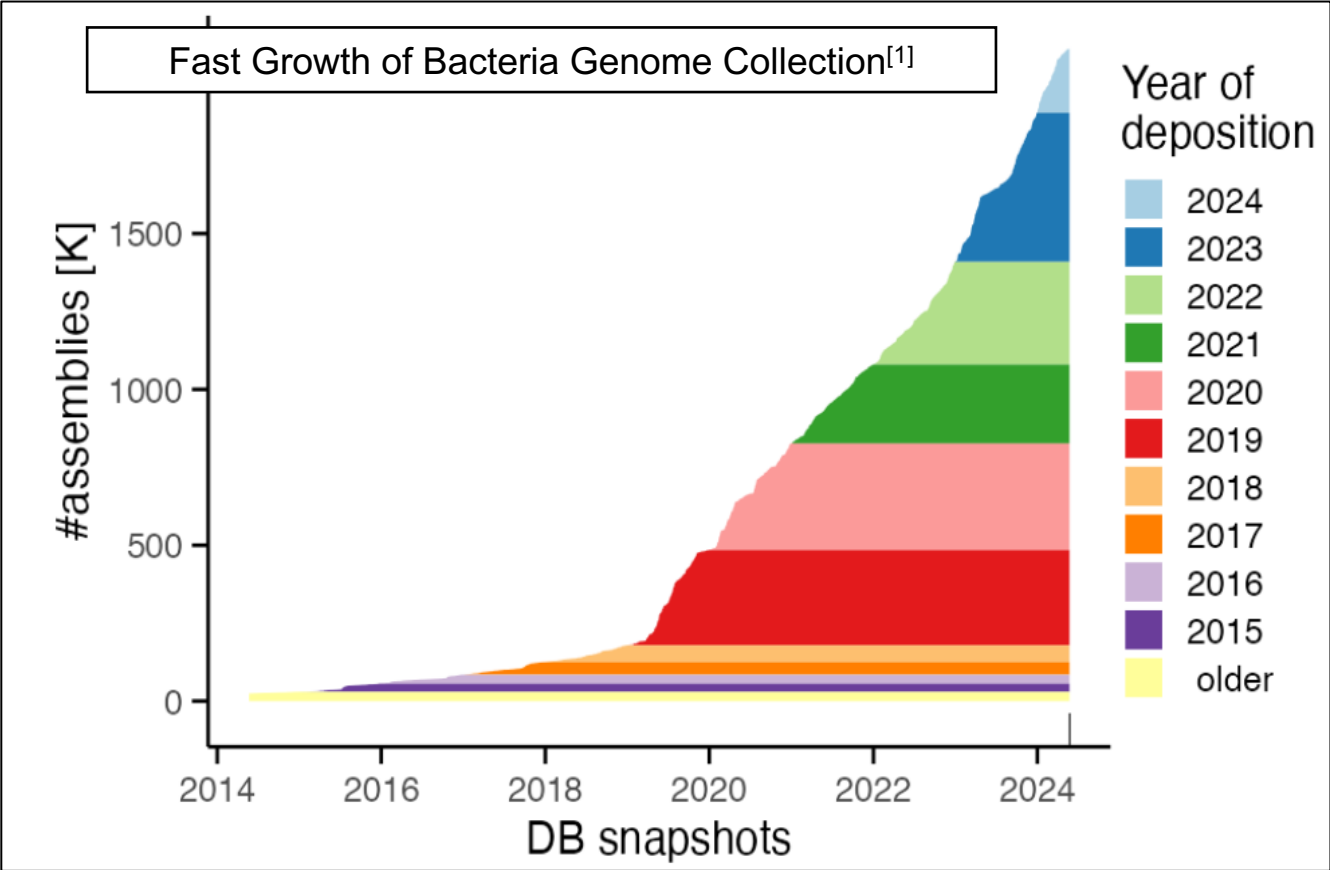


# Optimization For Efficient Compression Of Large Bacterial Genome Collections

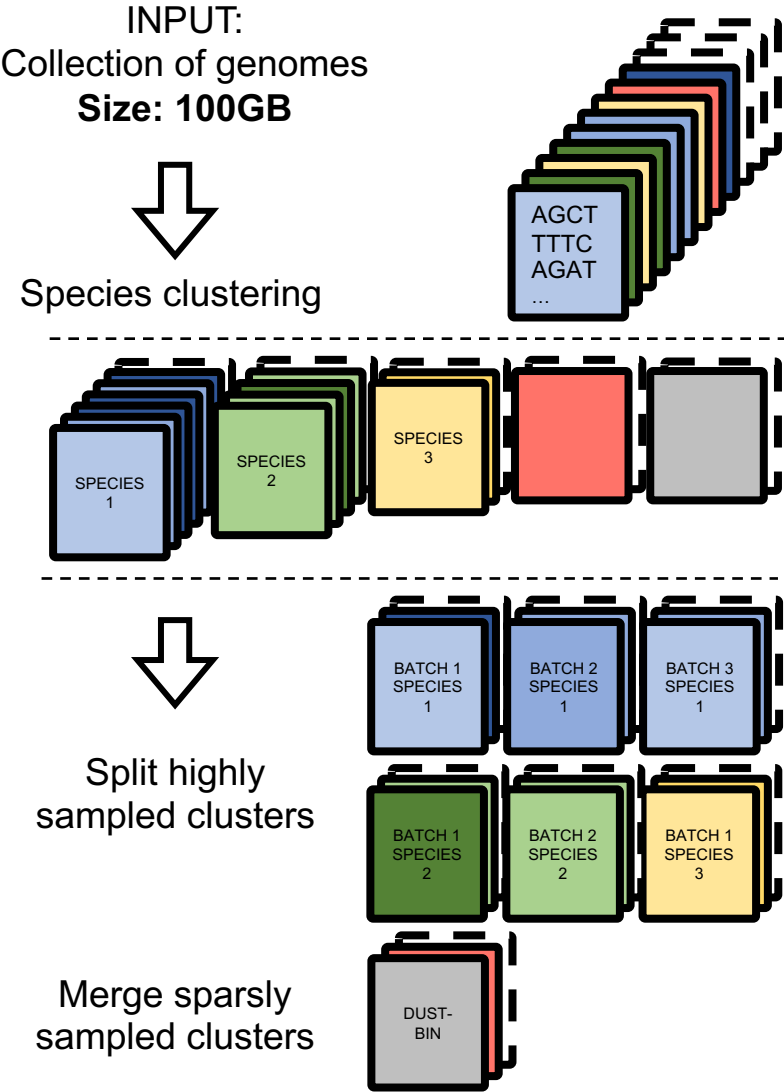
**MOTIVATION:** Larger And Higher Diversity Genome Collections Are Growing Rapidly



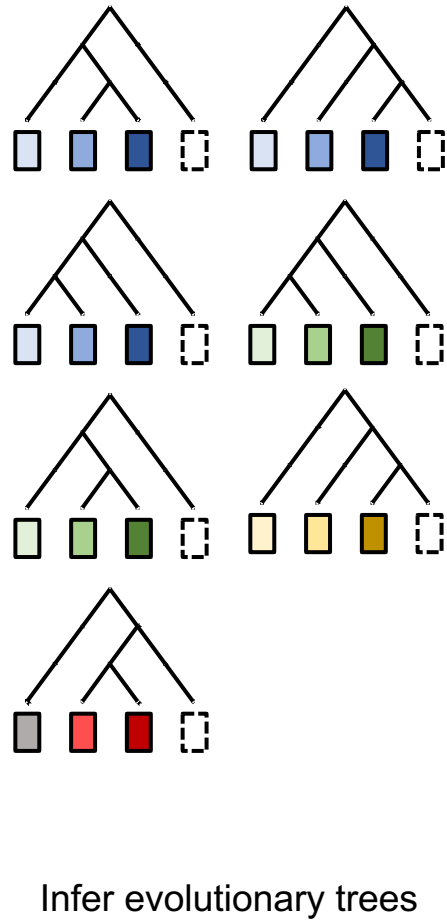
Large Bacterial Genome Collections:		
661k collection <sup>[2]</sup>	(2021)	n = 661,405
AllTheBacteria <sup>[3]</sup>	(2024)	n = 2,440,377
Future		n > 10 <sup>7</sup>

# Phylogenetic Compression<sup>[1]</sup> Improves Compressibility Via Reordering According To The Evolutionary History

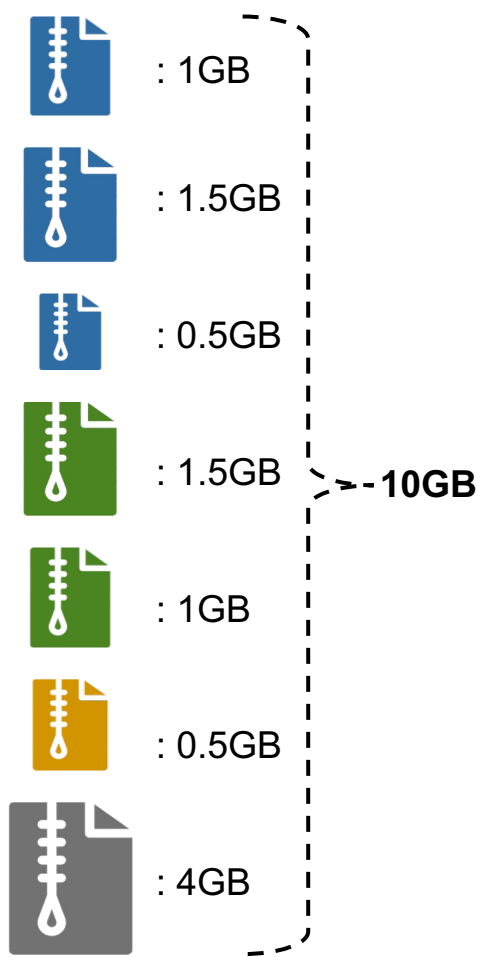
## Step 1: Phylogenetic clustering & batching



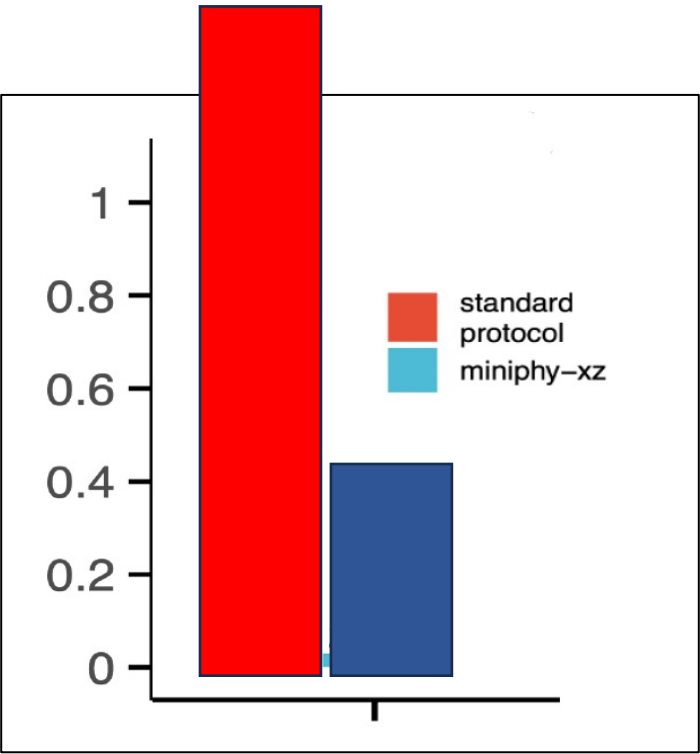
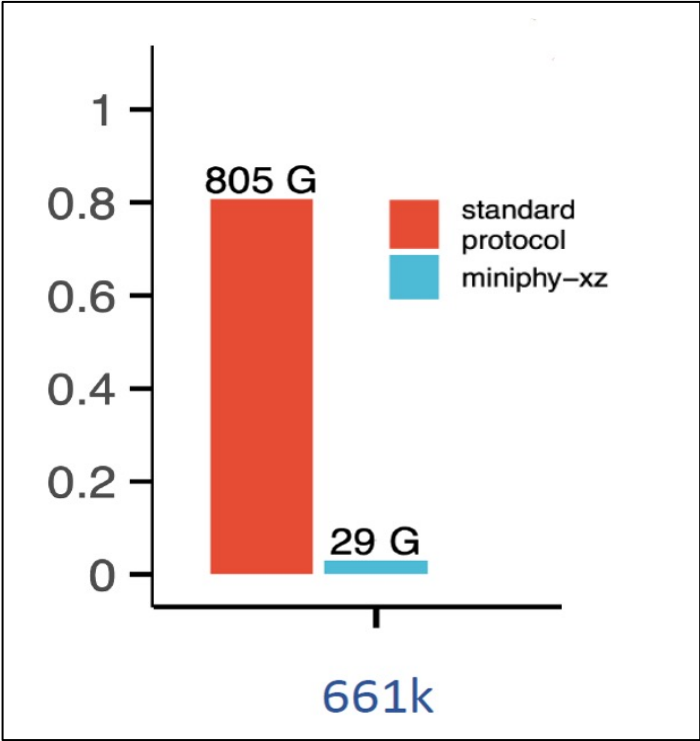
## Step 2: Phylogenetic reordering



## Resulting compression



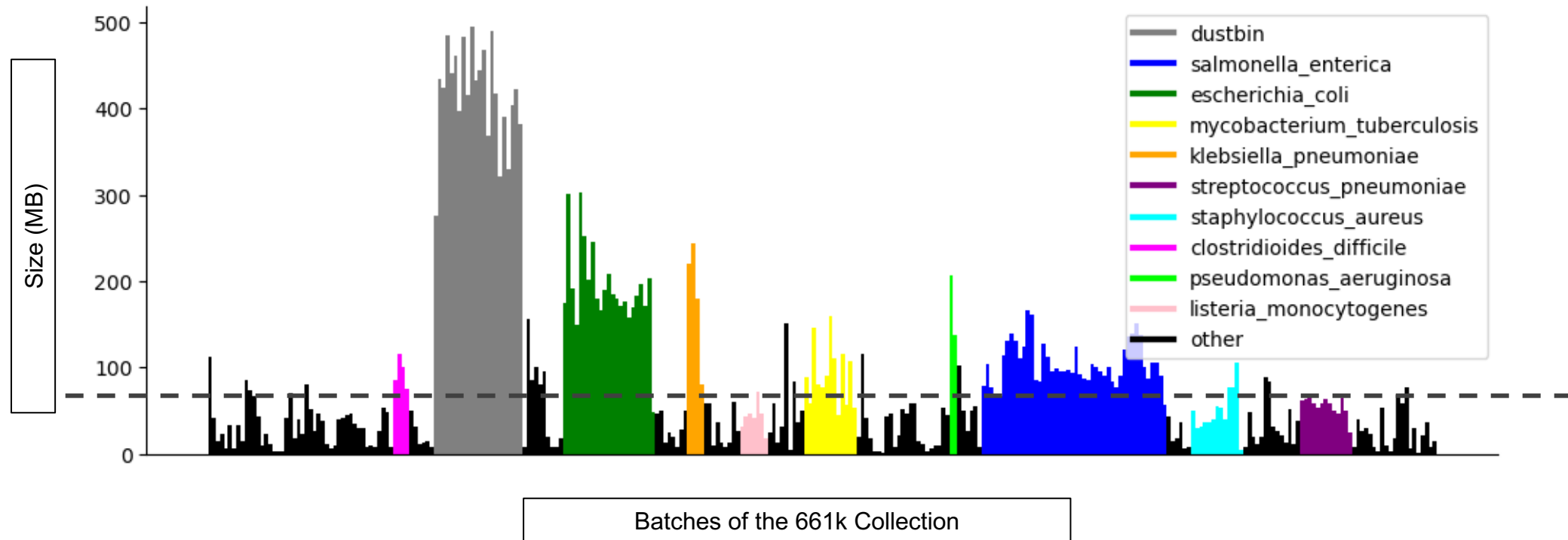
This Strategy Allows Lossless Compression Of 1-3 Orders Of Magnitude Across Different Genome Collections



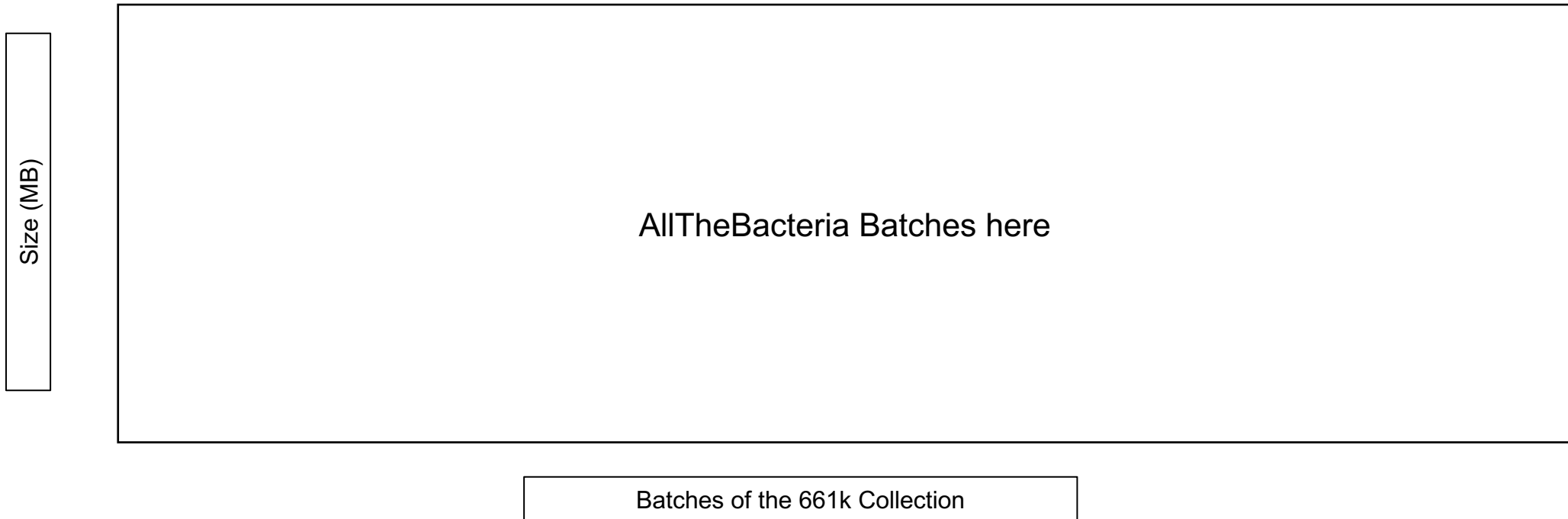
AllTheBacteria - TBA

RESULTING COMPRESSION

## Current Limitation: Batching Results In Non-uniform Post-compression Sizes



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## Consequences: Negative Impact on Downstream Analysis

Unbalanced Workloads

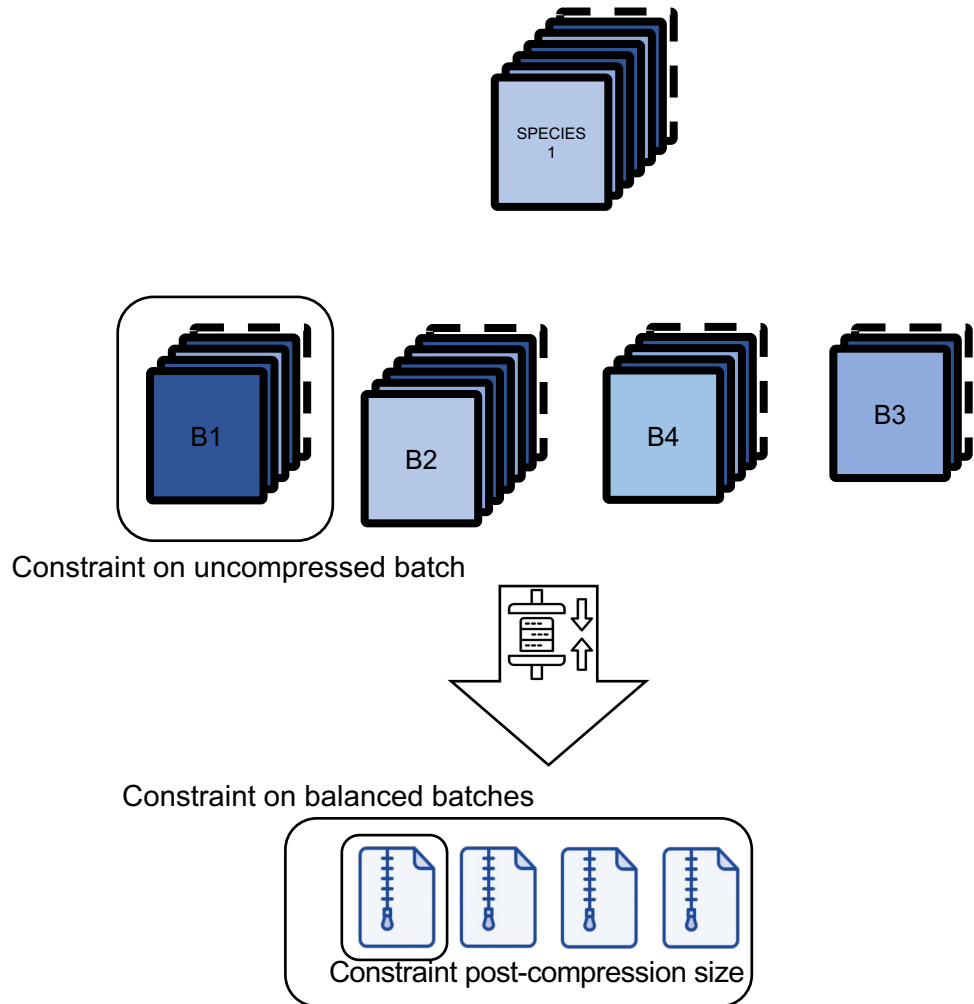
Hinder Parallelization

Inconsistent Query Times

Memory Overuse

Inefficient Transmission

## Challenge: Find Develop An Optimized Batching Strategy For Various Use Cases



### Batching Problem:

Given a set of genomes.

Partition it into a set of batches.

User-input parameters for each batch i.e. number of genomes  $N$ , uncompressed size  $U$ , post-compression size  $C$ .

Maximize the compression ratio of the set and in such a way that some constraints are satisfied.

### OBJECTIVE:

$$\min \sum_i^{\text{Batches}} \text{PostCompressionSize}(b_i)$$

### Subjects to:

For for all batches:

$$\text{Cardinality}(b_i) \leq N$$

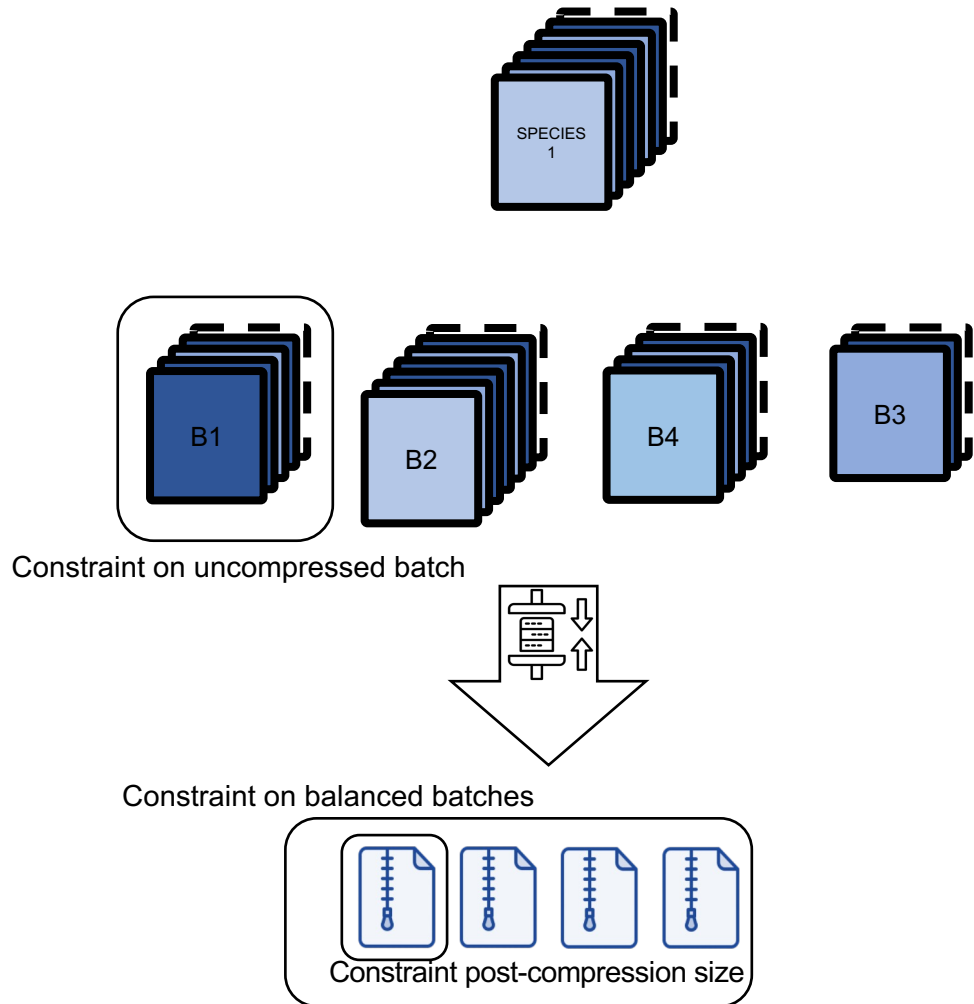
$$\text{UncompressedSize}(b_i) \leq U$$

$$\text{PostCompressionSize}(b_i) \leq C$$

$$\text{PostCompressionSize}(b_i) - \text{PostCompressionSize}(b_j) \leq \epsilon$$



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Predicting Post-compression Size Is Non-trivial

## Batching of Genomes Collection: A Familiar yet Novel Problem

Assumption:

For simplicity, we stop considering genome compression

**OBJECTIVE:**

$$\min \sum_i^{\text{Batches}} \text{PostCompressionSize}(b_i)$$

**Subjects to:**

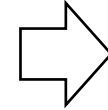
**For for all batches:**

$$\text{Cardinality}(b_i) \leq N$$

$$\text{UncompressedSize}(b_i) \leq U$$

$$\text{PostCompressionSize}(b_i) \leq C$$

$$\text{PostCompressionSize}(b_i) - \text{PostCompressionSize}(b_j) \leq \varepsilon$$



**OBJECTIVE:**

$$\min \sum_i^{\text{Batches}} b_i$$

**Subjects to:**

**For for all batches:**

$$\text{Cardinality}(b_i) \leq N$$

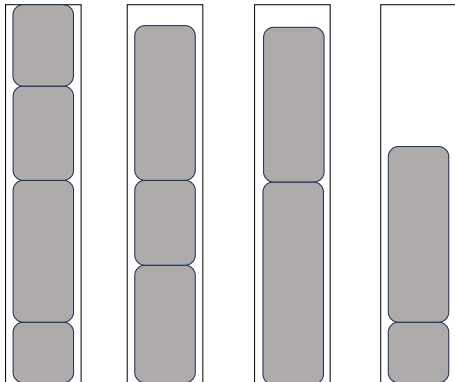
$$\text{UncompressedSize}(b_i) \leq U$$

This becomes an instance of the classic Bin Packing Problem

## Bin Packing Problem Is One Of The First Studied Combinatorial Optimization Problem

Bin Packing Problem:

Given a list of item  $i = 1, \dots, n$ , each having a size  $c_i \in \mathbb{R}^+$ , and an integer value **CAPACITY**, find the minimum number of bin to pack all items in such a way that the sum of the item sizes in one bin is always smaller than **CAPACITY**.



The problem is NP-complete

Classical heuristics are ordered-based algorithms

Initially, an empty bin is created.

At each step, the next item is selected and packed in a bin.

A new bin may be created at each step.

- Next-fit: choose the current bin
- First-fit: choose the first possible bin
- Best-fit: choose largest remaining **CAPACITY** bin
- Worst-fit: choose smallest remaining **CAPACITY** bin

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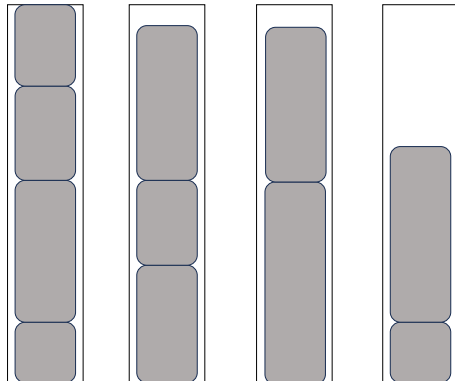
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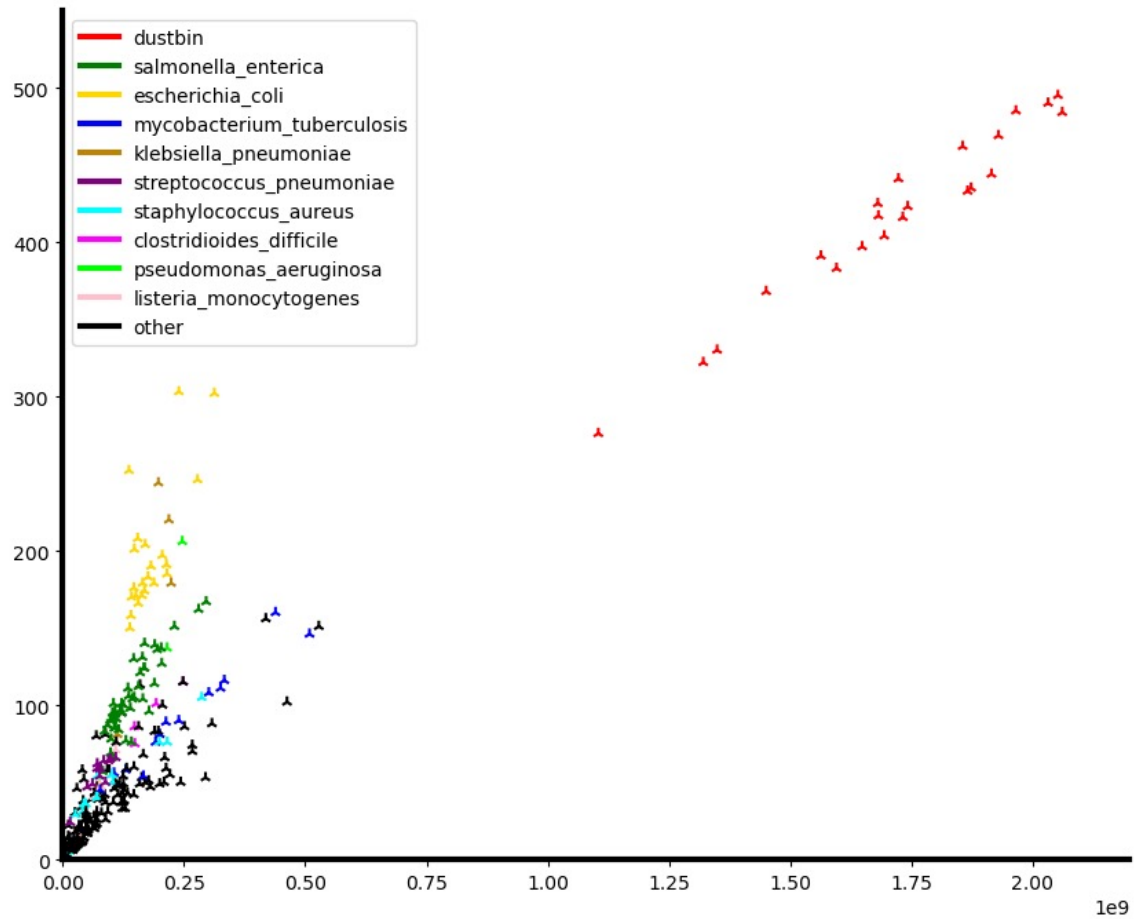
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- Next-fit: choose the current bin
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- Best-fit: choose largest remaining  $CAPACITY$  bin
- Worst-fit: choose smallest remaining  $CAPACITY$  bin



Now that we have a strategy to dynamically pack bins based on different parameters, can we estimate the post-compression size without actually compressing the data?

## Observation: xz Post-compression 661k Batch Sizes Correlate With Their Distinct Kmers Count



## **Ingredient 2: Cardinality estimation using HyperLogLog sketching**

Sketches : approximate data structures.

HyperLogLog sketches for cardinality est.: bit patterns,

i.e.  $\text{hash}(\text{ATGCG}) \rightarrow 00010100$ ,  $\text{hash}(\text{CGTAC}) \rightarrow 00000010$ .

Fast and efficient UNION operation for sketches.

## HyperLogLog Bin Packing Strategy For Genomes Batching

Pseudocode of Strategy 1

## HyperLogLog Load Balancing Strategy For Genomes Batching

Pseudocode of Strategy 2

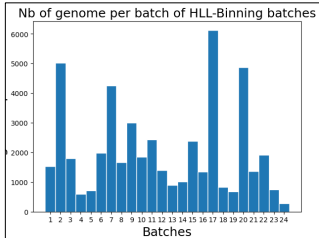
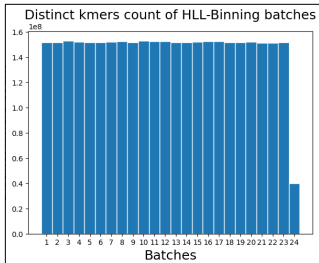


## Recap of the Three Batching Strategies

# Comparisons of the batching strategie

## STRATEGY 1: HLL-Binning

### Batches Obtained From Strat. 1

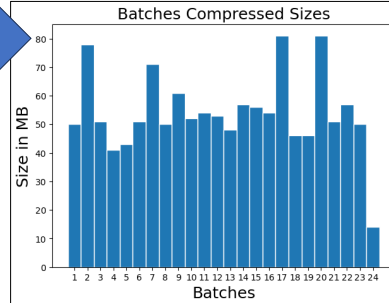


PHYLOGENETIC COMPRESSION

Batch capacity :  
C = 152,000,000  
(C obtained by linear  
regression)

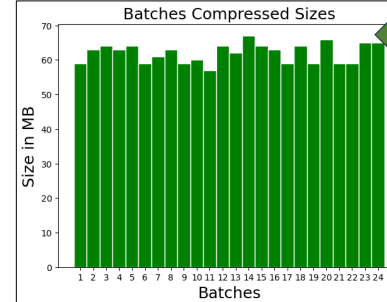
Number of genome per  
batch varies

DATA : Genomes of *Mycobacterium tuberculosis* from the 661k Collection<sup>[2]</sup>, B = 24



Most of the batches are balanced  
(between 40-50MB, max size 81MB)

Evaluation strat. 1:  
Allowing a capacity on distinct kmers.  
The result remains somewhat imbalanced.

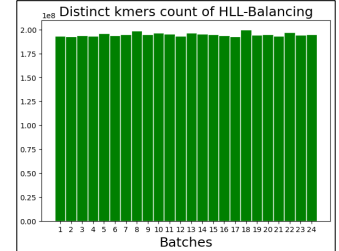


All Batches are well balanced  
(between 59-67MB, max size 67MB)

Evaluation strat. 2:  
Producing more balanced batches.  
No control over the maximum distinct k-mer count per  
batch.

## STRATEGY 2: HLL-Balancing

### Batches Obtained From Strat. 2



Nb of genomes per batch  
varies but to a lesser  
extent compared to Strat.  
1

