

$$(1) L = \frac{1}{2} m (\dot{z}^2 + \dot{\eta}^2) (\dot{z}^2 + \dot{\eta}^2) + \frac{1}{2} m \dot{z}^2 \dot{\eta}^2 \phi^2$$

$$(2) \therefore p_z = \frac{\partial L}{\partial \dot{z}} = m(\dot{z}^2 + \dot{\eta}^2) \dot{z}$$

$$p_\eta = \frac{\partial L}{\partial \dot{\eta}} = m(\dot{z}^2 + \dot{\eta}^2) \dot{\eta}$$

$$p_\phi = \frac{\partial L}{\partial \dot{\phi}} = m \dot{z}^2 \dot{\eta}^2 \phi$$

$$\left. \begin{array}{l} p_z = \frac{\partial L}{\partial \dot{z}} = m(\dot{z}^2 + \dot{\eta}^2) \dot{z} \\ p_\eta = \frac{\partial L}{\partial \dot{\eta}} = m(\dot{z}^2 + \dot{\eta}^2) \dot{\eta} \\ p_\phi = \frac{\partial L}{\partial \dot{\phi}} = m \dot{z}^2 \dot{\eta}^2 \phi \end{array} \right\} \begin{array}{l} \dot{p}_\phi = \frac{\partial L}{\partial \phi} = 0 \\ \therefore p_\phi \text{ is conserved.} \end{array}$$

$$(3) H = p_z \dot{z} + p_\eta \dot{\eta} + p_\phi \dot{\phi} - L$$

$$= \frac{p_z^2}{m(\dot{z}^2 + \dot{\eta}^2)} + \frac{p_\eta^2}{m(\dot{z}^2 + \dot{\eta}^2)} + \frac{p_\phi^2}{m \dot{z}^2 \dot{\eta}^2} - \frac{p_z^2}{2m(\dot{z}^2 + \dot{\eta}^2)} - \frac{p_\eta^2}{2m(\dot{z}^2 + \dot{\eta}^2)} - \frac{p_\phi^2}{2m \dot{z}^2 \dot{\eta}^2}$$

$$= \frac{p_z^2}{2m(\dot{z}^2 + \dot{\eta}^2)} + \frac{p_\eta^2}{2m(\dot{z}^2 + \dot{\eta}^2)} + \frac{p_\phi^2}{2m \dot{z}^2 \dot{\eta}^2} = \frac{p_z^2 + p_\eta^2}{2m(\dot{z}^2 + \dot{\eta}^2)} + \frac{p_\phi^2}{2m \dot{z}^2 \dot{\eta}^2}$$

$\Rightarrow$  Hamilton eqns of motion...

$$\dot{z} = - \frac{\partial H}{\partial p_z} = - \frac{(p_z^2 + p_\eta^2) \dot{z}}{m(\dot{z}^2 + \dot{\eta}^2)^2} + \frac{p_\phi^2}{m \dot{z}^3 \dot{\eta}^2}$$

$$\dot{\eta} = - \frac{\partial H}{\partial p_\eta} = - \frac{(p_z^2 + p_\eta^2) \dot{\eta}}{m(\dot{z}^2 + \dot{\eta}^2)^2} + \frac{p_\phi^2}{m \dot{z}^2 \dot{\eta}^3}$$

$$\dot{p}_\phi = 0$$

$$\dot{z} = \frac{\partial H}{\partial p_z} = \frac{p_z}{m(\dot{z}^2 + \dot{\eta}^2)}$$

$$\dot{\eta} = \frac{\partial H}{\partial p_\eta} = \frac{p_\eta}{m(\dot{z}^2 + \dot{\eta}^2)}$$

$$\dot{\phi} = \frac{\partial H}{\partial p_\phi} = \frac{p_\phi}{m \dot{z}^2 \dot{\eta}^2}$$

$$(3) \psi(x,0) = Ax(x-a)$$

$$\Rightarrow (a) \int_0^a A^2 x^2 (x-a)^2 dx = 1$$

$$\therefore A^2 \int_0^a x^2 (x^2 - 2ax + a^2) dx = 1$$

$$\therefore A^2 \int_0^a (x^4 - 2ax^3 + x^2 a^2) dx = 1$$

$$\therefore A^2 \left[ \frac{a^5}{5} - \frac{a^5}{2} + \frac{a^5}{3} \right] = 1$$

$$\therefore \frac{A^2}{30} [6a^5 - 15a^5 + 10a^5] = 1$$

$$\therefore A^2 = \frac{30}{a^5} \Rightarrow A = \sqrt{\frac{30}{a^5}}$$

$$\Rightarrow \frac{d|\psi|^2}{dx} = 0 \Rightarrow \frac{d}{dx} (A^2 x^2 (x-a)^2) = 0$$

$$\therefore 2x(x-a)^2 + 2x^2(x-a) = 0$$

$$\therefore 2x(x-a) [x-a + x] = 0$$

$$\therefore 2x(x-a)(2x-a) = 0$$

$$\therefore \boxed{x = \frac{a}{2}}$$

$$\Rightarrow \frac{d^2|\psi|^2}{dx^2} = 2A^2 [(x-a)(2x-a) + x(2x-a) + 2x(x-a)]$$

$$\therefore \frac{d^2|\psi|^2}{dx^2} \bigg|_{x=\frac{a}{2}} = 2A^2 \left[ -\frac{a}{2} \cdot 2\left(\frac{a}{2}\right) \right]$$

$$= -2 \times \frac{30}{a^5} \times \frac{a^2}{2}$$

$$= -\frac{30}{a^3} < 0$$

$\therefore |\psi|^2$  is maximum at  $x = \frac{a}{2}$ .

$$\begin{aligned}
\Rightarrow \text{d)} \langle x \rangle &= \int_0^a A^2 x^3 (x-a)^2 dx \\
&= \int_0^a \frac{30}{a^5} (x^5 - 2ax^4 + a^2x^3) dx \\
&= \frac{30}{a^5} \left[ \frac{a^6}{6} - \frac{2a^6}{5} + \frac{a^6}{4} \right] \\
&= 30a \left( \frac{1}{6} - \frac{2}{5} + \frac{1}{4} \right) \\
&= \frac{a}{2} (10 - 24 + 15) \\
&= \frac{a}{2}
\end{aligned}$$

$$\begin{aligned}
\langle p \rangle &= \int_0^a A^2 x(x-a) (-i\hbar) \frac{d}{dx} (x(x-a)) dx \\
&= \int_0^a \frac{30}{a^5} x(x-a) (-i\hbar) (2x-a) dx \\
&= -i\hbar \frac{30}{a^5} \int_0^a (x^2 - ax)(2x-a) dx \\
&= -i\hbar \frac{30}{a^5} \int_0^a (2x^3 - 3ax^2 + a^2x) dx \\
&= -i\hbar \frac{30}{a^5} \left[ \frac{a^4}{2} - a^4 + \frac{a^4}{2} \right] \\
&= -i\hbar \frac{15}{a^5} [a^4 - 2a^4 + a^4] \\
&= 0
\end{aligned}$$

$$\begin{aligned}
\langle H \rangle &= \int_0^a A^2 x(x-a) \left( -\frac{\hbar^2}{2m} \right) \frac{d^2}{dx^2} (x(x-a)) dx \\
&= \int_0^a \frac{30}{a^5} x(x-a) \left( -\frac{\hbar^2}{2m} \right) (2) dx \\
&= -\frac{30\hbar^2}{ma^5} \left[ \frac{a^3}{3} - \frac{a^3}{2} \right] \\
&= \frac{5\hbar^2}{ma^2}
\end{aligned}$$

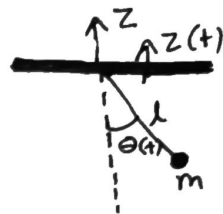
$$(2) \sin z = a \sin \omega t$$

$$\therefore \cos z \dot{z} = a \omega \cos \omega t$$

$$\therefore \dot{z} = \frac{a \omega \cos \omega t}{\cos z}$$

$$\Rightarrow \dot{x} = l \cos \theta \dot{\theta}$$

$$\dot{y} = l \sin \theta \dot{\theta} + \dot{z}$$



$$x(t) = l \sin \theta(t)$$

$$y(t) = -l \cos \theta(t) + z(t)$$

$$\therefore L = \frac{1}{2} m (\dot{x}^2 + \dot{y}^2) - mgy$$

$$= \frac{1}{2} m (l^2 \cos^2 \theta \dot{\theta}^2 + l^2 \sin^2 \theta \dot{\theta}^2 + \dot{z}^2 + 2l \sin \theta \dot{\theta} \dot{z}) - mg(z - l \cos \theta)$$

$$= \frac{1}{2} m (l^2 \dot{\theta}^2 + \frac{a^2 \omega^2 \cos^2 \omega t}{\cos^2 z} + 2l \sin \theta \dot{\theta} \cdot \frac{a \omega \cos \omega t}{\cos z}) - mg(z - l \cos \theta)$$

$$= \frac{1}{2} m \left[ l^2 \dot{\theta}^2 + \frac{a^2 \omega^2 \cos^2 \omega t}{\cos^2 z} + \frac{2la \omega \sin \theta \cos \omega t}{\cos z} \dot{\theta} \right] - mg(z - l \cos \theta)$$

$\Rightarrow$  Eqs of motion --

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{\theta}} \right) = \frac{\partial L}{\partial \theta}$$

$$\therefore \frac{d}{dt} \left( m l^2 \dot{\theta} + \frac{m l a \omega \sin \theta \cos \omega t}{\cos z} \right) = \frac{m l a \omega \cos \theta \cos \omega t}{\cos z} \dot{\theta} - m l \sin \theta$$

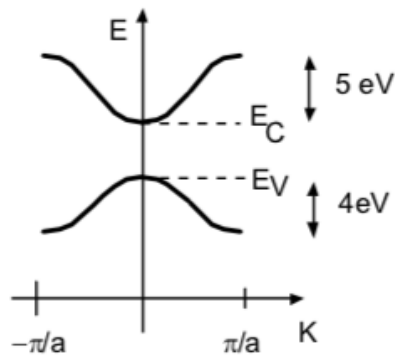
$$\therefore m l^2 \ddot{\theta} + \frac{m l a \omega \cos \theta \cos \omega t}{\cos z} \dot{\theta} - \frac{m l a \omega^2 \sin \theta \sin \omega t}{\cos z} + \frac{m l a \omega \sin \theta \cos \omega t}{\cos^2 z} \sin z \dot{z} = \frac{m l a \omega \cos \theta \cos \omega t}{\cos z} \dot{\theta} - m l \sin \theta$$

$$\therefore m l^2 \ddot{\theta} - \frac{m l a \omega^2 \sin \theta \sin \omega t}{\cos z} + \frac{m l a \omega \sin \theta \cos \omega t}{\cos^2 z} \cdot \frac{a^2 \omega \sin \omega t \cos \omega t}{\cos z} + m l \sin \theta = 0$$

$$\therefore \ddot{\theta} - \frac{a \omega^2 \sin \theta \sin \omega t}{l \cos z} + \frac{a \omega \sin \theta \cos \omega t}{l \cos^3 z} \cdot a^2 \omega \sin \omega t \cos \omega t + \frac{g}{l} \sin \theta = 0$$

$$\therefore \ddot{\theta} - \frac{a \omega^2 \sin \theta \sin \omega t}{l \cos z} + \frac{a^3 \omega^2 \sin \theta \sin \omega t \cos^2 \omega t}{l \cos^3 z} + \frac{g}{l} \sin \theta = 0$$

Q4.



We use the expression for the effective mass :

$$m^* = \hbar^2 \left( \frac{d^2 E}{dK^2} \right)^{-1} \Bigg|_{K=0}$$

Taking the derivatives:

$$\begin{aligned} m^* &= \hbar^2 \left[ \frac{d^2}{dK^2} (E_C + E_1 \sin^2(Ka)) \right]^{-1} \Bigg|_{K=0} \\ &= \hbar^2 \left[ E_1 \frac{d}{dK} (2a \sin(Ka) \cos(Ka)) \right]^{-1} \Bigg|_{K=0} \\ &= \hbar^2 \left[ E_1 2a (-a \sin^2(Ka) + a \cos^2(Ka)) \right]^{-1} \Bigg|_{K=0} \\ &= \frac{\hbar^2}{2E_1 a^2} \\ &= \frac{\left( \frac{6.63 \times 10^{-34} \text{ Js}}{2\pi} \right)^2}{2(5 \text{ eV} \cdot 1.6 \times 10^{-19} \text{ J / eV})(5 \times 10^{-10} \text{ m})^2} \\ &= 2.8 \times 10^{-32} \text{ kg} = 0.03 m_0 \end{aligned}$$

$$\begin{aligned}
m^* &= \hbar^2 \left[ \frac{d^2}{dK^2} (E_v - E_2 \sin^2(Ka)) \right]^{-1} \Big|_{K=0} \\
&= \hbar^2 \left[ E_2 \frac{d}{dK} (-2a \sin(Ka) \cos(Ka)) \right]^{-1} \Big|_{K=0} \\
&= \hbar^2 \left[ E_2 2a (+a \sin^2(Ka) - a \cos^2(Ka)) \right]^{-1} \Big|_{K=0} \\
&= \frac{-\hbar^2}{2E_2 a^2} \\
&= \frac{-\left( \frac{6.63 \times 10^{-34} \text{ Js}}{2\pi} \right)^2}{2(4\text{eV} \cdot 1.6 \times 10^{-19} \text{ J/eV})(5 \times 10^{-10} \text{ m})^2} \\
&= -3.5 \times 10^{-32} \text{ kg} = -0.04m_0
\end{aligned}$$

**Q5. (a)** At the middle as no. of donors and acceptor ions are same.

**(b)**

$n_i^2 = N_c N_v e^{-E_g/KT} \sim T^3 e^{-E_g/KT}$ . Therefore

$$n_i(T_2) = n_i(T_1) (T_2/T_1)^{3/2} \exp \left( -\frac{E_g(T_2)}{2KT_2} + \frac{E_g(T_1)}{2KT_1} \right).$$

Now,  $E_g(300K) = 1.12\text{eV}$ ,  $E_g(77K) = 1.166\text{eV}$ ,  $(T_2/T_1)^{3/2} = 0.13$ ,  $\exp(-66.14) = 1.88 \times 10^{-30}$ . Then putting the values of  $n_i$ , we get  $n_i(77K) = 2.57 \times 10^{20}$ .

**Q6.**

**a) Write equations for  $n(x)$  and  $p(x)$ .**

At  $x=0$ ,  $n=n_i$ . We will simplify by assuming it to be zero, and take the carrier concentration to be linear from zero to  $10^{16}$ .

$$n_0 = 0 + \frac{\Delta N_D}{\Delta x} x = \frac{1 \times 10^{16} \text{ cm}^{-3}}{0.5 \times 10^{-4} \text{ cm}} x = 2.0 \times 10^{20} \text{ cm}^{-4} (x)$$

$$p(x) = \frac{n_i^2}{n(x)} = \frac{n_i^2}{2.0 \times 10^{20} (x)}$$

**b) Find the electron diffusion current density.**

$$J_{n(\text{diff})} = qD_n \frac{dn(x)}{dx} = qD_n (2.0 \times 10^{20} \text{ cm}^{-4})$$

For this doping range, the mobility  $\mu_n$  is fairly constant, and thus so is  $D_n$ .

From Figure 3.11  $D_n = 30 \text{ cm}^2/\text{s}$ .

$$J_{n(\text{diff})} = qD_n (2.0 \times 10^{20} \text{ cm}^{-4}) = (1.6 \times 10^{-19} \text{ C}) \left( 30 \frac{\text{cm}^2}{\text{V} \cdot \text{s}} \right) (2.0 \times 10^{20} \text{ cm}^{-4}) = 960 \text{ A/cm}^2$$



The hole diffusion coefficient is, from Figure 3.11 for minority carriers, about  $D_p = 12 \text{ cm}^2/\text{V-s}$ .

From Equation (3.41) the hole diffusion current is given by

$$J_{p(\text{diff})} = -qD_p \frac{dp(x)}{dx} = -qD_p \left( \frac{n_i^2}{2.0 \times 10^{20}} \right) \left[ \frac{d}{dx} \left( \frac{1}{x} \right) \right]$$

$$= -qD_p \left( \frac{n_i^2}{2.0 \times 10^{20}} \right) (-x^{-2})$$

This equation is only valid once  $N_D$  becomes noticeably greater than  $n_i$ , however, which becomes clear if we try to plug in  $x=0$  and the equation blows up. At  $x=0$ , in reality the hole concentration is also  $n_i$  and not infinite. It does, however, decline rapidly (as  $1/x^2$ ) as the electron concentration increases. Clearly for small  $x$  the minority carriers do indeed contribute a large amount of diffusion current.

At  $x=0.5\mu\text{m}$ , the hole diffusion current is

$$J_{p(\text{diff})} = -qD_p \left( \frac{n_i^2}{2.0 \times 10^{20}} \right) (-x^{-2})$$

$$= (1.6 \times 10^{-19} \text{ C}) (12 \text{ cm}^2 / \text{Vs}) \left[ \frac{(1.08 \times 10^{10} \text{ cm}^{-3})^2}{2.0 \times 10^{20} \text{ cm}^{-3}} \right] \frac{1}{(0.5 \times 10^{-4} \text{ cm})^2}$$

$$= 4.5 \times 10^{-10} \text{ A/cm}^2$$

$$E_C(x) - E_f = -kT \ln \left( \frac{n(x)}{N_C} \right) = -0.026 \text{ eV} \ln \left( \frac{2.0 \times 10^{20} x}{2.86 \times 10^{19}} \right)$$

We recognize that at  $x=0$ , the carrier concentration is  $n_i$  and  $E_C - E_f = 0.56$ , and at  $x=0.5\mu\text{m}$ ,  $E_C - E_f = 0.2 \text{ eV}$ . The Fermi level varies logarithmically from  $E_C - E_f = 0.56$  to  $0.2 \text{ eV}$ .

where  $g$  is an integer. Because of the symmetry of the ring we look for eigenfunctions  $\psi$  such that

$$(13.3) \quad \psi(x + a) = C\psi(x),$$

where  $C$  is a constant. Then

$$(13.4) \quad \psi(x + ga) = C^g\psi(x);$$

and, if the eigenfunction is to be single-valued,

$$(13.5) \quad \psi(x + Na) = \psi(x) = C^N\psi(x),$$

so that  $C$  is one of the  $N$  roots of unity, or

$$(13.6) \quad C = e^{i2\pi g/N}; \quad g = 0, 1, 2, \dots, N - 1.$$

We have then

$$(13.7) \quad \psi(x) = e^{i2\pi xg/Na} u_g(x)$$

as a satisfactory solution, where  $u_g(x)$  has periodicity  $a$ . Letting

$$(13.8) \quad k = 2\pi g/Na,$$

we have

$$(13.9) \quad \psi = e^{ikx} u_k(x),$$

which is the Bloch result.

### KRONIG-PENNEY MODEL

We demonstrate some of the characteristic features of electron propagation in crystals by considering the periodic square-well structure<sup>2</sup> in one dimension (Fig. 13.3). The wave equation of the problem is

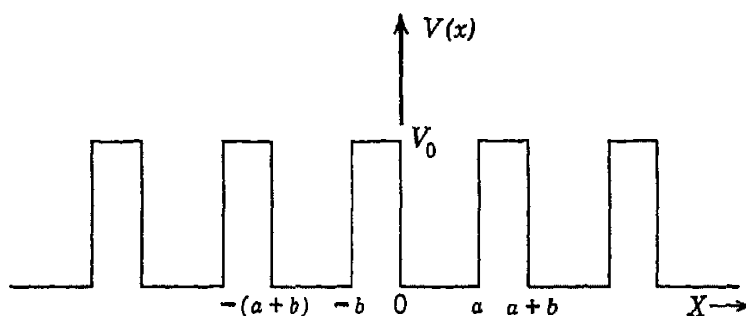


Fig. 13.3. Kronig and Penney one-dimensional periodic potential.

is

$$(13.10) \quad \frac{d^2\psi}{dx^2} + \frac{2m}{\hbar^2} (W - V)\psi = 0.$$

<sup>2</sup> R. de L. Kronig and W. G. Penney, Proc. Roy. Soc., (London) **A130**, 499 (1930); see also D. S. Saxon and R. A. Hutner, Philips Research Repts. **4**, 81 (1949); J. M. Luttinger, Philips Research Repts. **6**, 303 (1951).

The running wave solutions will be of the form of a plane wave modulated with the periodicity of the lattice. Using (12.4) and (12.5) for plane waves, we obtain solutions of the form

$$(13.11) \quad \psi = u_k(x)e^{ikx},$$

where  $u(x)$  is a periodic function in  $x$  with the period  $(a + b)$  and is determined by substituting (13.11) into (13.10):

$$(13.12) \quad \frac{d^2u}{dx^2} + 2ik \frac{du}{dx} + \frac{2m}{\hbar^2} (W - W_k - V)u = 0,$$

where  $W_k = \hbar^2 k^2 / 2m$ .

In the region  $0 < x < a$  the equation has the solution

$$(13.13) \quad u = Ae^{i(\alpha-k)x} + Be^{-i(\alpha+k)x},$$

provided that

$$(13.14) \quad \alpha = (2mW/\hbar^2)^{1/2}.$$

In the region  $a < x < a + b$  the solution is

$$(13.15) \quad u = Ce^{(\beta-ik)x} + De^{-(\beta+ik)x},$$

provided that

$$(13.16) \quad \beta = [2m(V_0 - W)/\hbar^2]^{1/2}.$$

The constants  $A, B, C, D$  are to be chosen so that  $u$  and  $du/dx$  are continuous at  $x = 0$  and  $x = a$ , and by the periodicity required of  $u(x)$  the values at  $x = a$  must equal those at  $x = -b$ . Thus we have the four linear homogeneous equations:

$$A + B = C + D;$$

$$i(\alpha - k)A - i(\alpha + k)B = (\beta - ik)C - (\beta + ik)D;$$

$$Ae^{i(\alpha-k)a} + Be^{-i(\alpha+k)a} = Ce^{-(\beta-ik)b} + De^{(\beta+ik)b};$$

$$i(\alpha - k)Ae^{i(\alpha-k)a} - i(\alpha + k)Be^{-i(\alpha+k)a} = (\beta - ik)Ce^{-(\beta-ik)b} - (\beta + ik)De^{(\beta+ik)b}.$$

These have a solution only if the determinant of the coefficients vanishes, or<sup>3</sup>

$$(13.17) \quad \frac{\beta^2 - \alpha^2}{2\alpha\beta} \sinh \beta b \sin \alpha a + \cosh \beta b \cos \alpha a = \cos k(a + b).$$

<sup>3</sup> Before verifying this for himself the reader should refer to the alternative derivation in the following section.

In order to obtain a handier equation we represent the potential by a periodic delta function, passing to the limit where  $b = 0$  and  $V_0 = \infty$  in such a way that  $\beta^2 b$  stays finite. We set

$$(13.18) \quad \lim_{\substack{b \rightarrow 0 \\ \beta \rightarrow \infty}} \frac{\beta^2 ab}{2} = P,$$

so that the condition (13.17) becomes

$$(13.19) \quad P \frac{\sin \alpha a}{\alpha a} + \cos \alpha a = \cos ka.$$

This transcendental equation must have a solution for  $\alpha$  in order that wave functions of the form (13.11) should exist.

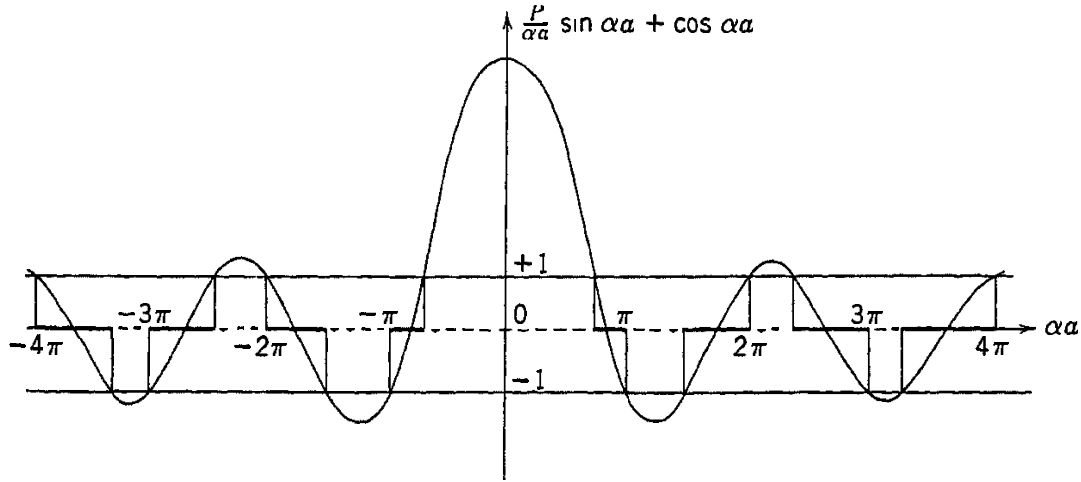


Fig. 13.4. Plot of the function  $P \frac{\sin \alpha a}{\alpha a} + \cos \alpha a$ , for  $P = 3\pi/2$ . The allowed values of the energy  $W$  are given by those ranges of  $\alpha = [2mW/\hbar^2]^{1/2}$  for which the function lies between  $+1$  and  $-1$ . (After Kronig and Penney.)

In Fig. 13.4 we have plotted the left side of (13.19) as a function of  $\alpha a$ , for the arbitrary value  $P = 3\pi/2$ . As the cosine term on the right side can have values only between  $+1$  and  $-1$ , only those values of  $\alpha a$  are allowed for which the left side falls in this range. The allowed ranges of  $\alpha a$  are drawn heavily in the figure, and through the relation  $\alpha = [2mW/\hbar^2]^{1/2}$  they correspond to allowed ranges of the energy  $W$ . The boundaries of the allowed ranges of  $\alpha a$  correspond to the values  $n\pi/a$  for  $k$ . In Fig. 13.5  $W$  vs.  $k$  is plotted.

If  $P$  is small, the forbidden ranges disappear. If  $P \rightarrow \infty$ , the allowed ranges of  $\alpha a$  reduce to the points  $n\pi$  ( $n = \pm 1, \pm 2, \dots$ ). The energy spectrum becomes discrete, and the eigenvalues

$$W = n^2 \hbar^2 / 8ma^2$$

are those of an electron in a box of length  $a$ .