

COMP40018.3 Reasoning Imperial College London

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1 Functional Programs

1.1 Induction over Numbers

1.1.1 Mathematical Induction

Principle: For any $P \subseteq \mathbb{N}$:

$$P(0) \wedge \forall k : \mathbb{N}. [P(k) \rightarrow P(k+1)] \longrightarrow \forall n : \mathbb{N}. P(n)$$

Proof Schema:

Base Case:

To Show: $P(0)$

Inductive Step: Take arbitrary k

Inductive Hypothesis: $P(k)$

To Show: $P(k+1)$

1.1.2 Mathematical Induction Technique

Principle: For any $P \subseteq \mathbb{N}$ and any $m : \mathbb{Z}$

$$P(m) \wedge \forall k \geq m. [P(k) \rightarrow P(k+1)] \longrightarrow \forall n \geq m. P(n)$$

Proof Schema:

Base Case:

To Show: $P(m)$

Inductive Step: Take arbitrary k . Assume that $k \geq m$.

Inductive Hypothesis: $P(k)$

To Show: $P(k+1)$

1.1.3 Strong Induction

Principle: For any $P \subseteq \mathbb{N}$:

$$P(0) \wedge \forall k : \mathbb{N}. [\forall j \in [0..k]. P(j) \rightarrow P(k+1)] \longrightarrow \forall n : \mathbb{N}. P(n)$$

Proof Schema: (for 2 base cases)

Base Case:

To Show: $P(0)$

Inductive Step: Take arbitrary k

Inductive Hypothesis: $\forall j \in [0..k]. P(j)$

To Show: $P(k+1)$

1st Case: $k = 0$

To Show: $P(1)$

2nd Case: $k \neq 0$

(A) $k \geq 1$ because $k : \mathbb{N}$ and $k \neq 0$ by case

(B) $k, k-1 \in [0..k]$ because $k : \mathbb{N}$ and $k \neq 0$

1.1.4 Strong Induction Technique

Principle: For any $P \subseteq \mathbb{N}$ and any $m : \mathbb{Z}$

$$P(m) \wedge \forall k \geq m. [\forall j \in [m..k]. P(j) \rightarrow P(j+1)] \longrightarrow \forall n \geq m. P(n)$$

1.2 Structural Induction

1.2.1 Induction over Lists

Principle: For any type T , and $P \subseteq [T]$:

$$P([]) \wedge \forall vs : [T]. \forall v : T. [P(vs) \rightarrow P(v : vs)] \longrightarrow \forall vs : [T]. P(xs)$$

Proof Schema:

Base Case:

To Show: $P([])$

Inductive Step: Take arbitrary $v':a, vs':[a]$

Inductive Hypothesis: $P(vs')$

To Show: $P((v':vs'))$

List Lemmas:

- (A) $us ++ [] = us$
- (B) $[] ++ us = us$
- (C) $(u : us) ++ vs = u : (us ++ vs)$
- (D) $(us ++ vs) ++ ws = us ++ (vs ++ ws)$

1.2.2 Induction over arbitrary data structures

- data $\text{Nat} = \text{Zero} \mid \text{Succ Nat}$

$$P(\text{Zero}) \wedge \forall n : \text{Nat}. [P(n) \rightarrow P(\text{Succ } n)] \longrightarrow \forall n : \text{Nat}. P(n)$$

- data $\text{Tree } a = \text{Empty} \mid \text{Node } (\text{Tree } a) \ a \ (\text{Tree } a)$

$$P(\text{Empty}) \wedge \forall t1, t2 : \text{Tree } T. \forall x : T. [P(t1) \wedge P(t2) \rightarrow P(\text{Node } t1 \ x \ t2)] \\ \longrightarrow \forall t : \text{Tree } T. P(t)$$

- data $\text{BExp} = \text{Tr} \mid \text{Fl} \mid \text{BNt BExp} \mid \text{BAnd BExp BExp}$

$$P(\text{Tr}) \wedge P(\text{Fl}) \wedge \forall b : \text{BExp}. [P(b) \rightarrow P(\text{BNt } b)] \\ \wedge \forall b1, b2 : \text{BExp}. [P(b1) \wedge P(b2) \rightarrow P(\text{BAnd } b1 \ b2)] \longrightarrow \forall b : \text{BExp}. P(b)$$

- data $T = \text{C1 [Int]} \mid \text{C2 Int } T$

$$\forall is : [\text{Int}]. P(\text{C1 } is) \wedge \forall i : \text{Int}. \forall t : T. [P(t) \rightarrow P(\text{C2 } i \ t)] \longrightarrow \forall t : T. P(t)$$

- data Reds = BaseR | Red Greens
data Greens = BaseG | Green Reds

$$\begin{aligned} & P(\text{BaseR}) \wedge \forall g : \text{Greens}. [Q(g) \rightarrow P(\text{Red } g)] \\ & \wedge Q(\text{BaseG}) \wedge \forall r : \text{Reds}. [P(r) \rightarrow Q(\text{Green } r)] \\ & \longrightarrow \forall r : \text{Reds}. P(r) \wedge \forall g : \text{Greens}. Q(g) \end{aligned}$$

- data Cactus = Root Tree
data Tree = Leaf | Node Trees
data Trees = Empty | Cons Tree Trees

$$\begin{aligned} & P(\text{Leaf}) \wedge \forall ts : \text{Trees}. [Q(ts) \rightarrow P(\text{Node } ts)] \wedge \\ & Q(\text{Empty}) \wedge \forall t : \text{Tree}. \forall ts : \text{Trees}. [P(t) \wedge Q(ts) \rightarrow Q(\text{Cons } t \ ts)] \\ & \longrightarrow \forall t : \text{Tree}. P(t) \wedge \forall ts : \text{Trees}. Q(ts) \end{aligned}$$

1.2.3 Two Approaches

1. Invent an Auxiliary Lemma
2. Strengthen the original property

1.3 General Induction

1.3.1 Inductively Defined Sets

- $S_{\mathbb{N}}$ defined over Zero and Succ through
 $\text{Zero} \in S_{\mathbb{N}}$
 $\forall n. [n \in S_{\mathbb{N}} \rightarrow \text{Succ } n \in S_{\mathbb{N}}]$

$$Q(\text{Zero}) \wedge \forall m \in S_{\mathbb{N}}. [Q(m) \rightarrow Q(\text{Succ } m)] \longrightarrow \forall n \in S_{\mathbb{N}}. Q(n)$$

- Tree
 $i \in \mathbb{N} \rightarrow \text{Leaf } i \in \text{Tree}$
 $\forall t1, t2 \in \text{Tree}. \forall c \in \text{Char}. \text{Node } c \ t1 \ t2 \in \text{Tree}$

$$\begin{aligned} & \forall i \in \mathbb{N}. Q(\text{Leaf } i) \\ & \wedge \forall t1, t2 \in \text{Tree}. \forall c \in \text{Char}. [Q(t1) \wedge Q(t2) \rightarrow Q(\text{Node } c \ t1 \ t2)] \\ & \longrightarrow \forall t \in \text{Tree}. Q(t) \end{aligned}$$

- $OL \subseteq \mathbb{N}^*$
 $[] \in OL$
 $\forall i \in \mathbb{N}. i : [] \in OL$
 $\forall i, j \in \mathbb{N}, js \in \mathbb{N}^*. [i \leq j \wedge j : js \in OL \rightarrow i : j : js \in OL]$

$$\begin{aligned} & Q([]) \wedge \forall i \in \mathbb{N}. Q(i : []) \\ & \wedge \forall i, j \in \mathbb{N}, js \in \mathbb{N}^*. [i \leq j \wedge j : js \in OL \wedge Q(j : js) \rightarrow Q(i : j : js)] \\ & \longrightarrow \forall ns \in OL. Q(ns) \end{aligned}$$

1.3.2 Inductively Defined Relations

- $SL \subseteq \mathbb{N} \times \mathbb{N}$
 $\forall k \in \mathbb{N}. SL(0, k + 1)$
 $\forall m, n \in \mathbb{N}. [SL(m, n) \rightarrow SL(m + 1, n + 1)]$
 $\forall k \in \mathbb{N}. Q(0, k + 1)$
 $\wedge \forall m, n \in \mathbb{N}. [SL(m, n) \wedge Q(m, n) \rightarrow Q(m + 1, n + 1)]$
 $\longrightarrow \forall m, n \in \mathbb{N}. [SL(m, n) \rightarrow Q(m, n)]$
- $Even \subseteq S_{\mathbb{N}}$
 $Even(Zero)$
 $\forall n \in S_{\mathbb{N}}. [Even(n) \rightarrow Even(Succ (Succ n))]$
 $Q(Zero)$
 $\wedge \forall n \in S_{\mathbb{N}}. [Even(n) \wedge Q(n) \rightarrow Q(Succ (Succ n))]$
 $\longrightarrow \forall n \in S_{\mathbb{N}}. [Even(n) \rightarrow Q(n)]$
- $Odd \subseteq S_{\mathbb{N}}$
 $Odd(Succ Zero)$
 $\forall n \in S_{\mathbb{N}}. [Odd(n) \rightarrow Odd(Succ (Succ n))]$
 $Q(Succ Zero)$
 $\wedge \forall n \in S_{\mathbb{N}}. [Odd(n) \wedge Q(n) \rightarrow Q(Succ (Succ n))]$
 $\longrightarrow \forall n \in S_{\mathbb{N}}. [Odd(n) \rightarrow Q(n)]$

1.3.3 Inductively Defined Functions

- $F\ 0 = 0$
 $F\ i = 1 + F(i - 3)$
 $Q(0, 0)$
 $\wedge \forall j, k : \mathbb{Z}. [j \neq 0 \wedge F(j - 3) = k \wedge Q(j - 3, k) \rightarrow Q(j, k + 1)]$
 $\longrightarrow \forall j, k : \mathbb{Z}. [F\ j = k \rightarrow Q(j, k)]$
- $G'(i, j, cnt, acc)$
 $\mid i == cnt = acc$
 $\mid \text{otherwise} = G'(i, j, cnt + 1, acc + j)$
 $\forall i, j, acc : \mathbb{N}. Q(i, j, i, acc, acc)$
 $\wedge \forall i, j, acc, cnt, r : \mathbb{N}. [i \neq cnt \wedge G'(i, j, cnt + 1, acc + j) = r$
 $\wedge Q(i, j, cnt + 1, acc + j, r) \rightarrow Q(i, j, cnt, acc, r)]$
 $\longrightarrow \forall i, j, acc, cnt, r : \mathbb{N}. [G'(i, j, cnt, acc) = r \rightarrow Q(i, j, cnt, acc, r)]$

- $DM'(i, j, cnt, acc)$
 $| acc + j > i = (cnt, i - acc)$
 $| otherwise = DM'(i, j, cnt + 1, acc + j)$
 $\forall i, j, cnt, acc : \mathbb{N}. [acc + j > i \rightarrow Q(i, j, cnt, acc, cnt, i - acc)]$
 $\wedge \forall i, j, acc, cnt, k1, k2 : \mathbb{N}. [acc + j \leq i \wedge DM'(i, j, cnt + 1, acc + j) = (k1, k2)$
 $\wedge Q(i, j, cnt + 1, acc + j, k1, k2) \rightarrow Q(i, j, cnt, acc, k1, k2)]$
 $\longrightarrow \forall i, j, acc, cnt, k1, k2 : \mathbb{N}. [DM'(i, j, cnt, acc) = (k1, k2) \rightarrow Q(i, j, cnt, acc, k1, k2)]$
- $M'(i, cnt, acc)$
 $| i == cnt = acc$
 $| otherwise = M'(i, cnt + 1, 2 * acc)$
 $\forall i, acc : \mathbb{N}. Q(i, i, acc, acc)$
 $\forall i, cnt, acc, r : \mathbb{N}. [i \neq cnt \wedge M'(i, cnt + 1, 2 * acc) = r \wedge Q(i, cnt + 1, 2 * acc, r)$
 $\rightarrow Q(i, cnt, acc, r)]$
 $\longrightarrow \forall i, cnt, acc, r : \mathbb{N}. [M'(i, cnt, acc) = r \rightarrow Q(i, cnt, acc, r)]$

2 Imperative Programs

2.1 Program Specifications

2.1.1 Hoare Logic

$$\frac{P[x \mapsto x_{old}] \wedge x = E[x \mapsto x_{old}] \longrightarrow Q}{\{P\} \quad x = E; \quad \{Q\}}$$

2.1.2 Straight Line Code

$$\frac{\{P\} \quad code1 \quad \{R\} \quad \{R\} \quad code2 \quad \{Q\}}{\{P\} \quad code1; code2 \quad \{Q\}}$$

2.2 Conditional Branches

$$\frac{\{P \wedge cond\} \quad code1 \quad \{Q\} \quad \{P \wedge \neg cond\} \quad code2 \quad \{Q\}}{\{P\} \quad if(cond)\{code1\}else\{code2\} \quad \{Q\}}$$

2.3 Method Calls

void someMethod(type x_1 , ..., type x_n)
 //Pre: R
 //Post: S

$$\frac{P \longrightarrow R[\bar{x} \mapsto \bar{v}] \quad \frac{P[\bar{v}[\dots] \mapsto \bar{v}[\dots]_{old}] \wedge S[\bar{x} \mapsto \bar{v}][\bar{v}[\dots]_{pre} \mapsto \bar{v}[\dots]_{old}] \longrightarrow Q}{\{P\} \quad \text{someMethod}(v_1, \dots, v_n) \quad \{Q\}}}{P \longrightarrow R[\bar{x} \mapsto \bar{v}]}$$

$$\frac{P[\bar{v}[\dots] \mapsto \bar{v}[\dots]_{old}][res_{old} \mapsto res] \wedge res = r \quad \wedge S[\bar{x} \mapsto \bar{v}][\bar{v}[\dots]_{pre} \mapsto \bar{v}[\dots]_{old}][res \mapsto res_{old}] \longrightarrow Q}{\{P\} \quad res = \text{someMethod}(v_1, \dots, v_n) \quad \{Q\}}$$

2.4 Iteration

1. I holds before the loop is entered
2. Given condition, the loop re-establishes I
3. Termination of loop and I establishes Q

$$\frac{P \longrightarrow I \quad \{I \wedge cond\} \quad body \quad \{I\} \quad I \wedge \neg cond \longrightarrow Q}{\{P\} \quad while(cond)\{body\} \quad \{Q\}}$$

$$\frac{I[\overline{mod} \mapsto \overline{mod}_{old}] \wedge cond[\overline{mod} \mapsto \overline{mod}_{old}] \wedge body-effect \longrightarrow I}{\{I \wedge cond\} \quad body \quad \{I\}}$$

4. V is bounded
5. V decreases with each iteration

$$\begin{aligned} & I[\overline{mod} \mapsto \overline{mod}_{old}] \wedge cond[\overline{mod} \mapsto \overline{mod}_{old}] \wedge body-effect \\ & \longrightarrow V \geq n \wedge V[\overline{mod} \mapsto \overline{mod}_{old}] > V \end{aligned}$$

6. Array access are legal

$$I[\overline{mod} \mapsto \overline{mod}_{old}] \wedge cond[\overline{mod} \mapsto \overline{mod}_{old}] \longrightarrow 0 \leq x \leq a.length$$

for any array a and access x (i_{old} or i)

7. No integer overflows — Assume perfect machine