

1 Question 1

1.1 Part (a)

To implement DECREMENT:

```
1: function DECREMENT( $A, k$ )
2:    $i \leftarrow 0$ 
3:   while  $i < k \wedge A_i = 0$  do
4:      $A_i \leftarrow 1$ 
5:      $i \leftarrow i + 1$ 
6:   end while
7:   if  $i < k$  then
8:      $A_i \leftarrow 0$ 
9:   end if
10: end function
```

To achieve an $\Omega(k)$ average operation cost, on a k -bit counter that initially contains x ($0 \leq x < 2^k$), supposing that each change of a value in A has unit cost, there are 2 cases:

- $x = 0$: In this case, do a single DECREMENT operation. The cost for this operation is k (as it will set all k bits of the counter to 1), and thus, the average cost for the operation is also k i.e. is $\Omega(k)$.
- $x > 0$: First do x DECREMENT operations (setting the counter to 0), and then alternate DECREMENT and INCREMENT x times. The first x operations cost at least 1 each, whereas the next x operations (which consist either of DECREMENT-ing a counter that contains 0, or INCREMENT-ing a counter that contains $2^k - 1$) each have cost k . Thus the average cost per operation is at least $\frac{x+xk}{2x} = \frac{k+1}{2}$ i.e. is $\Omega(k)$.

1.2 Part (b)

To efficiently implement RESET, while using only k extra bits, maintain another array of bits $B[0..k)$ that satisfies the following invariant:

$$B_i = A_i \vee A_{i+1} \vee \dots \vee A_{k-1}$$

B will thus initially contain only 0's. Now to see the effects of the various operations. An INCREMENT operation will set a bit in B to 1 whenever a corresponding bit in A is set

to 1. Also, when an INCREMENT overflows the counter, B is set entirely to 0:

```

1: function INCREMENT( $A, B, k$ )
2:    $i \leftarrow 0$ 
3:   while  $i < k \wedge A_i = 1$  do
4:      $A_i \leftarrow 0$ 
5:      $i \leftarrow i + 1$ 
6:   end while
7:   if  $i < k$  then
8:      $A_i \leftarrow 1$ 
9:      $B_i \leftarrow 1$ 
10:  else
11:    while  $i \geq 0$  do
12:       $B_i \leftarrow 0$ 
13:       $i \leftarrow i - 1$ 
14:    end while
15:  end if
16: end function

```

To implement RESET, use the array B to decide "how far" to go in A when setting bits to 0. This works since, once B becomes 0, by the invariant, A will have no further bits to reset:

```

1: function RESET( $A, B, k$ )
2:    $i \leftarrow 0$ 
3:   while  $i < k \wedge B_i = 1$  do
4:      $A_i \leftarrow 0$ 
5:      $B_i \leftarrow 0$ 
6:      $i \leftarrow i + 1$ 
7:   end while
8: end function

```

To show that this has amortized $O(1)$ complexity, assuming that each operation that sets a value in A or B has unit cost, consider the following potential function on the state of the data structure:

$$\Phi(A, B, k) := f_1(A) + 2f_1(B)$$

Where $f_1(X)$ represents the number of times the bit 1 appears in an array X . This is a valid potential function since it is initially zero, and is by definition always non-negative and real.

I now analyse each operation's cost separately:

- INCREMENT: Here there are two cases:
 - If no overflow occurs, suppose that x bits are set to 0 in lines 3-6. Since no overflow occurs, we enter the **if** on lines 7-10, not the **else** on lines 10-14. Thus, we do $x + 2$ actual operations; however, the potential is reduced by x by lines 3-6, and increased by 3 on lines 7-10. We thus have an amortized cost of $x + 2 - x + 3 = 5$ i.e. $O(1)$.
 - If overflow occurs, then lines 3-6 will set k bits to 0. Since overflow occurs, we enter the **else** on lines 10-14, not the **if** on lines 7-10. Thus we do a further k operations in setting all bits of B to 0. However, the potential is reduced by k by lines 3-6 and by a further $2k$ by lines 10-14. Thus the amortized cost of the operation in this case is $k + k - k - 2k = -k \leq 0$ i.e. $O(1)$.
- RESET: Here, suppose the **while** on lines 3-6 runs x times. We thus do $2x$ actual operations. On the other hand, each iteration will certainly set a bit in B from 1 to 0, reducing the potential by 2. While we may also reduce the potential by setting a bit in A from 1 to 0, this will not necessarily happen on every iteration. Thus, the potential is reduced by at least $2x$, leading us to an amortized cost of at most $2x - 2x = 0$ i.e. $O(1)$.

So, in all cases, we have an $O(1)$ amortized cost per operation.

2 Question 2

To implement such a data structure, allocate two stacks A and B that support operations POP, PUSH and EMPTY, where the POP operation not only removes the top element from a stack, but also returns it. I assume these to take unit time. I also assume that DEQUEUE is required not only to remove an element from the queue, but also return it. Thus, implement ENQUEUE and DEQUEUE as follows:

```
1: function ENQUEUE( $A, B, x$ )
2:   PUSH( $A, x$ )
3: end function
4: function DEQUEUE( $A, B, x$ )
5:   if EMPTY( $B$ ) then
6:     while  $\neg$  EMPTY( $A$ ) do
```

```

7:         PUSH(B, POP(A))
8:     end while
9: end if
10: return POP(B)
11: end function

```

To see why this is correct, note that if our data structure represents a queue which holds a sequence S (where we ENQUEUE to the front of S and DEQUEUE from the back of S), then $S = \langle A \rangle \parallel \text{reverse}\langle B \rangle$, where $\langle X \rangle$ represents the contents of some stack X taken from top to bottom, and \parallel represents concatenation. With this in mind, note that ENQUEUE inserts x to the top of A , thus indeed putting x at the beginning of S . DEQUEUE may initially, if B is empty, set B to $\text{reverse}(A)$ and erase all elements from A (which overall does not change S , as S is initially $\langle A \rangle \parallel \epsilon$, and after this it is $\epsilon \parallel \text{reverse}(\text{reverse}\langle A \rangle)$, where ϵ is the empty sequence – and these two sequences are equal) after which it returns the element at the top of B , which indeed represents the last element of S .

To show that this data structure has $O(1)$ amortized complexity for either operation, consider the following potential function:

$$\Phi(A, B) := |A|$$

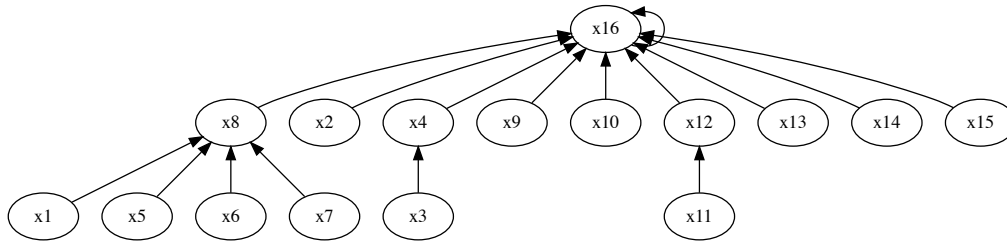
Where $|A|$ is the size of A .

I now show that each operation in turn has $O(1)$ amortized complexity:

- ENQUEUE: Here we do 1 actual operation, and increase $\Phi(A, B)$ by 1. So the amortized cost is 2 i.e. $O(1)$.
- DEQUEUE: There are 2 cases:
 - if the **if** on line 5 is triggered, we do $|A|$ operations in the **while** on lines 6-8. Additionally we do 1 further operation on line 10. On the other hand, the **while** also reduces the potential by $|A|$, since it empties stack A , which leads to an amortized cost of $|A| + 1 - |A| = 1$ i.e. $O(1)$.
 - otherwise, we only do the 1 operation on line 10, and we do not modify the potential, so the amortised cost is $O(1)$.

So overall the data structure has $O(1)$ amortised time complexity.

3 Question 3



4 Question 4

Note that:

$$\log(n) = \log(65536^{65536^{65536}}) = \log(2^{16 \cdot 65536^{65536}}) = 16 \cdot 65536^{65536}$$

$$\log(\log(n)) = \log(16 \cdot 65536^{65536}) = 4 + \log(2^{4 \cdot 65536}) = 4 + 4 \cdot 65536$$

$$\log(\log(\log(n))) = \log(4 + 4 \cdot 65536) \approx 18.00002$$

$$\log(\log(\log(\log(n)))) \approx \log(18.00002) \approx 4.169$$

$$\log(\log(\log(\log(\log(n)))) \approx 2.059$$

$$\log(\log(\log(\log(\log(\log(n)))) \approx 1.042$$

$$\log(\log(\log(\log(\log(\log(\log(n)))) \approx 0.059$$

This implies that $\log^*(n) = 7$.

5 Question 5

To show this, take $n = 2^k$, for any $k \in \mathbb{N}$, and let m be equal to $2n - 1$. Now use the following operations on initial values $1 \dots n$:

for $i \leftarrow 1 \dots k$ **do**

for $j \leftarrow 2^{i-1} \dots n - 2^{i-1}$ **step** 2^i **do**

 UNION($j, j + 2^{i-1}$)

end for

 ▷ after this, nodes $2^i, 2 \cdot 2^i, 3 \cdot 2^i, \dots, n$ have subtree height i

end for

 ▷ Now, n has subtree height $k = \log_2(n)$, and we have done $n - 1$ operations so far.

Note also, that at this point, 1 is a leaf at depth $k = \log_2(n)$

for $i \leftarrow 1 \dots n$ **do**

 FIND(1)

end for

Now note that:

- There are $n - 1$ UNION's, but, for the purpose of this analysis, we can ignore their cost. This is valid as we are asked to prove a lower bound on the total cost.
- We use n FIND operations, and each takes time proportional to the depth of node 1 i.e. $\log_2(n)$. Since, again, $m = 2n - 1$, this implies that we use $\Omega(m \log_2(n))$ time for these operations.

This means that we use $\Omega(m \log(n))$ time overall.