Database: The database is a collection of interrelated data which is used to retrieve, insert and delete the data efficiently. It is also used to organize the data in the form of a table, schema, views, and reports, etc.

### ER model

- ER model stands for an Entity-Relationship model. It is a high-level data model.
   This model is used to define the data elements and relationship for a specified system.
- It develops a conceptual design for the database. It also develops a very simple and easy to design view of data.
- In ER modeling, the database structure is portrayed as a diagram called an entity-relationship diagram.

### Components of the ER Diagram

This model is based on three basic concepts:

- Entities
- Attributes
- Relationships

Types of Attributes	Description
Simple attribute	Simple attributes can't be divided any further. For example, a student's contact number. It is also called an atomic value.
Composite attribute	It is possible to break down composite attribute. For example, a student's full name may be further divided into first name, last name, and last name.

#### **Derived attribute**

This type of attribute does not include in the physical database. However, their values are derived from other attributes present in the database. For example, age should not be stored directly. Instead, it should be derived from the DOB of that employee.

#### Multivalued attribute

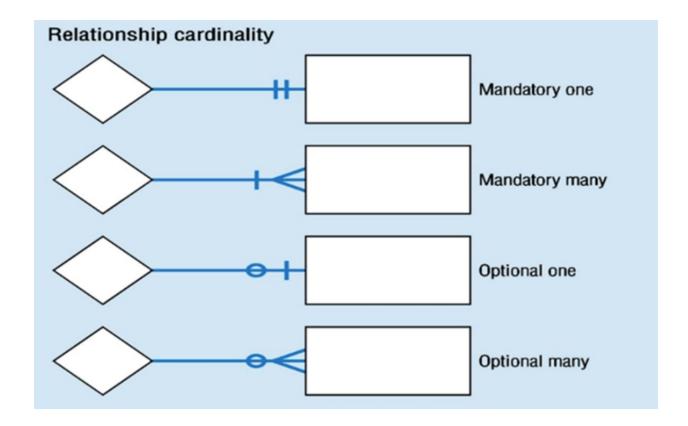
Multivalued attributes can have more than one values. For example, a student can have more than one mobile number, email address, etc.

## Cardinality

Defines the numerical attributes of the relationship between two entities or entity sets.

Different types of cardinal relationships are:

- One-to-One Relationships
- One-to-Many Relationships
- May to One Relationships
- Many-to-Many Relationships



ER- Diagram is a visual representation of data that describe how data is related to each other.

- Rectangles: This symbol represent entity types
- Ellipses: Symbol represent attributes
- **Diamonds:** This symbol represents relationship types
- Lines: It links attributes to entity types and entity types with other relationship types
- **Primary key:** attributes are underlined
- **Double Ellipses:** Represent multi-valued attributes

Following are the steps to create an ERD.

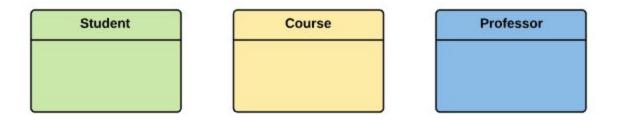


In a university, a Student enrolls in Courses. A student must be assigned to at least one or more Courses. Each course is taught by a single Professor. To maintain instruction quality, a Professor can deliver only one course

### Step 1) Entity Identification

We have three entities

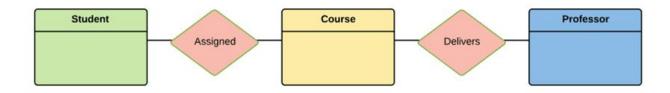
- Student
- Course
- Professor



Step 2) Relationship Identification

We have the following two relationships

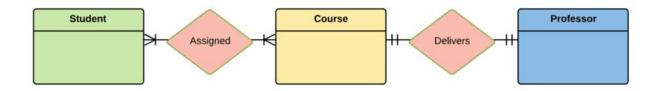
- The student is assigned a course
- Professor delivers a course



Step 3) Cardinality Identification

For them problem statement we know that,

- A student can be assigned multiple courses
- A Professor can deliver only **one** course



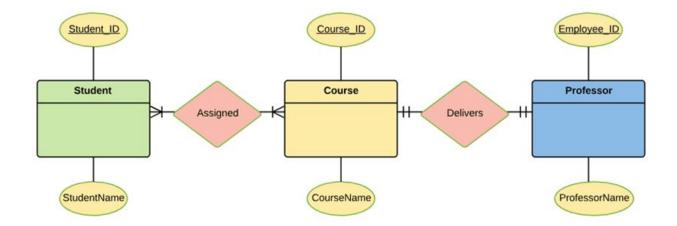
### Step 4) Identify Attributes

You need to study the files, forms, reports, data currently maintained by the organization to identify attributes. You can also conduct interviews with various stakeholders to identify entities. Initially, it's important to identify the attributes without mapping them to a particular entity.

Once, you have a list of Attributes, you need to map them to the identified entities. Ensure an attribute is to be paired with exactly one entity. If you think an attribute should belong to more than one entity, use a modifier to make it unique.

Once the mapping is done, identify the primary Keys. If a unique key is not readily available, create one.

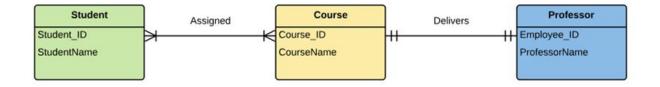
Entity	Primary Key	Attribute
Student	Student_ID	StudentName
Professor	Employee_ID	ProfessorName
Course	Course_ID	CourseName



For Course Entity, attributes could be Duration, Credits, Assignments, etc. For the sake of ease we have considered just one attribute.

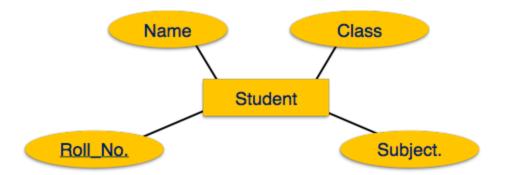
### Step 5) Create the ERD

A more modern representation of ERD Diagram



### Mapping Entity

An entity is a real-world object with some attributes.

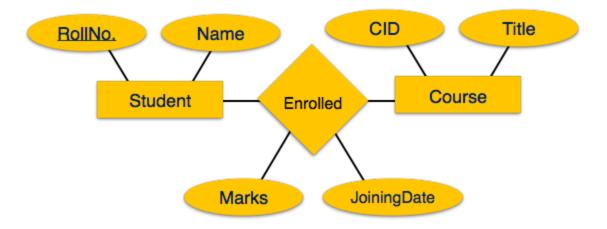


Mapping Process (Algorithm)

- Create table for each entity.
- Entity's attributes should become fields of tables with their respective data types.
- Declare primary key.

### Mapping Relationship

A relationship is an association among entities.



### Mapping Process

- Create a table for every entity
- Create table for a relationship.
- Add the primary keys of all participating Entities as fields of table with their respective data types.
- If relationship has any attribute, add each attribute as field of table.
- Declare a primary key composing all the primary keys of participating entities.
- Declare all foreign key constraints.

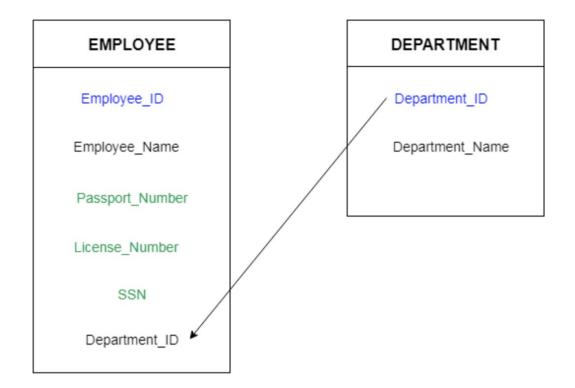
**Super Key:** An attribute or set of attributes that uniquely identifies a tuple within a relation

Candidate key: A super key such that no proper subset is a super key within the relation

**Primary key:** The candidate key that is selected to identify tuples uniquely within the relation, the candidate keys which are not selected as PKs are called "Alternate keys"

**Foreign key**. One or more attributes in an entity type that represents a key, either primary or secondary, in another entity type.

- Foreign keys are the column of the table which is used to point to the primary key of another table.
- In a company, every employee works in a specific department, and employee and department are two different entities. So we can't store the information of the department in the employee table. That's why we link these two tables through the primary key of one table.
- We add the primary key of the DEPARTMENT table, Department\_Id as a new attribute in the EMPLOYEE table.
- Now in the EMPLOYEE table, Department\_Id is the foreign key, and both the tables are related.



# Functional Dependency

Functional dependency (FD) is a set of constraints between two attributes in a relation. Functional dependency says that if two tuples have the same values for attributes A1, A2,..., An, then those two tuples must have to have the same values for attributes B1, B2, ..., Bn.

Functional dependency is represented by an arrow sign  $(\rightarrow)$  that is,  $X \rightarrow Y$ , where X functionally determines Y. The left-hand side attributes determine the values of attributes on the right-hand side.

$$X \rightarrow Y$$
 Emp id -> Emp name

The left side of FD is known as a determinant, the right side of the production is known as a dependent.

For example:

Assume we have an employee table with attributes: Emp\_Id, Emp\_Name, Emp\_Address.

- 1. Emp\_ld, Emp\_Name -> Emp\_Name, Emp\_Address
- 2. Emp\_ld -> Empl\_Address

Here Emp\_Id attribute can uniquely identify the Emp\_Name attribute of employee table because if we know the Emp\_Id, we can tell that employee name associated with it.

Functional dependency can be written as:

 $Emp\_Id \rightarrow Emp\_Name$ 

## Armstrong's Axioms

If F is a set of functional dependencies then the closure of F, denoted as  $F^+$ , is the set of all functional dependencies logically implied by F. Armstrong's Axioms are a set of rules, that when applied repeatedly, generates a closure of functional dependencies.

F:

X -> Y

X->Z

Z->A

\_\_\_\_\_

X->Y,Z

 $X \rightarrow Y, Z, A$ 

X->X

Y->Y

X->XZ

A,B ->C

B,C->D

A,B->A,B,C,D

# 1. Reflexive Rule (IR<sub>1</sub>)

In the reflexive rule, if Y is a subset of X, then X determines Y.

$$Y->a,b$$

1. If 
$$X \supseteq Y$$
 then  $X \rightarrow Y$ 

### **Example:**

- 1.  $X = \{a, b, c, d, e\}$
- 2.  $Y = \{a, b, c\}$

- 3.  $X = \{a, b, c, d, e\}$
- 4.  $Y = \{a, b, c, f, g, h\}$
- 5.  $XY = \{a,b,c,d,e,f,g,h\}$

# 2. Augmentation Rule (IR<sub>2</sub>)

The augmentation is also called as a partial dependency. In augmentation, if X determines Y, then XZ determines YZ for any Z.

1. If 
$$X \rightarrow Y$$
 then  $XZ \rightarrow YZ$ 

### **Example:**

1. For R(ABCD), if A 
$$\rightarrow$$
 B then AC  $\rightarrow$  BC

# 3. Transitive Rule (IR<sub>3</sub>)

In the transitive rule, if X determines Y and Y determine Z, then X must also determine Z.

1. If 
$$X \rightarrow Y$$
 and  $Y \rightarrow Z$  then  $X \rightarrow Z$ 

# 4. Union Rule (IR<sub>4</sub>)

Union rule says, if X determines Y and X determines Z, then X must also determine Y and Z.

1. If 
$$X \rightarrow Y$$
 and  $X \rightarrow Z$  then  $X \rightarrow YZ$ 

### **Proof:**

- 1.  $X \rightarrow Y$  (given)
- 2.  $X \rightarrow Z$  (given)
- 3.  $X \rightarrow XY$  (using IR<sub>2</sub> on 1 by augmentation with X. Where XX = X)
- 4.  $XY \rightarrow YZ$  (using  $IR_2$  on 2 by augmentation with Y)
- 5.  $X \rightarrow YZ$  (using IR<sub>3</sub> on 3 and 4)

# 5. Decomposition Rule (IR<sub>5</sub>)

Decomposition rule is also known as project rule. It is the reverse of union rule.

This Rule says, if X determines Y and Z, then X determines Y and X determines Z separately.

1. If 
$$X \rightarrow YZ$$
 then  $X \rightarrow Y$  and  $X \rightarrow Z$ 

### **Proof:**

- 1.  $X \rightarrow YZ$  (given)
- 2.  $YZ \rightarrow Y \text{ (using IR}_1 \text{ Rule)}$
- 3.  $X \rightarrow Y$  (using IR<sub>3</sub> on 1 and 2)

# 6. Pseudo transitive Rule (IR<sub>6</sub>)

In Pseudo transitive Rule, if X determines Y and YZ determines W, then XZ determines W.

```
1. If X \rightarrow Y and YZ \rightarrow W then XZ \rightarrow W
```

### **Proof:**

- 1.  $X \rightarrow Y$  (given)
- 2. WY  $\rightarrow$  Z (given)
- 3.  $WX \rightarrow WY$  (using IR<sub>2</sub> on 1 by augmenting with W)
- 4.  $WX \rightarrow Z$  (using IR<sub>3</sub> on 3 and 2)

# Trivial Functional Dependency

- Trivial If a functional dependency (FD) X → Y holds, where Y is a subset of X,
   then it is called a trivial FD. Trivial FDs always hold.
- Non-trivial If an FD X → Y holds, where Y is not a subset of X, then it is called a non-trivial FD.
- Completely non-trivial If an FD X → Y holds, where x intersect Y = Φ, it is said to be a completely non-trivial FD.

# Normalization

If a database design is not perfect, it may contain anomalies, which are like a bad dream for any database administrator. Managing a database with anomalies is next to impossible.

- Update anomalies If data items are scattered and are not linked to each other properly, then it could lead to strange situations. For example, when we try to update one data item having its copies scattered over several places, a few instances get updated properly while a few others are left with old values.
   Such instances leave the database in an inconsistent state.
- **Deletion anomalies** We tried to delete a record, but parts of it was left undeleted because of unawareness, the data is also saved somewhere else.
- **Insert anomalies** We tried to insert data in a record that does not exist at all.

Normalization is a method to remove all these anomalies and bring the database to a consistent state.

- Normalization is the process of organizing the data in the database.
- Normalization is used to minimize the redundancy from a relation or set of relations.
   It is also used to eliminate the undesirable characteristics like Insertion, Update and Deletion Anomalies.
- Normalization divides the larger table into the smaller table and links them using relationship.
- The normal form is used to reduce redundancy from the database table.

# The Problem of redundancy in Database

**Redundancy** means having multiple copies of the same data in the database. This problem arises when a database is not normalized. Suppose a table of student details attributes are: student Id, student name, college name, college rank, course opted.

Student_ID	Name	Contact	College	Course	Rank
100	Himanshu	7300934851	GEU	Btech	1
101	Ankit	7900734858	GEU	Btech	1.
102	Aysuh	7300936759	GEU	Btech	1
103	Ravi	7300901556	GEU	Btech	1

As it can be observed that values of attribute college name, college rank, course is being repeated which can lead to problems. Problems caused due to redundancy are: Insertion anomaly, Deletion anomaly, and Updation anomaly.

## 1. Insertion Anomaly -

If a student detail has to be inserted whose course is not being decided yet then insertion will not be possible till the time course is decided for student.

Student_ID	Name	Contact	College	Course	Rank
100	Himanshu	7300934851	GEU		1

This problem happens when the insertion of a data record is not possible without adding some additional unrelated data to the record.

## 2. Deletion Anomaly -

If the details of students in this table is deleted then the details of college will also get deleted which should not occur by common sense.

This anomaly happens when deletion of a data record results in losing some unrelated information that was stored as part of the record that was deleted from a table.

## 3. Updation Anomaly -

Suppose if the rank of the college changes then changes will have to be all over the database which will be time-consuming and computationally costly.

Student _ID	Name	Contact	College	Course	Rank	
100	Himansh u	7300934 851	GEU	Btech	1	All places
101	Ankit	7900734 858	GEU	Btech	1	should be updated
102	Aysuh	7300936 759	GEU	Btech	1	//
103	Ravi	7300901 556	GEU	Btech	1	

If updation do not occur at all places then database will be in inconsistent state.

# First Normal Form

First Normal Form is defined in the definition of relations (tables) itself. This rule defines that all the attributes in a relation must have atomic domains. The values in an atomic domain are indivisible units.

Course	Content
Programming	Java, c++
Web	HTML, PHP, ASP

We re-arrange the relation (table) as below, to convert it to First Normal Form.

Course	Content
Programming	Java
Programming	C++
Web	HTML
Web	PHP
Web	ASP

Each attribute must contain only a single value from its pre-defined domain.

- A relation will be 1NF if it contains an atomic value.
- It states that an attribute of a table cannot hold multiple values. It must hold only single-valued attribute.
- First normal form disallows the multi-valued attribute, composite attribute, and their combinations.

**Example:** Relation EMPLOYEE is not in 1NF because of multi-valued attribute EMP\_PHONE.

### **EMPLOYEE** table:

EMP_ID	EMP_NAME	EMP_PHONE	EMP_STATE
14	John	7272826385, 9064738238	UP
20	Harry	8574783832	Bihar
12	Sam	7390372389, 8589830302	Punjab

The decomposition of the EMPLOYEE table into 1NF has been shown below:

EMP_ID	EMP_NAME	EMP_PHONE	EMP_STATE
14	John	7272826385	UP
14	John	9064738238	UP
20	Harry	8574783832	Bihar

12	Sam	7390372389	Punjab
12	Sam	8589830302	Punjab

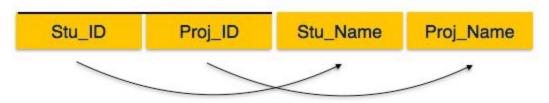
# Second Normal Form

Before we learn about the second normal form, we need to understand the following –

- **Prime attribute** An attribute, which is a part of the candidate-key, is known as a prime attribute.
- **Non-prime attribute** An attribute, which is not a part of the prime-key, is said to be a non-prime attribute.

If we follow second normal form, then every non-prime attribute should be fully functionally dependent on prime key attribute. That is, if  $X \to A$  holds, then there should not be any proper subset Y of X, for which  $Y \to A$  also holds true.

## Student\_Project



107 101 Tarun LiFi

108 101 Aaravind LiFi

107 102 Tarun Road Detection

### BADDDD

We see here in Student\_Project relation that the prime key attributes are Stu\_ID and Proj\_ID. According to the rule, non-key attributes, i.e. Stu\_Name and Proj\_Name must be dependent upon both and not on any of the prime key attribute individually. But we find that Stu\_Name can be identified by Stu\_ID and Proj\_Name can be identified by Proj\_ID independently. This is called **partial dependency**, which is not allowed in Second Normal Form.

## Student

Stu\_ID Stu\_Name Proj\_ID

## Project

Proj\_ID Proj\_Name

We broke the relation in two as depicted in the above picture. So there exists no partial dependency.

- In the 2NF, relational must be in 1NF.
- In the second normal form, all non-key attributes are fully functional dependent on the primary key

**Example:** Let's assume, a school can store the data of teachers and the subjects they teach. In a school, a teacher can teach more than one subject.

### **TEACHER table**

TEACHER_ID	SUBJECT	TEACHER_AGE
25	Chemistry	30
25	Biology	30

47	English	35
83	Math	38
83	Computer	38

In the given table, non-prime attribute TEACHER\_AGE is dependent on TEACHER\_ID which is a proper subset of a candidate key. That's why it violates the rule for 2NF.

To convert the given table into 2NF, we decompose it into two tables:

### **TEACHER\_DETAIL** table:

TEACHER_ID	TEACHER_AGE
25	30
47	35
83	38

## TEACHER\_SUBJECT table:

TEACHER_ID	SUBJECT
25	Chemistry

25	Biology
47	English
83	Math
83	Computer

# Third Normal Form

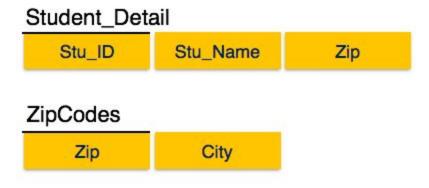
For a relation to be in Third Normal Form, it must be in Second Normal form and the following must satisfy —

- No non-prime attribute is transitively dependent on prime key attribute.
- ullet For any non-trivial functional dependency,  $X \to A$ , then either
  - o X is a superkey or, A is prime attribute.



We find that in the above Student\_detail relation, Stu\_ID is the key and only prime key attribute. We find that City can be identified by Stu\_ID as well as Zip itself. Neither Zip is a superkey nor is City a prime attribute. Additionally,  $Stu_ID \rightarrow Zip \rightarrow City$ , so there exists **transitive dependency**.

To bring this relation into third normal form, we break the relation into two relations as follows –



- A relation will be in 3NF if it is in 2NF and not contain any transitive partial dependency.
- 3NF is used to reduce the data duplication. It is also used to achieve the data integrity.
- If there is no transitive dependency for non-prime attributes, then the relation must be in third normal form.

A relation is in third normal form if it holds at least one of the following conditions for every non-trivial function dependency  $X \to Y$ .

- 1. X is a super key.
- 2. Y is a prime attribute, i.e., each element of Y is part of some candidate key.

### **Example:**

### **EMPLOYEE\_DETAIL** table:

3.	EMP_ID	4. <b>AM</b> I	EMP_N	5. _ <b>ZIP</b>	ЕМР	6. <b>TAT</b>	EMP_S	7. CIT	EMP_ Y
8.	222	9.	Harry	10.	20101	11.	UP	12.	Noida
13.	333	14.	Stephan	15.	02228	16.	US	17.	Boston
18.	444	19.	Lan	20.	60007	21.	US	22.	Chicago
23.	555	24.	Katharine	25.	06389	26.	UK	27.	Norwich
28.	666	29.	John	30. 7	46200	31.	MP	32.	Bhopal

### Super key in the table above:

1. {EMP\_ID}, {EMP\_ID, EMP\_NAME}, {EMP\_ID, EMP\_NAME, EMP\_ZIP}....so on

**Candidate key:** {EMP\_ID}

**Non-prime attributes:** In the given table, all attributes except EMP\_ID are non-prime.

Here, EMP\_STATE & EMP\_CITY dependent on EMP\_ZIP and EMP\_ZIP dependent on EMP\_ID. The non-prime attributes (EMP\_STATE, EMP\_CITY) transitively dependent on super key(EMP\_ID). It violates the rule of third normal form.

That's why we need to move the EMP\_CITY and EMP\_STATE to the new <EMPLOYEE\_ZIP> table, with EMP\_ZIP as a Primary key.

### **EMPLOYEE** table:

33.	EMP_ID	34.	EMP_NAME	35.	EMP_ZIP
36.	222	37.	Harry	38.	201010
39.	333	40.	Stephan	41.	02228
42.	444	43.	Lan	44.	60007
45.	555	46.	Katharine	47.	06389
48.	666	49.	John	50.	462007

## 51. **EMPLOYEE\_ZIP table:**

52.	EMP_ZIP	53.	EMP_STATE	54.	EMP_CITY
55.	201010	56.	UP	57.	Noida
58.	02228	59.	US	60.	Boston
61.	60007	62.	US	63.	Chicago
64.	06389	65.	UK	66.	Norwich
67.	462007	68.	MP	69.	Bhopal

# Boyce-Codd Normal Form

Boyce-Codd Normal Form (BCNF) is an extension of Third Normal Form on strict terms. BCNF states that —

• For any non-trivial functional dependency,  $X \rightarrow A$ , X must be a super-key.

In the above image, Stu\_ID is the super-key in the relation Student\_Detail and Zip is the super-key in the relation ZipCodes. So,

and

$$Zip \rightarrow City$$

Which confirms that both the relations are in BCNF.

- BCNF is the advance version of 3NF. It is stricter than 3NF.
- A table is in BCNF if every functional dependency X → Y, X is the super key of the table.
- For BCNF, the table should be in 3NF, and for every FD, LHS is super key.

**Example:** Let's assume there is a company where employees work in more than one department.

#### **EMPLOYEE** table:

EMP_I D	EMP_COUNTR Y	EMP_DEP T	DEPT_TYP E	EMP_DEPT_N O
264	India	Designing	D394	283
264	India	Testing	D394	300

364	UK	Stores	D283	232
364	UK	Developing	D283	549

### In the above table Functional dependencies are as follows:

- 1.  $EMP\_ID \rightarrow EMP\_COUNTRY$
- 2. EMP\_DEPT → {DEPT\_TYPE, EMP\_DEPT\_NO}

### Candidate key: {EMP-ID, EMP-DEPT}

The table is not in BCNF because neither EMP\_DEPT nor EMP\_ID alone are keys.

To convert the given table into BCNF, we decompose it into three tables:

### **EMP\_COUNTRY** table:

EMP_ID	EMP_COUNTRY
264	India

### **EMP\_DEPT** table:

EMP_DEPT	DEPT_TYPE	EMP_DEPT_NO
Designing	D394	283

Testing	D394	300
Stores	D283	232
Developing	D283	549

## **EMP\_DEPT\_MAPPING** table:

EMP_ID	EMP_DEPT
D394	283
D394	300
D283	232
D283	549

## **Functional dependencies:**

1.  $EMP\_ID \rightarrow EMP\_COUNTRY$ 

2.  $EMP\_DEPT \rightarrow \{DEPT\_TYPE, EMP\_DEPT\_NO\}$ 

## **Candidate keys:**

For the first table: EMP\_ID

For the second table: EMP\_DEPT

For the third table: {EMP\_ID, EMP\_DEPT}

Now, this is in BCNF because left side part of both the functional dependencies is a key.

From a purist point of view you want to normalize your data structures as much as possible, but from a practical point of view you will find that you need to 'back out" of some of your normalizations for performance reasons. This is called "denormalization".