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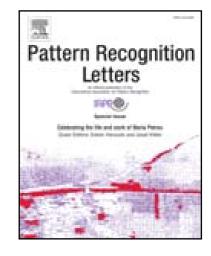
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# Highlights

- We propose a new bio-inspired quaternionic convolutional neural network layer (Q9), with three local phases.
- We obtain new patterns and feature representations for deep learning.
- Using Q9 it is possible to capture contrast invariance in image classification and rotation linear response.
- The Q9 layer also features a faster learning image rotations than a regular convolution layer.



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# A Bio-inspired Quaternion Local Phase CNN Layer with Contrast Invariance and Linear Sensitivity to Rotation Angles

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#### **ABSTRACT**

Deep learning models have been particularly successful with image recognition using Convolutional Neural Networks (CNN). However, the learning of a contrast invariance and rotation equivariance response may fail even with very deep CNNs or by large data augmentations in training. We were inspired by the V1 visual features of the mammalian visual system to emulate as much as possible the early visual system and add more invariant capacities to the CNN. We present a new quaternion local phase convolutional neural network layer encoding three local phases. We present two experimental setups: An image classification task with four contrast levels, and a linear regression task that predicts the rotation angle of an image. In sum, we obtain new patterns and feature representations for deep learning, which capture illumination invariance and a linear response to rotation angles.

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# 1. Introduction

Previous works indicate that pattern recognition in a deep learning classification process does not guarantee the required equivariance and invariance properties: Cohen and Welling (2016); Mallat (2016); Dieleman et al. (2016); Lenc and Vedaldi (2015).

Convolutional Neural Networks (CNN), which were inspired by neuroscience principles, capture three essential properties of the primary visual cortex V1: extraction of features from two-dimensional data; spatial localization of the receptive field; and shift equivariance in the position of the feature: Goodfellow et al. (2016).

It is to be stressed, however, that visual neurons of the mammalian visual system are also resilient in front of equivariant transformations such as local invariant response to some changes in lighting and rotations: Goodfellow et al. (2016); Granlund and Knutsson (2013); Bigun (2006).

To emulate as much as possible the early visual system and add more equivariant capacities to the CNN, we have been in-

\*\*Corresponding author: Tel.: +521-33-1014-9682 e-mail: dr.ulisesmoya@gmail.com (E. Ulises Moya-Sánchez) spired by some physiological experimental results like those reported in Hubel and Wiesel (1962), including the stronger response to oriented lines and edges (local even and odd signals, respectively): Granlund and Knutsson (2013); Bigun (2006).

To move forward toward such functionality, namely to be able to extract contrast invariant local features and to predict rotation angles of images, one idea is to embrace suitable hypercomplex geometric methods, in the sense of Hestenes and Sobczyk (2012); Xambó-Descamps (2018); Lavor et al. (2018); Felsberg (2002).

More specifically, in this work we propose what we call a *Quaternion Local Phase* CNN Layer (Q9 in this paper) and explore the performance boost it provides when it is stacked in front of a very simple CNN. What we find is that the compound net features contrast invariance and the capability of ascertaining rotation angles.

The remainder of the paper is structured as follows. In §2 we report on recent related work and in §3 we recall background notions and notations needed later on. Of particular relevance is §3.4, in which we specify our approach to the application of quaternionic local phases to image processing. Our main theoretical contribution, namely the quaternionic local phase layer Q9, is the subject of §4. The behaviour of Q9 is also illus-

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trated with images. The experimental setup, including the data used, is described in §4 and a summary of the results, conclusions and future outlook can be found in §§6-7. The material on quaternionic phases used in the paper, particularly in §§3-4, is recalled in the Appendix A.

# 2. Related work

The use of hypercomplex NN has been mostly in shallow cases: Buchholz (2005); Buchholz and Sommer (2000); Moya-Sánchez and Vázquez-Santacruz (2011); Bayro-Corrochano et al. (2016).

On the other hand, Gaudet and Maida (2017); Zhu et al. (2018) formulate and implement quaternion convolution, batch normalization, weight initialization, and backpropagation for a deep quaternion CNN. The main difference between these references and our work is that we use the local quaternion phases. In addition, we propose only one CNN layer, not a full quaternion CNN. In fact, our experimental setup and CNN architectures have different objectives. Their CNNs tests aim at classification tasks and do not consider contrast invariance or linear response to rotations. Our CNNs architecture is designed to favor a systematic comparison with the performance of a traditional convolutional layer. To note that we care for maintaining the accuracy across all contrast degradation levels and not merely for getting the best accuracy for one degradation.

# 3. Background

#### 3.1. Equivariance and Invariance

The term equivariance tends to be used to refer to the predictable way in which features of a signal change under certain transformations: Dieleman et al. (2016). More formally, a function  $f: X \to X$  is equivariant with respect to a group of transformations G of X if

$$f(g(x)) = g(f(x)), \tag{1}$$

for all  $x \in X$  and  $g \in G$ .

For instance, one of the most important equivariant properties of the mammalian visual system (measured by Hubel and Wiesel (1962)) is its equivariance under rotations. Subsequently, many authors have been interested in extending this property to NNs and CNNs: Cohen and Welling (2016); Bruna and Mallat (2013); Hinton et al. (2012); Dieleman et al. (2016).

On the other hand, by invariance of a feature map  $f: X \to Y$  we understand (see Felsberg (2002)) that

$$f(g(x)) = f(x) \tag{2}$$

for all  $x \in X$  and  $g \in G$ .

# 3.2. Bio-inspired CNN

For convenience, in this section we borrow from (Moya-Sánchez et al., 2019, Section II.B; see also the references therein) the main properties of the V1 simple cells:

1. The V1 cells form the first layer of the hierarchical cortical processing.

- 2. They are insensitive to the color of the light falling on their receptive fields.
- 3. These neurons respond vigorously only to edges (odd-signal) and lines (even-signal) at a particular spatial direction through the orientation columns.

In this work we use four main bio-inspired tools: the local phase, (Quaternion) Gabor functions, the HSV color space and the artificial neural networks. Altogether, the proposed layer emulates some properties of V1 cells, as detecting edges (odd-signal) and lines (even-signal) at a particular direction. In addition, our approach exhibits translation equivariance and contrast invariance in object recognition.

#### 3.3. Notations

The notations, structure and terminology we are going to use are as in (Moya-Sánchez et al., 2019, Sections II.C and IV.C). We define 1D (resp. 2D) *multivectorial signals* as  $C^1$  maps  $U \to \mathcal{G}$  from an interval  $U \subset \mathbf{R}$  (a region  $U \subset \mathbf{R}^2$ ) into a *geometric algebra*  $\mathcal{G}$  (see Xambó-Descamps (2018)). For  $\mathcal{G} = \mathbf{R}$  ( $\mathcal{G} = \mathbf{C}$ ,  $\mathcal{G} = \mathbf{H}$ ) we say that the signal is *scalar* (*complex*, *quaternionic*). For technical reasons, we also assume that signals are in  $L^2$  (that is, the modulus is square-integrable).

Table 1 presents a synopsis of the notation, structure and meaning of the most important variables and functions concurring in a deep CNN. See also Arora (2018); Strang (2019); Goodfellow et al. (2016).

Table 1. Synopsis of notations and conventions.

	Structure
Symbol	Meaning
$\mathbf{x}^0$	Array $\mathbf{R}(M, N, P)$
X	Input signal
$\mathbf{x} = \mathbf{x}^l$	Array $\mathbf{R}(M^l, N^l, P^l)$
A – A	l-th layer output
$\mathbf{w} = \mathbf{w}^l$	Array $\mathbf{R}(M^l, N^l, P^l, Q^l)$
w – w	l-th layer weights
$\mathbf{b} = \mathbf{b}^l$	Array $\mathbf{R}(M^l, N^l, P^l)$
ט – ט	l-th layer bias
$\sigma$	$\sigma: \mathbf{R} \to \mathbf{R}$
	Activation function
C	$C: \mathbf{R}(M, N, P) \to \mathbf{R}(M', N', P')$
	Convolutional layer
F	$F: \mathbf{R}(M,N) \to \mathbf{R}(M',N')$
F	Fully Connected layer
Q	$Q: \mathbf{R}(M, N, P) \to \mathbf{H}(M, N, P)$
Ų Ų	$\mathbf{x} \to \mathbf{x}_Q$ (see Eqs. 5 and 6)
09	$Q9: \mathbf{R}(M, N, P) \to \mathbf{R}(M, N, 9 \cdot P)$
	$\mathbf{x} \rightarrow [\phi_{RGB}, \theta_{RGB}, \psi_{RGB}]$ (see Eqs 10, 11, 12)

# 3.4. Quaternion Local Phase

A quaternion local phase array  $\mathbf{x}_Q(x, y) \in \mathbf{H}$  is associated to a 2D signal  $\mathbf{x} = \mathbf{x}(x, y) \in \mathbf{R}$  (where  $x, y \in U$ , U a region of

 $\mathbb{R}^2$ ). The computation of  $\mathbf{x}_Q$  is inspired on the version of the Quaternion Fourier Transform (QFT) proposed in Bülow and Sommer (2001).<sup>1</sup> To explain how it works, it is convenient to introduce a few notations.

A Gaussian filter  $g(u_1, u_2)$  in the frequency domain, rotated by an angle  $\alpha$  and with standard deviations  $\sigma_1$  and  $\sigma_2$  in the  $u_1$ and  $u_2$  directions, respectively, is defined by the formula

$$g(u_1, u_2) = \exp\left(-\frac{u_1'^2}{2\sigma_1^2} - \frac{u_2'^2}{2\sigma_2^2}\right)$$
 (3)

where  $u_1' = u_1 \cos(\alpha) + u_2 \sin(\alpha)$  and  $u_2' = -u_1 \sin(\alpha) + u_2 \cos(\alpha)$ . Given a function  $f(u_1, u_2)$ , which we regard as a filter in the frequency domain, we will also use the expression

$$\mathbf{x} \star f(u_1, u_2) = \mathcal{F}^{-1}((\mathcal{F}\mathbf{x}) \cdot f(u_1, u_2)), \tag{4}$$

where  $\mathcal{F}$  denotes the Fourier transform from the *space* variables (x, y) to the *frequency* variables  $(u_1, u_2)$ .

Now letting  $\omega_1$  and  $\omega_2$  denote positive constant frequencies,  $\mathbf{x}_O$  is defined as follows:

$$\mathbf{x}_O = \mathbf{x}_{Occ} + i\mathbf{x}_{Osc} + j\mathbf{x}_{Ocs} + k\mathbf{x}_{Oss} \tag{5}$$

where

$$\mathbf{x}_{Occ} = \mathbf{x} \star g(u_1, u_2) \cos(\sigma_1 \omega_1 u_1) \cos(\sigma_2 \omega_2 u_2)$$

$$\mathbf{x}_{Osc} = \mathbf{x} \star g(u_1, u_2) \sin(\sigma_1 \omega_1 u_1) \cos(\sigma_2 \omega_2 u_2)$$

$$\mathbf{x}_{Ocs} = \mathbf{x} \star g(u_1, u_2) \cos(\sigma_1 \omega_1 u_1) \sin(\sigma_2 \omega_2 u_2)$$

$$\mathbf{x}_{Oss} = \mathbf{x} \star g(u_1, u_2) \sin(\sigma_1 \omega_1 u_1) \sin(\sigma_2 \omega_2 u_2)$$

As a result, we have rotated Quaternion Gabor filters (see Bülow and Sommer (2001)) which also depend on the parameters  $\alpha$ ,  $\sigma_1$ ,  $\sigma_2$ ,  $\omega_1$ ,  $\omega_2$ . The Gabor filters are well known bioinspired feature extractors: Moya-Sánchez et al. (2018); Bülow and Sommer (2001); Moya-Sánchez and Bayro-Corrochano (2010, 2014); Moya-Sánchez and Vázquez-Santacruz (2011). See Figure 1 for an illustration.



Fig. 1. Quaternion filters from equation 5. From left to right  $g(u_1,u_2)\cos(\sigma_1\omega_1u_1)\cos(\sigma_2\omega_2u_2)$ ,  $ig(u_1,u_2)\sin(\sigma_1\omega_1x)\cos(\sigma_2\omega_2y)$ ,  $jg(u_1,u_2)\cos(\sigma_1\omega_1x)\sin(\sigma_2\omega_2y)$ ,  $kg(u_1,u_2)\sin(\sigma_1\omega_1x)\sin(\sigma_2\omega_2y)$ .

Now based on the equations A.7-A.10 of Appendix A, we can rewrite the equation 5 as follows:

$$\mathbf{x}_Q = |\mathbf{x}_Q|e^{i\phi_{\mathbf{x}}}e^{i\psi_{\mathbf{x}}}e^{j\theta_{\mathbf{x}}},\tag{6}$$

where  $(\phi_x, \psi_x, \theta_x)$  are the phases of the unit quaternion  $\mathbf{x}_Q/|\mathbf{x}_Q|$ . It is important to remark that the frequency domain convolution is scarcely used on CNN. There are two main reasons for this: i) for N training weights, the complexity is of order

 $N^2$ ; ii) the Fourier transform is a global transformation, and it is not possible to correctly localize the features: Bronstein et al. (2017). Previous works such as Wang et al. (2016) try to use the cosine transform in order to avoid the local problem and Esteves et al. (2018) propose a *Spectrum pooling* and return to the spatial domain to avoid both drawbacks for 3D data.

# 4. Quaternion local phase layer Q9

The proposed convolution layer, Q9, a quaternion local phase layer, is described as follows:

1. Create a Hue Saturation Value (HSV) array using the quaternion phases, magnitude and constant 1:

$$\phi_{HSV} = (\phi_{\mathbf{x}}, |\mathbf{x}_O|, \mathbf{1}), \tag{7}$$

$$\theta_{HSV} = (\theta_{\mathbf{x}}, |\mathbf{x}_Q|, \mathbf{1}),$$
 (8)

$$\psi_{HSV} = (\psi_{\mathbf{x}}, |\mathbf{x}_{Q}|, \mathbf{1}). \tag{9}$$

where  $\mathbf{1}$  is an [m, n] array with all entries equal to 1.

2. Transform the HSV images into RGB images:

$$\phi_{HSV} \rightarrow \phi_{RGB}, \tag{10}$$

$$\theta_{HSV} \rightarrow \theta_{RGB}$$
 (11)

$$\psi_{HSV} \rightarrow \psi_{RGB}$$
 (12)

according to the standard conventions (cf. Agoston (2005), p. 304). See Figure 2 for an illustration. Remark that  $\phi_{RGB}$  enhances vertical lines (yellow), that  $\theta_{RGB}$  enhances horizontal lines (yellow), and that  $\psi_{RGB}$  features a dark blue all over the image. Figure 3 illustrates the nine feature maps of  $\phi_{RGB}$ ,  $\theta_{RGB}$  and  $\psi_{RGB}$ .

In our experimental setup, we notice that the phase  $\psi_{RGB}$  is sensitive to rotation. See Figure 4 for an illustration of the three channels of  $\psi_{RGB}$  and their response to rotations.

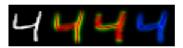


Fig. 2. From left to right: Original image,  $\phi_{RGB}$ ,  $\theta_{RGB}$ ,  $\psi_{RGB}$ .

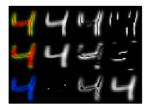


Fig. 3. In the first column  $\phi_{RGB}$ ,  $\theta_{RGB}$  and  $\psi_{RGB}$ . Each row presents the three components of each RGB phase representation.

In the Table 2 we can compare a simple convolution layer with the proposed layer Q9

 $<sup>^{\</sup>rm I} \mbox{See}$  Batard and Berthier (2013) for a Clifford Fourier Transform generalization.



Fig. 4. In the first column  $\psi_{RGB}$  with 0, 15, 30 and 45 degrees. Each row depicts the components of each  $\psi_{RGB}$ .

Table 2. Comparison of the main characteristics of a standard convolutional layer C and our layer Q9. Although Q9 is not itself trainable, the learning is carried out, in any particular application, by the net to which it is coupled.

Convolution Layer Comparison					
Characteristics	C	Q9			
Hyperparameters	3	5			
	Kernel shape	$\alpha, \sigma_1, \sigma_2, \omega_1, \omega_2$			
Convolution domain	space	frequency			
Output domain	space	space			
Padding	zero	_			
Nonlinear function	ReLU	arctan, arcsin			
Layer position	Any	First hidden			
Learning	Yes	No			

# 5. Data and experimental setup

We have used the MNIST dataset, see LeCun et al. (1998) and CIFAR10 Krizhevsky and Hinton (2009), in two main experimental setups: contrast invariance classification and regression for equivariance response measurement.

# 5.1. Contrast invariance classification

Table 3 shows the main characteristics of the degradation labels and the contrast values. The contrast reduction process was done by first normalizing the pixel values from 0 to 1, and then rescaling the pixel values to an interval  $[d, 1] \subset [0, 1]$ , which amounts to a contrast of (1 - d). For instance, in the case  $d_1$  the pixel values are rescaled to the interval [0.3, 1], which amounts to a 70% contrast. Figure 5 provides an illustration of the degradation levels  $d_0, d_1, d_2, d_3$  for MNIST and CIFAR10 images.

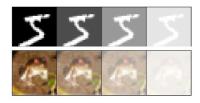


Fig. 5. Example of degradation levels. From left to right: 100% contrast  $(d_0)$ ; 70%  $(d_1)$ ; 30%  $(d_2)$ ; and 10%  $(d_3)$ .

We trained and tested two very simple CNNs, one with a regular convolutional layer (C) and another with the Q9 layer.

Table 3. Characteristics of datasets (top). Degradation labels and the corresponding contrast values used in our experiments (bottom).

	MNIST	CIFAR10
Characteristics	Values	Values
Training set	50,000	40,000
Validation set	10,000	10,000
Test set	10,000	10,000
Total of images	70,000	60,000
Image shape	[28, 28, 1]	[32, 32, 3]

Degradation	Contrast (%)
$d_0$	100
$d_1$	70
$d_2$	30
$d_3$	10

Table 4. CNN Architectures for MNIST and CIFAR10 classification task. The C layer and all the F layers have a ReLU as activation function.

CNN Classification Architecture					
Layer	Layer Characteristics				
(0) Input Layer	Input	Image			
Shape	[28, 28, 1]	or [32,32,3]			
(1) First layer	C Q9				
Parameters	[3, 3, 9]	[28,28,4]			
Output	[28,28,9]	[28,28,9]			
	Parameters Shape				
(2) FL	[7056]				
(3) F 1	[256]				
(4) F 2	[128]				
(5) SMAX	[10]				

Both CNNs have the same architecture, namely one convolutional layer (C), a flatten layer (FL), three fully connected layers (F) and a softmax function (SMAX). See Table 4 for more details

Both CNNs were trained with the same hyperparameters: learning rate, 0.001; number of epochs, 100; loss function, categorical cross-entropy; and optimizer, ADAM; for Q9,  $\alpha=0,\sigma_1=4,\sigma_2=4,\omega_1=0.5,\omega_2=0.5$ . All source code is available at https://github.com/asp1420/A-Bio-inspired-Quaternion-Local-Phase-CNN. It is written in the Tensorflow framework with Keras as wrapper (see Chollet et al. (2015)).

The experimental setup is organized according to the scheme summarized in Table 5, where  $d_i$  (i = 0, 1, 2, 3) means a degradation degree. It is important to note that we tested the generalization capacity of each of the trained models by running them not only on the corresponding degradation level set, but also on the three modified versions of it.

# 5.2. Regression for rotational response

We created 100 data-sets (one for each image), by rotating according to Table 6. We trained, validated and tested with the

Table 5. Experimental scheme for the classification task.

Experimental Set up					
Trained and Contrast	Tested				
$d_0$	$d_0, d_1, d_2, d_3$				
$d_1$	$d_0, d_1, d_2, d_3$				
$d_2$	$d_0, d_1, d_2, d_3$				
$d_3$	$d_0, d_1, d_2, d_3$				

first 100 (nonzero digits) from the MNIST and the first 100 images from CIFAR10. Figure 6 presents an example of rotation data.

Table 6. Experimental scheme for rotation for each image.

<b>Experimental Rotation Set up</b>				
Set Angles (Degree)				
Train	[0, 46] steps=3			
Validation	[1, 46] steps=3			
Test	[2, 46] steps=3			

We have used the CNN architecture presented in Table 7. We trained both CNNs for regression with the same hyperparameters: learning rate, 0.001; number of epochs, 1000; loss function, mean squared error; optimizer, *rmsprop*; activation function, sigmoid; and for Q9 we chose  $\alpha = 0$ ,  $\sigma_1 = 4$ ,  $\sigma_2 = 4$ ,  $\omega_1 = 0.5$ ,  $\omega_2 = 0.5$ .

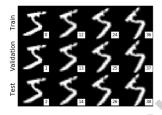




Fig. 6. Rotation examples for regression experimental setup from MNIST and CIFAR10. The value of the angle in degrees.

# 6. Results and Analysis

### 6.1. Contrast invariance (classification)

Tables 8 and 9 show the best accuracy reached in the classification tasks by the C and Q9 nets for MNIST and CIFAR10, respectively. Each row shows the performance of both CNNs with different testing samples for a given training sample. As mentioned previously, samples are grouped according to the different contrast levels. The values in bold indicate the best result. As seen in Table 8, the superiority of Q9 over C is conspicuous on MNIST images for its high and virtually uniform accuracy. The gains for CIFAR10 images are also quite uniform and, except for the diagonal slots  $d_0 - d_0$ ,  $d_1 - d_1$  and  $d_2 - d_2$ ,

Table 7. CNN Architectures for a regression task to get the rotation angle. The  ${\cal C}$  and all the  ${\cal F}$  layers have a sigmoid as activation function.

CNN Regression Architecture						
Layer	Characteristics					
(0) Input Layer	Ima	age				
Shape	[28, 28, 1] c	or [32, 32, 3]				
(1) First layer	С	Q9				
Parameters	[3, 3, 9]	[28,28,4]				
Output	[28,28,9] or	[28,28,9] or				
	[32,32,9]	[32,32,9]				
	Parameters Shape					
(2) FL	[7056]					
(3) F 1	[1000]					
(4) F 2	[6	4]				
(5) F 3	[32]					
(6) F 4	[8]					
(7) SG	[1]					

very appreciable (Table 9). In sum, Q9 exhibits invariance under contrast degradation while C does not.

Table 8. Experimental results on the classification task for all degradation labels on MNIST images. The last row displays the average accuracy.

	Test							
Train	$d_0$		$d_1$		$d_2$		$d_3$	
	C	Q9	С	Q9	С	Q9	C	Q9
$d_0$	98.7	98.4	92.8	98.4	10.8	98.3	8.9	98.3
$d_1$	87.0	98.5	98.4	98.5	11.4	98.4	11.4	98.5
$d_2$	86.1	98.5	91.5	98.5	98.3	98.5	21.0	98.5
$d_3$	81.6	98.4	82.1	98.4	91.7	98.4	97.8	98.4
Avg	88.3	98.4	91.2	98.4	53.0	98.4	34.8	98.4

Table 9. Experimental results on the classification task for all degradation labels with CIFAR10. The last row displays the average accuracy.

	Test							
Train	$d_0$		$d_1$		$d_2$		$d_3$	
	С	Q9	С	Q9	С	Q9	С	Q9
$d_0$	57.1	47.2	36.5	46.6	15.7	45.7	10.0	45.3
$d_1$	41.5	46.9	56.9	47.3	25.6	47.3	10.0	46.9
$d_2$	23.0	43.2	30.2	45.9	54.5	47.1	23.6	47.2
$d_3$	13.6	38.2	16.3	42.5	27.0	46.8	43.8	47.5
Avg	33.8	43.9	34.9	45.6	30.7	46.7	21.9	46.7

# 6.2. Rotational response (regression)

The first comparison we can try on the performance of C and Q9 is the behaviour of the loss function. Figure 7 is an

error plot to show the evolution of the mean value and the variance (as vertical line of each point) of the loss function, respectively, for both CNNs across epochs. These figures show that the loss function converges faster, and that it is more stable, for *Q*9 than for *C*. Faster convergence and higher stability are desirable properties that have a bearing, in particular, on a more efficient use of computing resources.

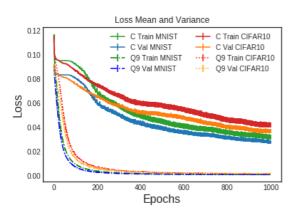


Fig. 7. Error plot with the mean value per epoch of the loss function in the training process for each layer. We again see the superior performance of Q9 versus C. The narrow curves show that variance for Q9 is much smaller than for C.

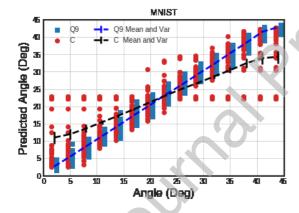


Fig. 8. Prediction of the rotation angle in steps of 3 degrees, from 0 to 45, over 100 MNIST images at epoch 1000. Note the low variance for Q9.

Figures 8 and 9 show the rotation predictions over the 100 images of each CNN at epoch 1000. In addition a mean value of the prediction and its variance are represented by an error curve. We see that the CNN with the normal convolution layer C has more outliers in the ranges (0-20) and (25-45) degrees than Q9. In other words, the dispersion of the predictions is substantially lower for Q9 than for C. This result motivates us to explore in a future work whether Q9, or some variation of it, achieves an equivariant response with respect to plane rotations.

## 7. Conclusions and outlook

The main objective of the article has been to propose a new bio-inspired quaternionic layer, Q9, based on the kernel of the quaternionic Fourier transform proposed by Thomas Bülow

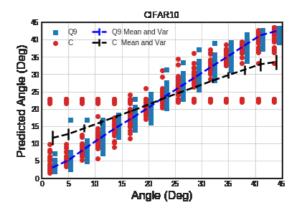


Fig. 9. Prediction of the rotation angle in steps of 3 degrees, from 0 to 45, over 100 CIFAR10 images at epoch 1000.

(Bülow and Sommer (2001)) and to compare its performance to that of a regular convolutional layer in two types of computational experiments, one focused on classification tasks and another on rotation prediction by regression. Our layer has 9 channels and insures an invariant response to high contrast changes with almost constant performance in classification tasks even when the CNN is trained with quite different contrasts. The Q9 layer also features a faster learning of image rotation angles than a regular convolution layer. We believe that the proposed layer could be useful to recognize or classify images in outdoor scenarios with haze with no data augmentation. One current drawback is that the C layer has a better performance for CIFAR10 images in the case when the test set has the same degradation level (with the exception of  $d_3$ ) than the training set.

Let us mention two lines of future inquiry: To seek how to insure that the hyperparameters of the layer are learned automatically, and to explore how to obtain an equivariant response to image rotations.

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# Appendix A. Quaternions

The quaternion algebra  $\mathbf{H}$  is a four dimensional real vector space with basis 1, i, j, k,

$$\mathbf{H} = \mathbf{R}1 \oplus \mathbf{R}i \oplus \mathbf{R}j \oplus \mathbf{R}k \tag{A.1}$$

endowed with the bilinear product (multiplication) defined by Hamilton's relations, namely

$$i^2 = j^2 = k^2 = ijk = -1.$$
 (A.2)

As it is easily seen, these relations imply that

$$ij = -ji = k$$
,  $jk = -kj = i$ ,  $ki = -ik = j$ . (A.3)

The elements of **H** are called *quaternions*, and i, j, k, *quaternionic units*. By definition, a quaternion q can be written in a unique way in the form

$$q = a + bi + cj + dk, \quad a, b, c, d \in \mathbf{R}.$$
 (A.4)

Its *conjugate*,  $\bar{q}$ , is defined as

$$\bar{q} = a - (bi + cj + dk), \tag{A.5}$$

and its *modulus*, |q|, by  $|q| = \sqrt{q\bar{q}}$ .

A polar representation of q is defined by

$$q = |q|e^{i\phi}e^{k\psi}e^{j\theta},\tag{A.6}$$

where

$$(\phi, \theta, \psi) \in [-\pi, \pi) \times \left[-\frac{\pi}{2}, \frac{\pi}{2}\right) \times \left[-\frac{\pi}{4}, \frac{\pi}{4}\right]$$

are the phases of q as defined in Bülow (1999) and Bülow and Sommer (2001). For a unit q, the phase  $\psi$  is found to be

$$\psi = -\frac{\arcsin(2(bc - ad))}{2}.\tag{A.7}$$

If  $\psi = \pm \frac{\pi}{4}$ , set  $\theta = 0$  and

$$\phi' = \frac{1}{2}\arctan 2\left(\frac{2(-cd+ab)}{a^2 - b^2 - c^2 + d^2}\right). \tag{A.8}$$

Else,

$$\phi' = \frac{1}{2} \arctan 2 \left( \frac{2(cd+ab)}{a^2 - b^2 + c^2 - d^2} \right),$$
 (A.9)

$$\theta = \frac{1}{2}\arctan 2\left(\frac{2(bd+ab)}{a^2+b^2-c^2-d^2}\right).$$
 (A.10)

With this,  $e^{i\phi'}e^{k\psi}e^{j\theta} = \pm q$  and if it is -q, set  $\phi = \phi' + \pi \mod 2\pi$ . Here, arctan 2 is the four quadrant arctan (see Bülow and Sommer (2001)).

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# Conflict-of-Interest Statement

Guadalajara Jalisco México, July 31th 2019

Dear Editors-in-Chief: J. Lu, G. Sanniti di Baja, S. Sarkar Pattern Recognition Letters

I recognizing and disclosing that we don't have any financial and other conflicts of interest that might bias this work. We acknowledge all financial support for the work. As a result, I declare that we don't have any conflict of interest related to this work.

With my best regards,

Sincerely yours,

Dr. E. Ulises Moya Sánchez, dr.ulisesmoya@gmail.com

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# **Declaration of interests**

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Title: A Bio-inspired Quaternion Local Phase CNN Layer with Contrast Invariance and Linear

Sensitivity to Rotation Angles

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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