

# PS3

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## 1 Proofs by induction

- a) Proof: We want to prove that the closed form of  $a_n = x^{2^n}$  where  $x \in \mathbb{R}$ ,  $n \in \mathbb{N}$   
Let  $x \in \mathbb{R}$ , define  $P(n)$  as  $a_n = x^{2^n}$  where  $n \in \mathbb{N}$

**Base Case:** Let  $x \in \mathbb{R}$ , let  $n = 0$ , we want to prove that  $P(0)$  is True, that is  $a_0 = x^{2^0} = x$   
By recursive sequence defined in question,  $a_0 = x$   
let  $n = 1$ , we want to prove that  $P(1)$  is True, that is  $a_1 = x^{2^1} = x^2$   
By definition, since  $n \neq 0 \Rightarrow a_1 = x \cdot \prod_{i=0}^{1-1} a_i = x \cdot a_0 = x \cdot x = x^2$   
Thus, base case is proved.

**Inductive Step:** Let  $x \in \mathbb{R}$ , let  $k \in \mathbb{N}$  and let  $k \geq 1$ , assume  $P(k)$  is True,  $a_k = x^{2^k}$ ,  
we will prove  $P(k+1)$  is True, that is  $a_{k+1} = x^{2^{k+1}}$   
Since  $k > 0$ , so  $k+1 > 0$ , by definition,  $a_{k+1} = x \cdot \prod_{i=0}^{(k+1)-1} a_i = x \cdot \prod_{i=0}^k a_i = (x \cdot \prod_{i=0}^{k-1} a_i) \cdot a_k = a_k \cdot a_k = (a_k)^2$   
Since  $k > 0$ , by induction hypothesis,  $a_{k+1} = (a_k)^2 = (x^{2^k})^2 = x^{2^{k+1}}$   
Thus,  $P(k+1)$  is hold,  $a_{k+1} = x^{2^{k+1}}$  is proved. Inductive step is proved.

The closed form of  $a_n$  is  $x^{2^n}$  where  $n \in \mathbb{N}$ ,  $x \in \mathbb{R}$ .

- b) Proof: We want to prove that  $\forall n \in \mathbb{N}, E_n = \frac{3^n+1}{2} \wedge O_n = \frac{3^n-1}{2}$   
Define  $P(n)$  as  $E_n = \frac{3^n+1}{2} \wedge O_n = \frac{3^n-1}{2}$  where  $n \in \mathbb{N}$

**Base Case:** Let  $n = 0$ , we want to prove  $P(0)$  is True. That is  $E_0 = \frac{3^0+1}{2} = 1 \wedge O_0 = \frac{3^0-1}{2} = 0$   
By definition, digit sum of empty ternary string  $\epsilon$  is 0, so  $E_0 = 1$ ,  
since in total there are  $3^0 = 1$  number of ternary string of length 0, their digit sums are either even or odd  
so  $O_0 + E_0 = 3^0 = 1$   
so  $O_0 = 1 - E_0 = 1 - 1 = 0$   
Thus, base case is proved.

**Inductive Step:** let  $k \in \mathbb{N}$ , assume  $P(k)$  is True, that is  $E_k = \frac{3^k+1}{2} \wedge O_k = \frac{3^k-1}{2}$   
We will prove  $P(k+1)$  is True. That is  $E_{k+1} = \frac{3^{k+1}+1}{2} \wedge O_{k+1} = \frac{3^{k+1}-1}{2}$   
 $E_{k+1}$  is number of even digit sum ternary strings with length  $k+1$ .  
Denote  $x$  as the last character of ternary string of length  $k+1$ , so  $x \in (0, 1, 2)$   
Let  $S_k$  = the digit sum of any ternary string of length  $k$   
so  $S_{k+1}$  = the digit sum of any ternary string of length  $k+1$   
By Fact,  $Even(S_{k+1}) \Leftrightarrow (Even(S_k) \wedge Even(x)) \vee (Odd(S_k) \wedge Odd(x))$   
Since  $x$  can be 0 or 2 to be even,  $x$  can be 1 to be odd.

- Case: by fact,  $Even(S_{k+1})$  can consist of  $(Even(S_k) \wedge Even(x))$   
if  $x$  is even,  $x = 0$  or  $x = 2$

By Induction Hypothesis, we assume  $P(K)$  is right. Therefore  $E_k = \frac{3^k+1}{2}$ .

In this case, there are  $2 \cdot E_k$  ternary strings of length  $k+1$  that the digit sum is even

- Case: by fact,  $Even(S_{k+1})$  can consist of  $(Odd(S_k) \wedge Odd(x))$   
if  $x$  is odd,  $x=1$

By Induction Hypothesis, we assume  $P(K)$  is right. Therefore  $O_k = \frac{3^k-1}{2}$ .

In this case, there are  $1 \cdot O_k$  ternary strings of length  $k+1$  that the digit sum is even

$$\Rightarrow E_{k+1} = 2 \cdot E_k + 1 \cdot O_k = 2 \cdot \frac{3^k+1}{2} + \frac{3^k-1}{2} = 3^k + 1 + \frac{3^k-1}{2} = \frac{3 \cdot 3^k + 1}{2} = \frac{3^{k+1}+1}{2}$$

Since in total there are  $3^{k+1}$  number of ternary string of length  $k+1$ , their digit sums are either even or odd

$$\Rightarrow E_{k+1} + O_{k+1} = 3^{k+1}$$

$$\Rightarrow O_{k+1} = 3^{k+1} - E_{k+1} = 3^{k+1} - \frac{3^{k+1}+1}{2} = \frac{3^{k+1}-1}{2}$$

Thus the inductive step is proved.

$$\forall n \in \mathbb{N}, E_n = \frac{3^n+1}{2} \wedge O_n = \frac{3^n-1}{2}$$

## 2 Binary representations

- a) We want to prove:  $\forall n \in \mathbb{Z}^+, 4 \mid n \Rightarrow 0.2 - z_n = \frac{1}{5 \cdot 2^n}$   
let  $n \in \mathbb{Z}^+$ , assume that  $4 \mid n \Rightarrow n = 4k$  for some  $k \in \mathbb{Z}^+$ , prove by induction on  $k$ .  
Define  $P(k)$  as  $0.2 - z_{4k} = \frac{1}{5 \cdot 2^{4k}}$  where  $k \in \mathbb{Z}^+$

**Base Case:** let  $k=1$ , so  $n=4k=4$ . We want to prove  $P(1)$  is True, that is  $0.2 - z_4 = \frac{1}{5 \cdot 2^4} = \frac{1}{80}$

By question,  $z_4 = 0.1875 \Rightarrow 0.2 - z_4 = 0.0125 = \frac{1}{80} = \frac{1}{5 \cdot 2^4}$

Thus, base case is proved.

**Inductive Step:** let  $k \in \mathbb{Z}^+$ , assume  $P(k)$  is True, that is  $0.2 - z_{4k} = \frac{1}{5 \cdot 2^{4k}}$ .

We want to prove  $P(k+1)$  is True, that is  $0.2 - z_{4(k+1)} = \frac{1}{5 \cdot 2^{4(k+1)}} \Rightarrow 0.2 - z_{4k+4} = \frac{1}{5 \cdot 2^{4k+4}}$

We can write  $z_{4k} = (b_0 b_1 \dots b_{4k})_2$  and  $z_{4k+4} = (b_0 \dots b_{4k} b_{4k+1} b_{4k+2} b_{4k+3} b_{4k+4})_2$

By question, we know that 0.2 using infinite sequence of bits, and  $z_{4k}, z_{4k+4}$  are approximation of 0.2

$$\Rightarrow b_{4k+1} = 0, b_{4k+2} = 0, b_{4k+3} = 1, b_{4k+4} = 1$$

$$\Rightarrow z_{4k+4} = z_{4k} + \frac{1}{2^{4k+1}} \times 0 + \frac{1}{2^{4k+2}} \times 0 + \frac{1}{2^{4k+3}} \times 1 + \frac{1}{2^{4k+4}} \times 1 = z_{4k} + \frac{1}{2^{4k+3}} + \frac{1}{2^{4k+4}}$$

$$\Rightarrow 0.2 - z_{4k+4} = 0.2 - z_{4k} - \frac{1}{2^{4k+3}} - \frac{1}{2^{4k+4}}$$

$$(\text{by Induction hypothesis}) = \frac{1}{5 \cdot 2^{4k}} - \frac{1}{2^{4k} \cdot 2^3} - \frac{1}{2^{4k} \cdot 2^4} = \frac{1}{5 \cdot 2^{4k}} - \frac{1}{2^{4k}} \cdot \frac{1}{8} - \frac{1}{2^{4k}} \cdot \frac{1}{16} = \frac{1}{2^{4k}} \cdot \left( \frac{1}{5} - \frac{1}{8} - \frac{1}{16} \right)$$

$$= \frac{1}{2^{4k}} \cdot \frac{1}{80} = \frac{1}{2^{4k} \cdot 5 \cdot 16} = \frac{1}{2^{4k} \cdot 5 \cdot 2^4} = \frac{1}{5 \cdot 2^{4k+4}}$$

**Thus, the inductive step is proved.**  $\forall n \in \mathbb{Z}^+, 4 \mid n \Rightarrow 0.2 - z_n = \frac{1}{5 \cdot 2^n}$

- b) We want to prove  $\forall n \in \mathbb{Z}^+, \forall x \in S, \exists x_1 \in S, FB(n, x_1) \wedge 0 \leq x - x_1 \leq \frac{1}{2^n}$ , prove by induction on  $n$ .  
Define  $P(n)$  as  $\forall x \in S, \exists x_1 \in S, FB(n, x_1) \wedge 0 \leq x - x_1 \leq \frac{1}{2^n}$  where  $n \in \mathbb{Z}^+$

**Base Case:** let  $n = 1$ . Let  $x \in S = \{a \in \mathbb{R} | 0 \leq a < 1\}$

- Case 1:  $x \in [0, \frac{1}{2})$ , take  $x_1 = 0 = (0.0)_2 \Rightarrow FB(1, X_1)$  is True  
 $\Rightarrow x - x_1 \in [0, \frac{1}{2}) \Rightarrow 0 \leq x - x_1 < \frac{1}{2} \Rightarrow 0 \leq x - x_1 \leq \frac{1}{2}$   
 $\Rightarrow FB(1, X_1) \wedge 0 \leq x - x_1 \leq \frac{1}{2}$
- Case 2:  $x \in [\frac{1}{2}, 1)$ , take  $x_1 = \frac{1}{2} = (0.1)_2 \Rightarrow FB(1, X_1)$  is True  
 $\Rightarrow x \geq \frac{1}{2} = x_1 \Rightarrow x - x_1 \geq 0$   
since  $x < 1 \Rightarrow x - x_1 < \frac{1}{2} \Rightarrow x - x_1 \leq \frac{1}{2}$   
 $\Rightarrow 0 \leq x - x_1 \leq \frac{1}{2}$   
 $\Rightarrow FB(1, X_1) \wedge 0 \leq x - x_1 \leq \frac{1}{2}$

Thus, base case is proved

**Induction Hypothesis:** let  $k \in \mathbb{Z}^+$ , assume  $P(k)$  is True

that is  $\forall x \in S, \exists x_k \in S, FB(k, x_k) \wedge 0 \leq x - x_k \leq \frac{1}{2^k}$  where  $k \in \mathbb{Z}^+$

Want to prove  $P(k+1)$  is True

that is  $\forall x \in S, \exists x_{k+1} \in S, FB(k+1, x_{k+1}) \wedge 0 \leq x - x_{k+1} \leq \frac{1}{2^{k+1}}$  where  $k \in \mathbb{Z}^+, x, x_{k+1} \in S$

By Induction Hypothesis,  $\exists x_k$  such that  $FB(k, x_k) \wedge 0 \leq x - x_k \leq \frac{1}{2^k}$

- Case1: if  $0 \leq x - x_k \leq \frac{1}{2^{k+1}}$

By Inductive Hypothesis,  $FB(k, x_k)$  is True

$\Rightarrow$  we can write  $x_k = (0.b_1b_2...b_k)_2$  where  $b_1, ..., b_k \in \{0, 1\}$

let  $x_{k+1} = (0.b_1b_2...b_kb_{k+1})_2$  with  $b_1, ..., b_k$  equals to bits of  $x_k$  and  $b_{k+1} = 0$

By Inductive Hypothesis,  $FB(k, x_k)$  is True  $\Rightarrow FB(k+1, x_{k+1})$  is True

so  $x_{k+1} = x_k, x - x_{k+1} = x - x_k \in [0, \frac{1}{2^{k+1}}]$

$\Rightarrow 0 \leq x - x_{k+1} \leq \frac{1}{2^{k+1}}$

$\Rightarrow FB(k+1, x_{k+1}) \wedge 0 \leq x - x_{k+1} \leq \frac{1}{2^{k+1}}$

- Case2:  $\frac{1}{2^{k+1}} < x - x_k \leq \frac{1}{2^k}$

By Inductive Hypothesis,  $FB(k, x_k)$  is True

$\Rightarrow$  we can write  $x_k = (0.b_1b_2...b_k)_2$  where  $b_1, ..., b_k \in \{0, 1\}$

let  $x_{k+1} = (0.b_1b_2...b_kb_{k+1})_2$  with  $b_1, ..., b_k$  equals to bits of  $x_k$  and  $b_{k+1} = 1$

By Inductive Hypothesis,  $FB(k, x_k)$  is True  $\Rightarrow FB(k+1, x_{k+1})$  is True

Since  $b_{k+1} = 1 \Rightarrow x_{k+1} = x_k + \frac{1}{2^{k+1}} \times 1$

Since  $x - x_k > \frac{1}{2^{k+1}} \Rightarrow x - x_{k+1} = x - x_k - \frac{1}{2^{k+1}} > \frac{1}{2^{k+1}} - \frac{1}{2^{k+1}} = 0 \Rightarrow x - x_{k+1} > 0$

$\Rightarrow x - x_{k+1} \geq 0$

Similarly, since  $x - x_k \leq \frac{1}{2^k} \Rightarrow x - x_{k+1} = x - x_k - \frac{1}{2^{k+1}} \leq \frac{1}{2^k} - \frac{1}{2^{k+1}} = \frac{1}{2^{k+1}}$

$\Rightarrow x - x_{k+1} \leq \frac{1}{2^{k+1}}$

$\Rightarrow 0 \leq x - x_{k+1} \leq \frac{1}{2^{k+1}}$

$\Rightarrow FB(k+1, x_{k+1}) \wedge 0 \leq x - x_{k+1} \leq \frac{1}{2^{k+1}}$

$\Rightarrow P(k+1)$  holds

Thus, the statement is proved.

### 3 Asymptotic notation

- a) WTP:  $n^4 + 165n^3 \in O(n^4 - n^2)$

WTS:  $\exists c \in \mathbb{R}^+, \exists n_0 \in \mathbb{R}^+, \forall n \in \mathbb{N}, n \geq n_0 \Rightarrow n^4 + 165n^3 \leq c \cdot (n^4 - n^2)$

Proof: let  $n_0 = 170, c = 2$ . Let  $n \in \mathbb{N}$ , assume  $n \geq n_0$ , we want to prove  $n^4 + 165n^3 \leq c \cdot (n^4 - n^2)$

$2n^2 \leq 2n^3 \Rightarrow 2n^2 + 165n^3 \leq 2n^3 + 165n^3 = 168n^3$

$\Rightarrow 2n^2 + 165n^3 \leq 170n^3$

Since  $n \geq n_0 = 170 \Rightarrow 2n^2 + 165n^3 \leq n^4$

$\Rightarrow 165n^3 \leq n^4 - 2n^2$

$\Rightarrow n^4 + 165n^3 \leq 2n^4 - 2n^2 = 2 \cdot (n^4 - n^2) = c \cdot (n^4 - n^2)$

Thus,  $\exists c \in \mathbb{R}^+, \exists n_0 \in \mathbb{R}^+, \forall n \in \mathbb{N}, n \geq n_0 \Rightarrow n^4 + 165n^3 \leq c \cdot (n^4 - n^2)$

And then, by definition,  $n^4 + 165n^3 \in O(n^4 - n^2)$

- b) We want to disprove the statement, so we prove its negation.

WTP:  $\forall f : \mathbb{N} \rightarrow \mathbb{R}^+, \exists g : \mathbb{N} \rightarrow \mathbb{R}_{\geq 0}, g \notin O(f) \wedge g \notin \Omega(f)$

Let  $f : \mathbb{N} \rightarrow \mathbb{R}^+$ , choose:

$$g(n) = \begin{cases} 0, & \text{if } n \text{ is odd} \\ (n+1)f(n), & \text{if } n \text{ is even} \end{cases} \quad (1)$$

First, we want to prove  $g \notin O(f)$ , that is

$\forall c_1 \in \mathbb{R}^+, \forall n_1 \in \mathbb{R}^+, \exists n \in \mathbb{N}, n \geq n_1 \wedge g(n) > c_1 f(n)$   
 let  $c_1 \in \mathbb{R}^+$ , let  $n_1 \in \mathbb{R}^+$ , let  $n = 2 \cdot \max(\lceil c_1 \rceil, \lceil n_1 \rceil)$ , so  $n \in \mathbb{N}$ , we want to prove  $n \geq n_1 \wedge g(n) > c_1 f(n)$   
 Since  $n = 2 \cdot \max(\lceil c_1 \rceil, \lceil n_1 \rceil)$ , so  $n \geq n_1$   
 also,  $n$  is even, so  $g(n) = (n+1)f(n)$   
 by definition of  $n$ ,  $c_1 \leq n \Rightarrow c_1 f(n) \leq n f(n)$   
 Since codomain of  $f$  is  $\mathbb{R}^+ \Rightarrow c_1 f(n) \leq (n+1)f(n) = g(n)$   
 so  $g \notin O(f)$  is proved.

Second, we want to prove  $g \notin \Omega(f)$ , that is  $\forall c_2 \in \mathbb{R}^+, \forall n_2 \in \mathbb{R}^+, \exists n' \in \mathbb{N}, n' \geq n_2 \wedge g(n') < c_2 f(n')$   
 let  $c_2 \in \mathbb{R}^+$ , let  $n_2 \in \mathbb{R}^+$ , let  $n' = 2\lceil n_2 \rceil + 1$ , so  $n' \in \mathbb{N}$ , we want to prove  $n' \geq n_2 \wedge g(n') < c_2 f(n')$   
 by definition of ceil function,  $n' \geq n_2$   
 also,  $n$  is odd, so  $g(n') = 0$   
 by definition of  $f$ , the codomain of  $f$  is  $\mathbb{R}^+$ , so  $f(n') > 0$ , also  $c_2 \in \mathbb{R}^+$ , so  $c_2 > 0$   
 $\Rightarrow c_2 f(n') > 0 = g(n')$

so  $g \notin \Omega(f)$  is proved.  
 $\forall f : \mathbb{N} \rightarrow \mathbb{R}^+, \exists g : \mathbb{N} \rightarrow \mathbb{R}_{\geq 0}, g \notin O(f) \wedge g \notin \Omega(f)$

## 4 Little-Oh

- b) We want to prove  $\forall f, g : \mathbb{N} \rightarrow \mathbb{R}^+$ , if  $g \in o(f)$  then  $f \notin O(g)$   
 Let  $f : \mathbb{N} \rightarrow \mathbb{R}^+$ , let  $g : \mathbb{N} \rightarrow \mathbb{R}^+$ , Assume  $g \in o(f)$   
 that is  $\forall c \in \mathbb{R}^+, \exists n_0 \in \mathbb{R}^+, \forall n \in \mathbb{N}, n \geq n_0 \Rightarrow g(n) \leq c f(n)$

WTS:  $f \notin O(g)$ , i.e.  $\forall c_0 \in \mathbb{R}^+, \forall k_0 \in \mathbb{R}^+, \exists k \in \mathbb{N}, k \geq k_0 \wedge f(k) > c_0 g(k)$

Let  $c \in \mathbb{R}^+ \Rightarrow c + 1 \in \mathbb{R}^+ \Rightarrow \frac{1}{c+1} \in \mathbb{R}^+$   
 by assumption, since  $\frac{1}{c+1} \in \mathbb{R}^+$ , we can fix  $n_0$  such that  $\forall n \in \mathbb{N}, n \geq n_0 \wedge g(n) \leq \frac{f(n)}{c+1}$   
 Let  $c_0 \in \mathbb{R}^+$ , let  $k_0 \in \mathbb{R}^+$  fix  $k = \max[n_0, k_0]$   
 By assumption, since  $k \geq n_0$ , we can fix  $k$  such that  $g(k) \leq \frac{f(k)}{c_0+1}$   
 since  $c_0 \in \mathbb{R}^+ \Rightarrow c_0 + 1 \in \mathbb{R}^+ \Rightarrow g(k)(c_0 + 1) \leq f(k)$   
 Since the codomain of  $g$  is greater than 0, so  $g(k) > 0$   
 $\Rightarrow c_0 g(k) < f(k)$   
 $\Rightarrow k \geq k_0 \wedge c_0 g(k) < f(k)$   
 Hence,  $f \notin O(g)$ .

The statement is proved.