

1. Klein-Gordon theory: free real scalar field in  $d + 1$  dimensions

The Lagrangian for a free, massive, real, bosonic scalar field  $\phi(x)$  in  $d$  space dimensions is given by

$$L = \int d^d x \mathcal{L}(x), \quad \mathcal{L}(x) = \frac{1}{2} (\partial_\mu \phi)^2 - \frac{m^2}{2} \phi^2, \tag{1}$$

where the metric has signature  $(1, d)$  (i.e.,  $g^{00} = 1$ ,  $g^{ii} = -1$  for  $i = 1, \dots, d$ ).

We first consider the model (1) as a classical theory.

(a) Obtain the Euler-Lagrange equation for  $\phi(x)$ , which is also known as Klein-Gordon equation.

$$\begin{aligned} \mathcal{L}(x) &= \frac{1}{2} (\partial_\mu \phi) (\partial^\mu \phi) - \frac{m^2}{2} \phi^2 \\ &= \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - \frac{m^2}{2} \phi^2 \\ \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} &= \frac{1}{2} g^{\mu\nu} (\partial_\nu \phi \delta_{\mu\nu} + \partial_\mu \phi \delta_{\nu\mu}) \\ &= \frac{1}{2} g^{\mu\nu} \partial_\nu \phi + \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \\ &= g^{\mu\nu} \partial_\nu \phi \\ \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} &= g^{\mu\nu} \partial_\mu \partial_\nu \phi \\ &= \partial^\mu \partial_\mu \phi \\ \frac{\partial \mathcal{L}}{\partial \phi} &= -m^2 \phi \\ \partial^\mu \partial_\mu \phi &= -m^2 \phi \end{aligned}$$

(b) Obtain the momentum field  $\pi(x)$  conjugate to  $\phi(x)$  and the Hamiltonian density  $\mathcal{H}(x)$ .

$$\begin{aligned} \mathcal{L} &= \frac{1}{2} \dot{\phi}^2 - \frac{1}{2} (\nabla \phi)^2 - \frac{m^2}{2} \phi^2 \\ \pi(x) &= \frac{\partial \mathcal{L}}{\partial \dot{\phi}} = \dot{\phi} \\ \mathcal{H} &= \pi(x) \dot{\phi} - \mathcal{L} \\ &= \frac{1}{2} \pi(x)^2 + \frac{1}{2} (\nabla \phi)^2 + \frac{m^2}{2} \phi^2 \end{aligned}$$

(c) Obtain the energy momentum tensor  $T^{\mu\nu}$ , and from there the generators of translations in time  $H$  and in space  $P$ .

$$\begin{aligned} T^\mu{}_\nu &= \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} \partial_\nu \phi - \delta^\mu{}_\nu \mathcal{L} \\ &= (g^{\mu\sigma} \partial_\sigma \phi) \partial_\nu \phi - \delta^\mu{}_\nu \mathcal{L} \\ &= \partial^\mu \phi \partial_\nu \phi - \delta^\mu{}_\nu \mathcal{L} \\ T^{\mu\nu} &= \partial^\mu \phi \partial^\nu \phi - \delta^{\mu\nu} \mathcal{L}, \end{aligned}$$

conserved charges/generators are diagonal elements

We now quantize the theory by imposing the equal-time commutator

$$[\phi(x, t), \pi(x', t)] = i \delta^d(x - x'). \tag{2}$$

(d) Calculate the commutators  $[H, \phi(x, t)]$  and  $[H, \pi(x, t)]$ . Are the results consistent with the Klein-Gordon equation?

Hint: Express  $H$  as a functional of  $\pi(x)$ ,  $\nabla \phi(x)$ , and  $\phi(x)$  rather than  $\partial_\mu \phi(x)$  and  $\phi(x)$ .

$$\begin{aligned} H &= \int \frac{1}{2} \pi(x)^2 + \frac{1}{2} (\nabla \phi(x))^2 + \frac{m^2}{2} \phi^2 d^d x \\ [\partial_\mu \phi, \pi(x)] &= \partial_\mu [\phi(x'), \pi(x)] \\ &= \partial_\mu i \delta^d(\vec{x}' - \vec{x}) \\ [H, \pi] &= \left[ \int \frac{1}{2} \pi(x')^2 + \frac{1}{2} (\nabla \phi(x'))^2 + \frac{m^2}{2} \phi^2 d^d x', \pi(x) \right] \end{aligned}$$

$$= \int d^4 x' \left[ \frac{1}{2} (\nabla \phi(x'))^2, \pi(x) \right] + \frac{m^2}{2} [\phi(x'), \pi(x)]$$

$$[A^2, B] = A[A, B] + [A, B]A$$

$$[\phi(x)^2, \pi(x)] = \phi(x') [\phi(x'), \pi(x)] + [\phi(x'), \pi(x)] \phi(x')$$

$$= 2i \phi(x) \delta^4(x' - x)$$

$$\int d^4 x' [\phi(x')^2, \pi(x)] = 2i \phi(x)$$

$$[\partial_i \phi^2, \pi(x)] = \partial_i \phi [\partial_i \phi, \pi(x)] + [\partial_i \phi, \pi(x)] \partial_i \phi$$

$$= 2i (\partial_i \phi) \partial_i \delta(x' - x)$$

$$= 2i \partial_i^2 \phi(x) \delta(x' - x) \quad (\text{integration by parts})$$

$$[H, \pi(x)] = i (\nabla^2 \phi)(x) + i m^2 \phi(x)$$

$$[H, \phi(x)] = \int d^4 x' \frac{1}{2} [\pi(x')^2, \phi(x)]$$

$$= \frac{1}{2} \int d^4 x' \pi(x') [\pi(x'), \phi(x)] + [\pi(x'), \phi(x)] \pi(x')$$

$$= \frac{1}{2} \int d^4 x' (i \pi(x') \delta(x - x'))$$

$$= -i \pi(x)$$

is it consistent :((

(e) Calculate the commutators  $[P, \phi(x, t)]$  and  $[P, \pi(x, t)]$ . What does the result confirm?

## 2. Quantisation of Klein-Gordon theory in terms of linear harmonic oscillators

To begin with, consider a single linear harmonic oscillator with Hamiltonian

$$H_0 = \frac{1}{2}p^2 + \frac{1}{2}\omega^2 x^2. \quad (3)$$

To quantise the oscillator, we introduce ladder operators  $a$  and  $a^\dagger$  obeying  $[a, a^\dagger] = 1$ , and write

$$x = \frac{1}{\sqrt{2\omega}}(a + a^\dagger), \quad p = -i\sqrt{\frac{\omega}{2}}(a - a^\dagger). \quad (4)$$

(a) Calculate the commutator  $[x, p]$ .

$$\begin{aligned} [x, p] &= -i \frac{1}{\sqrt{2\omega}} \sqrt{\frac{\omega}{2}} [a + a^\dagger, a - a^\dagger] \\ &= -\frac{i}{2} \left\{ \cancel{[a, a]} + [a, -a^\dagger] + [a^\dagger, a] + \cancel{[a^\dagger, -a^\dagger]} \right\} \\ &= -\frac{i}{2} \left\{ -2[a, a^\dagger] \right\} = i \end{aligned}$$

(b) Is the quantisation procedure we have chosen physically different from taking  $p \rightarrow -i\partial_x$ ?

**No, because the canonical commutation relations are kept, and hence the transformation is canonical.**

(c) Write  $H_0$  in terms of  $a$  and  $a^\dagger$ .

$$x = \frac{1}{\sqrt{2\omega}}(a + a^\dagger), \quad p = -i\sqrt{\frac{\omega}{2}}(a - a^\dagger).$$

$$\begin{aligned} H_0 &= \frac{1}{2}p^2 + \frac{1}{2}\omega^2 x^2 = -\frac{1}{2} \frac{\omega}{2} (a - a^\dagger)^2 + \frac{1}{2} \omega^2 \frac{1}{2\omega} (a + a^\dagger)^2 \\ &= -\frac{\omega}{4} (a^2 - a a^\dagger - a^\dagger a + a^{\dagger 2}) + \frac{\omega}{4} (a^2 + a a^\dagger + a^\dagger a + a^{\dagger 2}) \\ &= \frac{\omega}{2} (a a^\dagger + a^\dagger a) \\ &= \frac{\omega}{2} (2a^\dagger a + 1) = \omega \left( a^\dagger a + \frac{1}{2} \right) \end{aligned}$$

(d) Write down the eigenstates  $|n\rangle$  in terms of the ladder operators and obtain their energies  $E_{0,n}$ .

$$\begin{aligned} [a^\dagger a, a] &= a^\dagger [a, a] + [a^\dagger, a] a \\ &= -a \\ [a^\dagger a, a^\dagger] &= a^\dagger [a, a^\dagger] + [a^\dagger, a^\dagger] a \\ &= a^\dagger \end{aligned}$$

Hence: If  $|n\rangle$  is eigenvector of  $\hat{n}$  with eigenvalue  $n$

$$\begin{aligned} \hat{n} a^\dagger |n\rangle &= (a^\dagger \hat{n} + [\hat{n}, a^\dagger]) |n\rangle \\ &= a^\dagger \hat{n} |n\rangle + a^\dagger |n\rangle \\ &= (n+1) a^\dagger |n\rangle \end{aligned}$$

$a^\dagger |n\rangle$  is eigenvector with eigenvalue  $n+1$ . Similarly,

$$\begin{aligned} \hat{n} a |n\rangle &= (a \hat{n} + [\hat{n}, a]) |n\rangle \\ &= a \hat{n} |n\rangle - a |n\rangle = (n-1) a |n\rangle \end{aligned}$$

$$\tilde{E}_{0,n} = (n + \frac{1}{2})\omega$$

Normalization:

$$\begin{aligned} \|a^\dagger |n\rangle\|^2 &= \langle n | a a^\dagger | n \rangle \\ &= \langle n | a^\dagger a + 1 | n \rangle \\ &= n + 1 \end{aligned}$$

$$a^\dagger |n\rangle = \frac{1}{\sqrt{n+1}} |n+1\rangle$$

$$\begin{aligned} \|a |n\rangle\|^2 &= \langle n | a^\dagger a | n \rangle = n \\ a |n\rangle &= \frac{1}{\sqrt{n}} |n-1\rangle \end{aligned}$$

We now expand the Klein-Gordon field  $\phi(\mathbf{x}, t)$  from Problem 1 in terms of Fourier modes,

$$\phi(\mathbf{x}, t) = \frac{1}{\sqrt{V}} \sum_{\mathbf{p}} e^{i\mathbf{p} \cdot \mathbf{x}} \phi_{\mathbf{p}}(t), \quad (5)$$

where  $\phi_{\mathbf{p}}^*(t) = \phi_{-\mathbf{p}}(t)$  assures that  $\phi(\mathbf{x}, t)$  is real.

(e) Use the Euler-Lagrange equation for  $\phi(\mathbf{x}, t)$  to show that  $\phi_{\mathbf{p}}(t)$  obeys the equation of motion of a linear harmonic oscillator for each mode  $\mathbf{p}$ ,

$$(\partial_t^2 + \omega_{\mathbf{p}}^2) \phi_{\mathbf{p}}(t) = 0, \quad (6)$$

and determine  $\omega_{\mathbf{p}}$ .

$$\partial_\mu \partial^\mu \phi = \frac{\partial^2 \phi}{\partial t^2} - \nabla^2 \phi = -m^2 \phi$$

$$\nabla^2 \phi(\vec{x}, t) = \nabla^2 \frac{1}{\sqrt{V}} \sum_{\vec{p}} e^{i\vec{p} \cdot \vec{x}} \phi_{\vec{p}}(t)$$

$$= -\frac{1}{\sqrt{V}} \sum_{\vec{p}} |\vec{p}|^2 e^{i\vec{p} \cdot \vec{x}} \phi_{\vec{p}}(t)$$

$$\frac{1}{\sqrt{V}} \sum_{\vec{p}} e^{i\vec{p} \cdot \vec{x}} (\partial_t^2 \phi_{\vec{p}}(t) - |\vec{p}|^2 \phi_{\vec{p}}(t)) = -\frac{m^2}{\sqrt{V}} \sum_{\vec{p}} e^{i\vec{p} \cdot \vec{x}} \phi_{\vec{p}}(t)$$

$$[\partial_t^2 - \underbrace{(|\vec{p}|^2 + m^2)}_{\omega_{\vec{p}}^2}] \phi_{\vec{p}}(t) = 0$$

To quantise the theory, we introduce ladder operators  $a_{\mathbf{p}}$  and  $a_{\mathbf{p}}^\dagger$  which obey

$$[a_{\mathbf{p}}, a_{\mathbf{p}'}^\dagger] = \delta_{\mathbf{p}\mathbf{p}'}, \quad (7)$$

and write at  $t = 0$ :

$$\phi(\mathbf{x}) = \frac{1}{\sqrt{V}} \sum_{\mathbf{p}} e^{i\mathbf{p} \cdot \mathbf{x}} \frac{1}{\sqrt{2\omega_{\mathbf{p}}}} (a_{\mathbf{p}} + a_{-\mathbf{p}}^\dagger), \quad (8)$$

$$\pi(\mathbf{x}) = \frac{1}{\sqrt{V}} \sum_{\mathbf{p}} e^{i\mathbf{p} \cdot \mathbf{x}} (-i) \sqrt{\frac{\omega_{\mathbf{p}}}{2}} (a_{\mathbf{p}} - a_{-\mathbf{p}}^\dagger). \quad (9)$$

(f) Are the fields  $\phi(\mathbf{x})$  and  $\pi(\mathbf{x})$  hermitian?

(g) Calculate the equal time commutator  $[\phi(\mathbf{x}), \pi(\mathbf{x}')] using (8) and (9) and compare the result to (2).$

$$\phi^\dagger = \frac{1}{\sqrt{V}} \sum_{\vec{p}} e^{-i\vec{p} \cdot \vec{x}} \frac{1}{\sqrt{2\omega_{\vec{p}}}} (a_{\vec{p}}^\dagger + a_{-\vec{p}})$$

Substitute  $\vec{p} \rightarrow -\vec{p}$  and use  $\omega_{-\vec{p}} = \omega_{\vec{p}} \Rightarrow \phi^\dagger(\vec{x}) = \phi(\vec{x}) \checkmark$

$$\pi^\dagger = \frac{1}{\sqrt{V}} \sum_{\vec{p}} e^{-i\vec{p} \cdot \vec{x}} (i) \sqrt{\frac{\omega_{\vec{p}}}{2}} (a_{\vec{p}}^\dagger - a_{-\vec{p}})$$

$$= \frac{1}{\sqrt{V}} \sum_{\vec{p}} e^{-i\vec{p} \cdot \vec{x}} (-i) \sqrt{\frac{\omega_{\vec{p}}}{2}} (a_{-\vec{p}} - a_{\vec{p}}^\dagger)$$

Substitute  $\vec{p} \rightarrow -\vec{p}$ , same argument  $\checkmark$

$$\begin{aligned} [\phi(\vec{x}), \pi(\vec{x}')] &= \frac{1}{V} \sum_{\vec{p}, \vec{p}'} \left[ e^{i\vec{p} \cdot \vec{x}} \frac{1}{\sqrt{2\omega_{\vec{p}}}} (a_{\vec{p}} + a_{-\vec{p}}^\dagger), e^{i\vec{p}' \cdot \vec{x}'} (-i) \sqrt{\frac{\omega_{\vec{p}'}}{2}} (a_{\vec{p}'} - a_{-\vec{p}'}^\dagger) \right] \\ &= \frac{i}{2V} \sum_{\vec{p}, \vec{p}'} e^{i\vec{p} \cdot \vec{x}} e^{i\vec{p}' \cdot \vec{x}'} \sqrt{\frac{\omega_{\vec{p}'}}{\omega_{\vec{p}}}} [a_{\vec{p}} + a_{-\vec{p}}^\dagger, a_{\vec{p}'} - a_{-\vec{p}'}^\dagger] \end{aligned}$$

Clearly, if  $\mathbf{p}$  is not equal to  $-\mathbf{p}'$ , the creation and annihilation operators have nothing to do with each other and the commutator vanishes (if  $\mathbf{p} = \mathbf{p}'$ , then a creation operator with momentum  $\mathbf{p}$  is paired with an annihilation operator of momentum  $-\mathbf{p}$  and it vanishes anyway)

$$\begin{aligned}
&= \frac{i}{2V} \sum_{\vec{p}} [a_{\vec{p}} + a_{-\vec{p}}^\dagger, a_{-\vec{p}} - a_{\vec{p}}^\dagger] \\
&= \frac{i}{2V} \sum_{\vec{p}} \left\{ [a_{\vec{p}}, a_{-\vec{p}}] + [a_{-\vec{p}}^\dagger, a_{-\vec{p}}] - [a_{\vec{p}}, a_{\vec{p}}^\dagger] - [a_{-\vec{p}}^\dagger, a_{-\vec{p}}^\dagger] \right\} \\
&= \frac{i}{2V} \sum_{\vec{p}} (2) = i
\end{aligned}$$

(h) Obtain the Klein-Gordon Hamiltonian  $H$  in terms of  $a_p$  and  $a_p^\dagger$ .

$$H = \int \frac{1}{2} \pi(x)^2 + \frac{1}{2} (\nabla \phi)^2 + \frac{m^2}{2} \phi^2 d^d x$$

$$\int \pi(x)^2 d^d x = \frac{1}{V} \int d^d x \left( \sum_{\vec{p}} e^{i\vec{p} \cdot \vec{x}} \sqrt{\frac{\omega_{\vec{p}}^2}{2}} (a_{\vec{p}} - a_{-\vec{p}}^\dagger) \right) \left( \sum_{\vec{p}'} e^{i\vec{p}' \cdot \vec{x}} \sqrt{\frac{\omega_{\vec{p}'}^2}{2}} (a_{\vec{p}'} - a_{-\vec{p}'}^\dagger) \right)$$

Again  $\vec{p}' \neq -\vec{p}'$  terms vanish under the integral

$$\begin{aligned}
\int \pi(x)^2 d^d x &= -\frac{1}{2V} \int d^d x \sum_{\vec{p}} \omega_{\vec{p}} (a_{\vec{p}} - a_{-\vec{p}}^\dagger)(a_{-\vec{p}} - a_{\vec{p}}^\dagger) \\
&= -\frac{1}{2} \sum_{\vec{p}} \omega_{\vec{p}} (a_{\vec{p}} - a_{-\vec{p}}^\dagger)(a_{-\vec{p}} - a_{\vec{p}}^\dagger)
\end{aligned}$$

can the sheets be a bit shorter :((