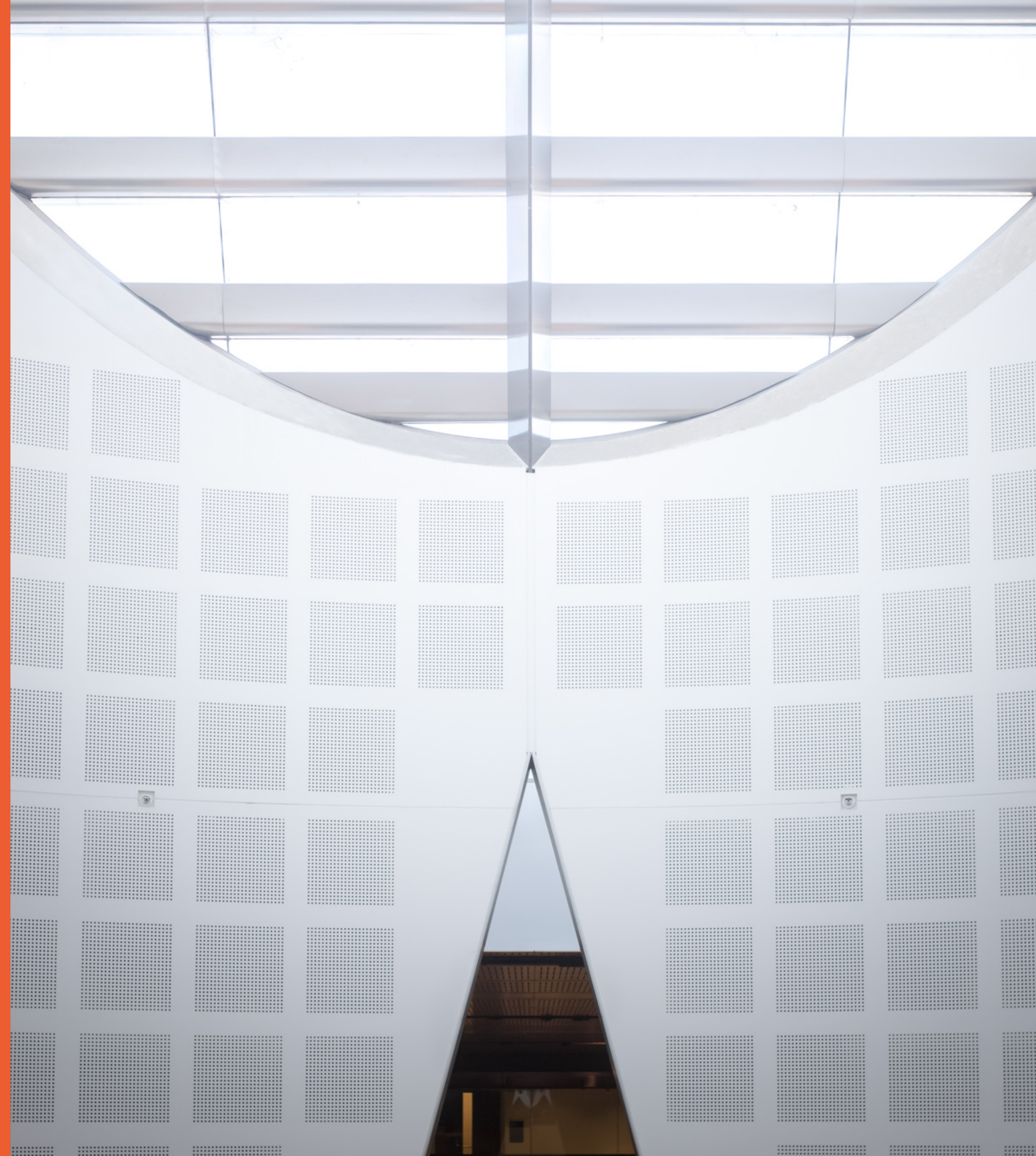


# Non-clairvoyant problems with Deadlines or Delay

**Presented by:** Mai Le

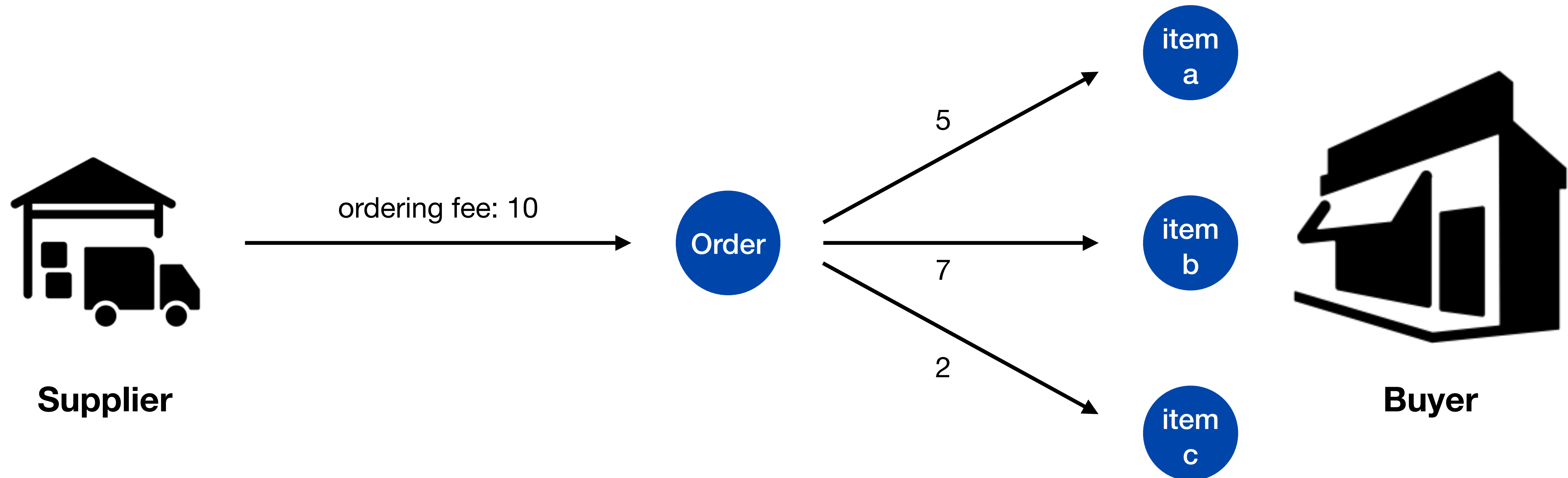
**Supervised by:** Dr. Seeun William Umboh

School of Computer Science

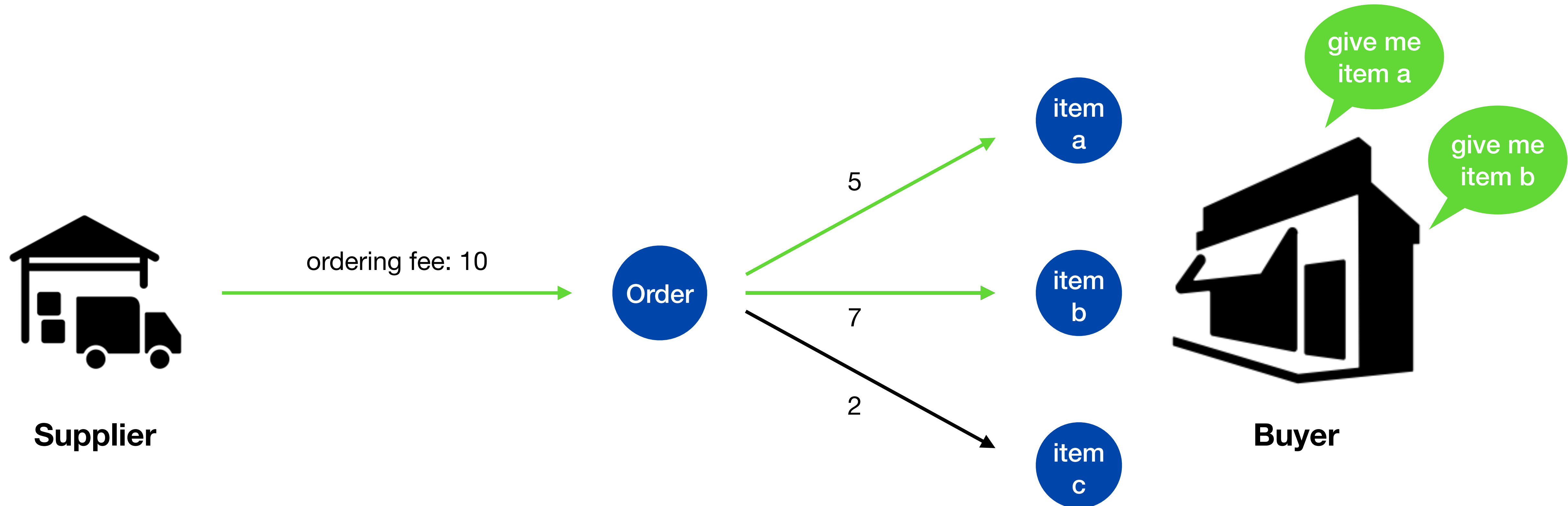




# Joint replenishment problem (JRP)

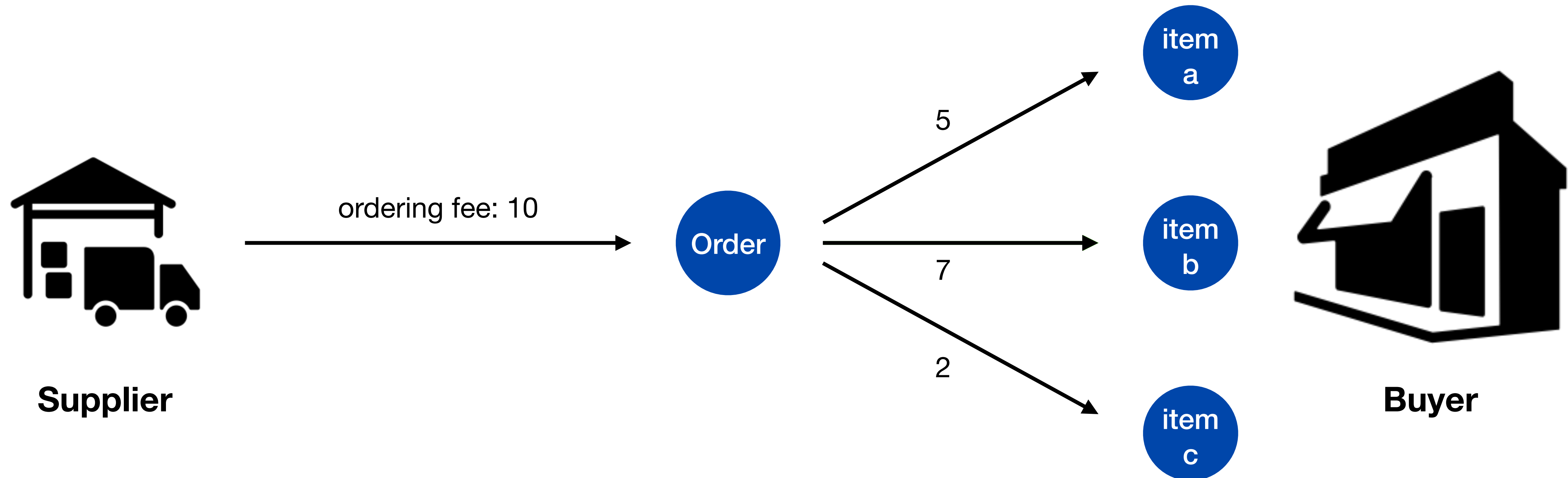


# Joint replenishment problem



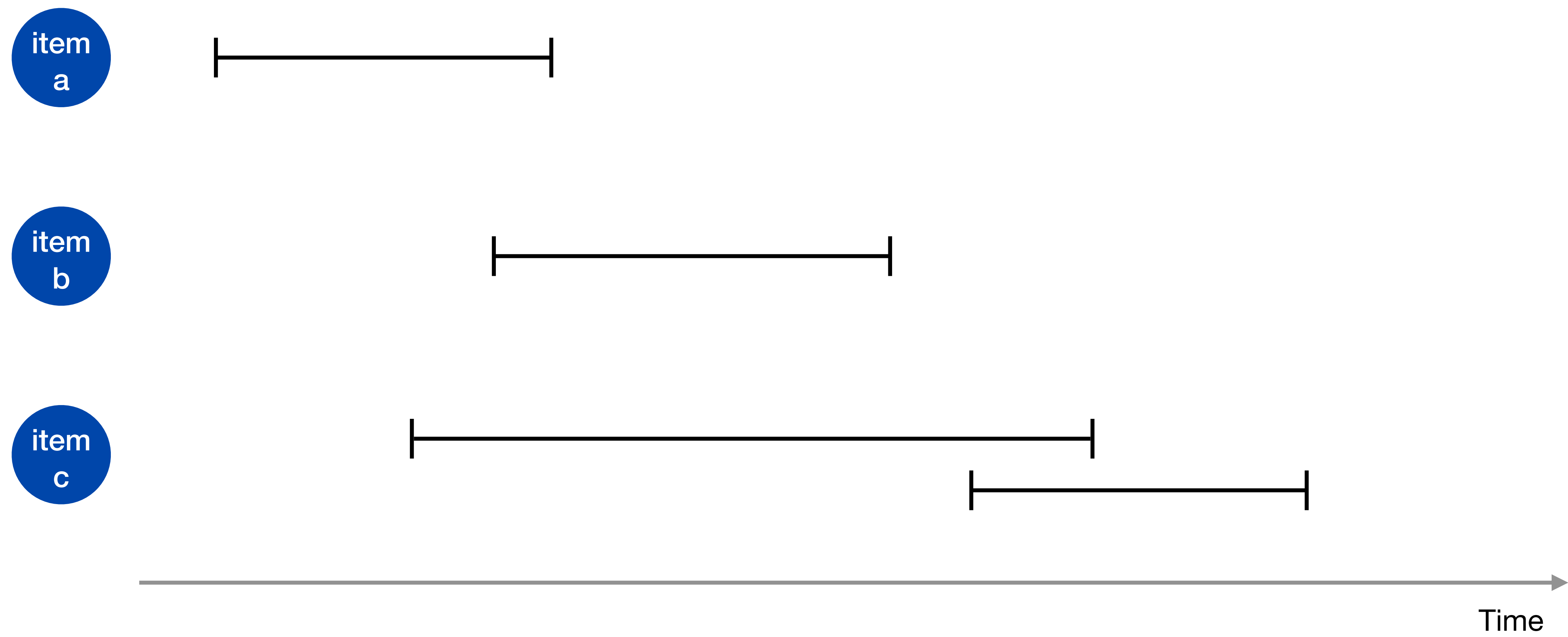
- Each request is on an item
- A **transmission** is a set of items delivered to the buyer
- A request is **served** if the item it occurs on is transmitted
- Transmitting a and b costs ordering fee + cost of a + cost of b =  $10 + 5 + 7 = 22$

# Joint replenishment problem

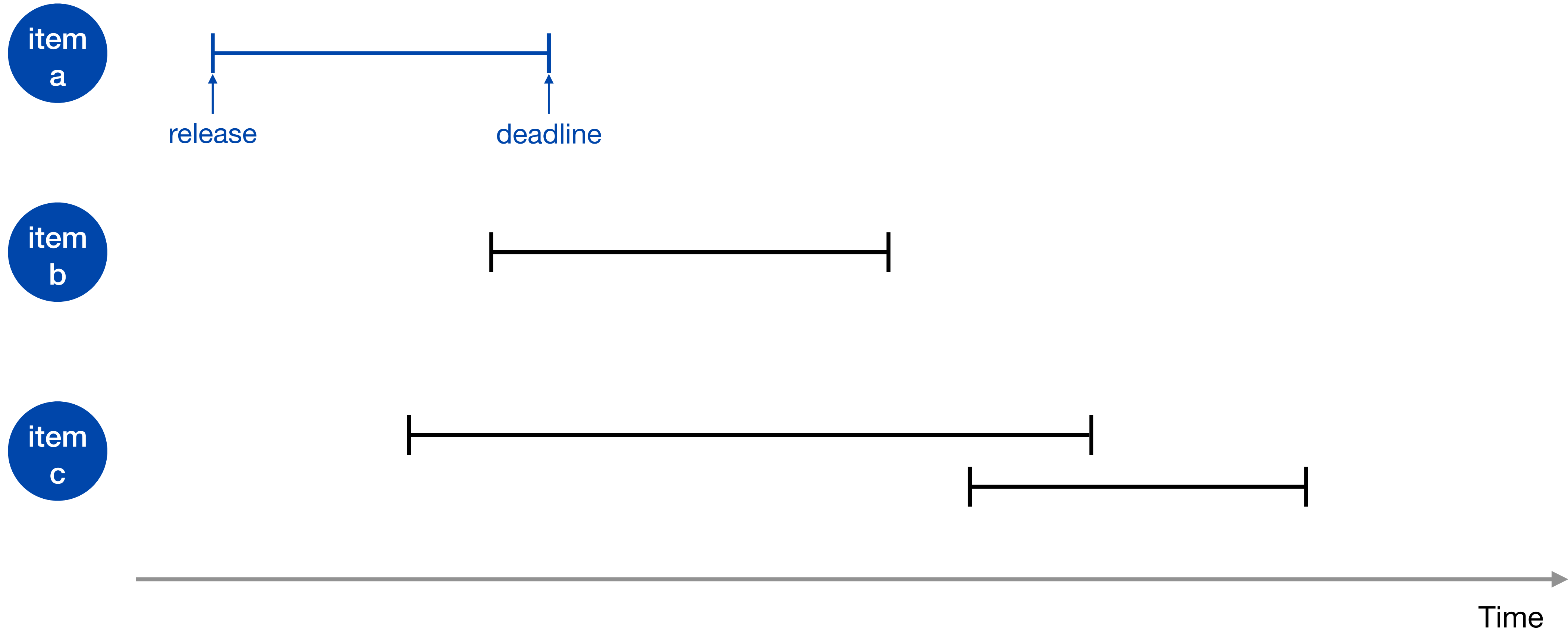


- Each request is on an item
- A **transmission** is a set of items delivered to the buyer
- A request is **served** if the item it occurs on is transmitted
- Transmitting a and b costs  $10 + 5 + 7 = 22$

# Problem #1: JRP with deadlines

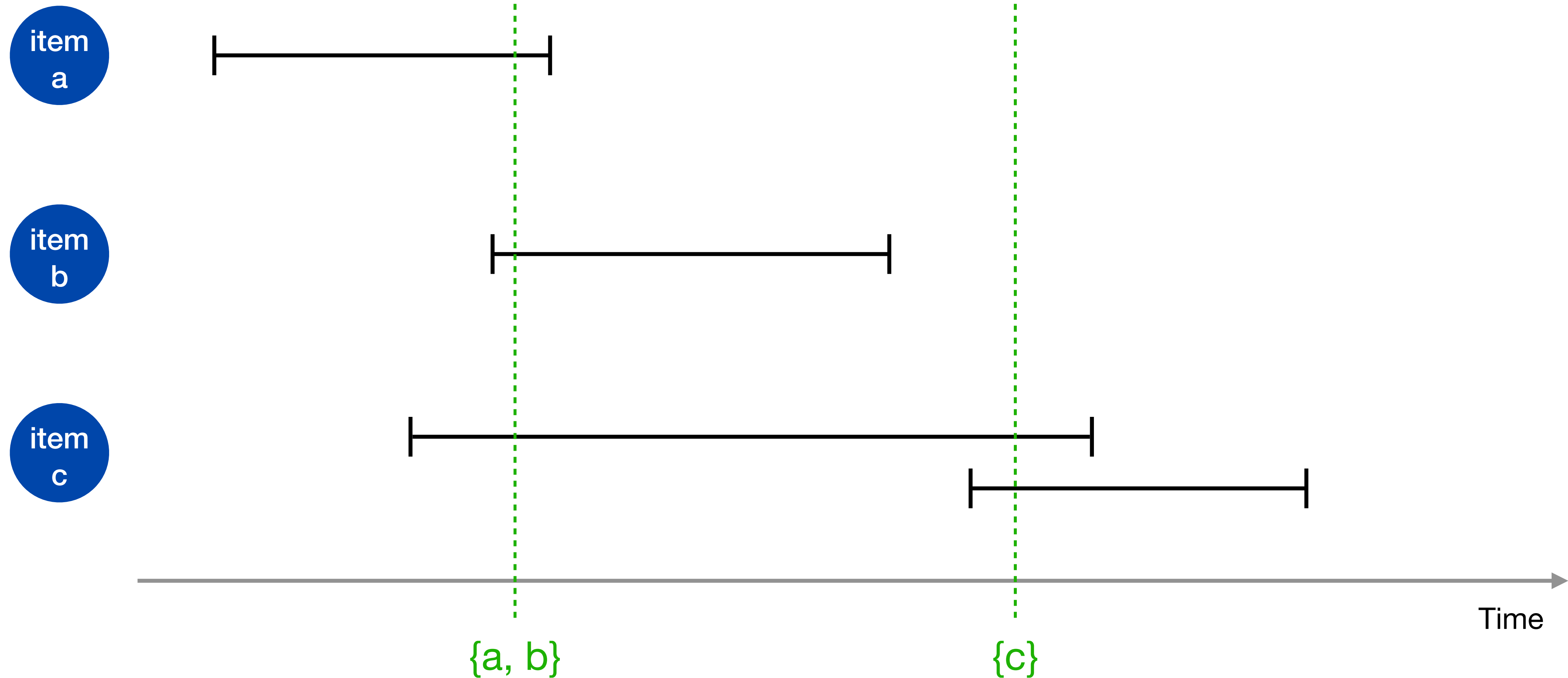


# Problem #1: JRP with deadlines



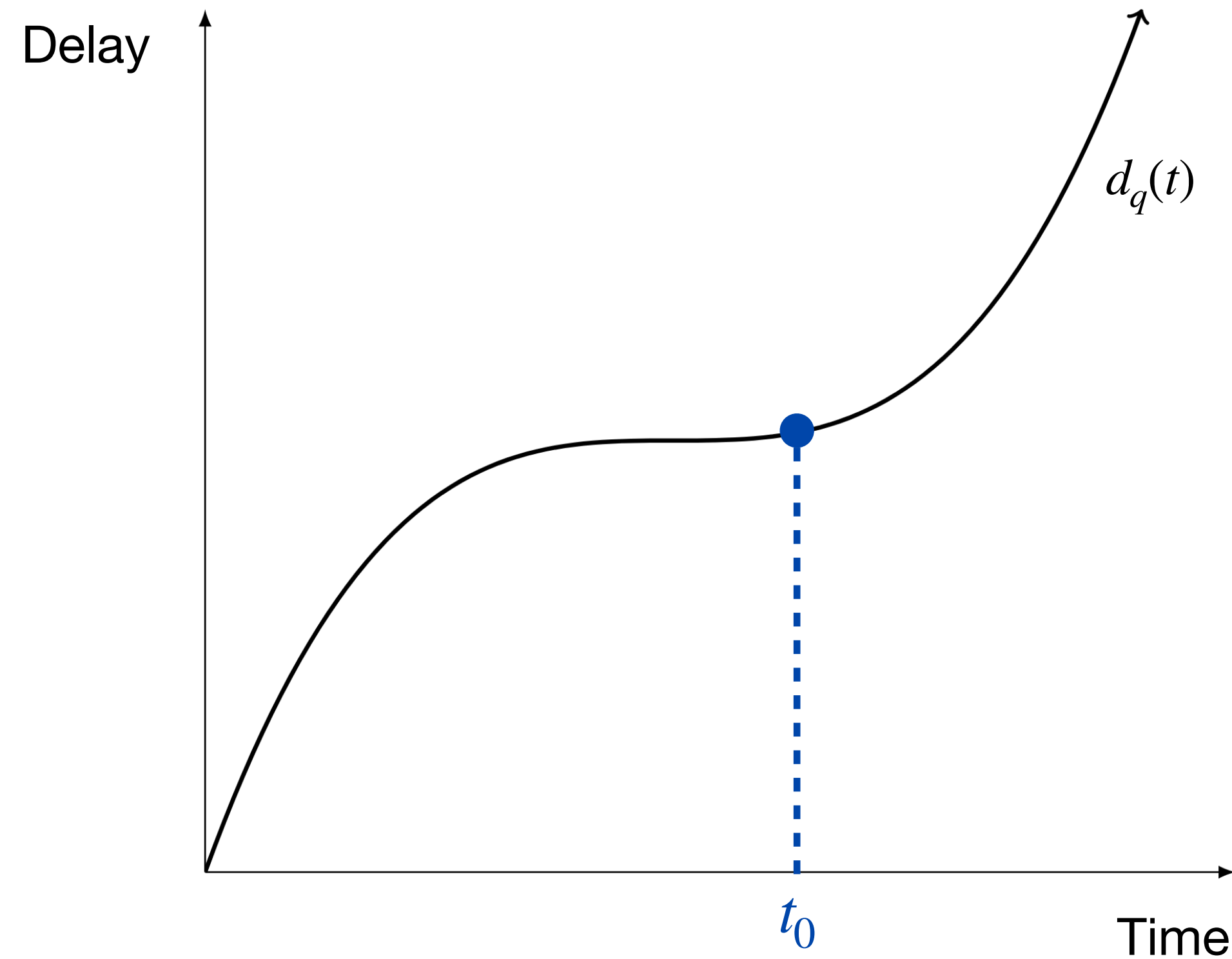
- Each request must be served after it's released and before its deadline

# Problem #1: JRP with deadlines



**Goal: minimise total cost of all transmissions**

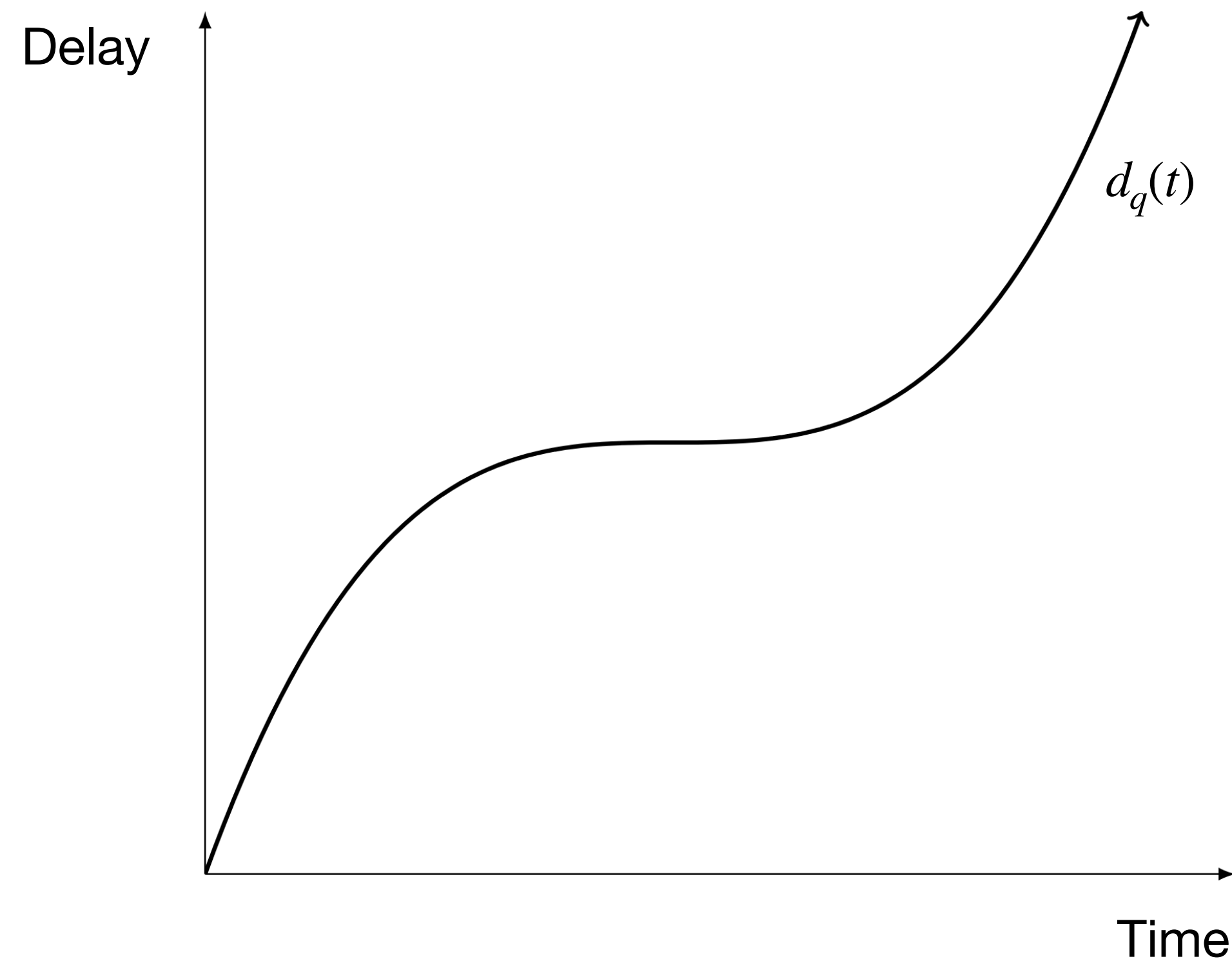
## Problem #2: JRP with delay



- Each request has a **non-decreasing, continuous** delay function  $d_q(t)$
- We pay  $d_q(t_0)$  if  $q$  is served at time  $t_0$



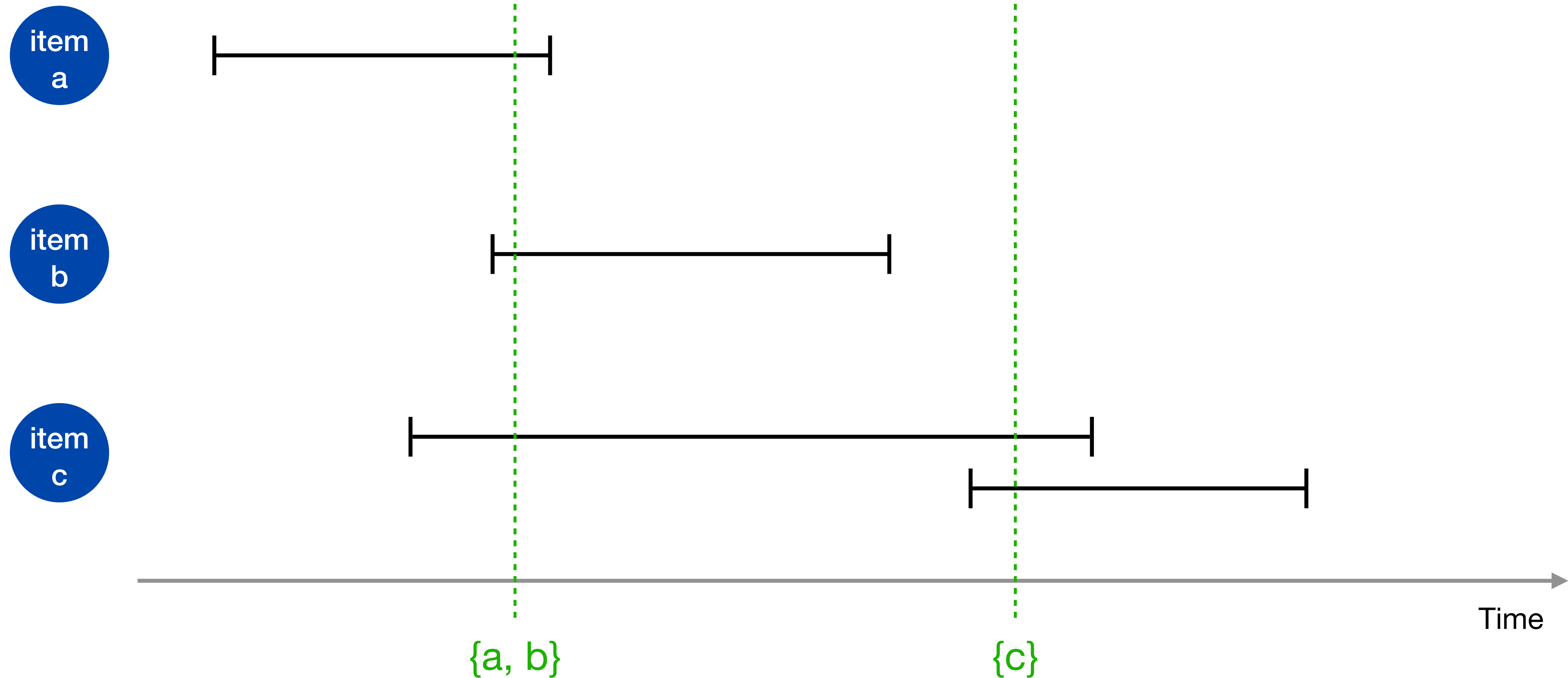
# Problem #2: JRP with delay



- Each request has a non-decreasing, continuous delay function  $d_q(t)$

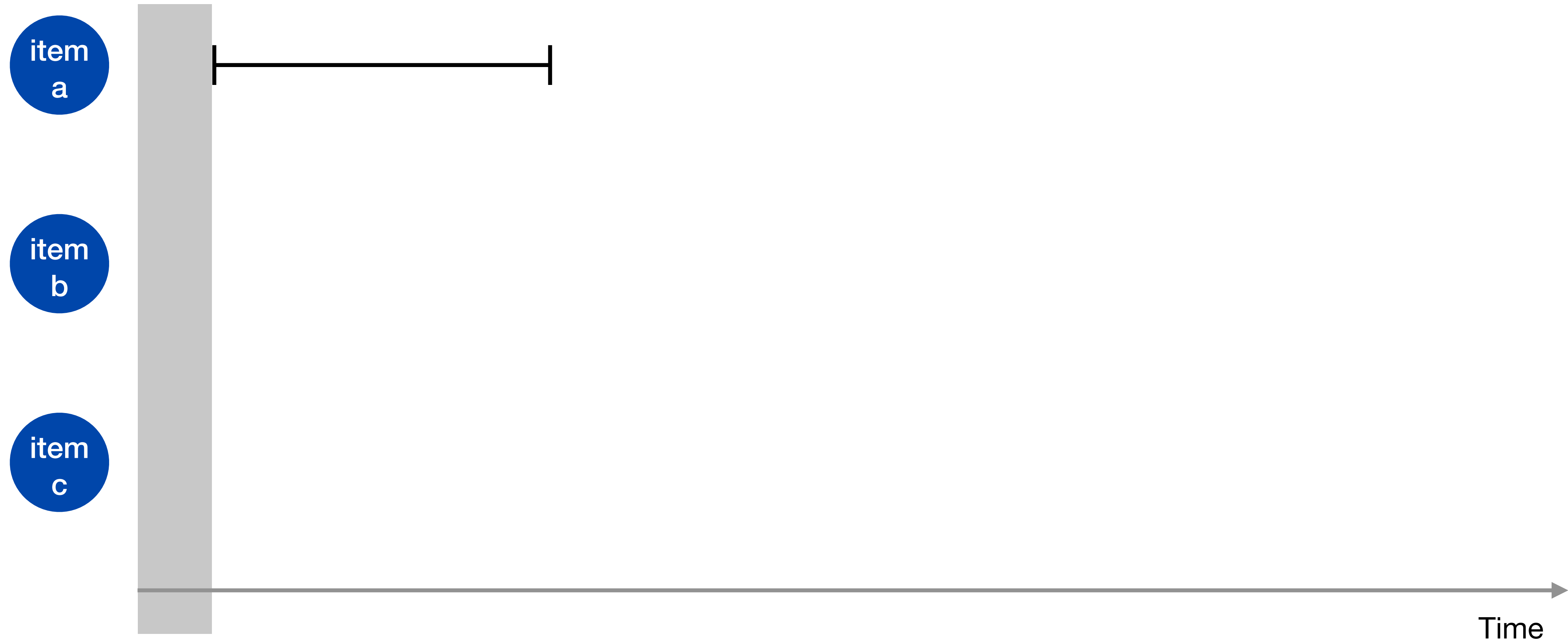
**Goal: minimise total cost of all transmissions + delay costs**

# Offline Setting



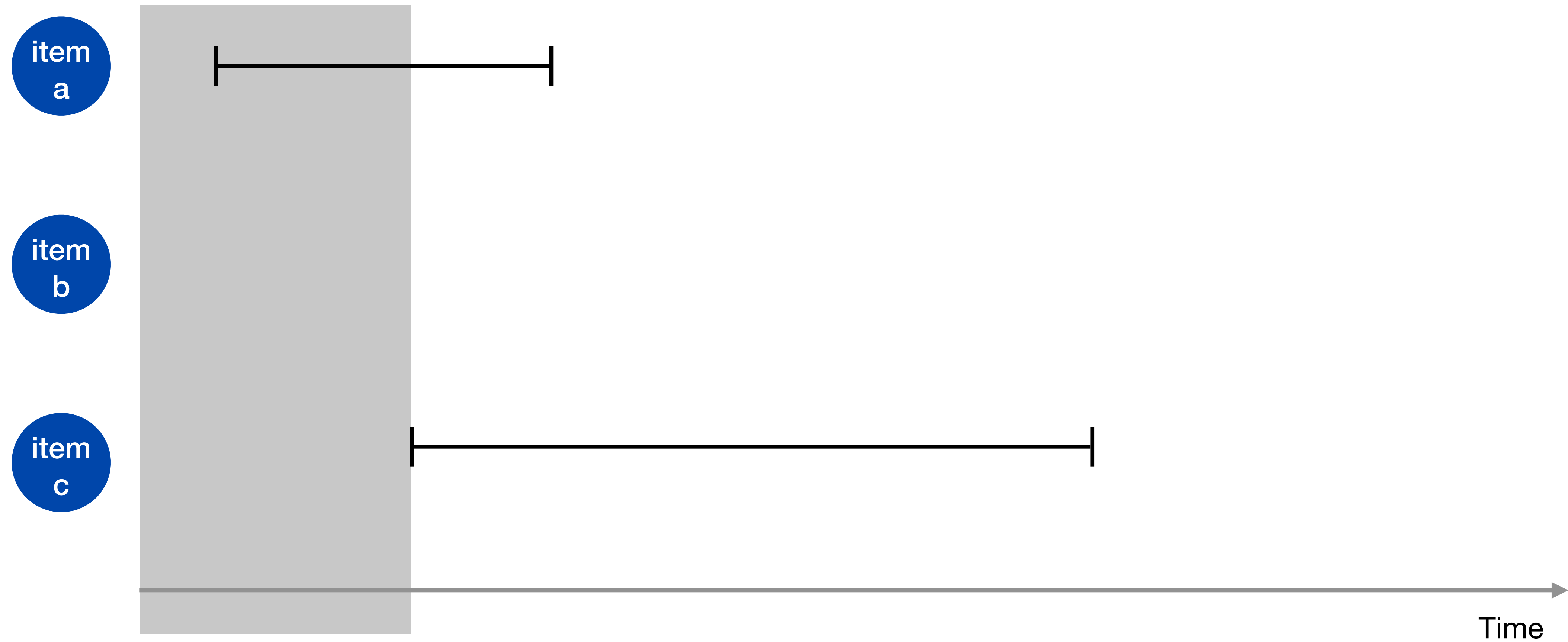
In the **offline setting**, all requests are known at the beginning

# Online Setting



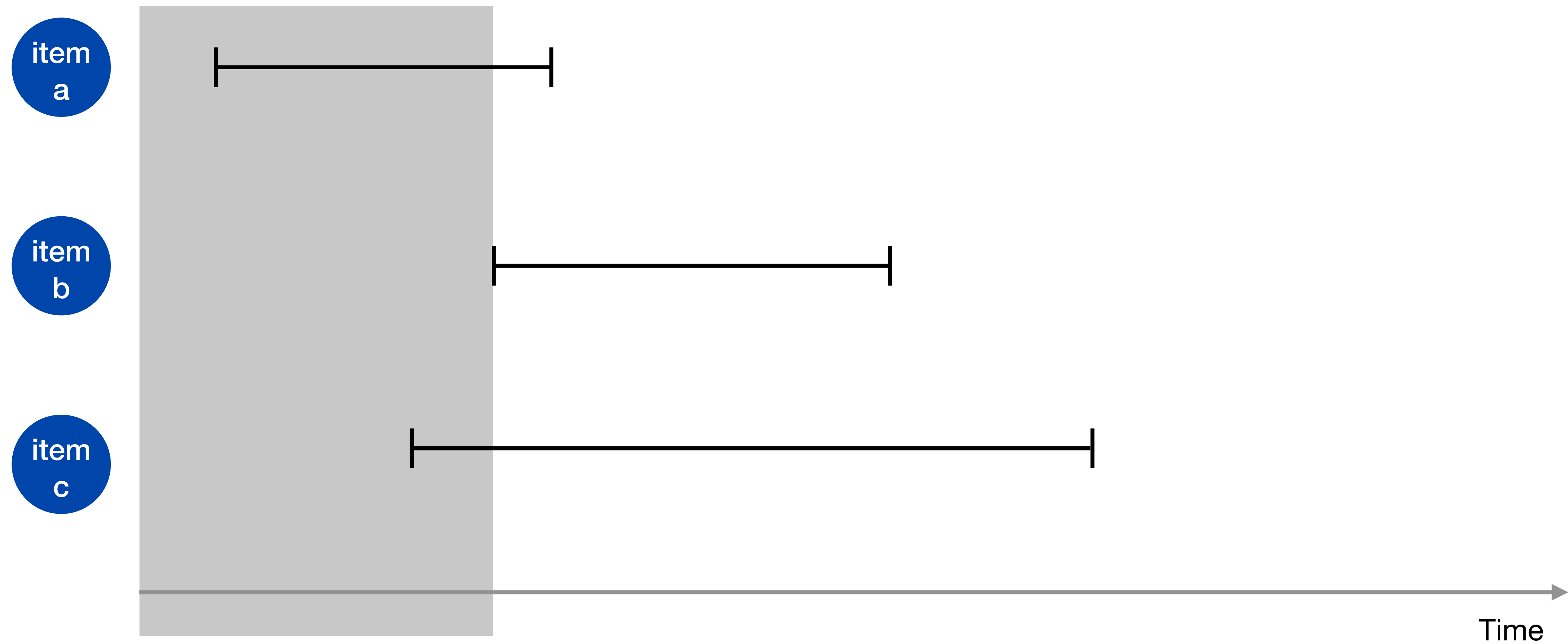
In the **online setting**, requests are released online

# Online Setting

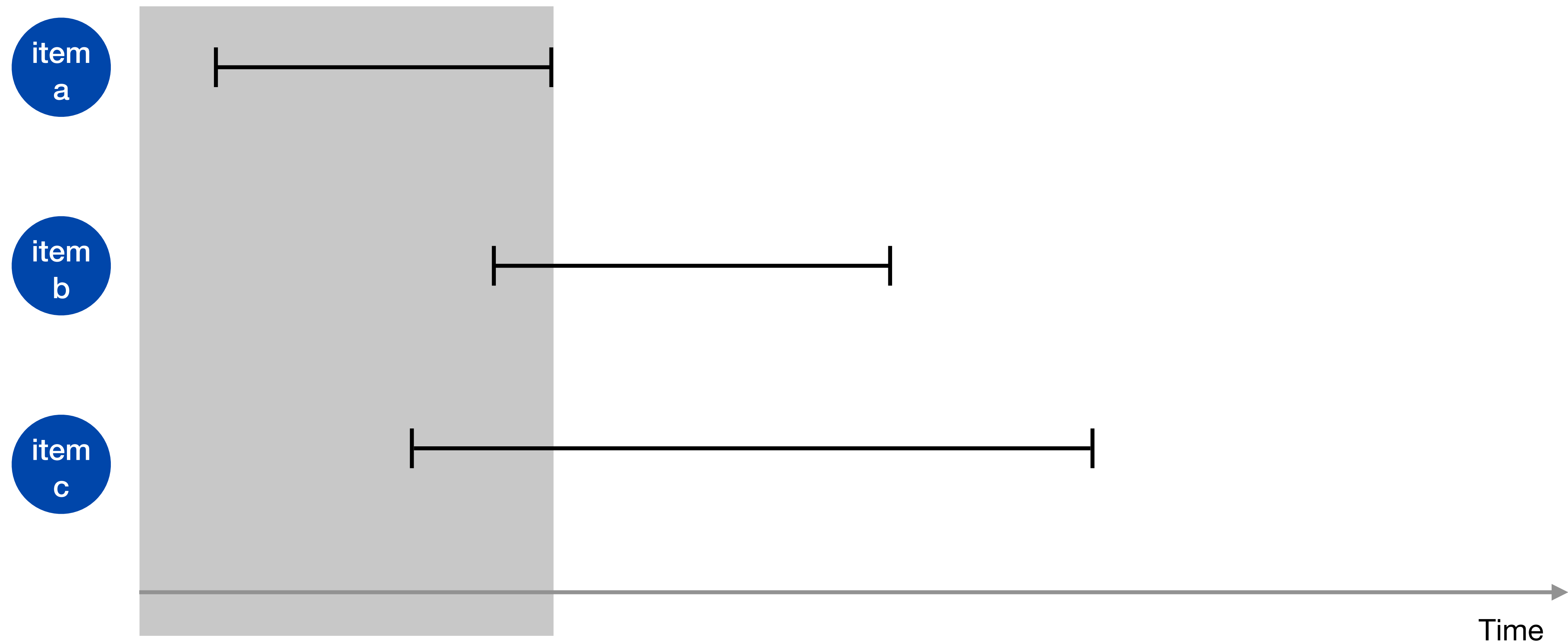




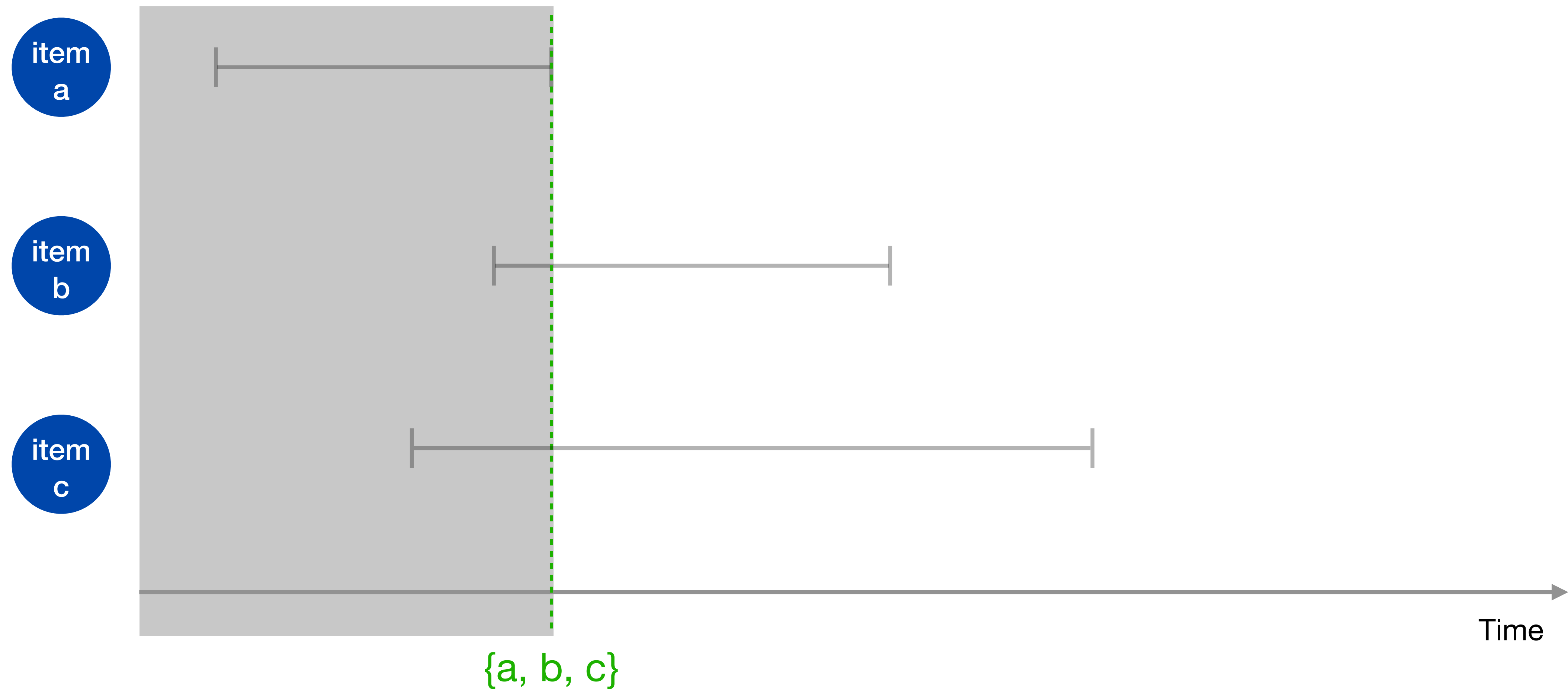
# Online Setting



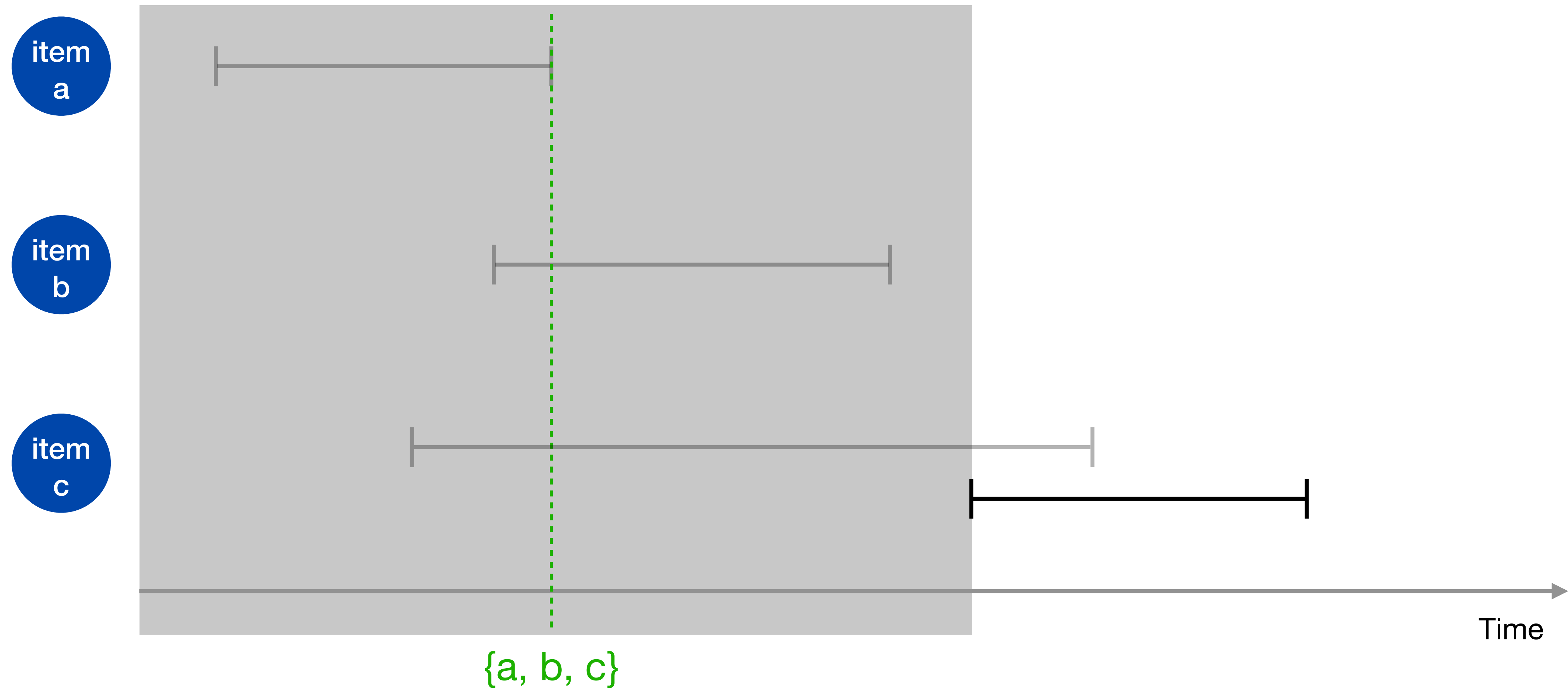
# Online Setting



# Online Setting

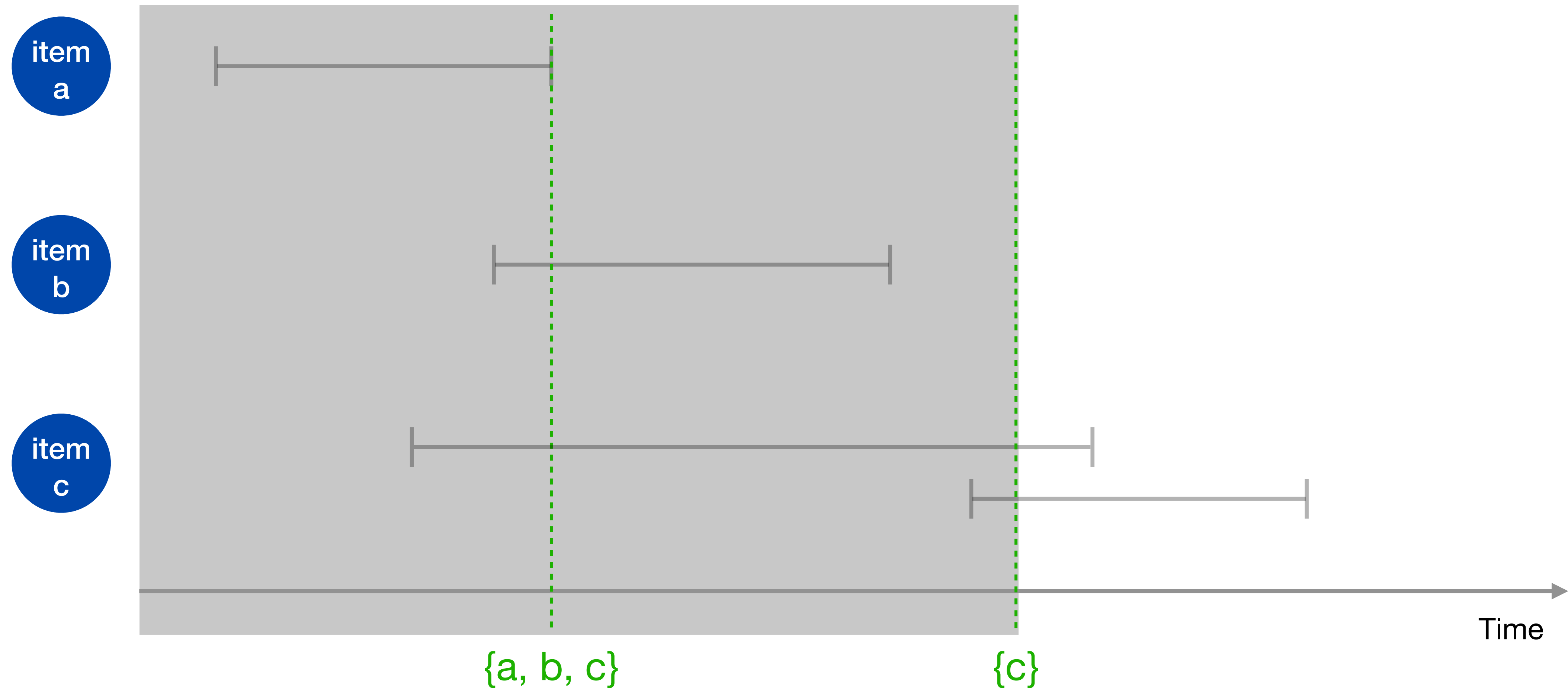


# Online Setting





# Online Setting



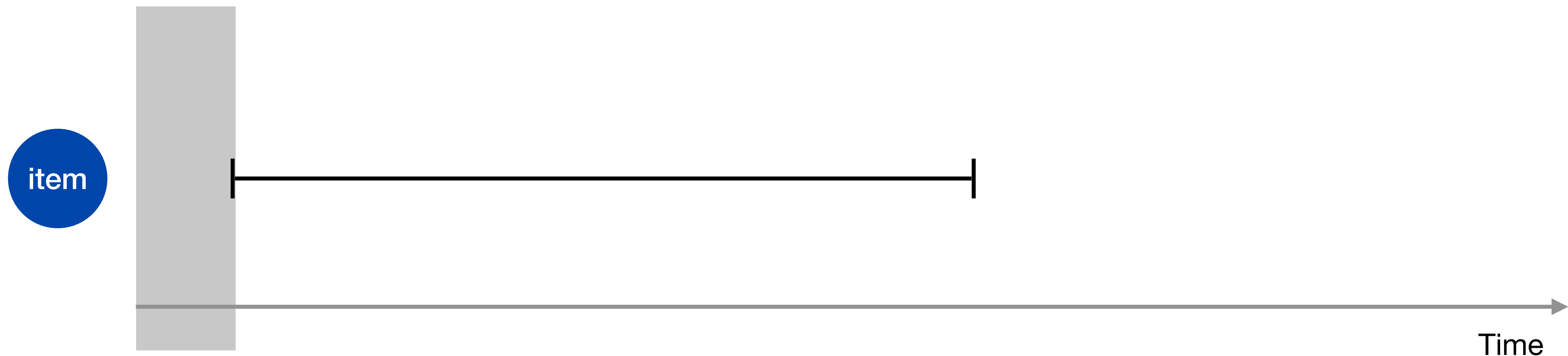
# Measuring Performance

- $\text{OPT} :=$  cost of offline optimal solution
- $\text{ALG} :=$  cost of online algorithm

**Competitive ratio  $:= \frac{\text{ALG}}{\text{OPT}}$  in the worst case**

# Clairvoyant Online Setting

- When a request arrives, its deadline is revealed to the algorithm



# Non-clairvoyant Online Setting

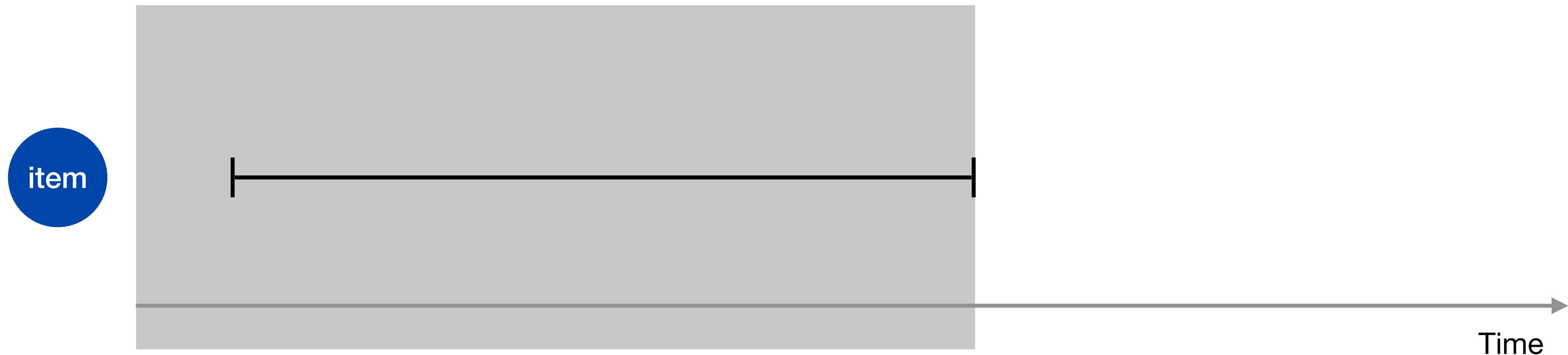
- When a request arrives, its deadline is **not known**





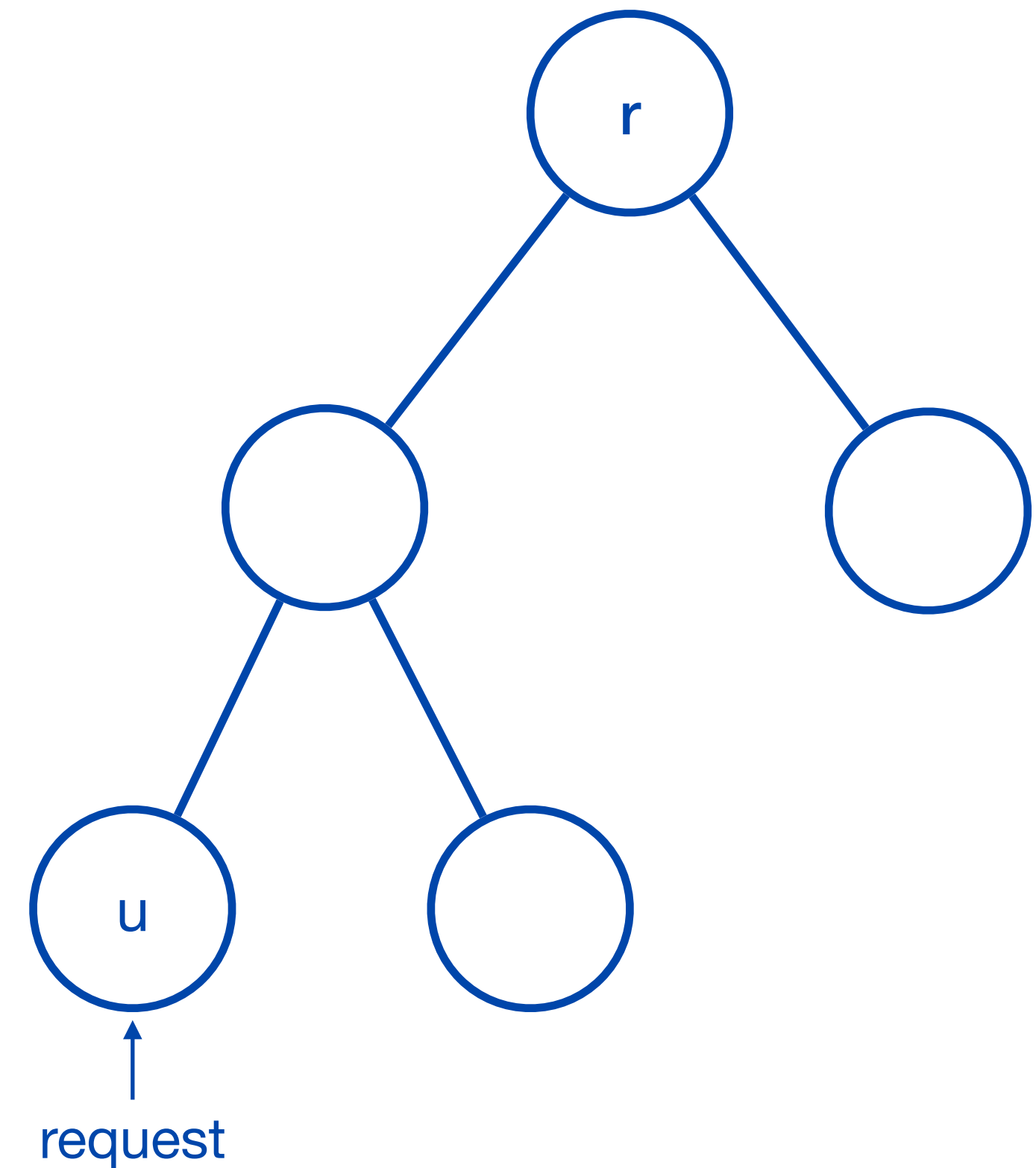
# Non-clairvoyant Online Setting

- When a request arrives, its deadline is **not known**
- When a pending request reaches its deadline, the algorithm is informed and **must serve it immediately**



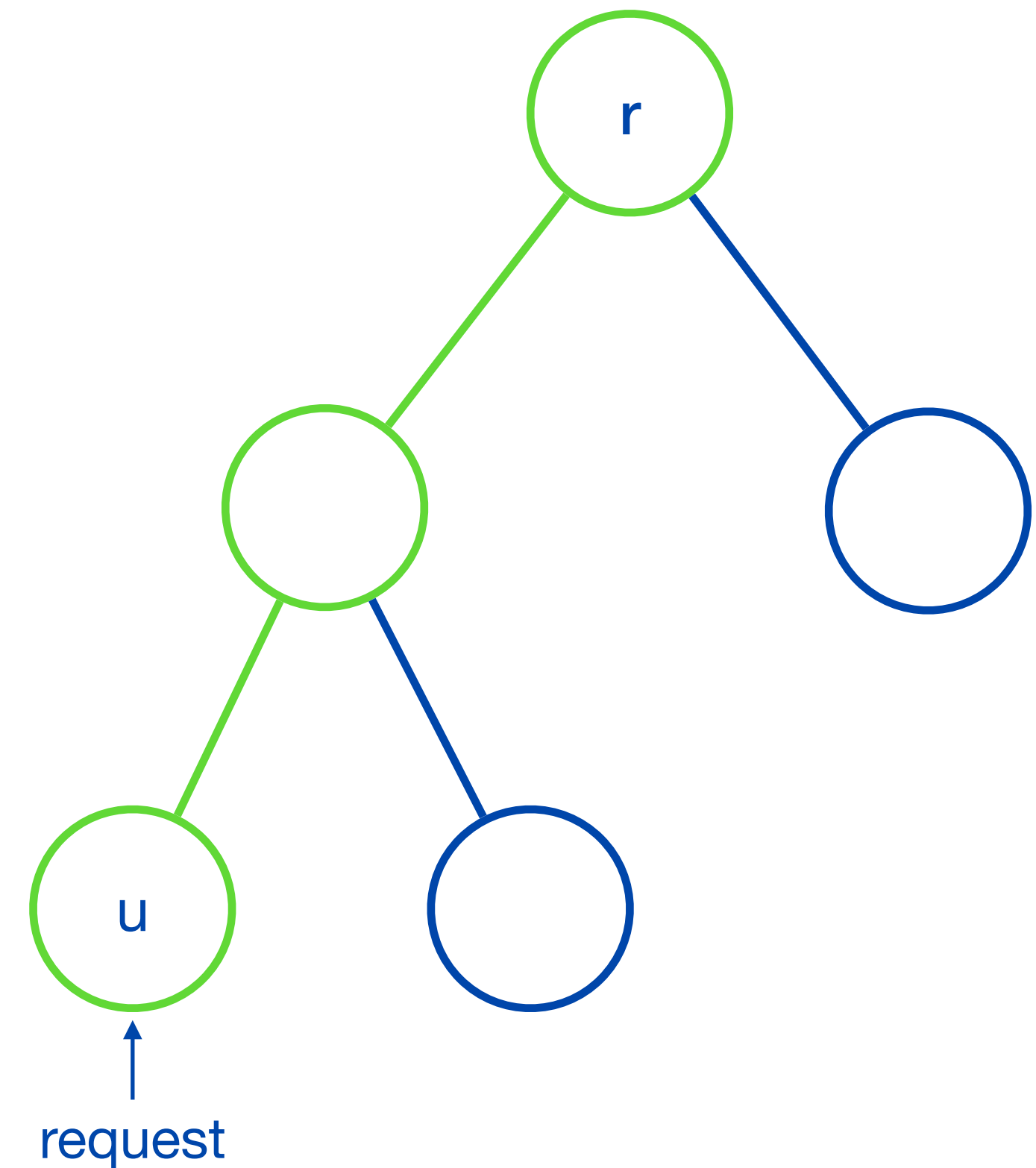
# Multi-level aggregation with deadlines (MLAP)

- Given: node-weighted tree
- Each request is a node



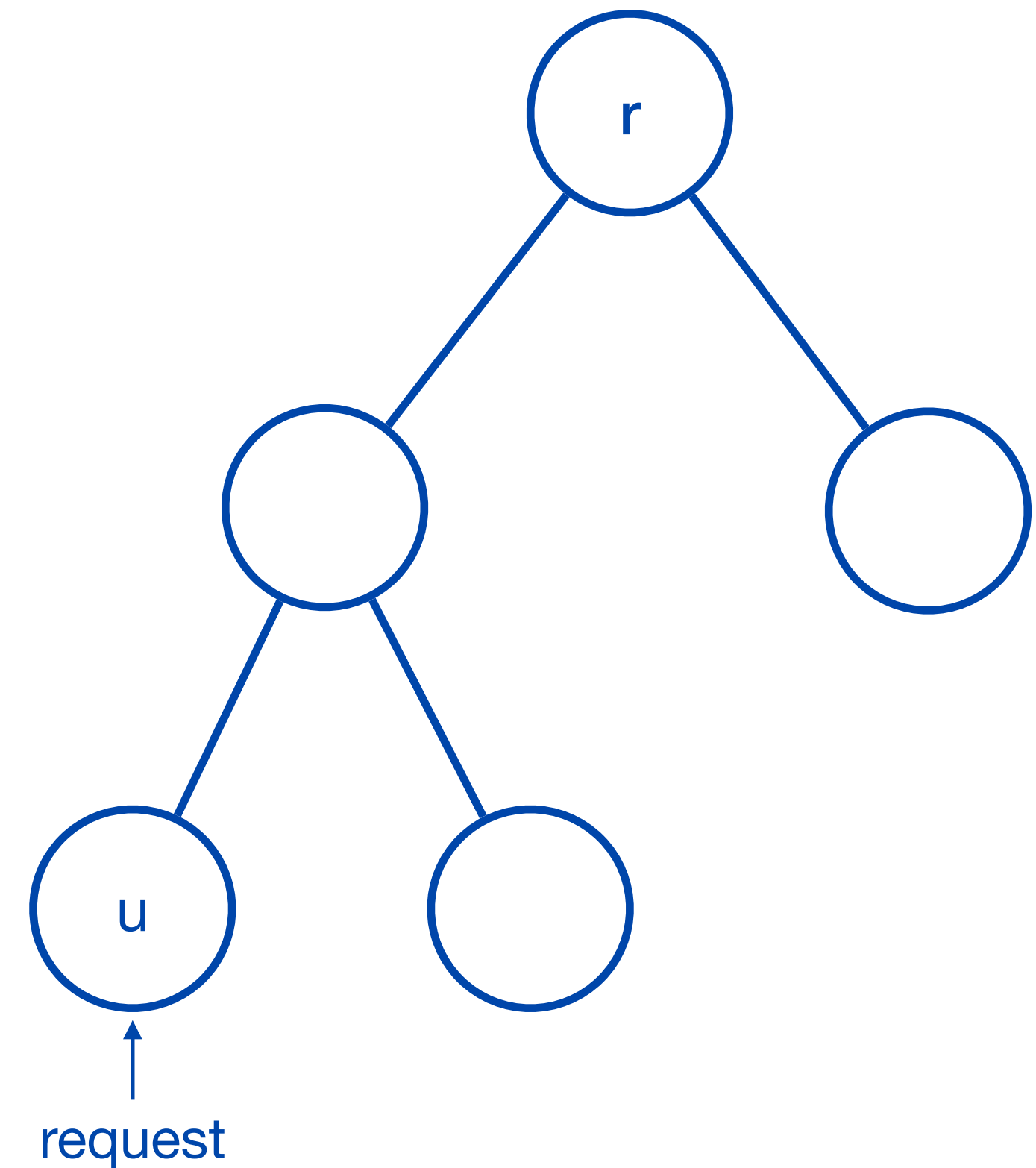
# Multi-level aggregation with deadlines

- Given: node-weighted tree
- Each request is a node
- A request is **served** by transmitting all nodes from the root to the node it occurs on



# Multi-level aggregation with deadlines

- Given: node-weighted tree
- Each request is a node
- A request is **served** by transmitting all nodes from the root to the node it occurs on
- **JRP with deadlines** is a special case where the tree has depth 1





# Previous Results

	Clairvoyant	Non-clairvoyant
JRP with deadlines	$2$ (Bienkowski et al., 2014)	
JRP with delay	$3$ (Buchbinder et al., 2013)	
MLAP with deadlines	$O(\text{depth})$ (Buchbinder et al., 2017)	

# Previous Results

	Clairvoyant	Non-clairvoyant
JRP with deadlines	$2$ (Bienkowski et al., 2014)	
JRP with delay	$3$ (Buchbinder et al., 2013)	
MLAP with deadlines	$O(\text{depth})$ (Buchbinder et al., 2017)	
Set cover with delay	$O(\log N)$ (Carrasco et al., 2018)	randomised $O(\log n \log k)$ (Azar et al., 2020)

# Our Results

	Clairvoyant	Non-clairvoyant
JRP with deadlines	2 (Bienkowski et al., 2014)	lower bound: $\Omega(\sqrt{n})$
JRP with delay	3 (Buchbinder et al., 2013)	
MLAP with deadlines	$O(\text{depth})$ (Buchbinder et al., 2017)	
Set cover with delay	$O(\log N)$ (Carrasco et al., 2018)	randomised $O(\log n \log k)$ (Azar et al., 2020)

# Our Results

	Clairvoyant	Non-clairvoyant
JRP with deadlines	2 (Bienkowski et al., 2014)	lower bound: $\Omega(\sqrt{n})$ upper bound: $O(\sqrt{n})$
JRP with delay	3 (Buchbinder et al., 2013)	$O(\sqrt{n})$
MLAP with deadlines	$O(\text{depth})$ (Buchbinder et al., 2017)	$O(\sqrt{n} + \text{depth})$
Set cover with delay	$O(\log N)$ (Carrasco et al., 2018)	randomised $O(\log n \log k)$ (Azar et al., 2020)

# Our Results

	Clairvoyant	Non-clairvoyant
JRP with deadlines	2 (Bienkowski et al., 2014)	lower bound: $\Omega(\sqrt{n})$ upper bound: $O(\sqrt{n})$
JRP with delay	3 (Buchbinder et al., 2013)	$O(\sqrt{n})$
MLAP with deadlines	$O(\text{depth})$ (Buchbinder et al., 2017)	$O(\sqrt{n} + \text{depth})$
Set cover with delay	$O(\log N)$ (Carrasco et al., 2018)	deterministic $O(\log n \log k)$ not discussed

# Lower bound

## JRP with deadlines

- *Theorem:* Any non-clairvoyant algorithm for JRP with deadlines is  $\Omega(\sqrt{n})$  - competitive.



# Lower bound

## JRP with deadlines

- $n$  items
- each item costs 1
- ordering fee =  $\sqrt{n}$

# Lower bound

item  
a

item  
b

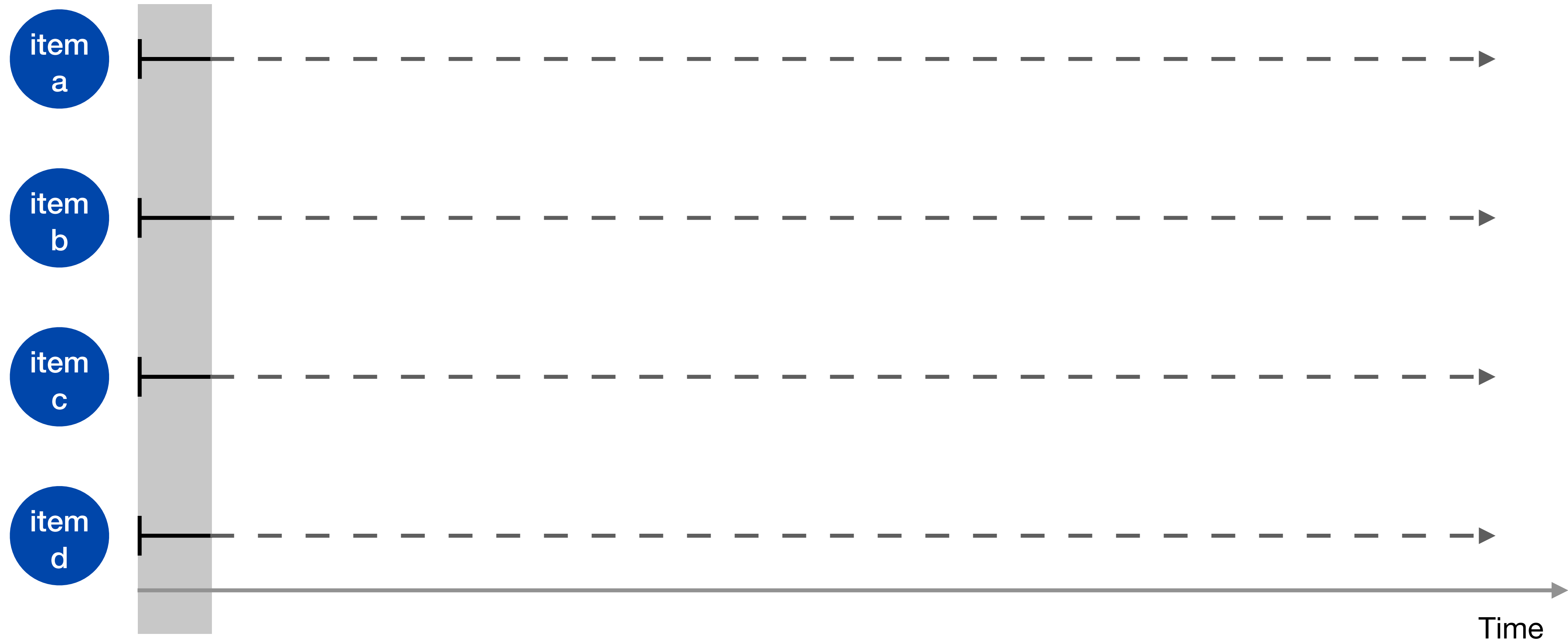
item  
c

item  
d

Time

- Ordering fee = 2

# Lower bound



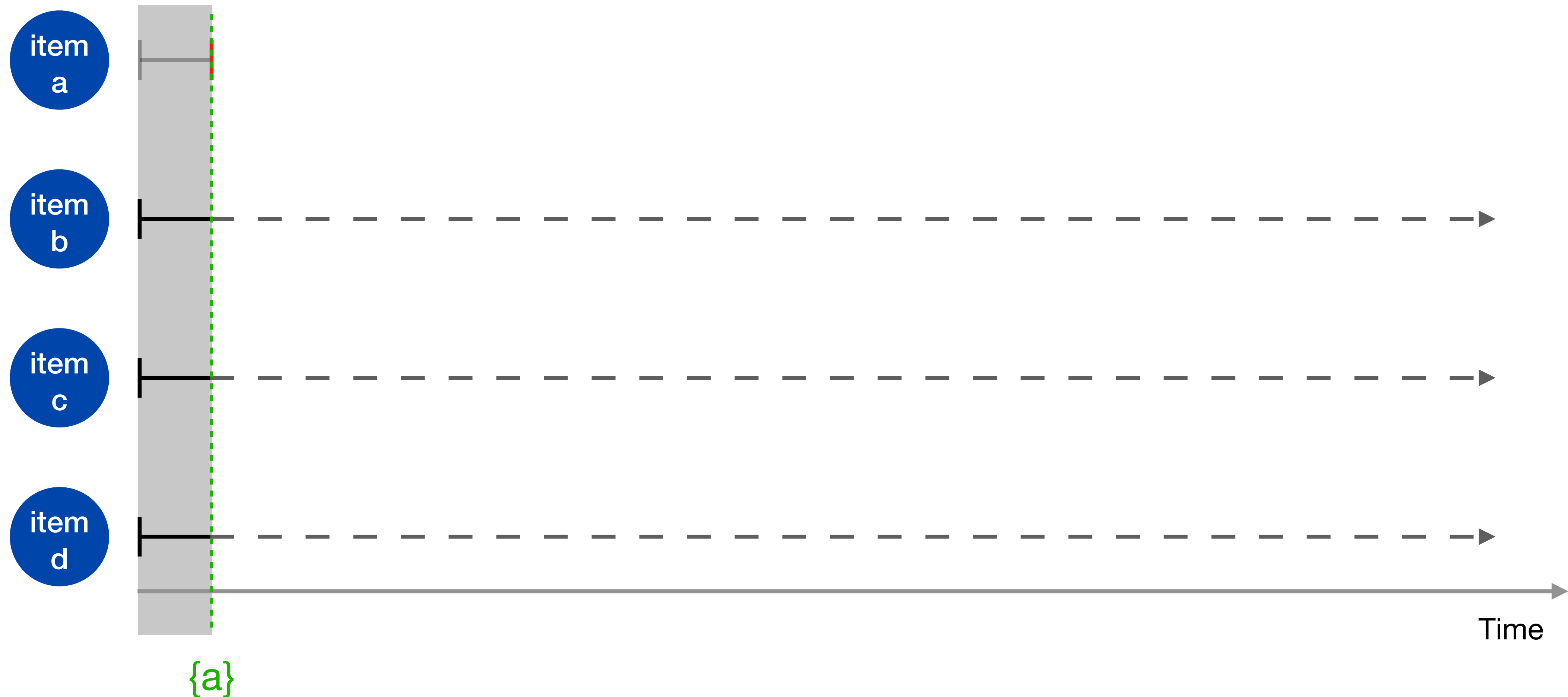
- Release requests on all items

# Lower bound



- Pick a pending request and set its deadline

# Lower bound



- Pick a pending request and set its deadline

# Lower bound



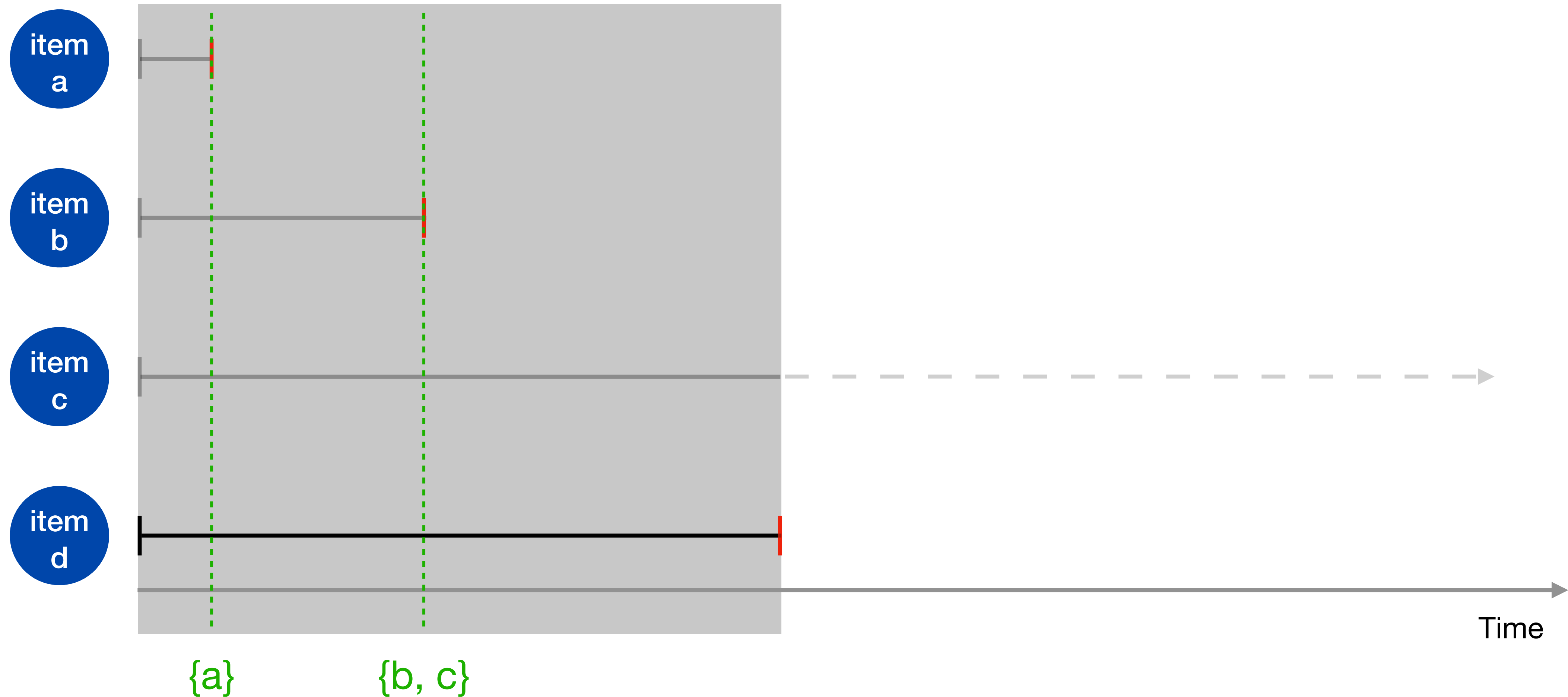
- As long as there remains **pending** requests, pick one and set its deadline

# Lower bound



- As long as there remains **pending** requests, pick one and set its deadline

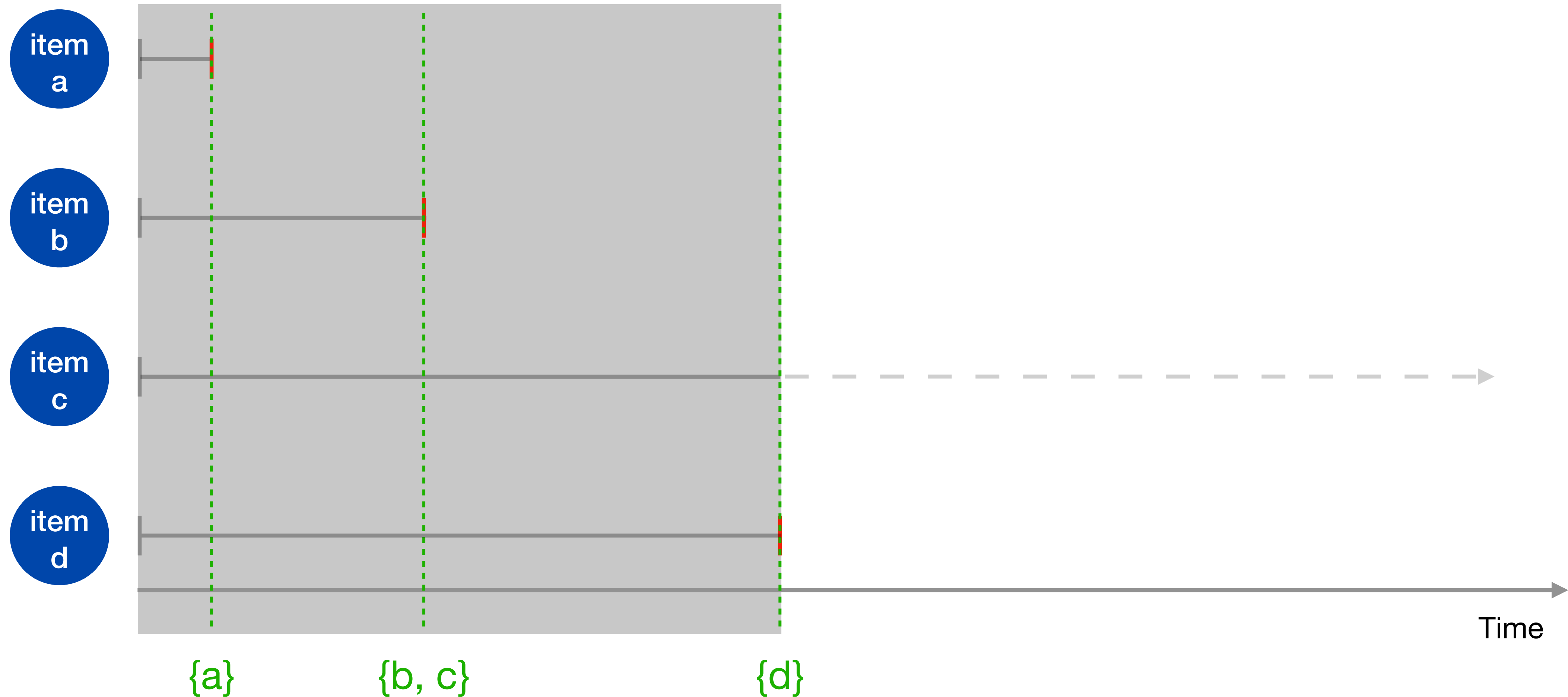
# Lower bound



- As long as there remains **pending** requests, pick one and set its deadline

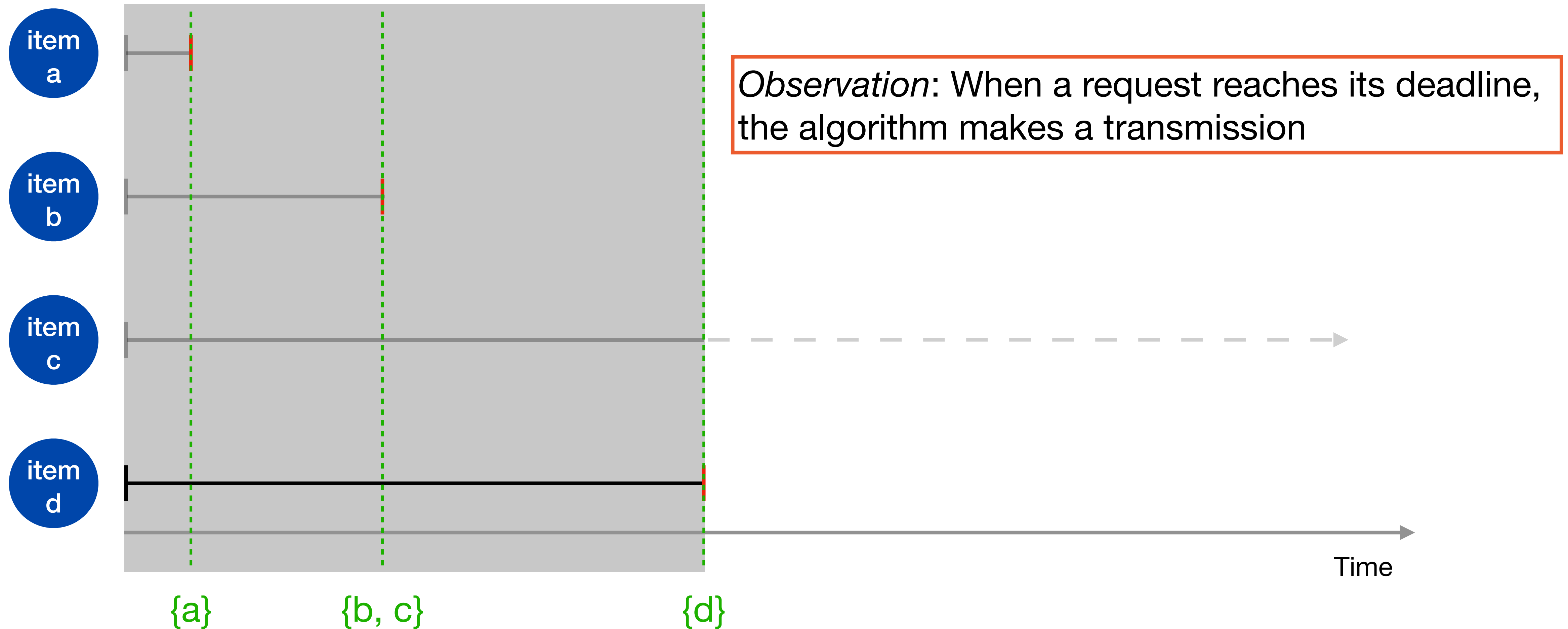


# Lower bound



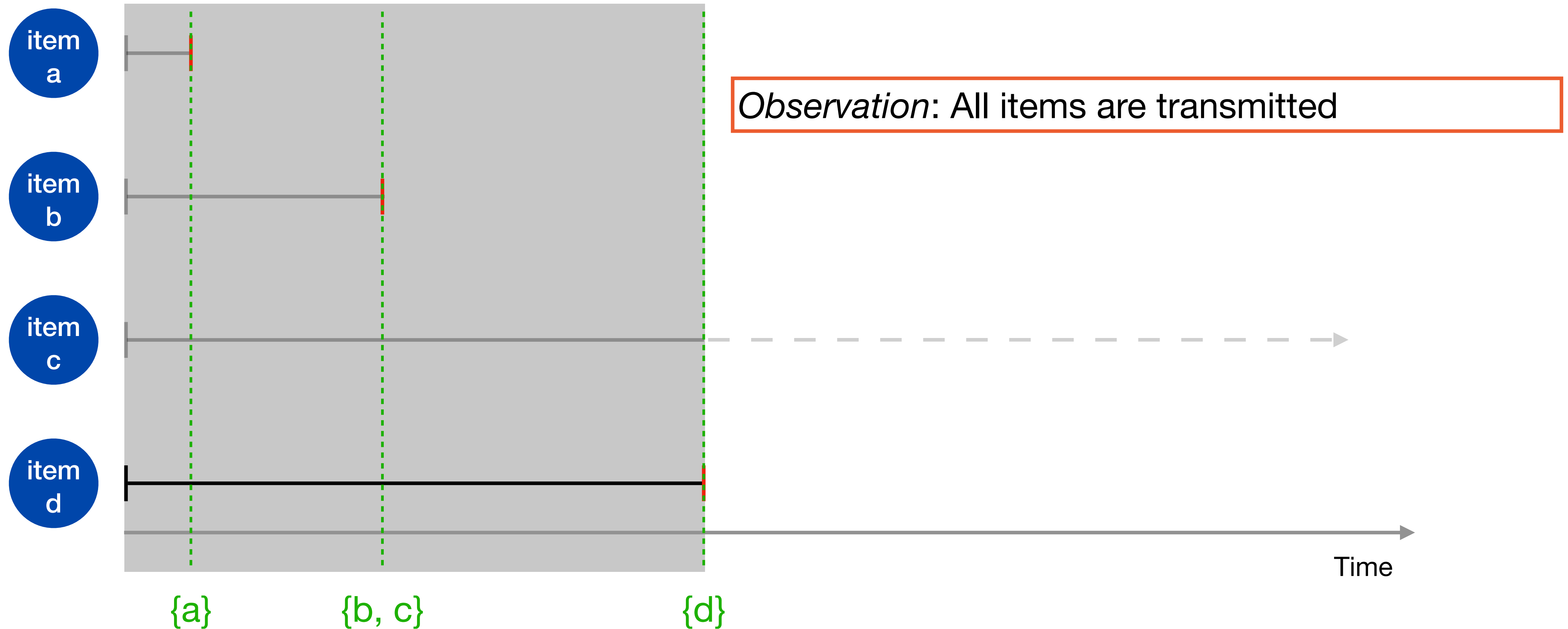
- As long as there remains **pending** requests, pick one and set its deadline

# Lower bound



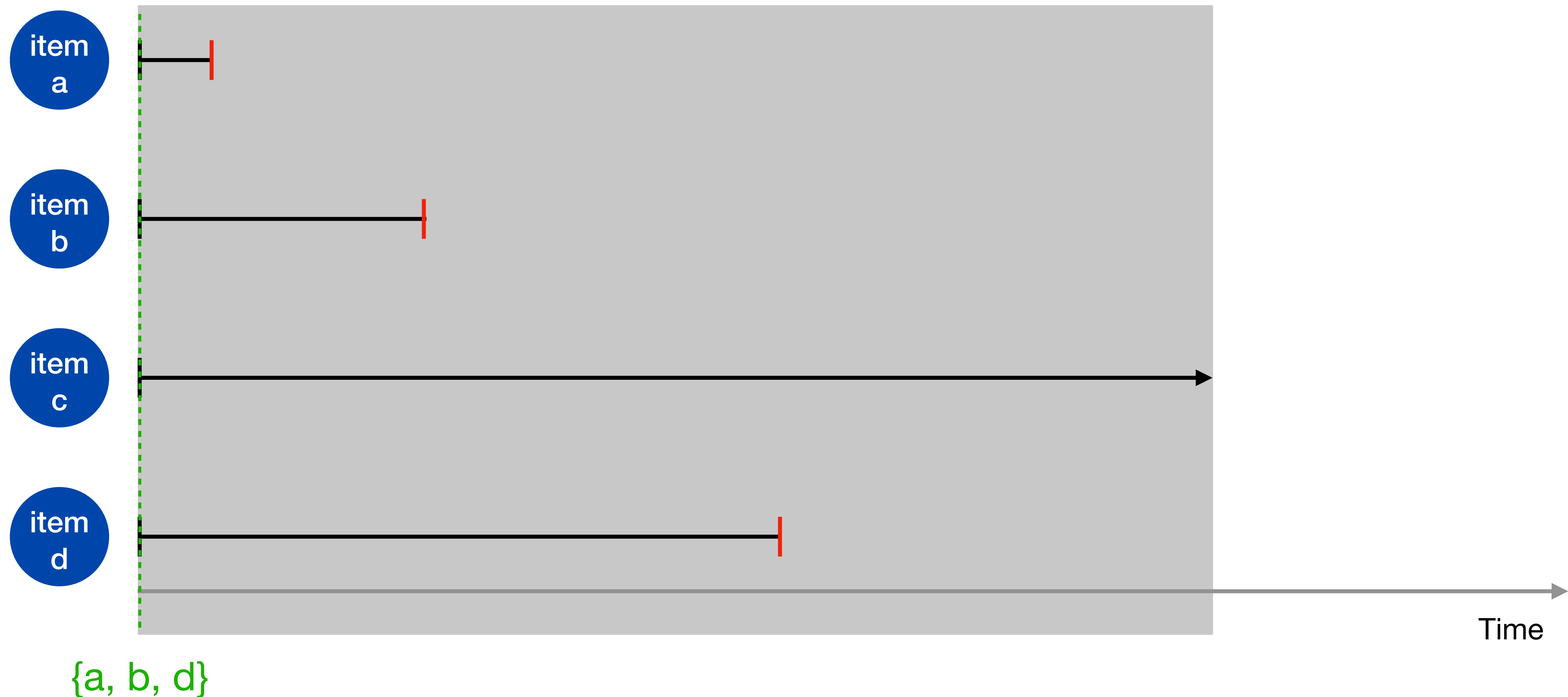
- $ALG = (\text{\#requests with finite deadlines}) \times \sqrt{n}$

# Lower bound



- $ALG = (\text{\#requests with finite deadlines}) \times \sqrt{n} + n$

# Lower bound - Offline optimal



- $OPT = \sqrt{n} + (\text{\#requests with finite deadlines})$

# Lower bound

## JRP with deadlines

- $ALG = (\text{\#requests with finite deadlines}) \times \sqrt{n} + n$
- $OPT = \sqrt{n} + (\text{\#requests with finite deadlines})$

# Lower bound

## JRP with deadlines

- $ALG = (\text{\#requests with finite deadlines}) \times \sqrt{n} + n$
- $OPT = \sqrt{n} + (\text{\#requests with finite deadlines})$

$$\text{Competitive ratio} = \frac{(\text{\#requests with finite deadlines}) \times \sqrt{n} + n}{(\text{\#requests with finite deadlines}) + \sqrt{n}}$$

# Lower bound

## JRP with deadlines

- $ALG = (\text{\#requests with finite deadlines}) \times \sqrt{n} + n$
- $OPT = \sqrt{n} + (\text{\#requests with finite deadlines})$

$$\begin{aligned}\text{Competitive ratio} &= \frac{(\text{\#requests with finite deadlines}) \times \sqrt{n} + n}{(\text{\#requests with finite deadlines}) + \sqrt{n}} \\ &= \sqrt{n} \frac{(\text{\#requests with finite deadlines}) + \sqrt{n}}{(\text{\#requests with finite deadlines}) + \sqrt{n}} = \sqrt{n}\end{aligned}$$

# Insights

## JRP with deadlines

- Algorithm does not know requests' deadlines
  - serving requests in order of increasing deadlines is **not** possible



# Insights

## JRP with deadlines

- Algorithm does not know requests' deadlines
  - serving requests in order of increasing deadlines is **not** possible
- Prioritise requests based on the cost of the items they are on

# Algorithm

## JRP with deadlines

- *Theorem:* There exists a  $O(\sqrt{n})$  - competitive non-clairvoyant algorithm for JRP with deadlines

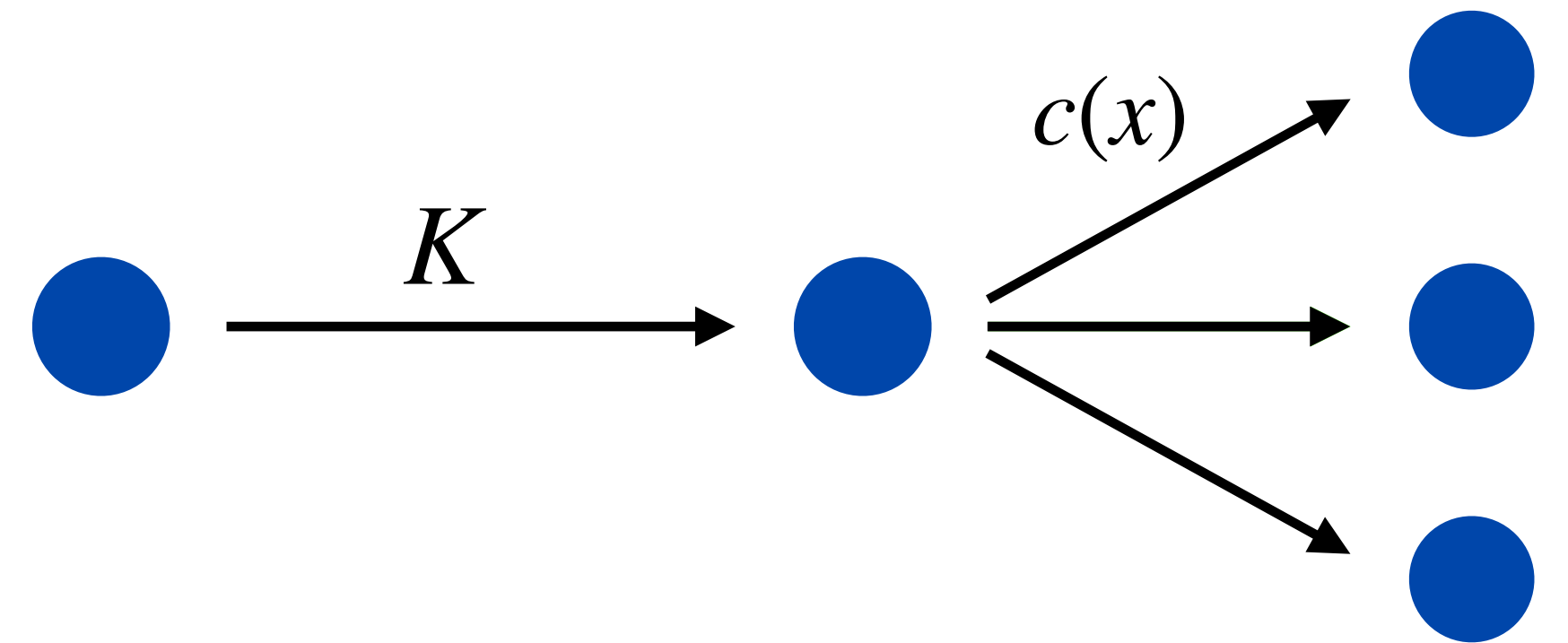
# Algorithm

## JRP with deadlines

- *Definition:*

$x$  is **cheap** if  $c(x) \leq \frac{K}{\sqrt{n}}$

$x$  is **expensive** if  $c(x) > \frac{K}{\sqrt{n}}$



$K :=$  ordering fee,  $c(x) :=$  cost of item  $x$

# Algorithm

## JRP with deadlines

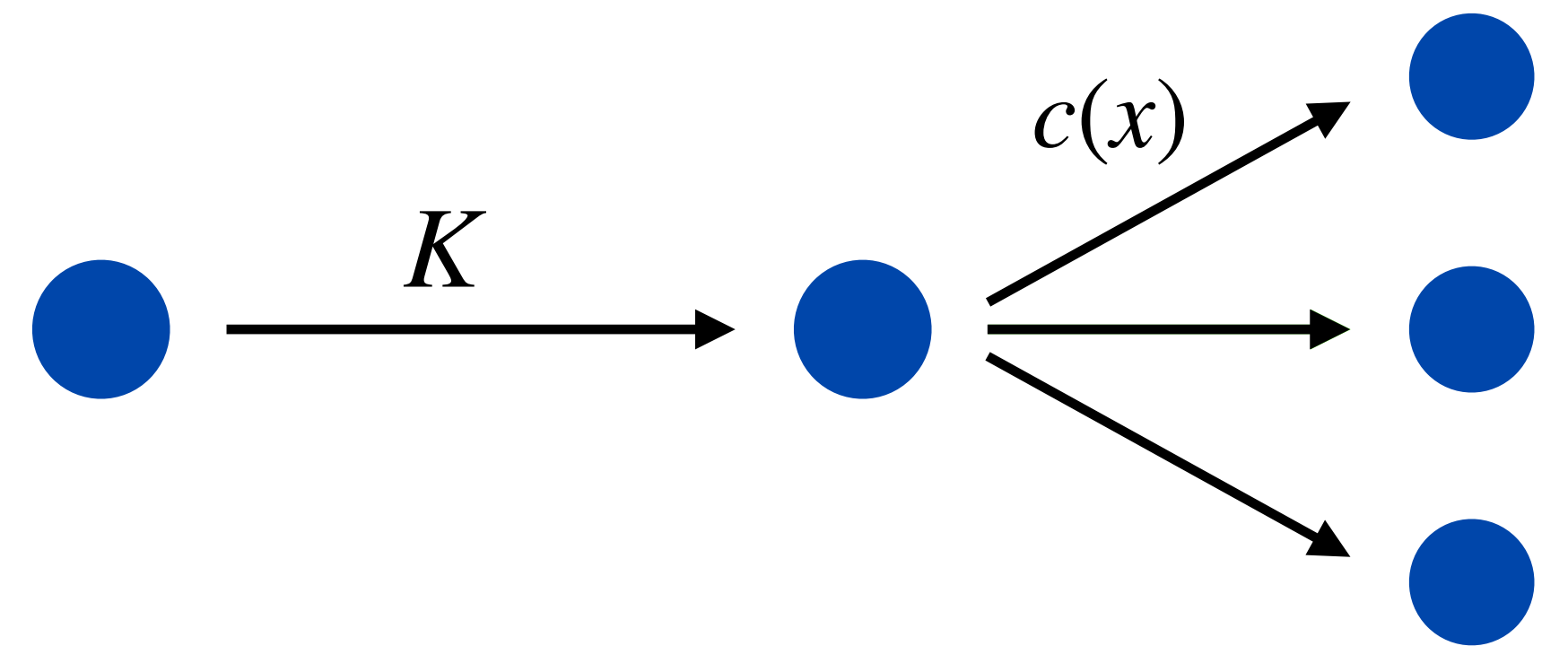
- *Definition:*

$$x \text{ is cheap if } c(x) \leq \frac{K}{\sqrt{n}}$$

a request is cheap if it's on a cheap item

$$x \text{ is expensive if } c(x) > \frac{K}{\sqrt{n}}$$

a request is expensive if it's on an expensive item



$K$  := ordering fee,  $c(x)$  := cost of item  $x$

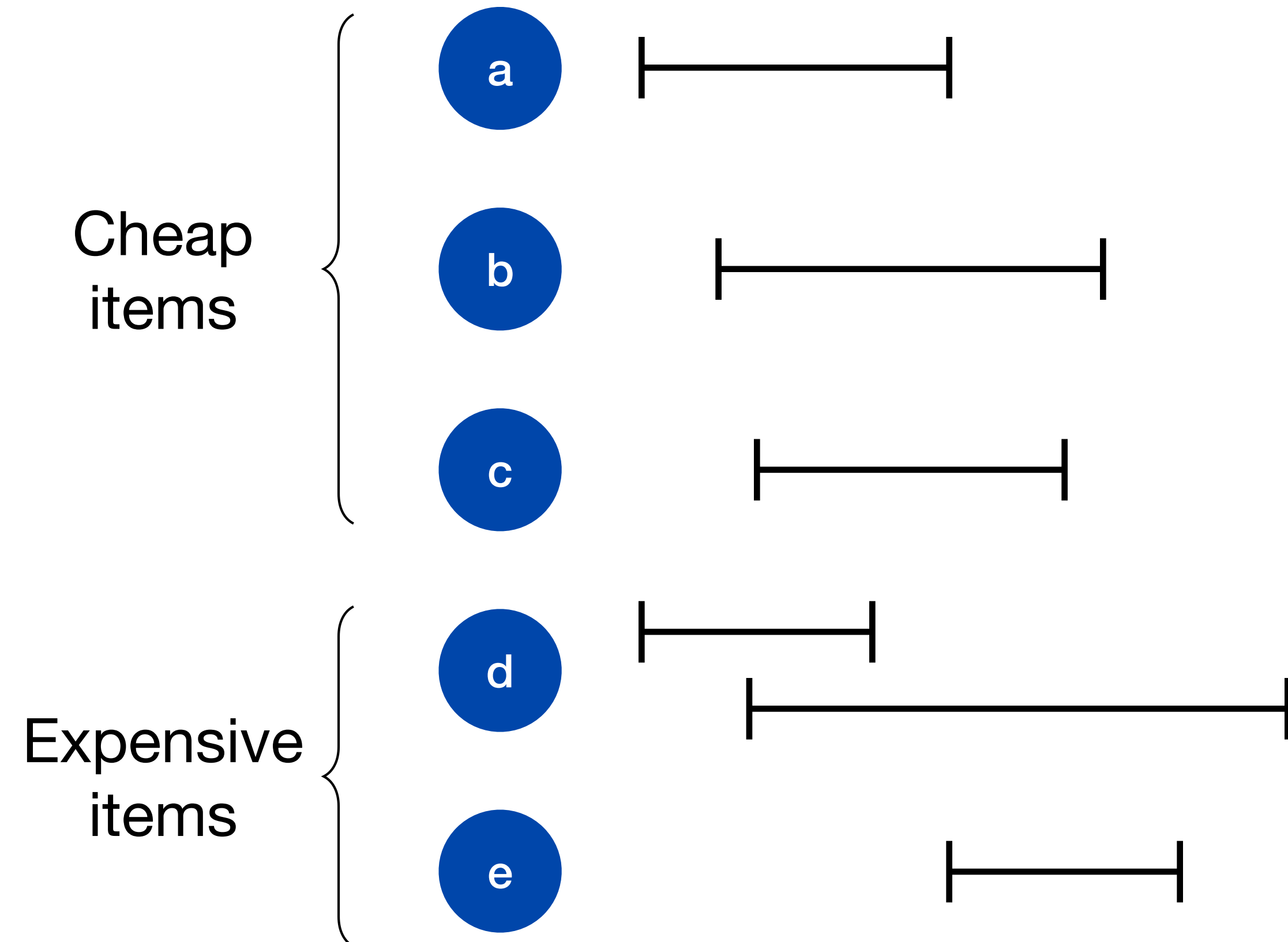
# Algorithm

## JRP with deadlines

UponDeadline(q):

if q is expensive:

Transmit item q is on



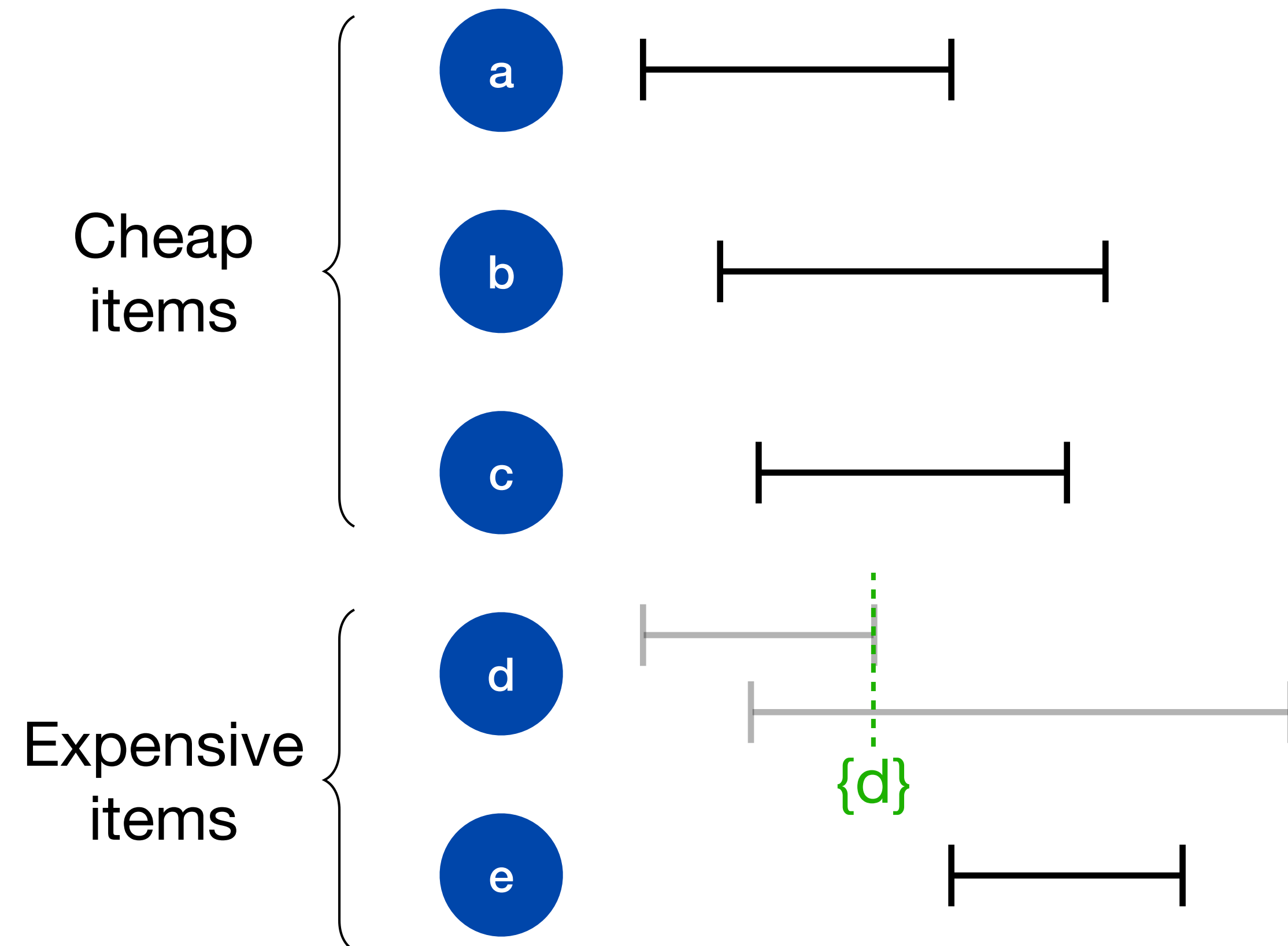
# Algorithm

## JRP with deadlines

UponDeadline(q):

if q is expensive:

Transmit item q is on



# Algorithm

## JRP with deadlines

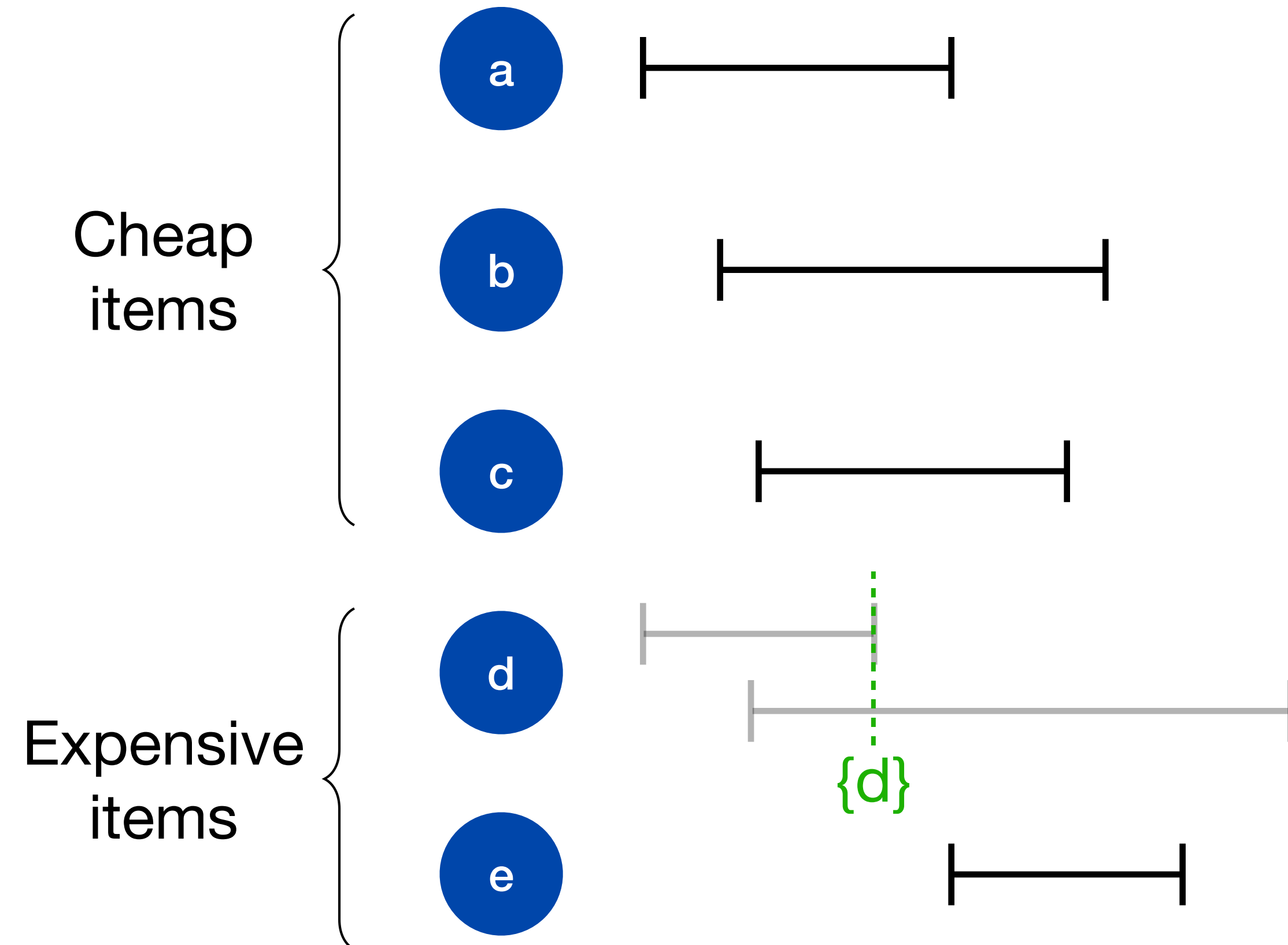
UponDeadline(q):

if q is expensive:

Transmit item q is on

if q is cheap:

Transmit **all** cheap items



# Algorithm

## JRP with deadlines

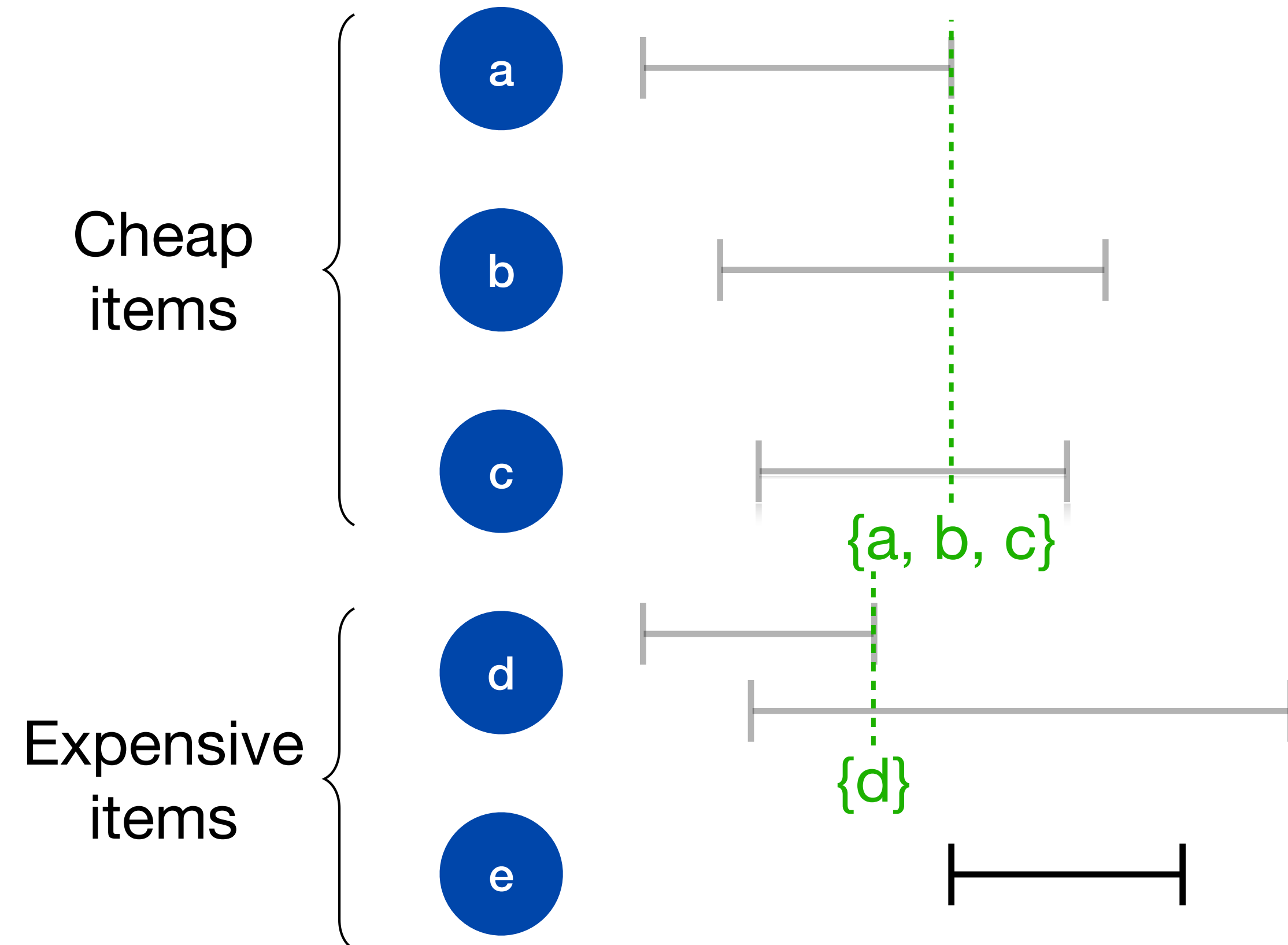
UponDeadline(q):

if q is expensive:

Transmit item q is on

if q is cheap:

Transmit **all** cheap items





# Algorithm

## JRP with deadlines

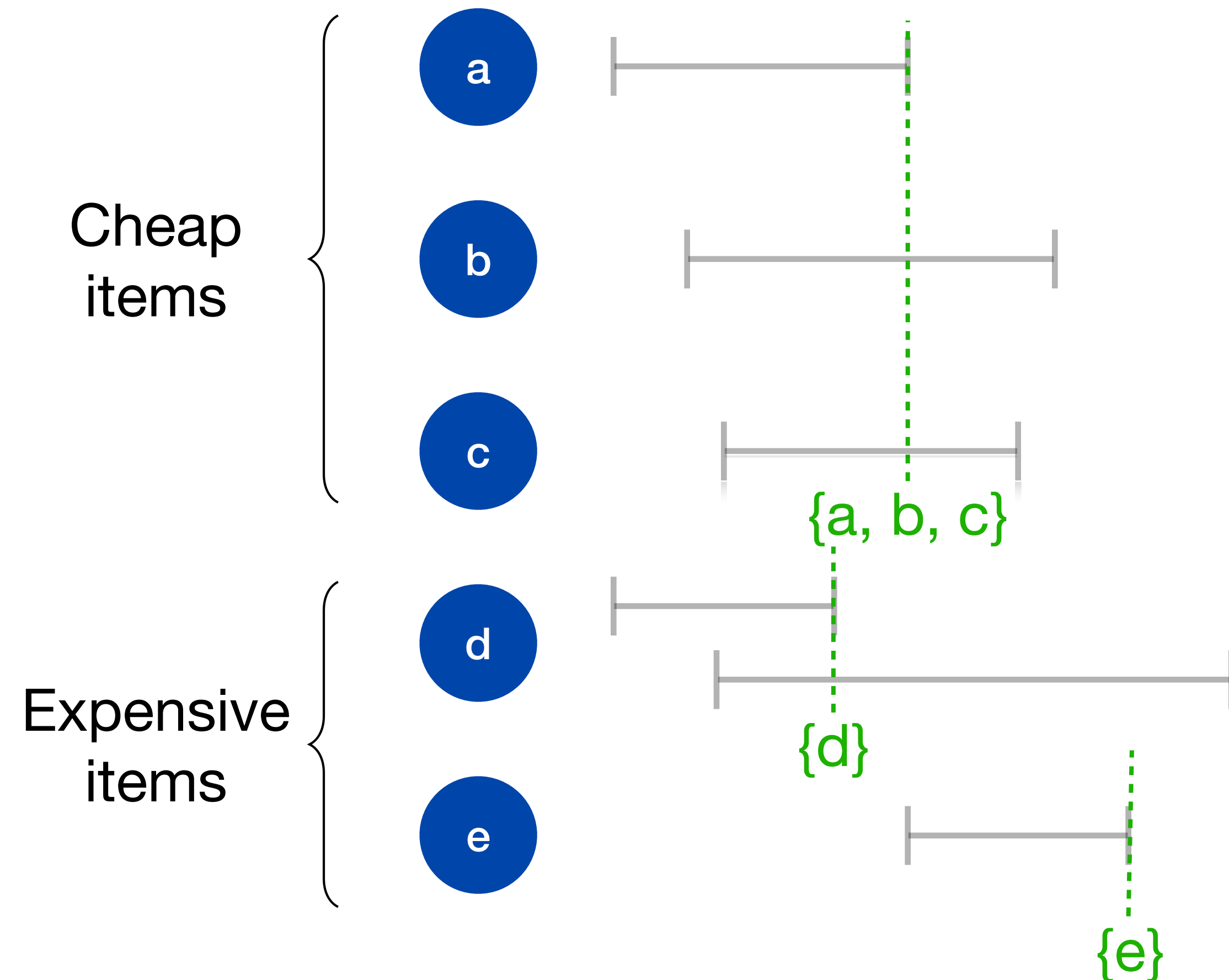
UponDeadline(q):

if q is expensive:

Transmit item q is on

if q is cheap:

Transmit **all** cheap items



# Algorithm Analysis

## JRP with deadlines

A transmission is either triggered by

1. a cheap request

**OR**

2. an expensive request

# Algorithm Analysis

## JRP with deadlines - Transmissions triggered by cheap requests

- $x$  is **cheap** if  $c(x) \leq K/\sqrt{n}$
- There are at most  $n$  cheap items
- Cost of all cheap items is at most  $\frac{K}{\sqrt{n}} \times n = K\sqrt{n}$

# Algorithm Analysis

## JRP with deadlines - Transmissions triggered by cheap requests

When a transmission is triggered by a cheap request, all cheap items are transmitted

Cost of transmission  
triggered by cheap  
request  $q$

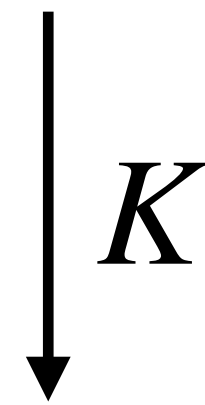
$$\leq K + K\sqrt{n}$$

# Algorithm Analysis

## Charging optimal solution

Cost of transmission  
triggered by cheap  
request  $q$

$$\leq K + K\sqrt{n}$$

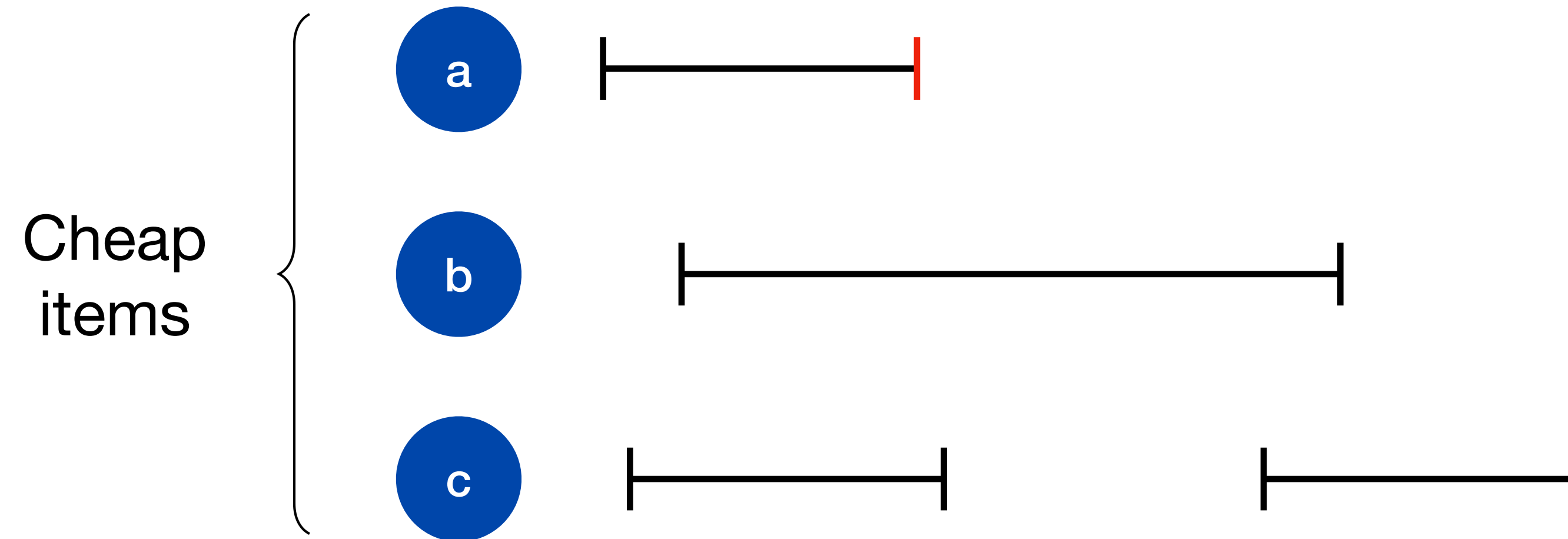


Ordering fee cost of  
optimal transmission  
that served  $q$

$$= K$$

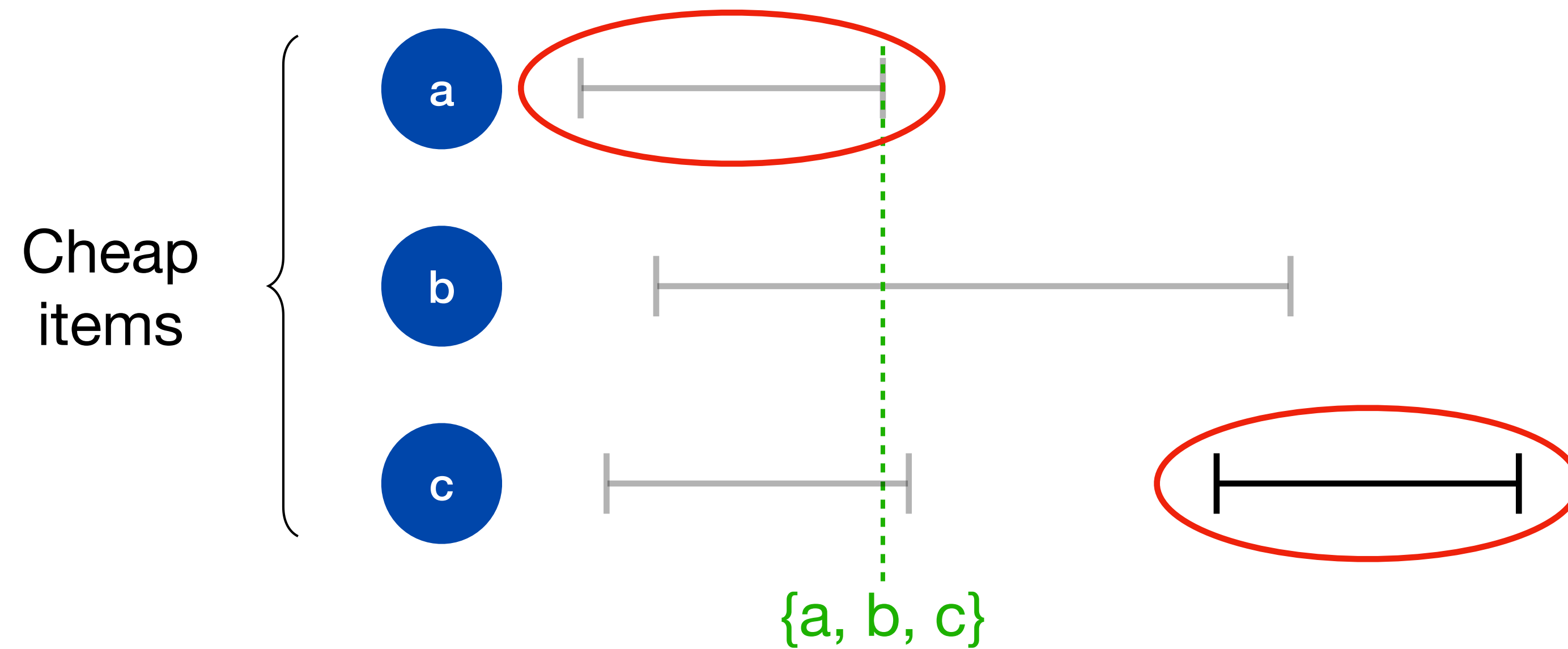
- Can we charge the same optimal transmission twice?

# Algorithm Analysis



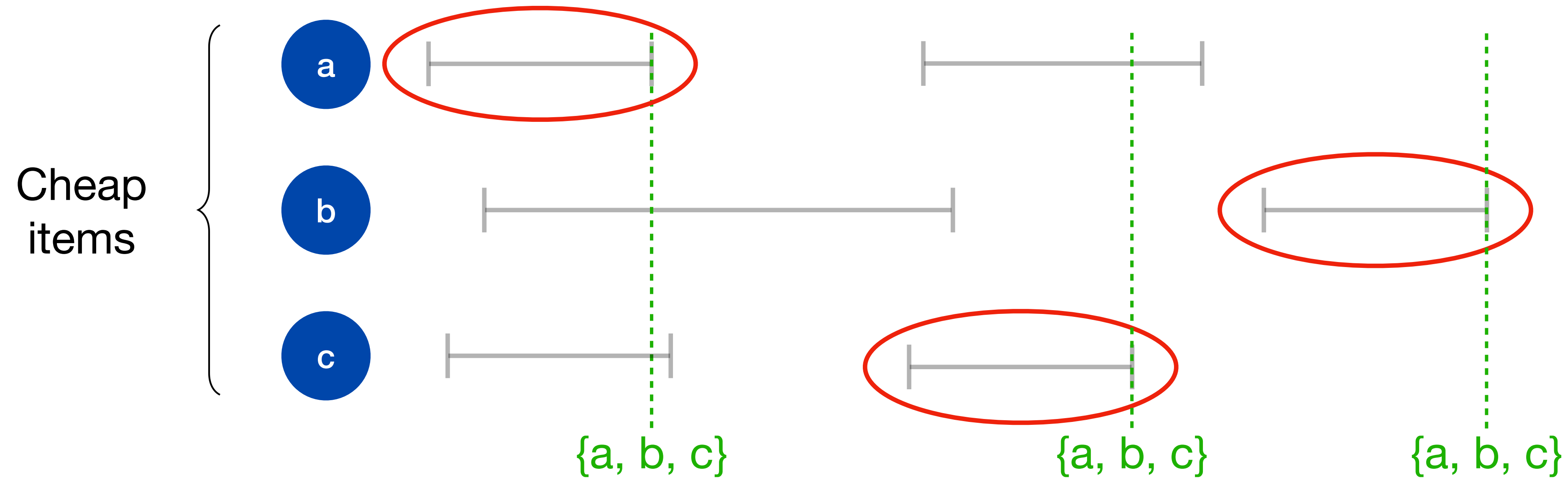
- Can we charge the same optimal transmission twice?

# Algorithm Analysis



- Can we charge the same optimal transmission twice?

# Algorithm Analysis



- Can we charge the same optimal transmission twice? **No**



# Algorithm Analysis

## JRP with deadlines - Transmissions triggered by expensive requests

$x$  is **expensive** if  $c(x) > K/\sqrt{n}$

Hence  $K < \sqrt{n} \cdot c(x)$

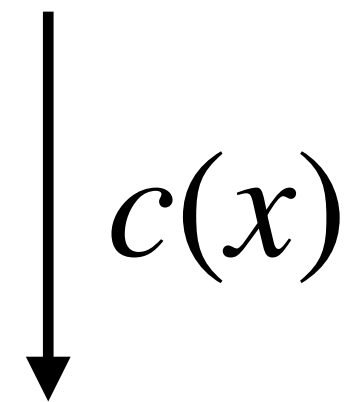
Cost of a transmission is at most  $K + c(x) < (\sqrt{n} + 1) \cdot c(x)$

# Algorithm Analysis

## Charging optimal solution

Cost of transmission  
triggered by expensive  
request **q**

$$\leq (\sqrt{n} + 1) \cdot c(x)$$

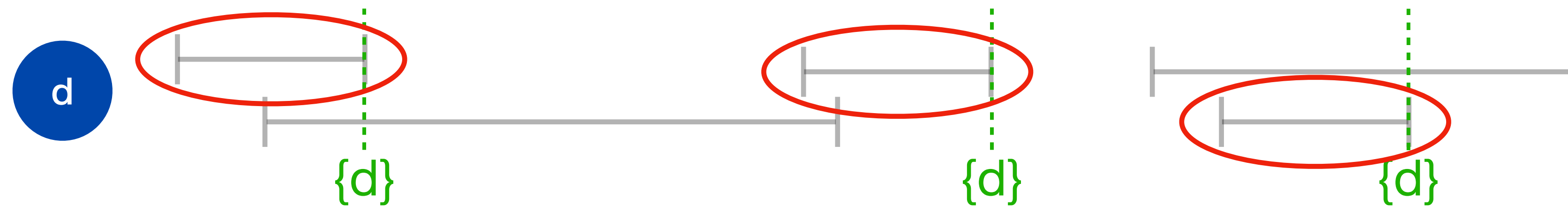


Cost of **item x** in  
optimal transmission  
that served **q**

$$= c(x)$$

- Can we charge the **same item** in the same optimal transmission twice?

# Algorithm Analysis



- Can we charge the **same item** in the same optimal transmission twice? **No**

# Algorithm Analysis

Cost of transmission  
triggered by cheap  
request  $q$

$$\leq K + K\sqrt{n}$$



Ordering fee cost of  
optimal transmission  
that served  $q$

$$= K$$

$(\sqrt{n} + 1)$  factor

Cost of transmission  
triggered by  
expensive request  $q$

$$\leq (\sqrt{n} + 1) \cdot c(x)$$



Cost of item  $x$  in  
optimal transmission  
that served  $q$

$$= c(x)$$

$(\sqrt{n} + 1)$  factor

$$\text{Competitive ratio} = \sqrt{n} + 1$$

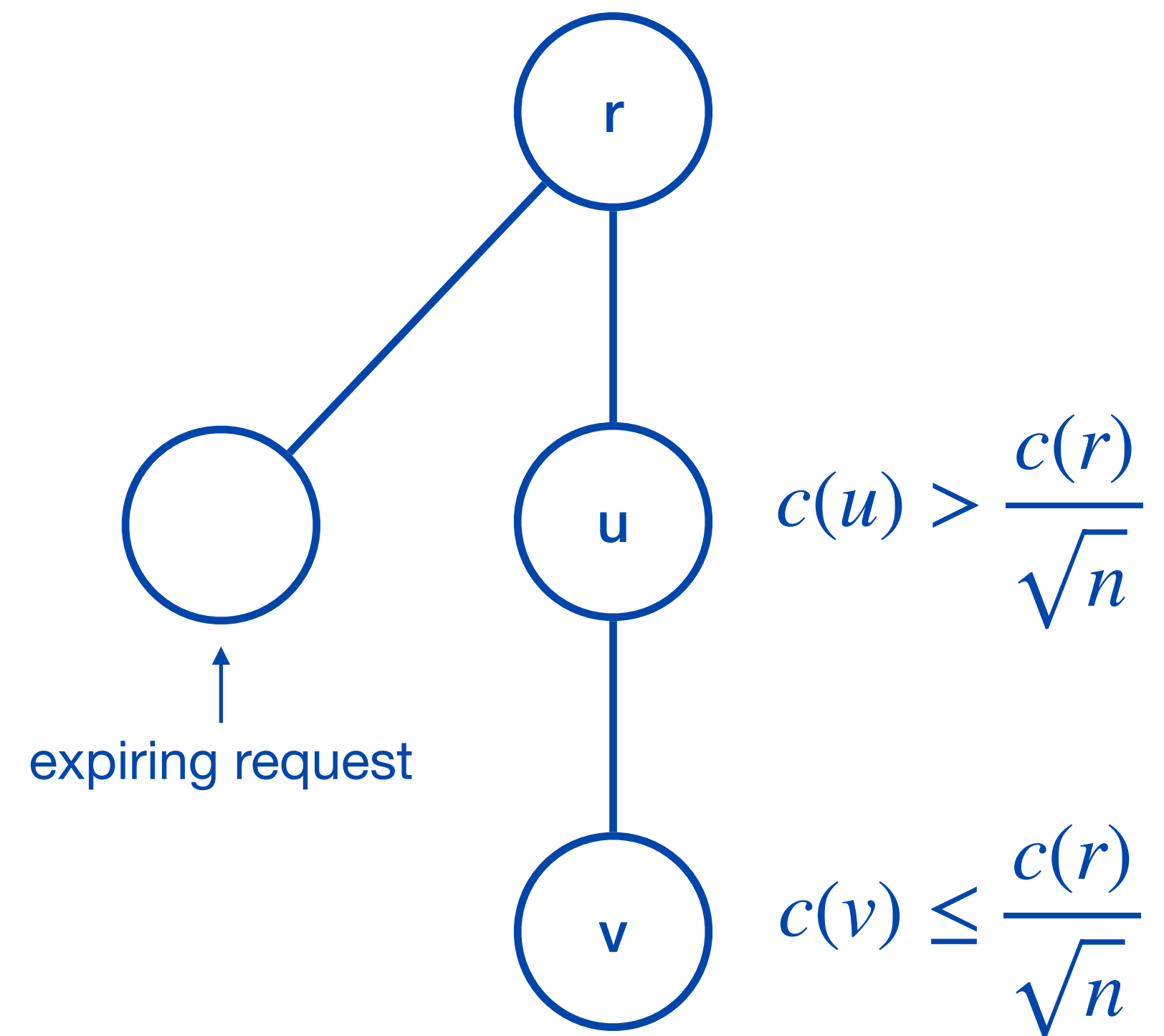
# Algorithm

## JRP with deadlines

- Can be generalised to the delay case, giving  $O(\sqrt{n})$ -competitive algorithm

# Multi-level aggregation with deadlines

- Use a similar idea as in JRP
- What to do if we must go through an expensive node to reach a cheap node?
- **Key idea: Fractionally** pay ahead for nodes we can't transmit yet
- Theorem: There exists a  $O(\sqrt{n} + \text{depth})$  - competitive non-clairvoyant algorithm for MLAP with deadlines



# Summary

	Non-clairvoyant
JRP with deadlines	lower bound: $\Omega(\sqrt{n})$ upper bound: $O(\sqrt{n})$
JRP with delay	$O(\sqrt{n})$
MLAP with deadlines	$O(\sqrt{n} + \text{depth})$

} *Technique:* Divide items into cheap and expensive relative to fixed ordering cost

# Open Problems

- Extend algorithm for MLAP to the delay case



# Open Problems

- Extend algorithm for MLAP to the delay case
- Study more general problems in the non-clairvoyant setting
  - Steiner-tree with deadlines/delay

# References

Yossi Azar, Ashish Chiplunkar, Shay Kutten, & Noam Touitou (2020). Set Cover with Delay - Clairvoyance Is Not Required. In *28th Annual European Symposium on Algorithms (ESA 2020)* (pp. 8:1–8:21). Schloss Dagstuhl–Leibniz-Zentrum für Informatik.

Rodrigo A. Carrasco and Kirk Pruhs and Cliff Stein and José Verschae (2018). The Online Set Aggregation Problem. In *LATIN 2018: Theoretical Informatics - 13th Latin American Symposium*, Buenos Aires, Argentina, April 16-19, 2018, Proceedings (pp. 245–259). Springer.

Marcin Bienkowski and Jaroslaw Byrka and Marek Chrobak and Lukasz Jez and Dorian Nogneng and Jiri Sgall (2014). Better Approximation Bounds for the Joint Replenishment Problem. In *Proceedings of the Twenty-Fifth Annual ACM-SIAM Symposium on Discrete Algorithms, SODA 2014, Portland, Oregon, USA, January 5-7, 2014* (pp. 42–54). SIAM.

Niv Buchbinder and Tracy Kimbrel and Retsef Levi and Konstantin Makarychev and Maxim Sviridenko (2013). Online Make-to-Order Joint Replenishment Model: Primal-Dual Competitive Algorithms. *Oper. Res.*, 61(4), 1014–1029.

Niv Buchbinder and Moran Feldman and Joseph (Seffi) Naor and Ohad Talmon (2017).  $O(\text{depth})$ -Competitive Algorithm for Online Multi-level Aggregation. In *Proceedings of the Twenty-Eighth Annual ACM-SIAM Symposium on Discrete Algorithms, SODA 2017, Barcelona, Spain, Hotel Porta Fira, January 16-19* (pp. 1235–1244). SIAM.