

Part I Syllabus

Lecture	Date	Subject
1	11/08/2020	Introduction
		Network layer & physical resilience
2	18/08/2020	Data link layer – Flow control
		Data link layer – Error control
3	25/08/2020	Local area network – Introduction
4	01/09/2020	Local area network – MAC
		Local area network – Ethernet
5	08/09/2020	Local area network – WLAN
		Mobile Access Networks: From 1G to 5G
6	22/09/2020	Packet switch network – Network paradigm

Drinking from Fire Hose



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CE3005/CZ3006 Computer Networks

Lecture 3 Data Link Layer (DLL): Flow Control



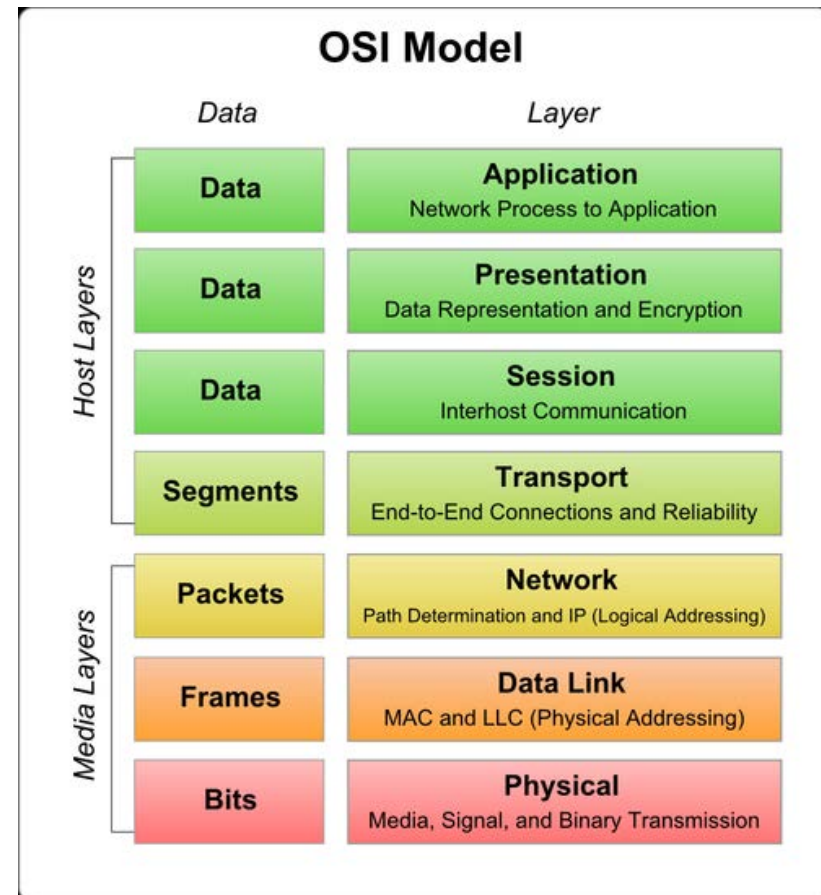
Contents

- **Data Link Layer Fundamentals**

- DLL Services
- Framing mechanisms
- Link configuration

- **Flow Control in DLL**

- Main purpose of flow control
- Stop-and-wait mechanism
- Sliding window mechanism

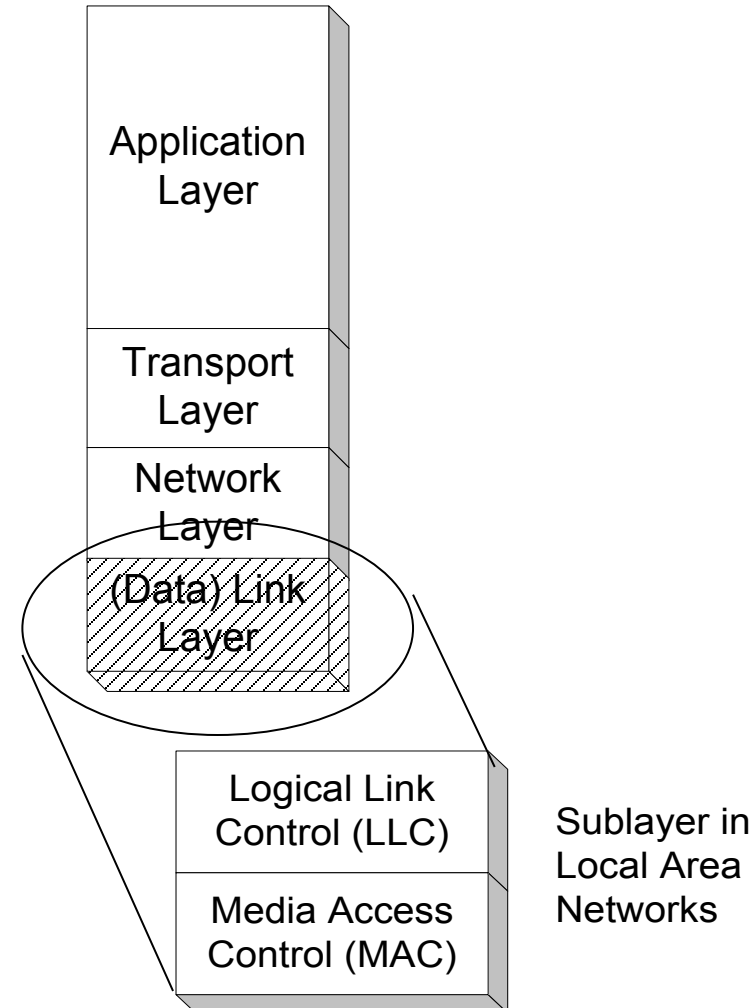


Data Link Layer Fundamentals

Data Link Layer: Roles

- **DLL Services**

- **Framing**: encapsulate each network-layer datagram within a link-layer frame before transmission over the link
- **Link Access**: MAC protocol specifying the rules by which a frame is transmitted onto the link
- **Flow Control**: control of data flow to ensure sender not overwhelm the receiver with data
- **Reliable Delivery**: move each network-layer datagram across the link without error

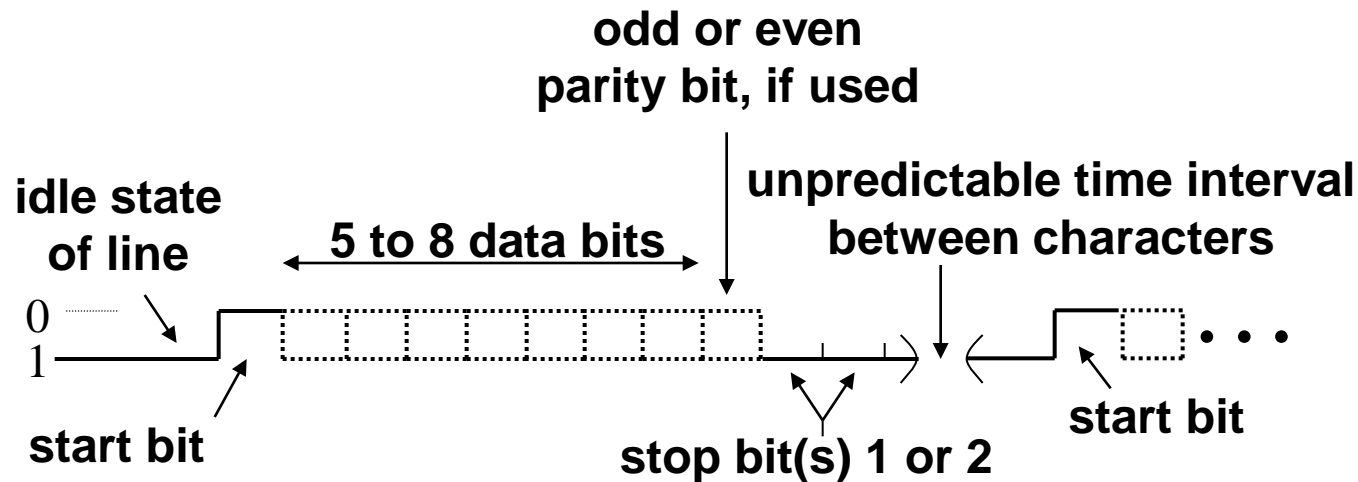


Framing

- **Byte Oriented (Character Oriented):**
 - Information is framed into a fixed 8-bit basic unit.
 - Some of these basic units are used for signaling (protocol control).
 - Good solution when digital technology was in its primitive age (late 60s).
- **Bit Oriented (HDLC)**
 - A flag is used to frame the bits sent.
 - Header/Trailer are used to describe the content of a frame. Frames may be used for control.
 - Used by all modern protocols (e.g., HDLC, PPP, Ethernet, etc).

Byte-Oriented Async. Transmission

- **Pre-determined frame format**
 - Start/stop bit
 - Parity check bit
 - Data bits

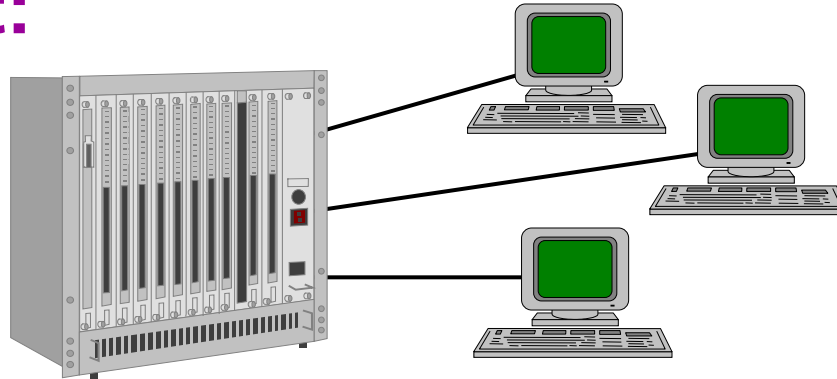


Link Configuration/Access

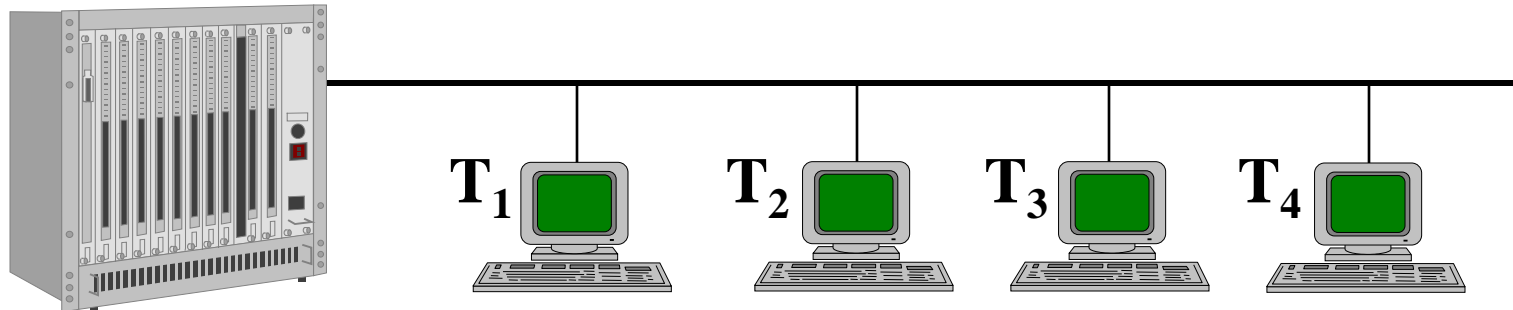
- **Objective:** determine **who** gets to transmit at **when** on a link
- **Topology:** physical arrangement of stations
 - Point-to-Point: pairs of hosts are directly connected
 - Broadcast: all stations share a single channel
- **Duplexity**
 - Half Duplex: Only one party may transmit at a time.
 - Full Duplex: Allows simultaneous transmission and reception between two parties (e.g., two logical half-duplex channels on a single physical channel).

Topology

Point-to-point:



Point-to-Multipoint (Broadcast):



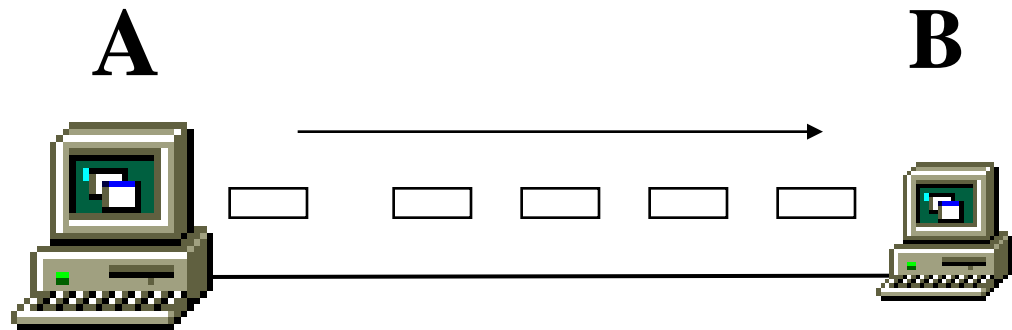
All terminals share the same medium controlled by the primary station (mainframe)

Flow Control

Functions and Mechanisms

- **Flow control**

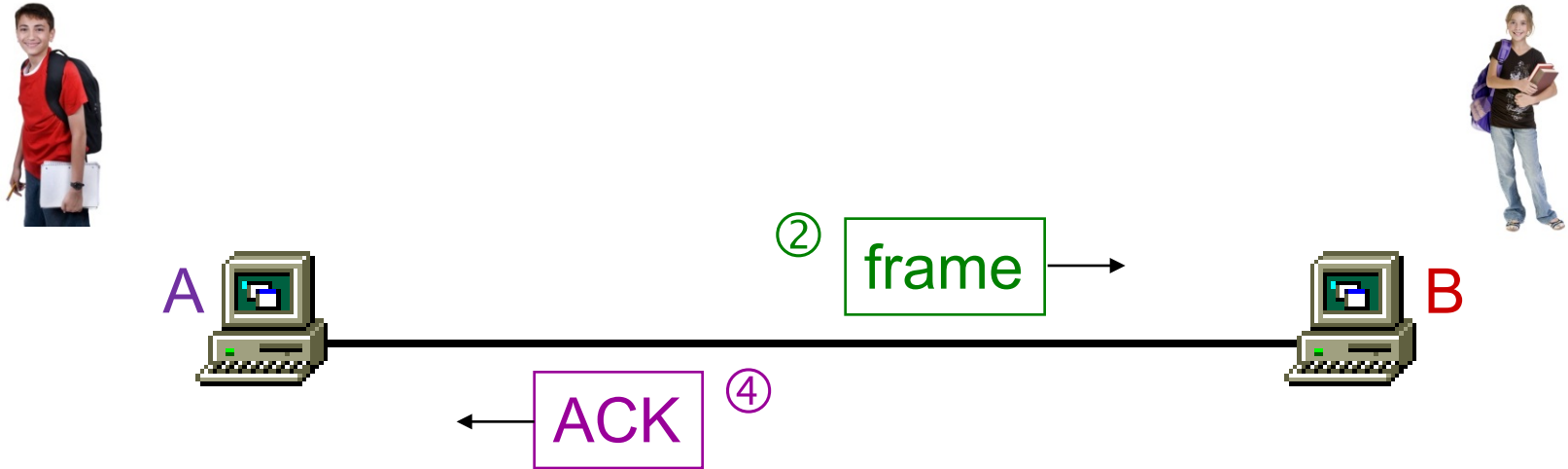
- Ensuring that a transmitting station does not overwhelm a receiving station with data, i.e., buffers at the receiver do not get overflow.
- No frame error



- **Two Flow-Control Mechanisms**

- Stop-and-Wait
- Sliding Window

Stop-and-Wait Flow Control



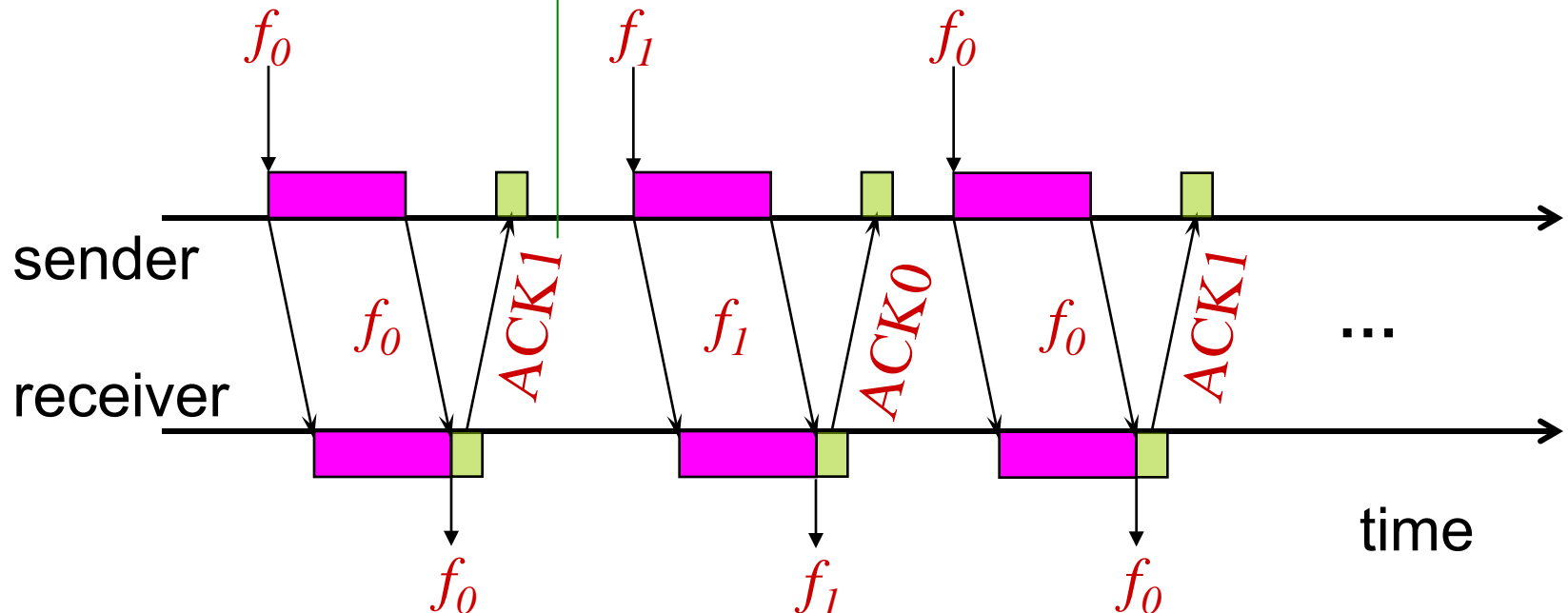
Operations:

- ① A packs binary information into a frame
- ② A sends the frame to B
- ③ A waits for an ACK
- ④ **When B has received the frame, B sends an ACK**
- ⑤ When A has received the ACK, A repeats ①

Frame Flow in Stop-and-Wait

ACK1 means the receiver expects f_1 , implying f_0 is received successfully

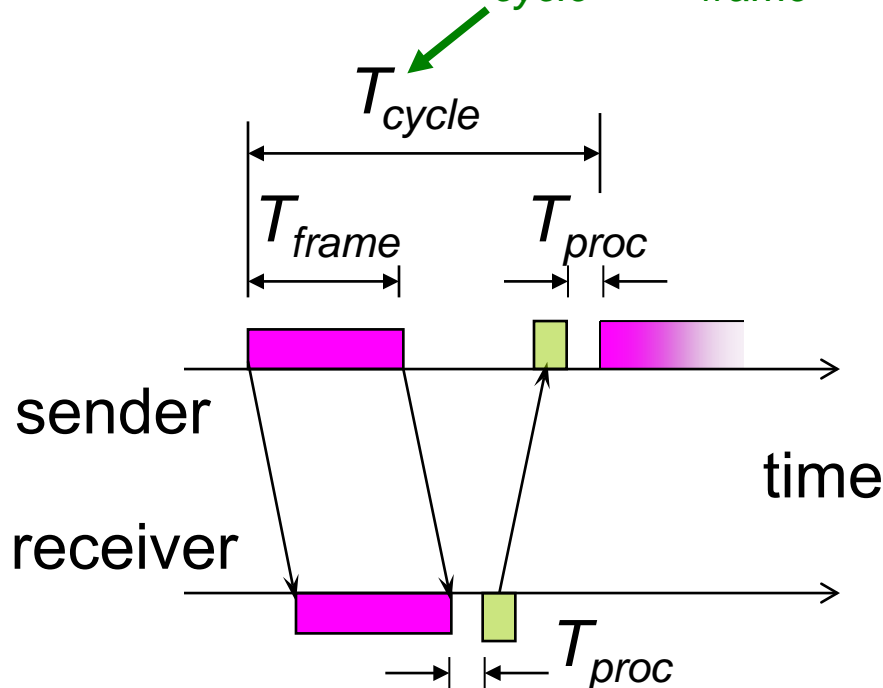
Binary sequence number is sufficient



Flow-Control Performance: Throughput

Throughput (U)
(Link Utilization) = $\frac{\text{the time that the link carries useful information}}{\text{the total time}} = \frac{T_{frame}}{T_{cycle}}$

$$T_{cycle} = T_{frame} + T_{prop} + T_{proc} + T_{ack} + T_{prop} + T_{proc}$$



T_{cycle} : Time needed to send a frame

T_{proc} : Processing time

T_{ack} : ACK Tx time

T_{frame} : Frame Tx time

T_{prop} : Signal Propagation delay

Throughput for Stop-and-Wait

- Assumptions

- Input is saturated
- No error
- Ignoring T_{ack} & T_{proc}

We get:

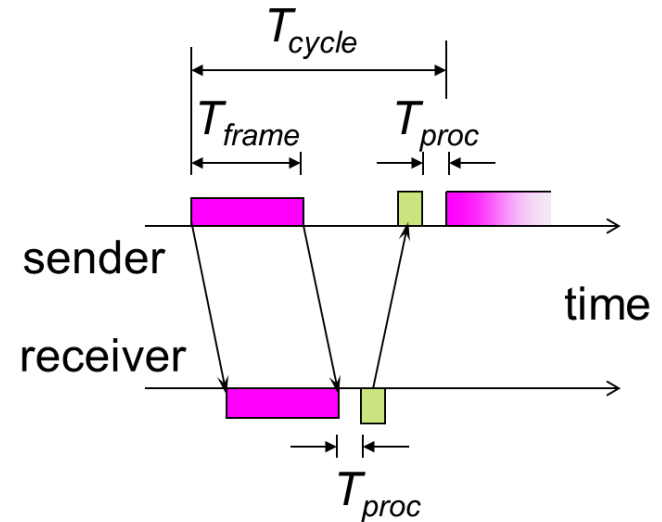
$$T_{cycle} = T_{frame} + 2 T_{prop}$$

Then:

$$\begin{aligned} U &= T_{frame} / (T_{frame} + 2 T_{prop}) \\ &= 1 / (1+2a) \end{aligned}$$

Where:

$$a = T_{prop} / T_{frame}$$



$$U = \frac{1}{1 + 2a}$$

Parameter 'a' is known as Normalized Propagation Delay

Example

A communication link exists between two nodes A and B. The transmission rate on the link is 2.4 Mbps. The distance between A and B is 50 km and the signal velocity is 2×10^8 m/s. The frame length is 300 bytes. No frame error. Calculate the link unitization for the stop-&-wait flow control mechanism.



$$R = 2.4 \text{ Mbps}, L = 300 \text{ bytes} = 2400 \text{ bits}$$

$$H = 50 \text{ km}, v = 2 \times 10^8 \text{ m/s}$$

$$U = 1/(1+2a) \longrightarrow a = T_p/T_f \longrightarrow T_p = H/v = 5 \times 10^4 / 2 \times 10^8 = 250 \mu\text{s}$$

$$U = 1/(1+2 \cdot 0.25) \longleftarrow a = 0.25 \longleftarrow T_f = L/R = 2400 / 2.4 \times 10^6 = 1000 \mu\text{s}$$
$$= \frac{2}{3}$$

Stop-and-Wait: Disadvantages

- If frame or ACK is lost, long waiting time is expected
 - Using a **TIMEOUT** control in the sender
- If the propagation time is long, the sender must wait a long time before it can perform the next transmission
 - Use **Buffers** at the sender/receiver (sliding window operation)

$$U = \frac{1}{1 + 2a}$$

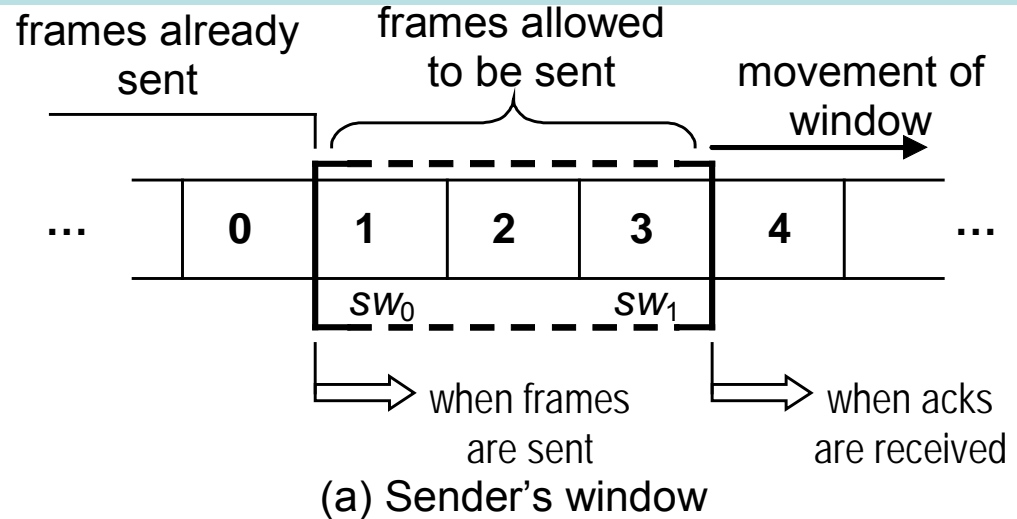
Sliding Window Flow Control

- Allows multiple frames to be in transit.
- Sender and Receiver have buffer N long.
- Sender can send up to N frames without receiving ACKs.
- Each frame is numbered.
- ACK includes number of next expected frame.
- Sequence No. bounded by field size (k bits)
 - Frames are numbered modulo 2^k
 - Sequence number $[0, 2^k-1]$

Sliding Window Operations

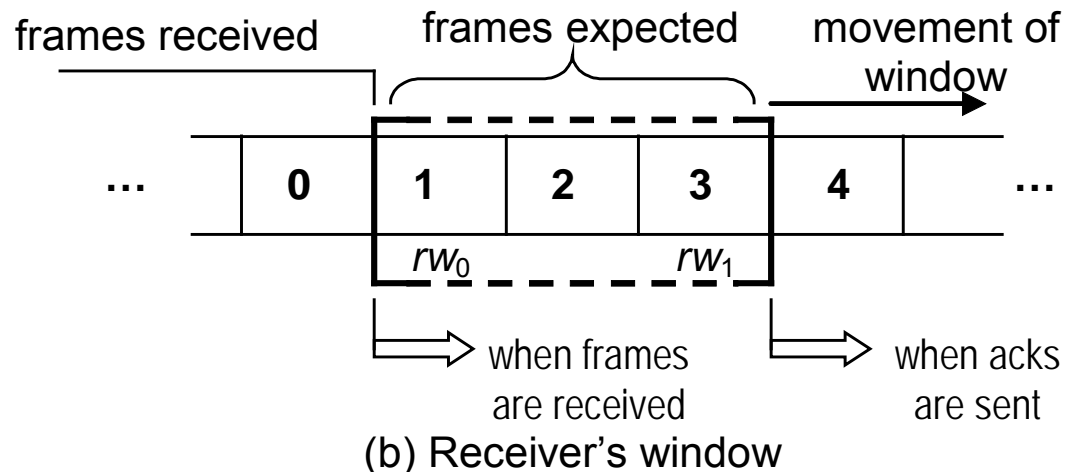
- **Sender**

- Move lower bound when frames sent
- Move upper bound when acks received



- **Receiver**

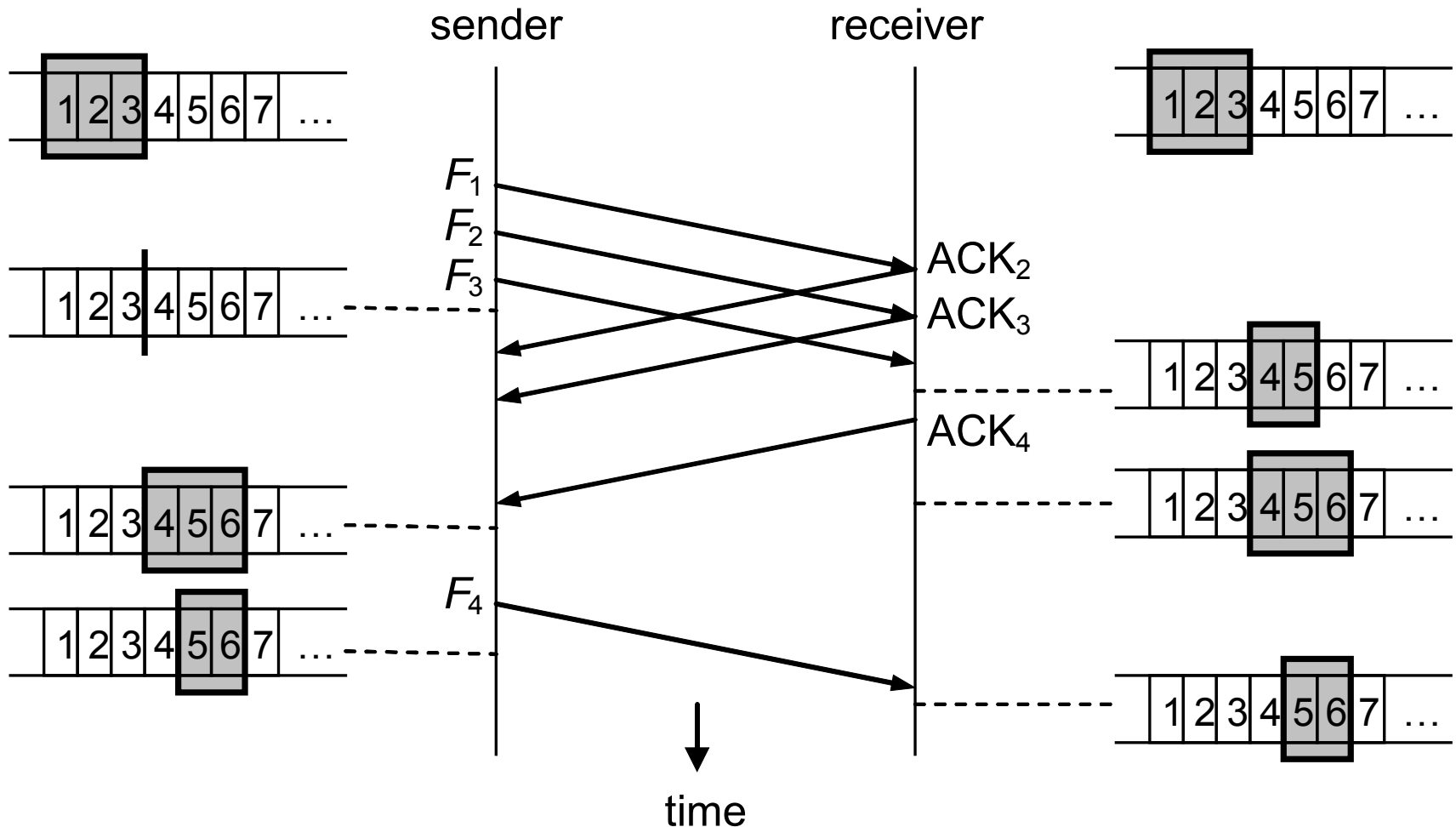
- Move lower bound when frames received
- Move upper bound when acks sent



Sliding Window Operations

- Sender maintains a window, containing frame numbers that can be transmitted.
- Sender window shrinks from trailing edge (left side) as frames are sent.
- Frames are buffered at the sender until acknowledged.
- Receiver maintains a window as well, its window shrinks from trailing edge as frames are received.
- Receiver's window expands from the leading edge (right side) as ACKs are sent.
- Sender's window expands from the leading edge as ACKs are received.

Sliding Window: Example



Sliding Window Algorithm

```

/* Protocol 4 (sliding window) is bidirectional. */

#define MAX_SEQ 1 /* must be 1 for protocol 4 */
typedef enum {frame_arrival, cksum_err, timeout} event_type;
#include "protocol.h"

void protocol4 (void)
{
    seq_nr next_frame_to_send; /* 0 or 1 only */
    seq_nr frame_expected; /* 0 or 1 only */
    frame r, s; /* scratch variables */
    packet buffer; /* current packet being sent */
    event_type event;

    next_frame_to_send = 0; /* next frame on the outbound stream */
    frame_expected = 0; /* frame expected next */
    from_network_layer(&buffer); /* fetch a packet from the network layer */
    s.info = buffer; /* prepare to send the initial frame */
    s.seq = next_frame_to_send; /* insert sequence number into frame */
    s.ack = 1 - frame_expected; /* piggybacked ack */
    to_physical_layer(&s); /* transmit the frame */
    start_timer(s.seq); /* start the timer running */

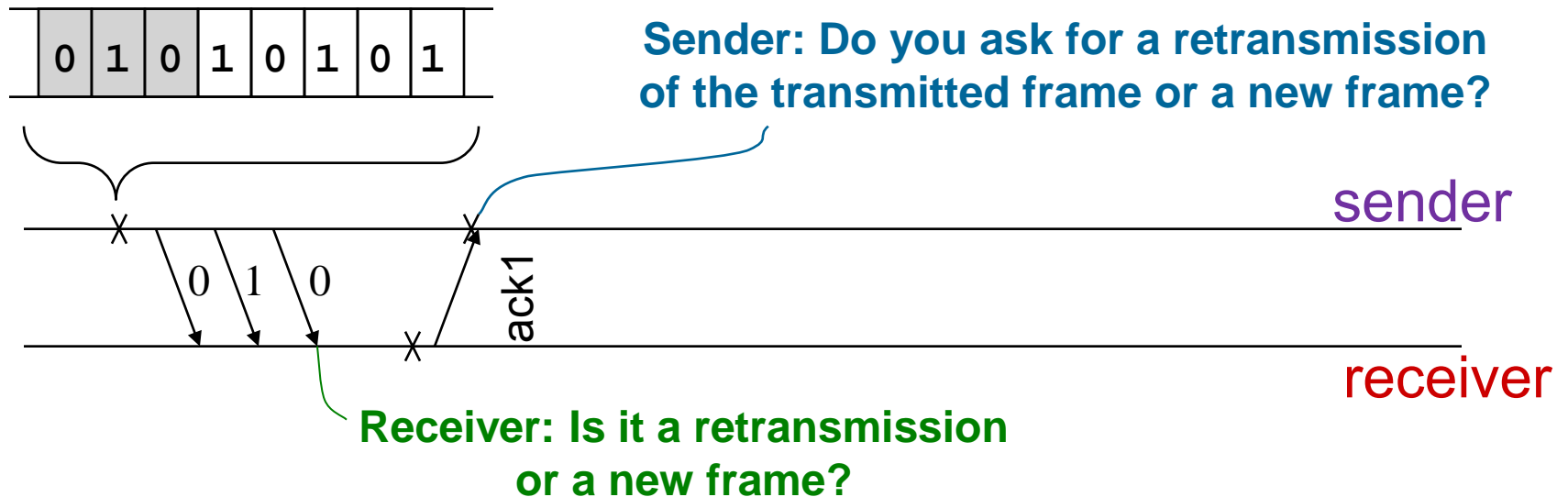
    while (true) {
        wait_for_event(&event); /* frame_arrival, cksum_err, or timeout */
        if (event == frame_arrival) { /* a frame has arrived undamaged. */
            from_physical_layer(&r); /* go get it */
            if (r.seq == frame_expected) { /* handle inbound frame stream. */
                to_network_layer(&r.info); /* pass packet to network layer */
                inc(frame_expected); /* invert seq number expected next */
            }
            if (r.ack == next_frame_to_send) { /* handle outbound frame stream. */
                stop_timer(r.ack); /* turn the timer off */
                from_network_layer(&buffer); /* fetch new pkt from network layer */
                inc(next_frame_to_send); /* invert sender's sequence number */
            }
        }
        s.info = buffer; /* construct outbound frame */
        s.seq = next_frame_to_send; /* insert sequence number into it */
        s.ack = 1 - frame_expected; /* seq number of last received frame */
        to_physical_layer(&s); /* transmit a frame */
        start_timer(s.seq); /* start the timer running */
    }
}

```

Window Size Consideration

Say, Window Size, $N = 3$
with $k=1$ bit Sequence number

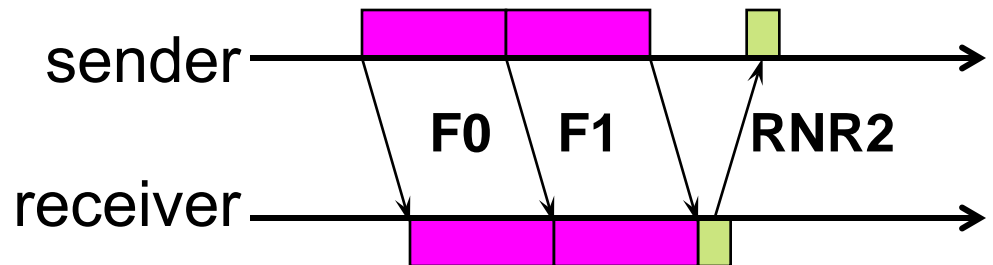
$$N \leq 2^k$$



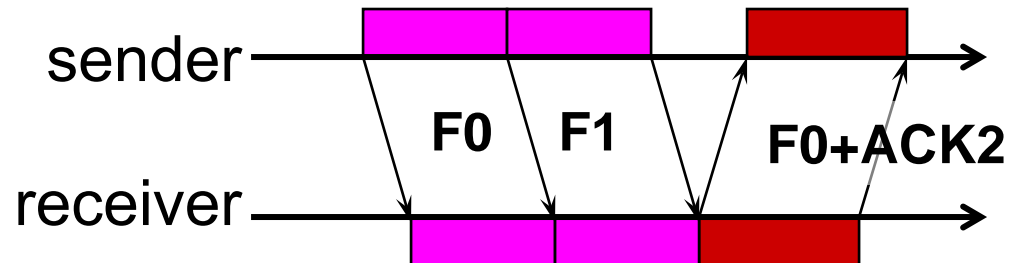
- ① Is the second **0** a new frame or the retransmitted frame?
- ① Which frame is to be transmitted next after receiving ack1?

Sliding Window: Other Features

- Receiver can acknowledge frames without permitting further transmission (by sending '*Receive Not Ready*', RNR frame). Receiver must send a normal acknowledgement to resume.



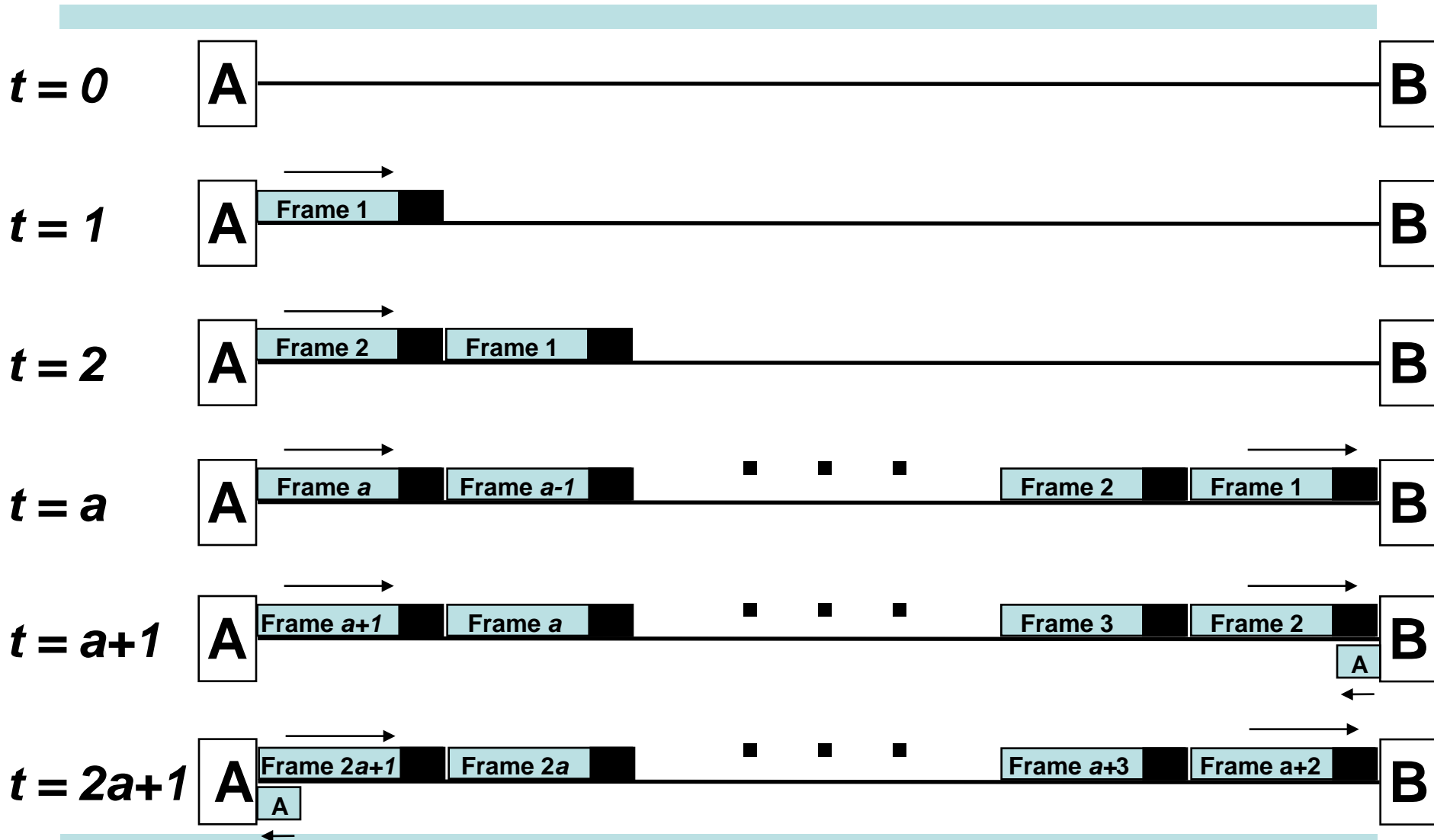
- ACK can be *piggybacked* on the data frames in the reverse direction.



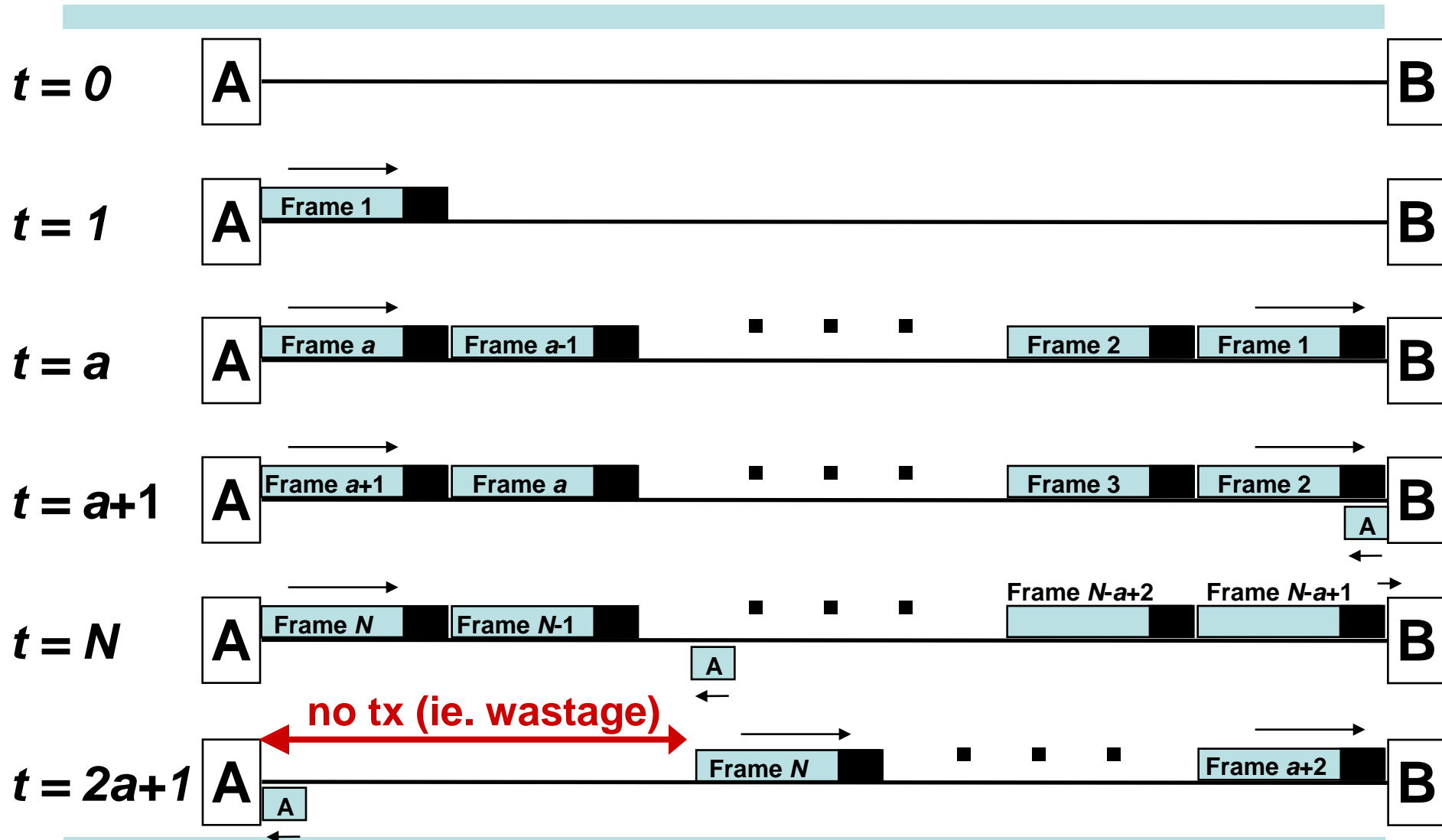
Sliding Window: Performance

- Performance depends upon (error-free operation):
 - Parameter a , and
 - Window size, N .
- Assumption: T_{ack} and T_{proc} are negligible.
- Frame transmission time = 1 (normalized to itself)
- Normalized propagation delay (one-way) = a
- We need to consider two cases:
 - $N \geq 2a + 1$: Station can transmit continuously without exhausting its window $\rightarrow U = 1.0$
 - $N < 2a + 1$: Station's window is exhausted at $t = N$, and the station cannot send additional frames until $t = 2a + 1$, $\rightarrow U = N/(1 + 2a)$

Case I: $N \geq 2a + 1$ [$U=1$]



Case II: $N < 2a + 1$ [$U = N/(1+2a)$]



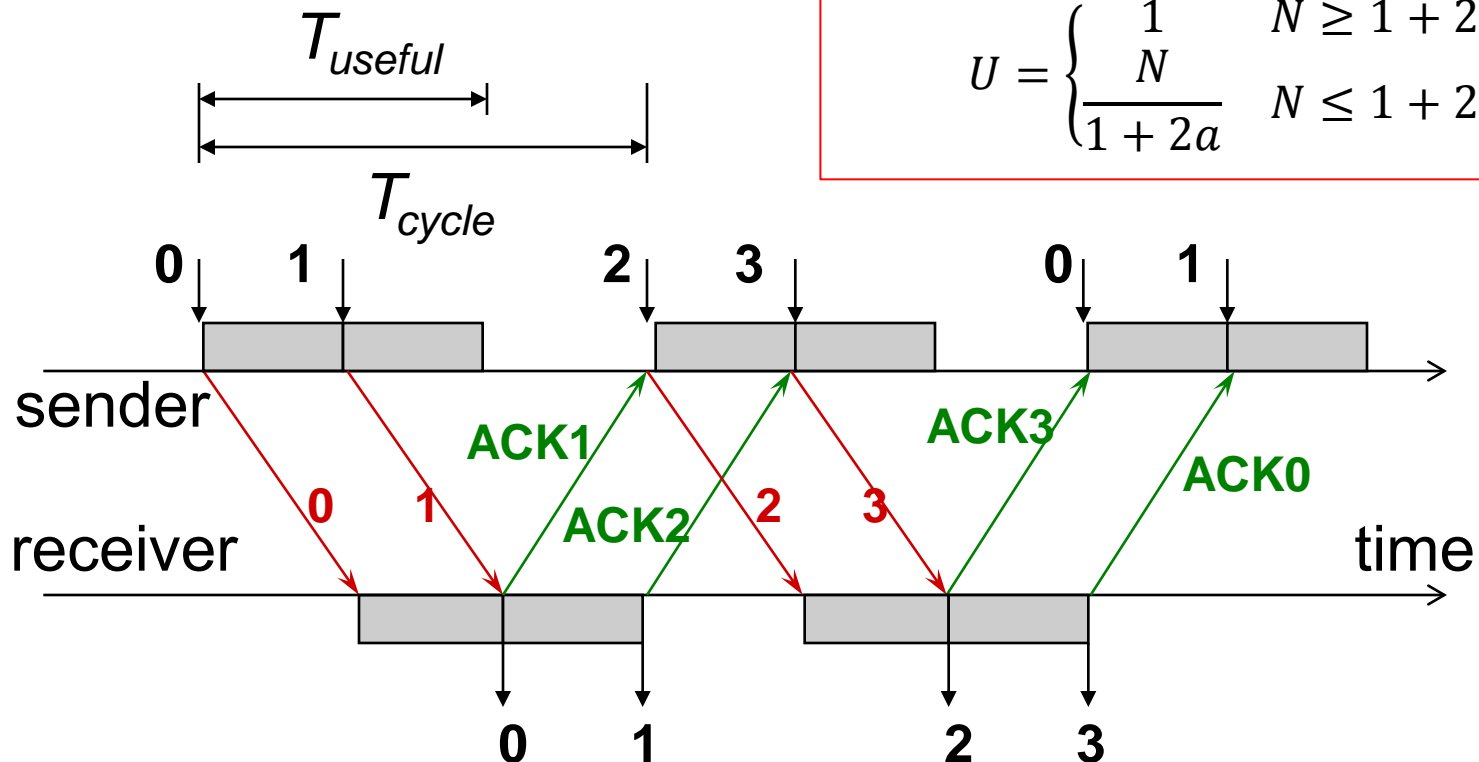
Sliding Window: Performance

Window Size = N

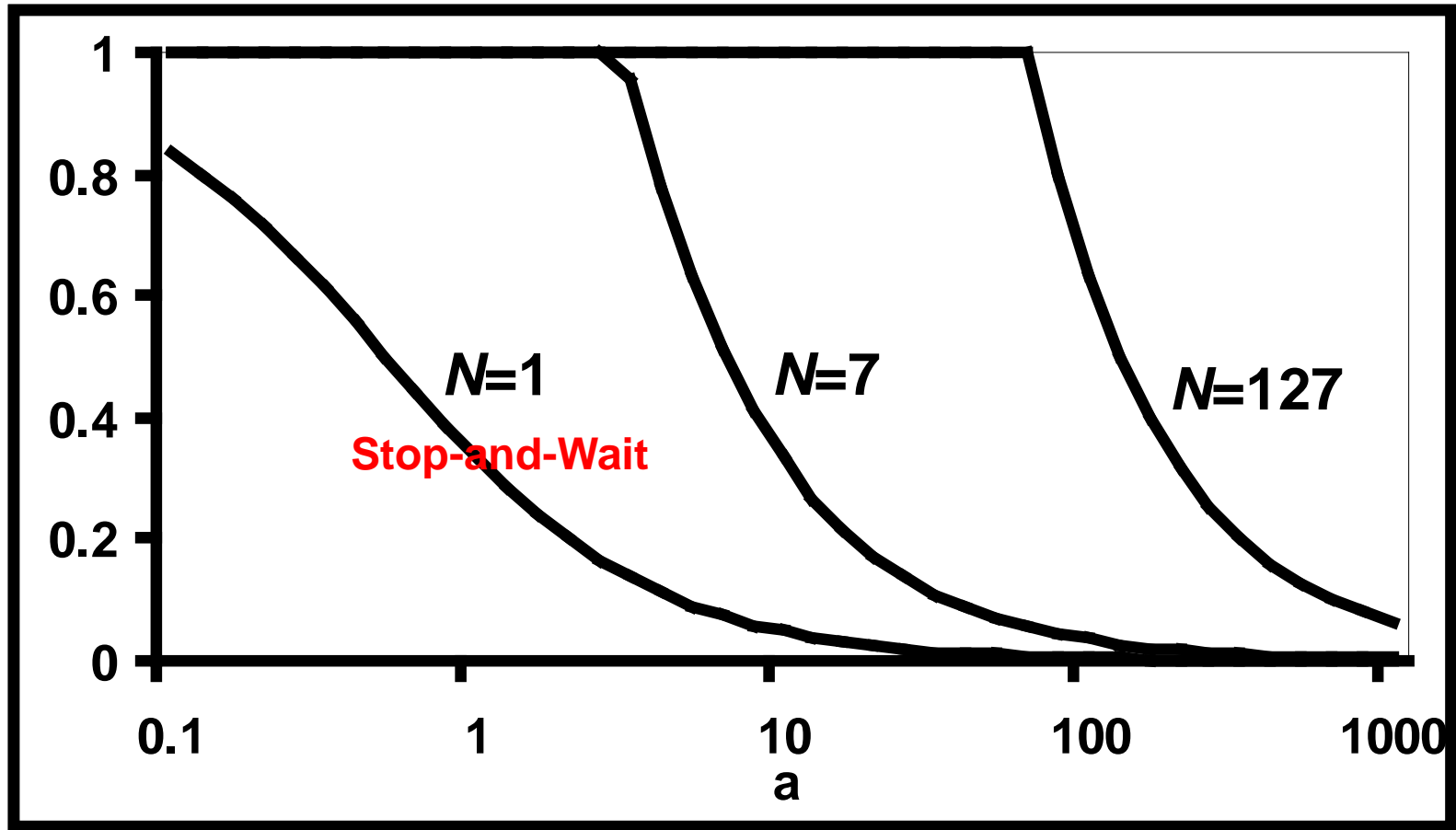
$$T_{\text{useful}} = N * T_{\text{frame}}$$

$$T_{\text{cycle}} = T_{\text{frame}} + 2 * T_{\text{prop}}$$

$$U = \begin{cases} 1 & N \geq 1 + 2a \\ \frac{N}{1 + 2a} & N \leq 1 + 2a \end{cases}$$



Flow Control: Link Utilization



Link Utilization versus a

Learning Objectives

- **Data Link Layer Fundamentals**
 - To understand its (four) main functions
- **Flow Control**
 - To understand its main purpose
 - Stop-and-Wait Flow-Control Mechanism
 - Operational protocol
 - Link utilization **calculation**
 - Sliding Window Flow-Control Mechanism
 - Operational protocol
 - Window size **determination**
 - Link utilization **calculation** (two cases)