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# Theoretical Foundations of Computer Science

Lecture 7

Reducibility

# Aims of lecture

- To explore undecidability
  - Last lecture showed
    - Hierarchy of languages
      - »  $A_{TM}$  is undecidable
      - » The Co-language of  $A_{TM}$  is not Turing Recognised
    - Have existence of larger languages
  - More decidable and undecidable languages
  - Determine undecidability through mapping proofs

# *Unit Learning Outcomes*

- Understand recognisability and decidability, use the construction & mapping reducibility techniques to prove a problem decidable or undecidable.

# *Assessment Criteria*

- **Prove** the classification of a language as Decidable or Undecidable using mapping reducibility of languages involving languages or graphs.

# Proving Undecidability

- To explore undecidability
  - ATM is undecidable
  - Consequences:
    - The Co-language of ATM is not Turing Recognisable
    - Have existence of larger set of languages
    - Turing Thesis: No machine will recognise them, unsolvable
- Programmers: Is your problem unsolvable?
  - Need techniques to determine undecidable languages
  - Direct techniques often difficult

# *Reducibility*

- Transformation of problem concept
  - Used to prove other languages undecidable
  - Used in definition of NP completeness
  - To programmers:
    - Can a problem be converted to one already solved?
- Transform is a function
  - If  $w \in \Sigma^*$  as input, create output  $f(w) \in \Sigma^*$
  - Process to be done by a decidable TM computing  $f$

# Decidability of $A_{REX}$

- $A_{REX} = \{ \langle R, w \rangle : R \text{ is a RE that generates } w \}$ 
  - Proof
    - Convert  $R$  into NFA  $M_1$  using known construction
    - Convert  $M_1$  into DFA  $M_2$  using known construction
    - For any  $w$  generated by  $R$ ,  $M_1$  &  $M_2$  both recognise  $w$  because of the equality guaranteed by the constructions
    - There is a  $M_3$ , a TM that decides  $M_2$  recognising  $w$  since it has been shown that  $A_{DFA}$  is decidable
  - So string  $\langle R, w \rangle \Rightarrow \langle M_1, w \rangle \Rightarrow \langle M_2, w \rangle$ 
    - TM for  $A_{REX}$  is
      - » TM to transform  $\langle R, w \rangle$  to  $\langle M_2, w \rangle$
      - » Followed by  $M_3$



# Reduction

- A way of converting one problem into another
  - A solution to the second problem can be used to solve the first problem
  - Given problems  $A$  and  $B$ , if  $A$  reduces to  $B$ , a solution to  $B$  can be used to solve  $A$ .
    - only concerned with solvability of  $A$  when  $B$ 's solution is known
  - If  $A$  reduces to  $B$  then  $B$  is more complex (harder) than  $A$ 
    - $A$  reduces to simple  $B$ , then  $A$  must be simple
    - Complex  $A$  reduces to  $B$  then  $B$  must be complex

# *MAPPING REDUCIBILITY*

Concept

Computable Functions

Mapping Reducibility

Theorems concerning Reducibility

# Mapping reducibility

- Formalizing the notion of reducibility
  - allows us to use reducibility in more refined ways
  - e.g., proving that some languages are not Turing-recognizable, applications in complexity theory
- Mapping reducibility (also known as many-one reducibility)
  - Being able to reduce problem  $A$  problem  $B$  means a computable function exists that convert instances of problem  $A$  to instances of problem  $B$ .
  - Such a conversion function is called a *reduction*.

# Computable functions

- A TM computes a function by starting with the input to the function on the tape and halting with the output of the function on the tape.
- A function  $f: \Sigma^* \rightarrow \Sigma^*$  is a computable function if some TM  $M$ , on every input  $w$ , halts with just  $f(w)$  on its tape.
- Example:
  - A function  $f$  takes input  $w$  and returns the description of a TM  $\langle M' \rangle$  if  $w = \langle M \rangle$  is an encoding of a TM  $M$ .
  - $M'$  is a TM that recognizes the same language as  $M$ .

# Definition of mapping reducibility

- Language  $A$  is mapping reducible to language  $B$ , written  $A \leq_m B$ , if there is a computable function  $f: \Sigma^* \rightarrow \Sigma^*$ , where for every  $w$ ,  $w \in A \Leftrightarrow f(w) \in B$ .
- The function  $f$  is called the reduction of  $A$  to  $B$ .
- Provides a way to convert membership testing in  $A$  to membership testing in  $B$ .
  - If one problem is mapping reducible to a second previously solved problem, a solution can be obtained for the original problem.

# *Theorems of reducibility*

- Classifying problems by decidability:
  - If  $A$  is reducible to  $B$  and  $B$  is decidable, then  $A$  is also decidable.
  - Similarly, if  $A$  is undecidable and reducible to  $B$ , then  $B$  is also undecidable.

# *DECIDABILITY & MAPPING REDUCIBILITY*

Approach

Used for  $A_{\text{REX}}$

# Approach

- Aim
  - Use Mapping Reducibility to prove Decidability
- Reason
  - Direct proof involves deep insight into the process
  - Mapping Reducibility
    - Ignores the machine; purely language
    - Requires only matching a problem to another problem
- Technique
  - A, find decidable B, show  $A \leq_m B$ ; A decidable



# Fact for Solving $A_{REX}$

- Need known facts
  - $A_{DFA} \{ \langle M, w \rangle : M \text{ is a DFA and } M \text{ accepts } w \}$ 
    - $A_{DFA}$  is decidable
  - $R$  a regular expression
    - Can construct NFA  $M_1$  so  $R$  generates  $w \Leftrightarrow M_1$  accepts  $w$
    - Algorithm has a TM that finishes in finite steps
  - $M_1$  describes an NFA
    - Can construct DFA  $M_2$  so  $M_1$  accepts  $w \Leftrightarrow M_2$  accepts  $w$
    - Algorithm has a TM that finishes in finite steps
  - $A_{REX} = \{ \langle R, w \rangle : R \text{ is a RE that generates } w \}$

# Proof

- Currently  $A_{\text{REX}}$  is unknown  $A_{\text{DFA}}$  is decidable
  - So want  $A_{\text{REX}} \leq_m A_{\text{DFA}}$
- Start with a string from  $A_{\text{REX}}$ 
  - Let  $\langle R, w \rangle \in A_{\text{REX}}$
- Need a function into  $A_{\text{DFA}}$  so of form  $\langle M, w \rangle$ 
  - Let  $f_1 : \Sigma^* \rightarrow \Sigma^*$ , mapping for RE to NFA
  - So  $f_1(\langle R, w \rangle) = \langle M_1, w \rangle$
  - $\langle R, w \rangle \in A_{\text{REX}}$  means  $R$  generates  $w$
  - $f_1$  is such that  $R$  generates  $w \Leftrightarrow M_1$  accepts  $w$
  - So  $f_1(\langle R, w \rangle) \in A_{\text{NFA}}$ .

# Proof (continued)

- Note the equivalence, so argument is both ways
  - So  $\langle R, w \rangle \in A_{\text{REX}} \Leftrightarrow f_1(\langle R, w \rangle) \in A_{\text{NFA}}$
  - $f_1$  is a reduction
- $f_1$  is a reduction, but we have not proved  $A_{\text{NFA}}$  to be undecidable, hence we are not finished
  - Using similar reasoning, there is a function  $f_2: \Sigma^* \rightarrow \Sigma^*$  such that:
    - $\langle M_1, w \rangle \in A_{\text{NFA}} \Leftrightarrow f_2(\langle M_1, w \rangle) \in A_{\text{DFA}}$
- Hence  $\langle R, w \rangle \in A_{\text{REX}} \Leftrightarrow f_2(f_1(\langle R, w \rangle)) \in A_{\text{DFA}}$

# *Conclusion of Proof*

- So we have the reduction
  - Let  $f: \Sigma^* \rightarrow \Sigma^*$ : such that  $f(\langle R, w \rangle) = f_2(f_1(\langle R, w \rangle))$
  - $f$  is a reduction,  $A_{\text{REX}} \leq_m A_{\text{DFA}}$
  - We know that  $A_{\text{DFA}}$  is decidable so  $A_{\text{REX}}$  is also decidable.

# REDUCTION

Principle

The Real Halting Problem

Two More Examples

# Reduction

- A way of converting one problem into another
  - so that a solution to the second problem can be used to solve the first problem
  - Given problems A and B, if A reduces to B, a solution to B can be used to solve A.
    - only concerned with solvability of A when B's solution is known
- Examples from everyday life
  - Finding your way around a new city can be reduced to getting a map of the city
  - Problem of travelling from Perth to Sydney is reduced to buying a plane ticket for the journey

# Role of reducibility

- Examples from Maths:
  - Measuring the area of a triangle can be solved if its height and width can be measured
  - A system of linear equations can be solved if matrix inversion can be done
- Classifying problems by decidability
  - If A is reducible to B and B is decidable, then A is also decidable.
  - Similarly, if A is undecidable and reducible to B, then B is also undecidable.

# Undecidability

- Have that  $A_{TM}$  is undecidable
  - This is really the Acceptance Problem
  - Will use Reductions to prove undecidability
  - Note finiteness
    - If we can prove TM will always stop in a finite number of moves then language is decidable
    - Undecidability depends on proof by contradiction
  - Will look at the true Halting Problem



# Approach

- Use Reducibility

- Aim: So A is undecidable

- Proof

- Assume A is decidable

- Find an undecidable B

the key insight

- Show  $B \leq_m A$

often have to guess appropriate B

- But implies B is decidable

- Contradiction so A is undecidable

- Or Reduce selected B to A

# Undecidable problems from language theory

- Determining whether a TM halts on a given input
  - $\text{HALT}_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w \}$
- Theorem:  $\text{HALT}_{\text{TM}}$  is undecidable
- Proof idea:
  - Assume that  $R$  is a TM that decides  $\text{HALT}_{\text{TM}}$ .
  - Using  $R$ , we can test whether  $M$  halts on  $w$ .
    - If  $R$  indicates that  $M$  doesn't halt on  $w$ ,  $\langle M, w \rangle$  is not in  $A_{\text{TM}}$ .
    - If  $R$  indicates that  $M$  does halt on  $w$ , we can simulate without danger of looping.
  - If  $R$  exists, we can decide  $A_{\text{TM}}$ , contradicting an earlier theorem.

- Assume that TM  $R$  decides  $HALT_{TM}$ .
  - Construct TM  $S$  to decide  $A_{TM}$
  - $S =$  “On input  $\langle M, w \rangle$ :
    - 1. Run TM  $R$  on input  $\langle M, w \rangle$ .
    - 2. If  $R$  rejects, *reject*.
    - 3. If  $R$  accepts, simulate  $M$  on  $w$  until it halts.
    - 4. If  $M$  has accepted, *accept*; if  $M$  has rejected, *reject*.”
  - If  $R$  decides  $HALT_{TM}$ , then  $S$  decides  $A_{TM}$ .
    - Because  $A_{TM}$  is undecidable,  $HALT_{TM}$  also must be undecidable.

# Halting problem

- $HALT_{TM}$  is the real halting problem
  - $A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts input } w \}$  is the acceptance problem
- Proof of  $HALT_{TM}$  illustrates the strategy for proving that a problem is undecidable
  - Common strategy for most proofs of undecidability
  - $A_{TM}$  itself is directly proved (via diagonalisation)

# Further examples of reducibility

- $E_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}$
- Theorem:  $E_{TM}$  is undecidable.
- Proof idea:
  - Assume that  $E_{TM}$  is decidable.
  - Then show that  $A_{TM}$  is decidable, a contradiction.

# Further examples of reducibility

- $REGULAR_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language} \}$
- Theorem:  $REGULAR_{TM}$  is undecidable.
- Proof idea:
  - Assume that  $REGULAR_{TM}$  is decidable by a TM  $R$ .
  - Use  $R$  to construct a TM  $S$  that decides  $A_{TM}$ .

# Post Correspondence Problem

- An undecidable problem concerning simple manipulations of strings
- Two collections of dominos

$$\left\{ \left[ \frac{b}{ca} \right], \left[ \frac{a}{ab} \right], \left[ \frac{ca}{a} \right], \left[ \frac{abc}{c} \right] \right\}$$
$$\left\{ \left[ \frac{a}{ab} \right], \left[ \frac{b}{ca} \right], \left[ \frac{ca}{a} \right], \left[ \frac{a}{ab} \right], \left[ \frac{abc}{c} \right] \right\}$$

- When the string of symbols at the top and the bottom of a collection are the same, there is a match.
- PCP is to determine whether a collection of dominos has a match. Repetitions of dominos is allowed.
- The general form of this problem is unsolvable by algorithms.

# Summary

- Role of reducibility
- Reductions via computation histories
- Mapping reducibility