# EECS 289A Introduction to Machine Learning Final Project T:

# **Image Processing and Least Square Problems**

- An application of image super-resolution





#### **Presented By:**

Peng TAN Han LIU Jinyan ZHAO Dilu XU

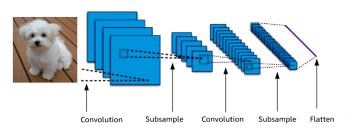
**Date: Dec. 2020** 



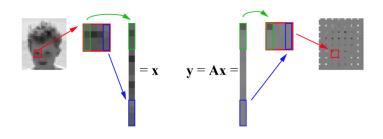
#### Introduction

- Image processing:
  - Image denoting
  - Image blurring
  - Image restoration
- Objectives:
- Implement image reconstruction to build a bridge between image processing and various types of least squares problems.
- 2) Train a learning-based model through solving some linear programming problems.

Neural network



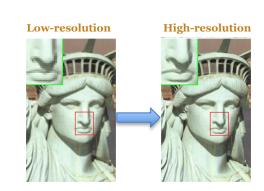
 conventional methods revoking linear algebra



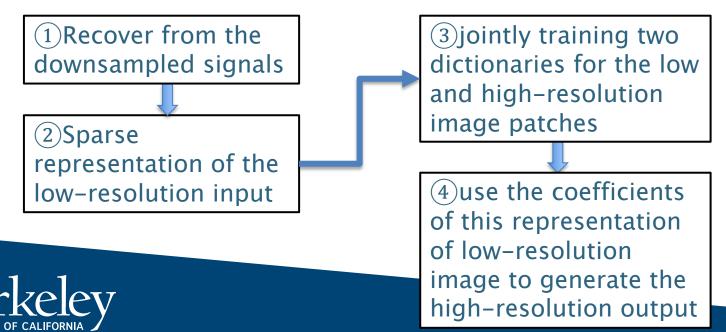


# **Background**

- Single-image super-resolution, based on sparse signal representation.
- image patches can be well represented as a sparse linear combination of elements from an appropriately chosen over-complete dictionary

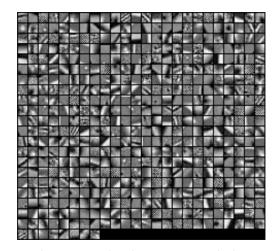


2) Procedure:



#### **Basic Ideas:**

- 1) Under certain conditions, any sufficiently sparse linear representation of a high-resolution image patch can be recovered almost perfectly form the low-resolution image patch
- 2)  $D \in \mathbb{R}^{n \times K}$ : overcomplete dictionary of K features
- 3)  $x = D\alpha$ : image patch, where  $\alpha \in R^K$  is a sparse vector
- 4)  $y = LD\alpha$ :
  - L: projection matrix
  - x is a high-resolution image patch, y is its low-resolution counterpart
  - two coupled dictionaries:  $D_h$  and  $D_l$
  - concatenate  $D_h$  and  $D_l$  with normalization for consistent sparse representation



The high-resolution image patch dictionary trained using 100,000 high resolution and low-resolution image patch pairs sampled from the generic training images. (Yang, J., Wright, J., Huang, T. S., & Ma, Y. (2010))



# **Image Super-Resolution From sparsity**

Original problem:  $\min ||\alpha||_0 s.t. ||FD_l\alpha - Fy||_2^2 \le \epsilon$ 



Donoho (2008):  $\min ||\alpha||_1 s.t. ||FD_l\alpha - Fy||_2^2 \le \epsilon$ 



Lagrange:  $\min_{\alpha} ||FD_{l}\alpha - Fy||_{2}^{2} + \lambda ||\alpha||_{1}$ 



Similar to LASSO regression

Compatibility of  $D_l$  and  $D_h$ :  $\min_{\alpha} \left| \left| \widetilde{D}\alpha - \widetilde{y} \right| \right|_2^2 + \lambda \left| \left| \alpha \right| \right|_1$  Where  $\widetilde{D} = \begin{bmatrix} FD_l \\ PD_h \end{bmatrix}$ ,  $\widetilde{y} = \begin{bmatrix} Fy \\ \omega \end{bmatrix}$ 



# **Dictionary Training**

#### Object:

$$D = \underset{D,Z}{\operatorname{argmin}} ||X - DZ||_{2}^{2} + \lambda ||Z||_{1} s.t. ||D_{i}||_{2}^{2} \le 1, i = 1, 2, ..., K$$

#### Algorithm:

- 1) Initialize D with a normalized Gaussian random matrix
- 2) Fix D and update Z by:  $Z = \underset{Z}{\operatorname{argmin}} ||X DZ||_{2}^{2} + \lambda ||Z||_{1}$
- 3) Fix Z and update D by: D =  $\underset{D,Z}{\operatorname{argmin}} ||X DZ||_2^2$  s.t.  $||D_i||_2^2 \le 1$ , i = 1, 2, ..., K
- 4) Repeat (2) and (3) until converge



# **An Efficient Sparse Coding Alogrithm for LASSO**

- 1) L1-Regularized Least Square  $\min_{x} ||y Ax||^2 + \gamma ||x||_1$
- 2) If we know the signs (positive, zero or negative) of the  $x_i$ , we can replace each of the term $|x||_1$  with:  $x_i$  if  $(x_i > 0)$ ,  $-x_i$  (if  $x_i < 0$ ), or 0 (if  $x_i = 0$ );
- 3) Lasso regression reduces to a standard, unconstrained quadratic optimization problem, which can be solved analytically and efficiently.

Lee, H., Battle, A., Raina, R., & Ng, A. Y. (2007). Efficient sparse coding algorithms. In Advances in neural information processing systems (pp 801-808).



# **Constrained Least Squares with Lagrange Dual**

Constrained Least Square.

$$\min_{D} ||X - DZ||_F^2 \quad s. \, t. \, \sum_{i=1}^k D_{i,j}^2 \le c, \quad \forall j = 1, 2, \cdots, n$$

2) Or equivalently consider the Lagrangian:

$$L(D,\lambda) = trace((X - DZ)^T(X - DZ)) + \sum_{j=1}^{n} \lambda_i (\sum_{j=1}^{k} D_{i,j}^2 - c)$$

- 3) Where each  $\lambda_i \geq 0$  is a dual variable, minimize over D analytically:  $D(\lambda) = trace(X^T XZ^T(ZZ^T + \Lambda)^{-1}(XZ^T)^T c\Lambda), \qquad \Lambda = diag(\lambda)$
- 4) Computing the gradient and Hessian of  $D(\lambda)$ ,  $\Lambda$  could be optimized using Newton's method or conjugate gradient.
- 5) The optimum *D* is obtained:

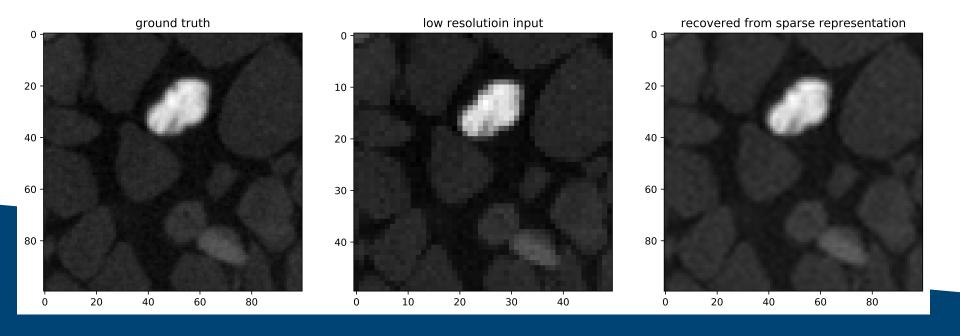
$$D^T = (ZZ^T + \Lambda)^{-1}(XZ^T)^T$$



# **Experimental Results**

Super-resolution results obtained by applying the previously mentioned methods on X-ray tomography images on granular material.

- Visually satisfactory.
- Outperforms current conventional interpolation-based method. (Mean Squared Error 2.98 vs. 3.16).
- More details in note and Jupyter-notebooks.



#### **Conclusion**

- A novel learning-based approach is presented toward single image super-resolution based using sparse representations.
- Two convex optimization least square problems are associated.
- An efficient algorithm is adopted and implemented to solve LASSO regression.
- Constrained least square problem is solved with Lagrange dual.
- Supplementary Jupyter-notebook covers feature engineering, algorithm implementation, tuning hyper-parameters and numerical experiment details.
- https://github.com/tanpeng1995/289FinalProject.gi
  t



#### **Reference:**

Donoho, D. L. (2006). For most large underdetermined systems of linear equations the minimal  $\ell 1$ -norm solution is also the sparsest solution. Communications on Pure and Applied Mathematics: A Journal Issued by the Courant Institute of Mathematical Sciences, 59 (6), 797–829.

Lee, H., Battle, A., Raina, R., & Ng, A. (2006). Efficient sparse coding algorithms. *Advances in neural information processing systems*, *19*, 801–808.

Yang, J., Wright, J., Huang, T. S., & Ma, Y. (2010). Image super-resolution via sparse representation. *IEEE transactions on image processing*, *19*(11), 2861–2873.

