

Healthcare Compliance Checklist

Healthcare Compliance Checklist (India) - High-Level Template for Hospitals/Clinics

1. Licensing & Registration:

- ☐ Clinical Establishment Act: Registration and compliance with the state/central Act.
- ☐ Local Municipal Body: Registration under the local Shops and Establishments Act.
- ☐ Fire Safety: Valid No Objection Certificate (NOC) from the local fire department.
- ☐ Pollution Control: Authorization from the State Pollution Control Board for a Health Care Establishment.
- ☐ AERB Registration: For facilities with radiation-emitting equipment (X-ray, CT Scan).

2. Patient Care & Safety Standards (NABH Inspired):

- ☐ Display of Charter: Patient Rights and Responsibilities are clearly displayed.
- ☐ Informed Consent: A documented process for taking informed consent before procedures.
- ☐ Emergency Care: Triage system and protocols for managing emergency patients are in place.
- ☐ Infection Control (HIC): A functional Hospital Infection Control program is implemented.
- ☐ Medication Management: Safe procurement, storage, prescription, and dispensing of medicines.

3. Medico-Legal & Statutory Compliance:

- ☐ Biomedical Waste Management: Adherence to BMW Management Rules, 2016 (segregation, treatment, disposal).
- ☐ PCPNDT Act: Strict compliance if ultrasonography services are provided for prenatal diagnosis.
- ☐ Medical Records: Maintenance and confidentiality of patient records as per legal requirements.
- ☐ Reporting of Notifiable Diseases: System for reporting to public health authorities.

4. Facility & Staff Compliance:

- ☐ Staff Credentials: Verification of qualifications and registration of all medical staff.
- ☐ Staff Health & Safety: Regular health check-ups and post-exposure prophylaxis protocols.
- ☐ Equipment Maintenance: Logbook of periodic calibration and maintenance of critical equipment.
- ☐ Pharmacy License: Valid drug license for the in-house pharmacy.

5. Data Privacy & Management:

- ☐ Patient Data Confidentiality: A clear policy on maintaining patient data privacy.
- ☐ DPDP Act Compliance: Processes to align with the Digital Personal Data Protection (DPDP) Act.

Disclaimer: This is a high-level template and not legal advice. Consult a professional for specific compliance needs.