1) ECE C147 2) OPTIONAL two\_layer\_nn

February 4, 2021

# 0.1 This is the 2-layer neural network workbook for ECE 247 Assignment #3

Please follow the notebook linearly to implement a two layer neural network.

Please print out the workbook entirely when completed.

We thank Serena Yeung & Justin Johnson for permission to use code written for the CS 231n class (cs231n.stanford.edu). These are the functions in the cs231n folders and code in the jupyer notebook to preprocess and show the images. The classifiers used are based off of code prepared for CS 231n as well.

The goal of this workbook is to give you experience with training a two layer neural network.

## 0.2 Toy example

Before loading CIFAR-10, there will be a toy example to test your implementation of the forward and backward pass

```
[2]: from nndl.neural_net import TwoLayerNet

[3]: # Create a small net and some toy data to check your implementations.
# Note that we set the random seed for repeatable experiments.

input_size = 4
hidden_size = 10
num_classes = 3
num_inputs = 5
```

```
def init_toy_model():
    np.random.seed(0)
    return TwoLayerNet(input_size, hidden_size, num_classes, std=1e-1)

def init_toy_data():
    np.random.seed(1)
    X = 10 * np.random.randn(num_inputs, input_size)
    y = np.array([0, 1, 2, 2, 1])
    return X, y

net = init_toy_model()
X, y = init_toy_data()
```

## 0.2.1 Compute forward pass scores

[[-1.07260209 0.05083871 -0.87253915]

```
[4]: ## Implement the forward pass of the neural network.
     # Note, there is a statement if y is None: return scores, which is why
     # the following call will calculate the scores.
     scores = net.loss(X)
     print('Your scores:')
     print(scores)
     print()
     print('correct scores:')
     correct_scores = np.asarray([
         [-1.07260209, 0.05083871, -0.87253915],
         [-2.02778743, -0.10832494, -1.52641362],
         [-0.74225908, 0.15259725, -0.39578548],
         [-0.38172726, 0.10835902, -0.17328274],
         [-0.64417314, -0.18886813, -0.41106892]])
     print(correct_scores)
     print()
     # The difference should be very small. We get < 1e-7
     print('Difference between your scores and correct scores:')
     print(np.sum(np.abs(scores - correct_scores)))
    Your scores:
    [[-1.07260209 0.05083871 -0.87253915]
     [-2.02778743 -0.10832494 -1.52641362]
     [-0.74225908 0.15259725 -0.39578548]
     [-0.38172726 0.10835902 -0.17328274]
     [-0.64417314 -0.18886813 -0.41106892]]
    correct scores:
```

```
[-2.02778743 -0.10832494 -1.52641362]

[-0.74225908  0.15259725 -0.39578548]

[-0.38172726  0.10835902 -0.17328274]

[-0.64417314 -0.18886813 -0.41106892]]
```

Difference between your scores and correct scores: 3.381231233889892e-08

# 0.2.2 Forward pass loss

```
[5]: loss, _ = net.loss(X, y, reg=0.05)
    correct_loss = 1.071696123862817

# should be very small, we get < 1e-12
    print('Difference between your loss and correct loss:')
    print(np.sum(np.abs(loss - correct_loss)))</pre>
```

Difference between your loss and correct loss: 0.0

# [6]: print(loss)

1.071696123862817

#### 0.2.3 Backward pass

Implements the backwards pass of the neural network. Check your gradients with the gradient check utilities provided.

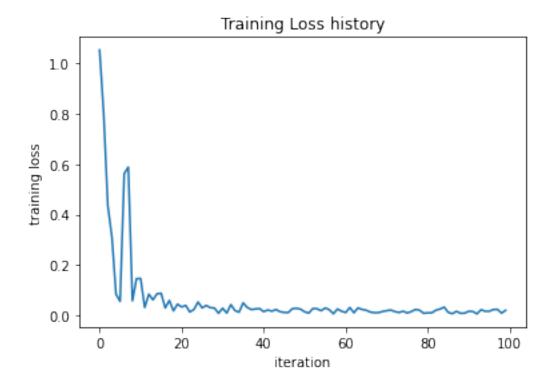
W2 max relative error: 2.9632227682005116e-10 b2 max relative error: 1.8391748601536041e-10

W1 max relative error: 1.2832874456864775e-09 b1 max relative error: 3.1726806716844575e-09

# 0.2.4 Training the network

Implement neural\_net.train() to train the network via stochastic gradient descent, much like the softmax and SVM.

Final training loss: 0.020371427168192176



# 0.3 Classify CIFAR-10

Do classification on the CIFAR-10 dataset.

```
[9]: from cs231n.data_utils import load_CIFAR10
     def get_CIFAR10_data(num_training=49000, num_validation=1000, num_test=1000):
         Load the CIFAR-10 dataset from disk and perform preprocessing to prepare
         it for the two-layer neural net classifier. These are the same steps as
         we used for the SVM, but condensed to a single function.
         # Load the raw CIFAR-10 data
         cifar10_dir = '../cifar-10-batches-py'
         X_train, y_train, X_test, y_test = load_CIFAR10(cifar10_dir)
         # Subsample the data
         mask = list(range(num_training, num_training + num_validation))
         X_val = X_train[mask]
         y_val = y_train[mask]
         mask = list(range(num_training))
         X_train = X_train[mask]
         y_train = y_train[mask]
         mask = list(range(num_test))
         X_test = X_test[mask]
         y_test = y_test[mask]
         # Normalize the data: subtract the mean image
         mean_image = np.mean(X_train, axis=0)
         X train -= mean image
         X_val -= mean_image
         X_test -= mean_image
         # Reshape data to rows
         X_train = X_train.reshape(num_training, -1)
         X_val = X_val.reshape(num_validation, -1)
         X_test = X_test.reshape(num_test, -1)
         return X_train, y_train, X_val, y_val, X_test, y_test
     # Invoke the above function to get our data.
     X_train, y_train, X_val, y_val, X_test, y_test = get_CIFAR10_data()
     print('Train data shape: ', X_train.shape)
     print('Train labels shape: ', y_train.shape)
     print('Validation data shape: ', X_val.shape)
     print('Validation labels shape: ', y_val.shape)
     print('Test data shape: ', X_test.shape)
```

```
print('Test labels shape: ', y_test.shape)
```

Train data shape: (49000, 3072)
Train labels shape: (49000,)
Validation data shape: (1000, 3072)
Validation labels shape: (1000,)
Test data shape: (1000, 3072)
Test labels shape: (1000,)

#### 0.3.1 Running SGD

If your implementation is correct, you should see a validation accuracy of around 28-29%.

```
iteration 0 / 1000: loss 2.302737768195894
iteration 100 / 1000: loss 2.3022105256991185
iteration 200 / 1000: loss 2.2964000486596787
iteration 300 / 1000: loss 2.268839768313435
iteration 400 / 1000: loss 2.2252579375820387
iteration 500 / 1000: loss 2.153736317283182
iteration 600 / 1000: loss 2.0755138768138344
iteration 700 / 1000: loss 2.021773289161574
iteration 800 / 1000: loss 1.9611502427393566
iteration 900 / 1000: loss 1.9224467427616607
Validation accuracy: 0.282
```

# 0.4 Questions:

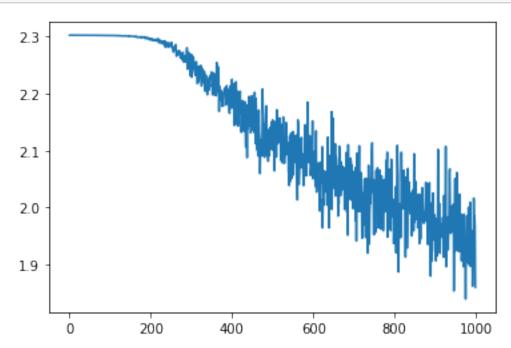
The training accuracy isn't great.

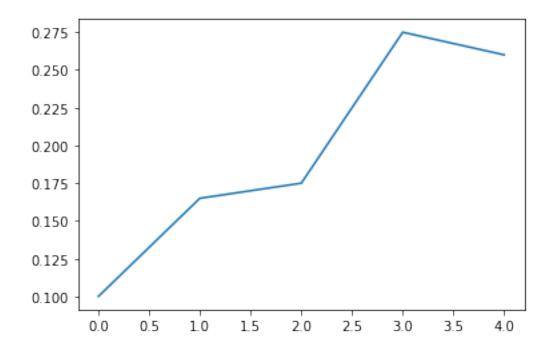
(1) What are some of the reasons why this is the case? Take the following cell to do some analyses and then report your answers in the cell following the one below.

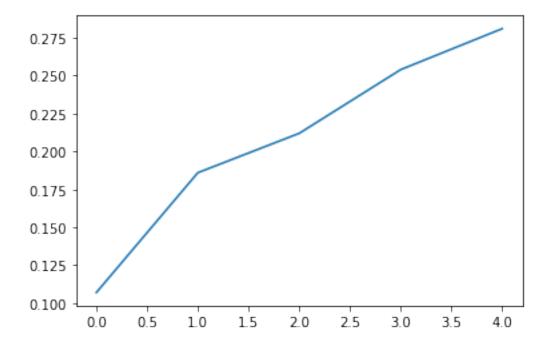
(2) How should you fix the problems you identified in (1)?

```
[11]: stats['train_acc_history']
```

[11]: [0.1, 0.165, 0.175, 0.275, 0.26]







## 0.5 Answers:

- (1) Neither loss nor accuracy plateu and are still trending downwards and upwards respectively
- (2) This indicates that we are underfitting and so we can increase training iterations, and increase

learning\_rate even more to speed up the learning process until it plateus. We can also decrease regularization to help learning occur

# 0.6 Optimize the neural network

Use the following part of the Jupyter notebook to optimize your hyperparameters on the validation set. Store your nets as best\_net.

```
[13]: best net = None # store the best model into this
                    ______ #
     # YOUR CODE HERE:
        Optimize over your hyperparameters to arrive at the best neural
        network. You should be able to get over 50% validation accuracy.
        For this part of the notebook, we will give credit based on the
     #
     #
        accuracy you get. Your score on this question will be multiplied by:
     #
           min(floor((X - 28\%)) / \%22, 1)
        where if you get 50% or higher validation accuracy, you get full
     #
        points.
     #
       Note, you need to use the same network structure (keep hidden_size = 50)!
     # ----- #
     max_val_acc = 0
     for num iters in [5000]:
        for rate in [1e-3, 1e-4, 1e-5]:
            for reg in [0.25, 0.3, 0.35, 0.4]:
               hyperparams = (num_iters, rate, reg)
               net = TwoLayerNet(input size, hidden size, num classes)
               stats = net.train(X_train, y_train, X_val, y_val,
                          num_iters=num_iters, batch_size=200,
                          learning_rate=rate, learning_rate_decay=0.95,
                          reg=reg, verbose=False)
               if stats["val_acc_history"][-1] > max_val_acc:
                   max_val_acc = stats["val_acc_history"][-1]
                   best_hyper_params = hyperparams
     print("Max Val Acc: ", max_val_acc)
     print(best_hyper_params)
     (num_iters, rate, reg) = best_hyper_params
     net = TwoLayerNet(input_size, hidden_size, num_classes)
     stats = net.train(X_train, y_train, X_val, y_val,
               num iters=num iters, batch size=200,
               learning_rate=rate, learning_rate_decay=0.95,
               reg=reg, verbose=False)
     # ----- #
     # END YOUR CODE HERE
     best_net = net
```

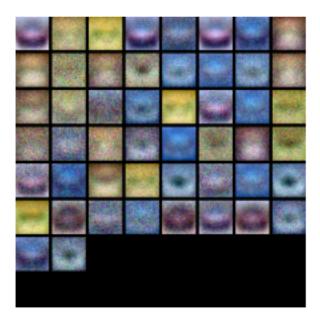
```
Max Val Acc: 0.517 (5000, 0.001, 0.35)
```

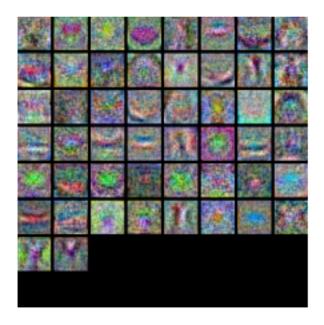
```
[14]: from cs231n.vis_utils import visualize_grid

# Visualize the weights of the network

def show_net_weights(net):
    W1 = net.params['W1']
    W1 = W1.T.reshape(32, 32, 3, -1).transpose(3, 0, 1, 2)
    plt.imshow(visualize_grid(W1, padding=3).astype('uint8'))
    plt.gca().axis('off')
    plt.show()

show_net_weights(subopt_net)
show_net_weights(best_net)
```





# 0.7 Question:

(1) What differences do you see in the weights between the suboptimal net and the best net you arrived at?

## 0.8 Answer:

(1) The best net has much more contrast and defined characteristics for the weights

# 0.9 Evaluate on test set

```
[15]: test_acc = (best_net.predict(X_test) == y_test).mean()
print('Test accuracy: ', test_acc)

Test accuracy: 0.507
```

[]:

```
import numpy as np
import matplotlib.pyplot as plt
This code was originally written for CS 231n at Stanford University
(cs231n.stanford.edu). It has been modified in various areas for use in the
ECE 239AS class at UCLA. This includes the descriptions of what code to
implement as well as some slight potential changes in variable names to be
consistent with class nomenclature. We thank Justin Johnson & Serena Yeung for
permission to use this code. To see the original version, please visit
cs231n.stanford.edu.
class TwoLayerNet(object):
    A two-layer fully-connected neural network. The net has an input dimension of
    N, a hidden layer dimension of H, and performs classification over C classes.
    We train the network with a softmax loss function and L2 regularization on the
    weight matrices. The network uses a ReLU nonlinearity after the first fully
    connected layer.
    In other words, the network has the following architecture:
    input - fully connected layer - ReLU - fully connected layer - softmax
    The outputs of the second fully-connected layer are the scores for each class.
    def __init__(self, input_size, hidden_size, output_size, std=le-4):
        Initialize the model. Weights are initialized to small random values and
        biases are initialized to zero. Weights and biases are stored in the
        variable self.params, which is a dictionary with the following keys:
        W1: First layer weights; has shape (H, D)
        b1: First layer biases; has shape (H,)
        W2: Second layer weights; has shape (C, H)
        b2: Second layer biases; has shape (C,)
        - input size: The dimension D of the input data.
        - hidden size: The number of neurons H in the hidden layer.
        - output size: The number of classes C.
        self.params = {}
        self.params["W1"] = std * np.random.randn(hidden_size, input_size)
        self.params["b1"] = np.zeros(hidden size)
        self.params["W2"] = std * np.random.randn(output_size, hidden_size)
        self.params["b2"] = np.zeros(output_size)
    def loss(self, X, y=None, reg=0.0):
        Compute the loss and gradients for a two layer fully connected neural
        network.
        Inputs:
        - X: Input data of shape (N, D). Each X[i] is a training sample.
        - y: Vector of training labels. y[i] is the label for X[i], and each y[i] is
          an integer in the range 0 \le y[i] \le C. This parameter is optional; if it
          is not passed then we only return scores, and if it is passed then we
          instead return the loss and gradients.
        - reg: Regularization strength.
        Returns:
        If y is None, return a matrix scores of shape (N, C) where scores[i, c] is
        the score for class c on input X[i].
        If y is not None, instead return a tuple of:
        - loss: Loss (data loss and regularization loss) for this batch of training
          samples.
```

- grads: Dictionary mapping parameter names to gradients of those parameters

```
with respect to the loss function; has the same keys as self.params.
# Unpack variables from the params dictionary
W1, b1 = self.params["W1"], self.params["b1"]
W2, b2 = self.params["W2"], self.params["b2"]
N, D = X.shape
# Compute the forward pass
scores = None
# YOUR CODE HERE:
   Calculate the output scores of the neural network. The result
   should be (N, C). As stated in the description for this class,
     there should not be a ReLU layer after the second FC layer.
     The output of the second FC layer is the output scores. Do not
     use a for loop in your implementation.
h1 = np.maximum(np.dot(X, W1.T) + b1, 0)
scores = np.dot(h1, W2.T) + b2
# END YOUR CODE HERE
# If the targets are not given then jump out, we're done
if y is None:
   return scores
# Compute the loss
loss = None
# YOUR CODE HERE:
  Calculate the loss of the neural network. This includes the
     softmax loss and the L2 regularization for W1 and W2. Store the
     total loss in teh variable loss. Multiply the regularization
     loss by 0.5 (in addition to the factor reg).
# scores is num examples by num classes
exp scores = np.exp(scores)
loss = (
  np.sum(np.log(np.sum(exp scores, axis=1)) - scores[np.arange(N), y]) / N
) + 0.5 * reg * (np.linalg.norm(W1) ** 2 + np.linalg.norm(W2) ** 2)
# END YOUR CODE HERE
grads = {}
# YOUR CODE HERE:
      Implement the backward pass. Compute the derivatives of the
     weights and the biases. Store the results in the grads
     dictionary. e.g., grads['W1'] should store the gradient for
     W1, and be of the same size as W1.
exp_score_sums = np.sum(exp_scores, axis=1)[:, np.newaxis]
probs = exp_scores / exp_score_sums
probs[np.arange(N), y] -= 1
dL da = probs / N
dL dc2 = dL da
dL db2 = np.sum(dL da, axis=0)
```

```
dc2 dW2 = h1
   grads["W2"] = np.dot(dL dc2.T, dc2 dW2) + (reg * W2)
   grads["b2"] = dL db2
   dc2 dh1 = W2
   dL_dh1 = (h1 > 0) * np.dot(dL_dc2, dc2_dh1)
   dL db1 = np.sum(dL dh1, axis=0)
   dh1 dW1 = X
   dL dW1 = np.dot(dL dh1.T, dh1 dW1) + reg * W1
   grads["W1"] = dL_dW1
   grads["b1"] = dL_db1
   # END YOUR CODE HERE
   return loss, grads
def train(
   self,
   Х,
   у,
   X val,
   y val,
   learning rate=1e-3,
   learning_rate_decay=0.95,
   reg=1e-5,
   num iters=100,
   batch size=200,
   verbose=False,
):
   Train this neural network using stochastic gradient descent.
   Inputs:
   - X: A numpy array of shape (N, D) giving training data.
   - y: A numpy array f shape (N,) giving training labels; y[i] = c means that
     X[i] has label c, where 0 <= c < C.
   - X_val: A numpy array of shape (N_val, D) giving validation data.
   - y val: A numpy array of shape (N val,) giving validation labels.
   - learning rate: Scalar giving learning rate for optimization.
   - learning_rate_decay: Scalar giving factor used to decay the learning rate
     after each epoch.
   - reg: Scalar giving regularization strength.
   - num iters: Number of steps to take when optimizing.
   - batch size: Number of training examples to use per step.
   - verbose: boolean; if true print progress during optimization.
   num train = X.shape[0]
   iterations per epoch = max(int(num train / batch size), 1)
   # Use SGD to optimize the parameters in self.model
   loss history = []
   train acc history = []
   val acc history = []
   for it in np.arange(num_iters):
      X batch = None
      y batch = None
       # YOUR CODE HERE:
          Create a minibatch by sampling batch_size samples randomly.
       sample indices = np.random.choice(
          np.arange(num train), min(num train, batch size)
```

```
X batch = X[sample indices, :]
      y batch = y[sample indices]
      # END YOUR CODE HERE
      # Compute loss and gradients using the current minibatch
      loss, grads = self.loss(X_batch, y=y_batch, reg=reg)
      loss_history.append(loss)
      # YOUR CODE HERE:
         Perform a gradient descent step using the minibatch to update
         all parameters (i.e., W1, W2, b1, and b2).
      for param in self.params.keys():
         self.params[param] -= learning_rate * grads[param]
      # END YOUR CODE HERE
      if verbose and it % 100 == 0:
         print("iteration {} / {}: loss {}".format(it, num_iters, loss))
      # Every epoch, check train and val accuracy and decay learning rate.
      if it % iterations per epoch == 0:
         # Check accuracy
         train acc = (self.predict(X_batch) == y_batch).mean()
         val acc = (self.predict(X val) == y val).mean()
         train acc history.append(train_acc)
         val_acc_history.append(val_acc)
         # Decay learning rate
         learning rate *= learning rate decay
   return {
      "loss history": loss history,
      "train acc history": train acc history,
      "val acc history": val acc history,
def predict(self, X):
   Use the trained weights of this two-layer network to predict labels for
   data points. For each data point we predict scores for each of the C
   classes, and assign each data point to the class with the highest score.
   Inputs:
   - X: A numpy array of shape (N, D) giving N D-dimensional data points to
    classify.
   Returns:
   - y pred: A numpy array of shape (N,) giving predicted labels for each of
    the elements of X. For all i, y pred[i] = c means that X[i] is predicted
    to have class c, where 0 <= c < C.
   y pred = None
   # YOUR CODE HERE:
         Predict the class given the input data.
   W1, b1 = self.params["W1"], self.params["b1"]
   W2, b2 = self.params["W2"], self.params["b2"]
   h1 = np.maximum(np.dot(W1, X.T) + b1[:, np.newaxis], 0)
```

FC nets

February 4, 2021

# 1 Fully connected networks

In the previous notebook, you implemented a simple two-layer neural network class. However, this class is not modular. If you wanted to change the number of layers, you would need to write a new loss and gradient function. If you wanted to optimize the network with different optimizers, you'd need to write new training functions. If you wanted to incorporate regularizations, you'd have to modify the loss and gradient function.

Instead of having to modify functions each time, for the rest of the class, we'll work in a more modular framework where we define forward and backward layers that calculate losses and gradients respectively. Since the forward and backward layers share intermediate values that are useful for calculating both the loss and the gradient, we'll also have these function return "caches" which store useful intermediate values.

The goal is that through this modular design, we can build different sized neural networks for various applications.

In this HW #3, we'll define the basic architecture, and in HW #4, we'll build on this framework to implement different optimizers and regularizations (like BatchNorm and Dropout).

CS231n has built a solid API for building these modular frameworks and training them, and we will use their very well implemented framework as opposed to "reinventing the wheel." This includes using their Solver, various utility functions, and their layer structure. This also includes nndl.fc\_net, nndl.layers, and nndl.layer\_utils. As in prior assignments, we thank Serena Yeung & Justin Johnson for permission to use code written for the CS 231n class (cs231n.stanford.edu).

### 1.1 Modular layers

This notebook will build modular layers in the following manner. First, there will be a forward pass for a given layer with inputs (x) and return the output of that layer (out) as well as cached variables (cache) that will be used to calculate the gradient in the backward pass.

```
def layer_forward(x, w):
```

```
""" Receive inputs x and weights w """
# Do some computations ...
z = # ... some intermediate value
# Do some more computations ...
out = # the output

cache = (x, w, z, out) # Values we need to compute gradients
```

```
return out, cache
```

The backward pass will receive upstream derivatives and the cache object, and will return gradients with respect to the inputs and weights, like this:

```
def layer_backward(dout, cache):
    """
    Receive derivative of loss with respect to outputs and cache,
    and compute derivative with respect to inputs.
    """
    # Unpack cache values
    x, w, z, out = cache

# Use values in cache to compute derivatives
    dx = # Derivative of loss with respect to x
    dw = # Derivative of loss with respect to w

return dx, dw
```

```
[1]: ## Import and setups
     import time
     import numpy as np
     import matplotlib.pyplot as plt
     from nndl.fc_net import *
     from cs231n.data_utils import get_CIFAR10_data
     from cs231n.gradient_check import eval_numerical_gradient,_
     →eval_numerical_gradient_array
     from cs231n.solver import Solver
     import os
     %alias kk os._exit(0)
     %matplotlib inline
     plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of plots
     plt.rcParams['image.interpolation'] = 'nearest'
     plt.rcParams['image.cmap'] = 'gray'
     # for auto-reloading external modules
     # see http://stackoverflow.com/questions/1907993/
     \rightarrow autoreload-of-modules-in-ipython
     %load ext autoreload
     %autoreload 2
     def rel_error(x, y):
       """ returns relative error """
       return np.max(np.abs(x - y) / (np.maximum(1e-8, np.abs(x) + np.abs(y))))
```

```
[2]: # Load the (preprocessed) CIFAR10 data.

data = get_CIFAR10_data()
for k in data.keys():
    print('{}: {} '.format(k, data[k].shape))
```

```
X_train: (49000, 3, 32, 32)
y_train: (49000,)
X_val: (1000, 3, 32, 32)
y_val: (1000,)
X_test: (1000, 3, 32, 32)
y_test: (1000,)
```

# 1.2 Linear layers

In this section, we'll implement the forward and backward pass for the linear layers.

The linear layer forward pass is the function affine\_forward in nndl/layers.py and the backward pass is affine\_backward.

After you have implemented these, test your implementation by running the cell below.

# 1.2.1 Affine layer forward pass

Implement affine\_forward and then test your code by running the following cell.

```
[3]: # Test the affine_forward function
     num_inputs = 2
     input\_shape = (4, 5, 6)
     output_dim = 3
     input_size = num_inputs * np.prod(input_shape)
     weight_size = output_dim * np.prod(input_shape)
     x = np.linspace(-0.1, 0.5, num=input_size).reshape(num_inputs, *input_shape)
     w = np.linspace(-0.2, 0.3, num=weight size).reshape(np.prod(input shape),
     →output_dim)
     b = np.linspace(-0.3, 0.1, num=output_dim)
     out, _ = affine_forward(x, w, b)
     correct_out = np.array([[ 1.49834967, 1.70660132, 1.91485297],
                             [ 3.25553199, 3.5141327,
                                                         3.77273342]])
     # Compare your output with ours. The error should be around 1e-9.
     print('Testing affine_forward function:')
     print('difference: {}'.format(rel error(out, correct out)))
```

```
Testing affine_forward function: difference: 9.769849468192957e-10
```

### 1.2.2 Affine layer backward pass

Implement affine backward and then test your code by running the following cell.

```
[4]: # Test the affine backward function
     x = np.random.randn(10, 2, 3)
     w = np.random.randn(6, 5)
     b = np.random.randn(5)
     dout = np.random.randn(10, 5)
     dx_num = eval_numerical_gradient_array(lambda x: affine_forward(x, w, b)[0], x,_
     →dout)
     dw num = eval numerical gradient array(lambda w: affine forward(x, w, b)[0], w, u
     →dout)
     db num = eval numerical gradient array(lambda b: affine forward(x, w, b)[0], b, u
     →dout)
     _, cache = affine_forward(x, w, b)
     dx, dw, db = affine_backward(dout, cache)
     # The error should be around 1e-10
     print('Testing affine_backward function:')
     print('dx error: {}'.format(rel_error(dx_num, dx)))
     print('dw error: {}'.format(rel_error(dw_num, dw)))
     print('db error: {}'.format(rel_error(db_num, db)))
```

Testing affine\_backward function: dx error: 7.598406468756369e-11 dw error: 5.7457383647397536e-11 db error: 1.3332437594154664e-11

### 1.3 Activation layers

In this section you'll implement the ReLU activation.

### 1.3.1 ReLU forward pass

Implement the relu\_forward function in nndl/layers.py and then test your code by running the following cell.

```
[5]: # Test the relu_forward function
x = np.linspace(-0.5, 0.5, num=12).reshape(3, 4)
out, _ = relu_forward(x)
```

Testing relu\_forward function: difference: 4.999999798022158e-08

# 1.3.2 ReLU backward pass

Implement the relu\_backward function in nndl/layers.py and then test your code by running the following cell.

```
[6]: x = np.random.randn(10, 10)
dout = np.random.randn(*x.shape)

dx_num = eval_numerical_gradient_array(lambda x: relu_forward(x)[0], x, dout)

_, cache = relu_forward(x)
dx = relu_backward(dout, cache)

# The error should be around 1e-12
print('Testing relu_backward function:')
print('dx error: {}'.format(rel_error(dx_num, dx)))
```

Testing relu\_backward function: dx error: 3.2756037806455336e-12

## 1.4 Combining the affine and ReLU layers

Often times, an affine layer will be followed by a ReLU layer. So let's make one that puts them together. Layers that are combined are stored in nndl/layer\_utils.py.

### 1.4.1 Affine-ReLU layers

We've implemented affine\_relu\_forward() and affine\_relu\_backward in nndl/layer\_utils.py. Take a look at them to make sure you understand what's going on. Then run the following cell to ensure its implemented correctly.

```
[7]: from nndl.layer_utils import affine_relu_forward, affine_relu_backward

x = np.random.randn(2, 3, 4)

w = np.random.randn(12, 10)

b = np.random.randn(10)

dout = np.random.randn(2, 10)
```

```
out, cache = affine_relu_forward(x, w, b)
dx, dw, db = affine_relu_backward(dout, cache)

dx_num = eval_numerical_gradient_array(lambda x: affine_relu_forward(x, w, u \to b)[0], x, dout)
dw_num = eval_numerical_gradient_array(lambda w: affine_relu_forward(x, w, u \to b)[0], w, dout)
db_num = eval_numerical_gradient_array(lambda b: affine_relu_forward(x, w, u \to b)[0], b, dout)

print('Testing affine_relu_forward and affine_relu_backward:')
print('dx error: {}'.format(rel_error(dx_num, dx)))
print('dw error: {}'.format(rel_error(dw_num, dw)))
print('db error: {}'.format(rel_error(db_num, db)))
```

Testing affine\_relu\_forward and affine\_relu\_backward:

dx error: 4.19733777413245e-11 dw error: 1.0825783318272902e-10 db error: 7.826682563679055e-12

### 1.5 Softmax and SVM losses

You've already implemented these, so we have written these in layers.py. The following code will ensure they are working correctly.

```
[8]: num classes, num inputs = 10, 50
     x = 0.001 * np.random.randn(num_inputs, num_classes)
     y = np.random.randint(num_classes, size=num_inputs)
     dx_num = eval_numerical_gradient(lambda x: svm_loss(x, y)[0], x, verbose=False)
     loss, dx = svm_loss(x, y)
     # Test sum loss function. Loss should be around 9 and dx error should be 1e-9
     print('Testing svm_loss:')
     print('loss: {}'.format(loss))
     print('dx error: {}'.format(rel_error(dx_num, dx)))
     dx_num = eval_numerical_gradient(lambda x: softmax_loss(x, y)[0], x,_u
     →verbose=False)
     loss, dx = softmax_loss(x, y)
     # Test softmax_loss function. Loss should be 2.3 and dx error should be 1e-8
     print('\nTesting softmax loss:')
     print('loss: {}'.format(loss))
     print('dx error: {}'.format(rel error(dx num, dx)))
```

Testing svm\_loss:

loss: 9.00074739665796

dx error: 1.4021566006651672e-09

Testing softmax\_loss: loss: 2.3026602945555674

dx error: 8.467349255286659e-09

### 1.6 Implementation of a two-layer NN

In nndl/fc\_net.py, implement the class TwoLayerNet which uses the layers you made here. When you have finished, the following cell will test your implementation.

```
[9]: N, D, H, C = 3, 5, 50, 7
     X = np.random.randn(N, D)
     y = np.random.randint(C, size=N)
     std = 1e-2
     model = TwoLayerNet(input_dim=D, hidden_dims=H, num_classes=C, weight_scale=std)
     print('Testing initialization ... ')
     W1_std = abs(model.params['W1'].std() - std)
     b1 = model.params['b1']
     W2_std = abs(model.params['W2'].std() - std)
     b2 = model.params['b2']
     assert W1_std < std / 10, 'First layer weights do not seem right'
     assert np.all(b1 == 0), 'First layer biases do not seem right'
     assert W2 std < std / 10, 'Second layer weights do not seem right'
     assert np.all(b2 == 0), 'Second layer biases do not seem right'
     print('Testing test-time forward pass ... ')
     model.params['W1'] = np.linspace(-0.7, 0.3, num=D*H).reshape(D, H)
     model.params['b1'] = np.linspace(-0.1, 0.9, num=H)
     model.params['W2'] = np.linspace(-0.3, 0.4, num=H*C).reshape(H, C)
     model.params['b2'] = np.linspace(-0.9, 0.1, num=C)
     X = np.linspace(-5.5, 4.5, num=N*D).reshape(D, N).T
     scores = model.loss(X)
     correct_scores = np.asarray(
       [[11.53165108, 12.2917344, 13.05181771, 13.81190102, 14.57198434, 15.
     \rightarrow 33206765, 16.09215096],
        [12.05769098, 12.74614105, 13.43459113, 14.1230412, 14.81149128, 15.
     →49994135, 16.18839143],
        [12.58373087, 13.20054771, 13.81736455, 14.43418138, 15.05099822, 15.
     \rightarrow66781506, 16.2846319]])
     scores_diff = np.abs(scores - correct_scores).sum()
     assert scores_diff < 1e-6, 'Problem with test-time forward pass'
     print('Testing training loss (no regularization)')
     y = np.asarray([0, 5, 1])
     loss, grads = model.loss(X, y)
```

```
Testing initialization ...

Testing test-time forward pass ...

Testing training loss (no regularization)

Running numeric gradient check with reg = 0.0

W1 relative error: 1.8336562786695002e-08

W2 relative error: 3.201560569143183e-10

b1 relative error: 9.828315204644842e-09

b2 relative error: 4.329134954569865e-10

Running numeric gradient check with reg = 0.7

W1 relative error: 2.5279152310200606e-07

W2 relative error: 7.976652806155026e-08

b1 relative error: 1.564679947504764e-08

b2 relative error: 9.089617896905665e-10
```

#### 1.7 Solver

We will now use the cs231n Solver class to train these networks. Familiarize yourself with the API in cs231n/solver.py. After you have done so, declare an instance of a TwoLayerNet with 200 units and then train it with the Solver. Choose parameters so that your validation accuracy is at least 40%.

```
[]: # Run this cell to visualize training loss and train / val accuracy

plt.subplot(2, 1, 1)
plt.title('Training loss')
plt.plot(solver.loss_history, 'o')
plt.xlabel('Iteration')

plt.subplot(2, 1, 2)
plt.title('Accuracy')
plt.plot(solver.train_acc_history, '-o', label='train')
plt.plot(solver.val_acc_history, '-o', label='val')
plt.plot([0.5] * len(solver.val_acc_history), 'k--')
plt.xlabel('Epoch')
plt.legend(loc='lower right')
plt.gcf().set_size_inches(15, 12)
plt.show()
```

### 1.8 Multilayer Neural Network

Now, we implement a multi-layer neural network.

Read through the FullyConnectedNet class in the file nndl/fc\_net.py.

Implement the initialization, the forward pass, and the backward pass. There will be lines for batchnorm and dropout layers and caches; ignore these all for now. That'll be in assignment #4.

```
print('Initial loss: {}'.format(loss))

for name in sorted(grads):
    f = lambda _: model.loss(X, y)[0]
    grad_num = eval_numerical_gradient(f, model.params[name], verbose=False,__
    h=1e-5)
    print('{} relative error: {}'.format(name, rel_error(grad_num,__
    grads[name])))
```

```
[]: # Use the three layer neural network to overfit a small dataset.
     num_train = 50
     small data = {
       'X_train': data['X_train'][:num_train],
       'y_train': data['y_train'][:num_train],
       'X_val': data['X_val'],
       'y_val': data['y_val'],
     #### !!!!!!
     # Play around with the weight scale and learning rate so that you can overfit and
     \rightarrowsmall dataset.
     # Your training accuracy should be 1.0 to receive full credit on this part.
     weight scale = 1e-2
     learning_rate = 1e-2
     model = FullyConnectedNet([100, 100],
                   weight_scale=weight_scale, dtype=np.float64)
     solver = Solver(model, small_data,
                     print_every=10, num_epochs=20, batch_size=25,
                     update_rule='sgd',
                     optim_config={
                       'learning_rate': learning_rate,
     solver.train()
     plt.plot(solver.loss_history, 'o')
     plt.title('Training loss history')
     plt.xlabel('Iteration')
     plt.ylabel('Training loss')
     plt.show()
```

```
[]:
```

[]:

```
import numpy as np
import pdb
.. .. ..
This code was originally written for CS 231n at Stanford University
(cs231n.stanford.edu). It has been modified in various areas for use in the
ECE 239AS class at UCLA. This includes the descriptions of what code to
implement as well as some slight potential changes in variable names to be
consistent with class nomenclature. We thank Justin Johnson & Serena Yeung for
permission to use this code. To see the original version, please visit
cs231n.stanford.edu.
def affine_forward(x, w, b):
   Computes the forward pass for an affine (fully-connected) layer.
   The input x has shape (N, d_1, ..., d_k) and contains a minibatch of N
   examples, where each example x[i] has shape (d_1, \ldots, d_k). We will
   reshape each input into a vector of dimension D = d 1 * ... * d k, and
   then transform it to an output vector of dimension M.
   Inputs:
   - x: A numpy array containing input data, of shape (N, d_1, ..., d_k)
   - w: A numpy array of weights, of shape (D, M)
   - b: A numpy array of biases, of shape (M,)
   Returns a tuple of:
   - out: output, of shape (N, M)
   - cache: (x, w, b)
   # ============= #
   # YOUR CODE HERE:
      Calculate the output of the forward pass. Notice the dimensions
   #
      of w are D x M, which is the transpose of what we did in earlier
      assignments.
   N = x.shape[0]
   D, M = w.shape
   x reshaped = np.reshape(x, (N, D))
   out = np.dot(x_reshaped, w) + b
   # END YOUR CODE HERE
   cache = (x, w, b)
   return out, cache
def affine backward(dout, cache):
   Computes the backward pass for an affine layer.
   Inputs:
   - dout: Upstream derivative, of shape (N, M)
   - cache: Tuple of:
     - x: Input data, of shape (N, d_1, \ldots d_k)
     - w: Weights, of shape (D, M)
   Returns a tuple of:
```

```
- dx: Gradient with respect to x, of shape (N, d1, ..., d k)
  - dw: Gradient with respect to w, of shape (D, M)
   - db: Gradient with respect to b, of shape (M,)
  x, w, b = cache
  dx, dw, db = None, None, None
  # =================== #
  # YOUR CODE HERE:
     Calculate the gradients for the backward pass.
  # dout is N x M
  # dx should be N x d1 x ... x dk; it relates to dout through multiplication with w, which
is D x M
  \# dw should be D x M; it relates to dout through multiplication with x, which is N x D
after reshaping
  # db should be M; it is just the sum over dout examples
  N = x.shape[0]
  D, M = w.shape
  dx = np.reshape(np.dot(dout, w.T), x.shape)
  dw = np.dot(np.reshape(x, (N, D)).T, dout)
  db = np.sum(dout, axis=0)
  # END YOUR CODE HERE
  # =================== #
  return dx, dw, db
def relu_forward(x):
  Computes the forward pass for a layer of rectified linear units (ReLUs).
  Input:
  - x: Inputs, of any shape
  Returns a tuple of:
   - out: Output, of the same shape as x
   - cache: x
  # YOUR CODE HERE:
     Implement the ReLU forward pass.
  out = np.maximum(0, x)
  # END YOUR CODE HERE
  # ============== #
  cache = x
  return out, cache
def relu backward(dout, cache):
  Computes the backward pass for a layer of rectified linear units (ReLUs).
  Input:
  - dout: Upstream derivatives, of any shape
  - cache: Input x, of same shape as dout
```

```
Returns:
   - dx: Gradient with respect to x
   x = cache
   # YOUR CODE HERE:
     Implement the ReLU backward pass
   # ============== #
   # ReLU directs linearly to those > 0
   dx = (x > 0) * dout
   # END YOUR CODE HERE
   return dx
def svm_loss(x, y):
   Computes the loss and gradient using for multiclass SVM classification.
   Inputs:
   - x: Input data, of shape (N, C) where x[i, j] is the score for the jth class
    for the ith input.
   - y: Vector of labels, of shape (N,) where y[i] is the label for x[i] and
    0 \le y[i] \le C
   Returns a tuple of:
   - loss: Scalar giving the loss
   - dx: Gradient of the loss with respect to x
   N = x.shape[0]
   correct_class_scores = x[np.arange(N), y]
   margins = np.maximum(0, x - correct_class_scores[:, np.newaxis] + 1.0)
   margins[np.arange(N), y] = 0
   loss = np.sum(margins) / N
   num_pos = np.sum(margins > 0, axis=1)
   dx = np.zeros like(x)
   dx[margins > 0] = 1
   dx[np.arange(N), y] = num_pos
   dx /= N
   return loss, dx
def softmax loss(x, y):
   Computes the loss and gradient for softmax classification.
   Inputs:
   - x: Input data, of shape (N, C) where x[i, j] is the score for the jth class
    for the ith input.
   - y: Vector of labels, of shape (N,) where y[i] is the label for x[i] and
    0 \le y[i] \le C
   Returns a tuple of:
   - loss: Scalar giving the loss
   - dx: Gradient of the loss with respect to x
   probs = np.exp(x - np.max(x, axis=1, keepdims=True))
   probs /= np.sum(probs, axis=1, keepdims=True)
   N = x.shape[0]
```

```
\label{eq:loss_noise} $$ loss = -np.sum(np.log(probs[np.arange(N), y])) / N$ $$ dx = probs.copy()$ $$ dx[np.arange(N), y] -= 1$ $$ dx /= N$ $$ return loss, dx
```

```
import numpy as np
from .layers import *
from .layer_utils import *
.....
This code was originally written for CS 231n at Stanford University
(cs231n.stanford.edu). It has been modified in various areas for use in the
ECE 239AS class at UCLA. This includes the descriptions of what code to
implement as well as some slight potential changes in variable names to be
consistent with class nomenclature. We thank Justin Johnson & Serena Yeung for
permission to use this code. To see the original version, please visit
cs231n.stanford.edu.
class TwoLayerNet(object):
   A two-layer fully-connected neural network with ReLU nonlinearity and
    softmax loss that uses a modular layer design. We assume an input dimension
    of D, a hidden dimension of H, and perform classification over C classes.
    The architecure should be affine - relu - affine - softmax.
    Note that this class does not implement gradient descent; instead, it
    will interact with a separate Solver object that is responsible for running
    optimization.
    The learnable parameters of the model are stored in the dictionary
    self.params that maps parameter names to numpy arrays.
    def init (
       self,
       input dim=3 * 32 * 32,
       hidden dims=100,
       num classes=10,
       dropout=0,
       weight scale=1e-3,
       reg=0.0,
    ):
       Initialize a new network.
       Inputs:
       - input dim: An integer giving the size of the input
       - hidden dims: An integer giving the size of the hidden layer
       - num classes: An integer giving the number of classes to classify
       - dropout: Scalar between 0 and 1 giving dropout strength.
       - weight scale: Scalar giving the standard deviation for random
         initialization of the weights.
        - reg: Scalar giving L2 regularization strength.
       self.params = {}
       self.reg = reg
       # YOUR CODE HERE:
           Initialize W1, W2, b1, and b2. Store these as self.params['W1'],
           self.params['W2'], self.params['b1'] and self.params['b2']. The
           biases are initialized to zero and the weights are initialized
           so that each parameter has mean 0 and standard deviation weight scale.
           The dimensions of W1 should be (input dim, hidden dim) and the
           dimensions of W2 should be (hidden dims, num classes)
```

```
self.params["W1"] = np.reshape(
      np.random.normal(0, weight scale, input dim * hidden dims),
      (input dim, hidden dims),
   )
   self.params["W2"] = np.reshape(
      np.random.normal(0, weight scale, hidden dims * num classes),
      (hidden dims, num classes),
   )
   self.params["b1"] = np.zeros(hidden_dims)
   self.params["b2"] = np.zeros(num_classes)
   # END YOUR CODE HERE
   def loss(self, X, y=None):
   Compute loss and gradient for a minibatch of data.
   - X: Array of input data of shape (N, d 1, ..., d k)
   - y: Array of labels, of shape (N,). y[i] gives the label for X[i].
   Returns:
   If y is None, then run a test-time forward pass of the model and return:
   - scores: Array of shape (N, C) giving classification scores, where
    scores[i, c] is the classification score for X[i] and class c.
   If y is not None, then run a training-time forward and backward pass and
   return a tuple of:
   - loss: Scalar value giving the loss
   - grads: Dictionary with the same keys as self.params, mapping parameter
    names to gradients of the loss with respect to those parameters.
   scores = None
   # YOUR CODE HERE:
      Implement the forward pass of the two-layer neural network. Store
      the class scores as the variable 'scores'. Be sure to use the layers
      you prior implemented.
   h1, cache1 = affine_relu_forward(X, self.params["W1"], self.params["b1"])
   scores, cache2 = affine forward(h1, self.params["W2"], self.params["b2"])
   # END YOUR CODE HERE
   # If y is None then we are in test mode so just return scores
   if y is None:
      return scores
   loss, grads = 0, \{\}
   # YOUR CODE HERE:
      Implement the backward pass of the two-layer neural net. Store
      the loss as the variable 'loss' and store the gradients in the
      'grads' dictionary. For the grads dictionary, grads['W1'] holds
      the gradient for W1, grads['b1'] holds the gradient for b1, etc.
      i.e., grads[k] holds the gradient for self.params[k].
   #
      Add L2 regularization, where there is an added cost 0.5*self.reg*W^2
      for each W. Be sure to include the 0.5 multiplying factor to
```

```
match our implementation.
           And be sure to use the layers you prior implemented.
       loss, dx = softmax_loss(scores, y)
       loss += (
           0.5
           * self.reg
               np.linalg.norm(self.params["W1"]) ** 2
               + np.linalg.norm(self.params["W2"]) ** 2
           )
       )
       dh1, grads["W2"], grads["b2"] = affine_backward(dx, cache2)
       _, grads["W1"], grads["b1"] = affine_relu_backward(dh1, cache1)
       grads["W1"] += self.reg * self.params["W1"]
       grads["W2"] += self.reg * self.params["W2"]
       # END YOUR CODE HERE
       return loss, grads
class FullyConnectedNet(object):
   A fully-connected neural network with an arbitrary number of hidden layers,
   ReLU nonlinearities, and a softmax loss function. This will also implement
   dropout and batch normalization as options. For a network with L layers,
   the architecture will be
   {affine - [batch norm] - relu - [dropout]} x (L - 1) - affine - softmax
   where batch normalization and dropout are optional, and the {...} block is
   repeated L - 1 times.
   Similar to the TwoLayerNet above, learnable parameters are stored in the
   self.params dictionary and will be learned using the Solver class.
   def init (
       self,
       hidden_dims,
       input dim=3 * 32 * 32,
       num classes=10,
       dropout=0,
       use batchnorm=False,
       req=0.0,
       weight scale=1e-2,
       dtype=np.float32,
       seed=None,
   ):
       Initialize a new FullyConnectedNet.
       Inputs:
       - hidden dims: A list of integers giving the size of each hidden layer.
       - input dim: An integer giving the size of the input.
       - num classes: An integer giving the number of classes to classify.
       - dropout: Scalar between 0 and 1 giving dropout strength. If dropout=0 then
         the network should not use dropout at all.
       - use batchnorm: Whether or not the network should use batch normalization.
       - reg: Scalar giving L2 regularization strength.
       - weight scale: Scalar giving the standard deviation for random
```

initialization of the weights. - dtype: A numpy datatype object; all computations will be performed using this datatype. float32 is faster but less accurate, so you should use float64 for numeric gradient checking. - seed: If not None, then pass this random seed to the dropout layers. This will make the dropout layers deteriminstic so we can gradient check the model. self.use batchnorm = use batchnorm self.use\_dropout = dropout > 0 self.reg = reg self.num\_layers = 1 + len(hidden\_dims) self.dtype = dtype self.params = {} # YOUR CODE HERE: Initialize all parameters of the network in the self.params dictionary. The weights and biases of layer 1 are W1 and b1; and in general the weights and biases of layer i are Wi and bi. The biases are initialized to zero and the weights are initialized so that each parameter has mean 0 and standard deviation weight scale. # =============== # dims = [input dim] + hidden dims + [num classes] curr dim = dims[0] next dim = dims[1] for i in range(1, self.num layers + 1): self.params[f"W{i}"] = np.reshape( np.random.normal(0, weight\_scale, curr\_dim \* next\_dim), (curr\_dim, next\_dim), self.params[f"b{i}"] = np.zeros(next dim) curr dim = next dim next dim = dims[i] # END YOUR CODE HERE # When using dropout we need to pass a dropout\_param dictionary to each # dropout layer so that the layer knows the dropout probability and the mode # (train / test). You can pass the same dropout param to each dropout layer. self.dropout param = {} if self.use dropout: self.dropout param = {"mode": "train", "p": dropout} if seed is not None: self.dropout param["seed"] = seed # With batch normalization we need to keep track of running means and # variances, so we need to pass a special bn param object to each batch # normalization layer. You should pass self.bn params[0] to the forward pass # of the first batch normalization layer, self.bn params[1] to the forward # pass of the second batch normalization layer, etc. self.bn params = [] if self.use batchnorm: self.bn params = [{"mode": "train"} for i in np.arange(self.num layers - 1)] # Cast all parameters to the correct datatype for k, v in self.params.items(): self.params[k] = v.astype(dtype) def loss(self, X, y=None): Compute loss and gradient for the fully-connected net.

```
Input / output: Same as TwoLayerNet above.
X = X.astype(self.dtype)
mode = "test" if y is None else "train"
# Set train/test mode for batchnorm params and dropout param since they
# behave differently during training and testing.
if self.dropout param is not None:
   self.dropout param["mode"] = mode
if self.use_batchnorm:
   for bn_param in self.bn_params:
      bn param[mode] = mode
scores = None
# YOUR CODE HERE:
   Implement the forward pass of the FC net and store the output
   scores as the variable "scores".
caches = []
input = X
for i in range(1, self.num layers):
   output, cache = affine relu forward(
      input, self.params[f"W{i}"], self.params[f"b{i}"]
   input = output
   caches.append(cache)
scores, cache = affine_forward(
   input,
   self.params[f"W{self.num layers}"],
   self.params[f"b{self.num layers}"],
caches.append(cache)
# END YOUR CODE HERE
# If test mode return early
if mode == "test":
   return scores
loss, grads = 0.0, {}
# ============================ #
# YOUR CODE HERE:
   Implement the backwards pass of the FC net and store the gradients
   in the grads dict, so that grads[k] is the gradient of self.params[k]
   Be sure your L2 regularization includes a 0.5 factor.
loss, dx = softmax loss(scores, y)
loss += (
   0.5
   * self.reg
   * sum(
         np.linalg.norm(self.params[f"W{i}"]) ** 2
         for i in range(1, self.num layers + 1)
   )
)
   grads[f"W{self.num layers}"],
   grads[f"b{self.num layers}"],
```