

Total Marks: 40

Total Time: 60 minutes

✓ Objective Questions. Choose one of the options. ✓

**Note:** All questions in this section carry equal marks.

**Que. 1** When we impose boundary conditions on an electron confined in a quantum well, only discrete values of  $k$  are permitted. When we impose periodic boundary conditions on a string of  $N$  atoms, the same thing happens. How many discrete values of  $k$  are there and what is their spacing?

- (a)  $2N$  discrete values spaced  $2\pi/Na$  (b)  $2N$  discrete values spaced  $\pi/Na$   
**(c)  $N$  discrete values spaced  $2\pi/Na$**  (d)  $N$  discrete values spaced  $\pi/Na$

**Que. 2** What is a Brillouin zone?

- (a) A region of energy-space that encompasses all of the unique values of energy  
**(b) A region of k-space that contains all of the unique solutions of the wave equation**  
 (c) A region of position-space that the electron is allowed to reside within  
 (d) A region of k-space where the group velocity is positive

**Que. 3** Exactly what is a “hole” in semiconductor terminology?

- (a) Another name for a positron  
**(b) A fictitious particle that is really just an empty state in a nearly filled band**  
 (c) A fictitious particle that is really just an empty state in a nearly empty band  
 (d) An impurity (in small concentration) in the crystal lattice

**Que. 4** Consider a 1D bandstructure given by  $E(k_x) = \hbar v_F |k_x|$ , where  $v_F$  is the velocity. What is the effective mass?

- (a)  $m^* = \hbar v_F$  (b)  $m^* = m_o$  (c)  $m^* = \infty$  **(d) not really defined**

**Que. 5** The force on a particle with an effective mass of  $m^*$  could be written as “ $F = m^* \vec{a}$ ”, but the effective mass depends on the bandstructure. More generally, how is the force defined?

- (a)  $\vec{F} = \vec{L} \times \vec{r}$  (b)  $\vec{F} = m^* v^2$  **(c)  $\vec{F} = \hbar \frac{d\vec{k}}{dt}$**  (d)  $\vec{F} = \hbar^2 k \vec{k}$

**Que. 6** For a bandstructure with  $E(k_x, k_y) = \frac{\hbar^2 k_x^2}{2m^*} + \frac{\hbar^2 k_y^2}{2m^*}$  what is the shape of the constant energy “surface”?

- (a) a line **(b) a circle** (c) an ellipse (d) a sphere

**Que. 7** Silicon, Germanium, and Gallium Arsenide have different bandstructures. Which of the following is true?

- (a) The conduction bands for them are similar in shape.  
**(b) The valence bands for them are similar in shape.**  
 (c) Si and GaAs have similar conduction bands but different valence bands.  
 (d) Ge and GaAs have similar conduction bands but different valence bands.

**Que. 8** Which of the three semiconductors, Ge, Si, and GaAs, has a direct bandgap?

- (a) Ge (b) Si **(c) GaAs** (d) Ge and Si

**Que. 9** The operator  $\left(x + \frac{d}{dx}\right)$  has the eigen value  $\alpha$ . The corresponding wavefunction is:

- (a)  $\psi_o \exp^{\alpha x - \frac{x^2}{2}}$**  (b)  $\psi_o \exp^{\alpha x - \frac{x^3}{2}}$  (c)  $\psi_o \exp^{\alpha x^2 - \frac{x^2}{2}}$  (d)  $\psi_o \exp^{\alpha x + \frac{x^2}{2}}$

**Que. 10** Density of states of free electrons in a solid moving with an energy 0.1 eV is given by  $2.15 \times 10^{21} \text{ eV}^{-1} \text{ cm}^{-3}$ . The density of states (in  $\text{eV}^{-1} \text{ cm}^{-3}$ ) for electrons moving with an energy of 0.4 eV will be:

- (a)  $1.07 \times 10^{21}$  (b)  $1.52 \times 10^{21}$  (c)  $3.04 \times 10^{21}$  (d)  $4.30 \times 10^{21}$

**Que. 11** In an infinite potential well of size L, what is the probability of finding a particle between L/4 to 3L/4 in the ground state?

- (a)  $\frac{1}{4} + \frac{1}{\pi}$  (b)  $\frac{1}{2} + \frac{1}{\pi}$  (c)  $\frac{1}{\pi} - \frac{1}{4}$  (d)  $1 - \frac{\pi}{4}$

**Que. 12** Which one of the following is a valid wavefunction?

- (a)  $e^{-x}$  over  $(-\infty, \infty)$  (b)  $e^x$  over  $(-\infty, \infty)$  (c)  $\cos x$  over  $(0, \infty)$  (d)  $e^{-x}$  over  $[0, \infty)$

**Que. 13** A particle of mass “m” is trapped in an infinite potential well of size “a”. The probability of finding the trapped particle between  $x = 0$  and  $x = a/n$  when it is in the  $n^{\text{th}}$  state is:

- (a)  $\frac{1}{n}$  (b)  $\frac{2}{n}$  (c)  $\frac{1}{2n}$  (d) 0

**Que. 14** The wavefunction of a particle, confined to move in an infinite potential well of size L is  $\psi(x) = \frac{\sqrt{30}x(x-L)}{L^k}$ . The value of k is:

- (a) 0 (b)  $\frac{1}{2}$  (c)  $\frac{3}{2}$  (d)  $\frac{5}{2}$

**Que. 15** If dispersion relation of an electron is  $E_k = -\beta \sin(ka)$ , therefore effective mass  $m^*$  of the electron with momentum  $k = \frac{\pi}{2a}$  is:

- (a)  $\frac{\hbar^2}{2\beta k}$  (b)  $\frac{\hbar^2}{\beta k^2}$  (c)  $\frac{\hbar}{2\beta^2 k^2}$  (d) 0

**Que. 16** If the  $E = pc$ , the corresponding density of the state D(E) of the electron (in 3D) is proportional to:

- (a)  $\frac{E^3}{2}$  (b)  $E^{1.5}$  (c)  $E^{1/2}$  (d)  $E^2$

**Que. 17** The lowest energy of an electron confined to move in a one dimensional potential well of length 0.5 Å is:

- (a) 150.7 eV (b) 250.7 eV (c) 350.7 eV (d) 450.7 eV

**Que. 18** A particle is confined in an infinite potential well. Let the wavefunction of the particle is given by:  $\psi(x) = -\frac{2}{\sqrt{5}}\phi_0 + \frac{1}{\sqrt{5}}\phi_1$ , where  $\phi_0$  and  $\phi_1$  are the eigen functions of the ground state and the first excited state, respectively. What is the probability of finding the particle in the first excited state after the measurement?

- (a)  $\frac{1}{\sqrt{5}}$  (b)  $\frac{1}{5}$  (c)  $\frac{2}{\sqrt{5}}$  (d)  $\frac{4}{5}$

**Que. 19** The dispersion relation of phonons in a solid is given by:  $\omega^2(k) = \omega_o^2(3 - \cos k_x a - \cos k_y a - \cos k_z a)$ . The velocity of the phonons at large wavelength is:

- (a)  $\frac{\omega_o a}{\sqrt{3}}$  (b)  $a\omega_o$  (c)  $\sqrt{3}a\omega_o$  (d)  $\frac{\omega_o a}{\sqrt{2}}$

**Que. 20** A particle is confined to the region  $0 < x < L$ , If the particle is in the lowest energy state then the probability of finding the particle in the region  $0 < x < L/4$  is:

- (a)  $\frac{1}{4} - \frac{1}{2\pi}$  (b)  $\frac{1}{4} + \frac{1}{2\pi}$  (c)  $\frac{1}{4}$  (d)  $\frac{1}{2}$

## ★ Subjective Questions ★

**Que. 1** A particle is bounded in a 1-d box (with origin at the left corner) of length  $L$  in the 2nd excited state. For this particle calculate:

- (a) Uncertainty in position      (b) Uncertainty in momentum  
(c) The uncertainty product and check for its validity. [10]

**Sol.:** The wavefunction for the particle in 1-d box of length  $L$  in the 2nd excited state, i.e. for  $n = 3$ , will be:

$$\psi_3 = \sqrt{\frac{2}{L}} \sin \frac{3\pi x}{L} \quad [1]$$

**\*\*Note\*\*:** If the wavefunction is written incorrectly, no need to check the question further.

(a) To find the uncertainty in position:

$$\begin{aligned} \langle x \rangle &= \int_{-\infty}^{\infty} \psi^*(x) \hat{x} \psi(x) dx \\ &= \int_0^L \frac{2}{L} x \sin^2 \frac{3\pi x}{L} dx = \frac{L}{2}. \end{aligned} \quad [1]$$

Also,

$$\begin{aligned} \langle x^2 \rangle &= \int_{-\infty}^{\infty} \psi^*(x) x^2 \psi(x) dx \\ &= \int_0^L \frac{2}{L} x^2 \sin^2 \frac{3\pi x}{L} dx \\ &= \frac{L^2}{3} - \frac{L^2}{18\pi^2}. \end{aligned} \quad [1]$$

Then, uncertainty in position is given by:

$$\Delta x = \sqrt{\langle x^2 \rangle - \langle x \rangle^2} = L \sqrt{\frac{1}{12} - \frac{1}{18\pi^2}} \quad [1]$$

(b) The momentum operator is  $\hat{p}_x = -i\hbar \frac{d}{dx}$ .

$$\begin{aligned} \langle p_x \rangle &= \int_{-\infty}^{\infty} \psi^*(x) \left[ -i\hbar \frac{d}{dx} \right] \psi(x) dx \\ &= -\left(\frac{2}{L}\right) (i\hbar) \frac{3\pi}{L} \int_0^L \sin \frac{3\pi x}{L} \cos \frac{3\pi x}{L} dx = 0. \end{aligned} \quad [1]$$

and,

$$\begin{aligned}\langle p_x^2 \rangle &= \int_{-\infty}^{\infty} \psi^*(x) \left[ -\hbar^2 \frac{d^2}{dx^2} \right] \psi(x) dx \\ &= \left( \frac{2}{L} \right) (\hbar^2) \left( \frac{3\pi}{L} \right)^2 \int_0^L \sin^2 \frac{3\pi x}{L} dx = \frac{9\pi^2 \hbar^2}{L^2}.\end{aligned}\quad [1]$$

Then, uncertainty in momentum is given by:

$$\Delta p_x = \sqrt{\langle p_x^2 \rangle - \langle p_x \rangle^2} = \frac{3\pi \hbar}{L}.\quad [1]$$

(c) The uncertainty product is  $\Delta x \Delta p_x$ .

Here,

$$\Delta x \Delta p_x = 3\pi \hbar \sqrt{\frac{1}{12} - \frac{1}{18\pi^2}} \approx 2.63\hbar.\quad [2]$$

This value of uncertainty product is more than  $\hbar/2$ . Thus, the uncertainty principle holds true. [1]

**Que. 2** The energy dispersion relation for a solid is given as:  $E(k) = E_o - C \cdot \cos k_x a \cdot \cos k_y a$ . Calculate:

- (a) Band width of the band. (b) Density of state near  $(0, 0)$ .  
(c) Effective mass  $m^*$  at  $(0, \frac{\pi}{a})$  and  $(0, 0)$ . (d) Velocity of the electrons. [10]

**Sol.:** (a) Top of the band for the given energy lies at  $(0, \frac{\pi}{a})$  or  $(\frac{\pi}{a}, 0)$  where the energy is maximum, while the minimum of it lies at  $(0, 0)$ . So,

$$\begin{aligned}E_{top} &= E_{(0, \frac{\pi}{a})} = E_o + C \\ E_{bottom} &= E_{(0, 0)} = E_o - C\end{aligned}$$

Then Band width is:

$$\begin{aligned}\Delta E &= E_{top} - E_{bottom} \\ &= E_o + C - (E_o - C) \\ &= 2C.\end{aligned}\quad [2]$$

(b) Expanding the cosines to simplify the  $E(k)$  equation:

$$\begin{aligned}E(k) &= E_o - C \left[ 1 - \frac{k_x^2 a^2}{2} + \dots \right] \left[ 1 - \frac{k_y^2 a^2}{2} + \dots \right] \\ &= E_o - C \left[ 1 - \frac{a^2}{2} (k_x^2 + k_y^2) + \frac{k_x^2 k_y^2 a^4}{4} + \dots \right]\end{aligned}$$

Neglecting the higher order terms, because near  $(0, 0)$   $k_x, k_y \ll 1$ .

$$\begin{aligned} \Rightarrow E(k) &= E_o - C + C \frac{a^2}{2} (k_x^2 + k_y^2) \\ &= E_o - C + C \frac{k^2 a^2}{2} \end{aligned} \quad [2]$$

Then,

$$\frac{dE(k)}{dk} = \frac{2Ca^2k}{2} = Ca^2k \quad [1]$$

Density of states per unit energy range in 2-d near  $(0, 0)$  is:

$$\begin{aligned} D(E) &= 2 \left( \frac{L}{2\pi} \right)^2 2\pi k \frac{dk}{dE} \\ &= \frac{L^2}{\pi} k \frac{1}{Ca^2k} \end{aligned}$$

And, density of states per unit volume per unit energy range is:

$$g(E) = \frac{1}{\pi Ca^2} \quad [2]$$

(c) Effective mass is given by:

$$m^* = \frac{\hbar^2}{\frac{d^2 E}{dk^2}}$$

Since,  $\left. \frac{d^2 E}{dk^2} \right|_{(0, \frac{\pi}{a})} = -Ca^2$  and  $\left. \frac{d^2 E}{dk^2} \right|_{(0,0)} = Ca^2$   
 So,

$$\begin{aligned} \Rightarrow m_{(0, \frac{\pi}{a})}^* &= -\frac{\hbar^2}{Ca^2} \\ \text{and } m_{(0,0)}^* &= \frac{\hbar^2}{Ca^2} \end{aligned} \quad [2]$$

(d) Velocity of the electrons is given by:

$$v = \frac{1}{\hbar} \frac{dE}{dk}$$

Then, Velocity of electrons in the band is:

$$v = \frac{Ca^2k}{\hbar} \quad [1]$$