they must be evaluated with every method invocation; the result cannot be cached, as arguments will vary.

The main example is the control flow pointcut.

## 7.2.4.2.1. Control flow pointcuts

Spring control flow pointcuts are conceptually similar to AspectJ cflow pointcuts, although less powerful.

(There is currently no way to specify that a pointcut executes below a join point matched by another pointcut.) A control flow pointcut matches the current call stack. For example, it might fire if the join point was invoked by a method in the com.mycompany.web package, or by the SomeCaller class. Control flow pointcuts are specified using the org.springframework.aop.support.ControlFlowPointcut class.



#### Note

Control flow pointcuts are significantly more expensive to evaluate at runtime than even other dynamic pointcuts. In Java 1.4, the cost is about 5 times that of other dynamic pointcuts.

## 7.2.5. Pointcut superclasses

Spring provides useful pointcut superclasses to help you to implement your own pointcuts.

Because static pointcuts are most useful, you'll probably subclass StaticMethodMatcherPointcut, as shown below. This requires implementing just one abstract method (although it's possible to override other methods to customize behavior):

```
class TestStaticPointcut extends StaticMethodMatcherPointcut {
   public boolean matches(Method m, Class targetClass) {
        // return true if custom criteria match
   }
}
```

There are also superclasses for dynamic pointcuts.

You can use custom pointcuts with any advice type in Spring 1.0 RC2 and above.

## 7.2.6. Custom pointcuts

Because pointcuts in Spring AOP are Java classes, rather than language features (as in AspectJ) it's possible to declare custom pointcuts, whether static or dynamic. Custom pointcuts in Spring can be arbitrarily complex. However, using the AspectJ pointcut expression language is recommended if possible.



#### Note

Later versions of Spring may offer support for "semantic pointcuts" as offered by JAC: for example, "all methods that change instance variables in the target object."

## 7.3. Advice API in Spring

Let's now look at how Spring AOP handles advice.

## 7.3.1. Advice lifecycles

Each advice is a Spring bean. An advice instance can be shared across all advised objects, or unique to each advised object. This corresponds to *per-class* or *per-instance* advice.

Per-class advice is used most often. It is appropriate for generic advice such as transaction advisors. These do not depend on the state of the proxied object or add new state; they merely act on the method and arguments.

Per-instance advice is appropriate for introductions, to support mixins. In this case, the advice adds state to the proxied object.

It's possible to use a mix of shared and per-instance advice in the same AOP proxy.

## 7.3.2. Advice types in Spring

Spring provides several advice types out of the box, and is extensible to support arbitrary advice types. Let us look at the basic concepts and standard advice types.

#### 7.3.2.1. Interception around advice

The most fundamental advice type in Spring is interception around advice.

Spring is compliant with the AOP Alliance interface for around advice using method interception. MethodInterceptors implementing around advice should implement the following interface:

```
public interface MethodInterceptor extends Interceptor {
    Object invoke(MethodInvocation invocation) throws Throwable;
}
```

The MethodInvocation argument to the invoke() method exposes the method being invoked; the target join point; the AOP proxy; and the arguments to the method. The invoke() method should return the invocation's result: the return value of the join point.

A simple MethodInterceptor implementation looks as follows:

```
public class DebugInterceptor implements MethodInterceptor {
    public Object invoke(MethodInvocation invocation) throws Throwable {
        System.out.println("Before: invocation=[" + invocation + "]");
        Object rval = invocation.proceed();
        System.out.println("Invocation returned");
        return rval;
    }
}
```

Note the call to the MethodInvocation's proceed() method. This proceeds down the interceptor chain towards the join point. Most interceptors will invoke this method, and return its return value. However, a MethodInterceptor, like any around advice, can return a different value or throw an exception rather than invoke the proceed method. However, you don't want to do this without good reason!



#### Note

MethodInterceptors offer interoperability with other AOP Alliance-compliant AOP implementations. The other advice types discussed in the remainder of this section implement common AOP concepts, but in a Spring-specific way. While there is an advantage in using the most specific advice type, stick with MethodInterceptor around advice if you are likely to want to run the aspect in another AOP framework. Note that pointcuts are not currently interoperable between frameworks, and the AOP Alliance does not currently define pointcut interfaces.

#### 7.3.2.2. Before advice

A simpler advice type is a before advice. This does not need a MethodInvocation object, since it will only be

called before entering the method.

The main advantage of a before advice is that there is no need to invoke the proceed() method, and therefore no possibility of inadvertently failing to proceed down the interceptor chain.

The MethodBeforeAdvice interface is shown below. (Spring's API design would allow for field before advice, although the usual objects apply to field interception and it's unlikely that Spring will ever implement it).

```
public interface MethodBeforeAdvice extends BeforeAdvice {
    void before(Method m, Object[] args, Object target) throws Throwable;
}
```

Note the return type is void. Before advice can insert custom behavior before the join point executes, but cannot change the return value. If a before advice throws an exception, this will abort further execution of the interceptor chain. The exception will propagate back up the interceptor chain. If it is unchecked, or on the signature of the invoked method, it will be passed directly to the client; otherwise it will be wrapped in an unchecked exception by the AOP proxy.

An example of a before advice in Spring, which counts all method invocations:

```
public class CountingBeforeAdvice implements MethodBeforeAdvice {
   private int count;
   public void before(Method m, Object[] args, Object target) throws Throwable {
        ++count;
   }
   public int getCount() {
        return count;
   }
}
```



### Tip

Before advice can be used with any pointcut.

#### 7.3.2.3. Throws advice

Throws advice is invoked after the return of the join point if the join point threw an exception. Spring offers typed throws advice. Note that this means that the org.springframework.aop.ThrowsAdvice interface does not contain any methods: It is a tag interface identifying that the given object implements one or more typed throws advice methods. These should be in the form of:

```
afterThrowing([Method, args, target], subclassOfThrowable)
```

Only the last argument is required. The method signatures may have either one or four arguments, depending on whether the advice method is interested in the method and arguments. The following classes are examples of throws advice.

The advice below is invoked if a RemoteException is thrown (including subclasses):

```
public class RemoteThrowsAdvice implements ThrowsAdvice {
    public void afterThrowing(RemoteException ex) throws Throwable {
        // Do something with remote exception
    }
}
```

The following advice is invoked if a ServletException is thrown. Unlike the above advice, it declares 4 arguments, so that it has access to the invoked method, method arguments and target object:

```
public class ServletThrowsAdviceWithArguments implements ThrowsAdvice {
    public void afterThrowing(Method m, Object[] args, Object target, ServletException ex) {
        // Do something with all arguments
    }
}
```

The final example illustrates how these two methods could be used in a single class, which handles both RemoteException and ServletException. Any number of throws advice methods can be combined in a single class.

```
public static class CombinedThrowsAdvice implements ThrowsAdvice {
    public void afterThrowing(RemoteException ex) throws Throwable {
        // Do something with remote exception
    }
    public void afterThrowing(Method m, Object[] args, Object target, ServletException ex) {
        // Do something with all arguments
    }
}
```

Note: If a throws-advice method throws an exception itself, it will override the original exception (i.e. change the exception thrown to the user). The overriding exception will typically be a RuntimeException; this is compatible with any method signature. However, if a throws-advice method throws a checked exception, it will have to match the declared exceptions of the target method and is hence to some degree coupled to specific target method signatures. Do not throw an undeclared checked exception that is incompatible with the target method's signature!



### Tip

Throws advice can be used with any pointcut.

#### 7.3.2.4. After Returning advice

An after returning advice in Spring must implement the *org.springframework.aop.AfterReturningAdvice* interface, shown below:

An after returning advice has access to the return value (which it cannot modify), invoked method, methods arguments and target.

The following after returning advice counts all successful method invocations that have not thrown exceptions:

```
public int getCount() {
    return count;
}
```

This advice doesn't change the execution path. If it throws an exception, this will be thrown up the interceptor chain instead of the return value.



### Tip

After returning advice can be used with any pointcut.

#### 7.3.2.5. Introduction advice

Spring treats introduction advice as a special kind of interception advice.

Introduction requires an IntroductionAdvisor, and an IntroductionInterceptor, implementing the following interface:

```
public interface IntroductionInterceptor extends MethodInterceptor {
   boolean implementsInterface(Class intf);
}
```

The invoke() method inherited from the AOP Alliance MethodInterceptor interface must implement the introduction: that is, if the invoked method is on an introduced interface, the introduction interceptor is responsible for handling the method call - it cannot invoke proceed().

Introduction advice cannot be used with any pointcut, as it applies only at class, rather than method, level. You can only use introduction advice with the IntroductionAdvisor, which has the following methods:

```
public interface IntroductionAdvisor extends Advisor, IntroductionInfo {
        ClassFilter getClassFilter();
        void validateInterfaces() throws IllegalArgumentException;
}

public interface IntroductionInfo {
        Class[] getInterfaces();
}
```

There is no MethodMatcher, and hence no Pointcut, associated with introduction advice. Only class filtering is logical.

The getInterfaces() method returns the interfaces introduced by this advisor.

The validateInterfaces() method is used internally to see whether or not the introduced interfaces can be implemented by the configured IntroductionInterceptor.

Let's look at a simple example from the Spring test suite. Let's suppose we want to introduce the following interface to one or more objects:

```
public interface Lockable {
    void lock();
    void unlock();
    boolean locked();
```

```
}
```

This illustrates a **mixin**. We want to be able to cast advised objects to Lockable, whatever their type, and call lock and unlock methods. If we call the lock() method, we want all setter methods to throw a LockedException. Thus we can add an aspect that provides the ability to make objects immutable, without them having any knowledge of it: a good example of AOP.

Firstly, we'll need an IntroductionInterceptor that does the heavy lifting. In this case, we extend the org.springframework.aop.support.DelegatingIntroductionInterceptor convenience class. We could implement IntroductionInterceptor directly, but using DelegatingIntroductionInterceptor is best for most cases.

The DelegatingIntroductionInterceptor is designed to delegate an introduction to an actual implementation of the introduced interface(s), concealing the use of interception to do so. The delegate can be set to any object using a constructor argument; the default delegate (when the no-arg constructor is used) is this. Thus in the example below, the delegate is the LockMixin subclass of DelegatingIntroductionInterceptor. Given a delegate (by default itself), a DelegatingIntroductionInterceptor instance looks for all interfaces implemented by the delegate (other than IntroductionInterceptor), and will support introductions against any of them. It's possible for subclasses such as LockMixin to call the suppressInterface(Class intf) method to suppress interfaces that should not be exposed. However, no matter how many interfaces an IntroductionInterceptor is prepared to support, the IntroductionAdvisor used will control which interfaces are actually exposed. An introduced interface will conceal any implementation of the same interface by the target.

Thus LockMixin subclasses <code>pelegatingIntroductionInterceptor</code> and implements Lockable itself. The superclass automatically picks up that Lockable can be supported for introduction, so we don't need to specify that. We could introduce any number of interfaces in this way.

Note the use of the locked instance variable. This effectively adds additional state to that held in the target object.

Often it isn't necessary to override the <code>invoke()</code> method: the <code>DelegatingIntroductionInterceptor</code> implementation - which calls the delegate method if the method is introduced, otherwise proceeds towards the join point - is usually sufficient. In the present case, we need to add a check: no setter method can be invoked if

in locked mode.

The introduction advisor required is simple. All it needs to do is hold a distinct LockMixin instance, and specify the introduced interfaces - in this case, just Lockable. A more complex example might take a reference to the introduction interceptor (which would be defined as a prototype): in this case, there's no configuration relevant for a LockMixin, so we simply create it using new.

```
public class LockMixinAdvisor extends DefaultIntroductionAdvisor {
    public LockMixinAdvisor() {
        super(new LockMixin(), Lockable.class);
    }
}
```

We can apply this advisor very simply: it requires no configuration. (However, it *is* necessary: It's impossible to use an IntroductionInterceptor without an *IntroductionAdvisor*.) As usual with introductions, the advisor must be per-instance, as it is stateful. We need a different instance of LockMixinAdvisor, and hence LockMixin, for each advised object. The advisor comprises part of the advised object's state.

We can apply this advisor programmatically, using the Advised.addAdvisor() method, or (the recommended way) in XML configuration, like any other advisor. All proxy creation choices discussed below, including "auto proxy creators," correctly handle introductions and stateful mixins.

# 7.4. Advisor API in Spring

In Spring, an Advisor is an aspect that contains just a single advice object associated with a pointcut expression.

Apart from the special case of introductions, any advisor can be used with any advice. org.springframework.aop.support.DefaultPointcutAdvisor is the most commonly used advisor class. For example, it can be used with a MethodInterceptor, BeforeAdvice or ThrowsAdvice.

It is possible to mix advisor and advice types in Spring in the same AOP proxy. For example, you could use a interception around advice, throws advice and before advice in one proxy configuration: Spring will automatically create the necessary interceptor chain.

# 7.5. Using the ProxyFactoryBean to create AOP proxies

If you're using the Spring IoC container (an ApplicationContext or BeanFactory) for your business objects - and you should be! - you will want to use one of Spring's AOP FactoryBeans. (Remember that a factory bean introduces a layer of indirection, enabling it to create objects of a different type.)



#### Note

The Spring 2.0 AOP support also uses factory beans under the covers.

The basic way to create an AOP proxy in Spring is to use the org.springframework.aop.framework.ProxyFactoryBean. This gives complete control over the pointcuts and advice that will apply, and their ordering. However, there are simpler options that are preferable if you don't need such control.

### 7.5.1. Basics

The ProxyFactoryBean, like other Spring FactoryBean implementations, introduces a level of indirection. If you define a ProxyFactoryBean with name foo, what objects referencing foo see is not the ProxyFactoryBean instance itself, but an object created by the ProxyFactoryBean's implementation of the getObject() method. This method will create an AOP proxy wrapping a target object.

One of the most important benefits of using a ProxyFactoryBean or another IoC-aware class to create AOP proxies, is that it means that advices and pointcuts can also be managed by IoC. This is a powerful feature, enabling certain approaches that are hard to achieve with other AOP frameworks. For example, an advice may itself reference application objects (besides the target, which should be available in any AOP framework), benefiting from all the pluggability provided by Dependency Injection.

# 7.5.2. JavaBean properties

In common with most FactoryBean implementations provided with Spring, the ProxyFactoryBean class is itself a JavaBean. Its properties are used to:

- Specify the target you want to proxy.
- Specify whether to use CGLIB (see below and also the section entitled Section 7.5.3, "JDK- and CGLIB-based proxies").

Some key properties are inherited from org.springframework.aop.framework.ProxyConfig (the superclass for all AOP proxy factories in Spring). These key properties include:

- proxyTargetClass: true if the target class is to be proxied, rather than the target class' interfaces. If this property value is set to true, then CGLIB proxies will be created (but see also below the section entitled Section 7.5.3, "JDK- and CGLIB-based proxies").
- optimize: controls whether or not aggressive optimizations are applied to proxies *created via CGLIB*. One should not blithely use this setting unless one fully understands how the relevant AOP proxy handles optimization. This is currently used only for CGLIB proxies; it has no effect with JDK dynamic proxies.
- frozen: if a proxy configuration is frozen, then changes to the configuration are no longer allowed. This is useful both as a slight optimization and for those cases when you don't want callers to be able to manipulate the proxy (via the Advised interface) after the proxy has been created. The default value of this property is false, so changes such as adding additional advice are allowed.
- exposeProxy: determines whether or not the current proxy should be exposed in a ThreadLocal so that it can be accessed by the target. If a target needs to obtain the proxy and the exposeProxy property is set to true, the target can use the AopContext.currentProxy() method.
- aopproxyFactory: the implementation of AopproxyFactory to use. Offers a way of customizing whether to use dynamic proxies, CGLIB or any other proxy strategy. The default implementation will choose dynamic proxies or CGLIB appropriately. There should be no need to use this property; it is intended to allow the addition of new proxy types in Spring 1.1.

Other properties specific to ProxyFactoryBean include:

- proxyInterfaces: array of String interface names. If this isn't supplied, a CGLIB proxy for the target class will be used (but see also below the section entitled Section 7.5.3, "JDK- and CGLIB-based proxies").
- interceptorNames: String array of Advisor, interceptor or other advice names to apply. Ordering is

significant, on a first come-first served basis. That is to say that the first interceptor in the list will be the first to be able to intercept the invocation.

The names are bean names in the current factory, including bean names from ancestor factories. You can't mention bean references here since doing so would result in the ProxyFactoryBean ignoring the singleton setting of the advice.

You can append an interceptor name with an asterisk (\*). This will result in the application of all advisor beans with names starting with the part before the asterisk to be applied. An example of using this feature can be found in Section 7.5.6, "Using 'global' advisors".

• singleton: whether or not the factory should return a single object, no matter how often the <code>getobject()</code> method is called. Several <code>FactoryBean</code> implementations offer such a method. The default value is true. If you want to use stateful advice - for example, for stateful mixins - use prototype advices along with a singleton value of false.

# 7.5.3. JDK- and CGLIB-based proxies

This section serves as the definitive documentation on how the ProxyFactoryBean chooses to create one of either a JDK- and CGLIB-based proxy for a particular target object (that is to be proxied).



### Note

The behavior of the ProxyFactoryBean with regard to creating JDK- or CGLIB-based proxies changed between versions 1.2.x and 2.0 of Spring. The ProxyFactoryBean now exhibits similar semantics with regard to auto-detecting interfaces as those of the TransactionProxyFactoryBean class.

If the class of a target object that is to be proxied (hereafter simply referred to as the target class) doesn't implement any interfaces, then a CGLIB-based proxy will be created. This is the easiest scenario, because JDK proxies are interface based, and no interfaces means JDK proxying isn't even possible. One simply plugs in the target bean, and specifies the list of interceptors via the interceptorNames property. Note that a CGLIB-based proxy will be created even if the proxyTargetClass property of the ProxyFactoryBean has been set to false. (Obviously this makes no sense, and is best removed from the bean definition because it is at best redundant, and at worst confusing.)

If the target class implements one (or more) interfaces, then the type of proxy that is created depends on the configuration of the ProxyFactoryBean.

If the proxyTargetClass property of the ProxyFactoryBean has been set to true, then a CGLIB-based proxy will be created. This makes sense, and is in keeping with the principle of least surprise. Even if the proxyInterfaces property of the ProxyFactoryBean has been set to one or more fully qualified interface names, the fact that the proxyTargetClass property is set to true will cause CGLIB-based proxying to be in effect.

If the proxyInterfaces property of the ProxyFactoryBean has been set to one or more fully qualified interface names, then a JDK-based proxy will be created. The created proxy will implement all of the interfaces that were specified in the proxyInterfaces property; if the target class happens to implement a whole lot more interfaces than those specified in the proxyInterfaces property, that is all well and good but those additional interfaces will not be implemented by the returned proxy.

If the proxyInterfaces property of the ProxyFactoryBean has not been set, but the target class does implement

one (or more) interfaces, then the ProxyFactoryBean will auto-detect the fact that the target class does actually implement at least one interface, and a JDK-based proxy will be created. The interfaces that are actually proxied will be *all* of the interfaces that the target class implements; in effect, this is the same as simply supplying a list of each and every interface that the target class implements to the proxyInterfaces property. However, it is significantly less work, and less prone to typos.

# 7.5.4. Proxying interfaces

Let's look at a simple example of ProxyFactoryBean in action. This example involves:

- A target bean that will be proxied. This is the "personTarget" bean definition in the example below.
- An Advisor and an Interceptor used to provide advice.
- An AOP proxy bean definition specifying the target object (the personTarget bean) and the interfaces to proxy, along with the advices to apply.

```
<bean id="personTarget" class="com.mycompany.PersonImpl">
   cproperty name="name"><value>Tony</value>
   cproperty name="age"><value>51</value></property>
</bean>
<bean id="myAdvisor" class="com.mycompany.MyAdvisor">
  roperty name="someProperty"><value>Custom string property value
</bean>
<bean id="debugInterceptor" class="org.springframework.aop.interceptor.DebugInterceptor">
</bean>
<bean id="person"</pre>
  class="org.springframework.aop.framework.ProxyFactoryBean">
   property name="interceptorNames">
      st>
         <value>myAdvisor</value>
         <value>debugInterceptor</value>
      </list>
   </property>
</bean>
```

Note that the interceptorNames property takes a list of String: the bean names of the interceptor or advisors in the current factory. Advisors, interceptors, before, after returning and throws advice objects can be used. The ordering of advisors is significant.



## Note

You might be wondering why the list doesn't hold bean references. The reason for this is that if the ProxyFactoryBean's singleton property is set to false, it must be able to return independent proxy instances. If any of the advisors is itself a prototype, an independent instance would need to be returned, so it's necessary to be able to obtain an instance of the prototype from the factory; holding a reference isn't sufficient.

The "person" bean definition above can be used in place of a Person implementation, as follows:

```
Person person = (Person) factory.getBean("person");
```

Other beans in the same IoC context can express a strongly typed dependency on it, as with an ordinary Java object:

The PersonUser class in this example would expose a property of type Person. As far as it's concerned, the AOP proxy can be used transparently in place of a "real" person implementation. However, its class would be a dynamic proxy class. It would be possible to cast it to the Advised interface (discussed below).

It's possible to conceal the distinction between target and proxy using an anonymous *inner bean*, as follows. Only the ProxyFactoryBean definition is different; the advice is included only for completeness:

```
<bean id="myAdvisor" class="com.mycompany.MyAdvisor">
 </bean>
<bean id="debugInterceptor" class="org.springframework.aop.interceptor.DebugInterceptor"/>
<bean id="person" class="org.springframework.aop.framework.ProxyFactoryBean">
 <!-- Use inner bean, not local reference to target -->
 operty name="target">
  <bean class="com.mycompany.PersonImpl">
   </bean>
 </property>
 operty name="interceptorNames">
  st>
   <value>mvAdvisor</value>
   <value>debugInterceptor</value>
  </list>
 </property>
</bean>
```

This has the advantage that there's only one object of type Person: useful if we want to prevent users of the application context from obtaining a reference to the un-advised object, or need to avoid any ambiguity with Spring IoC *autowiring*. There's also arguably an advantage in that the ProxyFactoryBean definition is self-contained. However, there are times when being able to obtain the un-advised target from the factory might actually be an *advantage*: for example, in certain test scenarios.

# 7.5.5. Proxying classes

What if you need to proxy a class, rather than one or more interfaces?

Imagine that in our example above, there was no Person interface: we needed to advise a class called Person that didn't implement any business interface. In this case, you can configure Spring to use CGLIB proxying, rather than dynamic proxies. Simply set the proxyTargetClass property on the ProxyFactoryBean above to true. While it's best to program to interfaces, rather than classes, the ability to advise classes that don't implement interfaces can be useful when working with legacy code. (In general, Spring isn't prescriptive. While it makes it easy to apply good practices, it avoids forcing a particular approach.)

If you want to, you can force the use of CGLIB in any case, even if you do have interfaces.

CGLIB proxying works by generating a subclass of the target class at runtime. Spring configures this generated subclass to delegate method calls to the original target: the subclass is used to implement the *Decorator* pattern,

weaving in the advice.

CGLIB proxying should generally be transparent to users. However, there are some issues to consider:

- Final methods can't be advised, as they can't be overridden.
- You'll need the CGLIB 2 binaries on your classpath; dynamic proxies are available with the JDK.

There's little performance difference between CGLIB proxying and dynamic proxies. As of Spring 1.0, dynamic proxies are slightly faster. However, this may change in the future. Performance should not be a decisive consideration in this case.

# 7.5.6. Using 'global' advisors

By appending an asterisk to an interceptor name, all advisors with bean names matching the part before the asterisk, will be added to the advisor chain. This can come in handy if you need to add a standard set of 'global' advisors:

# 7.6. Concise proxy definitions

Especially when defining transactional proxies, you may end up with many similar proxy definitions. The use of parent and child bean definitions, along with inner bean definitions, can result in much cleaner and more concise proxy definitions.

First a parent, *template*, bean definition is created for the proxy:

This will never be instantiated itself, so may actually be incomplete. Then each proxy which needs to be created is just a child bean definition, which wraps the target of the proxy as an inner bean definition, since the target will never be used on its own anyway.

It is of course possible to override properties from the parent template, such as in this case, the transaction propagation settings:

Note that in the example above, we have explicitly marked the parent bean definition as *abstract* by using the *abstract* attribute, as described <u>previously</u>, so that it may not actually ever be instantiated. Application contexts (but not simple bean factories) will by default pre-instantiate all singletons. It is therefore important (at least for singleton beans) that if you have a (parent) bean definition which you intend to use only as a template, and this definition specifies a class, you must make sure to set the *abstract* attribute to *true*, otherwise the application context will actually try to pre-instantiate it.

# 7.7. Creating AOP proxies programmatically with the ProxyFactory

It's easy to create AOP proxies programmatically using Spring. This enables you to use Spring AOP without dependency on Spring IoC.

The following listing shows creation of a proxy for a target object, with one interceptor and one advisor. The interfaces implemented by the target object will automatically be proxied:

```
ProxyFactory factory = new ProxyFactory(myBusinessInterfaceImpl);
factory.addInterceptor(myMethodInterceptor);
factory.addAdvisor(myAdvisor);
MyBusinessInterface tb = (MyBusinessInterface) factory.getProxy();
```

The first step is to construct an object of type org.springframework.aop.framework.ProxyFactory. You can create this with a target object, as in the above example, or specify the interfaces to be proxied in an alternate constructor.

You can add interceptors or advisors, and manipulate them for the life of the ProxyFactory. If you add an IntroductionInterceptionAroundAdvisor you can cause the proxy to implement additional interfaces.

There are also convenience methods on ProxyFactory (inherited from AdvisedSupport) which allow you to add other advice types such as before and throws advice. AdvisedSupport is the superclass of both ProxyFactory and ProxyFactoryBean.



# Tip

Integrating AOP proxy creation with the IoC framework is best practice in most applications. We recommend that you externalize configuration from Java code with AOP, as in general.

# 7.8. Manipulating advised objects

However you create AOP proxies, you can manipulate them using the org.springframework.aop.framework.Advised interface. Any AOP proxy can be cast to this interface, whichever other interfaces it implements. This interface includes the following methods:

The <code>getAdvisors()</code> method will return an Advisor for every advisor, interceptor or other advice type that has been added to the factory. If you added an Advisor, the returned advisor at this index will be the object that you added. If you added an interceptor or other advice type, Spring will have wrapped this in an advisor with a pointcut that always returns true. Thus if you added a <code>MethodInterceptor</code>, the advisor returned for this index will be an <code>DefaultPointcutAdvisor</code> returning your <code>MethodInterceptor</code> and a pointcut that matches all classes and methods.

The addAdvisor() methods can be used to add any Advisor. Usually the advisor holding pointcut and advice will be the generic DefaultPointcutAdvisor, which can be used with any advice or pointcut (but not for introductions).

By default, it's possible to add or remove advisors or interceptors even once a proxy has been created. The only restriction is that it's impossible to add or remove an introduction advisor, as existing proxies from the factory will not show the interface change. (You can obtain a new proxy from the factory to avoid this problem.)

A simple example of casting an AOP proxy to the Advised interface and examining and manipulating its advice:



## Note

It's questionable whether it's advisable (no pun intended) to modify advice on a business object in production, although there are no doubt legitimate usage cases. However, it can be very useful in development: for example, in tests. I have sometimes found it very useful to be able to add test code in the form of an interceptor or other advice, getting inside a method invocation I want to test. (For example, the advice can get inside a transaction created for that method: for example, to run SQL to check that a database was correctly updated, before marking the transaction for roll back.)

Depending on how you created the proxy, you can usually set a frozen flag, in which case the Advised isFrozen() method will return true, and any attempts to modify advice through addition or removal will result in an AopconfigException. The ability to freeze the state of an advised object is useful in some cases, for example, to prevent calling code removing a security interceptor. It may also be used in Spring 1.1 to allow aggressive optimization if runtime advice modification is known not to be required.

# 7.9. Using the "autoproxy" facility

So far we've considered explicit creation of AOP proxies using a ProxyFactoryBean or similar factory bean.

Spring also allows us to use "autoproxy" bean definitions, which can automatically proxy selected bean definitions. This is built on Spring "bean post processor" infrastructure, which enables modification of any bean definition as the container loads.

In this model, you set up some special bean definitions in your XML bean definition file to configure the auto proxy infrastructure. This allows you just to declare the targets eligible for autoproxying: you don't need to use ProxyFactoryBean.

There are two ways to do this:

- Using an autoproxy creator that refers to specific beans in the current context.
- A special case of autoproxy creation that deserves to be considered separately; autoproxy creation driven by source-level metadata attributes.

# 7.9.1. Autoproxy bean definitions

The org.springframework.aop.framework.autoproxy package provides the following standard autoproxy creators.

## 7.9.1.1. BeanNameAutoProxyCreator

The BeanNameAutoProxyCreator class is a BeanPostProcessor that automatically creates AOP proxies for beans with names matching literal values or wildcards.

As with ProxyFactoryBean, there is an interceptorNames property rather than a list of interceptors, to allow correct behavior for prototype advisors. Named "interceptors" can be advisors or any advice type.

As with auto proxying in general, the main point of using BeanNameAutoProxyCreator is to apply the same configuration consistently to multiple objects, with minimal volume of configuration. It is a popular choice for applying declarative transactions to multiple objects.

Bean definitions whose names match, such as "jdkMyBean" and "onlyJdk" in the above example, are plain old bean definitions with the target class. An AOP proxy will be created automatically by the BeanNameAutoProxyCreator. The same advice will be applied to all matching beans. Note that if advisors are used (rather than the interceptor in the above example), the pointcuts may apply differently to different beans.

## 7.9.1.2. DefaultAdvisorAutoProxyCreator

A more general and extremely powerful auto proxy creator is DefaultAdvisorAutoProxyCreator. This will automagically apply eligible advisors in the current context, without the need to include specific bean names in the autoproxy advisor's bean definition. It offers the same merit of consistent configuration and avoidance of duplication as BeanNameAutoProxyCreator.

Using this mechanism involves:

- Specifying a DefaultAdvisorAutoProxyCreator bean definition.
- Specifying any number of Advisors in the same or related contexts. Note that these *must* be Advisors, not just interceptors or other advices. This is necessary because there must be a pointcut to evaluate, to check the eligibility of each advice to candidate bean definitions.

The DefaultAdvisorAutoProxyCreator will automatically evaluate the pointcut contained in each advisor, to see what (if any) advice it should apply to each business object (such as "businessObject1" and "businessObject2" in the example).

This means that any number of advisors can be applied automatically to each business object. If no pointcut in any of the advisors matches any method in a business object, the object will not be proxied. As bean definitions are added for new business objects, they will automatically be proxied if necessary.

Autoproxying in general has the advantage of making it impossible for callers or dependencies to obtain an un-advised object. Calling getBean("businessObject1") on this ApplicationContext will return an AOP proxy, not the target business object. (The "inner bean" idiom shown earlier also offers this benefit.)

The DefaultAdvisorAutoProxyCreator is very useful if you want to apply the same advice consistently to many business objects. Once the infrastructure definitions are in place, you can simply add new business objects without including specific proxy configuration. You can also drop in additional aspects very easily - for

example, tracing or performance monitoring aspects - with minimal change to configuration.

The DefaultAdvisorAutoProxyCreator offers support for filtering (using a naming convention so that only certain advisors are evaluated, allowing use of multiple, differently configured, AdvisorAutoProxyCreators in the same factory) and ordering. Advisors can implement the org.springframework.core.ordered interface to ensure correct ordering if this is an issue. The TransactionAttributeSourceAdvisor used in the above example has a configurable order value; the default setting is unordered.

## 7.9.1.3. AbstractAdvisorAutoProxyCreator

This is the superclass of DefaultAdvisorAutoProxyCreator. You can create your own autoproxy creators by subclassing this class, in the unlikely event that advisor definitions offer insufficient customization to the behavior of the framework DefaultAdvisorAutoProxyCreator.

# 7.9.2. Using metadata-driven auto-proxying

A particularly important type of autoproxying is driven by metadata. This produces a similar programming model to .NET ServicedComponents. Instead of using XML deployment descriptors as in EJB, configuration for transaction management and other enterprise services is held in source-level attributes.

In this case, you use the DefaultAdvisorAutoProxyCreator, in combination with Advisors that understand metadata attributes. The metadata specifics are held in the pointcut part of the candidate advisors, rather than in the autoproxy creation class itself.

This is really a special case of the DefaultAdvisorAutoProxyCreator, but deserves consideration on its own. (The metadata-aware code is in the pointcuts contained in the advisors, not the AOP framework itself.)

The /attributes directory of the JPetStore sample application shows the use of attribute-driven autoproxying. In this case, there's no need to use the TransactionProxyFactoryBean. Simply defining transactional attributes on business objects is sufficient, because of the use of metadata-aware pointcuts. The bean definitions include the following code, in /WEB-INF/declarativeServices.xml. Note that this is generic, and can be used outside the JPetStore:

The DefaultAdvisorAutoProxyCreator bean definition (the name is not significant, hence it can even be omitted) will pick up all eligible pointcuts in the current application context. In this case, the "transactionAdvisor" bean definition, of type TransactionAttributeSourceAdvisor, will apply to classes or methods carrying a transaction attribute. The TransactionAttributeSourceAdvisor depends on a TransactionInterceptor, via constructor dependency. The example resolves this via autowiring. The AttributeSTransactionAttributeSource depends on an implementation of the

org.springframework.metadata.Attributes interface. In this fragment, the "attributes" bean satisfies this, using the Jakarta Commons Attributes API to obtain attribute information. (The application code must have been compiled using the Commons Attributes compilation task.)

The /annotation directory of the JPetStore sample application contains an analogous example for auto-proxying driven by JDK 1.5+ annotations. The following configuration enables automatic detection of Spring's Transactional annotation, leading to implicit proxies for beans containing that annotation:

The TransactionInterceptor defined here depends on a PlatformTransactionManager definition, which is not included in this generic file (although it could be) because it will be specific to the application's transaction requirements (typically JTA, as in this example, or Hibernate, JDO or JDBC):



## Tip

If you require only declarative transaction management, using these generic XML definitions will result in Spring automatically proxying all classes or methods with transaction attributes. You won't need to work directly with AOP, and the programming model is similar to that of .NET ServicedComponents.

This mechanism is extensible. It's possible to do autoproxying based on custom attributes. You need to:

- Define your custom attribute.
- Specify an Advisor with the necessary advice, including a pointcut that is triggered by the presence of the custom attribute on a class or method. You may be able to use an existing advice, merely implementing a static pointcut that picks up the custom attribute.

It's possible for such advisors to be unique to each advised class (for example, mixins): they simply need to be defined as prototype, rather than singleton, bean definitions. For example, the LockMixin introduction interceptor from the Spring test suite, shown above, could be used in conjunction with an attribute-driven pointcut to target a mixin, as shown here. We use the generic DefaultPointcutAdvisor, configured using JavaBean properties:

```
<bean id="anyBean" class="anyclass" ...</pre>
```

If the attribute aware pointcut matches any methods in the anyBean or other bean definitions, the mixin will be applied. Note that both lockMixin and lockableAdvisor definitions are prototypes. The myAttributeAwarePointcut pointcut can be a singleton definition, as it doesn't hold state for individual advised objects.

# 7.10. Using TargetSources

Spring offers the concept of a *TargetSource*, expressed in the org.springframework.aop.TargetSource interface. This interface is responsible for returning the "target object" implementing the join point. The TargetSource implementation is asked for a target instance each time the AOP proxy handles a method invocation.

Developers using Spring AOP don't normally need to work directly with TargetSources, but this provides a powerful means of supporting pooling, hot swappable and other sophisticated targets. For example, a pooling TargetSource can return a different target instance for each invocation, using a pool to manage instances.

If you do not specify a TargetSource, a default implementation is used that wraps a local object. The same target is returned for each invocation (as you would expect).

Let's look at the standard target sources provided with Spring, and how you can use them.



## Tip

When using a custom target source, your target will usually need to be a prototype rather than a singleton bean definition. This allows Spring to create a new target instance when required.

# 7.10.1. Hot swappable target sources

The org.springframework.aop.target.HotSwappableTargetSource exists to allow the target of an AOP proxy to be switched while allowing callers to keep their references to it.

Changing the target source's target takes effect immediately. The HotSwappableTargetSource is threadsafe.

You can change the target via the swap() method on HotSwappableTargetSource as follows:

```
HotSwappableTargetSource swapper =
    (HotSwappableTargetSource) beanFactory.getBean("swapper");
Object oldTarget = swapper.swap(newTarget);
```

The XML definitions required look as follows:

```
</bean>
```

The above swap() call changes the target of the swappable bean. Clients who hold a reference to that bean will be unaware of the change, but will immediately start hitting the new target.

Although this example doesn't add any advice - and it's not necessary to add advice to use a TargetSource - of course any TargetSource can be used in conjunction with arbitrary advice.

# 7.10.2. Pooling target sources

Using a pooling target source provides a similar programming model to stateless session EJBs, in which a pool of identical instances is maintained, with method invocations going to free objects in the pool.

A crucial difference between Spring pooling and SLSB pooling is that Spring pooling can be applied to any POJO. As with Spring in general, this service can be applied in a non-invasive way.

Spring provides out-of-the-box support for Jakarta Commons Pool 1.3, which provides a fairly efficient pooling implementation. You'll need the commons-pool Jar on your application's classpath to use this feature. It's also possible to subclass org.springframework.aop.target.AbstractPoolingTargetSource to support any other pooling API.

Sample configuration is shown below:

Note that the target object - "businessObjectTarget" in the example - *must* be a prototype. This allows the PoolingTargetSource implementation to create new instances of the target to grow the pool as necessary. See the havadoc for AbstractPoolingTargetSource and the concrete subclass you wish to use for information about its properties: "maxSize" is the most basic, and always guaranteed to be present.

In this case, "myInterceptor" is the name of an interceptor that would need to be defined in the same IoC context. However, it isn't necessary to specify interceptors to use pooling. If you want only pooling, and no other advice, don't set the interceptorNames property at all.

It's possible to configure Spring so as to be able to cast any pooled object to the org.springframework.aop.target.PoolingConfig interface, which exposes information about the configuration and current size of the pool through an introduction. You'll need to define an advisor like this:

This advisor is obtained by calling a convenience method on the AbstractPoolingTargetSource class, hence the use of MethodInvokingFactoryBean. This advisor's name ("poolConfigAdvisor" here) must be in the list of interceptors names in the ProxyFactoryBean exposing the pooled object.

The cast will look as follows:

```
PoolingConfig conf = (PoolingConfig) beanFactory.getBean("businessObject");
System.out.println("Max pool size is " + conf.getMaxSize());
```



## Note

Pooling stateless service objects is not usually necessary. We don't believe it should be the default choice, as most stateless objects are naturally thread safe, and instance pooling is problematic if resources are cached.

Simpler pooling is available using autoproxying. It's possible to set the TargetSources used by any autoproxy creator.

# 7.10.3. Prototype target sources

Setting up a "prototype" target source is similar to a pooling TargetSource. In this case, a new instance of the target will be created on every method invocation. Although the cost of creating a new object isn't high in a modern JVM, the cost of wiring up the new object (satisfying its IoC dependencies) may be more expensive. Thus you shouldn't use this approach without very good reason.

To do this, you could modify the pooltargetSource definition shown above as follows. (I've also changed the name, for clarity.)

There's only one property: the name of the target bean. Inheritance is used in the TargetSource implementations to ensure consistent naming. As with the pooling target source, the target bean must be a prototype bean definition.

# 7.10.4. ThreadLocal target sources

ThreadLocal target sources are useful if you need an object to be created for each incoming request (per thread that is). The concept of a ThreadLocal provide a JDK-wide facility to transparently store resource alongside a thread. Setting up a ThreadLocalTargetSource is pretty much the same as was explained for the other types of target source:



### Note

ThreadLocals come with serious issues (potentially resulting in memory leaks) when incorrectly using them in a multi-threaded and multi-classloader environments. One should always consider wrapping a threadlocal in some other class and never directly use the ThreadLocal itself (except of

course in the wrapper class). Also, one should always remember to correctly set and unset (where the latter simply involved a call to ThreadLocal.set(null)) the resource local to the thread. Unsetting should be done in any case since not unsetting it might result in problematic behavior. Spring's ThreadLocal support does this for you and should always be considered in favor of using ThreadLocals without other proper handling code.

# 7.11. Defining new Advice types

Spring AOP is designed to be extensible. While the interception implementation strategy is presently used internally, it is possible to support arbitrary advice types in addition to the out-of-the-box interception around advice, before, throws advice and after returning advice.

The org.springframework.aop.framework.adapter package is an SPI package allowing support for new custom advice types to be added without changing the core framework. The only constraint on a custom Advice type is that it must implement the org.aopalliance.aop.Advice tag interface.

Please refer to the org.springframework.aop.framework.adapter package's Javadocs for further information.

# 7.12. Further resources

Please refer to the Spring sample applications for further examples of Spring AOP:

- The JPetStore's default configuration illustrates the use of the TransactionProxyFactoryBean for declarative transaction management.
- The /attributes directory of the JPetStore illustrates the use of attribute-driven declarative transaction management.

# **Chapter 8. Testing**

## 8.1. Introduction

The Spring team considers developer testing to be an absolutely integral part of enterprise software development. A thorough treatment of testing in the enterprise is beyond the scope of this chapter; rather, the focus here is on the value-add that the adoption of the IoC principle can bring to <u>unit testing</u> and on the benefits that the Spring Framework provides in <u>integration testing</u>.

# 8.2. Unit testing

One of the main benefits of Dependency Injection is that your code should really depend far less on the container than in traditional J2EE development. The POJOs that comprise your application should be testable in JUnit or TestNG tests, with objects simply instantiated using the new operator, without Spring or any other container. You can use mock objects (in conjunction with many other valuable testing techniques) to test your code in isolation. If you follow the architecture recommendations around Spring you will find that the resulting clean layering and componentization of your codebase will naturally facilitate easier unit testing. For example, you will be able to test service layer objects by stubbing or mocking DAO or Repository interfaces, without any need to access persistent data while running unit tests.

True unit tests typically will run extremely quickly, as there is no runtime infrastructure to set up, whether application server, database, ORM tool, or whatever. Thus emphasizing true unit tests as part of your development methodology will boost your productivity. The upshot of this is that you often do not need this section of the testing chapter to help you write effective *unit* tests for your IoC-based applications. For certain unit testing scenarios, however, the Spring Framework provides the following mock objects and testing support classes.

# 8.2.1. Mock objects

### 8.2.1.1. JNDI

The org.springframework.mock.jndi package contains an implementation of the JNDI SPI, which is useful for setting up a simple JNDI environment for test suites or stand-alone applications. If, for example, JDBC DataSources get bound to the same JNDI names in test code as within a J2EE container, both application code and configuration can be reused in testing scenarios without modification.

#### 8.2.1.2. Servlet API

The org.springframework.mock.web package contains a comprehensive set of Servlet API mock objects, targeted at usage with Spring's Web MVC framework, which are useful for testing web contexts and controllers. These mock objects are generally more convenient to use than dynamic mock objects (e.g., <a href="EasyMock">EasyMock</a>) or existing Servlet API mock objects (e.g., <a href="MockObjects">MockObjects</a>).

#### 8.2.1.3. Portlet API

The org.springframework.mock.web.portlet package contains a set of Portlet API mock objects, targeted at usage with Spring's Portlet MVC framework.

# 8.2.2. Unit testing support classes

#### 8.2.2.1. General utilities

The org.springframework.test.util package contains ReflectionTestUtils, which is a collection of reflection-based utility methods for use in unit and integration testing scenarios in which the developer would benefit from being able to set a non-public field or invoke a non-public setter method when testing application code involving, for example:

- ORM frameworks such as JPA and Hibernate which condone the usage of private or protected field access
  as opposed to public setter methods for properties in a domain entity
- Spring's support for annotations such as @Autowired and @Resource which provides dependency injection for private or protected fields, setter methods, and configuration methods

### 8.2.2.2. Spring MVC

The org.springframework.test.web package contains AbstractModelAndViewTests, which serves as a convenient base class for JUnit 3.8 based unit tests dealing with Spring MVC ModelAndView objects. When developing against Java 1.4 and higher (e.g., in combination with JUnit 4+, TestNG, etc.), you have the option of using the ModelAndViewAssert class (in the same package) to test your ModelAndView related functionality.

Tip: depending on your testing environment, either extend AbstractModelAndViewTests or use ModelAndViewAssert directly and then use MockHttpServletRequest, MockHttpSession, etc. from the org.springframework.mock.web package to test your Spring MVC Controllers.

# 8.3. Integration testing

## 8.3.1. Overview

It is important to be able to perform some integration testing without requiring deployment to your application server or connecting to other enterprise infrastructure. This will enable you to test things such as:

- The correct wiring of your Spring IoC container contexts.
- Data access using JDBC or an ORM tool. This would include such things as the correctness of SQL statements, Hibernate queries, JPA entity mappings, etc.

The Spring Framework provides first class support for integration testing in the form of the classes that are packaged in the <code>spring-test.jar</code> library. In this library, you will find the <code>org.springframework.test</code> package which contains valuable classes for integration testing using a Spring container, while at the same time not being reliant on an application server or other deployment environment. Such tests will be slower to run than unit tests but much faster to run than the equivalent Cactus tests or remote tests relying on deployment to an application server.

Prior to the 2.5 release of the framework, Spring provided <u>integration testing support specific to JUnit 3.8</u>. As of the 2.5 release, Spring offers support for unit and integration testing in the form of the <u>Spring TestContext Framework</u>, which is agnostic of the actual testing framework in use, thus allowing instrumentation of tests in various environments including JUnit 3.8, JUnit 4.4, TestNG, etc. *Note that the Spring TestContext Framework requires Java 5+*.

# 8.3.2. Which support framework to use

The Spring team recommends using the <u>Spring TestContext Framework</u> for all new unit testing or integration testing involving <u>ApplicationContexts</u> or requiring transactional test fixtures; however, if you are developing in a pre-Java 5 environment, you will need to continue to use the <u>JUnit 3.8 legacy support</u>. In addition, explicit <u>integration testing support for JPA</u> which relies on *shadow class loading* for JPA class instrumentation is currently only available with the JUnit 3.8 legacy support. If you are testing against a JPA provider which does not require class instrumentation, however, it is recommended that you use the TestContext framework.

# 8.3.3. Common goals

The Spring integration testing support frameworks share several common goals, including:

- Spring IoC container caching between test execution.
- Dependency Injection of test fixture instances (this is nice).
- Transaction management appropriate to integration testing (this is even nicer).
- <u>Spring-specific support classes</u> that are really useful when writing integration tests.

The following sections outline each of these goals and provide direct links to information specific to the particular support frameworks.

## 8.3.3.1. Context management and caching

Spring integration testing support frameworks provide consistent loading of Spring ApplicationContexts and caching of those contexts. Support for the caching of loaded contexts is important, because if you are working on a large project, startup time may become an issue - not because of the overhead of Spring itself, but because the objects instantiated by the Spring container will themselves take time to instantiate. For example, a project with 50-100 Hibernate mapping files might take 10-20 seconds to load the mapping files, and incurring that cost before running every single test in every single test fixture will lead to slower overall test runs that could reduce productivity.

Test classes will generally provide an array containing the resource locations of XML configuration metadata - typically on the classpath - used to configure the application. This will be the same, or nearly the same, as the list of configuration locations specified in web.xml or other deployment configuration.

By default, once loaded, the configured ApplicationContext will be reused for each test. Thus the setup cost will be incurred only once (per test fixture), and subsequent test execution will be much faster. In the unlikely case that a test may 'dirty' the application context, requiring reloading - for example, by changing a bean definition or the state of an application object - Spring's testing support provides mechanisms to cause the test fixture to reload the configurations and rebuild the application context before executing the next test.

Context management and caching with:

- JUnit 3.8 legacy support
- The TestContext Framework

## 8.3.3.2. Dependency Injection of test fixtures

When Spring integration testing support frameworks load your application context, they can optionally configure instances of your test classes via Dependency Injection. This provides a convenient mechanism for setting up test fixtures using pre-configured beans from your application context. A strong benefit here is that you can reuse application contexts across various testing scenarios (e.g., for configuring Spring-managed object graphs, transactional proxies, DataSources, etc.), thus avoiding the need to duplicate complex test fixture set up for individual test cases.

As an example, consider the scenario where we have a class, HibernateTitleDao, that performs data access logic for say, the Title domain object. We want to write integration tests that test all of the following areas:

- The Spring configuration: basically, is everything related to the configuration of the HibernateTitleDao bean correct and present?
- The Hibernate mapping file configuration: is everything mapped correctly and are the correct lazy-loading settings in place?
- The logic of the HibernateTitleDao: does the configured instance of this class perform as anticipated?

Dependency Injection of test fixtures with:

- JUnit 3.8 legacy support
- The TestContext Framework

## 8.3.3.3. Transaction management

One common issue in tests that access a real database is their affect on the state of the persistence store. Even when you're using a development database, changes to the state may affect future tests. Also, many operations such as inserting to or modifying persistent data - cannot be performed (or verified) outside a transaction.

The Spring integration testing support frameworks meet this need. By default, they create and roll back a transaction for each test. You simply write code that can assume the existence of a transaction. If you call transactionally proxied objects in your tests, they will behave correctly, according to their transactional semantics. In addition, if test methods delete the contents of selected tables while running within a transaction, the transaction will roll back by default, and the database will return to its state prior to execution of the test. Transactional support is provided to your test class via a PlatformTransactionManager bean defined in the test's application context.

If you want a transaction to commit - unusual, but occasionally useful when you want a particular test to populate or modify the database - the Spring integration testing support frameworks can be instructed to cause the transaction to commit instead of roll back either by calling an inherited hook-method or by declaring a specific annotation.

Transaction management with:

- JUnit 3.8 legacy support
- The TestContext Framework

### 8.3.3.4. Integration testing support classes

The Spring integration testing support frameworks provide several abstract support classes that can simplify

writing integration tests. These base test classes provide well defined hooks into the testing framework as well as convenient instance variables and methods, allowing access to such things as:

- The ApplicationContext: useful for performing explicit bean lookups or testing the state of the context as a whole.
- A JdbcTemplate or SimpleJdbcTemplate: useful for querying to confirm state. For example, you might query before and after testing application code that creates an object and persists it using an ORM tool, to verify that the data appears in the database. (Spring will ensure that the query runs in the scope of the same transaction.) You will need to tell your ORM tool to 'flush' its changes for this to work correctly, for example using the flush() method on Hibernate's Session interface.

Often you will provide an application-wide superclass for integration tests that provides further useful instance variables used in many tests.

Support classes for:

- JUnit 3.8 legacy support
- The TestContext Framework

# 8.3.4. JDBC testing support

The org.springframework.test.jdbc package contains SimpleJdbcTestUtils, which is a Java-5-based collection of JDBC related utility functions intended to simplify standard database testing scenarios. Note that AbstractTransactionalJUnit38SpringContextTests, AbstractTransactionalJUnit4SpringContextTests, and AbstractTransactionalTestNGSpringContextTests provide convenience methods which delegate to SimpleJdbcTestUtils internally.

## 8.3.5. Common annotations

The Spring Framework provides a common set of *Spring-specific* annotations in the org.springframework.test.annotation package that you can use in your testing if you are developing against Java 5 or greater.

#### • @IfProfileValue

Indicates that the annotated test is enabled for a specific testing environment. If the configured ProfileValueSource returns a matching value for the provided name, the test will be enabled. This annotation can be applied to an entire class or individual methods.

```
@IfProfileValue(name="java.vendor", value="Sun Microsystems Inc.")
public void testProcessWhichRunsOnlyOnSunJvm() {
    // some logic that should run only on Java VMs from Sun Microsystems
}
```

Alternatively @IfProfileValue may be configured with a list of values (with *OR* semantics) to achieve TestNG-like support for *test groups* in a JUnit environment. Consider the following example:

```
@IfProfileValue(name="test-groups", values={"unit-tests", "integration-tests"})
public void testProcessWhichRunsForUnitOrIntegrationTestGroups() {
    // some logic that should run only for unit and integration test groups
}
```

#### @ProfileValueSourceConfiguration

Class-level annotation which is used to specify what type of ProfileValueSource to use when retrieving profile values configured via the @IfProfileValue annotation. If @ProfileValueSourceConfiguration is not declared for a test, SystemProfileValueSource will be used by default.

```
@ProfileValueSourceConfiguration(CustomProfileValueSource.class)
public class CustomProfileValueSourceTests {
    // class body...
}
```

#### @DirtiesContext

The presence of this annotation on a test method indicates that the underlying Spring container is 'dirtied' during the execution of the test method, and thus must be rebuilt after the test method finishes execution (regardless of whether the test passed or not).

```
@DirtiesContext
public void testProcessWhichDirtiesAppCtx() {
    // some logic that results in the Spring container being dirtied
}
```

#### @ExpectedException

Indicates that the annotated test method is expected to throw an exception during execution. The type of the expected exception is provided in the annotation, and if an instance of the exception is thrown during the test method execution then the test passes. Likewise if an instance of the exception is *not* thrown during the test method execution then the test fails.

```
@ExpectedException(SomeBusinessException.class)
public void testProcessRainyDayScenario() {
    // some logic that should result in an Exception being thrown
}
```

### @Timed

Indicates that the annotated test method has to finish execution in a specified time period (in milliseconds). If the text execution time takes longer than the specified time period, the test fails.

Note that the time period includes execution of the test method itself, any repetitions of the test (see @Repeat), as well as any set up or tear down of the test fixture.

```
@Timed(millis=1000)
public void testProcessWithOneSecondTimeout() {
    // some logic that should not take longer than 1 second to execute
}
```

#### • @Repeat

Indicates that the annotated test method must be executed repeatedly. The number of times that the test method is to be executed is specified in the annotation.

Note that the scope of execution to be repeated includes execution of the test method itself as well as any *set up* or *tear down* of the test fixture.

```
@Repeat(10)
public void testProcessRepeatedly() {
    // ...
```

```
}
```

#### • @Rollback

Indicates whether or not the transaction for the annotated test method should be *rolled back* after the test method has completed. If true, the transaction will be rolled back; otherwise, the transaction will be committed. Use @Rollback to override the default rollback flag configured at the class level.

```
@Rollback(false)
public void testProcessWithoutRollback() {
    // ...
}
```

#### • @NotTransactional

The presence of this annotation indicates that the annotated test method must *not* execute in a transactional context.

```
@NotTransactional
public void testProcessWithoutTransaction() {
    // ...
}
```

## Annotation support for:

- <u>JUnit 3.8 legacy support</u>: all common annotations listed above are supported but *must* be used in conjunction with AbstractAnnotationAwareTransactionalTests in order for the presence of these annotations to have any effect.
- The TestContext Framework: supports all of the common annotations listed above while providing additional TestContext-specific and transactional annotations (e.g., @ContextConfiguration, @BeforeTransaction, etc.). Note, however, that some of the common annotations are only supported when used in conjunction with JUnit (e.g., with the <a href="SpringJUnit4ClassRunner">SpringJUnit4ClassRunner</a> or the <a href="JUnit 3.8">JUnit 4.4</a> base test classes). Refer to the documentation in the <a href="TestContext Framework">TestContext Framework</a> section for further details.

# 8.3.6. JUnit 3.8 legacy support

Spring's JUnit 3.8 legacy support is comprised of the classes found in the org.springframework.test package. This package provides valuable JUnit TestCase superclasses which can be extended for out-of-container integration tests involving Spring ApplicationContexts or requiring transactional support at the test method level.

## 8.3.6.1. Context management and caching

AbstractSingleSpringContextTests provides context management and caching support for JUnit 3.8 based test cases and exposes a protected method that subclasses can override to provide the location of context definition files:

```
protected String[] getConfigLocations()
```

Implementations of this method must provide an array containing the resource locations of XML configuration metadata - typically on the classpath - used to configure the application. This will be the same, or nearly the

same, as the list of configuration locations specified in web.xml or other deployment configuration. As an alternative you may choose to override one of the following. See the respective JavaDoc for further details.

```
protected String[] getConfigPaths()

protected String getConfigPath()
```

By default, once loaded, the configuration file set will be reused for each test case. Thus the setup cost will be incurred only once (per test fixture), and subsequent test execution will be much faster. In the unlikely case that a test may 'dirty' the application context, requiring reloading - for example, by changing a bean definition or the state of an application object - you can call the <code>setDirty()</code> method on <code>AbstractSingleSpringContextTests</code> to cause the test fixture to reload the configurations and rebuild the application context before executing the next test case. As an alternative, if you are developing against Java 5 or greater and extending <code>AbstractAnnotationAwareTransactionalTests</code>, you may annotate your test method with <code>@DirtiesContext</code> to achieve the same effect.

## 8.3.6.2. Dependency Injection of test fixtures

When AbstractDependencyInjectionSpringContextTests (and subclasses) load your application context, they can optionally configure instances of your test classes by Setter Injection. All you need to do is to define instance variables and the corresponding setter methods. AbstractDependencyInjectionSpringContextTests will automatically locate the corresponding object in the set of configuration files specified in the getConfigLocations() method.

Consider the scenario where we have a class, HibernateTitleDao (as outlined in the Common goals section). Let's look at a JUnit 3.8 based implementation of the test class itself (we will look at the configuration immediately afterwards).

```
public final class HibernateTitleDaoTests extends AbstractDependencyInjectionSpringContextTests {
    // this instance will be (automatically) dependency injected
    private HibernateTitleDao titleDao;

    // a setter method to enable DI of the 'titleDao' instance variable
    public void setTitleDao(HibernateTitleDao titleDao) {
        this.titleDao = titleDao;
    }

    public void testLoadTitle() throws Exception {
        Title title = this.titleDao.loadTitle(new Long(10));
        assertNotNull(title);
    }

    // specifies the Spring configuration to load for this test fixture
    protected String[] getConfigLocations() {
        return new String[] { "classpath:com/foo/daos.xml" };
    }
}
```

The file referenced by the getConfigLocations() method (i.e., "classpath:com/foo/daos.xml") looks like this:

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xsi:schemaLocation="http://www.springframework.org/schema/beans
        http://www.springframework.org/schema/beans/spring-beans-2.5.xsd">
    <!-- this bean will be injected into the HibernateTitleDaoTests class -->
        <bean id="titleDao" class="com.foo.dao.hibernate.HibernateTitleDao">
```

The AbstractDependencyInjectionSpringContextTests classes uses <u>autowire by type</u>. Thus if you have multiple bean definitions of the same type, you cannot rely on this approach for those particular beans. In that case, you can use the inherited applicationContext instance variable and perform explicit lookups using (for example) a call to applicationContext.getBean("titleDao").

If you don't want dependency injection applied to your test cases, simply don't declare any public setter methods. Alternatively, you can extend AbstractSpringContextTests - the root of the JUnit 3.8 integration testing support class hierarchy in the org.springframework.test package - which merely contains convenience methods to load Spring contexts and performs no Dependency Injection of the test fixture.

## 8.3.6.2.1. Field level injection

If, for whatever reason, you don't fancy having setter methods in your test fixtures, Spring can inject dependencies into protected fields. Find below a reworking of the previous example to use field level injection (the Spring XML configuration does not need to change, merely the test fixture).

```
public final class HibernateTitleDaoTests extends AbstractDependencyInjectionSpringContextTests {
    public HibernateTitleDaoTests() {
        // switch on field level injection
        setPopulateProtectedVariables(true);
    }

    // this instance will be (automatically) dependency injected
    protected HibernateTitleDao titleDao;

    public void testLoadTitle() throws Exception {
        Title title = this.titleDao.loadTitle(new Long(10));
        assertNotNull(title);
    }

    // specifies the Spring configuration to load for this test fixture
    protected String[] getConfigLocations() {
        return new String[] { "classpath:com/foo/daos.xml" };
    }
}
```

In the case of field injection, there is no autowiring going on: the name of a protected instance variable is used as the lookup bean name in the configured Spring container.

### 8.3.6.3. Transaction management

AbstractTransactionalSpringContextTests depends on a PlatformTransactionManager bean being defined in the application context. The name doesn't matter due to the use of *autowire by type*.

Typically you will extend the subclass, AbstractTransactionalDataSourceSpringContextTests. This class also requires that a DataSource bean definition - again, with any name - be present in the application context. It creates a JdbcTemplate instance variable, that is useful for convenient querying, and provides handy methods to delete the contents of selected tables (remember that the transaction will roll back by default, so this is safe to do).

If you want a transaction to commit programmatically - unusual, but occasionally useful when you want a

particular test to populate the database - you can call the <code>setComplete()</code> method inherited from <code>AbstractTransactionalSpringContextTests</code>. This will cause the transaction to commit instead of roll back. As an alternative, if you are developing against Java 5 or greater and extending <code>AbstractAnnotationAwareTransactionalTests</code>, you may annotate your test method with <code>@Rollback(false)</code> to achieve the same effect through <code>configuration</code>.

There is also the convenient ability to end a transaction before the test case ends, by calling the endTransaction() method. This will roll back the transaction by default and commit it only if setComplete() had previously been called. This functionality is useful if you want to test the behavior of 'disconnected' data objects, such as Hibernate-mapped entities that will be used in a web or remoting tier outside a transaction. Often, lazy loading errors are discovered only through UI testing; if you call endTransaction() you can ensure correct operation of the UI through your JUnit test suite.

### 8.3.6.4. JUnit 3.8 legacy support classes

When you extend the AbstractTransactionalDataSourceSpringContextTests class you will have access to the following protected instance variables:

- applicationContext (a ConfigurableApplicationContext): inherited from the AbstractSingleSpringContextTests superclass. Use this to perform explicit bean lookup or to test the state of the context as a whole.
- jdbcTemplate: inherited from AbstractTransactionalDataSourceSpringContextTests. Useful for querying to confirm state. For example, you might query before and after testing application code that creates an object and persists it using an ORM tool, to verify that the data appears in the database. (Spring will ensure that the query runs in the scope of the same transaction.) You will need to tell your ORM tool to 'flush' its changes for this to work correctly, for example using the flush() method on Hibernate's Session interface.

### 8.3.6.5. Java 5+ specific support

### 8.3.6.5.1. Annotation aware transactional tests

In addition to the aforementioned <u>common annotations</u>, the org.springframework.test.annotation package also contains an abstract JUnit TestCase class which provides annotation-driven integration testing support.

The AbstractAnnotationAwareTransactionalTests class extends AbstractTransactionalDataSourceSpringContextTests and makes text fixtures, which extend it, aware of a number of (Spring-specific) annotations. AbstractAnnotationAwareTransactionalTests supports all annotations listed in the common annotations section as well as Spring's @Transactional annotation for configuring explicit transactional semantics.

# 8.3.6.5.2. JPA support classes

The org.springframework.test.jpa package provides support classes for tests based on the Java Persistence API (JPA).

• AbstractJpatests is a convenient support class for JPA-related tests, which offers the same contract as AbstractTransactionalDataSourceSpringContextTests and equally good performance, even when performing the instrumentation required by the JPA specification. Exposes an EntityManagerFactory and a shared EntityManager. Requires an EntityManagerFactory to be injected, plus the DataSource and JpaTransactionManager through the superclass.

• AbstractAspectjJpaTests is a subclass of AbstractJpaTests that activates AspectJ load-time weaving and allows the ability to specify a custom location for AspectJ's aop.xml file.

# 8.3.7. Spring TestContext Framework

The Spring TestContext Framework (located in the org.springframework.test.context package) provides generic, annotation-driven unit and integration testing support that is agnostic of the testing framework in use, for example JUnit 3.8, JUnit 4.4, TestNG 5.5, etc. The TestContext framework also places a great deal of importance on *convention over configuration* with reasonable defaults that can be overridden via annotation-based configuration.

In addition to generic testing infrastructure, the TestContext framework provides explicit support for JUnit 3.8, JUnit 4.4, and TestNG 5.5 in the form of abstract support classes. For JUnit 4.4, the framework also provides a custom Runner which allows one to write test classes that are not required to extend a particular class hierarchy.

The following section provides an overview of the internals of the TestContext framework. If you are only interested in using the framework and not necessarily interested in extending it with your own custom listeners, feel free to skip ahead to the configuration (context management, dependency injection, transaction management), support classes, and annotation support sections.

## 8.3.7.1. Key abstractions

The core of the framework consists of the TestContext and TestContextManager classes and the TestExecutionListener interface. A TestContextManager is created on a per-test basis. The TestContextManager in turn manages a TestContext which is responsible for holding the context of the current test. The TestContextManager is also responsible for updating the state of the TestContext as the test progresses and delegating to TestExecutionListeners, which instrument the actual test execution (e.g., providing dependency injection, managing transactions, etc.). Consult the JavaDoc and the Spring test suite for further information and examples of various configurations.

- TestContext: encapsulates the context in which a test is executed, agnostic of the actual testing framework in use.
- TestContextManager: the main entry point into the *Spring TestContext Framework*, which is responsible for managing a single TestContext and signaling events to all registered TestExecutionListeners at well defined test execution points: test instance preparation, prior to any *before methods* of a particular testing framework, and after any *after methods* of a particular testing framework.
- TestExecutionListener: defines a *listener* API for reacting to test execution events published by the TestContextManager with which the listener is registered.

Spring provides three TestExecutionListener implementations which are configured by default (via the @TestExecutionListeners annotation): DependencyInjectionTestExecutionListener, DirtiesContextTestExecutionListener, and TransactionalTestExecutionListener, which provide support for dependency injection of the test instance, handling of the @DirtiesContext annotation, and transactional test execution support with default rollback semantics, respectively.

The following three sections explain how to configure the TestContext framework via annotations and provide working examples of how to actually write unit and integration tests with the framework.

## 8.3.7.2. Context management and caching

Each TestContext provides context management and caching support for the test instance for which it is responsible. Test instances do not automatically receive access to the configured ApplicationContext; however, if a test class implements the ApplicationContextAware interface, a reference to the ApplicationContext will be supplied to the test instance (provided the DependencyInjectionTestExecutionListener has been configured, which is the default). Note that AbstractJUnit38SpringContextTests, AbstractJUnit4SpringContextTests, and AbstractTestNGSpringContextTests already implement ApplicationContextAware and therefore provide this functionality out-of-the-box.

In contrast to the JUnit 3.8 legacy support, test classes which use the TestContext framework do not need to override any protected instance methods to configure their application context. Rather, configuration is achieved merely by declaring the @ContextConfiguration annotation at the class level. If your test class does not explicitly declare any application context resource locations, the configured ContextLoader will determine how and whether or not to load a context from a default set of locations. For example, GenericXmlContextLoader - which is the default ContextLoader - will generate a default location based on the name of the test class. If your class is named com.example.MyTest, GenericXmlContextLoader will load your application context from "classpath:/com/example/MyTest-context.xml".

```
package com.example;

@RunWith(SpringJUnit4ClassRunner.class)
// ApplicationContext will be loaded from "classpath:/com/example/MyTest-context.xml"
@ContextConfiguration
public class MyTest {
    // class body...
}
```

If the default location does not suit your needs, you are free to explicitly configure the locations attribute of @ContextConfiguration (see code listing below) with an array containing the resource locations of XML configuration metadata (assuming an XML-capable ContextLoader has been configured) - typically on the classpath - used to configure the application. This will be the same, or nearly the same, as the list of configuration locations specified in web.xml or other deployment configuration. As an alternative you may choose to implement and configure your own custom ContextLoader.

```
@RunWith(SpringJUnit4ClassRunner.class)
// ApplicationContext will be loaded from "/applicationContext.xml" and "/applicationContext-test.xml"
// in the root of the classpath
@ContextConfiguration(locations={"/applicationContext.xml", "/applicationContext-test.xml"})
public class MyTest {
    // class body...
}
```

@ContextConfiguration also supports a boolean inheritLocations attribute which denotes whether or not resource locations from superclasses should be *inherited*. The default value is true, which means that an annotated class will *inherit* the resource locations defined by an annotated superclass. Specifically, the resource locations for an annotated class will be appended to the list of resource locations defined by an annotated superclass. Thus, subclasses have the option of *extending* the list of resource locations. In the following example, the ApplicationContext for ExtendedTest will be loaded from "/base-context.xml" and "/extended-context.xml", in that order. Beans defined in "/extended-context.xml" may therefore override those defined in "/base-context.xml".

```
@RunWith(SpringJUnit4ClassRunner.class)

// ApplicationContext will be loaded from "/base-context.xml" in the root of the classpath

@ContextConfiguration(locations={"/base-context.xml"})

public class BaseTest {

    // class body...
}

// ApplicationContext will be loaded from "/base-context.xml" and "/extended-context.xml"
```

```
// in the root of the classpath
@ContextConfiguration(locations={"/extended-context.xml"})
public class ExtendedTest extends BaseTest {
    // class body...
}
```

If inheritLocations is set to false, the resource locations for the annotated class will *shadow* and effectively replace any resource locations defined by a superclass.

By default, once loaded, the configured ApplicationContext will be reused for each test. Thus the setup cost will be incurred only once (per test fixture), and subsequent test execution will be much faster. In the unlikely case that a test may *dirty* the application context, requiring reloading - for example, by changing a bean definition or the state of an application object - you may annotate your test method with @DirtiesContext (assuming DirtiesContextTestExecutionListener has been configured, which is the default) to cause the test fixture to reload the configurations and rebuild the application context before executing the next test.

## 8.3.7.3. Dependency Injection of test fixtures

When you configure the DependencyInjectionTestExecutionListener - which is configured by default - via the @TestExecutionListeners annotation, the dependencies of your test instances will be *injected* from beans in the application context you configured via @ContextConfiguration by Setter Injection, Field Injection, or both, depending on which annotations you choose and whether you place them on setter methods or fields. For consistency with annotation support in Spring 2.5, you may choose either Spring's @Autowired annotation or the @Resource annotation from JSR 250. The semantics for both are consistent throughout the Spring Framework. For example, if you prefer *autowiring by type*, annotate your setter methods or fields with @Autowired. On the other hand, if you prefer to have your dependencies injected *by name*, annotate your setter methods or fields with @Resource.



## Tip

The TestContext framework does not instrument the manner in which a test instance is instantiated. Thus the use of @Autowired for constructors has no effect for test classes.

Since @Autowired performs <u>autowiring by type</u>, if you have multiple bean definitions of the same type, you cannot rely on this approach for those particular beans. In that case, you can use @Resource for injection by name. Alternatively, if your test class implements ApplicationContextAware, you can directly access the ApplicationContext supplied to your test and perform an explicit lookup using (for example) a call to applicationContext.getBean("titleDao").

If you don't want dependency injection applied to your test instances, simply don't annotate any fields or setter methods with <code>@Autowired</code> or <code>@Resource</code>. Alternatively, you can disable dependency injection altogether by explicitly configuring your class with <code>@TestExecutionListeners</code> and omitting <code>DependencyInjectionTestExecutionListener.class</code> from the list of listeners.

Consider the scenario where we have a class, HibernateTitleDao (as outlined in the common goals section). First, let's look at a JUnit 4.4 based implementation of the test class itself which uses @Autowired for field injection (we will look at the application context configuration after all sample code listings). Note: The dependency injection behavior in the following code listings is not in any way specific to JUnit 4.4. The same DI techniques can be used in conjunction with any testing framework.

```
@RunWith(SpringJUnit4ClassRunner.class)
// specifies the Spring configuration to load for this test fixture
@ContextConfiguration(locations={"daos.xml"})
public final class HibernateTitleDaoTests {

// this instance will be dependency injected by type
```

```
@Autowired
private HibernateTitleDao titleDao;

public void testLoadTitle() throws Exception {
    Title title = this.titleDao.loadTitle(new Long(10));
    assertNotNull(title);
    }
}
```

Alternatively, we can configure the class to use @Autowired for setter injection.

```
@RunWith(SpringJUnit4ClassRunner.class)
// specifies the Spring configuration to load for this test fixture
@ContextConfiguration(locations={"daos.xml"})
public final class HibernateTitleDaoTests {

    // this instance will be dependency injected by type
    private HibernateTitleDao titleDao;

    @Autowired
    public void setTitleDao(HibernateTitleDao titleDao) {
        this.titleDao = titleDao;
    }

    public void testLoadTitle() throws Exception {
        Title title = this.titleDao.loadTitle(new Long(10));
        assertNotNull(title);
    }
}
```

Now let's take a look at an example using @Resource for field injection.

```
@RunWith(SpringJUnit4ClassRunner.class)
// specifies the Spring configuration to load for this test fixture
@ContextConfiguration(locations={"daos.xml"})
public final class HibernateTitleDaoTests {

    // this instance will be dependency injected by name
    @Resource
    private HibernateTitleDao titleDao;

public void testLoadTitle() throws Exception {
        Title title = this.titleDao.loadTitle(new Long(10));
        assertNotNull(title);
    }
}
```

Finally, here is an example using @Resource for setter injection.

```
@RunWith(SpringJUnit4ClassRunner.class)
// specifies the Spring configuration to load for this test fixture
@ContextConfiguration(locations={"daos.xml"})
public final class HibernateTitleDaoTests {

    // this instance will be dependency injected by name
    private HibernateTitleDao titleDao;

    @Resource
    public void setTitleDao(HibernateTitleDao titleDao) {
        this.titleDao = titleDao;
    }

    public void testLoadTitle() throws Exception {
        Title title = this.titleDao.loadTitle(new Long(10));
        assertNotNull(title);
    }
}
```

The above code listings use the same XML context file referenced by the @ContextConfiguration annotation (i.e., "daos.xml") which looks like this:



### Note

If you are extending from a Spring-provided test base class that happens to use @Autowired on one of its setters methods, you might have multiple beans of the affected type defined in your application context: e.g. multiple DataSource beans. In such a case, you may override the setter and use the @Qualifier annotation to indicate a specific target bean as follows:

```
...
@Override @Autowired
public void setDataSource(@Qualifier("myDataSource") DataSource dataSource) {
    super.setDataSource(dataSource);
}
...
```

The specified qualifier value indicates the specific DataSource bean to inject, narrowing the set of type matches to a specific bean. Its value is matched against <qualifier> declarations within the corresponding <bean> definitions. The bean name is used as a fallback qualifier value, so you may effectively also point to a specific bean by name there (as shown above, assuming that "myDataSource" is the bean id). If there is only one DataSource bean to begin with, then the qualifier will simply not have any effect - independent from the bean name of that single matching bean.

Alternatively, consider using the <code>@Resource</code> annotation on such an overridden setter methods, defining the target bean name explicitly - with no type matching semantics. Note that this always points to a bean with that specific name, no matter whether there is one or more beans of the given type.

```
...
@Override @Resource("myDataSource")
public void setDataSource(DataSource dataSource) {
    super.setDataSource(dataSource);
}
...
```

#### 8.3.7.4. Transaction management

In the TestContext framework, transactions are managed by the TransactionalTestExecutionListener, which is configured via the @TestExecutionListeners annotation by default, even if you do not explicitly declare @TestExecutionListeners on your test class. To enable support for transactions, however, you must provide a PlatformTransactionManager bean in the application context loaded via @ContextConfiguration semantics. In addition, you must declare @Transactional either at the class or method level.

For class-level transaction configuration (i.e., setting the bean name for the transaction manager and the default rollback flag), see the @TransactionConfiguration entry in the <u>TestContext framework annotation support</u> section.

There are several options for configuring transactions for individual test methods. If transactions are not enabled for the entire test class, methods may be explicitly annotated with @Transactional. Similarly, if transactions *are* enabled for the entire test class, methods may be explicitly flagged not to run within a transaction by annotating them with @NotTransactional. To control whether or not a transaction should commit for a particular test method, you may use the @Rollback annotation to override the class-level default rollback setting.

Note that <u>AbstractTransactionalJUnit38SpringContextTests</u>, and <u>AbstractTransactionalTestNGSpringContextTests</u> are pre-configured for transactional support at the class level.

You will occasionally find that you need to execute certain code before or after a transactional test method but outside the transactional context, for example to verify the initial database state prior to execution of your test or to verify expected transactional commit behavior after test execution (e.g., if the test was configured not to roll back the transaction). TransactionalTestExecutionListener supports the @BeforeTransaction and @AfterTransaction annotations exactly for such scenarios. Simply annotate any public void method in your test class with one of these annotations, and the TransactionalTestExecutionListener will ensure that your before transaction method or after transaction method is executed at the appropriate time.



## Tip

Any before methods (e.g., methods annotated with JUnit 4's @Before) and any after methods (e.g., methods annotated with JUnit 4's @After) will be executed within a transaction. In addition, methods annotated with @BeforeTransaction or @AfterTransaction will naturally not be executed for tests annotated with @NotTransactional.

The following JUnit 4 based example displays a fictitious integration testing scenario highlighting several of the transaction-related annotations. Consult the <u>TestContext framework annotation support</u> section of the reference manual for further information and configuration examples.

```
@RunWith(SpringJUnit4ClassRunner.class)
@ContextConfiguration
@TransactionConfiguration(transactionManager="txMgr", defaultRollback=false)
@Transactional
public class FictitiousTransactionalTest {
   @BeforeTransaction
   public void verifyInitialDatabaseState()
        // logic to verify the initial state before a transaction is started
   @Refore
   public void setUpTestDataWithinTransaction() {
        // set up test data within the transaction
   @Test
    // overrides the class-level defaultRollback setting
   @Rollback(true)
   public void modifyDatabaseWithinTransaction()
        // logic which uses the test data and modifies database state
   @After
   public void tearDownWithinTransaction() {
        // execute "tear down" logic within the transaction
```

```
@AfterTransaction
public void verifyFinalDatabaseState() {
    // logic to verify the final state after transaction has rolled back
}

@Test
@NotTransactional
public void performNonDatabaseRelatedAction() {
    // logic which does not modify database state
}
```

### 8.3.7.5. TestContext support classes

# 8.3.7.5.1. JUnit 3.8 support classes

The org.springframework.test.context.junit38 package provides support classes for JUnit 3.8 based test cases.

• AbstractJUnit38SpringContextTests:

Abstract TestCase which integrates the *Spring TestContext Framework* with explicit ApplicationContext testing support in a JUnit 3.8 environment. When you extend the AbstractJUnit38SpringContextTests class you will have access to the following protected instance variables:

- applicationContext: use this to perform explicit bean lookups or to test the state of the context as a whole.
- AbstractTransactionalJUnit38SpringContextTests:

Abstract transactional extension of AbstractJunit38SpringContextTests that also adds some convenience functionality for JDBC access. Expects a javax.sql.DataSource bean and a PlatformTransactionManager bean to be defined in the ApplicationContext. When you extend the AbstractTransactionalJunit38SpringContextTests class you will have access to the following protected instance variables:

- applicationContext: inherited from the AbstractJUnit38SpringContextTests superclass. Use this to perform explicit bean lookups or to test the state of the context as a whole.
- simpleJdbcTemplate: useful for querying to confirm state. For example, you might query before and after testing application code that creates an object and persists it using an ORM tool, to verify that the data appears in the database. (Spring will ensure that the query runs in the scope of the same transaction.) You will need to tell your ORM tool to 'flush' its changes for this to work correctly, for example using the flush() method on Hibernate's Session interface.

# 8.3.7.5.2. JUnit 4.4 support classes

The org.springframework.test.context.junit4 package provides support classes for JUnit 4.4 based test cases.

• AbstractJUnit4SpringContextTests:

Abstract base test class which integrates the *Spring TestContext Framework* with explicit ApplicationContext testing support in a JUnit 4.4 environment.

When you extend AbstractJUnit4SpringContextTests you will have access to the following protected instance variables:

- applicationContext: use this to perform explicit bean lookups or to test the state of the context as a whole.
- AbstractTransactionalJUnit4SpringContextTests:

Abstract transactional extension of AbstractJUnit4SpringContextTests that also adds some convenience functionality for JDBC access. Expects a javax.sql.DataSource bean and a PlatformTransactionManager bean to be defined in the ApplicationContext.

When you extend AbstractTransactionalJUnit4SpringContextTests you will have access to the following protected instance variables:

- applicationContext: inherited from the AbstractJUnit4SpringContextTests superclass. Use this to perform explicit bean lookups or to test the state of the context as a whole.
- simpleJdbcTemplate: useful for querying to confirm state. For example, you might query before and after testing application code that creates an object and persists it using an ORM tool, to verify that the data appears in the database. (Spring will ensure that the query runs in the scope of the same transaction.) You will need to tell your ORM tool to 'flush' its changes for this to work correctly, for example using the flush() method on Hibernate's Session interface.



## Tip

These classes serve only as a convenience for extension. If you do not wish for your test classes to be tied to a Spring-specific class hierarchy - for example, if you wish to directly extend the class you are testing - you may configure your own custom test classes by using <code>@RunWith(SpringJUnit4ClassRunner.class)</code>, <code>@ContextConfiguration</code>, <code>@TestExecutionListeners</code>, etc.

## 8.3.7.5.3. Custom JUnit 4.4 Runner

The Spring TestContext Framework offers full integration with JUnit 4.4 via a custom runner. By annotating test classes with @Runwith(SpringJUnit4ClassRunner.class), developers can implement standard JUnit 4.4 unit and integration tests and simultaneously reap the benefits of the TestContext framework such as support for loading application contexts, dependency injection of test instances, transactional test method execution, etc. The following code listing displays the minimal requirements for configuring a test class to run with the custom Spring Runner. Note that @TestExecutionListeners has been configured with an empty list in order to disable the default listeners, which would otherwise require that an ApplicationContext be configured via @ContextConfiguration.

```
@RunWith(SpringJUnit4ClassRunner.class)
@TestExecutionListeners({})
public class SimpleTest {

    @Test
    public void testMethod() {
        // execute test logic...
    }
}
```

# 8.3.7.5.4. TestNG support classes

The org.springframework.test.context.testng package provides support classes for TestNG based test cases.

• AbstractTestNGSpringContextTests:

Abstract base test class which integrates the *Spring TestContext Framework* with explicit ApplicationContext testing support in a TestNG environment.

When you extend AbstractTestNGSpringContextTests you will have access to the following protected instance variables:

- applicationContext: use this to perform explicit bean lookups or to test the state of the context as a whole.
- AbstractTransactionalTestNGSpringContextTests:

Abstract transactional extension of AbstractTestNGSpringContextTests that adds some convenience functionality for JDBC access. Expects a javax.sql.DataSource bean and a PlatformTransactionManager bean to be defined in the ApplicationContext.

When you extend AbstractTransactionalTestNGSpringContextTests you will have access to the following protected instance variables:

- applicationContext: inherited from the AbstractTestNGSpringContextTests superclass. Use this to perform explicit bean lookups or to test the state of the context as a whole.
- simpleJdbcTemplate: useful for querying to confirm state. For example, you might query before and after testing application code that creates an object and persists it using an ORM tool, to verify that the data appears in the database. (Spring will ensure that the query runs in the scope of the same transaction.) You will need to tell your ORM tool to 'flush' its changes for this to work correctly, for example using the flush() method on Hibernate's Session interface.



## Tip

These classes serve only as a convenience for extension. If you do not wish for your test classes to be tied to a Spring-specific class hierarchy - for example, if you wish to directly extend the class you are testing - you may configure your own custom test classes by using @ContextConfiguration, @TestExecutionListeners, etc. and by manually instrumenting your test class with a TestContextManager. See the source code of AbstractTestNGSpringContextTests for an example of how to instrument your test class.

### 8.3.7.6. TestContext framework annotation support

The Spring TestContext Framework supports all annotations as outlined in the <u>common annotations</u> section. The following annotations, however, are *only supported when used in conjunction with JUnit (e.g., with the SpringJUnit4ClassRunner or the JUnit 3.8 and JUnit 4.4 support classes.* 

- @IfProfileValue
- @ProfileValueSourceConfiguration
- @ExpectedException

Using Spring's @ExpectedException annotation in conjunction with JUnit 4's @Test(expected=...) configuration would lead to an unresolvable conflict. Developers must therefore choose one or the other when integrating with JUnit 4, in which case it is generally preferable to use the explicit JUnit 4 configuration.

#### @Timed

Spring's @Timed annotation has different semantics than JUnit 4's @Test(timeout=...) support. Specifically, due to the manner in which JUnit 4 handles test execution timeouts (i.e., by executing the test method in a separate Thread), @Test(timeout=...) applies to each iteration in the case of repetitions and preemptively fails the test if the test takes too long. Spring's @Timed, on the other hand, times the total test execution time (including all repetitions) and does not preemptively fail the test but rather waits for the test to actually complete before failing.

• @Repeat

The following non-test-specific annotations are also supported by the Spring TestContext Framework with their standard semantics.

- @Autowired
- @Qualifier
- @Resource (javax.annotation) if JSR-250 is present
- @PersistenceContext (javax.persistence) if JPA is present
- @PersistenceUnit (javax.persistence) if JPA is present
- @Required
- @Transactional

The following list includes all annotations specific to the Spring TestContext Framework. Refer to the respective JavaDoc for further information, including default attribute values, etc.

#### @ContextConfiguration

Defines class-level metadata which is used to determine how to load and configure an ApplicationContext. Specifically, @ContextConfiguration defines the application context resource locations to load as well as the ContextLoader strategy to use for loading the context.

```
@ContextConfiguration(locations={"example/test-context.xml"}, loader=CustomContextLoader.class)
public class CustomConfiguredApplicationContextTests {
    // class body...
}
```

Note: @ContextConfiguration provides support for *inherited* resource locations by default. See the <u>Context</u> management and caching section and JavaDoc for an example and further details.

#### • @TestExecutionListeners

Defines class-level metadata for configuring which TestExecutionListeners should be registered with a TestContextManager. Typically, @TestExecutionListeners will be used in conjunction with

@ContextConfiguration.

```
@ContextConfiguration
@TestExecutionListeners({CustomTestExecutionListener.class, AnotherTestExecutionListener.class})
public class CustomTestExecutionListenerTests {
    // class body...
}
```

Note: @TestExecutionListeners provides support for *inherited* listeners by default. See the JavaDoc for an example and further details.

#### • @TransactionConfiguration

Defines class-level metadata for configuring transactional tests. Specifically, the bean name of the PlatformTransactionManager that is to be used to drive transactions can be explicitly configured if the bean name of the desired PlatformTransactionManager is not "transactionManager". In addition, the defaultRollback flag can optionally be changed to false. Typically, @TransactionConfiguration will be used in conjunction with @ContextConfiguration.

```
@ContextConfiguration
@TransactionConfiguration(transactionManager="txMgr", defaultRollback=false)
public class CustomConfiguredTransactionalTests {
    // class body...
}
```

#### @BeforeTransaction

Indicates that the annotated public void method should be executed *before* a transaction is started for test methods configured to run within a transaction via the @Transactional annotation.

```
@BeforeTransaction
public void beforeTransaction() {
    // logic to be executed before a transaction is started
}
```

#### • @AfterTransaction

Indicates that the annotated public void method should be executed *after* a transaction has been ended for test methods configured to run within a transaction via the @Transactional annotation.

```
@AfterTransaction
public void afterTransaction() {
    // logic to be executed after a transaction has ended
}
```

# 8.3.8. PetClinic example

The PetClinic sample application included with the full Spring distribution illustrates several features of the *Spring TestContext Framework* in a JUnit 4.4 environment. Most test functionality is included in the AbstractClinicTests, for which a partial listing is shown below:

```
@ContextConfiguration
public abstract class AbstractClinicTests extends AbstractTransactionalJUnit4SpringContextTests {
    @Autowired
    protected Clinic clinic;
    @Test
    public void getVets() {
```

#### Notes:

- This test case extends the AbstractTransactionalJUnit4SpringContextTests class, from which it inherits configuration for Dependency Injection (via the DependencyInjectionTestExecutionListener) and transactional behavior (via the TransactionalTestExecutionListener).
- The clinic instance variable the application object being tested is set by Dependency Injection via 
  @Autowired semantics.
- The testGetVets() method illustrates how the inherited countRowsInTable() method can be used to easily verify the number of rows in a given table, thus testing correct behavior of the application code being tested. This allows for stronger tests and lessens dependency on the exact test data. For example, you can add additional rows in the database without breaking tests.
- Like many integration tests using a database, most of the tests in AbstractClinicTests depend on a minimum amount of data already in the database before the test cases run. You might, however, choose to populate the database in your test cases also again, within the same transaction.

The PetClinic application supports three data access technologies - JDBC, Hibernate, and JPA. By declaring @ContextConfiguration without any specific resource locations, the AbstractClinicTests class will have its application context loaded from the default location, "AbstractClinicTests-context.xml", which declares a common DataSource. Subclasses specify additional context locations which must declare a PlatformTransactionManager and a concrete implementation of Clinic.

For example, the Hibernate implementation of the PetClinic tests contains the following implementation. Note that for this example, HibernateClinicTests does not contain a single line of code: we only need to declare from @ContextConfiguration, and the tests are inherited AbstractClinicTests. @ContextConfiguration is declared without any specific resource locations, the Spring TestContext all Framework will load application context from the beans defined in "AbstractClinicTests-context.xml" (i.e., the inherited locations) and "HibernateClinicTests-context.xml", With "HibernateClinicTests-context.xml" possibly overriding beans defined in "AbstractClinicTests-context.xml".

```
@ContextConfiguration
public class HibernateClinicTests extends AbstractClinicTests { }
```

As you can see in the PetClinic application, the Spring configuration is split across multiple files. As is typical of large scale applications, configuration locations will often be specified in a common base class for all application-specific integration tests. Such a base class may also add useful instance variables - populated by Dependency Injection, naturally - such as a HibernateTemplate, in the case of an application using Hibernate.

As far as possible, you should have exactly the same Spring configuration files in your integration tests as in the deployed environment. One likely point of difference concerns database connection pooling and transaction infrastructure. If you are deploying to a full-blown application server, you will probably use its connection pool (available through JNDI) and JTA implementation. Thus in production you will use a <code>JndiobjectFactoryBean</code> for the <code>DataSource</code> and <code>JtaTransactionManager</code>. JNDI and JTA will not be available in out-of-container integration tests, so you should use a combination like the Commons DBCP <code>BasicDataSource</code> and <code>DataSourceTransactionManager</code> or <code>HibernateTransactionManager</code> for them. You can factor out this variant behavior into a single XML file, having the choice between application server and 'local' configuration separated from all other configuration, which will not vary between the test and production environments. In addition, it is advisable to use properties files for connection settings: see the PetClinic application for an example.

## 8.4. Further Resources

This section contains links to further resources about testing in general.

- The <u>JUnit homepage</u>. The Spring Framework's unit test suite is written using JUnit 3.8 as the testing framework.
- The <u>TestNG homepage</u>. TestNG is a testing framework inspired by JUnit 3.8 with added support for Java 5 annotations, test groups, data-driven testing, distributed testing, etc.
- The <u>Mock Objects homepage</u>. About Mock Objects, a technique for improving the design of code within Test-Driven Development.
- "Mock Objects" article at Wikipedia.
- The EasyMock homepage. The Spring Framework uses EasyMock extensively in its test suite.
- The <u>JMock homepage</u>. JMock is a library that supports test-driven development of Java code with mock objects.
- The <u>DbUnit homepage</u>. DbUnit is a JUnit extension (also usable with Ant) targeted for database-driven projects that, among other things, puts your database into a known state between test runs.
- The <u>Grinder homepage</u>. The Grinder is a Java load-testing framework.

# Part II. Middle Tier Data Access

This part of the reference documentation is concerned with the middle tier, and specifically the data access responsibilities of said tier.

Spring's comprehensive transaction management support is covered in some detail, followed by thorough coverage of the various middle tier data access frameworks and technologies that the Spring Framework integrates with.

- Chapter 9, Transaction management
- Chapter 10, DAO support
- Chapter 11, Data access using JDBC
- Chapter 12, Object Relational Mapping (ORM) data access

# **Chapter 9. Transaction management**

## 9.1. Introduction

One of the most compelling reasons to use the Spring Framework is the comprehensive transaction support. The Spring Framework provides a consistent abstraction for transaction management that delivers the following benefits:

- Provides a consistent programming model across different transaction APIs such as JTA, JDBC, Hibernate, JPA, and JDO.
- Supports declarative transaction management.
- Provides a simpler API for <u>programmatic</u> transaction management than a number of complex transaction APIs such as JTA.
- Integrates very well with Spring's various data access abstractions.

This chapter is divided up into a number of sections, each detailing one of the value-adds or technologies of the Spring Framework's transaction support. The chapter closes up with some discussion of best practices surrounding transaction management (for example, choosing between declarative and programmatic transaction management).

- The first section, entitled <u>Motivations</u>, describes *why* one would want to use the Spring Framework's transaction abstraction as opposed to EJB CMT or driving transactions via a proprietary API such as Hibernate.
- The second section, entitled <u>Key abstractions</u> outlines the core classes in the Spring Framework's transaction support, as well as how to configure and obtain <code>DataSource</code> instances from a variety of sources.
- The third section, entitled <u>Declarative transaction management</u>, covers the Spring Framework's support for declarative transaction management.
- The fourth section, entitled <u>Programmatic transaction management</u>, covers the Spring Framework's support for programmatic (that is, explicitly coded) transaction management.

## 9.2. Motivations

#### Is an application server needed for transaction management?

The Spring Framework's transaction management support significantly changes traditional thinking as to when a J2EE application requires an application server.

In particular, you don't need an application server just to have declarative transactions via EJB. In fact, even if you have an application server with powerful JTA capabilities, you may well decide that the Spring Framework's declarative transactions offer more power and a much more productive programming model than EJB CMT.

Typically you need an application server's JTA capability only if you need to enlist multiple transactional

resources, and for many applications being able to handle transactions across multiple resources isn't a requirement. For example, many high-end applications use a single, highly scalable database (such as Oracle 9i RAC). Standalone transaction managers such as <a href="https://example.com/Atomikos Transactions">Atomikos Transactions</a> and <a href="https://example.com/JOTM">JOTM</a> are other options. (Of course you may need other application server capabilities such as JMS and JCA.)

The most important point is that with the Spring Framework *you can choose when to scale your application up to a full-blown application server*. Gone are the days when the only alternative to using EJB CMT or JTA was to write code using local transactions such as those on JDBC connections, and face a hefty rework if you ever needed that code to run within global, container-managed transactions. With the Spring Framework, only configuration needs to change so that your code doesn't have to.

Traditionally, J2EE developers have had two choices for transaction management: *global* or *local* transactions. Global transactions are managed by the application server, using the Java Transaction API (JTA). Local transactions are resource-specific: the most common example would be a transaction associated with a JDBC connection. This choice has profound implications. For instance, global transactions provide the ability to work with multiple transactional resources (typically relational databases and message queues). With local transactions, the application server is not involved in transaction management and cannot help ensure correctness across multiple resources. (It is worth noting that most applications use a single transaction resource.)

Global Transactions. Global transactions have a significant downside, in that code needs to use JTA, and JTA is a cumbersome API to use (partly due to its exception model). Furthermore, a JTA userTransaction normally needs to be sourced from JNDI: meaning that we need to use both JNDI and JTA to use JTA. Obviously all use of global transactions limits the reusability of application code, as JTA is normally only available in an application server environment. Previously, the preferred way to use global transactions was via EJB CMT (Container Managed Transaction): CMT is a form of declarative transaction management (as distinguished from programmatic transaction management). EJB CMT removes the need for transaction-related JNDI lookups - although of course the use of EJB itself necessitates the use of JNDI. It removes most of the need (although not entirely) to write Java code to control transactions. The significant downside is that CMT is tied to JTA and an application server environment. Also, it is only available if one chooses to implement business logic in EJBs, or at least behind a transactional EJB facade. The negatives around EJB in general are so great that this is not an attractive proposition, especially in the face of compelling alternatives for declarative transaction management.

**Local Transactions.** Local transactions may be easier to use, but have significant disadvantages: they cannot work across multiple transactional resources. For example, code that manages transactions using a JDBC connection cannot run within a global JTA transaction. Another downside is that local transactions tend to be invasive to the programming model.

Spring resolves these problems. It enables application developers to use a *consistent* programming model *in any environment*. You write your code once, and it can benefit from different transaction management strategies in different environments. The Spring Framework provides both declarative and programmatic transaction management. Declarative transaction management is preferred by most users, and is recommended in most cases.

With programmatic transaction management, developers work with the Spring Framework transaction abstraction, which can run over any underlying transaction infrastructure. With the preferred declarative model, developers typically write little or no code related to transaction management, and hence don't depend on the Spring Framework's transaction API (or indeed on any other transaction API).

## 9.3. Key abstractions

The key to the Spring transaction abstraction is the notion of a *transaction strategy*. A transaction strategy is defined by the org.springframework.transaction.PlatformTransactionManager interface, shown below:

```
public interface PlatformTransactionManager {
   TransactionStatus getTransaction(TransactionDefinition definition)
    throws TransactionException;
   void commit(TransactionStatus status) throws TransactionException;
   void rollback(TransactionStatus status) throws TransactionException;
}
```

This is primarily an SPI interface, although it can be used <u>programmatically</u>. Note that in keeping with the Spring Framework's philosophy, PlatformTransactionManager is an *interface*, and can thus be easily mocked or stubbed as necessary. Nor is it tied to a lookup strategy such as JNDI: PlatformTransactionManager implementations are defined like any other object (or bean) in the Spring Framework's IoC container. This benefit alone makes it a worthwhile abstraction even when working with JTA: transactional code can be tested much more easily than if it used JTA directly.

Again in keeping with Spring's philosophy, the TransactionException that can be thrown by any of the PlatformTransactionManager interface's methods is *unchecked* (that is it extends the java.lang.RuntimeException class). Transaction infrastructure failures are almost invariably fatal. In rare cases where application code can actually recover from a transaction failure, the application developer can still choose to catch and handle TransactionException. The salient point is that developers are not *forced* to do so.

The <code>getTransaction(..)</code> method returns a <code>TransactionStatus</code> object, depending on a <code>TransactionDefinition</code> parameter. The returned <code>TransactionStatus</code> might represent a new or existing transaction (if there were a matching transaction in the current call stack - with the implication being that (as with J2EE transaction contexts) a <code>TransactionStatus</code> is associated with a <code>thread</code> of execution).

The TransactionDefinition interface specifies:

- **Isolation**: the degree of isolation this transaction has from the work of other transactions. For example, can this transaction see uncommitted writes from other transactions?
- **Propagation**: normally all code executed within a transaction scope will run in that transaction. However, there are several options specifying behavior if a transactional method is executed when a transaction context already exists: for example, simply continue running in the existing transaction (the common case); or suspending the existing transaction and creating a new transaction. *Spring offers all of the transaction propagation options familiar from EJB CMT*. (Some details regarding the semantics of transaction propagation in Spring can be found in the section entitled Section 9.5.7, "Transaction propagation".
- **Timeout**: how long this transaction may run before timing out (and automatically being rolled back by the underlying transaction infrastructure).
- **Read-only status**: a read-only transaction does not modify any data. Read-only transactions can be a useful optimization in some cases (such as when using Hibernate).

These settings reflect standard transactional concepts. If necessary, please refer to a resource discussing transaction isolation levels and other core transaction concepts because understanding such core concepts is essential to using the Spring Framework or indeed any other transaction management solution.

The TransactionStatus interface provides a simple way for transactional code to control transaction execution and query transaction status. The concepts should be familiar, as they are common to all transaction APIs:

```
public interface TransactionStatus {
  boolean isNewTransaction();
  void setRollbackOnly();
  boolean isRollbackOnly();
}
```

Regardless of whether you opt for declarative or programmatic transaction management in Spring, defining the correct PlatformTransactionManager implementation is absolutely essential. In good Spring fashion, this important definition typically is made using via Dependency Injection.

PlatformTransactionManager implementations normally require knowledge of the environment in which they work: JDBC, JTA, Hibernate, etc The following examples from the dataAccessContext-local.xml file from Spring's **jPetStore** sample application show how a local PlatformTransactionManager implementation can be defined. (This will work with plain JDBC.)

We must define a JDBC DataSource, and then use the Spring DataSourceTransactionManager, giving it a reference to the DataSource.

The related PlatformTransactionManager bean definition will look like this:

If we use JTA in a J2EE container, as in the 'dataAccessContext-jta.xml' file from the same sample application, we use a container DataSource, obtained via JNDI, in conjunction with Spring's JtaTransactionManager. The JtaTransactionManager doesn't need to know about the DataSource, or any other specific resources, as it will use the container's global transaction management infrastructure.



#### Note

The above definition of the 'dataSource' bean uses the <jndi-lookup/> tag from the 'jee'

namespace. For more information on schema-based configuration, see Appendix A, *XML Schema-based configuration*, and for more information on the <jee/> tags see the section entitled Section A.2.3, "The jee schema".

We can also use Hibernate local transactions easily, as shown in the following examples from the Spring Framework's **PetClinic** sample application. In this case, we need to define a Hibernate LocalSessionFactoryBean, which application code will use to obtain Hibernate Session instances.

The DataSource bean definition will be similar to the one shown previously (and thus is not shown). If the DataSource is managed by the JEE container it should be non-transactional as the Spring Framework, rather than the JEE container, will manage transactions.

The 'txManager' bean in this case is of the HibernateTransactionManager type. In the same way as the DataSourceTransactionManager needs a reference to the DataSource, the HibernateTransactionManager needs a reference to the SessionFactory.

With Hibernate and JTA transactions, we can simply use the JtaTransactionManager as with JDBC or any other resource strategy.

```
<bean id="txManager" class="org.springframework.transaction.jta.JtaTransactionManager"/>
```

Note that this is identical to JTA configuration for any resource, as these are global transactions, which can enlist any transactional resource.

In all these cases, application code will not need to change at all. We can change how transactions are managed merely by changing configuration, even if that change means moving from local to global transactions or vice versa.

## 9.4. Resource synchronization with transactions

It should now be clear how different transaction managers are created, and how they are linked to related resources which need to be synchronized to transactions (for example DataSourceTransactionManager to a JDBC DataSource, HibernateTransactionManager to a Hibernate SessionFactory, and so forth). There remains the question however of how the application code, directly or indirectly using a persistence API (such as JDBC, Hibernate, and JDO), ensures that these resources are obtained and handled properly in terms of proper creation/reuse/cleanup and trigger (optionally) transaction synchronization via the relevant PlatformTransactionManager.

## 9.4.1. High-level approach

The preferred approach is to use Spring's highest level persistence integration APIs. These do not replace the native APIs, but internally handle resource creation/reuse, cleanup, optional transaction synchronization of the resources and exception mapping so that user data access code doesn't have to worry about these concerns at all, but can concentrate purely on non-boilerplate persistence logic. Generally, the same *template* approach is used for all persistence APIs, with examples including the JdbcTemplate, HibernateTemplate, and JdoTemplate classes (detailed in subsequent chapters of this reference documentation.

## 9.4.2. Low-level approach

At a lower level exist classes such as DataSourceUtils (for JDBC), SessionFactoryUtils (for Hibernate), PersistenceManagerFactoryUtils (for JDO), and so on. When it is preferable for application code to deal directly with the resource types of the native persistence APIs, these classes ensure that proper Spring Framework-managed instances are obtained, transactions are (optionally) synchronized, and exceptions which happen in the process are properly mapped to a consistent API.

For example, in the case of JDBC, instead of the traditional JDBC approach of calling the <code>getConnection()</code> method on the <code>DataSource</code>, you would instead use Spring's <code>org.springframework.jdbc.datasource.DataSourceUtils class</code> as follows:

```
Connection conn = DataSourceUtils.getConnection(dataSource);
```

If an existing transaction exists, and already has a connection synchronized (linked) to it, that instance will be returned. Otherwise, the method call will trigger the creation of a new connection, which will be (optionally) synchronized to any existing transaction, and made available for subsequent reuse in that same transaction. As mentioned, this has the added advantage that any SQLException will be wrapped in a Spring Framework CannotGetJdbcConnectionException - one of the Spring Framework's hierarchy of unchecked DataAccessExceptions. This gives you more information than can easily be obtained from the SQLException, and ensures portability across databases: even across different persistence technologies.

It should be noted that this will also work fine without Spring transaction management (transaction synchronization is optional), so you can use it whether or not you are using Spring for transaction management.

Of course, once you've used Spring's JDBC support or Hibernate support, you will generally prefer not to use DataSourceUtils or the other helper classes, because you'll be much happier working via the Spring abstraction than directly with the relevant APIs. For example, if you use the Spring JdbcTemplate or jdbc.object package to simplify your use of JDBC, correct connection retrieval happens behind the scenes and you won't need to write any special code.

## 9.4.3. TransactionAwareDataSourceProxy

At the very lowest level exists the TransactionAwareDataSourceProxy class. This is a proxy for a target DataSource, which wraps the target DataSource to add awareness of Spring-managed transactions. In this respect, it is similar to a transactional JNDI DataSource as provided by a J2EE server.

It should almost never be necessary or desirable to use this class, except when existing code exists which must be called and passed a standard JDBC <code>DataSource</code> interface implementation. In that case, it's possible to still have this code be usable, but participating in Spring managed transactions. It is preferable to write your new code using the higher level abstractions mentioned above.

## 9.5. Declarative transaction management

Most users of the Spring Framework choose declarative transaction management. It is the option with the least impact on application code, and hence is most consistent with the ideals of a non-invasive lightweight container.

The Spring Framework's declarative transaction management is made possible with Spring AOP, although, as the transactional aspects code comes with the Spring Framework distribution and may be used in a boilerplate fashion, AOP concepts do not generally have to be understood to make effective use of this code.

It may be helpful to begin by considering EJB CMT and explaining the similarities and differences with the Spring Framework's declarative transaction management. The basic approach is similar: it is possible to specify transaction behavior (or lack of it) down to individual method level. It is possible to make a setRollbackOnly() call within a transaction context if necessary. The differences are:

- Unlike EJB CMT, which is tied to JTA, the Spring Framework's declarative transaction management works in any environment. It can work with JDBC, JDO, Hibernate or other transactions under the covers, with configuration changes only.
- The Spring Framework enables declarative transaction management to be applied to any class, not merely special classes such as EJBs.
- The Spring Framework offers declarative *rollback rules*: this is a feature with no EJB equivalent. Both programmatic and declarative support for rollback rules is provided.
- The Spring Framework gives you an opportunity to customize transactional behavior, using AOP. For example, if you want to insert custom behavior in the case of transaction rollback, you can. You can also add arbitrary advice, along with the transactional advice. With EJB CMT, you have no way to influence the container's transaction management other than setRollbackOnly().
- The Spring Framework does not support propagation of transaction contexts across remote calls, as do high-end application servers. If you need this feature, we recommend that you use EJB. However, consider carefully before using such a feature, because normally, one does not want transactions to span remote calls.

#### Where is TransactionProxyFactoryBean?

Declarative transaction configuration in versions of Spring 2.0 and above differs considerably from previous versions of Spring. The main difference is that there is no longer any need to configure TransactionProxyFactoryBean beans.

The old, pre-Spring 2.0 configuration style is still 100% valid configuration; think of the new <tx:tags/> as simply defining TransactionProxyFactoryBean beans on your behalf.

The concept of rollback rules is important: they enable us to specify which exceptions (and throwables) should cause automatic roll back. We specify this declaratively, in configuration, not in Java code. So, while we can still call <code>setRollbackOnly()</code> on the <code>TransactionStatus</code> object to roll the current transaction back programmatically, most often we can specify a rule that <code>MyApplicationException</code> must always result in rollback. This has the significant advantage that business objects don't need to depend on the transaction infrastructure. For example, they typically don't need to import any Spring APIs, transaction or other.

While the EJB default behavior is for the EJB container to automatically roll back the transaction on a *system* exception (usually a runtime exception), EJB CMT does not roll back the transaction automatically on an

application exception (that is, a checked exception other than <code>java.rmi.RemoteException</code>). While the Spring default behavior for declarative transaction management follows EJB convention (roll back is automatic only on unchecked exceptions), it is often useful to customize this.

# 9.5.1. Understanding the Spring Framework's declarative transaction implementation

The aim of this section is to dispel the mystique that is sometimes associated with the use of declarative transactions. It is all very well for this reference documentation simply to tell you to annotate your classes with the @Transactional annotation, add the line ('<tx:annotation-driven/>') to your configuration, and then expect you to understand how it all works. This section will explain the inner workings of the Spring Framework's declarative transaction infrastructure to help you navigate your way back upstream to calmer waters in the event of transaction-related issues.

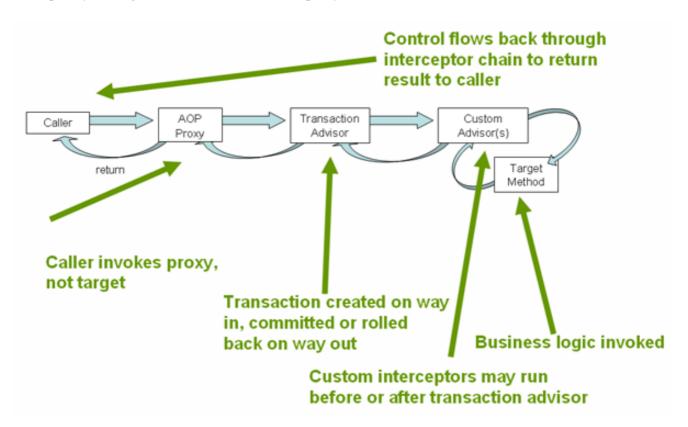
The most important concepts to grasp with regard to the Spring Framework's declarative transaction support are that this support is enabled *via AOP proxies*, and that the transactional advice is driven by *metadata* (currently XML- or annotation-based). The combination of AOP with transactional metadata yields an AOP proxy that uses a TransactionInterceptor in conjunction with an appropriate PlatformTransactionManager implementation to drive transactions *around method invocations*.



#### Note

Although knowledge of Spring AOP is not required to use Spring's declarative transaction support, it can help. Spring AOP is thoroughly covered in the chapter entitled Chapter 6, *Aspect Oriented Programming with Spring*.

Conceptually, calling a method on a transactional proxy looks like this...



## 9.5.2. A first example

Consider the following interface, and its attendant implementation. (The intent is to convey the concepts, and using the rote Foo and Bar tropes means that you can concentrate on the transaction usage and not have to worry about the domain model.)

```
// the service interface that we want to make transactional
package x.y.service;
public interface FooService {
   Foo getFoo(String fooName);
   Foo getFoo(String fooName, String barName);
   void insertFoo(Foo foo);
   void updateFoo(Foo foo);
}
```

```
// an implementation of the above interface

package x.y.service;

public class DefaultFooService implements FooService {

   public Foo getFoo(String fooName) {
      throw new UnsupportedOperationException();
   }

   public Foo getFoo(String fooName, String barName) {
      throw new UnsupportedOperationException();
   }

   public void insertFoo(Foo foo) {
      throw new UnsupportedOperationException();
   }

   public void updateFoo(Foo foo) {
      throw new UnsupportedOperationException();
   }
}
```

(For the purposes of this example, the fact that the DefaultFooService class throws UnsupportedOperationException instances in the body of each implemented method is good; it will allow us to see transactions being created and then rolled back in response to the UnsupportedOperationException instance being thrown.)

Let's assume that the first two methods of the Fooservice interface (getFoo(String) and getFoo(String, String)) have to execute in the context of a transaction with read-only semantics, and that the other methods (insertFoo(Foo) and updateFoo(Foo)) have to execute in the context of a transaction with read-write semantics. Don't worry about taking the following configuration in all at once; everything will be explained in detail in the next few paragraphs.

```
<!-- the transactional advice (what 'happens'; see the <aop:advisor/> bean below) -->
 <tx:advice id="txAdvice" transaction-manager="txManager">
 <!-- the transactional semantics... -->
 <tx:attributes>
   <!-- all methods starting with 'get' are read-only -->
   <tx:method name="get*" read-only="true"/>
   <!-- other methods use the default transaction settings (see below) -->
   <tx:method name="*"/>
 </tx:attributes>
 </tx:advice>
 <!-- ensure that the above transactional advice runs for any execution
   of an operation defined by the FooService interface -->
 <aop:config>
 <aop:pointcut id="fooServiceOperation" expression="execution(* x.y.service.FooService.*(..))"/>
 <aop:advisor advice-ref="txAdvice" pointcut-ref="fooServiceOperation"/>
 </aop:config>
 <!-- don't forget the DataSource -->
 <bean id="dataSource" class="org.apache.commons.dbcp.BasicDataSource" destroy-method="close">
 cproperty name="driverClassName" value="oracle.jdbc.driver.OracleDriver"/>
 cproperty name="username" value="scott"/>
 property name="password" value="tiger"/>
 </bean>
 <!-- similarly, don't forget the PlatformTransactionManager -->
 <bean id="txManager" class="org.springframework.jdbc.datasource.DataSourceTransactionManager">
 cproperty name="dataSource" ref="dataSource"/>
 </bean>
 <!-- other <bean/> definitions here -->
</beans>
```

Let's pick apart the above configuration. We have a service object (the 'fooservice' bean) that we want to make transactional. The transaction semantics that we want to apply are encapsulated in the <tx:advice/> definition. The <tx:advice/> definition reads as "... all methods on starting with 'get' are to execute in the context of a read-only transaction, and all other methods are to execute with the default transaction semantics". The 'transaction-manager' attribute of the <tx:advice/> tag is set to the name of the PlatformTransactionManager bean that is going to actually drive the transactions (in this case the 'txManager' bean).



## Tip

You can actually omit the 'transaction-manager' attribute in the transactional advice (<tx:advice/>) if the bean name of the PlatformTransactionManager that you want to wire in has the name 'transactionManager'. If the PlatformTransactionManager bean that you want to wire in has any other name, then you have to be explicit and use the 'transaction-manager' attribute as in the example above.

The <code><aop:config/></code> definition ensures that the transactional advice defined by the <code>'txAdvice'</code> bean actually executes at the appropriate points in the program. First we define a pointcut that matches the execution of any operation defined in the <code>FooService</code> interface (<code>'fooServiceOperation'</code>). Then we associate the pointcut with the <code>'txAdvice'</code> using an advisor. The result indicates that at the execution of a <code>'fooServiceOperation'</code>, the advice defined by <code>'txAdvice'</code> will be run.

The expression defined within the <aop:pointcut/> element is an AspectJ pointcut expression; see the chapter entitled Chapter 6, Aspect Oriented Programming with Spring for more details on pointcut expressions in Spring 2.0.

A common requirement is to make an entire service layer transactional. The best way to do this is simply to change the pointcut expression to match any operation in your service layer. For example:

```
<aop:config>
  <aop:pointcut id="fooServiceMethods" expression="execution(* x.y.service.*.*(..))"/>
  <aop:advisor advice-ref="txAdvice" pointcut-ref="fooServiceMethods"/>
  </aop:config>
```

(This example assumes that all your service interfaces are defined in the 'x.y.service' package; see the chapter entitled Chapter 6, Aspect Oriented Programming with Spring for more details.)

Now that we've analyzed the configuration, you may be asking yourself, "Okay... but what does all this configuration actually do?".

The above configuration is going to effect the creation of a transactional proxy around the object that is created from the 'fooservice' bean definition. The proxy will be configured with the transactional advice, so that when an appropriate method is invoked *on the proxy*, a transaction *may* be started, suspended, be marked as read-only, etc., depending on the transaction configuration associated with that method. Consider the following program that test drives the above configuration.

```
public final class Boot {
   public static void main(final String[] args) throws Exception {
     ApplicationContext ctx = new ClassPathXmlApplicationContext("context.xml", Boot.class);
     FooService fooService = (FooService) ctx.getBean("fooService");
     fooService.insertFoo (new Foo());
   }
}
```

The output from running the above program will look something like this. (Please note that the Log4J output and the stacktrace from the UnsupportedOperationException thrown by the insertFoo(..) method of the DefaultFooService class have been truncated in the interest of clarity.)

```
<!-- the Spring container is starting up... -->
[AspectJInvocationContextExposingAdvisorAutoProxyCreator] - Creating implicit proxy
   for bean 'fooService' with 0 common interceptors and 1 specific interceptors
 <!-- the DefaultFooService is actually proxied -->
[JdkDynamicAopProxy] - Creating JDK dynamic proxy for [x.y.service.DefaultFooService]
  <!-- ... the insertFoo(..) method is now being invoked on the proxy -->
[TransactionInterceptor] - Getting transaction for x.y.service.FooService.insertFoo
 <!-- the transactional advice kicks in here... -->
[DataSourceTransactionManager] - Creating new transaction with name [x.y.service.FooService.insertFoo]
[DataSourceTransactionManager] - Acquired Connection
   [org.apache.commons.dbcp.PoolableConnection@a53de4] for JDBC transaction
 <!-- the insertFoo(..) method from DefaultFooService throws an exception... -->
[RuleBasedTransactionAttribute] - Applying rules to determine whether transaction should
   rollback on java.lang.UnsupportedOperationException
[TransactionInterceptor] - Invoking rollback for transaction on x.y.service.FooService.insertFoo
   due to throwable [java.lang.UnsupportedOperationException]
  <!-- and the transaction is rolled back (by default, RuntimeException instances cause rollback) -->
[DataSourceTransactionManager] - Rolling back JDBC transaction on Connection
    [org.apache.commons.dbcp.PoolableConnection@a53de4]
[\ \mathtt{DataSourceTransactionManager}]\ -\ \mathtt{Releasing}\ \mathtt{JDBC}\ \mathtt{Connection}\ \mathtt{after}\ \mathtt{transaction}
[DataSourceUtils] - Returning JDBC Connection to DataSource
Exception in thread "main" java.lang.UnsupportedOperationException
       at x.y.service.DefaultFooService.insertFoo(DefaultFooService.java:14)
   <!-- AOP infrastructure stack trace elements removed for clarity -->
        at $Proxy0.insertFoo(Unknown Source)
        at Boot.main(Boot.java:11)
```

## 9.5.3. Rolling back

The previous section outlined the basics of how to specify the transactional settings for the classes, typically service layer classes, in your application in a declarative fashion. This section describes how you can control the rollback of transactions in a simple declarative fashion.

The recommended way to indicate to the Spring Framework's transaction infrastructure that a transaction's work is to be rolled back is to throw an Exception from code that is currently executing in the context of a transaction. The Spring Framework's transaction infrastructure code will catch any unhandled Exception as it bubbles up the call stack, and will mark the transaction for rollback.

Note however that the Spring Framework's transaction infrastructure code will, by default, *only* mark a transaction for rollback in the case of runtime, unchecked exceptions; that is, when the thrown exception is an instance or subclass of RuntimeException. (Errors will also - by default - result in a rollback.) Checked exceptions that are thrown from a transactional method will *not* result in the transaction being rolled back.

Exactly which Exception types mark a transaction for rollback can be configured. Find below a snippet of XML configuration that demonstrates how one would configure rollback for a checked, application-specific Exception type.

```
<tx:advice id="txAdvice" transaction-manager="txManager">
  <tx:attributes>
  <tx:method name="get*" read-only="true" rollback-for="NoProductInStockException"/>
  <tx:method name="*"/>
  </tx:attributes>
</tx:advice>
```

It is also possible to specify 'no rollback rules', for those times when you do *not* want a transaction to be marked for rollback when an exception is thrown. In the example configuration below, we effectively are telling the Spring Framework's transaction infrastructure to commit the attendant transaction even in the face of an unhandled InstrumentNotFoundException.

```
<tx:advice id="txAdvice">
  <tx:attributes>
  <tx:method name="updateStock" no-rollback-for="InstrumentNotFoundException"/>
  <tx:method name="*"/>
  </tx:attributes>
  </tx:advice>
```

When the Spring Framework's transaction infrastructure has caught an exception and is consulting any configured rollback rules to determine whether or not to mark the transaction for rollback, the *strongest* matching rule wins. So in the case of the following configuration, any exception other than an InstrumentNotFoundException would result in the attendant transaction being marked for rollback.

```
<tx:advice id="txAdvice">
  <tx:attributes>
  <tx:method name="*" rollback-for="Throwable" no-rollback-for="InstrumentNotFoundException"/>
  </tx:attributes>
  </tx:advice>
```

The second way to indicate that a rollback is required is to do so *programmatically*. Although very simple, this way is quite invasive, and tightly couples your code to the Spring Framework's transaction infrastructure, as can be seen below:

```
public void resolvePosition() {
  try {
    // some business logic...
} catch (NoProductInStockException ex) {
    // trigger rollback programmatically
    TransactionAspectSupport.currentTransactionStatus().setRollbackOnly();
}
```

You are strongly encouraged to use the declarative approach to rollback if at all possible. Programmatic rollback is available should you absolutely need it, but its usage flies in the face of achieving a nice, clean POJO-based architecture.

## 9.5.4. Configuring different transactional semantics for different beans

Consider the scenario where you have a number of service layer objects, and you want to apply *totally different* transactional configuration to each of them. This is achieved by defining distinct <aop:advisor/> elements with differing 'pointcut' and 'advice-ref' attribute values.

Let's assume that all of your service layer classes are defined in a root 'x.y.service' package. To make all beans that are instances of classes defined in that package (or in subpackages) and that have names ending in 'service' have the default transactional configuration, you would write the following:

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"</pre>
 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
 xmlns:aop="http://www.springframework.org/schema/aop"
 xmlns:tx="http://www.springframework.org/schema/tx"
 xsi:schemaLocation="
 http://www.springframework.org/schema/beans http://www.springframework.org/schema/beans/spring-beahs-2.5.xsd
 http://www.springframework.org/schema/tx/http://www.springframework.org/schema/tx/spring-tx-2.5.xsd
 http://www.springframework.org/schema/aop/spring-aop-2.5.xsd">
 <aop:config>
   <aop:pointcut id="serviceOperation"</pre>
         expression="execution(* x.y.service..*Service.*(..))"/>
   <aop:advisor pointcut-ref="serviceOperation" advice-ref="txAdvice"/>
 </aop:config>
 <!-- these two beans will be transactional... -->
 <bean id="fooService" class="x.y.service.DefaultFooService"/>
 <bean id="barService" class="x.y.service.extras.SimpleBarService"/>
 <!-- ... and these two beans won't -->
 <bean id="anotherService" class="org.xyz.SomeService"/> <!-- (not in the right package) -->
 <bean id="barManager" class="x.y.service.SimpleBarManager"/> <!-- (doesn't end in 'Service') -->
  <tx:advice id="txAdvice">
   <tx:attributes>
     <tx:method name="get*" read-only="true"/>
      <tx:method name="*"/>
   </tx:attributes>
 </tx:advice>
 <!-- other transaction infrastructure beans such as a PlatformTransactionManager omitted... -->
</beans>
```

Find below an example of configuring two distinct beans with totally different transactional settings.

```
<aop:pointcut id="noTxServiceOperation"</pre>
          expression="execution(* x.y.service.ddl.DefaultDdlManager.*(..))"/>
   <aop:advisor pointcut-ref="defaultServiceOperation" advice-ref="defaultTxAdvice"/>
   <aop:advisor pointcut-ref="noTxServiceOperation" advice-ref="noTxAdvice"/>
 </aop:config>
 <!-- this bean will be transactional (see the 'defaultServiceOperation' pointcut) -->
 <bean id="fooService" class="x.y.service.DefaultFooService"/>
 <!-- this bean will also be transactional, but with totally different transactional settings -->
 <bean id="anotherFooService" class="x.y.service.ddl.DefaultDdlManager"/>
 <tx:advice id="defaultTxAdvice">
   <tx:attributes>
     <tx:method name="get*" read-only="true"/>
     <tx:method name="*"/>
   </tx:attributes>
 </tx:advice>
 <tx:advice id="noTxAdvice">
   <tx:attributes>
     <tx:method name="*" propagation="NEVER"/>
   </tx:attributes>
 </tx:advice>
 <!-- other transaction infrastructure beans such as a PlatformTransactionManager omitted... -->
</beans>
```

## 9.5.5. <tx:advice/> settings

This section summarises the various transactional settings that can be specified using the <tx:advice/> tag. The default <tx:advice/> settings are:

- The propagation setting is REQUIRED
- The isolation level is DEFAULT
- The transaction is read/write
- The transaction timeout defaults to the default timeout of the underlying transaction system, or or none if timeouts are not supported
- Any RuntimeException will trigger rollback, and any checked Exception will not

These default settings can be changed; the various attributes of the <tx:method/> tags that are nested within <tx:advice/> and <tx:attributes/> tags are summarized below:

Table 9.1. <tx:method/> settings

Attribute	Required?	Default	Description
name	Yes		The method name(s) with which the transaction attributes are to be associated. The wildcard (*) character can be used

Attribute	Required?	Default	Description
			to associate the same transaction attribute settings with a number of methods; for example, 'get*', 'handle*', 'on*Event', and so forth.
propagation	No	REQUIRED	The transaction propagation behavior
isolation	No	DEFAULT	The transaction isolation level
timeout	No	-1	The transaction timeout value (in seconds)
read-only	No	false	Is this transaction read-only?
rollback-for	No		The Exception(s) that will trigger rollback; comma-delimited. For example, 'com.foo.MyBusinessException,Se
no-rollback-for	No		The Exception(s) that will not trigger rollback; comma-delimited. For example,  'com.foo.MyBusinessException,Se

At the time of writing it is not possible to have explicit control over the name of a transaction, where 'name' means the transaction name that will be shown in a transaction monitor, if applicable (for example, WebLogic's transaction monitor), and in logging output. For declarative transactions, the transaction name is always the fully-qualified class name + "." + method name of the transactionally-advised class. For example 'com.foo.BusinessService.handlePayment'.

## 9.5.6. Using @Transactional



#### Note

The functionality offered by the @Transactional annotation and the support classes is only available to you if you are using at least Java 5 (Tiger).

In addition to the XML-based declarative approach to transaction configuration, you can also use an annotation-based approach to transaction configuration. Declaring transaction semantics directly in the Java source code puts the declarations much closer to the affected code, and there is generally not much danger of undue coupling, since code that is meant to be used transactionally is almost always deployed that way anyway.

The ease-of-use afforded by the use of the @Transactional annotation is best illustrated with an example, after which all of the details will be explained. Consider the following class definition:

```
// the service class that we want to make transactional
@Transactional
public class DefaultFooService implements FooService {
   Foo getFoo(String fooName);
   Foo getFoo(String fooName, String barName);
   void insertFoo(Foo foo);
   void updateFoo(Foo foo);
}
```

When the above POJO is defined as a bean in a Spring IoC container, the bean instance can be made transactional by adding merely *one* line of XML configuration, like so:

```
<!-- from the file 'context.xml' -->
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"</pre>
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xmlns:aop="http://www.springframework.org/schema/aop"
    xmlns:tx="http://www.springframework.org/schema/tx"
    xsi:schemaLocation="
    http://www.springframework.org/schema/beans http://www.springframework.org/schema/beans/spring-beans-2.5.xx
    http://www.springframework.org/schema/tx/http://www.springframework.org/schema/tx/spring-tx-2.5.xsd
    http://www.springframework.org/schema/aop/spring-aop-2.5.xsd">
 <!-- this is the service object that we want to make transactional -->
 <bean id="fooService" class="x.y.service.DefaultFooService"/>
 <!-- enable the configuration of transactional behavior based on annotations -->
 <tx:annotation-driven transaction-manager="txManager"/>
 <!-- a PlatformTransactionManager is still required -->
 <bean id="txManager" class="org.springframework.jdbc.datasource.DataSourceTransactionManager">
 <!-- (this dependency is defined somewhere else) -->
 roperty name="dataSource" ref="dataSource"/>
  </bean>
 <!-- other <bean/> definitions here -->
</beans>
```



#### Tip

You can actually omit the 'transaction-manager' attribute in the <tx:annotation-driven/> tag if the bean name of the PlatformTransactionManager that you want to wire in has the name 'transactionManager'. If the PlatformTransactionManager bean that you want to dependency inject has any other name, then you have to be explicit and use the 'transaction-manager' attribute as in the example above.

#### Method visibility and @Transactional

When using proxies, the @Transactional annotation should only be applied to methods with *public* visibility. If you do annotate protected, private or package-visible methods with the @Transactional annotation, no error will be raised, but the annotated method will not exhibit the configured transactional settings. Consider the use of AspectJ (see below) if you need to annotate non-public methods.

The @Transactional annotation may be placed before an interface definition, a method on an interface, a class

definition, or a *public* method on a class. However, please note that the mere presence of the @Transactional annotation is not enough to actually turn on the transactional behavior - the @Transactional annotation *is simply metadata* that can be consumed by something that is @Transactional-aware and that can use the metadata to configure the appropriate beans with transactional behavior. In the case of the above example, it is the presence of the <tx:annotation-driven/> element that *switches on* the transactional behavior.

The Spring team's recommendation is that you only annotate concrete classes with the @Transactional annotation, as opposed to annotating interfaces. You certainly can place the @Transactional annotation on an interface (or an interface method), but this will only work as you would expect it to if you are using interface-based proxies. The fact that annotations are *not inherited* means that if you are using class-based proxies (proxy-target-class="true") or the weaving-based aspect (mode="aspectj") then the transaction settings will not be recognised by the proxying/weaving infrastructure and the object will not be wrapped in a transactional proxy (which would be decidedly *bad*). So please do take the Spring team's advice and only annotate concrete classes (and the methods of concrete classes) with the @Transactional annotation.

Note: In proxy mode (which is the default), only 'external' method calls coming in through the proxy will be intercepted. This means that 'self-invocation', i.e. a method within the target object calling some other method of the target object, won't lead to an actual transaction at runtime even if the invoked method is marked with @Transactional!

Consider the use of AspectJ mode (see below) if you expect self-invocations to be wrapped with transactions as well. In this case, there won't be a proxy in the first place; instead, the target class will be 'weaved' (i.e. its byte code will be modified) in order to turn @Transactional into runtime behavior on any kind of method.

Table 9.2. <tx:annotation-driven/> settings

Attribute	Default	Description
transaction-manager	transactionManager	The name of transaction manager to use. Only required if the name of the transaction manager is not transactionManager, as in the example above.
mode	proxy	The default mode "proxy" will process annotated beans to be proxied using Spring's AOP framework (following proxy semantics, as discussed above, applying to method calls coming in through the proxy only). The alternative mode "aspectj" will instead weave the affected classes with Spring's AspectJ transaction aspect (modifying the target class byte code in order to apply to any kind of method call). AspectJ weaving requires spring-aspects.jar on the classpath as well as load-time weaving (or compile-time weaving) enabled. (See the section entitled

Attribute	Default	Description
		Section 6.8.4.5, "Spring configuration" for details on how to set up load-time weaving.)
proxy-target-class	false	Applies to proxy mode only. Controls what type of transactional proxies are created for classes annotated with the @Transactional annotation. If "proxy-target-class" attribute is set to "true", then class-based proxies will be created. If "proxy-target-class" is "false" or if the attribute is omitted, then standard JDK interface-based proxies will be created. (See the section entitled Section 6.6, "Proxying mechanisms" for a detailed examination of the different proxy types.)
order	Ordered.LOWEST_PRECEDE	Defines the order of the transaction advice that will be applied to beans annotated with @Transactional.  More on the rules related to ordering of AOP advice can be found in the AOP chapter (see section Section 6.2.4.7, "Advice ordering"). Note that not specifying any ordering will leave the decision as to what order advice is run in to the AOP subsystem.



### Note

The "proxy-target-class" attribute on the <tx:annotation-driven/> element controls what type of transactional proxies are created for classes annotated with the @Transactional annotation. If "proxy-target-class" attribute is set to "true", then class-based proxies will be created. If "proxy-target-class" is "false" or if the attribute is omitted, then standard JDK interface-based proxies will be created. (See the section entitled Section 6.6, "Proxying mechanisms" for a detailed examination of the different proxy types.)



### Note

Note that <tx:annotation-driven/> only looks for @Transactional on beans in the same application context it is defined in. This means that, if you put <tx:annotation-driven/> in a WebApplicationContext for a DispatcherServlet, it only checks for @Transactional beans in

your controllers, and not your services. See Section 13.2, "The DispatcherServlet" for more information.

The most derived location takes precedence when evaluating the transactional settings for a method. In the case of the following example, the <code>DefaultFooService</code> class is annotated at the class level with the settings for a read-only transaction, but the <code>@Transactional</code> annotation on the <code>updateFoo(Foo)</code> method in the same class takes precedence over the transactional settings defined at the class level.

```
@Transactional(readOnly = true)
public class DefaultFooService implements FooService {

   public Foo getFoo(String fooName) {
        // do something
   }

   // these settings have precedence for this method
   @Transactional(readOnly = false, propagation = Propagation.REQUIRES_NEW)
   public void updateFoo(Foo foo) {
        // do something
   }
}
```

#### 9.5.6.1. @Transactional Settings

The @Transactional annotation is metadata that specifies that an interface, class, or method must have transactional semantics; for example, "start a brand new read-only transaction when this method is invoked, suspending any existing transaction". The default @Transactional settings are:

- The propagation setting is propagation\_required
- The isolation level is isolation default
- The transaction is read/write
- The transaction timeout defaults to the default timeout of the underlying transaction system, or or none if timeouts are not supported
- Any RuntimeException will trigger rollback, and any checked Exception will not

These default settings can be changed; the various properties of the @Transactional annotation are summarized in the following table:

Table 9.3. @Transactional properties

Property	Туре	Description
propagation	enum: Propagation	optional propagation setting
isolation	enum: Isolation	optional isolation level
readOnly	boolean	read/write vs. read-only transaction
timeout	int (in seconds granularity)	the transaction timeout
rollbackFor	an array of class objects, which must be derived from Throwable	an optional array of exception classes which <b>must</b> cause rollback

Property	Туре	Description
rollbackForClassname	an array of class names. Classes must be derived from Throwable	an optional array of names of exception classes that <b>must</b> cause rollback
noRollbackFor	an array of class objects, which must be derived from Throwable	an optional array of exception classes that <b>must not</b> cause rollback.
noRollbackForClassname		an optional array of names of exception classes that <b>must not</b> cause rollback

Currently it is not possible to have explicit control over the name of a transaction, where 'name' means the transaction name that will be shown in a transaction monitor, if applicable (for example, WebLogic's transaction monitor), and in logging output. For declarative transactions, the transaction name is always the fully-qualified class name + "." + method name of the transactionally-advised class. For example, if the handlePayment(...) method of the BusinessService class started a transaction, the name of the transaction would be:

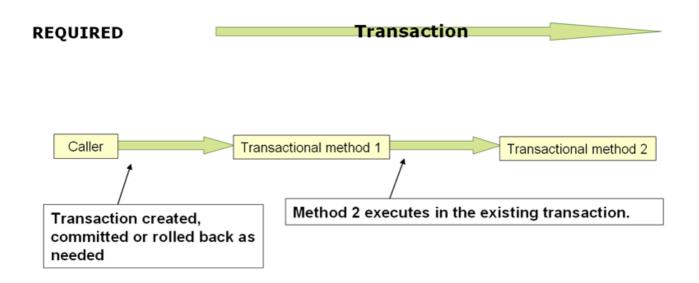
com.foo.BusinessService.handlePayment

## 9.5.7. Transaction propagation

Please note that this section of the Spring reference documentation is not an introduction to transaction propagation proper; rather it details some of the semantics regarding transaction propagation in Spring.

In the case of Spring-managed transactions, please be aware of the difference between *physical* and *logical* transactions, and how the propagation setting applies to this difference.

#### 9.5.7.1. Required



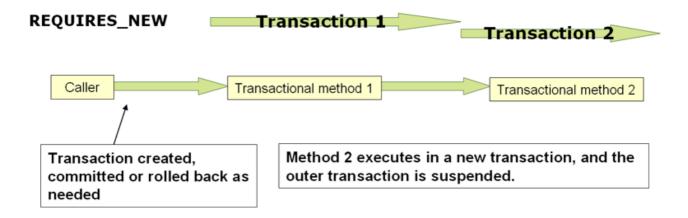
#### PROPAGATION\_REQUIRED

When the propagation setting is PROPAGATION\_REQUIRED, a logical transaction scope is created for each method

that it gets applied to. Each such logical transaction scope can individually decide on rollback-only status, with an outer transaction scope being logically independent from the inner transaction scope. Of course, in case of standard PROPAGATION\_REQUIRED behavior, they will be mapped to the same physical transaction. So a rollback-only marker set in the inner transaction scope does affect the outer transactions chance to actually commit (as you would expect it to).

However, in the case where an inner transaction scopes sets the rollback-only marker, the outer transaction itself has not decided on the rollback itself, and so the rollback (silently triggered by the inner transaction scope) is unexpected: a corresponding UnexpectedRollbackException will be thrown at that point. This is expected behavior so that the caller of a transaction can never be misled to assume that a commit was performed when it really was not. So if an inner transaction (that the outer caller is not aware of) silently marks a transaction as rollback-only, the outer caller would still innocently call commit - and needs to receive an UnexpectedRollbackException to indicate clearly that a rollback was performed instead.

#### 9.5.7.2. RequiresNew



PROPAGATION\_REQUIRES\_NEW

PROPAGATION\_REQUIRES\_NEW, in contrast, uses a *completely* independent transaction for each affected transaction scope. In that case, the underlying physical transactions will be different and hence can commit or rollback independently, with an outer transaction not affected by an inner transaction's rollback status.

#### 9.5.7.3. Nested

PROPAGATION\_NESTED is different again in that it uses a *single* physical transaction with multiple savepoints that it can roll back to. Such partial rollbacks allow an inner transaction scope to trigger a rollback *for its scope*, with the outer transaction being able to continue the physical transaction despite some operations having been rolled back. This is typically mapped onto JDBC savepoints, so will only work with JDBC resource transactions (see Spring's DataSourceTransactionManager).

## 9.5.8. Advising transactional operations

Consider the situation where you would like to execute *both* transactional *and* (to keep things simple) some basic profiling advice. How do you effect this in the context of using <tx:annotation-driven/>?

What we want to see when we invoke the updateFoo(Foo) method is:

- the configured profiling aspect starting up,
- then the transactional advice executing,

- then the method on the advised object executing
- then the transaction committing (we'll assume a sunny day scenario here),
- and then finally the profiling aspect reporting (somehow) exactly how long the whole transactional method invocation took



#### **Note**

This chapter is not concerned with explaining AOP in any great detail (except as it applies to transactions). Please see the chapter entitled Chapter 6, *Aspect Oriented Programming with Spring* for detailed coverage of the various bits and pieces of the following AOP configuration (and AOP in general).

Here is the code for a simple profiling aspect. The ordering of advice is controlled via the ordered interface. For full details on advice ordering, see Section 6.2.4.7, "Advice ordering".

```
package x.y;
import org.aspectj.lang.ProceedingJoinPoint;
import org.springframework.util.StopWatch;
import org.springframework.core.Ordered;
public class SimpleProfiler implements Ordered {
 private int order;
  // allows us to control the ordering of advice
 public int getOrder() {
   return this.order;
 public void setOrder(int order) {
   this.order = order;
 // this method is the around advice
 public Object profile(ProceedingJoinPoint call) throws Throwable {
   Object returnValue;
   StopWatch clock = new StopWatch(getClass().getName());
   try {
      clock.start(call.toShortString());
      returnValue = call.proceed();
    } finally {
      clock.stop();
      System.out.println(clock.prettyPrint());
    return returnValue;
 }
```

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"
    xmlns:xsi="http://www.y3.org/2001/XMLSchema-instance"
    xmlns:aop="http://www.springframework.org/schema/aop"
    xmlns:tx="http://www.springframework.org/schema/tx"
    xsi:schemaLocation="
    http://www.springframework.org/schema/beans http://www.springframework.org/schema/beans/spring-beans-2.5.xsd
    http://www.springframework.org/schema/tx http://www.springframework.org/schema/tx/spring-tx-2.5.xsd
    http://www.springframework.org/schema/aop http://www.springframework.org/schema/aop/spring-aop-2.5.xsd">
    <bean id="fooService" class="x.y.service.DefaultFooService"/>
    <!-- this is the aspect -->
    <bean id="profiler" class="x.y.SimpleProfiler">
        <!-- execute before the transactional advice (hence the lower order number) -->
        <property name="order" value="1"/>
    </bean>
```

```
<tx:annotation-driven transaction-manager="txManager" order="200"/>
 <aop:config>
   <!-- this advice will execute around the transactional advice -->
   <aop:aspect id="profilingAspect" ref="profiler">
     <aop:pointcut id="serviceMethodWithReturnValue"</pre>
            expression="execution(!void x.y..*Service.*(..))"/>
     <aop:around method="profile" pointcut-ref="serviceMethodWithReturnValue"/>
   </aop:aspect>
 </aop:config>
 <bean id="dataSource" class="org.apache.commons.dbcp.BasicDataSource" destroy-method="close">
   cyroperty name="driverClassName" value="oracle.jdbc.driver.OracleDriver"/>
   roperty name="username" value="scott"/>
   property name="password" value="tiger"/>
 </bean>
 <bean id="txManager" class="org.springframework.jdbc.datasource.DataSourceTransactionManager">
   property name="dataSource" ref="dataSource"/>
</beans>
```

The result of the above configuration will be a 'fooservice' bean that has profiling and transactional aspects applied to it *in that order*. The configuration of any number of additional aspects is effected in a similar fashion.

Finally, find below some example configuration for effecting the same setup as above, but using the purely XML declarative approach.

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"</pre>
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xmlns:aop="http://www.springframework.org/schema/aop"
    xmlns:tx="http://www.springframework.org/schema/tx"
    xsi:schemaLocation="
  http://www.springframework.org/schema/beans http://www.springframework.org/schema/beans/spring-beans-2.5.xsd
  http://www.springframework.org/schema/tx/http://www.springframework.org/schema/tx/spring-tx-2.5.xsd
  http://www.springframework.org/schema/aop/spring-aop-2.5.xsd">
 <bean id="fooService" class="x.y.service.DefaultFooService"/>
 <!-- the profiling advice -->
  <bean id="profiler" class="x.y.SimpleProfiler">
   <!-- execute before the transactional advice (hence the lower order number) -->
   cproperty name="order" value="1"/>
  </bean>
 <aop:config>
   <aop:pointcut id="entryPointMethod" expression="execution(* x.y..*Service.*(..))"/>
   <!-- will execute after the profiling advice (c.f. the order attribute) -->
   <aop:advisor
       advice-ref="txAdvice"
       pointcut-ref="entryPointMethod"
       order="2"/> <!-- order value is higher than the profiling aspect -->
   <aop:aspect id="profilingAspect" ref="profiler">
     <aop:pointcut id="serviceMethodWithReturnValue"</pre>
             expression="execution(!void x.y..*Service.*(..))"/>
     <aop:around method="profile" pointcut-ref="serviceMethodWithReturnValue"/>
   </aop:aspect>
 </aop:config>
 <tx:advice id="txAdvice" transaction-manager="txManager">
   <tx:attributes>
     <tx:method name="get*" read-only="true"/>
      <tx:method name="*"/>
   </tx:attributes>
 </tx:advice>
 <!-- other <bean/> definitions such as a DataSource and a PlatformTransactionManager here -->
```

</beans>

The result of the above configuration will be a 'fooservice' bean that has profiling and transactional aspects applied to it *in that order*. If we wanted the profiling advice to execute *after* the transactional advice on the way in, and *before* the transactional advice on the way out, then we would simply swap the value of the profiling aspect bean's 'order' property such that it was higher than the transactional advice's order value.

The configuration of any number of additional aspects is achieved in a similar fashion.

## 9.5.9. Using @Transactional with AspectJ

It is also possible to use the Spring Framework's @Transactional support outside of a Spring container by means of an AspectJ aspect. To use this support you must first annotate your classes (and optionally your classes' methods with the @Transactional annotation, and then you must link (weave) your application with the org.springframework.transaction.aspectj.AnnotationTransactionAspect defined in the spring-aspects.jar file. The aspect must also be configured with a transaction manager. You could of course use the Spring Framework's IoC container to take care of dependency injecting the aspect. The simplest way to configure the transaction management aspect is to use the '<tx:annotation-driven/>' element and specify the mode attribute to asepctj as described in Section 9.5.6, "Using @Transactional". Since we're focusing here on applications running outside of a Spring container, we'll show you how to do it programmatically.



#### Note

Prior to continuing, you may well want to read the previous sections entitled Section 9.5.6, "Using @Transactional" and Chapter 6, Aspect Oriented Programming with Spring respectively.

```
// construct an appropriate transaction manager
DataSourceTransactionManager txManager = new DataSourceTransactionManager(getDataSource());

// configure the AnnotationTransactionAspect to use it; this must be done before executing any transactional methods
AnnotationTransactionAspect.aspectOf().setTransactionManager(txManager);
```



#### Note

When using this aspect, you must annotate the *implementation* class (and/or methods within that class), *not* the interface (if any) that the class implements. AspectJ follows Java's rule that annotations on interfaces are *not inherited*.

The @Transactional annotation on a class specifies the default transaction semantics for the execution of any method in the class.

The @Transactional annotation on a method within the class overrides the default transaction semantics given by the class annotation (if present). Any method may be annotated, regardless of visibility.

To weave your applications with the AnnotationTransactionAspect you must either build your application with AspectJ (see the <u>AspectJ Development Guide</u>) or use load-time weaving. See the section entitled Section 6.8.4, "Load-time weaving with AspectJ in the Spring Framework" for a discussion of load-time weaving with AspectJ.

## 9.6. Programmatic transaction management

The Spring Framework provides two means of programmatic transaction management:

- Using the TransactionTemplate.
- Using a PlatformTransactionManager implementation directly.

If you are going to use programmatic transaction management, the Spring team generally recommends using the TransactionTemplate. The second approach is similar to using the JTA UserTransaction API (although exception handling is less cumbersome).

## 9.6.1. Using the TransactionTemplate

The TransactionTemplate adopts the same approach as other Spring *templates* such as the JdbcTemplate. It uses a callback approach, to free application code from having to do the boilerplate acquisition and release of transactional resources, and results in code that is intention driven, in that the code that is written focuses solely on what the developer wants to do.



#### Note

As you will immediately see in the examples that follow, using the TransactionTemplate absolutely couples you to Spring's transaction infrastructure and APIs. Whether or not programmatic transaction management is suitable for your development needs is a decision that you will have to make yourself.

Application code that must execute in a transactional context, and that will use the TransactionTemplate explicitly, looks like this. You, as an application developer, will write a TransactionCallback implementation (typically expressed as an anonymous inner class) that will contain all of the code that you need to have execute in the context of a transaction. You will then pass an instance of your custom TransactionCallback to the execute(...) method exposed on the TransactionTemplate.

If there is no return value, use the convenient TransactionCallbackWithoutResult class via an anonymous class like so:

```
transactionTemplate.execute(new TransactionCallbackWithoutResult() {
   protected void doInTransactionWithoutResult(TransactionStatus status) {
```

```
updateOperation1();
  updateOperation2();
}
});
```

Code within the callback can roll the transaction back by calling the setRollbackOnly() method on the supplied TransactionStatus object.

```
transactionTemplate.execute(new TransactionCallbackWithoutResult() {
   protected void doInTransactionWithoutResult(TransactionStatus status) {
     try {
       updateOperation1();
       updateOperation2();
    } catch (SomeBusinessExeption ex) {
       status.setRollbackOnly();
    }
   }
});
```

### 9.6.1.1. Specifying transaction settings

Transaction settings such as the propagation mode, the isolation level, the timeout, and so forth can be set on the TransactionTemplate either programmatically or in configuration. TransactionTemplate instances by default have the <u>default transactional settings</u>. Find below an example of programmatically customizing the transactional settings for a specific TransactionTemplate.

```
public class SimpleService implements Service {
   private final TransactionTemplate transactionTemplate;

   public SimpleService(PlatformTransactionManager transactionManager) {
     Assert.notNull(transactionManager, "The 'transactionManager' argument must not be null.");
     this.transactionTemplate = new TransactionTemplate(transactionManager);

     // the transaction settings can be set here explicitly if so desired
     this.transactionTemplate.setIsolationLevel(TransactionDefinition.ISOLATION_READ_UNCOMMITTED);
     this.transactionTemplate.setTimeout(30); // 30 seconds
     // and so forth...
   }
}
```

Find below an example of defining a TransactionTemplate with some custom transactional settings, using Spring XML configuration. The 'sharedTransactionTemplate' can then be injected into as many services as are required.

Finally, instances of the TransactionTemplate class are threadsafe, in that instances do not maintain any conversational state. TransactionTemplate instances do however maintain configuration state, so while a number of classes may choose to share a single instance of a TransactionTemplate, if a class needed to use a TransactionTemplate with different settings (for example, a different isolation level), then two distinct TransactionTemplate instances would need to be created and used.

## 9.6.2. Using the PlatformTransactionManager

You can also use the org.springframework.transaction.PlatformTransactionManager directly to manage

your transaction. Simply pass the implementation of the PlatformTransactionManager you're using to your bean via a bean reference. Then, using the TransactionDefinition and TransactionStatus objects you can initiate transactions, rollback and commit.

```
DefaultTransactionDefinition def = new DefaultTransactionDefinition();
// explicitly setting the transaction name is something that can only be done programmatically
def.setName("SomeTxName");
def.setPropagationBehavior(TransactionDefinition.PROPAGATION_REQUIRED);

TransactionStatus status = txManager.getTransaction(def);
try {
   // execute your business logic here
}
catch (MyException ex) {
   txManager.rollback(status);
   throw ex;
}
txManager.commit(status);
```

# 9.7. Choosing between programmatic and declarative transaction management

Programmatic transaction management is usually a good idea only if you have a small number of transactional operations. For example, if you have a web application that require transactions only for certain update operations, you may not want to set up transactional proxies using Spring or any other technology. In this case, using the TransactionTemplate *may* be a good approach. Being able to set the transaction name explicitly is also something that can only be done using the programmatic approach to transaction management.

On the other hand, if your application has numerous transactional operations, declarative transaction management is usually worthwhile. It keeps transaction management out of business logic, and is not difficult to configure. When using the Spring Framework, rather than EJB CMT, the configuration cost of declarative transaction management is greatly reduced.

## 9.8. Application server-specific integration

Spring's transaction abstraction generally is application server agnostic. Additionally, Spring's JtaTransactionManager class, which can optionally perform a JNDI lookup for the JTA UserTransaction and TransactionManager objects, autodetects the location for the latter object, which varies by application server. Having access to the JTA TransactionManager allows for enhanced transaction semantics, in particular supporting transaction suspension. Please see the JtaTransactionManager Javadocs for details.

Spring's JtaTransactionManager is the standard choice when running on J2EE application servers, known to work on all common servers. Its advanced functionality such as transaction suspension is known to work on many servers as well - including GlassFish, JBoss, Geronimo and Oracle OC4J - without any special configuration required. However, for fully supported transaction suspension and further advanced integration, Spring ships special adapters for IBM WebSphere and BEA WebLogic and also for Oracle OC4J. We'll discuss these adapters in the following sections.

For standard scenarios, including WebLogic, WebSphere and OC4J, consider using the convenient '<tx:jta-transaction-manager/>' configuration element. This will automatically detect the underlying server and choose the best transaction manager available for the platform. This means that you won't have to configure server-specific adapter classes (as discussed in the following sections) explicitly; they will rather be chosen automatically, with the standard JtaTransactionManager as default fallback.

## 9.8.1. IBM WebSphere

On WebSphere 6.0 and above, the recommended Spring JTA transaction manager to use is WebSphereUowTransactionManager. This special adapter leverages IBM's UOWManager API which is available in WebSphere Application Server 6.0.2.19 or above and 6.1.0.9 or above. With this adapter, Spring-driven transaction suspension (suspend/resume as initiated by PROPAGATION\_REQUIRES\_NEW) is officially supported by IBM!

In a WebSphere 5.1 environment, you may wish to use Spring's WebSphereTransactionManagerFactoryBean class. This is a factory bean which retrieves the JTA TransactionManager in a WebSphere environment, which is done via WebSphere's static access methods. Once the JTA TransactionManager instance has been obtained via this factory bean, Spring's JtaTransactionManager may be configured with a reference to it, for enhanced transaction semantics over the use of only the JTA UserTransaction object. Please see the Javadocs for full details.

Note that WebSphereTransactionManagerFactoryBean usage is known to work on WAS 5.1 and 6.0 but is not officially supported by IBM. Prefer WebSphereUowTransactionManager when running on WAS 6.0 or higher (see above).

## 9.8.2. BEA WebLogic

On WebLogic 8.1 or above, you will generally prefer to use the webLogicJtaTransactionManager instead of the stock JtaTransactionManager class. This special WebLogic-specific subclass of the normal JtaTransactionManager supports the full power of Spring's transaction definitions in a WebLogic-managed transaction environment, beyond standard JTA semantics: Features include transaction names, per-transaction isolation levels, and proper resuming of transactions in all cases.

#### 9.8.3. Oracle OC4J

Spring ships a special adapter class for OC4J 10.1.3 or above: OC4JJtaTransactionManager. This is analogous to the WebLogicJtaTransactionManager class discussed in the previous section, providing similar value-adds on OC4J: transaction names and per-transaction isolation levels.

Note that the full JTA functionality, including transaction suspension, works fine with Spring's JtaTransactionManager on OC4J as well. The special OC4JJtaTransactionManager adapter simply provides value-adds beyond standard JTA.

## 9.9. Solutions to common problems

## 9.9.1. Use of the wrong transaction manager for a specific DataSource

You should take care to use the *correct* PlatformTransactionManager implementation for their requirements. Used properly, the Spring Framework merely provides a straightforward and portable abstraction. If you are using global transactions, you *must* use the org.springframework.transaction.jta.JtaTransactionManager class (or an <u>application server-specific subclass</u> of it) for all your transactional operations. Otherwise the transaction infrastructure will attempt to perform local transactions on resources such as container DataSource instances. Such local transactions do not make sense, and a good application server will treat them as errors.

## 9.10. Further Resources

Find below links to further resources about the Spring Framework's transaction support.

• <u>Java Transaction Design Strategies</u> is a book available from <u>InfoQ</u> that provides a well-paced introduction to transactions in Java. It also includes side-by-side examples of how to configure and use transactions using both the Spring Framework and EJB3.

## **Chapter 10. DAO support**

## 10.1. Introduction

The Data Access Object (DAO) support in Spring is aimed at making it easy to work with data access technologies like JDBC, Hibernate or JDO in a consistent way. This allows one to switch between the aforementioned persistence technologies fairly easily and it also allows one to code without worrying about catching exceptions that are specific to each technology.

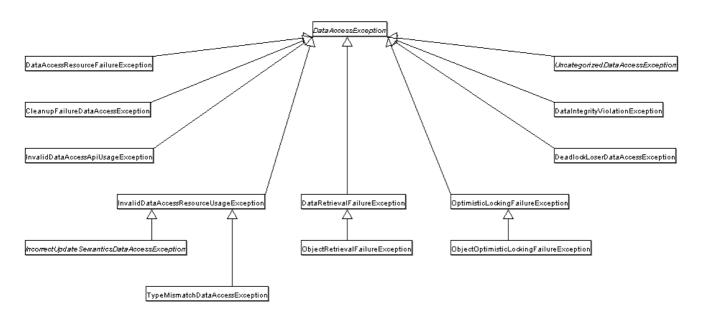
## 10.2. Consistent exception hierarchy

Spring provides a convenient translation from technology-specific exceptions like SQLException to its own exception class hierarchy with the DataAccessException as the root exception. These exceptions wrap the original exception so there is never any risk that one might lose any information as to what might have gone wrong.

In addition to JDBC exceptions, Spring can also wrap Hibernate-specific exceptions, converting them from proprietary, checked exceptions (in the case of versions of Hibernate prior to Hibernate 3.0), to a set of focused runtime exceptions (the same is true for JDO and JPA exceptions). This allows one to handle most persistence exceptions, which are non-recoverable, only in the appropriate layers, without having annoying boilerplate catch-and-throw blocks and exception declarations in one's DAOs. (One can still trap and handle exceptions anywhere one needs to though.) As mentioned above, JDBC exceptions (including database-specific dialects) are also converted to the same hierarchy, meaning that one can perform some operations with JDBC within a consistent programming model.

The above holds true for the various template classes in Springs support for various ORM frameworks. If one uses the interceptor-based classes then the application must care about handling HibernateExceptions and JDOExceptions itself, preferably via delegating to SessionFactoryUtils' convertHibernateAccessException(...) or convertJdoAccessException methods respectively. These methods convert the exceptions to ones that are compatible with the exceptions in the org.springframework.dao exception hierarchy. As JDOExceptions are unchecked, they can simply get thrown too, sacrificing generic DAO abstraction in terms of exceptions though.

The exception hierarchy that Spring provides can be seen below. (Please note that the class hierarchy detailed in the image shows only a subset of the entire DataAccessException hierarchy.)



## 10.3. Consistent abstract classes for DAO support

To make it easier to work with a variety of data access technologies such as JDBC, JDO and Hibernate in a consistent way, Spring provides a set of abstract DAO classes that one can extend. These abstract classes have methods for providing the data source and any other configuration settings that are specific to the relevant data-access technology.

- JdbcDaoSupport superclass for JDBC data access objects. Requires a DataSource to be provided; in turn, this class provides a JdbcTemplate instance initialized from the supplied DataSource to subclasses.
- HibernateDaoSupport superclass for Hibernate data access objects. Requires a SessionFactory to be provided; in turn, this class provides a HibernateTemplate instance initialized from the supplied SessionFactory to subclasses. Can alternatively be initialized directly via a HibernateTemplate, to reuse the latters settings like SessionFactory, flush mode, exception translator, and so forth.
- JdoDaoSupport super class for JDO data access objects. Requires a PersistenceManagerFactory to be provided; in turn, this class provides a JdoTemplate instance initialized from the supplied PersistenceManagerFactory to subclasses.
- JpaDaoSupport super class for JPA data access objects. Requires a EntityManagerFactory to be provided; in turn, this class provides a JpaTemplate instance initialized from the supplied EntityManagerFactory to subclasses.

# Chapter 11. Data access using JDBC

## 11.1. Introduction

The value-add provided by the Spring Framework's JDBC abstraction framework is perhaps best shown by the following list (note that only the italicized lines need to be coded by an application developer):

- 1. Define connection parameters
- 2. Open the connection
- 3. Specify the statement
- 4. Prepare and execute the statement
- 5. Set up the loop to iterate through the results (if any)
- 6. Do the work for each iteration
- 7. Process any exception
- 8. Handle transactions
- 9. Close the connection

The Spring Framework takes care of all the grungy, low-level details that can make JDBC such a tedious API to develop with.

## 11.1.1. Choosing a style

There are a number of options for selecting an approach to form the basis for your JDBC database access. There are three flavors of the JdbcTemplate, a new "SimpleJdbc" approach taking advantage of database metadata, and there is also the "RDBMS Object" style for a more object oriented approach similar in style to the JDO Query design. We'll briefly list the primary reasons why you would pick one of these approaches. Keep in mind that even if you start using one of these approaches, you can still mix and match if there is a feature in a different approach that you would like to take advantage of. All approaches requires a JDBC 2.0 compliant driver and some advanced features require a JDBC 3.0 driver.

- **JdbcTemplate** this is the classic Spring JDBC approach and the most widely used. This is the "lowest level" approach and all other approaches use a JdbcTemplate under the covers. Works well in a JDK 1.4 and higher environment.
- NamedParameterJdbcTemplate wraps a JdbcTemplate to provide more convenient usage with named parameters instead of the traditional JDBC "?" place holders. This provides better documentation and ease of use when you have multiple parameters for an SQL statement. Works with JDK 1.4 and up.
- **SimpleJdbcTemplate** this class combines the most frequently used features of both JdbcTemplate and NamedParameterJdbcTemplate plus it adds additional convenience by taking advantage of some Java 5 features like varargs, autoboxing and generics to provide an easier to use API. Requires JDK 5 or higher.
- SimpleJdbcInsert and SimpleJdbcCall designed to take advantage of database metadata to limit the

amount of configuration needed. This will simplify the coding to a point where you only need to provide the name of the table or procedure and provide a Map of parameters matching the column names. Designed to work together with the SimpleJdbcTemplate. Requires JDK 5 or higher and a database that provides adequate metadata.

• RDBMS Objects including MappingSqlQuery, SqlUpdate and StoredProcedure - an approach where you create reusable and thread safe objects during initialization of your data access layer. This approach is modeled after JDO Query where you define your query string, declare parameters and compile the query. Once that is done any execute methods can be called multiple times with various parameter values passed in. Works with JDK 1.4 and higher.

## 11.1.2. The package hierarchy

The Spring Framework's JDBC abstraction framework consists of four different packages, namely core, datasource, object, and support.

The org.springframework.jdbc.core package contains the JdbcTemplate class and its various callback interfaces, plus a variety of related classes. A sub-package named org.springframework.jdbc.core.simple contains the SimpleJdbcTemplate class and the related SimpleJdbcInsert and SimpleJdbcCall classes. Another sub-package named org.springframework.jdbc.core.namedparam contains the NamedParameterJdbcTemplate class and the related support classes.

The org.springframework.jdbc.datasource package contains a utility class for easy DataSource access, and various simple DataSource implementations that can be used for testing and running unmodified JDBC code outside of a J2EE container. The utility class provides static methods to obtain connections from JNDI and to close connections if necessary. It has support for thread-bound connections, e.g. for use with DataSourceTransactionManager.

Next, the org.springframework.jdbc.object package contains classes that represent RDBMS queries, updates, and stored procedures as thread safe, reusable objects. This approach is modeled by JDO, although of course objects returned by queries are "disconnected" from the database. This higher level of JDBC abstraction depends on the lower-level abstraction in the org.springframework.jdbc.core package.

Finally the org.springframework.jdbc.support package is where you find the SQLException translation functionality and some utility classes.

Exceptions thrown during JDBC processing are translated to exceptions defined in the org.springframework.dao package. This means that code using the Spring JDBC abstraction layer does not need to implement JDBC or RDBMS-specific error handling. All translated exceptions are unchecked giving you the option of catching the exceptions that you can recover from while allowing other exceptions to be propagated to the caller.

# 11.2. Using the JDBC Core classes to control basic JDBC processing and error handling

## 11.2.1. JdbcTemplate

The JdbcTemplate class is the central class in the JDBC core package. It simplifies the use of JDBC since it handles the creation and release of resources. This helps to avoid common errors such as forgetting to always close the connection. It executes the core JDBC workflow like statement creation and execution, leaving

application code to provide SQL and extract results. This class executes SQL queries, update statements or stored procedure calls, imitating iteration over ResultSets and extraction of returned parameter values. It also catches JDBC exceptions and translates them to the generic, more informative, exception hierarchy defined in the org.springframework.dao package.

Code using the JdbcTemplate only need to implement callback interfaces, giving them a clearly defined contract. The PreparedStatementCreator callback interface creates a prepared statement given a Connection provided by this class, providing SQL and any necessary parameters. The same is true for the CallableStatementCreator interface which creates callable statement. The RowCallbackHandler interface extracts values from each row of a ResultSet.

The JdbcTemplate can be used within a DAO implementation via direct instantiation with a DataSource reference, or be configured in a Spring IOC container and given to DAOs as a bean reference. Note: the DataSource should always be configured as a bean in the Spring IoC container, in the first case given to the service directly, in the second case to the prepared template.

Finally, all of the SQL issued by this class is logged at the 'DEBUG' level under the category corresponding to the fully qualified class name of the template instance (typically JdbcTemplate, but it may be different if a custom subclass of the JdbcTemplate class is being used).

#### 11.2.1.1. Examples

Find below some examples of using the JdbcTemplate class. (These examples are not an exhaustive list of all of the functionality exposed by the JdbcTemplate; see the attendant Javadocs for that).

## 11.2.1.1.1. Querying (SELECT)

A simple query for getting the number of rows in a relation.

```
int rowCount = this.jdbcTemplate.queryForInt("select count(0) from t_accrual");
```

A simple query using a bind variable.

Querying for a String.

```
String surname = (String) this.jdbcTemplate.queryForObject(
    "select surname from t_actor where id = ?",
    new Object[]{new Long(1212)}, String.class);
```

Querying and populating a *single* domain object.

```
Actor actor = (Actor) this.jdbcTemplate.queryForObject(
    "select first_name, surname from t_actor where id = ?",
    new Object[]{new Long(1212)},
    new RowMapper() {

        public Object mapRow(ResultSet rs, int rowNum) throws SQLException {
            Actor actor = new Actor();
            actor.setFirstName(rs.getString("first_name"));
            actor.setSurname(rs.getString("surname"));
            return actor;
        }
    });
```

Querying and populating a number of domain objects.

```
Collection actors = this.jdbcTemplate.query(
    "select first_name, surname from t_actor",
    new RowMapper() {

    public Object mapRow(ResultSet rs, int rowNum) throws SQLException {
        Actor actor = new Actor();
        actor.setFirstName(rs.getString("first_name"));
        actor.setSurname(rs.getString("surname"));
        return actor;
    }
});
```

If the last two snippets of code actually existed in the same application, it would make sense to remove the duplication present in the two RowMapper anonymous inner classes, and extract them out into a single class (typically a static inner class) that can then be referenced by DAO methods as needed. For example, the last code snippet might be better off written like so:

```
public Collection findAllActors() {
    return this.jdbcTemplate.query( "select first_name, surname from t_actor", new ActorMapper());
}

private static final class ActorMapper implements RowMapper {
    public Object mapRow(ResultSet rs, int rowNum) throws SQLException {
        Actor actor = new Actor();
        actor.setFirstName(rs.getString("first_name"));
        actor.setSurname(rs.getString("surname"));
        return actor;
    }
}
```

## 11.2.1.1.2. Updating (INSERT/UPDATE/DELETE)

```
this.jdbcTemplate.update(
    "insert into t_actor (first_name, surname) values (?, ?)",
    new Object[] {"Leonor", "Watling"});
```

```
this.jdbcTemplate.update(
    "update t_actor set weapon = ? where id = ?",
    new Object[] {"Banjo", new Long(5276)});
```

```
this.jdbcTemplate.update(
    "delete from actor where id = ?",
    new Object[] {new Long.valueOf(actorId)});
```

## **11.2.1.1.3. Other operations**

The execute(...) method can be used to execute any arbitrary SQL, and as such is often used for DDL statements. It is heavily overloaded with variants taking callback interfaces, binding variable arrays, and suchlike.

```
this.jdbcTemplate.execute("create table mytable (id integer, name varchar(100))");
```

Invoking a simple stored procedure (more sophisticated stored procedure support is covered later).

```
this.jdbcTemplate.update(
    "call SUPPORT.REFRESH_ACTORS_SUMMARY(?)",
    new Object[]{Long.valueOf(unionId)});
```

#### 11.2.1.2. JdbcTemplate idioms (best practices)

Instances of the JdbcTemplate class are threadsafe once configured. This is important because it means that you can configure a single instance of a JdbcTemplate and then safely inject this shared reference into multiple DAOs (or repositories). To be clear, the JdbcTemplate is stateful, in that it maintains a reference to a DataSource, but this state is not conversational state.

A common idiom when using the JdbcTemplate class (and the associated <u>SimpleJdbcTemplate</u> and <u>NamedParameterJdbcTemplate</u> classes) is to configure a DataSource in your Spring configuration file, and then dependency inject that shared DataSource bean into your DAO classes; the JdbcTemplate is created in the setter for the DataSource. This leads to DAOs that look in part like this:

```
public class JdbcCorporateEventDao implements CorporateEventDao {
    private JdbcTemplate jdbcTemplate;

    public void setDataSource(DataSource dataSource) {
        this.jdbcTemplate = new JdbcTemplate(dataSource);
    }

    // JDBC-backed implementations of the methods on the CorporateEventDao follow...
}
```

The attendant configuration might look like this.

If you are using Spring's JdbcDaoSupport class, and your various JDBC-backed DAO classes extend from it, then you inherit a setDataSource(..) method for free from said superclass. It is totally up to you as to whether or not you inherit from said class, you certainly are not forced to. If you look at the source for the JdbcDaoSupport class you will see that there is not a whole lot to it... it is provided as a convenience only.

Regardless of which of the above template initialization styles you choose to use (or not), there is (almost) certainly no need to create a brand new instance of a JdbcTemplate class each and every time you wish to execute some SQL... remember, once configured, a JdbcTemplate instance is threadsafe. A reason for wanting multiple JdbcTemplate instances would be when you have an application that accesses multiple databases, which requires multiple DataSources, and subsequently multiple differently configured JdbcTemplates.

## 11.2.2. NamedParameterJdbcTemplate

The NamedParameterJdbcTemplate class adds support for programming JDBC statements using named parameters (as opposed to programming JDBC statements using only classic placeholder ('?') arguments. The NamedParameterJdbcTemplate class wraps a JdbcTemplate, and delegates to the wrapped JdbcTemplate to do much of its work. This section will describe only those areas of the NamedParameterJdbcTemplate class that

differ from the JdbcTemplate itself; namely, programming JDBC statements using named parameters.

```
// some JDBC-backed DAO class...
private NamedParameterJdbcTemplate namedParameterJdbcTemplate;

public void setDataSource(DataSource dataSource) {
    this.namedParameterJdbcTemplate = new NamedParameterJdbcTemplate(dataSource);
}

public int countOfActorsByFirstName(String firstName) {
    String sql = "select count(0) from T_ACTOR where first_name = :first_name";
    SqlParameterSource namedParameters = new MapSqlParameterSource("first_name", firstName);
    return namedParameterJdbcTemplate.queryForInt(sql, namedParameters);
}
```

Notice the use of the named parameter notation in the value assigned to the 'sql' variable, and the corresponding value that is plugged into the 'namedParameters' variable (of type MapSqlParameterSource).

If you like, you can also pass along named parameters (and their corresponding values) to a NamedParameterJdbcTemplate instance using the (perhaps more familiar) Map-based style. (The rest of the methods exposed by the NamedParameterJdbcOperations - and implemented by the NamedParameterJdbcTemplate class) follow a similar pattern and will not be covered here.)

```
// some JDBC-backed DAO class...
private NamedParameterJdbcTemplate namedParameterJdbcTemplate;

public void setDataSource(DataSource dataSource) {
    this.namedParameterJdbcTemplate = new NamedParameterJdbcTemplate(dataSource);
}

public int countOfActorsByFirstName(String firstName) {
    String sql = "select count(0) from T_ACTOR where first_name = :first_name";
    Map namedParameters = Collections.singletonMap("first_name", firstName);
    return this.namedParameterJdbcTemplate.queryForInt(sql, namedParameters);
}
```

Another nice feature related to the NamedParameterJdbcTemplate (and existing in the same Java package) is the SqlParameterSource interface. You have already seen an example of an implementation of this interface in one of the preceding code snippets (the MapSqlParameterSource class). The entire point of the SqlParameterSource is to serve as a source of named parameter values to a NamedParameterJdbcTemplate. The MapSqlParameterSource class is a very simple implementation, that is simply an adapter around a java.util.Map, where the keys are the parameter names and the values are the parameter values.

Another SqlParameterSource implementation is the BeanPropertySqlParameterSource class. This class wraps an arbitrary JavaBean (that is, an instance of a class that adheres to the JavaBean conventions), and uses the properties of the wrapped JavaBean as the source of named parameter values.

```
public class Actor {
    private Long id;
    private String firstName;
    private String lastName;

public String getFirstName() {
        return this.firstName;
    }

public String getLastName() {
        return this.lastName;
    }
```

```
public Long getId() {
    return this.id;
}

// setters omitted...
}
```

```
// some JDBC-backed DAO class...
private NamedParameterJdbcTemplate namedParameterJdbcTemplate;

public void setDataSource(DataSource dataSource) {
    this.namedParameterJdbcTemplate = new NamedParameterJdbcTemplate(dataSource);
}

public int countOfActors(Actor exampleActor) {
    // notice how the named parameters match the properties of the above 'Actor' class
    String sql = "select count(0) from T_ACTOR where first_name = :firstName and last_name = :lastName";
    SqlParameterSource namedParameters = new BeanPropertySqlParameterSource(exampleActor);
    return this.namedParameterJdbcTemplate.queryForInt(sql, namedParameters);
}
```

Remember that the NamedParameterJdbcTemplate class wraps a classic JdbcTemplate template; if you need access to the wrapped JdbcTemplate instance (to access some of the functionality only present in the JdbcTemplate class), then you can use the getJdbcOperations() method to access the wrapped JdbcTemplate via the JdbcOperations interface.

See also the section entitled Section 11.2.1.2, "JdbcTemplate idioms (best practices)" for some advice on how to best use the NamedParameterJdbcTemplate class in the context of an application.

#### 11.2.3. SimpleJdbcTemplate



#### Note

The functionality offered by the SimpleJdbcTemplate is only available to you if you are using Java 5 or later.

The simpleJdbcTemplate class is a wrapper around the classic JdbcTemplate that takes advantage of Java 5 language features such as varargs and autoboxing. The SimpleJdbcTemplate class is somewhat of a sop to the syntactic-sugar-like features of Java 5, but as anyone who has developed on Java 5 and then had to move back to developing on a previous version of the JDK will know, those syntactic-sugar-like features sure are nice.

The value-add of the SimpleJdbcTemplate class in the area of syntactic-sugar is best illustrated with a 'before and after' example. The following code snippet shows first some data access code using the classic JdbcTemplate, followed immediately thereafter by a code snippet that does the same job, only this time using the SimpleJdbcTemplate.

```
// classic JdbcTemplate-style...
private JdbcTemplate jdbcTemplate;

public void setDataSource(DataSource dataSource) {
    this.jdbcTemplate = new JdbcTemplate(dataSource);
}

public Actor findActor(long id) {
    String sql = "select id, first_name, last_name from T_ACTOR where id = ?";

    RowMapper mapper = new RowMapper() {
```

```
public Object mapRow(ResultSet rs, int rowNum) throws SQLException {
    Actor actor = new Actor();
    actor.setId(rs.getLong("id"));
    actor.setFirstName(rs.getString("first_name"));
    actor.setLastName(rs.getString("last_name"));
    return actor;
};

// notice the cast, the wrapping up of the 'id' argument
// in an array, and the boxing of the 'id' argument as a reference type
return (Actor) jdbcTemplate.queryForObject(sql, mapper, new Object[] {Long.valueOf(id)});
}
```

Here is the same method, only this time using the SimpleJdbcTemplate; notice how much 'cleaner' the code is.

```
// SimpleJdbcTemplate-style...
private SimpleJdbcTemplate simpleJdbcTemplate;
public void setDataSource(DataSource dataSource) {
    this.simpleJdbcTemplate = new SimpleJdbcTemplate(dataSource);
public Actor findActor(long id) {
    String sql = "select id, first_name, last_name from T_ACTOR where id = ?";
    ParameterizedRowMapper<Actor> mapper = new ParameterizedRowMapper<Actor>() {
        // notice the return type with respect to Java 5 covariant return types
        public Actor mapRow(ResultSet rs, int rowNum) throws SQLException {
            Actor actor = new Actor();
            actor.setId(rs.getLong("id"));
            actor.setFirstName(rs.getString("first_name"));
           actor.setLastName(rs.getString("last_name"));
            return actor;
        }
    };
    return this.simpleJdbcTemplate.queryForObject(sql, mapper, id);
```

See also the section entitled Section 11.2.1.2, "JdbcTemplate idioms (best practices)" for some advice on how to best use the SimpleJdbcTemplate class in the context of an application.



#### Note

The simpleJdbcTemplate class only offers a subset of the methods exposed on the JdbcTemplate class. If you need to use a method from the JdbcTemplate that is not defined on the SimpleJdbcTemplate, you can always access the underlying JdbcTemplate by calling the getJdbcOperations() method on the SimpleJdbcTemplate, which will then allow you to invoke the method that you want. The only downside is that the methods on the JdbcOperations interface are not generified, so you are back to casting and such again.

#### 11.2.4. DataSource

In order to work with data from a database, one needs to obtain a connection to the database. The way Spring does this is through a DataSource. A DataSource is part of the JDBC specification and can be seen as a generalized connection factory. It allows a container or a framework to hide connection pooling and transaction management issues from the application code. As a developer, you don not need to know any details about how to connect to the database, that is the responsibility for the administrator that sets up the datasource. You will most likely have to fulfill both roles while you are developing and testing you code though, but you will not necessarily have to know how the production data source is configured.

When using Spring's JDBC layer, you can either obtain a data source from JNDI or you can configure your own, using an implementation that is provided in the Spring distribution. The latter comes in handy for unit testing outside of a web container. We will use the <code>DriverManagerDataSource</code> implementation for this section but there are several additional implementations that will be covered later on. The <code>DriverManagerDataSource</code> works the same way that you probably are used to work when you obtain a JDBC connection. You have to specify the fully qualified class name of the JDBC driver that you are using so that the <code>DriverManager</code> can load the driver class. Then you have to provide a URL that varies between JDBC drivers. You have to consult the documentation for your driver for the correct value to use here. Finally you must provide a username and a password that will be used to connect to the database. Here is an example of how to configure a <code>DriverManagerDataSource</code>:

```
DriverManagerDataSource dataSource = new DriverManagerDataSource();
dataSource.setDriverClassName("org.hsqldb.jdbcDriver");
dataSource.setUrl("jdbc:hsqldb:hsql://localhost:");
dataSource.setUsername("sa");
dataSource.setPassword("");
```

#### 11.2.5. SQLExceptionTranslator

SQLExceptionTranslator is an interface to be implemented by classes that can translate between SQLExceptions and Spring's own data-access-strategy-agnostic org.springframework.dao.DataAccessException. Implementations can be generic (for example, using SQLState codes for JDBC) or proprietary (for example, using Oracle error codes) for greater precision.

SQLErrorCodeSQLExceptionTranslator is the implementation of SQLExceptionTranslator that is used by default. This implementation uses specific vendor codes. More precise than SQLState implementation, but vendor specific. The error code translations are based on codes held in a JavaBean type class named SQLErrorCodes. This class is created and populated by an SQLErrorCodesFactory which as the name suggests is a factory for creating SQLErrorCodes based on the contents of a configuration file named 'sql-error-codes.xml'. This file is populated with vendor codes and based on the DatabaseProductName taken from the DatabaseMetaData, the codes for the current database are used.

The SQLErrorCodeSQLExceptionTranslator applies the following matching rules:

- Try custom translation implemented by any subclass. Note that this class is concrete and is typically used itself, in which case this rule does not apply.
- Apply error code matching. Error codes are obtained from the SQLErrorCodesFactory by default. This looks up error codes from the classpath and keys into them from the database name from the database metadata.
- Use the fallback translator. SQLStateSQLExceptionTranslator is the default fallback translator.

SQLErrorCodeSQLExceptionTranslator can be extended the following way:

```
public class MySQLErrorCodesTranslator extends SQLErrorCodeSQLExceptionTranslator {
   protected DataAccessException customTranslate(String task, String sql, SQLException sqlex) {
      if (sqlex.getErrorCode() == -12345) {
            return new DeadlockLoserDataAccessException(task, sqlex);
      }
      return null;
   }
}
```

In this example the specific error code '-12345' is translated and any other errors are simply left to be translated by the default translator implementation. To use this custom translator, it is necessary to pass it to the JdbcTemplate using the method setExceptionTranslator and to use this JdbcTemplate for all of the data access processing where this translator is needed. Here is an example of how this custom translator can be used:

```
// create a JdbcTemplate and set data source
JdbcTemplate jt = new JdbcTemplate();
jt.setDataSource(dataSource);
// create a custom translator and set the DataSource for the default translation lookup
MySQLErrorCodesTransalator tr = new MySQLErrorCodesTransalator();
tr.setDataSource(dataSource);
jt.setExceptionTranslator(tr);
// use the JdbcTemplate for this SqlUpdate
SqlUpdate su = new SqlUpdate();
su.setJdbcTemplate(jt);
su.setSql("update orders set shipping_charge = shipping_charge * 1.05");
su.compile();
su.update();
```

The custom translator is passed a data source because we still want the default translation to look up the error codes in sql-error-codes.xml.

## 11.2.6. Executing statements

To execute an SQL statement, there is very little code needed. All you need is a DataSource and a JdbcTemplate. Once you have that, you can use a number of convenience methods that are provided with the JdbcTemplate. Here is a short example showing what you need to include for a minimal but fully functional class that creates a new table.

```
import javax.sql.DataSource;
import org.springframework.jdbc.core.JdbcTemplate;

public class ExecuteAStatement {
    private JdbcTemplate jdbcTemplate;

    public void setDataSource(DataSource dataSource) {
        this.jdbcTemplate = new JdbcTemplate(dataSource);
    }

    public void doExecute() {
        this.jdbcTemplate.execute("create table mytable (id integer, name varchar(100))");
    }
}
```

# 11.2.7. Running Queries

In addition to the execute methods, there is a large number of query methods. Some of these methods are intended to be used for queries that return a single value. Maybe you want to retrieve a count or a specific value from one row. If that is the case then you can use queryForInt(..), queryForLong(..) or queryForObject(..). The latter will convert the returned JDBC Type to the Java class that is passed in as an argument. If the type conversion is invalid, then an InvalidDataAccessApiUsageException will be thrown. Here is an example that contains two query methods, one for an int and one that queries for a string.

```
import javax.sql.DataSource;
import org.springframework.jdbc.core.JdbcTemplate;

public class RunAQuery {
    private JdbcTemplate jdbcTemplate;

    public void setDataSource(DataSource dataSource) {
        this.jdbcTemplate = new JdbcTemplate(dataSource);
    }

    public int getCount() {
        return this.jdbcTemplate.queryForInt("select count(*) from mytable");
    }
}
```

```
public String getName() {
    return (String) this.jdbcTemplate.queryForObject("select name from mytable", String.class);
}

public void setDataSource(DataSource dataSource) {
    this.dataSource = dataSource;
}
```

In addition to the single results query methods there are several methods that return a List with an entry for each row that the query returned. The most generic method is queryForList(..) which returns a List where each entry is a Map with each entry in the map representing the column value for that row. If we add a method to the above example to retrieve a list of all the rows, it would look like this:

```
private JdbcTemplate jdbcTemplate;
public void setDataSource(DataSource dataSource) {
    this.jdbcTemplate = new JdbcTemplate(dataSource);
}
public List getList() {
    return this.jdbcTemplate.queryForList("select * from mytable");
}
```

The list returned would look something like this:

```
[{name=Bob, id=1}, {name=Mary, id=2}]
```

## 11.2.8. Updating the database

There are also a number of update methods that you can use. Find below an example where a column is updated for a certain primary key. In this example an SQL statement is used that has place holders for row parameters. Note that the parameter values are passed in as an array of objects (and thus primitives have to be wrapped in the primitive wrapper classes).

# 11.2.9. Retrieving auto-generated keys

One of the update convenience methods provides support for acquiring the primary keys generated by the database (part of the JDBC 3.0 standard - see chapter 13.6 of the specification for details). The method takes a PreparedStatementCreator as its first argument, and this is the way the required insert statement is specified. The other argument is a KeyHolder, which will contain the generated key on successful return from the update.

There is not a standard single way to create an appropriate PreparedStatement (which explains why the method signature is the way it is). An example that works on Oracle and may not work on other platforms is:

# 11.3. Controlling database connections

#### 11.3.1. DataSourceUtils

The DataSourceUtils class is a convenient and powerful helper class that provides static methods to obtain connections from JNDI and close connections if necessary. It has support for thread-bound connections, for example for use with DataSourceTransactionManager.

## 11.3.2. SmartDataSource

The SmartDataSource interface is to be implemented by classes that can provide a connection to a relational database. Extends the DataSource interface to allow classes using it to query whether or not the connection should be closed after a given operation. This can sometimes be useful for efficiency, in the cases where one knows that one wants to reuse a connection.

#### 11.3.3. AbstractDataSource

This is an abstract base class for Spring's DataSource implementations, that takes care of the "uninteresting" glue. This is the class one would extend if one was writing one's own DataSource implementation.

#### 11.3.4. SingleConnectionDataSource

The SingleConnectionDataSource class is an implementation of the SmartDataSource interface that wraps a *single* Connection that is *not* closed after use. Obviously, this is not multi-threading capable.

If client code will call close in the assumption of a pooled connection, like when using persistence tools, set suppressclose to true. This will return a close-suppressing proxy instead of the physical connection. Be aware that you will not be able to cast this to a native Oracle Connection or the like anymore.

This is primarily a test class. For example, it enables easy testing of code outside an application server, in conjunction with a simple JNDI environment. In contrast to <code>DriverManagerDataSource</code>, it reuses the same connection all the time, avoiding excessive creation of physical connections.

## 11.3.5. DriverManagerDataSource

The DriverManagerDataSource class is an implementation of the standard DataSource interface that configures a plain old JDBC Driver via bean properties, and returns a new Connection every time.

This is potentially useful for test or standalone environments outside of a J2EE container, either as a DataSource bean in a Spring IoC container, or in conjunction with a simple JNDI environment. Pool-assuming Connection.close() calls will simply close the connection, so any DataSource-aware persistence code should work. However, using JavaBean style connection pools such as commons-dbcp is so easy, even in a test environment, that it is almost always preferable to use such a connection pool over DriverManagerDataSource.

#### 11.3.6. TransactionAwareDataSourceProxy

TransactionAwareDataSourceProxy is a proxy for a target DataSource, which wraps that target DataSource to add awareness of Spring-managed transactions. In this respect it is similar to a transactional JNDI DataSource as provided by a J2EE server.



#### **Note**

It should almost never be necessary or desirable to use this class, except when existing code exists which must be called and passed a standard JDBC DataSource interface implementation. In this case, it's possible to still have this code be usable, but participating in Spring managed transactions. It is generally preferable to write your own new code using the higher level abstractions for resource management, such as JdbcTemplate or DataSourceUtils.

(See the TransactionAwareDataSourceProxy Javadocs for more details.)

#### 11.3.7. DataSourceTransactionManager

The DataSourceTransactionManager class is a PlatformTransactionManager implementation for single JDBC datasources. It binds a JDBC connection from the specified data source to the currently executing thread, potentially allowing for one thread connection per data source.

Application code is required to retrieve the JDBC connection via DataSourceUtils.getConnection(DataSource) instead of J2EE's standard DataSource.getConnection. This is recommended anyway, as it throws unchecked org.springframework.dao exceptions instead of checked SQLExceptions. All framework classes like JdbcTemplate use this strategy implicitly. If not used with this transaction manager, the lookup strategy behaves exactly like the common one - it can thus be used in any case.

The DataSourceTransactionManager class supports custom isolation levels, and timeouts that get applied as appropriate JDBC statement query timeouts. To support the latter, application code must either use JdbcTemplate or call DataSourceUtils.applyTransactionTimeout(..) method for each created statement.

This implementation can be used instead of JtaTransactionManager in the single resource case, as it does not require the container to support JTA. Switching between both is just a matter of configuration, if you stick to the required connection lookup pattern. Note that JTA does not support custom isolation levels!

#### 11.3.8. NativeJdbcExtractor

There are times when we need to access vendor specific JDBC methods that differ from the standard JDBC API. This can be problematic if we are running in an application server or with a DataSource that wraps the Connection, Statement and ResultSet objects with its own wrapper objects. To gain access to the native objects you can configure your JdbcTemplate or OracleLobHandler with a NativeJdbcExtractor.

The NativeJdbcExtractor comes in a variety of flavors to match your execution environment:

- SimpleNativeJdbcExtractor
- C3P0NativeJdbcExtractor
- CommonsDbcpNativeJdbcExtractor
- JBossNativeJdbcExtractor
- WebLogicNativeJdbcExtractor
- WebSphereNativeJdbcExtractor
- XAPoolNativeJdbcExtractor

Usually the SimpleNativeJdbcExtractor is sufficient for unwrapping a Connection object in most environments. See the Java Docs for more details.

# 11.4. JDBC batch operations

Most JDBC drivers provide improved performance if you batch multiple calls to the same prepared statement. By grouping updates into batches you limit the number of round trips to the database. This section will cover batch processing using both the JdbcTemplate and the SimpleJdbcTemplate.

#### 11.4.1. Batch operations with the JdbcTemplate

Using the JdbcTemplate batch processing is accomplished by implementing a special interface, BatchPreparedStatementSetter, and passing that in as the second parameter in your batchUpdate method call. This interface has two methods you must implement. One is named getBatchSize and here you provide the size of the current batch. The other method is setValues and it allows you to set the values for the parameters of the prepared statement and. This method will get called the number of times that you specified in the getBatchSize call. Here is an example of this where we update the actor table based on entries in a list. The entire list is used as the batch in his example.

```
// ... additional methods
}
```

If you are processing stream of updates or reading from a file then you might have a preferred batch size, but the last batch might not have that number of entries. In this case you can use the InterruptibleBatchPreparedStatementSetter interface which allows you to interrupt a batch once the input source is exhausted. The isBatchExhausted method allows you to signal the end of the batch.

## 11.4.2. Batch operations with the SimpleJdbcTemplate

The SimpleJdbcTemplate provides an alternate way of providing the batch update. Instead of implementing a special batch interface, you simply provide all parameter values in the call and the framework will loop over these values and use an internal prepared statement setter. The API varies depending on whether you use named parameters or not. For the named parameters you provide an array of SqlParameterSource, one entry for each member of the batch. You can use the SqlParameterSource.createBatch method to create this array, passing in either an array of JavaBeans or an array of Maps containing the parameter values.

This example shows a batch update using named parameters:

For an SQL statement using the classic "?" place holders you pass in a List containing an object array with the update values. This object array must have one entry for each placeholder in the SQL statement and they must be in the same order as they are defined in the SQL statement.

The same example using classic JDBC "?" place holders:

```
public class JdbcActorDao implements ActorDao {
   private SimpleJdbcTemplate simpleJdbcTemplate;
   public void setDataSource(DataSource dataSource) {
        this.simpleJdbcTemplate = new SimpleJdbcTemplate(dataSource);
   public int[] batchUpdate(final List<Actor> actors)
       List<Object[]> batch = new ArrayList<Object[]>();
        for (Actor actor : actors) {
            Object[] values = new Object[] {
                    actor.getFirstName(),
                    actor.getLastName(),
                    actor.getId()};
           batch.add(values);
        int[] updateCounts = simpleJdbcTemplate.batchUpdate(
                "update t_actor set first_name = ?, last_name = ? where id = ?",
                batch);
        return updateCounts;
```

```
}

// ... additional methods
}
```

All batch update methods return an int array containing the number of affected rows for each batch entry. This count is reported by the JDBC driver and it's not always available in which case the JDBC driver simply returns a -2 value.

# 11.5. Simplifying JDBC operations with the SimpleJdbc classes

The SimpleJdbcInsert and SimpleJdbcCall classes provide simplified configuration by taking advantage of database metadata that can be retrieved via the JDBC driver. This means there is less to configure up front, although you can override or turn off the metadata processing if you prefer to provide all the details in your code.

## 11.5.1. Inserting data using SimpleJdbcInsert

Let's start by looking at the <code>SimpleJdbcInsert</code> class first. We will use the minimal amount of configuration options to start with. The <code>SimpleJdbcInsert</code> should be instantiated in the data access layer's initialization method. For this example, the initializing method is the <code>setDataSource</code> method. There is no need to subclass the <code>SimpleJdbcInsert</code> class, just create a new instance and set the table name using the <code>withTableName</code> method. Configuration methods for this class follows the "fluid" style returning the instance of the <code>SimpleJdbcInsert</code> which allows you to chain all configuration methods. In this case there is only one configuration method used but we will see examples of multiple ones soon.

The execute method used here takes a plain <code>java.utils.Map</code> as its only parameter. The important thing to note here is that the keys used for the Map must match the column names of the table as defined in the database. This is because we read the metadata in order to construct the actual insert statement.

# 11.5.2. Retrieving auto-generated keys using SimpleJdbcInsert

Next we'll look at the same insert, but instead of passing in the id we will retrieve the auto-generated key and set it on the new Actor object. When we create the SimpleJdbcInsert, in addition to specifying the table name,

we specify the name of the generated key column using the usingGeneratedKeyColumns method.

```
public class JdbcActorDao implements ActorDao {
   private SimpleJdbcTemplate simpleJdbcTemplate;
   private SimpleJdbcInsert insertActor;
   public void setDataSource(DataSource dataSource) {
       this.simpleJdbcTemplate = new SimpleJdbcTemplate(dataSource);
        this.insertActor =
               new SimpleJdbcInsert(dataSource)
                       .withTableName("t_actor")
                        .usingGeneratedKeyColumns("id");
   public void add(Actor actor) {
       Map<String, Object> parameters = new HashMap<String, Object>(2);
       parameters.put("first_name", actor.getFirstName());
       parameters.put("last_name", actor.getLastName());
       Number newId = insertActor.executeAndReturnKey(parameters);
       actor.setId(newId.longValue());
    // ... additional methods
}
```

Here we can see the main difference when executing the insert is that we don't add the id to the Map and we call the executeReturningKey method. This returns a java.lang.Number object that we can use to create an instance of the numerical type that is used in our domain class. It's important to note that we can't rely on all databases to return a specific Java class here, java.lang.Number is the base class that we can rely on. If you have multiple auto-generated columns or the generated values are non-numeric then you can use a KeyHolder that is returned from the executeReturningKeyHolder method.

## 11.5.3. Specifying the columns to use for a SimpleJdbcInsert

It's possible to limit the columns used for the insert by specifying a list of column names to be used. This is accomplished using the usingColumns method.

```
public class JdbcActorDao implements ActorDao {
   private SimpleJdbcTemplate simpleJdbcTemplate;
   private SimpleJdbcInsert insertActor;
   public void setDataSource(DataSource dataSource) {
       this.simpleJdbcTemplate = new SimpleJdbcTemplate(dataSource);
        this.insertActor =
               new SimpleJdbcInsert(dataSource)
                        .withTableName("t_actor")
                        .usingColumns("first_name", "last_name")
                        .usingGeneratedKeyColumns("id");
   }
   public void add(Actor actor) {
       Map<String, Object> parameters = new HashMap<String, Object>(2);
       parameters.put("first_name", actor.getFirstName());
        parameters.put("last_name", actor.getLastName());
       Number newId = insertActor.executeAndReturnKey(parameters);
       actor.setId(newId.longValue());
       ... additional methods
```

The execution of the insert is the same as if we had relied on the metadata for determining what columns to use.

# 11.5.4. Using SqlParameterSource to provide parameter values

Using a Map to provide parameter values works fine, but it's not the most convenient class to use. Spring

provides a couple of implementations of the SqlParameterSource interface that can be used instead. The first one we'll look at is BeanPropertySqlParameterSource which is a very convenient class as long as you have a JavaBean compliant class that contains your values. It will use the corresponding getter method to extract the parameter values. Here is an example:

```
public class JdbcActorDao implements ActorDao {
   private SimpleJdbcTemplate simpleJdbcTemplate;
   private SimpleJdbcInsert insertActor;
   public void setDataSource(DataSource dataSource) {
        this.simpleJdbcTemplate = new SimpleJdbcTemplate(dataSource);
        this.insertActor =
               new SimpleJdbcInsert(dataSource)
                        .withTableName("t actor")
                        .usingGeneratedKeyColumns("id");
   }
   public void add(Actor actor) {
        SqlParameterSource parameters = new BeanPropertySqlParameterSource(actor);
       Number newId = insertActor.executeAndReturnKey(parameters);
       actor.setId(newId.longValue());
       ... additional methods
}
```

Another option is the MapSqlParameterSource that resembles a Map but provides a more convenient addvalue method that can be chained.

```
public class JdbcActorDao implements ActorDao {
   private SimpleJdbcTemplate simpleJdbcTemplate;
   private SimpleJdbcInsert insertActor;
   public void setDataSource(DataSource dataSource) {
        this.simpleJdbcTemplate = new SimpleJdbcTemplate(dataSource);
        this.insertActor =
               new SimpleJdbcInsert(dataSource)
                        .withTableName("t_actor")
                        .usingGeneratedKeyColumns("id");
   }
   public void add(Actor actor) {
       SqlParameterSource parameters = new MapSqlParameterSource()
               .addValue("first_name", actor.getFirstName())
                .addValue("last_name", actor.getLastName());
       Number newId = insertActor.executeAndReturnKey(parameters);
       actor.setId(newId.longValue());
       ... additional methods
}
```

As you can see, the configuration is the same, it;s just the executing code that has to change to use these alternative input classes.

# 11.5.5. Calling a stored procedure using SimpleJdbcCall

Let's now turn our attention to calling stored procedures using the SimpleJdbcCall class. This class is designed to make it as simple as possible to call a stored procedure. It takes advantage of metadata present in the database to look up names of in and out parameters. This means that you don't have to explicitly declare parameters. You can of course still declare them if you prefer to do that or if you have parameters that don't have an automatic mapping to a Java class like ARRAY or STRUCT parameters. In our first example we will look at a plain vanilla procedure that only returns scalar values in form of VARCHAR and DATE. I have added a birthDate property to the Actor class to get some variety in terms of return values. The example procedure

reads a specified actor entry and returns first\_name, last\_name, and birth\_date columns in the form of out parameters. Here is the source for the procedure as it would look when using MySQL as the database:

```
CREATE PROCEDURE read_actor (
    IN in_id INTEGER,
    OUT out_first_name VARCHAR(100),
    OUT out_last_name VARCHAR(100),
    OUT out_birth_date DATE)

BEGIN
    SELECT first_name, last_name, birth_date
    INTO out_first_name, out_last_name, out_birth_date
    FROM t_actor where id = in_id;

END;
```

As you can see there are four parameters. One is an in parameter "in\_id" containing the id of the Actor we are looking up. The remaining parameters are out parameters and they will be used to return the data read from the table.

The simpleJdbcCall is declared in a similar manner to the simpleJdbcInsert, no need to subclass and we declare it in the initialization method. For this example, all we need to specify is the name of the procedure.

```
public class JdbcActorDao implements ActorDao {
   private SimpleJdbcTemplate simpleJdbcTemplate;
   private SimpleJdbcCall procReadActor;
   public void setDataSource(DataSource dataSource) {
        this.simpleJdbcTemplate = new SimpleJdbcTemplate(dataSource);
        this.procReadActor =
               new SimpleJdbcCall(dataSource)
                        .withProcedureName("read_actor");
   }
   public Actor readActor(Long id) {
       SqlParameterSource in = new MapSqlParameterSource()
               .addValue("in_id", id);
       Map out = procReadActor.execute(in);
       Actor actor = new Actor();
       actor.setId(id);
       actor.setFirstName((String) out.get("out_first_name"));
       actor.setLastName((String) out.get("out_last_name"));
       actor.setBirthDate((Date) out.get("out_birth_date"));
       return actor;
   // ... additional methods
```

The execution of the call involves creating an sqlparametersource containing the in parameter. It's important to match the name of the parameter declared in the stored procedure. The case doesn't have to match since we use metadata to determine how database objects should be referred to - what you specify in your source for the stored procedure is not necessarily the way it is stored in the database, some databases transform names to all upper case while others use lower case or the case as specified.

The execute method takes the in parameters and returns a Map containing any out parameters keyed by the name as specified in the stored procedure. In this case they are out\_first\_name, out\_last\_name and out\_birth\_date.

The last part of the execute method just creates an Actor instance to use to return the data retrieved. Again, it's important to match the names of the out parameters here. Also, the case used for the names of the out parameters stored in the results map are as they were defined in the database. You will either have to do a case-insensitive lookup or instruct Spring to use a CaseInsensitiveMap from the Jakarta Commons project. The way you do that is by creating your own JdbcTemplate and setting the setResultsMapCaseInsensitive property to true. Then you pass this customized JdbcTemplate instance into the constructor of your simpleJdbcCall. You also have to include the commons-collections.jar on your classpath for this to work.

Here is an example of this configuration:

```
public class JdbcActorDao implements ActorDao {
    private SimpleJdbcCall procReadActor;

public void setDataSource(DataSource dataSource) {
    JdbcTemplate jdbcTemplate = new JdbcTemplate(dataSource);
    jdbcTemplate.setResultsMapCaseInsensitive(true);
    this.procReadActor =
        new SimpleJdbcCall(jdbcTemplate)
        .withProcedureName("read_actor");
}

// ... additional methods
}
```

By doing this, you don't have to worry about the case used for the names of your returned out parameters.

## 11.5.6. Declaring parameters to use for a SimpleJdbcCall

We have seen how the parameters are deduced based on metadata, but you can declare then explicitly if you wish. This is done when the SimpleJdbcCall is created and configured using the declareParameters method that takes a variable number of SqlParameter objects as input. See the next section for details on how to define an SqlParameter.

We can opt to declare one, some or all of the parameters explicitly. The parameter metadata is still being used. By calling the method withoutProcedureColumnMetaDataAccess we can specify that we would like to bypass any processing of the metadata lookups for potential parameters and only use the declared ones. Another situation that can arise is that one or more in parameters have default values and we would like to leave them out of the call. To do that we will just call the useInParameterNames to specify the list of in parameter names to include.

This is what a fully declared procedure call declaration of our earlier example would look like:

```
public class JdbcActorDao implements ActorDao {
   private SimpleJdbcCall procReadActor;
   public void setDataSource(DataSource dataSource) {
        JdbcTemplate jdbcTemplate = new JdbcTemplate(dataSource);
        jdbcTemplate.setResultsMapCaseInsensitive(true);
        this.procReadActor =
               new SimpleJdbcCall(jdbcTemplate)
                        .withProcedureName("read_actor")
                        .withoutProcedureColumnMetaDataAccess()
                        .useInParameterNames("in_id")
                        .declareParameters(
                                new SqlParameter("in_id", Types.NUMERIC),
                                new SqlOutParameter("out_first_name", Types.VARCHAR),
                                new SqlOutParameter("out_last_name", Types.VARCHAR),
                                new SqlOutParameter("out_birth_date", Types.DATE)
                        );
       ... additional methods
```

The execution and end results are the same, we are just specifying all the details explicitly rather than relying on metadata. This will be necessary if the database we use is not part of the supported databases. Currently we support metadata lookup of stored procedure calls for the following databases: Apache Derby, DB2, MySQL, Microsoft SQL Server, Oracle and Sybase. We also support metadata lookup of stored functions for: MySQL, Microsoft SQL Server and Oracle.

#### 11.5.7. How to define SqlParameters

To define a parameter to be used for the SimpleJdbc classes, and also for the RDBMS operations classes covered in the following section, you use an SqlParameter or one of its subclasses. You typically specify the parameter name and SQL type in the constructor. The SQL type is specified using the java.sql.Types constants. We have already seen declarations like:

```
new SqlParameter("in_id", Types.NUMERIC),
new SqlOutParameter("out_first_name", Types.VARCHAR),
```

The first line with the SqlParameter declares an in parameter. In parameters can be used for both stored procedure calls and for queries using the SqlQuery and its subclasses covered in the following section.

The second line with the SqloutParameter declares an out parameter to be used in a stored procedure call. There is also an SqlinoutParameter for inout parameters, parameters that provide an in value to the procedure and that also return a value



#### Note

Only parameters declared as SqlParameter and SqlInOutParameter will be used to provide input values. This is different from the StoredProcedure class which for backwards compatibility reasons allows input values to be provided for parameters declared as SqlOutParameter.

In addition to the name and the SQL type you can specify additional options. For in parameters you can specify a scale for numeric data or a type name for custom database types. For out parameters you can provide a RowMapper to handle mapping of rows returned from a REF cursor. Another option is to specify an SqlReturnType that provides and opportunity to define customized handling of the return values.

# 11.5.8. Calling a stored function using SimpleJdbcCall

Calling a stored function is done almost exactly the same way as calling a stored procedure. The only difference is that you need to provide a function name rather than a procedure name. This is done by using the withFunctionName method. Using this method indicates that your call is to a function and the corresponding call string for a function call will be generated. There is also a specialized execute call executeFunction that will return the function return value as an object of a specified type. This way you don't have to retrieve the return value from the results map. A similar convenience method named executeObject is also available for stored procedures that only have one out parameter. The following example is based on a stored function named get\_actor\_name that returns an actor's full name. Here is the MySQL source for this function:

```
CREATE FUNCTION get_actor_name (in_id INTEGER)

RETURNS VARCHAR(200) READS SQL DATA

BEGIN

DECLARE out_name VARCHAR(200);

SELECT concat(first_name, ' ', last_name)

INTO out_name

FROM t_actor where id = in_id;

RETURN out_name;

END;
```

To call this function we again create a SimpleJdbcCall in the initialization method.

```
public class JdbcActorDao implements ActorDao {
   private SimpleJdbcTemplate simpleJdbcTemplate;
```

The execute method used returns a string containing the return value from the function call.

## 11.5.9. Returning ResultSet/REF Cursor from a SimpleJdbcCall

Calling a stored procedure or function that returns a result set has always been a bit tricky. Some databases return result sets during the JDBC results processing while others require an explicitly registered out parameter of a specific type. Both approaches still needs some additional processing to loop over the result set and process the returned rows. With the SimpleJdbcCall you use the returningResultSet method and declare a RowMapper implementation to be used for a specific parameter. In the case where the result set is returned during the results processing, there are no names defined, so the returned results will have to match the order you declare the RowMapper implementations. The name specified will still be used to store the processed list of results in the results map returned from the execute statement.

For this example we will use a stored procedure that takes no in parameters and returns all rows from the t\_actor table. Here is the MySQL source for this procedure:

```
CREATE PROCEDURE read_all_actors()
BEGIN
SELECT a.id, a.first_name, a.last_name, a.birth_date FROM t_actor a;
END;
```

In order to call this procedure we need to declare the RowMapper to be used. Since the class we want to map to follows the JavaBean rules, we can use a ParameterizedBeanPropertyRowMapper that is created by passing in the required class to map to in the newInstance method.

```
}

// ... additional methods
}
```

The execute call passes in an empty Map since this call doesn't take any parameters. The list of Actors is then retrieved from the results map and returned to the caller.

# 11.6. Modeling JDBC operations as Java objects

The org.springframework.jdbc.object package contains classes that allow one to access the database in a more object-oriented manner. By way of an example, one can execute queries and get the results back as a list containing business objects with the relational column data mapped to the properties of the business object. One can also execute stored procedures and run update, delete and insert statements.



#### Note

There is a view borne from experience acquired in the field amongst some of the Spring developers that the various RDBMS operation classes described below (with the exception of the <a href="StoredProcedure">StoredProcedure</a> class) can often be replaced with straight JdbcTemplate calls... often it is simpler to use and plain easier to read a DAO method that simply calls a method on a JdbcTemplate direct (as opposed to encapsulating a query as a full-blown class).

It must be stressed however that this is just a *view*... if you feel that you are getting measurable value from using the RDBMS operation classes, feel free to continue using these classes.

#### 11.6.1. SqlQuery

sqlQuery is a reusable, threadsafe class that encapsulates an SQL query. Subclasses must implement the newRowMapper(..) method to provide a RowMapper instance that can create one object per row obtained from iterating over the Resultset that is created during the execution of the query. The sqlQuery class is rarely used directly since the MappingSqlQuery subclass provides a much more convenient implementation for mapping rows to Java classes. Other implementations that extend SqlQuery are MappingSqlQueryWithParameters and UpdatableSqlQuery.

## 11.6.2. MappingSqlQuery

MappingSqlQuery is a reusable query in which concrete subclasses must implement the abstract mapRow(...) method to convert each row of the supplied Resultset into an object. Find below a brief example of a custom query that maps the data from the customer relation to an instance of the Customer class.

```
private class CustomerMappingQuery extends MappingSqlQuery {
   public CustomerMappingQuery(DataSource ds) {
        super(ds, "SELECT id, name FROM customer WHERE id = ?");
        super.declareParameter(new SqlParameter("id", Types.INTEGER));
        compile();
   }
   public Object mapRow(ResultSet rs, int rowNumber) throws SQLException {
        Customer cust = new Customer();
        cust.setId((Integer) rs.getObject("id"));
        cust.setName(rs.getString("name"));
        return cust;
   }
```

```
}
```

We provide a constructor for this customer query that takes the DataSource as the only parameter. In this constructor we call the constructor on the superclass with the DataSource and the SQL that should be executed to retrieve the rows for this query. This SQL will be used to create a PreparedStatement so it may contain place holders for any parameters to be passed in during execution. Each parameter must be declared using the declareParameter method passing in an SqlParameter. The SqlParameter takes a name and the JDBC type as defined in java.sql.Types. After all parameters have been defined we call the compile() method so the statement can be prepared and later be executed.

```
public Customer getCustomer(Integer id) {
    CustomerMappingQuery custQry = new CustomerMappingQuery(dataSource);
    Object[] parms = new Object[1];
    parms[0] = id;
    List customers = custQry.execute(parms);
    if (customers.size() > 0) {
        return (Customer) customers.get(0);
    }
    else {
        return null;
    }
}
```

The method in this example retrieves the customer with the id that is passed in as the only parameter. After creating an instance of the <code>CustomerMappingQuery</code> class we create an array of objects that will contain all parameters that are passed in. In this case there is only one parameter and it is passed in as an <code>Integer</code>. Now we are ready to execute the query using this array of parameters and we get a <code>List</code> that contains a <code>Customer</code> object for each row that was returned for our query. In this case it will only be one entry if there was a match.

#### 11.6.3. SqlUpdate

The sqlupdate class encapsulates an SQL update. Like a query, an update object is reusable, and like all RdbmsOperation classes, an update can have parameters and is defined in SQL. This class provides a number of update(..) methods analogous to the execute(..) methods of query objects. This class is concrete. Although it can be subclassed (for example to add a custom update method) it can easily be parameterized by setting SQL and declaring parameters.

```
import java.sql.Types;
import javax.sql.DataSource;
import org.springframework.jdbc.core.SqlParameter;
import org.springframework.jdbc.object.SqlUpdate;
public class UpdateCreditRating extends SqlUpdate {
   public UpdateCreditRating(DataSource ds) {
       setDataSource(ds);
        setSql("update customer set credit_rating = ? where id = ?");
       declareParameter(new SqlParameter(Types.NUMERIC));
       declareParameter(new SqlParameter(Types.NUMERIC));
       compile();
     * @param id for the Customer to be updated
    * @param rating the new value for credit rating
    * @return number of rows updated
   public int run(int id, int rating) {
       Object[] params =
           new Object[] {
               new Integer(rating),
               new Integer(id)};
```

```
return update(params);
}
```

#### 11.6.4. StoredProcedure

The StoredProcedure class is a superclass for object abstractions of RDBMS stored procedures. This class is abstract, and its various execute(...) methods have protected access, preventing use other than through a subclass that offers tighter typing.

The inherited sql property will be the name of the stored procedure in the RDBMS.

To define a parameter to be used for the StoredProcedure classe, you use an SqlParameter or one of its subclasses. You must specify the parameter name and SQL type in the constructor. The SQL type is specified using the java.sql.Types constants. We have already seen declarations like:

```
new SqlParameter("in_id", Types.NUMERIC),
new SqlOutParameter("out_first_name", Types.VARCHAR),
```

The first line with the SqlParameter declares an in parameter. In parameters can be used for both stored procedure calls and for queries using the SqlQuery and its subclasses covered in the following section.

The second line with the SqloutParameter declares an out parameter to be used in the stored procedure call. There is also an SqlinoutParameter for inout parameters, parameters that provide an in value to the procedure and that also return a value



#### Note

Parameters declared as SqlParameter and SqlInOutParameter will always be used to provide input values. In addition to this any parameter declared as SqlOutParameter where an non-null input value is provided will also be used as an input parameter.

In addition to the name and the SQL type you can specify additional options. For in parameters you can specify a scale for numeric data or a type name for custom database types. For out parameters you can provide a RowMapper to handle mapping of rows returned from a REF cursor. Another option is to specify an SqlReturnType that provides and opportunity to define customized handling of the return values.

Here is an example of a program that calls a function, <code>sysdate()</code>, that comes with any Oracle database. To use the stored procedure functionality one has to create a class that extends <code>StoredProcedure</code>. There are no input parameters, but there is an output parameter that is declared as a date type using the class <code>sqloutParameter</code>. The <code>execute()</code> method returns a map with an entry for each declared output parameter using the parameter name as the key.

```
import java.sql.Types;
import java.util.HashMap;
import java.util.Iterator;
import java.util.Map;

import javax.sql.DataSource;

import org.springframework.jdbc.core.SqlOutParameter;
import org.springframework.jdbc.datasource.*;
import org.springframework.jdbc.object.StoredProcedure;

public class TestStoredProcedure {
```

```
public static void main(String[] args) {
   TestStoredProcedure t = new TestStoredProcedure();
    t.test();
    System.out.println("Done!");
void test() {
    DriverManagerDataSource ds = new DriverManagerDataSource();
    ds.setDriverClassName("oracle.jdbc.OracleDriver");
    ds.setUrl("jdbc:oracle:thin:@localhost:1521:mydb");
    ds.setUsername("scott");
   ds.setPassword("tiger");
    MyStoredProcedure sproc = new MyStoredProcedure(ds);
    Map results = sproc.execute();
    printMap(results);
private class MyStoredProcedure extends StoredProcedure {
    private static final String SQL = "sysdate";
    public MyStoredProcedure(DataSource ds) {
        setDataSource(ds);
        setFunction(true);
       setSql(SQL);
       declareParameter(new SqlOutParameter("date", Types.DATE));
        compile();
    public Map execute() {
        // the 'sysdate' sproc has no input parameters, so an empty Map is supplied...
       return execute(new HashMap());
}
private static void printMap(Map results) {
    for (Iterator it = results.entrySet().iterator(); it.hasNext(); ) {
        System.out.println(it.next());
    }
}
```

Find below an example of a StoredProcedure that has two output parameters (in this case Oracle REF cursors).

```
import oracle.jdbc.driver.OracleTypes;
import org.springframework.jdbc.core.SqlOutParameter;
import org.springframework.jdbc.object.StoredProcedure;
import javax.sql.DataSource;
import java.util.HashMap;
import java.util.Map;
public class TitlesAndGenresStoredProcedure extends StoredProcedure {
    private static final String SPROC_NAME = "AllTitlesAndGenres";
    public TitlesAndGenresStoredProcedure(DataSource dataSource) {
        super(dataSource, SPROC_NAME);
        declareParameter(new SqlOutParameter("titles", OracleTypes.CURSOR, new TitleMapper()));
        \tt declareParameter(new SqlOutParameter("genres", OracleTypes.CURSOR, new GenreMapper())); \\
        compile();
    public Map execute() {
        // again, this sproc has no input parameters, so an empty Map is supplied...
        return super.execute(new HashMap());
}
```

Notice how the overloaded variants of the declareParameter(..) method that have been used in the TitlesAndGenresStoredProcedure constructor are passed RowMapper implementation instances; this is a very

convenient and powerful way to reuse existing functionality. (The code for the two RowMapper implementations is provided below in the interest of completeness.)

Firstly the TitleMapper class, which simply maps a ResultSet to a Title domain object for each row in the supplied ResultSet.

```
import com.foo.sprocs.domain.Title;
import org.springframework.jdbc.core.RowMapper;

import java.sql.ResultSet;
import java.sql.SQLException;

public final class TitleMapper implements RowMapper {

   public Object mapRow(ResultSet rs, int rowNum) throws SQLException {
       Title title = new Title();
       title.setId(rs.getLong("id"));
       title.setName(rs.getString("name"));
       return title;
   }
}
```

Secondly, the GenreMapper class, which again simply maps a ResultSet to a Genre domain object for each row in the supplied ResultSet.

```
import org.springframework.jdbc.core.RowMapper;
import java.sql.ResultSet;
import java.sql.SQLException;
import com.foo.domain.Genre;
public final class GenreMapper implements RowMapper {
    public Object mapRow(ResultSet rs, int rowNum) throws SQLException {
        return new Genre(rs.getString("name"));
    }
}
```

If one needs to pass parameters to a stored procedure (that is the stored procedure has been declared as having one or more input parameters in its definition in the RDBMS), one would code a strongly typed <code>execute(..)</code> method which would delegate to the superclass' (untyped) <code>execute(Map parameters)</code> (which has <code>protected</code> access); for example:

```
import oracle.jdbc.driver.OracleTypes;
import org.springframework.jdbc.core.SqlOutParameter;
import org.springframework.jdbc.object.StoredProcedure;
import javax.sql.DataSource;
import java.util.HashMap;
import java.util.Map;
public class TitlesAfterDateStoredProcedure extends StoredProcedure {
   private static final String SPROC_NAME = "TitlesAfterDate";
   private static final String CUTOFF_DATE_PARAM = "cutoffDate";
   public TitlesAfterDateStoredProcedure(DataSource dataSource) {
       super(dataSource, SPROC_NAME);
       declareParameter(new SqlParameter(CUTOFF_DATE_PARAM, Types.DATE);
       declareParameter(new SqlOutParameter("titles", OracleTypes.CURSOR, new TitleMapper()));
       compile();
   }
   public Map execute(Date cutoffDate) {
       Map inputs = new HashMap();
       inputs.put(CUTOFF_DATE_PARAM, cutoffDate);
       return super.execute(inputs);
```

#### 11.6.5. SqlFunction

The sqlfunction RDBMS operation class encapsulates an SQL "function" wrapper for a query that returns a single row of results. The default behavior is to return an int, but that can be overridden by using the methods with an extra return type parameter. This is similar to using the queryForxxx methods of the JdbcTemplate. The advantage with sqlfunction is that you don't have to create the JdbcTemplate, it is done behind the scenes.

This class is intended to use to call SQL functions that return a single result using a query like "select user()" or "select sysdate from dual". It is not intended for calling more complex stored functions or for using a CallableStatement to invoke a stored procedure or stored function. (Use the StoredProcedure or SqlCall classes for this type of processing).

sqlFunction is a concrete class, and there is typically no need to subclass it. Code using this package can create an object of this type, declaring SQL and parameters, and then invoke the appropriate run method repeatedly to execute the function. Here is an example of retrieving the count of rows from a table:

```
public int countRows() {
    SqlFunction sf = new SqlFunction(dataSource, "select count(*) from mytable");
    sf.compile();
    return sf.run();
}
```

# 11.7. Common issues with parameter and data value handling

There are some issues involving parameters and data values that are common across all the different approaches provided by the Spring JDBC Framework.

## 11.7.1. Providing SQL type information for parameters

Most of the time Spring will assume the SQL type of the parameters based on the type of parameter passed in. It is possible to explicitly provide the SQL type to be used when setting parameter values. This is sometimes necessary to correctly set NULL values.

There are a few different ways this can be accomplished:

- Many of the update and query methods of the JdbcTemplate take an additional parameter in the form of an int array. This array should contain the SQL type using constant values from the java.sql.Types class. There must be one entry for each parameter.
- You can wrap the parameter value that needs this additional information using the SqlparameterValue class. Create a new instance for each value and pass in the SQL type and parameter value in the constructor. You can also provide an optional scale parameter for numeric values.
- For methods working with named parameters, you can use the SqlParameterSource classes BeanPropertySqlParameterSource or MapSqlParameterSource. They both have methods for registering the SQL type for any of the named parameter values.

# 11.7.2. Handling BLOB and CLOB objects

You can store images and other binary objects as well and large chunks of text. These large object are called BLOB for binary data and CLOB for character data. Spring lets you handle these large objects using the JdbcTemplate directly and also when using the higher abstractions provided by RDBMS Objects and the SimpleJdbc classes. All of these approaches use an implementation of the LobHandler interface for the actual management of the LOB data. The LobHandler provides access to a LobCreator, via the getLobCreator method, for creating new LOB objects to be inserted.

The LobCreator/LobHandler provides the following support for LOB in- and output:

#### • BLOB

- byte[] getBlobAsBytes and setBlobAsBytes
- InputStream getBlobAsBinaryStream and setBlobAsBinaryStream

#### CLOB

- String getClobAsString and setClobAsString
- InputStream getClobAsAsciiStream and setClobAsAsciiStream
- Reader getClobAsCharacterStream and setClobAsCharacterStream

We will now show an example of how to create and insert a BLOB. We will later see how to read it back from the database.

This example uses a JdbcTemplate and an implementation of the AbstractLobCreatingPreparedStatementCallback. There is one method that must be implemented and it is "setValues". In this method you will be provided with a LobCreator that can be used to set the values for the LOB columns in your SQL insert statement.

We are assuming that we have a variable named 'lobHandler' that already is set to an instance of a DefaultLobHandler. This is typically done using dependency injection.

```
final File blobIn = new File("spring2004.jpg");
final InputStream blobIs = new FileInputStream(blobIn);
final File clobIn = new File("large.txt");
final InputStream clobIs = new FileInputStream(clobIn);
final InputStreamReader clobReader = new InputStreamReader(clobIs);
idbcTemplate.execute(
  "INSERT INTO lob_table (id, a_clob, a_blob) VALUES (?, ?, ?)"
  new AbstractLobCreatingPreparedStatementCallback(lobhandler) {
                                                                                          O
      protected void setValues(PreparedStatement ps, LobCreator lobCreator)
          throws SOLException {
        ps.setLong(1, 1L);
        lobCreator.setClobAsCharacterStream(ps, 2, clobReader, (int)clobIn.length());
        lobCreator.setBlobAsBinaryStream(ps, 3, blobIs, (int)blobIn.length());
blobIs.close();
clobReader.close();
```

- Here we use the lobHandler that in this example is a plain DefaultLobHandler
- Using the method setClobAsCharacterStream we pass in the contents of the CLOB
- **3** Using the method setBlobAsBinartStream we pass in the contents of the BLOB

Now it's time to read the LOB data from the database. Again, we use a JdbcTempate and we have the same

instance variable 'lobHandler' with a reference to a DefaultLobHandler.

```
List 1 = jdbcTemplate.query("select id, a_clob, a_blob from lob_table",
    new RowMapper() {
    public Object mapRow(ResultSet rs, int i) throws SQLException {
        Map results = new HashMap();
        String clobText = lobHandler.getClobAsString(rs, "a_clob");
        results.put("CLOB", clobText);
        byte[] blobBytes = lobHandler.getBlobAsBytes(rs, "a_blob");
        results.put("BLOB", blobBytes);
        return results;
    }
});
```

- **2** Using the method getClobAsString we retrieve the contents of the CLOB
- **8** Using the method getBlobAsBytes we retrieve the contents of the BLOB

## 11.7.3. Passing in lists of values for IN clause

The SQL standard allows for selecting rows based on an expression that includes a variable list of values. A typical example would be "select \* from T\_ACTOR where id in (1, 2, 3)". This variable list is not directly supported for prepared statements by the JDBC standard - there is no way of declaring a variable number of place holders. You would have to either have a number of variations with the desired number of place holders prepared or you would have to dynamically generate the SQL string once you know how many place holders are required. The named parameter support provided in the NamedParameterJdbcTemplate and SimpleJdbcTemplate takes the latter approach. When you pass in the values you should pass them in as a java.util.List of primitive objects. This list will be used to insert the required place holders and pass in the values during the statement execution.



#### Note

You need to be careful when passing in a large number of values. The JDBC standard doesn't guarantee that you can use more than 100 values for an IN expression list. Various databases exceed this number, but they usually have a hard limit for how many values are allowed. Oracle's limit for instance is 1000.

In addition to the primitive values in the value list, you can create a <code>java.util.List</code> of object arrays. This would support a case where there are multiple expressions defined for the IN clause like "select \* from T\_ACTOR where (id, last\_name) in ((1, 'Johnson'), (2, 'Harrop'))". This of course requires that your database supports this syntax.

# 11.7.4. Handling complex types for stored procedure calls

When calling stored procedures it's sometimes possible to use complex types specific to the database. To accommodate these types Spring provides a SqlReturnType for handling them when they are returned from the stored procedure call and SqlTypeValue when they are passed in as a parameter to the stored procedure.

Here is an example of returning the value of an Oracle STRUCT object of the user declared type "ITEM\_TYPE". The SqlReturnType interface has a single method named "getTypeValue" that must be implemented. This interface is used as part of the declaration of an SqlOutParameter.

```
declareParameter(new SqlOutParameter("item", OracleTypes.STRUCT, "ITEM_TYPE",
    new SqlReturnType() {
    public Object getTypeValue(CallableStatement cs, int colIndx, int sqlType, String typeName)
```

```
throws SQLException {
   STRUCT struct = (STRUCT)cs.getObject(colIndx);
   Object[] attr = struct.getAttributes();
   TestItem item = new TestItem();
   item.setId(((Number) attr[0]).longValue());
   item.setDescription((String)attr[1]);
   item.setExpirationDate((java.util.Date)attr[2]);
   return item;
   }
}));
```

Going from Java to the database and passing in the value of a TestItem into a stored procedure is done using the SqlTypeValue. The SqlTypeValue interface has a single method named "createTypeValue" that must be implemented. The active connection is passed in and can be used to create database specific objects like StructDescriptors or ArrayDescriptors

This sqlTypeValue can now be added to the Map containing the input parameters for the execute call of the stored procedure.

# Chapter 12. Object Relational Mapping (ORM) data access

#### 12.1. Introduction

The Spring Framework provides integration with *Hibernate, JDO, Oracle TopLink, iBATIS SQL Maps* and *JPA*: in terms of resource management, DAO implementation support, and transaction strategies. For example for Hibernate, there is first-class support with lots of IoC convenience features, addressing many typical Hibernate integration issues. All of these support packages for O/R (Object Relational) mappers comply with Spring's generic transaction and DAO exception hierarchies. There are usually two integration styles: either using Spring's DAO 'templates' or coding DAOs against plain Hibernate/JDO/TopLink/etc APIs. In both cases, DAOs can be configured through Dependency Injection and participate in Spring's resource and transaction management.

Spring adds significant support when using the O/R mapping layer of your choice to create data access applications. First of all, you should know that once you started using Spring's support for O/R mapping, you don't have to go all the way. No matter to what extent, you're invited to review and leverage the Spring approach, before deciding to take the effort and risk of building a similar infrastructure in-house. Much of the O/R mapping support, no matter what technology you're using may be used in a library style, as everything is designed as a set of reusable JavaBeans. Usage inside a Spring IoC container does provide additional benefits in terms of ease of configuration and deployment; as such, most examples in this section show configuration inside a Spring container.

Some of the benefits of using the Spring Framework to create your ORM DAOs include:

- Ease of testing. Spring's IoC approach makes it easy to swap the implementations and config locations of Hibernate SessionFactory instances, JDBC DataSource instances, transaction managers, and mappes object implementations (if needed). This makes it much easier to isolate and test each piece of persistence-related code in isolation.
- Common data access exceptions. Spring can wrap exceptions from your O/R mapping tool of choice, converting them from proprietary (potentially checked) exceptions to a common runtime DataAccessException hierarchy. This allows you to handle most persistence exceptions, which are non-recoverable, only in the appropriate layers, without annoying boilerplate catches/throws, and exception declarations. You can still trap and handle exceptions anywhere you need to. Remember that JDBC exceptions (including DB specific dialects) are also converted to the same hierarchy, meaning that you can perform some operations with JDBC within a consistent programming model.
- General resource management. Spring application contexts can handle the location and configuration of Hibernate SessionFactory instances, JDBC DataSource instances, iBATIS SQL Maps configuration objects, and other related resources. This makes these values easy to manage and change. Spring offers efficient, easy and safe handling of persistence resources. For example: related code using Hibernate generally needs to use the same Hibernate Session for efficiency and proper transaction handling. Spring makes it easy to transparently create and bind a Session to the current thread, either by using an explicit 'template' wrapper class at the Java code level or by exposing a current Session through the Hibernate SessionFactory (for DAOs based on plain Hibernate API). Thus Spring solves many of the issues that repeatedly arise from typical Hibernate usage, for any transaction environment (local or JTA).
- Integrated transaction management. Spring allows you to wrap your O/R mapping code with either a declarative, AOP style method interceptor, or an explicit 'template' wrapper class at the Java code level. In

either case, transaction semantics are handled for you, and proper transaction handling (rollback, etc) in case of exceptions is taken care of. As discussed below, you also get the benefit of being able to use and swap various transaction managers, without your Hibernate/JDO related code being affected: for example, between local transactions and JTA, with the same full services (such as declarative transactions) available in both scenarios. As an additional benefit, JDBC-related code can fully integrate transactionally with the code you use to do O/R mapping. This is useful for data access that's not suitable for O/R mapping, such as batch processing or streaming of BLOBs, which still needs to share common transactions with ORM operations.

The PetClinic sample in the Spring distribution offers alternative DAO implementations and application context configurations for JDBC, Hibernate, Oracle TopLink, and JPA. PetClinic can therefore serve as working sample app that illustrates the use of Hibernate, TopLink and JPA in a Spring web application. It also leverages declarative transaction demarcation with different transaction strategies.

The JPetStore sample illustrates the use of iBATIS SQL Maps in a Spring environment. It also features two web tier versions: one based on Spring Web MVC, one based on Struts.

Beyond the samples shipped with Spring, there are a variety of Spring-based O/R mapping samples provided by specific vendors: for example, the JDO implementations JPOX (<a href="http://www.jpox.org/">http://www.jpox.org/</a>) and Kodo (<a href="http://www.bea.com/kodo/">http://www.bea.com/kodo/</a>).

#### 12.2. Hibernate

We will start with a coverage of <u>Hibernate 3</u> in a Spring environment, using it to demonstrate the approach that Spring takes towards integrating O/R mappers. This section will cover many issues in detail and show different variations of DAO implementations and transaction demarcation. Most of these patterns can be directly translated to all other supported ORM tools. The following sections in this chapter will then cover the other ORM technologies, showing briefer examples there.

Note: As of Spring 2.5, Spring requires Hibernate 3.1 or higher. Neither Hibernate 2.1 nor Hibernate 3.0 are supported anymore.

# 12.2.1. Resource management

Typical business applications are often cluttered with repetitive resource management code. Many projects try to invent their own solutions for this issue, sometimes sacrificing proper handling of failures for programming convenience. Spring advocates strikingly simple solutions for proper resource handling, namely IoC via templating; for example infrastructure classes with callback interfaces, or applying AOP interceptors. The infrastructure cares for proper resource handling, and for appropriate conversion of specific API exceptions to an unchecked infrastructure exception hierarchy. Spring introduces a DAO exception hierarchy, applicable to any data access strategy. For direct JDBC, the JdbcTemplate class mentioned in a previous section cares for connection handling, and for proper conversion of SQLException to the DataAccessException hierarchy, including translation of database-specific SQL error codes to meaningful exception classes. It supports both JTA and JDBC transactions, via respective Spring transaction managers.

Spring also offers Hibernate and JDO support, consisting of a HibernateTemplate / JdoTemplate analogous to JdbcTemplate, a HibernateInterceptor / JdoInterceptor, and a Hibernate / JDO transaction manager. The major goal is to allow for clear application layering, with any data access and transaction technology, and for loose coupling of application objects. No more business service dependencies on the data access or transaction strategy, no more hard-coded resource lookups, no more hard-to-replace singletons, no more custom service registries. One simple and consistent approach to wiring up application objects, keeping them as reusable and free from container dependencies as possible. All the individual data access features are usable on their own but

integrate nicely with Spring's application context concept, providing XML-based configuration and cross-referencing of plain JavaBean instances that don't need to be Spring-aware. In a typical Spring application, many important objects are JavaBeans: data access templates, data access objects (that use the templates), transaction managers, business services (that use the data access objects and transaction managers), web view resolvers, web controllers (that use the business services), and so on.

#### 12.2.2. SessionFactory Setup in a Spring container

To avoid tying application objects to hard-coded resource lookups, Spring allows you to define resources such as a JDBC DataSource or a Hibernate SessionFactory as beans in the Spring container. Application objects that need to access resources just receive references to such pre-defined instances via bean references (the DAO definition in the next section illustrates this). The following excerpt from an XML application context definition shows how to set up a JDBC DataSource and a Hibernate SessionFactory on top of it:

```
<beans>
 <bean id="myDataSource" class="org.apache.commons.dbcp.BasicDataSource" destroy-method="close">
   cproperty name="driverClassName" value="org.hsqldb.jdbcDriver"/>
   cproperty name="url" value="jdbc:hsqldb:hsql://localhost:9001"/>
   cproperty name="username" value="sa"/>
   cproperty name="password" value=""/>
 </bean>
 <bean id="mySessionFactory" class="org.springframework.orm.hibernate3.LocalSessionFactoryBean">
   property name="dataSource" ref="myDataSource"/>
   property name="mappingResources">
     st>
        <value>product.hbm.xml</value>
      </list>
   </property>
   property name="hibernateProperties">
      <value>
       hibernate.dialect=org.hibernate.dialect.HSQLDialect
      </value>
   </property>
 </bean>
</beans>
```

Note that switching from a local Jakarta Commons DBCP BasicDataSource to a JNDI-located DataSource (usually managed by an application server) is just a matter of configuration:

You can also access a JNDI-located SessionFactory, using Spring's IndiObjectFactoryBean to retrieve and expose it. However, that is typically not common outside of an EJB context.

#### 12.2.3. The HibernateTemplate

The basic programming model for templating looks as follows, for methods that can be part of any custom data access object or business service. There are no restrictions on the implementation of the surrounding object at all, it just needs to provide a Hibernate SessionFactory. It can get the latter from anywhere, but preferably as bean reference from a Spring IoC container - via a simple setSessionFactory(...) bean property setter. The following snippets show a DAO definition in a Spring container, referencing the above defined SessionFactory, and an example for a DAO method implementation.

```
public class ProductDaoImpl implements ProductDao {
    private HibernateTemplate hibernateTemplate;
    public void setSessionFactory(SessionFactory sessionFactory) {
        this.hibernateTemplate = new HibernateTemplate(sessionFactory);
    }
    public Collection loadProductsByCategory(String category) throws DataAccessException {
            return this.hibernateTemplate.find("from test.Product product where product.category=?", category);
    }
}
```

The HibernateTemplate class provides many methods that mirror the methods exposed on the Hibernate Session interface, in addition to a number of convenience methods such as the one shown above. If you need access to the Session to invoke methods that are not exposed on the HibernateTemplate, you can always drop down to a callback-based approach like so.

A callback implementation effectively can be used for any Hibernate data access. HibernateTemplate will ensure that Session instances are properly opened and closed, and automatically participate in transactions. The template instances are thread-safe and reusable, they can thus be kept as instance variables of the surrounding class. For simple single step actions like a single find, load, saveOrUpdate, or delete call, HibernateTemplate offers alternative convenience methods that can replace such one line callback implementations. Furthermore, Spring provides a convenient HibernateDaoSupport base class that provides a setSessionFactory(..) method for receiving a SessionFactory, and getSessionFactory() and getHibernateTemplate() for use by subclasses. In combination, this allows for very simple DAO implementations for typical requirements:

### 12.2.4. Implementing Spring-based DAOs without callbacks

As alternative to using Spring's HibernateTemplate to implement DAOs, data access code can also be written in a more traditional fashion, without wrapping the Hibernate access code in a callback, while still respecting and participating in Spring's generic DataAccessException hierarchy. The HibernateDaoSupport base class offers methods to access the current transactional Session and to convert exceptions in such a scenario; similar methods are also available as static helpers on the SessionFactoryUtils class. Note that such code will usually pass 'false' as the value of the getSession(..) methods 'allowCreate' argument, to enforce running within a transaction (which avoids the need to close the returned Session, as its lifecycle is managed by the transaction).

```
public class HibernateProductDao extends HibernateDaoSupport implements ProductDao {
   public Collection loadProductsByCategory(String category) throws DataAccessException, MyException {
        Session session = getSession(false);
        try {
            Query query = session.createQuery("from test.Product product where product.category=?");
            query.setString(0, category);
            List result = query.list();
            if (result == null) {
                  throw new MyException("No search results.");
            }
            return result;
        }
        catch (HibernateException ex) {
            throw convertHibernateAccessException(ex);
        }
    }
}
```

The advantage of such direct Hibernate access code is that it allows *any* checked application exception to be thrown within the data access code; contrast this to the HibernateTemplate class which is restricted to throwing only unchecked exceptions within the callback. Note that you can often defer the corresponding checks and the throwing of application exceptions to after the callback, which still allows working with HibernateTemplate. In general, the HibernateTemplate class' convenience methods are simpler and more convenient for many scenarios.

# 12.2.5. Implementing DAOs based on plain Hibernate 3 API

Hibernate 3 provides a feature called "contextual Sessions", where Hibernate itself manages one current Session per transaction. This is roughly equivalent to Spring's synchronization of one Hibernate Session per transaction. A corresponding DAO implementation looks like as follows, based on the plain Hibernate API:

This style is very similar to what you will find in the Hibernate reference documentation and examples, except for holding the SessionFactory in an instance variable. We strongly recommend such an instance-based setup over the old-school static HibernateUtil class from Hibernate's CaveatEmptor sample application. (In

general, do not keep any resources in static variables unless absolutely necessary.)

The above DAO follows the Dependency Injection pattern: it fits nicely into a Spring IoC container, just like it would if coded against Spring's HibernateTemplate. Of course, such a DAO can also be set up in plain Java (for example, in unit tests): simply instantiate it and call setSessionFactory(...) with the desired factory reference. As a Spring bean definition, it would look as follows:

The main advantage of this DAO style is that it depends on Hibernate API only; no import of any Spring class is required. This is of course appealing from a non-invasiveness perspective, and will no doubt feel more natural to Hibernate developers.

However, the DAO throws plain HibernateException (which is unchecked, so does not have to be declared or caught), which means that callers can only treat exceptions as generally fatal - unless they want to depend on Hibernate's own exception hierarchy. Catching specific causes such as an optimistic locking failure is not possible without tieing the caller to the implementation strategy. This tradeoff might be acceptable to applications that are strongly Hibernate-based and/or do not need any special exception treatment.

Fortunately, Spring's LocalSessionFactoryBean supports Hibernate's SessionFactory.getCurrentSession() method for any Spring transaction strategy, returning the current Spring-managed transactional Session even with HibernateTransactionManager. Of course, the standard behavior of that method remains: returning the current Session associated with the ongoing JTA transaction, if any (no matter whether driven by Spring's JtaTransactionManager, by EJB CMT, or by JTA).

In summary: DAOs can be implemented based on the plain Hibernate 3 API, while still being able to participate in Spring-managed transactions.

# 12.2.6. Programmatic transaction demarcation

Transactions can be demarcated in a higher level of the application, on top of such lower-level data access services spanning any number of operations. There are no restrictions on the implementation of the surrounding business service here as well, it just needs a Spring PlatformTransactionManager. Again, the latter can come from anywhere, but preferably as bean reference via a setTransactionManager(..) method - just like the productDAO should be set via a setProductDAO(..) method. The following snippets show a transaction manager and a business service definition in a Spring application context, and an example for a business method implementation.

```
public class ProductServiceImpl implements ProductService {
```

#### 12.2.7. Declarative transaction demarcation

Alternatively, one can use Spring's declarative transaction support, which essentially enables you to replace explicit transaction demarcation API calls in your Java code with an AOP transaction interceptor configured in a Spring container. This allows you to keep business services free of repetitive transaction demarcation code, and allows you to focus on adding business logic which is where the real value of your application lies. Furthermore, transaction semantics like propagation behavior and isolation level can be changed in a configuration file and do not affect the business service implementations.

```
<beans>
  <bean id="myTxManager" class="org.springframework.orm.hibernate3.HibernateTransactionManager">
   cproperty name="sessionFactory" ref="mySessionFactory"/>
  </bean>
 <bean id="myProductService" class="org.springframework.aop.framework.ProxyFactoryBean">
   property name="proxyInterfaces" value="product.ProductService"/>
   operty name="target">
        <bean class="product.DefaultProductService">
           cproperty name="productDao" ref="myProductDao"/>
       </bean>
   </property>
   operty name="interceptorNames">
     st>
       <value>myTxInterceptor</value> <!-- the transaction interceptor (configured elsewhere) -->
     </list>
   </property>
  </bean>
</beans>
```

```
public class ProductServiceImpl implements ProductService {
    private ProductDao productDao;

    public void setProductDao(ProductDao productDao) {
        this.productDao = productDao;
    }

    // notice the absence of transaction demarcation code in this method
    // Spring's declarative transaction infrastructure will be demarcating transactions on your behalf
    public void increasePriceOfAllProductsInCategory(final String category) {
        List productsToChange = this.productDao.loadProductsByCategory(category);
        // ...
    }
}
```

Spring's TransactionInterceptor allows any checked application exception to be thrown with the callback code, while TransactionTemplate is restricted to unchecked exceptions within the callback. TransactionTemplate will trigger a rollback in case of an unchecked application exception, or if the transaction has been marked rollback-only by the application (via TransactionStatus). TransactionInterceptor behaves the same way by default but allows configurable rollback policies per method.

The following higher level approach to declarative transactions doesn't use the ProxyFactoryBean, and as such may be easier to use if you have a large number of service objects that you wish to make transactional.



#### Note

You are *strongly* encouraged to read the section entitled Section 9.5, "Declarative transaction management" if you have not done so already prior to continuing.

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"</pre>
      xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
      xmlns:aop="http://www.springframework.org/schema/aop"
      xmlns:tx="http://www.springframework.org/schema/tx"
      xsi:schemaLocation="
      http://www.springframework.org/schema/beans http://www.springframework.org/schema/beans/spring-beans-2.5.
      http://www.springframework.org/schema/tx/http://www.springframework.org/schema/tx/spring-tx-2.5.xsd
      http://www.springframework.org/schema/aop/spring-aop-2.5.xsd">
 <!-- SessionFactory, DataSource, etc. omitted -->
 <bean id="myTxManager" class="org.springframework.orm.hibernate3.HibernateTransactionManager">
   cproperty name="sessionFactory" ref="mySessionFactory"/>
  </bean>
 <aop:confiq>
   <aop:pointcut id="productServiceMethods" expression="execution(* product.ProductService.*(..))"/>
   <aop:advisor advice-ref="txAdvice" pointcut-ref="productServiceMethods"/>
 </aop:config>
 <tx:advice id="txAdvice" transaction-manager="myTxManager">
   <tx:attributes>
     <tx:method name="increasePrice*" propagation="REQUIRED"/>
     <tx:method name="someOtherBusinessMethod" propagation="REQUIRES_NEW"/>
     <tx:method name="*" propagation="SUPPORTS" read-only="true"/>
   </tx:attributes>
 </tx:advice>
 <bean id="myProductService" class="product.SimpleProductService">
   cproperty name="productDao" ref="myProductDao"/>
  </bean>
</beans>
```

# 12.2.8. Transaction management strategies

Both TransactionTemplate and TransactionInterceptor delegate the actual transaction handling to a PlatformTransactionManager instance, which can be a HibernateTransactionManager (for a single Hibernate SessionFactory, using a ThreadLocal Session under the hood) or a JtaTransactionManager (delegating to the JTA subsystem of the container) for Hibernate applications. You could even use a custom PlatformTransactionManager implementation. So switching from native Hibernate transaction management to JTA, such as when facing distributed transaction requirements for certain deployments of your application, is just a matter of configuration. Simply replace the Hibernate transaction manager with Spring's JTA transaction implementation. Both transaction demarcation and data access code will work without changes, as they just use the generic transaction management APIs.

For distributed transactions across multiple Hibernate session factories, simply combine JtaTransactionManager as a transaction strategy with multiple LocalSessionFactoryBean definitions. Each of your DAOs then gets one specific SessionFactory reference passed into its corresponding bean property. If all underlying JDBC data sources are transactional container ones, a business service can demarcate transactions across any number of DAOs and any number of session factories without special regard, as long as it is using JtaTransactionManager as the strategy.

```
<beans>
 <bean id="myDataSource1" class="org.springframework.jndi.JndiObjectFactoryBean">
   cproperty name="jndiName value="java:comp/env/jdbc/myds1"/>
 <bean id="myDataSource2" class="org.springframework.jndi.JndiObjectFactoryBean">
   cproperty name="jndiName" value="java:comp/env/jdbc/myds2"/>
 </bean>
 <bean id="mySessionFactory1" class="org.springframework.orm.hibernate3.LocalSessionFactoryBean">
   cproperty name="dataSource" ref="myDataSource1"/>
   property name="mappingResources">
     st>
       <value>product.hbm.xml</value>
     </list>
   </property>
   property name="hibernateProperties">
     <value>
       hibernate.dialect=org.hibernate.dialect.MySQLDialect
       hibernate.show_sql=true
     </value>
   </property>
 </bean>
 <bean id="mySessionFactory2" class="org.springframework.orm.hibernate3.LocalSessionFactoryBean">
   cproperty name="mappingResources">
     st>
       <value>inventory.hbm.xml</value>
     </list>
   </property>
   property name="hibernateProperties">
       hibernate.dialect=org.hibernate.dialect.OracleDialect
     </value>
   </property>
 </bean>
 <bean id="myTxManager" class="org.springframework.transaction.jta.JtaTransactionManager"/>
 <bean id="myProductDao" class="product.ProductDaoImpl">
   cproperty name="sessionFactory" ref="mySessionFactory1"/>
 </bean>
 <bean id="myInventoryDao" class="product.InventoryDaoImpl">
   cproperty name="sessionFactory" ref="mySessionFactory2"/>
 </bean>
 <!-- this shows the Spring 1.x style of declarative transaction configuration -->
 <!-- it is totally supported, 100% legal in Spring 2.x, but see also above for the sleeker, Spring 2.0 style -
 <bean id="myProductService"</pre>
     class="org.springframework.transaction.interceptor.TransactionProxyFactoryBean">
   cproperty name="transactionManager" ref="myTxManager"/>
   property name="target">
     <bean class="product.ProductServiceImpl">
       cproperty name="productDao" ref="myProductDao"/>
       property name="inventoryDao" ref="myInventoryDao"/>
     </bean>
   </property>
   operty name="transactionAttributes">
        key="someOtherBusinessMethod">PROPAGATION_REQUIRES_NEW</prop>
       </props>
   </property>
 </bean>
```

</beans>

Both HibernateTransactionManager and JtaTransactionManager allow for proper JVM-level cache handling with Hibernate - without container-specific transaction manager lookup or JCA connector (as long as not using EJB to initiate transactions).

HibernateTransactionManager can export the JDBC connection used by Hibernate to plain JDBC access code, for a specific DataSource. This allows for high-level transaction demarcation with mixed Hibernate/JDBC data access completely without JTA, as long as you are just accessing one database! HibernateTransactionManager will automatically expose the Hibernate transaction as JDBC transaction if the passed-in SessionFactory has been set up with a DataSource (through the "dataSource" property of the LocalSessionFactoryBean class). Alternatively, the DataSource that the transactions are supposed to be exposed for can also be specified explicitly, through the "dataSource" property of the HibernateTransactionManager class.

#### 12.2.9. Container resources versus local resources

Spring's resource management allows for simple switching between a JNDI SessionFactory and a local one, without having to change a single line of application code. The decision as to whether to keep the resource definitions in the container or locally within the application, is mainly a matter of the transaction strategy being used. Compared to a Spring-defined local SessionFactory, a manually registered JNDI SessionFactory does not provide any benefits. Deploying a SessionFactory through Hibernate's JCA connector provides the added value of participating in the J2EE server's management infrastructure, but does not add actual value beyond that.

An important benefit of Spring's transaction support is that it isn't bound to a container at all. Configured to any other strategy than JTA, it will work in a standalone or test environment too. Especially for the typical case of single-database transactions, this is a very lightweight and powerful alternative to JTA. When using local EJB Stateless Session Beans to drive transactions, you depend both on an EJB container and JTA - even if you just access a single database anyway, and just use SLSBs for declarative transactions via CMT. The alternative of using JTA programmatically requires a J2EE environment as well. JTA does not just involve container dependencies in terms of JTA itself and of JNDI DataSource instances. For non-Spring JTA-driven Hibernate transactions, you have to use the Hibernate JCA connector, or extra Hibernate transaction code with the TransactionManagerLookup being configured for proper JVM-level caching.

Spring-driven transactions can work with a locally defined Hibernate SessionFactory nicely, just like with a local JDBC DataSource - if accessing a single database, of course. Therefore you just have to fall back to Spring's JTA transaction strategy when actually facing distributed transaction requirements. Note that a JCA connector needs container-specific deployment steps, and obviously JCA support in the first place. This is far more hassle than deploying a simple web app with local resource definitions and Spring-driven transactions. And you often need the Enterprise Edition of your container, as for example WebLogic Express does not provide JCA. A Spring application with local resources and transactions spanning one single database will work in any J2EE web container (without JTA, JCA, or EJB) - like Tomcat, Resin, or even plain Jetty. Additionally, such a middle tier can be reused in desktop applications or test suites easily.

All things considered: if you do not use EJB, stick with local sessionFactory setup and Spring's HibernateTransactionManager or JtaTransactionManager. You will get all of the benefits including proper transactional JVM-level caching and distributed transactions, without any container deployment hassle. JNDI registration of a Hibernate SessionFactory via the JCA connector really only adds value when used in conjunction with EJBs.

## 12.2.10. Spurious application server warnings when using Hibernate

In some JTA environments with very strict XADataSource implementations -- currently only some WebLogic and WebSphere versions -- when using Hibernate configured without any awareness of the JTA PlatformTransactionManager object for that environment, it is possible for spurious warning or exceptions to show up in the application server log. These warnings or exceptions will say something to the effect that the connection being accessed is no longer valid, or JDBC access is no longer valid, possibly because the transaction is no longer active. As an example, here is an actual exception from WebLogic:

```
java.sql.SQLException: The transaction is no longer active - status: 'Committed'.

No further JDBC access is allowed within this transaction.
```

This warning is easy to resolve by simply making Hibernate aware of the JTA PlatformTransactionManager instance, to which it will also synchronize (along with Spring). This may be done in two ways:

- If in your application context you are already directly obtaining the JTA PlatformTransactionManager object (presumably from JNDI via JndiObjectFactoryBean) and feeding it for example to Spring's JtaTransactionManager, then the easiest way is to simply specify a reference to this as the value of LocalSessionFactoryBean's jtaTransactionManager property. Spring will then make the object available to Hibernate.
- More likely you do not already have the JTA PlatformTransactionManager instance (since Spring's JtaTransactionManager can find it itself) so you need to instead configure Hibernate to also look it up directly. This is done by configuring an AppServer specific TransactionManagerLookup class in the Hibernate configuration, as described in the Hibernate manual.

It is not necessary to read any more for proper usage, but the full sequence of events with and without Hibernate being aware of the JTA PlatformTransactionManager will now be described.

When Hibernate is not configured with any awareness of the JTA PlatformTransactionManager, the sequence of events when a JTA transaction commits is as follows:

- · JTA transaction commits
- Spring's JtaTransactionManager is synchronized to the JTA transaction, so it is called back via an *afterCompletion* callback by the JTA transaction manager.
- Among other activities, this can trigger a callback by Spring to Hibernate, via Hibernate's afterTransactionCompletion callback (used to clear the Hibernate cache), followed by an explicit close() call on the Hibernate Session, which results in Hibernate trying to close() the JDBC Connection.
- In some environments, this Connection.close() call then triggers the warning or error, as the application server no longer considers the Connection usable at all, since the transaction has already been committed.

When Hibernate is configured with awareness of the JTA PlatformTransactionManager, the sequence of events when a JTA transaction commits is instead as follows:

- JTA transaction is ready to commit
- Spring's JtaTransactionManager is synchronized to the JTA transaction, so it is called back via a beforeCompletion callback by the JTA transaction manager.
- Spring is aware that Hibernate itself is synchronized to the JTA transaction, and behaves differently than in

the previous scenario. Assuming the Hibernate Session needs to be closed at all, Spring will close it now.

- JTA Transaction commits
- Hibernate is synchronized to the JTA transaction, so it is called back via an *afterCompletion* callback by the JTA transaction manager, and can properly clear its cache.

## 12.3. JDO

Spring supports the standard JDO 2.0/2.1 API as data access strategy, following the same style as the Hibernate support. The corresponding integration classes reside in the org.springframework.orm.jdo package.

### 12.3.1. PersistenceManagerFactory Setup

Spring provides a LocalPersistenceManagerFactoryBean class that allows for defining a local JDO PersistenceManagerFactory within a Spring application context:

Alternatively, a PersistenceManagerFactory can also be set up through direct instantiation of a PersistenceManagerFactory implementation class. A JDO PersistenceManagerFactory implementation class is supposed to follow the JavaBeans pattern, just like a JDBC DataSource implementation class, which is a natural fit for a Spring bean definition. This setup style usually supports a Spring-defined JDBC DataSource, passed into the "connectionFactory" property. For example, for the open source JDO implementation JPOX (http://www.jpox.org):

A JDO PersistenceManagerFactory can also be set up in the JNDI environment of a J2EE application server, usually through the JCA connector provided by the particular JDO implementation. Spring's standard JndiObjectFactoryBean can be used to retrieve and expose such a PersistenceManagerFactory. However, outside an EJB context, there is often no compelling benefit in holding the PersistenceManagerFactory in JNDI: only choose such setup for a good reason. See "container resources versus local resources" in the Hibernate section for a discussion; the arguments there apply to JDO as well.

#### 12.3.2. JdoTemplate and JdoDaoSupport

Each JDO-based DAO will then receive the PersistenceManagerFactory through dependency injection. Such a DAO could be coded against plain JDO API, working with the given PersistenceManagerFactory, but will usually rather be used with the Spring Framework's JdoTemplate:

A callback implementation can effectively be used for any JDO data access. JdoTemplate will ensure that PersistenceManagers are properly opened and closed, and automatically participate in transactions. The template instances are thread-safe and reusable, they can thus be kept as instance variables of the surrounding class. For simple single-step actions such as a single find, load, makePersistent, or delete call, JdoTemplate offers alternative convenience methods that can replace such one line callback implementations. Furthermore, Spring provides a convenient JdoDaoSupport base class that provides a setPersistenceManagerFactory(..) method for receiving a PersistenceManagerFactory, and getPersistenceManagerFactory() and getJdoTemplate() for use by subclasses. In combination, this allows for very simple DAO implementations for typical requirements:

As alternative to working with Spring's JdoTemplate, you can also code Spring-based DAOs at the JDO API level, explicitly opening and closing a PersistenceManager. As elaborated in the corresponding Hibernate section, the main advantage of this approach is that your data access code is able to throw checked exceptions. JdoDaoSupport offers a variety of support methods for this scenario, for fetching and releasing a transactional PersistenceManager as well as for converting exceptions.

# 12.3.3. Implementing DAOs based on the plain JDO API

DAOs can also be written against plain JDO API, without any Spring dependencies, directly using an injected PersistenceManagerFactory. A corresponding DAO implementation looks like as follows:

```
public class ProductDaoImpl implements ProductDao {
    private PersistenceManagerFactory persistenceManagerFactory;

public void setPersistenceManagerFactory(PersistenceManagerFactory pmf) {
        this.persistenceManagerFactory = pmf;
    }

public Collection loadProductsByCategory(String category) {
        PersistenceManager pm = this.persistenceManagerFactory.getPersistenceManager();
        try {
            Query query = pm.newQuery(Product.class, "category = pCategory");
            query.declareParameters("String pCategory");
            return query.execute(category);
        }
        finally {
            pm.close();
        }
    }
}
```

As the above DAO still follows the Dependency Injection pattern, it still fits nicely into a Spring container, just like it would if coded against Spring's JdoTemplate:

The main issue with such DAOs is that they always get a new PersistenceManager from the factory. To still access a Spring-managed transactional PersistenceManager, consider defining a TransactionAwarePersistenceManagerFactoryProxy (as included in Spring) in front of your target PersistenceManagerFactory, passing the proxy into your DAOs.

Your data access code will then receive a transactional PersistenceManager (if any) from the PersistenceManagerFactory.getPersistenceManager() method that it calls. The latter method call goes through the proxy, which will first check for a current transactional PersistenceManager before getting a new one from the factory. close() calls on the PersistenceManager will be ignored in case of a transactional PersistenceManager.

If your data access code will always run within an active transaction (or at least within active transaction synchronization), it is safe to omit the PersistenceManager.close() call and thus the entire finally block, which you might prefer to keep your DAO implementations concise:

```
public class ProductDaoImpl implements ProductDao {
```

```
private PersistenceManagerFactory persistenceManagerFactory;

public void setPersistenceManagerFactory(PersistenceManagerFactory pmf) {
    this.persistenceManagerFactory = pmf;
}

public Collection loadProductsByCategory(String category) {
    PersistenceManager pm = this.persistenceManagerFactory.getPersistenceManager();
    Query query = pm.newQuery(Product.class, "category = pCategory");
    query.declareParameters("String pCategory");
    return query.execute(category);
}
```

With such DAOs that rely on active transactions, it is recommended to enforce active transactions through turning TransactionAwarePersistenceManagerFactoryProxy's "allowCreate" flag off:

The main advantage of this DAO style is that it depends on JDO API only; no import of any Spring class is required. This is of course appealing from a non-invasiveness perspective, and might feel more natural to JDO developers.

However, the DAO throws plain JDOException (which is unchecked, so does not have to be declared or caught), which means that callers can only treat exceptions as generally fatal - unless they want to depend on JDO's own exception structure. Catching specific causes such as an optimistic locking failure is not possible without tying the caller to the implementation strategy. This tradeoff might be acceptable to applications that are strongly JDO-based and/or do not need any special exception treatment.

In summary: DAOs can be implemented based on plain JDO API, while still being able to participate in Spring-managed transactions. This might in particular appeal to people already familiar with JDO, feeling more natural to them. However, such DAOs will throw plain JDOException; conversion to Spring's DataAccessException would have to happen explicitly (if desired).

# 12.3.4. Transaction management

To execute service operations within transactions, you can use Spring's common declarative transaction facilities. For example:

```
</bean>
 <bean id="myProductService" class="product.ProductServiceImpl">
   property name="productDao" ref="myProductDao"/>
 </bean>
 <tx:advice id="txAdvice" transaction-manager="txManager">
   <tx:attributes>
     <tx:method name="increasePrice*" propagation="REQUIRED"/>
     <tx:method name="someOtherBusinessMethod" propagation="REQUIRES_NEW"/>
     <tx:method name="*" propagation="SUPPORTS" read-only="true"/>
   </tx:attributes>
 </tx:advice>
 <aop:config>
   <aop:pointcut id="productServiceMethods" expression="execution(* product.ProductService.*(..))"/>
   <aop:advisor advice-ref="txAdvice" pointcut-ref="productServiceMethods"/>
 </aop:config>
</beans>
```

Note that JDO requires an active transaction when modifying a persistent object. There is no concept like a non-transactional flush in JDO, in contrast to Hibernate. For this reason, the chosen JDO implementation needs to be set up for a specific environment: in particular, it needs to be explicitly set up for JTA synchronization, to detect an active JTA transaction itself. This is not necessary for local transactions as performed by Spring's <code>JdoTransactionManager</code>, but it is necessary for participating in JTA transactions (whether driven by Spring's <code>JtaTransactionManager</code> or by EJB CMT / plain JTA).

JdoTransactionManager is capable of exposing a JDO transaction to JDBC access code that accesses the same JDBC DataSource, provided that the registered JdoDialect supports retrieval of the underlying JDBC Connection. This is the case for JDBC-based JDO 2.0 implementations by default.

## 12.3.5. JdoDialect

As an advanced feature, both JdoTemplate and interfacename support a custom JdoDialect, to be passed into the "jdoDialect" bean property. In such a scenario, the DAOs won't receive a PersistenceManagerFactory reference but rather a full JdoTemplate instance instead (for example, passed into JdoDaoSupport's "jdoTemplate" property). A JdoDialect implementation can enable some advanced features supported by Spring, usually in a vendor-specific manner:

- applying specific transaction semantics (such as custom isolation level or transaction timeout)
- retrieving the transactional JDBC connection (for exposure to JDBC-based DAOs)
- applying query timeouts (automatically calculated from Spring-managed transaction timeout)
- eagerly flushing a PersistenceManager (to make transactional changes visible to JDBC-based data access code)
- advanced translation of JDOExceptions to Spring DataAccessExceptions

See the JdoDialect Javadoc for more details on its operations and how they are used within Spring's JDO support.

# 12.4. Oracle TopLink

Since Spring 1.2, Spring supports Oracle TopLink (<a href="http://www.oracle.com/technology/products/ias/toplink">http://www.oracle.com/technology/products/ias/toplink</a>) as

data access strategy, following the same style as the Hibernate support. Both TopLink 9.0.4 (the production version as of Spring 1.2) and 10.1.3 (still in beta as of Spring 1.2) are supported. The corresponding integration classes reside in the org.springframework.orm.toplink package.

Spring's TopLink support has been co-developed with the Oracle TopLink team. Many thanks to the TopLink team, in particular to Jim Clark who helped to clarify details in all areas!

### 12.4.1. SessionFactory abstraction

TopLink itself does not ship with a SessionFactory abstraction. Instead, multi-threaded access is based on the concept of a central ServerSession, which in turn is able to spawn ClientSession instances for single-threaded usage. For flexible setup options, Spring defines a SessionFactory abstraction for TopLink, enabling to switch between different Session creation strategies.

As a one-stop shop, Spring provides a LocalSessionFactoryBean class that allows for defining a TopLink SessionFactory with bean-style configuration. It needs to be configured with the location of the TopLink session configuration file, and usually also receives a Spring-managed JDBC DataSource to use.

Usually, LocalSessionFactoryBean will hold a multi-threaded TopLink ServerSession underneath and create appropriate client Sessions for it: either a plain Session (typical), a managed ClientSession, or a transaction-aware Session (the latter are mainly used internally by Spring's TopLink support). It might also hold a single-threaded TopLink DatabaseSession; this is rather unusual, though.

#### 12.4.2. TopLinkTemplate and TopLinkDaoSupport

Each TopLink-based DAO will then receive the SessionFactory through dependency injection, i.e. through a bean property setter or through a constructor argument. Such a DAO could be coded against plain TopLink API, fetching a Session from the given SessionFactory, but will usually rather be used with Spring's TopLinkTemplate:

```
<br/><beans>
```

```
public class TopLinkProductDao implements ProductDao {
   private TopLinkTemplate tlTemplate;
   public void setSessionFactory(SessionFactory sessionFactory) {
       this.tlTemplate = new TopLinkTemplate(sessionFactory);
   public Collection loadProductsByCategory(final String category) throws DataAccessException {
       return (Collection) this.tlTemplate.execute(new TopLinkCallback() {
           public Object doInTopLink(Session session) throws TopLinkException {
               ReadAllQuery findOwnersQuery = new ReadAllQuery(Product.class);
               findOwnersQuery.addArgument("Category");
               ExpressionBuilder builder = this.findOwnersQuery.getExpressionBuilder();
               findOwnersQuery.setSelectionCriteria(
                   builder.get("category").like(builder.getParameter("Category")));
               Vector args = new Vector();
               args.add(category);
               List result = session.executeQuery(findOwnersQuery, args);
               // do some further stuff with the result list
               return result;
       });
   }
```

A callback implementation can effectively be used for any TopLink data access. TopLinkTemplate will ensure that Sessions are properly opened and closed, and automatically participate in transactions. The template instances are thread-safe and reusable, they can thus be kept as instance variables of the surrounding class. For simple single-step actions such as a single executeQuery, readAll, readById, or merge call, JdoTemplate offers alternative convenience methods that can replace such one line callback implementations. Furthermore, Spring provides a convenient TopLinkDaoSupport base class that provides a setSessionFactory(...) method for receiving a SessionFactory, and getSessionFactory() and getTopLinkTemplate() for use by subclasses. In combination, this allows for simple DAO implementations for typical requirements:

```
public class ProductDaoImpl extends TopLinkDaoSupport implements ProductDao {
    public Collection loadProductsByCategory(String category) throws DataAccessException {
        ReadAllQuery findOwnersQuery = new ReadAllQuery(Product.class);
        findOwnersQuery.addArgument("Category");
        ExpressionBuilder builder = this.findOwnersQuery.getExpressionBuilder();
        findOwnersQuery.setSelectionCriteria(
            builder.get("category").like(builder.getParameter("Category")));

        return getTopLinkTemplate().executeQuery(findOwnersQuery, new Object[] {category});
    }
}
```

Side note: TopLink query objects are thread-safe and can be cached within the DAO, i.e. created on startup and kept in instance variables.

As alternative to working with Spring's TopLinkTemplate, you can also code your TopLink data access based on the raw TopLink API, explicitly opening and closing a Session. As elaborated in the corresponding Hibernate section, the main advantage of this approach is that your data access code is able to throw checked exceptions. TopLinkDaoSupport offers a variety of support methods for this scenario, for fetching and releasing a transactional Session as well as for converting exceptions.

## 12.4.3. Implementing DAOs based on plain TopLink API

DAOs can also be written against plain TopLink API, without any Spring dependencies, directly using an injected TopLink Session. The latter will usually be based on a SessionFactory defined by a LocalSessionFactoryBean, exposed for bean references of type Session through Spring's TransactionAwareSessionAdapter.

The <code>getActiveSession()</code> method defined on TopLink's <code>session</code> interface will return the current transactional <code>session</code> in such a scenario. If there is no active transaction, it will return the shared TopLink <code>serverSession</code> as-is, which is only supposed to be used directly for read-only access. There is also an analogous <code>getActiveUnitofWork()</code> method, returning the TopLink <code>UnitofWork</code> associated with the current transaction, if any (returning <code>null</code> else).

A corresponding DAO implementation looks like as follows:

```
public class ProductDaoImpl implements ProductDao {
    private Session session;

    public void setSession(Session session) {
        this.session = session;
    }

    public Collection loadProductsByCategory(String category) {
        ReadAllQuery findOwnersQuery = new ReadAllQuery(Product.class);
        findOwnersQuery.addArgument("Category");
        ExpressionBuilder builder = this.findOwnersQuery.getExpressionBuilder();
        findOwnersQuery.setSelectionCriteria(
            builder.get("category").like(builder.getParameter("Category")));

        Vector args = new Vector();
        args.add(category);
        return session.getActiveSession().executeQuery(findOwnersQuery, args);
    }
}
```

As the above DAO still follows the Dependency Injection pattern, it still fits nicely into a Spring application context, analogous to like it would if coded against Spring's TopLinkTemplate. Spring's TransactionAwareSessionAdapter is used to expose a bean reference of type Session, to be passed into the DAO:

The main advantage of this DAO style is that it depends on TopLink API only; no import of any Spring class is required. This is of course appealing from a non-invasiveness perspective, and might feel more natural to TopLink developers.

However, the DAO throws plain TopLinkException (which is unchecked, so does not have to be declared or caught), which means that callers can only treat exceptions as generally fatal - unless they want to depend on TopLink's own exception structure. Catching specific causes such as an optimistic locking failure is not possible without tying the caller to the implementation strategy. This tradeoff might be acceptable to

applications that are strongly TopLink-based and/or do not need any special exception treatment.

A further disadvantage of that DAO style is that TopLink's standard <code>getActiveSession()</code> feature just works within JTA transactions. It does *not* work with any other transaction strategy out-of-the-box, in particular not with local TopLink transactions.

Fortunately, Spring's TransactionAwareSessionAdapter exposes a corresponding proxy for the TopLink ServerSession which supports TopLink's Session.getActiveSession() and Session.getActiveUnitofWork() methods for any Spring transaction strategy, returning the current Spring-managed transactional Session even with TopLinkTransactionManager. Of course, the standard behavior of that method remains: returning the current Session associated with the ongoing JTA transaction, if any (no matter whether driven by Spring's JtaTransactionManager, by EJB CMT, or by plain JTA).

In summary: DAOs can be implemented based on plain TopLink API, while still being able to participate in Spring-managed transactions. This might in particular appeal to people already familiar with TopLink, feeling more natural to them. However, such DAOs will throw plain TopLinkException; conversion to Spring's DataAccessException would have to happen explicitly (if desired).

## 12.4.4. Transaction management

To execute service operations within transactions, you can use Spring's common declarative transaction facilities. For example:

```
<?xml version="1.0" encoding="UTF-8"?>
<beans
       xmlns="http://www.springframework.org/schema/beans"
       xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
       xmlns:aop="http://www.springframework.org/schema/aop"
       xmlns:tx="http://www.springframework.org/schema/tx"
       xsi:schemaLocation="
  http://www.springframework.org/schema/beans http://www.springframework.org/schema/beans/spring-beans-2.5.xsd
  http://www.springframework.org/schema/tx/http://www.springframework.org/schema/tx/spring-tx-2.5.xsd
  http://www.springframework.org/schema/aop http://www.springframework.org/schema/aop/spring-aop-2.5.xsd">
 <bean id="myTxManager" class="org.springframework.orm.toplink.TopLinkTransactionManager">
   cproperty name="sessionFactory" ref="mySessionFactory"/>
  </bean>
 <bean id="myProductService" class="product.ProductServiceImpl">
   cproperty name="productDao" ref="myProductDao"/>
 </bean>
 <aop:config>
   <aop:pointcut id="productServiceMethods" expression="execution(* product.ProductService.*(..))"/</pre>
   <aop:advisor advice-ref="txAdvice" pointcut-ref="productServiceMethods"/>
 </aop:config>
 <tx:advice id="txAdvice" transaction-manager="myTxManager">
   <tx:attributes>
     <tx:method name="increasePrice*" propagation="REQUIRED"/>
     <tx:method name="someOtherBusinessMethod" propagation="REQUIRES_NEW"/>
     <tx:method name="*" propagation="SUPPORTS" read-only="true"/>
   </tx:attributes>
 </tx:advice>
</beans>
```

Note that TopLink requires an active Unitofwork for modifying a persistent object. (You should never modify objects returned by a plain TopLink Session - those are usually read-only objects, directly taken from the second-level cache!) There is no concept like a non-transactional flush in TopLink, in contrast to Hibernate. For this reason, TopLink needs to be set up for a specific environment: in particular, it needs to be explicitly set up for JTA synchronization, to detect an active JTA transaction itself and expose a corresponding active Session and Unitofwork. This is not necessary for local transactions as performed by Spring's

TopLinkTransactionManager, but it is necessary for participating in JTA transactions (whether driven by Spring's JtaTransactionManager or by EJB CMT / plain JTA).

Within your TopLink-based DAO code, use the Session.getActiveUnitOfWork() method to access the current UnitOfWork and perform write operations through it. This will only work within an active transaction (both within Spring-managed transactions and plain JTA transactions). For special needs, you can also acquire separate UnitOfWork instances that won't participate in the current transaction; this is hardly needed, though.

TopLinkTransactionManager is capable of exposing a TopLink transaction to JDBC access code that accesses the same JDBC DataSource, provided that TopLink works with JDBC in the backend and is thus able to expose the underlying JDBC Connection. The DataSource to expose the transactions for needs to be specified explicitly; it won't be autodetected.

# 12.5. iBATIS SQL Maps

The iBATIS support in the Spring Framework much resembles the JDBC / Hibernate support in that it supports the same template style programming and just as with JDBC or Hibernate, the iBATIS support works with Spring's exception hierarchy and let's you enjoy the all IoC features Spring has.

Transaction management can be handled through Spring's standard facilities. There are no special transaction strategies for iBATIS, as there is no special transactional resource involved other than a JDBC connection. Hence, Spring's standard JDBC DataSourceTransactionManager or JtaTransactionManager are perfectly sufficient.



#### **Note**

Spring does actually support both iBatis 1.x and 2.x. However, only support for iBatis 2.x is actually shipped with the core Spring distribution. The iBatis 1.x support classes were moved to the Spring Modules project as of Spring 2.0, and you are directed there for documentation.

## 12.5.1. Setting up the SqlMapClient

If we want to map the previous Account class with iBATIS 2.x we need to create the following SQL map 'Account.xml':

The configuration file for iBATIS 2 looks like this:

```
<sqlMapConfig>
```

```
<sqlMap resource="example/Account.xml"/>
</sqlMapConfig>
```

Remember that iBATIS loads resources from the class path, so be sure to add the 'Account.xml' file to the class path.

We can use the SqlMapClientFactoryBean in the Spring container. Note that with iBATIS SQL Maps 2.x, the JDBC DataSource is usually specified on the SqlMapClientFactoryBean, which enables lazy loading.

## 12.5.2. Using SqlMapClientTemplate and SqlMapClientDaoSupport

The SqlMapClientDaoSupport class offers a supporting class similar to the SqlMapDaoSupport. We extend it to implement our DAO:

```
public class SqlMapAccountDao extends SqlMapClientDaoSupport implements AccountDao {
   public Account getAccount(String email) throws DataAccessException {
      return (Account) getSqlMapClientTemplate().queryForObject("getAccountByEmail", email);
   }
   public void insertAccount(Account account) throws DataAccessException {
      getSqlMapClientTemplate().update("insertAccount", account);
   }
}
```

In the DAO, we use the pre-configured SqlMapClientTemplate to execute the queries, after setting up the SqlMapAccountDao in the application context and wiring it with our SqlMapClient instance:

Note that a SqlMapTemplate instance could also be created manually, passing in the SqlMapClient as constructor argument. The SqlMapClientDaoSupport base class simply pre-initializes a SqlMapClientTemplate instance for us.

The SqlMapClientTemplate also offers a generic execute method, taking a custom SqlMapClientCallback implementation as argument. This can, for example, be used for batching:

```
public class SqlMapAccountDao extends SqlMapClientDaoSupport implements AccountDao {
```

```
public void insertAccount(Account account) throws DataAccessException {
    getSqlMapClientTemplate().execute(new SqlMapClientCallback() {
        public Object doInSqlMapClient(SqlMapExecutor executor) throws SQLException {
            executor.startBatch();
            executor.update("insertAccount", account);
            executor.update("insertAddress", account.getAddress());
            executor.executeBatch();
        }
    });
}
```

In general, any combination of operations offered by the native SqlMapExecutor API can be used in such a callback. Any SQLException thrown will automatically get converted to Spring's generic DataAccessException hierarchy.

## 12.5.3. Implementing DAOs based on plain iBATIS API

DAOs can also be written against plain iBATIS API, without any Spring dependencies, directly using an injected SqlMapClient. A corresponding DAO implementation looks like as follows:

```
public class SqlMapAccountDao implements AccountDao {
   private SqlMapClient sqlMapClient;

   public void setSqlMapClient(SqlMapClient sqlMapClient) {
        this.sqlMapClient = sqlMapClient;
   }

   public Account getAccount(String email) {
        try {
            return (Account) this.sqlMapClient.queryForObject("getAccountByEmail", email);
        }
        catch (SQLException ex) {
            throw new MyDaoException(ex);
        }
   }

   public void insertAccount(Account account) throws DataAccessException {
        try {
            this.sqlMapClient.update("insertAccount", account);
        }
        catch (SQLException ex) {
            throw new MyDaoException(ex);
        }
    }
}
```

In such a scenario, the SQLException thrown by the iBATIS API needs to be handled in a custom fashion: usually, wrapping it in your own application-specific DAO exception. Wiring in the application context would still look like before, due to the fact that the plain iBATIS-based DAO still follows the Dependency Injection pattern:

# 12.6. JPA

Spring JPA (available under the org.springframework.orm.jpa package) offers comprehensive support for the <u>Java Persistence API</u> in a similar manner to the integration with Hibernate or JDO, while being aware of the underlying implementation in order to provide additional features.

## 12.6.1. JPA setup in a Spring environment

Spring JPA offers three ways of setting up JPA EntityManagerFactory:

## 12.6.1.1. LocalEntityManagerFactoryBean

The LocalEntityManagerFactoryBean creates an EntityManagerFactory suitable for environments which solely use JPA for data access. The factory bean will use the JPA PersistenceProvider autodetection mechanism (according to JPA's Java SE bootstrapping) and, in most cases, requires only the persistence unit name to be specified:

This is the simplest but also most limited form of JPA deployment. There is no way to link to an existing JDBC DataSource and no support for global transactions, for example. Furthermore, weaving (byte-code transformation) of persistent classes is provider-specific, often requiring a specific JVM agent to specified on startup. All in all, this option is only really sufficient for standalone applications and test environments (which is exactly what the JPA specification designed it for).

Only use this option in simple deployment environments like standalone applications and integration tests.

#### 12.6.1.2. Obtaining an EntityManagerFactory from JNDI

Obtaining an EntityManagerFactory from JNDI (for example in a Java EE 5 environment), is just a matter of changing the XML configuration:

```
<beans>
     <jee:jndi-lookup id="myEmf" jndi-name="persistence/myPersistenceUnit"/>
     </beans>
```

This assumes standard Java EE 5 bootstrapping, with the Java EE server autodetecting persistence units (i.e. META-INF/persistence.xml files in application jars) and persistence-unit-ref entries in the Java EE deployment descriptor (e.g. web.xml) defining environment naming context locations for those persistence units.

In such a scenario, the entire persistence unit deployment, including the weaving (byte-code transformation) of persistent classes, is up to the Java EE server. The JDBC DataSource is defined through a JNDI location in the META-INF/persistence.xml file; EntityManager transactions are integrated with the server's JTA subsystem. Spring merely uses the obtained EntityManagerFactory, passing it on to application objects via dependency injection, and managing transactions for it (typically through JtaTransactionManager).

Note that, in case of multiple persistence units used in the same application, the bean names of such a JNDI-retrieved persistence units should match the persistence unit names that the application uses to refer to

them (e.g. in @PersistenceUnit and @PersistenceContext annotations).

Use this option when deploying to a Java EE 5 server. Check your server's documentation on how to deploy a custom JPA provider into your server, allowing for a different provider than the server's default.

#### 12.6.1.3. LocalContainerEntityManagerFactoryBean

The LocalContainerEntityManagerFactoryBean gives full control over EntityManagerFactory configuration where fine-grained customization appropriate for environments is will create PersistenceUnitInfo based the LocalContainerEntityManagerFactoryBean a persistence.xml file, the supplied dataSourceLookup strategy and the specified loadTimeWeaver. It is thus possible to work with custom DataSources outside of JNDI and to control the weaving process.

A typical persistence.xml file looks as follows:

```
<persistence xmlns="http://java.sun.com/xml/ns/persistence" version="1.0">
    <persistence-unit name="myUnit" transaction-type="RESOURCE_LOCAL">
        <mapping-file>META-INF/orm.xml</mapping-file>
        <exclude-unlisted-classes/>
        </persistence-unit>
    </persistence>
```

NOTE: The "exclude-unlisted-classes" element always indicates that NO scanning for annotated entity classes is supposed to happen, in order to support the <exclude-unlisted-classes/> shortcut. This is in line with the JPA specification (which suggests that shortcut) but unfortunately in conflict with the JPA XSD (which implies "false" for that shortcut). As a consequence, "<exclude-unlisted-classes/> false </exclude-unlisted-classes/>" is not supported! Simply omit the "exclude-unlisted-classes" element if you would like entity class scanning to actually happen.

This is the most powerful JPA setup option, allowing for flexible local configuration within the application. It supports links to an existing JDBC <code>DataSource</code>, supports both local and global transactions, etc. However, it also imposes requirements onto the runtime environment, such as the availability of a weaving-capable ClassLoader if the persistence provider demands byte-code transformation.

Note that this option may conflict with the built-in JPA capabilities of a Java EE 5 server. So when running in a full Java EE 5 environment, consider obtaining your EntityManagerFactory from JNDI. Alternatively, specify a custom "persistenceXmlLocation" on your LocalContainerEntityManagerFactoryBean definition, e.g. "META-INF/my-persistence.xml", and only include a descriptor with that name in your application jar files. Since the Java EE 5 server will only look for default META-INF/persistence.xml files, it will ignore such custom persistence units and hence avoid conflicts with a Spring-driven JPA setup upfront. (This applies to Resin 3.1, for example.)

Use this option for full JPA capabilities in a Spring-based application environment. This includes web containers such as Tomcat as well as standalone applications and integration tests with sophisticated persistence requirements.

#### When is load-time weaving required?

Not all JPA providers impose the need of a JVM agent (Hibernate being an example). If your provider does not require an agent or you have other alternatives (for example applying enhancements at build time through a custom compiler or an ant task) the load-time weaver **should not** be used.

The LoadTimeWeaver interface is a Spring-provided class that allows JPA classTransformer instances to be plugged in a specific manner depending on the environment (web container/application server). Hooking ClassTransformers through a Java 5 agent is typically not efficient - the agents work against the *entire virtual machine* and inspect *every* class that is loaded - something that is typically undesirable in a production server environment.

Spring provides a number of LoadTimeWeaver implementations for various environments, allowing ClassTransformer instances to be applied only *per ClassLoader* and not per VM.

The following sections will discuss typical JPA weaving setup on Tomcat as well as using Spring's VM agent. See the AOP chapter section entitled Section 6.8.4.5, "Spring configuration" for details on how to set up general load-time weaving, covering Tomcat and the VM agent as well as WebLogic, OC4J, GlassFish and Resin.

## 12.6.1.3.1. Tomcat load-time weaving setup (5.0+)

Apache Tomcat's default ClassLoader does not support class transformation but allows custom ClassLoaders to be used. Spring offers the TomcatInstrumentableClassLoader (inside the org.springframework.instrument.classloading.tomcat package) which extends the Tomcat ClassLoader (WebappClassLoader) and allows JPA classTransformer instances to 'enhance' all classes loaded by it. In short, JPA transformers will be applied only inside a specific web application (which uses the TomcatInstrumentableClassLoader).

In order to use the custom ClassLoader on:

- 1. Copy spring-tomcat-weaver.jar into \$CATALINA\_HOME/server/lib (where \$CATALINA\_HOME represents the root of the Tomcat installation).
- 2. Instruct Tomcat to use the custom ClassLoader (instead of the default one) by editing the web application context file:

Tomcat 5.0.x and 5.5.x series support several context locations: server configuration file (\$CATALINA\_HOME/conf/server.xml), the default context configuration (\$CATALINA\_HOME/conf/context.xml) that affects all deployed web applications and per-webapp configurations, deployed on the server (\$CATALINA\_HOME/conf/[enginename]/[hostname]/my-webapp-context.xml) side or along with the webapp (your-webapp.war/META-INF/context.xml). For efficiency, inside the web-app configuration style is recommended since only applications which use JPA will use the custom ClassLoader. See the Tomcat 5.x documentation for more details about available context locations.

Note that versions prior to 5.5.20 contained a bug in the XML configuration parsing preventing usage of Loader tag inside *server.xml* (no matter if a ClassLoader is specified or not (be it the official or a custom

one). See Tomcat's bugzilla for more details.

If you are using Tomcat 5.5.20+ you can set useSystemClassLoaderAsParent to false to fix the problem:

- 1. Copy spring-tomcat-weaver.jar into \$CATALINA\_HOME/lib (where \$CATALINA\_HOME represents the root of the Tomcat installation).
- 2. Instruct Tomcat to use the custom ClassLoader (instead of the default one) by editing the web application context file:

Tomcat 6.0.x (similar to 5.0.x/5.5.x) series support several context locations: server configuration file (\$CATALINA\_HOME/conf/server.xml), the default context configuration (\$CATALINA\_HOME/conf/context.xml) that affects all deployed web applications and per-webapp configurations, deployed on the server (\$CATALINA\_HOME/conf/[enginename]/[hostname]/my-webapp-context.xml) side or along with the webapp (your-webapp.war/META-INF/context.xml). For efficiency, inside the web-app configuration style is recommended since only applications which use JPA will use the custom ClassLoader. See the Tomcat 5.x documentation for more details about available context locations.

- Tomcat 5.0.x/5.5.x
- Tomcat 6.0.x

The last step required on all Tomcat versions, is to use the appropriate the LoadTimeWeaver when configuring LocalContainerEntityManagerFactoryBean:

Using this technique, JPA applications relying on instrumentation, can run in Tomcat without the need of an agent. This is important especially when hosting applications which rely on different JPA implementations since the JPA transformers are applied only at ClassLoader level and thus, are isolated from each other.



#### Note

If TopLink is being used a JPA provider under Tomcat, please place the toplink-essentials jar under \$CATALINA\_HOME/shared/lib folder instead of your war.

## 12.6.1.3.2. General load-time weaving using the VM agent

For environments where class instrumentation is required but are not supported by the existing LoadTimeWeaver implementations, a JDK agent can be the only solution. For such cases, Spring provides

InstrumentationLoadTimeWeaver which requires a Spring-specific (but very general) VM agent (spring-agent.jar):

Note that the virtual machine has to be started with the Spring agent, by supplying the following JVM options:

```
-javaagent:/path/to/spring-agent.jar
```

## 12.6.1.3.3. Context-wide load-time weaver setup

Since Spring 2.5, a context-wide LoadTimeWeaver can be configured using the context:load-time-weaver configuration element. Such a 'global' weaver will be picked up by all JPA LocalContainerEntityManagerFactoryBeans automatically.

This is the preferred way of setting up a load-time weaver, delivering autodetection of the platform (WebLogic, OC4J, GlassFish, Tomcat, Resin, VM agent) as well as automatic propagation of the weaver to all weaver-aware beans.

See the section entitled Section 6.8.4.5, "Spring configuration" for details on how to set up general load-time weaving, covering Tomcat and the VM agent as WebLogic, OC4J, GlassFish and Resin.

#### 12.6.1.4. Dealing with multiple persistence units

For applications that rely on multiple persistence units locations (stored in various jars in the classpath for example), Spring offers the PersistenceUnitManager to act as a central repository and avoid the (potentially expensive) persistence units discovery process. The default implementation allows multiple locations to be specified (by default, the classpath is searched for 'META-INF/persistence.xml' files) which are parsed and later on retrieved through the persistence unit name:

```
<bean id="pum" class="org.springframework.orm.jpa.persistenceunit.DefaultPersistenceUnitManager">
  property name="persistenceXmlLocation">
   st>
    <value>org/springframework/orm/jpa/domain/persistence-multi.xml</value>
    <value>classpath:/my/package/**/custom-persistence.xml</value>
    <value>classpath*:META-INF/persistence.xml</value>
   </list>
 </property>
 property name="dataSources">
   <entry key="localDataSource" value-ref="local-db"/>
   <entry key="remoteDataSource" value-ref="remote-db"/>
  </map>
 </property>
 <!-- if no datasource is specified, use this one -->
 cproperty name="defaultDataSource" ref="remoteDataSource"/>
</bean>
<bean id="emf" class="org.springframework.orm.jpa.LocalContainerEntityManagerFactoryBean">
 cproperty name="persistenceUnitManager" ref="pum"/>
</bean>
```

Note that the default implementation allows customization of the persistence unit infos before feeding them to the JPA provider declaratively through its properties (which affect all hosted units) or programmatically, (which allows selection). PersistenceUnitPostProcessor persistence unit will be created internally PersistenceUnitManager is specified, one and used by LocalContainerEntityManagerFactoryBean.

### 12.6.2. JpaTemplate and JpaDaoSupport

Each JPA-based DAO will then receive a EntityManagerFactory via dependency injection. Such a DAO can be coded against plain JPA and work with the given EntityManagerFactory or through Spring's JpaTemplate:

The JpaCallback implementation allows any type of JPA data access. The JpaTemplate will ensure that EntityManagers are properly opened and closed and automatically participate in transactions. Moreover, the JpaTemplate properly handles exceptions, making sure resources are cleaned up and the appropriate transactions rolled back. The template instances are thread-safe and reusable and they can be kept as instance variable of the enclosing class. Note that JpaTemplate offers single-step actions such as find, load, merge, etc along with alternative convenience methods that can replace one line callback implementations.

Furthermore, Spring provides a convenient JpaDaoSupport base class that provides the get/setEntityManagerFactory and getJpaTemplate() to be used by subclasses:

```
public class ProductDaoImpl extends JpaDaoSupport implements ProductDao {
    public Collection loadProductsByCategory(String category) throws DataAccessException {
        Map<String, String> params = new HashMap<String, String>();
        params.put("category", category);
        return getJpaTemplate().findByNamedParams("from Product as p where p.category = :category", params);
    }
}
```

Besides working with Spring's JpaTemplate, one can also code Spring-based DAOs against the JPA, doing one's own explicit EntityManager handling. As also elaborated in the corresponding Hibernate section, the

main advantage of this approach is that your data access code is able to throw checked exceptions. JpaDaoSupport offers a variety of support methods for this scenario, for retrieving and releasing a transaction EntityManager, as well as for converting exceptions.

JpaTemplate mainly exists as a sibling of JdoTemplate and HibernateTemplate, offering the same style for people used to it. For newly started projects, consider adopting the native JPA style of coding data access objects instead, based on a "shared EntityManager" reference obtained through the JPA @PersistenceContext annotation (using Spring's PersistenceAnnotationBeanPostProcessor; see below for details.)

## 12.6.3. Implementing DAOs based on plain JPA



#### Note

While EntityManagerFactory instances are thread-safe, EntityManager instances are not. The injected JPA EntityManager behave just like an EntityManager fetched from an application server's JNDI environment, as defined by the JPA specification. It will delegate all calls to the current transactional EntityManager, if any; else, it will fall back to a newly created EntityManager per operation, making it thread-safe.

It is possible to write code against the plain JPA without using any Spring dependencies, using an injected EntityManagerFactory or EntityManager. Note that Spring can understand @PersistenceUnit and @PersistenceContext annotations both at field and method level if a PersistenceAnnotationBeanPostProcessor is enabled. A corresponding DAO implementation might look like this:

```
public class ProductDaoImpl implements ProductDao {
    private EntityManagerFactory emf;

    @PersistenceUnit
    public void setEntityManagerFactory(EntityManagerFactory emf) {
        this.emf = emf;
    }

    public Collection loadProductsByCategory(String category) {
        EntityManager em = this.emf.createEntityManager();
        try {
            Query query = em.createQuery("from Product as p where p.category = ?1");
            query.setParameter(1, category);
            return query.getResultList();
        }
        finally {
            if (em != null) {
                 em.close();
            }
        }
    }
}
```

The DAO above has no dependency on Spring and still fits nicely into a Spring application context, just like it would if coded against Spring's JpaTemplate. Moreover, the DAO takes advantage of annotations to require the injection of the default EntityManagerFactory:

```
<beans>
    <!-- bean post-processor for JPA annotations -->
    <bean class="org.springframework.orm.jpa.support.PersistenceAnnotationBeanPostProcessor"/>
    <bean id="myProductDao" class="product.ProductDaoImpl"/>
    </beans>
```

Note: As alternative to defining a PersistenceAnnotationBeanPostProcessor explicitly, consider using Spring 2.5's context:annotation-config XML element in your application context configuration. This will automatically register all of Spring's standard post-processors for annotation-based configuration (including CommonAnnotationBeanPostProcessor etc).

```
<beans>
    <!-- post-processors for all standard config annotations -->
    <context:annotation-config/>
    <bean id="myProductDao" class="product.ProductDaoImpl"/>
    </beans>
```

The main issue with such a DAO is that it always creates a new EntityManager via the factory. This can be easily overcome by requesting a transactional EntityManager (also called "shared EntityManager", since it is a shared, thread-safe proxy for the actual transactional EntityManager) to be injected instead of the factory:

```
public class ProductDaoImpl implements ProductDao {
    @PersistenceContext
    private EntityManager em;

public Collection loadProductsByCategory(String category) {
        Query query = em.createQuery("from Product as p where p.category = :category");
        query.setParameter("category", category);
        return query.getResultList();
    }
}
```

Note that the @PersistenceContext annotation has an optional attribute type, which defaults to PersistenceContextType.TRANSACTION. This default is what you need to receive a "shared EntityManager" proxy. The alternative, PersistenceContextType.Extended, is a completely different affair: This results in a so-called "extended EntityManager", which is *not thread-safe* and hence must not be used in a concurrently accessed component such as a Spring-managed singleton bean. Extended EntityManagers are only supposed to be used in stateful components that, for example, reside in a session, with the lifecycle of the EntityManager not tied to a current transaction but rather being completely up to the application.

#### Method and Field level Injection

Annotations that indicate dependency injections (such as @PersistenceUnit and @PersistenceContext) can be applied on field or methods inside a class, therefore the expression "method/field level injection". Field-level annotations concise and easier to use while method-level allow for processing the injected dependency. In both cases the member visibility (public, protected, private) does not matter.

What about class level annotations?

On the Java EE 5 platform, they are used for dependency declaration and not for resource injection.

The injected EntityManager is Spring-managed (aware of the ongoing transaction). It is important to note that even though the new implementation prefers method level injection (of an EntityManager instead of an EntityManagerFactory), no change is required in the application context XML due to annotation usage.

The main advantage of this DAO style is that it depends on Java Persistence API; no import of any Spring class is required. Moreover, as the JPA annotations are understood, the injections are applied automatically by the Spring container. This is of course appealing from a non-invasiveness perspective, and might feel more natural to JPA developers.

## 12.6.4. Exception Translation

However, the DAO throws the plain PersistenceException exception class (which is unchecked, and so does not have to be declared or caught) but also IllegalArgumentException and IllegalStateException, which means that callers can only treat exceptions as generally fatal - unless they want to depend on JPA's own exception structure. Catching specific causes such as an optimistic locking failure is not possible without tying the caller to the implementation strategy. This tradeoff might be acceptable to applications that are strongly JPA-based and/or do not need any special exception treatment. However, Spring offers a solution allowing exception translation to be applied transparently through the @Repository annotation:

```
@Repository
public class ProductDaoImpl implements ProductDao {
    // class body here...
}
```

The postprocessor will automatically look for all exception translators (implementations of the PersistenceExceptionTranslator interface) and advise all beans marked with the @Repository annotation so that the discovered translators can intercept and apply the appropriate translation on the thrown exceptions.

In summary: DAOs can be implemented based on the plain Java Persistence API and annotations, while still being able to benefit from Spring-managed transactions, dependency injection, and transparent exception conversion (if desired) to Spring's custom exception hierarchies.

# 12.7. Transaction Management

To execute service operations within transactions, you can use Spring's common declarative transaction facilities. For example:

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans</pre>
                  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
                  xmlns:aop="http://www.springframework.org/schema/aop"
                  xmlns:tx="http://www.springframework.org/schema/tx"
                  xsi:schemaLocation="
                  http://www.springframework.org/schema/beans http://www.springframework.org/schema/beans/spring-beans-2.5.
                  http://www.springframework.org/schema/tx/http://www.springframework.org/schema/tx/spring-tx-2.5.xsd
                  http://www.springframework.org/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/aop/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/schema/sc
    <bean id="myTxManager" class="org.springframework.orm.jpa.JpaTransactionManager">
           cproperty name="entityManagerFactory" ref="myEmf"/>
     </bean>
     <bean id="myProductService" class="product.ProductServiceImpl">
          roperty name="productDao" ref="myProductDao"/>
     </bean>
    <aop:config>
          <aop:pointcut id="productServiceMethods" expression="execution(* product.ProductService.*(..))"/>
          <aop:advisor advice-ref="txAdvice" pointcut-ref="productServiceMethods"/>
     </aop:config>
     <tx:advice id="txAdvice" transaction-manager="myTxManager">
```

Spring JPA allows a configured <code>JpaTransactionManager</code> to expose a JPA transaction to JDBC access code that accesses the same JDBC <code>DataSource</code>, provided that the registered <code>JpaDialect</code> supports retrieval of the underlying JDBC <code>Connection</code>. Out of the box, Spring provides dialects for the Toplink, Hibernate and OpenJPA JPA implementations. See the next section for details on the <code>JpaDialect</code> mechanism.

## 12.8. JpaDialect

As an advanced feature JpaTemplate, JpaTransactionManager and subclasses of AbstractEntityManagerFactoryBean support a custom JpaDialect, to be passed into the "jpaDialect" bean property. In such a scenario, the DAOs won't receive an EntityManagerFactory reference but rather a full JpaTemplate instance instead (for example, passed into JpaDaoSupport's "jpaTemplate" property). A JpaDialect implementation can enable some advanced features supported by Spring, usually in a vendor-specific manner:

- applying specific transaction semantics (such as custom isolation level or transaction timeout)
- retrieving the transactional JDBC connection (for exposure to JDBC-based DAOs)
- advanced translation of PersistenceExceptions to Spring DataAccessExceptions

This is particularly valuable for special transaction semantics and for advanced translation of exception. Note that the default implementation used (DefaultJpaDialect) doesn't provide any special capabilities and if the above features are required, the appropriate dialect has to be specified.

See the JpaDialect Javadoc for more details of its operations and how they are used within Spring's JPA support.

# Part III. The Web

This part of the reference documentation covers the Spring Framework's support for the presentation tier (and specifically web-based presentation tiers).

The Spring Framework's own web framework, <u>Spring Web MVC</u>, is covered in the first couple of chapters. A number of the remaining chapters in this part of the reference documentation are concerned with the Spring Framework's integration with other web technologies, such as <u>Struts</u> and <u>JSF</u> (to name but two).

This section concludes with coverage of Spring's MVC portlet framework.

- Chapter 13, Web MVC framework
- Chapter 14, View technologies
- Chapter 15, Integrating with other web frameworks
- Chapter 16, Portlet MVC Framework

# **Chapter 13. Web MVC framework**

## 13.1. Introduction

Spring's Web MVC framework is designed around a DispatcherServlet that dispatches requests to handlers, with configurable handler mappings, view resolution, locale and theme resolution as well as support for upload files. The default handler is a very simple Controller interface, just offering a ModelAndView handleRequest(request,response) method. This can already be used for application controllers, but you will prefer the included implementation hierarchy, consisting of, for example AbstractController, AbstractCommandController and SimpleFormController. Application controllers will typically be subclasses of those. Note that you can choose an appropriate base class: if you don't have a form, you don't need a form controller. This is a major difference to Struts.



#### Tip

Since Spring 2.5, an annotated controller style is available for Java 5+ users. This is a compelling alternative to implementing traditional Controller (sub-)classes, allowing for flexible multi-action handling. See the Section 13.11, "Annotation-based controller configuration" section for details.

#### "Open for extension..."

One of the overarching design principles in Spring Web MVC (and in Spring in general) is the "Open for extension, closed for modification" principle.

The reason that this principle is being mentioned here is because a number of methods in the core classes in Spring Web MVC are marked final. This means of course that you as a developer cannot override these methods to supply your own behavior... this is *by design* and has not been done arbitrarily to annoy.

The book 'Expert Spring Web MVC and Web Flow' by Seth Ladd and others explains this principle and the reasons for adhering to it in some depth on page 117 (first edition) in the section entitled 'A Look At Design'.

If you don't have access to the aforementioned book, then the following article may be of interest the next time you find yourself going "Gah! Why can't I override this method?" (if indeed you ever do).

#### 1. Bob Martin, The Open-Closed Principle (PDF)

Note that you cannot add advice to final methods using Spring MVC. This means it won't be possible to add advice to for example the AbstractController.handleRequest() method. Refer to Section 6.6.1, "Understanding AOP proxies" for more information on AOP proxies and why you cannot add advice to final methods.

Spring Web MVC allows you to use any object as a command or form object - there is no need to implement a framework-specific interface or base class. Spring's data binding is highly flexible: for example, it treats type mismatches as validation errors that can be evaluated by the application, not as system errors. All this means that you don't need to duplicate your business objects' properties as simple, untyped strings in your form objects just to be able to handle invalid submissions, or to convert the Strings properly. Instead, it is often preferable to bind directly to your business objects. This is another major difference to Struts which is built around required

base classes such as Action and ActionForm.

Compared to WebWork, Spring has more differentiated object roles. It supports the notion of a Controller, an optional command or form object, and a model that gets passed to the view. The model will normally include the command or form object but also arbitrary reference data; instead, a WebWork Action combines all those roles into one single object. WebWork does allow you to use existing business objects as part of your form, but only by making them bean properties of the respective Action class. Finally, the same Action instance that handles the request is used for evaluation and form population in the view. Thus, reference data needs to be modeled as bean properties of the Action too. These are (arguably) too many roles for one object.

Spring's view resolution is extremely flexible. A controller implementation can even write a view directly to the response (by returning null for the ModelAndView). In the normal case, a ModelAndView instance consists of a view name and a model Map, which contains bean names and corresponding objects (like a command or form, containing reference data). View name resolution is highly configurable, either via bean names, via a properties file, or via your own ViewResolver implementation. The fact that the model (the M in MVC) is based on the Map interface allows for the complete abstraction of the view technology. Any renderer can be integrated directly, whether JSP, Velocity, or any other rendering technology. The model Map is simply transformed into an appropriate format, such as JSP request attributes or a Velocity template model.

## 13.1.1. Pluggability of other MVC implementations

There are several reasons why some projects will prefer to use other MVC implementations. Many teams expect to leverage their existing investment in skills and tools. In addition, there is a large body of knowledge and experience available for the Struts framework. Thus, if you can live with Struts' architectural flaws, it can still be a viable choice for the web layer; the same applies to WebWork and other web MVC frameworks.

If you don't want to use Spring's web MVC, but intend to leverage other solutions that Spring offers, you can integrate the web MVC framework of your choice with Spring easily. Simply start up a Spring root application context via its ContextLoaderListener, and access it via its ServletContext attribute (or Spring's respective helper method) from within a Struts or WebWork action. Note that there aren't any "plug-ins" involved, so no dedicated integration is necessary. From the web layer's point of view, you'll simply use Spring as a library, with the root application context instance as the entry point.

All your registered beans and all of Spring's services can be at your fingertips even without Spring's Web MVC. Spring doesn't compete with Struts or WebWork in this scenario, it just addresses the many areas that the pure web MVC frameworks don't, from bean configuration to data access and transaction handling. So you are able to enrich your application with a Spring middle tier and/or data access tier, even if you just want to use, for example, the transaction abstraction with JDBC or Hibernate.

# 13.1.2. Features of Spring Web MVC

#### **Spring Web Flow**

Spring Web Flow (SWF) aims to be the best solution for the management of web application page flow.

SWF integrates with existing frameworks like Spring MVC, Struts, and JSF, in both servlet and portlet environments. If you have a business process (or processes) that would benefit from a conversational model as opposed to a purely request model, then SWF may be the solution.

SWF allows you to capture logical page flows as self-contained modules that are reusable in different situations, and as such is ideal for building web application modules that guide the user through

controlled navigations that drive business processes.

For more information about SWF, consult the **Spring Web Flow website**.

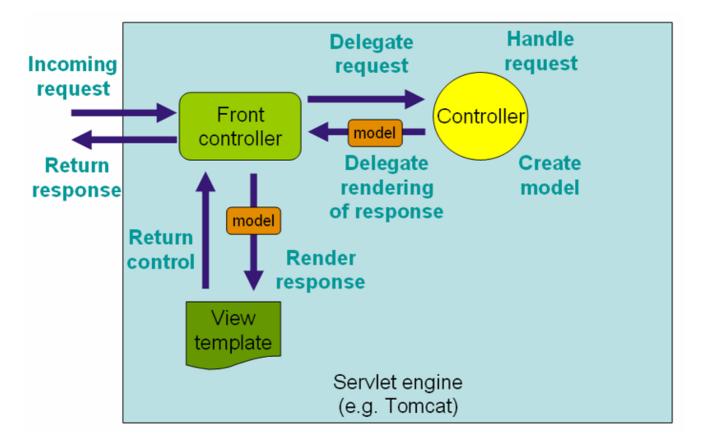
Spring's web module provides a wealth of unique web support features, including:

- Clear separation of roles controller, validator, command object, form object, model object, DispatcherServlet, handler mapping, view resolver, etc. Each role can be fulfilled by a specialized object.
- Powerful and straightforward configuration of both framework and application classes as JavaBeans, including easy referencing across contexts, such as from web controllers to business objects and validators.
- Adaptability, non-intrusiveness. Use whatever controller subclass you need (plain, command, form, wizard, multi-action, or a custom one) for a given scenario instead of deriving from a single controller for everything.
- Reusable business code no need for duplication. You can use existing business objects as command or form objects instead of mirroring them in order to extend a particular framework base class.
- Customizable binding and validation type mismatches as application-level validation errors that keep the
  offending value, localized date and number binding, etc instead of String-only form objects with manual
  parsing and conversion to business objects.
- Customizable handler mapping and view resolution handler mapping and view resolution strategies range from simple URL-based configuration, to sophisticated, purpose-built resolution strategies. This is more flexible than some web MVC frameworks which mandate a particular technique.
- Flexible model transfer model transfer via a name/value Map supports easy integration with any view technology.
- Customizable locale and theme resolution, support for JSPs with or without Spring tag library, support for JSTL, support for Velocity without the need for extra bridges, etc.
- A simple yet powerful JSP tag library known as the Spring tag library that provides support for features such as data binding and themes. The custom tags allow for maximum flexibility in terms of markup code. For information on the tag library descriptor, see the appendix entitled Appendix D, *spring.tld*
- A JSP form tag library, introduced in Spring 2.0, that makes writing forms in JSP pages much easier. For information on the tag library descriptor, see the appendix entitled Appendix E, *spring-form.tld*
- Beans whose lifecycle is scoped to the current HTTP request or HTTP session. This is not a specific feature of Spring MVC itself, but rather of the WebApplicationContext container(s) that Spring MVC uses. These bean scopes are described in detail in the section entitled Section 3.4.4, "The other scopes"

# 13.2. The DispatcherServlet

Spring's web MVC framework is, like many other web MVC frameworks, request-driven, designed around a central servlet that dispatches requests to controllers and offers other functionality facilitating the development of web applications. Spring's DispatcherServlet however, does more than just that. It is completely integrated with the Spring IoC container and as such allows you to use every other feature that Spring has.

The request processing workflow of the Spring Web MVC DispatcherServlet is illustrated in the following diagram. The pattern-savvy reader will recognize that the DispatcherServlet is an expression of the "Front Controller" design pattern (this is a pattern that Spring Web MVC shares with many other leading web frameworks).



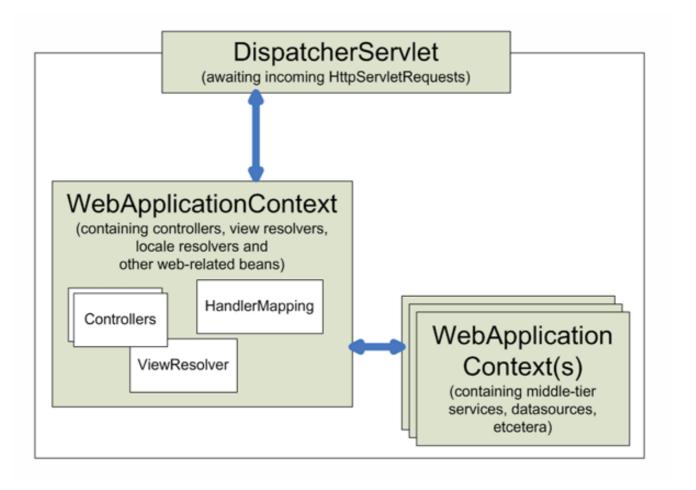
The requesting processing workflow in Spring Web MVC (high level)

The DispatcherServlet *is* an actual Servlet (it inherits from the HttpServlet base class), and as such is declared in the web.xml of your web application. Requests that you want the DispatcherServlet to handle will have to be mapped using a URL mapping in the same web.xml file. This is standard J2EE servlet configuration; an example of such a DispatcherServlet declaration and mapping can be found below.

In the example above, all requests ending with .form will be handled by the 'example' DispatcherServlet. This is only the first step in setting up Spring Web MVC... the various beans used by the Spring Web MVC framework (over and above the DispatcherServlet itself) now need to be configured.

As detailed in the section entitled Section 3.8, "The ApplicationContext", ApplicationContext instances in Spring can be scoped. In the web MVC framework, each DispatcherServlet has its own

WebApplicationContext, which inherits all the beans already defined in the root WebApplicationContext. These inherited beans defined can be overridden in the servlet-specific scope, and new scope-specific beans can be defined local to a given servlet instance.



#### Context hierarchy in Spring Web MVC

The framework will, on initialization of a DispatcherServlet, look for a file named [servlet-name]-servlet.xml in the WEB-INF directory of your web application and create the beans defined there (overriding the definitions of any beans defined with the same name in the global scope).

Consider the following DispatcherServlet servlet configuration (in the 'web.xml' file.)

With the above servlet configuration in place, you will need to have a file called '/WEB-INF/golfing-servlet.xml' in your application; this file will contain all of your *Spring Web MVC-specific* components (beans). The exact location of this configuration file can be changed via a servlet initialization parameter (see below for details).

The WebApplicationContext is an extension of the plain ApplicationContext that has some extra features necessary for web applications. It differs from a normal ApplicationContext in that it is capable of resolving themes (see Section 13.7, "Using themes"), and that it knows which servlet it is associated with (by having a link to the ServletContext). The WebApplicationContext is bound in the ServletContext, and by using static methods on the RequestContextUtils class you can always lookup the WebApplicationContext in case you need access to it.

The Spring DispatcherServlet has a couple of special beans it uses in order to be able to process requests and render the appropriate views. These beans are included in the Spring framework and can be configured in the WebApplicationContext, just as any other bean would be configured. Each of those beans is described in more detail below. Right now, we'll just mention them, just to let you know they exist and to enable us to go on talking about the DispatcherServlet. For most of the beans, sensible defaults are provided so you don't (initially) have to worry about configuring them.

Table 13.1. Special beans in the WebApplicationContext

Bean type	Explanation
Controllers	Controllers are the components that form the 'C' part of the MVC.
Handler mappings	<u>Handler mappings</u> handle the execution of a list of pre- and post-processors and controllers that will be executed if they match certain criteria (for instance a matching URL specified with the controller)
View resolvers	<u>View resolvers</u> are components capable of resolving view names to views
Locale resolver	A <u>locale resolver</u> is a component capable of resolving the locale a client is using, in order to be able to offer internationalized views
Theme resolver	A <u>theme resolver</u> is capable of resolving themes your web application can use, for example, to offer personalized layouts
multipart file resolver	A <u>multipart file resolver</u> offers the functionality to process file uploads from HTML forms
Handler exception resolver(s)	<u>Handler exception resolvers</u> offer functionality to map exceptions to views or implement other more complex exception handling code

When a DispatcherServlet is set up for use and a request comes in for that specific DispatcherServlet, said DispatcherServlet starts processing the request. The list below describes the complete process a request goes through when handled by a DispatcherServlet:

- 1. The WebApplicationContext is searched for and bound in the request as an attribute in order for the controller and other elements in the process to use. It is bound by default under the key DispatcherServlet.WEB\_APPLICATION\_CONTEXT\_ATTRIBUTE.
- 2. The locale resolver is bound to the request to let elements in the process resolve the locale to use when processing the request (rendering the view, preparing data, etc.) If you don't use the resolver, it won't affect anything, so if you don't need locale resolving, you don't have to use it.
- 3. The theme resolver is bound to the request to let elements such as views determine which theme to use. The theme resolver does not affect anything if you don't use it, so if you don't need themes you can just ignore it.
- 4. If a multipart resolver is specified, the request is inspected for multiparts; if multiparts are found, the request

is wrapped in a MultipartHttpServletRequest for further processing by other elements in the process. (See the section entitled Section 13.8.2, "Using the MultipartResolver" for further information about multipart handling).

- 5. An appropriate handler is searched for. If a handler is found, the execution chain associated with the handler (preprocessors, postprocessors, and controllers) will be executed in order to prepare a model (for rendering).
- 6. If a model is returned, the view is rendered. If no model is returned (which could be due to a pre- or postprocessor intercepting the request, for example, for security reasons), no view is rendered, since the request could already have been fulfilled.

Exceptions that are thrown during processing of the request get picked up by any of the handler exception resolvers that are declared in the <code>webApplicationContext</code>. Using these exception resolvers allows you to define custom behaviors in case such exceptions get thrown.

The Spring DispatcherServlet also has support for returning the *last-modification-date*, as specified by the Servlet API. The process of determining the last modification date for a specific request is straightforward: the DispatcherServlet will first lookup an appropriate handler mapping and test if the handler that is found *implements the interface* LastModified interface. If so, the value of the long getLastModified(request) method of the LastModified interface is returned to the client.

You can customize Spring's DispatcherServlet by adding context parameters in the web.xml file or servlet initialization parameters. The possibilities are listed below.

Parameter	Explanation
contextClass	Class that implements WebApplicationContext, which will be used to instantiate the context used by this servlet. If this parameter isn't specified, the XmlWebApplicationContext will be used.
contextConfigLocat	iString which is passed to the context instance (specified by contextClass) to indicate where context(s) can be found. The string is potentially split up into multiple strings (using a comma as a delimiter) to support multiple contexts (in case of multiple context locations, of beans that are defined twice, the latest takes precedence).
namespace	the namespace of the WebApplicationContext. Defaults to [servlet-name]-servlet.

# 13.3. Controllers

The notion of a controller is part of the MVC design pattern (more specifically, it is the 'C' in MVC). Controllers provide access to the application behavior which is typically defined by a service interface. Controllers interpret user input and transform such input into a sensible model which will be represented to the user by the view. Spring has implemented the notion of a controller in a very abstract way enabling a wide variety of different kinds of controllers to be created. Spring contains form-specific controllers, command-based controllers, and controllers that execute wizard-style logic, to name but a few.

Spring's basis for the controller architecture is the org.springframework.web.servlet.mvc.Controller interface, the source code for which is listed below.

```
public interface Controller {
```

```
/**
  * Process the request and return a ModelAndView object which the DispatcherServlet
  * will render.
  */
  ModelAndView handleRequest(
      HttpServletRequest request,
      HttpServletResponse response) throws Exception;
}
```

As you can see, the Controller interface defines a single method that is responsible for handling a request and returning an appropriate model and view. These three concepts are the basis for the Spring MVC implementation - ModelAndView and Controller. While the Controller interface is quite abstract, Spring offers a lot of Controller implementations out of the box that already contain a lot of the functionality you might need. The Controller interface just defines the most basic responsibility required of every controller; namely handling a request and returning a model and a view.

#### 13.3.1. AbstractController and WebContentGenerator

To provide a basic infrastructure, all of Spring's various Controller inherit from AbstractController, a class offering caching support and, for example, the setting of the mimetype.

Table 13.3. Features offered by the AbstractController

Feature	Explanation
supportedMethods	indicates what methods this controller should accept. Usually this is set to both GET and POST, but you can modify this to reflect the method you want to support. If a request is received with a method that is not supported by the controller, the client will be informed of this (expedited by the throwing of a ServletException).
requireSession	indicates whether or not this controller requires a HTTP session to do its work. If a session is not present when such a controller receives a request, the user is informed of this by a ServletException being thrown.
synchronizeOnSession	use this if you want handling by this controller to be synchronized on the user's HTTP session.
cacheSeconds	when you want a controller to generate a caching directive in the HTTP response, specify a positive integer here. By default the value of this property is set to -1 so no caching directives will be included in the generated response.
useExpiresHeader	tweaks your controllers to specify the HTTP 1.0 compatible "Expires" header in the generated response. By default the value of this property is true.
useCacheHeader	tweaks your controllers to specify the HTTP 1.1 compatible "Cache-Control" header in the generated response. By default the value of this property is true.

When using the AbstractController as the baseclass for your controllers you only have to override the handleRequestInternal(HttpServletRequest, HttpServletResponse) method, implement your logic, and return a ModelAndView object. Here is short example consisting of a class and a declaration in the web application context.

```
package samples;
```

```
public class SampleController extends AbstractController {
    public ModelAndView handleRequestInternal(
        HttpServletRequest request,
        HttpServletResponse response) throws Exception {
        ModelAndView mav = new ModelAndView("hello");
        mav.addObject("message", "Hello World!");
        return mav;
    }
}
```

The above class and the declaration in the web application context is all you need besides setting up a handler mapping (see the section entitled Section 13.4, "Handler mappings") to get this very simple controller working. This controller will generate caching directives telling the client to cache things for 2 minutes before rechecking. This controller also returns a hard-coded view (which is typically considered bad practice).

## 13.3.2. Other simple controllers

Although you can extend AbstractController, Spring provides a number of concrete implementations which offer functionality that is commonly used in simple MVC applications. The ParameterizableViewController is basically the same as the example above, except for the fact that you can specify the view name that it will return in the web application context (and thus remove the need to hard-code the viewname in the Java class).

The UrlFilenameViewController inspects the URL and retrieves the filename of the file request and uses that as a viewname. For example, the filename of http://www.springframework.org/index.html request is index.

#### 13.3.3. The MultiActionController

Spring offers a MultiActionController class that supports the aggregation of multiple request-handling methods into one controller, which then allows you to group related functionality together. (If you are a Struts veteran you might recognize the similarity between the Struts DispatchAction and the Spring MVC MultiActionController.) The MultiActionController class is defined in a distinct package - org.springframework.web.servlet.mvc.multiaction - and it is capable of mapping requests to method names and then invoking the correct method to handle a particular request. Using the MultiActionController is especially handy when you have a lot of related functionality that would perhaps be nice to define all in a single class without having to implement one Controller for each bit of functionality. The MultiActionController typically is not appropriate for capturing very complex request-handling logic or use cases that address totally-different areas of functionality, and you are encouraged to stick with the standard 'one piece-of-functionality maps to one Controller' for such cases.

There are two usage-styles for the MultiActionController. Either you subclass the MultiActionController and specify the methods that will be resolved by the MethodNameResolver on your subclass, or you define a delegate object, on which methods resolved by the MethodNameResolver will be invoked. If you choose the former style, you do not need to set a delegate, but for the latter style, you will need to inject your delegate object into the MultiActionController as a collaborator (either as a single constructor argument or via the 'setDelegate' method).

The MultiActionController needs some strategy to determine which method to invoke when handling an incoming request: this strategy is defined by the MethodNameResolver interface. The MultiActionController

class exposes the 'methodNameResolver' property so that you can inject a MethodNameResolver that is capable of doing that. The methods that you define on a MultiActionController (or on the class of the injected delegate object) must conform to the following signature:

```
// 'anyMeaningfulName' can be replaced by any method name public [ModelAndView | Map | void] anyMeaningfulName(HttpServletRequest, HttpServletResponse [,HttpSession] [,Ar
```

The full details of this method signature are covered in the <u>class-level Javadoc</u> of the <u>MultiActionController</u> source itself. If you are planning to use the <u>MultiActionController</u>, you are highly encouraged to consult that Javadoc. However, below you will find some basic examples of valid <u>MultiActionController</u> method signatures.

The standard signature (mirrors the Controller interface method).

```
public ModelAndView displayCatalog(HttpServletRequest, HttpServletResponse)
```

This signature accepts a Login argument that will be populated (bound) with parameters retrieved from the request.

```
public ModelAndView login(HttpServletRequest, HttpServletResponse, Login)
```

This signature requires that the request already have a valid session.

```
public ModelAndView viewCart(HttpServletRequest, HttpServletResponse, HttpSession)
```

This signature accepts a Product argument that will be populated (bound) with parameters retrieved from the request *and* requires that the request already have a valid session. Note that the order of arguments is important: the session must be the third argument, and an object to be bound must always be the final argument (fourth when a session is specified, or third otherwise).

```
public ModelAndView updateCart(HttpServletRequest, HttpServletResponse, HttpSession, Product)
```

This signature has a void return type indicating that the handler method assumes the responsibility of writing the response.

```
public void home(HttpServletRequest, HttpServletResponse)
```

This signature has a Map return type indicating that a view name translator will be responsible for providing the view name based upon the request, and the model will consist of the Map's entries (see the section entitled Section 13.10, "Convention over configuration" below).

```
public Map list(HttpServletRequest, HttpServletResponse)
```

The MethodNameResolver is responsible for resolving method names based on the specifics of the incoming HttpServletRequest. A number of MethodNameResolver implementations are provided for you, and of course you can always write your own. Please also note that the InternalPathMethodNameResolver is the default MethodNameResolver that will be used if you don't inject one explicitly.

 InternalPathMethodNameResolver - interprets the final filename from the request path and uses that as the method name/

For example, 'http://www.sf.net/testing.view' will result in the method testing(HttpServletRequest,

HttpServletResponse) being invoked.

• ParameterMethodNameResolver - interprets a request parameter as the name of the method that is to be invoked.

For example, 'http://www.sf.net/index.view?method=testIt' will result in the method testIt(HttpServletRequest, HttpServletResponse) being invoked. The 'paramName' property specifies the name of the request parameter that is to be used.

• PropertiesMethodNameResolver - uses a user-defined Properties object with request URLs mapped to method names. For example, when the Properties contain '/index/welcome.html=doIt' and a request to /index/welcome.html comes in, the doIt(HttpServletRequest, HttpServletResponse) method will be invoked. This particular MethodNameResolver uses the Spring PathMatcher class internally, so if the Properties contained '/\*\*/welcom?.html', the example would also have worked.

You may also declare custom methods for handling Exceptions that occur during request handling. The valid signature for such a method is similar to the request handling methods in that the HttpServletRequest and HttpServletResponse must be provided as the first and second parameters respectively. Unlike request handling methods however, the method's name is irrelevant. Instead, when determining which Exception handling method to invoke, the decision is based upon the most specific possible match among the methods whose third argument is some type of Exception. Here is an example signature for one such Exception handling method.

```
public ModelAndView processException(HttpServletRequest, HttpServletResponse, IllegalArgumentException)
```

Let's look at an example showing the delegate-style of MultiActionController usage in conjunction with the ParameterMethodNameResolver.

```
public class SampleDelegate {
    public ModelAndView retrieveIndex(HttpServletRequest req, HttpServletResponse resp) {
        return new ModelAndView("index", "date", new Long(System.currentTimeMillis()));
    }
}
```

When using the delegate shown above, we could also configure the PropertiesMethodNameResolver to match any number couple of URLs to the method we defined:

#### 13.3.4. Command controllers

Spring's command controllers are a fundamental part of the Spring Web MVC package. Command controllers provide a way to interact with data objects and dynamically bind parameters from the httpServletRequest to the data object specified. They perform a somewhat similar role to the Struts ActionForm, but in Spring, your data objects don't have to implement a framework-specific interface. First, lets examine what command controllers are available straight out of the box.

- AbstractCommandController a command controller you can use to create your own command controller, capable of binding request parameters to a data object you specify. This class does not offer form functionality; it does however offer validation features and lets you specify in the controller itself what to do with the command object that has been populated with request parameter values.
- AbstractFormController an abstract controller offering form submission support. Using this controller you can model forms and populate them using a command object you retrieve in the controller. After a user has filled the form, the AbstractFormController binds the fields, validates the command object, and hands the object back to the controller to take the appropriate action. Supported features are: invalid form submission (resubmission), validation, and normal form workflow. You implement methods to determine which views are used for form presentation and success. Use this controller if you need forms, but don't want to specify what views you're going to show the user in the application context.
- SimpleFormController a form controller that provides even more support when creating a form with a corresponding command object. The SimpleFormController let's you specify a command object, a viewname for the form, a viewname for page you want to show the user when form submission has succeeded, and more.
- AbstractWizardFormController as the class name suggests, this is an abstract class your wizard
  controller should extend it. This means you have to implement the validatePage(), processFinish() and
  processCancel() methods.

You probably also want to write a contractor, which should at the very least call <code>setPages()</code> and <code>setCommandName()</code>. The former takes as its argument an array of type String. This array is the list of views which comprise your wizard. The latter takes as its argument a String, which will be used to refer to your command object from within your views.

As with any instance of AbstractFormController, you are required to use a command object - a JavaBean which will be populated with the data from your forms. You can do this in one of two ways: either call setCommandClass() from the constructor with the class of your command object, or implement the formBackingObject() method.

AbstractWizardFormController has a number of concrete methods that you may wish to override. Of these, the ones you are likely to find most useful are: referenceData(..) which you can use to pass model data to your view in the form of a Map; getTargetPage() if your wizard needs to change page order or omit pages dynamically; and onBindAndValidate() if you want to override the built-in binding and validation workflow.

Finally, it is worth pointing out the setAllowDirtyBack() and setAllowDirtyForward(), which you can call from getTargetPage() to allow users to move backwards and forwards in the wizard even if validation fails for the current page.

For a full list of methods, see the Javadoc for AbstractWizardFormController. There is an implemented example of this wizard in the jPetStore included in the Spring distribution: org.springframework.samples.jpetstore.web.spring.OrderFormController.

# 13.4. Handler mappings

Using a handler mapping you can map incoming web requests to appropriate handlers. There are some handler mappings you can use out of the box, for example, the SimpleUrlHandlerMapping or the BeanNameUrlHandlerMapping, but let's first examine the general concept of a HandlerMapping.

The functionality a basic HandlerMapping provides is the delivering of a HandlerExecutionChain, which must contain the handler that matches the incoming request, and may also contain a list of handler interceptors that are applied to the request. When a request comes in, the DispatcherServlet will hand it over to the handler mapping to let it inspect the request and come up with an appropriate HandlerExecutionChain. Then the DispatcherServlet will execute the handler and interceptors in the chain (if any).

The concept of configurable handler mappings that can optionally contain interceptors (executed before or after the actual handler was executed, or both) is extremely powerful. A lot of supporting functionality can be built into custom HandlerMappings. Think of a custom handler mapping that chooses a handler not only based on the URL of the request coming in, but also on a specific state of the session associated with the request.

This section describes two of Spring's most commonly used handler mappings. They both extend the AbstractHandlerMapping and share the following properties:

- interceptors: the list of interceptors to use. HandlerInterceptors are discussed in Section 13.4.3, "Intercepting requests the HandlerInterceptor interface".
- defaultHandler: the default handler to use, when this handler mapping does not result in a matching handler.
- order: based on the value of the order property (see the org.springframework.core.Ordered interface), Spring will sort all handler mappings available in the context and apply the first matching handler.
- alwaysUseFullPath: if this property is set to true, Spring will use the full path within the current servlet context to find an appropriate handler. If this property is set to false (the default), the path within the current servlet mapping will be used. For example, if a servlet is mapped using /testing/\* and the alwaysUseFullPath property is set to true, /testing/viewPage.html would be used, whereas if the property is set to false, /viewPage.html would be used.
- urlDecode: the default value for this property is true, as of Spring 2.5. If you prefer to compare encoded paths, switch this flag to false. However, note that the HttpServletRequest always exposes the servlet path in decoded form. Be aware that the servlet path will not match when compared with encoded paths.
- lazyInitHandlers: allows for lazy initialization of *singleton* handlers (prototype handlers are always lazily initialized). Default value is false.

(Note: the last three properties are only available to subclasses of org.springframework.web.servlet.handler.AbstractUrlHandlerMapping).

### 13.4.1. BeanNameUrlHandlerMapping

A very simple, but very powerful handler mapping is the BeanNameUrlHandlerMapping, which maps incoming

HTTP requests to names of beans, defined in the web application context. Let's say we want to enable a user to insert an account and we've already provided an appropriate form controller (see Section 13.3.4, "Command controllers" for more information on command- and form controllers) and a JSP view (or Velocity template) that renders the form. When using the BeanNameUrlHandlerMapping, we could map the HTTP request with the URL http://samples.com/editaccount.form to the appropriate form Controller as follows:

All incoming requests for the URL /editaccount.form will now be handled by the form controller in the source listing above. Of course we have to define a servlet-mapping in web.xml as well, to let through all the requests ending with .form.



#### Note

If you want to use the BeanNameUrlHandlerMapping, you don't necessarily have to define it in the web application context (as indicated above). By default, if no handler mapping can be found in the context, the DispatcherServlet creates a BeanNameUrlHandlerMapping for you!

### 13.4.2. SimpleUrlHandlerMapping

A further - and much more powerful handler mapping - is the SimpleUrlHandlerMapping. This mapping is configurable in the application context and has Ant-style path matching capabilities (see the Javadoc for the org.springframework.util.PathMatcher class). Here is an example:

```
<
```

The above web.xml configuration snippet enables all requests ending with .html and .form to be handled by the sample dispatcher servlet.

```
<beans>
    <!-- no 'id' required, HandlerMapping beans are automatically detected by the DispatcherServlet -->
    <bean class="org.springframework.web.servlet.handler.SimpleUrlHandlerMapping">
        property name="mappings">
            <value>
                /*/account.form=editAccountFormController
                /*/editaccount.form=editAccountFormController
                /ex/view*.html=helpController
                /**/help.html=helpController
        </property>
    </bean>
   <bean id="helpController"</pre>
          class="org.springframework.web.servlet.mvc.UrlFilenameViewController"/>
   <bean id="editAccountFormController"</pre>
         class="org.springframework.web.servlet.mvc.SimpleFormController">
        cproperty name="formView" value="account"/>
        cproperty name="successView" value="account-created"/>
        cproperty name="commandName" value="Account"/>
        cproperty name="commandClass" value="samples.Account"/>
    </bean>
<beans>
```

This handler mapping routes requests for 'help.html' in any directory to the 'helpController', which is a UrlFilenameViewController (more about controllers can be found in the section entitled Section 13.3, "Controllers"). Requests for a resource beginning with 'view', and ending with '.html' in the directory 'ex' will be routed to the 'helpController'. Two further mappings are also defined for 'editAccountFormController'.

# 13.4.3. Intercepting requests - the HandlerInterceptor interface

Spring's handler mapping mechanism has the notion of handler interceptors, that can be extremely useful when you want to apply specific functionality to certain requests, for example, checking for a principal.

Interceptors located in the handler mapping must implement HandlerInterceptor from the org.springframework.web.servlet package. This interface defines three methods, one that will be called *before* the actual handler will be executed, one that will be called *after* the handler is executed, and one that is called *after the complete request has finished*. These three methods should provide enough flexibility to do all kinds of pre- and post-processing.

The preHandle(..) method returns a boolean value. You can use this method to break or continue the processing of the execution chain. When this method returns true, the handler execution chain will continue, when it returns false, the DispatcherServlet assumes the interceptor itself has taken care of requests (and, for example, rendered an appropriate view) and does not continue executing the other interceptors and the actual handler in the execution chain.

The following example provides an interceptor that intercepts all requests and reroutes the user to a specific page if the time is not between 9 a.m. and 6 p.m.

```
<beans>
    <bean id="handlerMapping"</pre>
          class="org.springframework.web.servlet.handler.SimpleUrlHandlerMapping">
        property name="interceptors">
            st>
                <ref bean="officeHoursInterceptor"/>
            </list>
        </property>
        property name="mappings">
            <value>
                /*.form=editAccountFormController
                /*.view=editAccountFormController
            </value>
        </property>
   </bean>
    <bean id="officeHoursInterceptor"</pre>
          class="samples.TimeBasedAccessInterceptor">
        cproperty name="openingTime" value="9"/>
        operty name="closingTime" value="18"/>
   </bean>
<beans>
```

```
package samples;
public class TimeBasedAccessInterceptor extends HandlerInterceptorAdapter {
    private int openingTime;
    private int closingTime;
    public void setOpeningTime(int openingTime) {
        this.openingTime = openingTime;
    public void setClosingTime(int closingTime) {
        this.closingTime = closingTime;
    public boolean preHandle(
            HttpServletRequest request,
            HttpServletResponse response,
            Object handler) throws Exception {
        Calendar cal = Calendar.getInstance();
        int hour = cal.get(HOUR_OF_DAY);
        if (openingTime <= hour < closingTime) {</pre>
        } else {
            response.sendRedirect("http://host.com/outsideOfficeHours.html");
            return false;
```

Any request coming in, will be intercepted by the TimeBasedAccessInterceptor, and if the current time is outside office hours, the user will be redirected to a static html file, saying, for example, he can only access the website during office hours.

As you can see, Spring has an adapter class (the cunningly named HandlerInterceptorAdapter) to make it easier to extend the HandlerInterceptor interface.

# 13.5. Views and resolving them

All MVC frameworks for web applications provide a way to address views. Spring provides view resolvers, which enable you to render models in a browser without tying you to a specific view technology. Out of the box, Spring enables you to use JSPs, Velocity templates and XSLT views, for example. The section entitled Chapter 14, *View technologies* has details of how to integrate and use a number of disparate view technologies.

The two interfaces which are important to the way Spring handles views are ViewResolver and View. The ViewResolver provides a mapping between view names and actual views. The View interface addresses the preparation of the request and hands the request over to one of the view technologies.

## 13.5.1. Resolving views - the ViewResolver interface

As discussed in the section entitled Section 13.3, "Controllers", all controllers in the Spring Web MVC framework return a ModelAndView instance. Views in Spring are addressed by a view name and are resolved by a view resolver. Spring comes with quite a few view resolvers. We'll list most of them and then provide a couple of examples.

Table 13.4. View resolvers

ViewResolver	Description
AbstractCachingViewResolver	An abstract view resolver which takes care of caching views. Often views need preparation before they can be used, extending this view resolver provides caching of views.
XmlViewResolver	An implementation of <code>viewResolver</code> that accepts a configuration file written in XML with the same DTD as Spring's XML bean factories. The default configuration file is <code>/WEB-INF/views.xml</code> .
ResourceBundleViewResolver	An implementation of ViewResolver that uses bean definitions in a ResourceBundle, specified by the bundle basename. The bundle is typically defined in a properties file, located in the classpath. The default file name is views.properties.
UrlBasedViewResolver	A simple implementation of the ViewResolver interface that effects the direct resolution of symbolic view names to URLs, without an explicit mapping definition. This is appropriate if your symbolic names match the names of your view resources in a straightforward manner, without the need for arbitrary mappings.
InternalResourceViewResolver	A convenience subclass of <code>urlBasedViewResolver</code> that supports <code>InternalResourceView</code> (i.e. Servlets and JSPs), and subclasses such as <code>JstlView</code> and <code>TilesView</code> . The view class for all views generated by this resolver can be specified via <code>setViewClass()</code> . See the Javadocs for the <code>urlBasedViewResolver</code> class for details.
VelocityViewResolver / FreeMarkerViewResolver	A convenience subclass of UrlBasedViewResolver that supports VelocityView (i.e. Velocity templates) or FreeMarkerView respectively and custom subclasses of them.

As an example, when using JSP for a view technology you can use the <code>UrlBasedViewResolver</code>. This view resolver translates a view name to a URL and hands the request over to the RequestDispatcher to render the view.

When returning test as a viewname, this view resolver will hand the request over to the RequestDispatcher that will send the request to /WEB-INF/jsp/test.jsp.

When mixing different view technologies in a web application, you can use the ResourceBundleViewResolver:

The ResourceBundleViewResolver inspects the ResourceBundle identified by the basename, and for each view it is supposed to resolve, it uses the value of the property [viewname].class as the view class and the value of the property [viewname].url as the view url. As you can see, you can identify a parent view, from which all views in the properties file sort of extend. This way you can specify a default view class, for example.

A note on caching - subclasses of AbstractCachingViewResolver cache view instances they have resolved. This greatly improves performance when using certain view technologies. It's possible to turn off the cache, by setting the cache property to false. Furthermore, if you have the requirement to be able to refresh a certain view at runtime (for example when a Velocity template has been modified), you can use the removeFromCache(String viewName, Locale loc) method.

# 13.5.2. Chaining ViewResolvers

Spring supports more than just one view resolver. This allows you to chain resolvers and, for example, override specific views in certain circumstances. Chaining view resolvers is pretty straightforward - just add more than one resolver to your application context and, if necessary, set the order property to specify an order. Remember, the higher the order property, the later the view resolver will be positioned in the chain.

In the following example, the chain of view resolvers consists of two InternalResourceViewResolver (which is always automatically positioned as the last resolver in the chain) XmlViewResolver for specifying Excel views (which are not supported InternalResourceViewResolver):

If a specific view resolver does not result in a view, Spring will inspect the context to see if other view resolvers are configured. If there are additional view resolvers, it will continue to inspect them. If not, it will throw an Exception.

You have to keep something else in mind - the contract of a view resolver mentions that a view resolver *can* return null to indicate the view could not be found. Not all view resolvers do this however! This is because in some cases, the resolver simply cannot detect whether or not the view exists. For example, the

InternalResourceViewResolver uses the RequestDispatcher internally, and dispatching is the only way to figure out if a JSP exists - this can only be done once. The same holds for the VelocityViewResolver and some others. Check the Javadoc for the view resolver to see if you're dealing with a view resolver that does not report non-existing views. As a result of this, putting an InternalResourceViewResolver in the chain in a place other than the last, will result in the chain not being fully inspected, since the InternalResourceViewResolver will always return a view!

## 13.5.3. Redirecting to views

As has been mentioned, a controller normally returns a logical view name, which a view resolver resolves to a particular view technology. For view technologies such as JSPs that are actually processed via the Servlet/JSP engine, this is normally handled via InternalResourceViewResolver / InternalResourceView which will ultimately end up issuing an internal forward or include, via the Servlet API's RequestDispatcher.forward(..) or RequestDispatcher.include(). For other view technologies, such as Velocity, XSLT, etc., the view itself produces the content on the response stream.

It is sometimes desirable to issue an HTTP redirect back to the client, before the view is rendered. This is desirable for example when one controller has been called with Posted data, and the response is actually a delegation to another controller (for example on a successful form submission). In this case, a normal internal forward will mean the other controller will also see the same Post data, which is potentially problematic if it can confuse it with other expected data. Another reason to do a redirect before displaying the result is that this will eliminate the possibility of the user doing a double submission of form data. The browser will have sent the initial Post, will have seen a redirect back and done a subsequent GET because of that, and thus as far as it is concerned, the current page does not reflect the result of a Post, but rather of a GET, so there is no way the user can accidentally re-Post the same data by doing a refresh. The refresh would just force a GET of the result page, not a resend of the initial Post data.

#### 13.5.3.1. RedirectView

One way to force a redirect as the result of a controller response is for the controller to create and return an instance of Spring's RedirectView. In this case, DispatcherServlet will not use the normal view resolution mechanism, but rather as it has been given the (redirect) view already, will just ask it to do its work.

The RedirectView simply ends up issuing an HttpServletResponse.sendRedirect() call, which will come back to the client browser as an HTTP redirect. All model attributes are simply exposed as HTTP query parameters. This does mean that the model must contain only objects (generally Strings or convertible to Strings) which can be readily converted to a string-form HTTP query parameter.

If using RedirectView and the view is created by the controller itself, it is preferable for the redirect URL to be injected into the controller so that it is not baked into the controller but configured in the context along with the view names.

### 13.5.3.2. The redirect: prefix

While the use of RedirectView works fine, if the controller itself is creating the RedirectView, there is no getting around the fact that the controller is aware that a redirection is happening. This is really suboptimal and couples things too tightly. The controller should not really care about how the response gets handled... it should generally think only in terms of view names that have been injected into it.

The special redirect: prefix allows this to be achieved. If a view name is returned which has the prefix redirect:, then UrlBasedViewResolver (and all subclasses) will recognize this as a special indication that a redirect is needed. The rest of the view name will be treated as the redirect URL.

The net effect is the same as if the controller had returned a RedirectView, but now the controller itself can deal just in terms of logical view names. A logical view name such as redirect:/my/response/controller.html will redirect relative to the current servlet context, while a name such as redirect:http://myhost.com/some/arbitrary/path.html will redirect to an absolute URL. The important thing is that as long as this redirect view name is injected into the controller like any other logical view name, the controller is not even aware that redirection is happening.

### 13.5.3.3. The forward: prefix

It is also possible to use a special forward: prefix for view names that will ultimately be resolved by UrlBasedViewResolver and subclasses. All this does is create an InternalResourceView (which ultimately does a RequestDispatcher.forward()) around the rest of the view name, which is considered a URL. Therefore, there is never any use in using this prefix when using InternalResourceViewResolver / InternalResourceView anyway (for JSPs for example), but it's of potential use when you are primarily using another view technology, but still want to force a forward to happen to a resource to be handled by the Servlet/JSP engine. (Note that you may also chain multiple view resolvers, instead.)

As with the redirect: prefix, if the view name with the prefix is just injected into the controller, the controller does not have to be aware that anything special is happening in terms of handling the response.

# 13.6. Using locales

Most parts of Spring's architecture support internationalization, just as the Spring web MVC framework does. DispatcherServlet enables you to automatically resolve messages using the client's locale. This is done with LocaleResolver objects.

When a request comes in, the DispatcherServlet looks for a locale resolver and if it finds one it tries to use it to set the locale. Using the RequestContext.getLocale() method, you can always retrieve the locale that was resolved by the locale resolver.

Besides the automatic locale resolution, you can also attach an interceptor to the handler mapping (see Section 13.4.3, "Intercepting requests - the HandlerInterceptor interface" for more information on handler mapping interceptors), to change the locale under specific circumstances, based on a parameter in the request, for example.

Locale resolvers and interceptors are all defined in the org.springframework.web.servlet.i18n package, and are configured in your application context in the normal way. Here is a selection of the locale resolvers included in Spring.

### 13.6.1. AcceptHeaderLocaleResolver

This locale resolver inspects the accept-language header in the request that was sent by the browser of the client. Usually this header field contains the locale of the client's operating system.

### 13.6.2. CookieLocaleResolver

This locale resolver inspects a <code>Cookie</code> that might exist on the client, to see if a locale is specified. If so, it uses that specific locale. Using the properties of this locale resolver, you can specify the name of the cookie, as well as the maximum age. Find below an example of defining a <code>CookieLocaleResolver</code>.

<bean id="localeResolver" class="org.springframework.web.servlet.i18n.CookieLocaleResolver">

Table 13.5. CookieLocaleResolver properties

Property	Default	Description
cookieName	classname + LOCALE	The name of the cookie
cookieMaxAge	Integer.MAX_INT	The maximum time a cookie will stay persistent on the client. If -1 is specified, the cookie will not be persisted. It will only be available until the client shuts down his or her browser.
cookiePath	/	Using this parameter, you can limit the visibility of the cookie to a certain part of your site. When cookiePath is specified, the cookie will only be visible to that path, and the paths below it.

### 13.6.3. SessionLocaleResolver

The SessionLocaleResolver allows you to retrieve locales from the session that might be associated with the user's request.

### 13.6.4. LocaleChangeInterceptor

You can build in changing of locales using the LocaleChangeInterceptor. This interceptor needs to be added to one of the handler mappings (see Section 13.4, "Handler mappings"). It will detect a parameter in the request and change the locale (it calls setLocale() on the LocaleResolver that also exists in the context).

```
<bean id="localeChangeInterceptor"</pre>
      class="org.springframework.web.servlet.i18n.LocaleChangeInterceptor">
    roperty name="paramName" value="siteLanguage"/>
</bean>
<bean id="localeResolver"</pre>
      class="org.springframework.web.servlet.i18n.CookieLocaleResolver"/>
<bean id="urlMapping"</pre>
      class="org.springframework.web.servlet.handler.SimpleUrlHandlerMapping">
    property name="interceptors">
       st>
            <ref bean="localeChangeInterceptor"/>
       </list>
   </property>
    property name="mappings">
        <value>/**/*.view=someController</value>
    </property>
</bean>
```

All calls to all \*.view resources containing a parameter named siteLanguage will now change the locale. So a request for the following URL, http://www.sf.net/home.view?siteLanguage=nl will change the site language to Dutch.

# 13.7. Using themes

#### 13.7.1. Introduction

The *theme* support provided by the Spring web MVC framework enables you to further enhance the user experience by allowing the look and feel of your application to be *themed*. A theme is basically a collection of static resources affecting the visual style of the application, typically style sheets and images.

# 13.7.2. Defining themes

When you want to use themes in your web application you'll have to set up org.springframework.ui.context.ThemeSource. The WebApplicationContext interface Themesource but delegates its responsibilities to a dedicated implementation. By default the delegate will be a org.springframework.ui.context.support.ResourceBundleThemeSource that loads properties files from the root of the classpath. If you want to use a custom ThemeSource implementation or if you need to configure the basename prefix of the ResourceBundleThemeSource, you can register a bean in the application context with the reserved name "themeSource". The web application context will automatically detect that bean and start using it.

When using the ResourceBundleThemeSource, a theme is defined in a simple properties file. The properties file lists the resources that make up the theme. Here is an example:

```
styleSheet=/themes/cool/style.css
background=/themes/cool/img/coolBg.jpg
```

The keys of the properties are the names used to refer to the themed elements from view code. For a JSP this would typically be done using the <code>spring:theme</code> custom tag, which is very similar to the <code>spring:message</code> tag. The following JSP fragment uses the theme defined above to customize the look and feel:

By default, the ResourceBundleThemeSource uses an empty basename prefix. As a result the properties files will be loaded from the root of the classpath, so we'll have to put our cool.properties theme definition in a directory at the root of the classpath, e.g. in /WEB-INF/classes. Note that the ResourceBundleThemeSource uses the standard Java resource bundle loading mechanism, allowing for full internationalization of themes. For instance, we could have a /WEB-INF/classes/cool\_nl.properties that references a special background image, e.g. with Dutch text on it.

#### 13.7.3. Theme resolvers

Now that we have our themes defined, the only thing left to do is decide which theme to use. The DispatcherServlet will look for a bean named "themeResolver" to find out which ThemeResolver implementation to use. A theme resolver works in much the same way as a LocaleResolver. It can detect the theme that should be used for a particular request and can also alter the request's theme. The following theme

resolvers are provided by Spring:

Table 13.6. ThemeResolver implementations

Class	Description
FixedThemeResolver	Selects a fixed theme, set using the "defaultThemeName" property.
SessionThemeResolver	The theme is maintained in the users HTTP session. It only needs to be set once for each session, but is not persisted between sessions.
CookieThemeResolver	The selected theme is stored in a cookie on the user-agent's machine.

Spring also provides a ThemeChangeInterceptor, which allows changing the theme on every request by including a simple request parameter.

# 13.8. Spring's multipart (fileupload) support

#### 13.8.1. Introduction

Spring has built-in multipart support to handle fileuploads in web applications. The design for the multipart support is done with pluggable MultipartResolver objects, defined in the org.springframework.web.multipart package. Out of the box, Spring provides a MultipartResolver for use with *Commons FileUpload* (<a href="http://jakarta.apache.org/commons/fileupload">http://jakarta.apache.org/commons/fileupload</a>). How uploading files is supported will be described in the rest of this chapter.

By default, no multipart handling will be done by Spring, as some developers will want to handle multiparts themselves. You will have to enable it yourself by adding a multipart resolver to the web application's context. After you have done that, each request will be inspected to see if it contains a multipart. If no multipart is found, the request will continue as expected. However, if a multipart is found in the request, the MultipartResolver that has been declared in your context will be used. After that, the multipart attribute in your request will be treated like any other attribute.

# 13.8.2. Using the MultipartResolver

The following example shows how to use the CommonsMultipartResolver:

This is an example using the CosMultipartResolver:

```
<bean id="multipartResolver" class="org.springframework.web.multipart.cos.CosMultipartResolver">
     <!-- one of the properties available; the maximum file size in bytes -->
          cproperty name="maxUploadSize" value="100000"/>
     </bean>
```

Of course you also need to put the appropriate jars in your classpath for the multipart resolver to work. In the

case of the CommonsMultipartResolver, you need to use commons-fileupload.jar; in the case of the CosMultipartResolver, use cos.jar.

Now that you have seen how to set Spring up to handle multipart requests, let's talk about how to actually use it. When the Spring <code>DispatcherServlet</code> detects a multi-part request, it activates the resolver that has been declared in your context and hands over the request. What the resolver then does is wrap the current <code>HttpServletRequest</code> into a <code>MultipartHttpServletRequest</code> that has support for multipart file uploads. Using the <code>MultipartHttpServletRequest</code> you can get information about the multiparts contained by this request and actually get access to the multipart files themselves in your controllers.

# 13.8.3. Handling a file upload in a form

After the MultipartResolver has finished doing its job, the request will be processed like any other. To use it, you create a form with an upload field (see immediately below), then let Spring bind the file onto your form (backing object). To actually let the user upload a file, we have to create a (HTML) form:

As you can see, we've created a field named after the property of the bean that holds the byte[]. Furthermore we've added the encoding attribute (enctype="multipart/form-data") which is necessary to let the browser know how to encode the multipart fields (do not forget this!).

Just as with any other property that's not automagically convertible to a string or primitive type, to be able to put binary data in your objects you have to register a custom editor with the ServletRequestDatabinder. There are a couple of editors available for handling files and setting the results on an object. There's a StringMultipartEditor capable of converting files to Strings (using a user-defined character set) and there is a ByteArrayMultipartEditor which converts files to byte arrays. They function just as the CustomDateEditor does.

So, to be able to upload files using a (HTML) form, declare the resolver, a url mapping to a controller that will process the bean, and the controller itself.

```
<beans>
 <!-- lets use the Commons-based implementation of the MultipartResolver interface -->
   <bean id="multipartResolver'</pre>
       class="org.springframework.web.multipart.commons.CommonsMultipartResolver"/>
   <bean id="urlMapping" class="org.springframework.web.servlet.handler.SimpleUrlHandlerMapping">
       property name="mappings">
           <value>
                /upload.form=fileUploadController
           </value>
       </property>
   </bean>
   <bean id="fileUploadController" class="examples.FileUploadController">
       commandClass" value="examples.FileUploadBean"/>
       cproperty name="formView" value="fileuploadform"/>
       cproperty name="successView" value="confirmation"/>
   </bean>
```

```
</beans>
```

After that, create the controller and the actual class to hold the file property.

```
public class FileUploadController extends SimpleFormController {
    protected ModelAndView onSubmit(HttpServletRequest request, HttpServletResponse response,
            Object command, BindException errors) throws ServletException, IOException {
         // cast the bean
        FileUploadBean bean = (FileUploadBean) command;
         let's see if there's content there
        byte[] file = bean.getFile();
        if (file == null) {
             // hmm, that's strange, the user did not upload anything
         // well, let's do nothing with the bean for now and return
        return super.onSubmit(request, response, command, errors);
    protected void initBinder(HttpServletRequest request, ServletRequestDataBinder binder)
        throws ServletException {
        // to actually be able to convert Multipart instance to byte[]
        // we have to register a custom editor
        binder.register {\tt CustomEditor(byte[].class, new ByteArrayMultipartFileEditor());}\\
        // now Spring knows how to handle multipart object and convert them
public class FileUploadBean {
   private byte[] file;
    public void setFile(byte[] file) {
        this.file = file;
    public byte[] getFile() {
       return file;
```

As you can see, the FileUploadBean has a property typed byte[] that holds the file. The controller registers a custom editor to let Spring know how to actually convert the multipart objects the resolver has found to properties specified by the bean. In this example, nothing is done with the byte[] property of the bean itself, but in practice you can do whatever you want (save it in a database, mail it to somebody, etc).

An equivalent example in which a file is bound straight to a String-typed property on a (form backing) object might look like:

```
// to actually be able to convert Multipart instance to a String
    // we have to register a custom editor
    binder.registerCustomEditor(String.class, new StringMultipartFileEditor());
    // now Spring knows how to handle multipart object and convert them
}

public class FileUploadBean {
    private String file;
    public void setFile(String file) {
        this.file = file;
    }

    public String getFile() {
        return file;
    }
}
```

Of course, this last example only makes (logical) sense in the context of uploading a plain text file (it wouldn't work so well in the case of uploading an image file).

The third (and final) option is where one binds directly to a MultipartFile property declared on the (form backing) object's class. In this case one does not need to register any custom PropertyEditor because there is no type conversion to be performed.

```
public class FileUploadController extends SimpleFormController {
   Object command, BindException errors) throws ServletException, IOException {
        // cast the bean
       FileUploadBean bean = (FileUploadBean) command;
       let's see if there's content there
       MultipartFile file = bean.getFile();
       if (file == null) {
           // hmm, that's strange, the user did not upload anything
       // well, let's do nothing with the bean for now and return
      return super.onSubmit(request, response, command, errors);
}
public class FileUploadBean {
   private MultipartFile file;
   public void setFile(MultipartFile file) {
       this.file = file;
   public MultipartFile getFile() {
      return file;
}
```

# 13.9. Handling exceptions

Spring provides HandlerExceptionResolvers to ease the pain of unexpected exceptions occurring while your request is being handled by a controller which matched the request. HandlerExceptionResolvers somewhat resemble the exception mappings you can define in the web application descriptor web.xml. However, they provide a more flexible way to handle exceptions. They provide information about what handler was executing when the exception was thrown. Furthermore, a programmatic way of handling exception gives you many more

options for how to respond appropriately before the request is forwarded to another URL (the same end result as when using the servlet specific exception mappings).

Besides implementing the HandlerExceptionResolver interface, which is only a matter of implementing the resolveException(Exception, Handler) method and returning a ModelAndView, you may also use the SimpleMappingExceptionResolver. This resolver enables you to take the class name of any exception that might be thrown and map it to a view name. This is functionally equivalent to the exception mapping feature from the Servlet API, but it's also possible to implement more finely grained mappings of exceptions from different handlers.

# 13.10. Convention over configuration

For a lot of projects, sticking to established conventions and having reasonable defaults is just what they (the projects) need... this theme of convention-over-configuration now has explicit support in Spring Web MVC. What this means is that if you establish a set of naming conventions and suchlike, you can *substantially* cut down on the amount of configuration that is required to set up handler mappings, view resolvers, ModelAndView instances, etc. This is a great boon with regards to rapid prototyping, and can also lend a degree of (always good-to-have) consistency across a codebase should you choose to move forward with it into production.

This convention over configuration support address the three core areas of MVC - namely, the models, views, and controllers.

### 13.10.1. The Controller - ControllerClassNameHandlerMapping

The ControllerClassNameHandlerMapping class is a HandlerMapping implementation that uses a convention to determine the mapping between request URLs and the Controller instances that are to handle those requests.

An example; consider the following (simplistic) Controller implementation. Take especial notice of the *name* of the class.

```
public class ViewShoppingCartController implements Controller {
    public ModelAndView handleRequest(HttpServletRequest request, HttpServletResponse response) {
        // the implementation is not hugely important for this example...
    }
}
```

Here is a snippet from the attendent Spring Web MVC configuration file...

The ControllerClassNameHandlerMapping finds all of the various handler (or Controller) beans defined in its application context and strips 'Controller' off the name to define its handler mappings.

Let's look at some more examples so that the central idea becomes immediately familiar.

- WelcomeController maps to the '/welcome\*' request URL
- HomeController maps to the '/home\*' request URL

- IndexController maps to the '/index\*' request URL
- RegisterController maps to the '/register\*' request URL
- DisplayShoppingCartController maps to the '/displayshoppingcart\*' request URL

(Notice the casing - all lowercase - in the case of camel-cased Controller class names.)

In the case of MultiActionController handler classes, the mappings generated are (ever so slightly) more complex, but hopefully no less understandable. Some examples (all of the Controller names in this next bit are assumed to be MultiActionController implementations).

- AdminController maps to the '/admin/\*' request URL
- CatalogController maps to the '/catalog/\*' request URL

If you follow the pretty standard convention of naming your controller implementations as xxxController, then the ControllerClassNameHandlerMapping will save you the tedium of having to firstly define and then having to maintain a potentially *looooong* SimpleUrlHandlerMapping (or suchlike).

The ControllerClassNameHandlerMapping class extends the AbstractHandlerMapping base class so you can define HandlerInterceptor instances and everything else just like you would with many other HandlerMapping implementations.

## 13.10.2. The Model - ModelMap (ModelAndView)

The ModelMap class is essentially a glorified Map that can make adding objects that are to be displayed in (or on) a View adhere to a common naming convention. Consider the following Controller implementation; notice that objects are added to the ModelAndView without any associated name being specified.

```
public class DisplayShoppingCartController implements Controller {
    public ModelAndView handleRequest(HttpServletRequest request, HttpServletResponse response) {
        List cartItems = // get a List of CartItem objects
        User user = // get the User doing the shopping

        ModelAndView mav = new ModelAndView("displayShoppingCart"); <-- the logical view name

        mav.addObject(cartItems); <-- look ma, no name, just the object
        mav.addObject(user); <-- and again ma!

        return mav;
    }
}</pre>
```

The ModelAndView class uses a ModelMap class that is a custom Map implementation that automatically generates a key for an object when an object is added to it. The strategy for determining the name for an added object is, in the case of a scalar object such as User, to use the short class name of the object's class. Find below some examples of the names that are generated for scalar objects put into a ModelMap instance.

- An x.y. User instance added will have the name 'user' generated
- An x.y.Registration instance added will have the name 'registration' generated
- An x.y.Foo instance added will have the name 'foo' generated

- A java.util.HashMap instance added will have the name 'hashMap' generated (you'll probably want to be explicit about the name in this case because 'hashMap' is less than intuitive).
- Adding null will result in an IllegalArgumentException being thrown. If the object (or objects) that you are adding could potentially be null, then you will also want to be explicit about the name).

#### What, no automatic pluralisation?

Spring Web MVC's convention over configuration support does not support automatic pluralisation. That is to say, you cannot add a List of Person objects to a ModelAndView and have the generated name be 'people'.

This decision was taken after some debate, with the "Principle of Least Surprise" winning out in the end.

The strategy for generating a name after adding a Set, List or array object is to peek into the collection, take the short class name of the first object in the collection, and use that with 'List' appended to the name. Some examples will make the semantics of name generation for collections clearer...

- An x.y.User[] array with one or more x.y.User elements added will have the name 'userList' generated
- An x.y.Foo[] array with one or more x.y.User elements added will have the name 'fooList' generated
- A java.util.ArrayList with one or more x.y.User elements added will have the name 'userList' generated
- A java.util.HashSet with one or more x.y.Foo elements added will have the name 'fooList' generated
- An **empty** java.util.ArrayList will not be added at all (i.e. the addObject(..) call will essentially be a no-op).

# 13.10.3. The View - RequestToViewNameTranslator

The RequestToViewNameTranslator interface is responsible for determining a logical view name when no such logical view name is explicitly supplied. It has just one implementation, the rather cunningly named DefaultRequestToViewNameTranslator class.

The DefaultRequestToViewNameTranslator maps request URLs to logical view names in a fashion that is probably best explained by recourse to an example.

```
public class RegistrationController implements Controller {
    public ModelAndView handleRequest(HttpServletRequest request, HttpServletResponse response) {
        // process the request...
        ModelAndView mav = new ModelAndView();
        // add data as necessary to the model...
        return mav;
        // notice that no View or logical view name has been set
    }
}
```

Notice how in the implementation of the handlerequest(..) method no View or logical view name is ever set on the ModelandView that is returned. It is the DefaultrequestToViewNameTranslator that will be tasked with generating a logical view name from the URL of the request. In the case of the above RegistrationController, which is being used in conjunction with the ControllerClassNameHandlerMapping, a request URL of 'http://localhost/registration.html' will result in a logical view name of 'registration' being generated by the DefaultrequestToViewNameTranslator. This logical view name will then be resolved into the '/WEB-INF/jsp/registration.jsp' view by the InternalResourceViewResolver bean.



### Tip

You don't even need to define a DefaultRequestToViewNameTranslator bean explicitly. If you are okay with the default settings of the DefaultRequestToViewNameTranslator, then you can rely on the fact that the Spring Web MVC DispatcherServlet will actually instantiate an instance of this class if one is not explicitly configured.

Of course, if you need to change the default settings, then you do need to configure your own DefaultRequestToViewNameTranslator bean explicitly. Please do consult the quite comprehensive Javadoc for the DefaultRequestToViewNameTranslator class for details of the various properties that can be configured.

# 13.11. Annotation-based controller configuration

There is a current trend to favor annotations over XML files for some types of configuration data. To facilitate this, Spring is now (since 2.5) providing support for configuring the MVC framework components using annotations.

Spring 2.5 introduces an annotation-based programming model for MVC controllers, using annotations such as <code>@RequestMapping</code>, <code>@RequestParam</code>, <code>@ModelAttribute</code>, etc. This annotation support is available for both Servlet MVC and Portlet MVC. Controllers implemented in this style do not have to extend specific base classes or implement specific interfaces. Furthermore, they do not usually have direct dependencies on Servlet or Portlet API's, although they can easily get access to Servlet or Portlet facilities if desired.



### Tip

The Spring distribution ships with the *PetClinic* sample, which is a web application that takes advantage of the annotation support described in this section, in the context of simple form processing. You can find the *PetClinic* application in the 'samples/petclinic' directory.

For a further sample application that builds on annotation-based Web MVC, check out imagedb.

The focus in that sample is on stateless multi-action controllers, including the processing of multipart file uploads. You can find the *imagedb* application in the 'samples/imagedb' directory.

The following sections document these annotations and how they are most commonly used in a Servlet environment.

## 13.11.1. Setting up the dispatcher for annotation support

@RequestMapping will only be processed if a corresponding HandlerMapping (for type level annotations) and/or HandlerAdapter (for method level annotations) is present in the dispatcher. This is the case by default in both DispatcherServlet and DispatcherPortlet.

However, if you are defining custom HandlerMappings or HandlerAdapters, then you need to make sure that a corresponding custom DefaultAnnotationHandlerMapping and/or AnnotationMethodHandlerAdapter is defined as well - provided that you intend to use @RequestMapping.

Defining a DefaultAnnotationHandlerMapping and/or AnnotationMethodHandlerAdapter explicitly also makes sense if you would like to customize the mapping strategy, e.g. specifying a custom PathMatcher or WebBindingInitializer (see below).

# 13.11.2. Defining a controller with @Controller

The @controller annotation indicates that a particular class serves the role of a *controller*. There is no need to extend any controller base class or reference the Servlet API. You are of course still able to reference Servlet-specific features if you need to.

The basic purpose of the @Controller annotation is to act as a stereotype for the annotated class, indicating its role. The dispatcher will scan such annotated classes for mapped methods, detecting @RequestMapping annotations (see the next section).

Annotated controller beans may be defined explicitly, using a standard Spring bean definition in the dispatcher's context. However, the @Controller stereotype also allows for autodetection, aligned with Spring 2.5's general support for detecting component classes in the classpath and auto-registering bean definitions for them.

To enable autodetection of such annotated controllers, you have to add component scanning to your configuration. This is easily achieved by using the *spring-context* schema as shown in the following XML snippet:

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xmlns:p="http://www.springframework.org/schema/p"</pre>
```

```
xmlns:context="http://www.springframework.org/schema/context"
xsi:schemaLocation="
   http://www.springframework.org/schema/beans
http://www.springframework.org/schema/beans/spring-beans-2.5.xsd
http://www.springframework.org/schema/context
http://www.springframework.org/schema/context/spring-context-2.5.xsd">
        <context:component-scan base-package="org.springframework.samples.petclinic.web"/>
        // ...

xsmlns:context="http://www.springframework.org/schema/context/spring-context-2.5.xsd">

// ...

// ...
```

## 13.11.3. Mapping requests with @RequestMapping

The <code>@RequestMapping</code> annotation is used to map URLs like '/editPet.do' onto an entire class or a particular handler method. Typically the type-level annotation maps a specific request path (or path pattern) onto a form controller, with additional method-level annotations 'narrowing' the primary mapping for a specific HTTP method request method ("GET"/"POST") or specific HTTP request parameters.



## Tip

@RequestMapping at the type level may be used for plain implementations of the Controller interface as well. In this case, the request processing code would follow the traditional handleRequest signature, while the controller's mapping would be expressed through an @RequestMapping annotation. This works for pre-built Controller base classes, such as SimpleFormController, too.

In the following discussion, we'll focus on controllers that are based on annotated handler methods.

The following is an example of a form controller from the PetClinic sample application using this annotation:

```
@Controller
@RequestMapping("/editPet.do")
@SessionAttributes("pet")
public class EditPetForm {
    private final Clinic clinic;
    @Autowired
    public EditPetForm(Clinic clinic) {
        this.clinic = clinic;
    @ModelAttribute("types")
    public Collection<PetType> populatePetTypes() {
        return this.clinic.getPetTypes();
    @RequestMapping(method = RequestMethod.GET)
    public String setupForm(@RequestParam("petId") int petId, ModelMap model) {
        Pet pet = this.clinic.loadPet(petId);
        model.addAttribute("pet", pet);
        return "petForm";
    @RequestMapping(method = RequestMethod.POST)
    public String processSubmit(
            @ModelAttribute("pet") Pet pet, BindingResult result, SessionStatus status) {
        new PetValidator().validate(pet, result);
        if (result.hasErrors()) {
            return "petForm";
        else {
            this.clinic.storePet(pet);
```

```
status.setComplete();
    return "redirect:owner.do?ownerId=" + pet.getOwner().getId();
}
}
```

For a traditional multi-action controller the URLs are typically mapped directly on the methods since the controller responds to multiple URLs. The following is an example of a multi-action controller from the PetClinic sample application using <code>@RequestMapping</code>:

```
@Controller
public class ClinicController {
    private final Clinic clinic;
    public ClinicController(Clinic clinic) {
        this.clinic = clinic;
     * Custom handler for the welcome view.
     ^{\star} Note that this handler relies on the RequestToViewNameTranslator to
     * determine the logical view name based on the request URL: "/welcome.do"
       -> "welcome".
    @RequestMapping("/welcome.do")
    public void welcomeHandler() {
     * Custom handler for displaying vets.
     * Note that this handler returns a plain {@link ModelMap} object instead of
     * a ModelAndView, thus leveraging convention-based model attribute names.
     * It relies on the RequestToViewNameTranslator to determine the logical
     * view name based on the request URL: "/vets.do" -> "vets".
     * @return a ModelMap with the model attributes for the view
    @RequestMapping("/vets.do")
    public ModelMap vetsHandler() {
       return new ModelMap(this.clinic.getVets());
     * Custom handler for displaying an owner.
     * Note that this handler returns a plain {@link ModelMap} object instead of
     * a ModelAndView, thus leveraging convention-based model attribute names.
     * It relies on the RequestToViewNameTranslator to determine the logical
      view name based on the request URL: "/owner.do"
                                                       -> "owner".
     * @param ownerId the ID of the owner to display
     * @return a ModelMap with the model attributes for the view
    @RequestMapping("/owner.do")
    public ModelMap ownerHandler(@RequestParam("ownerId") int ownerId) {
        return new ModelMap(this.clinic.loadOwner(ownerId));
```

#### 13.11.3.1. Advanced @RequestMapping options

Ant-style path patterns are supported (e.g. "/myPath/\*.do"). At the method level, relative paths (e.g. "edit.do") are supported within the primary mapping expressed at the type level.

The handler method names are taken into account for narrowing if no path was specified explicitly, according to the specified org.springframework.web.servlet.mvc.multiaction.MethodNameResolver (by default an org.springframework.web.servlet.mvc.multiaction.InternalPathMethodNameResolver). Note that this only applies in case of ambiguous annotation mappings that do not specify a path mapping explicitly. In other words, the method name is only used for narrowing among a set of matching methods; it does not constitute a primary path mapping itself.

If you have a single default method (without explicit path mapping), then all requests without a more specific mapped method found will be dispatched to it. If you have multiple such default methods, then the method name will be taken into account for choosing between them.

Path mappings can be narrowed through parameter conditions: a sequence of "myParam=myValue" style expressions, with a request only mapped if each such parameter is found to have the given value. "myParam" style expressions are also supported, with such parameters having to be present in the request (allowed to have any value). Finally, "!myParam" style expressions indicate that the specified parameter is *not* supposed to be present in the request.

## 13.11.4. Supported handler method arguments and return types

Handler methods which are annotated with <code>@RequestMapping</code> are allowed to have very flexible signatures. They may have arguments of the following types, in arbitrary order (except for validation results, which need to follow right after the corresponding command object, if desired):

- Request and/or response objects (Servlet API). You may choose any specific request/response type, e.g. ServletRequest / HttpServletRequest.
- Session object (Servlet API): of type HttpSession. An argument of this type will enforce the presence of a corresponding session. As a consequence, such an argument will never be null. Note that session access may not be thread-safe, in particular in a Servlet environment: Consider switching the AnnotationMethodHandlerAdapter's "synchronizeOnSession" flag to "true" if multiple requests are allowed to access a session concurrently.
- org.springframework.web.context.request.WebRequest Or org.springframework.web.context.request.NativeWebRequest. Allows for generic request parameter access as well as request/session attribute access, without ties to the native Servlet/Portlet API.
- java.util.Locale for the current request locale (determined by the most specific locale resolver available, i.e. the configured LocaleResolver in a Servlet environment).
- java.io.InputStream / java.io.Reader for access to the request's content. This will be the raw InputStream/Reader as exposed by the Servlet API.
- java.io.OutputStream / java.io.Writer for generating the response's content. This will be the raw OutputStream/Writer as exposed by the Servlet API.
- @RequestParam annotated parameters for access to specific Servlet request parameters. Parameter values will be converted to the declared method argument type.
- java.util.Map / org.springframework.ui.Model / org.springframework.ui.ModelMap for enriching the implicit model that will be exposed to the web view.
- Command/form objects to bind parameters to: as bean properties or fields, with customizable type conversion, depending on @InitBinder methods and/or the HandlerAdapter configuration see the "webBindingInitializer" property on AnnotationMethodHandlerAdapter. Such command objects along with their validation results will be exposed as model attributes, by default using the non-qualified command class name in property notation (e.g. "orderAddress" for type "mypackage.OrderAddress"). Specify a parameter-level ModelAttribute annotation for declaring a specific model attribute name.
- org.springframework.validation.Errors / org.springframework.validation.BindingResult validation results for a preceding command/form object (the immediate preceding argument).

• org.springframework.web.bind.support.SessionStatus status handle for marking form processing as complete (triggering the cleanup of session attributes that have been indicated by the @SessionAttributes annotation at the handler type level).

The following return types are supported for handler methods:

- A ModelAndView object, with the model implicitly enriched with command objects and the results of @ModelAttribute annotated reference data accessor methods.
- A Model object, with the view name implicitly determined through a RequestToViewNameTranslator and the model implicitly enriched with command objects and the results of @ModelAttribute annotated reference data accessor methods.
- A Map object for exposing a model, with the view name implicitly determined through a RequestToViewNameTranslator and the model implicitly enriched with command objects and the results of @ModelAttribute annotated reference data accessor methods.
- A View object, with the model implicitly determined through command objects and @ModelAttribute annotated reference data accessor methods. The handler method may also programmatically enrich the model by declaring a Model argument (see above).
- A string value which is interpreted as view name, with the model implicitly determined through command objects and @ModelAttribute annotated reference data accessor methods. The handler method may also programmatically enrich the model by declaring a Model argument (see above).
- void if the method handles the response itself (by writing the response content directly, declaring an argument of type ServletResponse / HttpServletResponse for that purpose) or if the view name is supposed to be implicitly determined through a RequestToViewNameTranslator (not declaring a response argument in the handler method signature).
- Any other return type will be considered as single model attribute to be exposed to the view, using the attribute name specified through @ModelAttribute at the method level (or the default attribute name based on the return type's class name otherwise). The model will be implicitly enriched with command objects and the results of @ModelAttribute annotated reference data accessor methods.

# 13.11.5. Binding request parameters to method parameters with

### @RequestParam

The @RequestParam annotation is used to bind request parameters to a method parameter in your controller.

The following code snippet from the PetClinic sample application shows the usage:

```
@Controller
@RequestMapping("/editPet.do")
@SessionAttributes("pet")
public class EditPetForm {

    // ...

    @RequestMapping(method = RequestMethod.GET)
    public String setupForm(@RequestParam("petId") int petId, ModelMap model) {
        Pet pet = this.clinic.loadPet(petId);
        model.addAttribute("pet", pet);
        return "petForm";
    }

    // ...
```

Parameters using this annotation are required by default, but you can specify that a parameter is optional by setting @RequestParam's required attribute to false (e.g., @RequestParam(value="id", required="false")).

## 13.11.6. Providing a link to data from the model with @ModelAttribute

<code>@ModelAttribute</code> has two usage scenarios in controllers. When placed on a method parameter, <code>@ModelAttribute</code> is used to map a model attribute to the specific, annotated method parameter (see the <code>processSubmit()</code> method below). This is how the controller gets a reference to the object holding the data entered in the form. In addition, the parameter can be declared as the specific type of the form backing object rather than as a generic <code>java.lang.object</code>, thus increasing type safety.

<code>@ModelAttribute</code> is also used at the method level to provide *reference data* for the model (see the <code>populatePetTypes()</code> method below). For this usage the method signature can contain the same types as documented above for the <code>@RequestMapping</code> annotation.

*Note:* @ModelAttribute annotated methods will be executed *before* the chosen @RequestMapping annotated handler method. They effectively pre-populate the implicit model with specific attributes, often loaded from a database. Such an attribute can then already be accessed through @ModelAttribute annotated handler method parameters in the chosen handler method, potentially with binding and validation applied to it.

The following code snippet shows these two usages of this annotation:

```
@Controller
@RequestMapping("/editPet.do")
@SessionAttributes("pet")
public class EditPetForm {
    @ModelAttribute("types")
    public Collection<PetType> populatePetTypes() {
        return this.clinic.getPetTypes();
    @RequestMapping(method = RequestMethod.POST)
    public String processSubmit(
            @ModelAttribute("pet") Pet pet, BindingResult result, SessionStatus status) {
        new PetValidator().validate(pet, result);
        if (result.hasErrors()) {
            return "petForm";
        else {
            this.clinic.storePet(pet);
            status.setComplete();
            return "redirect:owner.do?ownerId=" + pet.getOwner().getId();
        }
    }
}
```

# 13.11.7. Specifying attributes to store in a Session with @SessionAttributes

The type-level @SessionAttributes annotation declares session attributes used by a specific handler. This will typically list the names of model attributes which should be transparently stored in the session or some conversational storage, serving as form-backing beans between subsequent requests.

The following code snippet shows the usage of this annotation:

```
@Controller
@RequestMapping("/editPet.do")
@SessionAttributes("pet")
public class EditPetForm {
```

```
} // ...
```

## 13.11.8. Customizing WebDataBinder initialization

To customize request parameter binding with PropertyEditors, etc. via Spring's WebDataBinder, you can either use @InitBinder-annotated methods within your controller or externalize your configuration by providing a custom WebBindingInitializer.

#### 13.11.8.1. Customizing data binding with @InitBinder

Annotating controller methods with @InitBinder allows you to configure web data binding directly within your controller class. @InitBinder identifies methods which initialize the WebDataBinder which will be used for populating command and form object arguments of annotated handler methods.

Such init-binder methods support all arguments that @RequestMapping supports, except for command/form objects and corresponding validation result objects. Init-binder methods must not have a return value. Thus, they are usually declared as void. Typical arguments include WebDataBinder in combination with WebRequest or java.util.Locale, allowing code to register context-specific editors.

The following example demonstrates the use of @InitBinder for configuring a CustomDateEditor for all java.util.Date form properties.

```
@Controller
public class MyFormController {

@InitBinder
public void initBinder(WebDataBinder binder) {
    SimpleDateFormat dateFormat = new SimpleDateFormat("yyyy-MM-dd");
    dateFormat.setLenient(false);
    binder.registerCustomEditor(Date.class, new CustomDateEditor(dateFormat, false));
}

// ...
}
```

#### 13.11.8.2. Configuring a custom WebBindingInitializer

To externalize data binding initialization, you can provide a custom implementation of the WebBindingInitializer interface, which you then enable by supplying a custom bean configuration for an AnnotationMethodHandlerAdapter, thus overriding the default configuration.

The following example from the PetClinic application shows a configuration using a custom implementation of the WebBindingInitializer interface, org.springframework.samples.petclinic.web.ClinicBindingInitializer, which configures PropertyEditors required by several of the PetClinic controllers.

# 13.12. Further Resources

Find below links and pointers to further resources about Spring Web MVC.

- The Spring distribution ships with a Spring Web MVC tutorial that guides the reader through building a complete Spring Web MVC-based application using a step-by-step approach. This tutorial is available in the 'docs' directory of the Spring distribution. An online version can also be found on the <a href="Spring Framework website">Spring Framework website</a>.
- The book entitled "Expert Spring Web MVC and Web Flow" by Seth Ladd and others (published by Apress) is an excellent hardcopy source of Spring Web MVC goodness.

# **Chapter 14. View technologies**

### 14.1. Introduction

One of the areas in which Spring excels is in the separation of view technologies from the rest of the MVC framework. For example, deciding to use Velocity or XSLT in place of an existing JSP is primarily a matter of configuration. This chapter covers the major view technologies that work with Spring and touches briefly on how to add new ones. This chapter assumes you are already familiar with Section 13.5, "Views and resolving them" which covers the basics of how views in general are coupled to the MVC framework.

## 14.2. JSP & JSTL

Spring provides a couple of out-of-the-box solutions for JSP and JSTL views. Using JSP or JSTL is done using a normal view resolver defined in the WebApplicationContext. Furthermore, of course you need to write some JSPs that will actually render the view.

#### 14.2.1. View resolvers

Just as with any other view technology you're integrating with Spring, for JSPs you'll need a view resolver that will resolve your views. The most commonly used view resolvers when developing with JSPs are the InternalResourceViewResolver and the ResourceBundleViewResolver. Both are declared in the WebApplicationContext:

As you can see, the ResourceBundleViewResolver needs a properties file defining the view names mapped to 1) a class and 2) a URL. With a ResourceBundleViewResolver you can mix different types of views using only one resolver.

The InternalResourceBundleViewResolver can be configured for using JSPs as described above. As a best practice, we strongly encourage placing your JSP files in a directory under the 'WEB-INF' directory, so there can be no direct access by clients.

#### 14.2.2. 'Plain-old' JSPs versus JSTL

When using the Java Standard Tag Library you must use a special view class, the Jstlview, as JSTL needs

some preparation before things such as the i18N features will work.

# 14.2.3. Additional tags facilitating development

Spring provides data binding of request parameters to command objects as described in earlier chapters. To facilitate the development of JSP pages in combination with those data binding features, Spring provides a few tags that make things even easier. All Spring tags have *HTML escaping* features to enable or disable escaping of characters.

The tag library descriptor (TLD) is included in the spring.jar as well in the distribution itself. Further information about the individual tags can be found in the appendix entitled Appendix D, *spring.tld*.

# 14.2.4. Using Spring's form tag library

As of version 2.0, Spring provides a comprehensive set of data binding-aware tags for handling form elements when using JSP and Spring Web MVC. Each tag provides support for the set of attributes of its corresponding HTML tag counterpart, making the tags familiar and intuitive to use. The tag-generated HTML is HTML 4.01/XHTML 1.0 compliant.

Unlike other form/input tag libraries, Spring's form tag library is integrated with Spring Web MVC, giving the tags access to the command object and reference data your controller deals with. As you will see in the following examples, the form tags make JSPs easier to develop, read and maintain.

Let's go through the form tags and look at an example of how each tag is used. We have included generated HTML snippets where certain tags require further commentary.

### 14.2.4.1. Configuration

The form tag library comes bundled in spring. jar. The library descriptor is called spring-form.tld.

To use the tags from this library, add the following directive to the top of your JSP page:

```
<%@ taglib prefix="form" uri="http://www.springframework.org/tags/form" %>
```

... where form is the tag name prefix you want to use for the tags from this library.

#### 14.2.4.2. The form tag

This tag renders an HTML 'form' tag and exposes a binding path to inner tags for binding. It puts the command object in the PageContext so that the command object can be accessed by inner tags. All the other tags in this library are nested tags of the form tag.

Let's assume we have a domain object called User. It is a JavaBean with properties such as firstName and lastName. We will use it as the form backing object of our form controller which returns form. jsp. Below is an example of what form. jsp would look like:

The firstName and lastName values are retrieved from the command object placed in the PageContext by the page controller. Keep reading to see more complex examples of how inner tags are used with the form tag.

The generated HTML looks like a standard form:

The preceding JSP assumes that the variable name of the form backing object is 'command'. If you have put the form backing object into the model under another name (definitely a best practice), then you can bind the form to the named variable like so:

#### 14.2.4.3. The input tag

This tag renders an HTML 'input' tag with type 'text' using the bound value. For an example of this tag, see Section 14.2.4.2, "The form tag".

#### 14.2.4.4. The checkbox tag

This tag renders an HTML 'input' tag with type 'checkbox'.

Let's assume our User has preferences such as newsletter subscription and a list of hobbies. Below is an example of the Preferences class:

```
public class Preferences {
```

```
private boolean receiveNewsletter;
   private String[] interests;
   private String favouriteWord;
   public boolean isReceiveNewsletter() {
       return receiveNewsletter;
   public void setReceiveNewsletter(boolean receiveNewsletter) {
        this.receiveNewsletter = receiveNewsletter;
   public String[] getInterests() {
       return interests;
   public void setInterests(String[] interests) {
       this.interests = interests;
   public String getFavouriteWord() {
       return favouriteWord;
   public void setFavouriteWord(String favouriteWord) {
       this.favouriteWord = favouriteWord;
}
```

#### The form. jsp would look like:

```
<form:form>
     Subscribe to newsletter?:
            <%-- Approach 1: Property is of type java.lang.Boolean --%>
            <form:checkbox path="preferences.receiveNewsletter"/>
         >
            Interests:
            <%-- Approach 2: Property is of an array or of type java.util.Collection --%>
                Quidditch: <form:checkbox path="preferences.interests" value="Quidditch"/>
                Herbology: <form:checkbox path="preferences.interests" value="Herbology"/>
                Defence Against the Dark Arts: <form:checkbox path="preferences.interests"
                   value="Defence Against the Dark Arts"/>
            Favourite Word:
                <%-- Approach 3: Property is of type java.lang.Object --%>
                Magic: <form:checkbox path="preferences.favouriteWord" value="Magic"/>
            </form:form>
```

There are 3 approaches to the checkbox tag which should meet all your checkbox needs.

- Approach One When the bound value is of type <code>java.lang.Boolean</code>, the <code>input(checkbox)</code> is marked as 'checked' if the bound value is <code>true</code>. The <code>value</code> attribute corresponds to the resolved value of the <code>setValue(Object)</code> value property.
- Approach Two When the bound value is of type array or java.util.Collection, the input(checkbox) is marked as 'checked' if the configured setValue(Object) value is present in the bound Collection.

• Approach Three - For any other bound value type, the input(checkbox) is marked as 'checked' if the configured setValue(Object) is equal to the bound value.

Note that regardless of the approach, the same HTML structure is generated. Below is an HTML snippet of some checkboxes:

What you might not expect to see is the additional hidden field after each checkbox. When a checkbox in an HTML page is *not* checked, its value will not be sent to the server as part of the HTTP request parameters once the form is submitted, so we need a workaround for this quirk in HTML in order for Spring form data binding to work. The checkbox tag follows the existing Spring convention of including a hidden parameter prefixed by an underscore ("\_") for each checkbox. By doing this, you are effectively telling Spring that " *the checkbox was visible in the form and I want my object to which the form data will be bound to reflect the state of the checkbox no matter what*".

#### 14.2.4.5. The checkboxes tag

This tag renders multiple HTML 'input' tags with type 'checkbox'.

Building on the example from the previous checkbox tag section. Sometimes you prefer not to have to list all the possible hobbies in your JSP page. You would rather provide a list at runtime of the available options and pass that in to the tag. That is the purpose of the checkboxes tag. You pass in an Array, a List or a Map containing the available options in the "items" property. Typically the bound property is a collection so it can hold multiple values selected by the user. Below is an example of the JSP using this tag:

This example assumes that the "interestList" is a List available as a model attribute containing strings of the values to be selected from. In the case where you use a Map, the map entry key will be used as the value and the map entry's value will be used as the label to be displayed. You can also use a custom object where you can provide the property names for the value using "itemValue" and the label using "itemLabel".

#### 14.2.4.6. The radiobutton tag

This tag renders an HTML 'input' tag with type 'radio'.

A typical usage pattern will involve multiple tag instances bound to the same property but with different values.

```
    Sex:
    <td
```

### 14.2.4.7. The radiobuttons tag

This tag renders multiple HTML 'input' tags with type 'radio'.

Just like the checkboxes tag above, you might want to pass in the available options as a runtime variable. For this usage you would use the radiobuttons tag. You pass in an Array, a List or a Map containing the available options in the "items" property. In the case where you use a Map, the map entry key will be used as the value and the map entry's value will be used as the label to be displayed. You can also use a custom object where you can provide the property names for the value using "itemValue" and the label using "itemLabel".

```
    Sex:
    <td
```

#### 14.2.4.8. The password tag

This tag renders an HTML 'input' tag with type 'password' using the bound value.

Please note that by default, the password value is *not* shown. If you do want the password value to be shown, then set the value of the 'showPassword' attribute to true, like so.

#### 14.2.4.9. The select tag

This tag renders an HTML 'select' element. It supports data binding to the selected option as well as the use of nested option and options tags.

Let's assume a User has a list of skills.

If the User's skill were in Herbology, the HTML source of the 'Skills' row would look like:

### 14.2.4.10. The option tag

This tag renders an HTML 'option'. It sets 'selected' as appropriate based on the bound value.

If the User's house was in Gryffindor, the HTML source of the 'House' row would look like:

### 14.2.4.11. The options tag

This tag renders a list of HTML 'option' tags. It sets the 'selected' attribute as appropriate based on the bound value.

If the User lived in the UK, the HTML source of the 'Country' row would look like:

As the example shows, the combined usage of an option tag with the options tag generates the same standard HTML, but allows you to explicitly specify a value in the JSP that is for display only (where it belongs) such as the default string in the example: "-- Please Select".

The items attribute is typically populated with a collection or array of item objects. itemValue and itemLabel simply refer to bean properties of those item objects, if specified; otherwise, the item objects themselves will be stringified. Alternatively, you may specify a Map of items, in which case the map keys are interpreted as option values and the map values correspond to option labels. If itemValue and/or itemLabel happen to be specified as well, the item value property will apply to the map key and the item label property will apply to the map value.

### 14.2.4.12. The textarea tag

This tag renders an HTML 'textarea'.

```
Notes:
```

### 14.2.4.13. The hidden tag

This tag renders an HTML 'input' tag with type 'hidden' using the bound value. To submit an unbound hidden value, use the HTML input tag with type 'hidden'.

```
<form:hidden path="house" />
```

If we choose to submit the 'house' value as a hidden one, the HTML would look like:

```
<input name="house" type="hidden" value="Gryffindor"/>
```

#### 14.2.4.14. The errors tag

This tag renders field errors in an HTML 'span' tag. It provides access to the errors created in your controller or those that were created by any validators associated with your controller.

Let's assume we want to display all error messages for the firstName and lastName fields once we submit the form. We have a validator for instances of the User class called UserValidator.

```
public class UserValidator implements Validator {
    public boolean supports(Class candidate) {
        return User.class.isAssignableFrom(candidate);
    }
    public void validate(Object obj, Errors errors) {
        ValidationUtils.rejectIfEmptyOrWhitespace(errors, "firstName", "required", "Field is required.");
        ValidationUtils.rejectIfEmptyOrWhitespace(errors, "lastName", "required", "Field is required.");
    }
}
```

The form. jsp would look like:

```
<form:form>
```

```
First Name:
         <form:input path="firstName" />
        <%-- Show errors for firstName field --%>
        <form:errors path="firstName" />
      Last Name:
        <form:input path="lastName" />
        <%-- Show errors for lastName field --%>
        <form:errors path="lastName" />
      <input type="submit" value="Save Changes" />
      </form:form>
```

If we submit a form with empty values in the firstName and lastName fields, this is what the HTML would look like:

```
<form method="POST">
    First Name:
           <input name="firstName" type="text" value=""/>
           <%-- Associated errors to firstName field displayed --%>
           <span name="firstName.errors">Field is required.</span>
        Last Name:
           <input name="lastName" type="text" value=""/>
           <%-- Associated errors to lastName field displayed --%>
           <span name="lastName.errors">Field is required.</span>
        <input type="submit" value="Save Changes" />
        </form>
```

What if we want to display the entire list of errors for a given page? The example below shows that the errors tag also supports some basic wildcarding functionality.

- path="\*" displays all errors
- path="lastName\*" displays all errors associated with the lastName field

The example below will display a list of errors at the top of the page, followed by field-specific errors next to the fields:

#### The HTML would look like:

```
<form method="POST">
    <span name="*.errors" class="errorBox">Field is required.
    First Name:
          <input name="firstName" type="text" value=""/>
          <span name="firstName.errors">Field is required.</span>
       Last Name:
          <input name="lastName" type="text" value=""/>
          <span name="lastName.errors">Field is required.</span>
       <input type="submit" value="Save Changes" />
       </form>
```

## 14.3. Tiles

It is possible to integrate Tiles - just as any other view technology - in web applications using Spring. The following describes in a broad way how to do this.

*NOTE:* This section focuses on Spring's support for Tiles 2 (the standalone version of Tiles, requiring Java 5+) in the org.springframework.web.servlet.view.tiles2 package. Spring also continues to support Tiles 1.x (a.k.a. "Struts Tiles", as shipped with Struts 1.1+; compatible with Java 1.4) in the original org.springframework.web.servlet.view.tiles package.

# 14.3.1. Dependencies

To be able to use Tiles you have to have a couple of additional dependencies included in your project. The following is the list of dependencies you need.

- Tiles version 2.0.4 or higher
- Commons BeanUtils
- Commons Digester
- Commons Logging

These dependencies are all available in the Spring distribution.

# 14.3.2. How to integrate Tiles

To be able to use Tiles, you have to configure it using files containing definitions (for basic information on definitions and other Tiles concepts, please have a look at <a href="http://tiles.apache.org">http://tiles.apache.org</a>). In Spring this is done using

the TilesConfigurer. Have a look at the following piece of example ApplicationContext configuration:

As you can see, there are five files containing definitions, which are all located in the 'WEB-INF/defs' directory. At initialization of the WebApplicationContext, the files will be loaded and the definitions factory will be initialized. After that has been done, the Tiles includes in the definition files can be used as views within your Spring web application. To be able to use the views you have to have a ViewResolver just as with any other view technology used with Spring. Below you can find two possibilities, the UrlBasedViewResolver and the ResourceBundleViewResolver.

#### 14.3.2.1. UrlBasedViewResolver

The UrlBasedViewResolver instantiates the given viewClass for each view it has to resolve.

#### 14.3.2.2. ResourceBundleViewResolver

The ResourceBundleViewResolver has to be provided with a property file containing viewnames and viewclasses the resolver can use:

```
welcomeView.class=org.springframework.web.servlet.view.tiles2.TilesView
welcomeView.url=welcome (this is the name of a Tiles definition)

vetsView.class=org.springframework.web.servlet.view.tiles2.TilesView
vetsView.url=vetsView (again, this is the name of a Tiles definition)

findOwnersForm.class=org.springframework.web.servlet.view.JstlView
findOwnersForm.url=/WEB-INF/jsp/findOwners.jsp
...
```

As you can see, when using the ResourceBundleViewResolver, you can easily mix different view technologies.

Note that the TilesView class for Tiles 2 supports JSTL (the JSP Standard Tag Library) out of the box, whereas there is a separate TilesJstlView subclass in the Tiles 1.x support.

#### 14.3.2.3. SimpleSpringPreparerFactory and SpringBeanPreparerFactory

As an advanced feature, Spring also supports two special Tiles 2 PreparerFactory implementations. Check out the Tiles documentation for details on how to use ViewPreparer references in your Tiles definition files.

Specify SimpleSpringPreparerFactory to autowire ViewPreparer instances based on specified preparer

classes, applying Spring's container callbacks as well as applying configured Spring BeanPostProcessors. If Spring's context-wide annotation-config has been activated, annotations in ViewPreparer classes will be automatically detected and applied. Note that this expects preparer *classes* in the Tiles definition files, just like the default PreparerFactory does.

Specify SpringBeanPreparerFactory to operate on specified preparer *names* instead of classes, obtaining the corresponding Spring bean from the DispatcherServlet's application context. The full bean creation process will be in the control of the Spring application context in this case, allowing for the use of explicit dependency injection configuration, scoped beans etc. Note that you need to define one Spring bean definition per preparer name (as used in your Tiles definitions).

# 14.4. Velocity & FreeMarker

<u>Velocity</u> and <u>FreeMarker</u> are two templating languages that can both be used as view technologies within Spring MVC applications. The languages are quite similar and serve similar needs and so are considered together in this section. For semantic and syntactic differences between the two languages, see the <u>FreeMarker</u> web site.

# 14.4.1. Dependencies

Your web application will need to include <code>velocity-1.x.x.jar</code> or <code>freemarker-2.x.jar</code> in order to work with Velocity or FreeMarker respectively and <code>commons-collections.jar</code> needs also to be available for Velocity. Typically they are included in the <code>WEB-INF/lib</code> folder where they are guaranteed to be found by a J2EE server and added to the classpath for your application. It is of course assumed that you already have the <code>spring.jar</code> in your <code>'WEB-INF/lib'</code> directory too! The latest stable Velocity, FreeMarker and Commons Collections jars are supplied with the Spring framework and can be copied from the relevant <code>/lib/</code> sub-directories. If you make use of Spring's 'dateToolAttribute' or 'numberToolAttribute' in your Velocity views, you will also need to include the <code>velocity-tools-generic-1.x.jar</code>

# 14.4.2. Context configuration

A suitable configuration is initialized by adding the relevant configurer bean definition to your '\*-servlet.xml' as shown below:

```
<!--
This bean sets up the Velocity environment for us based on a root path for templates.
Optionally, a properties file can be specified for more control over the Velocity
environment, but the defaults are pretty sane for file based template loading.
-->
<bean id="velocityConfig" class="org.springframework.web.servlet.view.velocity.VelocityConfigurer">
reproperty name="resourceLoaderPath" value="/WEB-INF/velocity/"/>
```

```
</pean>
<!--

View resolvers can also be configured with ResourceBundles or XML files. If you need
    different view resolving based on Locale, you have to use the resource bundle resolver.

-->

<bean id="viewResolver" class="org.springframework.web.servlet.view.velocity.VelocityViewResolver">
    <property name="cache" value="true"/>
    <property name="prefix" value=""/>
    <property name="suffix" value=".vm"/>
    </bean>
```



### **Note**

For non web-apps add a VelocityConfigurationFactoryBean or a FreeMarkerConfigurationFactoryBean to your application context definition file.

# 14.4.3. Creating templates

Your templates need to be stored in the directory specified by the \*configurer bean shown above. This document does not cover details of creating templates for the two languages - please see their relevant websites for information. If you use the view resolvers highlighted, then the logical view names relate to the template file names in similar fashion to InternalResourceViewResolver for JSP's. So if your controller returns a ModelAndView object containing a view name of "welcome" then the resolvers will look for the /WEB-INF/freemarker/welcome.ftl or /WEB-INF/velocity/welcome.vm template as appropriate.

# 14.4.4. Advanced configuration

The basic configurations highlighted above will be suitable for most application requirements, however additional configuration options are available for when unusual or advanced requirements dictate.

#### 14.4.4.1. velocity.properties

This file is completely optional, but if specified, contains the values that are passed to the Velocity runtime in order to configure velocity itself. Only required for advanced configurations, if you need this file, specify its location on the VelocityConfigurer bean definition above.

Alternatively, you can specify velocity properties directly in the bean definition for the Velocity config bean by replacing the "configLocation" property with the following inline properties.

Refer to the <u>API documentation</u> for Spring configuration of Velocity, or the Velocity documentation for examples and definitions of the 'velocity.properties' file itself.

#### 14.4.4.2. FreeMarker

FreeMarker 'Settings' and 'SharedVariables' can be passed directly to the FreeMarker Configuration object managed by Spring by setting the appropriate bean properties on the FreeMarkerConfigurer bean. The freemarkerSettings property requires a java.util.Properties object and the freemarkerVariables property requires a java.util.Map.

See the FreeMarker documentation for details of settings and variables as they apply to the Configuration object.

# 14.4.5. Bind support and form handling

Spring provides a tag library for use in JSP's that contains (amongst other things) a spring:bind/> tag. This tag primarily enables forms to display values from form backing objects and to show the results of failed validations from a Validator in the web or business tier. From version 1.1, Spring now has support for the same functionality in both Velocity and FreeMarker, with additional convenience macros for generating form input elements themselves.

#### 14.4.5.1. The bind macros

A standard set of macros are maintained within the spring.jar file for both languages, so they are always available to a suitably configured application.

Some of the macros defined in the Spring libraries are considered internal (private) but no such scoping exists in the macro definitions making all macros visible to calling code and user templates. The following sections concentrate only on the macros you need to be directly calling from within your templates. If you wish to view the macro code directly, the files are called spring.vm / spring.ftl and are in the packages org.springframework.web.servlet.view.velocity

org.springframework.web.servlet.view.freemarker respectively.

### 14.4.5.2. Simple binding

In your html forms (vm / ftl templates) that act as the 'formView' for a Spring form controller, you can use code similar to the following to bind to field values and display error messages for each input field in similar fashion to the JSP equivalent. Note that the name of the command object is "command" by default, but can be overridden in your MVC configuration by setting the 'commandName' bean property on your form controller. Example code is shown below for the personFormV and personFormF views configured earlier;

#springBind / <@spring.bind> requires a 'path' argument which consists of the name of your command object (it will be 'command' unless you changed it in your FormController properties) followed by a period and the name of the field on the command object you wish to bind to. Nested fields can be used too such as "command.address.street". The bind macro assumes the default HTML escaping behavior specified by the ServletContext parameter defaultHtmlEscape in web.xml

The optional form of the macro called #springBindEscaped / <@spring.bindEscaped> takes a second argument and explicitly specifies whether HTML escaping should be used in the status error messages or values. Set to true or false as required. Additional form handling macros simplify the use of HTML escaping and these macros should be used wherever possible. They are explained in the next section.

#### 14.4.5.3. Form input generation macros

Additional convenience macros for both languages simplify both binding and form generation (including validation error display). It is never necessary to use these macros to generate form input fields, and they can be mixed and matched with simple HTML or calls direct to the spring bind macros highlighted previously.

The following table of available macros show the VTL and FTL definitions and the parameter list that each takes.

Table 14.1. Table of macro definitions

macro	VTL definition	FTL definition
message (output a string from a resource bundle based on the code parameter)	#springMessage(\$code)	<@spring.message code/>
messageText (output a string from a resource bundle based on the code parameter, falling back to the value of the default parameter)	<pre>#springMessageText(\$code \$text)</pre>	<pre>&lt;@spring.messageText code, text/&gt;</pre>
<b>url</b> (prefix a relative URL with the application's context root)	#springUrl(\$relativeUrl)	<@spring.url relativeUrl/>
<b>formInput</b> (standard input field for gathering user input)	<pre>#springFormInput(\$path \$attributes)</pre>	<pre>&lt;@spring.formInput path, attributes, fieldType/&gt;</pre>
<b>formHiddenInput</b> * (hidden input field for submitting non-user input)	<pre>#springFormHiddenInput(\$path \$attributes)</pre>	<pre>&lt;@spring.formHiddenInput path, attributes/&gt;</pre>
formPasswordInput * (standard input field for gathering passwords. Note that no value will ever be populated in fields of this type)	<pre>#springFormPasswordInput(\$path \$attributes)</pre>	<pre>&lt;@spring.formPasswordInput path, attributes/&gt;</pre>
<b>formTextarea</b> (large text field for gathering long, freeform text input)	<pre>#springFormTextarea(\$path \$attributes)</pre>	<pre>&lt;@spring.formTextarea path, attributes/&gt;</pre>
formSingleSelect (drop down box of options allowing a single required value to be selected)	<pre>#springFormSingleSelect(     \$path \$options \$attributes)</pre>	<pre>&lt;@spring.formSingleSelect path, options, attributes/&gt;</pre>
formMultiSelect (a list box of options allowing the user to select 0 or more values)	<pre>#springFormMultiSelect(\$path \$options \$attributes)</pre>	<pre>&lt;@spring.formMultiSelect path, options, attributes/&gt;</pre>
<b>formRadioButtons</b> (a set of radio buttons allowing a single selection to be made from the available choices)	<pre>#springFormRadioButtons(\$path \$options \$separator \$attributes)</pre>	<pre>&lt;@spring.formRadioButtons path, options separator, attributes/&gt;</pre>
<b>formCheckboxes</b> (a set of checkboxes allowing 0 or more values to be selected)	<pre>#springFormCheckboxes(\$path \$options \$separator \$attributes)</pre>	<pre>&lt;@spring.formCheckboxes path, options, separator, attributes/&gt;</pre>
<b>showErrors</b> (simplify display of validation errors for the bound field)	<pre>#springShowErrors(\$separator \$classOrStyle)</pre>	<pre>&lt;@spring.showErrors separator, classOrStyle/&gt;</pre>

<sup>\*</sup> In FTL (FreeMarker), these two macros are not actually required as you can use the normal formInput

macro, specifying 'hidden' or 'password' as the value for the fieldType parameter.

The parameters to any of the above macros have consistent meanings:

- path: the name of the field to bind to (ie "command.name")
- options: a Map of all the available values that can be selected from in the input field. The keys to the map represent the values that will be POSTed back from the form and bound to the command object. Map objects stored against the keys are the labels displayed on the form to the user and may be different from the corresponding values posted back by the form. Usually such a map is supplied as reference data by the controller. Any Map implementation can be used depending on required behavior. For strictly sorted maps, a SortedMap such as a TreeMap with a suitable Comparator may be used and for arbitrary Maps that should return values in insertion order, use a LinkedHashMap or a LinkedMap from commons-collections.
- separator: where multiple options are available as discreet elements (radio buttons or checkboxes), the sequence of characters used to separate each one in the list (ie "<br/>br>").
- attributes: an additional string of arbitrary tags or text to be included within the HTML tag itself. This string is echoed literally by the macro. For example, in a textarea field you may supply attributes as 'rows="5" cols="60" or you could pass style information such as 'style="border:1px solid silver".
- classOrStyle: for the showErrors macro, the name of the CSS class that the span tag wrapping each error will use. If no information is supplied (or the value is empty) then the errors will be wrapped in <b></b> tags.

Examples of the macros are outlined below some in FTL and some in VTL. Where usage differences exist between the two languages, they are explained in the notes.

## 14.4.5.3.1. Input Fields

```
<!-- the Name field example from above using form macros in VTL -->
...

Name:
    #springFormInput("command.name" "") < br >
    #springShowErrors(" < br > " " ") < br >
```

The formInput macro takes the path parameter (command.name) and an additional attributes parameter which is empty in the example above. The macro, along with all other form generation macros, performs an implicit spring bind on the path parameter. The binding remains valid until a new bind occurs so the showErrors macro doesn't need to pass the path parameter again - it simply operates on whichever field a bind was last created for.

The showErrors macro takes a separator parameter (the characters that will be used to separate multiple errors on a given field) and also accepts a second parameter, this time a class name or style attribute. Note that FreeMarker is able to specify default values for the attributes parameter, unlike Velocity, and the two macro calls above could be expressed as follows in FTL:

```
<@spring.formInput "command.name"/>
<@spring.showErrors "<br>''/>
```

Output is shown below of the form fragment generating the name field, and displaying a validation error after the form was submitted with no value in the field. Validation occurs through Spring's Validation framework.

The generated HTML looks like this:

```
Name:
    <input type="text" name="name" value=""
>
```

```
<br/>
<bre>
<br/>
<bre>
<br/>
<bre>
<bre>
<bre>
<bre>
<br/>
<bre>
<br/>
<bre>
<br/>
```

The formTextarea macro works the same way as the formInput macro and accepts the same parameter list. Commonly, the second parameter (attributes) will be used to pass style information or rows and cols attributes for the textarea.

### 14.4.5.3.2. Selection Fields

Four selection field macros can be used to generate common UI value selection inputs in your HTML forms.

- · formSingleSelect
- formMultiSelect
- formRadioButtons
- formCheckboxes

Each of the four macros accepts a Map of options containing the value for the form field, and the label corresponding to that value. The value and the label can be the same.

An example of radio buttons in FTL is below. The form backing object specifies a default value of 'London' for this field and so no validation is necessary. When the form is rendered, the entire list of cities to choose from is supplied as reference data in the model under the name 'cityMap'.

```
...
Town:
<@spring.formRadioButtons "command.address.town", cityMap, "" /><br>
```

This renders a line of radio buttons, one for each value in <code>cityMap</code> using the separator "". No additional attributes are supplied (the last parameter to the macro is missing). The cityMap uses the same String for each key-value pair in the map. The map's keys are what the form actually submits as POSTed request parameters, map values are the labels that the user sees. In the example above, given a list of three well known cities and a default value in the form backing object, the HTML would be

```
Town:
<input type="radio" name="address.town" value="London"

London
<input type="radio" name="address.town" value="Paris"
    checked="checked"

Paris
<input type="radio" name="address.town" value="New York"

New York
```

If your application expects to handle cities by internal codes for example, the map of codes would be created with suitable keys like the example below.

```
protected Map referenceData(HttpServletRequest request) throws Exception {
  Map cityMap = new LinkedHashMap();
  cityMap.put("LDN", "London");
  cityMap.put("PRS", "Paris");
  cityMap.put("NYC", "New York");
```

```
Map m = new HashMap();
m.put("cityMap", cityMap);
return m;
}
```

The code would now produce output where the radio values are the relevant codes but the user still sees the more user friendly city names.

```
Town:
<input type="radio" name="address.town" value="LDN"

London
<input type="radio" name="address.town" value="PRS"
checked="checked"

Paris
<input type="radio" name="address.town" value="NYC"

New York
```

### 14.4.5.4. HTML escaping and XHTML compliance

Default usage of the form macros above will result in HTML tags that are HTML 4.01 compliant and that use the default value for HTML escaping defined in your web.xml as used by Spring's bind support. In order to make the tags XHTML compliant or to override the default HTML escaping value, you can specify two variables in your template (or in your model where they will be visible to your templates). The advantage of specifying them in the templates is that they can be changed to different values later in the template processing to provide different behavior for different fields in your form.

To switch to XHTML compliance for your tags, specify a value of 'true' for a model/context variable named xhtmlCompliant:

```
## for Velocity..
#set($springXhtmlCompliant = true)

<#-- for FreeMarker -->
<#assign xhtmlCompliant = true in spring>
```

Any tags generated by the Spring macros will now be XHTML compliant after processing this directive.

In similar fashion, HTML escaping can be specified per field:

```
<#-- until this point, default HTML escaping is used -->
<#assign htmlEscape = true in spring>
<#-- next field will use HTML escaping -->
<@spring.formInput "command.name" />
<#assign htmlEscape = false in spring>
<#-- all future fields will be bound with HTML escaping off -->
```

## 14.5. XSLT

XSLT is a transformation language for XML and is popular as a view technology within web applications. XSLT can be a good choice as a view technology if your application naturally deals with XML, or if your model can easily be converted to XML. The following section shows how to produce an XML document as

model data and have it transformed with XSLT in a Spring Web MVC application.

## 14.5.1. My First Words

This example is a trivial Spring application that creates a list of words in the Controller and adds them to the model map. The map is returned along with the view name of our XSLT view. See the section entitled Section 13.3, "Controllers" for details of Spring Web MVC's Controller interface. The XSLT view will turn the list of words into a simple XML document ready for transformation.

#### 14.5.1.1. Bean definitions

Configuration is standard for a simple Spring application. The dispatcher servlet config file contains a reference to a ViewResolver, URL mappings and a single controller bean...

```
<bean id="homeController"class="xslt.HomeController"/>
```

... that encapsulates our word generation logic.

#### 14.5.1.2. Standard MVC controller code

The controller logic is encapsulated in a subclass of AbstractController, with the handler method being defined like so...

```
protected ModelAndView handleRequestInternal(
    HttpServletRequest request,
    HttpServletResponse response) throws Exception {

    Map map = new HashMap();
    List wordList = new ArrayList();

    wordList.add("hello");
    wordList.add("world");

    map.put("wordList", wordList);

    return new ModelAndView("home", map);
}
```

So far we've done nothing that's XSLT specific. The model data has been created in the same way as you would for any other Spring MVC application. Depending on the configuration of the application now, that list of words could be rendered by JSP/JSTL by having them added as request attributes, or they could be handled by Velocity by adding the object to the VelocityContext. In order to have XSLT render them, they of course have to be converted into an XML document somehow. There are software packages available that will automatically 'domify' an object graph, but within Spring, you have complete flexibility to create the DOM from your model in any way you choose. This prevents the transformation of XML playing too great a part in the structure of your model data which is a danger when using tools to manage the domification process.

#### 14.5.1.3. Convert the model data to XML

In order to create a DOM document from our list of words or any other model data, we must subclass the (provided) org.springframework.web.servlet.view.xslt.AbstractXsltView class. In doing so, we must also typically implement the abstract method createXsltSource(...) method. The first parameter passed to this method is our model map. Here's the complete listing of the HomePage class in our trivial word application:

```
package xslt;
```

A series of parameter name/value pairs can optionally be defined by your subclass which will be added to the transformation object. The parameter names must match those defined in your XSLT template declared with <xsl:param name="myParam">defaultValue/xsl:param>. To specify the parameters, override the getParameters() method of the AbstractXsltView class and return a Map of the name/value pairs. If your parameters need to derive information from the current request, you can override the getParameters(HttpServletRequest request) method instead.

### 14.5.1.4. Defining the view properties

The views.properties file (or equivalent xml definition if you're using an XML based view resolver as we did in the Velocity examples above) looks like this for the one-view application that is 'My First Words':

```
home.class=xslt.HomePage
home.stylesheetLocation=/WEB-INF/xsl/home.xslt
home.root=words
```

Here, you can see how the view is tied in with the HomePage class just written which handles the model domification in the first property '.class'. The 'stylesheetLocation' property points to the XSLT file which will handle the XML transformation into HTML for us and the final property '.root' is the name that will be used as the root of the XML document. This gets passed to the HomePage class above in the second parameter to the createXsltSource(..) method(s).

#### 14.5.1.5. Document transformation

Finally, we have the XSLT code used for transforming the above document. As shown in the above 'views.properties' file, the stylesheet is called 'home.xslt' and it lives in the war file in the 'WEB-INF/xsl' directory.

## 14.5.2. Summary

A summary of the files discussed and their location in the WAR file is shown in the simplified WAR structure below.

You will also need to ensure that an XML parser and an XSLT engine are available on the classpath. JDK 1.4 provides them by default, and most J2EE containers will also make them available by default, but it's a possible source of errors to be aware of.

# 14.6. Document views (PDF/Excel)

### 14.6.1. Introduction

Returning an HTML page isn't always the best way for the user to view the model output, and Spring makes it simple to generate a PDF document or an Excel spreadsheet dynamically from the model data. The document is the view and will be streamed from the server with the correct content type to (hopefully) enable the client PC to run their spreadsheet or PDF viewer application in response.

In order to use Excel views, you need to add the 'poi' library to your classpath, and for PDF generation, the iText.jar. Both are included in the main Spring distribution.

# 14.6.2. Configuration and setup

Document based views are handled in an almost identical fashion to XSLT views, and the following sections build upon the previous one by demonstrating how the same controller used in the XSLT example is invoked to

render the same model as both a PDF document and an Excel spreadsheet (which can also be viewed or manipulated in Open Office).

#### 14.6.2.1. Document view definitions

Firstly, let's amend the views.properties file (or xml equivalent) and add a simple view definition for both document types. The entire file now looks like this with the XSLT view shown from earlier..

```
home.class=xslt.HomePage
home.stylesheetLocation=/WEB-INF/xsl/home.xslt
home.root=words
xl.class=excel.HomePage
pdf.class=pdf.HomePage
```

If you want to start with a template spreadsheet to add your model data to, specify the location as the 'url' property in the view definition

#### 14.6.2.2. Controller code

The controller code we'll use remains exactly the same from the XSLT example earlier other than to change the name of the view to use. Of course, you could be clever and have this selected based on a URL parameter or some other logic - proof that Spring really is very good at decoupling the views from the controllers!

#### 14.6.2.3. Subclassing for Excel views

Exactly as we did for the XSLT example, we'll subclass suitable abstract classes in order to implement custom behavior in generating our output documents. For Excel, this involves writing a subclass of org.springframework.web.servlet.view.document.AbstractExcelView (for Excel files generated by POI) or org.springframework.web.servlet.view.document.AbstractJExcelView (for JExcelApi-generated Excel files). and implementing the buildExcelDocument

Here's the complete listing for our POI Excel view which displays the word list from the model map in consecutive rows of the first column of a new spreadsheet..

```
package excel;
// imports omitted for brevity
public class HomePage extends AbstractExcelView {
    protected void buildExcelDocument(
       Map model,
        HSSFWorkbook wb,
        HttpServletRequest req,
        HttpServletResponse resp)
        throws Exception {
        HSSFSheet sheet;
        HSSFRow sheetRow;
        HSSFCell cell;
        // Go to the first sheet
        // getSheetAt: only if wb is created from an existing document
        //sheet = wb.getSheetAt( 0 );
        sheet = wb.createSheet("Spring");
        sheet.setDefaultColumnWidth((short)12);
        // write a text at A1
        cell = getCell( sheet, 0, 0 );
        setText(cell, "Spring-Excel test");
        List words = (List ) model.get("wordList");
        for (int i=0; i < words.size(); i++) {</pre>
```

```
cell = getCell( sheet, 2+i, 0 );
    setText(cell, (String) words.get(i));
}
}
}
```

And this a view generating the same Excel file, now using JExcelApi:

Note the differences between the APIs. We've found that the JExcelApi is somewhat more intuitive and furthermore, JExcelApi has a bit better image-handling capabilities. There have been memory problems with large Excel file when using JExcelApi however.

If you now amend the controller such that it returns x1 as the name of the view (return new ModelAndView("x1", map);) and run your application again, you should find that the Excel spreadsheet is created and downloaded automatically when you request the same page as before.

### 14.6.2.4. Subclassing for PDF views

The PDF version of the word list is even simpler. time, class extends org.springframework.web.servlet.view.document.AbstractPdfView and implements the buildPdfDocument() method as follows...

Once again, amend the controller to return the pdf view with a return new ModelAndView("pdf", map); and reload the URL in your application. This time a PDF document should appear listing each of the words in the model map.

# 14.7. JasperReports

JasperReports (<a href="http://jasperreports.sourceforge.net">http://jasperreports.sourceforge.net</a>) is a powerful open-source reporting engine that supports the creation of report designs using an easily understood XML file format. JasperReports is capable of rendering reports output into four different formats: CSV, Excel, HTML and PDF.

## 14.7.1. Dependencies

Your application will need to include the latest release of JasperReports, which at the time of writing was 0.6.1. JasperReports itself depends on the following projects:

- BeanShell
- · Commons BeanUtils
- Commons Collections
- Commons Digester
- Commons Logging
- iText
- POI

JasperReports also requires a JAXP compliant XML parser.

# 14.7.2. Configuration

To configure JasperReports views in your Spring container configuration you need to define a ViewResolver to map view names to the appropriate view class depending on which format you want your report rendered in.

#### 14.7.2.1. Configuring the ViewResolver

Typically, you will use the ResourceBundleViewResolver to map view names to view classes and files in a properties file.

Here we've configured an instance of the ResourceBundleViewResolver class that will look for view mappings in the resource bundle with base name views. (The content of this file is described in the next section.)

#### 14.7.2.2. Configuring the Views

The Spring Framework contains five different view implementations for JasperReports, four of which correspond to one of the four output formats supported by JasperReports, and one that allows for the format to

be determined at runtime:

Table 14.2. JasperReports view classes

Class Name	Render Format
JasperReportsCsvView	CSV
JasperReportsHtmlView	HTML
JasperReportsPdfView	PDF
JasperReportsXlsView	Microsoft Excel
JasperReportsMultiFormatView	The view is <u>decided upon at runtime</u>

Mapping one of these classes to a view name and a report file is a matter of adding the appropriate entries into the resource bundle configured in the previous section as shown here:

```
simpleReport.class=org.springframework.web.servlet.view.jasperreports.JasperReportsPdfView simpleReport.url=/WEB-INF/reports/DataSourceReport.jasper
```

Here you can see that the view with name simpleReport is mapped to the JasperReportsPdfView class, causing the output of this report to be rendered in PDF format. The url property of the view is set to the location of the underlying report file.

### 14.7.2.3. About Report Files

JasperReports has two distinct types of report file: the design file, which has a <code>.jrxml</code> extension, and the compiled report file, which has a <code>.jasper</code> extension. Typically, you use the JasperReports Ant task to compile your <code>.jrxml</code> design file into a <code>.jasper</code> file before deploying it into your application. With the Spring Framework you can map either of these files to your report file and the framework will take care of compiling the <code>.jrxml</code> file on the fly for you. You should note that after a <code>.jrxml</code> file is compiled by the Spring Framework, the compiled report is cached for the lifetime of the application. To make changes to the file you will need to restart your application.

#### 14.7.2.4. Using JasperReportsMultiFormatView

The JasperReportsMultiFormatView allows for report format to be specified at runtime. The actual rendering of the report is delegated to one of the other JasperReports view classes - the JasperReportsMultiFormatView class simply adds a wrapper layer that allows for the exact implementation to be specified at runtime.

The JasperReportsMultiFormatView class introduces two concepts: the format key and the discriminator key. The JasperReportsMultiFormatView class uses the mapping key to lookup the actual view implementation class and uses the format key to lookup up the mapping key. From a coding perspective you add an entry to your model with the formay key as the key and the mapping key as the value, for example:

```
public ModelAndView handleSimpleReportMulti(HttpServletRequest request,
HttpServletResponse response) throws Exception {
   String uri = request.getRequestURI();
   String format = uri.substring(uri.lastIndexOf(".") + 1);

   Map model = getModel();
   model.put("format", format);

   return new ModelAndView("simpleReportMulti", model);
}
```

In this example, the mapping key is determined from the extension of the request URI and is added to the model under the default format key: format. If you wish to use a different format key then you can configure this using the formatKey property of the JasperReportsMultiFormatView class.

By default the following mapping key mappings are configured in JasperReportsMultiFormatView:

Table 14.3. JasperReportsMultiFormatView Default Mapping Key Mappings

Mapping Key	View Class
csv	JasperReportsCsvView
html	JasperReportsHtmlView
pdf	JasperReportsPdfView
xls	JasperReportsXlsView

So in the example above a request to URI /foo/myReport.pdf would be mapped to the JasperReportsPdfView class. You can override the mapping key to view class mappings using the formatMappings property of JasperReportsMultiFormatView.

## 14.7.3. Populating the ModelAndView

In order to render your report correctly in the format you have chosen, you must supply Spring with all of the data needed to populate your report. For JasperReports this means you must pass in all report parameters along with the report datasource. Report parameters are simple name/value pairs and can be added be to the Map for your model as you would add any name/value pair.

When adding the datasource to the model you have two approaches to choose from. The first approach is to add an instance of JRDataSource or a Collection type to the model Map under any arbitrary key. Spring will then locate this object in the model and treat it as the report datasource. For example, you may populate your model like so:

```
private Map getModel() {
   Map model = new HashMap();
   Collection beanData = getBeanData();
   model.put("myBeanData", beanData);
   return model;
}
```

The second approach is to add the instance of JRDataSource or Collection under a specific key and then configure this key using the reportDataKey property of the view class. In both cases Spring will instances of Collection in a JRBeanCollectionDataSource instance. For example:

```
private Map getModel() {
   Map model = new HashMap();
   Collection beanData = getBeanData();
   Collection someData = getSomeData();
   model.put("myBeanData", beanData);
   model.put("someData", someData);
   return model;
}
```

Here you can see that two Collection instances are being added to the model. To ensure that the correct one is used, we simply modify our view configuration as appropriate:

```
simpleReport.class=org.springframework.web.servlet.view.jasperreports.JasperReportsPdfView simpleReport.url=/WEB-INF/reports/DataSourceReport.jasper simpleReport.reportDataKey=myBeanData
```

Be aware that when using the first approach, Spring will use the first instance of JRDataSource or Collection that it encounters. If you need to place multiple instances of JRDataSource or Collection into the model then you need to use the second approach.

## 14.7.4. Working with Sub-Reports

JasperReports provides support for embedded sub-reports within your master report files. There are a wide variety of mechanisms for including sub-reports in your report files. The easiest way is to hard code the report path and the SQL query for the sub report into your design files. The drawback of this approach is obvious - the values are hard-coded into your report files reducing reusability and making it harder to modify and update report designs. To overcome this you can configure sub-reports declaratively and you can include additional data for these sub-reports directly from your controllers.

### 14.7.4.1. Configuring Sub-Report Files

To control which sub-report files are included in a master report using Spring, your report file must be configured to accept sub-reports from an external source. To do this you declare a parameter in your report file like so:

```
<parameter name="ProductsSubReport" class="net.sf.jasperreports.engine.JasperReport"/>
```

Then, you define your sub-report to use this sub-report parameter:

This defines a master report file that expects the sub-report to be passed in as an instance of net.sf.jasperreports.engine.JasperReports under the parameter ProductsSubReport. When configuring your Jasper view class, you can instruct Spring to load a report file and pass into the JasperReports engine as a sub-report using the subReportUrls property:

Here, the key of the Map corresponds to the name of the sub-report parameter in th report design file, and the entry is the URL of the report file. Spring will load this report file, compiling it if necessary, and will pass into the JasperReports engine under the given key.

#### 14.7.4.2. Configuring Sub-Report Data Sources

This step is entirely optional when using Spring configure your sub-reports. If you wish, you can still configure

the data source for your sub-reports using static queries. However, if you want Spring to convert data returned in your ModelAndView into instances of JRDataSource then you need to specify which of the parameters in your ModelAndView Spring should convert. To do this configure the list of parameter names using the subReportDataKeys property of the your chosen view class:

Here, the key you supply MUST correspond to both the key used in your ModelAndView and the key used in your report design file.

## 14.7.5. Configuring Exporter Parameters

If you have special requirements for exporter configuration - perhaps you want a specific page size for your PDF report, then you can configure these exporter parameters declaratively in your Spring configuration file using the exporterParameters property of the view class. The exporterParameters property is typed as Map and in your configuration the key of an entry should be the fully-qualified name of a static field that contains the exporter parameter definition and the value of an entry should be the value you want to assign to the parameter. An example of this is shown below:

Here you can see that the JasperReportsHtmlView is being configured with an exporter parameter for net.sf.jasperreports.engine.export.JRHtmlExporterParameter.HTML\_FOOTER which will output a footer in the resulting HTML.

# Chapter 15. Integrating with other web frameworks

# 15.1. Introduction

This chapter details Spring's integration with third party web frameworks such as <u>JSF</u>, <u>Struts</u>, <u>WebWork</u>, and <u>Tapestry</u>.

### **Spring Web Flow**

Spring Web Flow (SWF) aims to be the best solution for the management of web application page flow.

SWF integrates with existing frameworks like Spring MVC, Struts, and JSF, in both servlet and portlet environments. If you have a business process (or processes) that would benefit from a conversational model as opposed to a purely request model, then SWF may be the solution.

SWF allows you to capture logical page flows as self-contained modules that are reusable in different situations, and as such is ideal for building web application modules that guide the user through controlled navigations that drive business processes.

For more information about SWF, consult the **Spring Web Flow website**.

One of the core value propositions of the Spring Framework is that of enabling *choice*. In a general sense, Spring does not force one to use or buy into any particular architecture, technology, or methodology (although it certainly recommends some over others). This freedom to pick and choose the architecture, technology, or methodology that is most relevant to a developer and his or her development team is arguably most evident in the web area, where Spring provides its own web framework (Spring MVC), while at the same time providing integration with a number of popular third party web frameworks. This allows one to continue to leverage any and all of the skills one may have acquired in a particular web framework such as Struts, while at the same time being able to enjoy the benefits afforded by Spring in other areas such as data access, declarative transaction management, and flexible configuration and application assembly.

Having dispensed with the woolly sales patter (c.f. the previous paragraph), the remainder of this chapter will concentrate upon the meaty details of integrating your favourite web framework with Spring. One thing that is often commented upon by developers coming to Java from other languages is the seeming super-abundance of web frameworks available in Java... there are indeed a great number of web frameworks in the Java space; in fact there are far too many to cover with any semblance of detail in a single chapter. This chapter thus picks four of the more popular web frameworks in Java, starting with the Spring configuration that is common to all of the supported web frameworks, and then detailing the specific integration options for each supported web framework.

Please note that this chapter does not attempt to explain how to use any of the supported web frameworks. For example, if you want to use Struts for the presentation layer of your web application, the assumption is that you are already familiar with Struts. If you need further details about any of the supported web frameworks themselves, please do consult the section entitled Section 15.7, "Further Resources" at the end of this chapter.

# 15.2. Common configuration

Before diving into the integration specifics of each supported web framework, let us first take a look at the Spring configuration that *not* specific to any one web framework. (This section is equally applicable to Spring's

own web framework, Spring MVC.)

One of the concepts (for want of a better word) espoused by (Spring's) lightweight application model is that of a layered architecture. Remember that in a 'classic' layered architecture, the web layer is but one of many layers... it serves as one of the entry points into a server side application, and it delegates to service objects (facades) defined in a service layer to satisfy business specific (and presentation-technology agnostic) use cases. In Spring, these service objects, any other business-specific objects, data access objects, etc. exist in a distinct 'business context', which contains *no* web or presentation layer objects (presentation objects such as Spring MVC controllers are typically configured in a distinct 'presentation context'). This section details how one configures a Spring container (a WebApplicationContext) that contains all of the 'business beans' in one's application.

Onto specifics... all that one need do is to declare a <u>ContextLoaderListener</u> in the standard J2EE servlet web.xml file of one's web application, and add a contextConfigLocation <context-param/> section (in the same file) that defines which set of Spring XML cpnfiguration files to load.

Find below the stener/> configuration:



#### Note

Listeners were added to the Servlet API in version 2.3; listener startup order was finally clarified in Servlet 2.4. If you have a Servlet 2.3 container, you can use the <u>ContextLoaderServlet</u> to achieve the same functionality in a 100% portable fashion (with respect to startup order).

Find below the <context-param/> configuration:

```
<context-param>
  <param-name>contextConfigLocation</param-name>
  <param-value>/WEB-INF/applicationContext*.xml</param-value>
</context-param>
```

If you don't specify the contextConfigLocation context parameter, the ContextLoaderListener will look for a file called /WEB-INF/applicationContext.xml to load. Once the context files are loaded, Spring creates a WebApplicationContext object based on the bean definitions and stores it in the ServletContext of one's web application.

All Java web frameworks are built on top of the Servlet API, and so one can use the following code snippet to get access to this 'business context' ApplicationContext created by the ContextLoaderListener.

```
WebApplicationContext ctx = WebApplicationContextUtils.getWebApplicationContext(servletContext);
```

The <u>WebApplicationContextUtils</u> class is for convenience, so you don't have to remember the name of the ServletContext attribute. Its *getWebApplicationContext()* method will return null if an object doesn't exist under the WebApplicationContext.ROOT\_WEB\_APPLICATION\_CONTEXT\_ATTRIBUTE key. Rather than risk getting NullPointerExceptions in your application, it's better to use the getRequiredWebApplicationContext() method. This method throws an exception when the ApplicationContext is missing.

Once you have a reference to the WebApplicationContext, you can retrieve beans by their name or type. Most developers retrieve beans by name, then cast them to one of their implemented interfaces.

Fortunately, most of the frameworks in this section have simpler ways of looking up beans. Not only do they make it easy to get beans from a Spring container, but they also allow you to use dependency injection on their controllers. Each web framework section has more detail on its specific integration strategies.

## 15.3. JavaServer Faces 1.1 and 1.2

JavaServer Faces (JSF) is the JCP's standard component-based, event-driven web user interface framework. As of Java EE 5, it is an official part of the Java EE umbrella.

For a popular JSF runtime as well as for popular JSF component libraries, check out the <u>Apache MyFaces</u> <u>project</u>. The MyFaces project also provides common JSF extensions such as <u>MyFaces Orchestra</u>: a Spring-based JSF extension that provides rich conversation scope support.



#### **Note**

Spring Web Flow 2.0 provides rich JSF support through its newly established Spring Faces module, both for JSF-centric usage (as described in this section) and for Spring-centric usage (using JSF views within a Spring MVC dispatcher). Check out the <u>Spring Web Flow website</u> for details!

The key element in Spring's JSF integration is the JSF 1.1 variableResolver mechanism. On JSF 1.2, Spring supports the ELResolver mechanism as a next-generation version of JSF EL integration.

## 15.3.1. DelegatingVariableResolver (JSF 1.1/1.2)

The easiest way to integrate one's Spring middle-tier with one's JSF web layer is to use the <a href="DelegatingVariableResolver">DelegatingVariableResolver</a> class. To configure this variable resolver in one's application, one will need to edit one's faces-context.xml file. After the opening <faces-config/> element, add an <application/> element and a <variable-resolver/> element within it. The value of the variable resolver should reference Spring's DelegatingVariableResolver; for example:

The DelegatingVariableResolver will first delegate value lookups to the default resolver of the underlying JSF implementation, and then to Spring's 'business context' WebApplicationContext. This allows one to easily inject dependencies into one's JSF-managed beans.

Managed beans are defined in one's faces-config.xml file. Find below an example where #{userManager} is a bean that is retrieved from the Spring 'business context'.

```
<value>#{userManager}</value>
</managed-property>
</managed-bean>
```

## 15.3.2. SpringBeanVariableResolver (JSF 1.1/1.2)

SpringBeanVariableResolver is a variant of DelegatingVariableResolver. It delegates to the Spring's 'business context' WebApplicationContext *first*, then to the default resolver of the underlying JSF implementation. This is useful in particular when using request/session-scoped beans with special Spring resolution rules, e.g. Spring FactoryBean implementations.

Configuration-wise, simply define SpringBeanVariableResolver in your faces-context.xml file:

## 15.3.3. SpringBeanFacesELResolver (JSF 1.2+)

SpringBeanFacesELResolver is a JSF 1.2 compliant ELResolver implementation, integrating with the standard Unified EL as used by JSF 1.2 and JSP 2.1. Like SpringBeanVariableResolver, it delegates to the Spring's 'business context' WebApplicationContext *first*, then to the default resolver of the underlying JSF implementation.

Configuration-wise, simply define SpringBeanFacesELResolver in your JSF 1.2 faces-context.xml file:

```
<faces-config>
  <application>
    <el-resolver>org.springframework.web.jsf.el.SpringBeanFacesELResolver</el-resolver>
    ...
  </application>
</faces-config>
```

### 15.3.4. FacesContextUtils

A custom VariableResolver works well when mapping one's properties to beans in *faces-config.xml*, but at times one may need to grab a bean explicitly. The <u>FacesContextUtils</u> class makes this easy. It is similar to WebApplicationContextUtils, except that it takes a FacesContext parameter rather than a ServletContext parameter.

```
ApplicationContext ctx = FacesContextUtils.getWebApplicationContext(FacesContext.getCurrentInstance());
```

# 15.4. Apache Struts 1.x and 2.x

Struts is the *de facto* web framework for Java applications, mainly because it was one of the first to be released (June 2001). Invented by Craig McClanahan, Struts is an open source project hosted by the Apache Software Foundation. At the time, it greatly simplified the JSP/Servlet programming paradigm and won over many developers who were using proprietary frameworks. It simplified the programming model, it was open source (and thus free as in beer), and it had a large community, which allowed the project to grow and become popular

among Java web developers.



#### Note

The following section discusses Struts 1 a.k.a. "Struts Classic".

Struts 2 is effectively a different product - a successor of WebWork 2.2 (as discussed in Section 15.5, "WebWork 2.x"), carrying the Struts brand now. Check out the Struts 2 Spring Plugin for the built-in Spring integration shipped with Struts 2. In general, Struts 2 is closer to WebWork 2.2 than to Struts 1 in terms of its Spring integration implications.

To integrate your Struts 1.x application with Spring, you have two options:

- Configure Spring to manage your Actions as beans, using the ContextLoaderPlugin, and set their dependencies in a Spring context file.
- Subclass Spring's ActionSupport classes and grab your Spring-managed beans explicitly using a getWebApplicationContext() method.

## 15.4.1. ContextLoaderPlugin

The <u>ContextLoaderPlugin</u> is a Struts 1.1+ plug-in that loads a Spring context file for the Struts ActionServlet. This context refers to the root <u>WebApplicationContext</u> (loaded by the ContextLoaderListener) as its parent. The default name of the context file is the name of the mapped servlet, plus -servlet.xml. If ActionServlet is defined in web.xml as <servlet-name>action</servlet-name>, the default is /WEB-INF/action-servlet.xml.

To configure this plug-in, add the following XML to the plug-ins section near the bottom of your *struts-config.xml* file:

```
<plug-in className="org.springframework.web.struts.ContextLoaderPlugIn"/>
```

The location of the context configuration files can be customized using the 'contextConfigLocation' property.

```
<plug-in className="org.springframework.web.struts.ContextLoaderPlugIn">
    <set-property property="contextConfigLocation"
        value="/WEB-INF/action-servlet.xml,/WEB-INF/applicationContext.xml"/>
    </plug-in>
```

It is possible to use this plugin to load all your context files, which can be useful when using testing tools like StrutsTestCase. StrutsTestCase's MockStrutsTestCase won't initialize Listeners on startup so putting all your context files in the plugin is a workaround. (A <u>bug has been filed</u> for this issue, but has been closed as 'Wont Fix').

After configuring this plug-in in *struts-config.xml*, you can configure your Action to be managed by Spring. Spring (1.1.3+) provides two ways to do this:

- Override Struts' default RequestProcessor with Spring's DelegatingRequestProcessor.
- Use the DelegatingActionProxy class in the type attribute of your <action-mapping>.

Both of these methods allow you to manage your Actions and their dependencies in the *action-servlet.xml* file. The bridge between the Action in *struts-config.xml* and *action-servlet.xml* is built with the action-mapping's

"path" and the bean's "name". If you have the following in your struts-config.xml file:

```
<action path="/users" .../>
```

You must define that Action's bean with the "/users" name in action-servlet.xml:

```
<bean name="/users" .../>
```

### 15.4.1.1. DelegatingRequestProcessor

To configure the <u>DelegatingRequestProcessor</u> in your *struts-config.xml* file, override the "processorClass" property in the <controller> element. These lines follow the <action-mapping> element.

```
<controller>
    <set-property property="processorClass"
     value="org.springframework.web.struts.DelegatingRequestProcessor"/>
    </controller>
```

After adding this setting, your Action will automatically be looked up in Spring's context file, no matter what the type. In fact, you don't even need to specify a type. Both of the following snippets will work:

```
<action path="/user" type="com.whatever.struts.UserAction"/>
<action path="/user"/>
```

If you're using Struts' *modules* feature, your bean names must contain the module prefix. For example, an action defined as <action path="/user"/> with module prefix "admin" requires a bean name with <bean name="/admin/user"/>.



#### Note

If you are using Tiles in your Struts application, you must configure your <controller> with the DelegatingTilesRequestProcessor instead.

#### 15.4.1.2. DelegatingActionProxy

If you have a custom RequestProcessor and can't use the DelegatingRequestProcessor or DelegatingTilesRequestProcessor approaches, you can use the DelegatingActionProxy as the type in your action-mapping.

```
<action path="/user" type="org.springframework.web.struts.DelegatingActionProxy"
    name="userForm" scope="request" validate="false" parameter="method">
    <forward name="list" path="/userList.jsp"/>
    <forward name="edit" path="/userForm.jsp"/>
    </action>
```

The bean definition in *action-servlet.xml* remains the same, whether you use a custom RequestProcessor or the DelegatingActionProxy.

If you define your Action in a context file, the full feature set of Spring's bean container will be available for it: dependency injection as well as the option to instantiate a new Action instance for each request. To activate the latter, add scope="prototype" to your Action's bean definition.

```
<bean name="/user" scope="prototype" autowire="byName"
  class="org.example.web.UserAction"/>
```

## 15.4.2. ActionSupport Classes

As previously mentioned, you can retrieve the WebApplicationContext from the ServletContext using the WebApplicationContextUtils class. An easier way is to extend Spring's Action classes for Struts. For example, instead of subclassing Struts' Action class, you can subclass Spring's ActionSupport class.

The ActionSupport class provides additional convenience methods, like *getWebApplicationContext()*. Below is an example of how you might use this in an Action:

Spring includes subclasses for all of the standard Struts Actions - the Spring versions merely have *Support* appended to the name:

- ActionSupport,
- <u>DispatchActionSupport</u>,
- LookupDispatchActionSupport and
- MappingDispatchActionSupport.

The recommended strategy is to use the approach that best suits your project. Subclassing makes your code more readable, and you know exactly how your dependencies are resolved. However, using the ContextLoaderPlugin allow you to easily add new dependencies in your context XML file. Either way, Spring provides some nice options for integrating the two frameworks.

## 15.5. WebWork 2.x

From the WebWork homepage...

"WebWork is a Java web-application development framework. It is built specifically with developer productivity and code simplicity in mind, providing robust support for building reusable UI templates, such as form controls, UI themes, internationalization, dynamic form parameter mapping to JavaBeans, robust client and server side validation, and much more."

WebWork is (in the opinion of this author) a very clean, elegant web framework. Its architecture and key concepts are not only very easy to understand, it has a rich tag library, nicely decoupled validation, and it is (again, in the opinion of this author) quite easy to be productive in next to no time at all (the documentation and tutorials are pretty good too).

One of the key enablers in WebWork's technology stack is an IoC container to manage Webwork Actions, handle the "wiring" of business objects, etc. Prior to WebWork version 2.2, WebWork used its own proprietary IoC container (and provided integration points so that one could integrate an IoC container such as Springs into the mix). However, as of WebWork version 2.2, the default IoC container that is used within WebWork *is* Spring. This is obviously great news if one is a Spring developer, because it means that one is immediately

familiar with the basics of IoC configuration, idioms and suchlike within WebWork.

Now in the interests of adhering to the DRY (Dont Repeat Yourself) principle, it would be foolish to writeup the Spring-WebWork integration in light of the fact that the WebWork team have already written such a writeup. Please do consult the <a href="Spring-WebWork integration">Spring-WebWork integration</a> page on the <a href="WebWork wiki">WebWork wiki</a> for the full lowdown.

Note that the Spring-WebWork integration code was developed (and continues to be maintained and improved) by the WebWork developers themselves, so in the first instance please do refer to the WebWork site and forums if you are having issues with the integration. Do feel free to post comments and queries regarding the Spring-WebWork integration on the <a href="Spring support forums">Spring support forums</a> too.

# 15.6. Tapestry 3.x and 4.x

From the **Tapestry homepage**...

"Tapestry is an open-source framework for creating dynamic, robust, highly scalable web applications in Java. Tapestry complements and builds upon the standard Java Servlet API, and so it works in any servlet container or application server."

While Spring has its own <u>powerful web layer</u>, there are a number of unique advantages to building a J2EE application using a combination of Tapestry for the web user interface and the Spring container for the lower layers. This section of the web integration chapter attempts to detail a few best practices for combining these two frameworks.

A *typical* layered J2EE application built with Tapestry and Spring will consist of a top user interface (UI) layer built with Tapestry, and a number of lower layers, all wired together by one or more Spring containers. Tapestry's own reference documentation contains the following snippet of best practice advice. (Text that the author of this Spring section has added is contained within [] brackets.)

"A very successful design pattern in Tapestry is to keep pages and components very simple, and **delegate** as much logic as possible out to HiveMind [or Spring, or whatever] services. Listener methods should ideally do little more than marshall together the correct information and pass it over to a service."

The key question then is... how does one supply Tapestry pages with collaborating services? The answer, ideally, is that one would want to dependency inject those services directly into one's Tapestry pages. In Tapestry, one can effect this dependency injection by a variety of means... This section is only going to enumerate the dependency injection means afforded by Spring. The real beauty of the rest of this Spring-Tapestry integration is that the elegant and flexible design of Tapestry itself makes doing this dependency injection of Spring-managed beans a cinch. (Another nice thing is that this Spring-Tapestry integration code was written - and continues to be maintained - by the Tapestry creator Howard M. Lewis Ship, so hats off to him for what is really some silky smooth integration).

# 15.6.1. Injecting Spring-managed beans

Assume we have the following simple Spring container definition (in the ubiquitous XML format):

```
</bean>
  <bean id="transactionManager"</pre>
        class="org.springframework.transaction.jta.JtaTransactionManager"/>
  <bean id="mapper"</pre>
       class="com.whatever.dataaccess.mapper.hibernate.MapperImpl">
      cproperty name="sessionFactory" ref="hibSessionFactory"/>
  <!-- (transactional) AuthenticationService -->
  <bean id="authenticationService"</pre>
        class="org.springframework.transaction.interceptor.TransactionProxyFactoryBean">
      property name="target">
          <bean class="com.whatever.services.service.user.AuthenticationServiceImpl">
              cproperty name="mapper" ref="mapper"/>
          </bean>
      </property>
      property name="proxyInterfacesOnly" value="true"/>
      property name="transactionAttributes">
          <value>
              *=PROPAGATION REOUIRED
          </value>
      </property>
  </hean>
  <!-- (transactional) UserService -->
  <bean id="userService"</pre>
       class="org.springframework.transaction.interceptor.TransactionProxyFactoryBean">
      cproperty name="transactionManager" ref="transactionManager"/>
      property name="target">
           <bean class="com.whatever.services.service.user.UserServiceImpl">
               property name="mapper" ref="mapper"/>
      </property>
      cproperty name="proxyInterfacesOnly" value="true"/>
      cproperty name="transactionAttributes">
          <value>
              *=PROPAGATION_REQUIRED
          </value>
      </property>
  </bean>
</beans>
```

Inside the Tapestry application, the above bean definitions need to be <u>loaded into a Spring container</u>, and any relevant Tapestry pages need to be supplied (injected) with the authenticationService and userService beans, which implement the AuthenticationService and UserService interfaces, respectively.

At this point, the application context is available to a web application by calling Spring's static utility function WebApplicationContextUtils.getApplicationContext(servletContext), where servletContext is the standard ServletContext from the J2EE Servlet specification. As such, one simple mechanism for a page to get an instance of the UserService, for example, would be with code such as:

```
WebApplicationContext appContext = WebApplicationContextUtils.getApplicationContext(
    getRequestCycle().getRequestContext().getServlet().getServletContext());
UserService userService = (UserService) appContext.getBean("userService");
... some code which uses UserService
```

This mechanism does work... having said that, it can be made a lot less verbose by encapsulating most of the functionality in a method in the base class for the page or component. However, in some respects it goes against the IoC principle; ideally you would like the page to not have to ask the context for a specific bean by name, and in fact, the page would ideally not know about the context at all.

Luckily, there is a mechanism to allow this. We rely upon the fact that Tapestry already has a mechanism to declaratively add properties to a page, and it is in fact the preferred approach to manage all properties on a page

in this declarative fashion, so that Tapestry can properly manage their lifecycle as part of the page and component lifecycle.



### Note

This next section is applicable to Tapestry 3.x. If you are using Tapestry version 4.x, please consult the section entitled Section 15.6.1.4, "Dependency Injecting Spring Beans into Tapestry pages - Tapestry 4.x style".

### 15.6.1.1. Dependency Injecting Spring Beans into Tapestry pages

First we need to make the ApplicationContext available to the Tapestry page or Component without having to have the ServletContext; this is because at the stage in the page's/component's lifecycle when we need to access the ApplicationContext, the ServletContext won't be easily available to the page, so we can't use <code>WebApplicationContextUtils.getApplicationContext(servletContext)</code> directly. One way is by defining a custom version of the Tapestry <code>IEngine</code> which exposes this for us:

```
package com.whatever.web.xportal;
 import ...
 public class MyEngine extends org.apache.tapestry.engine.BaseEngine {
               public static final String APPLICATION_CONTEXT_KEY = "appContext";
                   * @ see \ org. apache. tapestry. request. Request Context (org. apache. tapestry. request. Request (org. apache. tapestry. request. request. Request (org. apache. tapestry. request. Re
               protected void setupForRequest(RequestContext context) {
                               super.setupForRequest(context);
                               // insert ApplicationContext in global, if not there
                              Map global = (Map) getGlobal();
                              ApplicationContext ac = (ApplicationContext) global.get(APPLICATION_CONTEXT_KEY);
                               if (ac == null)
                                              ac = WebApplicationContextUtils.getWebApplicationContext(
                                                            context.getServlet().getServletContext()
                                             global.put(APPLICATION_CONTEXT_KEY, ac);
                               }
                }
```

This engine class places the Spring Application Context as an attribute called "appContext" in this Tapestry app's 'Global' object. Make sure to register the fact that this special IEngine instance should be used for this Tapestry application, with an entry in the Tapestry application definition file. For example:

#### 15.6.1.2. Component definition files

Now in our page or component definition file (\*.page or \*.jwc), we simply add property-specification elements to grab the beans we need out of the ApplicationContext, and create page or component properties for them.

#### For example:

The OGNL expression inside the property-specification specifies the initial value for the property, as a bean obtained from the context. The entire page definition might look like this:

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE page-specification PUBLIC
    "-//Apache Software Foundation//Tapestry Specification 3.0//EN"
   "http://jakarta.apache.org/tapestry/dtd/Tapestry_3_0.dtd">
<page-specification class="com.whatever.web.xportal.pages.Login">
   cproperty-specification name="username" type="java.lang.String"/>
   cproperty-specification name="password" type="java.lang.String"/>
   <property-specification name="callback" type="org.apache.tapestry.callback.ICallback" persistent="yes"/>
   property-specification name="userService"
                          type="com.whatever.services.service.user.UserService">
       global.appContext.getBean("userService")
   </property-specification>
   property-specification name="authenticationService"
                          type="com.whatever.services.service.user.AuthenticationService">
       global.appContext.getBean("authenticationService")
   property-specification>
   <bean name="delegate" class="com.whatever.web.xportal.PortalValidationDelegate"/>
   <bean name="validator" class="org.apache.tapestry.valid.StringValidator" lifecycle="page">
       <set-property name="required" expression="true"/>
       <set-property name="clientScriptingEnabled" expression="true"/>
   </bean>
   <component id="inputUsername" type="ValidField">
       <static-binding name="displayName" value="Username"/>
       <binding name="value" expression="username"/>
       <binding name="validator" expression="beans.validator"/>
   </component>
   <component id="inputPassword" type="ValidField">
       <binding name="value" expression="password"/>
      <binding name="validator" expression="beans.validator"/>
      <static-binding name="displayName" value="Password"/>
      <binding name="hidden" expression="true"/>
   </component>
</page-specification>
```

## 15.6.1.3. Adding abstract accessors

Now in the Java class definition for the page or component itself, all we need to do is add an abstract getter method for the properties we have defined (in order to be able to access the properties).

```
// our UserService implementation; will come from page definition
public abstract UserService getUserService();
// our AuthenticationService implementation; will come from page definition
public abstract AuthenticationService getAuthenticationService();
```

For the sake of completeness, the entire Java class, for a login page in this example, might look like this:

```
package com.whatever.web.xportal.pages;
```

```
/**
 ^{\star}\, Allows the user to login, by providing username and password.
   After successfully logging in, a cookie is placed on the client browser
 * that provides the default username for future logins (the cookie
* persists for a week).
public abstract class Login extends BasePage implements ErrorProperty, PageRenderListener {
    /** the key under which the authenticated user object is stored in the visit as */
    public static final String USER_KEY = "user";
    /** The name of the cookie that identifies a user **/
    private static final String COOKIE_NAME = Login.class.getName() + ".username";
    private final static int ONE_WEEK = 7 * 24 * 60 * 60;
    public abstract String getUsername();
    public abstract void setUsername(String username);
    public abstract String getPassword();
    public abstract void setPassword(String password);
    public abstract ICallback getCallback();
    public abstract void setCallback(ICallback value);
    public abstract UserService getUserService();
    public abstract AuthenticationService getAuthenticationService();
    protected IValidationDelegate getValidationDelegate() {
        return (IValidationDelegate) getBeans().getBean("delegate");
    protected void setErrorField(String componentId, String message) {
        IFormComponent field = (IFormComponent) getComponent(componentId);
        IValidationDelegate delegate = getValidationDelegate();
        delegate.setFormComponent(field);
        delegate.record(new ValidatorException(message));
    }
     * Attempts to login.
     * 
      If the user name is not known, or the password is invalid, then an error
     * message is displayed.
    public void attemptLogin(IRequestCycle cycle) {
        String password = getPassword();
        // Do a little extra work to clear out the password.
        setPassword(null);
        IValidationDelegate delegate = getValidationDelegate();
        delegate.setFormComponent((IFormComponent) getComponent("inputPassword"));
        delegate.recordFieldInputValue(null);
        // An error, from a validation field, may already have occurred.
        if (delegate.getHasErrors()) {
            return;
        }
        try {
            User user = getAuthenticationService().login(getUsername(), getPassword());
           loginUser(user, cycle);
        catch (FailedLoginException ex) {
           this.setError("Login failed: " + ex.getMessage());
            return;
        }
    }
     * Sets up the {@link User} as the logged in user, creates
     \star a cookie for their username (for subsequent logins),
        and redirects to the appropriate page, or
       a specified page).
    public void loginUser(User user, IRequestCycle cycle) {
```

```
String username = user.getUsername();
    // Get the visit object; this will likely force the
    // creation of the visit object and an HttpSession
    Map visit = (Map) getVisit();
    visit.put(USER_KEY, user);
    // After logging in, go to the MyLibrary page, unless otherwise specified
    ICallback callback = getCallback();
    if (callback == null) {
        cycle.activate("Home");
    else {
        callback.performCallback(cycle);
    IEngine engine = getEngine();
    Cookie cookie = new Cookie(COOKIE_NAME, username);
    cookie.setPath(engine.getServletPath());
    cookie.setMaxAge(ONE_WEEK);
    // Record the user's username in a cookie
    cycle.getRequestContext().addCookie(cookie);
    engine.forgetPage(getPageName());
public void pageBeginRender(PageEvent event) {
    if (getUsername() == null) {
        setUsername(getRequestCycle().getRequestContext().getCookieValue(COOKIE_NAME));
```

### 15.6.1.4. Dependency Injecting Spring Beans into Tapestry pages - Tapestry 4.x style

Effecting the dependency injection of Spring-managed beans into Tapestry pages in Tapestry version 4.x is *so* much simpler. All that is needed is a single <u>add-on library</u>, and some (small) amount of (essentially boilerplate) configuration. Simply package and deploy this library with the (any of the) other libraries required by your web application (typically in WEB-INF/lib).

You will then need to create and expose the Spring container using the <u>method detailed previously</u>. You can then inject Spring-managed beans into Tapestry very easily; if we are using Java 5, consider the Login page from above: we simply need to annotate the appropriate getter methods in order to dependency inject the Spring-managed userService and authenticationService objects (lots of the class definition has been elided for clarity)...

```
package com.whatever.web.xportal.pages;

public abstract class Login extends BasePage implements ErrorProperty, PageRenderListener {
    @InjectObject("spring:userService")
    public abstract UserService getUserService();

    @InjectObject("spring:authenticationService")
    public abstract AuthenticationService getAuthenticationService();
}
```

We are almost done... all that remains is the HiveMind configuration that exposes the Spring container stored in the ServletContext as a HiveMind service; for example:

If you are using Java 5 (and thus have access to annotations), then that really is it.

If you are not using Java 5, then one obviously doesn't annotate one's Tapestry page classes with annotations; instead, one simply uses good old fashioned XML to declare the dependency injection; for example, inside the .page or .jwc file for the Login page (or component):

```
<inject property="userService" object="spring:userService"/>
<inject property="authenticationService" object="spring:authenticationService"/>
```

In this example, we've managed to allow service beans defined in a Spring container to be provided to the Tapestry page in a declarative fashion. The page class does not know where the service implementations are coming from, and in fact it is easy to slip in another implementation, for example, during testing. This inversion of control is one of the prime goals and benefits of the Spring Framework, and we have managed to extend it all the way up the J2EE stack in this Tapestry application.

## 15.7. Further Resources

Find below links to further resources about the various web frameworks described in this chapter.

- The **JSF** homepage
- The **Struts** homepage
- The WebWork homepage
- The **Tapestry** homepage

## **Chapter 16. Portlet MVC Framework**

#### 16.1. Introduction

#### **JSR-168 The Java Portlet Specification**

For more general information about portlet development, please review a whitepaper from Sun entitled <u>"Introduction to JSR 168"</u>, and of course the <u>JSR-168 Specification</u> itself.

In addition to supporting conventional (servlet-based) Web development, Spring also supports JSR-168 Portlet development. As much as possible, the Portlet MVC framework is a mirror image of the Web MVC framework, and also uses the same underlying view abstractions and integration technology. So, be sure to review the chapters entitled Chapter 13, *Web MVC framework* and Chapter 14, *View technologies* before continuing with this chapter.



#### **Note**

Bear in mind that while the concepts of Spring MVC are the same in Spring Portlet MVC, there are some notable differences created by the unique workflow of JSR-168 portlets.

The main way in which portlet workflow differs from servlet workflow is that the request to the portlet can have two distinct phases: the action phase and the render phase. The action phase is executed only once and is where any 'backend' changes or actions occur, such as making changes in a database. The render phase then produces what is displayed to the user each time the display is refreshed. The critical point here is that for a single overall request, the action phase is executed only once, but the render phase may be executed multiple times. This provides (and requires) a clean separation between the activities that modify the persistent state of your system and the activities that generate what is displayed to the user.

#### **Spring Web Flow**

Spring Web Flow (SWF) aims to be the best solution for the management of web application page flow.

SWF integrates with existing frameworks like Spring MVC, Struts, and JSF, in both servlet and portlet environments. If you have a business process (or processes) that would benefit from a conversational model as opposed to a purely request model, then SWF may be the solution.

SWF allows you to capture logical page flows as self-contained modules that are reusable in different situations, and as such is ideal for building web application modules that guide the user through controlled navigations that drive business processes.

For more information about SWF, consult the **Spring Web Flow website**.

The dual phases of portlet requests are one of the real strengths of the JSR-168 specification. For example, dynamic search results can be updated routinely on the display without the user explicitly rerunning the search. Most other portlet MVC frameworks attempt to completely hide the two phases from the developer and make it look as much like traditional servlet development as possible - we think this approach removes one of the main benefits of using portlets. So, the separation of the two phases is preserved throughout the Spring Portlet MVC framework. The primary manifestation of this approach is that where the servlet version of the MVC classes

will have one method that deals with the request, the portlet version of the MVC classes will have two methods that deal with the request: one for the action phase and one for the render phase. For example, where the servlet version of AbstractController has the handleRequestInternal(..) method, the portlet version of AbstractController has handleActionRequestInternal(..) and handleRenderRequestInternal(..) methods.

The framework is designed around a DispatcherPortlet that dispatches requests to handlers, with configurable handler mappings and view resolution, just as the DispatcherServlet in the web framework does. File upload is also supported in the same way.

Locale resolution and theme resolution are not supported in Portlet MVC - these areas are in the purview of the portal/portlet container and are not appropriate at the Spring level. However, all mechanisms in Spring that depend on the locale (such as internationalization of messages) will still function properly because <code>DispatcherPortlet</code> exposes the current locale in the same way as <code>DispatcherServlet</code>.

#### 16.1.1. Controllers - The C in MVC

The default handler is still a very simple Controller interface, offering just two methods:

- void handleActionRequest(request,response)
- ModelAndView handleRenderRequest(request, response)

The framework also includes most of the same controller implementation hierarchy, such as AbstractController, SimpleFormController, and so on. Data binding, command object usage, model handling, and view resolution are all the same as in the servlet framework.

#### 16.1.2. Views - The V in MVC

All the view rendering capabilities of the servlet framework are used directly via a special bridge servlet named <code>viewRendererServlet</code>. By using this servlet, the portlet request is converted into a servlet request and the view can be rendered using the entire normal servlet infrastructure. This means all the existing renderers, such as JSP, Velocity, etc., can still be used within the portlet.

## 16.1.3. Web-scoped beans

Spring Portlet MVC supports beans whose lifecycle is scoped to the current HTTP request or HTTP session (both normal and global). This is not a specific feature of Spring Portlet MVC itself, but rather of the WebApplicationContext container(s) that Spring Portlet MVC uses. These bean scopes are described in detail in the section entitled Section 3.4.4, "The other scopes"



#### **Note**

The Spring distribution ships with a complete Spring Portlet MVC sample application that demonstrates all of the features and functionality of the Spring Portlet MVC framework. This 'petportal' application can be found in the 'samples/petportal' directory of the full Spring distribution.

## 16.2. The DispatcherPortlet

Portlet MVC is a request-driven web MVC framework, designed around a portlet that dispatches requests to controllers and offers other functionality facilitating the development of portlet applications. Spring's DispatcherPortlet however, does more than just that. It is completely integrated with the Spring ApplicationContext and allows you to use every other feature Spring has.

Like ordinary portlets, the DispatcherPortlet is declared in the portlet.xml of your web application:

The DispatcherPortlet now needs to be configured.

In the Portlet MVC framework, each DispatcherPortlet has its own WebApplicationContext, which inherits all the beans already defined in the Root WebApplicationContext. These inherited beans can be overridden in the portlet-specific scope, and new scope-specific beans can be defined local to a given portlet instance.

The framework will, on initialization of a DispatcherPortlet, look for a file named [portlet-name]-portlet.xml in the WEB-INF directory of your web application and create the beans defined there (overriding the definitions of any beans defined with the same name in the global scope).

The config location used by the DispatcherPortlet can be modified through a portlet initialization parameter (see below for details).

The Spring DispatcherPortlet has a few special beans it uses, in order to be able to process requests and render the appropriate views. These beans are included in the Spring framework and can be configured in the WebApplicationContext, just as any other bean would be configured. Each of those beans is described in more detail below. Right now, we'll just mention them, just to let you know they exist and to enable us to go on talking about the DispatcherPortlet. For most of the beans, defaults are provided so you don't have to worry about configuring them.

Table 16.1. Special beans in the WebApplicationContext

Expression	Explanation
handler mapping(s)	(Section 16.5, "Handler mappings") a list of pre- and post-processors and controllers that will be executed if they match certain criteria (for instance a matching portlet mode specified with the controller)
controller(s)	(Section 16.4, "Controllers") the beans providing the actual functionality (or at least, access to the functionality) as part of the MVC triad
view resolver	(Section 16.6, "Views and resolving them") capable of resolving view names to view definitions
multipart resolver	(Section 16.7, "Multipart (file upload) support") offers functionality to process file uploads from HTML forms
handler exception resolver	(Section 16.8, "Handling exceptions") offers functionality to map exceptions to views or implement other more complex exception handling code

When a DispatcherPortlet is setup for use and a request comes in for that specific DispatcherPortlet, it starts processing the request. The list below describes the complete process a request goes through if handled by a DispatcherPortlet:

- 1. The locale returned by PortletRequest.getLocale() is bound to the request to let elements in the process resolve the locale to use when processing the request (rendering the view, preparing data, etc.).
- 2. If a multipart resolver is specified and this is an ActionRequest, the request is inspected for multiparts and if they are found, it is wrapped in a MultipartActionRequest for further processing by other elements in the process. (See Section 16.7, "Multipart (file upload) support" for further information about multipart handling).
- 3. An appropriate handler is searched for. If a handler is found, the execution chain associated with the handler (pre- processors, post-processors, controllers) will be executed in order to prepare a model.
- 4. If a model is returned, the view is rendered, using the view resolver that has been configured with the WebApplicationContext. If no model is returned (which could be due to a pre- or post-processor intercepting the request, for example, for security reasons), no view is rendered, since the request could already have been fulfilled.

Exceptions that might be thrown during processing of the request get picked up by any of the handler exception resolvers that are declared in the WebApplicationContext. Using these exception resolvers you can define custom behavior in case such exceptions get thrown.

You can customize Spring's DispatcherPortlet by adding context parameters in the portlet.xml file or portlet init-parameters. The possibilities are listed below.

Table 16.2. DispatcherPortlet initialization parameters

Parameter	Explanation
contextClass	Class that implements WebApplicationContext, which will be used to instantiate the context used by this portlet. If this parameter isn't specified, the XmlPortletApplicationContext will be used.
contextConfigLocation	String which is passed to the context instance (specified by contextClass) to indicate where context(s) can be found. The String is potentially split up into multiple Strings (using a comma as a delimiter) to support multiple contexts (in case of multiple context locations, of beans that are defined twice, the latest takes precedence).
namespace	The namespace of the WebApplicationContext. Defaults to [portlet-name]-portlet.
viewRendererUrl	The URL at which DispatcherPortlet can access an instance of ViewRendererServlet (see Section 16.3, "The ViewRendererServlet").

#### 16.3. The ViewRendererServlet.

The rendering process in Portlet MVC is a bit more complex than in Web MVC. In order to reuse all the <u>view</u> <u>technologies</u> from Spring Web MVC), we must convert the PortletRequest / PortletResponse to HttpServletRequest / HttpServletResponse and then call the render method of the View. To do this,

DispatcherPortlet uses a special servlet that exists for just this purpose: the ViewRendererServlet.

In order for DispatcherPortlet rendering to work, you must declare an instance of the ViewRendererServlet in the web.xml file for your web application as follows:

To perform the actual rendering, DispatcherPortlet does the following:

- 1. Binds the WebApplicationContext to the request as an attribute under the same WEB\_APPLICATION\_CONTEXT\_ATTRIBUTE key that DispatcherServlet uses.
- 2. Binds the Model and View objects to the request to make them available to the ViewRendererServlet.
- 3. Constructs a PortletRequestDispatcher and performs an include using the /WEB- INF/servlet/view URL that is mapped to the ViewRendererServlet.

The ViewRendererServlet is then able to call the render method on the View with the appropriate arguments.

The actual URL for the <code>ViewRendererServlet</code> can be changed using <code>DispatcherPortlet</code>'s <code>viewRendererUrl</code> configuration parameter.

## 16.4. Controllers

The controllers in Portlet MVC are very similar to the Web MVC Controllers and porting code from one to the other should be simple.

The basis for the Portlet MVC controller architecture is the org.springframework.web.portlet.mvc.Controller interface, which is listed below.

```
public interface Controller {
    /**
    * Process the render request and return a ModelAndView object which the
    * DispatcherPortlet will render.
    */
    ModelAndView handleRenderRequest(RenderRequest request, RenderResponse response)
        throws Exception;

    /**
    * Process the action request. There is nothing to return.
    */
    void handleActionRequest(ActionRequest request, ActionResponse response)
        throws Exception;
}
```

As you can see, the Portlet Controller interface requires two methods that handle the two phases of a portlet request: the action request and the render request. The action phase should be capable of handling an action request and the render phase should be capable of handling a render request and returning an appropriate model and view. While the Controller interface is quite abstract, Spring Portlet MVC offers a lot of controllers that already contain a lot of the functionality you might need – most of these are very similar to controllers from

Spring Web MVC. The Controller interface just defines the most common functionality required of every controller - handling an action request, handling a render request, and returning a model and a view.

#### 16.4.1. AbstractController and PortletContentGenerator

Of course, just a Controller interface isn't enough. To provide a basic infrastructure, all of Spring Portlet MVC's Controllers inherit from AbstractController, a class offering access to Spring's ApplicationContext and control over caching.

Table 16.3. Features offered by the AbstractController

Parameter	Explanation
requireSession	Indicates whether or not this Controller requires a session to do its work. This feature is offered to all controllers. If a session is not present when such a controller receives a request, the user is informed using a SessionRequiredException.
synchronizeSession	Use this if you want handling by this controller to be synchronized on the user's session. To be more specific, the extending controller will override the handleRenderRequestInternal() and handleActionRequestInternal() methods, which will be synchronized on the user's session if you specify this variable.
renderWhenMinimized	If you want your controller to actually render the view when the portlet is in a minimized state, set this to true. By default, this is set to false so that portlets that are in a minimized state don't display any content.
cacheSeconds	When you want a controller to override the default cache expiration defined for the portlet, specify a positive integer here. By default it is set to -1, which does not change the default caching. Setting it to 0 will ensure the result is never cached.

The requireSession and cacheSeconds properties are declared on the PortletContentGenerator class, which is the superclass of AbstractController) but are included here for completeness.

When using the AbstractController as a baseclass for your controllers (which is not recommended since there are a lot of other controllers that might already do the job for you) you only have to override either the handleActionRequestInternal(ActionRequest, ActionResponse) method or the handleRenderRequestInternal(RenderRequest, RenderResponse) method (or both), implement your logic, and return a ModelAndView object (in the case of handleRenderRequestInternal).

The default implementations of both handleActionRequestInternal(..) and handleRenderRequestInternal(..) throw a PortletException. This is consistent with the behavior of GenericPortlet from the JSR- 168 Specification API. So you only need to override the method that your controller is intended to handle.

Here is short example consisting of a class and a declaration in the web application context.

```
package samples;
import javax.portlet.RenderRequest;
import javax.portlet.RenderResponse;
import org.springframework.web.portlet.mvc.AbstractController;
import org.springframework.web.portlet.ModelAndView;
```

The class above and the declaration in the web application context is all you need besides setting up a handler mapping (see Section 16.5, "Handler mappings") to get this very simple controller working.

## 16.4.2. Other simple controllers

Although you can extend AbstractController, Spring Portlet MVC provides a number of concrete implementations which offer functionality that is commonly used in simple MVC applications.

The ParameterizableViewController is basically the same as the example above, except for the fact that you can specify the view name that it will return in the web application context (no need to hard-code the view name).

The PortletModeNameViewController uses the current mode of the portlet as the view name. So, if your portlet is in View mode (i.e. PortletMode.VIEW) then it uses "view" as the view name.

#### 16.4.3. Command Controllers

Spring Portlet MVC has the exact same hierarchy of *command controllers* as Spring Web MVC. They provide a way to interact with data objects and dynamically bind parameters from the PortletRequest to the data object specified. Your data objects don't have to implement a framework-specific interface, so you can directly manipulate your persistent objects if you desire. Let's examine what command controllers are available, to get an overview of what you can do with them:

- AbstractCommandController a command controller you can use to create your own command controller, capable of binding request parameters to a data object you specify. This class does not offer form functionality, it does however offer validation features and lets you specify in the controller itself what to do with the command object that has been filled with the parameters from the request.
- AbstractFormController an abstract controller offering form submission support. Using this controller you can model forms and populate them using a command object you retrieve in the controller. After a user has filled the form, AbstractFormController binds the fields, validates, and hands the object back to the controller to take appropriate action. Supported features are: invalid form submission (resubmission), validation, and normal form workflow. You implement methods to determine which views are used for form presentation and success. Use this controller if you need forms, but don't want to specify what views you're going to show the user in the application context.
- SimpleFormController a concrete AbstractFormController that provides even more support when creating a form with a corresponding command object. The SimpleFormController lets you specify a command object, a viewname for the form, a viewname for the page you want to show the user when form submission has succeeded, and more.

• AbstractWizardFormController — a concrete AbstractFormController that provides a wizard-style interface for editing the contents of a command object across multiple display pages. Supports multiple user actions: finish, cancel, or page change, all of which are easily specified in request parameters from the view.

These command controllers are quite powerful, but they do require a detailed understanding of how they operate in order to use them efficiently. Carefully review the Javadocs for this entire hierarchy and then look at some sample implementations before you start using them.

#### 16.4.4. PortletWrappingController

Instead of developing new controllers, it is possible to use existing portlets and map requests to them from a DispatcherPortlet. Using the PortletWrappingController, you can instantiate an existing Portlet as a Controller as follows:

This can be very valuable since you can then use interceptors to pre-process and post-process requests going to these portlets. Since JSR-168 does not support any kind of filter mechanism, this is quite handy. For example, this can be used to wrap the Hibernate <code>openSessionInViewInterceptor</code> around a MyFaces JSF Portlet.

## 16.5. Handler mappings

Using a handler mapping you can map incoming portlet requests to appropriate handlers. There are some handler mappings you can use out of the box, for example, the PortletModeHandlerMapping, but let's first examine the general concept of a HandlerMapping.

Note: We are intentionally using the term "Handler" here instead of "Controller". DispatcherPortlet is designed to be used with other ways to process requests than just Spring Portlet MVC's own Controllers. A Handler is any Object that can handle portlet requests. Controllers are an example of Handlers, and they are of course the default. To use some other framework with DispatcherPortlet, a corresponding implementation of HandlerAdapter is all that is needed.

The functionality a basic HandlerMapping provides is the delivering of a HandlerExecutionChain, which must contain the handler that matches the incoming request, and may also contain a list of handler interceptors that are applied to the request. When a request comes in, the DispatcherPortlet will hand it over to the handler mapping to let it inspect the request and come up with an appropriate HandlerExecutionChain. Then the DispatcherPortlet will execute the handler and interceptors in the chain (if any). These concepts are all exactly the same as in Spring Web MVC.

The concept of configurable handler mappings that can optionally contain interceptors (executed before or after the actual handler was executed, or both) is extremely powerful. A lot of supporting functionality can be built into a custom HandlerMapping. Think of a custom handler mapping that chooses a handler not only based on the portlet mode of the request coming in, but also on a specific state of the session associated with the request.

In Spring Web MVC, handler mappings are commonly based on URLs. Since there is really no such thing as a URL within a Portlet, we must use other mechanisms to control mappings. The two most common are the portlet mode and a request parameter, but anything available to the portlet request can be used in a custom

handler mapping.

The rest of this section describes three of Spring Portlet MVC's most commonly used handler mappings. They all extend AbstractHandlerMapping and share the following properties:

- interceptors: The list of interceptors to use. HandlerInterceptors are discussed in Section 16.5.4, "Adding HandlerInterceptors".
- defaultHandler: The default handler to use, when this handler mapping does not result in a matching handler.
- order: Based on the value of the order property (see the org.springframework.core.Ordered interface), Spring will sort all handler mappings available in the context and apply the first matching handler.
- lazyInitHandlers: Allows for lazy initialization of singleton handlers (prototype handlers are always lazily initialized). Default value is false. This property is directly implemented in the three concrete Handlers.

#### 16.5.1. PortletModeHandlerMapping

This is a simple handler mapping that maps incoming requests based on the current mode of the portlet (e.g. 'view', 'edit', 'help'). An example:

#### 16.5.2. ParameterHandlerMapping

If we need to navigate around to multiple controllers without changing portlet mode, the simplest way to do this is with a request parameter that is used as the key to control the mapping.

ParameterHandlerMapping uses the value of a specific request parameter to control the mapping. The default name of the parameter is 'action', but can be changed using the 'parameterName' property.

The bean configuration for this mapping will look something like this:

## 16.5.3. PortletModeParameterHandlerMapping

The most powerful built-in handler mapping, PortletModeParameterHandlerMapping combines the capabilities of the two previous ones to allow different navigation within each portlet mode.

Again the default name of the parameter is "action", but can be changed using the parameterName property.

By default, the same parameter value may not be used in two different portlet modes. This is so that if the portal itself changes the portlet mode, the request will no longer be valid in the mapping. This behavior can be changed by setting the allowDupParameters property to true. However, this is not recommended.

The bean configuration for this mapping will look something like this:

```
<bean class="org.springframework.web.portlet.handler.PortletModeParameterHandlerMapping">
   property name="portletModeParameterMap">
        <map>
           <entry key="view"> <!-- 'view' portlet mode -->
                    <entry key="add" value-ref="addItemHandler"/>
                    <entry key="edit" value-ref="editItemHandler"/>
                    <entry key="delete" value-ref="deleteItemHandler"/>
            </entry>
            <entry key="edit"> <!-- 'edit' portlet mode -->
                <map>
                    <entry key="prefs" value-ref="prefsHandler"/>
                    <entry key="resetPrefs" value-ref="resetPrefsHandler"/>
                </map>
            </entry>
       </map>
   </property>
</bean>
```

This mapping can be chained ahead of a PortletModeHandlerMapping, which can then provide defaults for each mode and an overall default as well.

#### 16.5.4. Adding HandlerInterceptorS

Spring's handler mapping mechanism has a notion of handler interceptors, which can be extremely useful when you want to apply specific functionality to certain requests, for example, checking for a principal. Again Spring Portlet MVC implements these concepts in the same way as Web MVC.

Interceptors located in the handler mapping must implement HandlerInterceptor from the org.springframework.web.portlet package. Just like the servlet version, this interface defines three methods: one that will be called before the actual handler will be executed (preHandle), one that will be called after the handler is executed (postHandle), and one that is called after the complete request has finished (afterCompletion). These three methods should provide enough flexibility to do all kinds of pre- and post-processing.

The preHandle method returns a boolean value. You can use this method to break or continue the processing of the execution chain. When this method returns true, the handler execution chain will continue. When it returns false, the DispatcherPortlet assumes the interceptor itself has taken care of requests (and, for example, rendered an appropriate view) and does not continue executing the other interceptors and the actual handler in the execution chain.

The postHandle method is only called on a RenderRequest. The preHandle and afterCompletion methods are called on both an ActionRequest and a RenderRequest. If you need to execute logic in these methods for just one type of request, be sure to check what kind of request it is before processing it.

#### 16.5.5. HandlerInterceptorAdapter

As with the servlet package, the portlet package has a concrete implementation of HandlerInterceptor called HandlerInterceptorAdapter. This class has empty versions of all the methods so that you can inherit from

this class and implement just one or two methods when that is all you need.

#### 16.5.6. ParameterMappingInterceptor

The portlet package also has a concrete interceptor named ParameterMappingInterceptor that is meant to be used directly with ParameterHandlerMapping and PortletModeParameterHandlerMapping. This interceptor will cause the parameter that is being used to control the mapping to be forwarded from an ActionRequest to the subsequent RenderRequest. This will help ensure that the RenderRequest is mapped to the same Handler as the ActionRequest. This is done in the preHandle method of the interceptor, so you can still modify the parameter value in your handler to change where the RenderRequest will be mapped.

Be aware that this interceptor is calling setRenderParameter on the ActionResponse, which means that you cannot call sendRedirect in your handler when using this interceptor. If you need to do external redirects then you will either need to forward the mapping parameter manually or write a different interceptor to handle this for you.

## 16.6. Views and resolving them

As mentioned previously, Spring Portlet MVC directly reuses all the view technologies from Spring Web MVC. This includes not only the various view implementations themselves, but also the viewResolver implementations. For more information, refer to the sections entitled Chapter 14, *View technologies* and Section 13.5, "Views and resolving them" respectively.

A few items on using the existing View and ViewResolver implementations are worth mentioning:

- Most portals expect the result of rendering a portlet to be an HTML fragment. So, things like JSP/JSTL, Velocity, FreeMarker, and XSLT all make sense. But it is unlikely that views that return other document types will make any sense in a portlet context.
- There is no such thing as an HTTP redirect from within a portlet (the sendRedirect(..) method of ActionResponse cannot be used to stay within the portal). So, RedirectView and use of the 'redirect:' prefix will **not** work correctly from within Portlet MVC.
- It may be possible to use the 'forward:' prefix from within Portlet MVC. However, remember that since you are in a portlet, you have no idea what the current URL looks like. This means you cannot use a relative URL to access other resources in your web application and that you will have to use an absolute URL.

Also, for JSP development, the new Spring Taglib and the new Spring Form Taglib both work in portlet views in exactly the same way that they work in servlet views.

## 16.7. Multipart (file upload) support

Spring Portlet MVC has built-in multipart support to handle file uploads in portlet applications, just like Web MVC does. The design for the multipart support is done with pluggable PortletMultipartResolver objects, defined in the org.springframework.web.portlet.multipart package. Spring provides a PortletMultipartResolver for use with Commons FileUpload. How uploading files is supported will be described in the rest of this section.

By default, no multipart handling will be done by Spring Portlet MVC, as some developers will want to handle multiparts themselves. You will have to enable it yourself by adding a multipart resolver to the web application's context. After you have done that, DispatcherPortlet will inspect each request to see if it

contains a multipart. If no multipart is found, the request will continue as expected. However, if a multipart is found in the request, the PortletMultipartResolver that has been declared in your context will be used. After that, the multipart attribute in your request will be treated like any other attribute.



#### Note

Any configured PortletMultipartResolver bean *must* have the following id (or name): "portletMultipartResolver". If you have defined your PortletMultipartResolver with any other name, then the DispatcherPortlet will *not* find your PortletMultipartResolver, and consequently no multipart support will be in effect.

#### 16.7.1. Using the PortletMultipartResolver

The following example shows how to use the CommonsPortletMultipartResolver:

Of course you also need to put the appropriate jars in your classpath for the multipart resolver to work. In the case of the CommonsMultipartResolver, you need to use commons-fileupload.jar. Be sure to use at least version 1.1 of Commons FileUpload as previous versions do not support JSR-168 Portlet applications.

Now that you have seen how to set Portlet MVC up to handle multipart requests, let's talk about how to actually use it. When <code>DispatcherPortlet</code> detects a multipart request, it activates the resolver that has been declared in your context and hands over the request. What the resolver then does is wrap the current <code>ActionRequest</code> into a <code>MultipartActionRequest</code> that has support for multipart file uploads. Using the <code>MultipartActionRequest</code> you can get information about the multiparts contained by this request and actually get access to the multipart files themselves in your controllers.

Note that you can only receive multipart file uploads as part of an ActionRequest, not as part of a RenderRequest.

## 16.7.2. Handling a file upload in a form

After the PortletMultipartResolver has finished doing its job, the request will be processed like any other. To use it, you create a form with an upload field (see immediately below), then let Spring bind the file onto your form (backing object). To actually let the user upload a file, we have to create a (JSP/HTML) form:

As you can see, we've created a field named "file" after the property of the bean that holds the byte[]. Furthermore we've added the encoding attribute (enctype="multipart/form-data"), which is necessary to let the browser know how to encode the multipart fields (do not forget this!).

Just as with any other property that's not automagically convertible to a string or primitive type, to be able to put binary data in your objects you have to register a custom editor with the PortletRequestDataBinder.

There are a couple of editors available for handling files and setting the results on an object. There's a StringMultipartFileEditor capable of converting files to Strings (using a user-defined character set) and there is a ByteArrayMultipartFileEditor which converts files to byte arrays. They function just as the CustomDateEditor does.

So, to be able to upload files using a form, declare the resolver, a mapping to a controller that will process the bean, and the controller itself.

After that, create the controller and the actual class to hold the file property.

```
public class FileUploadController extends SimpleFormController {
   public void onSubmitAction(ActionRequest request, ActionResponse response,
            Object command, BindException errors) throws Exception {
        // cast the bean
        FileUploadBean bean = (FileUploadBean) command;
        // let's see if there's content there
        byte[] file = bean.getFile();
        if (file == null) {
            // hmm, that's strange, the user did not upload anything
        // do something with the file here
   protected void initBinder(
           PortletRequest request, PortletRequestDataBinder binder) throws Exception {
        // to actually be able to convert Multipart instance to byte[]
        // we have to register a custom editor
       binder.registerCustomEditor(byte[].class, new ByteArrayMultipartFileEditor());
        // now Spring knows how to handle multipart object and convert
public class FileUploadBean {
   private byte[] file;
   public void setFile(byte[] file) {
       this.file = file;
   public byte[] getFile() {
        return file;
```

As you can see, the FileUploadBean has a property typed byte[] that holds the file. The controller registers a custom editor to let Spring know how to actually convert the multipart objects the resolver has found to properties specified by the bean. In this example, nothing is done with the byte[] property of the bean itself, but in practice you can do whatever you want (save it in a database, mail it to somebody, etc).

An equivalent example in which a file is bound straight to a String-typed property on a (form backing) object might look like this:

```
public class FileUploadController extends SimpleFormController {
    public void onSubmitAction(ActionRequest request, ActionResponse response,
            Object command, BindException errors) throws Exception {
        // cast the bean
        FileUploadBean bean = (FileUploadBean) command;
        // let's see if there's content there
        String file = bean.getFile();
        if (file == null) {
            // hmm, that's strange, the user did not upload anything
        // do something with the file here
    protected void initBinder(
        PortletRequest request, PortletRequestDataBinder binder) throws Exception {
        // to actually be able to convert Multipart instance to a String
        // we have to register a custom editor
        binder.registerCustomEditor(String.class,
           new StringMultipartFileEditor());
        // now Spring knows how to handle multipart objects and convert
}
public class FileUploadBean {
    private String file;
    public void setFile(String file) {
        this.file = file;
    public String getFile() {
       return file;
```

Of course, this last example only makes (logical) sense in the context of uploading a plain text file (it wouldn't work so well in the case of uploading an image file).

The third (and final) option is where one binds directly to a MultipartFile property declared on the (form backing) object's class. In this case one does not need to register any custom property editor because there is no type conversion to be performed.

```
public void setFile(MultipartFile file) {
    this.file = file;
}

public MultipartFile getFile() {
    return file;
}
```

## 16.8. Handling exceptions

Just like Web MVC, Portlet MVC provides HandlerExceptionResolvers to ease the pain of unexpected exceptions occurring while your request is being processed by a handler that matched the request. Portlet MVC also provides the same concrete SimpleMappingExceptionResolver that enables you to take the class name of any exception that might be thrown and map it to a view name.

## 16.9. Annotation-based controller configuration

Spring 2.5 introduces an annotation-based programming model for MVC controllers, using annotations such as <code>@RequestMapping</code>, <code>@RequestParam</code>, <code>@ModelAttribute</code>, etc. This annotation support is available for both Servlet MVC and Portlet MVC. Controllers implemented in this style do not have to extend specific base classes or implement specific interfaces. Furthermore, they do not usually have direct dependencies on Servlet or Portlet API's, although they can easily get access to Servlet or Portlet facilities if desired.



#### Tip

The Spring distribution ships with the *PetPortal* sample, which is a portal application that takes advantage of the annotation support described in this section, in the context of simple form processing. You can find the *PetPortal* application in the 'samples/petportal' directory.

The following sections document these annotations and how they are most commonly used in a Portlet environment.

## 16.9.1. Setting up the dispatcher for annotation support

@RequestMapping will only be processed if a corresponding HandlerMapping (for type level annotations) and/or HandlerAdapter (for method level annotations) is present in the dispatcher. This is the case by default in both DispatcherServlet and DispatcherPortlet.

However, if you are defining custom HandlerMappings or HandlerAdapters, then you need to make sure that a corresponding custom DefaultAnnotationHandlerMapping and/or AnnotationMethodHandlerAdapter is defined as well - provided that you intend to use @RequestMapping.

```
</beans>
```

Defining a DefaultAnnotationHandlerMapping and/or AnnotationMethodHandlerAdapter explicitly also makes sense if you would like to customize the mapping strategy, e.g. specifying a custom WebBindingInitializer (see below).

#### 16.9.2. Defining a controller with @controller

The @controller annotation indicates that a particular class serves the role of a *controller*. There is no need to extend any controller base class or reference the Portlet API. You are of course still able to reference Portlet-specific features if you need to.

The basic purpose of the @controller annotation is to act as a stereotype for the annotated class, indicating its role. The dispatcher will scan such annotated classes for mapped methods, detecting @RequestMapping annotations (see the next section).

Annotated controller beans may be defined explicitly, using a standard Spring bean definition in the dispatcher's context. However, the @Controller stereotype also allows for autodetection, aligned with Spring 2.5's general support for detecting component classes in the classpath and auto-registering bean definitions for them.

To enable autodetection of such annotated controllers, you have to add component scanning to your configuration. This is easily achieved by using the *spring-context* schema as shown in the following XML snippet:

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xmlns:p="http://www.springframework.org/schema/p"
    xmlns:context="http://www.springframework.org/schema/context"
    xsi:schemaLocation="
        http://www.springframework.org/schema/beans
        http://www.springframework.org/schema/beans/spring-beans-2.5.xsd
        http://www.springframework.org/schema/context
        http://www.springframework.org/schema/context
        http://www.springframework.org/schema/context/spring-context-2.5.xsd">
        <context:component-scan base-package="org.springframework.samples.petportal.portlet"/>
        // ...
</beans>
```

## 16.9.3. Mapping requests with @RequestMapping

The @RequestMapping annotation is used to map portlet modes like 'VIEW'/'EDIT' onto an entire class or a particular handler method. Typically the type-level annotation maps a specific mode (or mode plus parameter condition) onto a form controller, with additional method-level annotations 'narrowing' the primary mapping for specific portlet request parameters.



#### Tip

@RequestMapping at the type level may be used for plain implementations of the Controller interface as well. In this case, the request processing code would follow the traditional handle(Action|Render)Request signature, while the controller's mapping would be expressed through an @RequestMapping annotation. This works for pre-built Controller base classes, such as SimpleFormController, too.

In the following discussion, we'll focus on controllers that are based on annotated handler methods.

The following is an example of a form controller from the PetPortal sample application using this annotation:

```
@Controller
@RequestMapping("EDIT")
@SessionAttributes("site")
public class PetSitesEditController {
    private Properties petSites;
    public void setPetSites(Properties petSites) {
        this.petSites = petSites;
    @ModelAttribute("petSites")
    public Properties getPetSites() {
       return this.petSites;
    @RequestMapping // default (action=list)
    public String showPetSites() {
       return "petSitesEdit";
    @RequestMapping(params = "action=add")
                                            // render phase
    public String showSiteForm(Model model) {
        // Used for the initial form as well as for redisplaying with errors.
        if (!model.containsAttribute("site")) {
            model.addAttribute("site", new PetSite());
        return "petSitesAdd";
    @RequestMapping(params = "action=add") // action phase
    public void populateSite(
            @ModelAttribute("site") PetSite petSite, BindingResult result,
            SessionStatus status, ActionResponse response) {
        new PetSiteValidator().validate(petSite, result);
        if (!result.hasErrors()) {
            this.petSites.put(petSite.getName(), petSite.getUrl());
            status.setComplete();
            response.setRenderParameter("action", "list");
        }
    }
    @RequestMapping(params = "action=delete")
    public void removeSite(@RequestParam("site") String site, ActionResponse response) {
        this.petSites.remove(site);
        response.setRenderParameter("action", "list");
}
```

## 16.9.4. Supported handler method arguments

Handler methods which are annotated with @RequestMapping are allowed to have very flexible signatures. They may have arguments of the following types, in arbitrary order (except for validation results, which need to follow right after the corresponding command object, if desired):

• Request and/or response objects (Portlet API). You may choose any specific request/response type, e.g. PortletRequest / ActionRequest / RenderRequest. An explicitly declared action/render argument is also used for mapping specific request types onto a handler method (in case of no other information given that differentiates between action and render requests).

- Session object (Portlet API): of type PortletSession. An argument of this type will enforce the presence of a corresponding session. As a consequence, such an argument will never be null.
- org.springframework.web.context.request.WebRequest Or org.springframework.web.context.request.NativeWebRequest. Allows for generic request parameter access as well as request/session attribute access, without ties to the native Servlet/Portlet API.
- java.util.Locale for the current request locale (the portal locale in a Portlet environment).
- java.io.InputStream / java.io.Reader for access to the request's content. This will be the raw InputStream/Reader as exposed by the Portlet API.
- java.io.OutputStream / java.io.Writer for generating the response's content. This will be the raw OutputStream/Writer as exposed by the Portlet API.
- @RequestParam annotated parameters for access to specific Portlet request parameters. Parameter values will be converted to the declared method argument type.
- java.util.Map / org.springframework.ui.Model / org.springframework.ui.ModelMap for enriching the implicit model that will be exposed to the web view.
- Command/form objects to bind parameters to: as bean properties or fields, with customizable type conversion, depending on @InitBinder methods and/or the HandlerAdapter configuration see the "webBindingInitializer" property on AnnotationMethodHandlerAdapter. Such command objects along with their validation results will be exposed as model attributes, by default using the non-qualified command class name in property notation (e.g. "orderAddress" for type "mypackage.OrderAddress"). Specify a parameter-level ModelAttribute annotation for declaring a specific model attribute name.
- org.springframework.validation.Errors / org.springframework.validation.BindingResult validation results for a preceding command/form object (the immediate preceding argument).
- org.springframework.web.bind.support.SessionStatus status handle for marking form processing as complete (triggering the cleanup of session attributes that have been indicated by the @SessionAttributes annotation at the handler type level).

The following return types are supported for handler methods:

- A ModelAndView object, with the model implicitly enriched with command objects and the results of @ModelAttribute annotated reference data accessor methods.
- A Model object, with the view name implicitly determined through a RequestToViewNameTranslator and the model implicitly enriched with command objects and the results of @ModelAttribute annotated reference data accessor methods.
- A Map object for exposing a model, with the view name implicitly determined through a RequestToViewNameTranslator and the model implicitly enriched with command objects and the results of @ModelAttribute annotated reference data accessor methods.
- A View object, with the model implicitly determined through command objects and @ModelAttribute annotated reference data accessor methods. The handler method may also programmatically enrich the model by declaring a Model argument (see above).
- A string value which is interpreted as view name, with the model implicitly determined through command objects and @ModelAttribute annotated reference data accessor methods. The handler method may also

programmatically enrich the model by declaring a Model argument (see above).

- void if the method handles the response itself (e.g. by writing the response content directly).
- Any other return type will be considered as single model attribute to be exposed to the view, using the attribute name specified through <code>@ModelAttribute</code> at the method level (or the default attribute name based on the return type's class name otherwise). The model will be implicitly enriched with command objects and the results of <code>@ModelAttribute</code> annotated reference data accessor methods.

#### 16.9.5. Binding request parameters to method parameters with

#### @RequestParam

The @RequestParam annotation is used to bind request parameters to a method parameter in your controller.

The following code snippet from the PetPortal sample application shows the usage:

```
@Controller
@RequestMapping("EDIT")
@SessionAttributes("site")
public class PetSitesEditController {

    // ...

    public void removeSite(@RequestParam("site") String site, ActionResponse response) {
        this.petSites.remove(site);
        response.setRenderParameter("action", "list");
    }

    // ...
}
```

Parameters using this annotation are required by default, but you can specify that a parameter is optional by setting @RequestParam's required attribute to false (e.g., @RequestParam(value="id", required="false")).

## 16.9.6. Providing a link to data from the model with @ModelAttribute

<code>@ModelAttribute</code> has two usage scenarios in controllers. When placed on a method parameter, <code>@ModelAttribute</code> is used to map a model attribute to the specific, annotated method parameter (see the <code>processSubmit()</code> method below). This is how the controller gets a reference to the object holding the data entered in the form. In addition, the parameter can be declared as the specific type of the form backing object rather than as a generic <code>java.lang.Object</code>, thus increasing type safety.

<code>@ModelAttribute</code> is also used at the method level to provide *reference data* for the model (see the populatePetTypes() method below). For this usage the method signature can contain the same types as documented above for the <code>@RequestMapping</code> annotation.

*Note:* @ModelAttribute annotated methods will be executed *before* the chosen @RequestMapping annotated handler method. They effectively pre-populate the implicit model with specific attributes, often loaded from a database. Such an attribute can then already be accessed through @ModelAttribute annotated handler method parameters in the chosen handler method, potentially with binding and validation applied to it.

The following code snippet shows these two usages of this annotation:

```
@Controller
@RequestMapping("EDIT")
@SessionAttributes("site")
public class PetSitesEditController {
```

```
@ModelAttribute("petSites")
public Properties getPetSites() {
    return this.petSites;
}

@RequestMapping(params = "action=add") // action phase
public void populateSite(
    @ModelAttribute("site") PetSite petSite, BindingResult result,
    SessionStatus status, ActionResponse response) {

    new PetSiteValidator().validate(petSite, result);
    if (!result.hasErrors()) {
        this.petSites.put(petSite.getName(), petSite.getUrl());
        status.setComplete();
        response.setRenderParameter("action", "list");
    }
}
```

#### 16.9.7. Specifying attributes to store in a Session with @SessionAttributes

The type-level @sessionAttributes annotation declares session attributes used by a specific handler. This will typically list the names of model attributes which should be transparently stored in the session or some conversational storage, serving as form-backing beans between subsequent requests.

The following code snippet shows the usage of this annotation:

```
@Controller
@RequestMapping("EDIT")
@SessionAttributes("site")
public class PetSitesEditController {
    // ...
}
```

## 16.9.8. Customizing WebDataBinder initialization

To customize request parameter binding with PropertyEditors, etc. via Spring's WebDataBinder, you can either use @InitBinder-annotated methods within your controller or externalize your configuration by providing a custom WebBindingInitializer.

#### 16.9.8.1. Customizing data binding with @InitBinder

Annotating controller methods with @InitBinder allows you to configure web data binding directly within your controller class. @InitBinder identifies methods which initialize the WebDataBinder which will be used for populating command and form object arguments of annotated handler methods.

Such init-binder methods support all arguments that @RequestMapping supports, except for command/form objects and corresponding validation result objects. Init-binder methods must not have a return value. Thus, they are usually declared as void. Typical arguments include WebDataBinder in combination with WebRequest or java.util.Locale, allowing code to register context-specific editors.

The following example demonstrates the use of @InitBinder for configuring a CustomDateEditor for all java.util.Date form properties.

```
@Controller
public class MyFormController {
    @InitBinder
    public void initBinder(WebDataBinder binder) {
```

```
SimpleDateFormat dateFormat = new SimpleDateFormat("yyyy-MM-dd");
    dateFormat.setLenient(false);
    binder.registerCustomEditor(Date.class, new CustomDateEditor(dateFormat, false));
}
// ...
}
```

#### 16.9.8.2. Configuring a custom WebBindingInitializer

To externalize data binding initialization, you can provide a custom implementation of the WebBindingInitializer interface, which you then enable by supplying a custom bean configuration for an AnnotationMethodHandlerAdapter, thus overriding the default configuration.

## 16.10. Portlet application deployment

The process of deploying a Spring Portlet MVC application is no different than deploying any JSR-168 Portlet application. However, this area is confusing enough in general that it is worth talking about here briefly.

Generally, the portal/portlet container runs in one webapp in your servlet container and your portlets run in another webapp in your servlet container. In order for the portlet container webapp to make calls into your portlet webapp it must make cross-context calls to a well-known servlet that provides access to the portlet services defined in your portlet.xml file.

The JSR-168 specification does not specify exactly how this should happen, so each portlet container has its own mechanism for this, which usually involves some kind of "deployment process" that makes changes to the portlet webapp itself and then registers the portlets within the portlet container.

At a minimum, the web.xml file in your portlet webapp is modified to inject the well-known servlet that the portlet container will call. In some cases a single servlet will service all portlets in the webapp, in other cases there will be an instance of the servlet for each portlet.

Some portlet containers will also inject libraries and/or configuration files into the webapp as well. The portlet container must also make its implementation of the Portlet JSP Tag Library available to your webapp.

The bottom line is that it is important to understand the deployment needs of your target portal and make sure they are met (usually by following the automated deployment process it provides). Be sure to carefully review the documentation from your portal for this process.

Once you have deployed your portlet, review the resulting web.xml file for sanity. Some older portals have been known to corrupt the definition of the ViewRendererServlet, thus breaking the rendering of your portlets.

## Part IV. Integration

This part of the reference documentation covers the Spring Framework's integration with a number of J2EE (and related) technologies.

- Chapter 17, Remoting and web services using Spring
- Chapter 18, Enterprise Java Beans (EJB) integration
- Chapter 19, JMS (Java Message Service)
- Chapter 20, JMX
- Chapter 21, JCA CCI
- Chapter 22, Email
- Chapter 23, Scheduling and Thread Pooling
- Chapter 24, Dynamic language support
- Chapter 25, Annotations and Source Level Metadata Support

# Chapter 17. Remoting and web services using Spring

#### 17.1. Introduction

Spring features integration classes for remoting support using various technologies. The remoting support eases the development of remote-enabled services, implemented by your usual (Spring) POJOs. Currently, Spring supports four remoting technologies:

- Remote Method Invocation (RMI). Through the use of the RmiProxyFactoryBean and the RmiServiceExporter Spring supports both traditional RMI (with java.rmi.Remote interfaces and java.rmi.RemoteException) and transparent remoting via RMI invokers (with any Java interface).
- *Spring's HTTP invoker*. Spring provides a special remoting strategy which allows for Java serialization via HTTP, supporting any Java interface (just like the RMI invoker). The corresponding support classes are HttpInvokerProxyFactoryBean and HttpInvokerServiceExporter.
- *Hessian*. By using Spring's HessianProxyFactoryBean and the HessianServiceExporter you can transparently expose your services using the lightweight binary HTTP-based protocol provided by Caucho.
- *Burlap*. Burlap is Caucho's XML-based alternative to Hessian. Spring provides support classes such as BurlapProxyFactoryBean and BurlapServiceExporter.
- JAX-RPC. Spring provides remoting support for web services via JAX-RPC (J2EE 1.4's web service API).
- JAX-WS. Spring provides remoting support for web services via JAX-WS (the successor of JAX-RPC, as introduced in Java EE 5 and Java 6).
- *JMS*. Remoting using JMS as the underlying protocol is supported via the JmsInvokerServiceExporter and JmsInvokerProxyFactoryBean classes.

While discussing the remoting capabilities of Spring, we'll use the following domain model and corresponding services:

```
public class Account implements Serializable{
    private String name;
    public String getName();

    public void setName(String name) {
        this.name = name;
    }
}
```

```
public interface AccountService {
    public void insertAccount(Account account);
    public List getAccounts(String name);
}
```

```
public interface RemoteAccountService extends Remote {
   public void insertAccount(Account account) throws RemoteException;
```

```
public List getAccounts(String name) throws RemoteException;
}
```

```
// the implementation doing nothing at the moment
public class AccountServiceImpl implements AccountService {
    public void insertAccount(Account acc) {
        // do something...
    }
    public List getAccounts(String name) {
        // do something...
    }
}
```

We will start exposing the service to a remote client by using RMI and talk a bit about the drawbacks of using RMI. We'll then continue to show an example using Hessian as the protocol.

## 17.2. Exposing services using RMI

Using Spring's support for RMI, you can transparently expose your services through the RMI infrastructure. After having this set up, you basically have a configuration similar to remote EJBs, except for the fact that there is no standard support for security context propagation or remote transaction propagation. Spring does provide hooks for such additional invocation context when using the RMI invoker, so you can for example plug in security frameworks or custom security credentials here.

#### 17.2.1. Exporting the service using the RmiserviceExporter

Using the RmiServiceExporter, we can expose the interface of our AccountService object as RMI object. The interface can be accessed by using RmiProxyFactoryBean, or via plain RMI in case of a traditional RMI service. The RmiServiceExporter explicitly supports the exposing of any non-RMI services via RMI invokers.

Of course, we first have to set up our service in the Spring container:

```
<bean id="accountService" class="example.AccountServiceImpl">
    <!-- any additional properties, maybe a DAO? -->
</bean>
```

Next we'll have to expose our service using the RmiServiceExporter:

As you can see, we're overriding the port for the RMI registry. Often, your application server also maintains an RMI registry and it is wise to not interfere with that one. Furthermore, the service name is used to bind the service under. So right now, the service will be bound at 'rmi://HOST:1199/AccountService'. We'll use the URL later on to link in the service at the client side.



**Note** 

The servicePort property has been omitted (it defaults to 0). This means that an anonymous port will be used to communicate with the service.

## 17.2.2. Linking in the service at the client

Our client is a simple object using the AccountService to manage accounts:

```
public class SimpleObject {
    private AccountService accountService;
    public void setAccountService(AccountService accountService) {
        this.accountService = accountService;
    }
}
```

To link in the service on the client, we'll create a separate Spring container, containing the simple object and the service linking configuration bits:

That's all we need to do to support the remote account service on the client. Spring will transparently create an invoker and remotely enable the account service through the RmiServiceExporter. At the client we're linking it in using the RmiProxyFactoryBean.

# 17.3. Using Hessian or Burlap to remotely call services via HTTP

Hessian offers a binary HTTP-based remoting protocol. It is developed by Caucho and more information about Hessian itself can be found at <a href="http://www.caucho.com">http://www.caucho.com</a>.

## 17.3.1. Wiring up the DispatcherServlet for Hessian and co.

Hessian communicates via HTTP and does so using a custom servlet. Using Spring's DispatcherServlet principles, as known from Spring Web MVC usage, you can easily wire up such a servlet exposing your services. First we'll have to create a new servlet in your application (this an excerpt from 'web.xml'):

You're probably familiar with Spring's DispatcherServlet principles and if so, you know that now you'll have to create a Spring container configuration resource named 'remoting-servlet.xml' (after the name of your servlet) in the 'WEB-INF' directory. The application context will be used in the next section.

Alternatively, consider the use of Spring's simpler HttpRequestHandlerServlet. This allows you to embed the remote exporter definitions in your root application context (by default in 'WEB-INF/applicationContext.xml'), with individual servlet definitions pointing to specific exporter beans. Each servlet name needs to match the bean name of its target exporter in this case.

#### 17.3.2. Exposing your beans by using the HessianServiceExporter

In the newly created application context called remoting-servlet.xml, we'll create a HessianServiceExporter exporting your services:

Now we're ready to link in the service at the client. No explicit handler mapping is specified, mapping request URLs onto services, so BeanNameUrlHandlerMapping will be used: Hence, the service will be exported at the URL indicated through its bean name within the containing DispatcherServlet's mapping (as defined above): http://HOST:8080/remoting/AccountService'.

Alternatively, create a HessianServiceExporter in your root application context (e.g. in 'WEB-INF/applicationContext.xml'):

In the latter case, define a corresponding servlet for this exporter in 'web.xml', with the same end result: The exporter getting mapped to the request path /remoting/AccountService. Note that the servlet name needs to match the bean name of the target exporter.

## 17.3.3. Linking in the service on the client

Using the we can link in the service at the client. The same principles apply as with the RMI example. We'll create a separate bean factory or application context and mention the following beans where the SimpleObject is using the AccountService to manage accounts:

## 17.3.4. Using Burlap

We won't discuss Burlap, the XML-based equivalent of Hessian, in detail here, since it is configured and set up in exactly the same way as the Hessian variant explained above. Just replace the word Hessian with Burlap and you're all set to go.

## 17.3.5. Applying HTTP basic authentication to a service exposed through Hessian or Burlap

One of the advantages of Hessian and Burlap is that we can easily apply HTTP basic authentication, because both protocols are HTTP-based. Your normal HTTP server security mechanism can easily be applied through using the web.xml security features, for example. Usually, you don't use per-user security credentials here, but rather shared credentials defined at the Hessian/BurlapProxyFactoryBean level (similar to a JDBC DataSource).

This an example where we explicitly mention the BeanNameUrlHandlerMapping and set an interceptor allowing only administrators and operators to call the beans mentioned in this application context.



#### Note

Of course, this example doesn't show a flexible kind of security infrastructure. For more options as far as security is concerned, have a look at the Acegi Security System for Spring, to be found at <a href="http://acegisecurity.sourceforge.net">http://acegisecurity.sourceforge.net</a>.

## 17.4. Exposing services using HTTP invokers

As opposed to Burlap and Hessian, which are both lightweight protocols using their own slim serialization mechanisms, Spring Http invokers use the standard Java serialization mechanism to expose services through HTTP. This has a huge advantage if your arguments and return types are complex types that cannot be serialized using the serialization mechanisms Hessian and Burlap use (refer to the next section for more considerations when choosing a remoting technology).

Under the hood, Spring uses either the standard facilities provided by J2SE to perform HTTP calls or Commons HttpClient. Use the latter if you need more advanced and easy-to-use functionality. Refer to jakarta.apache.org/commons/httpclient for more info.

#### 17.4.1. Exposing the service object

Setting up the HTTP invoker infrastructure for a service objects much resembles the way you would do using Hessian or Burlap. Just as Hessian support provides the HessianServiceExporter, Spring's HttpInvoker support provides the org.springframework.remoting.httpinvoker.HttpInvokerServiceExporter.

To expose the AccountService (mentioned above) within a Spring Web MVC DispatcherServlet, the following configuration needs to be in place in the dispatcher's application context:

Such an exporter definition will be exposed through the DispatcherServlet's standard mapping facilities, as explained in the section on Hessian.

Alternatively, create an HttpInvokerServiceExporter in your root application context (e.g. in 'WEB-INF/applicationContext.xml'):

In addition, define a corresponding servlet for this exporter in 'web.xml', with the servlet name matching the bean name of the target exporter:

## 17.4.2. Linking in the service at the client

Again, linking in the service from the client much resembles the way you would do it when using Hessian or Burlap. Using a proxy, Spring will be able to translate your calls to HTTP POST requests to the URL pointing to the exported service.

As mentioned before, you can choose what HTTP client you want to use. By default, the httpInvokerProxy uses the J2SE HTTP functionality, but you can also use the Commons httpClient by setting the httpInvokerRequestExecutor property:

## 17.5. Web services

Spring provides full support for standard Java web services APIs:

- Exposing web services using JAX-RPC
- Accessing web services using JAX-RPC
- Exposing web services using JAX-WS
- · Accessing web services using JAX-WS



#### Note

Why two standard Java web services APIs?

JAX-RPC 1.1 is the standard web service API in J2EE 1.4. As its name indicates, it focuses on on RPC bindings, which became less and less popular in the past couple of years. As a consequence, it has been superseded by JAX-WS 2.0 in Java EE 5, being more flexible in terms of bindings but also being heavily annotation-based. JAX-WS 2.1 is also included in Java 6 (or more specifically, in Sun's JDK 1.6.0\_04 and above; previous Sun JDK 1.6.0 releases included JAX-WS 2.0), integrated with the JDK's built-in HTTP server.

Spring can work with both standard Java web services APIs. The choice is effectively dependent on the runtime platform: On JDK 1.4 / J2EE 1.4, the only option is JAX-RPC. On Java EE 5 / Java 6, the obvious choice is JAX-WS. On J2EE 1.4 environments that run on Java 5, you might have the option to plug in a JAX-WS provider; check your J2EE server's documentation.

In addition to stock support for JAX-RPC and JAX-WS in Spring Core, the Spring portfolio also features <u>Spring Web Services</u>, a solution for contract-first, document-driven web services - highly recommended for building modern, future-proof web services. Last but not least, <u>XFire</u> also allows you to export Spring-managed beans as a web service, through built-in Spring support.

## 17.5.1. Exposing servlet-based web services using JAX-RPC

Spring provides a convenience base class for JAX-RPC servlet endpoint implementations - ServletEndpointSupport. To expose our AccountService we extend Spring's ServletEndpointSupport class and implement our business logic here, usually delegating the call to the business layer.

```
/**
  * JAX-RPC compliant RemoteAccountService implementation that simply delegates
  * to the AccountService implementation in the root web application context.

*
  * This wrapper class is necessary because JAX-RPC requires working with dedicated
  * endpoint classes. If an existing service needs to be exported, a wrapper that
  * extends ServletEndpointSupport for simple application context access is
  * the simplest JAX-RPC compliant way.

*
  * This is the class registered with the server-side JAX-RPC implementation.
  * In the case of Axis, this happens in "server-config.wsdd" respectively via
  * deployment calls. The web service engine manages the lifecycle of instances
  * of this class: A Spring application context can just be accessed here.
  */import org.springframework.remoting.jaxrpc.ServletEndpointSupport;

public class AccountServiceEndpoint extends ServletEndpointSupport implements RemoteAccountService {
    private AccountService biz;
}
```

```
protected void onInit() {
    this.biz = (AccountService) getWebApplicationContext().getBean("accountService");
}

public void insertAccount(Account acc) throws RemoteException {
    biz.insertAccount(acc);
}

public Account[] getAccounts(String name) throws RemoteException {
    return biz.getAccounts(name);
}
```

Our AccountServletEndpoint needs to run in the same web application as the Spring context to allow for access to Spring's facilities. In case of Axis, copy the AxisServlet definition into your 'web.xml', and set up the endpoint in 'server-config.wsdd' (or use the deploy tool). See the sample application JPetStore where the OrderService is exposed as a web service using Axis.

## 17.5.2. Accessing web services using JAX-RPC

JAX-RPC Spring provides two factory beans to create web service proxies, LocalJaxRpcServiceFactoryBean and JaxRpcPortProxyFactoryBean. The former can only return a JAX-RPC service class for us to work with. The latter is the full-fledged version that can return a proxy that implements our business service interface. In this example we use the latter to create a proxy for the AccountService endpoint we exposed in the previous section. You will see that Spring has great support for web services requiring little coding efforts - most of the setup is done in the Spring configuration file as usual:

Where serviceInterface is our remote business interface the clients will use. wsdlDocumentUrl is the URL for the WSDL file. Spring needs this a startup time to create the JAX-RPC Service. namespaceUri corresponds to the targetNamespace in the .wsdl file. serviceName corresponds to the service name in the .wsdl file. portName corresponds to the port name in the .wsdl file.

Accessing the web service is now very easy as we have a bean factory for it that will expose it as RemoteAccountService interface. We can wire this up in Spring:

From the client code we can access the web service just as if it was a normal class, except that it throws RemoteException.

```
public class AccountClientImpl {
    private RemoteAccountService service;
    public void setService(RemoteAccountService service) {
        this.service = service;
    }
    public void foo() {
        try {
            service.insertAccount(...);
    }
}
```

```
}
catch (RemoteException ex) {
    // ouch
}
}
```

We can get rid of the checked RemoteException since Spring supports automatic conversion to its corresponding unchecked RemoteException. This requires that we provide a non-RMI interface also. Our configuration is now:

Where serviceInterface is changed to our non RMI interface. Our RMI interface is now defined using the property portInterface. Our client code can now avoid handling java.rmi.RemoteException:

```
public class AccountClientImpl {
    private AccountService service;
    public void setService(AccountService service) {
        this.service = service;
    }
    public void foo() {
        service.insertAccount(...);
    }
}
```

Note that you can also drop the "portInterface" part and specify a plain business interface as "serviceInterface". In this case, <code>JaxRpcPortProxyFactoryBean</code> will automatically switch to the JAX-RPC "Dynamic Invocation Interface", performing dynamic invocations without a fixed port stub. The advantage is that you don't even need to have an RMI-compliant Java port interface around (e.g. in case of a non-Java target web service); all you need is a matching business interface. Check out <code>JaxRpcPortProxyFactoryBean</code>'s javadoc for details on the runtime implications.

## 17.5.3. Registering JAX-RPC Bean Mappings

To transfer complex objects over the wire such as Account we must register bean mappings on the client side.



#### **Note**

On the server side using Axis registering bean mappings is usually done in the 'server-config.wsdd' file.

We will use Axis to register bean mappings on the client side. To do this we need to register the bean mappings programmatically:

```
public class AxisPortProxyFactoryBean extends JaxRpcPortProxyFactoryBean {
    protected void postProcessJaxRpcService(Service service) {
        TypeMappingRegistry registry = service.getTypeMappingRegistry();
        TypeMapping mapping = registry.createTypeMapping();
        registerBeanMapping(mapping, Account.class, "Account");
        registry.register("http://schemas.xmlsoap.org/soap/encoding/", mapping);
    }
}
```

## 17.5.4. Registering your own JAX-RPC Handler

In this section we will register our own <code>javax.rpc.xml.handler.Handler</code> to the web service proxy where we can do custom code before the SOAP message is sent over the wire. The <code>Handler</code> is a callback interface. There is a convenience base class provided in <code>jaxrpc.jar</code>, namely <code>javax.rpc.xml.handler.GenericHandler</code> that we will extend:

What we need to do now is to register our AccountHandler to JAX-RPC Service so it would invoke handleRequest(..) before the message is sent over the wire. Spring has at this time of writing no declarative support for registering handlers, so we must use the programmatic approach. However Spring has made it very easy for us to do this as we can override the postProcessJaxRpcService(..) method that is designed for this:

```
public class AccountHandlerJaxRpcPortProxyFactoryBean extends JaxRpcPortProxyFactoryBean {
    protected void postProcessJaxRpcService(Service service) {
        QName port = new QName(this.getNamespaceUri(), this.getPortName());
        List list = service.getHandlerRegistry().getHandlerChain(port);
        list.add(new HandlerInfo(AccountHandler.class, null, null));
        logger.info("Registered JAX-RPC AccountHandler on port " + port);
    }
}
```

The last thing we must remember to do is to change the Spring configuration to use our factory bean:

```
<bean id="accountWebService" class="example.AccountHandlerJaxRpcPortProxyFactoryBean">
    ...
</bean>
```

## 17.5.5. Exposing servlet-based web services using JAX-WS

Spring provides a convenient base class for JAX-WS servlet endpoint implementations - SpringBeanAutowiringSupport. To expose our AccountService we extend Spring's

SpringBeanAutowiringSupport class and implement our business logic here, usually delegating the call to the business layer. We'll simply use Spring 2.5's @Autowired annotation for expressing such dependencies on Spring-managed beans.

```
* JAX-WS compliant AccountService implementation that simply delegates
  * to the AccountService implementation in the root web application context.
  * This wrapper class is necessary because JAX-WS requires working with dedicated
  * endpoint classes. If an existing service needs to be exported, a wrapper that
  {\tt * extends SpringBeanAutowiringSupport for simple Spring bean autowiring (through the state of the state 
  ^{\star} the @Autowired annotation) is the simplest JAX-WS compliant way.
  * This is the class registered with the server-side JAX-WS implementation.
  * In the case of a Java EE 5 server, this would simply be defined as a servlet
  ^{\star} in web.xml, with the server detecting that this is a JAX-WS endpoint and reacting
  * accordingly. The servlet name usually needs to match the specified WS service name.
  ^{\star} The web service engine manages the lifecycle of instances of this class.
  * Spring bean references will just be wired in here.
  */import org.springframework.web.context.support.SpringBeanAutowiringSupport;
@WebService(serviceName="AccountService")
public class AccountServiceEndpoint extends SpringBeanAutowiringSupport {
         @Autowired
         private AccountService biz;
         @WebMethod
         public void insertAccount(Account acc) {
                biz.insertAccount(acc);
         @WebMethod
         public Account[] getAccounts(String name) {
                 return biz.getAccounts(name);
```

Our AccountServletEndpoint needs to run in the same web application as the Spring context to allow for access to Spring's facilities. This is the case by default in Java EE 5 environments, using the standard contract for JAX-WS servlet endpoint deployment. See Java EE 5 web service tutorials for details.

## 17.5.6. Exporting standalone web services using JAX-WS

The built-in JAX-WS provider that comes with Sun's JDK 1.6 supports exposure of web services using the built-in HTTP server that's included in JDK 1.6 as well. Spring's SimpleJaxWsServiceExporter detects all @WebService annotated beans in the Spring application context, exporting them through the default JAX-WS server (the JDK 1.6 HTTP server).

In this scenario, the endpoint instances are defined and managed as Spring beans themselves; they will be registered with the JAX-WS engine but their lifecycle will be up to the Spring application context. This means that Spring functionality like explicit dependency injection may be applied to the endpoint instances. Of course, annotation-driven injection through @Autowired will work as well.

The AccountServiceEndpoint may derive from Spring's SpringBeanAutowiringSupport but doesn't have to

since the endpoint is a fully Spring-managed bean here. This means that the endpoint implementation may look like as follows, without any superclass declared - and Spring's @Autowired configuration annotation still being honored:

```
@WebService(serviceName="AccountService")
public class AccountServiceEndpoint {
    @Autowired
    private AccountService biz;

    @WebMethod
    public void insertAccount(Account acc) {
        biz.insertAccount(acc);
    }

    @WebMethod
    public Account[] getAccounts(String name) {
        return biz.getAccounts(name);
    }
}
```

## 17.5.7. Exporting web services using the JAX-WS RI's Spring support

Sun's JAX-WS RI, developed as part of the GlassFish project, ships Spring support as part of its JAX-WS Commons project. This allows for defining JAX-WS endpoints as Spring-managed beans, similar to the standalone mode discussed in the previous section - but this time in a Servlet environment. Note that this is not portable in a Java EE 5 environment; it is mainly intended for non-EE environments such as Tomcat, embedding the JAX-WS RI as part of the web application.

The difference to the standard style of exporting servlet-based endpoints is that the lifecycle of the endpoint instances themselves will be managed by Spring here, and that there will be only one JAX-WS servlet defined in web.xml. With the standard Java EE 5 style (as illustrated above), you'll have one servlet definition per service endpoint, with each endpoint typically delegating to Spring beans (through the use of @Autowired, as shown above).

Check out <a href="https://jax-ws-commons.dev.java.net/spring/">https://jax-ws-commons.dev.java.net/spring/</a> for the details on setup and usage style.

## 17.5.8. Accessing web services using JAX-WS

Analogous to the JAX-RPC support, Spring provides two factory beans to create JAX-WS web service proxies, namely LocalJaxWsServiceFactoryBean and JaxWsPortProxyFactoryBean. The former can only return a JAX-WS service class for us to work with. The latter is the full-fledged version that can return a proxy that implements our business service interface. In this example we use the latter to create a proxy for the AccountService endpoint (again):

Where serviceInterface is our business interface the clients will use. wsdlDocumentUrl is the URL for the WSDL file. Spring needs this a startup time to create the JAX-WS Service. namespaceUri corresponds to the targetNamespace in the .wsdl file. serviceName corresponds to the service name in the .wsdl file. portName corresponds to the port name in the .wsdl file.

Accessing the web service is now very easy as we have a bean factory for it that will expose it as

Account Service interface. We can wire this up in Spring:

From the client code we can access the web service just as if it was a normal class:

```
public class AccountClientImpl {
    private AccountService service;
    public void setService(AccountService service) {
        this.service = service;
    }
    public void foo() {
        service.insertAccount(...);
    }
}
```

*NOTE:* The above is slightly simplified in that JAX-WS requires endpoint interfaces and implementation classes to be annotated with <code>@WebService</code>, <code>@SOAPBinding</code> etc annotations. This means that you cannot (easily) use plain Java interfaces and implementation classes as JAX-WS endpoint artifacts; you need to annotate them accordingly first. Check the JAX-WS documentation for details on those requirements.

## 17.5.9. Exposing web services using XFire

XFire is a lightweight SOAP library, hosted by Codehaus. Exposing XFire is done using a XFire context that shipping with XFire itself in combination with a RemoteExporter-style bean you have to add to your WebApplicationContext. As with all methods that allow you to expose service, you have to create a DispatcherServlet with a corresponding WebApplicationContext containing the services you will be exposing:

```
<servlet>
    <servlet-name>xfire</servlet-name>
    <servlet-class>org.springframework.web.servlet.DispatcherServlet</servlet-class>
</servlet>
```

You also have to link in the XFire configuration. This is done by adding a context file to the contextConfigLocations context parameter picked up by the ContextLoaderListener (or ContextLoaderServlet for that matter).

After you added a servlet mapping (mapping /\* to the XFire servlet declared above) you only have to add one extra bean to expose the service using XFire. Add for example the following configuration in your 'xfire-servlet.xml' file:

XFire handles the rest. It introspects your service interface and generates a WSDL from it. Parts of this documentation have been taken from the XFire site; for more detailed information on XFire Spring integration, navigate to <a href="http://docs.codehaus.org/display/XFIRE/Spring">http://docs.codehaus.org/display/XFIRE/Spring</a>.

#### 17.6. JMS

It is also possible to expose services transparently using JMS as the underlying communication protocol. The JMS remoting support in the Spring Framework is pretty basic - it sends and receives on the same thread and in the *same non-transactional* Session, and as such throughput will be very implementation dependent.

The following interface is used on both the server and the client side.

```
package com.foo;
public interface CheckingAccountService {
    public void cancelAccount(Long accountId);
}
```

The following simple implementation of the above interface is used on the server-side.

```
package com.foo;

public class SimpleCheckingAccountService implements CheckingAccountService {
    public void cancelAccount(Long accountId) {
        System.out.println("Cancelling account [" + accountId + "]");
    }
}
```

This configuration file contains the JMS-infrastructure beans that are shared on both the client and server.

## 17.6.1. Server-side configuration

On the server, you just need to expose the service object using the JmsInvokerServiceExporter.

```
<?xml version="1.0" encoding="UTF-8"?>
```

```
<beans xmlns="http://www.springframework.org/schema/beans"</pre>
     xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
     xsi:schemaLocation="http://www.springframework.org/schema/beans
        http://www.springframework.org/schema/beans/spring-beans-2.5.xsd">
   <bean id="checkingAccountService"</pre>
        class="org.springframework.jms.remoting.JmsInvokerServiceExporter">
       property name="service">
          <bean class="com.foo.SimpleCheckingAccountService"/>
  </bean>
  <bean class="org.springframework.jms.listener.SimpleMessageListenerContainer">
      cproperty name="connectionFactory" ref="connectionFactory"/>
      property name="destination" ref="queue"/>
      cproperty name="concurrentConsumers" value="3"/>
      cproperty name="messageListener" ref="checkingAccountService"/>
  </bean>
</beans>
```

```
package com.foo;
import org.springframework.context.support.ClassPathXmlApplicationContext;
public class Server {
    public static void main(String[] args) throws Exception {
        new ClassPathXmlApplicationContext(new String[]{"com/foo/server.xml", "com/foo/jms.xml"});
    }
}
```

### 17.6.2. Client-side configuration

The client merely needs to create a client-side proxy that will implement the agreed upon interface (CheckingAccountService). The resulting object created off the back of the following bean definition can be injected into other client side objects, and the proxy will take care of forwarding the call to the server-side object via JMS.

You may also wish to investigate the support provided by the <u>Lingo</u> project, which (to quote the homepage blurb) "... is a lightweight POJO based remoting and messaging library based on the Spring Framework's remoting libraries which extends it to support JMS."

## 17.7. Auto-detection is not implemented for remote interfaces

The main reason why auto-detection of implemented interfaces does not occur for remote interfaces is to avoid opening too many doors to remote callers. The target object might implement internal callback interfaces like InitializingBean or DisposableBean which one would not want to expose to callers.

Offering a proxy with all interfaces implemented by the target usually does not matter in the local case. But when exporting a remote service, you should expose a specific service interface, with specific operations intended for remote usage. Besides internal callback interfaces, the target might implement multiple business interfaces, with just one of them intended for remote exposure. For these reasons, we *require* such a service interface to be specified.

This is a trade-off between configuration convenience and the risk of accidental exposure of internal methods. Always specifying a service interface is not too much effort, and puts you on the safe side regarding controlled exposure of specific methods.

## 17.8. Considerations when choosing a technology

Each and every technology presented here has its drawbacks. You should carefully consider you needs, the services your exposing and the objects you'll be sending over the wire when choosing a technology.

When using RMI, it's not possible to access the objects through the HTTP protocol, unless you're tunneling the RMI traffic. RMI is a fairly heavy-weight protocol in that it support full-object serialization which is important when using a complex data model that needs serialization over the wire. However, RMI-JRMP is tied to Java clients: It is a Java-to-Java remoting solution.

Spring's HTTP invoker is a good choice if you need HTTP-based remoting but also rely on Java serialization. It shares the basic infrastructure with RMI invokers, just using HTTP as transport. Note that HTTP invokers are not only limited to Java-to-Java remoting but also to Spring on both the client and server side. (The latter also applies to Spring's RMI invoker for non-RMI interfaces.)

Hessian and/or Burlap might provide significant value when operating in a heterogeneous environment, because they explicitly allow for non-Java clients. However, non-Java support is still limited. Known issues include the serialization of Hibernate objects in combination with lazily-initialized collections. If you have such a data model, consider using RMI or HTTP invokers instead of Hessian.

JMS can be useful for providing clusters of services and allowing the JMS broker to take care of load balancing, discovery and auto-failover. By default: Java serialization is used when using JMS remoting but the JMS provider could use a different mechanism for the wire formatting, such as XStream to allow servers to be implemented in other technologies.

Last but not least, EJB has an advantage over RMI in that it supports standard role-based authentication and authorization and remote transaction propagation. It is possible to get RMI invokers or HTTP invokers to support security context propagation as well, although this is not provided by core Spring: There are just appropriate hooks for plugging in third-party or custom solutions here.

# Chapter 18. Enterprise Java Beans (EJB) integration

### 18.1. Introduction

As a lightweight container, Spring is often considered an EJB replacement. We do believe that for many if not most applications and use cases, Spring as a container, combined with its rich supporting functionality in the area of transactions, ORM and JDBC access, is a better choice than implementing equivalent functionality via an EJB container and EJBs.

However, it is important to note that using Spring does not prevent you from using EJBs. In fact, Spring makes it much easier to access EJBs and implement EJBs and functionality within them. Additionally, using Spring to access services provided by EJBs allows the implementation of those services to later transparently be switched between local EJB, remote EJB, or POJO (plain old Java object) variants, without the client code having to be changed.

In this chapter, we look at how Spring can help you access and implement EJBs. Spring provides particular value when accessing stateless session beans (SLSBs), so we'll begin by discussing this.

## 18.2. Accessing EJBs

### **18.2.1. Concepts**

To invoke a method on a local or remote stateless session bean, client code must normally perform a JNDI lookup to obtain the (local or remote) EJB Home object, then use a 'create' method call on that object to obtain the actual (local or remote) EJB object. One or more methods are then invoked on the EJB.

To avoid repeated low-level code, many EJB applications use the Service Locator and Business Delegate patterns. These are better than spraying JNDI lookups throughout client code, but their usual implementations have significant disadvantages. For example:

- Typically code using EJBs depends on Service Locator or Business Delegate singletons, making it hard to test.
- In the case of the Service Locator pattern used without a Business Delegate, application code still ends up having to invoke the create() method on an EJB home, and deal with the resulting exceptions. Thus it remains tied to the EJB API and the complexity of the EJB programming model.
- Implementing the Business Delegate pattern typically results in significant code duplication, where we have to write numerous methods that simply call the same method on the EJB.

The Spring approach is to allow the creation and use of proxy objects, normally configured inside a Spring container, which act as codeless business delegates. You do not need to write another Service Locator, another JNDI lookup, or duplicate methods in a hand-coded Business Delegate unless you are actually adding real value in such code.

### 18.2.2. Accessing local SLSBs

Assume that we have a web controller that needs to use a local EJB. We'll follow best practice and use the EJB

Business Methods Interface pattern, so that the EJB's local interface extends a non EJB-specific business methods interface. Let's call this business methods interface MyComponent.

```
public interface MyComponent {
    ...
}
```

One of the main reasons to use the Business Methods Interface pattern is to ensure that synchronization between method signatures in local interface and bean implementation class is automatic. Another reason is that it later makes it much easier for us to switch to a POJO (plain old Java object) implementation of the service if it makes sense to do so. Of course we'll also need to implement the local home interface and provide an implementation class that implements SessionBean and the MyComponent business methods interface. Now the only Java coding we'll need to do to hook up our web tier controller to the EJB implementation is to expose a setter method of type MyComponent on the controller. This will save the reference as an instance variable in the controller:

```
private MyComponent myComponent;

public void setMyComponent(MyComponent myComponent) {
   this.myComponent = myComponent;
}
```

We can subsequently use this instance variable in any business method in the controller. Now assuming we are obtaining our controller object out of a Spring container, we can (in the same context) configure a LocalStatelessSessionProxyFactoryBean instance, which will be the EJB proxy object. The configuration of the proxy, and setting of the mycomponent property of the controller is done with a configuration entry such as:

There's a lot of work happening behind the scenes, courtesy of the Spring AOP framework, although you aren't forced to work with AOP concepts to enjoy the results. The mycomponent bean definition creates a proxy for the EJB, which implements the business method interface. The EJB local home is cached on startup, so there's only a single JNDI lookup. Each time the EJB is invoked, the proxy invokes the classname method on the local EJB and invokes the corresponding business method on the EJB.

The myController bean definition sets the myComponent property of the controller class to the EJB proxy.

Alternatively (and preferably in case of many such proxy definitions), consider using the <jee:local-slsb>configuration element in Spring's "jee" namespace:

This EJB access mechanism delivers huge simplification of application code: the web tier code (or other EJB client code) has no dependence on the use of EJB. If we want to replace this EJB reference with a POJO or a mock object or other test stub, we could simply change the mycomponent bean definition without changing a

line of Java code. Additionally, we haven't had to write a single line of JNDI lookup or other EJB plumbing code as part of our application.

Benchmarks and experience in real applications indicate that the performance overhead of this approach (which involves reflective invocation of the target EJB) is minimal, and is typically undetectable in typical use. Remember that we don't want to make fine-grained calls to EJBs anyway, as there's a cost associated with the EJB infrastructure in the application server.

There is one caveat with regards to the JNDI lookup. In a bean container, this class is normally best used as a singleton (there simply is no reason to make it a prototype). However, if that bean container pre-instantiates singletons (as do the various XML ApplicationContext variants) you may have a problem if the bean container is loaded before the EJB container loads the target EJB. That is because the JNDI lookup will be performed in the init() method of this class and then cached, but the EJB will not have been bound at the target location yet. The solution is to not pre-instantiate this factory object, but allow it to be created on first use. In the XML containers, this is controlled via the lazy-init attribute.

Although this will not be of interest to the majority of Spring users, those doing programmatic AOP work with EJBs may want to look at LocalSlsbInvokerInterceptor.

### 18.2.3. Accessing remote SLSBs

Accessing remote EJBs is essentially identical to accessing local EJBs, except that the SimpleRemoteStatelessSessionProxyFactoryBean or <jee:remote-slsb> configuration element is used. Of course, with or without Spring, remote invocation semantics apply; a call to a method on an object in another VM in another computer does sometimes have to be treated differently in terms of usage scenarios and failure handling.

Spring's EJB client support adds one more advantage over the non-Spring approach. Normally it is problematic for EJB client code to be easily switched back and forth between calling EJBs locally or remotely. This is because the remote interface methods must declare that they throw RemoteException, and client code must deal with this, while the local interface methods don't. Client code written for local EJBs which needs to be moved to remote EJBs typically has to be modified to add handling for the remote exceptions, and client code written for remote EJBs which needs to be moved to local EJBs, can either stay the same but do a lot of unnecessary handling of remote exceptions, or needs to be modified to remove that code. With the Spring remote EJB proxy, you can instead not declare any thrown RemoteException in your Business Method Interface and implementing EJB code, have a remote interface which is identical except that it does throw RemoteException, and rely on the proxy to dynamically treat the two interfaces as if they were the same. That is, client code does not have to deal with the checked RemoteException class. Any actual RemoteException that is thrown during the EJB invocation will be re-thrown as the non-checked RemoteAccessException class, which is a subclass of RuntimeException. The target service can then be switched at will between a local EJB or remote EJB (or even plain Java object) implementation, without the client code knowing or caring. Of course, this is optional; there is nothing stopping you from declaring RemoteExceptions in your business interface.

### 18.2.4. Accessing EJB 2.x SLSBs versus EJB 3 SLSBs

Accessing EJB 2.x Session Beans and EJB 3 Session Beans via Spring is largely transparent. Spring's EJB accessors, including the <jee:local-slsb> and <jee:remote-slsb> facilities, transparently adapt to the actual component at runtime. They handle a home interface if found (EJB 2.x style), or perform straight component invocations if no home interface is available (EJB 3 style).

Note: For EJB 3 Session Beans, you could effectively use a <code>JndiObjectFactoryBean</code> / <code><jee:jndi-lookup></code> as well, since fully usable component references are exposed for plain JNDI lookups there. Defining explicit <code><jee:local-slsb></code> / <code><jee:remote-slsb></code> lookups simply provides consistent and more explicit EJB access

configuration.

## 18.3. Using Spring's EJB implementation support classes

#### 18.3.1. EJB 2.x base classes

Spring provides convenience classes to help you implement EJBs. These are designed to encourage the good practice of putting business logic behind EJBs in POJOs, leaving EJBs responsible for transaction demarcation and (optionally) remoting.

To implement a Stateless or Stateful session bean, or a Message Driven bean, you need only derive your implementation class from AbstractStatelessSessionBean, AbstractStatefulSessionBean, and AbstractMessageDrivenBean/AbstractJmsMessageDrivenBean, respectively.

Consider an example Stateless Session bean which actually delegates the implementation to a plain java service object. We have the business interface:

```
public interface MyComponent {
   public void myMethod(...);
   ...
}
```

We also have the plain Java implementation object:

```
public class MyComponentImpl implements MyComponent {
   public String myMethod(...) {
        ...
   }
   ...
}
```

And finally the Stateless Session Bean itself:

The Spring EJB support base classes will by default create and load a Spring IoC container as part of their lifecycle, which is then available to the EJB (for example, as used in the code above to obtain the POJO service object). The loading is done via a strategy object which is a subclass of BeanFactoryLocator. The actual implementation of BeanFactoryLocator used by default is ContextIndiBeanFactoryLocator, which creates the ApplicationContext from a resource locations specified as a JNDI environment variable (in the case of the EJB classes, at java:comp/env/ejb/BeanFactoryPath). If there is a need to change the

BeanFactory/ApplicationContext loading strategy, the default BeanFactoryLocator implementation used may be overridden by calling the setBeanFactoryLocator() method, either in setSessionContext(), or in the actual constructor of the EJB. Please see the Javadocs for more details.

As described in the Javadocs, Stateful Session beans expecting to be passivated and reactivated as part of their lifecycle, and which use a non-serializable container instance (which is the normal case) will have to manually call unloadBeanFactory() and loadBeanFactory from ejbPassivate and ejbActivate, respectively, to unload and reload the BeanFactory on passivation and activation, since it can not be saved by the EJB container.

The default behavior of the ContextIndiBeanFactoryLocator classes which is to load an ApplicationContext for the use of the EJB is adequate for some situations. However, it is problematic when the ApplicationContext is loading a number of beans, or the initialization of those beans is time consuming or memory intensive (such as a Hibernate SessionFactory initialization, for example), since every EJB will have their own copy. In this case, the user may want to override the default ContextIndiBeanFactoryLocator usage and use another BeanFactoryLocator variant, such as the ContextSingletonBeanFactoryLocator which can load and use a shared container to be used by multiple EJBs or other clients. Doing this is relatively simple, by adding code similar to this to the EJB:

```
/**
  * Override default BeanFactoryLocator implementation
  * @see javax.ejb.SessionBean#setSessionContext(javax.ejb.SessionContext)
  */
public void setSessionContext(SessionContext sessionContext) {
    super.setSessionContext(sessionContext);
    setBeanFactoryLocator(ContextSingletonBeanFactoryLocator.getInstance());
    setBeanFactoryLocatorKey(ServicesConstants.PRIMARY_CONTEXT_ID);
}
```

You would then need to create a bean definition file named beanRefContext.xml. This file defines all bean factories (usually in the form of application contexts) that may be used in the EJB. In many cases, this file will only contain a single bean definition such as this (where businessApplicationContext.xml contains the bean definitions for all business service POJOs):

In the above example, the ServicesConstants.PRIMARY\_CONTEXT\_ID constant would be defined as follows:

```
public static final String ServicesConstants.PRIMARY_CONTEXT_ID = "businessBeanFactory";
```

Please see the respective Javadocs for the BeanFactoryLocator and ContextSingletonBeanFactoryLocator classes for more information on their usage.

### 18.3.2. EJB 3 injection interceptor

For EJB 3 Session Beans and Message-Driven Beans, Spring provides a convenient interceptor that resolves Spring 2.5's @Autowired annotation in the EJB component class: org.springframework.ejb.interceptor.SpringBeanAutowiringInterceptor. This interceptor can be applied through an @Interceptors annotation in the EJB component class, or through an interceptor-binding XML element in the EJB deployment descriptor.

```
@Stateless
@Interceptors(SpringBeanAutowiringInterceptor.class)
```

```
public class MyFacadeEJB implements MyFacadeLocal {
    // automatically injected with a matching Spring bean
    @Autowired
    private MyComponent myComp;

    // for business method, delegate to POJO service impl.
    public String myFacadeMethod(...) {
        return myComp.myMethod(...);
    }
    ...
}
```

default obtains from by target beans SpringBeanAutowiringInterceptor ContextSingletonBeanFactoryLocator, with the context defined in a bean definition file named beanRefContext.xml. By default, a single context definition is expected, which is obtained by type rather than by name. However, if you need to choose between multiple context definitions, a specific locator key is required. The locator key (i.e. the name of the context definition in beanRefContext.xml) can be explicitly either through overriding the getBeanFactoryLocatorKey method SpringBeanAutowiringInterceptor subclass.

Alternatively, consider overriding SpringBeanAutowiringInterceptor's getBeanFactory method, e.g. obtaining a shared ApplicationContext from a custom holder class.

# **Chapter 19. JMS (Java Message Service)**

#### 19.1. Introduction

Spring provides a JMS integration framework that simplifies the use of the JMS API and shields the user from differences between the JMS 1.0.2 and 1.1 APIs.

JMS can be roughly divided into two areas of functionality, namely the production and consumption of messages. The JmsTemplate class is used for message production and synchronous message reception. For asynchronous reception similar to J2EE's message-driven bean style, Spring provides a number of message listener containers that are used to create Message-Driven POJOs (MDPs).

#### **Domain Unification**

There are two major releases of the JMS specification, 1.0.2 and 1.1.

JMS 1.0.2 defined two types of messaging domains, point-to-point (Queues) and publish/subscribe (Topics). The 1.0.2 API reflected these two messaging domains by providing a parallel class hierarchy for each domain. As a result, a client application became domain specific in its use of the JMS API. JMS 1.1 introduced the concept of domain unification that minimized both the functional differences and client API differences between the two domains. As an example of a functional difference that was removed, if you use a JMS 1.1 provider you can transactionally consume a message from one domain and produce a message on the other using the same Session.



#### Note

The JMS 1.1 specification was released in April 2002 and incorporated as part of J2EE 1.4 in November 2003. As a result, common J2EE 1.3 application servers which are still in widespread use (such as BEA WebLogic 8.1 and IBM WebSphere 5.1) are based on JMS 1.0.2.

The package org.springframework.jms.core provides the core functionality for using JMS. It contains JMS template classes that simplifies the use of the JMS by handling the creation and release of resources, much like the JdbcTemplate does for JDBC. The design principle common to Spring template classes is to provide helper methods to perform common operations and for more sophisticated usage, delegate the essence of the processing task to user implemented callback interfaces. The JMS template follows the same design. The classes offer various convenience methods for the sending of messages, consuming a message synchronously, and exposing the JMS session and message producer to the user.

The package org.springframework.jms.support provides JMSException translation functionality. The translation converts the checked JMSException hierarchy to a mirrored hierarchy of unchecked exceptions. If there are any provider specific subclasses of the checked javax.jms.JMSException, this exception is wrapped in the unchecked UncategorizedJmsException.

The package org.springframework.jms.support.converter provides a MessageConverter abstraction to convert between Java objects and JMS messages.

The package org.springframework.jms.support.destination provides various strategies for managing JMS destinations, such as providing a service locator for destinations stored in JNDI.

Finally, the package org.springframework.jms.connection provides an implementation of the ConnectionFactory suitable for use in standalone applications. It also contains an implementation of Spring's PlatformTransactionManager for JMS (the cunningly named JmsTransactionManager). This allows for seamless integration of JMS as a transactional resource into Spring's transaction management mechanisms.

## 19.2. Using Spring JMS

#### 19.2.1. JmsTemplate

There are two variants of the functionality offered by the JmsTemplate: the JmsTemplate uses the JMS 1.1 API, and the subclass JmsTemplate102 uses the JMS 1.0.2 API.

Code that uses the JmsTemplate only needs to implement callback interfaces giving them a clearly defined contract. The MessageCreator callback interface creates a message given a Session provided by the calling code in JmsTemplate. In order to allow for more complex usage of the JMS API, the callback SessionCallback provides the user with the JMS session and the callback ProducerCallback exposes a Session and MessageProducer pair.

The JMS API exposes two types of send methods, one that takes delivery mode, priority, and time-to-live as Quality of Service (QOS) parameters and one that takes no QOS parameters which uses default values. Since there are many send methods in JmsTemplate, the setting of the QOS parameters have been exposed as bean properties to avoid duplication in the number of send methods. Similarly, the timeout value for synchronous receive calls is set using the property setReceiveTimeout.

Some JMS providers allow the setting of default QOS values administratively through the configuration of the ConnectionFactory. This has the effect that a call to MessageProducer's send method send(Destination destination, Message message) will use different QOS default values than those specified in the JMS specification. In order to provide consistent management of QOS values, the JmsTemplate must therefore be specifically enabled to use its own QOS values by setting the boolean property isExplicitQosEnabled to true.



#### Note

Instances of the JmsTemplate class are *thread-safe once configured*. This is important because it means that you can configure a single instance of a JmsTemplate and then safely inject this *shared* reference into multiple collaborators. To be clear, the JmsTemplate is stateful, in that it maintains a reference to a ConnectionFactory, but this state is *not* conversational state.

#### 19.2.2. Connections

The JmsTemplate requires a reference to a ConnectionFactory. The ConnectionFactory is part of the JMS specification and serves as the entry point for working with JMS. It is used by the client application as a factory to create connections with the JMS provider and encapsulates various configuration parameters, many of which are vendor specific such as SSL configuration options.

When using JMS inside an EJB, the vendor provides implementations of the JMS interfaces so that they can participate in declarative transaction management and perform pooling of connections and session. In order to use this implementation, J2EE containers typically require that you declare a JMS connection factory as a resource-ref inside the EJB or servlet deployment descriptors. To ensure the use of these features with the JmsTemplate inside an EJB, the client application should ensure that it references the managed implementation of the ConnectionFactory.

Spring provides an implementation of the ConnectionFactory interface, SingleConnectionFactory, that will return the same Connection on all createConnection calls and ignore calls to close. This is useful for testing and standalone environments so that the same connection can be used for multiple JmsTemplate calls that may span any number of transactions. SingleConnectionFactory takes a reference to a standard ConnectionFactory that would typically come from JNDI.

### 19.2.3. Destination Management

Destinations, like ConnectionFactories, are JMS administered objects that can be stored and retrieved in JNDI. When configuring a Spring application context you can use the JNDI factory class <code>JndiObjectFactoryBean</code> to perform dependency injection on your object's references to JMS destinations. However, often this strategy is cumbersome if there are a large number of destinations in the application or if there are advanced destination management features unique to the JMS provider. Examples of such advanced destination management would be the creation of dynamic destinations or support for a hierarchical namespace of destinations. The <code>JmsTemplate</code> delegates the resolution of a destination name to a JMS destination object to an implementation of the interface <code>DestinationResolver</code>. <code>DynamicDestinationResolver</code> is the default implementation used by <code>JmsTemplate</code> and accommodates resolving dynamic destinations. A <code>JndiDestinationResolver</code> is also provided that acts as a service locator for destinations contained in <code>JNDI</code> and optionally falls back to the behavior contained in <code>DynamicDestinationResolver</code>.

Quite often the destinations used in a JMS application are only known at runtime and therefore cannot be administratively created when the application is deployed. This is often because there is shared application logic between interacting system components that create destinations at runtime according to a well-known naming convention. Even though the creation of dynamic destinations are not part of the JMS specification, most vendors have provided this functionality. Dynamic destinations are created with a name defined by the user which differentiates them from temporary destinations and are often not registered in JNDI. The API used to create dynamic destinations varies from provider to provider since the properties associated with the destination are vendor specific. However, a simple implementation choice that is sometimes made by vendors is to disregard the warnings in the JMS specification and to use the TopicSession method createTopic(String topicName) or the QueueSession method createQueue(String queueName) to create a new destination with default destination properties. Depending on the vendor implementation, DynamicDestinationResolver may then also create a physical destination instead of only resolving one.

The boolean property pubSubDomain is used to configure the JmsTemplate with knowledge of what JMS domain is being used. By default the value of this property is false, indicating that the point-to-point domain, Queues, will be used. In the 1.0.2 implementation the value of this property determines if the JmsTemplate's send operations will send a message to a Queue or to a Topic. This flag has no effect on send operations for the 1.1 implementation. However, in both implementations, this property determines the behavior of dynamic destination resolution via implementations of the DestinationResolver interface.

You can also configure the JmsTemplate with a default destination via the property defaultDestination. The default destination will be used with send and receive operations that do not refer to a specific destination.

### 19.2.4. Message Listener Containers

One of the most common uses of JMS messages in the EJB world is to drive message-driven beans (MDBs). Spring offers a solution to create message-driven POJOs (MDPs) in a way that does not tie a user to an EJB container. (See the section entitled Section 19.4.2, "Asynchronous Reception - Message-Driven POJOs" for detailed coverage of Spring's MDP support.)

A message listener container is used to receive messages from a JMS message queue and drive the MessageListener that is injected into it. The listener container is responsible for all threading of message

reception and dispatches into the listener for processing. A message listener container is the intermediary between an MDP and a messaging provider, and takes care of registering to receive messages, participating in transactions, resource acquisition and release, exception conversion and suchlike. This allows you as an application developer to write the (possibly complex) business logic associated with receiving a message (and possibly responding to it), and delegates boilerplate JMS infrastructure concerns to the framework.

There are three standard JMS message listener containers packaged with Spring, each with its specialised feature set.

#### 19.2.4.1. SimpleMessageListenerContainer

This message listener container is the simplest of the three standard flavors. It simply creates a fixed number of JMS sessions at startup and uses them throughout the lifespan of the container. This container doesn't allow for dynamic adaption to runtime demands or participate in externally managed transactions. However, it does have the fewest requirements on the JMS provider: This listener container only requires simple JMS API compliance.

#### 19.2.4.2. DefaultMessageListenerContainer

This message listener container is the one used in most cases. In contrast SimpleMessageListenerContainer, this container variant does allow for dynamic adaption to runtime demands and is able to participate in externally managed transactions. Each received message is registered with an XA transaction (when configured with a JtaTransactionManager); processing can take advantage of XA transation semantics. This listener container strikes a good balance between low requirements on the JMS provider and good functionality including transaction participation.

#### 19.2.4.3. ServerSessionMessageListenerContainer

This listener container leverages the JMS ServerSessionPool SPI to allow for dynamic management of JMS sessions. The use of this variety of message listener container enables the provider to perform dynamic runtime tuning but, at the expense of requiring the JMS provider to support the ServerSessionPool SPI. If there is no need for provider-driven runtime tuning, look at the DefaultMessageListenerContainer or the SimpleMessageListenerContainer instead.

## 19.2.5. Transaction management

Spring provides a JmsTransactionManager that manages transactions for a single JMS connectionFactory. This allows JMS applications to leverage the managed transaction features of Spring as described in Chapter 9, *Transaction management*. The JmsTransactionManager performs local resource transactions, binding a JMS Connection/Session pair from the specified ConnectionFactory to the thread. JmsTemplate automatically detects such transactional resources and operates on them accordingly.

In a J2EE environment, the connectionFactory will pool Connections and Sessions, so those resources are efficiently reused across transactions. In a standalone environment, using Spring's SingleConnectionFactory will result in a shared JMS connection, with each transaction having its own independent Session. Alternatively, consider the use of a provider-specific pooling adapter such as ActiveMQ's PooledConnectionFactory class.

JmsTemplate can also be used with the JtaTransactionManager and an XA-capable JMS ConnectionFactory for performing distributed transactions. Note that this requires the use of a JTA transaction manager as well as a properly XA-configured ConnectionFactory! (Check your J2EE server's / JMS provider's documentation.)

Reusing code across a managed and unmanaged transactional environment can be confusing when using the JMS API to create a Session from a Connection. This is because the JMS API has only one factory method to create a Session and it requires values for the transaction and acknowledgement modes. In a managed environment, setting these values is the responsibility of the environment's transactional infrastructure, so these values are ignored by the vendor's wrapper to the JMS Connection. When using the JmsTemplate in an unmanaged environment you can specify these values through the use of the properties sessionTransacted and sessionAcknowledgeMode. When using a PlatformTransactionManager with JmsTemplate, the template will always be given a transactional JMS session.

### 19.3. Sending a Message

The JmsTemplate contains many convenience methods to send a message. There are send methods that specify the destination using a javax.jms.Destination object and those that specify the destination using a string for use in a JNDI lookup. The send method that takes no destination argument uses the default destination. Here is an example that sends a message to a queue using the 1.0.2 implementation.

```
import javax.jms.ConnectionFactory;
import javax.jms.JMSException;
import javax.jms.Message;
import javax.jms.Queue;
import javax.jms.Session;
import org.springframework.jms.core.MessageCreator;
import org.springframework.jms.core.JmsTemplate;
import org.springframework.jms.core.JmsTemplate102;
public class JmsQueueSender {
   private JmsTemplate jmsTemplate;
   private Queue queue;
   public void setConnectionFactory(ConnectionFactory cf) {
        this.jmsTemplate = new JmsTemplate102(cf, false);
   public void setQueue(Queue queue) {
        this.queue = queue;
    public void simpleSend() {
        this.jmsTemplate.send(this.queue, new MessageCreator() {
           public Message createMessage(Session session) throws JMSException {
             return session.createTextMessage("hello queue world");
        });
   }
```

This example uses the MessageCreator callback to create a text message from the supplied Session object and the JmsTemplate is constructed by passing a reference to a ConnectionFactory and a boolean specifying the messaging domain. A zero argument constructor and connectionFactory / queue bean properties are provided and can be used for constructing the instance (using a BeanFactory or plain Java code). Alternatively, consider deriving from Spring's JmsGatewaySupport convenience base class, which provides pre-built bean properties for JMS configuration.

When configuring the JMS 1.0.2 support in an application context, it is important to remember setting the value of the boolean property pubSubDomain property in order to indicate if you want to send to Queues or Topics.

The method send(String destinationName, MessageCreator creator) lets you send to a message using the string name of the destination. If these names are registered in JNDI, you should set the destinationResolver property of the template to an instance of JndiDestinationResolver.

If you created the JmsTemplate and specified a default destination, the send(MessageCreator c) sends a message to that destination.

### 19.3.1. Using Message Converters

In order to facilitate the sending of domain model objects, the JmsTemplate has various send methods that take a Java object as an argument for a message's data content. The overloaded methods convertAndSend and receiveAndConvert in JmsTemplate delegate the conversion process to an instance of the MessageConverter interface. This interface defines a simple contract to convert between Java objects and JMS messages. The default implementation SimpleMessageConverter supports conversion between String and TextMessage, byte[] and BytesMessage, and java.util.Map and MapMessage. By using the converter, you and your application code can focus on the business object that is being sent or received via JMS and not be concerned with the details of how it is represented as a JMS message.

The sandbox currently includes a MapMessageConverter which uses reflection to convert between a JavaBean and a MapMessage. Other popular implementations choices you might implement yourself are Converters that use an existing XML marshalling package, such as JAXB, Castor, XMLBeans, or XStream, to create a TextMessage representing the object.

To accommodate the setting of a message's properties, headers, and body that can not be generically encapsulated inside a converter class, the MessagePostProcessor interface gives you access to the message after it has been converted, but before it is sent. The example below demonstrates how to modify a message header and a property after a java.util.Map is converted to a message.

```
public void sendWithConversion() {
    Map map = new HashMap();
    map.put("Name", "Mark");
    map.put("Age", new Integer(47));
    jmsTemplate.convertAndSend("testQueue", map, new MessagePostProcessor() {
        public Message postProcessMessage(Message message) throws JMSException {
            message.setIntProperty("AccountID", 1234);
            message.setJMSCorrelationID("123-00001");
            return message;
        }
    });
}
```

This results in a message of the form:

#### 19.3.2. SessionCallback and ProducerCallback

While the send operations cover many common usage scenarios, there are cases when you want to perform multiple operations on a JMS Session or MessageProducer. The SessionCallback and ProducerCallback expose the JMS Session and Session / MessageProducer pair respectfully. The execute() methods on

JmsTemplate execute these callback methods.

## 19.4. Receiving a message

### 19.4.1. Synchronous Reception

While JMS is typically associated with asynchronous processing, it is possible to consume messages synchronously. The overloaded receive(...) methods provide this functionality. During a synchronous receive, the calling thread blocks until a message becomes available. This can be a dangerous operation since the calling thread can potentially be blocked indefinitely. The property receiveTimeout specifies how long the receiver should wait before giving up waiting for a message.

### 19.4.2. Asynchronous Reception - Message-Driven POJOs

In a fashion similar to a Message-Driven Bean (MDB) in the EJB world, the Message-Driven POJO (MDP) acts as a receiver for JMS messages. The one restriction (but see also below for the discussion of the MessageListenerAdapter class) on an MDP is that it must implement the <code>javax.jms.MessageListener</code> interface. Please also be aware that in the case where your POJO will be receiving messages on multiple threads, it is important to ensure that your implementation is thread-safe.

Below is a simple implementation of an MDP:

Once you've implemented your MessageListener, it's time to create a message listener container.

Find below an example of how to define and configure one of the message listener containers that ships with Spring (in this case the DefaultMessageListenerContainer).

Please refer to the Spring Javadoc of the various message listener containers for a full description of the

features supported by each implementation.

#### 19.4.3. The SessionAwareMessageListener interface

The SessionAwareMessageListener interface is a Spring-specific interface that provides a similar contract the JMS MessageListener interface, but also provides the message handling method with access to the JMS Session from which the Message was received.

```
package org.springframework.jms.listener;
public interface SessionAwareMessageListener {
    void onMessage(Message message, Session session) throws JMSException;
}
```

You can choose to have your MDPs implement this interface (in preference to the standard JMS MessageListener interface) if you want your MDPs to be able to respond to any received messages (using the Session supplied in the onMessage(Message, Session) method). All of the message listener container implementations that ship wth Spring have support for MDPs that implement either the MessageListener or SessionAwareMessageListener interface. Classes that implement the SessionAwareMessageListener come with the caveat that they are then tied to Spring through the interface. The choice of whether or not to use it is left entirely up to you as an application developer or architect.

Please note that the 'onMessage(..)' method of the SessionAwareMessageListener interface throws JMSException. In contrast to the standard JMS MessageListener interface, when using the SessionAwareMessageListener interface, it is the responsibility of the client code to handle any exceptions thrown.

#### 19.4.4. The MessageListenerAdapter

The MessageListenerAdapter class is the final component in Spring's asynchronous messaging support: in a nutshell, it allows you to expose almost *any* class as a MDP (there are of course some constraints).



#### Note

If you are using the JMS 1.0.2 API, you will want to use the MessageListenerAdapter102 class which provides the exact same functionality and value add as the MessageListenerAdapter class, but for the JMS 1.0.2 API.

Consider the following interface definition. Notice that although the interface extends neither the MessageListener nor SessionAwareMessageListener interfaces, it can still be used as a MDP via the use of the MessageListenerAdapter class. Notice also how the various message handling methods are strongly typed according to the *contents* of the various Message types that they can receive and handle.

```
public interface MessageDelegate {
    void handleMessage(String message);
    void handleMessage(Map message);
    void handleMessage(byte[] message);
    void handleMessage(Serializable message);
}
```

```
public class DefaultMessageDelegate implements MessageDelegate {
    // implementation elided for clarity...
}
```

In particular, note how the above implementation of the MessageDelegate interface (the above DefaultMessageDelegate class) has *no* JMS dependencies at all. It truly is a POJO that we will make into an MDP via the following configuration.

Below is an example of another MDP that can only handle the receiving of JMS TextMessage messages. Notice how the message handling method is actually called 'receive' (the name of the message handling method in a MessageListenerAdapter defaults to 'handleMessage'), but it is configurable (as you will see below). Notice also how the 'receive(..)' method is strongly typed to receive and respond only to JMS TextMessage messages.

```
public interface TextMessageDelegate {
    void receive(TextMessage message);
}
```

```
public class DefaultTextMessageDelegate implements TextMessageDelegate {
    // implementation elided for clarity...
}
```

The configuration of the attendant MessageListenerAdapter would look like this:

Please note that if the above 'messageListener' receives a JMS Message of a type other than TextMessage, an IllegalStateException will be thrown (and subsequently swallowed). Another of the capabilities of the MessageListenerAdapter class is the ability to automatically send back a response Message if a handler method returns a non-void value. Consider the interface and class:

```
public interface ResponsiveTextMessageDelegate {
    // notice the return type...
    String receive(TextMessage message);
}
```

```
public class DefaultResponsiveTextMessageDelegate implements ResponsiveTextMessageDelegate {
```

```
// implementation elided for clarity...
}
```

If the above <code>DefaultResponsiveTextMessageDelegate</code> is used in conjunction with a <code>MessageListenerAdapter</code> then any non-null value that is returned from the execution of the <code>'receive(..)'</code> method will (in the default configuration) be converted into a <code>TextMessage</code>. The resulting <code>TextMessage</code> will then be sent to the <code>Destination</code> (if one exists) defined in the <code>JMS</code> Reply-To property of the original <code>Message</code>, or the default <code>Destination</code> set on the <code>MessageListenerAdapter</code> (if one has been configured); if no <code>Destination</code> is found then an <code>InvalidDestinationException</code> will be thrown (and please note that this exception will not be swallowed and <code>will</code> propagate up the call stack).

### 19.4.5. Processing messages within transactions

Invoking a message listener within a transaction only requires reconfiguration of the listener container.

Local resource transactions can simply be activated through the sessionTransacted flag on the listener container definition. Each message listener invocation will then operate within an active JMS transaction, with message reception rolled back in case of listener execution failure. Sending a response message (via SessionAwareMessageListener) will be part of the same local transaction, but any other resource operations (such as database access) will operate independently. This usually requires duplicate message detection in the listener implementation, covering the case where database processing has committed but message processing failed to commit.

For participating in an externally managed transaction, you will need to configure a transaction manager and use a listener container which supports externally managed transactions: typically DefaultMessageListenerContainer.

To configure a message listener container for XA transaction participation, you'll want to configure a <code>JtaTransactionManager</code> (which, by default, delegates to the J2EE server's transaction subsystem). Note that the underlying JMS ConnectionFactory needs to be XA-capable and properly registered with your JTA transaction coordinator! (Check your J2EE server's configuration of JNDI resources.) This allows message recepton as well as e.g. database access to be part of the same transaction (with unified commit semantics, at the expense of XA transaction log overhead).

```
<bean id="transactionManager" class="org.springframework.transaction.jta.JtaTransactionManager"/>
```

Then you just need to add it to our earlier container configuration. The container will take care of the rest.

## 19.5. Support for JCA Message Endpoints

Beginning with version 2.5, Spring also provides support for a JCA-based MessageListener container. The JmsMessageEndpointManager will attempt to automatically determine the ActivationSpec class name from the provider's ResourceAdapter class name. Therefore, it is typically possible to just provide Spring's generic JmsActivationSpecConfig as shown in the following example.

Alternatively, you may set up a JmsMessageEndpointManager with a given ActivationSpec object. The ActivationSpec object may also come from a JNDI lookup (using <jee:jndi-lookup>).

Using Spring's ResourceAdapterFactoryBean, the target ResourceAdapter may be configured locally as depicted in the following example.

The specified WorkManager may also point to an environment-specific thread pool - typically through SimpleTaskWorkManager's "asyncTaskExecutor" property. Consider defining a shared thread pool for all your ResourceAdapter instances if you happen to use multiple adapters.

In some environments (e.g. WebLogic 9 or above), the entire ResourceAdapter object may be obtained from JNDI instead (using <jee:jndi-lookup>). The Spring-based message listeners can then interact with the server-hosted ResourceAdapter, also using the server's built-in WorkManager.

Please consult the JavaDoc for JmsMessageEndpointManager, JmsActivationSpecConfig, and ResourceAdapterFactoryBean for more details.

Spring also provides a generic JCA message endpoint manager which is not tied to JMS: org.springframework.jca.endpoint.GenericMessageEndpointManager. This component allows for using any message listener type (e.g. a CCI MessageListener) and any provided-specific ActivationSpec object. Check out your JCA provider's documentation to find out about the actual capabilities of your connector, and consult GenericMessageEndpointManager's JavaDoc for the Spring-specific configuration details.



#### Note

JCA-based message endpoint management is very analogous to EJB 2.1 Message-Driven Beans; it uses the same underlying resource provider contract. Like with EJB 2.1 MDBs, any message listener interface supported by your JCA provider can be used in the Spring context as well. Spring nevertheless provides explicit 'convenience' support for JMS, simply because JMS is the most common endpoint API used with the JCA endpoint management contract.

## 19.6. JMS Namespace Support

Spring 2.5 introduces an XML namespace for simplifying JMS configuration. To use the JMS namespace elements you will need to reference the JMS schema:

The namespace consists of two top-level elements: clistener-container/> and cjca-listener-container/>
both of which may contain one or more clistener/> child elements. Here is an example of a basic configuration for two listeners.

```
<jms:listener-container>
     <jms:listener destination="queue.orders" ref="orderService" method="placeOrder"/>
           <jms:listener destination="queue.confirmations" ref="confirmationLogger" method="log"/>
           </jms:listener-container>
```

The example above is equivalent to creating two distinct listener container bean definitions and two distinct MessageListenerAdapter bean definitions as demonstrated in the section entitled Section 19.4.4, "The MessageListenerAdapter". In addition to the attributes shown above, the listener element may contain several optional ones. The following table describes all available attributes:

Table 19.1. Attributes of the JMS stener> element

Attribute	Description	
id	A bean name for the hosting listener container. If not specified, a bean name will be automatically generated.	
destination (required)	The destination name for this listener, resolved through the DestinationResolver strategy.	
ref (required)	The bean name of the handler object.	

Attribute	Description	
method	The name of the handler method to invoke. If the ref points to a MessageListener or Spring SessionAwareMessageListener, this attribute may be omitted.	
response-destination	The name of the default response destination to send response messages to. This will be applied in case of a request message that does not carry a "JMSReplyTo" field. The type of this destination will be determined by the listener-container's "destination-type" attribute. Note: This only applies to a listener method with a return value, for which each result object will be converted into a response message.	
subscription	The name of the durable subscription, if any.	
selector	An optional message selector for this listener.	

The listener-container/> element also accepts several optional attributes. This allows for customization of the various strategies (for example, taskExecutor and destinationResolver) as well as basic JMS settings and resource references. Using these attributes, it is possible to define highly-customized listener containers while still benefiting from the convenience of the namespace.

The following table describes all available attributes. Consult the class-level Javadoc of the AbstractMessageListenerContainer and its concrete subclasses for more detail on the individual properties. The Javadoc also provides a discussion of transaction choices and message redelivery scenarios.

Table 19.2. Attributes of the JMS stener-container> element

Attribute	Description
container-type	The type of this listener container. Available options are: default, simple, default102, or simple102 (the default value is 'default').
connection-factory	A reference to the JMS ConnectionFactory bean (the default bean name is 'connectionFactory').
task-executor	A reference to the Spring TaskExecutor for the JMS listener invokers.
destination-resolver	A reference to the DestinationResolver strategy for resolving JMS Destinations.

Attribute	Description	
message-converter	A reference to the MessageConverter strategy for converting JMS Messages to listener method arguments. Default is a SimpleMessageConverter.	
destination-type	The JMS destination type for this listener: queue, topic or durableTopic. The default is queue.	
client-id	The JMS client id for this listener container. Needs to be specified when using durable subscriptions.	
cache	The cache level for JMS resources: none, connection, session, consumer or auto. By default (auto), the cache level will effectively be "consumer", unless an external transaction manager has been specified in which case the effective default will be none (assuming J2EE-style transaction management where the given ConnectionFactory is an XA-aware pool).	
acknowledge	The native JMS acknowledge mode: auto, client, dups-ok or transacted. A value of transacted activates a locally transacted Session. As an alternative, specify the transaction-manager attribute described below. Default is auto.	
transaction-manager	A reference to an external PlatformTransactionManager (typically an XA-based transaction coordinator, e.g. Spring's JtaTransactionManager). If not specified, native acknowledging will be used (see "acknowledge" attribute).	
concurrency	The number of concurrent sessions/consumers to start for each listener. Can either be a simple number indicating the maximum number (e.g. "5") or a range indicating the lower as well as the upper limit (e.g. "3-5"). Note that a specified minimum is just a hint and might be ignored at runtime. Default is 1; keep concurrency limited to 1 in case of a topic listener or if queue ordering is important; consider raising it for general queues.	
prefetch	The maximum number of messages to load into a single session. Note that raising this number might lead to starvation of concurrent consumers!	

#### Configuring a JCA-based listener container with the "jms" schema support is very similar.

The available configuration options for the JCA variant are described in the following table:

Table 19.3. Attributes of the JMS <jca-listener-container/> element

Attribute	Description	
resource-adapter	A reference to the JCA ResourceAdapter bean (the default bean name is 'resourceAdapter').	
activation-spec-factory	A reference to the JmsActivationSpecFactory. The default is to autodetect the JMS provider and its ActivationSpec class (see DefaultJmsActivationSpecFactory)	
destination-resolver	A reference to the DestinationResolver strategy for resolving JMS Destinations.	
message-converter	A reference to the MessageConverter strategy for converting JMS Messages to listener method arguments. Default is a SimpleMessageConverter.	
destination-type	The JMS destination type for this listener: queue, topic or durableTopic. The default is queue.	
client-id	The JMS client id for this listener container. Needs to be specified when using durable subscriptions.	
acknowledge	The native JMS acknowledge mode: auto, client, dups-ok or transacted. A value of transacted activates a locally transacted Session. As an alternative, specify the transaction-manager attribute described below. Default is auto.	
transaction-manager	A reference to a Spring JtaTransactionManager or a javax.transaction.TransactionManager for kicking off an XA transaction for each incoming message. If not specified, native acknowledging will be used (see the "acknowledge" attribute).	
concurrency	The number of concurrent sessions/consumers to start for each listener. Can either be a simple number indicating the maximum number (e.g. "5") or a range indicating the lower as well as the upper limit (e.g. "3-5"). Note that a specified minimum is just a hint and will typically be ignored at runtime when using a JCA listener container. Default is 1.	
prefetch	The maximum number of messages to load into a single session. Note that raising this number might lead to starvation of concurrent consumers!	

# Chapter 20. JMX

### 20.1. Introduction

The JMX support in Spring provides you with the features to easily and transparently integrate your Spring application into a JMX infrastructure.

#### JMX?

This chapter is not an introduction to JMX... it doesn't try to explain the motivations of why one might want to use JMX (or indeed what the letters JMX actually stand for). If you are new to JMX, check out the section entitled Section 20.8, "Further Resources" at the end of this chapter.

Specifically, Spring's JMX support provides four core features:

- The automatic registration of any Spring bean as a JMX MBean
- A flexible mechanism for controlling the management interface of your beans
- The declarative exposure of MBeans over remote, JSR-160 connectors
- The simple proxying of both local and remote MBean resources

These features are designed to work without coupling your application components to either Spring or JMX interfaces and classes. Indeed, for the most part your application classes need not be aware of either Spring or JMX in order to take advantage of the Spring JMX features.

## 20.2. Exporting your beans to JMX

The core class in Spring's JMX framework is the MBeanExporter. This class is responsible for taking your Spring beans and registering them with a JMX MBeanServer. For example, consider the following class:

```
package org.springframework.jmx;

public class JmxTestBean implements IJmxTestBean {
    private String name;
    private int age;
    private boolean isSuperman;

public int getAge() {
        return age;
    }

public void setAge(int age) {
        this.age = age;
    }

public void setName(String name) {
        this.name = name;
    }

public String getName() {
        return name;
    }

public int add(int x, int y) {
```

```
return x + y;
}

public void dontExposeMe() {
    throw new RuntimeException();
}
```

To expose the properties and methods of this bean as attributes and operations of an MBean you simply configure an instance of the MBeanExporter class in your configuration file and pass in the bean as shown below:

The pertinent bean definition from the above configuration snippet is the exporter bean. The beans property tells the MBeanExporter exactly which of your beans must be exported to the JMX MBeanServer. In the default configuration, the key of each entry in the beans Map is used as the ObjectName for the bean referenced by the corresponding entry value. This behavior can be changed as described in the section entitled Section 20.4, "Controlling the ObjectNames for your beans".

With this configuration the testBean bean is exposed as an MBean under the ObjectName bean:name=testBean1. By default, all *public* properties of the bean are exposed as attributes and all *public* methods (bar those inherited from the Object class) are exposed as operations.

### 20.2.1. Creating an MBeanServer

The above configuration assumes that the application is running in an environment that has one (and only one) MBeanServer already running. In this case, Spring will attempt to locate the running MBeanServer and register your beans with that server (if any). This behavior is useful when your application is running inside a container such as Tomcat or IBM WebSphere that has itss own MBeanServer.

However, this approach is of no use in a standalone environment, or when running inside a container that does not provide an MBeanServer. To address this you can create an MBeanServer instance declaratively by adding an instance of the org.springframework.jmx.support.MBeanServerFactoryBean class to your configuration. You can also ensure that a specific MBeanServer is used by setting the value of the MBeanExporter's server property to the MBeanServer value returned by an MBeanServerFactoryBean; for example:

Here an instance of MBeanServer is created by the MBeanServerFactoryBean and is supplied to the MBeanExporter via the server property. When you supply your own MBeanServer instance, the MBeanExporter will not attempt to locate a running MBeanServer and will use the supplied MBeanServer instance. For this to work correctly, you must (of course) have a JMX implementation on your classpath.

### 20.2.2. Reusing an existing MBeanServer

If no server is specified, the MBeanExporter tries to automatically detect a running MBeanServer. This works in most environment where only one MBeanServer instance is used, however when multiple instances exist, the exporter might pick the wrong server. In such cases, one should use the MBeanServer agent1d to indicate which instance to be used:

For platforms/cases where the existing MBeanServer has a dynamic (or unknown) agentId which is retrieved through lookup methods, one should use <u>factory-method</u>:

### 20.2.3. Lazy-initialized MBeans

If you configure a bean with the MBeanExporter that is also configured for lazy initialization, then the MBeanExporter will **not** break this contract and will avoid instantiating the bean. Instead, it will register a proxy with the MBeanServer and will defer obtaining the bean from the container until the first invocation on the proxy occurs.

### 20.2.4. Automatic registration of MBeans

Any beans that are exported through the MBeanExporter and are already valid MBeans are registered as-is with the MBeanServer without further intervention from Spring. MBeans can be automatically detected by the MBeanExporter by setting the autodetect property to true:

Here, the bean called spring:mbean=true is already a valid JMX MBean and will be automatically registered by Spring. By default, beans that are autodetected for JMX registration have their bean name used as the ObjectName. This behavior can be overridden as detailed in the section entitled Section 20.4, "Controlling the ObjectNames for your beans".

### 20.2.5. Controlling the registration behavior

Consider the scenario where a Spring MBeanExporter attempts to register an MBean with an MBeanServer using the ObjectName 'bean:name=testBean1'. If an MBean instance has already been registered under that same ObjectName, the default behavior is to fail (and throw an InstanceAlreadyExistsException).

It is possible to control the behavior of exactly what happens when an MBean is registered with an MBeanServer. Spring's JMX support allows for three different registration behaviors to control the registration behavior when the registration process finds that an MBean has already been registered under the same ObjectName; these registration behaviors are summarized on the following table:

**Table 20.1. Registration Behaviors** 

Registration behavior	Explanation	
REGISTRATION_FAIL_ON_EXISTING	This is the default registration behavior. If an MBean instance has already been registered under the same ObjectName, the MBean that is being registered will not be registered and an InstanceAlreadyExistsException will be thrown. The existing MBean is unaffected.	
REGISTRATION_IGNORE_EXISTING	If an MBean instance has already been registered under the same ObjectName, the MBean that is being registered will <i>not</i> be registered. The existing MBean is unaffected, and no Exception will be thrown.  This is useful in settings where multiple applications want to share a common MBean in a shared MBeanServer.	
REGISTRATION_REPLACE_EXISTING	If an MBean instance has already been registered under the same ObjectName, the existing MBean that was previously registered will be unregistered and the new MBean will be registered in its place (the new MBean	

Registration behavior	Explanation
	effectively replaces the previous instance).

The above values are defined as constants on the MBeanRegistrationSupport class (the MBeanExporter class derives from this superclass). If you want to change the default registration behavior, you simply need to set the value of the registrationBehaviorName property on your MBeanExporter definition to one of those values.

The following example illustrates how to effect a change from the default registration behavior to the REGISTRATION REPLACE EXISTING behavior:

## 20.3. Controlling the management interface of your beans

In the previous example, you had little control over the management interface of your bean; *all* of the *public* properties and methods of each exported bean was exposed as JMX attributes and operations respectively. To exercise finer-grained control over exactly which properties and methods of your exported beans are actually exposed as JMX attributes and operations, Spring JMX provides a comprehensive and extensible mechanism for controlling the management interfaces of your beans.

#### 20.3.1. The MBeanInfoAssembler Interface

Behind the scenes, the MBeanExporter delegates to an implementation the org.springframework.jmx.export.assembler.MBeanInfoAssembler interface which is responsible for defining the management interface of each bean that is being exposed. The default implementation, org.springframework.jmx.export.assembler.SimpleReflectiveMBeanInfoAssembler, simply defines a management interface that exposes all public properties and methods (as you saw in the previous examples). Spring provides two additional implementations of the MBeanInfoAssembler interface that allow you to control the generated management interface using either source-level metadata or any arbitrary interface.

### 20.3.2. Using source-Level metadata

Using the MetadatamBeanInfoAssembler you can define the management interfaces for your beans using level The of metadata is encapsulated source metadata. reading org.springframework.jmx.export.metadata.JmxAttributeSource interface. Out of the box, Spring JMX implementations provides support for two org.springframework.jmx.export.metadata.AttributesJmxAttributeSource for Commons Attributes and org.springframework.jmx.export.annotation.AnnotationJmxAttributeSource for JDK 5.0 annotations. The MetadataMBeanInfoAssembler *must* be configured with an implementation instance of the JmxAttributeSource interface for it to function correctly (there is *no* default). For the following example, we will use the Commons Attributes metadata approach.

To mark a bean for export to JMX, you should annotate the bean class with the ManagedResource attribute. In the case of the Commons Attributes metadata approach this class can be found in the org.springframework.jmx.metadata package. Each method you wish to expose as an operation must be marked with the ManagedOperation attribute and each property you wish to expose must be marked with the ManagedAttribute attribute. When marking properties you can omit either the annotation of the getter or the setter to create a write-only or read-only attribute respectively.

The example below shows the JmxTestBean class that you saw earlier marked with Commons Attributes metadata:

```
package org.springframework.jmx;
 * @@org.springframework.jmx.export.metadata.ManagedResource
   (description="My Managed Bean", objectName="spring:bean=test",
   log=true, logFile="jmx.log", currencyTimeLimit=15, persistPolicy="OnUpdate",
   persistPeriod=200, persistLocation="foo", persistName="bar")
public class JmxTestBean implements IJmxTestBean {
 private String name;
 private int age;
   * @@org.springframework.jmx.export.metadata.ManagedAttribute
       (description="The Age Attribute", currencyTimeLimit=15)
 public int getAge() {
   return age;
 public void setAge(int age) {
   this.age = age;
   {\tt * @@org.springframework.jmx.export.metadata.ManagedAttribute}
     (description="The Name Attribute", currencyTimeLimit=20,
       defaultValue="bar", persistPolicy="OnUpdate")
 public void setName(String name) {
   this.name = name;
   * @@org.springframework.jmx.export.metadata.ManagedAttribute
       (defaultValue="foo", persistPeriod=300)
 public String getName() {
   return name;
   * @@org.springframework.jmx.export.metadata.ManagedOperation
     (description="Add Two Numbers Together")
 public int add(int x, int y) {
   return x + y;
 public void dontExposeMe() {
   throw new RuntimeException();
}
```

Here you can see that the JmxTestBean class is marked with the ManagedResource attribute and that this ManagedResource attribute is configured with a set of properties. These properties can be used to configure various aspects of the MBean that is generated by the MBeanExporter, and are explained in greater detail later in section entitled Section 20.3.4, "Source-Level Metadata Types".

You will also notice that both the age and name properties are annotated with the ManagedAttribute attribute, but in the case of the age property, only the getter is marked. This will cause both of these properties to be included in the management interface as attributes, but the age attribute will be read-only.

Finally, you will notice that the add(int, int) method is marked with the Managedoperation attribute whereas the dontExposeMe() method is not. This will cause the management interface to contain only one operation, add(int, int), when using the MetadataMBeanInfoAssembler.

The code below shows how you configure the MBeanExporter to use the MetadataMBeanInfoAssembler:

```
<beans>
 <bean id="exporter" class="org.springframework.jmx.export.MBeanExporter">
   property name="beans">
     <map>
       <entry key="bean:name=testBean1" value-ref="testBean"/>
   </property>
    property name="assembler" ref="assembler"/>
 </bean>
 <bean id="testBean" class="org.springframework.jmx.JmxTestBean">
   cproperty name="name" value="TEST"/>
   cproperty name="age" value="100"/>
 <bean id="attributeSource"</pre>
       class="org.springframework.jmx.export.metadata.AttributesJmxAttributeSource">
   property name="attributes">
     <bean class="org.springframework.metadata.commons.CommonsAttributes"/>
   </property>
 </bean>
 <bean id="assembler" class="org.springframework.jmx.export.assembler.MetadataMBeanInfoAssembler">
    </bean>
</beans>
```

Here you can see that an MetadataMBeanInfoAssembler bean has been configured with an instance of the AttributesJmxAttributeSource class and passed to the MBeanExporter through the assembler property. This is all that is required to take advantage of metadata-driven management interfaces for your Spring-exposed MBeans.

### 20.3.3. Using JDK 5.0 Annotations

To enable the use of JDK 5.0 annotations for management interface definition, Spring provides a set of annotations that mirror the Commons Attribute attribute classes and an implementation of the JmxAttributeSource strategy interface, the AnnotationsJmxAttributeSource class, that allows the MBeanInfoAssembler to read them.

The example below shows a bean where the management interface is defined by the presence of JDK 5.0 annotation types:

```
package org.springframework.jmx;
import org.springframework.jmx.export.annotation.ManagedResource;
import org.springframework.jmx.export.annotation.ManagedOperation;
```

```
import org.springframework.jmx.export.annotation.ManagedAttribute;
@ManagedResource(objectName="bean:name=testBean4", description="My Managed Bean", log=true,
   logFile="jmx.log", currencyTimeLimit=15, persistPolicy="OnUpdate", persistPeriod=200,
   persistLocation="foo", persistName="bar")
public class AnnotationTestBean implements IJmxTestBean {
 private String name;
 private int age;
 @ManagedAttribute(description="The Age Attribute", currencyTimeLimit=15)
 public int getAge() {
   return age;
 public void setAge(int age) {
   this.age = age;
 @ManagedAttribute(description="The Name Attribute",
      currencyTimeLimit=20,
      defaultValue="bar",
     persistPolicy="OnUpdate")
 public void setName(String name) {
   this.name = name;
 @ManagedAttribute(defaultValue="foo", persistPeriod=300)
 public String getName() {
   return name;
 @ManagedOperation(description="Add two numbers")
 @ManagedOperationParameters({
   @ManagedOperationParameter(name = "x", description = "The first number"),
   @ManagedOperationParameter(name = "y", description = "The second number")})
 public int add(int x, int y) {
   return x + y;
 public void dontExposeMe() {
   throw new RuntimeException();
```

As you can see little has changed, other than the basic syntax of the metadata definitions.

```
<beans>
   <bean id="exporter" class="org.springframework.jmx.export.MBeanExporter">
       cproperty name="assembler" ref="assembler"/>
       cproperty name="autodetect" value="true"/>
   </bean>
   <bean id="jmxAttributeSource"</pre>
         class="org.springframework.jmx.export.annotation.AnnotationJmxAttributeSource"/>
   <!-- will create management interface using annotation metadata -->
   <bean id="assembler"</pre>
         class="org.springframework.jmx.export.assembler.MetadataMBeanInfoAssembler">
       property name="attributeSource" ref="jmxAttributeSource"/>
   </bean>
   <!-- will pick up the ObjectName from the annotation -->
   <bean id="namingStrategy"</pre>
         class="org.springframework.jmx.export.naming.MetadataNamingStrategy">
       cproperty name="attributeSource" ref="jmxAttributeSource"/>
   </bean>
    <bean id="testBean" class="org.springframework.jmx.AnnotationTestBean">
       property name="name" value="TEST"/>
       cproperty name="age" value="100"/>
   </bean>
</beans>
```

## 20.3.4. Source-Level Metadata Types

The following source level metadata types are available for use in Spring JMX:

Table 20.2. Source-Level Metadata Types

Purpose	Commons Attributes Attribute	JDK 5.0 Annotation	Attribute / Annotation Type
Mark all instances of a class as JMX managed resources	ManagedResource	@ManagedResource	Class
Mark a method as a JMX operation	ManagedOperation	@ManagedOperation	Method
Mark a getter or setter as one half of a JMX attribute	ManagedAttribute	@ManagedAttribute	Method (only getters and setters)
Define descriptions for operation parameters	ManagedOperationParamet	<pre>amanagedOperationParame and  @ManagedOperationParame</pre>	

The following configuration parameters are available for use on these source-level metadata types:

**Table 20.3. Source-Level Metadata Parameters** 

Parameter	Description	Applies to
ObjectName	Used by MetadataNamingStrategy to determine the ObjectName of a managed resource	ManagedResource
description	Sets the friendly description of the resource, attribute or operation	ManagedResource, ManagedAttribute, ManagedOperation, ManagedOperationParameter
currencyTimeLimit	Sets the value of the currencyTimeLimit descriptor field	ManagedResource, ManagedAttribute
defaultValue	Sets the value of the defaultValue descriptor field	ManagedAttribute
log	Sets the value of the log descriptor field	ManagedResource
logFile	Sets the value of the logFile descriptor field	ManagedResource
persistPolicy	Sets the value of the persistPolicy descriptor field	ManagedResource

Parameter	Description	Applies to
persistPeriod	Sets the value of the persistPeriod descriptor field	ManagedResource
persistLocation	Sets the value of the persistLocation descriptor field	ManagedResource
persistName	Sets the value of the persistName descriptor field	ManagedResource
name	Sets the display name of an operation parameter	ManagedOperationParameter
index	Sets the index of an operation parameter	ManagedOperationParameter

### 20.3.5. The AutodetectCapableMBeanInfoAssembler interface

To simplify configuration even further, Spring introduces the AutodetectCapableMBeanInfoAssembler interface which extends the MBeanInfoAssembler interface to add support for autodetection of MBean resources. If you configure the MBeanExporter with an instance of AutodetectCapableMBeanInfoAssembler then it is allowed to "vote" on the inclusion of beans for exposure to JMX.

Out of the box, the only implementation of the AutodetectCapableMBeanInfo interface is the MetadataMBeanInfoAssembler which will vote to include any bean which is marked with the ManagedResource attribute. The default approach in this case is to use the bean name as the ObjectName which results in a configuration like this:

```
<beans>
  <bean id="exporter" class="org.springframework.jmx.export.MBeanExporter">
   <!-- notice how no 'beans' are explicitly configured here -->
    property name="autodetect" value="true"/>
    property name="assembler" ref="assembler"/>
 </bean>
 <bean id="testBean" class="org.springframework.jmx.JmxTestBean">
   property name="name" value="TEST"/>
    cproperty name="age" value="100"/>
 </bean>
 <!-- (for Commons Attributes-based metadata) -->
  <bean id="attributeSource"</pre>
       class="org.springframework.jmx.export.metadata.AttributesJmxAttributeSource">
    property name="attributes">
      <bean class="org.springframework.metadata.commons.CommonsAttributes"/>
   </property>
 </bean>
 <!-- (for Java 5+ annotations-based metadata) -->
  <!--
 <bean id="attributeSource"</pre>
        class="org.springframework.jmx.export.annotation.AnnotationJmxAttributeSource"/>
  <bean id="assembler" class="org.springframework.jmx.export.assembler.MetadataMBeanInfoAssembler">
    property name="attributeSource" ref="attributeSource"/>
  </bean>
</beans>
```

Notice that in this configuration no beans are passed to the MBeanExporter; however, the JmxTestBean will still be registered since it is marked with the ManagedResource attribute and the MetadataMBeanInfoAssembler detects this and votes to include it. The only problem with this approach is that the name of the JmxTestBean now has business meaning. You can address this issue by changing the default behavior for ObjectName creation as defined in the section entitled Section 20.4, "Controlling the ObjectNames for your beans".

### 20.3.6. Defining management interfaces using Java interfaces

In addition to the MetadatamBeanInfoAssembler, Spring also includes the InterfaceBasedMBeanInfoAssembler which allows you to constrain the methods and properties that are exposed based on the set of methods defined in a collection of interfaces.

Although the standard mechanism for exposing MBeans is to use interfaces and a simple naming scheme, the InterfaceBasedMBeanInfoAssembler extends this functionality by removing the need for naming conventions, allowing you to use more than one interface and removing the need for your beans to implement the MBean interfaces.

Consider this interface that is used to define a management interface for the JmxTestBean class that you saw earlier:

```
public interface IJmxTestBean {
  public int add(int x, int y);
  public long myOperation();
  public int getAge();
  public void setAge(int age);
  public void setName(String name);
  public String getName();
}
```

This interface defines the methods and properties that will be exposed as operations and attributes on the JMX MBean. The code below shows how to configure Spring JMX to use this interface as the definition for the management interface:

```
<beans>
  <bean id="exporter" class="org.springframework.jmx.export.MBeanExporter">
    cproperty name="beans">
      <map>
        <entry key="bean:name=testBean5" value-ref="testBean"/>
     </map>
    </property>
    property name="assembler">
      <bean class="org.springframework.jmx.export.assembler.InterfaceBasedMBeanInfoAssembler">
        property name="managedInterfaces">
          <value>org.springframework.imx.IJmxTestBean</value>
        </property>
      </bean>
   </property>
 </bean>
 <bean id="testBean" class="org.springframework.jmx.JmxTestBean">
    cproperty name="name" value="TEST"/>
    cproperty name="age" value="100"/>
 </bean>
</beans>
```

Here you can see that the InterfaceBasedMBeanInfoAssembler is configured to use the IJmxTestBean interface when constructing the management interface for any bean. It is important to understand that beans processed by the InterfaceBasedMBeanInfoAssembler are *not* required to implement the interface used to generate the JMX management interface.

In the case above, the IJmxTestBean interface is used to construct all management interfaces for all beans. In many cases this is not the desired behavior and you may want to use different interfaces for different beans. In this case, you can pass InterfaceBasedMBeanInfoAssembler a Properties instance via the interfaceMappings property, where the key of each entry is the bean name and the value of each entry is a comma-separated list of interface names to use for that bean.

If no management interface is specified through either the managedInterfaces or interfaceMappings properties, then the InterfaceBasedMBeanInfoAssembler will reflect on the bean and use all of the interfaces implemented by that bean to create the management interface.

### 20.3.7. Using MethodNameBasedMBeanInfoAssembler

The MethodNameBasedMBeanInfoAssembler allows you to specify a list of method names that will be exposed to JMX as attributes and operations. The code below shows a sample configuration for this:

Here you can see that the methods add and myOperation will be exposed as JMX operations and getName(), setName(String) and getAge() will be exposed as the appropriate half of a JMX attribute. In the code above, the method mappings apply to beans that are exposed to JMX. To control method exposure on a bean-by-bean basis, use the methodMappings property of MethodNameMBeanInfoAssembler to map bean names to lists of method names.

## 20.4. Controlling the ObjectNames for your beans

Behind the scenes, the MBeanExporter delegates to an implementation of the ObjectNamingStrategy to obtain ObjectNames for each of the beans it is registering. The default implementation, KeyNamingStrategy, will, by default, use the key of the beans Map as the ObjectName. In addition, the KeyNamingStrategy can map the key of the beans Map to an entry in a Properties file (or files) to resolve the ObjectName. In addition to the KeyNamingStrategy, Spring provides two additional ObjectNamingStrategy implementations: the IdentityNamingStrategy that builds an ObjectName based on the JVM identity of the bean and the MetadataNamingStrategy that uses source level metadata to obtain the ObjectName.

### 20.4.1. Reading ObjectNames from Properties

You can configure your own KeynamingStrategy instance and configure it to read ObjectNames from a

Properties instance rather than use bean key. The KeyNamingStrategy will attempt to locate an entry in the Properties with a key corresponding to the bean key. If no entry is found or if the Properties instance is null then the bean key itself is used.

The code below shows a sample configuration for the KeyNamingStrategy:

```
<beans>
 <bean id="exporter" class="org.springframework.jmx.export.MBeanExporter">
   property name="beans">
     <map>
       <entry key="testBean" value-ref="testBean"/>
     </map>
   </property>
   property name="namingStrategy" ref="namingStrategy"/>
 </bean>
 <bean id="testBean" class="org.springframework.jmx.JmxTestBean">
   cproperty name="name" value="TEST"/>
   cproperty name="age" value="100"/>
 </bean>
 <bean id="namingStrategy" class="org.springframework.jmx.export.naming.KeyNamingStrategy">
   property name="mappings">
     ops>
        prop key="testBean">bean:name=testBean1
     </props>
   </property>
   property name="mappingLocations">
     <value>names1.properties,names2.properties</value>
   </property>
 </bean
</beans>
```

Here an instance of KeyNamingStrategy is configured with a Properties instance that is merged from the Properties instance defined by the mapping property and the properties files located in the paths defined by the mappings property. In this configuration, the testBean bean will be given the ObjectName bean:name=testBean1 since this is the entry in the Properties instance that has a key corresponding to the bean key.

If no entry in the Properties instance can be found then the bean key name is used as the ObjectName.

### 20.4.2. Using the MetadataNamingStrategy

The MetadataNamingStrategy uses the objectName property of the ManagedResource attribute on each bean to create the ObjectName. The code below shows the configuration for the MetadataNamingStrategy:

```
<beans>
 <bean id="exporter" class="org.springframework.jmx.export.MBeanExporter">
   cproperty name="beans">
     <map>
       <entry key="testBean" value-ref="testBean"/>
     </map>
   </property>
   cproperty name="namingStrategy" ref="namingStrategy"/>
 </bean>
 <bean id="testBean" class="org.springframework.jmx.JmxTestBean">
   roperty name="name" value="TEST"/>
   cproperty name="age" value="100"/>
 </bean>
 <bean id="namingStrategy" class="org.springframework.jmx.export.naming.MetadataNamingStrategy">
   <bean id="attributeSource"</pre>
```

```
class="org.springframework.jmx.export.metadata.AttributesJmxAttributeSource"/>
    </beans>
```

If no objectName has been provided for the ManagedResource attribute, then an objectName will be created with the following format: [fully-qualified-package-name]:type=[short-classname],name=[bean-name]. For example, the generated ObjectName for the following bean would be: com.foo:type=MyClass,name=myBean.

```
<bean id="myBean" class="com.foo.MyClass"/>
```

### 20.4.3. The <context:mbean-export/> element

If you are using at least Java 5, then a convenience subclass of MBeanExporter is available: AnnotationMBeanExporter. When defining an instance of this subclass, the namingStrategy, assembler, and attributeSource configuration is no longer needed, since it will always use standard Java annotation-based metadata (autodetection is always enabled as well). In fact, an even simpler syntax is supported with the inclusion of Spring's 'context' namespace in Spring 2.5. Rather than defining an MBeanExporter bean, provide this single element:

```
<context:mbean-export/>
```

You can provide a reference to a particular MBean server if necessary, and the defaultDomain attribute (a property of AnnotationMBeanExporter) accepts an alternate value for the generated MBean ObjectNames' domains. This would be used in place of the fully qualified package name as described in the previous section on MetadataNamingStrategy.

```
<context:mbean-export server="myMBeanServer" default-domain="myDomain"/>
```



#### **Note**

Do not use interface-based AOP proxies in combination with autodetection of JMX annotations in your bean classes. Interface-based proxies 'hide' the target class, which also hides the JMX managed resource annotations. Hence, use target-class proxies in that case: through setting the 'proxy-target-class' flag on <aop:config/>, <tx:annotation-driven/>, etc. Otherwise, your JMX beans might be silently ignored at startup...

# 20.5. JSR-160 Connectors

For remote access, Spring JMX module offers two FactoryBean implementations inside the org.springframework.jmx.support package for creating both server- and client-side connectors.

#### 20.5.1. Server-side Connectors

To have Spring JMX create, start and expose a JSR-160 JMXConnectorServer use the following configuration:

<bean id="serverConnector" class="org.springframework.jmx.support.ConnectorServerFactoryBean"/>

By default ConnectorServerFactoryBean creates a JMXConnectorServer bound to "service:jmx:jmxmp://localhost:9875". The serverConnector bean thus exposes the local MBeanServer to clients through the JMXMP protocol on localhost, port 9875. Note that the JMXMP protocol is marked as optional by the JSR 160 specification: currently, the main open-source JMX implementation, MX4J, and the one provided with J2SE 5.0 do *not* support JMXMP.

To specify another URL and register the JMXConnectorServer itself with the MBeanServer use the serviceUrl and ObjectName properties respectively:

If the ObjectName property is set Spring will automatically register your connector with the MBeanServer under that ObjectName. The example below shows the full set of parameters which you can pass to the ConnectorServerFactoryBean when creating a JMXConnector:

Note that when using a RMI-based connector you need the lookup service (tnameserv or rmiregistry) to be started in order for the name registration to complete. If you are using Spring to export remote services for you via RMI, then Spring will already have constructed an RMI registry. If not, you can easily start a registry using the following snippet of configuration:

#### 20.5.2. Client-side Connectors

To create an MBeanServerConnection to a remote JSR-160 enabled MBeanServer use the MBeanServerConnectionFactoryBean as shown below:

# 20.5.3. JMX over Burlap/Hessian/SOAP

JSR-160 permits extensions to the way in which communication is done between the client and the server. The examples above are using the mandatory RMI-based implementation required by the JSR-160 specification (IIOP and JRMP) and the (optional) JMXMP. By using other providers or JMX implementations (such as

MX4J) you can take advantage of protocols like SOAP, Hessian, Burlap over simple HTTP or SSL and others:

In the case of the above example, MX4J 3.0.0 was used; see the official MX4J documentation for more information.

# 20.6. Accessing MBeans via Proxies

Spring JMX allows you to create proxies that re-route calls to MBeans registered in a local or remote MBeanserver. These proxies provide you with a standard Java interface through which you can interact with your MBeans. The code below shows how to configure a proxy for an MBean running in a local MBeanServer:

Here you can see that a proxy is created for the MBean registered under the <code>ObjectName:</code> <code>bean:name=testBean</code>. The set of interfaces that the proxy will implement is controlled by the <code>proxyInterfaces</code> property and the rules for mapping methods and properties on these interfaces to operations and attributes on the MBean are the same rules used by the <code>InterfaceBasedMBeanInfoAssembler</code>.

The MBeanProxyFactoryBean can create a proxy to any MBean that is accessible via an MBeanServerConnection. By default, the local MBeanServer is located and used, but you can override this and provide an MBeanServerConnection pointing to a remote MBeanServer to cater for proxies pointing to remote MBeans:

Here you can see that we create an MBeanServerConnection pointing to a remote machine using the MBeanServerConnectionFactoryBean. This MBeanServerConnection is then passed to the MBeanProxyFactoryBean via the server property. The proxy that is created will forward all invocations to the MBeanServer via this MBeanServerConnection.

# 20.7. Notifications

Spring's JMX offering includes comprehensive support for JMX notifications.

# 20.7.1. Registering Listeners for Notifications

Spring's JMX support makes it very easy to register any number of NotificationListeners with any number of MBeans (this includes MBeans exported by Spring's MBeanExporter and MBeans registered via some other

mechanism). By way of an example, consider the scenario where one would like to be informed (via a Notification) each and every time an attribute of a target MBean changes.

```
<beans>
 <bean id="exporter" class="org.springframework.jmx.export.MBeanExporter">
    cproperty name="beans">
        <entry key="bean:name=testBean1" value-ref="testBean"/>
     </map>
    </property>
    cproperty name="notificationListenerMappings">
      <map>
        <entry key="bean:name=testBean1">
         <bean class="com.example.ConsoleLoggingNotificationListener"/>
        </entry>
      </map>
   </property>
 </bean>
 <bean id="testBean" class="org.springframework.jmx.JmxTestBean">
    cproperty name="name" value="TEST"/>
    cproperty name="age" value="100"/>
  </bean>
</beans>
```

With the above configuration in place, every time a JMX Notification is broadcast from the target MBean (bean:name=testBean1), the ConsoleLoggingNotificationListener bean that was registered as a listener via the notificationListenerMappings property will be notified. The ConsoleLoggingNotificationListener bean can then take whatever action it deems appropriate in response to the Notification.

You can also use straight bean names as the link between exported beans and listeners:

```
<beans>
 <bean id="exporter" class="org.springframework.jmx.export.MBeanExporter">
    property name="beans">
      <map>
        <entry key="bean:name=testBean1" value-ref="testBean"/>
      </map>
    </property>
    property name="notificationListenerMappings">
      <map>
        <entry key="testBean">
         <bean class="com.example.ConsoleLoggingNotificationListener"/>
        </entry>
      </map>
    </property>
 </bean>
 <bean id="testBean" class="org.springframework.jmx.JmxTestBean">
```

If one wants to register a single NotificationListener instance for all of the beans that the enclosing MBeanExporter is exporting, one can use the special wildcard '\*' (sans quotes) as the key for an entry in the notificationListenerMappings property map; for example:

If one needs to do the inverse (that is, register a number of distinct listeners against an MBean), then one has to use the notificationListeners list property instead (and in preference to the notificationListenerMappings property). This time, instead of configuring simply a NotificationListener for a single MBean, one configures NotificationListenerBean instances... a NotificationListenerBean encapsulates a NotificationListener and the ObjectName (or ObjectNames) that it is to be registered against in an MBeanServer. The NotificationListenerBean also encapsulates a number of other properties such as a NotificationFilter and an arbitrary handback object that can be used in advanced JMX notification scenarios.

The configuration when using NotificationListenerBean instances is not wildly different to what was presented previously:

```
<beans>
 <bean id="exporter" class="org.springframework.jmx.export.MBeanExporter">
   cproperty name="beans">
     <map>
       <entry key="bean:name=testBean1" value-ref="testBean"/>
     </map>
   </property>
   property name="notificationListeners">
       st>
           <bean class="org.springframework.jmx.export.NotificationListenerBean">
               <constructor-arg>
                    <bean class="com.example.ConsoleLoggingNotificationListener"/>
               </constructor-arg>
               property name="mappedObjectNames">
                    st>
                       <value>bean:name=testBean1</value>
                   </list>
               </property>
           </bean>
       </list>
   </property>
 </bean>
 <bean id="testBean" class="org.springframework.jmx.JmxTestBean">
   roperty name="name" value="TEST"/>
    operty name="age" value="100"/>
 </bean>
</beans>
```

The above example is equivalent to the first notification example. Lets assume then that we want to be given a handback object every time a Notification is raised, and that additionally we want to filter out extraneous Notifications by supplying a NotificationFilter. (For a full discussion of just what a handback object is, and indeed what a NotificationFilter is, please do consult that section of the JMX specification (1.2)

entitled 'The JMX Notification Model'.)

```
<beans>
 <bean id="exporter" class="org.springframework.jmx.export.MBeanExporter">
   cproperty name="beans">
     <map>
       <entry key="bean:name=testBean1" value-ref="testBean1"/>
       <entry key="bean:name=testBean2" value-ref="testBean2"/>
   </property>
   property name="notificationListeners">
       st>
           <bean class="org.springframework.imx.export.NotificationListenerBean">
               <constructor-arg ref="customerNotificationListener"/>
               cproperty name="mappedObjectNames">
                   st>
                      <!-- handles notifications from two distinct MBeans -->
                       <value>bean:name=testBean1</value>
                       <value>bean:name=testBean2</value>
                   </list>
               </property>
               property name="handback">
                   <bean class="java.lang.String">
                      <constructor-arg value="This could be anything..."/>
               </property>
               </list>
   </property>
 </bean>
 <!-- implements both the NotificationListener and NotificationFilter interfaces -->
 <bean id="customerNotificationListener" class="com.example.ConsoleLoggingNotificationListener"/>
 <bean id="testBean1" class="org.springframework.jmx.JmxTestBean">
   property name="name" value="TEST"/>
    property name="age" value="100"/>
 </bean>
 <bean id="testBean2" class="org.springframework.jmx.JmxTestBean">
   property name="name" value="ANOTHER TEST"/>
   cproperty name="age" value="200"/>
 </bean>
</beans>
```

# 20.7.2. Publishing Notifications

Spring provides support not just for registering to receive Notifications, but also for publishing Notifications.



#### Note

Please note that this section is really only relevant to Spring managed beans that have been exposed as MBeans via an MBeanExporter; any existing, user-defined MBeans should use the standard JMX APIs for notification publication.

The key interface in Spring's JMX notification publication support is the NotificationPublisher interface (defined in the org.springframework.jmx.export.notification package). Any bean that is going to be exported as an MBean via an MBeanExporter instance can implement the related NotificationPublisherAware interface to gain access to a NotificationPublisher instance. The NotificationPublisherAware interface simply supplies an instance of a NotificationPublisher to the implementing bean via a simple setter method, which the bean can then use to publish Notifications.

As stated in the Javadoc for the NotificationPublisher class, managed beans that are publishing events via the NotificationPublisher mechanism are *not* responsible for the state management of any notification listeners and the like ... Spring's JMX support will take care of handling all the JMX infrastructure issues. All one need do as an application developer is implement the NotificationPublisherAware interface and start publishing events using the supplied NotificationPublisher instance. Note that the NotificationPublisher will be set *after* the managed bean has been registered with an MBeanServer.

Using a NotificationPublisher instance is quite straightforward... one simply creates a JMX Notification instance (or an instance of an appropriate Notification subclass), populates the notification with the data pertinent to the event that is to be published, and one then invokes the sendNotification(Notification) on the NotificationPublisher instance, passing in the Notification.

Find below a simple example... in this scenario, exported instances of the JmxTestBean are going to publish a NotificationEvent every time the add(int, int) operation is invoked.

```
package org.springframework.jmx;
import org.springframework.jmx.export.notification.NotificationPublisherAware;
import org.springframework.jmx.export.notification.NotificationPublisher;
import javax.management.Notification;
public class JmxTestBean implements IJmxTestBean, NotificationPublisherAware {
   private String name;
   private int age;
   private boolean isSuperman;
   private NotificationPublisher publisher;
    // other getters and setters omitted for clarity
   public int add(int x, int y) {
        int answer = x + y;
        this.publisher.sendNotification(new Notification("add", this, 0));
   public void dontExposeMe() {
        throw new RuntimeException();
   public void setNotificationPublisher(NotificationPublisher notificationPublisher) {
        this.publisher = notificationPublisher;
```

The NotificationPublisher interface and the machinery to get it all working is one of the nicer features of Spring's JMX support. It does however come with the price tag of coupling your classes to both Spring and JMX; as always, the advice here is to be pragmatic... if you need the functionality offered by the NotificationPublisher and you can accept the coupling to both Spring and JMX, then do so.

### 20.8. Further Resources

This section contains links to further resources about JMX.

- The <u>JMX homepage</u> at Sun
- The <u>JMX specification</u> (JSR-000003)
- The JMX Remote API specification (JSR-000160)
- The MX4J homepage (an Open Source implementation of various JMX specs)

Getting Started with JMX - an introductory article from Sun.	

# **Chapter 21. JCA CCI**

### 21.1. Introduction

J2EE provides a specification to standardize access to enterprise information systems (EIS): the JCA (Java Connector Architecture). This specification is divided into several different parts:

- SPI (Service provider interfaces) that the connector provider must implement. These interfaces constitute a resource adapter which can be deployed on a J2EE application server. In such a scenario, the server manages connection pooling, transaction and security (managed mode). The application server is also responsible for managing the configuration, which is held outside the client application. A connector can be used without an application server as well; in this case, the application must configure it directly (non-managed mode).
- CCI (Common Client Interface) that an application can use to interact with the connector and thus communicate with an EIS. An API for local transaction demarcation is provided as well.

The aim of the Spring CCI support is to provide classes to access a CCI connector in typical Spring style, leveraging the Spring Framework's general resource and transaction management facilities.



#### Note

The client side of connectors doesn't alway use CCI. Some connectors expose their own APIs, only providing JCA resource adapter to use the system contracts of a J2EE container (connection pooling, global transactions, security). Spring does not offer special support for such connector-specific APIs.

# 21.2. Configuring CCI

## 21.2.1. Connector configuration

The base resource to use JCA CCI is the ConnectionFactory interface. The connector used must provide an implementation of this interface.

To use your connector, you can deploy it on your application server and fetch the ConnectionFactory from the server's JNDI environment (managed mode). The connector must be packaged as a RAR file (resource adapter archive) and contain a ra.xml file to describe its deployment characteristics. The actual name of the resource is specified when you deploy it. To access it within Spring, simply use Spring's JndiObjectFactoryBean to fetch the factory by its JNDI name.

Another way to use a connector is to embed it in your application (non-managed mode), not using an application server to deploy and configure it. Spring offers the possibility to configure a connector as a bean, through a provided FactoryBean (LocalConnectionFactoryBean). In this manner, you only need the connector library in the classpath (no RAR file and no ra.xml descriptor needed). The library must be extracted from the connector's RAR file, if necessary.

Once you have got access to your ConnectionFactory instance, you can inject it into your components. These components can either be coded against the plain CCI API or leverage Spring's support classes for CCI access (e.g. CciTemplate).



#### Note

When you use a connector in non-managed mode, you can't use global transactions because the resource is never enlisted / delisted in the current global transaction of the current thread. The resource is simply not aware of any global J2EE transactions that might be running.

### 21.2.2. ConnectionFactory configuration in Spring

In order to make connections to the EIS, you need to obtain a ConnectionFactory from the application server if you are in a managed mode, or directly from Spring if you are in a non-managed mode.

In a managed mode, you access a ConnectionFactory from JNDI; its properties will be configured in the application server.

In non-managed mode, you must configure the ConnectionFactory you want to use in the configuration of Spring as a JavaBean. The LocalConnectionFactoryBean class offers this setup style, passing in the ManagedConnectionFactory implementation of your connector, exposing the application-level CCI ConnectionFactory.



#### Note

You can't directly instantiate a specific ConnectionFactory. You need to go through the corresponding implementation of the ManagedConnectionFactory interface for your connector. This interface is part of the JCA SPI specification.

# 21.2.3. Configuring CCI connections

JCA CCI allow the developer to configure the connections to the EIS using the <code>ConnectionSpec</code> implementation of your connector. In order to configure its properties, you need to wrap the target connection factory with a dedicated adapter, <code>ConnectionSpecConnectionFactoryAdapter</code>. So, the dedicated <code>ConnectionSpec</code> can be configured with the property <code>connectionSpec</code> (as an inner bean).

This property is not mandatory because the CCI ConnectionFactory interface defines two different methods to obtain a CCI connection. Some of the ConnectionSpec properties can often be configured in the application server (in managed mode) or on the corresponding local ManagedConnectionFactory implementation.

```
public interface ConnectionFactory implements Serializable, Referenceable {
    ...
    Connection getConnection() throws ResourceException;
    Connection getConnection(ConnectionSpec connectionSpec) throws ResourceException;
    ...
```

```
}
```

Spring provides a ConnectionSpecConnectionFactoryAdapter that allows for specifying a ConnectionSpec instance to use for all operations on a given factory. If the adapter's connectionSpec property is specified, the adapter uses the getConnection variant without argument, else the one with the ConnectionSpec argument.

```
<bean id="managedConnectionFactory"</pre>
  class="com.sun.connector.cciblackbox.CciLocalTxManagedConnectionFactory">
 cproperty name="driverName" value="org.hsqldb.jdbcDriver"/>
</bean>
<bean id="targetConnectionFactory"</pre>
  class="org.springframework.jca.support.LocalConnectionFactoryBean">
 </bean>
<bean id="connectionFactory"</pre>
  class="org.springframework.jca.cci.connection.ConnectionSpecConnectionFactoryAdapter">
 connectionSpec">
  <bean class="com.sun.connector.cciblackbox.CciConnectionSpec">
    cproperty name="user" value="sa"/>
    cproperty name="password" value=""/>
  </bean>
 </property>
</bean>
```

### 21.2.4. Using a single CCI connection

If you want to use a single CCI connection, Spring provides a further ConnectionFactory adapter to manage this. The SingleConnectionFactory adapter class will open a single connection lazily and close it when this bean is destroyed at application shutdown. This class will expose special Connection proxies that behave accordingly, all sharing the same underlying physical connection.



#### Note

This ConnectionFactory adapter cannot directly be configured with a ConnectionSpec. Use an intermediary ConnectionSpecConnectionFactoryAdapter that the SingleConnectionFactory talks to if you require a single connection for a specific ConnectionSpec.

# 21.3. Using Spring's CCI access support

#### 21.3.1. Record conversion

One of the aims of the JCA CCI support is to provide convenient facilities for manipulating CCI records. The developer can specify the strategy to create records and extract datas from records, for use with Spring's CCiTemplate. The following interfaces will configure the strategy to use input and output records if you don't want to work with records directly in your application.

In order to create an input Record, the developer can use a dedicated implementation of the RecordCreator interface.

```
public interface RecordCreator {
   Record createRecord(RecordFactory recordFactory) throws ResourceException, DataAccessException;
}
```

As you can see, the <code>createRecord(...)</code> method receives a <code>RecordFactory</code> instance as parameter, which corresponds to the <code>RecordFactory</code> of the <code>ConnectionFactory</code> used. This reference can be used to create <code>IndexedRecord</code> or <code>MappedRecord</code> instances. The following sample shows how to use the <code>RecordCreator</code> interface and indexed/mapped records.

```
public class MyRecordCreator implements RecordCreator {
   public Record createRecord(RecordFactory recordFactory) throws ResourceException {
        IndexedRecord input = recordFactory.createIndexedRecord("input");
        input.add(new Integer(id));
        return input;
    }
}
```

An output Record can be used to receive data back from the EIS. Hence, a specific implementation of the RecordExtractor interface can be passed to Spring's CciTemplate for extracting data from the output Record.

```
public interface RecordExtractor {
   Object extractData(Record record) throws ResourceException, SQLException, DataAccessException;
}
```

The following sample shows how to use the RecordExtractor interface.

```
public class MyRecordExtractor implements RecordExtractor {
   public Object extractData(Record record) throws ResourceException {
      CommAreaRecord commAreaRecord = (CommAreaRecord) record;
      String str = new String(commAreaRecord.toByteArray());
      String field1 = string.substring(0,6);
      String field2 = string.substring(6,1);
      return new OutputObject(Long.parseLong(field1), field2);
   }
}
```

## 21.3.2. The CciTemplate

The Ccitemplate is the central class of the core CCI support package (org.springframework.jca.cci.core). It simplifies the use of CCI since it handles the creation and release of resources. This helps to avoid common errors like forgetting to always close the connection. It cares for the lifecycle of connection and interaction objects, letting application code focus on generating input records from application data and extracting application data from output records.

The JCA CCI specification defines two distinct methods to call operations on an EIS. The CCI Interaction interface provides two execute method signatures:

```
public interface javax.resource.cci.Interaction {
    ...
   boolean execute(InteractionSpec spec, Record input, Record output) throws ResourceException;
   Record execute(InteractionSpec spec, Record input) throws ResourceException;
   ...
}
```

Depending on the template method called, CciTemplate will know which execute method to call on the interaction. In any case, a correctly initialized InteractionSpec instance is mandatory.

CciTemplate.execute(..) can be used in two ways:

- With direct Record arguments. In this case, you simply need to pass the CCI input record in, and the returned object be the corresponding CCI output record.
- With application objects, using record mapping. In this case, you need to provide corresponding RecordCreator and RecordExtractor instances.

With the first approach, the following methods of the template will be used. These methods directly correspond to those on the Interaction interface.

```
public class CciTemplate implements CciOperations {
   public Record execute(InteractionSpec spec, Record inputRecord)
       throws DataAccessException { ... }
   public void execute(InteractionSpec spec, Record inputRecord, Record outputRecord)
      throws DataAccessException { ... }
}
```

With the second approach, we need to specify the record creation and record extraction strategies as arguments. The interfaces used are those describe in the previous section on record conversion. The corresponding CciTemplate methods are the following:

```
public class CciTemplate implements CciOperations {
   public Record execute(InteractionSpec spec, RecordCreator inputCreator)
        throws DataAccessException { ... }

   public Object execute(InteractionSpec spec, Record inputRecord, RecordExtractor outputExtractor)
        throws DataAccessException { ... }

   public Object execute(InteractionSpec spec, RecordCreator creator, RecordExtractor extractor)
        throws DataAccessException { ... }

}
```

Unless the outputRecordCreator property is set on the template (see the following section), every method will call the corresponding execute method of the CCI Interaction with two parameters: InteractionSpec and input Record, receiving an output Record as return value.

CciTemplate also provides methods to create IndexRecord and MappedRecord outside a RecordCreator implementation, through its createIndexRecord(..) and createMappedRecord(..) methods. This can be used within DAO implementations to create Record instances to pass into corresponding CciTemplate.execute(..) methods.

```
public class CciTemplate implements CciOperations {
   public IndexedRecord createIndexedRecord(String name) throws DataAccessException { ... }
   public MappedRecord createMappedRecord(String name) throws DataAccessException { ... }
}
```

### 21.3.3. DAO support

Spring's CCI support provides a abstract class for DAOs, supporting injection of a ConnectionFactory or a CciTemplate instances. The name of the class is CciDaoSupport: It provides simple setConnectionFactory and setCciTemplate methods. Internally, this class will create a CciTemplate instance for a passed-in ConnectionFactory, exposing it to concrete data access implementations in subclasses.

```
public abstract class CciDaoSupport {
  public void setConnectionFactory(ConnectionFactory connectionFactory) { ... }
  public ConnectionFactory getConnectionFactory() { ... }
  public void setCciTemplate(CciTemplate cciTemplate) { ... }
  public CciTemplate getCciTemplate() { ... }
}
```

### 21.3.4. Automatic output record generation

If the connector used only supports the Interaction.execute(..) method with input and output records as parameters (that is, it requires the desired output record to be passed in instead of returning an appropriate output record), you can set the outputRecordCreator property of the CciTemplate to automatically generate an output record to be filled by the JCA connector when the response is received. This record will be then returned to the caller of the template.

This property simply holds an implementation of the RecordCreator interface, used for that purpose. The RecordCreator interface has already been discussed in the section entitled Section 21.3.1, "Record conversion". The outputRecordCreator property must be directly specified on the CciTemplate. This could be done in the application code like so:

```
cciTemplate.setOutputRecordCreator(new EciOutputRecordCreator());
```

Or (recommended) in the Spring configuration, if the CciTemplate is configured as a dedicated bean instance:



#### **Note**

As the Ccitemplate class is thread-safe, it will usually be configured as a shared instance.

# 21.3.5. Summary

The following table summarizes the mechanisms of the CciTemplate class and the corresponding methods called on the CCI Interaction interface:

Table 21.1. Usage of Interaction execute methods

CciTemplate method signature	CciTemplate outputRecordCreator property	execute method called on the CCI Interaction
Record execute(InteractionSpec, Record)	not set	Record execute(InteractionSpec, Record)
Record execute(InteractionSpec, Record)	set	boolean execute(InteractionSpec, Record, Record)
void execute(InteractionSpec, Record, Record)	not set	void execute(InteractionSpec, Record, Record)
void execute(InteractionSpec, Record, Record)	set	void execute(InteractionSpec, Record, Record)
Record execute(InteractionSpec, RecordCreator)	not set	Record execute(InteractionSpec, Record)
Record execute(InteractionSpec, RecordCreator)	set	void execute(InteractionSpec, Record, Record)
Record execute(InteractionSpec, Record, RecordExtractor)	not set	Record execute(InteractionSpec, Record)
Record execute(InteractionSpec, Record, RecordExtractor)	set	void execute(InteractionSpec, Record, Record)
Record execute(InteractionSpec, RecordCreator, RecordExtractor)	not set	Record execute(InteractionSpec, Record)
Record execute(InteractionSpec, RecordCreator, RecordExtractor)	set	void execute(InteractionSpec, Record, Record)

# 21.3.6. Using a CCI Connection and Interaction directly

CCITemplate also offers the possibility to work directly with CCI connections and interactions, in the same manner as JdbcTemplate and JmsTemplate. This is useful when you want to perform multiple operations on a CCI connection or interaction, for example.

The interface ConnectionCallback provides a CCI Connection as argument, in order to perform custom operations on it, plus the CCI ConnectionFactory which the Connection was created with. The latter can be useful for example to get an associated RecordFactory instance and create indexed/mapped records, for example.

```
public interface ConnectionCallback {
   Object doInConnection(Connection connection, ConnectionFactory connectionFactory)
      throws ResourceException, SQLException, DataAccessException;
}
```

The interface InteractionCallback provides the CCI Interaction, in order to perform custom operations on

it, plus the corresponding CCI ConnectionFactory.

```
public interface InteractionCallback {
   Object doInInteraction(Interaction interaction, ConnectionFactory connectionFactory)
        throws ResourceException, SQLException, DataAccessException;
}
```



#### Note

InteractionSpec objects can either be shared across multiple template calls or newly created inside every callback method. This is completely up to the DAO implementation.

### 21.3.7. Example for CciTemplate usage

In this section, the usage of the CciTemplate will be shown to acces to a CICS with ECI mode, with the IBM CICS ECI connector.

Firstly, some initializations on the CCI InteractionSpec must be done to specify which CICS program to access and how to interact with it.

```
ECIInteractionSpec interactionSpec = new ECIInteractionSpec();
interactionSpec.setFunctionName("MYPROG");
interactionSpec.setInteractionVerb(ECIInteractionSpec.SYNC_SEND_RECEIVE);
```

Then the program can use CCI via Spring's template and specify mappings between custom objects and CCI Records.

```
public class MyDaoImpl extends CciDaoSupport implements MyDao {
 public OutputObject getData(InputObject input) {
   ECIInteractionSpec interactionSpec = ...;
    OutputObject output = (ObjectOutput) getCciTemplate().execute(interactionSpec,
       new RecordCreator() {
         public Record createRecord(RecordFactory recordFactory) throws ResourceException {
            return new CommAreaRecord(input.toString().getBytes());
        new RecordExtractor() {
         public Object extractData(Record record) throws ResourceException {
            CommAreaRecord commAreaRecord = (CommAreaRecord)record;
            String str = new String(commAreaRecord.toByteArray());
            String field1 = string.substring(0,6);
            String field2 = string.substring(6,1);
            return new OutputObject(Long.parseLong(field1), field2);
        });
   return output;
 }
}
```

As discussed previously, callbacks can be used to work directly on CCI connections or interactions.

```
}
});
}
return output;
}
}
```



#### Note

With a ConnectionCallback, the Connection used will be managed and closed by the CciTemplate, but any interactions created on the connection must be managed by the callback implementation.

For a more specific callback, you can implement an InteractionCallback. The passed-in Interaction will be managed and closed by the CciTemplate in this case.

For the examples above, the corresponding configuration of the involved Spring beans could look like this in non-managed mode:

In managed mode (that is, in a J2EE environment), the configuration could look as follows:

# 21.4. Modeling CCI access as operation objects

The org.springframework.jca.cci.object package contains support classes that allow you to access the EIS in a different style: through reusable operation objects, analogous to Spring's JDBC operation objects (see JDBC chapter). This will usually encapsulate the CCI API: an application-level input object will be passed to the operation object, so it can construct the input record and then convert the received record data to an application-level output object and return it.

*Note*: This approach is internally based on the CciTemplate class and the RecordCreator / RecordExtractor interfaces, reusing the machinery of Spring's core CCI support.

### 21.4.1. MappingRecordOperation

MappingRecordOperation essentially performs the same work as CciTemplate, but represents a specific, pre-configured operation as an object. It provides two template methods to specify how to convert an input object to a input record, and how to convert an output record to an output object (record mapping):

- createInputRecord(..) to specify how to convert an input object to an input Record
- extractOutputData(..) to specify how to extract an output object from an output Record

Here are the signatures of these methods:

```
public abstract class MappingRecordOperation extends EisOperation {
    ...
    protected abstract Record createInputRecord(RecordFactory recordFactory, Object inputObject)
        throws ResourceException, DataAccessException { ... }

    protected abstract Object extractOutputData(Record outputRecord)
        throws ResourceException, SQLException, DataAccessException { ... }
    ...
}
```

Thereafter, in order to execute an EIS operation, you need to use a single execute method, passing in an application-level input object and receiving an application-level output object as result:

```
public abstract class MappingRecordOperation extends EisOperation {
    ...
    public Object execute(Object inputObject) throws DataAccessException {
    ...
}
```

As you can see, contrary to the <code>CciTemplate</code> class, this <code>execute(..)</code> method does not have an <code>InteractionSpec</code> as argument. Instead, the <code>InteractionSpec</code> is global to the operation. The following constructor must be used to instantiate an operation object with a specific <code>InteractionSpec</code>:

```
InteractionSpec spec = ...;
MyMappingRecordOperation eisOperation = new MyMappingRecordOperation(getConnectionFactory(), spec);
...
```

### 21.4.2. MappingCommAreaOperation

Some connectors use records based on a COMMAREA which represents an array of bytes containing parameters to send to the EIS and data returned by it. Spring provides a special operation class for working

directly on COMMAREA rather than on records. The MappingCommAreaOperation class extends the MappingRecordOperation class to provide such special COMMAREA support. It implicitly uses the CommAreaRecord class as input and output record type, and provides two new methods to convert an input object into an input COMMAREA and the output COMMAREA into an output object.

```
public abstract class MappingCommAreaOperation extends MappingRecordOperation {
    ...
    protected abstract byte[] objectToBytes(Object inObject)
        throws IOException, DataAccessException;

protected abstract Object bytesToObject(byte[] bytes)
        throws IOException, DataAccessException;
    ...
}
```

### 21.4.3. Automatic output record generation

As every MappingRecordOperation subclass is based on CciTemplate internally, the same way to automatically generate output records as with CciTemplate is available. Every operation object provides a corresponding setOutputRecordCreator(..) method. For further information, see the section entitled Section 21.3.4, "Automatic output record generation".

### 21.4.4. Summary

The operation object approach uses records in the same manner as the Ccitemplate class.

Table 21.2. Usage of Interaction execute method	<b>Table 21.2.</b>	Usage of	Interaction	execute	method
---	--------------------	----------	-------------	---------	--------

MappingRecordOperation method signature	MappingRecordOperation outputRecordCreator property	execute method called on the CCI Interaction
Object execute(Object)	not set	Record execute(InteractionSpec, Record)
Object execute(Object)	set	boolean execute(InteractionSpec, Record, Record)

## 21.4.5. Example for MappingRecordOperation usage

In this section, the usage of the MappingRecordOperation will be shown to access a database with the Blackbox CCI connector.



#### Note

The original version of this connector is provided by the J2EE SDK (version 1.3), available from Sun.

Firstly, some initializations on the CCI InteractionSpec must be done to specify which SQL request to execute. In this sample, we directly define the way to convert the parameters of the request to a CCI record and the way to convert the CCI result record to an instance of the Person class.

```
public class PersonMappingOperation extends MappingRecordOperation {
```

```
public PersonMappingOperation(ConnectionFactory connectionFactory) {
   setConnectionFactory(connectionFactory);
   CciInteractionSpec interactionSpec = new CciConnectionSpec();
   interactionSpec.setSql("select * from person where person_id=?");
   setInteractionSpec(interactionSpec);
 protected Record createInputRecord(RecordFactory recordFactory, Object inputObject)
     throws ResourceException {
   Integer id = (Integer) inputObject;
   IndexedRecord input = recordFactory.createIndexedRecord("input");
   input.add(new Integer(id));
   return input;
 protected Object extractOutputData(Record outputRecord)
     throws ResourceException, SQLException {
   ResultSet rs = (ResultSet) outputRecord;
   Person person = null;
   if (rs.next()) {
     Person person = new Person();
     person.setId(rs.getInt("person_id"));
     person.setLastName(rs.getString("person_last_name"));
     person.setFirstName(rs.getString("person_first_name"));
   return person;
 }
}
```

Then the application can execute the operation object, with the person identifier as argument. Note that operation object could be set up as shared instance, as it is thread-safe.

```
public class MyDaoImpl extends CciDaoSupport implements MyDao {
   public Person getPerson(int id) {
     PersonMappingOperation query = new PersonMappingOperation(getConnectionFactory());
     Person person = (Person) query.execute(new Integer(id));
     return person;
   }
}
```

The corresponding configuration of Spring beans could look as follows in non-managed mode:

```
<bean id="managedConnectionFactory"</pre>
   class="com.sun.connector.cciblackbox.CciLocalTxManagedConnectionFactory">
 <property name="connectionURL" value="jdbc:hsqldb:hsql://localhost:9001"/>
 cproperty name="driverName" value="org.hsqldb.jdbcDriver"/>
</bean>
<bean id="targetConnectionFactory"</pre>
   class="org.springframework.jca.support.LocalConnectionFactoryBean">
 </bean>
<bean id="connectionFactory"</pre>
   class="org.springframework.jca.cci.connection.ConnectionSpecConnectionFactoryAdapter">
 connectionSpec">
   <bean class="com.sun.connector.cciblackbox.CciConnectionSpec">
    cproperty name="user" value="sa"/>
    cproperty name="password" value=""/>
   </bean>
 </property>
</bean>
<bean id="component" class="MyDaoImpl">
 connectionFactory" ref="connectionFactory"/>
</bean>
```

In managed mode (that is, in a J2EE environment), the configuration could look as follows:

```
<bean id="targetConnectionFactory" class="org.springframework.jndi.JndiObjectFactoryBean">
 roperty name="jndiName" value="eis/blackbox"/>
</bean>
<bean id="connectionFactory"</pre>
   class="org.springframework.jca.cci.connection.ConnectionSpecConnectionFactoryAdapter">
 cproperty name="targetConnectionFactory" ref="targetConnectionFactory"/>
 cproperty name="connectionSpec">
   <bean class="com.sun.connector.cciblackbox.CciConnectionSpec">
     cproperty name="user" value="sa"/>
      cproperty name="password" value=""/>
   </bean>
 </property>
</bean>
<bean id="component" class="MyDaoImpl">
 cproperty name="connectionFactory" ref="connectionFactory"/>
</bean>
```

### 21.4.6. Example for MappingCommAreaOperation usage

In this section, the usage of the MappingCommAreaOperation will be shown: accessing a CICS with ECI mode with the IBM CICS ECI connector.

Firstly, the CCI InteractionSpec needs to be initialized to specify which CICS program to access and how to interact with it.

```
public abstract class EciMappingOperation extends MappingCommAreaOperation {
   public EciMappingOperation(ConnectionFactory connectionFactory, String programName) {
        setConnectionFactory(connectionFactory);
        ECIInteractionSpec interactionSpec = new ECIInteractionSpec(),
        interactionSpec.setFunctionName(programName);
        interactionSpec.setFunctionVerb(ECIInteractionSpec.SYNC_SEND_RECEIVE);
        interactionSpec.setCommareaLength(30);
        setInteractionSpec(interactionSpec);
        setOutputRecordCreator(new EciOutputRecordCreator());
   }
   private static class EciOutputRecordCreator implements RecordCreator {
        public Record createRecord(RecordFactory recordFactory) throws ResourceException {
            return new CommAreaRecord();
        }
    }
}
```

The abstract EciMappingOperation class can then be subclassed to specify mappings between custom objects and Records.

```
public class MyDaoImpl extends CciDaoSupport implements MyDao {
   public OutputObject getData(Integer id) {
        EciMappingOperation query = new EciMappingOperation(getConnectionFactory(), "MYPROG") {
        protected abstract byte[] objectToBytes(Object inObject) throws IOException {
            Integer id = (Integer) inObject;
            return String.valueOf(id);
        }
        protected abstract Object bytesToObject(byte[] bytes) throws IOException;
        String str = new String(bytes);
        String field1 = str.substring(0,6);
        String field2 = str.substring(6,1);
        String field3 = str.substring(7,1);
        return new OutputObject(field1, field2, field3);
        }
    });
    return (OutputObject) query.execute(new Integer(id));
}
```

The corresponding configuration of Spring beans could look as follows in non-managed mode:

In managed mode (that is, in a J2EE environment), the configuration could look as follows:

### 21.5. Transactions

JCA specifies several levels of transaction support for resource adapters. The kind of transactions that your resource adapter supports is specified in its ra.xml file. There are essentially three options: none (for example with CICS EPI connector), local transactions (for example with a CICS ECI connector), global transactions (for example with an IMS connector).

For global transactions, you can use Spring's generic transaction infrastructure to demarcate transactions, with JtaTransactionManager as backend (delegating to the J2EE server's distributed transaction coordinator underneath).

For local transactions on a single CCI connectionFactory, Spring provides a specific transaction management strategy for CCI, analogous to the DataSourceTransactionManager for JDBC. The CCI API defines a local transaction object and corresponding local transaction demarcation methods. Spring's CcilocalTransactionManager executes such local CCI transactions, fully compliant with Spring's generic PlatformTransactionManager abstraction.

</bean>

Both transaction strategies can be used with any of Spring's transaction demarcation facilities, be it declarative or programmatic. This is a consequence of Spring's generic PlatformTransactionManager abstraction, which decouples transaction demarcation from the actual execution strategy. Simply switch between JtaTransactionManager and CcilocalTransactionManager as needed, keeping your transaction demarcation as-is.

For more information on Spring's transaction facilities, see the chapter entitled Chapter 9, *Transaction management*.

# Chapter 22. Email

### 22.1. Introduction

#### Library dependencies

The following additional jars to be on the classpath of your application in order to be able to use the Spring Framework's email library.

- The <u>JavaMail</u> mail.jar library
- The JAF activation. jar library

All of these libraries are available in the Spring-with-dependencies distribution of the Spring Framework (in addition to also being freely available on the web).

The Spring Framework provides a helpful utility library for sending email that shields the user from the specifics of the underlying mailing system and is responsible for low level resource handling on behalf of the client.

The org.springframework.mail package is the root level package for the Spring Framework's email support. The central interface for sending emails is the MailSender interface; a simple value object encapsulating the properties of a simple mail such as *from* and *to* (plus many others) is the SimpleMailMessage class. This package also contains a hierarchy of checked exceptions which provide a higher level of abstraction over the lower level mail system exceptions with the root exception being MailException. Please refer to the Javadocs for more information on the rich mail exception hierarchy.

The org.springframework.mail.javamail.JavaMailSender interface adds specialized *JavaMail* features such as MIME message support to the MailSender interface (from which it inherits). JavaMailSender also provides a callback interface for preparation of JavaMail MIME messages, called org.springframework.mail.javamail.MimeMessagePreparator

# 22.2. Usage

Let's assume there is a business interface called OrderManager:

```
public interface OrderManager {
    void placeOrder(Order order);
}
```

Let us also assume that there is a requirement stating that an email message with an order number needs to be generated and sent to a customer placing the relevant order.

### 22.2.1. Basic MailSender and SimpleMailMessage usage

```
import org.springframework.mail.MailException;
import org.springframework.mail.MailSender;
import org.springframework.mail.SimpleMailMessage;
```

```
public class SimpleOrderManager implements OrderManager {
    private MailSender mailSender;
    private SimpleMailMessage templateMessage;
    public void setMailSender(MailSender mailSender) {
        this.mailSender = mailSender;
    public void setTemplateMessage(SimpleMailMessage templateMessage) {
        this.templateMessage = templateMessage;
    public void placeOrder(Order order) {
        // Do the business calculations...
        // Call the collaborators to persist the order...
        // Create a thread safe "copy" of the template message and customize it
        SimpleMailMessage msg = new SimpleMailMessage(this.templateMessage);
        msg.setTo(order.getCustomer().getEmailAddress());
        msg.setText(
            "Dear " + order.getCustomer().getFirstName()
                + order.getCustomer().getLastName()
                + ", thank you for placing order. Your order number is "
                + order.getOrderNumber());
            this.mailSender.send(msg);
        catch(MailException ex) {
            // simply log it and go on...
            System.err.println(ex.getMessage());
    }
}
```

Find below the bean definitions for the above code:

## 22.2.2. Using the JavaMailSender and the MimeMessagePreparator

Here is another implementation of OrderManager using the MimeMessagePreparator callback interface. Please note in this case that the mailSender property is of type JavaMailSender so that we are able to use the JavaMail MimeMessage class:

```
import javax.mail.Message;
import javax.mail.MessagingException;
import javax.mail.internet.InternetAddress;
import javax.mail.internet.MimeMessage;
import javax.mail.internet.MimeMessage;
import org.springframework.mail.MailException;
import org.springframework.mail.javamail.JavaMailSender;
import org.springframework.mail.javamail.MimeMessagePreparator;
public class SimpleOrderManager implements OrderManager {
```

```
private JavaMailSender mailSender;
public void setMailSender(JavaMailSender mailSender) {
    this.mailSender = mailSender;
public void placeOrder(final Order order) {
    // Do the business calculations...
    // Call the collaborators to persist the order...
    MimeMessagePreparator preparator = new MimeMessagePreparator() {
        public void prepare(MimeMessage mimeMessage) throws Exception {
            mimeMessage.setRecipient(Message.RecipientType.TO,
                    new InternetAddress(order.getCustomer().getEmailAddress()));
            mimeMessage.setFrom(new InternetAddress("mail@mycompany.com"));
            mimeMessage.setText(
                "Dear " + order.getCustomer().getFirstName() + " "
                   + order.getCustomer().getLastName()
                    + ", thank you for placing order. Your order number is " \,
                    + order.getOrderNumber());
    };
    try {
        this.mailSender.send(preparator);
    catch (MailException ex) {
        // simply log it and go on...
       System.err.println(ex.getMessage());
}
```



#### Note

The mail code is a crosscutting concern and could well be a candidate for refactoring into a <u>custom Spring AOP aspect</u>, which then could be executed at appropriate joinpoints on the <code>OrderManager</code> target.

The Spring Framework's mail support ships with two MailSender implementations. The standard JavaMail implementation and the implementation on top of Jason Hunter's MailMessage class that is included in <a href="mailto:thecom.oreilly.servlet-package">thecom.oreilly.servlet-package</a>. Please refer to the relevant Javadocs for more information.

# 22.3. Using the JavaMail MimeMessageHelper

A class that comes in pretty handy when dealing with JavaMail messages is the org.springframework.mail.javamail.MimeMessageHelper class, which shields you from having to use the verbose JavaMail API. Using the MimeMessageHelper it is pretty easy to create a MimeMessage:

```
// of course you would use DI in any real-world cases
JavaMailSenderImpl sender = new JavaMailSenderImpl();
sender.setHost("mail.host.com");

MimeMessage message = sender.createMimeMessage();
MimeMessageHelper helper = new MimeMessageHelper(message);
helper.setTo("test@host.com");
helper.setText("Thank you for ordering!");
sender.send(message);
```

### 22.3.1. Sending attachments and inline resources

Multipart email messages allow for both attachments and inline resources. Examples of inline resources would be be images or a stylesheet you want to use in your message, but that you don't want displayed as an attachment.

#### 22.3.1.1. Attachments

The following example shows you how to use the MimeMessageHelper to send an email along with a single JPEG image attachment.

```
JavaMailSenderImpl sender = new JavaMailSenderImpl();
sender.setHost("mail.host.com");

MimeMessage message = sender.createMimeMessage();

// use the true flag to indicate you need a multipart message
MimeMessageHelper helper = new MimeMessageHelper(message, true);
helper.setTo("test@host.com");

helper.setText("Check out this image!");

// let's attach the infamous windows Sample file (this time copied to c:/)
FileSystemResource file = new FileSystemResource(new File("c:/Sample.jpg"));
helper.addAttachment("CoolImage.jpg", file);
sender.send(message);
```

#### 22.3.1.2. Inline resources

The following example shows you how to use the MimeMessageHelper to send an email along with an inline image.

```
JavaMailSenderImpl sender = new JavaMailSenderImpl();
sender.setHost("mail.host.com");

MimeMessage message = sender.createMimeMessage();

// use the true flag to indicate you need a multipart message
MimeMessageHelper helper = new MimeMessageHelper(message, true);
helper.setTo("test@host.com");

// use the true flag to indicate the text included is HTML
helper.setText("<html><body><img src='cid:identifier1234'></body></html>", true);

// let's include the infamous windows Sample file (this time copied to c:/)
FileSystemResource res = new FileSystemResource(new File("c:/Sample.jpg"));
helper.addInline("identifier1234", res);
sender.send(message);
```

### Warning

Inline resources are added to the mime message using the specified <code>content-ID</code> (identifier1234 in the above example). The order in which you are adding the text and the resource are **very** important. Be sure to *first add the text* and after that the resources. If you are doing it the other way around, it won't work!

# 22.3.2. Creating email content using a templating library

The code in the previous examples explicitly has been creating the content of the email message, using methods calls such as message.setText(..). This is fine for simple cases, and it is okay in the context of the aforementioned examples, where the intent was to show you the very basics of the API.

In your typical enterprise application though, you are not going to create the content of your emails using the above approach for a number of reasons.

- Creating HTML-based email content in Java code is tedious and error prone
- There is no clear separation between display logic and business logic
- Changing the display structure of the email content requires writing Java code, recompiling, redeploying...

Typically the approach taken to address these issues is to use a template library such as FreeMarker or Velocity to define the display structure of email content. This leaves your code tasked only with creating the data that is to be rendered in the email template and sending the email. It is definitely a best practice for when the content of your emails becomes even moderately complex, and with the Spring Framework's support classes for FreeMarker and Velocity becomes quite easy to do. Find below an example of using the Velocity template library to create email content.

#### 22.3.2.1. A Velocity-based example

To use <u>Velocity</u> to create your email template(s), you will need to have the Velocity libraries available on your classpath. You will also need to create one or more Velocity templates for the email content that your application needs. Find below the Velocity template that this example will be using... as you can see it is HTML-based, and since it is plain text it can be created using your favorite HTML editor without recourse to having to know Java.

Find below some simple code and Spring XML configuration that makes use of the above Velocity template to create email content and send email(s).

```
package com.foo;
import org.apache.velocity.app.VelocityEngine;
import org.springframework.mail.javamail.JavaMailSender;
import org.springframework.mail.javamail.MimeMessageHelper;
import org.springframework.mail.javamail.MimeMessagePreparator;
import org.springframework.ui.velocity.VelocityEngineUtils;
import javax.mail.internet.MimeMessage;
import javax.util.HashMap;
import java.util.Map;

public class SimpleRegistrationService implements RegistrationService {
    private JavaMailSender mailSender;
    private VelocityEngine velocityEngine;
    public void setMailSender(JavaMailSender mailSender) {
```

```
this.mailSender = mailSender;
  public void setVelocityEngine(VelocityEngine velocityEngine) {
     this.velocityEngine = velocityEngine;
  public void register(User user) {
     // Do the registration logic...
     sendConfirmationEmail(user);
  private void sendConfirmationEmail(final User user) {
     MimeMessagePreparator preparator = new MimeMessagePreparator() {
        public void prepare(MimeMessage mimeMessage) throws Exception {
           MimeMessageHelper message = new MimeMessageHelper(mimeMessage);
            message.setTo(user.getEmailAddress());
           message.setFrom("webmaster@csonth.gov.uk"); // could be parameterized...
           Map model = new HashMap();
           model.put("user", user);
           String text = VelocityEngineUtils.mergeTemplateIntoString(
               velocityEngine, "com/dns/registration-confirmation.vm", model);
           message.setText(text, true);
     this.mailSender.send(preparator);
  }
}
```

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"</pre>
      xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:schemaLocation="http://www.springframework.org/schema/beans
  http://www.springframework.org/schema/beans/spring-beans-2.5.xsd">
   <bean id="mailSender" class="org.springframework.mail.javamail.JavaMailSenderImpl">
      roperty name="host" value="mail.csonth.gov.uk"/>
   </bean>
   <bean id="registrationService" class="com.foo.SimpleRegistrationService">
      roperty name="mailSender" ref="mailSender"/>
      cproperty name="velocityEngine" ref="velocityEngine"/>
   <bean id="velocityEngine" class="org.springframework.ui.velocity.VelocityEngineFactoryBean">
      property name="velocityProperties">
         <value>
           resource.loader=class
           class.resource.loader.class=org.apache.velocity.runtime.resource.loader.ClasspathResourceLoader
         </value>
      </property>
   </bean>
</beans>
```

# Chapter 23. Scheduling and Thread Pooling

### 23.1. Introduction

The Spring Framework features integration classes for scheduling support. Currently, Spring supports the Timer, part of the JDK since 1.3, and the Quartz Scheduler (<a href="http://www.opensymphony.com/quartz/">http://www.opensymphony.com/quartz/</a>). Both schedulers are set up using a FactoryBean with optional references to Timer or Trigger instances, respectively. Furthermore, a convenience class for both the Quartz Scheduler and the Timer is available that allows you to invoke a method of an existing target object (analogous to the normal MethodInvokingFactoryBean operation). Spring also features classes for thread pooling that abstract away differences between Java SE 1.4, Java SE 5 and Java EE environments.

# 23.2. Using the OpenSymphony Quartz Scheduler

Quartz uses Trigger, Job and JobDetail objects to realize scheduling of all kinds of jobs. For the basic concepts behind Quartz, have a look at <a href="http://www.opensymphony.com/quartz">http://www.opensymphony.com/quartz</a>. For convenience purposes, Spring offers a couple of classes that simplify the usage of Quartz within Spring-based applications.

### 23.2.1. Using the JobDetailBean

JobDetail objects contain all information needed to run a job. The Spring Framework provides a JobDetailBean that makes the JobDetail more of an actual JavaBean with sensible defaults. Let's have a look at an example:

The job detail bean has all information it needs to run the job (ExampleJob). The timeout is specified in the job data map. The job data map is available through the JobExecutionContext (passed to you at execution time), but the JobDetailBean also maps the properties from the job data map to properties of the actual job. So in this case, if the ExampleJob contains a property named timeout, the JobDetailBean will automatically apply it:

```
package example;
public class ExampleJob extends QuartzJobBean {
   private int timeout;

   /**
   * Setter called after the ExampleJob is instantiated
   * with the value from the JobDetailBean (5)
   */
   public void setTimeout(int timeout) {
     this.timeout = timeout;
   }

   protected void executeInternal(JobExecutionContext ctx) throws JobExecutionException {
        // do the actual work
   }
}
```

All additional settings from the job detail bean are of course available to you as well.

Note: Using the name and group properties, you can modify the name and the group of the job, respectively. By default, the name of the job matches the bean name of the job detail bean (in the example above, this is exampleJob).

### 23.2.2. Using the MethodInvokingJobDetailFactoryBean

Often you just need to invoke a method on a specific object. Using the MethodInvokingJobDetailFactoryBean you can do exactly this:

The above example will result in the doIt method being called on the exampleBusinessObject method (see below):

```
public class ExampleBusinessObject {
    // properties and collaborators

   public void doIt() {
        // do the actual work
    }
}
```

```
<bean id="exampleBusinessObject" class="examples.ExampleBusinessObject"/>
```

Using the MethodInvokingJobDetailFactoryBean, you don't need to create one-line jobs that just invoke a method, and you only need to create the actual business object and wire up the detail object.

By default, Quartz Jobs are stateless, resulting in the possibility of jobs interfering with each other. If you specify two triggers for the same JobDetail, it might be possible that before the first job has finished, the second one will start. If JobDetail classes implement the Stateful interface, this won't happen. The second job will not start before the first one has finished. To make jobs resulting from the MethodInvokingJobDetailFactoryBean non-concurrent, set the concurrent flag to false.



#### **Note**

By default, jobs will run in a concurrent fashion.

## 23.2.3. Wiring up jobs using triggers and the SchedulerFactoryBean

We've created job details and jobs. We've also reviewed the convenience bean that allows to you invoke a method on a specific object. Of course, we still need to schedule the jobs themselves. This is done using

triggers and a SchedulerFactoryBean. Several triggers are available within Quartz. Spring offers two subclassed triggers with convenient defaults: CronTriggerBean and SimpleTriggerBean.

Triggers need to be scheduled. Spring offers a SchedulerFactoryBean that exposes triggers to be set as properties. SchedulerFactoryBean schedules the actual jobs with those triggers.

Find below a couple of examples:

Now we've set up two triggers, one running every 50 seconds with a starting delay of 10 seconds and one every morning at 6 AM. To finalize everything, we need to set up the SchedulerFactoryBean:

More properties are available for the SchedulerFactoryBean for you to set, such as the calendars used by the job details, properties to customize Quartz with, etc. Have a look at the <u>SchedulerFactoryBean Javadoc</u> for more information.

# 23.3. Using JDK Timer support

The other way to schedule jobs in Spring is to use JDK Timer objects. You can create custom timers or use the timer that invokes methods. Wiring timers is done using the TimerFactoryBean.

# 23.3.1. Creating custom timers

Using the TimerTask you can create customer timer tasks, similar to Quartz jobs:

```
public class CheckEmailAddresses extends TimerTask {
   private List emailAddresses;

public void setEmailAddresses(List emailAddresses) {
    this.emailAddresses = emailAddresses;
   }

public void run() {
    // iterate over all email addresses and archive them
   }
}
```

### Wiring it up is simple:

```
<bean id="checkEmail" class="examples.CheckEmailAddress">
   cproperty name="emailAddresses">
        st>
           <value>test@springframework.org</value>
            <value>foo@bar.com</value>
            <value>john@doe.net</value>
        </list>
   </property>
</bean>
<bean id="scheduledTask" class="org.springframework.scheduling.timer.ScheduledTimerTask">
   <!-- wait 10 seconds before starting repeated execution -->
   cproperty name="delay" value="10000" />
   <!-- run every 50 seconds -->
   cproperty name="period" value="50000" />
   cproperty name="timerTask" ref="checkEmail" />
</bean>
```

Note that letting the task only run once can be done by changing the period property to 0 (or a negative value).

### 23.3.2. Using the MethodInvokingTimerTaskFactoryBean

Similar to the Quartz support, the Timer support also features a component that allows you to periodically invoke a method:

The above example will result in the dolt method being called on the exampleBusinessObject (see below):

```
public class BusinessObject {
    // properties and collaborators
    public void doIt() {
        // do the actual work
    }
}
```

Changing the timerTask reference of the ScheduledTimerTask example to the bean doIt will result in the doIt method being executed on a fixed schedule.

### 23.3.3. Wrapping up: setting up the tasks using the TimerFactoryBean

The TimerFactoryBean is similar to the Quartz SchedulerFactoryBean in that it serves the same purpose: setting up the actual scheduling. The TimerFactoryBean sets up an actual Timer and schedules the tasks it has references to. You can specify whether or not daemon threads should be used.

# 23.4. The Spring TaskExecutor abstraction

# 23.4. The Spring TaskExecutor abstraction

Spring 2.0 introduces a new abstraction for dealing with executors. Executors are the Java 5 name for the concept of thread pools. The "executor" naming is due to the fact that there is no guarantee that the underlying implementation is actually a pool; an executor may be single-threaded or even synchronous. Spring's abstraction hides implementation details between Java SE 1.4, Java SE 5 and Java EE environments.

Spring's TaskExecutor interface is identical to the java.util.concurrent.Executor interface. In fact, its primary reason for existence is to abstract away the need for Java 5 when using thread pools. The interface has a single method execute(Runnable task) that accepts a task for execution based on the semantics and configuration of the thread pool.

The TaskExecutor was originally created to give other Spring components an abstraction for thread pooling where needed. Components such as the ApplicationEventMulticaster, JMS's AbstractMessageListenerContainer, and Quartz integration all use the TaskExecutor abstraction to pool threads. However, if your beans need thread pooling behavior, it is possible to use this abstraction for your own needs.

### 23.4.1. TaskExecutor types

There are a number of pre-built implementations of TaskExecutor included with the Spring distribution. In all likelihood, you shouldn't ever need to implement your own.

• SimpleAsyncTaskExecutor

This implementation does not reuse any threads, rather it starts up a new thread for each invocation. However, it does support a concurrency limit which will block any invocations that are over the limit until a slot has been freed up. If you're looking for true pooling, keep scrolling further down the page.

• SyncTaskExecutor

This implementation doesn't execute invocations asynchronously. Instead, each invocation takes place in the calling thread. It is primarily used in situations where mutlithreading isn't necessary such as simple test cases.

• ConcurrentTaskExecutor

This implementation is a wrapper for a Java 5 java.util.concurrent.Executor. There is an alternative, ThreadPoolTaskExecutor, that exposes the Executor configuration parameters as bean properties. It is rare to need to use the ConcurrentTaskExecutor but if the <a href="https://documentTaskExecutor">https://documentTaskExecutor</a> but if the <a href="https://documentTaskExecutor">https://documentTaskExecutor</a> is an alternative.

SimpleThreadPoolTaskExecutor

This implementation is actually a subclass of Quartz's SimpleThreadPool which listens to Spring's lifecycle callbacks. This is typically used when you have a threadpool that may need to be shared by both Quartz and non-Quartz components.

ThreadPoolTaskExecutor

It is not possible to use any backport or alternate versions of the <code>java.util.concurrent</code> package with this implementation. Both Doug Lea's and Dawid Kurzyniec's implementations use different package structures which will prevent them from working correctly.

This implementation can only be used in a Java 5 environment but is also the most commonly used one in that environment. It exposes bean properties for configuring a java.util.concurrent.ThreadPoolExecutor and wraps it in a TaskExecutor. If you need something advanced such as a ScheduledThreadPoolExecutor, it is recommended that you use a ConcurrentTaskExecutor instead.

• TimerTaskExecutor

This implementation uses a single TimerTask as its backing implementation. It's different from the SyncTaskExecutor in that the method invocations are executed in a separate thread, although they are synchronous in that thread.

WorkManagerTaskExecutor

CommonJ is a set of specifications jointly developed between BEA and IBM. These specifications are not Java EE standards, but are standard across BEA's and IBM's Application Server implementations.

This implementation uses the CommonJ WorkManager as its backing implementation and is the central convenience class for setting up a CommonJ WorkManager reference in a Spring context. Similar to the <a href="SimpleThreadPoolTaskExecutor">Simplements</a> this class implements the WorkManager interface and therefore can be used directly as a WorkManager as well.

### 23.4.2. Using a TaskExecutor

Spring's TaskExecutor implementations are used as simple JavaBeans. In the example below, we define a bean that uses the ThreadPoolTaskExecutor to asynchronously print out a set of messages.

```
import org.springframework.core.task.TaskExecutor;

public class TaskExecutorExample {
    private class MessagePrinterTask implements Runnable {
        private String message;
        public MessagePrinterTask(String message) {
            this.message = message;
        }
        public void run() {
            System.out.println(message);
        }
    }
    private TaskExecutor taskExecutor;
    public TaskExecutorExample(TaskExecutor taskExecutor) {
        this.taskExecutor = taskExecutor;
    }
    public void printMessages() {
        for(int i = 0; i < 25; i++) {
            taskExecutor.execute(new MessagePrinterTask("Message" + i));
    }
}</pre>
```

```
}
}
```

As you can see, rather than retrieving a thread from the pool and executing yourself, you add your Runnable to the queue and the TaskExecutor uses its internal rules to decide when the task gets executed.

To configure the rules that the TaskExecutor will use, simple bean properties have been exposed.

# Chapter 24. Dynamic language support

#### 24.1. Introduction

#### Why only these languages?

The supported languages were chosen because a) the languages have a lot of traction in the Java enterprise community, b) no requests were made for other languages within the Spring 2.0 development timeframe, and c) the Spring developers were most familiar with them.

There is nothing stopping the inclusion of further languages though. If you want to see support for *<insert* your favourite dynamic language here>, you can always raise an issue on Spring's <u>JIRA</u> page (or implement such support yourself).

Spring 2.0 introduces comprehensive support for using classes and objects that have been defined using a dynamic language (such as JRuby) with Spring. This support allows you to write any number of classes in a supported dynamic language, and have the Spring container transparently instantiate, configure and dependency inject the resulting objects.

The dynamic languages currently supported are:

- JRuby 0.9 / 1.0
- Groovy 1.0 / 1.5
- BeanShell 2.0

Fully working examples of where this dynamic language support can be immediately useful are described in the section entitled Section 24.4, "Scenarios".

*Note:* Only the specific versions as listed above are supported in Spring 2.5. In particular, JRuby 1.1 (which introduced many incompatible API changes) is *not* supported at this point of time.

# 24.2. A first example

This bulk of this chapter is concerned with describing the dynamic language support in detail. Before diving into all of the ins and outs of the dynamic language support, let's look at a quick example of a bean defined in a dynamic language. The dynamic language for this first bean is Groovy (the basis of this example was taken from the Spring test suite, so if you want to see equivalent examples in any of the other supported languages, take a look at the source code).

Find below the Messenger interface that the Groovy bean is going to be implementing, and note that this interface is defined in plain Java. Dependent objects that are injected with a reference to the Messenger won't know that the underlying implementation is a Groovy script.

```
package org.springframework.scripting;
public interface Messenger {
    String getMessage();
}
```

Here is the definition of a class that has a dependency on the Messenger interface.

```
package org.springframework.scripting;

public class DefaultBookingService implements BookingService {
    private Messenger messenger;

    public void setMessenger(Messenger messenger) {
        this.messenger = messenger;
    }

    public void processBooking() {
        // use the injected Messenger object...
    }
}
```

Here is an implementation of the Messenger interface in Groovy.

```
// from the file 'Messenger.groovy'
package org.springframework.scripting.groovy;

// import the Messenger interface (written in Java) that is to be implemented import org.springframework.scripting.Messenger

// define the implementation in Groovy class GroovyMessenger implements Messenger {
    String message
}
```

Finally, here are the bean definitions that will effect the injection of the Groovy-defined Messenger implementation into an instance of the DefaultBookingService class.



#### Note

To use the custom dynamic language tags to define dynamic-language-backed beans, you need to have the XML Schema preamble at the top of your Spring XML configuration file. You also need to be using a Spring ApplicationContext implementation as your IoC container. Using the dynamic-language-backed beans with a plain BeanFactory implementation is supported, but you have to manage the plumbing of the Spring internals to do so.

For more information on schema-based configuration, see Appendix A, XML Schema-based configuration.

The bookingService bean (a DefaultBookingService) can now use its private messenger member variable as normal because the Messenger instance that was injected into it *is* a Messenger instance. There is nothing special going on here, just plain Java and plain Groovy.

Hopefully the above XML snippet is self-explanatory, but don't worry unduly if it isn't. Keep reading for the in-depth detail on the whys and wherefores of the above configuration.

# 24.3. Defining beans that are backed by dynamic languages

This section describes exactly how you define Spring managed beans in any of the supported dynamic languages.

Please note that this chapter does not attempt to explain the syntax and idioms of the supported dynamic languages. For example, if you want to use Groovy to write certain of the classes in your application, then the assumption is that you already know Groovy. If you need further details about the dynamic languages themselves, please consult the section entitled Section 24.6, "Further Resources" at the end of this chapter.

#### 24.3.1. Common concepts

The steps involved in using dynamic-language-backed beans are as follows:

- 1. Write the test for the dynamic language source code (naturally)
- 2. Then write the dynamic language source code itself:)
- 3. Define your dynamic-language-backed beans using the appropriate <lang:language/> element in the XML configuration (you can of course define such beans programmatically using the Spring API although you will have to consult the source code for directions on how to do this as this type of advanced configuration is not covered in this chapter). Note this is an iterative step. You will need at least one bean definition per dynamic language source file (although the same dynamic language source file can of course be referenced by multiple bean definitions).

The first two steps (testing and writing your dynamic language source files) are beyond the scope of this chapter. Refer to the language specification and / or reference manual for your chosen dynamic language and crack on with developing your dynamic language source files. You will first want to read the rest of this chapter though, as Spring's dynamic language support does make some (small) assumptions about the contents of your dynamic language source files.

#### 24.3.1.1. The <lang:language/> element

#### **XML Schema**

All of the configuration examples in this chapter make use of the new XML Schema support that was added in Spring 2.0.

It is possible to forego the use of XML Schema and stick with the old-style DTD based validation of your Spring XML files, but then you lose out on the convenience offered by the <lang:language/> element. See the Spring test suite for examples of the older style configuration that doesn't require XML Schema-based validation (it is quite verbose and doesn't hide any of the underlying Spring implementation from you).

The final step involves defining dynamic-language-backed bean definitions, one for each bean that you want to configure (this is no different to normal Java bean configuration). However, instead of specifying the fully qualified classname of the class that is to be instantiated and configured by the container, you use the <lamg:language/> element to define the dynamic language-backed bean.

Each of the supported languages has a corresponding <lang:language/> element:

- <lang:jruby/>(JRuby)
- <lang:groovy/> (Groovy)
- <lang:bsh/> (BeanShell)

The exact attributes and child elements that are available for configuration depends on exactly which language the bean has been defined in (the language-specific sections below provide the full lowdown on this).

#### 24.3.1.2. Refreshable beans

One of the (if not *the*) most compelling value adds of the dynamic language support in Spring is the 'refreshable bean' feature.

A refreshable bean is a dynamic-language-backed bean that with a small amount of configuration, a dynamic-language-backed bean can monitor changes in its underlying source file resource, and then reload itself when the dynamic language source file is changed (for example when a developer edits and saves changes to the file on the filesystem).

This allows a developer to deploy any number of dynamic language source files as part of an application, configure the Spring container to create beans backed by dynamic language source files (using the mechanisms described in this chapter), and then later, as requirements change or some other external factor comes into play, simply edit a dynamic language source file and have any change they make reflected in the bean that is backed by the changed dynamic language source file. There is no need to shut down a running application (or redeploy in the case of a web application). The dynamic-language-backed bean so amended will pick up the new state and logic from the changed dynamic language source file.



#### Note

Please note that this feature is *off* by default.

Let's take a look at an example to see just how easy it is to start using refreshable beans. To *turn on* the refreshable beans feature, you simply have to specify exactly *one* additional attribute on the <lang:language/> element of your bean definition. So if we stick with the example from earlier in this chapter, here's what we would change in the Spring XML configuration to effect refreshable beans:

That really is all you have to do. The 'refresh-check-delay' attribute defined on the 'messenger' bean definition is the number of milliseconds after which the bean will be refreshed with any changes made to the underlying dynamic language source file. You can turn off the refresh behavior by assigning a negative value to the 'refresh-check-delay' attribute. Remember that, by default, the refresh behavior is disabled. If you don't want the refresh behavior, then simply don't define the attribute.

If we then run the following application we can exercise the refreshable feature; please do excuse the 'jumping-through-hoops-to-pause-the-execution' shenanigans in this next slice of code. The <code>system.in.read()</code> call is only there so that the execution of the program pauses while I (the author) go off and edit the underlying dynamic language source file so that the refresh will trigger on the dynamic-language-backed bean when the program resumes execution.

```
import org.springframework.context.ApplicationContext;
import org.springframework.context.support.ClassPathXmlApplicationContext;
import org.springframework.scripting.Messenger;

public final class Boot {

    public static void main(final String[] args) throws Exception {

        ApplicationContext ctx = new ClassPathXmlApplicationContext("beans.xml");
        Messenger messenger = (Messenger) ctx.getBean("messenger");
        System.out.println(messenger.getMessage());
        // pause execution while I go off and make changes to the source file...
        System.in.read();
        System.out.println(messenger.getMessage());
    }
}
```

Let's assume then, for the purposes of this example, that all calls to the <code>getMessage()</code> method of <code>Messenger</code> implementations have to be changed such that the message is surrounded by quotes. Below are the changes that I (the author) make to the <code>Messenger.groovy</code> source file when the execution of the program is paused.

```
package org.springframework.scripting

class GroovyMessenger implements Messenger {
    private String message = "Bingo"

    public String getMessage() {
        // change the implementation to surround the message in quotes
        return "'" + this.message + "'"
    }

    public void setMessage(String message) {
        this.message = message
    }
}
```

When the program executes, the output before the input pause will be I Can Do The Frug. After the change to the source file is made and saved, and the program resumes execution, the result of calling the <code>getMessage()</code> method on the dynamic-language-backed <code>Messenger</code> implementation will be 'I Can Do The Frug' (notice the inclusion of the additional quotes).

It is important to understand that changes to a script will *not* trigger a refresh if the changes occur within the window of the 'refresh-check-delay' value. It is equally important to understand that changes to the script are *not* actually 'picked up' until a method is called on the dynamic-language-backed bean. It is only when a method is called on a dynamic-language-backed bean that it checks to see if its underlying script source has changed. Any exceptions relating to refreshing the script (such as encountering a compilation error, or finding that the script file has been deleted) will result in a *fatal* exception being propagated to the calling code.

The refreshable bean behavior described above does *not* apply to dynamic language source files defined using

the <lang:inline-script/> element notation (see the section entitled Section 24.3.1.3, "Inline dynamic language source files"). Additionally, it *only* applies to beans where changes to the underlying source file can actually be detected; for example, by code that checks the last modified date of a dynamic language source file that exists on the filesystem.

#### 24.3.1.3. Inline dynamic language source files

The dynamic language support can also cater for dynamic language source files that are embedded directly in Spring bean definitions. More specifically, the <lang:inline-script/> element allows you to define dynamic language source immediately inside a Spring configuration file. An example will perhaps make the inline script feature crystal clear:

If we put to one side the issues surrounding whether it is good practice to define dynamic language source inside a Spring configuration file, the <lang:inline-script/> element can be useful in some scenarios. For instance, we might want to quickly add a Spring Validator implementation to a Spring MVC controller. This is but a moment's work using inline source. (See the section entitled Section 24.4.2, "Scripted Validators" for such an example.)

Find below an example of defining the source for a JRuby-based bean directly in a Spring XML configuration file using the inline: notation. (Notice the use of the < characters to denote a '<' character. In such a case surrounding the inline source in a <![CDATA[]]> region might be better.)

# 24.3.1.4. Understanding Constructor Injection in the context of dynamic-language-backed beans

There is one *very* important thing to be aware of with regard to Spring's dynamic language support. Namely, it is not (currently) possible to supply constructor arguments to dynamic-language-backed beans (and hence constructor-injection is not available for dynamic-language-backed beans). In the interests of making this

special handling of constructors and properties 100% clear, the following mixture of code and configuration will *not* work.

```
// from the file 'Messenger.groovy'
package org.springframework.scripting.groovy;
import org.springframework.scripting.Messenger

class GroovyMessenger implements Messenger {
    GroovyMessenger() {}

    // this constructor is not available for Constructor Injection
    GroovyMessenger(String message) {
        this.message = message;
    }

    String message
    String anotherMessage
}
```

```
<lang:groovy id="badMessenger"
    script-source="classpath:Messenger.groovy">

    <!-- this next constructor argument will *not* be injected into the GroovyMessenger -->
    <!-- in fact, this isn't even allowed according to the schema -->
    <constructor-arg value="This will *not* work" />

    <!-- only property values are injected into the dynamic-language-backed object -->
    <lang:property name="anotherMessage" value="Passed straight through to the dynamic-language-backed object"

</lang>
```

In practice this limitation is not as significant as it first appears since setter injection is the injection style favored by the overwhelming majority of developers anyway (let's leave the discussion as to whether that is a good thing to another day).

# 24.3.2. JRuby beans

#### The JRuby library dependencies

The JRuby scripting support in Spring requires the following libraries to be on the classpath of your application. (The versions listed just happen to be the versions that the Spring team used in the development of the JRuby scripting support; you may well be able to use another version of a specific library.)

```
• jruby.jar
```

• cglib-nodep-2.1\_3.jar

From the JRuby homepage...

"JRuby is an 100% pure-Java implementation of the Ruby programming language."

In keeping with the Spring philosophy of offering choice, Spring's dynamic language support also supports beans defined in the JRuby language. The JRuby language is based on the quite intuitive Ruby language, and has support for inline regular expressions, blocks (closures), and a whole host of other features that do make solutions for some domain problems a whole lot easier to develop.

The implementation of the JRuby dynamic language support in Spring is interesting in that what happens is this: Spring creates a JDK dynamic proxy implementing all of the interfaces that are specified in the 'script-interfaces' attribute value of the <lang:ruby> element (this is why you *must* supply at least one interface in the value of the attribute, and (accordingly) program to interfaces when using JRuby-backed beans).

Let us look at a fully working example of using a JRuby-based bean. Here is the JRuby implementation of the Messenger interface that was defined earlier in this chapter (for your convenience it is repeated below).

```
package org.springframework.scripting;
public interface Messenger {
    String getMessage();
}
```

```
require 'java'
class RubyMessenger
  include org.springframework.scripting.Messenger

def setMessage(message)
    @@message = message
  end

def getMessage
    @@message
  end
end

# this last line is not essential (but see below)
RubyMessenger.new
```

And here is the Spring XML that defines an instance of the RubyMessenger JRuby bean.

Take note of the last line of that JRuby source ('RubyMessenger.new'). When using JRuby in the context of Spring's dynamic language support, you are encouraged to instantiate and return a new instance of the JRuby class that you want to use as a dynamic-language-backed bean as the result of the execution of your JRuby source. You can achieve this by simply instantiating a new instance of your JRuby class on the last line of the source file like so:

```
require 'java'
include_class 'org.springframework.scripting.Messenger'
# class definition same as above...
# instantiate and return a new instance of the RubyMessenger class
RubyMessenger.new
```

If you forget to do this, it is not the end of the world; this will however result in Spring having to trawl (reflectively) through the type representation of your JRuby class looking for a class to instantiate. In the grand scheme of things this will be so fast that you'll never notice it, but it is something that can be avoided by simply having a line such as the one above as the last line of your JRuby script. If you don't supply such a line, or if

Spring cannot find a JRuby class in your script to instantiate then an opaque ScriptCompilationException will be thrown immediately after the source is executed by the JRuby interpreter. The key text that identifies this as the root cause of an exception can be found immediately below (so if your Spring container throws the following exception when creating your dynamic-language-backed bean and the following text is there in the corresponding stacktrace, this will hopefully allow you to identify and then easily rectify the issue):

```
org.springframework.scripting.ScriptCompilationException: Compilation of JRuby script returned ''
```

To rectify this, simply instantiate a new instance of whichever class you want to expose as a JRuby-dynamic-language-backed bean (as shown above). Please also note that you can actually define as many classes and objects as you want in your JRuby script; what is important is that the source file as a whole must return an object (for Spring to configure).

See the section entitled Section 24.4, "Scenarios" for some scenarios where you might want to use JRuby-based beans.

# 24.3.3. Groovy beans

#### The Groovy library dependencies

The Groovy scripting support in Spring requires the following libraries to be on the classpath of your application.

```
• groovy-1.5.5.jar
```

- asm-2.2.2.jar
- antlr-2.7.6.jar

From the Groovy homepage...

"Groovy is an agile dynamic language for the Java 2 Platform that has many of the features that people like so much in languages like Python, Ruby and Smalltalk, making them available to Java developers using a Java-like syntax."

If you have read this chapter straight from the top, you will already have <u>seen an example</u> of a Groovy-dynamic-language-backed bean. Let's look at another example (again using an example from the Spring test suite).

```
package org.springframework.scripting;
public interface Calculator {
   int add(int x, int y);
}
```

Here is an implementation of the Calculator interface in Groovy.

```
// from the file 'calculator.groovy'
package org.springframework.scripting.groovy

class GroovyCalculator implements Calculator {
   int add(int x, int y) {
        x + y
   }
}
```

```
}
```

Lastly, here is a small application to exercise the above configuration.

```
package org.springframework.scripting;
import org.springframework.context.ApplicationContext;
import org.springframework.context.support.ClassPathXmlApplicationContext;

public class Main {
    public static void Main(String[] args) {
        ApplicationContext ctx = new ClassPathXmlApplicationContext("beans.xml");
        Calculator calc = (Calculator) ctx.getBean("calculator");
        System.out.println(calc.add(2, 8));
    }
}
```

The resulting output from running the above program will be (unsurprisingly) 10. (Exciting example, huh? Remember that the intent is to illustrate the concept. Please consult the dynamic language showcase project for a more complex example, or indeed the section entitled Section 24.4, "Scenarios" later in this chapter).

It is important that you *do not* define more than one class per Groovy source file. While this is perfectly legal in Groovy, it is (arguably) a bad practice: in the interests of a consistent approach, you should (in the opinion of this author) respect the standard Java conventions of one (public) class per source file.

#### 24.3.3.1. Customising Groovy objects via a callback

The GroovyObjectCustomizer interface is a callback that allows you to hook additional creation logic into the process of creating a Groovy-backed bean. For example, implementations of this interface could invoke any required initialization method(s), or set some default property values, or specify a custom MetaClass.

```
public interface GroovyObjectCustomizer {
    void customize(GroovyObject goo);
}
```

The Spring Framework will instantiate an instance of your Groovy-backed bean, and will then pass the created GroovyObject to the specified GroovyObjectCustomizer if one has been defined. You can do whatever you like with the supplied GroovyObject reference: it is expected that the setting of a custom MetaClass is what most folks will want to do with this callback, and you can see an example of doing that below.

```
public final class SimpleMethodTracingCustomizer implements GroovyObjectCustomizer {
   public void customize(GroovyObject goo) {
        DelegatingMetaClass metaClass = new DelegatingMetaClass(goo.getMetaClass()) {
            public Object invokeMethod(Object object, String methodName, Object[] arguments) {
                System.out.println("Invoking '" + methodName + "'.");
                return super.invokeMethod(object, methodName, arguments);
            }
        };
        metaClass.initialize();
        goo.setMetaClass(metaClass);
    }
}
```

A full discussion of meta-programming in Groovy is beyond the scope of the Spring reference manual. Consult the relevant section of the Groovy reference manual, or do a search online: there are plenty of articles concerning this topic. Actually making use of a GroovyObjectCustomizer is easy if you are using the Spring 2.0 namespace support.

If you are not using the Spring 2.0 namespace support, you can still use the GroovyObjectCustomizer functionality.

#### 24.3.4. BeanShell beans

#### The BeanShell library dependencies

The BeanShell scripting support in Spring requires the following libraries to be on the classpath of your application.

```
• bsh-2.0b4.jar
```

• cglib-nodep-2.1\_3.jar

All of these libraries are available in the Spring-with-dependencies distribution of Spring (in addition to also being freely available on the web).

From the BeanShell homepage...

"BeanShell is a small, free, embeddable Java source interpreter with dynamic language features, written in Java. BeanShell dynamically executes standard Java syntax and extends it with common scripting conveniences such as loose types, commands, and method closures like those in Perl and JavaScript."

In contrast to Groovy, BeanShell-backed bean definitions require some (small) additional configuration. The implementation of the BeanShell dynamic language support in Spring is interesting in that what happens is this: Spring creates a JDK dynamic proxy implementing all of the interfaces that are specified in the 'script-interfaces' attribute value of the <lang:bsh> element (this is why you *must* supply at least one interface in the value of the attribute, and (accordingly) program to interfaces when using BeanShell-backed beans). This means that every method call on a BeanShell-backed object is going through the JDK dynamic proxy invocation mechanism.

Let's look at a fully working example of using a BeanShell-based bean that implements the Messenger interface

that was defined earlier in this chapter (repeated below for your convenience).

```
package org.springframework.scripting;
public interface Messenger {
    String getMessage();
}
```

Here is the BeanShell 'implementation' (the term is used loosely here) of the Messenger interface.

```
String message;
String getMessage() {
   return message;
}

void setMessage(String aMessage) {
   message = aMessage;
}
```

And here is the Spring XML that defines an 'instance' of the above 'class' (again, the term is used very loosely here).

```
<lang:bsh id="messageService" script-source="classpath:BshMessenger.bsh"
    script-interfaces="org.springframework.scripting.Messenger">
        <lang:property name="message" value="Hello World!" />
        </lang:bsh>
```

See the section entitled Section 24.4, "Scenarios" for some scenarios where you might want to use BeanShell-based beans.

# 24.4. Scenarios

The possible scenarios where defining Spring managed beans in a scripting language would be beneficial are, of course, many and varied. This section describes two possible use cases for the dynamic language support in Spring.

# 24.4.1. Scripted Spring MVC Controllers

One group of classes that may benefit from using dynamic-language-backed beans is that of Spring MVC controllers. In pure Spring MVC applications, the navigational flow through a web application is to a large extent determined by code encapsulated within your Spring MVC controllers. As the navigational flow and other presentation layer logic of a web application needs to be updated to respond to support issues or changing business requirements, it may well be easier to effect any such required changes by editing one or more dynamic language source files and seeing those changes being immediately reflected in the state of a running application.

Remember that in the lightweight architectural model espoused by projects such as Spring, you are typically aiming to have a really *thin* presentation layer, with all the meaty business logic of an application being contained in the domain and service layer classes. Developing Spring MVC controllers as dynamic-language-backed beans allows you to change presentation layer logic by simply editing and saving text files; any changes to such dynamic language source files will (depending on the configuration) automatically be reflected in the beans that are backed by dynamic language source files.



#### Note

In order to effect this automatic 'pickup' of any changes to dynamic-language-backed beans, you will have had to enable the 'refreshable beans' functionality. See the section entitle Section 24.3.1.2. "Refreshable beans" for a full treatment of this feature.

Find below an example of an org.springframework.web.servlet.mvc.Controller implemented using the Groovy dynamic language.

# 24.4.2. Scripted Validators

Another area of application development with Spring that may benefit from the flexibility afforded by dynamic-language-backed beans is that of validation. It *may* be easier to express complex validation logic using a loosely typed dynamic language (that may also have support for inline regular expressions) as opposed to regular Java.

Again, developing validators as dynamic-language-backed beans allows you to change validation logic by simply editing and saving a simple text file; any such changes will (depending on the configuration) automatically be reflected in the execution of a running application and would not require the restart of an application.



#### Note

Please note that in order to effect the automatic 'pickup' of any changes to dynamic-language-backed beans, you will have had to enable the 'refreshable beans' feature. See the section entitled Section 24.3.1.2, "Refreshable beans" for a full and detailed treatment of this feature.

Find below an example of a Spring org.springframework.validation.Validator implemented using the Groovy dynamic language. (See the section entitled Section 5.2, "Validation using Spring's Validator

interface" for a discussion of the Validator interface.)

```
import org.springframework.validation.Validator
import org.springframework.validation.Errors
import org.springframework.beans.TestBean

class TestBeanValidator implements Validator {

  boolean supports(Class clazz) {
    return TestBean.class.isAssignableFrom(clazz)
  }

  void validate(Object bean, Errors errors) {
    if(bean.name?.trim()?.size() > 0) {
       return
    }
    errors.reject("whitespace", "Cannot be composed wholly of whitespace.")
  }
}
```

#### 24.5. Bits and bobs

This last section contains some bits and bobs related to the dynamic language support.

# 24.5.1. AOP - advising scripted beans

It is possible to use the Spring AOP framework to advise scripted beans. The Spring AOP framework actually is unaware that a bean that is being advised might be a scripted bean, so all of the AOP use cases and functionality that you may be using or aim to use will work with scripted beans. There is just one (small) thing that you need to be aware of when advising scripted beans... you cannot use class-based proxies, you must use interface-based proxies.

You are of course not just limited to advising scripted beans... you can also write aspects themselves in a supported dynamic language and use such beans to advise other Spring beans. This really would be an advanced use of the dynamic language support though.

# 24.5.2. Scoping

In case it is not immediately obvious, scripted beans can of course be scoped just like any other bean. The scope attribute on the various <lang:language/> elements allows you to control the scope of the underlying scripted bean, just as it does with a regular bean. (The default scope is <u>singleton</u>, just as it is with 'regular' beans.)

Find below an example of using the scope attribute to define a Groovy bean scoped as a prototype.

See the section entitled Section 3.4, "Bean scopes" in Chapter 3, *The IoC container* for a fuller discussion of the scoping support in the Spring Framework.

# 24.6. Further Resources

Find below links to further resources about the various dynamic languages described in this chapter.

- The <u>JRuby</u> homepage
- The **Groovy** homepage
- The **BeanShell** homepage

Some of the more active members of the Spring community have also added support for a number of additional dynamic languages above and beyond the ones covered in this chapter. While it is possible that such third party contributions may be added to the list of languages supported by the main Spring distribution, your best bet for seeing if your favourite scripting language is supported is the <u>Spring Modules project</u>.

# **Chapter 25. Annotations and Source Level Metadata Support**

#### 25.1. Introduction

Source-level metadata is the addition of *attributes* or *annotations* to program elements - usually, classes and/or methods.

For example, we might add metadata to a class as follows:

```
/**

* Normal comments here

* @@org.springframework.transaction.interceptor.DefaultTransactionAttribute()

*/
public class PetStoreImpl implements PetStoreFacade, OrderService {
```

We could add metadata to a method as follows:

```
/**

* Normal comments here

* @@org.springframework.transaction.interceptor.RuleBasedTransactionAttribute()

* @@org.springframework.transaction.interceptor.RollbackRuleAttribute(Exception.class)

* @@org.springframework.transaction.interceptor.NoRollbackRuleAttribute("ServletException")

*/

public void echoException(Exception ex) throws Exception {

....
}
```

Both of these examples use Jakarta Commons Attributes syntax.

Source-level metadata was introduced to the mainstream by XDoclet (in the Java world) and by the release of Microsoft's .NET platform, which uses source-level attributes to control transactions, pooling and other behavior.

The value in this approach has been recognized in the J2EE community. For example, it's much less verbose than the traditional XML deployment descriptors used exclusively by EJB. While it is desirable to externalize some things from program source code, some important enterprise settings - notably transaction characteristics - arguably belong in program source. Contrary to the assumptions of the EJB spec, it seldom makes sense to modify the transactional characteristics of a method (although parameters like transaction timeouts might change!).

Although metadata attributes are typically used mainly by framework infrastructure to describe the services application classes require, it should also be possible for metadata attributes to be queried at runtime. This is a key distinction from solutions such as XDoclet, which view metadata primarily as a way of generating code such as EJB artefacts.

There are a number of solutions in this space, including:

- Standard Java Annotations: the standard Java metadata implementation (developed as JSR-175 and available in Java 5). Spring has specific Java 5 annotations for transactional demarcation, JMX, and aspects (to be precise they are AspectJ annotations). However, since Spring supports Java 1.4 as well, a solution for said JVM versions is needed too. Spring metadata support provides such a solution.
- **XDoclet**: well-established solution, primarily intended for code generation.

• Various **open source attribute implementations**, for Java 1.4, of which Commons Attributes is the most complete implementation. All these require a special pre- or post-compilation step.

# 25.2. Spring's metadata support

In keeping with its provision of abstractions over important concepts, Spring provides a facade to metadata implementations, in the form of the org.springframework.metadata.Attributes interface. Such a facade adds value for several reasons:

- Even though Java 5 provides metadata support at language level, there will still be value in providing such an abstraction:
  - Java 5 metadata is static. It is associated with a class at compile time, and cannot be changed in a deployed environment (annotation state can actually be changed at runtime using reflection, but doing so would really be a bad practice). There is a need for hierarchical metadata, providing the ability to override certain attribute values in deployment for example, in an XML file.
  - Java 5 metadata is returned through the Java reflection API. This makes it impossible to mock during test time. Spring provides a simple interface to allow this.
  - There will be a need for metadata support in 1.3 and 1.4 applications for at least two years. Spring aims to provide working solutions *now*; forcing the use of Java 5 is not an option in such an important area.
- Current metadata APIs, such as Commons Attributes (used by Spring 1.0-1.2) are hard to test. Spring provides a simple metadata interface that is much easier to mock.

The Spring Attributes interface looks like this:

```
public interface Attributes {
    Collection getAttributes(Class targetClass);
    Collection getAttributes(Class targetClass, Class filter);
    Collection getAttributes(Method targetMethod);
    Collection getAttributes(Method targetMethod, Class filter);
    Collection getAttributes(Field targetField);
    Collection getAttributes(Field targetField, Class filter);
}
```

This is a lowest common denominator interface. JSR-175 offers more capabilities than this, such as attributes on method arguments.

Note that this interface offers <code>Object</code> attributes, like .NET. This distinguishes it from attribute systems such as that of Nanning Aspects, which offer only <code>string</code> attributes. There is a significant advantage in supporting <code>object</code> attributes, namely that it enables attributes to participate in class hierarchies and allows such attributes to react intelligently to their configuration parameters.

With most attribute providers, attribute classes are configured via constructor arguments or JavaBean properties. Commons Attributes supports both.

As with all Spring abstraction APIs, Attributes is an interface. This makes it easy to mock attribute implementations for unit tests.

# 25.3. Annotations

The Spring Framework ships with a number of custom Java 5+ annotations.

#### **25.3.1.** @Required

The @Required annotation in the org.springframework.beans.factory.annotation package can be used to *mark* a property as being 'required-to-be-set' (i.e. an annotated (setter) method of a class must be configured to be dependency injected with a value), else an Exception will be thrown by the container at runtime.

The best way to illustrate the usage of this annotation is to show an example:

```
public class SimpleMovieLister {

    // the SimpleMovieLister has a dependency on the MovieFinder
    private MovieFinder movieFinder;

    // a setter method so that the Spring container can 'inject' a MovieFinder
    @Required
    public void setMovieFinder(MovieFinder movieFinder) {
        this.movieFinder = movieFinder;
    }

    // business logic that actually 'uses' the injected MovieFinder is omitted...
}
```

Hopefully the above class definition reads easy on the eye. Any and all BeanDefinitions for the SimpleMovieLister class must be provided with a value.

Let's look at an example of some XML configuration that will **not** pass validation.

```
<bean id="movieLister" class="x.y.SimpleMovieLister">
    <!-- whoops, no MovieFinder is set (and this property is @Required) -->
</bean>
```

At runtime the following message will be generated by the Spring container (the rest of the stack trace has been truncated).

```
Exception in thread "main" java.lang.IllegalArgumentException:
Property 'movieFinder' is required for bean 'movieLister'.
```

There is one last little (small, tiny) piece of Spring configuration that is required to actually 'switch on' this behavior. Simply annotating the 'setter' properties of your classes is not enough to get this behavior. You need to enable a component that is aware of the @Required annotation and that can process it appropriately.

This component is the RequiredAnnotationBeanPostProcessor class. This is a special BeanPostProcessor implementation that is @Required-aware and actually provides the 'blow up if this required property has not been set' logic. It is very easy to configure; simply drop the following bean definition into your Spring XML configuration.

```
<bean class="org.springframework.beans.factory.annotation.RequiredAnnotationBeanPostProcessor"/>
```

Finally, one can configure an instance of the RequiredAnnotationBeanPostProcessor class to look for another Annotation type. This is great if you already have your own @Required-style annotation. Simply plug it into the definition of a RequiredAnnotationBeanPostProcessor and you are good to go.

By way of an example, let's suppose you (or your organization / team) have defined an attribute called @ Mandatory. You can make a RequiredAnnotationBeanPostProcessor instance @Mandatory-aware like so:

Here is the source code for the @Mandatory annotation. You will need to ensure that your custom annotation type is itself annotated with appropriate annotations for its target and runtime retention policy.

```
package your.company.package;

import java.lang.annotation.ElementType;
import java.lang.annotation.Retention;
import java.lang.annotation.RetentionPolicy;
import java.lang.annotation.Target;

@Retention(RetentionPolicy.RUNTIME)
@Target(ElementType.METHOD)
public @interface Mandatory {
}
```

# 25.3.2. Other @Annotations in Spring

Annotations are also used in a number of other places throughout Spring. Rather than being described here, these annotations are described in that section or chapter of the reference documentation to which they are most relevant.

- Section 9.5.6, "Using @Transactional"
- Section 6.8.1, "Using AspectJ to dependency inject domain objects with Spring"
- Section 6.2, "@AspectJ support"
- Section 3.11, "Annotation-based configuration"
- Section 3.12, "Classpath scanning for managed components"

# 25.4. Integration with Jakarta Commons Attributes

Presently Spring supports only Jakarta Commons Attributes out of the box, although it is easy to provide implementations of the org.springframework.metadata.Attributes interface for other metadata providers.

**Commons Attributes 2.2** (<a href="http://jakarta.apache.org/commons/attributes/">http://jakarta.apache.org/commons/attributes/</a>) is a capable attributes solution. It supports attribute configuration via constructor arguments and JavaBean properties, which offers better self-documentation in attribute definitions. (Support for JavaBean properties was added at the request of the Spring team.)

We've already seen two examples of Commons Attributes attributes definitions. In general, we will need to express:

• The name of the attribute class. This can be a fully qualified name (FQN), as shown above. If the relevant attribute class has already been imported, the FQN isn't required. It's also possible to specify "attribute packages" in attribute compiler configuration.

• Any necessary parameterization. This is done via constructor arguments or JavaBean properties.

Bean properties look as follows:

```
/**

* @@MyAttribute(myBooleanJavaBeanProperty=true)

*/
```

It's possible to combine constructor arguments and JavaBean properties (as in Spring IoC).

Because, unlike Java 1.5 attributes, Commons Attributes is not integrated with the Java language, it is necessary to run a special *attribute compilation* step as part of the build process.

To run Commons Attributes as part of the build process, you will need to do the following:

- 1. Copy the necessary library jars to \$ANT\_HOME/lib. Four Jars are required, and all are distributed with Spring:
- the Commons Attributes compiler jar and API jar
- xJavadoc.jar from XDoclet
- commons-collections.jar from Jakarta Commons
- 2. Import the Commons Attributes ant tasks into your project build script, as follows:

```
<taskdef resource="org/apache/commons/attributes/anttasks.properties"/>
```

3. Next, define an attribute compilation task, which will use the Commons Attributes attribute-compiler task to "compile" the attributes in the source. This process results in the generation of additional sources, to a location specified by the destdir attribute. Here we show the use of a temporary directory for storing the generated files:

```
<target name="compileAttributes">
    <attribute-compiler destdir="${commons.attributes.tempdir}">
        <fileset dir="${src.dir}" includes="**/*.java"/>
        </attribute-compiler>
    </target>
```

The compile target that runs javac over the sources should depend on this attribute compilation task, and must also compile the generated sources, which we output to our destination temporary directory. If there are syntax errors in your attribute definitions, they will normally be caught by the attribute compiler. However, if the attribute definitions are syntactically plausible, but specify invalid types or class names, the compilation of the generated attribute classes may fail. In this case, you can look at the generated classes to establish the cause of the problem.

Commons Attributes also provides Maven support. Please refer to Commons Attributes documentation for further information.

While this attribute compilation process may look complex, in fact it's a one-off cost. Once set up, attribute compilation is incremental, so it doesn't usually noticeably slow the build process. And once the compilation process is set up, you may find that use of attributes as described in this chapter can save you a lot of time in other areas.

If you require attribute indexing support (only currently required by Spring for attribute-targeted web

controllers, discussed below), you will need an additional step, which must be performed on a jar file of your compiled classes. In this additional step, Commons Attributes will create an index of all the attributes defined on your sources, for efficient lookup at runtime. The step looks like this:

See the /attributes directory of the Spring JPetStore sample application for an example of this build process. You can take the build script it contains and modify it for your own projects.

If your unit tests depend on attributes, try to express the dependency on the Spring Attributes abstraction, rather than Commons Attributes. Not only is this more portable - for example, your tests will still work if you switch to Java 1.5 attributes in future - it simplifies testing. Also, Commons Attributes is a static API, while Spring provides a metadata interface that you can easily mock.

# 25.5. Metadata and Spring AOP autoproxying

The most important uses of metadata attributes are in conjunction with Spring AOP. This provides a .NET-like programming model, where declarative services are automatically provided to application objects that declare metadata attributes. Such metadata attributes can be supported out of the box by the framework, as in the case of declarative transaction management, or can be custom.

#### 25.5.1. Fundamentals

This builds on the Spring AOP autoproxy functionality. Configuration might look like this:

The basic concepts here should be familiar from the discussion of autoproxying in the AOP chapter.

The most important bean definitions are the auto-proxy creator and the advisor. Note that the actual bean names are not important; what matters is their class.

The bean definition of class org.springframework.aop.framework.autoproxy.DefaultAdvisorAutoProxyCreator will automatically advise ("auto-proxy") all bean instances in the current factory based on matching advisor implementations. This class knows nothing about attributes, but relies on advisors' pointcuts matching. The pointcuts, however, do know about attributes.

Thus we simply need an AOP advisor that will provide declarative transaction management based on attributes.

It is possible to add arbitrary custom advisor implementations as well, and they will also be evaluated and applied automatically. (You can use advisors whose pointcuts match on criteria besides attributes in the same autoproxy configuration, if necessary.)

Finally, the attributes bean is the Commons Attributes Attributes implementation. Replace it with another implementation of the org.springframework.metadata.Attributes interface to source attributes from a different source.

#### 25.5.2. Declarative transaction management

The most common use of source-level attributes is to provide declarative transaction management. Once the bean definitions shown above are in place, you can define any number of application objects requiring declarative transactions. Only those classes or methods with transaction attributes will be given transaction advice. You need to do nothing except define the required transaction attributes.

Please note that you can specify transaction attributes at either class or method level. Class-level attributes, if specified, will be "inherited" by all methods whereas method attributes will wholly override any class-level attributes.

# Appendix A. XML Schema-based configuration

#### A.1. Introduction

This appendix details the XML Schema-based configuration introduced in Spring 2.0.

#### DTD support?

Authoring Spring configuration files using the older DTD style is still fully supported.

Nothing will break if you forego the use of the new XML Schema-based approach to authoring Spring XML configuration files. All that you lose out on is the opportunity to have more succinct and clearer configuration. Regardless of whether the XML configuration is DTD- or Schema-based, in the end it all boils down to the same object model in the container (namely one or more BeanDefinition instances).

The central motivation for moving to XML Schema based configuration files was to make Spring XML configuration easier. The 'classic' <bean/>-based approach is good, but its generic-nature comes with a price in terms of configuration overhead.

From the Spring IoC containers point-of-view, *everything* is a bean. That's great news for the Spring IoC container, because if everything is a bean then everything can be treated in the exact same fashion. The same, however, is not true from a developer's point-of-view. The objects defined in a Spring XML configuration file are not all generic, vanilla beans. Usually, each bean requires some degree of specific configuration.

Spring 2.0's new XML Schema-based configuration addresses this issue. The <bean/> element is still present, and if you wanted to, you could continue to write the *exact same* style of Spring XML configuration using only <bean/> elements. The new XML Schema-based configuration does, however, make Spring XML configuration files substantially clearer to read. In addition, it allows you to express the intent of a bean definition.

The key thing to remember is that the new custom tags work best for infrastructure or integration beans: for example, AOP, collections, transactions, integration with 3rd-party frameworks such as Mule, etc., while the existing bean tags are best suited to application-specific beans, such as DAOs, service layer objects, validators, etc.

The examples included below will hopefully convince you that the inclusion of XML Schema support in Spring 2.0 was a good idea. The reception in the community has been encouraging; also, please note the fact that this new configuration mechanism is totally customisable and extensible. This means you can write your own domain-specific configuration tags that would better represent your application's domain; the process involved in doing so is covered in the appendix entitled Appendix B, *Extensible XML authoring*.

# A.2. XML Schema-based configuration

# A.2.1. Referencing the schemas

To switch over from the DTD-style to the new XML Schema-style, you need to make the following change.

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE beans PUBLIC "-//SPRING//DTD BEAN 2.0//EN"
    "http://www.springframework.org/dtd/spring-beans-2.0.dtd">
<beans>
<!-- <bean/> definitions here -->
</beans>
```

The equivalent file in the XML Schema-style would be...



#### Note

The 'xsi:schemaLocation' fragment is not actually required, but can be included to reference a local copy of a schema (which can be useful during development).

The above Spring XML configuration fragment is boilerplate that you can copy and paste (!) and then plug <br/> <br/> definitions into like you have always done. However, the entire point of switching over is to take advantage of the new Spring 2.0 XML tags since they make configuration easier. The section entitled Section A.2.2, "The util schema" demonstrates how you can start immediately by using some of the more common utility tags.

The rest of this chapter is devoted to showing examples of the new Spring XML Schema based configuration, with at least one example for every new tag. The format follows a before and after style, with a *before* snippet of XML showing the old (but still 100% legal and supported) style, followed immediately by an *after* example showing the equivalent in the new XML Schema-based style.

#### A.2.2. The util schema

First up is coverage of the util tags. As the name implies, the util tags deal with common, *utility* configuration issues, such as configuring collections, referencing constants, and suchlike.

To use the tags in the util schema, you need to have the following preamble at the top of your Spring XML configuration file; the emboldened text in the snippet below references the correct schema so that the tags in the util namespace are available to you.

```
</beans>
```

#### A.2.2.1. <util:constant/>

Before...

The above configuration uses a Spring FactoryBean implementation, the FieldRetrievingFactoryBean, to set the value of the 'isolation' property on a bean to the value of the 'java.sql.Connection.TRANSACTION\_SERIALIZABLE' constant. This is all well and good, but it is a tad verbose and (unneccessarily) exposes Spring's internal plumbing to the end user.

The following XML Schema-based version is more concise and clearly expresses the developer's intent ('inject this constant value'), and it just reads better.

### A.2.2.1.1. Setting a bean property or constructor arg from a field value

<u>FieldRetrievingFactoryBean</u> is a FactoryBean which retrieves a static or non-static field value. It is typically used for retrieving public static final constants, which may then be used to set a property value or constructor arg for another bean.

Find below an example which shows how a static field is exposed, by using the staticField property:

There is also a convenience usage form where the static field is specified as the bean name:

```
<bean id="java.sql.Connection.TRANSACTION_SERIALIZABLE"
    class="org.springframework.beans.factory.config.FieldRetrievingFactoryBean"/>
```

This does mean that there is no longer any choice in what the bean id is (so any other bean that refers to it will also have to use this longer name), but this form is very concise to define, and very convenient to use as an inner bean since the id doesn't have to be specified for the bean reference:

It is also possible to access a non-static (instance) field of another bean, as described in the API documentation for the <u>FieldRetrievingFactoryBean</u> class.

Injecting enum values into beans as either property or constructor arguments is very easy to do in Spring, in that you don't actually have to *do* anything or know anything about the Spring internals (or even about classes such as the FieldRetrievingFactoryBean). Let's look at an example to see how easy injecting an enum value is; consider this JDK 5 enum:

```
package javax.persistence;
public enum PersistenceContextType {
    TRANSACTION,
    EXTENDED
}
```

Now consider a setter of type PersistenceContextType:

```
package example;
public class Client {
    private PersistenceContextType persistenceContextType;
    public void setPersistenceContextType(PersistenceContextType type) {
        this.persistenceContextType = type;
    }
}
```

.. and the corresponding bean definition:

This works for classic type-safe emulated enums (on JDK 1.4 and JDK 1.3) as well; Spring will automatically attempt to match the string property value to a constant on the enum class.

#### A.2.2.2. <util:property-path/>

Before...

The above configuration uses a Spring FactoryBean implementation, the PropertyPathFactoryBean, to create a bean (of type int) called 'testBean.age' that has a value equal to the 'age' property of the 'testBean' bean.

After...

The value of the 'path' attribute of the cproperty-path/> tag follows the form 'beanName.beanProperty'.

# A.2.2.1. Using <util:property-path/> to set a bean property or constructor-argument

PropertyPathFactoryBean is a FactoryBean that evaluates a property path on a given target object. The target object can be specified directly or via a bean name. This value may then be used in another bean definition as a property value or constructor argument.

Here's an example where a path is used against another bean, by name:

In this example, a path is evaluated against an inner bean:

There is also a shortcut form, where the bean name is the property path.

```
<!-- will result in 10, which is the value of property 'age' of bean 'person' -->
<bean id="person.age"
    class="org.springframework.beans.factory.config.PropertyPathFactoryBean"/>
```

This form does mean that there is no choice in the name of the bean. Any reference to it will also have to use the same id, which is the path. Of course, if used as an inner bean, there is no need to refer to it at all:

The result type may be specifically set in the actual definition. This is not necessary for most use cases, but can be of use for some. Please see the Javadocs for more info on this feature.

#### A.2.2.3. <util:properties/>

Before...

The above configuration uses a Spring FactoryBean implementation, the PropertiesFactoryBean, to instantiate a java.util.Properties instance with values loaded from the supplied Resource location).

After...

```
<!-- creates a java.util.Properties instance with values loaded from the supplied location -->
<util:properties id="jdbcConfiguration" location="classpath:com/foo/jdbc-production.properties"/>
```

#### **A.2.2.4.** <util:list/>

Before...

The above configuration uses a Spring FactoryBean implementation, the ListFactoryBean, to create a java.util.List instance initialized with values taken from the supplied 'sourceList'.

After...

You can also explicitly control the exact type of List that will be instantiated and populated via the use of the 'list-class' attribute on the <util:list/> element. For example, if we really need a java.util.LinkedList to be instantiated, we could use the following configuration:

If no 'list-class' attribute is supplied, a List implementation will be chosen by the container.

Finally, you can also control the merging behavior using the 'merge' attribute of the <util:list/> element; collection merging is described in more detail in the section entitled Section 3.3.2.4.1, "Collection merging".

#### **A.2.2.5.** <util:map/>

Before...

The above configuration uses a Spring FactoryBean implementation, the MapFactoryBean, to create a java.util.Map instance initialized with key-value pairs taken from the supplied 'sourceMap'.

After...

You can also explicitly control the exact type of Map that will be instantiated and populated via the use of the 'map-class' attribute on the <util:map/> element. For example, if we really need a java.util.TreeMap to be instantiated, we could use the following configuration:

If no 'map-class' attribute is supplied, a Map implementation will be chosen by the container.

Finally, you can also control the merging behavior using the 'merge' attribute of the <util:map/> element; collection merging is described in more detail in the section entitled Section 3.3.2.4.1, "Collection merging".

#### **A.2.2.6.** <util:set/>

```
</property>
</bean>
```

The above configuration uses a Spring FactoryBean implementation, the SetFactoryBean, to create a java.util.Set instance initialized with values taken from the supplied 'sourceSet'.

After...

You can also explicitly control the exact type of set that will be instantiated and populated via the use of the 'set-class' attribute on the <util:set/> element. For example, if we really need a java.util.TreeSet to be instantiated, we could use the following configuration:

If no 'set-class' attribute is supplied, a Set implementation will be chosen by the container.

Finally, you can also control the merging behavior using the 'merge' attribute of the <util:set/> element; collection merging is described in more detail in the section entitled Section 3.3.2.4.1, "Collection merging".

#### A.2.3. The jee schema

The jee tags deal with JEE (Java Enterprise Edition)-related configuration issues, such as looking up a JNDI object and defining EJB references.

To use the tags in the jee schema, you need to have the following preamble at the top of your Spring XML configuration file; the emboldened text in the following snippet references the correct schema so that the tags in the jee namespace are available to you.

#### A.2.3.1. < jee: jndi-lookup/> (simple)

```
<bean id="userDao" class="com.foo.JdbcUserDao">
    <!-- Spring will do the cast automatically (as usual) -->
    cproperty name="dataSource" ref="dataSource"/>
</bean>
```

#### After...

#### A.2.3.2. <jee:jndi-lookup/> (with single JNDI environment setting)

#### Before...

#### After...

#### A.2.3.3. <jee:jndi-lookup/> (with multiple JNDI environment settings)

#### Before...

#### After...

```
<jee:jndi-lookup id="simple" jndi-name="jdbc/MyDataSource">
    <!-- newline-separated, key-value pairs for the environment (standard Properties format) -->
    <jee:environment>
        foo=bar
        ping=pong
    </jee:environment>
    </jee:jndi-lookup>
```

#### A.2.3.4. <jee: jndi-lookup/> (complex)

```
<bean id="simple" class="org.springframework.jndi.JndiObjectFactoryBean">
```

#### After...

#### A.2.3.5. <jee:local-slsb/> (simple)

The <jee:local-slsb/> tag configures a reference to an EJB Stateless SessionBean.

Before...

#### After...

```
<jee:local-slsb id="simpleSlsb" jndi-name="ejb/RentalServiceBean"
business-interface="com.foo.service.RentalService"/>
```

#### A.2.3.6. <jee:local-slsb/> (complex)

#### After...

```
<jee:local-slsb id="complexLocalEjb"
  jndi-name="ejb/RentalServiceBean"
  business-interface="com.foo.service.RentalService"
  cache-home="true"
  lookup-home-on-startup="true"
  resource-ref="true">
```

#### A.2.3.7. <jee:remote-slsb/>

The <jee:remote-slsb/> tag configures a reference to a remote EJB Stateless SessionBean.

#### After...

```
<jee:remote-slsb id="complexRemoteEjb"
   jndi-name="ejb/MyRemoteBean"
   business-interface="com.foo.service.RentalService"
   cache-home="true"
   lookup-home-on-startup="true"
   resource-ref="true"
   home-interface="com.foo.service.RentalService"
   refresh-home-on-connect-failure="true">
```

#### A.2.4. The lang schema

The lang tags deal with exposing objects that have been written in a dynamic language such as JRuby or Groovy as beans in the Spring container.

These tags (and the dynamic language support) are comprehensively covered in the chapter entitled Chapter 24, *Dynamic language support*. Please do consult that chapter for full details on this support and the lang tags themselves.

In the interest of completeness, to use the tags in the lang schema, you need to have the following preamble at the top of your Spring XML configuration file; the emboldened text in the following snippet references the correct schema so that the tags in the lang namespace are available to you.

# A.2.5. The jms schema

The jms tags deal with configuring JMS-related beans such as Spring's <u>MessageListenerContainers</u>. These tags are detailed in the section of the <u>JMS chapter</u> entitled Section 19.6, "JMS Namespace Support". Please do consult that chapter for full details on this support and the jms tags themselves.

In the interest of completeness, to use the tags in the jms schema, you need to have the following preamble at the top of your Spring XML configuration file; the emboldened text in the following snippet references the correct schema so that the tags in the jms namespace are available to you.

```
xsi:schemaLocation="
http://www.springframework.org/schema/beans http://www.springframework.org/schema/beans/spring-beans-2.5.xsd
http://www.springframework.org/schema/jms http://www.springframework.org/schema/jms/spring-jms-2.5.xsd">
<!-- <bean/> definitions here -->
</bean>
```

# A.2.6. The tx (transaction) schema

The tx tags deal with configuring all of those beans in Spring's comprehensive support for transactions. These tags are covered in the chapter entitled Chapter 9, *Transaction management*.



#### Tip

You are strongly encouraged to look at the 'spring-tx-2.5.xsd' file that ships with the Spring distribution. This file is (of course), the XML Schema for Spring's transaction configuration, and covers all of the various tags in the tx namespace, including attribute defaults and suchlike. This file is documented inline, and thus the information is not repeated here in the interests of adhering to the DRY (Don't Repeat Yourself) principle.

In the interest of completeness, to use the tags in the  $t \times s$  chema, you need to have the following preamble at the top of your Spring XML configuration file; the emboldened text in the following snippet references the correct schema so that the tags in the  $t \times s$  namespace are available to you.



#### **Note**

Often when using the tags in the tx namespace you will also be using the tags from the aop namespace (since the declarative transaction support in Spring is implemented using AOP). The above XML snippet contains the relevant lines needed to reference the aop schema so that the tags in the aop namespace are available to you.

### A.2.7. The aop schema

The aop tags deal with configuring all things AOP in Spring: this includes Spring's own proxy-based AOP framework and Spring's integration with the AspectJ AOP framework. These tags are comprehensively covered in the chapter entitled Chapter 6, Aspect Oriented Programming with Spring.

In the interest of completeness, to use the tags in the aop schema, you need to have the following preamble at the top of your Spring XML configuration file; the emboldened text in the following snippet references the correct schema so that the tags in the aop namespace are available to you.

#### A.2.8. The context schema

The context tags deal with ApplicationContext configuration that relates to plumbing - that is, not usually beans that are important to an end-user but rather beans that do a lot of grunt work in Spring, such as BeanfactoryPostProcessors. The following snippet references the correct schema so that the tags in the context namespace are available to you.



#### Note

The context schema was only introduced in Spring 2.5.

#### 

This element activates the replacement of  $\{\ldots\}$  placeholders, resolved against the specified properties file (as element Spring resource location). This is a convenience mechanism that sets a <u>PropertyPlaceholderConfigurer</u> for if you; you need more control over the PropertyPlaceholderConfigurer, just define one yourself explicitly.

#### A.2.8.2. <annotation-config/>

Activates the Spring infrastructure for various annotations to be detected in bean classes: Spring's <u>@Required</u> and <u>@Autowired</u>, as well as JSR 250's <u>@PostConstruct</u>, <u>@PreDestroy</u> and <u>@Resource</u> (if available), and JPA's <u>@PersistenceContext</u> and <u>@PersistenceUnit</u> (if available). Alternatively, you can choose to activate the individual BeanPostProcessors for those annotations explictly.



#### Note

This element does *not* activate processing of Spring's <u>@Transactional</u> annotation. Use the <a href="tx:annotation-driven/">tx:annotation-driven/</a> element for that purpose.

#### A.2.8.3. <component-scan/>

This element is detailed in the section entitled Section 3.11, "Annotation-based configuration".

#### A.2.8.4. <load-time-weaver/>

This element is detailed in the section entitled Section 6.8.4, "Load-time weaving with AspectJ in the Spring Framework".

#### A.2.8.5. A.2.8.5.

This element is detailed in the section entitled Section 6.8.1, "Using AspectJ to dependency inject domain objects with Spring".

#### A.2.8.6. <mbean-export/>

This element is detailed in the section entitled Section 20.4.3, "The <context:mbean-export/> element".

#### A.2.9. The tool schema

The tool tags are for use when you want to add tooling-specific metadata to your custom configuration elements. This metadata can then be consumed by tools that are aware of this metadata, and the tools can then do pretty much whatever they want with it (validation, etc.).

The tool tags are not documented in this release of Spring as they are currently undergoing review. If you are a third party tool vendor and you would like to contribute to this review process, then do mail the Spring mailing list. The currently supported tool tags can be found in the file 'spring-tool-2.5.xsd' in the 'src/org/springframework/beans/factory/xml' directory of the Spring source distribution.

#### A.2.10. The beans schema

Last but not least we have the tags in the beans schema. These are the same tags that have been in Spring since the very dawn of the framework. Examples of the various tags in the beans schema are not shown here because they are quite comprehensively covered in the section entitled Section 3.3.2, "Dependencies and configuration in detail" (and indeed in that entire chapter).

One thing that is new to the beans tags themselves in Spring 2.0 is the idea of arbitrary bean metadata. In Spring 2.0 it is now possible to add zero or more key / value pairs to <bean/> XML definitions. What, if anything, is done with this extra metadata is totally up to your own custom logic (and so is typically only of use if you are writing your own custom tags as described in the appendix entitled Appendix B, *Extensible XML authoring*).

Find below an example of the <meta/> tag in the context of a surrounding <bean/> (please note that without any logic to interpret it the metadata is effectively useless as-is).

In the case of the above example, you would assume that there is some logic that will consume the bean definition and set up some caching infrastructure using the supplied metadata.

# A.3. Setting up your IDE

This final section documents the steps involved in setting up a number of popular Java IDEs to effect the easier editing of Spring's XML Schema-based configuration files. If your favourite Java IDE or editor is not included in the list of documented IDEs, then please do <u>raise an issue</u> and an example with your favorite IDE/editor *may* be included in the next release.

## A.3.1. Setting up Eclipse

The following steps illustrate setting up <u>Eclipse</u> to be XSD-aware. The assumption in the following steps is that you already have an Eclipse project open (either a brand new project or an already existing one).

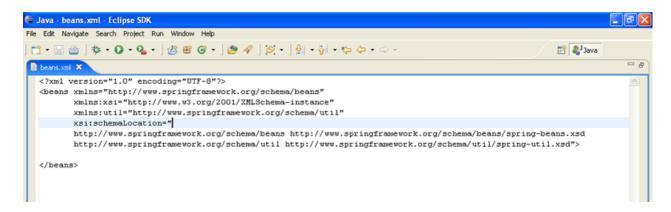


### Note

The following steps were created using Eclipse 3.2. The setup will probably be the same (or similar) on an earlier or later version of Eclipse.

### 1. Step One

Create a new XML file. You can name this file whatever you want. In the example below, the file is named 'context.xml'. Copy and paste the following text into the file so that it matches the screenshot.



### 2. Step Two

As can be seen in the above screenshot (unless you have a customised version of Eclipse with the correct plugins) the XML file will be treated as plain text. There is no XML editing support out of the box in Eclipse, and as such there is not even any syntax highlighting of elements and attributes. To address this,

you will have to install an XML editor plugin for Eclipse...

Table A.1. Eclipse XML editors

XML Editor	Link	
The Eclipse Web Tools Platform (WTP)	http://www.eclipse.org/webtools/	
A list of Eclipse XML plugins	http://eclipse-plugins.2y.net/eclipse/plugins.jsp?categor	ry=XML

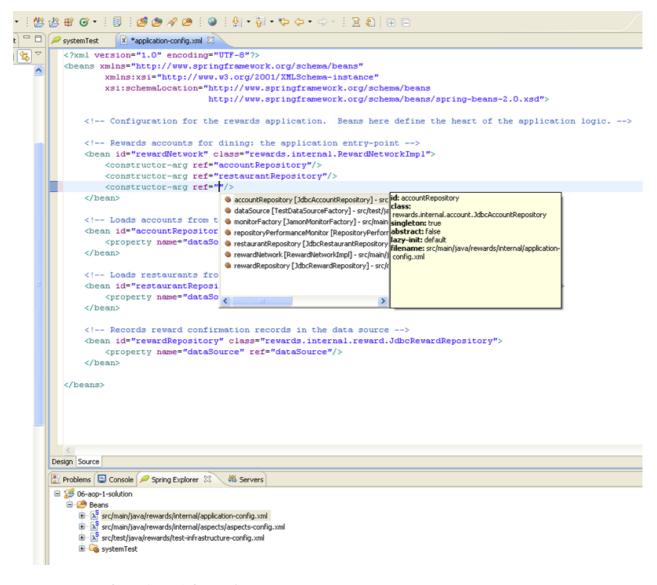
### Contributing documentation...

Patches showing how to configure an Eclipse XML editor are welcomed. Any such contributions are best submitted as patches via the Spring Framework <u>JIRA Issue Tracker</u> and *may* be featured in the next release.

Unfortunately, precisely because there is no standard XML editor for Eclipse, there are (bar the one below) no further steps showing you how to configure XML Schema support in Eclipse... each XML editor plugin would require its very own dedicated section, and this is *Spring* reference documentation, not Eclipse XML editor documentation. You will have to read the documentation that comes with your XML editor plugin (good luck there) and figure it out for yourself.

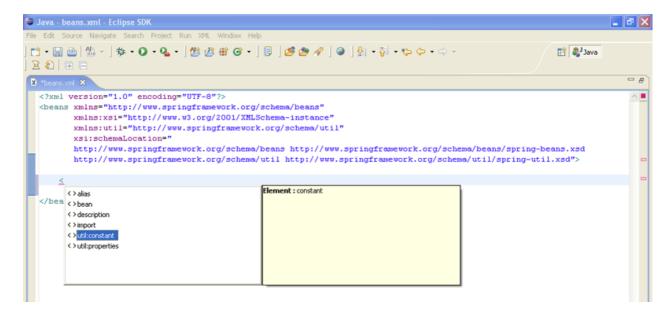
### 3. **Spring IDE**

There is a dedicated Spring Framework plugin for Eclipse called <u>Spring IDE</u> and it is pretty darn cool. (There's a considered and non-biased opinion for you!) This plugin makes using Spring even easier, and it has more than just support for the core Spring Framework... Spring Web Flow is supported too. Details of how to install Spring IDE can be found on the <u>Spring IDE installation page</u>.



### 4. Web Tools Platform (WTP) for Eclipse

If you are using the Web Tools Platform (WTP) for Eclipse, you don't need to do anything other than open a Spring XML configuration file using the WTP platform's XML editor. As can be seen in the screenshot below, you immediately get some slick IDE-level support for autocompleting tags and suchlike.



### A.3.2. Setting up IntelliJ IDEA

The following steps illustrate setting up the <u>IntelliJ IDEA</u> IDE to be XSD-aware. The assumption in the following steps is that you already have an IDEA project open (either a brand new project or an already existing one).

Repeat as required for setting up IDEA to reference the other Spring XSD files.

#### 1. Step One

Create a new XML file (you can name this file whatever you want). In the example below, the file is named 'context.xml'. Copy and paste the following text into the file so that it matches the screenshot.

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xmlns:util="http://www.springframework.org/schema/util"
    xsi:schemaLocation="
    http://www.springframework.org/schema/beans http://www.springframework.org/schema/beans-2.5.
    http://www.springframework.org/schema/util http://www.springframework.org/schema/util/spring-util-2.5.xsc</pre>

</beans>
```

### 2. Step Two

As can be seen in the above screenshot, the XML file has a number of nasty red contextual error markers. To rectify this, IDEA has to be made aware of the location of the referenced XSD namespace(s).

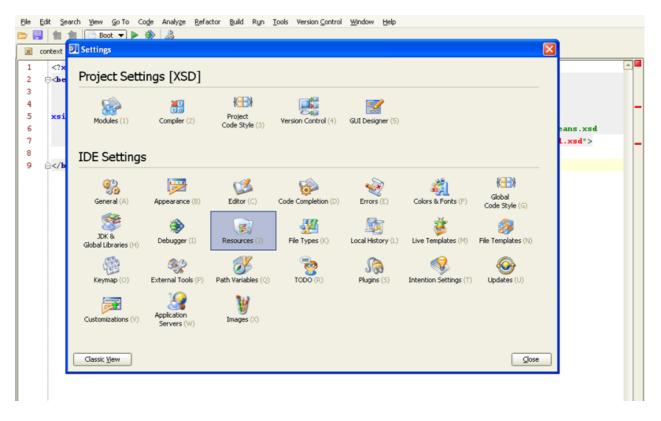
To do this, simply position the cursor over the squiggly red area (see the screenshot below); then press the **Alt-Enter** keystroke combination, and press the **Enter** key again when the popup becomes active to fetch the external resource.

```
Elle Edit Search View Go To Code Analyze Refactor Build Ryn Iools Version Control Window Help
🗁 🗐 愉 🛍 🛅 Boot 🔻 🕨 🐞 🍰
x context
      <?xml version="1.0" encoding="UTF-8"?>
     cbeans xmlns="http://www.springframework.org/schema/beans"
 3
             xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance
             xmlns:util="http://www.springframework.org/schema/util"
 5
      xsi:schemaLocation="
             http://www.springframework.org/schema/beans http://www.springframework.org/schema/beans/spring-beans.xsd
 7
             http://www.springframework.org/schema/util.http://www.springframework.org/schema/util/spring-util.xsd">
 8
       Fetch External Resource
 9

¶ Ignore External Resource
```

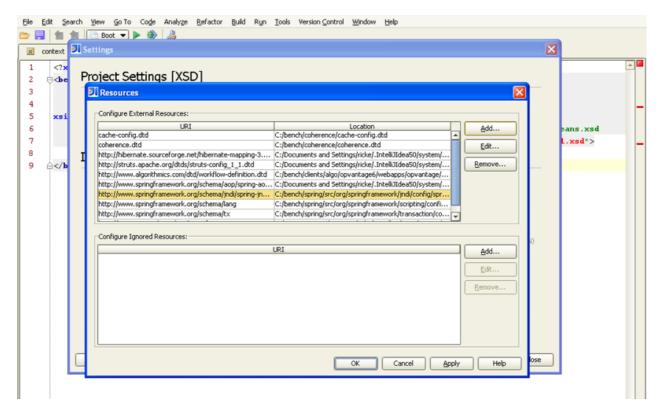
### 3. Step Three

If the external resource could not be fetched (maybe no active Internet connection is available), you can manually configure the resource to reference a local copy of the XSD file. Simply open up the 'settings' dialog (using the **Ctrl-A-S** keystroke combination or via the 'File|Settings' menu), and click on the 'Resources' button.



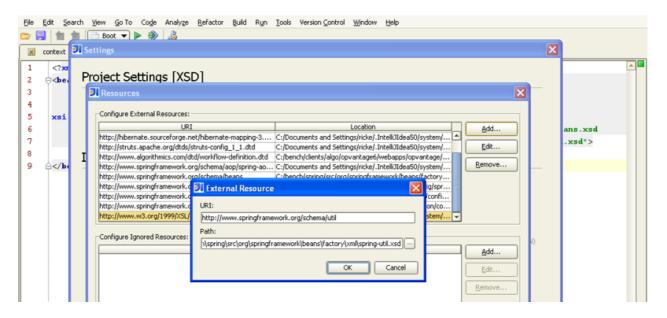
### 4. Step Four

As can be seen in the following screenshot, this will bring up a dialog that allows you to add an explicit reference to a local copy of the util schema file. (You can find all of the various Spring XSD files in the 'src' directory of the Spring distribution.)



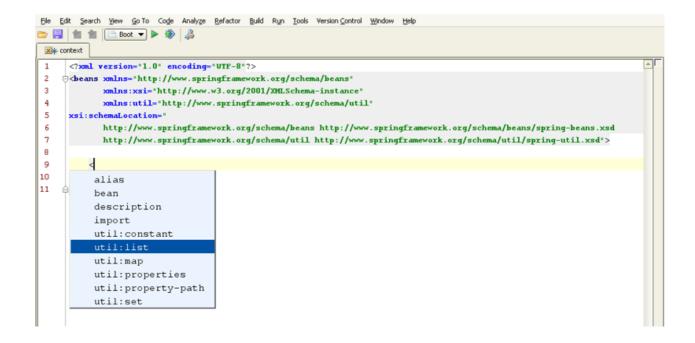
### 5. Step Five

Clicking the 'Add' button will bring up another dialog that allows you to explicitly to associate a namespace URI with the path to the relevant XSD file. As can be seen in the following screenshot, the 'http://www.springframework.org/schema/util' namespace is being associated with the file resource 'C:\bench\spring\src\org\springframework\beans\factory\xml\spring-util-2.5.xsd'.



### 6. Step Six

Exiting out of the nested dialogs by clicking the 'OK' button will then bring back the main editing window, and as can be seen in the following screenshot, the contextual error markers have disappeared; typing the '<' character into the editing window now also brings up a handy dropdown box that contains all of the imported tags from the util namespace.



## A.3.3. Integration issues

This final section details integration issues that may arise when you switch over to using the above XSD-style for Spring 2.0 configuration.

This section is quite small at the moment (and hopefully it will stay that way). It has been included in the Spring documentation as a convenience to Spring users so that if you encounter an issue when switching over to the XSD-style in some specific environment you can refer to this section for the authoritative answer.

### A.3.3.1. XML parsing errors in the Resin v.3 application server

If you are using the XSD-style for Spring 2.0 XML configuration and deploying to v.3 of Caucho's Resin application server, you will need to set some configuration options prior to startup so that an XSD-aware parser is available to Spring.

Please do read this resource, <a href="http://www.caucho.com/resin-3.0/xml/jaxp.xtp#xerces">http://www.caucho.com/resin-3.0/xml/jaxp.xtp#xerces</a>, for further details.

# Appendix B. Extensible XML authoring

## **B.1. Introduction**

Since version 2.0, Spring has featured a mechanism for schema-based extensions to the basic Spring XML format for defining and configuring beans. This section is devoted to detailing how you would go about writing your own custom XML bean definition parsers and integrating such parsers into the Spring IoC container.

To facilitate the authoring of configuration files using a schema-aware XML editor, Spring's extensible XML configuration mechanism is based on XML Schema. If you are not familiar with Spring's current XML configuration extensions that come with the standard Spring distribution, please first read the appendix entitled Appendix A, XML Schema-based configuration.

Creating new XML configuration extensions can be done by following these (relatively) simple steps:

- 1. <u>Authoring</u> an XML schema to describe your custom element(s).
- 2. Coding a custom NamespaceHandler implementation (this is an easy step, don't worry).
- 3. Coding one or more BeanDefinitionParser implementations (this is where the real work is done).
- 4. Registering the above artifacts with Spring (this too is an easy step).

What follows is a description of each of these steps. For the example, we will create an XML extension (a custom XML element) that allows us to configure objects of the type SimpleDateFormat (from the java.text package) in an easy manner. When we are done, we will be able to define bean definitions of type SimpleDateFormat like this:

```
<myns:dateformat id="dateFormat"
  pattern="yyyy-MM-dd HH:mm"
  lenient="true"/>
```

(Don't worry about the fact that this example is very simple; much more detailed examples follow afterwards. The intent in this first simple example is to walk you through the basic steps involved.)

# **B.2.** Authoring the schema

Creating an XML configuration extension for use with Spring's IoC container starts with authoring an XML Schema to describe the extension. What follows is the schema we'll use to configure SimpleDateFormat objects.

```
<!-- myns.xsd (inside package org/springframework/samples/xml) -->
<?xml version="1.0" encoding="UTF-8"?>
<xsd:schema xmlns="http://www.mycompany.com/schema/myns"
    xmlns:xsd="http://www.w3.org/2001/XMLSchema"
    xmlns:beans="http://www.springframework.org/schema/beans"
    targetNamespace="http://www.mycompany.com/schema/myns"
    elementFormDefault="qualified"
    attributeFormDefault="unqualified">
    <xsd:import namespace="http://www.springframework.org/schema/beans"/>
    <xsd:element name="dateformat">
```

(The emphasized line contains an extension base for all tags that will be identifiable (meaning they have an idattribute that will be used as the bean identifier in the container). We are able to use this attribute because we imported the Spring-provided 'beans' namespace.)

The above schema will be used to configure SimpleDateFormat objects, directly in an XML application context file using the <myns:dateformat/> element.

```
<myns:dateformat id="dateFormat"
  pattern="yyyy-MM-dd HH:mm"
  lenient="true"/>
```

Note that after we've created the infrastructure classes, the above snippet of XML will essentially be exactly the same as the following XML snippet. In other words, we're just creating a bean in the container, identified by the name 'dateFormat' of type SimpleDateFormat, with a couple of properties set.



### Note

The schema-based approach to creating configuration format allows for tight integration with an IDE that has a schema-aware XML editor. Using a properly authored schema, you can use autocompletion to have a user choose between several configuration options defined in the enumeration.

# B.3. Coding a NamespaceHandler

In addition to the schema, we need a NamespaceHandler that will parse all elements of this specific namespace Spring encounters while parsing configuration files. The NamespaceHandler should in our case take care of the parsing of the myns:dateformat element.

The NamespaceHandler interface is pretty simple in that it features just three methods:

- init() allows for initialization of the NamespaceHandler and will be called by Spring before the handler is used
- BeanDefinition parse(Element, ParserContext) called when Spring encounters a top-level element (not nested inside a bean definition or a different namespace). This method can register bean definitions itself and/or return a bean definition.
- BeanDefinitionHolder decorate(Node, BeanDefinitionHolder, ParserContext) called when Spring
  encounters an attribute or nested element of a different namespace. The decoration of one or more bean
  definitions is used for example with the <u>out-of-the-box scopes Spring 2.0 supports</u>. We'll start by
  highlighting a simple example, without using decoration, after which we will show decoration in a somewhat

more advanced example.

Although it is perfectly possible to code your own NamespaceHandler for the entire namespace (and hence provide code that parses each and every element in the namespace), it is often the case that each top-level XML element in a Spring XML configuration file results in a single bean definition (as in our case, where a single <myns:dateformat/> element results in a single SimpleDateFormat bean definition). Spring features a number of convenience classes that support this scenario. In this example, we'll make use the NamespaceHandlerSupport class:

```
package org.springframework.samples.xml;
import org.springframework.beans.factory.xml.NamespaceHandlerSupport;

public class MyNamespaceHandler extends NamespaceHandlerSupport {
    public void init() {
        registerBeanDefinitionParser("dateformat", new SimpleDateFormatBeanDefinitionParser());
    }
}
```

The observant reader will notice that there isn't actually a whole lot of parsing logic in this class. Indeed... the NamespaceHandlerSupport class has a built in notion of delegation. It supports the registration of any number of BeanDefinitionParser instances, to which it will delegate to when it needs to parse an element in its namespace. This clean separation of concerns allows a NamespaceHandler to handle the orchestration of the parsing of *all* of the custom elements in its namespace, while delegating to BeanDefinitionParsers to do the grunt work of the XML parsing; this means that each BeanDefinitionParser will contain just the logic for parsing a single custom element, as we can see in the next step

## B.4. Coding a BeanDefinitionParser

A BeanDefinitionParser will be used if the NamespaceHandler encounters an XML element of the type that has been mapped to the specific bean definition parser (which is 'dateformat' in this case). In other words, the BeanDefinitionParser is responsible for parsing *one* distinct top-level XML element defined in the schema. In the parser, we'll have access to the XML element (and thus its subelements too) so that we can parse our custom XML content, as can be seen in the following example:

```
package org.springframework.samples.xml;
import org.springframework.beans.factory.support.BeanDefinitionBuilder;
import org.springframework.beans.factory.xml.AbstractSingleBeanDefinitionParser;
import org.springframework.util.StringUtils;
import org.w3c.dom.Element;
import java.text.SimpleDateFormat;
public class SimpleDateFormatBeanDefinitionParser extends AbstractSingleBeanDefinitionParser \{ oldsymbol{0}
   protected Class getBeanClass(Element element) {
      return SimpleDateFormat.class; 2
   protected void doParse(Element element, BeanDefinitionBuilder bean) {
      // this will never be null since the schema explicitly requires that a value be supplied
      String pattern = element.getAttribute("pattern");
      bean.addConstructorArg(pattern);
      // this however is an optional property
      String lenient = element.getAttribute("lenient");
      if (StringUtils.hasText(lenient)) {
         bean.addPropertyValue("lenient", Boolean.valueOf(lenient));
   }
```

- We use the Spring-provided AbstractSingleBeanDefinitionParser to handle a lot of the basic grunt work of creating a *single* BeanDefinition.
- We supply the AbstractSingleBeanDefinitionParser superclass with the type that our single BeanDefinition will represent.

In this simple case, this is all that we need to do. The creation of our single BeanDefinition is handled by the AbstractSingleBeanDefinitionParser superclass, as is the extraction and setting of the bean definition's unique identifier.

# B.5. Registering the handler and the schema

The coding is finished! All that remains to be done is to somehow make the Spring XML parsing infrastructure aware of our custom element; we do this by registering our custom namespaceHandler and custom XSD file in two special purpose properties files. These properties files are both placed in a 'META-INF' directory in your application, and can, for example, be distributed alongside your binary classes in a JAR file. The Spring XML parsing infrastructurewill automatically pick up your new extension by consuming these special properties files, the formats of which are detailed below.

### B.5.1. 'META-INF/spring.handlers'

The properties file called 'spring.handlers' contains a mapping of XML Schema URIs to namespace handler classes. So for our example, we need to write the following:

```
http\://www.mycompany.com/schema/myns=org.springframework.samples.xml.MyNamespaceHandler
```

(The ':' character is a valid delimiter in the Java properties format, and so the ':' character in the URI needs to be escaped with a backslash.)

The first part (the key) of the key-value pair is the URI associated with your custom namespace extension, and needs to *match exactly* the value of the 'targetNamespace' attribute as specified in your custom XSD schema.

### B.5.2. 'META-INF/spring.schemas'

The properties file called 'spring.schemas' contains a mapping of XML Schema locations (referred to along with the schema declaration in XML files that use the schema as part of the 'xsi:schemaLocation' attribute) to *classpath* resources. This file is needed to prevent Spring from absolutely having to use a default EntityResolver that requires Internet access to retrieve the schema file. If you specify the mapping in this properties file, Spring will search for the schema on the classpath (in this case 'myns.xsd' in the 'org.springframework.samples.xml' package):

```
http\://www.mycompany.com/schema/myns/myns.xsd=org/springframework/samples/xml/myns.xsd
```

The upshot of this is that you are encouraged to deploy your XSD file(s) right alongside the NamespaceHandler and BeanDefinitionParser classes on the classpath.

# B.6. Using a custom extension in your Spring XML configuration

Using a custom extension that you yourself have implemented is no different from using one of the 'custom'

extensions that Spring provides straight out of the box. Find below an example of using the custom <dateformat/> element developed in the previous steps in a Spring XML configuration file.

```
<?xml version="1.0" encoding="UTF-8"?>
<beans xmlns="http://www.springframework.org/schema/beans"</pre>
      xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
      xmlns:myns="http://www.mycompany.com/schema/myns"
      xsi:schemaLocation='
http://www.springframework.org/schema/beans http://www.springframework.org/schema/beans/spring-beans-2.5.xsd
http://www.mycompany.com/schema/myns http://www.mycompany.com/schema/myns/myns.xsd">
   <!-- as a top-level bean -->
   <myns:dateformat id="defaultDateFormat" pattern="yyyy-MM-dd HH:mm" lenient="true"/>
   <bean id="jobDetailTemplate" abstract="true">
      property name="dateFormat">
         <!-- as an inner bean -->
         <myns:dateformat pattern="HH:mm MM-dd-yyyy"/>
      </property>
   </bean>
</beans>
```

# **B.7. Meatier examples**

Find below some much meatier examples of custom XML extensions.

## B.7.1. Nesting custom tags within custom tags

This example illustrates how you might go about writing the various artifacts required to satisfy a target of the following configuration:

The above configuration actually nests custom extensions within each other. The class that is actually configured by the above <foo:component/> element is the Component class (shown directly below). Notice how the Component class does *not* expose a setter method for the 'components' property; this makes it hard (or rather impossible) to configure a bean definition for the Component class using setter injection.

```
package com.foo;
import java.util.ArrayList;
import java.util.List;

public class Component {
    private String name;
    private List components = new ArrayList();

    // mmm, there is no setter method for the 'components'
    public void addComponent(Component component) {
        this.components.add(component);
    }
}
```

```
public List getComponents() {
    return components;
}

public String getName() {
    return name;
}

public void setName(String name) {
    this.name = name;
}

}
```

The typical solution to this issue is to create a custom FactoryBean that exposes a setter property for the 'components' property.

```
package com.foo;
import org.springframework.beans.factory.FactoryBean;
import java.util.Iterator;
import java.util.List;
public class ComponentFactoryBean implements FactoryBean {
   private Component parent;
   private List children;
   public void setParent(Component parent) {
      this.parent = parent;
   public void setChildren(List children) {
      this.children = children;
   public Object getObject() throws Exception {
      if (this.children != null && this.children.size() > 0) {
         for (Iterator it = children.iterator(); it.hasNext();) {
            Component childComponent = (Component) it.next();
            this.parent.addComponent(childComponent);
      return this.parent;
   public Class getObjectType() {
      return Component.class;
   public boolean isSingleton() {
      return true;
```

This is all very well, and does work nicely, but exposes a lot of Spring plumbing to the end user. What we are going to do is write a custom extension that hides away all of this Spring plumbing. If we stick to the steps described previously, we'll start off by creating the XSD schema to define the structure of our custom tag.

We'll then create a custom NamespaceHandler.

```
package com.foo;
import org.springframework.beans.factory.xml.NamespaceHandlerSupport;
public class ComponentNamespaceHandler extends NamespaceHandlerSupport {
    public void init() {
        registerBeanDefinitionParser("component", new ComponentBeanDefinitionParser());
    }
}
```

Next up is the custom BeanDefinitionParser. Remember that what we are creating is a BeanDefinition describing a ComponentFactoryBean.

```
package com.foo;
import org.springframework.beans.factory.support.AbstractBeanDefinition;
import org.springframework.beans.factory.support.BeanDefinitionBuilder;
import org.springframework.beans.factory.support.ManagedList;
import org.springframework.beans.factory.xml.AbstractBeanDefinitionParser;
import org.springframework.beans.factory.xml.ParserContext;
import org.springframework.util.xml.DomUtils;
import org.w3c.dom.Element;
import java.util.List;
public class ComponentBeanDefinitionParser extends AbstractBeanDefinitionParser {
   protected AbstractBeanDefinition parseInternal(Element element, ParserContext parserContext) {
      BeanDefinitionBuilder factory = BeanDefinitionBuilder.rootBeanDefinition(ComponentFactoryBean.class);
      BeanDefinitionBuilder parent = parseComponent(element);
      factory.addPropertyValue("parent", parent.getBeanDefinition());
      List childElements = DomUtils.getChildElementsByTagName(element, "component");
      if (childElements != null && childElements.size() > 0) {
         parseChildComponents(childElements, factory);
      return factory.getBeanDefinition();
   private static BeanDefinitionBuilder parseComponent(Element element) {
      BeanDefinitionBuilder component = BeanDefinitionBuilder.rootBeanDefinition(Component.class);
      component.addPropertyValue("name", element.getAttribute("name"));
      return component;
   private static void parseChildComponents(List childElements, BeanDefinitionBuilder factory) {
      ManagedList children = new ManagedList(childElements.size());
      for (int i = 0; i < childElements.size(); ++i) {</pre>
         Element childElement = (Element) childElements.get(i);
         BeanDefinitionBuilder child = parseComponent(childElement);
         children.add(child.getBeanDefinition());
      factory.addPropertyValue("children", children);
}
```

Lastly, the various artifacts need to be registered with the Spring XML infrastructure.

```
# in 'META-INF/spring.handlers'
http\://www.foo.com/schema/component=com.foo.ComponentNamespaceHandler
```

```
# in 'META-INF/spring.schemas'
http\://www.foo.com/schema/component/component.xsd=com/foo/component.xsd
```

### B.7.2. Custom attributes on 'normal' elements

Writing your own custom parser and the associated artifacts isn't hard, but sometimes it is not the right thing to do. Consider the scenario where you need to add metadata to already existing bean definitions. In this case you certainly don't want to have to go off and write your own entire custom extension; rather you just want to add an additional attribute to the existing bean definition element.

By way of another example, let's say that the service class that you are defining a bean definition for a service object that will (unknown to it) be accessing a clustered <u>JCache</u>, and you want to ensure that the named JCache instance is eagerly started within the surrounding cluster:

```
<bean id="checkingAccountService" class="com.foo.DefaultCheckingAccountService"
    jcache:cache-name="checking.account">
    <!-- other dependencies here... -->
</bean>
```

What we are going to do here is create another BeanDefinition when the 'jcache:cache-name' attribute is parsed; this BeanDefinition will then initialize the named JCache for us. We will also modify the existing BeanDefinition for the 'checkingAccountService' so that it will have a dependency on this new JCache-initializing BeanDefinition.

```
package com.foo;

public class JCacheInitializer {

   private String name;

   public JCacheInitializer(String name) {
      this.name = name;
   }

   public void initialize() {
      // lots of JCache API calls to initialize the named cache...
   }
}
```

Now onto the custom extension. Firstly, the authoring of the XSD schema describing the custom attribute (quite easy in this case).

Next, the associated NamespaceHandler.

```
package com.foo;
import org.springframework.beans.factory.xml.NamespaceHandlerSupport;
public class JCacheNamespaceHandler extends NamespaceHandlerSupport {
   public void init() {
```

Next, the parser. Note that in this case, because we are going to be parsing an XML attribute, we write a BeanDefinitionDecorator rather than a BeanDefinitionParser.

```
package com.foo;
import org.springframework.beans.factory.config.BeanDefinitionHolder;
import org.springframework.beans.factory.support.BeanDefinitionBuilder;
import org.springframework.beans.factory.xml.BeanDefinitionDecorator;
import org.springframework.beans.factory.xml.ParserContext;
import org.w3c.dom.Attr;
import org.w3c.dom.Node;
import java.util.ArrayList;
import java.util.Arrays;
import java.util.List;
public class JCacheInitializingBeanDefinitionDecorator implements BeanDefinitionDecorator {
  private static final String[] EMPTY_STRING_ARRAY = new String[0];
  public BeanDefinitionHolder decorate(
        Node source, BeanDefinitionHolder holder, ParserContext ctx) {
     String initializerBeanName = registerJCacheInitializer(source, ctx);
     createDependencyOnJCacheInitializer(holder, initializerBeanName);
     return holder;
  private void createDependencyOnJCacheInitializer(BeanDefinitionHolder holder, String initializerBeanName) {
     AbstractBeanDefinition definition = ((AbstractBeanDefinition) holder.getBeanDefinition());
     String[] dependsOn = definition.getDependsOn();
      if (dependsOn == null) {
        dependsOn = new String[]{initializerBeanName};
      } else {
        List dependencies = new ArrayList(Arrays.asList(dependsOn));
        dependencies.add(initializerBeanName);
        dependsOn = (String[]) dependencies.toArray(EMPTY_STRING_ARRAY);
     definition.setDependsOn(dependsOn);
   private String registerJCacheInitializer(Node source, ParserContext ctx) {
     String cacheName = ((Attr) source).getValue();
     String beanName = cacheName + "-initializer";
      if (!ctx.getRegistry().containsBeanDefinition(beanName)) {
        BeanDefinitionBuilder initializer = BeanDefinitionBuilder.rootBeanDefinition(JCacheInitializer.class);
        initializer.addConstructorArg(cacheName);
        ctx.getRegistry().registerBeanDefinition(beanName, initializer.getBeanDefinition());
     return beanName;
   }
```

Lastly, the various artifacts need to be registered with the Spring XML infrastructure.

```
# in 'META-INF/spring.handlers'
http\://www.foo.com/schema/jcache=com.foo.JCacheNamespaceHandler
```

```
# in 'META-INF/spring.schemas'
http\://www.foo.com/schema/jcache/jcache.xsd=com/foo/jcache.xsd
```

## **B.8. Further Resources**

Find below links to further resources concerning XML Schema and the extensible XML support described in this chapter.

- The XML Schema Part 1: Structures Second Edition
- The XML Schema Part 2: Datatypes Second Edition

# Appendix C. spring-beans-2.0.dtd

```
<!--
        Spring XML Beans DTD, version 2.0
        Authors: Rod Johnson, Juergen Hoeller, Alef Arendsen, Colin Sampaleanu, Rob Harrop
       This defines a simple and consistent way of creating a namespace
       of JavaBeans objects, managed by a Spring BeanFactory, read by
       {\tt XmlBeanDefinitionReader\ (with\ DefaultBeanDefinitionDocumentReader).}
       This document type is used by most Spring functionality, including
       web application contexts, which are based on bean factories.
        Each "bean" element in this document defines a JavaBean.
       Typically the bean class is specified, along with JavaBean properties
       and/or constructor arguments.
       A bean instance can be a "singleton" (shared instance) or a "prototype"
        (independent instance). Further scopes can be provided by extended
       bean factories, for example in a web environment.
       References among beans are supported, that is, setting a JavaBean property
       or a constructor argument to refer to another bean in the same factory
        (or an ancestor factory).
       As alternative to bean references, "inner bean definitions" can be used.
        Singleton flags of such inner bean definitions are effectively ignored:
       Inner beans are typically anonymous prototypes.
       There is also support for lists, sets, maps, and java.util.Properties
       as bean property types or constructor argument types.
       For simple purposes, this DTD is sufficient. As of Spring 2.0,
       XSD-based bean definitions are supported as more powerful alternative.
       XML documents that conform to this DTD should declare the following doctype:
        <!DOCTYPE beans PUBLIC "-//SPRING//DTD BEAN 2.0//EN"</pre>
                "http://www.springframework.org/dtd/spring-beans-2.0.dtd">
<!--
       The document root. A document can contain bean definitions only,
       imports only, or a mixture of both (typically with imports first).
<!ELEMENT beans (
       description?,
       (import | alias | bean)*
) >
<!--
       Default values for all bean definitions. Can be overridden at
       the "bean" level. See those attribute definitions for details.
<!ATTLIST beans default-lazy-init (true | false) "false">
<!ATTLIST beans default-autowire (no | byName | byType | constructor | autodetect) "no">
<!ATTLIST beans default-dependency-check (none | objects | simple | all) "none">
<!ATTLIST beans default-init-method CDATA #IMPLIED>
<!ATTLIST beans default-destroy-method CDATA #IMPLIED>
<!ATTLIST beans default-merge (true | false) "false">
<!--
       Element containing informative text describing the purpose of the enclosing
       element. Always optional.
       Used primarily for user documentation of XML bean definition documents.
<!ELEMENT description (#PCDATA)>
<!--
       Specifies an XML bean definition resource to import.
<!ELEMENT import EMPTY>
```

```
<!--
       The relative resource location of the XML bean definition file to import,
       for example "myImport.xml" or "includes/myImport.xml" or "../myImport.xml".
<!ATTLIST import resource CDATA #REQUIRED>
<!--
       Defines an alias for a bean, which can reside in a different definition file.
<!ELEMENT alias EMPTY>
       The name of the bean to define an alias for.
<!ATTLIST alias name CDATA #REQUIRED>
       The alias name to define for the bean.
<!ATTLIST alias alias CDATA #REQUIRED>
Allows for arbitrary metadata to be attached to a bean definition.
<!ELEMENT meta EMPTY>
Specifies the key name of the metadata parameter being defined.
<!ATTLIST meta key CDATA #REQUIRED>
<!--
 Specifies the value of the metadata parameter being defined as a String.
<!ATTLIST meta value CDATA #REQUIRED>
<!--
       Defines a single (usually named) bean.
       A bean definition may contain nested tags for constructor arguments,
       property values, lookup methods, and replaced methods. Mixing constructor
       injection and setter injection on the same bean is explicitly supported.
<!ELEMENT bean (
       description?,
        (meta | constructor-arg | property | lookup-method | replaced-method)*
) >
<!--
       Beans can be identified by an id, to enable reference checking.
       There are constraints on a valid XML id: if you want to reference your bean
       in Java code using a name that's illegal as an XML id, use the optional
        "name" attribute. If neither is given, the bean class name is used as id
        (with an appended counter like "#2" if there is already a bean with that name).
<!ATTLIST bean id ID #IMPLIED>
<!--
       Optional. Can be used to create one or more aliases illegal in an id.
       Multiple aliases can be separated by any number of spaces, commas, or
       semi-colons (or indeed any mixture of the three).
<!ATTLIST bean name CDATA #IMPLIED>
<!--
       Each bean definition must specify the fully qualified name of the class,
       except if it pure serves as parent for child bean definitions.
<!ATTLIST bean class CDATA #IMPLIED>
<!--
       Optionally specify a parent bean definition.
       Will use the bean class of the parent if none specified, but can
```

```
also override it. In the latter case, the child bean class must be
        compatible with the parent, i.e. accept the parent's property values
        and constructor argument values, if any.
       A child bean definition will inherit constructor argument values,
        property values and method overrides from the parent, with the option
       to add new values. If init method, destroy method, factory bean and/or factory
       method are specified, they will override the corresponding parent settings.
       The remaining settings will always be taken from the child definition:
       depends on, autowire mode, dependency check, scope, lazy init.
<!ATTLIST bean parent CDATA #IMPLIED>
<!--
       The scope of this bean: typically "singleton" (one shared instance,
       which will be returned by all calls to getBean() with the id),
       or "prototype" (independent instance resulting from each call to
       getBean(). Default is "singleton".
       Singletons are most commonly used, and are ideal for multi-threaded
        service objects. Further scopes, such as "request" or "session",
       might be supported by extended bean factories (for example, in a
       web environment).
       Note: This attribute will not be inherited by child bean definitions.
       Hence, it needs to be specified per concrete bean definition.
        Inner bean definitions inherit the singleton status of their containing
       bean definition, unless explicitly specified: The inner bean will be a
        singleton if the containing bean is a singleton, and a prototype if
        the containing bean has any other scope.
<!ATTLIST bean scope CDATA #IMPLIED>
<!--
       Is this bean "abstract", i.e. not meant to be instantiated itself but
       rather just serving as parent for concrete child bean definitions.
       Default is "false". Specify "true" to tell the bean factory to not try to
       instantiate that particular bean in any case.
       Note: This attribute will not be inherited by child bean definitions.
       Hence, it needs to be specified per abstract bean definition.
<!ATTLIST bean abstract (true | false) #IMPLIED>
<!--
        If this bean should be lazily initialized.
       If false, it will get instantiated on startup by bean factories
        that perform eager initialization of singletons.
       Note: This attribute will not be inherited by child bean definitions.
       Hence, it needs to be specified per concrete bean definition.
<!ATTLIST bean lazy-init (true | false | default) "default">
 Indicates whether or not this bean should be considered when looking
 for candidates to satisfy another beans autowiring requirements.
<!ATTLIST bean autowire-candidate (true | false) #IMPLIED>
<!--
        Optional attribute controlling whether to "autowire" bean properties.
       This is an automagical process in which bean references don't need to be coded
       explicitly in the XML bean definition file, but Spring works out dependencies.
       There are 5 modes:
       1. "no"
       The traditional Spring default. No automagical wiring. Bean references
       must be defined in the XML file via the <ref> element. We recommend this
       in most cases as it makes documentation more explicit.
       2. "byName"
       Autowiring by property name. If a bean of class Cat exposes a dog property,
        Spring will try to set this to the value of the bean "dog" in the current factory.
```

```
If there is no matching bean by name, nothing special happens;
       use dependency-check="objects" to raise an error in that case.
       3. "byType"
       Autowiring if there is exactly one bean of the property type in the bean factory.
        If there is more than one, a fatal error is raised, and you can't use byType
       autowiring for that bean. If there is none, nothing special happens;
       use dependency-check="objects" to raise an error in that case.
        4. "constructor"
       Analogous to "byType" for constructor arguments. If there isn't exactly one bean
       of the constructor argument type in the bean factory, a fatal error is raised.
        5. "autodetect"
        Chooses "constructor" or "byType" through introspection of the bean class.
        If a default constructor is found, "byType" gets applied.
       The latter two are similar to PicoContainer and make bean factories simple to
        configure for small namespaces, but doesn't work as well as standard Spring
       behaviour for bigger applications.
       Note that explicit dependencies, i.e. "property" and "constructor-arg" elements,
       always override autowiring. Autowire behavior can be combined with dependency
        checking, which will be performed after all autowiring has been completed.
       Note: This attribute will not be inherited by child bean definitions.
       Hence, it needs to be specified per concrete bean definition.
<!ATTLIST bean autowire (no | byName | byType | constructor | autodetect | default) "default">
<!--
       Optional attribute controlling whether to check whether all this
       beans dependencies, expressed in its properties, are satisfied.
       Default is no dependency checking.
        "simple" type dependency checking includes primitives and String;
        "objects" includes collaborators (other beans in the factory);
        "all" includes both types of dependency checking.
       Note: This attribute will not be inherited by child bean definitions.
       Hence, it needs to be specified per concrete bean definition.
<!ATTLIST bean dependency-check (none | objects | simple | all | default) "default">
<!--
       The names of the beans that this bean depends on being initialized.
       The bean factory will guarantee that these beans get initialized before.
       Note that dependencies are normally expressed through bean properties or
       constructor arguments. This property should just be necessary for other kinds
       of dependencies like statics (*ugh*) or database preparation on startup.
       Note: This attribute will not be inherited by child bean definitions.
       Hence, it needs to be specified per concrete bean definition.
<!ATTLIST bean depends-on CDATA #IMPLIED>
<!--
       Optional attribute for the name of the custom initialization method
       to invoke after setting bean properties. The method must have no arguments,
       but may throw any exception.
<!ATTLIST bean init-method CDATA #IMPLIED>
<!--
       Optional attribute for the name of the custom destroy method to invoke
        on bean factory shutdown. The method must have no arguments,
       but may throw any exception.
       Note: Only invoked on beans whose lifecycle is under full control
       of the factory - which is always the case for singletons, but not
       guaranteed for any other scope.
<!ATTLIST bean destroy-method CDATA #IMPLIED>
<!--
       Optional attribute specifying the name of a factory method to use to
```

```
create this object. Use constructor-arg elements to specify arguments
        to the factory method, if it takes arguments. Autowiring does not apply
        to factory methods.
       If the "class" attribute is present, the factory method will be a static
       method on the class specified by the "class" attribute on this bean
       definition. Often this will be the same class as that of the constructed
       object - for example, when the factory method is used as an alternative
        to a constructor. However, it may be on a different class. In that case,
       the created object will *not* be of the class specified in the "class"
       attribute. This is analogous to FactoryBean behavior.
       If the "factory-bean" attribute is present, the "class" attribute is not
       used, and the factory method will be an instance method on the object
       returned from a getBean call with the specified bean name. The factory
       bean may be defined as a singleton or a prototype.
       The factory method can have any number of arguments. Autowiring is not
        supported. Use indexed constructor-arg elements in conjunction with the
       factory-method attribute.
        Setter Injection can be used in conjunction with a factory method.
       Method Injection cannot, as the factory method returns an instance,
       which will be used when the container creates the bean.
<!ATTLIST bean factory-method CDATA #IMPLIED>
<!--
       Alternative to class attribute for factory-method usage.
       If this is specified, no class attribute should be used.
       This should be set to the name of a bean in the current or
        ancestor factories that contains the relevant factory method.
       This allows the factory itself to be configured using Dependency
       Injection, and an instance (rather than static) method to be used.
<!ATTLIST bean factory-bean CDATA #IMPLIED>
       Bean definitions can specify zero or more constructor arguments.
       This is an alternative to "autowire constructor".
       Arguments correspond to either a specific index of the constructor argument
        list or are supposed to be matched generically by type.
       Note: A single generic argument value will just be used once, rather than
       potentially matched multiple times (as of Spring 1.1).
        constructor-arg elements are also used in conjunction with the factory-method
       element to construct beans using static or instance factory methods.
<!ELEMENT constructor-arg (
       description?
        (bean | ref | idref | value | null | list | set | map | props)?
) >
<!--
       The constructor-arg tag can have an optional index attribute,
       to specify the exact index in the constructor argument list. Only needed
        to avoid ambiguities, e.g. in case of 2 arguments of the same type.
<!ATTLIST constructor-arg index CDATA #IMPLIED>
<!--
       The constructor-arg tag can have an optional type attribute,
        to specify the exact type of the constructor argument. Only needed
        to avoid ambiguities, e.g. in case of 2 single argument constructors
       that can both be converted from a String.
<!ATTLIST constructor-arg type CDATA #IMPLIED>
 A short-cut alternative to a child element "ref bean=".
<!ATTLIST constructor-arg ref CDATA #IMPLIED>
 A short-cut alternative to a child element "value".
```

```
<!ATTLIST constructor-arg value CDATA #IMPLIED>
<!--
       Bean definitions can have zero or more properties.
        Property elements correspond to JavaBean setter methods exposed
       by the bean classes. Spring supports primitives, references to other
       beans in the same or related factories, lists, maps and properties.
<!ELEMENT property (
       description?, meta*,
        (bean | ref | idref | value | null | list | set | map | props)?
) >
<!--
       The property name attribute is the name of the JavaBean property.
       This follows JavaBean conventions: a name of "age" would correspond
        to setAge()/optional getAge() methods.
<!ATTLIST property name CDATA #REQUIRED>
A short-cut alternative to a child element "ref bean=".
<!ATTLIST property ref CDATA #IMPLIED>
A short-cut alternative to a child element "value".
<!ATTLIST property value CDATA #IMPLIED>
<!--
       A lookup method causes the IoC container to override the given method and return
        the bean with the name given in the bean attribute. This is a form of Method Injection.
       It's particularly useful as an alternative to implementing the BeanFactoryAware
       interface, in order to be able to make getBean() calls for non-singleton instances
       at runtime. In this case, Method Injection is a less invasive alternative.
<!ELEMENT lookup-method EMPTY>
<!--
       Name of a lookup method. This method should take no arguments.
<!ATTLIST lookup-method name CDATA #IMPLIED>
<!--
        Name of the bean in the current or ancestor factories that the lookup method
       should resolve to. Often this bean will be a prototype, in which case the
       lookup method will return a distinct instance on every invocation. This
        is useful for single-threaded objects.
<!ATTLIST lookup-method bean CDATA #IMPLIED>
<!--
        Similar to the lookup method mechanism, the replaced-method element is used to control
       IoC container method overriding: Method Injection. This mechanism allows the overriding
       of a method with arbitrary code.
<!ELEMENT replaced-method (
       (arg-type)*
) >
<!--
       Name of the method whose implementation should be replaced by the IoC container.
        If this method is not overloaded, there's no need to use arg-type subelements.
       If this method is overloaded, arg-type subelements must be used for all
        override definitions for the method.
<!ATTLIST replaced-method name CDATA #IMPLIED>
<!--
        Bean name of an implementation of the MethodReplacer interface in the current
       or ancestor factories. This may be a singleton or prototype bean. If it's
       a prototype, a new instance will be used for each method replacement.
       Singleton usage is the norm.
```

```
<!ATTLIST replaced-method replacer CDATA #IMPLIED>
       Subelement of replaced-method identifying an argument for a replaced method
       in the event of method overloading.
<!ELEMENT arg-type (#PCDATA)>
<!--
       Specification of the type of an overloaded method argument as a String.
       For convenience, this may be a substring of the FQN. E.g. all the
       following would match "java.lang.String":
        - java.lang.String
        - String
        - Str
       As the number of arguments will be checked also, this convenience can often
       be used to save typing.
<!ATTLIST arg-type match CDATA #IMPLIED>
<!--
       Defines a reference to another bean in this factory or an external
       factory (parent or included factory).
<!ELEMENT ref EMPTY>
       References must specify a name of the target bean.
       The "bean" attribute can reference any name from any bean in the context,
       to be checked at runtime.
       Local references, using the "local" attribute, have to use bean ids;
        they can be checked by this DTD, thus should be preferred for references
       within the same bean factory XML file.
-->
<!ATTLIST ref bean CDATA #IMPLIED>
<!ATTLIST ref local IDREF #IMPLIED>
<!ATTLIST ref parent CDATA #IMPLIED>
       Defines a string property value, which must also be the id of another
       bean in this factory or an external factory (parent or included factory).
        While a regular 'value' element could instead be used for the same effect,
       using idref in this case allows validation of local bean ids by the XML
       parser, and name completion by supporting tools.
<!ELEMENT idref EMPTY>
<!--
       ID refs must specify a name of the target bean.
       The "bean" attribute can reference any name from any bean in the context,
       potentially to be checked at runtime by bean factory implementations.
       Local references, using the "local" attribute, have to use bean ids;
       they can be checked by this DTD, thus should be preferred for references
       within the same bean factory XML file.
<!ATTLIST idref bean CDATA #IMPLIED>
<!ATTLIST idref local IDREF #IMPLIED>
<!--
       Contains a string representation of a property value.
       The property may be a string, or may be converted to the required
        type using the JavaBeans PropertyEditor machinery. This makes it
        possible for application developers to write custom PropertyEditor
        implementations that can convert strings to arbitrary target objects.
       Note that this is recommended for simple objects only.
       Configure more complex objects by populating JavaBean
       properties with references to other beans.
<!ELEMENT value (#PCDATA)>
<!--
```

```
The value tag can have an optional type attribute, to specify the
        exact type that the value should be converted to. Only needed
        if the type of the target property or constructor argument is
       too generic: for example, in case of a collection element.
<!ATTLIST value type CDATA #IMPLIED>
<!--
       Denotes a Java null value. Necessary because an empty "value" tag
       will resolve to an empty String, which will not be resolved to a
       null value unless a special PropertyEditor does so.
<!ELEMENT null (#PCDATA)>
<!--
       A list can contain multiple inner bean, ref, collection, or value elements.
       Java lists are untyped, pending generics support in Java 1.5,
       although references will be strongly typed.
       A list can also map to an array type. The necessary conversion
       is automatically performed by the BeanFactory.
<!ELEMENT list (
       (bean | ref | idref | value | null | list | set | map | props)*
) >
<!--
       Enable/disable merging for collections when using parent/child beans.
<!ATTLIST list merge (true | false | default) "default">
<!--
       Specify the default Java type for nested values.
<!ATTLIST list value-type CDATA #IMPLIED>
<!--
       A set can contain multiple inner bean, ref, collection, or value elements.
       Java sets are untyped, pending generics support in Java 1.5,
       although references will be strongly typed.
<!ELEMENT set (
       (bean | ref | idref | value | null | list | set | map | props)*
) >
<!--
        Enable/disable merging for collections when using parent/child beans.
<!ATTLIST set merge (true | false | default) "default">
<!--
       Specify the default Java type for nested values.
<!ATTLIST set value-type CDATA #IMPLIED>
<!--
       A Spring map is a mapping from a string key to object.
       Maps may be empty.
<!ELEMENT map (
       (entry)*
) >
<!--
       Enable/disable merging for collections when using parent/child beans.
<!ATTLIST map merge (true | false | default) "default">
<!--
       Specify the default Java type for nested entry keys.
<!ATTLIST map key-type CDATA #IMPLIED>
<!--
       Specify the default Java type for nested entry values.
```

```
<!ATTLIST map value-type CDATA #IMPLIED>
<!--
       A map entry can be an inner bean, ref, value, or collection.
       The key of the entry is given by the "key" attribute or child element.
<!ELEMENT entry (
 key?,
       (bean | ref | idref | value | null | list | set | map | props)?
) >
<!--
       Each map element must specify its key as attribute or as child element.
       A key attribute is always a String value.
<!ATTLIST entry key CDATA #IMPLIED>
A short-cut alternative to a "key" element with a "ref bean=" child element.
<!ATTLIST entry key-ref CDATA #IMPLIED>
<!--
A short-cut alternative to a child element "value".
-->
<!ATTLIST entry value CDATA #IMPLIED>
<!--
A short-cut alternative to a child element "ref bean=".
<!ATTLIST entry value-ref CDATA #IMPLIED>
<!--
       A key element can contain an inner bean, ref, value, or collection.
<!ELEMENT key (
       (bean | ref | idref | value | null | list | set | map | props)
) >
<!--
       Props elements differ from map elements in that values must be strings.
       Props may be empty.
<!ELEMENT props (
       (prop)*
) >
<1--
       Enable/disable merging for collections when using parent/child beans.
<!ATTLIST props merge (true | false | default) "default">
<!--
       Element content is the string value of the property.
       Note that whitespace is trimmed off to avoid unwanted whitespace
       caused by typical XML formatting.
<!ELEMENT prop (#PCDATA)>
<!--
       Each property element must specify its key.
<!ATTLIST prop key CDATA #REQUIRED>
```

# Appendix D. spring.tld

## **D.1. Introduction**

One of the view technologies you can use with the Spring Framework is Java Server Pages (JSPs). To help you implement views using Java Server Pages the Spring Framework provides you with some tags for evaluating errors, setting themes and outputting internationalized messages.

Please note that the various tags generated by this form tag library are compliant with the <u>XHTML-1.0-Strict</u> <u>specification</u> and attendant <u>DTD</u>.

This appendix describes the spring.tld tag library.

- Section D.2, "The bind tag"
- Section D.3, "The escapeBody tag"
- Section D.4, "The hasBindErrors tag"
- Section D.5, "The htmlEscape tag"
- Section D.6, "The message tag"
- Section D.7, "The nestedPath tag"
- Section D.8, "The theme tag"
- Section D.9, "The transform tag"

# D.2. The bind tag

Provides BindStatus object for the given bind path. The HTML escaping flag participates in a page-wide or application-wide setting (i.e. by HtmlEscapeTag or a "defaultHtmlEscape" context-param in web.xml).

Table D.1. Attributes

Attribute	Required?	Runtime Expression?
htmlEscape	false	true
ignoreNestedPath	false	true
path	true	true

# D.3. The escapeBody tag

Escapes its enclosed body content, applying HTML escaping and/or JavaScript escaping. The HTML escaping flag participates in a page-wide or application-wide setting (i.e. by HtmlEscapeTag or a "defaultHtmlEscape" context-param in web.xml).

Table D.2. Attributes

Attribute	Required?	Runtime Expression?
htmlEscape	false	true
javaScriptEscape	false	true

# D.4. The hasBindErrors tag

Provides Errors instance in case of bind errors. The HTML escaping flag participates in a page-wide or application-wide setting (i.e. by HtmlEscapeTag or a "defaultHtmlEscape" context-param in web.xml).

Table D.3. Attributes

Attribute	Required?	Runtime Expression?
htmlEscape	false	true
name	true	true

# D.5. The htmlEscape tag

Sets default HTML escape value for the current page. Overrides a "defaultHtmlEscape" context-param in web.xml, if any.

Table D.4. Attributes

Attribute	Required?	<b>Runtime Expression?</b>
defaultHtmlEscape	true	true

# D.6. The message tag

Retrieves the message with the given code, or text if code isn't resolvable. The HTML escaping flag participates in a page-wide or application-wide setting (i.e. by HtmlEscapeTag or a "defaultHtmlEscape" context-param in web.xml).

Table D.5. Attributes

Attribute	Required?	Runtime Expression?
arguments	false	true
argumentSeparator	false	true
code	false	true
htmlEscape	false	true
javaScriptEscape	false	true
message	false	true
scope	false	true
text	false	true
var	false	true

# D.7. The nestedPath tag

Sets a nested path to be used by the bind tag's path.

**Table D.6. Attributes** 

Attribute	Required?	Runtime Expression?
path	true	true

# D.8. The theme tag

Retrieves the theme message with the given code, or text if code isn't resolvable. The HTML escaping flag participates in a page-wide or application-wide setting (i.e. by HtmlEscapeTag or a "defaultHtmlEscape" context-param in web.xml).

Table D.7. Attributes

Attribute	Required?	Runtime Expression?
arguments	false	true

Attribute	Required?	Runtime Expression?
argumentSeparator	false	true
code	false	true
htmlEscape	false	true
javaScriptEscape	false	true
message	false	true
scope	false	true
text	false	true
var	false	true

# D.9. The transform tag

Provides transformation of variables to Strings, using an appropriate custom PropertyEditor from BindTag (can only be used inside BindTag). The HTML escaping flag participates in a page-wide or application-wide setting (i.e. by HtmlEscapeTag or a 'defaultHtmlEscape' context-param in web.xml).

Table D.8. Attributes

Attribute	Required?	Runtime Expression?
htmlEscape	false	true
scope	false	true
value	true	true
var	false	true

# Appendix E. spring-form.tld

### E.1. Introduction

One of the view technologies you can use with the Spring Framework is Java Server Pages (JSPs). To help you implement views using Java Server Pages the Spring Framework provides you with some tags for evaluating errors, setting themes and outputting internationalized messages.

Please note that the various tags generated by this form tag library are compliant with the <u>XHTML-1.0-Strict</u> <u>specification</u> and attendant <u>DTD</u>.

This appendix describes the spring-form.tld tag library.

- Section E.2, "The checkbox tag"
- Section E.3, "The checkboxes tag"
- Section E.4, "The errors tag"
- Section E.5, "The form tag"
- Section E.6, "The hidden tag"
- Section E.7, "The input tag"
- Section E.8, "The label tag"
- Section E.9, "The option tag"
- Section E.10, "The options tag"
- Section E.11, "The password tag"
- Section E.12, "The radiobutton tag"
- Section E.13, "The radiobuttons tag"
- Section E.14, "The select tag"
- Section E.15, "The textarea tag"

## E.2. The checkbox tag

Renders an HTML 'input' tag with type 'checkbox'.

### Table E.1. Attributes

Attribute	Required?	Runtime Expression?
accesskey	false	true

Attribute	Required?	Runtime Expression?
cssClass	false	true
cssErrorClass	false	true
cssStyle	false	true
dir	false	true
disabled	false	true
htmlEscape	false	true
id	false	true
label	false	true
lang	false	true
onblur	false	true
onchange	false	true
onclick	false	true
ondblclick	false	true
onfocus	false	true
onkeydown	false	true
onkeypress	false	true
onkeyup	false	true
onmousedown	false	true
onmousemove	false	true
onmouseout	false	true
onmouseover	false	true
onmouseup	false	true

Attribute	Required?	Runtime Expression?
path	true	true
tabindex	false	true
title	false	true
value	false	true

# E.3. The checkboxes tag

Renders multiple HTML 'input' tags with type 'checkbox'.

Table E.2. Attributes

Attribute	Required?	Runtime Expression?
accesskey	false	true
cssClass	false	true
cssErrorClass	false	true
cssStyle	false	true
delimiter	false	true
dir	false	true
disabled	false	true
element	false	true
htmlEscape	false	true
id	false	true
itemLabel	false	true
items	true	true
itemValue	false	true

Attribute	Required?	Runtime Expression?
lang	false	true
onblur	false	true
onchange	false	true
onclick	false	true
ondblclick	false	true
onfocus	false	true
onkeydown	false	true
onkeypress	false	true
onkeyup	false	true
onmousedown	false	true
onmousemove	false	true
onmouseout	false	true
onmouseover	false	true
onmouseup	false	true
path	true	true
tabindex	false	true
title	false	true

# E.4. The errors tag

Renders field errors in an HTML 'span' tag.

Table E.3. Attributes

Attribute	Required?	Runtime Expression?

Attribute	Required?	Runtime Expression?
cssClass	false	true
cssStyle	false	true
delimiter	false	true
dir	false	true
element	false	true
htmlEscape	false	true
id	false	true
lang	false	true
onclick	false	true
ondblclick	false	true
onkeydown	false	true
onkeypress	false	true
onkeyup	false	true
onmousedown	false	true
onmousemove	false	true
onmouseout	false	true
onmouseover	false	true
onmouseup	false	true
path	false	true
tabindex	false	true
title	false	true

# E.5. The form tag

Renders an HTML 'form' tag and exposes a binding path to inner tags for binding.

Table E.4. Attributes

Attribute	Required?	Runtime Expression?
acceptCharset	false	true
action	false	true
autocomplete	false	true
commandName	false	true
cssClass	false	true
cssStyle	false	true
dir	false	true
enctype	false	true
htmlEscape	false	true
id	false	true
lang	false	true
method	false	true
modelAttribute	false	true
name	false	true
onclick	false	true
ondblclick	false	true
onkeydown	false	true
onkeypress	false	true
onkeyup	false	true

Attribute	Required?	Runtime Expression?
onmousedown	false	true
onmousemove	false	true
onmouseout	false	true
onmouseover	false	true
onmouseup	false	true
onreset	false	true
onsubmit	false	true
target	false	true
title	false	true

## E.6. The hidden tag

Renders an HTML 'input' tag with type 'hidden' using the bound value.

Table E.5. Attributes

Attribute	Required?	Runtime Expression?
htmlEscape	false	true
id	false	true
path	true	true

## E.7. The input tag

Renders an HTML 'input' tag with type 'text' using the bound value.

Table E.6. Attributes

Attribute	Required?	Runtime Expression?
accesskey	false	true

Attribute	Required?	Runtime Expression?
alt	false	true
autocomplete	false	true
cssClass	false	true
cssErrorClass	false	true
cssStyle	false	true
dir	false	true
disabled	false	true
htmlEscape	false	true
id	false	true
lang	false	true
maxlength	false	true
onblur	false	true
onchange	false	true
onclick	false	true
ondblclick	false	true
onfocus	false	true
onkeydown	false	true
onkeypress	false	true
onkeyup	false	true
onmousedown	false	true
onmousemove	false	true

Attribute	Required?	Runtime Expression?
onmouseout	false	true
onmouseover	false	true
onmouseup	false	true
onselect	false	true
path	true	true
readonly	false	true
size	false	true
tabindex	false	true
title	false	true

## E.8. The label tag

Renders a form field label in an HTML 'label' tag.

Table E.7. Attributes

Attribute	Required?	Runtime Expression?
cssClass	false	true
cssErrorClass	false	true
cssStyle	false	true
dir	false	true
for	false	true
htmlEscape	false	true
id	false	true
lang	false	true

Attribute	Required?	<b>Runtime Expression?</b>
onclick	false	true
ondblclick	false	true
onkeydown	false	true
onkeypress	false	true
onkeyup	false	true
onmousedown	false	true
onmousemove	false	true
onmouseout	false	true
onmouseover	false	true
onmouseup	false	true
path	true	true
tabindex	false	true
title	false	true

## E.9. The option tag

Renders a single HTML 'option'. Sets 'selected' as appropriate based on bound value.

Table E.8. Attributes

Attribute	Required?	<b>Runtime Expression?</b>
cssClass	false	true
cssErrorClass	false	true
cssStyle	false	true
dir	false	true

Attribute	Required?	Runtime Expression?
disabled	false	true
htmlEscape	false	true
id	false	true
label	false	true
lang	false	true
onclick	false	true
ondblclick	false	true
onkeydown	false	true
onkeypress	false	true
onkeyup	false	true
onmousedown	false	true
onmousemove	false	true
onmouseout	false	true
onmouseover	false	true
onmouseup	false	true
tabindex	false	true
title	false	true
value	true	true

## E.10. The options tag

Renders a list of HTML 'option' tags. Sets 'selected' as appropriate based on bound value.

#### Table E.9. Attributes

Attribute	Required?	Runtime Expression?
cssClass	false	true
cssErrorClass	false	true
cssStyle	false	true
dir	false	true
disabled	false	true
htmlEscape	false	true
id	false	true
itemLabel	false	true
items	true	true
itemValue	false	true
lang	false	true
onclick	false	true
ondblclick	false	true
onkeydown	false	true
onkeypress	false	true
onkeyup	false	true
onmousedown	false	true
onmousemove	false	true
onmouseout	false	true
onmouseover	false	true
onmouseup	false	true
tabindex	false	true

Attribute	Required?	Runtime Expression?
title	false	true

# E.11. The password tag

Renders an HTML 'input' tag with type 'password' using the bound value.

Table E.10. Attributes

Attribute	Required?	Runtime Expression?
accesskey	false	true
alt	false	true
autocomplete	false	true
cssClass	false	true
cssErrorClass	false	true
cssStyle	false	true
dir	false	true
disabled	false	true
htmlEscape	false	true
id	false	true
lang	false	true
maxlength	false	true
onblur	false	true
onchange	false	true
onclick	false	true
ondblclick	false	true

Attribute	Required?	Runtime Expression?
onfocus	false	true
onkeydown	false	true
onkeypress	false	true
onkeyup	false	true
onmousedown	false	true
onmousemove	false	true
onmouseout	false	true
onmouseover	false	true
onmouseup	false	true
onselect	false	true
path	true	true
readonly	false	true
showPassword	false	true
size	false	true
tabindex	false	true
title	false	true

## E.12. The radiobutton tag

Renders an HTML 'input' tag with type 'radio'.

Table E.11. Attributes

Attribute	Required?	Runtime Expression?
accesskey	false	true

Attribute	Required?	Runtime Expression?
cssClass	false	true
cssErrorClass	false	true
cssStyle	false	true
dir	false	true
disabled	false	true
htmlEscape	false	true
id	false	true
label	false	true
lang	false	true
onblur	false	true
onchange	false	true
onclick	false	true
ondblclick	false	true
onfocus	false	true
onkeydown	false	true
onkeypress	false	true
onkeyup	false	true
onmousedown	false	true
onmousemove	false	true
onmouseout	false	true
onmouseover	false	true
onmouseup	false	true

Attribute	Required?	Runtime Expression?
path	true	true
tabindex	false	true
title	false	true
value	false	true

## E.13. The radiobuttons tag

Renders multiple HTML 'input' tags with type 'radio'.

Table E.12. Attributes

Attribute	Required?	Runtime Expression?
accesskey	false	true
cssClass	false	true
cssErrorClass	false	true
cssStyle	false	true
delimiter	false	true
dir	false	true
disabled	false	true
element	false	true
htmlEscape	false	true
id	false	true
itemLabel	false	true
items	true	true
itemValue	false	true

Attribute	Required?	Runtime Expression?
lang	false	true
onblur	false	true
onchange	false	true
onclick	false	true
ondblclick	false	true
onfocus	false	true
onkeydown	false	true
onkeypress	false	true
onkeyup	false	true
onmousedown	false	true
onmousemove	false	true
onmouseout	false	true
onmouseover	false	true
onmouseup	false	true
path	true	true
tabindex	false	true
title	false	true

## E.14. The select tag

Renders an HTML 'select' element. Supports databinding to the selected option.

### Table E.13. Attributes

Attribute	Required?	Runtime Expression?

Attribute	Required?	Runtime Expression?
accesskey	false	true
cssClass	false	true
cssErrorClass	false	true
cssStyle	false	true
dir	false	true
disabled	false	true
htmlEscape	false	true
id	false	true
itemLabel	false	true
items	false	true
itemValue	false	true
lang	false	true
multiple	false	true
onblur	false	true
onchange	false	true
onclick	false	true
ondblclick	false	true
onfocus	false	true
onkeydown	false	true
onkeypress	false	true
onkeyup	false	true
onmousedown	false	true

Attribute	Required?	Runtime Expression?
onmousemove	false	true
onmouseout	false	true
onmouseover	false	true
onmouseup	false	true
path	true	true
size	false	true
tabindex	false	true
title	false	true

## E.15. The textarea tag

Renders an HTML 'textarea'.

Table E.14. Attributes

Attribute	Required?	Runtime Expression?
accesskey	false	true
cols	false	true
cssClass	false	true
cssErrorClass	false	true
cssStyle	false	true
dir	false	true
disabled	false	true
htmlEscape	false	true
id	false	true

Attribute	Required?	Runtime Expression?
lang	false	true
onblur	false	true
onchange	false	true
onclick	false	true
ondblclick	false	true
onfocus	false	true
onkeydown	false	true
onkeypress	false	true
onkeyup	false	true
onmousedown	false	true
onmousemove	false	true
onmouseout	false	true
onmouseover	false	true
onmouseup	false	true
onselect	false	true
path	true	true
readonly	false	true
rows	false	true
tabindex	false	true
title	false	true