## 5. Open quantum systems

Due date: 02.07.2025 10:00

Throughout this exercise sheet, we adopt the convention  $\hbar = 1$ .

**Exercise 1** Gain/loss dynamics and encircling exceptional points

7 P.

Consider a two-level quantum system described by the non-Hermitian Hamiltonian:

$$H_{\mathrm{NHH}} = \begin{pmatrix} E_1 - i\gamma_1 & H_{12} \\ H_{12} & E_2 - i\gamma_2 \end{pmatrix},$$

where  $E_1, E_2, \gamma_1, \gamma_2 \in \mathbb{R}$ , and  $H_{12} \in \mathbb{C}$ .

Define the following quantities:

$$E = \frac{E_1 + E_2 - i(\gamma_1 + \gamma_2)}{2},$$

$$e = \frac{E_1 - E_2}{2}, \quad \gamma = \frac{\gamma_1 - \gamma_2}{2}, \quad B = \frac{e - i\gamma}{H_{12}}.$$

- a) Compute the eigenvalues of  $H_{NHH}$ , and determine the condition under which the two eigenvalues coalesce to form an exceptional point (EP).
- b) Interpret the physical meaning of  $\gamma_1$  and  $\gamma_2$  in this system. What happens when  $\gamma_2 > \gamma_1$ ? Which level experiences relative loss? Can this be interpreted as a relative gain for the other level?

**Hint:** Rewrite  $H_{NHH}$  in the form:

$$H_{\text{NHH}} = \begin{pmatrix} * & H_{12} \\ H_{12} & * \end{pmatrix} - i \frac{\gamma_1 + \gamma_2}{2} \mathbb{1},$$

where you should compute the entries denoted by \* yourself. Identify which term corresponds to relative gain/loss and which to overall decay.

- c) Show that the EPs occur when  $B = \pm i$ .
- d) Explain how encircling an EP in the complex B-plane leads to a transformation of the eigenvectors due to the square-root branch cut. Assume the normalized right eigenvectors of  $H_{\rm NHH}$  are given by:

$$|r_1\rangle = \begin{pmatrix} \cos u \\ \sin u \end{pmatrix}, \quad |r_2\rangle = \begin{pmatrix} -\sin u \\ \cos u \end{pmatrix},$$

where

$$\tan u = -B + \sqrt{B^2 + 1}.$$

Show that after one encirclement of the EP at B=i, the eigenvectors transform as:

$$u \to u + \frac{\pi}{2}, \quad \Rightarrow \quad |r_1\rangle \to |r_2\rangle, \quad |r_2\rangle \to -|r_1\rangle,$$

or

$$u \to u - \frac{\pi}{2}, \quad \Rightarrow \quad |r_1\rangle \to -|r_2\rangle, \quad |r_2\rangle \to |r_1\rangle.$$

e) How many full turns around the EP are required to return the eigenvectors to their original form?

## Exercise 2 Lindbladian Dynamics and Exceptional Points (EPs)

4 P.

Consider a two-level quantum system with the system Hamiltonian:

$$H_S = \omega \sigma_x$$

and assume the environment induces both spontaneous excitation and decay, modeled by the Lindblad jump operators:

$$L_1 = \sqrt{\gamma} \, \sigma_-, \quad L_2 = \sqrt{\gamma} \, \sigma_+$$

where  $\sigma_{\pm} = (\sigma_x \pm i\sigma_y)/2$  are the lowering and raising operators, respectively.

- a) Write the full Lindblad master equation for the density matrix  $\rho(t)$ .
- b) Vectorize the density matrix using the procedure:

$$\rho = \begin{pmatrix} \rho_{00} & \rho_{01} \\ \rho_{10} & \rho_{11} \end{pmatrix} \quad \Rightarrow \quad |\rho\rangle = \begin{pmatrix} \rho_{00} \\ \rho_{01} \\ \rho_{10} \\ \rho_{11} \end{pmatrix}.$$

Construct the corresponding  $4 \times 4$  Lindbladian superoperator  $\mathcal{L}$  such that:

$$\frac{d}{dt} |\rho\rangle = \mathcal{L} |\rho\rangle.$$

**Hint:** Recall from the lecture that the Lindbladian superoperator  $\mathcal{L}$ , acting on the vectorized density matrix  $|\rho\rangle$ , can be expressed as:

$$\mathcal{L} = -i\left(H \otimes \mathbb{1} - \mathbb{1} \otimes H^{\mathrm{T}}\right) + \sum_{j} \left(L_{j} \otimes L_{j}^{*} - \frac{1}{2}\left(L_{j}^{\dagger}L_{j} \otimes \mathbb{1} + \mathbb{1} \otimes L_{j}^{\mathrm{T}}L_{j}^{*}\right)\right),$$

where H is the system Hamiltonian,  $L_j$  are the Lindblad operators, and  $\otimes$  denotes the Kronecker product.

c) Determine the conditions under which the Lindbladian  $\mathcal{L}$  exhibits an exceptional point (EP); that is, when two or more of its eigenvalues and corresponding eigenvectors coalesce.