# WIDS - UID85 - Implementing Generative AI Transformer

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#### Feedforward Neural Network

- First and simplest type of neural network.
- Information moves in only one direction.
- No cycles or loops.

If we include a loop, it becomes a **Recurrent Neural Network**.

$$a_{t+1} = \sigma(w_1 a_t + b_1)$$

#### Types of Neural Networks

- Convolutional Neural Network  $\rightarrow$  Good for image recognition.
- ullet Long Short-Term Memory Network o Good for speech recognition.

#### Neuron

A neuron is a thing that holds numbers.

#### Activation

Activation in one layer brings activation in the second layer.

$$\sigma(n) = \frac{1}{1 + e^{-n}}$$

Activation should be in range.

#### Learning

**Learning**  $\Rightarrow$  Finding weights and biases.

## Learning Model and Propagation

A learning model finds parameters (weights and biases) with the help of forward and backpropagation.

#### Forward Propagation

Forward Propagation  $\rightarrow$  Input data is fed in the forward direction through the network.

Input Data  $\rightarrow$  Activation Function  $\rightarrow$  Successive Layers

Input data moves only in the forward direction. Such networks are called **Feedforward Networks**.

At each neuron in a hidden or output layer, processing happens in two steps:

- 1. **Preactivation**  $\rightarrow$  The weighted sum of inputs is available. Based on this aggregated sum and activation function, we decide whether to pass this information further or not.
- 2. **Activation**  $\rightarrow$  The calculated weighted sum of inputs is passed to an activation function such as sigmoid, hyperbolic tangent, ReLU, or softmax.

#### Activation Function

Instead of the sigmoid function, we can also use other activation functions.

#### Hyperbolic Tangent Activation

For hidden layers, the **tanh** function works better than sigmoid in many cases:

$$\tanh(z) = \frac{e^z - e^{-z}}{e^z + e^{-z}}$$

#### **Activation Functions**

Because the hyperbolic tangent (tanh) function makes the mean activation close to 0, it helps in making learning easier.

# Choosing Different Activation Functions for Different Layers

The activation function can be different for each layer.

**Issue:** The slope of some activation functions (like sigmoid) is very small, which makes gradient descent slow. So, one more popular function is:

#### ReLU (Rectified Linear Unit)

$$a = \max(0, z)$$

#### Rules of Thumb

- If your output is binary (0/1), then **sigmoid** is the natural choice for the output layer.
- Otherwise, use **ReLU** or maybe **tanh**.

Since the slope of ReLU is 1 for half the time, it makes learning faster compared to other functions.

#### Pros and Cons of Activation Functions

- Sigmoid  $\rightarrow$  Never use, except in specific cases.
- $tanh \rightarrow Always$  superior to sigmoid.
- $\mathbf{ReLU} \to \mathbf{The}$  default and most commonly used activation function.
- Leaky ReLU  $\rightarrow$  Defined as:

$$a = \max(0.01z, z)$$

#### Conclusion

We need a **non-linear activation function** to enable complex learning in neural networks.

## **Optimization Problem**

A neural network is trained using the **gradient descent** optimization algorithm. The weights are updated using the **backpropagation of error** algorithm. The optimization algorithm is navigated down the gradient of error.

#### Loss Function

With neural networks, we seek to **minimize error**. Such an objective function is called the **cost function**, and the value calculated by the cost/loss function is called **loss**.

### Maximum Likelihood and Cross Entropy

$$\hat{y} = \sigma(w \cdot x)$$

J = loss function?

$$x \longrightarrow \hat{y} \quad \begin{cases} y - \text{Ground truth} \\ \text{Cost} \end{cases}$$

#### What about Least Squares?

$$J^{LS} = \frac{1}{2}(y - \hat{y})^2$$

- Not a good cost function.
- The cost incurred for misclassification is low.

#### **Binary Cross-Entropy Cost Function**

$$J = -[y \ln \hat{y} + (1 - y) \ln(1 - \hat{y})]$$

- $\hat{y}$  follows the **sigmoid** function.
- $y \in \{0,1\}, \hat{y} \in (0,1].$

#### Desirable Properties of a Loss Function

- 1. J = 0 for  $y = \hat{y}$ .
- 2. J should be **really high** for misclassification.
- 3.  $J \ge 0$ .

## Loss Functions for Regression and Classification

For regression tasks, we use the **least squares** cost function, whereas for classification tasks, we use the **binary cross-entropy** cost function.

## Backpropagation

We use the loss calculated from the loss function to make corrections to our model. For this, we use **gradient descent** and then update our parameters.

#### Calculus Behind Backpropagation

$$a^{(L-1)} \longrightarrow a^{(L)}$$

$$z^{(L)} = w^{(L)}a^{(L-1)} + b^{(L)}$$

$$a^{(L)} = \sigma(z^{(L)})$$

$$C_o(...) = (a^{(L)} - y)^2$$

#### **Gradient Computation**

$$\begin{split} \frac{\partial C_o}{\partial w^{(L)}} &= \frac{\partial z^{(L)}}{\partial w^{(L)}} \cdot \frac{\partial a^{(L)}}{\partial z^{(L)}} \cdot \frac{\partial C_o}{\partial a^{(L)}} \\ &\frac{\partial C_o}{\partial a^{(L)}} = 2(a^{(L)} - y) \\ &\frac{\partial a^{(L)}}{\partial z^{(L)}} = \sigma'(z^{(L)}) \\ &\frac{\partial z^{(L)}}{\partial w^{(L)}} = a^{(L-1)} \\ &\frac{\partial C_o}{\partial w^{(L)}} = a^{(L-1)} \cdot \sigma'(z^{(L)}) \cdot 2(a^{(L)} - y) \\ &\frac{\partial C}{\partial w^{(L)}} = \frac{1}{n} \sum_{k=0}^{n-1} \frac{\partial C_k}{\partial w^{(L)}} \end{split}$$

where n is the number of training examples. This represents the average over all training examples.

#### **Gradient Computation**

$$\frac{\partial C_o}{\partial b^{(L)}} = \frac{\partial z^{(L)}}{\partial b^{(L)}} \cdot \frac{\partial a^{(L)}}{\partial z^{(L)}} \cdot \frac{\partial C_o}{\partial a^{(L)}}$$

$$= 1 \cdot \sigma'(z^{(L)}) \cdot 2(a^{(L)} - y)$$

$$\frac{\partial C_o}{\partial a^{(L-1)}} = \frac{\partial z^{(L)}}{\partial a^{(L-1)}} \cdot \frac{\partial a^{(L)}}{\partial z^{(L)}} \cdot \frac{\partial C_o}{\partial a^{(L)}}$$

$$= w^{(L)} \cdot \sigma'(z^{(L)}) \cdot 2(a^{(L)} - y)$$

This is the backpropagation formula.

#### Case of Multiple Neurons in Each Layer

$$Z_j^{(L)} = \sum_k w_{jk}^{(L)} a_k^{(L-1)} + b_j^{(L)}$$
$$a_j^{(L)} = \sigma(Z_j^{(L)})$$
$$C_o = \sum_{j=0}^{n_L - 1} (a_j^{(L)} - y_j)^2$$

## Gradient Computation for Multiple Neurons

$$\begin{split} \frac{\partial C_o}{\partial w_{jk}^{(L)}} &= \frac{\partial Z_j^{(L)}}{\partial w_{jk}^{(L)}} \cdot \frac{\partial a_j^{(L)}}{\partial Z_j^{(L)}} \cdot \frac{\partial C_o}{\partial a_j^{(L)}} \\ &= a_k^{(L-1)} \cdot \sigma'(Z_j^{(L)}) \cdot 2(a_j^{(L)} - y_j) \\ \frac{\partial C_o}{\partial a_k^{(L-1)}} &= \sum_{j=0}^{n_L-1} \frac{\partial z_j^{(L)}}{\partial a_k^{(L-1)}} \cdot \frac{\partial a_j^{(L)}}{\partial z_j^{(L)}} \cdot \frac{\partial C_o}{\partial a_j^{(L)}} \\ &= \sum_{j=0}^{n_L-1} w_{jk}^{(L)} \sigma'(z_j^{(L)}) \cdot 2(a_j^{(L)} - y_j) \end{split}$$

## Implementing a Complete Neural Network

#### Loss Function

$$J = \frac{1}{2} \sum (y - \hat{y})^2$$

#### Gradient of Loss with Respect to Weights

$$\frac{\partial J}{\partial w^{(3)}} = \sum -(y - \hat{y}) \frac{\partial \hat{y}}{\partial z^{(3)}}$$
$$\frac{\partial J}{\partial w^{(2)}} = \sum -(y - \hat{y}) f'(z^{(3)}) \frac{\partial z^{(3)}}{\partial w^{(2)}}$$

#### **Matrix Form Representation**

$$z^{(3)} = a^{(2)}w^{(2)}$$

$$\delta^{(3)} = \begin{bmatrix} -(y_1 - \hat{y}_1)f'(z_1^{(3)}) \\ -(y_2 - \hat{y}_2)f'(z_2^{(3)}) \\ -(y_3 - \hat{y}_3)f'(z_3^{(3)}) \end{bmatrix}$$

$$\frac{\partial J}{\partial w^{(2)}} = (a^{(2)})^T \delta^{(3)}$$

$$\frac{\partial J}{\partial w^{(1)}} = 2\sum \frac{1}{2}(y - \hat{y})^2 = -(y - \hat{y})\frac{\partial \hat{y}}{\partial w^{(1)}}$$

$$= -(y - \hat{y})\frac{\partial a^{(2)}}{\partial w^{(1)}}$$

$$= -(y - \hat{y})f'(z^{(3)})\frac{\partial z^{(2)}}{\partial a^{(2)}}\frac{\partial a^{(2)}}{\partial w^{(1)}}$$

$$\frac{e^x}{(1 + e^x)^2}$$

$$X^T \delta^{(3)}(w^{(1)})^T f'(z^{(2)})$$

$$\frac{e^x}{1 + e^x} \left(\frac{e^x}{1 + e^x}\right) (1 + e^{-x})e^{-x}$$

#### Limitations of Neural Networks

Thank you for choosing neural nets, but it doesn't warrant:

- 1. Finding a good solution
- 2. Finding a solution in X iterations
- 3. Finding a solution at all

# Relationship Between Neural Nets and Mathematical Optimization

 $training = \text{Neural Nets} \cap \text{Mathematical Optimization}$ 

#### Introduction

Transformers are a class of deep learning models that leverage self-attention mechanisms to process sequential data efficiently. They are widely used in natural language processing (NLP), text generation, and image synthesis.

### **Key Components**

#### 1. Encoder-Decoder Architecture

Transformers consist of an encoder and a decoder. The encoder processes input sequences, and the decoder generates outputs step-by-step using previous context.

#### 2. Multi-Head Self-Attention

The self-attention mechanism allows the model to focus on different parts of the input sequence, improving contextual understanding.

$$\operatorname{Attention}(Q,K,V) = \operatorname{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

#### 3. Positional Encoding

Since transformers do not process inputs sequentially like RNNs, positional encodings are added to maintain the order of tokens.

$$PE_{(pos,2i)} = \sin\left(\frac{pos}{10000^{2i/d}}\right), \quad PE_{(pos,2i+1)} = \cos\left(\frac{pos}{10000^{2i/d}}\right)$$

#### 4. Feedforward Network

Each transformer block contains a fully connected feedforward network (FFN) with activation functions.

$$FFN(x) = \max(0, xW_1 + b_1)W_2 + b_2$$

#### 5. Layer Normalization and Residual Connections

Residual connections help gradient flow, and layer normalization stabilizes training.

## 6. Output Generation with Softmax

The final layer applies a softmax function to generate probabilities over the vocabulary.

$$P(y) = \operatorname{softmax}(W_o h_T)$$

## Applications

- Text Generation (GPT)
- Machine Translation (T5, BART)
- Code Generation (Codex)
- $\bullet$ Image Synthesis (Stable Diffusion, DALL·E)