1 Introduction

- Process execution and inter process communication takes arbitrary time (Asynchronous), is upper bounded (Synchronous) or is mostly synchronous with periods which they aren't (Partially synchronous)
- Complexity: Number of messages, size of messages, memory used, time taken
- Fail-stop crashes can be reliably detected by other processes. Fail-silent crashes cannot. Fail-noisy if detection takes time. Fail-noisy crashes can recover. Fail-arbitrary crashes exhibit arbitrary and possibly malicious behaviour.

2 Failure Detector

- Strong Completeness (L): Every crashed process will eventually be permanently suspected by every correct process
- Strong Accuracy (S): No process is suspected before it crashes
- Eventually Strong Accuracy (L): Eventually no correct process is suspected
- Perfect Failure Detector: Provides processes with list of suspected processes that have crashed. Makes timing assumptions (no longer synchronous). Never changes its view (suspected processes are suspected forever). Strong completeness + Strong Accuracy.
- Eventually Perfect Failure Detector: May make mistakes but will eventually accurately detect a crashed process

3 One Shot Broadcast

- No Duplication (S): No message is delivered to a process more than once.
- No Creation (S): No message is delivered unless it was sent.
- Reliable Delivery (L): If A & B are correct processes, every message sent by A to B is eventually delivered to B.
- Validity (L): If a correct process broadcasts a message then every correct process eventually delivers it.
- Agreement (L): If a correct process delivers message M then every correct process also delivers M.
- Uniform Agreement (L): If a process delivers message M then every correct process also delivers M.
- Perfect Point-to-Point Links (PL): Reliable Delivery + No Duplication + No Creation.
- Best Effort Broadcast (BEB): Validity + No Duplication + No Creation
- Regular Reliable Broadcast (RB): All correct processes will agree on messages they deliver even if broadcasting process crashes while sending. Agreement + Validity + No Duplication + No Creation.
- Uniform Reliable Broadcast (URB): Uniform Agreement + Validity + No Duplication + No Creation

```
// BEB: 1 broadcast step, O(N) messages
{ :bind, c, pl, processes } -> %{ c: c, pl: pl, processes: processes} |> next()
{ :beb_broadcast, msg } -> for dest <- this.processes do send this.pl, { :pl_send, dest, msg } end; next(this)
{ :pl_deliver, from, msg } -> send this.c, { :beb_deliver, from, msg }; this |> next()
// Eager RB (fail-silent): O(N) BEB broadcasts, O(N^2) messages
{ :bind, c, beb } -> %{ c: c, beb: beb, delibered: empty_set() } |> next()
{ :rb_broadcast, msg } -> send this.beb, { :beb_broadcast, { :rb_data, nodeID(), msg } }; this |> next()
{ :beb_deliver, from, { :rb_data, sender, msg } = data } -> if msg in this.delivered do this |> next() else
    send this.c, { :rb_deliver, sender, msg }; send this.beb, { :beb_broadcast, data }
    this |> delivered_put(msg) |> next() end
//Lazy RB (fail-stop)
{ :bind, c, beb, ps } -> %{ c: c, beb: beb, correct: ps, delivered: init_map(ps, empty_set)} |> next()
{ :rb_broadcast, msg } -> send this.beb, { :beb_broadcast, { :rb_data, nodeID(), msg } }; this |> next()
{ :pfd_crash, crashed } -> for msg <- this.delivered[crashed] do
    send this.beb, { :beb_broadcast, { :rb_data, crashed, msg } } end; this |> correct_delete(crashed) |> next()
{ :beb_deliver, from, { :rb_data, sender, msg } = data } -> if msg in this.delievered[sender] do next(this) else
    send this.c, { :rb_deliver, sender, msg }; if sender not in this.correct do
        send this.beb, { :beb_broadcast, data } end; this |> delievered_put(sender, msg) |> next() end
// Majority-Ack URB: N + N(N-1) messages
{:bind, c, beb, ps} -> %{c: c,beb: beb,ps: ps,delivered: empty_set(), pending: empty_set(),bebd: %{}} |> next()
{ :urb_broadcast, msg } -> send this.beb, { :beb_broadcast, { :urb_data, nodeID(), msg } }
    send self(), :can_deliver; this |> pending_put({nodeID(), msg}) |> next()
{ :beb_deliver, from, { :urb_data, sender, msg } = urb_m } -> msg_pset = Map.get(this.bebd, msg, empty_set())
```

```
this = this |> bebd.put(msg, MapSet.put(msg_pset, from)); send self(), :can_deliver
  if { sender, msg } in this.pending do this |> next() else
    send this.beb, { :beb_braodcast, urb_m }; this |> pending_put({sender, msg}) |> next() end
:can_deliver -> new_delivered = for { sender, msg } <- this.pending,
    msg not in this.delivered and MapSet.size(this.bebd[msg]) > this.processes div 2, into: empty_set() do
        send this.c, { :urb_deliver, sender, msg }; msg end
    this |> delivered_union(new_delivered) |> next()
```

4 Multi Shot Broadcast

// FIFO RB: Same messages as RB + extra memory

- First In, First Out (FIFO): If a process broadcasts M1 before M2, all correct processes will deliver M1 before M2
- Causal Order (CO): If any process delivers M2 it must have previously delivered every message M1 where M1 caused M2. M1 \rightarrow M2 if (1) Alice broadcasts M1 and later broadcasts M2 (2) Alice delivers M1 and later broadcasts M2 (3) There is a message M3 where M1 \rightarrow M3 and M3 \rightarrow M2
- Total Order (TO): All correct processes deliver all messages in the same order (no need to respect FIFO or CO). Equivalent to consensus problem
- Uniform Total Order: If a process delivers M1 without previously delivering M2, no correct process delivers M2 before M1.

```
{ :bind, c, rb, ps } -> %{ c: c, rb: rb, seq: 0, pseq: init_map(ps, 1), pending: empty_set() } |> next()
{ :frb_broadcast, msg } -> send this.rb, { :rb_broadcast, { :frb_data, { node_ID(), msg, this.seq+1 } } }
    this |> seq_num(this.seq+1) |> next()
{ :rb_deliver, from, { :frb_data, {sender, _,_}=data } } -> this |> pending_put(data) |> check(sender) |> next()
def check(this, sender) do
    case Enum.find(this.pending, fn {from, _msg, _seq} -> from == sender and seq == this.pseq[sender] end) do
        {_from, msg, seq} = data -> send this.c, { :frb_deliver, sender, msg }
            this |> pseq.put(sender, seq+1) |> pending.delete(data) |> check(this)
        _otherwise -> this end end
// CO URB: Same as URB but message size and past grows linearly
\{ : bind, c, urb \} \rightarrow %\{ c:c, urb: urb, past: [], delivered: empty_set() \} |> next()
{ :crb_broadcast, msg } -> send this.urb, { :urb_broadcast, { :crb_data, this.past, msg } }
    this |> past_append([{ nodeID(), msg }]) |> next()
{ :urb_deliver, from, { :crb_data, past, msg } } -> if msg in this.delivered do this |> next else
    old = for { sender, past_msg } = data <- past, past_msg not in this.delivered, into: empty_set() do
        send this.c, { :crb_deliever, from, msg }; data end;
    send this.c, { :crb_deliver, sender, past_msg }
    this |> delivered_union(old) |> delivered_put(msg) |> past.append(old ++ [{from, msg}]) |> next()
// CRB with vector clock:
{ :bind, c, rb, pnum, ps } ->
    %{ c: c, rb: rb, pnum: pnum, rb_count: 0, pending: empty_set(), vc: init_map(ps, 0)} |> next()
{ :crb_broadcast, msg } -> vc2 = Map.put(this.vc, this.pnum, this.rb_count)
    send this.rb, { :rb_broadcast, { :crb_data, msg, vc2 } }; this |> rbs(this.rb_count+1) |> next()
{ :rb_deliver, sender, { :crb_data, msg, vc } } -> this |> pending_put({ sender, msg, vs }) |> check() |> next()
def check(this) do case Enum.find(this.pending, fn {_,_,vc} -> vc <= this.vc end) do
    { sender, msg, vc } = data -> send this.c, { :crb_deliver, sender, msg }
        this |> vc_elem_put(sender, this.vc[sender]+1) |> pending.delete(data) |> check()
```

5 Consensus

_otherwise -> this

- **Symmetric**: Leader-less, active replication, all servers equal roles, clients contact any server. **Asymmetric**: Leader-based, passive replication, one server in charge, clients contact leader. Asymmetric is more efficient as it decomposes the problem (normal operation + leader changes) and simplifies normal operation (no conflict).
- Validity(S): If a process decides a value, that value was proposed by some process
- Integrity (S): A process decides one value at most
- Termination (L): Each correct process eventually decides
- Agreement (L): No two correct processes decide different values (Regular Consensus)
- Uniform Agreement (L): No two processes decide different values (Uniform Consensus)
- FLP Impossibility: In an asynchronous system with even one possible faulty process, any consensus protocol has the possibility of non-termination even if no process crashes. (Consensus problem cannot be solved in a completely asynchronous system) There exists an initial bivalent configuration. Then it's possible to forever remain in a bivalent configuration.

6 Temporal Logic

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• Equivalence: \Box(p \land q) \equiv \Box p \land \Box q, \diamond(p \lor q) \equiv \diamond p \lor \diamond q, \bigcirc(p \land q) \equiv \bigcirc p \land \bigcirc q, \bigcirc(p \lor q) \equiv \bigcirc p \lor \bigcirc q, p\mathcal{U}(q \lor r) \equiv (p\mathcal{U}q) \lor (p\mathcal{U}r), (p \land q)\mathcal{U}r \equiv (p\mathcal{U}r) \land (q\mathcal{U}r)
```

- Duals: $\neg \diamond p \equiv \Box \neg p$, $\neg \Box p \equiv \diamond \neg p$, $\neg \bigcirc p \equiv \bigcirc \neg p$ Idempotency: $\Box p \equiv \Box \Box p$, $\diamond p \equiv \diamond \diamond p$, $p\mathcal{U}(q\mathcal{U}r) \equiv (p\mathcal{U}q)\mathcal{U}r \equiv p\mathcal{U}r$
- Absorption: $\Box \diamond p \equiv \diamond \Box \diamond p$, $\diamond \Box p \equiv \Box \diamond \Box p$ Other: TRUE $\mathcal{U}p \equiv \diamond p$

• Weak Fairness: $\Diamond \Box \underline{A} \Rightarrow \Box \Diamond A$ Strong Fairness: $\Box \Diamond \underline{A} \Rightarrow \Box \Diamond A$ Absolute Fairness: $\Box \Diamond A$			
Name	Symbol	Equivalence	Explanation
Next	$\bigcirc p$	p@(t+1)	p is true in the next moment
Always	□ <i>p</i> /[]p	$\forall t': (t' \geq t) \Rightarrow p@t'$	p is true now and in all future states
Eventually	<i>♦p/</i> <>p	$\exists t' : (t' \geq t) \land p@t'$	p is true now or in some future state
Until	pUq	$\exists t': ((t' \ge t) \land q@t')$	p is true now and continuously until q
			becomes true in some future state
Leads To	p~>q	$\Box(p \Rightarrow \diamond q)$	Always if p is true then eventually q will be true
Always Eventually	$\Box \diamond p$		p will be true infinitely often
Eventually Always	$\diamond\Box p$		There will be a momemnt p will be true and remain true
7 TLA+			
• Boolean: BOOLEAN, TRUE, FALSE, ~x, x = y, x # y x => y, x <=> y. Integers: EXTENDS Integers, ab, x+y, x-y, x*y, x <y, x="" x<="y,">y, x>=y. Strings: STRINGS (*infinite set of all strings*), "abc"</y,>			
• Finite Sets: EXTENDS FiniteSets, {a,b}, Cardinality(S), x \in S, x \notin S, S \subsetteq T, S \union T, S \intersect T, S \ T (*set difference*), {x \in S: P(x)} (*set filter*), {e: x \in S} (*set map*)			
• Functions: [x \in S -> e], f[x], DOMAIN f, [f EXCEPT ![x]=e], [S->T] (*set of all functions of S to T*)			
• Records: [x -> e1, y -> e2,], r.x, [r EXCEPT !.x=e], [x:S,] (*set of all records with x to set S*)			
• Sequences: EXTENDS Sequences, < <a,b>>, t[i], s \o t (*concat*), Len(s), Append(s,x), Head(s)</a,b>			
• Tuples: < <a,b>>, t[i], S \X T (*set of all tuples S cartesian product T*)</a,b>			
• Quantifiers: \A x \in S: e, \E x \in S: e, CHOOSE var \in S: e			
• Control: LET x == e1 IN e2, IF p THEN e1 ELSE e2			
• Actions: [A]_v (*stuttering action A or all v unchanged in successor*), < <a>>_v (*non-stuttering action A or some v changed in successor*), ENABLED A (*true if pre conditions true*)			
• Temporal Logic: []A, <>A, A1 ~> A2, WF_v(A), SF_v(A)			
MODULE Name EXTENDS Integers, FiniteSets, Sequences // CONSTANTS (*defined in .cfg file*) // VARIABLES Vars == <<>> // Type == // Typed == []Type // Fair == WF_vars(Next) Spec == Init /\ [][Next]_Vars /\ Fair // NotDeadlock == [](ENABLED Next) ====			
.cfg file: SPECIFICATION Spec // PROPERTY Typed // CONSTANTS X = 1			
8 RAFT			
<pre>Next == \/ \E i \in Server: Restart(i) \/ \E i \in Server: Timeout(i) \/ \E i,j \in Server: RequestVote(i,j) \/ \E i \in Server: BecomeLeader(i) \/ \E i \in Server: \E v \in Value: ClientRequest(i,v) \/ \E i \in Server: AdvanceCommitIndex(i) \/ \E i,j \in Server: AppendEntries(i,j) \/ \E m \in DOMAIN messages : Receive(m) \/ \E m \in DOMAIN messages : DuplicateMessage(m)</pre>			

DuplicateMessage(m) == Send(m) /\ UNCHANGED <<serverVars, candidateVars, leaderVars, logVars>>
DropMessage(m) == Discard(m) /\ UNCHANGED <<serverVars, candidateVars, leaderVars, logVars>>

Restart(i) == /\ state' = [state EXCEPT ![i]=Follower] /\ votesResponded' = [votesResponded EXCEPT ![i]={}]

/\ currentTerm' = [currentTerm EXCEPT ![i] = currentTerm[i] +1] /\ votedFor' = [votedFor EXCEPT ![i] = Nil] /\ votesResponded' = [voteResponded EXCEPT ![i] ={}] /\votesGranted' = [votesGranted EXCEPT ![i] ={}]

AdvanceCommitIndex(i) == /\ state[i] = Leader /\ LET Agree(id) == {i} \cup {k \in Server: matchIndex[i][k]>=id}

newCommitIndex == IF agreeIndex # {} /\ log[i] [Max(agreeIndexes)].term = currentTerm[i] THEN Max(agreeIndexes)

/\ Send([mtype |-> RequestVoteRequest, mterm |-> currentTerm[i], mlastLogTerm |-> LastTerm(log[i]),

ClientRequest(i,v) == /\ state[i] = Leader /\ LET entry == [term |-> currentTerm[i], value |-> v] IN

/\ votesGranted' = [votesGranted EXCEPT ![i]={}] /\ nextIndex' = [nextIndex EXCEPT ![i]=[j \in Server |-> 1]] /\ matchIndex' = [commitIndex EXCEPT ![i]=0]

\/ \E m \in DOMAIN messages : DropMessage(m)

/\ UNCHANGED <<messages, leaderVars, logVars>>

/\ UNCHANGED <<messages, currrentTerm, votedFor, log>>

RequestVote(i,j) == /\ state[i] = Candidate /\ j \notin votesResponded[i]

/\ nextIndex' = [nextIndex EXCEPT ![i]=[j \in Server |-> Len(log(i))+1]]

/\ UNCHANGED <<messages, currentTerm, votedFor, candidateVars, logVars>>

LET newLog == Append(log[i],entry) IN log' = [log EXCEPT ![i]=newLog]
/\ UNCHANGED <<messages, serverVars, candidateVars, leaderVars, commitIndex>>

/\ UNCHANGED <<messages, serverVars, candidateVars, leaderVars, log>>

ELSE commitIndex[i] IN commitIndex' = [commitIndex EXCEPT ![i]=newCommitIndex]

mlastLogIndex |-> Len(log[i]), msource |-> i, mdest |-> j])
 /\ UNCHANGED <<serverVars, candidateVars, leaderVars, logVars>>

/\ matchIndex' = [matchIndex EXCEPT ![i]=[j \in Server |-> 0]]

agreeIndexes == {id \in 1..Len(log[i]): Agree(index) \in Quorum}

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IN LET prevLogTerm == IF prevLogIndex > 0 THEN log[i][prevLogIndex].term ELSE 0
    IN LET lastEntry == Min({Len(log[i]), nextIndex[i][j]})
    IN LET entries == SubSeq(log[i], nextIndex[i][j], lastEntry)
    IN Send([mtype |-> AppendEntriesRequest, mterm |-> currentTerm[i], mprevLogIndex |-> prevLogIndex,
      mprevLogTerm |-> prevLogTerm, mentries |-> entries, mcommitIndex = min({commitIndex[i], lastEntry}),
      msource |-> i, mdest |-> j])
  /\ UNCHANGED <<serverVars, candiateVars, leaderVars, logVars>>
Receive(m) == LET i == m.mdest IN LET j == m.msource IN
  \/ UpdateTerm(i,j,m) \/ (/\ m.mtype == RequestVoteRequest /\ HandleRequestVoteRequest(i,j,m))
  \/ (/\ m.mtype == RequestVoteResponse /\ (DropStaleResponse(i.j.m) \/ HandleRequestVoteResponse(i,j,m)))
  \/ (/\ m.mtype == AppendEntriesRequest /\ HandleAppendEntriesRequest(i,j,m))
  \/ (/\ m.mtype == AppendEntriesResponse /\ (DropStaleResponse(i,j,m) \/ HandleAppendEntriesResponse(i,j,m)))
UpdateTerm(i,j,m) == /\ m.mterm > currentTerm[i] /\ currentTerm' = [currentTerm EXCEPT ![i]=m.mterm]
  /\ state' = [state EXCEPT ![i]=Follower] /\ votedFor' == [votedFor EXCEPT ![i]=Nil]
  /\ UNCHANGED <<messages, candidateVars, leaderVars, logVars>>
DropStaleResponse(i,j,m) == /\ m.mterm < currentTerm[i] /\ Discard(m)</pre>
  /\ UNCHANGED <<serverVars, candidateVars, leaderVars, logVars>>
HandleRequestVoteRequest(i,j,m) == LET log0k == \/ m.mlastLogTerm > LastTerm(log[i])
      \/ (m.mlastLogTerm = LastTerm(log[i]) /\ m.mlastLogIndex >= Len(log[i]))
  IN LET grant == /\ m.mterm = currentTerm[i] /\ log0k /\ votedFor[i] \in {Nil,j}
  IN /\ m.mterm <= currentTerm[i]</pre>
  /\ ((grant /\ votedFor' = [votedFor EXCEPT ![i]=j]) \/ (~grant /\ UNCHANGED votedFor))
  /\ Reply([mtype |-> RequestVoteResponse, mterm |-> currentTerm[i], mvoteGranted |-> grant,
    msource |-> i, mdest |-> j], m)
  /\ UNCHANGED <<state, currentTerm, candidateVars, leaderVars, logVars>>
HandleRequestVoteResponse(i,j,m) == /\ m.mterm = currentTerm[i]
  /\ votesResponded' = [votesResponded EXCEPT ![i]=votesResponse[i] \union {j}]
  /\ ((m.mvoteGranted /\ votesGranted' = [votesGranted EXCEPT ![i]=votesGranted[i] \union {j}])
    \/ (~m.mvoteGranted /\ UNCHANGED <<votesGranted>>))
  /\ Discard(m) /\ UNCHANGED <<serverVars, votedFor, leaderVars, logVars>>
HandleAppendEntriesRequest(i,j,m) == LET logOK == \/ m.prevLog = 0
    \/ /\ m.mprevLogIndex > 0 /\ m.mprevLogIndex <= Len(log[i]) /\ m.mprevLogTerm = log[i][m.mprevLogIndex].term
  IN /\ m.mterm <= currentTerm[i] /\</pre>
    \/ /\ (m.mterm < currentTerm[i] \/ (m.mterm = currentTerm[i] /\ state[i] = Follower /\ ~logOk))
       /\ Reply([mtype |-> AppendEntriesResponse, mterm |-> currentTerm[i], msuccess |-> FALSE,
         mmatchIndex |-> 0, msource |-> i, mdest |-> j], m)
       /\ UNCHANGED <<currentTerm, votedFor, logVars, messages>> (*reject*)
    \/ /\ m.mterm = currentTerm[i] /\ state[i] = Candidate /\ state' = [state EXCEPT ![i]=Follower]
       /\ UNCHANGED <<currentTerm, votedFor, logVars, messages>> (*return to follower*)
    \/ /\ m.mterm = currentTerm[i] /\ state[i] = Follower /\ logOk /\ LET index == m.prevLogIndex + 1
       IN \/ /\ m.mentries # <<>> /\ Len(log[i]) = m.mprevLogIndex
             /\ log' = [log EXCEPT ![i]=Append(log[i], m.mentries[1])]
             /\ UNCHANGED <<serverVars, commitIndex, messages>> (**no conflict: append entry)
          \/ /\ m.mentries # <<>> /\ Len(log[i]) >= index /\ log[i][index].term # m.mentries[1].term
             /\ LET new == [index2 \in 1..(Len(log[i])-1) |-> log[i][index2]] IN log' = [log EXCEPT ![i]=new]
             /\ UNCHANGED <<serverVars, commitIndex, messages>> (*conflict: remove 1 entry*)
          \/ /\ \/ m.mentries = <<>>
                \/ /\ m.mentries # <<>> /\ Len(log[i]) >= index /\ log[i][index].term = m.mentries[1].term
             /\ commitIndex' = [commitIndex EXCEPT ![i]=m.mcommitIndex]
             /\ Reply([mtype |-> AppendEntriesResponse, mterm |-> currentTerm[i], msuccess |-> TRUE,
               mmatchIndex |-> m.prevLogIndex + Len(m.mentries), msource |-> i, mdest |-> j], m)
             /\ UNCHANGED <<serverVars, log>> (*processed request send response*)
HandleAppendEntriesResponse(i,j,m) == /\ m.mterm = currentTerm[i]
  /\ \/ /\ m.msuccess /\ nextIndex' = [nextIndex EXCEPT ![i][j]=m.mmatchIndex+1]
        /\ matchIndex' = [matchIndex ![i][j]=m.mmatchIndex] (*successful*)
     \/ /\ ~m.msuccess /\ nextIndex' = [nextIndex EXCEPT ![i][j]=Max({nextIndex[i][j]-1,1})]
        /\ UNCHANGED <<matchIndex>> (*not successful*)
  /\ Discard(m) /\ UNCHANGED <<serverVars, candidateVars, logVars>>
(*Properties*)
currentTermMonotonicallyIncreases == \A i \in Server: currentTerm[i] <= currentTerm'[i]</pre>
electionSafety == \A e, f \in elections: e.eterm = f.eterm => e.eleader = f.eleader (*<= 1 leader per term*)
leaderAppendOnly == \A e \in elections: currentTerm[e.leader] = e.term => \A i \in 1..Len(log[e.leader]):
  log'[e.leader][i] = log[e.leader][i] (*leader log grow monotonically during term*)
stateMachineSafety == \A i \in Server: /\ commitIndex <= Len(log[i])</pre>
  // \A <<index, term>> \in log[i]: index <= commitIndex[i] => <<iindex, term>> \in committed(currentTerm[i])
(*servers only apply committed entries*)
committed(t) == {<<index, term>>: \A e \in elections: e.eterm > t => <<index, term>> \in e.elog}
(*entry is committed at t if present in every leader's log following t*)
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