1) ECE C147 2) OPTIONAL two\_layer\_nn

February 4, 2021

# 0.1 This is the 2-layer neural network workbook for ECE 247 Assignment #3

Please follow the notebook linearly to implement a two layer neural network.

Please print out the workbook entirely when completed.

We thank Serena Yeung & Justin Johnson for permission to use code written for the CS 231n class (cs231n.stanford.edu). These are the functions in the cs231n folders and code in the jupyer notebook to preprocess and show the images. The classifiers used are based off of code prepared for CS 231n as well.

The goal of this workbook is to give you experience with training a two layer neural network.

## 0.2 Toy example

Before loading CIFAR-10, there will be a toy example to test your implementation of the forward and backward pass

```
[2]: from nndl.neural_net import TwoLayerNet

[3]: # Create a small net and some toy data to check your implementations.
# Note that we set the random seed for repeatable experiments.

input_size = 4
hidden_size = 10
num_classes = 3
num_inputs = 5
```

```
def init_toy_model():
    np.random.seed(0)
    return TwoLayerNet(input_size, hidden_size, num_classes, std=1e-1)

def init_toy_data():
    np.random.seed(1)
    X = 10 * np.random.randn(num_inputs, input_size)
    y = np.array([0, 1, 2, 2, 1])
    return X, y

net = init_toy_model()
X, y = init_toy_data()
```

## 0.2.1 Compute forward pass scores

[[-1.07260209 0.05083871 -0.87253915]

```
[4]: ## Implement the forward pass of the neural network.
     # Note, there is a statement if y is None: return scores, which is why
     # the following call will calculate the scores.
     scores = net.loss(X)
     print('Your scores:')
     print(scores)
     print()
     print('correct scores:')
     correct_scores = np.asarray([
         [-1.07260209, 0.05083871, -0.87253915],
         [-2.02778743, -0.10832494, -1.52641362],
         [-0.74225908, 0.15259725, -0.39578548],
         [-0.38172726, 0.10835902, -0.17328274],
         [-0.64417314, -0.18886813, -0.41106892]])
     print(correct_scores)
     print()
     # The difference should be very small. We get < 1e-7
     print('Difference between your scores and correct scores:')
     print(np.sum(np.abs(scores - correct_scores)))
    Your scores:
    [[-1.07260209 0.05083871 -0.87253915]
     [-2.02778743 -0.10832494 -1.52641362]
     [-0.74225908 0.15259725 -0.39578548]
     [-0.38172726 0.10835902 -0.17328274]
     [-0.64417314 -0.18886813 -0.41106892]]
    correct scores:
```

```
[-2.02778743 -0.10832494 -1.52641362]

[-0.74225908  0.15259725 -0.39578548]

[-0.38172726  0.10835902 -0.17328274]

[-0.64417314 -0.18886813 -0.41106892]]
```

Difference between your scores and correct scores: 3.381231233889892e-08

# 0.2.2 Forward pass loss

```
[5]: loss, _ = net.loss(X, y, reg=0.05)
    correct_loss = 1.071696123862817

# should be very small, we get < 1e-12
    print('Difference between your loss and correct loss:')
    print(np.sum(np.abs(loss - correct_loss)))</pre>
```

Difference between your loss and correct loss: 0.0

# [6]: print(loss)

1.071696123862817

#### 0.2.3 Backward pass

Implements the backwards pass of the neural network. Check your gradients with the gradient check utilities provided.

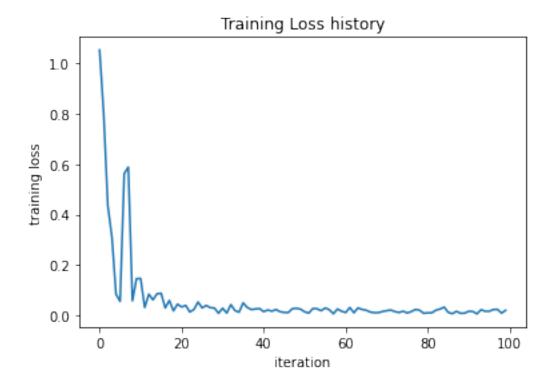
W2 max relative error: 2.9632227682005116e-10 b2 max relative error: 1.8391748601536041e-10

W1 max relative error: 1.2832874456864775e-09 b1 max relative error: 3.1726806716844575e-09

# 0.2.4 Training the network

Implement neural\_net.train() to train the network via stochastic gradient descent, much like the softmax and SVM.

Final training loss: 0.020371427168192176



# 0.3 Classify CIFAR-10

Do classification on the CIFAR-10 dataset.

```
[9]: from cs231n.data_utils import load_CIFAR10
     def get_CIFAR10_data(num_training=49000, num_validation=1000, num_test=1000):
         Load the CIFAR-10 dataset from disk and perform preprocessing to prepare
         it for the two-layer neural net classifier. These are the same steps as
         we used for the SVM, but condensed to a single function.
         # Load the raw CIFAR-10 data
         cifar10_dir = '../cifar-10-batches-py'
         X_train, y_train, X_test, y_test = load_CIFAR10(cifar10_dir)
         # Subsample the data
         mask = list(range(num_training, num_training + num_validation))
         X_val = X_train[mask]
         y_val = y_train[mask]
         mask = list(range(num_training))
         X_train = X_train[mask]
         y_train = y_train[mask]
         mask = list(range(num_test))
         X_test = X_test[mask]
         y_test = y_test[mask]
         # Normalize the data: subtract the mean image
         mean_image = np.mean(X_train, axis=0)
         X train -= mean image
         X_val -= mean_image
         X_test -= mean_image
         # Reshape data to rows
         X_train = X_train.reshape(num_training, -1)
         X_val = X_val.reshape(num_validation, -1)
         X_test = X_test.reshape(num_test, -1)
         return X_train, y_train, X_val, y_val, X_test, y_test
     # Invoke the above function to get our data.
     X_train, y_train, X_val, y_val, X_test, y_test = get_CIFAR10_data()
     print('Train data shape: ', X_train.shape)
     print('Train labels shape: ', y_train.shape)
     print('Validation data shape: ', X_val.shape)
     print('Validation labels shape: ', y_val.shape)
     print('Test data shape: ', X_test.shape)
```

```
print('Test labels shape: ', y_test.shape)
```

Train data shape: (49000, 3072)
Train labels shape: (49000,)
Validation data shape: (1000, 3072)
Validation labels shape: (1000,)
Test data shape: (1000, 3072)
Test labels shape: (1000,)

#### 0.3.1 Running SGD

If your implementation is correct, you should see a validation accuracy of around 28-29%.

```
iteration 0 / 1000: loss 2.302737768195894
iteration 100 / 1000: loss 2.3022105256991185
iteration 200 / 1000: loss 2.2964000486596787
iteration 300 / 1000: loss 2.268839768313435
iteration 400 / 1000: loss 2.2252579375820387
iteration 500 / 1000: loss 2.153736317283182
iteration 600 / 1000: loss 2.0755138768138344
iteration 700 / 1000: loss 2.021773289161574
iteration 800 / 1000: loss 1.9611502427393566
iteration 900 / 1000: loss 1.9224467427616607
Validation accuracy: 0.282
```

# 0.4 Questions:

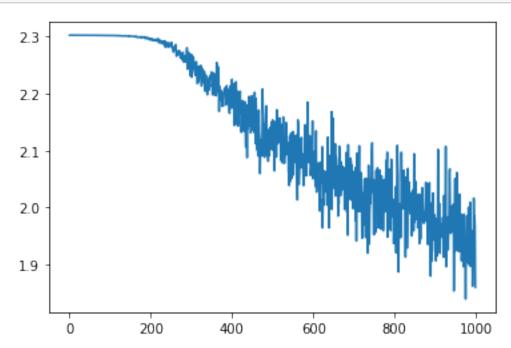
The training accuracy isn't great.

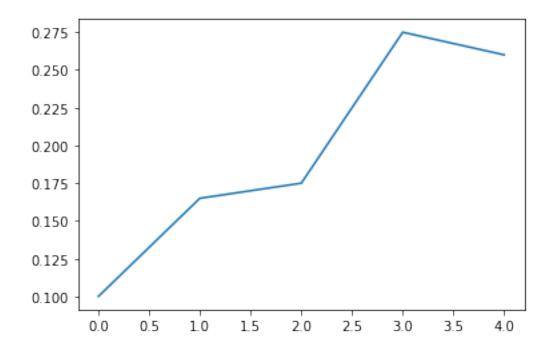
(1) What are some of the reasons why this is the case? Take the following cell to do some analyses and then report your answers in the cell following the one below.

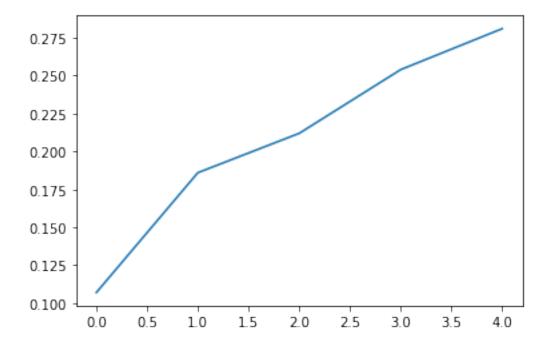
(2) How should you fix the problems you identified in (1)?

```
[11]: stats['train_acc_history']
```

[11]: [0.1, 0.165, 0.175, 0.275, 0.26]







## 0.5 Answers:

- (1) Neither loss nor accuracy plateu and are still trending downwards and upwards respectively
- (2) This indicates that we are underfitting and so we can increase training iterations, and increase

learning\_rate even more to speed up the learning process until it plateus. We can also decrease regularization to help learning occur

# 0.6 Optimize the neural network

Use the following part of the Jupyter notebook to optimize your hyperparameters on the validation set. Store your nets as best\_net.

```
[13]: best net = None # store the best model into this
                    ______ #
     # YOUR CODE HERE:
        Optimize over your hyperparameters to arrive at the best neural
        network. You should be able to get over 50% validation accuracy.
        For this part of the notebook, we will give credit based on the
     #
     #
        accuracy you get. Your score on this question will be multiplied by:
     #
           min(floor((X - 28\%)) / \%22, 1)
        where if you get 50% or higher validation accuracy, you get full
     #
        points.
     #
       Note, you need to use the same network structure (keep hidden_size = 50)!
     # ----- #
     max_val_acc = 0
     for num iters in [5000]:
        for rate in [1e-3, 1e-4, 1e-5]:
            for reg in [0.25, 0.3, 0.35, 0.4]:
               hyperparams = (num_iters, rate, reg)
               net = TwoLayerNet(input size, hidden size, num classes)
               stats = net.train(X_train, y_train, X_val, y_val,
                          num_iters=num_iters, batch_size=200,
                          learning_rate=rate, learning_rate_decay=0.95,
                          reg=reg, verbose=False)
               if stats["val_acc_history"][-1] > max_val_acc:
                   max_val_acc = stats["val_acc_history"][-1]
                   best_hyper_params = hyperparams
     print("Max Val Acc: ", max_val_acc)
     print(best_hyper_params)
     (num_iters, rate, reg) = best_hyper_params
     net = TwoLayerNet(input_size, hidden_size, num_classes)
     stats = net.train(X_train, y_train, X_val, y_val,
               num iters=num iters, batch size=200,
               learning_rate=rate, learning_rate_decay=0.95,
               reg=reg, verbose=False)
     # ----- #
     # END YOUR CODE HERE
     best_net = net
```

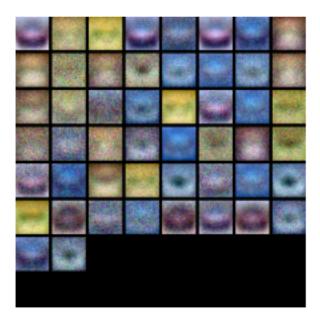
```
Max Val Acc: 0.517 (5000, 0.001, 0.35)
```

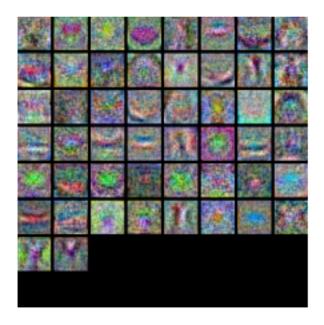
```
[14]: from cs231n.vis_utils import visualize_grid

# Visualize the weights of the network

def show_net_weights(net):
    W1 = net.params['W1']
    W1 = W1.T.reshape(32, 32, 3, -1).transpose(3, 0, 1, 2)
    plt.imshow(visualize_grid(W1, padding=3).astype('uint8'))
    plt.gca().axis('off')
    plt.show()

show_net_weights(subopt_net)
show_net_weights(best_net)
```





# 0.7 Question:

(1) What differences do you see in the weights between the suboptimal net and the best net you arrived at?

## 0.8 Answer:

(1) The best net has much more contrast and defined characteristics for the weights

# 0.9 Evaluate on test set

```
[15]: test_acc = (best_net.predict(X_test) == y_test).mean()
print('Test accuracy: ', test_acc)

Test accuracy: 0.507
```

[]:

FC nets

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# 1 Fully connected networks

In the previous notebook, you implemented a simple two-layer neural network class. However, this class is not modular. If you wanted to change the number of layers, you would need to write a new loss and gradient function. If you wanted to optimize the network with different optimizers, you'd need to write new training functions. If you wanted to incorporate regularizations, you'd have to modify the loss and gradient function.

Instead of having to modify functions each time, for the rest of the class, we'll work in a more modular framework where we define forward and backward layers that calculate losses and gradients respectively. Since the forward and backward layers share intermediate values that are useful for calculating both the loss and the gradient, we'll also have these function return "caches" which store useful intermediate values.

The goal is that through this modular design, we can build different sized neural networks for various applications.

In this HW #3, we'll define the basic architecture, and in HW #4, we'll build on this framework to implement different optimizers and regularizations (like BatchNorm and Dropout).

CS231n has built a solid API for building these modular frameworks and training them, and we will use their very well implemented framework as opposed to "reinventing the wheel." This includes using their Solver, various utility functions, and their layer structure. This also includes nndl.fc\_net, nndl.layers, and nndl.layer\_utils. As in prior assignments, we thank Serena Yeung & Justin Johnson for permission to use code written for the CS 231n class (cs231n.stanford.edu).

### 1.1 Modular layers

This notebook will build modular layers in the following manner. First, there will be a forward pass for a given layer with inputs (x) and return the output of that layer (out) as well as cached variables (cache) that will be used to calculate the gradient in the backward pass.

```
def layer_forward(x, w):
```

```
""" Receive inputs x and weights w """
# Do some computations ...
z = # ... some intermediate value
# Do some more computations ...
out = # the output

cache = (x, w, z, out) # Values we need to compute gradients
```

```
return out, cache
```

The backward pass will receive upstream derivatives and the cache object, and will return gradients with respect to the inputs and weights, like this:

```
def layer_backward(dout, cache):
    """
    Receive derivative of loss with respect to outputs and cache,
    and compute derivative with respect to inputs.
    """
    # Unpack cache values
    x, w, z, out = cache

# Use values in cache to compute derivatives
    dx = # Derivative of loss with respect to x
    dw = # Derivative of loss with respect to w
return dx, dw
```

```
[1]: ## Import and setups
     import time
     import numpy as np
     import matplotlib.pyplot as plt
     from nndl.fc_net import *
     from cs231n.data_utils import get_CIFAR10_data
     from cs231n.gradient_check import eval_numerical_gradient,_
     →eval_numerical_gradient_array
     from cs231n.solver import Solver
     import os
     %alias kk os._exit(0)
     %matplotlib inline
     plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of plots
     plt.rcParams['image.interpolation'] = 'nearest'
     plt.rcParams['image.cmap'] = 'gray'
     # for auto-reloading external modules
     # see http://stackoverflow.com/questions/1907993/
     \rightarrow autoreload-of-modules-in-ipython
     %load ext autoreload
     %autoreload 2
     def rel_error(x, y):
       """ returns relative error """
       return np.max(np.abs(x - y) / (np.maximum(1e-8, np.abs(x) + np.abs(y))))
```

```
[2]: # Load the (preprocessed) CIFAR10 data.

data = get_CIFAR10_data()
for k in data.keys():
    print('{}: {} '.format(k, data[k].shape))
```

```
X_train: (49000, 3, 32, 32)
y_train: (49000,)
X_val: (1000, 3, 32, 32)
y_val: (1000,)
X_test: (1000, 3, 32, 32)
y_test: (1000,)
```

# 1.2 Linear layers

In this section, we'll implement the forward and backward pass for the linear layers.

The linear layer forward pass is the function affine\_forward in nndl/layers.py and the backward pass is affine\_backward.

After you have implemented these, test your implementation by running the cell below.

# 1.2.1 Affine layer forward pass

Implement affine\_forward and then test your code by running the following cell.

```
[3]: # Test the affine_forward function
     num_inputs = 2
     input\_shape = (4, 5, 6)
     output_dim = 3
     input_size = num_inputs * np.prod(input_shape)
     weight_size = output_dim * np.prod(input_shape)
     x = np.linspace(-0.1, 0.5, num=input_size).reshape(num_inputs, *input_shape)
     w = np.linspace(-0.2, 0.3, num=weight size).reshape(np.prod(input shape),
     →output_dim)
     b = np.linspace(-0.3, 0.1, num=output_dim)
     out, _ = affine_forward(x, w, b)
     correct_out = np.array([[ 1.49834967,  1.70660132,  1.91485297],
                             [ 3.25553199, 3.5141327,
                                                         3.77273342]])
     # Compare your output with ours. The error should be around 1e-9.
     print('Testing affine_forward function:')
     print('difference: {}'.format(rel error(out, correct out)))
```

```
Testing affine_forward function: difference: 9.769849468192957e-10
```

### 1.2.2 Affine layer backward pass

Implement affine backward and then test your code by running the following cell.

```
[4]: # Test the affine backward function
     x = np.random.randn(10, 2, 3)
     w = np.random.randn(6, 5)
     b = np.random.randn(5)
     dout = np.random.randn(10, 5)
     dx_num = eval_numerical_gradient_array(lambda x: affine_forward(x, w, b)[0], x,_
     →dout)
     dw num = eval numerical gradient array(lambda w: affine forward(x, w, b)[0], w, u
     →dout)
     db num = eval numerical gradient array(lambda b: affine forward(x, w, b)[0], b, u
     →dout)
     _, cache = affine_forward(x, w, b)
     dx, dw, db = affine_backward(dout, cache)
     # The error should be around 1e-10
     print('Testing affine_backward function:')
     print('dx error: {}'.format(rel_error(dx_num, dx)))
     print('dw error: {}'.format(rel_error(dw_num, dw)))
     print('db error: {}'.format(rel_error(db_num, db)))
```

Testing affine\_backward function: dx error: 7.598406468756369e-11 dw error: 5.7457383647397536e-11 db error: 1.3332437594154664e-11

### 1.3 Activation layers

In this section you'll implement the ReLU activation.

### 1.3.1 ReLU forward pass

Implement the relu\_forward function in nndl/layers.py and then test your code by running the following cell.

```
[5]: # Test the relu_forward function
x = np.linspace(-0.5, 0.5, num=12).reshape(3, 4)
out, _ = relu_forward(x)
```

Testing relu\_forward function: difference: 4.999999798022158e-08

# 1.3.2 ReLU backward pass

Implement the relu\_backward function in nndl/layers.py and then test your code by running the following cell.

```
[6]: x = np.random.randn(10, 10)
dout = np.random.randn(*x.shape)

dx_num = eval_numerical_gradient_array(lambda x: relu_forward(x)[0], x, dout)

_, cache = relu_forward(x)
dx = relu_backward(dout, cache)

# The error should be around 1e-12
print('Testing relu_backward function:')
print('dx error: {}'.format(rel_error(dx_num, dx)))
```

Testing relu\_backward function: dx error: 3.2756037806455336e-12

## 1.4 Combining the affine and ReLU layers

Often times, an affine layer will be followed by a ReLU layer. So let's make one that puts them together. Layers that are combined are stored in nndl/layer\_utils.py.

### 1.4.1 Affine-ReLU layers

We've implemented affine\_relu\_forward() and affine\_relu\_backward in nndl/layer\_utils.py. Take a look at them to make sure you understand what's going on. Then run the following cell to ensure its implemented correctly.

```
[7]: from nndl.layer_utils import affine_relu_forward, affine_relu_backward

x = np.random.randn(2, 3, 4)

w = np.random.randn(12, 10)

b = np.random.randn(10)

dout = np.random.randn(2, 10)
```

```
out, cache = affine_relu_forward(x, w, b)
dx, dw, db = affine_relu_backward(dout, cache)

dx_num = eval_numerical_gradient_array(lambda x: affine_relu_forward(x, w, u \to b)[0], x, dout)
dw_num = eval_numerical_gradient_array(lambda w: affine_relu_forward(x, w, u \to b)[0], w, dout)
db_num = eval_numerical_gradient_array(lambda b: affine_relu_forward(x, w, u \to b)[0], b, dout)

print('Testing affine_relu_forward and affine_relu_backward:')
print('dx error: {}'.format(rel_error(dx_num, dx)))
print('dw error: {}'.format(rel_error(dw_num, dw)))
print('db error: {}'.format(rel_error(db_num, db)))
```

Testing affine\_relu\_forward and affine\_relu\_backward:

dx error: 4.19733777413245e-11 dw error: 1.0825783318272902e-10 db error: 7.826682563679055e-12

### 1.5 Softmax and SVM losses

You've already implemented these, so we have written these in layers.py. The following code will ensure they are working correctly.

```
[8]: num classes, num inputs = 10, 50
     x = 0.001 * np.random.randn(num_inputs, num_classes)
     y = np.random.randint(num_classes, size=num_inputs)
     dx_num = eval_numerical_gradient(lambda x: svm_loss(x, y)[0], x, verbose=False)
     loss, dx = svm_loss(x, y)
     # Test sum loss function. Loss should be around 9 and dx error should be 1e-9
     print('Testing svm_loss:')
     print('loss: {}'.format(loss))
     print('dx error: {}'.format(rel_error(dx_num, dx)))
     dx_num = eval_numerical_gradient(lambda x: softmax_loss(x, y)[0], x,_u
     →verbose=False)
     loss, dx = softmax_loss(x, y)
     # Test softmax_loss function. Loss should be 2.3 and dx error should be 1e-8
     print('\nTesting softmax loss:')
     print('loss: {}'.format(loss))
     print('dx error: {}'.format(rel error(dx num, dx)))
```

Testing svm\_loss:

loss: 9.00074739665796

dx error: 1.4021566006651672e-09

Testing softmax\_loss: loss: 2.3026602945555674

dx error: 8.467349255286659e-09

### 1.6 Implementation of a two-layer NN

In nndl/fc\_net.py, implement the class TwoLayerNet which uses the layers you made here. When you have finished, the following cell will test your implementation.

```
[9]: N, D, H, C = 3, 5, 50, 7
     X = np.random.randn(N, D)
     y = np.random.randint(C, size=N)
     std = 1e-2
     model = TwoLayerNet(input_dim=D, hidden_dims=H, num_classes=C, weight_scale=std)
     print('Testing initialization ... ')
     W1_std = abs(model.params['W1'].std() - std)
     b1 = model.params['b1']
     W2_std = abs(model.params['W2'].std() - std)
     b2 = model.params['b2']
     assert W1_std < std / 10, 'First layer weights do not seem right'
     assert np.all(b1 == 0), 'First layer biases do not seem right'
     assert W2 std < std / 10, 'Second layer weights do not seem right'
     assert np.all(b2 == 0), 'Second layer biases do not seem right'
     print('Testing test-time forward pass ... ')
     model.params['W1'] = np.linspace(-0.7, 0.3, num=D*H).reshape(D, H)
     model.params['b1'] = np.linspace(-0.1, 0.9, num=H)
     model.params['W2'] = np.linspace(-0.3, 0.4, num=H*C).reshape(H, C)
     model.params['b2'] = np.linspace(-0.9, 0.1, num=C)
     X = np.linspace(-5.5, 4.5, num=N*D).reshape(D, N).T
     scores = model.loss(X)
     correct_scores = np.asarray(
       [[11.53165108, 12.2917344, 13.05181771, 13.81190102, 14.57198434, 15.
     \rightarrow 33206765, 16.09215096],
        [12.05769098, 12.74614105, 13.43459113, 14.1230412, 14.81149128, 15.
     →49994135, 16.18839143],
        [12.58373087, 13.20054771, 13.81736455, 14.43418138, 15.05099822, 15.
     \rightarrow66781506, 16.2846319]])
     scores_diff = np.abs(scores - correct_scores).sum()
     assert scores_diff < 1e-6, 'Problem with test-time forward pass'
     print('Testing training loss (no regularization)')
     y = np.asarray([0, 5, 1])
     loss, grads = model.loss(X, y)
```

```
Testing initialization ...

Testing test-time forward pass ...

Testing training loss (no regularization)

Running numeric gradient check with reg = 0.0

W1 relative error: 1.8336562786695002e-08

W2 relative error: 3.201560569143183e-10

b1 relative error: 9.828315204644842e-09

b2 relative error: 4.329134954569865e-10

Running numeric gradient check with reg = 0.7

W1 relative error: 2.5279152310200606e-07

W2 relative error: 7.976652806155026e-08

b1 relative error: 1.564679947504764e-08

b2 relative error: 9.089617896905665e-10
```

#### 1.7 Solver

We will now use the cs231n Solver class to train these networks. Familiarize yourself with the API in cs231n/solver.py. After you have done so, declare an instance of a TwoLayerNet with 200 units and then train it with the Solver. Choose parameters so that your validation accuracy is at least 40%.

```
[]: # Run this cell to visualize training loss and train / val accuracy

plt.subplot(2, 1, 1)
plt.title('Training loss')
plt.plot(solver.loss_history, 'o')
plt.xlabel('Iteration')

plt.subplot(2, 1, 2)
plt.title('Accuracy')
plt.plot(solver.train_acc_history, '-o', label='train')
plt.plot(solver.val_acc_history, '-o', label='val')
plt.plot([0.5] * len(solver.val_acc_history), 'k--')
plt.xlabel('Epoch')
plt.legend(loc='lower right')
plt.gcf().set_size_inches(15, 12)
plt.show()
```

### 1.8 Multilayer Neural Network

Now, we implement a multi-layer neural network.

Read through the FullyConnectedNet class in the file nndl/fc\_net.py.

Implement the initialization, the forward pass, and the backward pass. There will be lines for batchnorm and dropout layers and caches; ignore these all for now. That'll be in assignment #4.

```
print('Initial loss: {}'.format(loss))

for name in sorted(grads):
    f = lambda _: model.loss(X, y)[0]
    grad_num = eval_numerical_gradient(f, model.params[name], verbose=False,__
    h=1e-5)
    print('{} relative error: {}'.format(name, rel_error(grad_num,__
    grads[name])))
```

```
[]: # Use the three layer neural network to overfit a small dataset.
     num_train = 50
     small data = {
       'X_train': data['X_train'][:num_train],
       'y_train': data['y_train'][:num_train],
       'X_val': data['X_val'],
       'y_val': data['y_val'],
     #### !!!!!!
     # Play around with the weight scale and learning rate so that you can overfit and
     \rightarrowsmall dataset.
     # Your training accuracy should be 1.0 to receive full credit on this part.
     weight scale = 1e-2
     learning_rate = 1e-2
     model = FullyConnectedNet([100, 100],
                   weight_scale=weight_scale, dtype=np.float64)
     solver = Solver(model, small_data,
                     print_every=10, num_epochs=20, batch_size=25,
                     update_rule='sgd',
                     optim_config={
                       'learning_rate': learning_rate,
     solver.train()
     plt.plot(solver.loss_history, 'o')
     plt.title('Training loss history')
     plt.xlabel('Iteration')
     plt.ylabel('Training loss')
     plt.show()
```

```
[]:
```

[]: