

Research Readiness Presentation

By Tam Doan

Outline

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B. Presenting papers

1. "Improving Language Understanding by Generative Pre-Training " (GPT1)

- 1.1. Problem and previous method
- 1.2. Overview method(focus math)
- 1.3. Result
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2. "Language Models are Unsupervised Multitask Learners" (GPT2)

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- 2.3. Result
- 2.4. What did GPT2 achieve

3. "Language Models are Few-Shot Learners" (GPT3)

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- 3.2. Overview method
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- 3.4. Result
- 3.5. What did GPT3 achieve

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Introduction

“Natural language processing is the set of methods for making human language accessible to computers”(NLP) (Jacob Eisenstein)

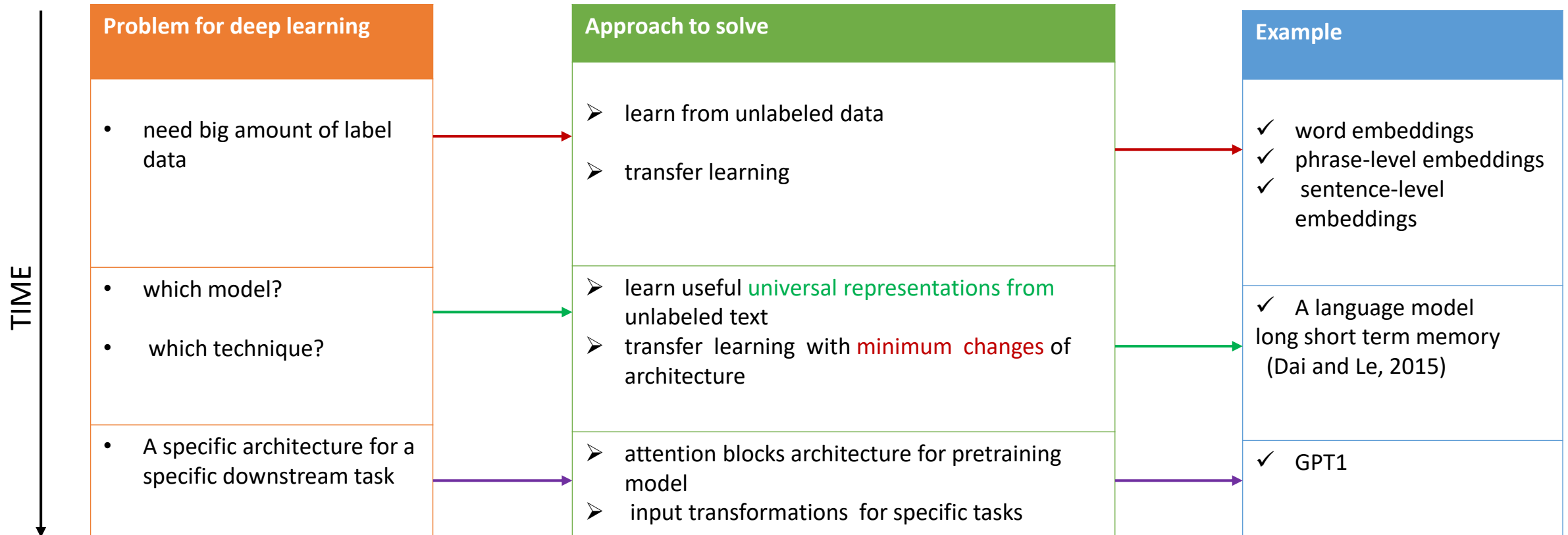
- Machine learning with NLP
- ChatGPT and GPT4
- Investigate previous versions of ChatGPT: GPT1, GPT2, and GPT3

Improving Language Understanding by Generative Pre-Training (GPT1)

Published in June 2018 by
Alec Radford, Karthik Narasimhan, Tim Salimans, Ilya Sutskever

Present by Tam Doan

Background



➔ Without a change of architecture, GPT1 can perform well many specific tasks with supervised finetuning

Overview GPT1: Unsupervised pre-training (step1)

❖ Available data

❖ Given an unlabeled dataset $T = \{u_1, \dots, u_n\}$

❑ maximize the likelihood $L_1(T)$:

$$L_1(T) = \sum_{i=1}^n \log P(u_i / u_{i-k}, \dots, u_{i-1}; \theta) \quad (1)$$

➤ u_i is the predicted next word(output). The context window has size k. The conditional probability P is GPT1 model with parameter θ .

$$Attention(Q, K, V) = [softmax(\frac{QK^T}{\sqrt{d_k}})]V \quad (5) \quad (\text{Vaswani et al., 2017})$$

- Let x_i is a vector embedding a token and it's position of each input word and X is matrix of N embedding vector x_i , X in $R^{N \times d_{model}}$
- Transformer maps an input sequence (x_1, \dots, x_N) to an output sequence of the same length (y_1, \dots, y_N) .
- Query(q) is the current focus of attention, which is compared to all of the other preceding inputs:

$$q_i = W^Q x_i \quad (6) \quad ; \quad Q = XW^Q \quad (7) \quad \text{where } W^Q \text{ in } R^{d_{model} \times d_k}, Q \text{ in } R^{N \times d_k}$$
- Key (k) is a preceding input being compare with the current focus of attention:

$$k_i = W^K x_i \quad (8) \quad ; \quad K = XW^K \quad (9) \quad \text{where } W^K \text{ in } R^{d_{model} \times d_k}, K \text{ in } R^{N \times d_k}$$
- Value(v) is used to compute the output for the current focus of attention :

$$v_i = W^V x_i \quad (10) \quad ; \quad V = XW^V \quad (11) \quad \text{where } W^V \text{ in } R^{d_{model} \times d_v}, V \text{ in } R^{N \times d_k}$$
- d_k : dimension of a vector k_i

$q_1 k_1$	$q_1 k_2$	$q_1 k_3$	$q_1 k_4$
$q_2 k_1$	$q_2 k_2$	$q_2 k_3$	$q_2 k_4$
$q_3 k_1$	$q_3 k_2$	$q_3 k_3$	$q_3 k_4$
$q_4 k_1$	$q_4 k_2$	$q_4 k_3$	$q_4 k_4$

$q_1 k_1$	$-\infty$	$-\infty$	$-\infty$
$q_2 k_1$	$q_2 k_2$	$-\infty$	$-\infty$
$q_3 k_1$	$q_3 k_2$	$q_3 k_3$	
$q_4 k_1$	$q_4 k_2$	$q_4 k_3$	$q_4 k_4$

Masked

$$P(u) = \text{softmax}(h_m W_e^T) \quad (4)$$

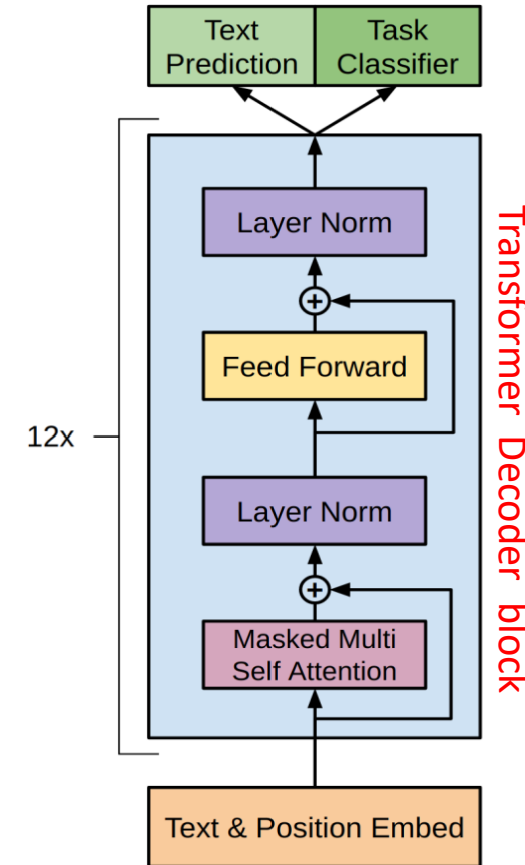
$$h_l = \text{transformer}_{block}(h_{l-1}) \quad (3) \quad \forall l \in [1, m]$$

Where m is the number of blocks

$$h_0 = U w_e + w_p \quad (2)$$

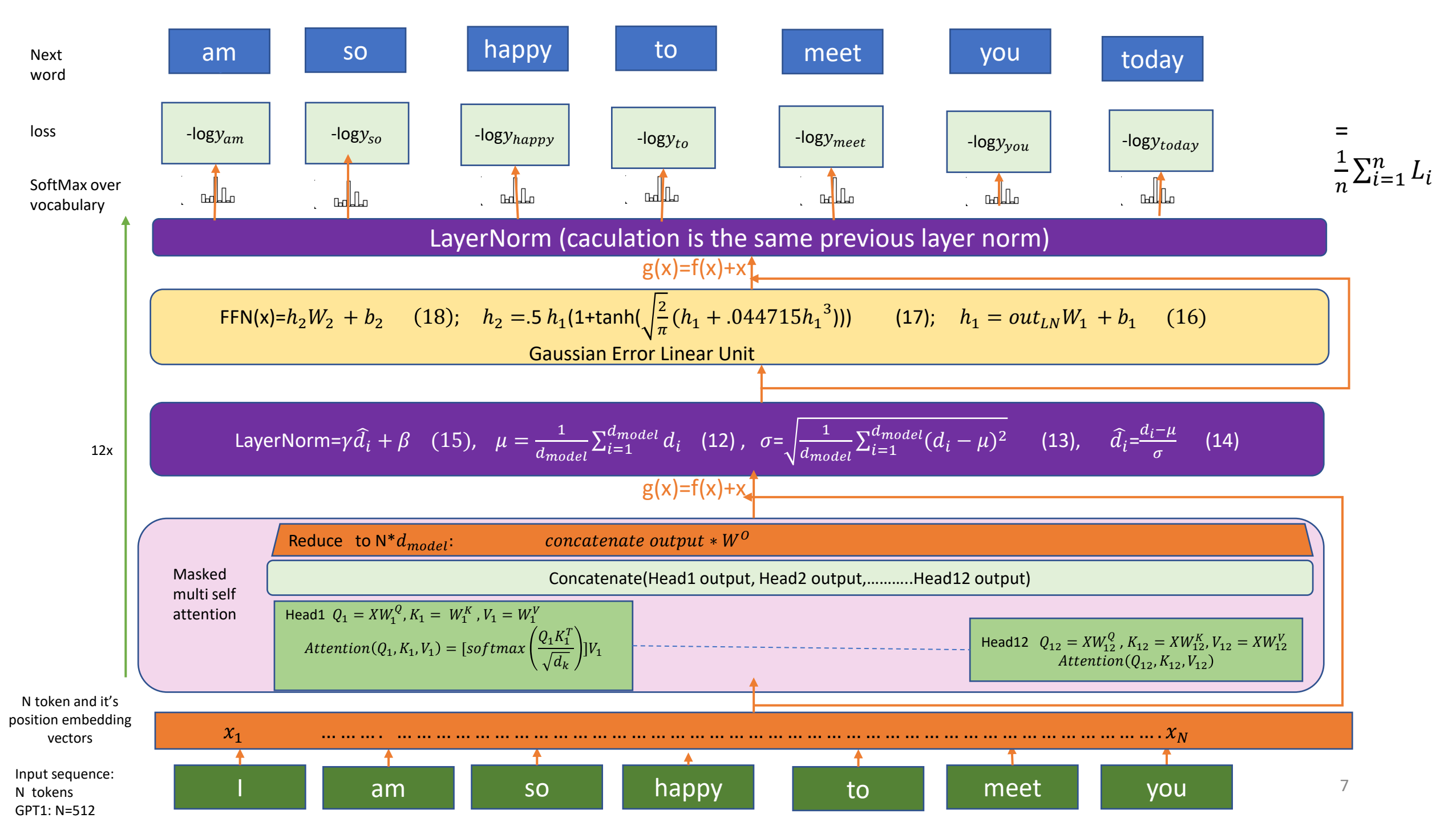
where $U = (u_{-k}, \dots, u_{-1})$ is the token context vector, W_e is the token embedding matrix, and W_p is the position embedding matrix.

GPT1 architecture



"Attention is all you need (Vaswani et al., 2017)"

"Generating wikipedia by summarizing long sequences(Liu et al., 2018)"



Unsupervised Training tuning parameters

- bytepair encoding (BPE) vocabulary with 40,000 merges
 - Adam optimization
 - learning rate : $.25e-3$
 - Batch size: 64
 - Input sequence: 512 tokens
 - Dropouts: .01
- ➔ GPT1 was train with BooksCorpus dataset for 1 month when used 8 P600 GPU system

Overview GPT1: Supervised fine-tuning (step 2)

❖ How supervised fine-tuning works :

- adapt parameters obtained with equation $L_1(T)$ in step 1.
- let a labeled dataset $C=\{c_1, \dots, c_n\}$ has n instances where each instance c_i is an sequence input tokens, $c_i = \{x^1, \dots, x^q\}$, where y_i is correspond label, $i \in [1, n]$,
- Transform all input sequence
- Compute readable form input

$$P(y_i/c_i) = \text{softmax}(h_m^q W_y), \quad (19)$$

h_m^q : the output of the final transformer block

W_y : parameters of linear output layer

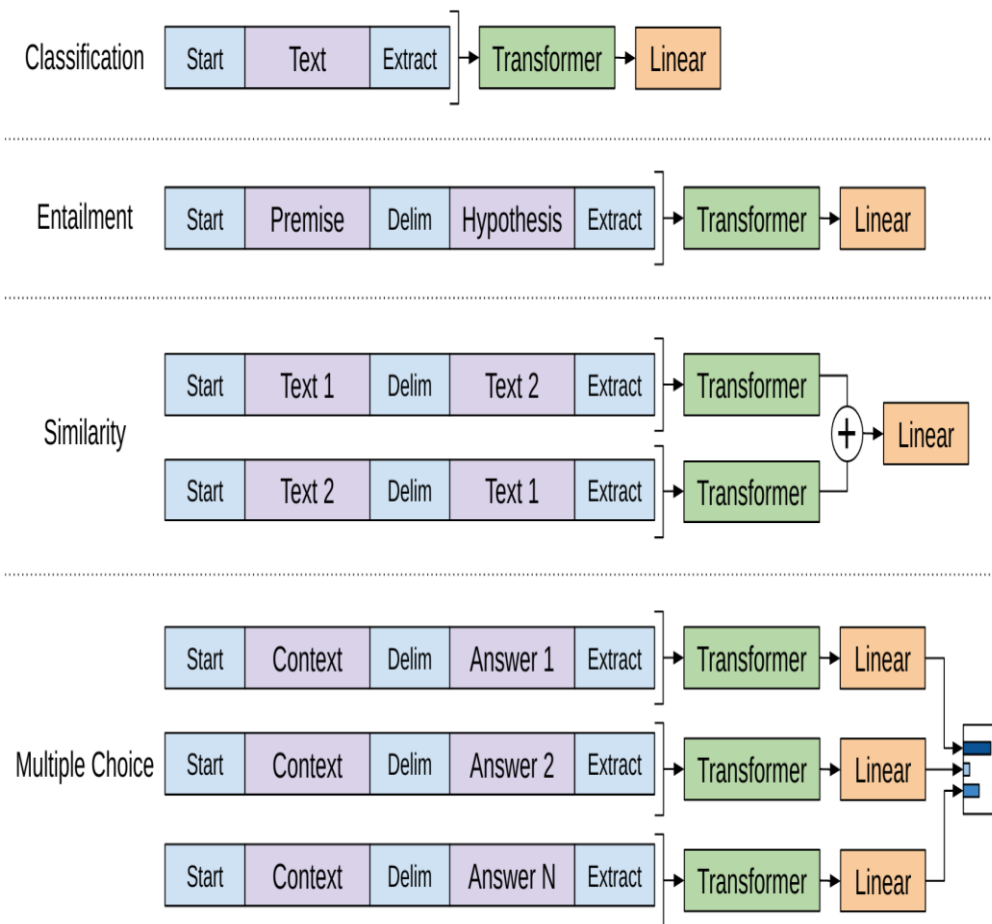
➤ Maximize $L_2(C)$ through supervised training such that :

$$L_2(C) = \sum_{i=1, (c_i, y_i)}^n \log P(y_i/c_i) \quad (20)$$

(c_i, y_i) : all pair of (instance, label) in the label dataset C

- Obtain more generalization and converge faster by compute :

$$L_3(C) = L_2(C) + \lambda * L_1(C) \quad (21)$$



- Dropout: .1 (classifier)
- learning rate: .625e-4
- Batchsize:32
- linear learning rate decay schedule .02%
- $\lambda = .5$

Result: natural language inference tasks, question answering and commonsense reasoning

Method	MNLI-m	MNLI-mm	SNLI	SciTail	QNLI	RTE
ESIM + ELMo (5x)	-	-	89.3	-	-	-
CAFE (5x)	80.2	79.0	89.3	-	-	-
Stochastic Answer Network (3x)	80.6	80.1	-	-	-	-
CAFE	78.7	77.9	88.5	83.3	-	-
GenSen	71.4	71.3	-	-	82.3	59.2
Multi-task BiLSTM + Attn	72.2	72.1	-	-	82.1	61.7
Finetuned Transformer LM (GPT1)	82.1	81.4	89.9	88.3	88.1	56.0

Method	Story Cloze	RACE-m	RACE-h	RACE
val-LS-skip	76.5	-	-	-
Hidden Coherence Model	77.6	-	-	-
Dynamic Fusion Net (9x)	-	55.6	49.4	51.2
BiAttention MRU (9x)	-	60.2	50.3	53.3
Finetuned Transformer LM (GPT1)	86.5	62.9	57.4	59.0

Result : Semantic similarity and classification

Method	Classification		Semantic Similarity			GLUE
	CoLA (mcc)	SST2 (acc)	MRPC (F1)	STSB (pc)	QQP (F1)	
Sparse byte mLSTM	-	93.2	-	-	-	-
TF-KLD	-	-	86.0	-	-	-
ECNU (mixed ensemble)	-	-	-	81.0	-	-
Single-task BiLSTM + ELMo + Attn	35.0	90.2	80.2	55.5	66.1	64.8
Multi-task BiLSTM + ELMo + Attn	18.9	91.6	83.5	72.8	63.3	68.9
Finetuned Transformer LM (GPT1)	45.4	91.3	82.3	82.0	70.3	72.8

Semantic similarity and classification results, comparing our model with current state-of-the-art methods. All task evaluations in this table were done using the GLUE benchmark. (mcc= Mathews correlation, acc=Accuracy, pc=Pearson correlation)

$$MCC = \frac{TP \cdot TN - FP \cdot FN}{\sqrt{(TP + FP)(TP + FN)(TN + FP)(TN + FN)}}$$

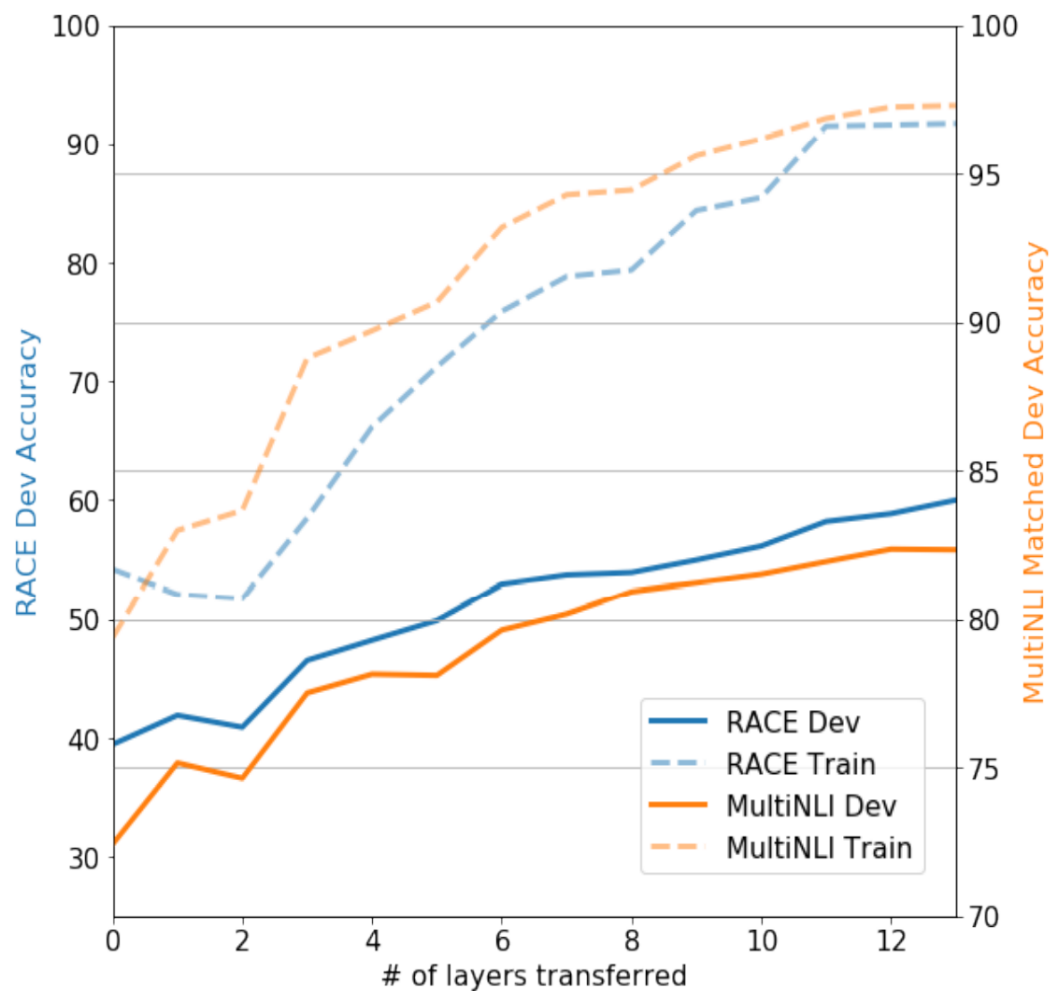
$$ACC = \frac{TP + TN}{TP + TN + FP + FN}$$

$$PC_{xy} = \frac{n \cdot \sum_{i=1}^n x_i y_i - (\sum_{i=1}^n x_i) \cdot (\sum_{i=1}^n y_i)}{\sqrt{n \sum_{i=1}^n x_i^2 - (\sum_{i=1}^n x_i)^2} \cdot \sqrt{n \sum_{i=1}^n y_i^2 - (\sum_{i=1}^n y_i)^2}}$$

$$F1 = \frac{2 \text{Precision} \cdot \text{Recall}}{\text{Precision} + \text{Recall}} = \frac{2TP}{2TP + FP + FN}$$

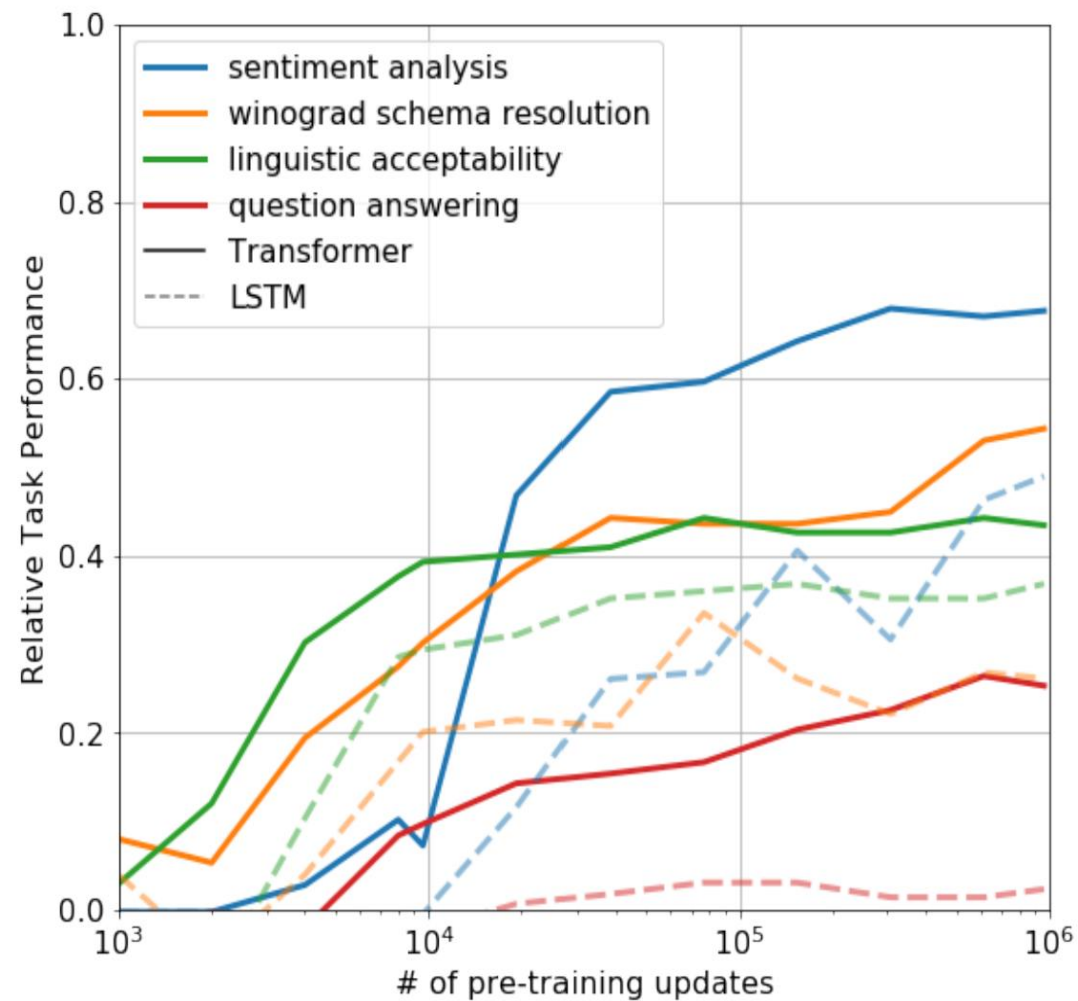
Analysis

Impact of number of layers in pretrain model to specific tasks



[20]

Zero-shot Behaviors



What did GPT1 achieve?

GPT1

- Uses Transformer decoder only
- Learns from 5 GB of text
- Transforms Input for specific task

Result:

- without a change of architecture to perform downstream tasks
- improved the SOTA on 9 of the 12 datasets in 2018

==>set a direction for GPT2

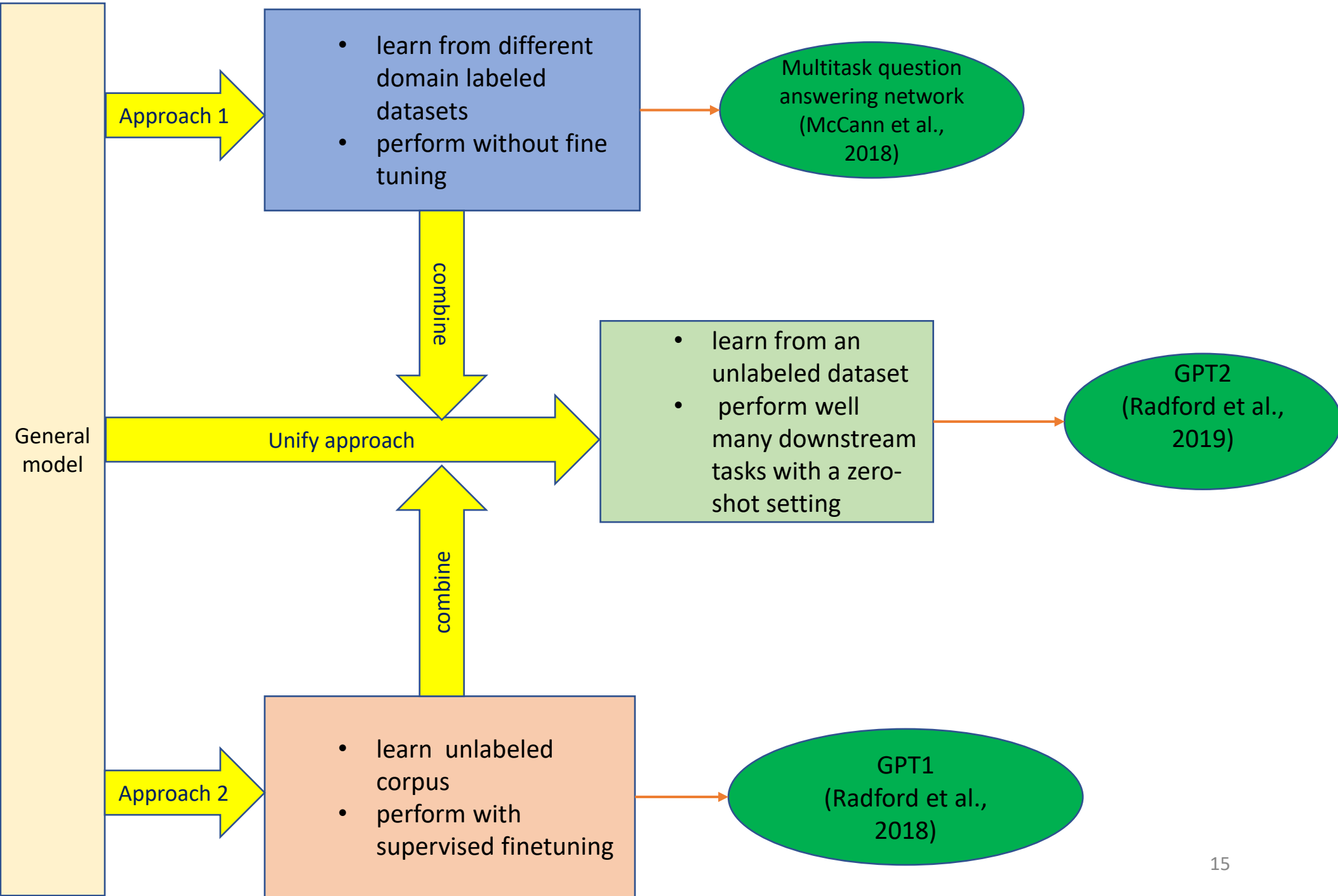
Language Models are Unsupervised Multitask Learners (GPT2)

Published in 2019 by
Alec Radford, Jeffrey Wu, Rewon Child, David Luan, Dario Amodei, Ilya Sutskever

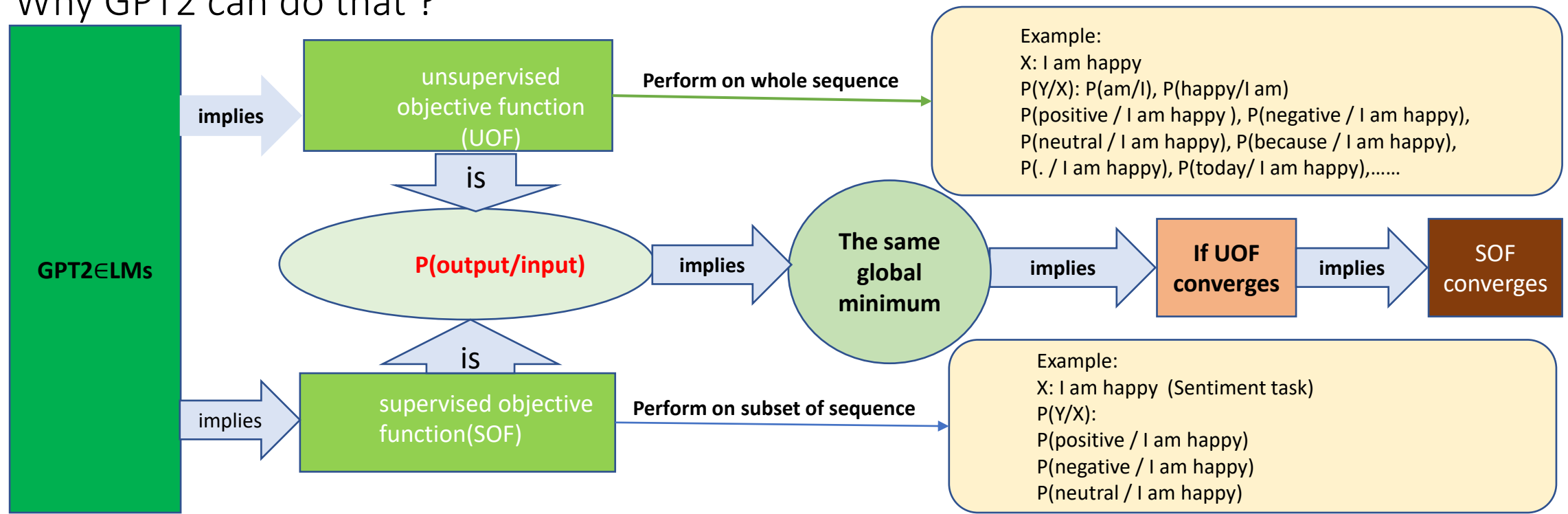
Present by Tam Doan

Background

Specific model



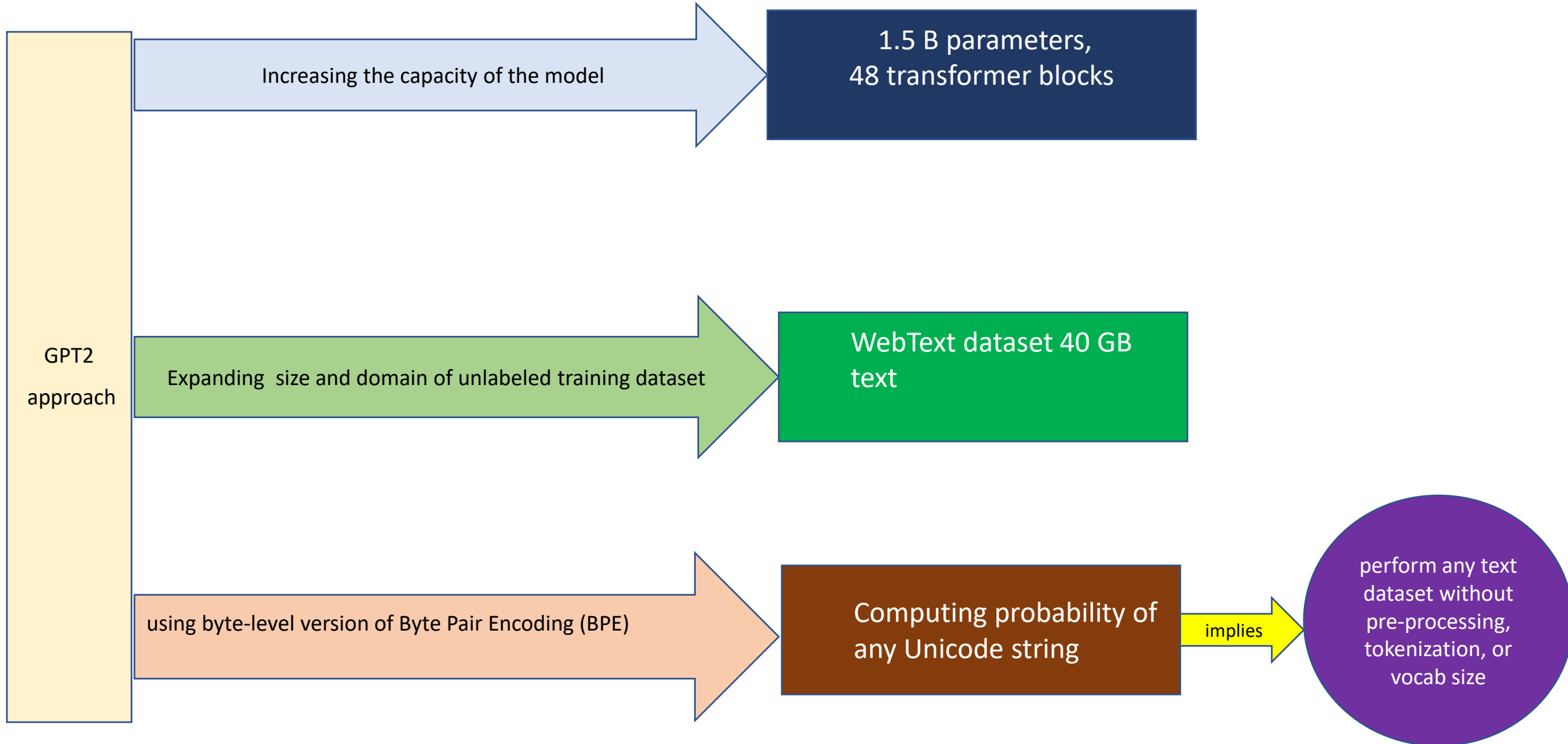
Why GPT2 can do that ?

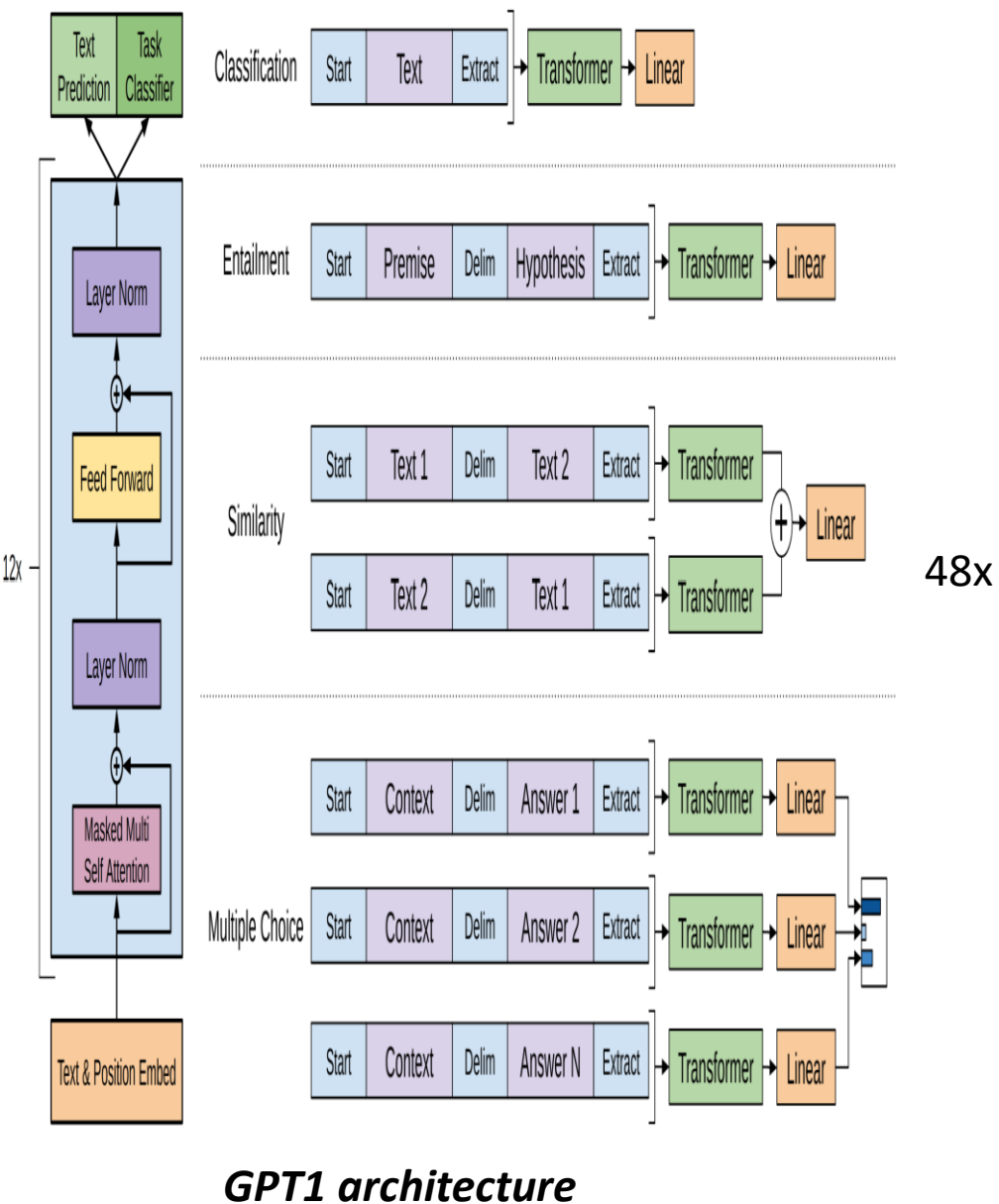


➔ if we can optimize the unsupervised objective function to converge, GPT2 can perform downstream tasks without supervised finetuning

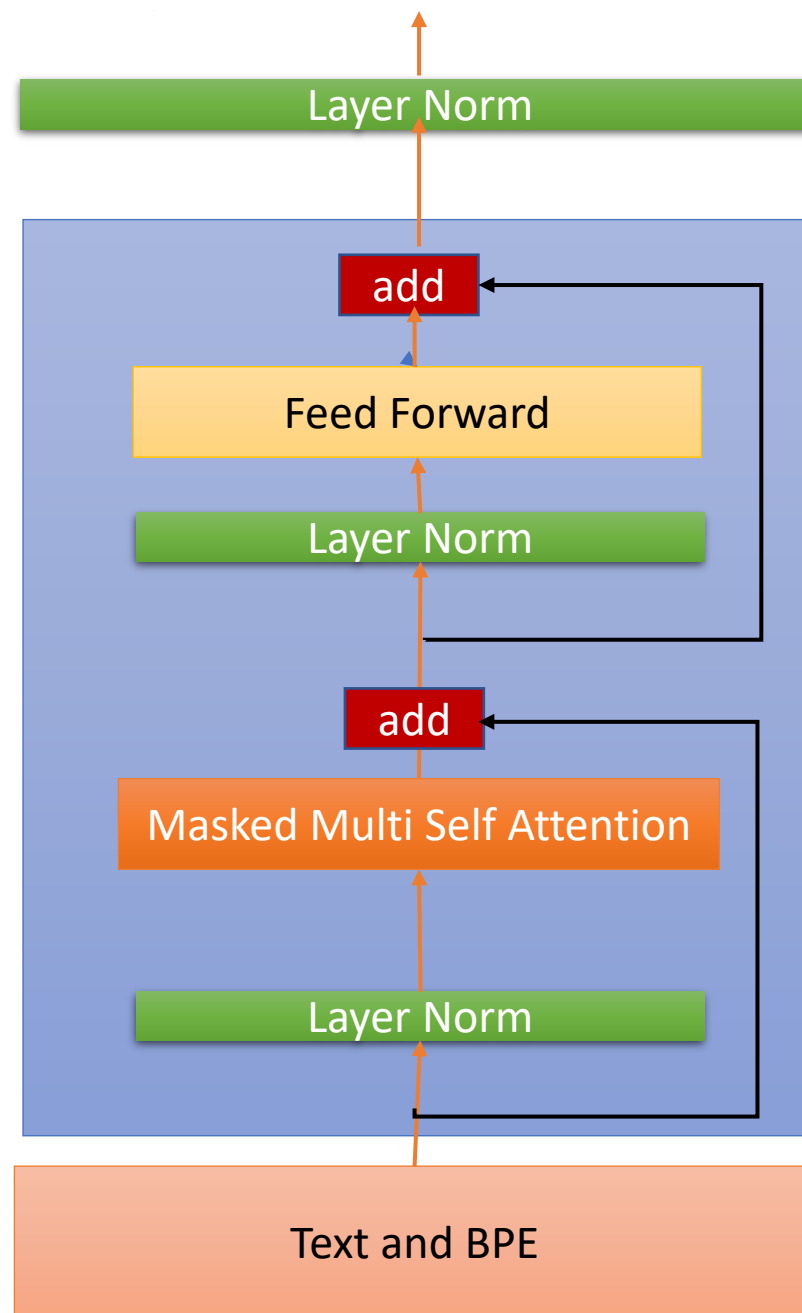
GPT1 showed that a large enough language model can perform many NLP tasks with zero setting

Overview of GPT2





GPT2 Architecture



Parameters	Layers	d_{model}
117M	12	768
345M	24	1024
762M	36	1280
1542M	48	1600

Architecture hyperparameters for the 4 model sizes.

Identity mappings in deep residual networks(He et al., 2016)

Result of 8 datasets

	LAMBADA	LAMBADA	CBT-CN	CBT-NE	WikiText2	PTB	enwik8	text8	WikiText103	1BW
	(PPL)	(ACC)	(ACC)	(ACC)	(PPL)	(PPL)	(BPB)	(BPC)	(PPL)	(PPL)
SOTA	99.8	59.23	85.7	82.3	39.14	46.54	0.99	1.08	18.3	21.8
117M	35.13	45.99	87.65	83.4	29.41	65.85	1.16	1.17	37.50	75.20
345M	15.60	55.48	92.35	87.1	22.76	47.33	1.01	1.06	26.37	55.72
762M	10.87	60.12	93.45	88.0	19.93	40.31	0.97	1.02	22.05	44.575
1542M	8.63	63.24	93.30	89.05	18.34	35.76	0.93	0.98	17.48	42.16

$$\text{PPL}(W) = P(w_1, w_2, \dots, w_N)^{\frac{-1}{N}} = \sqrt[N]{\frac{1}{P(w_1, w_2, \dots, w_N)}} = \sqrt[N]{\prod_{i=1}^N P(w_i / w_1, \dots, w_{i-1})}$$

Summarization task

❑ CNN and Daily Mail dataset

- 3 generated sentences as the summary.

	R-1	R-2	R-L	R-AVG
Bottom-Up Sum	41.22	18.68	38.34	32.75
Lede-3	40.38	17.66	36.62	31.55
Seq2Seq + Attn	31.33	11.81	28.83	23.99
GPT-2 TL;DR:	29.34	8.27	26.58	21.40
Random-3	28.78	8.63	25.52	20.98
GPT-2 no hint	21.58	4.03	19.47	15.03

Translation task

❑ WMT-14 French-English test set:

- GPT-2: 11.5 BLEU
- SOTA unsupervised machine translation (Artetxe et al., 2019): 33.5 BLEU

Commonsense reasoning and Reading Comprehension

❑ Winograd Schema challenge dataset: GPT-2 improves SOTA to achieve 70.70%

❑ Conversation Question Answering dataset (CoQA) :

GPT2 : 55 F1

supervised SOTA (2018) BERT: 89 F1

Question Answering

Natural Questions dataset

GPT-2: 4.1% correctly

What did GPT2 achieve?

❖ what's new in GPT2 :

- Capacity increased to 1.5 B parameters
- Normalize input before feeding to Attention layer, Feed Forward layer, and linear layer
- using byte-level version of Byte Pair Encoding
- 40 GB unlabeled text of training data

❖ Result:

- zero-shots in downstream tasks
- achieved new state of the art on 7 out of 8 language modeling datasets

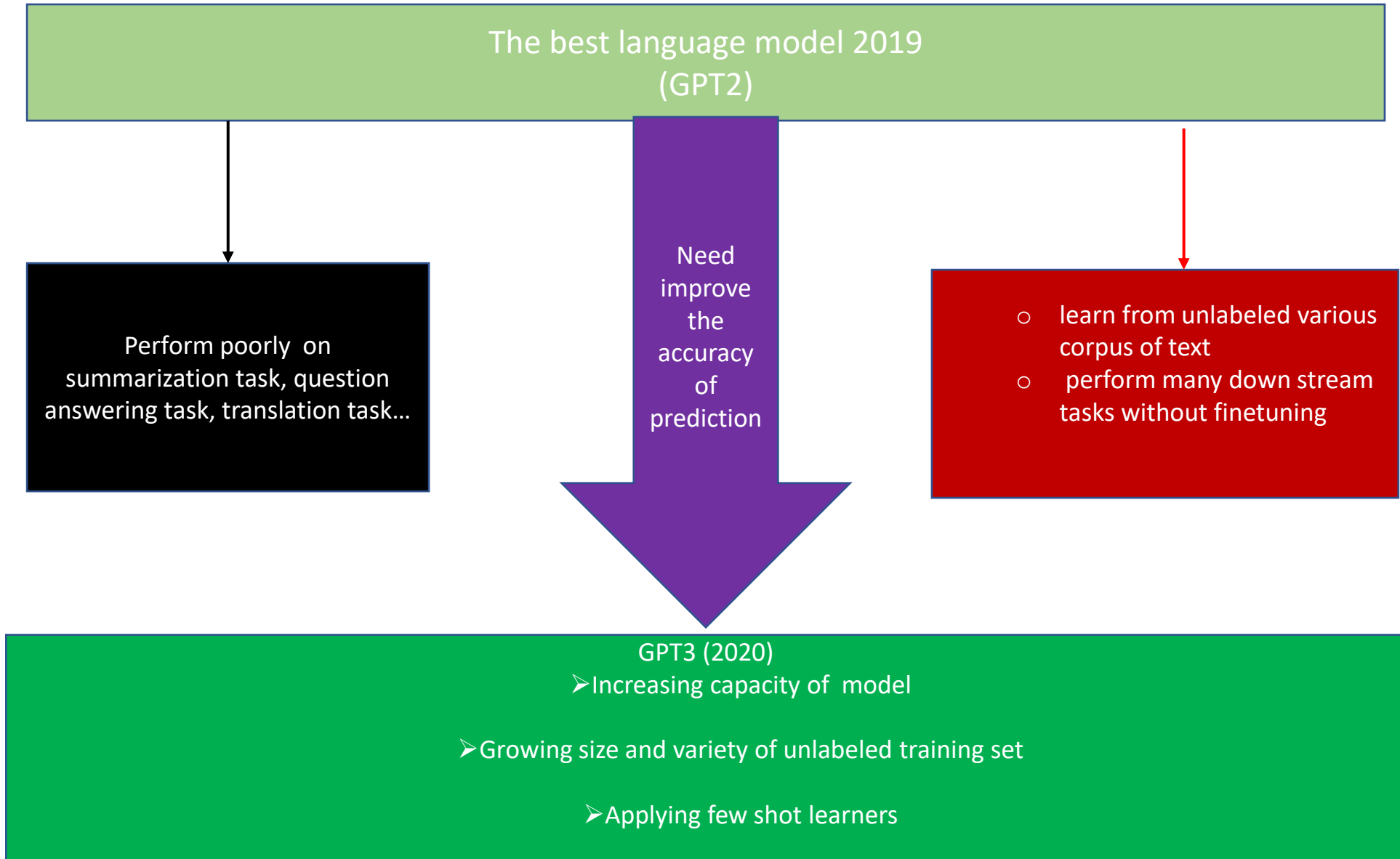
Language Models are Few-Shot Learners

Published in Jul 2020 by

Tom B. Brown, Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared Kaplan, Prafulla Dhariwal, Arvind Neelakantan, Pranav Shyam, Girish Sastry, Amanda Askell, Sandhini Agarwal, Ariel Herbert-Voss, Gretchen Krueger, Tom Henighan, Rewon Child, Aditya Ramesh, Daniel M. Ziegler, Jeffrey Wu, Clemens Winter, Christopher Hesse, Mark Chen, Eric Sigler, Mateusz Litwin, Scott Gray, Benjamin Chess, Jack Clark, Christopher Berner, Sam McCandlish, Alec Radford, Ilya Sutskever, Dario Amodei

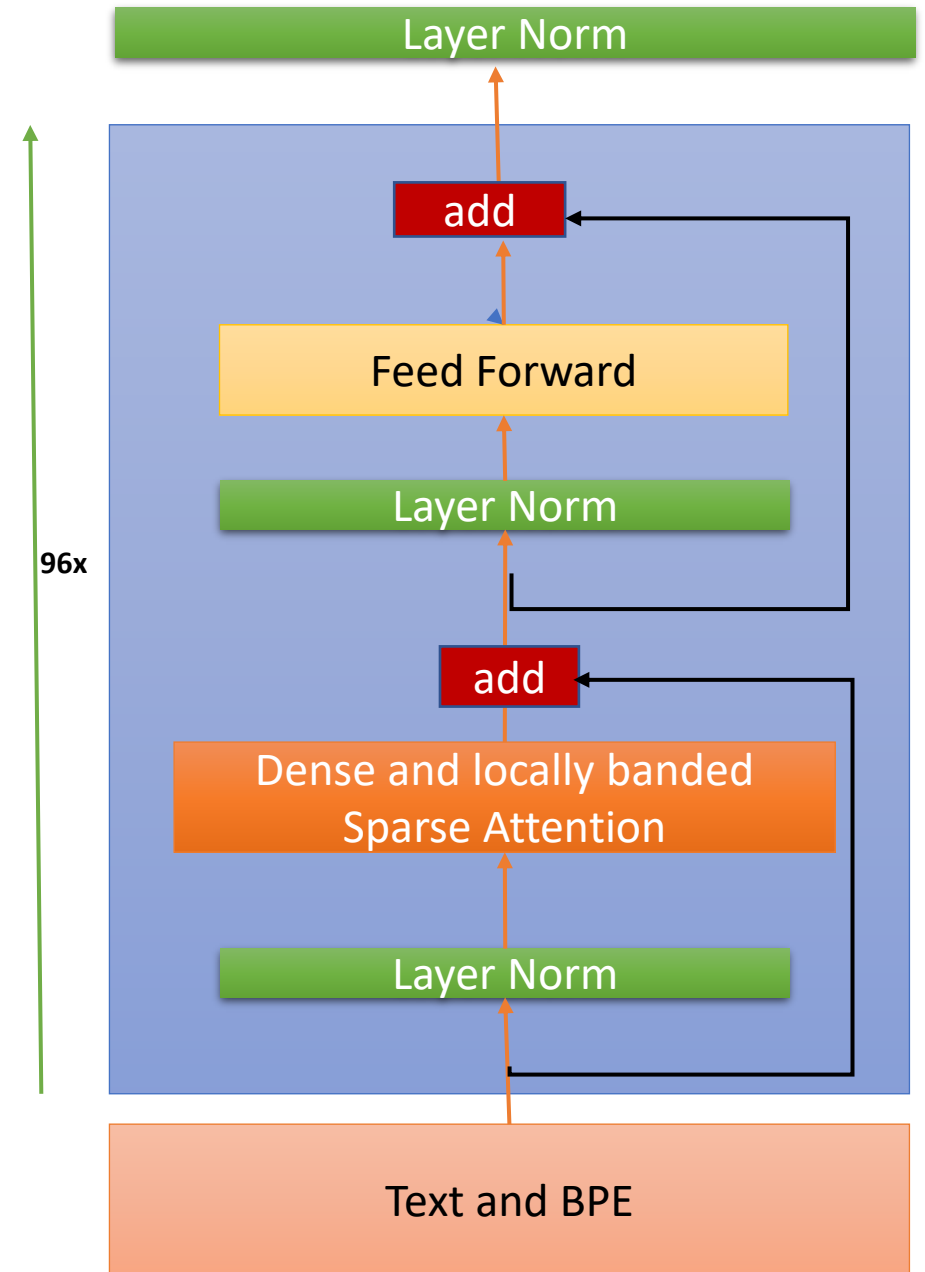
Present by Tam Doan

Background



Architecture

- most the same GPT2 except using the Sparse Transformer
 - the original self-attention mechanism: $O(n^2)$ with n input tokens.
 - Sparse Transformer : $O(n\sqrt{n})$
 - 96 transformer blocks
 - Each attention layer: 96 attention heads
 - Each attention head : 128 dimensions
 - Bottleneck layer: 12,288 hidden unit
 - The feedforward layer: 49,152 hidden unit
- 175 B learnable parameters



Different settings for learning

Zero shot learning

Translate English to Vietnamese
Rice =>

One shot learning

Translate English to Vietnamese
Red apple => táo đỏ
Rice =>

Few shot learning

Translate English to Vietnamese
Red apple => táo đỏ
Cashew => hạt điều
Mango => trái xoài
Rice =>

in-context
learning

Fine tuning (GPT1)

Red apple => táo đỏ

Update gradient

Cashew => hạt điều

Update gradient

...

Mango => trái xoài

Update gradient

Rice =>

Stochastic
gradient
descent

Training data

Dataset	Quantity (tokens)	Weight in training mix	Epochs elapsed when training for 300B tokens
Common Crawl (filtered)	410 billion	60%	0.44
WebText2	19 billion	22%	2.9
Books1	12 billion	8%	1.9
Books2	55 billion	8%	0.43
Wikipedia	3 billion	3%	3.4

- 570GB after filtering
- 93%English, 7% in other languages.

Training and Hardware detail

☐ Training :

- total 300 billion tokens.
- sample data without replacement
- Optimizer :Adam with $\beta_1 = 0.9$, $\beta_2 = 0.95$, and $\epsilon = 10^{-8}$
- learning rate: 0.6×10^{-4} , after 260 billion tokens $LR = .1LR$
- Batch size: 3.2M
- Length of input sequences : 2048 token
- Trained parallel both matrix multiply and layers of model

☐ Hardware:

- Microsoft high-bandwidth cluster (V100 GPU)

Result: traditional language modeling tasks, Cloze tasks, sentence , paragraph completion tasks

- Penn Tree Bank (PTB) dataset : GPT3 achieved new SOTA with a perplexity of 20.50 (increase 15 points) in zero-shot.

Setting	LAMBADA (acc)	LAMBADA (ppl)	StoryCloze (acc)	HellaSwag (acc)
SOTA	68.0	8.63	91.8	85.6
GPT-3 Zero-Shot	76.2	3.00	83.2	78.9
GPT-3 One-Shot	72.5	3.35	84.7	78.1
GPT-3 Few-Shot	86.4	1.92	87.7	79.3

Setting	NaturalQS	WebQS	TriviaQA
RAG (Fine-tuned, Open-Domain)	44.5	45.5	68.0
T5-11B+SSM (Fine-tuned, Closed-Book)	36.6	44.7	60.5
T5-11B (Fine-tuned, Closed-Book)	34.5	37.4	50.1
GPT-3 Zero-Shot	14.6	14.4	64.3
GPT-3 One-Shot	23.0	25.3	68.0
GPT-3 Few-Shot	29.9	41.5	71.2

Result :
Closed
Book
Question
Answering
tasks

Result: translation tasks

Setting	WMT'14: En→Fr	WMT'14: Fr→En	WMT'16: En→De	WMT'16: De→En	WMT'16: En→Ro	WMT'16 : Ro→En
SOTA (Supervised)	45.6	35.0	41.2	40.2	38.5	39.9
XLM	33.4	33.3	26.4	34.3	33.3	31.8
MASS	37.5	34.9	28.3	35.2	35.2	33.1
mBART	-	-	29.8	34.0	35.0	30.5
GPT-3 Zero-Shot	25.2	21.2	24.6	27.2	14.1	19.9
GPT-3 Few-Shot	28.3	33.7	26.2	30.4	20.6	38.6
GPT-3 Few-Shot	32.6	39.2	29.7	40.6	21.0	39.5

Setting	Winograd	Winogrande (XL)
Fine-tuned SOTA	90.1	84.6
GPT-3 Zero-Shot	88.3	70.2
GPT-3 One-Shot	89.7	73.2
GPT-3 Few-Shot	88.6	77.7

Result: Winograd-Style Tasks

Result: Common Sense Reasoning and Reading Comprehension

Setting	PIQA	ARC (Easy)	ARC (hard)	OpenBookQA
Fine-tuned SOTA	79.4	92.0	78.5	87.2
GPT-3 Zero-Shot	80.5*	68.8	51.4	57.6
GPT-3 One-Shot	80.5*	71.2	53.2	58.8
GPT-3 Few-Shot	82.8*	70.1	51.5	65.4

Setting	CoQA	DROP	QuAC	SQuADv2	RACE-h	RACE-m
Fine-tuned SOTA	90.7^a	89.1^b	74.4^c	93.0^d	90.0^e	93.1^e
GPT-3 Zero-Shot	81.5	23.6	41.5	59.5	45.5	58.4
GPT-3 One-Shot	84.0	34.3	43.3	65.4	45.9	57.4
GPT-3 Few-Shot	85.0	36.5	44.3	69.8	46.8	58.1

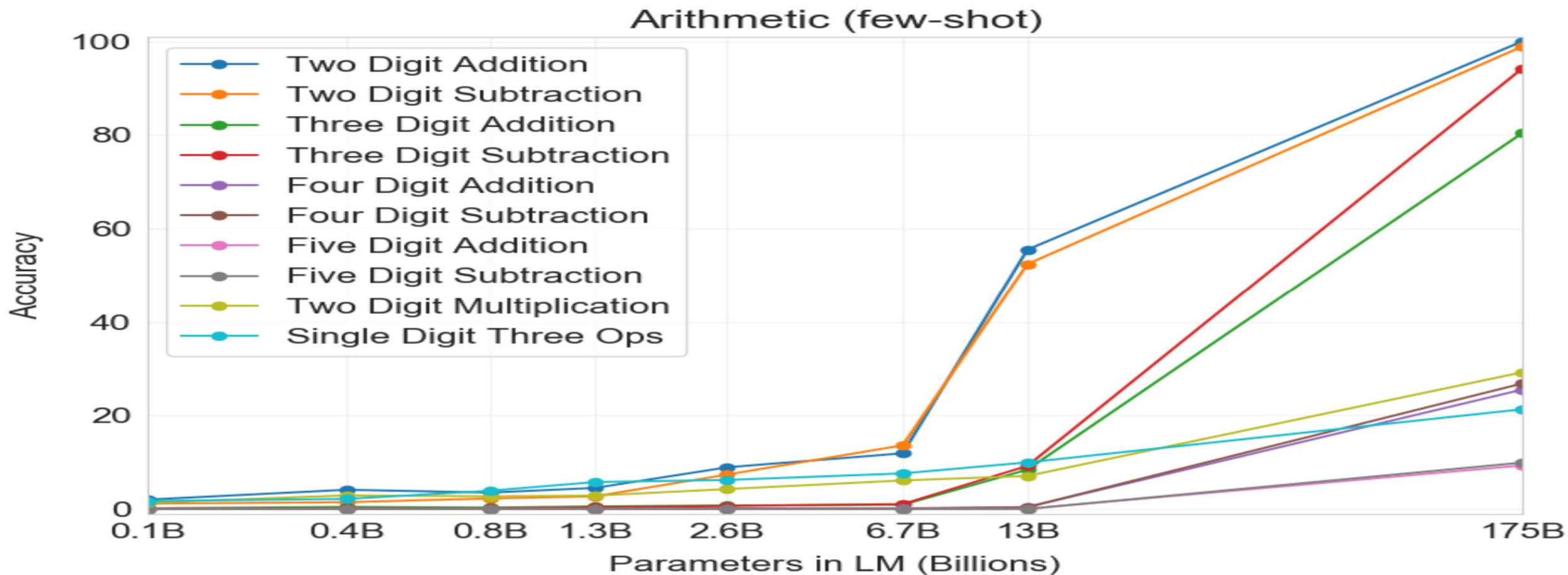
Result: SuperGLUE and Natural Language Inference

	SuperGLUE Average	BoolQ Accuracy	CB Accuracy	CB F1	COPA Accuracy	RTE Accuracy
Fine-tuned SOTA	89.0	91.0	96.9	93.9	94.8	92.5
Fine-tuned BERT-Large	69.0	77.4	83.6	75.7	70.6	71.7
GPT-3 Few-Shot	71.8	76.4	75.6	52.0	92.0	69.0

	WiC Accuracy	WSC Accuracy	MultiRC Accuracy	MultiRC F1a	ReCoRD Accuracy	ReCoRD F1
Fine-tuned SOTA	76.1	93.8	62.3	88.2	92.5	93.3
Fine-tuned BERT-Large	69.6	64.6	24.1	70.0	71.3	72.0
GPT-3 Few-Shot	49.4	80.1	30.5	75.4	90.2	91.1

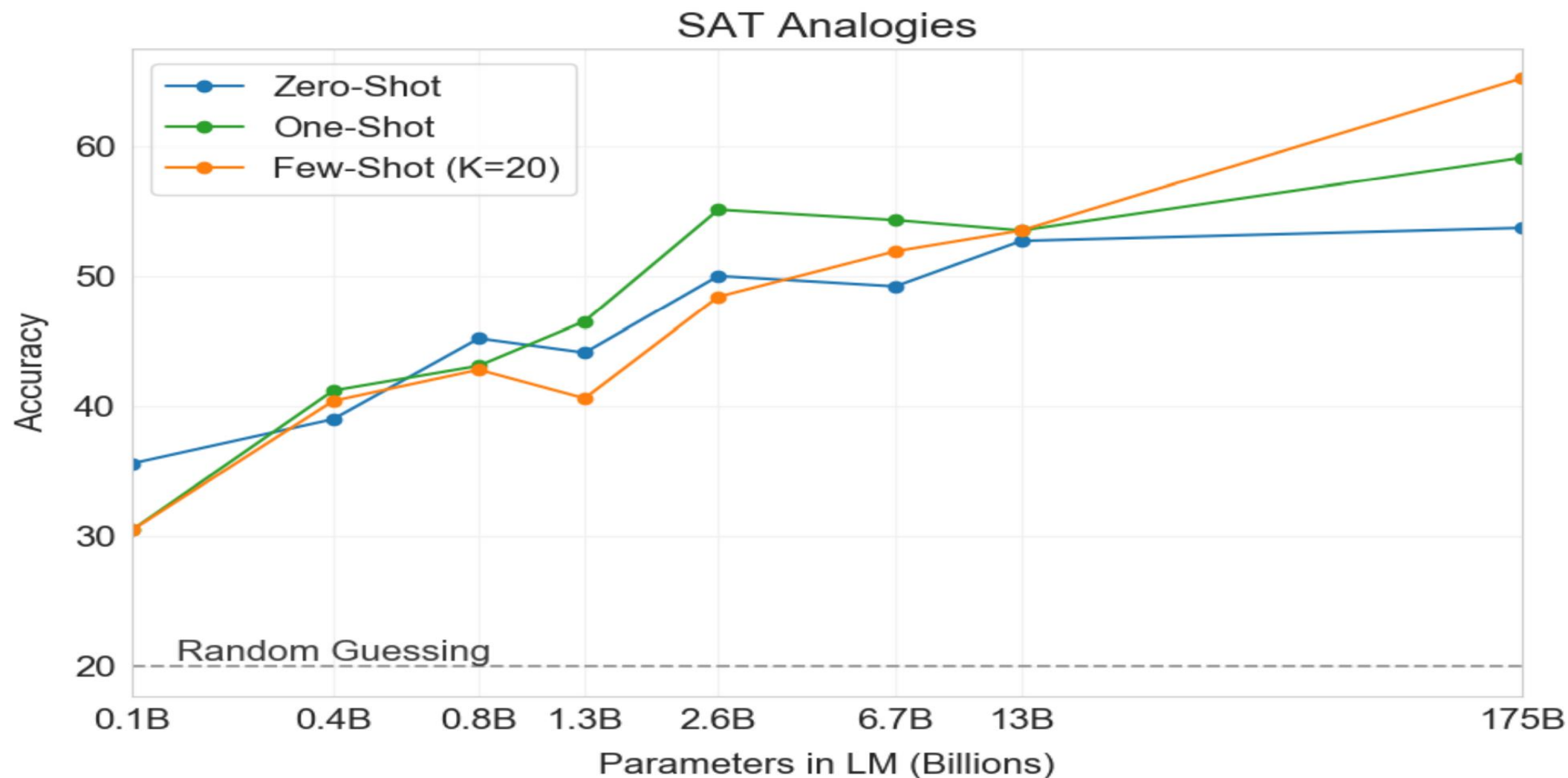
All results are reported on the test set. GPT-3 few-shot is given a total of 32 examples

Arithmetic tasks



Result : SAT Analogies

- Dataset includes 374 “Scholastic Aptitude Test(SAT) analogy” problems



News Article Generation

80 US people selected:

1. “very likely written by a human”,
2. “more likely written by a human”,
3. “I don’t know”,
4. “more likely written by a machine”,
5. “very likely written by a machine”

	Mean accuracy	95% Confidence Interval (low, hi)	t compared to control (p-value)	“I don’t know” assignments
Control model	86%	83%–90%	-	3.6 %
GPT-3 175B Few shot	52%	49%–54%	16.9 (1e-34)	7.8%

Result in 200 word news articles generating

Mean accuracy	95% Confidence Interval (low, hi)	t compared to control (p-value)	t compared to control (p-value)	“I don’t know” assignments
Control model	88%	84%–91%	-	2.7%
GPT-3 175B Few shot	52%	48%–57%	12.7 (3.2e-23)	10.6%

Result in 500 word news articles generating

- ☐ Learning and Using Novel Words tasks
- ☐ Correcting English Grammar tasks

What did GPT3 achieve ?

❖ mechanisms new GPT3:

- ✓ Sparse transformer
- ✓ 570 Gb
- ✓ In context learning

❖ GPT3 is SOTA language model in 2020

❖ Social impact:

- Positive impact: code and writing auto-completion, grammar assistance, game narrative generation, improving search engine responses, chatbots, and language education
- Negative impact:
 - GPT3 is not equal gender identified.
 - GPT3 associate more to some race and religion
 - Tool for hacker

Discussion Time

GPT1 2018

- Transformers decoding only architecture
- Parameter: 117 M; Layers:12; d_{model} : 768
- Training data: 5 GB
- Perform downstream tasks: input transformations and supervised fine tune
- Input text sequence: $n=512$
- Output: text

GPT2 2019

- Similar GPT1 architecture except normalize input before feeding to Attention layer, Feed Forward layer, and linear layer
- Parameter: 1.5B; Layers:48; d_{model} : 1600
- Training data: 40 GB WebText dataset
- Perform downstream tasks: zero shot setting
- Input text sequence: $n=1024$
- Output: text

GPT3 2020

- Similar GPT2 architecture except to use combination of dense and locally banded sparse attention in transformer blocks
- Parameter: 175B; Layers:96; d_{model} : 12,288
- Training data: 570GB text
- Perform downstream tasks: zero shot, one shot, few shot
- Input text sequence: $n=2048$
- Output: text

InstructGPT Jan 2022

- GPT3 +RFHF
- Input: text
- Output: text

ChatGPT Nov 2022

- GPT3 +RFHF
- Input: text
- Output: text

GPT 4 Mar 2023

- GPT4
- Input: text +image
- Output: text

❑ Positive Social impact of chatGPT and later versions

- Providing a powerful tool for analyzing , understanding , and learning many topics
- New way to create process
- Available to Microsoft Bing's users
- Competition between big tech company: Google: Bart, Baidu: Ernie Bot, Facebook:LLaMA
- Support teaching , office work, therapy chat
- Apply to medical field to save life

❑ Negative Social impact of chatGPT and later versions

- Need billions of dollars to build and train
- Cost more than \$100,000 to run chatGPT per day
- Require access to internet to use
- Use for bad purposes : hackers, Plagiarism,..
- Affect the labor market, increase unemployment

❑ What is the approach of the future?

- Can we apply GPT4 to medical field to save life when it can learn from both text and images?
- How can we help 3 billion people without internet benefit from the development of NLP?
- Should we spend more billions of dollars for bigger model?
- Another method with the same performance , lower cost , and more friendly with environment is future research?

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Thank you so much for your help !

Thank you so much for your time !

Thank you so much for being here !

Thank you so much for your attention !

Have a great weekend 😊