

Primitive RA Operators

π	Project order does not matter	(1)
σ	Select	(1)
\times	Cartesian Product	(2)
\cup	Union*	(2)
$-$	Difference*	(2)

SQL DML

SELECT DISTINCT WHERE CROSS JOIN UNION EXCEPT (ALL for log)

(SELECT returns a log,
 π returns a set)

* must be union compatible
- same no. of attributes
- domain of attributes must be compatible

Project and Project

$$\pi_{\vec{X}} \pi_{\vec{Y}} R \equiv \pi_{\vec{X}} R$$

You can eliminate any inner project (note that to be well formed $\vec{X} \subseteq \vec{Y}$)

Project and Select

$$\pi_{\vec{X}} \sigma_{P(\vec{Y})} R \equiv \sigma_{P(\vec{Y})} \pi_{\vec{X}} R$$

If $\vec{Y} \subseteq \vec{X}$ you can move a project of \vec{X} inside a select of \vec{Y}

Project and Product

$$\pi_{\vec{X}}(R \times S) \equiv \pi_{\vec{X} \cap \text{Attrs}(R)} R \times \pi_{\vec{X} \cap \text{Attrs}(S)} S$$

Project and Union

$$\pi_{\vec{X}}(R \cup S) \equiv \pi_{\vec{X}} R \cup \pi_{\vec{X}} S$$

Project and Difference

$$\pi_{\vec{X}}(R - S) \supseteq \pi_{\vec{X}} R - \pi_{\vec{X}} S$$

Select and Project

$$\sigma_{P(\vec{X})} \pi_{\vec{X}} R \equiv \pi_{\vec{X}} \sigma_{P(\vec{X})} R$$

Select and Select

$$\sigma_{P_x(\vec{X})} \sigma_{P_y(\vec{Y})} R \equiv \sigma_{P_x(\vec{X}) \wedge P_y(\vec{Y})} R$$

Select and Product

$$\sigma_{P(\vec{X})}(R \times S) \equiv \sigma_{P(\vec{X})} R \times S \iff \vec{X} \subseteq \text{Attrs}(R)$$

If $\vec{X} \subseteq \text{Attrs}(R)$ you can move a select predicate $P(\vec{X})$ onto R.

Select and Union

$$\sigma_{P(\vec{X})}(R \cup S) \equiv \sigma_{P(\vec{X})} R \cup \sigma_{P(\vec{X})} S$$

Select and Difference

$$\sigma_{P(\vec{X})}(R - S) \equiv \sigma_{P(\vec{X})} R - S$$

Product and Union

$$R \times (S \cup T) \equiv (R \times S) \cup (R \times T)$$

Product and Difference

$$R \times (S - T) \equiv (R \times S) - (R \times T)$$

Union and Product

$$R \cup (S \times T) \text{ unable to move } \cup \text{ inside } \times$$

Union and Difference

$$R \cup (S - T) \text{ unable to move } \cup \text{ inside } -$$

Difference and Product

$$R - (S \times T) \text{ unable to move } - \text{ inside } \times$$

Difference and Union

$$R - (S \cup T) \equiv (R - S) - T$$

Derived RA Operators (2)

$$\bowtie \text{ Natural Join } R \bowtie S = \sigma_{R.A_1=S.A_1 \wedge \dots \wedge R.A_m=S.A_m} R \times S$$

$$\bowtie \text{ Semi Join } R \bowtie S = R \bowtie \pi_{A \in \text{Attrs}(R) \cap \text{Attrs}(S)} S$$

$$\cap \text{ Intersection* } R \cap S = R - (R - S)$$

$$\div \text{ Division } R \div S = \pi_{A \in \text{Attrs}(R) - \text{Attrs}(S)} R - \pi_{A \in \text{Attrs}(R) - \text{Attrs}(S)} ((\pi_{A \in \text{Attrs}(R) - \text{Attrs}(S)} R \times S) - R)$$

$$A=B \quad \bowtie \text{ Equi Join } R \bowtie S = \sigma_{R.A_i=S.B_i} R \times S$$

$$\bowtie \text{ Theta Join } R \bowtie S = \sigma_{\phi} R \times S$$

project the extra attributes in R that have each of the attributes of S

SQL DML

NATURAL JOIN

INTERSECT (ALL for log)

SELECT DISTINCT * FROM t AS t;
WHERE NOT EXISTS (SELECT * FROM t WHERE t.x=t.x); } x=y
EXCEPT SELECT * FROM t WHERE t.x=t.x; } x=y

$\pi_{\text{cname}, \text{type}}$ account
'McBrien, P.'
'McBrien, P.'
'Boyd, M.'
'Poulouvassilis, A.'
'Poulouvassilis, A.'
'Bailey, J.'

π_{type} account
'current'
'deposit'

$\pi_{\text{cname}, \text{type}}$ account / π_{type} account
'McBrien, P.'
'Poulouvassilis, A.'

JOIN.. ON

SQL Set Operations

WHERE IN
WHERE no IN (100, 101) listed produced

EXIST WHERE NOT EXIST (SELECT...) produced

ALL WHERE '11-jan-1999' < ALL (SELECT...) produced

SOME WHERE 'deposit' = SOME(SELECT...) produced

SQL Null

nulls are combined in SETS

x=null, null=null gives UNKNOWN

x IS NULL, x IS NOT NULL gives TRUE/FALSE

NOT IN(null,...) always returns nothing!

NOT EXIST(SELECT... = null) always returns everything!

SQL JOINS

LEFT (RIGHT) JOIN returns every row in left/right even if no row matches, filled with NULL instead

OUTER JOIN returns LEFT JOIN \cup RIGHT JOIN FULL OUTER JOIN IN SQL

SQL Extensions

Pattern Matching: WHERE column LIKE pattern ESCAPE escape-char

Cases : , CASE
WHEN ~
THEN ~
;
(ELSE ~) optional
END AS ~,

Modifications : ROUND(n, dp), SUBSTRING(str FROM n, FOR n2),
(must be placed in SELECT clause) UPPER(str), CHAR_LENGTH(str), POSITION(char IN str)

Aggregate : SUM, COUNT, AVG, MIN, MAX excludes NULL

COALESCE(a,b,c) goes down list until non-null

{ for single char
~ for any char
[A-C] for single A to C
[ABC] for single ABC

SQL OLAP

GROUP BY : only one output per group, aggregate functions for non grouped columns. NULL is grouped together

HAVING : similar to WHERE but for aggregates (SELECT.... FROM... WHERE... GROUP BY ... HAVING)

PARTITION: one output per row, $\text{Avg}(x)$ OVER (PARTITION BY y)

ORDER BY ... DESC : default ascending, RANK() OVER (ORDER BY ...) for numbering.

DATALOG Head :- Body

1. If Body then Head.
 2. Head is a single pred
 3. Body is a conjunction of pred
- a. cannot use same name for intentional & extensional preds.
 - b. preds start with small letter, vars start with capital letter
 - c. _ can be used for var that only appears once

Safe negation : A var in a negated \rightarrow pred must also appear in a non-negated pred.

TT : use subset of body vars in head

DI : name two preds in rule body, with same name / compare attribute

σ : use variable more than once / with data value

U : more than one rule def for intentional pred

X : Name two preds in rule body

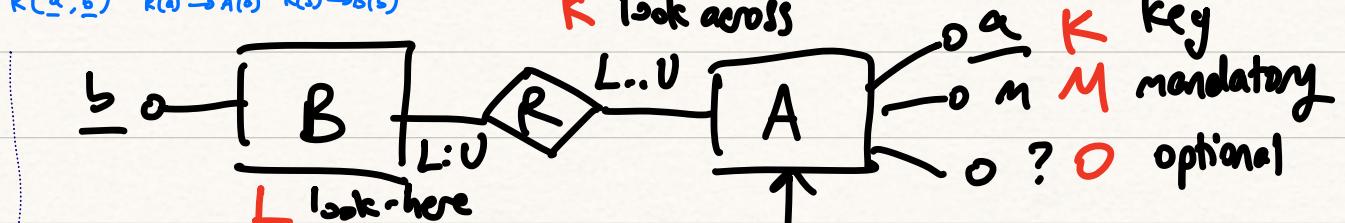
- : Negation on pred being subtracted

ER Modelling

$\begin{array}{ll} 1:1-D:N, & A(\dots, b) \xrightarrow{Fk} B(b) \\ D:1-D:N, & A(\dots, b?) \xrightarrow{Fk} B(b) \\ D:N-O;N, & R(\underline{a}, \underline{b}) \xrightarrow{Fk} A(a) \quad R(\underline{c}) \xrightarrow{Fk} B(b) \end{array}$

B appears L-U times in A
K look across

$A(\underline{a}, m, o?)$

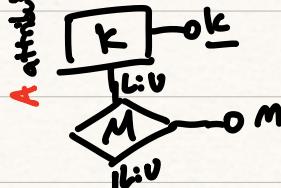


B appears L-U times in A

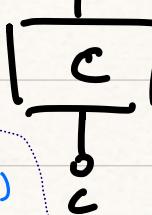
S subset/isa hierarchy

$c(\underline{a}, c) \xrightarrow{Fc} A(a)$

2 nested relationship



$M(E, h, i, j, m)$ $M(h) \xrightarrow{Fk} K(k)$
 $M(h, i, j) \xrightarrow{Fk} N(h, i, j)$



$I(i)$
 $IM(I, \underline{a}) \xrightarrow{Fc} I(\underline{i})$
 $IS(I, \underline{s}) \xrightarrow{Fc} I(\underline{i})$ } * and + some
 $IS(I, \underline{a}) \xrightarrow{Fc} I(\underline{i})$ } all key



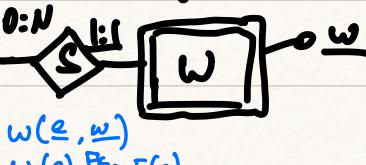
$O \rightarrow M$
 $M \rightarrow I$

$O \rightarrow M$ $M \rightarrow I$
 $O \star \rightarrow I$ $M \star \rightarrow I$

many-many, $N(h, i, j)$ $3 \times Fk$ all key
 one-many from H, $H(b, i, j)$ $2 \times Fk$

at least 1 1:1 attribute & key

W weak entity



disjointness / generalization hierarchy

D

Keys & FDs

Super-key X of R: X determines all other attributes of R

Generate minimal keys:
 1. Generate minimal cover
 2. Find keys where $K^+ = \text{Attr}(R)$

(non-unique) minimal-key X of R: not possible to remove attributes to form another super-key.

Closure S^+ : all FDs that can be inferred from S. $S^+ = T^+$ if $S^+ = T^+$

minimal cover S_c : $S_c^+ = S^+$ and not possible to remove another FD

Normalisation

1st Normal Form (1NF) Every attribute depends on key.

Generate minimal cover:

1. rewrite S to have single attribute on RHS of each FD
2. For each FD $X \rightarrow A$, if $(X-B) \rightarrow B$, remove B from X
3. For each FD $X \rightarrow A$, compute X^+ without $X \rightarrow A$. If $A \subseteq X^+$, remove it

Prime Attributes Attributes A of R that are part of a minimal candidate key X of R

3rd Normal Form (3NF) For every non-trivial FD $X \rightarrow A$, either X super-key or A prime

Boyce-Codd Normal Form (BCNF) For every non-trivial FD $X \rightarrow A$, X is a super key.

Lossless-join decomposition Decompose R into R_1, \dots, R_n such that $\text{Attr}(R_1) \cup \dots \cup \text{Attr}(R_n) = \text{Attr}(R)$

FD-preserving decomposition Lossless decomposition preserve FDs if $S^+ = (S_a \cup S_b)^+$

Generating 3BCNF: (1) Find $\text{FD}(X \rightarrow A) \subseteq S$ which violates $\text{NF}^X_{\text{not superkey}} \text{ and } A \text{ non-prime}$ 3NF BCNF

(2) Decompose R into $R_a(\text{Attr}(R)-A)$ and $R_b(XA)$ ($X \subseteq R_a \text{ & } (X \rightarrow A) \subseteq R_b$)

(3) Project S onto new relations and repeat from (1)

Serialisability & Recoverability

Serialisability concurrent execution same end result as some serial execution of those transaction

Recoverability No transaction commits depending on uncommitted data

(1) Lost Update not serialisable
recoverable $r_1[0] \leftarrow w_1[0] \leftarrow w_1[0]$ reading update leads to no change

(2) Inconsistent Analysis not serialisable
recoverable $r_1[0_a] \leftarrow w_2[0_a], w_2[0_b] \leftarrow r_1[0_b]$ reading halfway through update

(3) Dirty Read serialisable
not recoverable $w_1[0] \leftarrow r_2[0] \leftarrow e_1$

(4) Dirty Write not serialisable
recoverable $w_1[0] \leftarrow w_2[0] \leftarrow e_1$

Serialisability conflicts occur when $(r_x[0] \text{ and } w_y[0])$ or $(w_x[0] \text{ and } w_y[0])$ are in H

H is conflict serialisable (CSR) if the serialisation graph of H is acyclic. e.g. lost update ①, inconsistent analysis ②

Recoverable (RC) if no transactions commit before another transaction it reads from commits

Avoids Cascading Aborts (ACA) if no reads from non-committed transaction ST C ACA C RC

Strict (ST) if no read from non-committed transaction + no write over non-committed transaction

Concurrency

Two-Phase Locking (2PL)

① growing phase + shrinking phase \rightarrow only one peak / cannot unlock then lock

② refuse $rl_i[0]$ if $wl_j[0]$ is held } delay transaction

③ refuse $wl_i[0]$ if $rl_j[0]$ or $wl_k[0]$ is held } put on waits-for-graph (WFG)

If WFG has no cycle \rightarrow no deadlock

If WFG has cycle \rightarrow deadlock \rightarrow rollback one of the transactions (abort)