3.5   Interpreters for Languages with Abstraction

The Calculator language provides a means of combination through nested call expressions. However, there is no way to define new operators, give names to values, or express general methods of computation. Calculator does not support abstraction in any way. As a result, it is not a particularly powerful or general programming language. We now turn to the task of defining a general programming language that supports abstraction by binding names to values and defining new operations.

Unlike the previous section, which presented a complete interpreter as Python source code, this section takes a descriptive approach. The companion project asks you to implement the ideas presented here by building a fully functional Scheme interpreter.

3.5.1   Structure

This section describes the general structure of a Scheme interpreter. Completing that project will produce a working implementation of the interpreter described here.

An interpreter for Scheme can share much of the same structure as the Calculator interpreter. A parser produces an expression that is interpreted by an evaluator. The evaluation function inspects the form of an expression, and for call expressions it calls a function to apply a procedure to some arguments. Much of the difference in evaluators is associated with special forms, user-defined functions, and implementing the environment model of computation.

Parsing. The scheme_reader and scheme_tokens modules from the Calculator interpreter are nearly sufficient to parse any valid Scheme expression. However, it does not yet support quotation or dotted lists. A full Scheme interpreter should be able to parse the following input expression.

```
>>> read_line("(car '(1 . 2))")
Pair('car', Pair(Pair('quote', Pair(Pair(1, 2), nil)), nil))
```

Your first task in implementing the Scheme interpreter will be to extend scheme_reader to correctly parse dotted lists and quotation.

Evaluation. Scheme is evaluated one expression at a time. A skeleton implementation of the evaluator is defined in `scheme.py` of the companion project. Each expression returned from `scheme_read` is passed to the `scheme_eval` function, which evaluates an expression `expr` in the current environment `env`.

The `scheme_eval` function evaluates the different forms of expressions in Scheme: primitives, special forms, and call expressions. The form of a combination in Scheme can be determined by inspecting its first element. Each special form has its own evaluation rule. A simplified implementation of `scheme_eval` appears below. Some error checking and special form handling has been removed in order to focus our discussion. A complete implementation appears in the companion project.

```python
>>> def scheme_eval(expr, env):
        """Evaluate Scheme expression expr in environment env."""
        if scheme_symbolp(expr):
            return env[expr]
        elif scheme_atomp(expr):
            return expr
        first, rest = expr.first, expr.second
        if first == "lambda":
            return do_lambda_form(rest, env)
        elif first == "define":
            do_define_form(rest, env)
            return None
        else:
            procedure = scheme_eval(first, env)
            args = rest.map(lambda operand: scheme_eval(operand, env))
            return scheme_apply(procedure, args, env)
```

Procedure application. The final case above invokes a second process, procedure application, that is implemented by the function `scheme_apply`. The procedure application process in Scheme is considerably more general than the `calc_apply` function in Calculator. It applies two kinds of arguments: a `PrimtiveProcedure` or a `LambdaProcedure`. A `PrimitiveProcedure` is implemented in Python; it has an instance attribute `fn` that is bound to a Python function. In addition, it may or may not require access to the current environment. This Python function is called whenever the procedure is applied.

A `LambdaProcedure` is implemented in Scheme. It has a `body` attribute that is a Scheme expression, evaluated whenever the procedure is applied. To apply the procedure to a list of arguments, the body expression is evaluated in a new environment. To construct this environment, a new frame is added to the environment, in which the formal parameters of the procedure are bound to the arguments. The body is evaluated using `scheme_eval`.

Eval/apply recursion. The functions that implement the evaluation process, `scheme_eval` and `scheme_apply`, are mutually recursive. Evaluation requires application whenever a call expression is

encountered. Application uses evaluation to evaluate operand expressions into arguments, as well as to evaluate the body of user-defined procedures. The general structure of this mutually recursive process appears in interpreters quite generally: evaluation is defined in terms of application and application is defined in terms of evaluation.

This recursive cycle ends with language primitives. Evaluation has a base case that is evaluating a primitive expression. Some special forms also constitute base cases without recursive calls. Function application has a base case that is applying a primitive procedure. This mutually recursive structure, between an eval function that processes expression forms and an apply function that processes functions and their arguments, constitutes the essence of the evaluation process.

3.5.2 Environments

Now that we have described the structure of our Scheme interpreter, we turn to implementing the `Frame` class that forms environments. Each `Frame` instance represents an environment in which symbols are bound to values. A frame has a dictionary of `bindings`, as well as a `parent` frame that is `None` for the global frame.

Bindings are not accessed directly, but instead through two `Frame` methods: `lookup` and `define`. The first implements the look-up procedure of the environment model of computation described in Chapter 1. A symbol is matched against the `bindings` of the current frame. If it is found, the value to which it is bound is returned. If it is not found, look-up proceeds to the `parent` frame. On the other hand, the `define` method always binds a symbol to a value in the current frame.

The implementation of `lookup` and the use of `define` are left as exercises. As an illustration of their use, consider the following example Scheme program:

```
(define (factorial n)
  (if (= n 0) 1 (* n (factorial (- n 1)))))

(factorial 5)
120
```

The first input expression is a `define` special form, evaluated by the `do_define_form` Python function. Defining a function has several steps:

1. Check the format of the expression to ensure that it is a well-formed Scheme list with at least two elements following the keyword `define`.
2. Analyze the first element, in this case a `Pair`, to find the function name `factorial` and formal parameter list `(n)`.
3. Create a `LambdaProcedure` with the supplied formal parameters, body, and parent environment.
4. Bind the symbol `factorial` to this function, in the first frame of the current environment. In this case, the environment consists only of the global frame.

The second input is a call expression. The `procedure` passed to `scheme_apply` is the `LambdaProcedure` just created and bound to the symbol `factorial`. The `args` passed is a one-element Scheme list `(5)`. To apply the procedure, a new frame is created that extends the global frame (the parent environment of the `factorial` procedure). In this frame, the symbol `n` is bound to the value 5. Then, the body of `factorial` is evaluated in that environment, and its value is returned.

3.5.3 Data as Programs

In thinking about a program that evaluates Scheme expressions, an analogy might be helpful. One operational view of the meaning of a program is that a program is a description of an abstract machine. For example, consider again this procedure to compute factorials:

```
(define (factorial n)
  (if (= n 0) 1 (* n (factorial (- n 1)))))
```

We could express an equivalent program in Python as well, using a conditional expression.

```
>>> def factorial(n):
        return 1 if n == 1 else n * factorial(n - 1)
```

We may regard this program as the description of a machine containing parts that decrement, multiply, and test for equality, together with a two-position switch and another factorial machine. (The factorial machine is infinite because it contains another factorial machine within it.) The figure below is a flow diagram for the factorial machine, showing how the parts are wired together.
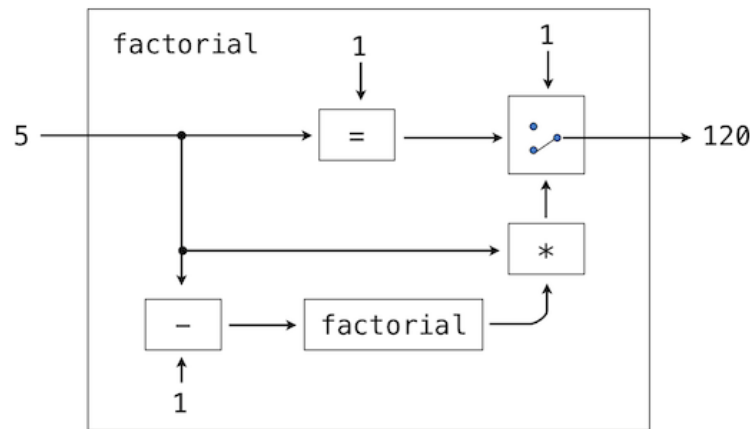
In a similar way, we can regard the Scheme interpreter as a very special machine that takes as input a description of a machine. Given this input, the interpreter configures itself to emulate the machine described. For example, if we feed our evaluator the definition of factorial the evaluator will be able to compute factorials.

From this perspective, our Scheme interpreter is seen to be a universal machine. It mimics other machines when these are described as Scheme programs. It acts as a bridge between the data objects that are manipulated by our programming language and the programming language itself. Image that a user types a Scheme expression into our running Scheme interpreter. From the perspective of the user, an input expression such as `(+ 2 2)` is an expression in the programming language, which the interpreter should evaluate. From the perspective of the Scheme interpreter, however, the expression is simply a sentence of words that is to be manipulated according to a well-defined set of rules.

That the user's programs are the interpreter's data need not be a source of confusion. In fact, it is sometimes convenient to ignore this distinction, and to give the user the ability to explicitly evaluate a data object as an expression. In Scheme, we use this facility whenever employing the `run` procedure. Similar functions exist in Python: the `eval` function will evaluate a Python expression and the `exec` function will execute a Python statement. Thus,

```
>>> eval('2+2')
4
```

and

```
>>> 2+2
4
```

both return the same result. Evaluating expressions that are constructed as a part of execution is a common and powerful feature in dynamic programming languages. In few languages is this practice as common as in Scheme, but the ability to construct and evaluate expressions during the course of execution of a program can prove to be a valuable tool for any programmer.

3.5.4 Macros

Scheme combinations are represented as Scheme lists. The expression `(+ 2 x)` is a three-element list containing the symbol `+`, the number 2, and the symbol `x`. Likewise, the expression `(map abs '(-1 -2))` is a three-element list containing the symbol `map`, the symbol `abs`, and a list containing -1 and -2.

Because expressions in the language are structured data, it is convenient to write Scheme expressions that build other Scheme expressions. Scheme programs are just lists of expressions, and so it is possible to write programs that output and even execute other programs.

The fact that expressions are lists allows us to use list manipulation procedures, such as `list`, `cons`, `car`, and `cdr`, to construct expressions. The built-in `eval` procedure allows a constructed expression to be evaluated.

```
(cons '+ (list 1 2))
(+ 1 2)
(eval (cons '+ (list 1 2)))
3
```

Macros are procedures that take expressions as input and return Scheme expressions as output. Macros exist in many programming languages but are particularly powerful in Scheme and other Lisp dialects because Scheme expressions are lists, and Scheme has good built-in procedures for manipulating lists. In Scheme, there are several different built-in special forms related to macros, but this text will focus on just one: `define-macro`.

The `define-macro` special form is similar to the `define` special form used to create user-defined procedures.

```
(define-macro (twice f) (list 'begin f f))
```

Evaluating this `define-macro` expression creates a new macro and binds it to the name `twice` in the first frame of the current environment. A macro is called like a procedure using a call expression, but the evaluation procedure for macro call expressions is different from the regular procedure for call expressions.

To evaluate a macro call expression, such as `(twice (print 2))`, Scheme does the following:

1. Evaluate the operator sub-expression, which evaluates to a macro.
2. Apply the macro procedure on the operands *without* evaluating the operands first.
3. Evaluate the expression returned from the macro procedure.

For example, calling `(twice (print 2))` will pass the expression `(print 2)`, which is a two-element list containing the symbol `print` and the number 2, as an argument to `twice`. Evaluating the body of `twice` in an environment in which `f` is bound to `(print 2)` creates the expression `(begin (print 2) (print 2))`, which is then evaluated. Evaluating this output expression displays 2 twice. Hence, this macro evaluates its operand twice.

```
(twice-macro (print 2))

2

2
```

3.5.5 Quasiquotation

In Scheme, a *quote* prevents an expression from being evaluated. It's possible to use the symbol `quote` or its syntactic abbreviation, an apostrophe. Both of the expressions below evaluate to the three-element list `(+ x y)`.

```
(quote (+ x y))
```

```
'(+ x y)
```

Similarly, a *quasiquote*, denoted using a backtick symbol, prevents an expression from being evaluated. However, parts of that expression can be *unquoted*, denoted using a comma, and those unquoted parts are evaluated. Suppose `b` is bound to 10.

```
(define b 10)
```

Quoting or quasiquoting the expression `(+ a b)` will evaluate to this three-element list, which contains the symbols `a` and `b`.

```
'(+ a b)
```

```
`(+ a b)
```

In the final example below, `b` is unquoted and therefore evaluated, while the whole list remains quoted, and so no addition is performed. Instead, the expression evaluates to the list `(+ a 10)`.

```
`(+ ,b c)
```

With quasiquotes and unquotes, it is often the case that a macro definition requires less work to express. For example, we can simplify `twice-macro` from the previous section as follows:

```
(define-macro (twice f) `(begin ,f ,f))
```