

236A3

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1 MysterySort

1.1 a

$$T(n) = \begin{cases} a, n = 1 \\ T(n-1) + b(n-1) + c, n > 1 \end{cases}^{(1)}$$

For any natural number n , let $T(n)$ denotes the maximum number of steps executed by a call to $\text{MySterySort}(A, s, e)$. where $n = e - s + 1$.

If $n=1$, that means $e - s = 0$, so $e = s$, then it does nothing except evaluating the if-condition, which take constant time, represented by a constant value a .

Otherwise, $n > 1$, $e - s + 1 > 1$, so $e > s$, line 2-12 executes. The recursive call in line 3 increments s by one, and therefore decrements $n = e - s + 1$. Therefore, line 3 executes $T(n-1)$. The while loop in line 7 executes $n-1$ times. Therefore lines 7-9 takes $b_1 * (n-1)$, where b_1 is a constant value. Line 11 appends $A[s]$, so it takes constant time. For line 12, it is list concatenation and there are total $n-1$ elements, so it takes $b_2 (n-1)$ for concatenation. Also, line 4-6 and line 11 takes constant time, denotes as c . So in total, $T(n) = T(n-1) + b_1(n-1) + b_2(n-1) + c$. Simplify equation as $T(n) = T(n-1) + 2b(n-1) + c$ where $b = \max\{b_1, b_2\}$

1.2 b

Step 1 (Formulate a loop invariant)

Let $LI(k)$ denote the assertion that if the loop is executed at least k times, then

- (a) $C_k = B[0 : i_k]$
- (b) $\forall j \in N, 0 \leq j \leq i_k - 1, C_k[j] > A[s]$
- (c) C_k is sorted in non-increasing order.
- (d) $0 \leq i_k \leq \text{len}(B)$

Step 2 (Prove the LI):

Basis: On entering the loop, C_0 is empty list, $C_0 = []$ and $i_0 = 0$

Therefore $0 \leq i_0 \leq \text{len}(B)$, also, since there are no elements in C_0 ,

so all the elements in C_0 are greater than $A[s]$ and thus sorted in non-increasing order

Induction Step: Let k be an arbitrary number and assume that $LI(k)$ holds.

That is, if the loop is executed at least k times, then (i) $C_k = B[0 : i_k]$, (ii) $\forall j \in N, 0 \leq j \leq i_k - 1, C_k[j] > A[s]$

(iii) C_k is sorted in non-increasing order (iv) $0 \leq i_k \leq \text{len}(B)$

WTP: $LI(k+1)$ holds

Assume that $k+1$ iterations exist. Then we have

$$\begin{aligned} C_{k+1} &= C_k.append(B[i_k]) \text{ [Line 8]} \\ &= B[0 : i_k].append(B[i_k]) \text{ [IH(i)]} \\ &= B[0 : i_{k+1}] \end{aligned}$$

as wanted for part (a) in LI(k+1)

By IH(ii), we know that after kth iteration, $\forall j \in N, 0 \leq j \leq i_{k-1}, C_k[j] > A[s]$

For the next iteration, (k+1)th iteration, by line 9, we know that $i_k = i_{k+1} - 1$ and $i_{k-1} = i_k - 1$

By line 7, since (k+1)th iteration exists, so $B[i_k] > A[s]$

By line 8, we know that $C_{k+1} = C_k.append(B[i_k])$

$\Rightarrow \forall j \in N, 0 \leq j \leq i_k, C_{k+1}[j] > A[s]$

$\Rightarrow \forall j \in N, 0 \leq j \leq i_{k+1} - 1, C_{k+1}[j] > A[s]$

as wanted for par(b) in LI(k+1)

By IH(iii), we know that after kth iteration, C_k is sorted in non-increasing order

By line 9, we know that $i_{k+1} = i_k + 1 \Rightarrow i_{k+1} > i_k$

By line 8, we know that $C_{k+1} = C_k.append(B[i_k])$

By line 3, $B = \text{MysterySort}(A, s+1, e)$, so B is in non-increasing order

Since $i_{k+1} > i_k \Rightarrow B[i_k] \geq B[i_{k+1}]$. Similarly, we can say that $B[i_{k-1}] \geq B[i_k]$

Since $C_{k+1} = C_k.append(B[i_k])$

By line 7, $B[i_k] = B[i_{k+1} - 1] > l = A[s]$

Since C_k is sorted in non-increasing order, also, $B[i_{k-1}]$ is the last element of C_k

$\Rightarrow B[i_{k-1}]$ is the smallest element in C_k

So for C_{k+1} , the new added element is $B[i_k]$ which is smaller than $B[i_{k-1}]$, the smallest element in C_k

\Rightarrow We can say that the new added element $B[i_k]$ is the smallest element in C_{k+1} and it is at the end of list

So elements from index 0 to i_k is in non-increasing order $\Rightarrow C_{k+1}$ is in non-increasing order

as wanted for part (c) in LI(k+1)

Note that since another iterations exists, the condition on Line 7 must hold after k iterations.

Thus, $i_k < \text{len}(B)$, or equivalently $i_{k+1} \leq \text{len}(B)$. So we have

$0 \leq i_k$ [IH(iv)]

$< i_{k+1}$ [Since by Line 9, $i_{k+1} = i_k + 1$]

$\leq \text{len}(B)$

as wanted for part (d) in LI(k+1)

Step 3: (Prove partial correctness)

Suppose the precondition holds and the program terminates. Since the program terminates, the loop is executed a finite number of times, say t . Consider the values of C_t, i_t on exit.

By part (d) in LI, $i_t \leq \text{len}(B)$

By exit condition, $i_t \geq \text{len}(B)$ or the while loop ends on m iterations since $B[i_m] \leq l$

Case 1: $i_t \geq \text{len}(B)$

Hence $i_t = \text{len}(B)$. By a in LI, $C_t = B[0 : i_t]$

So C_t contains all elements in B and is sorted in non-increasing order

By line 7, all elements in C_t is greater than $l = A[s]$, then by line 11, l is the last element of C_t

SO the return list is sorted in non-increasing order which contains all elements of $A[s : e+1]$ and nothing else

Case 2: the while loop ends on m iterations since $B[i_m] \leq l$

By a in LI, $C_m = B[0 : i_m]$, by c in LI, all the elements of C_m are in non-increasing order.

By d, all the elements in C_m is greater than $L = A[s]$

So, on line 11, $C_m.append(l)$, C_m is still in non-increasing order

By exit condition, $B[i_m] < l$, also since B is sorted in non-increasing order

\Rightarrow all the elements in $B[i_m : \text{len}(B)]$ are smaller than or equal to l and are sorted in non-increasing order

So by line 12, the list concatenation $C_m + B[i_m : \text{len}(B)]$ is sorted in non-increasing order

Since the return list contains all the element in B and $A[s]$, so it contains all elements of $A[s : e+1]$ and nothing else

Step 4: (Find an appropriate loop measure)

Let $m_k = \text{len}(B) - i_k$

Step 5: (Prove that the loop measure is a natural number on entering the loop and after every iteration, and decreases with every iteration):

Assume that k iterations exist.

By part (d) in LI, $i_k \leq \text{len}(B)$. So $m_k = \text{len}(B) - i_k \geq 0$. Thus m_k is always a natural number.

$$m_k = \text{len}(B) - i_k \text{ [definition of } m_k]$$

$$= \text{len}(B) - (i_{k-1} + 1) \text{ [Line 9]}$$

$$= \text{len}(B) - i_{k-1} - 1$$

$$= m_{k-1} - 1 \text{ [definition of } m_{k-1}]$$

$$< m_{k-1}$$

Thus, m decreases after every iterations, and so the values of m form a strictly decreasing sequence of natural numbers, which must be finite sequence

The loop eventually terminates

2 closed-formed expression

$$\begin{aligned}
T(x, n) &= 2^3 T\left(\frac{x}{2}, n-2\right) + 3x \\
&= 2^3 (2^3 T\left(\frac{x}{4}, n-4\right) + 3 \times \frac{x}{2}) + 3x \\
&= 2^3 (2^3 T\left(\frac{x}{4}, n-4\right)) + 3 \times 2^2 \times x + 3x \\
&= 2^6 (2^3 T\left(\frac{x}{8}, n-6\right) + 3 \times \frac{x}{4}) + 3 \times 2^2 \times x + 3x \\
&= 2^9 T\left(\frac{x}{8}, n-6\right) + 3 \times 2^4 \times x + 3 \times 2^2 \times x + 3x
\end{aligned}$$

$$k = 1, T(x, n) = 2^3 T\left(\frac{x}{2}, n-2\right) + 3x$$

$$k = 2, T(x, n) = 2^3 (2^3 T\left(\frac{x}{4}, n-4\right)) + 3 \times 2^2 \times x + 3x$$

$$k = 3, T(x, n) = 2^9 T\left(\frac{x}{8}, n-6\right) + 3 \times 2^4 \times x + 3 \times 2^2 \times x + 3x$$

$$\text{Let } k = \lfloor \frac{n}{2} \rfloor, T(x, n) = 3x(2^{3\lfloor \frac{n}{2} \rfloor} + \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor - 1} 2^{2i})$$