

Notes for the course in  
**FUNCTIONAL ANALYSIS**

Held in WS24/25

At the JMU Würzburg  
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# CHAPTER ONE

## $C^*$ Algebras

**Theorem 1.1.** If we have an algebra  $\mathcal{A}$ , there is an algebra  $\bar{\mathcal{A}}$  containing  $\mathcal{A}$  as a sub algebra that has an identity. If  $\mathcal{A}$  is  $C^*$ , then so is  $\bar{\mathcal{A}}$ .

**Definition 1.2.** The set of  $\lambda$  such that  $\lambda 1 - A$  is invertible is called the resolvent set of  $A$ . Its complement in the complex plane is called the spectrum.

**Definition 1.3** (Von-Neumann Series). We can expand the resolvent using the geometric series

$$\frac{1}{\lambda - A} = \frac{1}{\lambda} \frac{1}{1 - A/\lambda} = \frac{1}{\lambda} \sum_{n=0}^{\infty} \left( \frac{A}{\lambda} \right)^n.$$

**Remark 1.4.** This shows that for  $\lambda > \|A\|$ , the series is absolutely convergent. This implies that the spectrum is bounded.

**Theorem 1.5.** The spectral radius is given, for general  $A$ , by

$$\rho(A) = \inf_n \|A^n\|^{1/n} = \lim_{n \rightarrow \infty} \|A^n\|^{1/n} \leq \|A\|$$

*Proof.* The former follows from the root test. □

**Theorem 1.6.** Let  $\mathcal{A}$  be a unital  $C^*$  algebra.

1. If  $A$  is normal then

$$\rho(A) = \|A\|$$

2. If  $A$  is an isometry, then

$$\rho(A) = 1$$

3. If  $A$  is unitary, then

$$\sigma_A \subseteq S^1$$

4. If  $A$  is self adjoint, its spectrum is real

5. For all polynomials  $P$ ,

$$\sigma(P(A)) = P(\sigma(A))$$

*Proof.* 1. We use the  $C^*$  property to move the power out of the norm:

$$\begin{aligned} \|A^{2^n}\|^2 &= \|(A^*A)^{2^n}\| \\ &= \|(A^*A)^{2^{n-1}}\|^2 \\ &= \|A^*A\|^{2^n} \\ &= \|A\|^{2^{n+1}} \end{aligned}$$

2. We have

$$\begin{aligned} \|A^{2^n}\|^2 &= \|(A^*)^{2^n}A^{2^n}\| \\ &= \|1\| = 1 \end{aligned}$$

3. We have

$$\sigma(A) = \overline{\sigma(A^*)} = \overline{\sigma(A^{-1})} = \left(\overline{\sigma(A)}\right)^{-1}. \quad \square$$

**Theorem 1.7** (Uniqueness). The norm of a  $C^*$  algebra is unique.

*Proof.* The norm is given by, for normal elements,

$$\|A\| = \rho(A).$$

For not normal elements, we have

$$\|A\| = \sqrt{\|A^*A\|} = \rho(A^*A). \quad \square$$

## CHAPTER TWO

### Homomorphisms

**Theorem 2.1.** Homomorphisms preserve positivity

**Theorem 2.2.** The kernel of a homomorphism is a 2 sided ideal.

**Definition 2.3** (Approximative Identity). If  $\mathcal{I}$  is a right sided ideal, an approximate identity is defined such that

$$\|E_\alpha I - I\| \xrightarrow{\alpha \rightarrow \infty} 0.$$

**Theorem 2.4.** Every right ideal possesses an approximate identity.

*Proof.* The idea is: We partially order the set of all finite families  $\alpha = \{A_1, \dots, A_{|\alpha|}\}$ , then we construct

$$F_\alpha = \sum_{i=1}^{|\alpha|} A_\alpha A_\alpha^*.$$

Then, by adjoining a unit to  $\mathcal{A}$  if necessary, we can define

$$E_\alpha = |\alpha| F_\alpha \frac{1}{1 + |\alpha| F_\alpha} = 1 - \frac{1}{1 + |\alpha| F_\alpha}.$$

The proof idea is showing that  $\alpha \rightarrow \infty$ , hence this looks like a 1. □

**Theorem 2.5.** Every closed two sided ideal is self adjoint and the factor algebra is a  $C^*$  algebra.

## CHAPTER THREE

### Quantum Mechanics

**Definition 3.1** (Symplectic Vector Space). A symplectic vector space is a real vector space with a nondegenerate antisymmetric bilinear map. Nondegenerate means that if

$$\theta(u, v) = 0$$

for all  $u \in V$ , then  $v = 0$ .

**Definition 3.2** (Weyl System). A Weyl system of a symplectic vector space is a map  $V \rightarrow \mathcal{A}$  such that

$$\begin{aligned} W(0) &= 1 \\ (W(\phi))^* &= W(-\phi) \\ W(\phi)W(\psi) &= e^{-\frac{i}{2}\theta(\phi, \psi)}W(\phi + \psi) \end{aligned}$$

**Definition 3.3** (Weyl Algebra). We define a particular vector space by letting  $V = \mathbb{R}^2$ , and defining the symplectic product as

$$\theta((\xi_1, \eta_1), (\xi_2, \eta_2)) = \eta_1\xi_2 - \xi_1\eta_2.$$

The algebra generated by  $W(\xi, 0)$ ,  $W(0, \eta)$  is called the Weyl algebra.

**Theorem 3.4.** Let  $(\mathcal{A}, W)$  be a Weyl system of a symplectic vector space  $(V, \theta)$ . Then

1.  $W(\phi)$  is unitary for all  $\phi \in V$
2.  $\|W(\phi) - W(\psi)\| = 2$  for all  $\phi \neq \psi \in V$ .
3.  $\mathcal{A}$  is not separable, unless  $V = \{0\}$ .
4. The family  $\{W(\phi)\}_{\phi \in V}$  is linearly independent.

*Proof.* 1. We have

$$\begin{aligned} [W(\phi)]^* W(\phi) &= W(-\phi) W(\phi) \\ &= e^{-\frac{i}{2} \theta(-\phi, \phi)} W(0) \end{aligned}$$

Because  $\theta$  is antisymmetric, it follows that  $\theta(-\phi, \phi) = -\theta(\phi, \phi) = 0$ .

2.

□

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