

# COMP5046: From Classification to Tagging

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# Part I

## Classification Review

# Applications of classification

- Wikipedia article entity types  
page  $\mapsto$  {*person, company, location, \dots*}
- Thematic classification  
article  $\mapsto$  {*economics, media, health, \dots*}
- Spam filtering  
Email  $\mapsto$  {*spam, notspam*}
- Sentiment detection  
Product review  $\mapsto$  {*positive, neutral, negative*}
- ...

# Prediction with Naïve Bayes

$$\begin{aligned}
 \hat{y} &= \operatorname{argmax}_y p(y|\mathbf{x}) \\
 &= \operatorname{argmax}_y \frac{p(\mathbf{x}, y)}{p(\mathbf{x})} \\
 &\propto \operatorname{argmax}_y p(\mathbf{x}, y) \\
 &\propto \operatorname{argmax}_y p(y)p(\mathbf{x}|y) \\
 &\propto \operatorname{argmax}_y p(y) \prod_i p(x_i|y)
 \end{aligned}$$

independent features

# Prediction with Maximum Entropy

$$\begin{aligned}
 \hat{y} &= \operatorname{argmax}_y p(y|x) \quad | \text{ same as N.B.} \\
 &= \operatorname{argmax}_y \frac{\exp \mathbf{w} \cdot f(x, y)}{\sum_{y'} \exp \mathbf{w} \cdot f(x, y')} \\
 &= \operatorname{argmax}_y \frac{\exp \sum_i w_i f_i(x, y)}{\sum_{y'} \exp \sum_i w_i f_i(x, y')} \\
 &\propto \operatorname{argmax}_y \exp \sum_i w_i f_i(x, y) \\
 &\propto \operatorname{argmax}_y \sum_i \underbrace{w_i}_{\text{weights}} \underbrace{f_i(x, y)}_{\text{features}}
 \end{aligned}$$

# Prediction with Perceptron

$$\begin{aligned}\hat{y} &= \operatorname{argmax}_y \mathbf{w} \cdot f(\mathbf{x}, y) \\ &= \operatorname{argmax}_y \sum_i w_i f_i(\mathbf{x}, y)\end{aligned}$$

*weights are learnt differently*

*no prob output*

# Part of Speech (POS) Tagging

Mr.	Vinken	is	chairman	of	Elsevier	N.V.	,
NNP	NNP	VBZ	NN	IN	NNP	NNP	,
the	Dutch	publishing	group	.			
DT	NNP	VBG	NN	.			

- 45 POS tags
- 1 million words Penn Treebank WSJ text
- 97% state of the art accuracy

# Penn Treebank tagset

Tag	Description
CC	Coordinating conjunction
CD	Cardinal number
DT	Determiner
EX	Existential "there"
FW	Foreign word
IN	Preposition or subordinating conjunction
JJ	Adjective
JJR	Adjective, comparative
JJS	Adjective, superlative
LS	List item marker
MD	Modal
NN	Noun, singular or mass
NNS	Noun, plural
NNP	Proper noun, singular
NNPS	Proper noun, plural
PDT	Predeterminer
POS	Possessive ending
PRP	Personal pronoun

Tag	Description
PRP\$	Possessive pronoun
RB	Adverb
RBR	Adverb, comparative
RBS	Adverb, superlative
RP	Particle
SYM	Symbol
TO	"to"
UH	Interjection
VB	Verb, base form
VBD	Verb, past tense
VBG	Verb, gerund or present participle
VBN	Verb, past participle
VBP	Verb, non-3rd person singular present
VBZ	Verb, 3rd person singular present
WDT	Wh-determiner
WP	Wh-pronoun
WP\$	Possessive wh-pronoun
WRB	Wh-adverb



Is increase a **NN** or a **VB**?

noun

verb

**Input:**

equity will **increase**

**Features:**

{ }

<i>i</i>	tag	attribute	weight
1	NN	nw *	0.46998
2	NN	w increase	2.97384
3	VB	nw *	-0.08660
4	VB	pw will	1.47305
5	VB	w increase	2.60052

current word

previous word

next word  
end of doc

# Is increase a NN or a VB?

## Input:

equity will **increase**

## Features:

{pw will}

<i>i</i>	<i>tag</i>	<i>attribute</i>	<i>weight</i>
1	NN	nw *	0.46998
2	NN	w increase	2.97384
3	VB	nw *	-0.08660
4	VB	pw will	1.47305
5	VB	w increase	2.60052

# Is increase a NN or a VB?

## Input:

equity will **increase**

## Features:

{pw will, w increase}

<i>i</i>	<i>tag</i>	<i>attribute</i>	<i>weight</i>
1	NN	nw *	0.46998
2	NN	w increase	2.97384
3	VB	nw *	-0.08660
4	VB	pw will	1.47305
5	VB	w increase	2.60052

# Is increase a NN or a VB?

## Input:

equity will **increase**

## Features:

{pw will, w increase, nw \*}

<i>i</i>	<i>tag</i>	<i>attribute</i>	<i>weight</i>
1	NN	nw *	0.46998
2	NN	w increase	2.97384
3	VB	nw *	-0.08660
4	VB	pw will	1.47305
5	VB	w increase	2.60052

# Is increase a NN or a VB?

## Input:

equity will **increase**

## Features:

{pw will, w increase, nw \*}}

<i>i</i>	<i>tag</i>	<i>attribute</i>	<i>weight</i>
1	NN	nw *	0.46998
2	NN	w increase	2.97384
3	VB	nw *	-0.08660
4	VB	pw will	1.47305
5	VB	w increase	2.60052

$\underset{y}{\operatorname{argmax}} p(y|\mathbf{x})$ :

# Is increase a NN or a VB?

## Input:

equity will **increase**

## Features:

{pw will, w increase, nw \*}

previous      word      next

$\operatorname{argmax}_y p(y|\mathbf{x})$ :

$$p(NN|\mathbf{x}) \propto$$

$$p(VB|\mathbf{x}) \propto$$

<i>i</i>	<i>tag</i>	<i>attribute</i>	<i>weight</i>
1	NN	nw *	0.46998
2	NN	w increase	2.97384
3	VB	nw *	-0.08660
4	VB	pw will	1.47305
5	VB	w increase	2.60052

# Is increase a NN or a VB?

## Input:

equity will **increase**

## Features:

{pw will, w increase, nw \*}}

<i>i</i>	<i>tag</i>	<i>attribute</i>	<i>weight</i>
1	NN	nw *	0.46998
2	NN	w increase	2.97384
3	VB	nw *	-0.08660
4	VB	pw will	1.47305
5	VB	w increase	2.60052

$\operatorname{argmax}_y p(y|\mathbf{x})$ :

$p(NN|\mathbf{x}) \propto 0.0$

$w_i = NA \quad f_i(\mathbf{pw\ will}, NN) = 1$

$p(VB|\mathbf{x}) \propto$

# Is increase a NN or a VB?

## Input:

equity will **increase**

## Features:

{pw will, w increase, nw \*}}

<i>i</i>	<i>tag</i>	<i>attribute</i>	<i>weight</i>
1	NN	nw *	0.46998
2	NN	w increase	2.97384
3	VB	nw *	-0.08660
4	VB	pw will	1.47305
5	VB	w increase	2.60052

$\operatorname{argmax}_y p(y|\mathbf{x})$ :

$$p(NN|\mathbf{x}) \propto 0.0 + 2.97384$$

$$w_5 = 2.97384$$

$$f_5(\mathbf{w} \text{ increase}, NN) = 1$$

$$p(VB|\mathbf{x}) \propto$$



# Is increase a NN or a VB?

## Input:

equity will **increase**

## Features:

{pw will, w increase, nw \*}}

<i>i</i>	<i>tag</i>	<i>attribute</i>	<i>weight</i>
1	NN	nw *	0.46998
2	NN	w increase	2.97384
3	VB	nw *	-0.08660
4	VB	pw will	1.47305
5	VB	w increase	2.60052

$\operatorname{argmax}_y p(y|\mathbf{x})$ :

$$p(NN|\mathbf{x}) \propto 0.0 + 2.97384 + 0.46998$$

$$w_1 = 0.46998 \quad f_1(\mathbf{nw} *, NN) = 1$$

$$p(VB|\mathbf{x}) \propto$$

# Is increase a NN or a VB?

## Input:

equity will **increase**

## Features:

{pw will, w increase, nw \*}}

<i>i</i>	<i>tag</i>	<i>attribute</i>	<i>weight</i>
1	NN	nw *	0.46998
2	NN	w increase	2.97384
3	VB	nw *	-0.08660
4	VB	pw will	1.47305
5	VB	w increase	2.60052

$\operatorname{argmax}_y p(y|\mathbf{x})$ :

$$p(NN|\mathbf{x}) \propto 0.0 + 2.97384 + 0.46998 = 3.44382$$

$$p(VB|\mathbf{x}) \propto$$

# Is increase a NN or a VB?

## Input:

equity will **increase**

## Features:

{pw will, w increase, nw \*}}

<i>i</i>	<i>tag</i>	<i>attribute</i>	<i>weight</i>
1	NN	nw *	0.46998
2	NN	w increase	2.97384
3	VB	nw *	-0.08660
4	VB	pw will	1.47305
5	VB	w increase	2.60052

$\operatorname{argmax}_y p(y|\mathbf{x})$ :

$$p(NN|\mathbf{x}) \propto 0.0 + 2.97384 + 0.46998 = 3.44382$$

$$p(VB|\mathbf{x}) \propto 1.47305$$

$$w_4 = 1.47305 \quad f_4(\text{pw will}, VB) = 1$$

# Is increase a NN or a VB?

## Input:

equity will **increase**

## Features:

{pw will, w increase, nw \*}}

<i>i</i>	<i>tag</i>	<i>attribute</i>	<i>weight</i>
1	NN	nw *	0.46998
2	NN	w increase	2.97384
3	VB	nw *	-0.08660
4	VB	pw will	1.47305
5	VB	w increase	2.60052

$\operatorname{argmax}_y p(y|\mathbf{x})$ :

$$p(NN|\mathbf{x}) \propto 0.0 + 2.97384 + 0.46998 = 3.44382$$

$$p(VB|\mathbf{x}) \propto 1.47305 + 2.60052$$

$$w_5 = 2.60052 \quad f_5(\mathbf{w} \text{ increase}, VB) = 1$$

# Is increase a NN or a VB?

## Input:

equity will **increase**

## Features:

{pw will, w increase, nw \*}}

<i>i</i>	<i>tag</i>	<i>attribute</i>	<i>weight</i>
1	NN	nw *	0.46998
2	NN	w increase	2.97384
3	VB	nw *	-0.08660
4	VB	pw will	1.47305
5	VB	w increase	2.60052

$\operatorname{argmax}_y p(y|\mathbf{x})$ :

$$p(NN|\mathbf{x}) \propto 0.0 + 2.97384 + 0.46998 = 3.44382$$

$$p(VB|\mathbf{x}) \propto 1.47305 + 2.60052 - 0.08660$$

$$w_3 = -0.08660 \quad f_3(\text{nw } *, VB) = 1$$

# Is increase a NN or a VB?

## Input:

equity will **increase**

## Features:

{pw will, w increase, nw \*}}

<i>i</i>	<i>tag</i>	<i>attribute</i>	<i>weight</i>
1	NN	nw *	0.46998
2	NN	w increase	2.97384
3	VB	nw *	-0.08660
4	VB	pw will	1.47305
5	VB	w increase	2.60052

$\operatorname{argmax}_y p(y|\mathbf{x})$ :

$$p(NN|\mathbf{x}) \propto 0.0 + 2.97384 + 0.46998 = 3.44382$$

$$p(VB|\mathbf{x}) \propto 1.47305 + 2.60052 - 0.08660 = 3.98697$$

# Problems with classification

- Classification ignores structure  
⇒ **No model of dependence between outputs**
- POS: VB (e.g., increase) more likely after MD (e.g., will)
- NER: I-PER (e.g., Gillard) more likely after B-PER (e.g., Julia)

# Tagging

*shallow syntax = tagging*

Mr.	Vinken	is	chairman	of	Elsevier	N.V.	,
NNP	NNP	VBZ	NN	IN	NNP	NNP	,
B-NP	I-NP	B-VP	B-NP	B-PP	B-NP	I-NP	0
B-PER	I-PER	0	0	0	B-ORG	I-ORG	0

*phrase tagging*

*named entity tagging*

the	Dutch	publishing	group	.
DT	NNP	VBG	NN	.
B-NP	I-NP	I-NP	I-NP	0
0	0	0	0	0

*not interesting entity features*



# Tagging

- Find the *best sequence*:
  - words
  - tags
  - base pairs
  - ...
- **Which sequence** is the best sequence?  
⇒ **the most probable sequence**

$$\operatorname{argmax}_{y_1 \dots y_n} p(y_1 \dots y_n)$$

- we need a **probability model of language**

# Language modelling vs. tagging

- LMs used to measure likelihood of a given sentence  $p(W)$   
⇒ output is a probability
- Taggers used to predict best tag sequence for sentence  $p(T|W)$   
⇒ output is a distribution over possible sequences

## Part II

# Tagging

# Outline

- sequence tagging
  - Hidden Markov Models
  - Maximum Entropy Markov Models
- finding the optimal sequence
  - using a single history
  - Viterbi
  - Beam search
- features used for:
  - POS tagging (Ratnaparkhi, C&C, Toutanova et al)
  - Named Entity Recognition (Borthwick, C&C, Klein et al)
- Conditional Random Fields

# Language Modelling

- Find the best sequence (words, tags, base pairs, ...)  
 $\Rightarrow$  **the most probable sequence**

$$\operatorname{argmax}_{y_1 \dots y_n} p(y_1 \dots y_n)$$

↓  
tags | words

- Chain rule expansion:

$$p(y_1 \dots y_n) = p(y_1)p(y_2|y_1)p(y_3|y_1, y_2) \cdots p(y_n|y_1, \dots, y_{n-1})$$

predict  $y_1$

predict  $y_2$  given  $y_1$

predict  $y_3$  given  $y_1$  and  $y_2$

...

# Markov Assumption

- **Each prediction cannot depend on entire history!**
- Markov model approximation:

$$\begin{aligned}
 p(y_1 \dots y_n) &= p(y_1)p(y_2|y_1)p(y_3|y_1, y_2) \cdots p(y_n|y_1, \dots, y_{n-1}) \\
 &\approx p(y_1)p(y_2|y_1)p(y_3|y_2) \cdots p(y_n|y_{n-1})
 \end{aligned}$$

- Current prediction only based on previous prediction
- In theory can use any fixed length history
- In practice a **history of 2 is typically used** (for English)

# Andrei Markov (1856–1922)

[http://en.wikipedia.org/wiki/Andrey\\_Markov](http://en.wikipedia.org/wiki/Andrey_Markov)

**An example of statistical investigation in the text of 'Eugene Onyegin' illustrating coupling of 'tests' in chains.** In *Proceedings of the Academy of Sciences*, St. Petersburg, 7:153–162, 1913.



# Tagging with Probabilities

- Find the best tag sequence **given the words** (cond. probability):

$$\operatorname{argmax}_{t_1 \dots t_n} p(t_1 \dots t_n | w_1 \dots w_n)$$

*prob of tags  
and words*

- Alternatively maximise  $p(t_1 \dots t_n, w_1 \dots w_n)$  (joint probability):

$$\begin{aligned} \operatorname{argmax}_{t_1 \dots t_n} p(t_1 \dots t_n | w_1 \dots w_n) &= \operatorname{argmax}_{t_1 \dots t_n} \frac{p(t_1 \dots t_n, w_1 \dots w_n)}{p(w_1 \dots w_n)} \\ &= \operatorname{argmax}_{t_1 \dots t_n} p(t_1 \dots t_n, w_1 \dots w_n) \end{aligned}$$

*discriminative* → *does not ac-  
count for prob of language  
→ more flexible  
to include other  
features*

*of tag seq  
given word  
seq*

- MaxEnt taggers directly maximise **conditional probability**

- Hidden Markov Model taggers maximise **joint probability**

*generative*

*needs to account for prob of language*

*(easier to  
estimate)*



# Hidden Markov Model Tagging

- Maximise the joint probability:

$$p(t_1 \dots t_n, w_1 \dots w_n) = p(t_1 \dots t_n) p(w_1 \dots w_n | t_1 \dots t_n)$$

- Tag sequence probability (first order Markov Model):

$$p(t_1 \dots t_n) \approx p(t_1) p(t_2 | t_1) p(t_3 | t_2) \dots p(t_n | t_{n-1})$$

markov approx

- Word sequence probability (given the tags):

$$p(w_1 \dots w_n | t_1 \dots t_n) \approx p(w_1 | t_1) p(w_2 | t_2) \dots p(w_n | t_n)$$

↳ each  $w_i$  is only dependent on  $t_i$ 's

- Using  $p(w_1 \dots w_n | t_1 \dots t_n)$  is counter-intuitive but correct**  
 since we're maximising the joint probability

# Three questions for HMMs

- *language modelling*: how compute likelihood of a sentence  $p(W)$ ?  
(Manning and Schütze, Section 9.3)
- *training*: **how find model that best explains the data?**
- *tagging*: how choose a tag sequence for a given sentence  $p(T|W)$ ?

# Maximum Likelihood Estimation for Markov Models

train

- Probabilities are estimated from annotated data
- Estimates are simple relative frequencies (MLE):

$$p^*(t_i | t_{i-1}) = \frac{\text{count}(t_{i-1}, t_i)}{\text{count}(t_{i-1})}$$

$$p^*(w_i | t_i) = \frac{\text{count}(w_i, t_i)}{\text{count}(t_i)}$$

# CPT excerpt for tags (transition probabilities)

<http://www.clips.ua.ac.be/conll2000/chunking/>

count( $T_{i-1}, T_i$ ) =

$t_{i-1} \backslash t_i$	*	MD	NN	VB	Total
*	0	5	404	43	8937
MD	0	0	0	1706	2167
NN	14	548	3546	43	30147
VB	0	5	394	41	6017

# CPT excerpt for tags (transition probabilities)

<http://www.clips.ua.ac.be/conll2000/chunking/>

$\text{count}(T_{i-1}, T_i) =$

$t_{i-1} \backslash t_i$	*	MD	NN	VB	Total
*	0	5	404	43	8937
MD	0	0	0	1706	2167
NN	14	548	3546	43	30147
VB	0	5	394	41	6017

$p^*(T_i | T_{i-1}) =$

$t_{i-1} \backslash t_i$	*	MD	NN	VB
*	0.00000	0.00056	0.04521	0.00481
MD				
NN				
VB				

# CPT excerpt for tags (transition probabilities)

<http://www.clips.ua.ac.be/conll2000/chunking/>

$\text{count}(T_{i-1}, T_i) =$

$t_{i-1} \backslash t_i$	*	MD	NN	VB	Total
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$p^*(T_i | T_{i-1}) =$

$t_{i-1} \backslash t_i$	*	MD	NN	VB
*	0.00000	0.00056	0.04521	0.00481
MD	0.00000	0.00000	0.00000	0.78726
NN				
VB				

# CPT excerpt for tags (transition probabilities)

<http://www.clips.ua.ac.be/conll2000/chunking/>

$\text{count}(T_{i-1}, T_i) =$

$t_{i-1} \backslash t_i$	*	MD	NN	VB	Total
*	0	5	404	43	8937
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NN	14	548	3546	43	30147
VB	0	5	394	41	6017

$p^*(T_i | T_{i-1}) =$

$t_{i-1} \backslash t_i$	*	MD	NN	VB
*	0.00000	0.00056	0.04521	0.00481
MD	0.00000	0.00000	0.00000	0.78726
NN	0.00046	0.01818	0.11762	0.00143
VB	0.00000	0.00083	0.06548	0.00681

*Handwritten red notes: An arrow points from the value 5 in the count table to the value 0.00056 in the probability table. The value 0.00056 is circled in red. Above the arrow, the text "5 / 8937" is written in red.*

# CPT excerpt for words (emission probabilities)

<http://www.clips.ua.ac.be/conll2000/chunking/>

count( $W_i, T_i$ ) =

$t_i \backslash w_i$	equity	increase	will	Total
MD	0	0	658	2167
NN	33	78	1	30147
VB	0	28	0	6017

*all words* (pointing to  $w_i$ )

*all tags* (pointing to  $t_i$ )



# CPT excerpt for words (emission probabilities)

<http://www.clips.ua.ac.be/conll2000/chunking/>

$$\text{count}(W_i, T_i) =$$

$w_i \backslash t_i$	equity	increase	will	Total
MD	0	0	658	2167
NN	33	78	1	30147
VB	0	28	0	6017

$$p^*(W_i | T_i) =$$

$w_i \backslash t_i$	equity	increase	will
MD	0.00000	0.00000	0.30365
NN			
VB			

# CPT excerpt for words (emission probabilities)

<http://www.clips.ua.ac.be/conll2000/chunking/>

$$\text{count}(W_i, T_i) =$$

$w_i \backslash t_i$	equity	increase	will	Total
MD	0	0	658	2167
NN	33	78	1	30147
VB	0	28	0	6017

$$p^*(W_i | T_i) =$$

$w_i \backslash t_i$	equity	increase	will
MD	0.00000	0.00000	0.30365
NN	0.00109	0.00259	0.00003
VB			

# CPT excerpt for words (emission probabilities)

<http://www.clips.ua.ac.be/conll2000/chunking/>

$$\text{count}(W_i, T_i) =$$

$w_i \backslash t_i$	equity	increase	will	Total
MD	0	0	658	2167
NN	33	78	1	30147
VB	0	28	0	6017

$$p^*(W_i | T_i) =$$

$w_i \backslash t_i$	equity	increase	will
MD	0.00000	0.00000	0.30365
NN	0.00109	0.00259	0.00003
VB	0.00000	0.00465	0.00000

# Generative process for a state-emission HMM

Given a HMM instance, we can generate sentences:

finds the most probable seq of tags

- ①  $i := 1$
- ②  $t_i := \text{sample from } p^*(T|*)$
- ③ **do**
- ④  $w_i := \text{sample from } p^*(W|t_i)$  *→ random emission prob matrix*
- ⑤  $i := i + 1$
- ⑥  $t_i := \text{sample from } p^*(T|t_{i-1})$  *transition prob matrix*
- ⑦ **until**  $t_i \equiv *$

but this isn't tagging...

**how choose a tag sequence for a given sentence  $p(T|W)$ ?**

# Finding the most probable sequence

- Current decision depends on previous decision(s)
- Cannot simply take the most probable tag for each word
- Brute force search would take  $O(n^{\#\text{toks}})$
- **Viterbi algorithm finds the shortest path through the tag lattice**
  - $O(n^2)$  in the number of tags (e.g. POS tags  $45^2$ )
- An instance of dynamic programming
  - found throughout NLP for estimation and decoding

# CPT excerpts from CoNLL 2000 data

<http://www.clips.ua.ac.be/conll2000/chunking/>

$$p^*(T_i | T_{i-1}) =$$

$t_{i-1} \backslash t_i$	*	MD	NN	VB
*	0.00000	0.00056	0.04521	0.00481
MD	0.00000	0.00000	0.00000	0.78726
NN	0.00046	0.01818	0.11762	0.00143
VB	0.00000	0.00083	0.06548	0.00681

$$p^*(W_i | T_i) =$$

$t_i \backslash w_i$	equity	increase	will
MD	0.00000	0.00000	0.30365
NN	0.00109	0.00259	0.00003
VB	0.00000	0.00465	0.00000

# CPT excerpts from CoNLL 2000 data: log-transformed

<http://www.clips.ua.ac.be/conll2000/chunking/>

$$\log_{10} p^*(T_i | T_{i-1}) =$$

$t_{i-1} \backslash t_i$	*	MD	NN	VB
*	$-\infty$	-3.25	-1.34	-2.32
MD	$-\infty$	$-\infty$	$-\infty$	-0.10
NN	-3.34	-1.74	-0.93	-2.84
VB	$-\infty$	-3.08	-1.18	-2.17

should  
be  
smoothed

$$\log_{10} p^*(W_i | T_i) =$$

$t_i \backslash w_i$	equity	increase	will
MD	$-\infty$	$-\infty$	-0.52
NN	-2.96	-2.59	-4.52
VB	$-\infty$	-2.33	$-\infty$

Viterbi trace:  $\max p(t_0, t_1, \mathbf{w})$

→ up to  $t_1$   
+ ag

equity will increase

$t_0$	$t_1$	Transition		Emission
*	MD	$p(t_1 = \text{MD}   t_0 = *)$	×	$p(w_1 = \text{equity}   t_1 = \text{MD})$
*	NN	$p(t_1 = \text{NN}   t_0 = *)$	×	$p(w_1 = \text{equity}   t_1 = \text{NN})$
*	VB	$p(t_1 = \text{VB}   t_0 = *)$	×	$p(w_1 = \text{equity}   t_1 = \text{VB})$

$t_0$	$t_1$	$\log p$
*	MD	$-3.25 + -\infty$
*	NN	$-1.34 + -2.96 = -4.30$
*	VB	$-2.32 + -\infty$



Viterbi trace:  $\max p(t_0, t_1, t_2, w)$

$\rightarrow$  Viterbi trace  
 $\rightarrow$  Viterbi trace

$t_1$	$t_2$	Transition		Emission		Best history
MD	MD	$p(t_2 = \text{MD}   t_1 = \text{MD})$	×	$p(w_2 = \text{will}   t_2 = \text{MD})$	×	$\max_{t_0} p(t_1 = \text{MD}, t_0)$
NN	MD	$p(t_2 = \text{MD}   t_1 = \text{NN})$	×	$p(w_2 = \text{will}   t_2 = \text{MD})$	×	$\max_{t_0} p(t_1 = \text{NN}, t_0)$
VB	MD	$p(t_2 = \text{MD}   t_1 = \text{VB})$	×	$p(w_2 = \text{will}   t_2 = \text{MD})$	×	$\max_{t_0} p(t_1 = \text{VB}, t_0)$
MD	NN	$p(t_2 = \text{NN}   t_1 = \text{MD})$	×	$p(w_2 = \text{will}   t_2 = \text{NN})$	×	$\max_{t_0} p(t_1 = \text{MD}, t_0)$
NN	NN	$p(t_2 = \text{NN}   t_1 = \text{NN})$	×	$p(w_2 = \text{will}   t_2 = \text{NN})$	×	$\max_{t_0} p(t_1 = \text{NN}, t_0)$
VB	NN	$p(t_2 = \text{NN}   t_1 = \text{VB})$	×	$p(w_2 = \text{will}   t_2 = \text{NN})$	×	$\max_{t_0} p(t_1 = \text{VB}, t_0)$
MD	VB	$p(t_2 = \text{VB}   t_1 = \text{MD})$	×	$p(w_2 = \text{will}   t_2 = \text{VB})$	×	$\max_{t_0} p(t_1 = \text{MD}, t_0)$
NN	VB	$p(t_2 = \text{VB}   t_1 = \text{NN})$	×	$p(w_2 = \text{will}   t_2 = \text{VB})$	×	$\max_{t_0} p(t_1 = \text{NN}, t_0)$
VB	VB	$p(t_2 = \text{VB}   t_1 = \text{VB})$	×	$p(w_2 = \text{will}   t_2 = \text{VB})$	×	$\max_{t_0} p(t_1 = \text{VB}, t_0)$

$t_1$	$t_2$	$\log p$	$\operatorname{argmax}_{t_0}$	max?
MD	MD	$-\infty - 0.52 - \infty = -\infty$	—	
NN	MD	$-1.74 - 0.52 - 4.30 = -6.56$	*	yes
VB	MD	$-3.08 - 0.52 - \infty = -\infty$	—	
MD	NN	$-\infty - 4.52 - \infty = -\infty$	—	
NN	NN	$-0.93 - 4.52 - 4.30 = -9.75$	*	yes
VB	NN	$-1.18 - 4.52 - \infty = -\infty$	—	
MD	VB	$-0.10 - \infty - \infty = -\infty$	—	
NN	VB	$-2.84 - \infty - 4.30 = -\infty$	*	
VB	VB	$-2.17 - \infty - \infty = -\infty$	—	



# Viterbi trace: $\max p(t_0, t_1, t_2, t_3, \mathbf{w})$

$t_2$	$t_3$	Transition		Emission		Best history
MD	MD	$p(t_3 = \text{MD}   t_2 = *)$	×	$p(w_3 = \text{increase}   t_3 = \text{MD})$	×	$\max_{t_0, t_1} p(t_2 = \text{MD}, t_0, t_1)$
NN	MD	$p(t_3 = \text{MD}   t_2 = *)$	×	$p(w_3 = \text{increase}   t_3 = \text{MD})$	×	$\max_{t_0, t_1} p(t_2 = \text{NN}, t_0, t_1)$
VB	MD	$p(t_3 = \text{MD}   t_2 = *)$	×	$p(w_3 = \text{increase}   t_3 = \text{MD})$	×	$\max_{t_0, t_1} p(t_2 = \text{VB}, t_0, t_1)$
MD	NN	$p(t_3 = \text{NN}   t_2 = \text{MD})$	×	$p(w_3 = \text{increase}   t_3 = \text{NN})$	×	$\max_{t_0, t_1} p(t_2 = \text{MD}, t_0, t_1)$
NN	NN	$p(t_3 = \text{NN}   t_2 = \text{NN})$	×	$p(w_3 = \text{increase}   t_3 = \text{NN})$	×	$\max_{t_0, t_1} p(t_2 = \text{NN}, t_0, t_1)$
VB	NN	$p(t_3 = \text{NN}   t_2 = \text{VB})$	×	$p(w_3 = \text{increase}   t_3 = \text{NN})$	×	$\max_{t_0, t_1} p(t_2 = \text{VB}, t_0, t_1)$
MD	VB	$p(t_3 = \text{VB}   t_2 = \text{MD})$	×	$p(w_3 = \text{increase}   t_3 = \text{VB})$	×	$\max_{t_0, t_1} p(t_2 = \text{MD}, t_0, t_1)$
NN	VB	$p(t_3 = \text{VB}   t_2 = \text{NN})$	×	$p(w_3 = \text{increase}   t_3 = \text{VB})$	×	$\max_{t_0, t_1} p(t_2 = \text{NN}, t_0, t_1)$
VB	VB	$p(t_3 = \text{VB}   t_2 = \text{VB})$	×	$p(w_3 = \text{increase}   t_3 = \text{VB})$	×	$\max_{t_0, t_1} p(t_2 = \text{VB}, t_0, t_1)$

$t_2$	$t_3$	$\log p$	$\operatorname{argmax}_{t_0, t_1}$	max?
MD	MD	$-\infty - \infty - 6.56 = -\infty$	*, NN	
NN	MD	$-1.74 - \infty - 9.75 = -\infty$	*, NN	
VB	MD	$-3.08 - \infty - \infty = -\infty$	-	
MD	NN	$-\infty - 2.59 - 6.56 = -\infty$	*, NN	
NN	NN	$-0.93 - 2.59 - 9.75 = -13.27$	*, NN	yes
VB	NN	$-1.18 - 2.59 - \infty = -15.17$	-	
MD	VB	$-0.10 - 2.33 - 6.56 = -8.99$	*, NN	yes
NN	VB	$-2.84 - 2.33 - 9.75 = -14.92$	*, NN	
VB	VB	$-2.17 - 2.33 - \infty = -\infty$	-	

# Viterbi trace: $\max p(t_0, t_1, t_2, t_3, \mathbf{w})$

$t_2$	$t_3$	Transition		Emission		Best history
MD	MD	$p(t_3 = \text{MD}   t_2 = *)$	×	$p(w_3 = \text{increase}   t_3 = \text{MD})$	×	$\max_{t_0, t_1} p(t_2 = \text{MD}, t_0, t_1)$
NN	MD	$p(t_3 = \text{MD}   t_2 = *)$	×	$p(w_3 = \text{increase}   t_3 = \text{MD})$	×	$\max_{t_0, t_1} p(t_2 = \text{NN}, t_0, t_1)$
VB	MD	$p(t_3 = \text{MD}   t_2 = *)$	×	$p(w_3 = \text{increase}   t_3 = \text{MD})$	×	$\max_{t_0, t_1} p(t_2 = \text{VB}, t_0, t_1)$
MD	NN	$p(t_3 = \text{NN}   t_2 = \text{MD})$	×	$p(w_3 = \text{increase}   t_3 = \text{NN})$	×	$\max_{t_0, t_1} p(t_2 = \text{MD}, t_0, t_1)$
NN	NN	$p(t_3 = \text{NN}   t_2 = \text{NN})$	×	$p(w_3 = \text{increase}   t_3 = \text{NN})$	×	$\max_{t_0, t_1} p(t_2 = \text{NN}, t_0, t_1)$
VB	NN	$p(t_3 = \text{NN}   t_2 = \text{VB})$	×	$p(w_3 = \text{increase}   t_3 = \text{NN})$	×	$\max_{t_0, t_1} p(t_2 = \text{VB}, t_0, t_1)$
MD	VB	$p(t_3 = \text{VB}   t_2 = \text{MD})$	×	$p(w_3 = \text{increase}   t_3 = \text{VB})$	×	$\max_{t_0, t_1} p(t_2 = \text{MD}, t_0, t_1)$
NN	VB	$p(t_3 = \text{VB}   t_2 = \text{NN})$	×	$p(w_3 = \text{increase}   t_3 = \text{VB})$	×	$\max_{t_0, t_1} p(t_2 = \text{NN}, t_0, t_1)$
VB	VB	$p(t_3 = \text{VB}   t_2 = \text{VB})$	×	$p(w_3 = \text{increase}   t_3 = \text{VB})$	×	$\max_{t_0, t_1} p(t_2 = \text{VB}, t_0, t_1)$

$t_2$	$t_3$	$\log p$	$\text{argmax}_{t_0, t_1}$	max?
MD	MD	$-\infty - \infty - 6.56 = -\infty$	*, NN	
NN	MD	$-1.74 - \infty - 9.75 = -\infty$	*, NN	
VB	MD	$-3.08 - \infty - \infty = -\infty$	-	
MD	NN	$-\infty - 2.59 - 6.56 = -\infty$	*, NN	
NN	NN	$-0.93 - 2.59 - 9.75 = -13.27$	*, NN	yes
VB	NN	$-1.18 - 2.59 - \infty = -15.17$	-	
MD	VB	$-0.10 - 2.33 - 6.56 = -8.99$	*, NN	yes
NN	VB	$-2.84 - 2.33 - 9.75 = -14.92$	*, NN	
VB	VB	$-2.17 - 2.33 - \infty = -\infty$	-	

# The essence of the Viterbi algorithm

for word  $i$   
 for each tag  $t$   
 we keep track of the **best score so far**  
 that labels  $i$  with that tag,  
 and the **previous tag that led to it**

} sequence of smaller problems

Finds the most probable tag sequence  
 under a Markov assumption for tag bigrams  

$$p(t_1, t_2, \dots, t_n) = p(t_1)p(t_2|t_1) \cdots p(t_n|t_{n-1})$$
 by solving the problem for  $t_1$ , then  $t_1, t_2$ , then  $t_1, t_2, t_3, \dots$



# Notes on Viterbi

- $O(n^2)$  in the number of tags (e.g. POS tags  $45^2$ )
- finds max and argmax of  $\text{score}(t_1, \dots, t_n, w_1, \dots, w_n)$ 
  - HMM is generative and probabilistic:  $\text{score} = p$  is factored into transition and emission
  - can use Viterbi where score is derived from features discriminatively
  - some features would encode previous tag
  - technically can condition on previous  $k$  tags for some fixed  $k$
- Beam search works well in practice: approximate search
- $O(n^2)$  in the beam width (typically  $5^2$ ) *only use best 5 tags*

# Part of Speech (POS) Tagging

Mr. Vinken is chairman of Elsevier N.V. ,  
**NNP NNP VBZ NN IN NNP NNP** ,  
the Dutch publishing group .  
**DT NNP VBG NN** .

- 45 POS tags
- 1 million words Penn Treebank WSJ text
- 97% state of the art accuracy

# Chunk Tagging

Mr. Vinken is chairman of Elsevier N.V. ,  
**B-NP I-NP B-VP B-NP B-PP B-NP I-NP O**  
 the Dutch publishing group .  
**B-NP I-NP I-NP I-NP O**

- 18 phrase tags
- 1 million words Penn Treebank WSJ text
- 94% state of the art accuracy
- Alternative: B-XX only used to separate adjacent phrases of same type

# Named Entity Tagging

Mr. Vinken is chairman of Elsevier N.V. ,  
**B-PER I-PER O O O B-ORG I-ORG O**  
the Dutch publishing group .  
**O B-MISC O O O**

- 4 named entity tags
- 400,000 words CoNLL 2003 shared task data
- Over Reuters newswire text
- 90% state of the art accuracy





# Problems with Markov Model Taggers

- unreliable zero or very low counts
  - does a zero count indicate an impossible event?  
⇒ *smoothing* the counts solves this problem
- Words not seen in the data are especially problematic  
⇒ would like to include word internal information  
e.g. capitalisation or suffix information
- Cannot incorporate diverse pieces of evidence for predicting tags  
e.g. global document information

# Tagging with Maximum Entropy Markov Models

- The conditional probability of a tag sequence  $t_1 \dots t_n$  is

$$p(t_1 \dots t_n | w_1 \dots w_n) \approx \prod_{i=1}^n p(t_i | C_i)$$

given a sentence  $w_1 \dots w_n$  and contexts  $C_1 \dots C_n$

- The context includes previously assigned tags (for a fixed history)
- Beam search is used to find the most probable sequence in practice

# Ratnaparkhi POS-tagging Contextual Predicates

Condition	Contextual predicate
$\text{freq}(w_i) < 5$	$X$ is prefix/suffix of $w_i$ , $ X  \leq 4$ $w_i$ contains a digit $w_i$ contains uppercase character $w_i$ contains a hyphen
$\forall w_i$	$w_i = X$ $w_{i-1} = X, w_{i-2} = X$ $w_{i+1} = X, w_{i+2} = X$
$\forall w_i$	$\text{KLASS}_{i-1} = X$ $\text{KLASS}_{i-2}\text{KLASS}_{i-1} = XY$

# C&C NER Contextual Predicates

Condition	Contextual predicate
$\text{freq}(w_i) < 5$	$X$ is prefix/suffix of $w_i$ , $ X  \leq 4$ $w_i$ contains a digit $w_i$ contains uppercase character $w_i$ contains a hyphen
$\forall w_i$	$w_i = X$ $w_{i-1} = X, w_{i-2} = X$ $w_{i+1} = X, w_{i+2} = X$
$\forall w_i$	$\text{POS}_i = X$ $\text{POS}_{i-1} = X, \text{POS}_{i-2} = X$ $\text{POS}_{i+1} = X, \text{POS}_{i+2} = X$
$\forall w_i$	$\text{KLASS}_{i-1} = X$ $\text{KLASS}_{i-2}\text{KLASS}_{i-1} = XY$

# C&C NER Additional Contextual Predicates

Condition	Contextual predicate
$\text{freq}(w_i) < 5$	$w_i$ contains period $w_i$ contains punctuation $w_i$ is only digits $w_i$ is a number $w_i$ is {upper,lower,title,mixed} case $w_i$ is alphanumeric length of $w_i$ $w_i$ has only Roman numerals $w_i$ is an initial (X.) $w_i$ is an acronym (ABC, A.B.C.)

# C&C NER Additional Contextual Predicates

Condition	Contextual predicate
$\forall w_i$	memory NE tag for $w_i$ unigram tag of $w_{i+1}$ unigram tag of $w_{i+2}$
$\forall w_i$	$w_i$ in a gazetteer $w_{i-1}$ in a gazetteer $w_{i+1}$ in a gazetteer
$\forall w_i$	$w_i$ not lowercase and $f_{lc} > f_{uc}$
$\forall w_i$	unigrams of word type bigrams of word types trigrams of word types

## Example Word Types (Collins, 2002)

- Moody  $\Rightarrow$  Aa
- A.B.C.  $\Rightarrow$  A.A.A.
- 1,345.00  $\Rightarrow$  0,0.0
  
- Mr. Smith  $\Rightarrow$  Aa. Aa

# Fancier NER predicates (Kazama and Torisawa, 2008)

contextual predicate for tagging  $w_i$ :

$X$  is a Wikipedia category for  $A$

$$\forall j \leq i \leq k$$

such that the phrase  $\{w_j, \dots, w_k\}$

is the title of Wikipedia article  $A$

Thus our discriminative learner can learn an association  
 between Wikipedia categories and named entity types



# Linear Chain Conditional Random Fields

- assign probability to entire sequence as a single classification
- use probabilities of tag-bigrams
- overcomes the *label bias* problem
  - bias towards tags with few possible successors in HMM/MEMM
  - but in practice this doesn't seem to be the major difficulty
- there are many tasks where CRF is now state-of-the-art
- recently combined with learnt word sequence representations (with BiLSTMs)

# Take away

- Sequence tagging classifies each token
- with dependencies between tags
- Phrase labelling through sequence tagging
- Applications to identify syntax and reference
- Common features for sequence labelling in English
- Viterbi algorithm: why and how